

LOCAL PROJECT SPONSOR AGREEMENT

by and between

**CONTRA COSTA WATER DISTRICT, BETHEL ISLAND MUNICIPAL IMPROVEMENT DISTRICT,
CITY OF ANTIOCH, CITY OF BRENTWOOD, CONTRA COSTA COUNTY FLOOD CONTROL & WATER
CONSERVATION DISTRICT, AND DIABLO WATER DISTRICT**

Proposition 1 Round 1 Integrated Regional Water Management Implementation Grant Program

Through this Local Project Sponsor Agreement by and between Contra Costa Water District (CCWD), a California special district, Bethel Island Municipal Improvement District (BIMID), a California special district, City of Antioch, a California municipal corporation, City of Brentwood, a California municipal corporation, Contra Costa County Flood Control & Water Conservation District (CCCFC&WCD), a California municipal corporation, and Diablo Water District (DWD), a California special district, each a “Local Project Sponsor” or collectively referred to as “Local Project Sponsors” or “parties,” the parties hereby agree as follows:

Recitals:

- A. WHEREAS, on behalf of the Local Project Sponsors, who are member agencies of the East Contra Costa County Integrated Regional Water Management (IRWM) group in the San Joaquin River Funding Area, CCWD submitted a proposal for a Proposition 1 Round 1 IRWM grant from the State of California, Department of Water Resources (DWR) to secure funding for implementation projects in the East Contra Costa County IRWM region that meet the requirements of the Proposition 1 2019 Guidelines and Round 1 IRWM Implementation Grant Proposal Solicitation Package; and
- B. WHEREAS, DWR and CCWD entered into Agreement No. 4600013833 (Grant Agreement) awarding to CCWD a grant for Two Million Seven Hundred Thousand Dollars (\$2,700,000) in State funding (State Grant) for the Proposition 1 Round 1 IRWM Implementation Grant Program to be expended over the grant period that extends from June 27, 2020 until June 30, 2024 when the Work Plan will be completed. The Grant Agreement is attached hereto and incorporated herein as Attachment A to this Local Project Sponsor Agreement; and
- C. WHEREAS, in accordance with Exhibit I Local Project Sponsors and Project Locations of the Grant Agreement, CCWD shall assign Local Project Sponsors to act on behalf of CCWD for the purposes of individual project management, oversight, compliance, operations and maintenance, and implementation of their respective component parts of the Work Plan (generically referred to as “Local Projects”) included in the Grant Agreement; and
- D. WHEREAS, the Grant Agreement contains a Work Plan, Budget, and Schedule describing the responsibilities of each Local Project Sponsor and commitments thereof; and
- E. WHEREAS, CCWD will provide necessary financial and grant administration services associated with implementing the Grant Agreement and this Local Project Sponsor Agreement, including, but not limited to: negotiation and execution of the Grant Agreement and the Local Project Sponsor Agreement, coordination and submittal of reports and invoices as required by the Grant Agreement, coordination and submittal of Grant

Agreement amendments as necessary, and general coordination with DWR and Local Project Sponsors throughout the term of the Grant Agreement; and

- F. WHEREAS, the process by which Local Project Sponsors will submit reimbursement requests to CCWD, and how CCWD will disburse the State Grant to Local Project Sponsors, will be governed by this Local Project Sponsor Agreement; and
- G. WHEREAS, this Local Project Sponsor Agreement is intended to protect CCWD from liability for executing the Grant Agreement on behalf of the Local Project Sponsors.

NOW, THEREFORE, based on the foregoing recitals, it is hereby agreed between the parties as follows:

AGREEMENT

The recitals set forth above constitute an integral part of this Agreement and are incorporated by this reference as if fully set forth herein.

1. Term of Agreement

A. This Local Project Sponsor Agreement shall commence and be effective, as between CCWD and a Local Project Sponsor, upon the date of its execution by both CCWD and that Local Project Sponsor. This Agreement will remain in effect so long as the Grant Agreement between CCWD and DWR is in effect, or until terminated by CCWD or the Local Project Sponsor pursuant to the terms of this Local Project Sponsor Agreement, or until the Grant Agreement is terminated by DWR or CCWD pursuant to the terms of the Grant Agreement.

B. Each Local Project Sponsor shall separately execute this Local Project Sponsor Agreement which shall at that time become effective as between that Local Project Sponsor, CCWD, and any and all earlier executing Local Project Sponsors.

2. State Grant Share and Matching Funds

A. CCWD will disburse up to Two Million Seven Hundred Thousand Dollars (\$2,700,000) of the State Grant in accordance with the amounts listed in Exhibit B Budget of the Grant Agreement. To be eligible to receive State Grant funds, Local Project Sponsors will implement the Local Projects as described in Exhibit A Work Plan of the Grant Agreement.

B. In accordance with Exhibit B Budget in the Grant Agreement, Local Project Sponsors have committed to expending and documenting, as required by the terms of the Grant Agreement, the required Non-State and Other Cost Share amounts required to fulfill the funding match requirements of the Grant Agreement. Any Local Project Sponsor inability to document the required cost share amounts may result in reduced State Grant fund eligibility and dispersal until such time as compliance with cost share requirements is evidenced and accepted pursuant to the Grant Agreement.

C. Pursuant to Grant Agreement requirements as described more fully in Attachment A to this Agreement, Local Project Sponsors may invoice CCWD for grant share reimbursement for eligible costs incurred on and after June 27, 2020 in their first invoice. Subsequent invoices may include eligible costs incurred by Local Project Sponsors during the reporting quarter. If total Local Project costs exceed that provided by the State Grant and cost share commitments, any additional funding match necessary to complete the Local Project will be documented by Local Project Sponsor in the Project Completion Report (described in Paragraph 5 Reporting).

3. Obligations of Local Project Sponsors

A. Local Project Sponsors are, and at all times will continue to be, in full compliance with the terms and conditions of the Grant Agreement that are applicable to them as a sub-recipient of the State Grant. In accordance with the Grant Agreement, Local Project Sponsors shall act on behalf of CCWD in the fulfillment of CCWD's responsibilities specified in the Grant Agreement with respect to their Local Project(s) only. Local Project Sponsors understand and agree that for purposes of the foregoing, and to the greatest extent allowed by law, any requirements and responsibilities imposed upon CCWD as Grantee under the Grant Agreement are hereby passed-through to, and acknowledged and agreed to by Local Project Sponsors, as obligations of the Local Project Sponsors, excepting only CCWD's obligations as defined in Paragraph 4 of this Local Project Sponsor Agreement. Further, the Local Project Sponsors acknowledge and agree to comply with any requirements directly imposed on the Local Project Sponsors pursuant to the Grant Agreement.

B. Local Project Sponsors must maintain all financial records associated with the total project costs of their respective Local Projects for inclusion in the Project Completion Report and all other reporting provided for herein.

C. Local Project Sponsors shall not, whether by act or omission, cause CCWD to be in violation of any provision of the Grant Agreement or, whether by act or omission, fail to meet any requirement of this Local Project Sponsor Agreement or the Grant Agreement.

D. Local Project Sponsors shall comply with all applicable Federal, State, and local laws, rules, regulations, ordinances, and directives, now existing and as such may change from time-to-time. Any such laws, rules, regulations, ordinances, and directives required thereby to be included in the Grant Agreement or this Local Project Sponsor Agreement are incorporated herein by reference.

4. Obligations of Contra Costa Water District

A. CCWD shall disburse State Grant funds as required or permitted by the Grant Agreement. Notwithstanding the foregoing, CCWD is not obligated to disburse any State Grant funds to Local Project Sponsors until such funds are authorized and disbursed from DWR to CCWD in accordance with the Grant Agreement requirements.

B. CCWD will promptly notify Local Project Sponsors in writing of any notices given or actions taken by DWR if such notices or actions are likely to affect the Local Project Sponsors' performance, duties, obligations or funding under this Local Project Sponsor Agreement, including but not limited to notices

from DWR regarding alleged default by CCWD or the Local Project Sponsor under Paragraph 12 of the Grant Agreement.

C. Commencing with DWR's State Grant eligibility date of June 27, 2020 and continuing until termination of the Grant Agreement, CCWD will undertake and complete all administrative and management responsibilities under the Grant Agreement that are not related solely to Local Projects. CCWD has incurred, and will continue to incur, costs for administrative and management responsibilities under the Grant Agreement that are not related solely to Local Projects. At the time of original execution of the Grant Agreement, the State Grant allocates Eighty Thousand Dollars (\$80,000) for Grant Agreement and Local Project Sponsor Agreement administration by CCWD in accordance with the requirements of the Grant Agreement.

5. Reporting

A. In accordance with the terms of the Grant Agreement, CCWD is obligated to submit reports to DWR throughout the term of the Grant Agreement. As such, Local Project Sponsors will provide CCWD with the required data for the Local Project(s) for which each Local Project Sponsor is responsible in accordance with the reporting and submittal requirements described in this section, Paragraph 14 Submission of Reports in the Grant Agreement, and Exhibit F Report Formats and Requirements of the Grant Agreement. Notwithstanding the reporting schedule described in this section, CCWD may, at any time, request any additional information from Local Project Sponsors pursuant to any Grant Agreement modification or that may be deemed necessary by CCWD in its sole discretion to provide adequate and sufficient documentation of Local Project status for the purposes of meeting the reporting requirements in the Grant Agreement. Local Project Sponsors agree to timely provide any such additional information as requested by CCWD.

B. All documentation and reports shall meet generally accepted professional standards for technical reporting and shall be proofread for content, numerical accuracy, spelling, and grammar prior to submittal to CCWD and the State. Reports shall be presented in the formats described in the applicable portion of Exhibit F of the Grant Agreement.

- i. Quarterly Progress Reports: On a quarterly basis, CCWD will prepare a Progress Report and submit it to DWR in accordance with the terms of the Grant Agreement. On a quarterly schedule established by CCWD, Local Project Sponsors will provide CCWD with the required documentation for their respective Local Projects in electronic format using a Progress Report template developed by CCWD. Progress Reports shall provide a brief description of the work performed during the reporting period, including: Local Project Sponsor activities, estimate of percent of work complete, milestones achieved, accomplishments, concerns or problems encountered, work anticipated for the next reporting period, and updated schedule or budget inclusive of any changes that have occurred.
- ii. Project Completion Report: Pursuant to the Grant Agreement, CCWD will submit a separate Project Completion Report for each project included in Exhibit A Work Plan of the Grant Agreement within ninety (90) calendar days of Local Project completion. Local Project Sponsors will prepare this report on behalf of CCWD in accordance with the requirements described in Exhibit F Reports Formats and Requirements in the Grant Agreement. Local

Project Sponsors will submit to CCWD a draft of the Project Completion Report within sixty (60) calendar days of Local Project completion or within sixty (60) days of execution of this Local Project Sponsor Agreement by a Local Project Sponsor, whichever is later. Local Project Sponsors will be responsive to CCWD's and DWR's questions or inquiries necessary to finalize the report.

- iii. Grant Completion Report: Pursuant to the Grant Agreement, CCWD will submit a Grant Completion Report within ninety (90) days of submitting the Project Completion Report for the final project to be completed under the Grant Agreement. CCWD will prepare this report on behalf of the Local Project Sponsors in accordance with the requirements described in Exhibit F Reports Formats and Requirements in the Grant Agreement. Local Project Sponsors will be responsive to CCWD's and DWR's questions or inquiries necessary to finalize the report.
- iv. Post-Performance Reports: Pursuant to the Grant Agreement, CCWD will submit Post-Performance reports for each Local Project in the Grant Agreement. Post-Performance Reports must be submitted to the State within ninety (90) calendar days after the first performance year of a project has elapsed, and the reporting process shall be repeated annually for a total of three (3) years after the project begins operation. Local Project Sponsors will prepare this report on behalf of CCWD in accordance with the requirements described in Exhibit F Reports Formats and Requirements in the Grant Agreement. Local Project Sponsors will submit Post-Performance reports directly to DWR and provide a copy of the report and proof of submittal to CCWD until such requirements are completed.

C. Failure of an individual Local Project Sponsor to meet reporting deadlines established by CCWD, which may cause noncompliance with the requirements of the Grant Agreement, constitutes a breach of this Local Project Sponsor Agreement and is cause for termination of the noncompliant Local Project Sponsor's participation in the Local Project Sponsor Agreement and the Grant Agreement. Each Local Project Sponsor will continue to report its activities throughout the State Grant period until the Local Project is completed.

6. Method of Payment

A. Pursuant to the Grant Agreement, there are two methods of payment available to CCWD, and thereby to Local Project Sponsors, including (a) reimbursement and (b) advanced payment. Both methods require that Local Project Sponsors submit only costs that are eligible project costs as specified in the Grant Agreement. DWR will withhold a percentage of payment on each quarterly invoice ("retention") of 10% of the invoice amount. If a percentage of grant funds is withheld by DWR for any Local Project in the Grant Agreement, CCWD will withhold the same percentage from payment on Local Project Sponsors' invoices.

- i. Reimbursement – Reimbursement is the typical payment method for state grants and involves invoicing and repayment of project costs in arrears. Reimbursement will be the default payment method for State Grant funds.

Under the Reimbursement method of payment, CCWD will solicit invoices for eligible project costs at the same frequency and timing as the quarterly Progress Reports. CCWD will solicit

invoices no more than quarterly. Along with invoices submitted to CCWD, Local Project Sponsors must also submit backup documentation that sufficiently supports claimed costs. If invoice and sufficient backup documentation is not received by CCWD from the Local Project Sponsor by the timing established by CCWD corresponding to quarterly Progress Reports due dates, the individual Local Project Sponsor invoice(s) will not be included in the submittal to DWR and payment of the Local Project Sponsor costs will be delayed until a future quarter.

CCWD will remit payment of Local Project Sponsors' invoice(s) within thirty (30) business days of receipt of State Grant funds from DWR. CCWD will remit payment of withheld retention, if applicable, to Local Project Sponsor(s) within thirty (30) business days of receipt of retained State Grant funds from DWR.

Invoices submitted to CCWD, and thereafter by CCWD to DWR, for Reimbursement shall include the information specified in Paragraph 8 Method of Payment in the Grant Agreement.

- ii. Advanced Payment – All Local Project Sponsors have declined Advanced Payment. As such, this option will not be available as a payment method under this grant program.

7. Third Party Beneficiaries

If more than one Local Project Sponsor Agreement is executed between CCWD and responsible agencies identified in the Grant Agreement, Local Project Sponsors acknowledge and affirm that every other responsible agency specified in the Grant Agreement is a third party beneficiary of this Local Project Sponsor Agreement and that Local Project Sponsors of this agreement are third party beneficiaries of every other Local Project Sponsor Agreement executed for the purposes of implementing the Grant Agreement.

8. Communication

All notices or notifications under this Local Project Sponsor Agreement shall be in writing addressed to the persons set forth in this section.

All notices or notifications to CCWD shall be sent to:

Maggie Dutton
Contra Costa Water District
P.O. Box H20
Concord, CA 94524
Email: mdutton@ccwater.com

All notices or notifications to BIMID shall be sent to:

Regina Espinoza
Bethel Island Municipal Improvement District
P.O. Box 244, Bethel Island, CA 94511
Email: respinoza@bimid.com

All notices or notifications to City of Antioch shall be sent to:

Scott Buenting
City of Antioch
200 H Street, Antioch, CA 94509-1005
Email: sbuenting@antiochca.gov

All notices or notifications to City of Brentwood shall be sent to:

Meghan Laporta
City of Brentwood
150 City Park Way, Brentwood, CA 94513-1164
Email: mlaporta@brentwoodca.gov

All notices or notifications to CCCFC&WCD shall be sent to:

Gus Amirzehni
Contra Costa County Flood Control & Water Conservation District
255 Glacier Drive, Martinez, CA 94553
Email: gus.amirzehni@pw.cccounty.us

All notices or notifications to DWD shall be sent to:

Dan Muelrath
Diablo Water District
P.O. Box 127, Oakley, CA 94561-0127
Email: dmuelrath@diablowater.org

9. Flow Through of Specific Grant Requirements

Parties will comply with all applicable provisions of the Local Project Sponsor Agreement and Grant Agreement, including, but not limited to, the provisions found in Exhibit D Standard Conditions of the Grant Agreement. In the event of termination, pursuant to Section 18 of this Local Project Sponsor Agreement, a Local Project Sponsor whose Local Project Sponsor Agreement has been terminated, will comply with all applicable provisions of the Local Project Sponsor Agreement and Grant Agreement which by their terms survive termination.

10. Inspection of Records

Pursuant to the Grant Agreement, DWR is entitled to inspect and obtain copies of any records pertaining to the program. Local Project Sponsors are required to make available for such inspections accurate records of all costs, disbursements, and documentation as necessary to comply with the requirements of the Grant Agreement. Local Project Sponsors hereby expressly agree to comply with these requirements. Local Project Sponsors shall retain program and project records in accordance with the requirements of Exhibit H State Audit Document Requirements for the Grantee of the Grant Agreement.

11. Indemnification

A. CCWD shall defend, indemnify, and hold Local Project Sponsors and their respective members, elected and appointed officers, employees, and agents harmless from any and all liability, actions,

claims, costs, and expenses, including attorneys' fees, caused by the willful misconduct or sole negligence of CCWD, its officers, directors, agents, or employees in CCWD's performance under this Local Project Sponsor Agreement, except to the extent caused by the negligent acts, errors, or omissions of Local Project Sponsors, their officers, agents, or employees.

B. A Local Project Sponsor shall defend, indemnify, hold CCWD and other Local Project Sponsors and their respective members, elected and appointed officers, employees, and agents harmless from any and all liability, actions, claims, costs, and expenses, including attorneys' fees, caused by the willful misconduct or the negligent acts, errors, or omissions of that specific Local Project Sponsor, their officers, directors, agents, or employees in that specific Local Project Sponsors' performance under this Local Project Sponsor Agreement, except to the extent caused by the sole negligence or willful misconduct of CCWD, its officers, agents, or employees.

