

CALENDAR FOR THE BOARD OF SUPERVISORS  
**CONTRA COSTA COUNTY**  
AND FOR SPECIAL DISTRICTS, AGENCIES, AND AUTHORITIES GOVERNED BY THE BOARD  
**BOARD CHAMBERS ROOM 107, ADMINISTRATION BUILDING, 651 PINE STREET  
MARTINEZ, CALIFORNIA 94553-1229**

**JOHN GIOIA, CHAIR**, 1ST DISTRICT  
**CANDACE ANDERSEN, VICE CHAIR**, 2ND DISTRICT  
**DIANE BURGIS**, 3RD DISTRICT  
**KAREN MITCHOFF**, 4TH DISTRICT  
**FEDERAL D. GLOVER**, 5TH DISTRICT

**DAVID J. TWA**, CLERK OF THE BOARD AND COUNTY ADMINISTRATOR, (925) 335-1900  
PERSONS WHO WISH TO ADDRESS THE BOARD DURING PUBLIC COMMENT OR WITH RESPECT TO  
AN ITEM THAT IS ON THE AGENDA, WILL BE LIMITED TO TWO (2) MINUTES.

The Board Chair may reduce the amount of time allotted per speaker at the beginning of each item or public comment period depending on the number of speakers and the business of  
the day. Your patience is appreciated.

A lunch break or closed session may be called at the discretion of the Board Chair.

Staff reports related to open session items on the agenda are also accessible on line at [www.co.contra-costa.ca.us](http://www.co.contra-costa.ca.us).

**AGENDA**  
**March 12, 2019**

**9:00 A.M. Convene and announce adjournment to closed session in Room 101.**  
**Closed Session**

A. CONFERENCE WITH LABOR NEGOTIATORS (Gov. Code § 54957.6)

1. Agency Negotiators: David Twa and Richard Bolanos.

Employee Organizations: Public Employees Union, Local 1; AFSCME Locals 512 and 2700; California Nurses Assn.; SEIU Locals 1021 and 2015; District Attorney Investigators' Assn.; Deputy Sheriffs Assn.; United Prof. Firefighters I.A.F.F., Local 1230; Physicians' & Dentists' Org. of Contra Costa; Western Council of Engineers; United Chief Officers Assn.; Contra Costa County Defenders Assn.; Contra Costa County Deputy District Attorneys' Assn.; Prof. & Tech. Engineers IFPTE, Local 21; and Teamsters Local 856.

2. Agency Negotiators: David Twa.

Unrepresented Employees: All unrepresented employees.

B. CONFERENCE WITH LEGAL COUNSEL--EXISTING LITIGATION (Gov. Code § 54956.9(d)(1))

1. *Scott Hamers v. County of Contra Costa, et al.*, Contra Costa County Superior Court Case No. C16-01435

C. CONFERENCE WITH LEGAL COUNSEL--ANTICIPATED LITIGATION

Significant exposure to litigation pursuant to Gov. Code, § 54956.9(d)(2): one potential case.  
Initiation of litigation pursuant to Gov. Code, § 54956.9(d)(4): one potential case

**9:30 A.M. Call to order and opening ceremonies.**

Inspirational Thought- *"No country can ever truly flourish if it stifles the potential of its women and deprives itself of the contributions of half of its citizens."* ~Michelle Obama

**CONSIDER CONSENT ITEMS** (Items listed as C.1 through C.93 on the following agenda) – Items are subject to removal from Consent Calendar by request of any Supervisor or on request for discussion by a member of the public. **Items removed from the Consent Calendar will be considered with the Discussion Items.**

**PRESENTATIONS (5 Minutes Each)**

- PR.1** PRESENTATION proclaiming March 2019 National Social Workers' Month in Contra Costa County. (Kathy Gallagher, Employment and Human Services Director)
- PR.2** PRESENTATION honoring County employees for their many years of service to Contra Costa County:
- **Susan Wright**, for her 35 years of service and the occasion of her retirement, to be presented by Marc Shorr, Department of Information and Technology Director
  - **Vincent Isip**, for his 25 years of service to Contra Costa County, to be presented by Melinda Self, Child Support Services Director
  - **Lisa Nielsen**, on her 25 years of service to Contra Costa County, to be presented by Melinda Self, Child Support Services Director
  - **Brice E. Bins**, for his 20 years of service to Contra Costa County, to be presented by Russell Watts, Treasurer-Tax Collector
  - **Michielle B. Maurer**, for her 20 years of service to Contra Costa County, to be presented by Sharon L. Anderson, County Counsel