12. Breach

Noncompliance by any Local Project Sponsors with any applicable provision of this Local Project Sponsor Agreement, including but not limited to applicable reporting requirements, or the Grant Agreement, including but not limited to Section 12 Default Provisions, shall constitute a breach of this Local Project Sponsor Agreement. CCWD, in its sole discretion, may terminate any Local Project Sponsor's participation for breach of this Local Project Sponsor Agreement. The waiver by either party of a breach of any provision of this Local Project Sponsor Agreement by the other, or by the State with regard to the Grant Agreement, shall not operate or be construed as a waiver of any subsequent breach.

13. Dispute Resolution

The parties to this Local Project Sponsor Agreement shall meet promptly to address any dispute that may arise and make a good faith effort to negotiate a resolution. The use by any party of any remedy specified herein for the enforcement of this Local Project Sponsor Agreement is not exclusive and shall not deprive any party of, or limit the application of, any other remedy provided by law.

14. Governing Law

This Local Project Sponsor Agreement, its construction, and all work performed under it shall be governed by the laws of the State of California. Venue shall be proper only in the Superior Court of Contra Costa County.

15. Severability

If any provision of this Local Project Sponsor Agreement is held invalid or unenforceable by a court of final jurisdiction, all other provisions of this Local Project Sponsor Agreement shall remain valid and in force and be construed in such a manner so as to affect the original intent of the parties to the maximum extent possible.

16. Amendment

A. Any amendment to the Grant Agreement made pursuant to the Standard Condition D.3 Amendment of the Grant Agreement, including amendment to the terms, requirements, work plan, budget,

schedule, or exhibits of the Grant Agreement, will thereafter apply in full to this Local Project Sponsor Agreement. If an amendment to the Grant Agreement conflicts with the content of this Local Project Sponsor Agreement, the Local Project Sponsor Agreement shall be amended to remedy such conflicts.

B. Excepting any amendment pursuant Section 16(A) above, this Local Sponsor Agreement may be changed only by a written amendment duly signed by all parties to this Local Project Sponsor Agreement.

17. Entire Agreement

This Local Project Sponsor Agreement, together with the attachments hereto, is the complete and exclusive statement of understanding between the parties, and supersedes any and all previous understandings or agreements, whether written or oral, and all communications between the parties relating to the subject matter of this Local Project Sponsor Agreement.

18. Termination

A. Any breach of this Local Project Sponsor Agreement, as provided under Section 12, may result in termination at the sole discretion of CCWD. CCWD, in its sole discretion, may provide a period to cure any breach not to exceed thirty (30) days.

B. A Local Project Sponsor may, at any time and without cause, terminate its individual participation in this Local Project Sponsor Agreement by providing written notice to CCWD of its termination. Notwithstanding any other provision of this Local Project Sponsor Agreement, if an individual Local Project Sponsor terminates its participation in this Local Project Sponsor Agreement, it shall not under any circumstances be responsible or liable for disrupting or discontinuing the disbursement of the State Grant funds allocated to other agencies as described in the Grant Agreement.

C. Upon the effectiveness of termination of any Local Project Sponsor's participation in this Local Project Sponsor Agreement for any reason, that Local Project Sponsor's remaining allocation of the grant funds, if any, will be redistributed to the remaining parties in accordance with this Local Project Sponsor Agreement and the Grant Agreement. Any Local Project Sponsor terminating its participation in this Local Project Sponsor Agreement, or whose participation was terminated by CCWD, must continue to comply with the reporting obligations to CCWD, as described in Paragraph 5 of this Local Project Sponsor Agreement and in the Grant Agreement.

D. This Local Project Sponsor Agreement will remain in full force and effect as to the Local Project Sponsors whose participation in the Local Project Sponsor Agreement is not terminated.

E. The provisions of Paragraph 9 and Paragraph 11 in this Local Project Sponsor Agreement shall survive termination of this Local Project Sponsor Agreement and shall remain in force through the later of the termination date of the Grant Agreement or when grant closeout activities are completed.

19. Successors and Assigns

This Local Project Sponsor Agreement and all of its provisions shall apply to and bind the successors and assigns of each and every party to this Local Project Sponsor Agreement. Local Project Sponsors shall not assign their rights or delegate their duties under this Local Project Sponsor Agreement. Any attempted assignment or delegation shall be null and void, and constitute a breach of this Local Project Sponsor Agreement.

20. Priority of Documents

The provisions of the Grant Agreement shall prevail over provisions of this Local Project Sponsor Agreement.

21. Counterpart Signatures

This Local Project Sponsor Agreement may be executed in counterparts each of which shall be deemed to be an original but all of which taken together shall constitute one and the same Local Project Sponsor Agreement. Signatures may be exchanged electronically, such as through use of Portable Document Format (PDF), or through the use of DocuSign or similar technology, with the same effect as original, wet signatures.

IN WITNESS THEREOF, this Local Project Sponsor Agreement has been executed by the parties hereto:

CONTRA COSTA WATER DISTRICT

By: Jeff Quimby, Assistant General Manager

Dated: _____

Bethel Island Municipal Improvement District

By: Regina Espinoza, District Manager

Dated: _____

City of Antioch

By: Ron Bernal, City Manager

Dated: _____

City of Brentwood

By: Tim Y. Ogden, City Manager

Dated: _____

**Contra Costa County Flood Control & Water
Conservation District**

By: Brian M. Balbas, Chief Engineer

Dated: _____

Diablo Water District

By: Dan Muelrath, General Manager

Dated: _____

**Attachment A
DWR Grant Agreement**

**GRANT AGREEMENT BETWEEN THE STATE OF CALIFORNIA
(DEPARTMENT OF WATER RESOURCES) AND
CONTRA COSTA WATER DISTRICT
AGREEMENT NUMBER 4600013833
PROPOSITION 1 ROUND 1 INTEGRATED REGIONAL WATER MANAGEMENT (IRWM)
IMPLEMENTATION GRANT**

THIS GRANT AGREEMENT is entered into by and between the Department of Water Resources of the State of California, herein referred to as the "State" or "DWR," and the Contra Costa Water District, a public agency in the State of California, duly organized, existing, and acting pursuant to the laws thereof, herein referred to as the "Grantee," which parties do hereby agree as follows:

- 1) **PURPOSE.** The State shall provide funding from the Water Quality, Supply, and Infrastructure Improvement Act of 2014 (Proposition 1) to the Grantee to assist in financing the projects, which are included in and implemented in an adopted Integrated Regional Water Management Plan (IRWM Plan), pursuant to Chapter 7. Regional Water Security, Climate, and Drought Preparedness (Wat. Code, § 79740 et seq.). The provision of State funds pursuant to this Agreement shall be construed or interpreted to mean that the IRWM Plan, or any components of the IRWM Plan, implemented in accordance with the Work Plan as set forth in Exhibit A, has been adopted through the IRWM Plan Review Process, and is/are consistent with Water Code section 10530 et seq.
- 2) **TERM OF GRANT AGREEMENT.** The term of this Grant Agreement begins on June 27, 2020 through final payment plus three (3) years unless otherwise terminated or amended as provided in this Grant Agreement. However, all work shall be completed by June 30, 2024, in accordance with the Schedule as set forth in Exhibit C and no funds may be requested after September 30, 2024.
- 3) **GRANT AMOUNT.** The maximum amount payable by the State under this Grant Agreement shall not exceed \$2,700,000.00.
- 4) **GRANTEE COST SHARE.** The Grantee is required to provide a Local Cost Share (non-state funds) as set forth in Exhibit B (Budget). Local Cost Share may include Eligible Project Costs directly related to Exhibit A incurred after January 1, 2015.
- 5) **BASIC CONDITIONS.**
 - A. Unless exempt as per the 2019 IRWM Implementation Grant Proposal Solicitation Package, project(s) that are subject to the California Environmental Quality Act (CEQA) including final land purchases, shall not be included in this Agreement until the CEQA process is completed and all permits necessary to begin construction are acquired. Projects providing at least 75% of benefits to a disadvantaged community (DAC), economically distressed area (EDA), and/or Tribe (based on population or geography), or projects implemented by Tribes will be exempt from this requirement.
 - i. Such projects will be included in the Agreement as a placeholder. Placeholder projects are not eligible for grant reimbursement and may not submit invoices to DWR until such time as they are fully included in the Agreement.
 - ii. Placeholder projects that complete CEQA and/or acquire permits (necessary to begin construction) within eighteen (18) months of the agreement execution date will be amended into the agreement. At the end of the eighteen (18)-month term, any placeholder projects that fail to complete CEQA and/or acquire permits will be deleted from the Agreement. The total grant award will be reduced by the amount of the deleted project(s). Replacement projects will not be allowed. Reduced amount will be made available to the respective Funding Area in future funding rounds on a competitive basis. Deleted placeholder projects will not be eligible to receive any grant reimbursement under this Agreement; however, such project could be eligible under the next round of grant solicitation.
 - B. The State shall have no obligation to disburse money for the Project(s) under this Grant Agreement until the Grantee has satisfied the following conditions (if applicable):

- i. The Grantee shall demonstrate compliance with all eligibility criteria as set forth on pages 9-11, inclusive, of the 2019 IRWM Implementation Grant Program Guidelines (2019 Guidelines).
- ii. For the term of this Agreement, the Grantee shall submit Quarterly Progress Reports which must accompany an invoice and all invoice backup documentation (\$0 Invoices are acceptable). The Quarterly Progress Report shall be submitted within 60 days following the end of the calendar quarter (i.e. reports due May 30, August 29, November 29, and March 1) and all other deliverables as required by Paragraph 14, "Submission of Reports" and Exhibit A, "Work Plan".
- iii. Prior to the commencement of construction or implementation activities, if applicable, the Grantee shall submit the following to the State.
 1. Final plans and specifications certified, signed and stamped by a California Registered Civil Engineer (or equivalent registered professional as appropriate) to certify compliance for each approved project as listed in Exhibit A of this Grant Agreement.
 2. Work that is subject to the California Environmental Quality Act (CEQA) (including final land purchases) shall not proceed under this Grant Agreement until the following actions are performed:
 - a) The Grantee submits to the State all applicable environmental permits, as indicated on the Environmental Information Form to the State,
 - b) Documents that satisfy the CEQA process are received by the State,
 - c) The State has completed its CEQA process as a Responsible Agency, and
 - d) The Grantee receives written notification from the State of concurrence with the Lead Agency's CEQA documents (s) and State's notice of verification of environmental permit submittal.

The State's concurrence of Lead Agency's CEQA documents is fully discretionary and shall constitute a condition precedent to any work (i.e., construction or implementation activities) for which it is required. Once CEQA documentation has been completed, the State will consider the environmental documents and decide whether to continue to fund the project, or to require changes, alterations, or other mitigation. Proceeding with work subject to CEQA prior to the State's concurrence shall constitute a material breach of this Agreement. The Grantee or Local Project Sponsor (LPS) shall also demonstrate that it has complied with all applicable requirements of the National Environmental Policy Act (NEPA) by submitting copies of any environmental documents, including Environmental Impact Statements, Finding of No Significant Impact, mitigation monitoring programs, and environmental permits as may be required prior to beginning construction/ implementation.

- iv. A monitoring plan as required by Paragraph 16, "Monitoring Plan Requirements," if applicable.
- 6) **DISBURSEMENT OF FUNDS.** The State will disburse to the Grantee the amount approved, subject to the availability of funds through normal State processes. Notwithstanding any other provision of this Grant Agreement, no disbursement shall be required at any time or in any manner which is in violation of, or in conflict with, federal or state laws, rules, or regulations, or which may require any rebates to the federal government, or any loss of tax-free status on state bonds, pursuant to any federal statute or regulation. Any and all money disbursed to the Grantee under this Grant Agreement shall be deposited in a non-interest bearing account and shall be used solely to pay Eligible Project Costs.
 - 7) **ELIGIBLE PROJECT COST.** The Grantee shall apply State funds received only to Eligible Project Costs in accordance with applicable provisions of the law and Exhibit B, "Budget". Eligible Project Costs include the reasonable costs of studies, engineering, design, land and easement acquisition and associated legal fees, preparation of environmental documentation, environmental mitigations, monitoring, and project construction. Reimbursable administrative expenses are the necessary costs incidental but directly related

to the Project included in this Agreement. Costs incurred after June 26, 2020 may be eligible for reimbursement.

Costs that are not eligible for reimbursement include, but are not limited to, the following items:

- A. Costs, other than those noted above, incurred prior to the award date of this Grant.
 - B. Costs for preparing and filing a grant application.
 - C. Operation and maintenance costs, including post construction performance and monitoring costs.
 - D. Purchase of equipment that is not an integral part of a project.
 - E. Establishing a reserve fund.
 - F. Purchase of water supply.
 - G. Replacement of existing funding sources for ongoing programs.
 - H. Meals, food items, or refreshments.
 - I. Payment of any punitive regulatory agency requirement, federal or state taxes.
 - J. Purchase of land in excess of the minimum required acreage necessary to operate as an integral part of a project, as set forth and detailed by engineering and feasibility studies, or acquisition of land by eminent domain.
 - K. Overhead and Indirect Costs. "Indirect Costs" means those costs that are incurred for a common or joint purpose benefiting more than one cost objective and are not readily assignable to the funded project (i.e., costs that are not directly related to the funded project). Examples of Indirect Costs include, but are not limited to: central service costs; general administration of the Grantee or LPSs; non-project-specific accounting and personnel services performed within the Grantee's or LPS' organization; depreciation or use allowances on buildings and equipment; the costs of operating and maintaining non-project-specific facilities; tuition and conference fees; forums, trainings, and seminars; and, generic overhead or markup. This prohibition applies to the Grantee, LPSs, and any subcontract or sub-agreement for work on the Project that will be reimbursed pursuant to this Agreement.
 - L. Mitigation for environmental impacts not resulting from implementation of the Project funded by this program.
- 8) **METHOD OF PAYMENT.** After the disbursement requirements in Paragraph 5, "Basic Conditions" are met, the State will disburse the whole or portions of State funding to the Grantee, following receipt from the Grantee of an electronic invoice certified and transmitted via electronic/digital signature system (e.g., DocuSign) or via US mail or Express mail delivery of a "wet signature" for costs incurred, including Local Cost Share, and timely Quarterly Progress Reports as required by Paragraph 14, "Submission of Reports." Payment will be made no more frequently than quarterly, in arrears, upon receipt of an invoice bearing the Grant Agreement number. Quarterly Progress Report must accompany an invoice (\$0 Invoices are acceptable) and shall be submitted within 60 days following the end of the calendar quarter (i.e. invoices due May 30, August 29, November 29, and March 1). The State will notify the Grantee, in a timely manner, whenever, upon review of an invoice, the State determines that any portion or portions of the costs claimed are not eligible costs or is not supported by documentation or receipts acceptable to the State. The Grantee may, within thirty (30) calendar days of the date of receipt of such notice, submit additional documentation to the State to cure such deficiency(ies). If the Grantee fails to submit adequate documentation curing the deficiency(ies), the State will adjust the pending invoice by the amount of ineligible or unapproved costs.

Invoices submitted by the Grantee shall include the following information:

- A. Costs incurred for work performed in implementing the Project during the period identified in the particular invoice.
- B. Costs incurred for any interests in real property (land or easements) that have been necessarily acquired for a project during the period identified in the particular invoice for the implementation of a project.
- C. Invoices shall be submitted on forms provided by the State and shall meet the following format requirements:
 - i. Invoices shall contain the date of the invoice, either the time period covered by the invoice or the invoice date received within the time period covered, and the total amount due.
 - ii. Invoices shall be itemized based on the categories (i.e., tasks) specified in Exhibit B, "Budget." The amount claimed for salaries/wages/consultant fees shall include a calculation formula (i.e., hours or days worked times the hourly or daily rate = the total amount claimed).
 - iii. One set of sufficient evidence (i.e., receipts, copies of checks, personnel hours' summary table, time sheets) shall be provided for all costs included in the invoice.
 - iv. Each invoice shall clearly delineate those costs claimed for reimbursement from the State's funding amount, as depicted in Paragraph 3, "Grant Amount" and those costs that represent the Grantee's costs, as applicable, in Paragraph 4, "Grantee Cost Share."
 - v. Original signature and date of the Grantee's Project Representative. Submit an electronic invoice, certified and transmitted via electronic/digital signature system (e.g., DocuSign), from authorized representative to the Project Manager or the original "wet signature" copy of the invoice form to the Project Manager at the following address: P.O. Box 942836, Sacramento, CA 94236-0001.

All invoices submitted shall be accurate and signed under penalty of law. Any and all costs submitted pursuant to this Agreement shall only be for the tasks set forth herein. The Grantee shall not submit any invoice containing costs that are ineligible or have been reimbursed from other funding sources unless required and specifically noted as such (i.e., cost share). Any eligible costs for which the Grantee is seeking reimbursement shall not be reimbursed from any other source. Double or multiple billing for time, services, or any other eligible cost is illegal and constitutes fraud. Any suspected occurrences of fraud, forgery, embezzlement, theft, or any other misuse of public funds may result in suspension of disbursements of grant funds and/or termination of this Agreement requiring the repayment of all funds disbursed hereunder plus interest. Additionally, the State may request an audit pursuant to Paragraph D.5 and refer the matter to the Attorney General's Office or the appropriate district attorney's office for criminal prosecution or the imposition of civil liability. (Civ. Code, §§ 1572-1573; Pen. Code, §§ 470, 487-489.)

- 9) **ADVANCED PAYMENT.** Water Code section 10551 authorizes advanced payment by the State for projects included and implemented in an applicable Integrated Regional Water Management Plan, and when the project proponent is a nonprofit organization; a disadvantaged community (DAC); or the project benefits a DAC. If a project is awarded less than \$1,000,000 in grant funds, the project proponent may receive an advanced payment of fifty (50) percent of the grant award; the remaining fifty (50) percent of the grant award will be reimbursed in arrears after the advanced funds of a budget category have been fully expended. Within ninety (90) calendar days of execution of the Grant Agreement, the Grantee may provide the State an Advanced Payment Request. Advanced Payment Requests received ninety-one (91) calendar days after the execution of this Agreement will not be eligible to receive an advanced payment. The Advanced Payment Request shall contain the following:
 - A. Documentation demonstrating that each Local Project Sponsor (if different from the Grantee, as listed in Exhibit I) was notified about their eligibility to receive an advanced payment and a response from the Local Project Sponsor stating whether it wishes to receive the advanced payment or not.

- B. If the Grantee is requesting the advanced payment, the request(s) shall include:
- i. Descriptive information of each project with an update on project status
 - ii. The names of the entities that will receive the funding for each project, including, but not limited to, an identification as to whether the project proponent or proponents are nonprofit organizations or a DAC, or whether the project benefits a DAC
 - iii. A detailed Funding Plan which includes how the advanced payment will be expended (in terms of workplan, budget, and schedule) within the timeframe agreed upon by DWR and the Grantee. The Funding Plan must clearly identify the total budget (at Budget Category Level) for each project clearly showing the portion of advanced payment and reimbursement funds.
 - iv. Any other information that DWR may deem necessary
- C. Upon review and approval of the Advanced Payment Request, DWR will authorize payment of the fully requested amount for the qualified project(s). Based on the project's Funding Plan and other considerations, DWR may determine it is not prudent to advance the full request in a single disbursement. In such a case, DWR will develop a "Disbursement Schedule," to disburse funds in installments. This Disbursement Schedule may change based on the project's ongoing compliance with the Advanced Payment requirements and the project's cash flow needs.
- D. Once DWR authorizes the Advanced Payment Request, the Grantee shall submit Advanced Payment Invoice(s) for the initial amount based on the "Disbursement Schedule" on behalf of the LPS(s), containing the request for each qualified project, to the State with signature and date of the Grantee's Project Representative, as indicated in Paragraph 21, "Project Representative." The Grantee shall be responsible for the timely distribution of the advanced funds to the respective LPS(s). The Advanced Payment Invoice(s) shall be submitted on forms provided by the State and shall meet the following format requirements:
- i. Invoice shall contain the date of the invoice, the time period covered by the invoice, and the total amount due.
 - ii. Invoice shall be itemized based on the budget categories specified in Exhibit B, "Budget."
 - iii. The State Project Manager will notify the Grantee, in a timely manner, when, upon review of an Advance Payment Invoice, the State determines that any portion or portions of the costs claimed are not eligible costs. The Grantee may, within thirty (30) calendar days of the date of receipt of such notice, submit additional documentation to cure such deficiency(ies).
 - iv. On a quarterly basis, the Grantee will submit an Accountability Report to the State that demonstrates how actual expenditures compare with the scheduled budget. The Accountability Report shall include the following information:
 1. An itemization of how advanced funds have been spent to-date (Expenditure Report), including documentation that supports the disbursements (e.g., contractor invoices, receipts, personnel hours, etc.). Accountability Reports shall be itemized based on the budget categories (i.e., tasks) specified in Exhibit B.
 2. An updated Accountability Report including an updated Funding Plan that depicts how the remaining advanced funds will be expended and the activities and deliverables associated with the advanced funds within the timeframe agreed upon by DWR and the Grantee when the advanced payment request was approved.

3. Documentation that the funds were placed in a non-interest bearing account, including the dates of deposits and withdrawals from that account.
 4. Proof of distribution of advanced funds to LPS(s), if applicable.
 - v. The State's Project Manager will notify the Grantee, in a timely manner, when, upon review of the Accountability Report, the State determines that any portion of the expenditures claimed are not eligible costs. The Grantee may, within thirty (30) calendar days of the date of receipt of such notice, submit additional documentation to cure such deficiency(ies). If costs are not consistent with the tasks in Exhibit A, the State will reject the claim and remove them from the Accountability Report.
 - E. Once the Grantee has spent all advanced funds in a budget category, then the method of payment will revert to the reimbursement process for that budget category specified in Paragraph 8, "Method of Payment for Reimbursement."
- 10) REPAYMENT OF ADVANCES. The State may demand repayment from the Grantee of all or any portion of the advanced State funding along with interest at the California general obligation bond interest rate at the time the State notifies the Grantee, as directed by the State, and take any other action that it deems necessary to protect its interests for the following conditions:
- A. A project is not being implemented in accordance with the provisions of the Grant Agreement.
 - B. The Grantee has failed in any other respect to comply with the provisions of this Grant Agreement, and if the Grantee does not remedy any such failure to the State's satisfaction.
 - C. Failure by the Grantee to submit complete and accurate quarterly Accountability Reports by the required due dates, unless otherwise approved by DWR.
 - D. Failure to deposit funds in a non-interest-bearing account.
 - E. Use of Advance Payment funds for ineligible expenses and/or activities not consistent with this Agreement.
 - F. Inappropriate use of funds, as deemed by DWR.
 - G. Repayment amounts may also include:
 - i. Actual costs incurred which are not consistent with the activities presented in Exhibit A, not supported, or are ineligible.
 - ii. Advanced funds which are not fully expended by project completion, notwithstanding Water Code section 10551(c)(4). Unused grant funds shall be returned to DWR within sixty (60) calendar days.

Any repayment of advanced funds may consist of reducing the amount from future reimbursement invoices. The State may consider the Grantee's refusal to repay the requested advanced amount a material breach of this Agreement subject to the default provisions in Paragraph 12, "Default Provisions." If the State notifies the Grantee of its decision to demand repayment or withhold the entire funding amount from the Grantee pursuant to this Paragraph, this Grant Agreement shall terminate upon receipt of such notice by the Grantee and the State shall no longer be required to provide funds under this Agreement.

- 11) WITHHOLDING OF DISBURSEMENTS BY THE STATE. If the State determines that a project is not being implemented in accordance with the provisions of this Grant Agreement, or that the Grantee has failed in any other respect to comply with the provisions of this Grant Agreement, and if the Grantee does not remedy any such failure to the State's satisfaction, the State may withhold from the Grantee all or any portion of the State funding and take any other action that it deems necessary to protect its interests. Where a portion of the State funding has been disbursed to the Grantee and the State notifies the Grantee of its decision not to release funds that have been withheld pursuant to Paragraph 12, "Default

Provisions,” the portion that has been disbursed shall thereafter be repaid immediately with interest at the California general obligation bond interest rate at the time the State notifies the Grantee, as directed by the State. The State may consider the Grantee’s refusal to repay the requested disbursed amount a material breach subject to the default provisions in Paragraph 12, “Default Provisions.” If the State notifies the Grantee of its decision to withhold the entire funding amount from the Grantee pursuant to this Paragraph, this Grant Agreement shall terminate upon receipt of such notice by the Grantee and the State shall no longer be required to provide funds under this Grant Agreement and the Grant Agreement shall no longer be binding on either party.

12) DEFAULT PROVISIONS. The Grantee shall be in default under this Grant Agreement if any of the following occur:

- A. Substantial breaches of this Grant Agreement, or any supplement or amendment to it, or any other agreement between the Grantee and the State evidencing or securing the Grantee’s obligations;
- B. Making any false warranty, representation, or statement with respect to this Grant Agreement or the application filed to obtain this Grant Agreement;
- C. Failure to operate or maintain the Project in accordance with this Grant Agreement.
- D. Failure to make any remittance required by this Grant Agreement, including any remittance recommended as the result of an audit conducted pursuant to Paragraph D.5.
- E. Failure to submit quarterly progress reports pursuant to Paragraph 5.
- F. Failure to routinely invoice the State pursuant to Paragraph 8.
- G. Failure to meet any of the requirements set forth in Paragraph 13, “Continuing Eligibility.”

Should an event of default occur, the State shall provide a notice of default to the Grantee and shall give the Grantee at least ten (10) calendar days to cure the default from the date the notice is sent via first-class mail to the Grantee. If the Grantee fails to cure the default within the time prescribed by the State, the State may do any of the following:

- H. Declare the funding be immediately repaid, with interest, which shall be equal to State of California general obligation bond interest rate in effect at the time of the default.
- I. Terminate any obligation to make future payments to the Grantee.
- J. Terminate the Grant Agreement.
- K. Take any other action that it deems necessary to protect its interests.

In the event the State finds it necessary to enforce this provision of this Grant Agreement in the manner provided by law, the Grantee agrees to pay all costs incurred by the State including, but not limited to, reasonable attorneys’ fees, legal expenses, and costs.

13) CONTINUING ELIGIBILITY. The Grantee shall meet the following ongoing requirement(s) and all eligibility criteria outlined in the 2019 Guidelines to remain eligible to receive State funds:

- A. An urban water supplier that receives grant funds pursuant to this Agreement shall maintain compliance with the Urban Water Management Planning Act (UWMP; Wat. Code, § 10610 et seq.) and Sustainable Water Use and Demand Reduction (Wat. Code, § 10608 et seq.) as set forth on page 11 of the 2019 Guidelines and as stated on page 22 of the Proposal Solicitation Package.
- B. An agricultural water supplier receiving grant funds shall comply with Sustainable Water Use and Demand Reduction requirements outlined in Water Code section 10608, et seq. and have their Agricultural Water Management Plan (AWMP) deemed consistent by DWR. To maintain eligibility and continue funding disbursements, an agricultural water supply shall have their 2015 AWMP identified on the State’s website. For more information, visit the website listed in Appendix A in the 2019 Guidelines.

- C. A surface water diverter receiving grant funds shall maintain compliance with diversion reporting requirements as outlined in Water Code section 5100 et. seq.
 - D. If applicable, the Grantee shall demonstrate compliance with the Sustainable Groundwater Management Act (SGMA) set forth on page 10 of the 2019 Guidelines.
 - E. If the Grantee has been designated as a monitoring entity under the California Statewide Groundwater Elevation Monitoring (CASGEM) Program, the Grantee shall maintain reporting compliance, as required by Water Code section 10932 and the CASGEM Program.
 - F. The Grantee shall adhere to the protocols developed pursuant to The Open and Transparent Water Data Act (Wat. Code, § 12406, et seq.) for data sharing, transparency, documentation, and quality control.
- 14) SUBMISSION OF REPORTS. The submittal and approval of all reports is a requirement for the successful completion of this Grant Agreement. Reports shall meet generally accepted professional standards for technical reporting and shall be proofread for content, numerical accuracy, spelling, and grammar prior to submittal to the State. All reports shall be submitted to the State's Project Manager and shall be submitted via the DWR "Grant Review and Tracking System" (GRanTS). If requested, the Grantee shall promptly provide any additional information deemed necessary by the State for the approval of reports. Reports shall be presented in the formats described in the applicable portion of Exhibit F, "Report Formats and Requirements." The timely submittal of reports is a requirement for initial and continued disbursement of State funds. Submittal and subsequent approval by the State of a Project Completion Report is a requirement for the release of any funds retained for such project.
- A. Quarterly Progress Reports: The Grantee shall submit quarterly Progress Reports to meet the State's requirement for disbursement of funds. Progress Reports shall be uploaded via GRanTS, and the State's Project Manager notified of upload. Progress Reports shall, in part, provide a brief description of the work performed, the Grantee's activities, milestones achieved, any accomplishments and any problems encountered in the performance of the work under this Grant Agreement during the reporting period. The first Progress Report must accompany an invoice (\$0 Invoices are acceptable) and shall be submitted within 60 days following the end of the calendar quarter (i.e. invoices due May 30, August 29, November 29, and March 1).
 - B. Accountability Report: The Grantee shall prepare and submit to the State an Accountability Report on a quarterly basis if the Grantee received an advanced payment, consistent with the provisions in Paragraph 9, "Advanced Payment."
 - C. Project Completion Report: The Grantee shall prepare and submit to the State a separate Project Completion Report for each project included in Exhibit A. The Grantee shall submit a Project Completion Report (or a Component Completion Report, if a Project has multiple Components) within ninety (90) calendar days of Project/Component completion as outlined in Exhibit F.
 - D. Grant Completion Report: Upon completion of all the Projects included in Exhibit A, the Grantee shall submit to the State a Grant Completion Report. The Grant Completion Report shall be submitted within ninety (90) calendar days of submitting the Completion Report for the final project to be completed under this Grant Agreement, as outlined in Exhibits A, and F. Retention for any grant administration line items in the Budget of this Grant Agreement will not be disbursed until the Grant Completion Report is approved by the State.
 - E. Post-Performance Reports: The Grantee shall prepare and submit to the State Post-Performance Reports for the applicable project(s). Post-Performance Reports shall be submitted to the State within ninety (90) calendar days after the first operational year of a project has elapsed. This record keeping and reporting process shall be repeated annually for a total of three (3) years after the project begins operation.

- 15) OPERATION AND MAINTENANCE OF PROJECT. For the useful life of construction and implementation projects and in consideration of the funding made by the State, the Grantee agrees to ensure or cause to be performed the commencement and continued operation of the project, and shall ensure or cause the project to be operated in an efficient and economical manner; shall ensure all repairs, renewals, and replacements necessary to the efficient operation of the same are provided; and shall ensure or cause the same to be maintained in as good and efficient condition as upon its construction, ordinary and reasonable wear and depreciation excepted. The State shall not be liable for any cost of such maintenance, management, or operation. The Grantee or their successors may, with the written approval of the State, transfer this responsibility to use, manage, and maintain the property. For purposes of this Grant Agreement, "useful life" means period during which an asset, property, or activity is expected to be usable for the purpose it was acquired or implemented; "operation costs" include direct costs incurred for material and labor needed for operations, utilities, insurance, and similar expenses, and "maintenance costs" include ordinary repairs and replacements of a recurring nature necessary for capital assets and basic structures and the expenditure of funds necessary to replace or reconstruct capital assets or basic structures. Refusal by the Grantee to ensure operation and maintenance of the projects in accordance with this provision may, at the option of the State, be considered a breach of this Grant Agreement and may be treated as default under Paragraph 12, "Default Provisions."
- 16) MONITORING PLAN REQUIREMENTS. A Monitoring Plan shall be submitted to the State prior to disbursement of State funds for construction or monitoring activities. The Monitoring Plan should incorporate Post-Performance Monitoring Report requirements as defined and listed in Exhibit F, and follow the guidance provided in Exhibit J, "Project Monitoring Plan Guidance."
- 17) STATEWIDE MONITORING REQUIREMENTS. The Grantee shall ensure that all groundwater projects and projects that include groundwater monitoring requirements are consistent with the Groundwater Quality Monitoring Act of 2001 (Water Code § 10780 et seq.) and, where applicable, that projects that affect water quality shall include a monitoring component that allows the integration of data into statewide monitoring efforts, including where applicable, the Surface Water Ambient Monitoring Program carried out by the State Water Resources Control Board. See Exhibit G for web links and information regarding other State monitoring and data reporting requirements.
- 18) NOTIFICATION OF STATE. The Grantee shall promptly notify the State, in writing, of the following items:
- A. Events or proposed changes that could affect the scope, budget, or work performed under this Grant Agreement. The Grantee agrees that no substantial change in the scope of a project will be undertaken until written notice of the proposed change has been provided to the State and the State has given written approval for such change. Substantial changes generally include changes to the scope of work, schedule or term, and budget.
 - B. Any public or media event publicizing the accomplishments and/or results of this Grant Agreement and provide the opportunity for attendance and participation by the State's representatives. The Grantee shall make such notification at least fourteen (14) calendar days prior to the event.
 - C. Discovery of any potential archaeological or historical resource. Should a potential archaeological or historical resource be discovered during construction, the Grantee agrees that all work in the area of the find shall cease until a qualified archaeologist has evaluated the situation and made recommendations regarding preservation of the resource, and the State has determined what actions should be taken to protect and preserve the resource. The Grantee agrees to implement appropriate actions as directed by the State.
 - D. The initiation of any litigation or the threat of litigation against the Grantee or an LPS regarding the Project or which may affect the Project in any way.
 - E. Applicable to construction projects only: Final inspection of the completed work on a project by a Registered Professional (Civil Engineer, Engineering Geologist, or other State approved certified/licensed Professional), in accordance with Exhibit D. The Grantee shall notify the State's

Project Manager of the inspection date at least fourteen (14) calendar days prior to the inspection in order to provide the State the opportunity to participate in the inspection.

- 19) NOTICES. Any notice, demand, request, consent, or approval that either party desires or is required to give to the other party under this Grant Agreement shall be in writing. Notices may be transmitted by any of the following means:
 - A. By delivery in person.
 - B. By certified U.S. mail, return receipt requested, postage prepaid.
 - C. By “overnight” delivery service; provided that next-business-day delivery is requested by the sender.
 - D. By electronic means.
 - E. Notices delivered in person will be deemed effective immediately on receipt (or refusal of delivery or receipt). Notices sent by certified mail will be deemed effective given ten (10) calendar days after the date deposited with the U.S. Postal Service. Notices sent by overnight delivery service will be deemed effective one business day after the date deposited with the delivery service. Notices sent electronically will be effective on the date of transmission, which is documented in writing. Notices shall be sent to the addresses listed below. Either party may, by written notice to the other, designate a different address that shall be substituted for the one below.
- 20) PERFORMANCE EVALUATION. Upon completion of this Grant Agreement, the Grantee’s performance will be evaluated by the State and a copy of the evaluation will be placed in the State file and a copy sent to the Grantee.
- 21) PROJECT REPRESENTATIVES. The Project Representatives during the term of this Grant Agreement are as follows:

Department of Water Resources

Arthur Hinojosa
 Chief, Division of Regional Assistance
 P.O. Box 942836
 Sacramento, CA 94236-0001
 Phone: (916) 653-4736
 Email: Arthur.Hinojosa@water.ca.gov

Contra Costa Water District

Stephen J. Welch
 General Manager
 P.O. Box H20
 Concord, CA 94524
 Phone: (925) 688-8000
 Email: swelch@ccwater.com

Direct all inquiries to the Project Manager:

Department of Water Resources

Desiree Ramirez
 Environmental Scientist
 P.O. Box 942836
 Sacramento, CA 94236
 Phone: (916) 653-0975
 Email: desiree.ramirez@water.ca.gov

Contra Costa Water District

Maggie Dutton
 Grants Specialist
 P.O. Box H20
 Concord, CA 94524
 Phone: (925) 688-8132
 Email: mdutton@ccwater.com

Either party may change its Project Representative or Project Manager upon written notice to the other party.