**DISCUSSION ITEMS**

**D. 1** CONSIDER Consent Items previously removed.

**D. 2** PUBLIC COMMENT (2 Minutes/Speaker)

- D.3** HEARING to consider adopting Resolution No. 2019/70 to close the northbound lane to traffic and set load restriction limits on the Jersey Island Road Bridge over Dutch Slough, as recommended by the Public Works Director, Oakley area. (Neil Leary, Public Works Department)

- D.4** CONSIDER accepting the 2018 Annual Performance Evaluation of Alliance Emergency Ambulance Medical Services Report from County EMS Director and Contra Costa County Fire Protection District Fire Chief. (Pat Frost, Local EMS Agency Director & Jeff Carman, CCCFPD Fire Chief)
- D.5** CONSIDER updated procedures for Board of Supervisors meetings and remedial action under Government Code, sections 54960.1 and 54960.2, including rescission of appointment to Metropolitan Transportation Commission. (David Twa, County Administrator) (Consider w/ D.6)
- D.6** If the Board approves Item D.5 above rescinding its appointment to the Metropolitan Transportation Commission, CONSIDER appointing a member of the Board of Supervisors to the Metropolitan Transportation Commission with a term ending February 1, 2023. (David Twa, County Administrator) (Consider w/ D.5)

D. 7 CONSIDER reports of Board members.

#### **Closed Session**

ADJOURN in memory of  
***Doug Corbin***  
former Contra Costa Juvenile Court Commissioner  
and  
***Barbara Pendergrass***  
El Sobrante Municipal Advisory Council member and former County Employee  
and  
***Leo Garfield***  
former Richmond Police Chief

#### **CONSENT ITEMS**

##### **Road and Transportation**

- C. 1** ADOPT Resolution No. 2019/67 accepting as complete the contracted work performed by Bridgeway Civil Constructors, Inc., for the Marsh Creek Road Bridge Replacement Project, as recommended by the Public Works Director, Clayton area. (87% Federal Highway Bridge Program Funds, 11% Local Road Funds and 2% Contra Costa Water District Funds)
- C. 2** APPROVE and AUTHORIZE the Public Works Director, or designee, to execute a contract with ISI Inspection Services, Inc., in an amount not to exceed \$150,000, for on-call materials testing and inspection services for the period of March 12, 2019 through September 30, 2021, Countywide. (100% Local Road, Flood Control, and Airport Enterprise Funds)

- C. 3** APPROVE and AUTHORIZE the Public Works Director, or designee, to execute construction contract amendments with Alta Fence Co. and Crusader Fence Company, Inc. to extend the term of each contract from March 13, 2019 to March 13, 2020 for the 2018 On-Call Fencing Services Contract(s) for Various Road, Flood Control, Airport and Facilities Maintenance Work, with no change to the payment limit, Countywide. (100% Local Road, Flood Control District, Airport Enterprise and Facilities Funds)
- C. 4** APPROVE and AUTHORIZE the Chair, Board of Supervisors, to execute a grant deed conveying to the City of Pittsburg two properties along Harbor Street, in Pittsburg (APNs 086-214-025 & 088-171-037), for a purchase price of \$591,209, in accordance with a purchase and sale agreement, as recommended by the Public Works Director, Pittsburg area. (100% Contra Costa Transportation Authority Funds)

### **Engineering Services**

- C. 5** ADOPT Resolution No. 2019/8 approving and authorizing the Public Works Director, or designee, to fully close Pacheco Boulevard at State Route 4, periodically for no more than 20 nights between March 13, 2019 and August 31, 2019, from 11:00 PM through 5:00 AM, for the purpose of demolition and girder erection for the Caltrans bridge widening project, Martinez area. (No fiscal impact)
- C. 6** ADOPT Resolution No. 2019/61 ratifying the prior decision of the Public Works Director, or designee, to fully close a segment of Parker Avenue, between San Pablo Avenue and 6th Street, on March 9, 2019 from 9:00 AM through 9:20 AM, for the purpose of Rodeo Baseball Association Opening Day Parade, Rodeo area. (No fiscal impact)
- C. 7** ADOPT Resolution No. 2019/66 approving the second extension of the Subdivision Agreement for minor subdivision MS03-00007, for a project being developed by Maurice Storch as recommended by the Public Works Director, Alamo area. (No fiscal impact)

### **Special Districts & County Airports**

- C. 8** APPROVE the rehabilitation of Buchanan Field Airport Runway 14L/32R project and related actions under the California Environmental Quality Act, and AUTHORIZE the Public Works Director, or designee, to advertise the project, Concord area. (90% Federal Aviation Administration, 4.5% Caltrans, 5.5% Airport Enterprise Funds)