22) STANDARD PROVISIONS. This Grant Agreement is complete and is the final Agreement between the parties. The following Exhibits are attached and made a part of this Grant Agreement by this reference:

Exhibit A – Work Plan

Exhibit B – Budget

Exhibit C – Schedule

Exhibit D – Standard Conditions

Exhibit E – Authorizing Resolution

Exhibit F – Report Formats and Requirements

Exhibit G – Requirements for Data Submittal

Exhibit H – State Audit Document Requirements for the Grantee

Exhibit I – Local Project Sponsors and Project Locations

Exhibit J – Project Monitoring Plan Guidance

IN WITNESS WHEREOF, the parties hereto have executed this Grant Agreement.

STATE OF CALIFORNIA
DEPARTMENT OF WATER RESOURCES



Arthur Hinojosa
Chief, Division of Regional Assistance

Date 6/4/2021

CONTRA COSTA WATER DISTRICT



Stephen J. Welch
General Manager

Date 6/4/2021

EXHIBIT A
WORK PLAN

PROPOSITION 1 ROUND 1 EAST CONTRA COSTA COUNTY IRWM IMPLEMENTATION GRANT

Grant Administration

IMPLEMENTING AGENCY: Contra Costa Water District

DESCRIPTION: The Grantee will administer these funds and respond to DWR's reporting and compliance requirements associated with the grant administration. This office will act in a coordination role: disseminating grant compliance information to the project managers responsible for implementing the projects contained in this Agreement, obtaining and retaining evidence of compliance (e.g., CEQA/NEPA documents, reports, monitoring compliance documents, labor requirements, etc.), obtaining data for progress reports from individual project managers, assembling and submitting progress reports to the State, and coordinating all invoicing and payment of invoices.

Budget Category (a): Project Administration

Task 1: Agreement Administration

The Grantee will respond to DWR's reporting and compliance requirements associated with the grant administration and will coordinate with the project managers responsible for implementing the projects contained in this Agreement.

Task 2: Invoicing

The Grantee will be responsible for compiling invoices for submittal to DWR. This includes collecting invoice documentation from each of the Local Project Sponsors and compiling the information into a DWR Invoice Packet.

Deliverables:

- Quarterly Invoices and associated backup documentation

Task 3: Reporting

The Grantee will be responsible for compiling progress reports for submittal to DWR. The Grantee will coordinate with Local Project Sponsor staff to retain consultants as needed to prepare and submit progress reports and final project completion reports for each project, as well as the grant completion report.

Reports will meet generally accepted professional standards for technical reporting and the requirements terms of the contract with DWR outlined in Exhibit F of this Agreement.

Deliverables:

- Quarterly Progress Reports
- Grant Completion Report

PROJECT 1: West Antioch Creek Flood Conveyance Mitigation and Restoration**IMPLEMENTING AGENCY:** City of Antioch

PROJECT DESCRIPTION: This project will remove approximately 30,000 cubic yards of accumulated debris, vegetation, and sediment from the lower reaches of the West Antioch Creek, restoring it to its original design and conveyance capacity of 25-year level flood protection. The project will reduce annual flooding and associated damages to approximately 5.1 acres, significantly reducing the public health threat to an adjacent Disadvantaged Community caused by chronic flooding. Additionally, the project will provide water quality and habitat protection benefits by reducing flood-related debris and pollutant loading in the West Antioch Creek, which flows directly to New York Slough and the San Joaquin River.

Budget Category (a): Project AdministrationTask 1: Project Management

Manage Grant Agreement including compliance with grant requirements, and preparation and submission of supporting grant documents and coordination with the Grantee. Prepare invoices including relevant supporting documentation for submittal to DWR via the Grantee. This task also includes administrative responsibilities associated with the project such as coordinating with partnering agencies and managing consultants/contractors.

Deliverables:

- Invoices and associated backup documentation

Task 2: Reporting

Prepare progress reports detailing work completed during reporting period as outlined in Exhibit F of this Agreement. Submit reports to DWR.

Prepare Project Completion Report and submit to DWR no later than 90 days after project completion for DWR Project Manager's comment and review. The report shall be prepared and presented in accordance with guidance as outlined in Exhibit F.

Deliverables:

- Quarterly Project Progress Reports
- Final Project Completion Report
- Documentation (e.g., photo) of "Acknowledgment of Credit & Signage" per Standard Condition D.2

Budget Category (b): Land Purchase/EasementTask 3: Land Purchase – Not Applicable

No land purchase or easement acquisition is required for this project.

Budget Category (c): Planning/Design/Engineering/Environmental DocumentationTask 4: Feasibility Studies

A Project Feasibility Study was completed as part of the project development process. This task is outside of the grant funded project but included for technical review before construction.

Deliverables:

- Relevant Feasibility Study

Task 5: CEQA Documentation

A Notice of Determination for a Mitigated Negative Declaration was filed for this project with the Office of Planning and Research in August of 2015. Prepare letter stating no legal challenges (or addressing legal challenges).

Deliverables:

- All completed CEQA documents as required
- Legal Challenges Letter

Task 6: Permitting

Coordinate with State and Federal Agencies to ensure regulatory compliance. Obtain all necessary federal, state, and local permits. Permits may include a Clean Water Act 404 Permit from U.S. Army Corps of Engineers, a Biological Opinion – Section 7 from U.S. Fish and Wildlife Service, a Section 1600 - Streambed Alteration from California Department of Fish and Wildlife, and a 401 Water Quality Certification from the Regional Water Quality Control Board. Additional permits may be required and will be obtained as necessary.

Deliverables:

- Copy of all required permits

Task 7: Design

Complete 100% (Final) design plans and specifications. This task is funded outside of the grant but included for technical review.

Deliverables:

- 100% Design Plans and Specifications

Task 8: Project Monitoring Plan

Develop and submit a Project Monitoring Plan per Paragraph 16 for DWR's review and approval.

Deliverables:

- Project Monitoring Plan

Budget Category (d): Construction/Implementation

Task 9: Contract Services

This task must comply with the Standard Condition D.11 – Competitive Bidding and Procurements. Activities necessary (as applicable) to secure a contractor and award the contract, including: develop bid documents, prepare advertisement and contract documents for construction contract bidding, conduct pre-bid meeting, bid opening and evaluation, selection of the contractor, award of contract, and issuance of notice to proceed.

Deliverables:

- Bid Documents
- Proof of Advertisement
- Award of Contract
- Notice to Proceed

Task 10: Construction Administration

This task includes managing contractor submittal review, answering requests for information, and issuing work directives. A full-time engineering construction observer will be on site for the duration of the project. Construction observer duties include documenting of pre-construction conditions, daily construction diary, preparing change orders, addressing questions of contractors on site, reviewing/updating project schedule, reviewing contractor log submittals and pay requests, forecasting cash flow, notifying contractor if work is not acceptable. Upon completing the project, the DWR Certificate of Project Completion and record drawings will be provided to DWR.

Deliverables:

- DWR Certificate of Project Completion
- Notice of Completion
- Record Drawings

Task 11: Construction

Construction activities are outlined below. All work will be performed under the supervision of a qualified Biologist.

11(a): Mobilization and Demobilization: includes set up of construction staging area and site security.

11(b): Site preparation will include designating material storage areas; setting up traffic control; and other applicable preliminary activities.

11(c): Dredging of 3,000 linear feet of the West Antioch Creek using specialized equipment with biodegradable oil and fuel to prevent possible contamination. Includes removal of invasive cattail species. Scope will not include dewatering or grading plan and will consist only of dredging.

11(d): Onsite restoration and mitigation. Perform grading and install hydroseeding and plants to create habitat for wildlife. Specific restoration activities will be determined once permitting activities are completed.

Deliverables:

- Photographic Documentation of Progress

PROJECT 2: Bethel Island Multi-Benefit and Integrated Stormwater Project**IMPLEMENTING AGENCY:** Bethel Island Municipal Improvement District (BIMID)**PROJECT DESCRIPTION:** This project will prepare technical studies, as a part of a Feasibility Study effort, that will serve as a Decision Support Tool to assist BIMID in project alternative selection, project planning, and project development. Of the potential future alternatives identified using the Decision Support Tool, the alternative would provide up to 70-acre feet per year (AFY) of stormwater reduction benefits and increase flood protection to the DAC of Bethel Island.**Budget Category (a): Project Administration**Task 1: Project Management

Manage Grant Agreement including compliance with grant requirements, and preparation and submission of supporting grant documents and coordination with the Grantee. Prepare invoices including relevant supporting documentation for submittal to DWR via the Grantee. This task also includes administrative responsibilities associated with the project such as coordinating with partnering agencies and managing consultants/contractors.

Deliverables:

- Invoices and associated backup documentation

Task 2: Reporting

Prepare progress reports detailing work completed during reporting period as outlined in Exhibit F of this Agreement. Submit reports to DWR.

Prepare Project Completion Report and submit to DWR no later than 90 days after project completion for DWR Project Manager's comment and review. The report shall be prepared and presented in accordance with guidance as outlined in Exhibit F.

Deliverables:

- Quarterly Project Progress Reports
- Project Completion Report
- Documentation (e.g., photo) of "Acknowledgment of Credit & Signage" per Standard Condition D.2

Budget Category (b): Land Purchase/EasementTask 3: Land Purchase – Not Applicable

No land purchase or easement acquisition is required for this project.

Budget Category (c): Planning/Design/Engineering/Environmental DocumentationTask 4: Technical Studies

Several technical studies will be completed as part of the project development process, which may include hydrology and hydraulics, drainage, and a biological assessment. The technical studies will be components of a Feasibility Study that will serve as a Decision Support Tool by evaluating the island's overall stormwater management system and will evaluate potential project alternatives such as, but not limited to, pump station rehabilitation or replacement, drainage network/ditch improvement, and creation of stormwater detention basin.

Deliverables:

- Feasibility Study

Task 5: CEQA Documentation

Complete environmental review pursuant to CEQA. Prepare all necessary environmental documentation. Prepare letter stating no legal challenges (or addressing legal challenges).

Deliverables:

- All completed CEQA documents as required
- Legal Challenges Letter

Task 6: Permitting

Obtain all necessary federal, state, and local permits. Permits may include a Waste Discharge Requirement from the Regional Water Quality Control Board, Delta Stewardship Council, and County Roadway permits, if needed. Additional permits may be required and will be obtained as necessary.

Deliverables:

- Permits as required

Task 7: Design

Complete preliminary design drawings supported by the project alternative selected from the work under Task 4. This task will include development of design drawings of the preferred alternative, which may include conveyance elements, construction specifications, drainage and hydraulic calculations, or earthwork requirements. Geotechnical investigations may also be conducted if needed to support the project alternative selected with the feasibility study.

Deliverables:

- Geotechnical Investigation, if needed
- 100% Design Plans and Specifications

Budget Category (d): Construction/Implementation**Task 9: Contract Services – Not Applicable**

No contract services are required for this project.

Task 10: Construction – Not Applicable

No construction tasks are required for this project.

PROJECT 3: Advanced Metering and Leak Detection**IMPLEMENTING AGENCY:** Diablo Water District (DWD)

PROJECT DESCRIPTION: This project will install approximately 2,500 advanced water meters to complete advanced metering of individual residences and businesses in the Diablo Water District service area, procure and deploy a web-based consumer engagement portal, linked to individual meters, and procure and install transmission system leak detectors. The project will reduce customer water demand by approximately 225 AFY and will allow DWD to identify transmission leaks immediately, which is anticipated to prevent approximately 50 AFY in treated water losses.

Budget Category (a): Project AdministrationTask 1: Project Management

Manage Grant Agreement including compliance with grant requirements, and preparation and submission of supporting grant documents and coordination with the Grantee. Prepare invoices including relevant supporting documentation for submittal to DWR via the Grantee. This task also includes administrative responsibilities associated with the project such as coordinating with partnering agencies and managing consultants/contractors.

Deliverables:

- Invoices and associated backup documentation

Task 2: Reporting

Prepare progress reports detailing work completed during reporting period as outlined in Exhibit F of this Agreement. Submit reports to DWR.

Prepare Project Completion Report and submit to DWR no later than 90 days after project completion for DWR Project Manager's comment and review. The report shall be prepared and presented in accordance with guidance as outlined in Exhibit F.

Deliverables:

- Quarterly Project Progress Reports
- Project Completion Report
- Documentation (e.g., photo) of "Acknowledgment of Credit & Signage" per Standard Condition D.2

Budget Category (b): Land Purchase/EasementTask 3: Land Purchase

No land purchase or easement acquisition is required for this project.

Deliverables:

N/A

Budget Category (c): Planning/Design/Engineering/Environmental DocumentationTask 4: Feasibility Studies

Project Feasibility Studies were completed as part of the project development process.

Deliverables:

- Relevant Feasibility Studies

Task 5: CEQA Documentation

The Lead Agency has determined this project is not a project as defined by CEQA.

Deliverables:

N/A

Task 6: Permitting

No permits are required for this project. All work will be done on existing DWD water lines or replacing existing water meters.

Deliverables:

- N/A

Task 7: Design

Prepare preliminary plan for leak detection sensors and design of leak sensor deployment. Select a vendor to provide final design.

Deliverables:

- Final design documents

Task 8: Project Monitoring Plan

Develop and submit a Project Monitoring Plan per Paragraph 16 for DWR's review and approval.

Deliverables:

- Project Monitoring Plan

Budget Category (d): Construction/Implementation

Task 9: Contract Services

This task must comply with the Standard Condition D.11 – Competitive Bidding and Procurements. Activities necessary (as applicable) to secure a contractor and award the contract, including: develop bid documents, prepare advertisement and contract documents for construction contract bidding, conduct pre-bid meeting, bid opening and evaluation, selection of the contractor, award of contract, and issuance of notice to proceed.

All installation will be performed by Diablo Water District staff. A Request for Proposal (RFP) will be issued for integration services to tie together data from demand side meters (customers) and supply side meters (Diablo Water District).

Deliverables:

- Proof of Advertisement
- Award of Contract
- Notice to Proceed
- RFP Documents

Task 10: Construction Administration

This task includes managing contractor submittal review, answering requests for information, and issuing work directives. Integration Services performed under Task 9, will comply with DWD's purchasing policy for services. All work (excluding integration services) will be performed by DWD staff and will utilize management staff to perform inspections and audit records of installations.

Upon completing the project, the DWR Certificate of Project Completion and record drawings will be provided to DWR.

Deliverables:

- Screenshot of customer-facing page
- Screenshot of district-facing water balance
- DWR Certificate of Project Completion

Task 11: Construction and Implementation

Construction activities are outlined below.

11(a): Mobilization and Demobilization of all necessary equipment which includes set up of construction staging area and site security.

11(b): Removal of approximately 2,500 existing water meters and replace with advanced water meters; install up to 10 supply-side leak detectors on pipelines.

11 (c): Installation of Web-Based Software- This task includes the deployment of a consumer engagement portal for real-time viewing and alerts of water use by customers. The other component of this task is to develop the real-time water system supply and demand water balance. The consumer engagement portal will be added via the DWD financial and billing software and will require minimal code and interface work. A real-time water system balance will be created after the development of a database that includes customer meter data and all supply side production meters. After the database is complete a reports and dashboards interface will be designed.

Deliverables:

- Photographic Documentation of Progress
- Map of leak sensor locations
- Screenshot of customer-facing page
- Screenshot of district-facing water balance

PROJECT 4: Upper Reach of Three Creeks Parkway Restoration Project**IMPLEMENTING AGENCY:** Contra Costa County Flood Control and Water Conservation District

PROJECT DESCRIPTION: This project will widen approximately 1,600 linear feet of Marsh Creek in Brentwood from Dainty Avenue to its confluence with Deer Creek, part of an important ecological corridor linking Mount Diablo to the Delta shoreline. Approximately, 450 acres of developed land will be protected against a 100-year flood event. The project will reduce flood risks by excavating approximately 9,000 cubic yards (CY) of soil from the channel banks and creating a wider floodplain area. This widening will help achieve the following near-term outcomes: attenuate flood peaks and provide flood protection for a 100-year flood event and provide additional channel cross-sectional area to allow for subsequent restoration of over 3 acres of floodplain with native vegetation. Native revegetation is funded and permitted as a separate project but relies on this widening of the Upper Reach to reestablish native vegetation and functional floodplains along Marsh Creek.

Budget Category (a): Project AdministrationTask 1: Project Management

Manage Grant Agreement including compliance with grant requirements, and preparation and submission of supporting grant documents and coordination with the Grantee. Prepare invoices including relevant supporting documentation for submittal to DWR via the Grantee. This task also includes administrative responsibilities associated with the project such as coordinating with partnering agencies and managing consultants/contractors.

Deliverables:

- Invoices and associated backup documentation

Task 2: Reporting

Prepare progress reports detailing work completed during reporting period as outlined in Exhibit F of this Agreement. Submit reports to DWR.

Prepare Project Completion Report and submit to DWR no later than 90 days after project completion for DWR Project Manager's comment and review. The report shall be prepared and presented in accordance with guidance as outlined in Exhibit F.

Deliverables:

- Quarterly Project Progress Reports
- Project Completion Report
- Documentation (e.g., photo) of "Acknowledgment of Credit & Signage" per Standard Condition D.2

Budget Category (b): Land Purchase/EasementTask 3: Land Purchase – Not Applicable

No land purchase or easement acquisition is required for this project.

Budget Category (c): Planning/Design/Engineering/Environmental DocumentationTask 4: Feasibility Studies

Project Feasibility Studies were completed as part of the project development process. A Restoration Master Plan for March Creek was completed in 2002. A comprehensive watershed conditions assessment was completed in 2007.

Deliverables:

- Relevant Feasibility Studies

Task 5: CEQA Documentation

A Notice of Determination was filed with the Office of Planning and Research for a Mitigated Negative Declaration in April of 2018. Prepare letter stating no legal challenges (or addressing legal challenges).

Deliverables:

- All completed CEQA documents as required
- Legal Challenges Letter

Task 6: Permitting

Obtain all necessary federal, state, and local permits. Permits already obtained include:

- Regional Water Quality Control Board 401 Certification
- California Department of Fish and Wildlife 1600 Lake and Streambed Alteration Agreement
- Habitat Conservancy project Survey Report (PSR)
- US Army Corps of Engineers 404 Permit

Additional permits may be required and will be obtained as necessary.

Deliverables:

- Permits as required

Task 7: Design

Final project design was completed and includes the following work: geotechnical investigation, topographic survey, and hydraulics modeling. Final plans, specifications, and engineer's cost estimates were also completed.

Deliverables:

- Geotechnical Report
- Topographic Survey
- Hydraulic Report
- 100% Design Plans and Specifications

Task 8: Project Monitoring Plan

Develop and submit a Project Monitoring Plan per Paragraph 16 for DWR's review and approval.

Deliverables:

- Project Monitoring Plan

Budget Category (d): Construction/Implementation**Task 9: Contract Services**

This task must comply with the Standard Condition D.11 – Competitive Bidding and Procurements. Activities necessary (as applicable) to secure a contractor and award the contract, including: develop bid documents, prepare advertisement and contract documents for construction contract bidding, conduct pre-bid meeting, bid opening and evaluation, selection of the contractor, award of contract, and issuance of notice to proceed.

Deliverables:

- Bid Documents
- Proof of Advertisement
- Award of Contract
- Notice to Proceed

Task 10: Construction Administration

This task includes managing contractor submittal review, answering requests for information, and issuing work directives. A full-time engineering construction observer will be on site for the duration of the project. Construction observer duties include documenting of pre-construction conditions, daily construction diary, preparing change orders, addressing questions of contractors on site, reviewing/ updating project schedule, reviewing contractor log submittals and pay requests, forecasting cash flow, notifying contractor if work is not acceptable. Upon completing the project, the DWR Certificate of Project Completion and record drawings will be provided to DWR.

Deliverables:

- DWR Certificate of Project Completion
- Record Drawings

Task 11: Construction

Construction activities are outlined below.

11(a): Mobilization and Demobilization of all necessary equipment; set up construction staging area and site security, place temporary sanitary facilities.

11(b): Site preparation will include preparation of plans for implementing best management practices (BMPs) for pollution prevention and sediment management; designating material storage areas; setting up traffic control; clearing and grubbing; and other applicable preliminary activities.

11(c): Excavate an estimated 8,928 CY and 293 CY rock from channel banks; remove unsuitable material and install approximately 1,376 feet riprap trench. Install rootwads and boulders per site plans; install irrigation system and signage for recycled water irrigation; install erosion protection/hydro-seeding; install approximately 365 CY rock slope protection; install approximately 140 feet retaining wall; and install approximately 281 feet cable railing and approximately 355 feet guardrail system.

11(d): Conduct cultural monitoring, biological monitoring, and labor compliance assessment.

Deliverables:

- Photographic Documentation of Progress

PROJECT 5: Citywide Non-Potable Water Distribution System**IMPLEMENTING AGENCY:** City of Brentwood

PROJECT DESCRIPTION: This project will expand beneficial use of recycled water in the City of Brentwood (City) by converting approximately twenty-two City-owned irrigated areas from potable to non-potable water use. The project will connect laterals and meters to two new recycled-water mains, replacing potable-water irrigation infrastructure at approximately 22 sites. The project will replace existing annual usage of approximately 63 AFY of treated, potable water with already-available recycled water, reducing dependence on treated Delta water. It will also reduce the amount of surplus recycled water currently being discharged into Marsh Creek, significantly reducing the amount of chloride flowing into the Delta via Marsh Creek, at approximately 50,400 pounds per year.

Budget Category (a): Project AdministrationTask 1: Project Management

Manage Grant Agreement including compliance with grant requirements, and preparation and submission of supporting grant documents and coordination with the Grantee. Prepare invoices including relevant supporting documentation for submittal to DWR via the Grantee. This task also includes administrative responsibilities associated with the project such as coordinating with partnering agencies and managing consultants/contractors.

Deliverables:

- Invoices and associated backup documentation

Task 2: Reporting

Prepare progress reports detailing work completed during reporting period as outlined in Exhibit F of this Agreement. Submit reports to DWR.

Prepare Project Completion Report and submit to DWR no later than 90 days after project completion for DWR Project Manager's comment and review. The report shall be prepared and presented in accordance with guidance as outlined in Exhibit F.

Deliverables:

- Quarterly Project Progress Reports
- Project Completion Report
- Documentation (e.g., photo) of "Acknowledgment of Credit & Signage" per Standard Condition D.2

Budget Category (b): Land Purchase/EasementTask 3: Land Purchase – Not Applicable

No land purchase or easement acquisition is required for this project.

Budget Category (c): Planning/Design/Engineering/Environmental DocumentationTask 4: Feasibility Studies

Project Feasibility Studies were completed as part of the project development process. The City of Brentwood completed a Recycled Water Feasibility Study in 2013.

Deliverables:

- Relevant Feasibility Studies

Task 5: CEQA Documentation

A Notice of Determination was filed for a Mitigated Negative Declaration with the Office of Planning and Research in June of 2017. Prepare letter stating no legal challenges (or addressing legal challenges).

Deliverables:

- All completed CEQA documents as required
- Legal Challenges Letter

Task 6: Permitting

No permits are required for this project.

Deliverables:

- N/A

Task 7: Design

Complete preliminary design including 100% (Final) design plans and specifications.

Deliverables:

- 100% Design Plans and Specifications

Task 8: Project Monitoring Plan

Develop and submit a Project Monitoring Plan per Paragraph 16 for DWR's review and approval.

Deliverables:

- Project Monitoring Plan

Budget Category (d): Construction/Implementation

Task 9: Contract Services

This task must comply with the Standard Condition D.11 – Competitive Bidding and Procurements. Activities necessary (as applicable) to secure a contractor and award the contract.

A portion of the work to be funded under this agreement (approximately four of the twenty-two planned non-potable lateral replacements) is included as an optional "bid alternative" in the contract and bid documents for a larger contract. A change order will be issued to perform this portion of the work. The remainder of the lateral replacements will be included in a future bid package.

Deliverables:

- Bid Documents
- Proof of Advertisement
- Award of Contract
- Notice to Proceed
- Change Order including Bid Alternative

Task 10: Construction Administration

This task includes managing contractor submittal review, answering requests for information, and issuing work directives. A full-time engineering construction observer will be on site for the duration of the project. Construction observer duties include documenting of pre-construction conditions, daily construction diary,

preparing change orders, addressing questions of contractors on site, reviewing/ updating project schedule, reviewing contractor log submittals and pay requests, forecasting cash flow, notifying contractor if work is not acceptable. Upon completing the project, the DWR Certificate of Project Completion and record drawings will be provided to DWR.

Deliverables:

- DWR Certificate of Project Completion
- Record Drawings

Task 11: Construction

Construction activities are outlined below.

11(a): Mobilization and Demobilization of all necessary equipment; set up construction staging area and site security if additional is needed for the lateral/irrigation work.

11(b): Site preparation will include implementing best management practices, designating material storage areas; setting up traffic control; and other applicable preliminary activities.

11(c): Install approximately twenty-two new non-potable water laterals and valves from new non-potable water main to the existing irrigation meter. Conduct pipe testing and flushing.

11(d): Disconnect existing potable water connections to irrigation meter, connect new non-potable water connections.

11(e): Restore surface and install new non-potable signage in irrigation area.

Deliverables:

- Photographic Documentation of Progress

EXHIBIT B
BUDGET**PROPOSITION 1 ROUND 1 EAST CONTRA COSTA COUNTY IRWM IMPLEMENTATION GRANT****AGREEMENT BUDGET SUMMARY**

	Grant Amount	Required Cost Share: Non-State Fund Source	Other Cost Share	Total Cost	Percent Cost Share
Grant Administration	\$80,000	N/A	\$0	\$80,000	N/A
PROJECTS					
1 West Antioch Creek Flood Conveyance Mitigation and Restoration	\$700,000	\$0	\$263,000	\$963,000	0%
2 Bethel Island Multi-Benefit and Integrated Stormwater Project	\$320,000	\$0	\$0	\$320,000	0%
3 Advanced Metering and Leak Detection	\$500,000	\$934,000	\$0	\$1,434,000	65%
4 Upper Reach of Three Creeks Parkway Restoration Project	\$850,000	\$724,254	\$403,183	\$1,977,437	36%
5 Citywide Non-Potable Water Distribution System	\$250,000	\$347,000	\$0	\$597,000	58%
GRAND TOTAL	\$2,700,000	\$2,005,254	\$666,183	\$5,371,437	-

Grant Administration

Implementing Agency: Contra Costa Water District

BUDGET CATEGORY	Grant Amount	Required Cost Share: Non-State Fund Source	Other Cost Share	Total Cost
(a) Project Administration	\$80,000	N/A	\$0	\$80,000
TOTAL COSTS	\$80,000	N/A	\$0	\$80,000

PROJECT 1: West Antioch Creek Flood Conveyance Mitigation and Restoration

Implementing Agency: City of Antioch

Project directly serves a need of a Disadvantaged Community: Yes

BUDGET CATEGORY	Grant Amount	Required Cost Share: Non-State Fund Source*	Other Cost Share**	Total Cost
(a) Project Administration	\$20,000	\$0	\$0	\$20,000
(b) Land Purchase / Easement	N/A	N/A	N/A	N/A
(c) Planning / Design / Engineering / Environmental Documentation	\$150,000	\$0	\$3,000	\$153,000
(d) Construction / Implementation	\$530,000	\$0	\$260,000	\$790,000
TOTAL COSTS	\$700,000	\$0	\$263,000	\$963,000

NOTES:

Eligible costs for each Budget Category will only be approved for reimbursement and Cost Share for the work completed within the date ranges listed in Exhibit C.

*The project received a 100% DAC/EDA cost share waiver.

** City of Antioch National Pollution Discharge Elimination System Fund and Public Works Operating Budget will be contributing \$263,000 as Other Cost Share Funding for this project.

PROJECT 2: Bethel Island Multi-Benefit and Integrated Stormwater Project

Implementing Agency: Bethel Island Municipal Improvement District

Project directly serves a need of a Disadvantaged Community: Yes

BUDGET CATEGORY	Grant Amount	Required Cost Share: Non-State Fund Source*	Other Cost Share	Total Cost
(a) Project Administration	\$30,000	\$0	\$0	\$30,000
(b) Land Purchase / Easement	N/A	N/A	N/A	N/A
(c) Planning / Design / Engineering / Environmental Documentation	\$290,000	\$0	\$0	\$290,000
(d) Construction / Implementation	N/A	N/A	N/A	N/A
TOTAL COSTS	\$320,000	\$0	\$0	\$320,000

NOTES:

Eligible costs for each Budget Category will only be approved for reimbursement and Cost Share for the work completed within the date ranges listed in Exhibit C.

*The project received a 100% DAC/EDA cost share waiver.

PROJECT 3: Advanced Metering and Leak Detection

Implementing Agency: Diablo Water District

Project directly serves a need of a Disadvantaged Community: No

BUDGET CATEGORY	Grant Amount	Required Cost Share: Non-State Fund Source*	Other Cost Share	Total Cost
(a) Project Administration	\$0	\$5,000	\$0	\$5,000
(b) Land Purchase / Easement	N/A	N/A	N/A	N/A
(c) Planning / Design / Engineering / Environmental Documentation	\$0	\$5,000	\$0	\$5,000
(d) Construction / Implementation	\$500,000	\$924,000	\$0	\$1,424,000
TOTAL COSTS	\$500,000	\$934,000	\$0	\$1,434,000

NOTES:

Eligible costs for each Budget Category will only be approved for reimbursement and Cost Share for the work completed within the date ranges listed in Exhibit C.

*Diablo Water District customer rate funds will be contributing \$934,000 as Required Cost Share Funding for this project.

PROJECT 4: Upper Reach of Three Creeks Parkway Restoration Project

Implementing Agency: Contra Costa County Flood Control and Water Conservation District

Project directly serves a need of a Disadvantaged Community: No

BUDGET CATEGORY	Grant Amount	Required Cost Share: Non-State Fund Source*	Other Cost Share**	Total Cost
(a) Project Administration	\$0	\$42,606	\$0	\$42,606
(b) Land Purchase / Easement	N/A	N/A	N/A	N/A
(c) Planning / Design / Engineering / Environmental Documentation	\$0	\$606,829	\$0	\$606,829
(d) Construction / Implementation	\$850,000	\$74,819	\$403,183	\$1,328,002
TOTAL COSTS	\$850,000	\$724,254	\$403,183	\$1,977,437

NOTES: Eligible costs for each Budget Category will only be approved for reimbursement and Cost Share for the work completed within the date ranges listed in Exhibit C.

*Drainage Area 130 fund, a local Flood Control District fund, will be contributing \$724,254 as Required Cost Share Funding for this project.

**Grants provided by U.S. Environmental Protection Agency, Delta Conservancy, Coastal Conservancy, California Natural Resource Agency, and Pulte Home Corporation will be contributing \$403,183 as Other Cost Share Funding for this project.

PROJECT 5: Citywide Non-Potable Water Distribution System

Implementing Agency: City of Brentwood

Project directly serves a need of a Disadvantaged Community: No

BUDGET CATEGORY	Grant Amount	Required Cost Share: Non-State Fund Source*	Other Cost Share	Total Cost
(a) Project Administration	\$0	\$5,000	\$0	\$5,000
(b) Land Purchase / Easement	N/A	N/A	N/A	N/A
(c) Planning / Design / Engineering / Environmental Documentation	\$0	\$66,000	\$0	\$66,000
(d) Construction / Implementation	\$250,000	\$276,000	\$0	\$526,000
TOTAL COSTS	\$250,000	\$347,000	\$0	\$597,000

NOTES: Eligible costs for each Budget Category will only be approved for reimbursement and Cost Share for the work completed within the date ranges listed in Exhibit C.

*Wastewater Development Impact Fee, Wastewater Enterprise and Parks and Landscape and Lighting Assessment Districts Replacement Funds, as applicable, will be contributing \$347,000 as Required Cost Share Funding for this project.

**EXHIBIT C
SCHEDULE****PROPOSITION 1 ROUND 1 EAST CONTRA COSTA COUNTY IRWM IMPLEMENTATION GRANT****Grant Administration**

BUDGET CATEGORY	Start Date	End Date
a Project Administration	7/1/2020	6/30/2024

PROJECT 1: West Antioch Creek Flood Conveyance Mitigation and Restoration

BUDGET CATEGORY	Start Date	End Date
a Project Administration	4/1/2020	1/31/2023
b Land Purchase / Easement	N/A	N/A
c Planning / Design / Engineering / Environmental Documentation	5/1/2019	9/30/2021*
d Construction / Implementation	7/1/2019*	10/31/2022

*There is an overlap of schedules between Category (c) and (d) to allow for special permitting for extensive dredging.

PROJECT 2: Bethel Island Multi-Benefit and Integrated Stormwater Project

BUDGET CATEGORY	Start Date	End Date
a Project Administration	7/1/2020	3/31/2024
b Land Purchase / Easement	N/A	N/A
c Planning / Design / Engineering / Environmental Documentation	1/1/2021	12/31/2023
d Construction / Implementation	N/A	N/A*

*This project is a Decision Support Tool.

PROJECT 3: Advanced Metering and Leak Detection

BUDGET CATEGORY	Start Date	End Date
a Project Administration	9/1/2019	6/30/2021
b Land Purchase / Easement	N/A	N/A
c Planning / Design / Engineering / Environmental Documentation	9/1/2019	6/30/2020
d Construction / Implementation	7/1/2020	3/31/2021

PROJECT 4: Upper Reach of Three Creeks Parkway Restoration Project

BUDGET CATEGORY	Start Date	End Date
a Project Administration	4/15/2020	5/31/2021
b Land Purchase / Easement	N/A	N/A
c Planning / Design / Engineering / Environmental Documentation	1/1/2015	10/31/2019*
d Construction / Implementation	10/1/2019*	12/31/2020

*There is an overlap of schedules between Category (c) and (d) to allow for contract administration and mobilization.