- C. 9** APPROVE and AUTHORIZE the Director of Airports, or designee, to execute a five-year consulting services agreement with The KPA Group, in the amount of \$1,134,209, to perform design, engineering, and construction management services for a potential new general aviation terminal at Buchanan Field Airport to house the Airport Division's office and Aircraft Rescue Fire Fighting (ARFF) facility. (29% Federal Aviation Administration & Caltrans, 71% Airport Enterprise Funds)
- C. 10** ADOPT Resolution No. 2019/74 accepting as complete the contracted work performed by SMP Services, Inc., for the Upper Sand Creek Basin Wetland Restoration Project, as recommended by the Chief Engineer, Antioch area. (100% Drainage Area 130 Funds)
- C. 11** APPROVE and AUTHORIZE the Director of Airports, or designee, to execute a month-to-month hangar rental agreement with Allen Christensen for a Shade hangar at Buchanan Field Airport effective March 5, 2019 in the monthly amount of \$140.00. (100% Airport Enterprise Funds)
- C. 12** APPROVE and AUTHORIZE the Director of Airports, or designee, to execute a month-to-month hangar rental agreement with Peter Lloyd for a Large T-hangar at Buchanan Field Airport effective March 15, 2019 in the monthly amount of \$620.00. (100% Airport Enterprise Funds)

### **Claims, Collections & Litigation**

- C. 13** APPROVE and AUTHORIZE settlement with T-Mobile USA, Inc. in the False Claims Act lawsuit *State of California ex rel. OnTheGo Wireless, LLC v. Cellco Partnership d/b/a Verizon Wireless, et al.* (Sacramento Superior Court Case No. 34-2012-00127517), requiring T-Mobile USA to pay the County \$11,777, as recommended by the Purchasing Agent. (100% General Fund)
- C. 14** RECEIVE report concerning the final settlement of John Mozzetti vs. Contra Costa County; and AUHORIZE payment from the Workers' Compensation Internal Service Fund in an amount not to exceed \$210,000, as recommended by the Director of Risk Management. (100% Workers' Compensation Internal Service Fund)
- C. 15** DENY claims filed by Martin Barajas-Vences, Benttencourt Ranch, Alisa Castain, Ellen Langlois, and Peter Solomon. DENY amended claims filed by Ellen Langlois and Carolyn McCrary.

### **Honors & Proclamations**

- C. 16** ADOPT Resolution No. 2019/18 recognizing Susan Wright for 35 years of dedicated service to Contra Costa County on the occasion of her retirement, as recommended by the Department of Information Technology and the Chief Information Officer.
- C. 17** ADOPT Resolution No. 2019/36 proclaiming March 2019 National Social Workers' Month in Contra Costa County, as recommended by the Employment and Human Services Director.
- C. 18** ADOPT Resolution No. 2019/59 recognizing Vincent Isip for his 25 years of service to Contra Costa County, as recommended by the Child Support Services Director.
- C. 19** ADOPT Resolution No. 2019/60 recognizing the contributions of Lisa Nielsen on her 25 years of service to Contra Costa County, as recommended by the Child Support Services Director.
- C. 20** ADOPT Resolution No. 2019/64 recognizing Mike Carlson on the occasion of his retirement after 32 years of service with Contra Costa County, as recommended by the Public Works Director. (No fiscal impact)
- C. 21** ADOPT Resolution No. 2019/75 to recognize Brice Edward Bins, Chief Deputy Treasurer-Tax Collector, on the occasion of his 20 years of dedicated service with Contra Costa County Treasurer-Tax Collector's Office.
- C. 22** ADOPT Resolution No. 2019/79 recognizing Michielle B. Maurer, for her 20 years of service to Contra Costa County, as recommended by the County Counsel.

### **Appointments & Resignations**

- C. 23** ACCEPT the resignation of Keith Katzman, DECLARE vacant the Local Committee Moraga Seat on the Advisory Council on Aging, and DIRECT the Clerk of the Board to post the vacancy, as recommended by the Employment and Human Services Director.
- C. 24** ACCEPT the resignation of Deborah St. Pierre from the Contra Costa County Library Commission and DECLARE the seat vacant, as recommended by Supervisor Mitchoff.
- C. 25** REAPPOINT Ms. Emily Barnett to an At Large seat on the Aviation Advisory Committee (AAC) to a term beginning March 1, 2019 and expiring February 28, 2022, as recommended by the Airport Committee. (No fiscal impact)