PROJECT 5: Citywide Non-Potable Water Distribution System

BUDGET CATEGORY	Start Date	End Date
a Project Administration	4/1/2019	3/30/2024
b Land Purchase / Easement	N/A	N/A
c Planning / Design / Engineering / Environmental Documentation	4/1/2019	1/31/2020
d Construction / Implementation	10/1/2020	12/31/2023

EXHIBIT D**STANDARD CONDITIONS**

- D.1. **ACCOUNTING AND DEPOSIT OF FUNDING DISBURSEMENT:**
- A. **Separate Accounting of Funding Disbursements:** Grantee shall account for the money disbursed pursuant to this Grant agreement separately from all other Grantee funds. Grantee shall maintain audit and accounting procedures that are in accordance with generally accepted accounting principles and practices, consistently applied. Grantee shall keep complete and accurate records of all receipts and disbursements on expenditures of such funds. Grantee shall require its contractors or subcontractors to maintain books, records, and other documents pertinent to their work in accordance with generally accepted accounting principles and practices. Records are subject to inspection by State at any and all reasonable times.
 - B. **Disposition of Money Disbursed:** All money disbursed pursuant to this Grant agreement shall be deposited in a non-interest bearing account, administered, and accounted for pursuant to the provisions of applicable law.
 - C. **Remittance of Unexpended Funds:** Grantee shall remit to State any unexpended funds that were disbursed to Grantee under this Grant agreement and were not used to pay Eligible Project Costs within a period of sixty (60) calendar days from the final disbursement from State to Grantee of funds or, within thirty (30) calendar days of the expiration of the Grant agreement, whichever comes first.
- D.2. **ACKNOWLEDGEMENT OF CREDIT AND SIGNAGE:** Grantee shall include appropriate acknowledgement of credit to the State for its support when promoting the Project or using any data and/or information developed under this Grant agreement. Signage shall be posted in a prominent location at Project site(s) (if applicable) or at the Grantee's headquarters and shall include the Department of Water Resources color logo and the following disclosure statement: "Funding for this project has been provided in full or in part from the Water Quality, Supply, and Infrastructure Improvement Act of 2014 and through an agreement with the State Department of Water Resources." The Grantee shall also include in each of its contracts for work under this Agreement a provision that incorporates the requirements stated within this Paragraph.
- D.3. **AMENDMENT:** This Grant agreement may be amended at any time by mutual agreement of the Parties, except insofar as any proposed amendments are in any way contrary to applicable law. Requests by the Grantee for amendments must be in writing stating the amendment request and the reason for the request. Requests solely for a time extension must be submitted at least 90 days prior to the work completion date set forth in Paragraph 2. Any other request for an amendment must be submitted at least 180 days prior to the work completion date set forth in Paragraph 2. State shall have no obligation to agree to an amendment.
- D.4. **AMERICANS WITH DISABILITIES ACT:** By signing this Grant agreement, Grantee assures State that it complies with the Americans with Disabilities Act (ADA) of 1990, (42 U.S.C. § 12101 et seq.), which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA.
- D.5. **AUDITS:** State reserves the right to conduct an audit at any time between the execution of this Grant agreement and the completion of the Project, with the costs of such audit borne by State. After completion of the Project, State may require Grantee to conduct a final audit to State's specifications, at Grantee's expense, such audit to be conducted by and a report prepared by an independent Certified Public Accountant. Failure or refusal by Grantee to comply with this provision shall be considered a breach of this Grant agreement, and State may elect to pursue any remedies provided in Paragraph 12 or take any other action it deems necessary to protect its interests. The Grantee agrees it shall return any audit disallowances to the State.

Pursuant to Government Code section 8546.7, the Grantee shall be subject to the examination and audit by the State for a period of three (3) years after final payment under this Grant agreement with respect of all matters connected with this Grant agreement, including but not limited to, the cost of administering this Grant agreement. All records of Grantee or its contractor or subcontractors shall be preserved for this purpose for at least three (3) years after receipt of the final disbursement under this Agreement. If an audit reveals any impropriety, the Bureau of State Audits or the State Controller's Office may conduct a full audit of any or all of the Grantee's activities. (Water Code, § 79708, subd. (b).)

- D.6. BUDGET CONTINGENCY: If the Budget Act of the current year covered under this Grant agreement does not appropriate sufficient funds for this program, this Grant agreement shall be of no force and effect. This provision shall be construed as a condition precedent to the obligation of State to make any payments under this Grant agreement. In this event, State shall have no liability to pay any funds whatsoever to Grantee or to furnish any other considerations under this Grant agreement and Grantee shall not be obligated to perform any provisions of this Grant agreement. Nothing in this Grant agreement shall be construed to provide Grantee with a right of priority for payment over any other Grantee. If funding for any fiscal year after the current year covered by this Grant agreement is reduced or deleted by the Budget Act, by Executive Order, or by order of the Department of Finance, the State shall have the option to either cancel this Grant agreement with no liability occurring to State, or offer a Grant agreement amendment to Grantee to reflect the reduced amount.
- D.7. CALIFORNIA CONSERVATION CORPS: Grantee may use the services of the California Conservation Corps or other community conservation corps as defined in Public Resources Code section 14507.5.
- D.8. CEQA: Activities funded under this Grant agreement, regardless of funding source, must be in compliance with the California Environmental Quality Act (CEQA). (Pub. Resources Code, § 21000 et seq.) Any work that is subject to CEQA and funded under this Agreement shall not proceed until documents that satisfy the CEQA process are received by the State's Project Manager and the State has completed its CEQA compliance. Work funded under this Agreement that is subject to a CEQA document shall not proceed until and unless approved by the Department of Water Resources. Such approval is fully discretionary and shall constitute a condition precedent to any work for which it is required. If CEQA compliance by the Grantee is not complete at the time the State signs this Agreement, once State has considered the environmental documents, it may decide to require changes, alterations, or other mitigation to the Project; or to not fund the Project. Should the State decide to not fund the Project, this Agreement shall be terminated in accordance with Paragraph 12, "Default Provisions."
- D.9. CHILD SUPPORT COMPLIANCE ACT: The Grantee acknowledges in accordance with Public Contract Code section 7110, that:
- A. The Grantee recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Family Code section 5200 et seq.; and
 - B. The Grantee, to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the California Employment Development Department.
- D.10. CLAIMS DISPUTE: Any claim that the Grantee may have regarding performance of this Agreement including, but not limited to, claims for additional compensation or extension of time, shall be submitted to the DWR Project Representative, within thirty (30) days of the Grantee's knowledge of the claim. State and Grantee shall then attempt to negotiate a resolution of such claim and process an amendment to this Agreement to implement the terms of any such resolution.

- D.11. **COMPETITIVE BIDDING AND PROCUREMENTS:** Grantee's contracts with other entities for the acquisition of goods and services and construction of public works with funds provided by State under this Grant agreement must be in writing and shall comply with all applicable laws and regulations regarding the securing of competitive bids and undertaking competitive negotiations. If the Grantee does not have a written policy to award contracts through a competitive bidding or sole source process, the Department of General Services' *State Contracting Manual* rules must be followed and are available at: <https://www.dgs.ca.gov/OLS/Resources/Page-Content/Office-of-Legal-Services-Resources-List-Folder/State-Contracting>.
- D.12. **COMPUTER SOFTWARE:** Grantee certifies that it has appropriate systems and controls in place to ensure that state funds will not be used in the performance of this Grant agreement for the acquisition, operation, or maintenance of computer software in violation of copyright laws.
- D.13. **CONFLICT OF INTEREST:** All participants are subject to State and Federal conflict of interest laws. Failure to comply with these laws, including business and financial disclosure provisions, will result in the application being rejected and any subsequent contract being declared void. Other legal action may also be taken. Applicable statutes include, but are not limited to, Government Code section 1090 and Public Contract Code sections 10410 and 10411, for State conflict of interest requirements.
- A. **Current State Employees:** No State officer or employee shall engage in any employment, activity, or enterprise from which the officer or employee receives compensation or has a financial interest and which is sponsored or funded by any State agency, unless the employment, activity, or enterprise is required as a condition of regular State employment. No State officer or employee shall contract on his or her own behalf as an independent contractor with any State agency to provide goods or services.
 - B. **Former State Employees:** For the two-year period from the date he or she left State employment, no former State officer or employee may enter into a contract in which he or she engaged in any of the negotiations, transactions, planning, arrangements, or any part of the decision-making process relevant to the contract while employed in any capacity by any State agency. For the twelve-month period from the date he or she left State employment, no former State officer or employee may enter into a contract with any State agency if he or she was employed by that State agency in a policy-making position in the same general subject area as the proposed contract within the twelve-month period prior to his or her leaving State service.
 - C. **Employees of the Grantee:** Employees of the Grantee shall comply with all applicable provisions of law pertaining to conflicts of interest, including but not limited to any applicable conflict of interest provisions of the California Political Reform Act. (Gov. Code, § 87100 et seq.)
 - D. **Employees and Consultants to the Grantee:** Individuals working on behalf of a Grantee may be required by the Department to file a Statement of Economic Interests (Fair Political Practices Commission Form 700) if it is determined that an individual is a consultant for Political Reform Act purposes.
- D.14. **DELIVERY OF INFORMATION, REPORTS, AND DATA:** Grantee agrees to expeditiously provide throughout the term of this Grant agreement, such reports, data, information, and certifications as may be reasonably required by State.
- D.15. **DISPOSITION OF EQUIPMENT:** Grantee shall provide to State, not less than thirty (30) calendar days prior to submission of the final invoice, an itemized inventory of equipment purchased with funds provided by State. The inventory shall include all items with a current estimated fair market value of more than \$5,000.00 per item. Within sixty (60) calendar days of receipt of such inventory State shall provide Grantee with a list of the items on the inventory that State will take title to. All other items shall become the property of Grantee. State shall arrange for delivery from Grantee of items that it takes title to. Cost of transportation, if any, shall be borne by State.
- D.16. **DRUG-FREE WORKPLACE CERTIFICATION:** Certification of Compliance: By signing this Grant agreement, Grantee, its contractors or subcontractors hereby certify, under penalty of perjury under

the laws of State of California, compliance with the requirements of the Drug-Free Workplace Act of 1990 (Gov. Code, § 8350 et seq.) and have or will provide a drug-free workplace by taking the following actions:

- A. Publish a statement notifying employees, contractors, and subcontractors that unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited and specifying actions to be taken against employees, contractors, or subcontractors for violations, as required by Government Code section 8355.
 - B. Establish a Drug-Free Awareness Program, as required by Government Code section 8355 to inform employees, contractors, or subcontractors about all of the following:
 - i. The dangers of drug abuse in the workplace,
 - ii. Grantee's policy of maintaining a drug-free workplace,
 - iii. Any available counseling, rehabilitation, and employee assistance programs, and
 - iv. Penalties that may be imposed upon employees, contractors, and subcontractors for drug abuse violations.
 - C. Provide, as required by Government Code section 8355, that every employee, contractor, and/or subcontractor who works under this Grant agreement:
 - i. Will receive a copy of Grantee's drug-free policy statement, and
 - ii. Will agree to abide by terms of Grantee's condition of employment, contract or subcontract.
- D.17. EASEMENTS: Where the Grantee acquires property in fee title or funds improvements to real property already owned in fee by the Grantee using State funds provided through this Grant agreement, an appropriate easement or other title restriction providing for floodplain preservation and agricultural and/or wildlife habitat conservation for the subject property in perpetuity, approved by the State, shall be conveyed to a regulatory or trustee agency or conservation group acceptable to the State. The easement or other title restriction must be in first position ahead of any recorded mortgage or lien on the property unless this requirement is waived by the State.
Where the Grantee acquires an easement under this Agreement, the Grantee agrees to monitor and enforce the terms of the easement, unless the easement is subsequently transferred to another land management or conservation organization or entity with State permission, at which time monitoring and enforcement responsibilities will transfer to the new easement owner.
Failure to provide an easement acceptable to the State may result in termination of this Agreement.
- D.18. FINAL INSPECTIONS AND CERTIFICATION OF REGISTERED PROFESSIONAL: Upon completion of the Project, Grantee shall provide for a final inspection and certification by a California Registered Professional (i.e., Professional Civil Engineer, Engineering Geologist), that the Project has been completed in accordance with submitted final plans and specifications and any modifications thereto and in accordance with this Grant agreement.
- D.19. GRANTEE'S RESPONSIBILITIES: Grantee and its representatives shall:
- A. Faithfully and expeditiously perform or cause to be performed all project work as described in Exhibit A and in accordance with Exhibits B and C.
 - B. Accept and agree to comply with all terms, provisions, conditions, and written commitments of this Grant agreement, including all incorporated documents, and to fulfill all assurances, declarations, representations, and statements made by Grantee in the application, documents, amendments, and communications filed in support of its request for funding.
 - C. Comply with all applicable California, federal, and local laws and regulations.
 - D. Implement the Project in accordance with applicable provisions of the law.
 - E. Fulfill its obligations under the Grant agreement and be responsible for the performance of the Project.
 - F. Obtain any and all permits, licenses, and approvals required for performing any work under this Grant agreement, including those necessary to perform design, construction, or operation and maintenance of the Project. Grantee shall provide copies of permits and approvals to State.

- G. Be solely responsible for design, construction, and operation and maintenance of projects within the work plan. Review or approval of plans, specifications, bid documents, or other construction documents by State is solely for the purpose of proper administration of funds by State and shall not be deemed to relieve or restrict responsibilities of Grantee under this Agreement.
- H. Be solely responsible for all work and for persons or entities engaged in work performed pursuant to this Agreement, including, but not limited to, contractors, subcontractors, suppliers, and providers of services. The Grantee shall be responsible for any and all disputes arising out of its contracts for work on the Project, including but not limited to payment disputes with contractors and subcontractors. The State will not mediate disputes between the Grantee and any other entity concerning responsibility for performance of work.
- D.20. GOVERNING LAW: This Grant agreement is governed by and shall be interpreted in accordance with the laws of the State of California.
- D.21. INCOME RESTRICTIONS: The Grantee agrees that any refunds, rebates, credits, or other amounts (including any interest thereon) accruing to or received by the Grantee under this Agreement shall be paid by the Grantee to the State, to the extent that they are properly allocable to costs for which the Grantee has been reimbursed by the State under this Agreement. The Grantee shall also include in each of its contracts for work under this Agreement a provision that incorporates the requirements stated within this Paragraph.
- D.22. INDEMNIFICATION: Grantee shall indemnify and hold and save the State, its officers, agents, and employees, free and harmless from any and all liabilities for any claims and damages (including inverse condemnation) that may arise out of the Project and this Agreement, including, but not limited to any claims or damages arising from planning, design, construction, maintenance and/or operation of levee rehabilitation measures for this Project and any breach of this Agreement. Grantee shall require its contractors or subcontractors to name the State, its officers, agents and employees as additional insureds on their liability insurance for activities undertaken pursuant to this Agreement.
- D.23. INDEPENDENT CAPACITY: Grantee, and the agents and employees of Grantees, in the performance of the Grant agreement, shall act in an independent capacity and not as officers, employees, or agents of the State.
- D.24. INSPECTION OF BOOKS, RECORDS, AND REPORTS: During regular office hours, each of the parties hereto and their duly authorized representatives shall have the right to inspect and to make copies of any books, records, or reports of either party pertaining to this Grant agreement or matters related hereto. Each of the parties hereto shall maintain and shall make available at all times for such inspection accurate records of all its costs, disbursements, and receipts with respect to its activities under this Grant agreement. Failure or refusal by Grantee to comply with this provision shall be considered a breach of this Grant agreement, and State may withhold disbursements to Grantee or take any other action it deems necessary to protect its interests.
- D.25. INSPECTIONS OF PROJECT BY STATE: State shall have the right to inspect the work being performed at any and all reasonable times during the term of the Grant agreement. This right shall extend to any subcontracts, and Grantee shall include provisions ensuring such access in all its contracts or subcontracts entered into pursuant to its Grant agreement with State.
- D.26. LABOR CODE COMPLIANCE: The Grantee agrees to be bound by all the provisions of the Labor Code regarding prevailing wages and shall monitor all contracts subject to reimbursement from this Agreement to assure that the prevailing wage provisions of the Labor Code are being met. Current Department of Industrial Relations (DIR) requirements may be found at: <http://www.dir.ca.gov/lcp.asp>. For more information, please refer to DIR's *Public Works Manual* at: <https://www.dir.ca.gov/dlse/PWManualCombined.pdf>. The Grantee affirms that it is aware of the provisions of section 3700 of the Labor Code, which requires every employer to be insured against liability for workers'

compensation or to undertake self-insurance, and the Grantee affirms that it will comply with such provisions before commencing the performance of the work under this Agreement and will make its contractors and subcontractors aware of this provision.

- D.27. **MODIFICATION OF OVERALL WORK PLAN:** At the request of the Grantee, the State may at its sole discretion approve non-material changes to the portions of Exhibits A, B, and C which concern the budget and schedule without formally amending this Grant agreement. Non-material changes with respect to the budget are changes that only result in reallocation of the budget and will not result in an increase in the amount of the State Grant agreement. Non-material changes with respect to the Project schedule are changes that will not extend the term of this Grant agreement. Requests for non-material changes to the budget and schedule must be submitted by the Grantee to the State in writing and are not effective unless and until specifically approved by the State's Program Manager in writing.
- D.28. **NONDISCRIMINATION:** During the performance of this Grant agreement, Grantee and its contractors or subcontractors shall not unlawfully discriminate, harass, or allow harassment against any employee or applicant for employment because of sex (gender), sexual orientation, race, color, ancestry, religion, creed, national origin (including language use restriction), pregnancy, physical disability (including HIV and AIDS), mental disability, medical condition (cancer/genetic characteristics), age (over 40), marital status, and denial of medical and family care leave or pregnancy disability leave. Grantee and its contractors or subcontractors shall ensure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment. Grantee and its contractors or subcontractors shall comply with the provisions of the California Fair Employment and Housing Act (Gov. Code, § 12990.) and the applicable regulations promulgated there under (Cal. Code Regs., tit. 2, § 11000 et seq.). The applicable regulations of the Fair Employment and Housing are incorporated into this Agreement by reference. Grantee and its contractors or subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other agreement. Grantee shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the Grant agreement.
- D.29. **OPINIONS AND DETERMINATIONS:** Where the terms of this Grant agreement provide for action to be based upon, judgment, approval, review, or determination of either party hereto, such terms are not intended to be and shall never be construed as permitting such opinion, judgment, approval, review, or determination to be arbitrary, capricious, or unreasonable.
- D.30. **PERFORMANCE BOND:** Where contractors are used, the Grantee shall not authorize construction to begin until each contractor has furnished a performance bond in favor of the Grantee in the following amounts: faithful performance (100%) of contract value, and labor and materials (100%) of contract value. This requirement shall not apply to any contract for less than \$25,000.00. Any bond issued pursuant to this paragraph must be issued by a California-admitted surety. (Pub. Contract Code, § 7103; Code Civ. Proc., § 995.311.)
- D.31. **PRIORITY HIRING CONSIDERATIONS:** If this Grant agreement includes services in excess of \$200,000, the Grantee shall give priority consideration in filling vacancies in positions funded by the Grant agreement to qualified recipients of aid under Welfare and Institutions Code section 11200 in accordance with Public Contract Code section 10353.
- D.32. **PROHIBITION AGAINST DISPOSAL OF PROJECT WITHOUT STATE PERMISSION:** The Grantee shall not sell, abandon, lease, transfer, exchange, mortgage, hypothecate, or encumber in any manner whatsoever all or any portion of any real or other property necessarily connected or used in conjunction with the Project, or with Grantee's service of water, without prior permission of State. Grantee shall not take any action, including but not limited to actions relating to user fees, charges, and assessments that could adversely affect the ability of Grantee meet its obligations under this Grant agreement, without prior written permission of State. State may require that the proceeds from

the disposition of any real or personal property be remitted to State.

- D.33. PROJECT ACCESS: The Grantee shall ensure that the State, the Governor of the State, or any authorized representative of the foregoing, will have safe and suitable access to the Project site at all reasonable times during Project construction and thereafter for the term of this Agreement.
- D.34. REMAINING BALANCE: In the event the Grantee does not submit invoices requesting all of the funds encumbered under this Grant Agreement, any remaining funds revert to the State. The State will notify the Grantee stating that the Project file is closed and any remaining balance will be disencumbered and unavailable for further use under this Grant Agreement.
- D.35. REMEDIES NOT EXCLUSIVE: The use by either party of any remedy specified herein for the enforcement of this Grant agreement is not exclusive and shall not deprive the party using such remedy of, or limit the application of, any other remedy provided by law.
- D.36. RETENTION: The State shall withhold ten percent (10%) of the funds, for each project, until the project is complete, and a Final Project Report is approved and accepted by DWR. If a project has multiple Components (within a project), at the State's discretion and upon a written request by the Grantee, any retained amount attributable to a single component may be released when that component is complete and the Final Component Completion Report is approved. Upon approval of the Final Project Report and/or Final Component Completion Report, any retained amounts due to the Grantee will be promptly disbursed to the Grantee, without interest.
- D.37. RIGHTS IN DATA: Grantee agrees that all data, plans, drawings, specifications, reports, computer programs, operating manuals, notes and other written or graphic work produced in the performance of this Grant agreement shall be made available to the State and shall be in the public domain to the extent to which release of such materials is required under the California Public Records Act. (Gov. Code, § 6250 et seq.) Grantee may disclose, disseminate and use in whole or in part, any final form data and information received, collected and developed under this Grant agreement, subject to appropriate acknowledgement of credit to State for financial support. Grantee shall not utilize the materials for any profit-making venture or sell or grant rights to a third party who intends to do so. The State shall have the right to use any data described in this paragraph for any public purpose.
- D.38. SEVERABILITY: Should any portion of this Grant agreement be determined to be void or unenforceable, such shall be severed from the whole and the Grant agreement shall continue as modified.
- D.39. SUSPENSION OF PAYMENTS: This Grant agreement may be subject to suspension of payments or termination, or both if the State determines that:
- A. Grantee, its contractors, or subcontractors have made a false certification, or
 - B. Grantee, its contractors, or subcontractors violates the certification by failing to carry out the requirements noted in this Grant agreement.
- D.40. SUCCESSORS AND ASSIGNS: This Grant agreement and all of its provisions shall apply to and bind the successors and assigns of the parties. No assignment or transfer of this Grant agreement or any part thereof, rights hereunder, or interest herein by the Grantee shall be valid unless and until it is approved by State and made subject to such reasonable terms and conditions as State may impose.
- D.41. TERMINATION BY GRANTEE: Subject to State approval which may be reasonably withheld, Grantee may terminate this Agreement and be relieved of contractual obligations. In doing so, Grantee must provide a reason(s) for termination. Grantee must submit all progress reports summarizing accomplishments up until termination date.

- D.42. TERMINATION FOR CAUSE: Subject to the right to cure under Paragraph 12, "Default Provisions," the State may terminate this Grant agreement and be relieved of any payments should Grantee fail to perform the requirements of this Grant agreement at the time and in the manner herein, provided including but not limited to reasons of default under Paragraph 12, "Default Provisions."
- D.43. TERMINATION WITHOUT CAUSE: The State may terminate this Agreement without cause on 30 days' advance written notice. The Grantee shall be reimbursed for all reasonable expenses incurred up to the date of termination.
- D.44. THIRD PARTY BENEFICIARIES: The parties to this Agreement do not intend to create rights in, or grant remedies to, any third party as a beneficiary of this Agreement, or any duty, covenant, obligation or understanding established herein.
- D.45. TIMELINESS: Time is of the essence in this Grant agreement.
- D.46. TRAVEL – DAC, EDA, TRIBES PROJECT: Travel is only an eligible reimbursable expense for projects providing at least 75% of benefits to DACs, EDAs, and/or Tribes (based on population or geographic area). Only ground transportation and lodging are eligible for grant reimbursement. Per diem costs will not be eligible for grant reimbursement. Any reimbursement for necessary travel shall be at rates not to exceed those set by the California Department of Human Resources. These rates may be found at: <http://www.calhr.ca.gov/employees/Pages/travel-reimbursements.aspx>. Reimbursement will be at the State travel amounts that are current as of the date costs are incurred. No travel outside of the IRWM region shall be reimbursed unless prior written authorization is obtained from the State.
- D.47. UNION ORGANIZING: Grantee, by signing this Grant agreement, hereby acknowledges the applicability of Government Code sections 16645 through 16649 to this Grant agreement. Furthermore, Grantee, by signing this Grant agreement, hereby certifies that:
- A. No State funds disbursed by this Grant agreement will be used to assist, promote, or deter union organizing.
 - B. Grantee shall account for State funds disbursed for a specific expenditure by this Grant agreement to show those funds were allocated to that expenditure.
 - C. Grantee shall, where State funds are not designated as described in (b) above, allocate, on a pro rata basis, all disbursements that support the program.
 - D. If Grantee makes expenditures to assist, promote, or deter union organizing, Grantee will maintain records sufficient to show that no State funds were used for those expenditures and that Grantee shall provide those records to the Attorney General upon request.
- D.48. VENUE: The State and the Grantee hereby agree that any action arising out of this Agreement shall be filed and maintained in the Superior Court in and for the County of Sacramento, California, or in the United States District Court in and for the Eastern District of California. The Grantee hereby waives any existing sovereign immunity for the purposes of this Agreement.
- D.49. WAIVER OF RIGHTS: None of the provisions of this Grant agreement shall be deemed waived unless expressly waived in writing. It is the intention of the parties here to that from time to time either party may waive any of its rights under this Grant agreement unless contrary to law. Any waiver by either party of rights arising in connection with the Grant agreement shall not be deemed to be a waiver with respect to any other rights or matters, and such provisions shall continue in full force and effect.

EXHIBIT E
AUTHORIZING RESOLUTION

RESOLUTION NO. 19-017

**A RESOLUTION OF THE BOARD OF DIRECTORS OF THE CONTRA COSTA WATER DISTRICT
AUTHORIZING A PROPOSAL FOR A PROPOSITION 1 ROUND 1 INTEGRATED REGIONAL WATER
MANAGEMENT IMPLEMENTATION GRANT AND EXECUTION OF AN AGREEMENT WITH THE
CALIFORNIA DEPARTMENT OF WATER RESOURCES, CONDITIONED UPON GRANT AWARD**

WHEREAS, in November 2014, Proposition 1: the Water Quality, Supply, and Infrastructure Improvement Act of 2014 (Water Code Section 79700 *et seq.*) was passed by the vote of the people of California; and

WHEREAS, Proposition 1 authorized \$7.545 billion in general obligation bonds to fund ecosystems and watershed protection and restoration, water supply infrastructure projects, including surface and groundwater storage, and drinking water protection; and

WHEREAS, approximately \$222 million in grant funding administered by the California Department of Water Resources (DWR) is available on a competitive basis statewide for implementation projects through DWR's Integrated Regional Water Management (IRWM) Implementation Grant Program; and

WHEREAS, the intent of the IRWM Implementation Grant Program is to provide funding for implementation projects that meet regionally-developed objectives and support integrated planning and management of water resources; and

WHEREAS, the East Contra Costa County IRWM region, of which Contra Costa Water District (District) is an active participant, intends to submit a proposal to the Proposition 1 Round 1 IRWM Implementation Grant Program; and

WHEREAS, DWR requires that proposals identify a single entity to serve as the grant applicant on behalf of an IRWM region; and

WHEREAS, as administrator of the East Contra Costa County IRWM region, the District has historically served in the role of grant applicant on behalf of the region; and

Resolution No. 19-017
November 6, 2019
Page 2

WHEREAS, grant applicants must provide a copy of a resolution adopted by the applicant's governing body authorizing submittal of a proposal and execution of a grant agreement to receive an IRWM implementation grant.

NOW, THEREFORE, BE IT RESOLVED by the Board of Directors of the Contra Costa Water District that the General Manager, or designee, is hereby authorized to prepare the necessary data, conduct investigations, and execute and submit all documents, applications, and proposals that may be necessary to obtain an IRWM implementation grant.

BE IT FURTHER RESOLVED, by the Board of Directors of the Contra Costa Water District that the General Manager, or designee, is hereby authorized to execute any and all agreements and amendments thereto, in a form to be approved by legal counsel, and payment requests that may be necessary to receive an IRWM implementation grant, and for the initiation and completion of the project.

The foregoing Resolution was duly and regularly adopted at a meeting held on the 6th day of November 2019, by the Board of Directors of the Contra Costa Water District by the following vote:

AYES: Boatmun, Holdaway, Avila, Borba, Burgh

NOES:

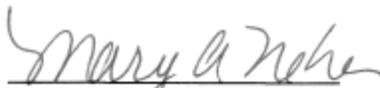
ABSTAIN:

ABSENT:



Lisa Borba, President

ATTEST:



Mary A. Neher
District Secretary

EXHIBIT F

REPORT FORMATS AND REQUIREMENTS

The following reporting formats should be utilized. Please obtain State approval prior to submitting a report in an alternative format.

PROGRESS REPORTS

Progress reports shall generally use the following format. This format may be modified as necessary to effectively communicate information. For each project, discuss the following at the task level, as organized in Exhibit A:

- Percent complete (by work)
- Discussion of work accomplished during the reporting period.
- Milestones or deliverables completed/submitted during the reporting period.
- Meetings held or attended.
- Scheduling concerns and issues encountered that may delay completion of the task.
- Budget projections for grant share for the next two quarters

For each project, discuss the following at the project level, as organized in Exhibit A:

- Work anticipated for the next reporting period.
- Photo documentation, as appropriate.
- Any schedule or budget modifications approved by DWR during the reporting period.

PROJECT COMPLETION REPORT

The Project Completion Report (or a Component Completion Report, if a Project has multiple Components) shall generally use the following format provided below for each project after completion.

Executive Summary

The Executive Summary should include a brief summary of project information and include the following items:

- Brief description of work proposed to be done in the original Grant application.
- List any official amendments to this Grant Agreement, with a short description of the amendment.

Reports and/or Products

The following items should be provided, unless already submitted as a deliverable:

- A copy of any final technical report or study, produced for or utilized in this Project as described in the Exhibit A
- Electronic copies of any data collected, not previously submitted
- Discussion of problems that occurred during the work and how those problems were resolved
- Final project schedule showing actual progress versus planned progress as shown in Exhibit C

Additional information that may be applicable for implementation projects includes the following:

- Record drawings
- Final geodetic survey information
- Project photos

Cost & Disposition of Funds

A list showing:

- Summary of Project costs including the following items:
 - Accounting of the cost of project expenditure;
 - Include all internal and external costs not previously disclosed (i.e., additional cost share); and
 - A discussion of factors that positively or negatively affected the project cost and any deviation from the original Project cost estimate.

Additional Information

- Benefits derived from the Project, with quantification of such benefits provided.
- If applicable, Certification from a California Registered Professional (Civil Engineer or Geologist, as appropriate), consistent with Exhibit D, that the project was conducted in accordance with the approved Work Plan in Exhibit A and any approved amendments thereto.
- Submittal schedule for the Post-Performance Report.

GRANT COMPLETION REPORT

The Grant Completion Report shall generally use the following format. This format may be modified as necessary to effectively communicate information on the various projects funded by this Grant Agreement, and includes the following:

- Executive Summary: consisting of a maximum of ten (10) pages summarizing information for the grant as well as the individual projects.
- Brief discussion of: each project completed and how they achieved IRWM Plan objectives and/or Regional goals and whether the level, type, or magnitude of benefits of the project are comparable to the original project proposal; any remaining work to be completed and mechanism for their implementation; the benefits to DAC and/or EDA as part of this Grant Agreement if a DAC or EDA Cost Share Waiver was approved for a project; and a summary of final funds disbursement for each project.

Additional Information: Summary of the submittal schedule for the Post-Performance Reports applicable for the projects in this Grant Agreement.

POST-PERFORMANCE REPORT

The Post-Performance Report (PPR) should be concise and focus on how each project is performing compared to its expected performance; whether the project is being operated and maintained and providing intended benefits as proposed. A PPR template may be provided by the assigned DWR Grant Manager upon request. The PPR should follow the general format of the template and provide requested information as applicable. The following information, at a minimum, shall be provided:

Reports and/or products

- Header including the following:
 - Grantee Name
 - Implementing Agency (if different from Grantee)
 - Grant Agreement Number
 - Project Name
 - Funding grant source (i.e., 2019 Proposition 1 IRWM Implementation Grant)
 - Report number

- Post-Performance Report schedule
- Time period of the annual report (e.g., January 2018 through December 2018)
- Project Description Summary
- Discussion of the project benefits
- An assessment of any differences between the expected versus actual project benefits as stated in the original application. Where applicable, the reporting should include quantitative metrics (e.g., new acre-feet of water produced that year, etc.).
- Summary of any additional costs and/or benefits deriving from the project since its completion, if applicable.
- Any additional information relevant to or generated by the continued operation of the project.

EXHIBIT G

REQUIREMENTS FOR DATA SUBMITTAL

Surface and Groundwater Quality Data:

Groundwater quality and ambient surface water quality monitoring data that include chemical, physical, or biological data shall be submitted to the State as described below, with a narrative description of data submittal activities included in project reports.

Surface water quality monitoring data shall be prepared for submission to the California Environmental Data Exchange Network (CEDEN). The CEDEN data templates are available on the CEDEN website. Inclusion of additional data elements described on the data templates is desirable. Data ready for submission should be uploaded to your CEDEN Regional Data Center via the CEDEN website. CEDEN website: <http://www.ceden.org>.

If a project's Work Plan contains a groundwater ambient monitoring element, groundwater quality monitoring data shall be submitted to the State for inclusion in the State Water Resources Control Board's Groundwater Ambient Monitoring and Assessment (GAMA) Program. Information on the GAMA Program can be obtained at: https://www.waterboards.ca.gov/water_issues/programs/gama/. If further information is required, the Grantee can contact the State Water Resources Control Board (SWRCB) GAMA Program. A listing of SWRCB staff involved in the GAMA program can be found at: https://www.waterboards.ca.gov/water_issues/programs/gama/contact.shtml.

Groundwater Level Data

For each project that collects groundwater level data, the Grantee will need to submit this data to DWR's Water Data Library (WDL), with a narrative description of data submittal activities included in project reports, as described in Exhibit F, "Report Formats and Requirements." Information regarding the WDL and in what format to submit data in can be found at: <http://www.water.ca.gov/waterdatalibrary/>.

EXHIBIT H**STATE AUDIT DOCUMENT REQUIREMENTS FOR THE GRANTEE**

The following provides a list of documents typically required by State Auditors and general guidelines for the Grantee. List of documents pertains to both State funding and the Grantee's Local Cost Share and details the documents/records that State Auditors would need to review in the event of this Grant Agreement is audited. The Grantee should ensure that such records are maintained for each funded project.

State Audit Document RequirementsInternal Controls

1. Organization chart (e.g., Agency's overall organization chart and organization chart for the State funded Program/Project).
2. Written internal procedures and flowcharts for the following:
 - a) Receipts and deposits
 - b) Disbursements
 - c) State reimbursement requests
 - d) Expenditure tracking of State funds
 - e) Guidelines, policy, and procedures on State funded Program/Project
3. Audit reports of the Agency internal control structure and/or financial statements within the last two years.
4. Prior audit reports on the State funded Program/Project.

State Funding:

1. Original Grant Agreement, any amendment(s) and budget modification documents.
2. A listing of all bond-funded grants, loans, or subventions received from the State.
3. A listing of all other funding sources for each Program/Project.

Contracts:

1. All subcontractor and consultant contracts and related or partners' documents, if applicable.
2. Contracts between the Agency and member agencies as related to the State funded Program/Project.

Invoices:

1. Invoices from vendors and subcontractors for expenditures submitted to the State for payments under the Grant Agreement.
2. Documentation linking subcontractor invoices to State reimbursement, requests and related Grant Agreement budget line items.
3. Reimbursement requests submitted to the State for the Grant Agreement.

Cash Documents:

1. Receipts (copies of warrants) showing payments received from the State.
2. Deposit slips (or bank statements) showing deposit of the payments received from the State.
3. Cancelled checks or disbursement documents showing payments made to vendors, subcontractors, consultants, and/or agents under the grants or loans.
4. Bank statements showing the deposit of the receipts.

Accounting Records:

1. Ledgers showing entries for funding receipts and cash disbursements.
2. Ledgers showing receipts and cash disbursement entries of other funding sources.
3. Bridging documents that tie the general ledger to requests for Grant Agreement reimbursement.