- C. 26** APPROVE the medical staff appointments and reappointments, additional privileges, advancements, and voluntary resignations as recommend by the Medical Staff Executive Committee and the Health Services Director.
- C. 27** APPOINT Kathryn Ames to At Large #10 seat and REAPPOINT Gail Garrett to the Nutrition Project seat on the Advisory Council on Aging, as recommended by the Family and Human Services Committee.
- C. 28** APPOINT Meaghan Doran to the Business #3 seat, Robert Muller to the Business #9 seat, Romina Gonzalez to the Business #12 seat, and Fred Wood to the Education & Training #2 seat on the Workforce Development Board, as recommended by the Family and Human Services Committee.
- C. 29** APPOINT Leslie Gleason to the ESD Program Grantee seat, Lindy Lavendar to the Community seat, Sherry Lynn Peralta to the Employment and Human Services Department seat, Doug Leich to the Faith Community seat, Manuel Arrendondo to the Health Care seat, and Tony Ucciferri to the Public Housing Authority seat on the Council on Homelessness, as recommended by the Family and Human Services Committee.
- C. 30** APPOINT Matthew Slattengren to the position of Agricultural Commissioner/Sealer of Weights and Measures effective March 13, 2019.
- C. 31** REAPPOINT Bob Mankin to the Discovery Bay P-6 Citizen Advisory Committee, as recommended by Supervisor Burgis.
- C. 32** REAPPOINT Sharon Marsh to the Byron-Brentwood-Knightsen Union Cemetery District, as recommended by Supervisor Burgis.
- C. 33** REAPPOINT Alvin G. Lim to the District 3 seat on the Countywide Bicycle Advisory Committee, as recommended by Supervisor Burgis.
- C. 34** REAPPOINT Michael Daugelli to the Member 2 Alternate seat on the Tri-Delta Transit Authority Board of Directors, as recommended by Supervisor Burgis.
- C. 35** DECLARE vacant the District 3 Family Member seat on the Mental Health Commission, as recommended by Supervisor Burgis.
- C. 36** APPROVE the medical staff appointments and reappointments, additional privileges, advancements, and voluntary resignations as recommend by the Medical Staff Executive Committee and the Health Services Director.
- C. 37** ACCEPT the resignation of Daniel Heinrich, DECLARE vacancy in the Public Representative seat on the Treasury Oversight Committee, and DIRECT the Clerk of the Board to post the vacancy.

- C. 38 APPOINT Supervisor John M. Gioia (District 1) of the Contra Costa County Board of Supervisors to serve on the Association of Bay Area Governments and Metropolitan Transportation Commission, CASA Legislative Task Force, as recommended by Supervisor Gioia.
- C. 39 ACCEPT the resignation of Kathy Cutting, DECLARE a vacancy in the Business Group #1 seat on the Sustainability Commission, and DIRECT the Clerk of the Board to post the vacancy, as recommended by the Conservation and Development Director.
- C. 40 APPOINT Supervisor Candace Andersen as Vice Chair of the 2020 Census Complete Count Steering Committee, as recommended by Supervisor Gioia.

### **Appropriation Adjustments**

- C. 41 Sheriff's Office Field Operations Bureau (0255)/Custody Services Bureau (0300): APPROVE Appropriation Adjustment No.5026 authorizing the transfer of appropriations in the amount of \$747,705 from Sheriff's Custody Services Bureau (0300) to Sheriff's Field Operations Bureau to reassign six (6) Deputy Sheriff-40 Hour (6XWA) (represented) positions. (Cost Neutral)
- C. 42 Sheriff's Office Custody Services Bureau (0300)/Field Operations Bureau (0255): APPROVE Appropriation Adjustment No.5027 authorizing the transfer of appropriations in the amount of \$366,059 from Sheriff's Field Operations Bureau (0255) to Sheriff's Custody Services Bureau (0300) to reassign two (2) Sergeant (6XTA) (represented) positions. (Cost Neutral)

### **Personnel Actions**

- C. 43 ADOPT Position Adjustment Resolution No. 22429 to reassign six Deputy Sheriff-40 Hour (represented) positions and incumbents from Custody Services Bureau (Department 0300) to Field Operations Bureau (Department 0255) in the Office of the Sheriff. (Cost Neutral).
- C. 44 ADOPT Position Adjustment Resolution No.22430 to reassign two Sergeant (represented) vacant positions, from Field Operations Bureau (Department 0255) to Custody Services Bureau (Department 0300) in the Office of the Sheriff. (Cost Neutral)