Administration Costs:

1. Supporting documents showing the calculation of administration costs.

Personnel:

1. List of all contractors and Agency staff that worked on the State funded Program/Project.
2. Payroll records including timesheets for contractor staff and the Agency personnel who provided services charged to the program

Project Files:

1. All supporting documentation maintained in the project files.
2. All Grant Agreement related correspondence.

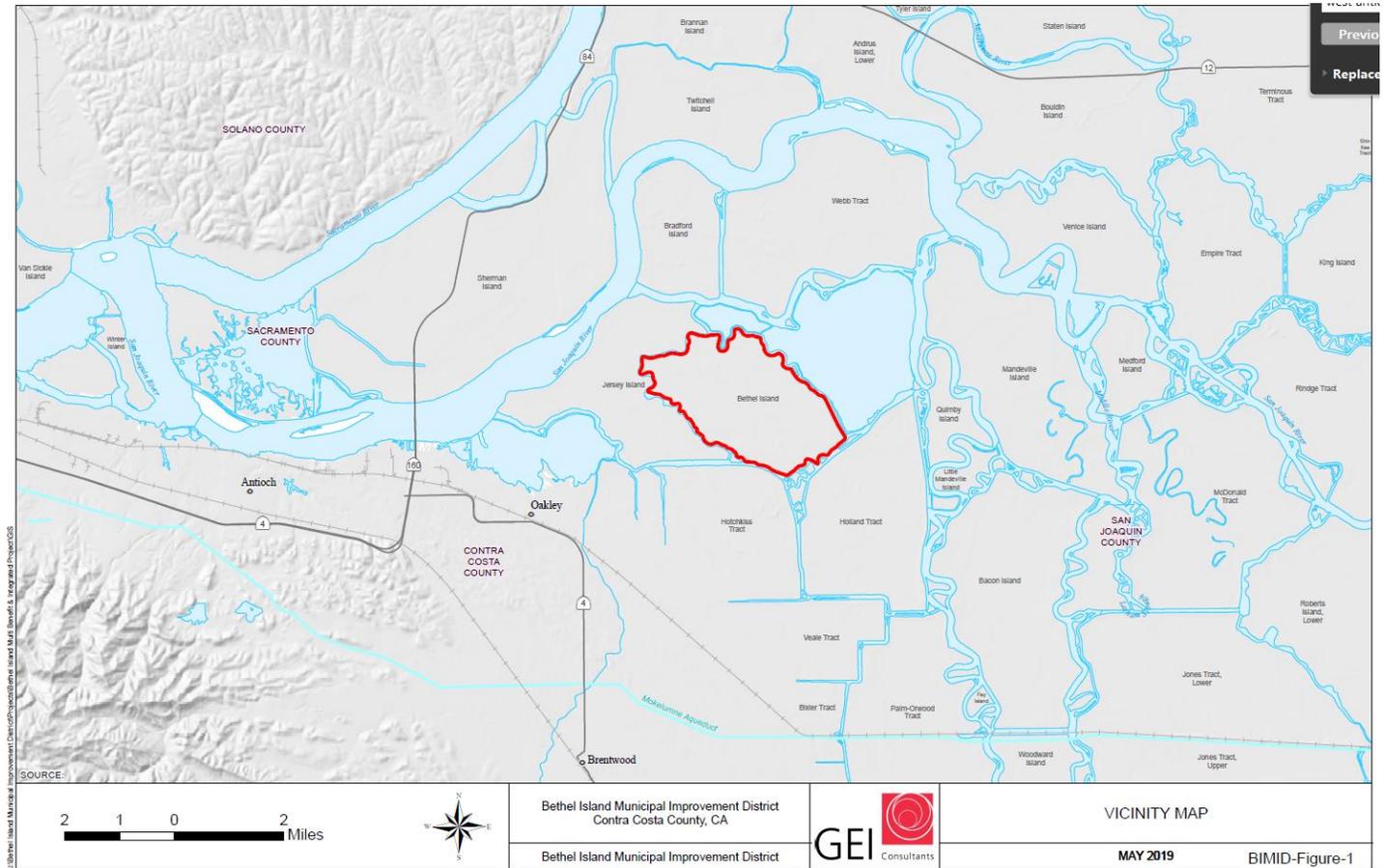
Local Project Sponsor Agency Designation

Sponsored Project: Project 2: Bethel Island Multi-Benefit and Integrated Stormwater Project

Sponsor Agency: Bethel Island Municipal Improvement District

Agency Address: 3085 Stone Rd, Bethel Island, CA 94511

Project Location: Bethel Island, California (38.035556, -121.630556)



Local Project Sponsor Agency Designation

Sponsored Project: Project 3: Advanced Metering and Leak Detection

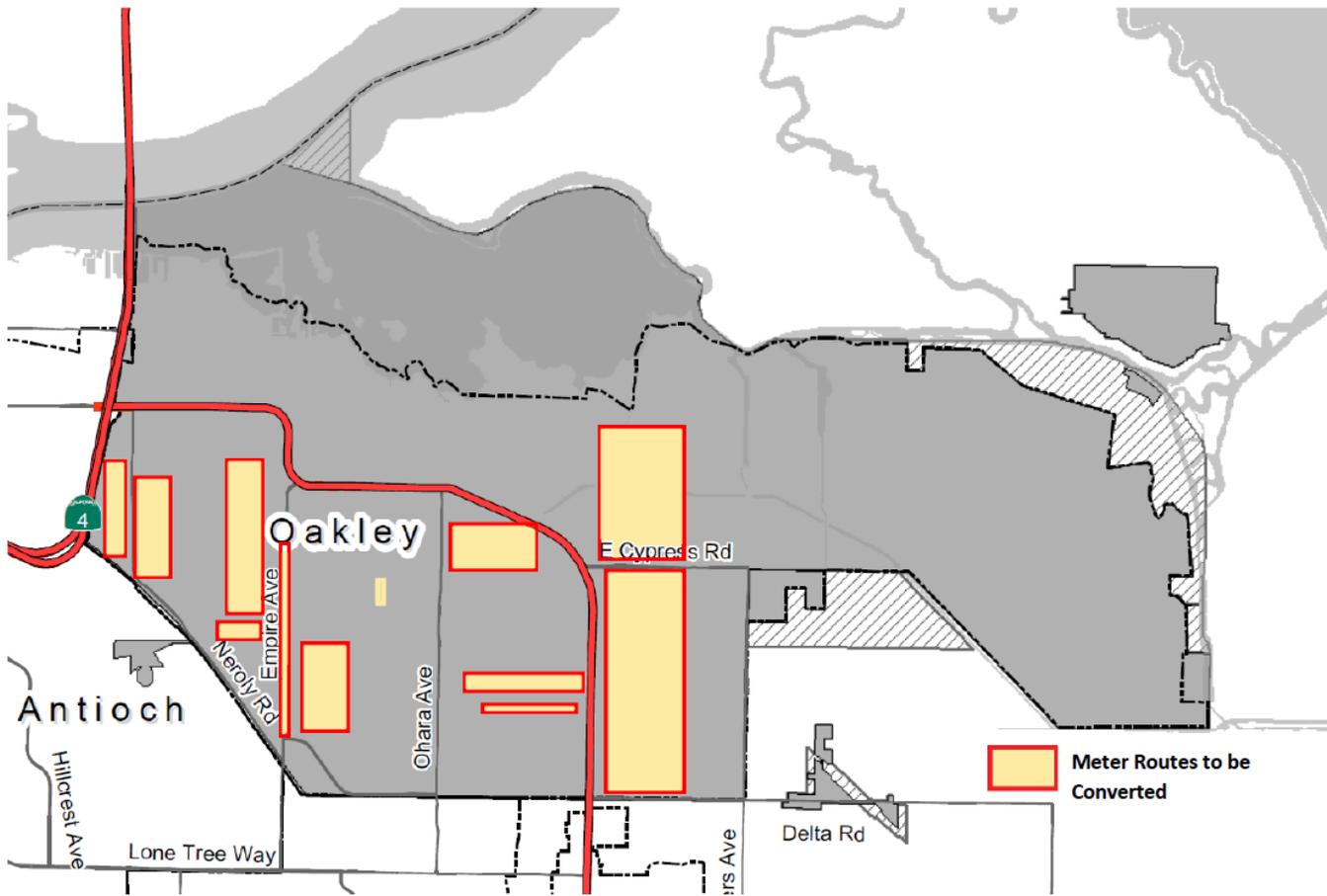
Sponsor Agency: Diablo Water District

Agency Address: 87 Carol Ln, Oakley, CA 94561

Project Location: Oakley, California (37.996389, -121.711944)

Diablo Water District Project Map

Water Meter Upgrade Locations



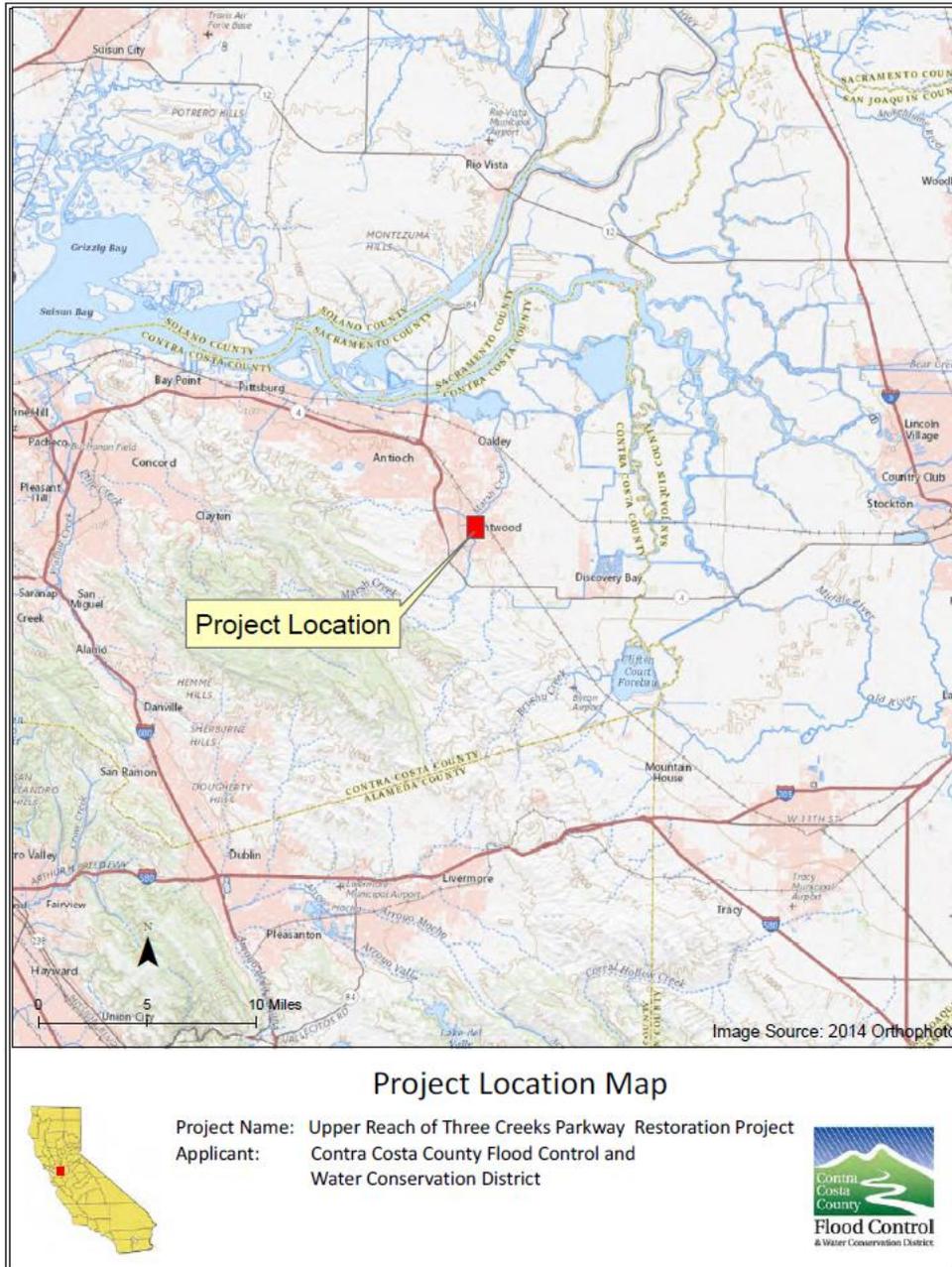
Local Project Sponsor Agency Designation

Sponsored Project: Project 4: Upper Reach of Three Creeks Parkway Restoration Project

Sponsor Agency: Contra Costa County Flood Control and Water Conservation District

Agency Address: 255 Glacier Dr, Martinez, CA 94553

Project Location: Brentwood, California (37.9325, -121.711111)



Local Project Sponsor Agency Designation

Sponsored Project: Project 5: Citywide Non-Potable Water Distribution System

Sponsor Agency: City of Brentwood

Agency Address: 150 City Park Way, Brentwood, CA 94513

Project Location: Brentwood, California (37.943889, -121.723333)

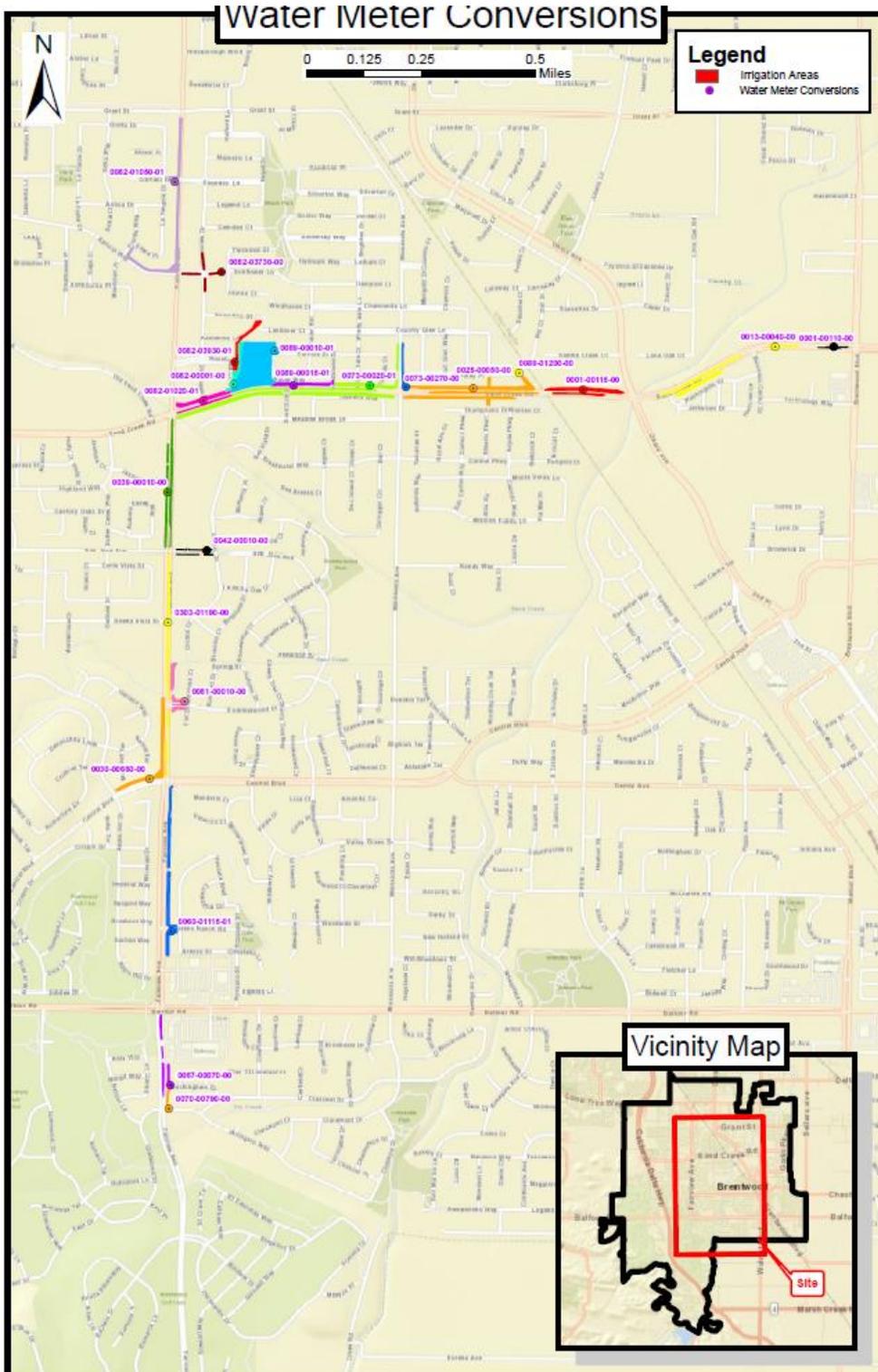


EXHIBIT J

Project Monitoring Plan Guidance

Introduction

For each project contained in Exhibit A, please include a brief description of the project (maximum ~150 words) including project location, implementation elements, need for the project (what problem will the project address) and responds to the requirements listed below.

Project Monitoring Plan Requirements

The Project Monitoring Plan shall contain responses to the following questions:

- What are the anticipated project physical benefits?
- What are the corresponding numeric targets for each project benefit?
- How will proposed numeric targets be measured?
- What are baseline conditions?
- When will the targets be met (e.g., upon project completion, five years after completion)?
- How often will monitoring be undertaken (e.g., monthly, yearly).
- Where are monitoring point locations (e.g., meter located at..., at stream mile...)? Include relevant maps.
- How will the project be maintained (e.g., irrigation, pest management, weed abatement)?
- What will be the frequency and duration of maintenance proposed activities?
- Are there any special environmental considerations (e.g., resource agency requirements, permit requirements, CEQA/NEPA mitigation measures)?
- Who is responsible for collecting the samples (i.e., who is conducting monitoring and/or maintenance)?
- How, and to whom, will monitoring results be reported (e.g., paper reports, online databases, public meetings)?
- What adaptive management strategies will be employed if problems are encountered during routine monitoring or maintenance?
- What is the anticipated life of the project?