### **Leases**



- C. 45 APPROVE and AUTHORIZE the Sheriff-Coroner, or designee, to execute a sub-sublease with Concord Jet Service Incorporated, in an amount not to exceed \$650,000 to provide aircraft hangar, office space and helicopter fuel for the period of May 1, 2019 through April 30, 2024. (100% General Fund)
- C. 46 APPROVE and AUTHORIZE the Public Works Director, or designee, to execute a lease amendment with Nancy Weil, *et al*, to extend the lease for ten years for approximately 16,897 square feet of office space located at 2120 Diamond Blvd, Concord, at the initial monthly rate of \$26,190 through December 31, 2019, with annual increases thereafter. (100% Environmental Health)

### Grants & Contracts

#### **APPROVE and AUTHORIZE execution of agreements between the County and the following agencies for receipt of fund and/or services:**

- C. 47 APPROVE and AUTHORIZE the Chief Information Officer-Department of Information Technology, or designee, to execute a contract amendment effective June 30, 2018 with City of Brentwood, to extend the term from June 30, 2018 through June 30, 2020, to allow the Department of Information Technology to continue providing the City with radio maintenance and communication services in accordance with a fixed annual fee schedule. (100% City of Brentwood)
- C. 48 APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with Compass Group USA, Inc. to provide healthy vending machine services to Contra Costa Health Services at specified locations for the period February 1, 2019 through January 31, 2022. (County receives 20% commission for drinks and snack machines and 8% for fresh food machines)
- C. 49 APPROVE and AUTHORIZE the Employment and Human Services Director, or designee, to apply for and accept funding from the U.S. Department of Health and Human Services, Administration for Children and Families in an amount not to exceed \$1,138,429 for Early Head Start supplemental funding for the term July 1, 2019 through June 30, 2020. (80% Federal, 20% In-kind match)
- C. 50 APPROVE and AUTHORIZE the Employment and Human Services Department Director, or designee, to apply for and accept funding from the U.S. Department of Health and Human Services, Administration for Children and Families, Office of Head Start, in an amount not to exceed \$950,000 for a supplemental, one-time grant to provide facility renovation and construction at the central Head Start program kitchen facility. (80% Federal, 20% In-kind match)
- C. 51 APPROVE and AUTHORIZE the County Librarian, or designee, to apply for and accept a grant in the amount of \$20,000 to provide library books and materials at the Juvenile Hall Library and at the Orin Allen Youth Rehabilitation Facility Library for the period February 1, 2019 through June 30, 2020. (No County match)

- C. 52** APPROVE and AUTHORIZE the County Administrator, or designee, to apply for and accept the California Board of State and Community Corrections Proposition 47 Cohort 2 grant in an amount not to exceed \$6,000,000 to for mental health diversion housing and programming for the period August 15, 2019 through May 15, 2023. (100% State)

**APPROVE and AUTHORIZE execution of agreement between the County and the following parties as noted for the purchase of equipment and/or services:**

- C. 53** APPROVE and AUTHORIZE the Employment and Human Services Director, or designee, to execute a contract amendment with Liberty Adult Education, effective April 1, 2019, to increase the payment limit by \$16,001 to a new contract payment limit not to exceed \$80,001 and to add a service training component to a skills training program for Welfare-to-Work participants of the California Work Opportunity and Responsibility to Kids Program. (100% State)
- C. 54** APPROVE and AUTHORIZE the Employment and Human Services Director, or designee, to execute a contract amendment with Mt. Diablo Adult Education, effective April 1, 2019, to increase the payment limit by \$15,982 to a new contract payment limit not to exceed \$68,982 and to add a service training component to a skills training program for Welfare-to-Work participants of the California Work Opportunity and Responsibility to Kids Program. (100% State)
- C. 55** APPROVE and AUTHORIZE the Employment and Human Services Director, or designee, to execute a contract amendment with West Contra Costa Adult Education, effective April 1, 2019, to increase the payment limit by \$14,725 to a new contract payment limit not to exceed \$76,448 and to add a service training component to a skills training program for Welfare-to-Work participants of the California Work Opportunity and Responsibility to Kids Program. (100% State)
- C. 56** APPROVE and AUTHORIZE the Chief Information Officer-Department of Information Technology, or designee, to execute an ordering document under the existing Oracle Master Agreement with Oracle America, Inc., in an amount not to exceed \$245,800 for Oracle program technical support services for software updates and support for various County departmental systems for the period May 31, 2019 through May 30, 2020. (100% User Fees)
- C. 57** APPROVE and AUTHORIZE the Auditor-Controller, or designee, to pay stipends in an amount not to exceed \$15 for each half day, or \$25 each full day event to individual foster parents and birth parents, for a total payment amount not to exceed \$50,000, for attendance at training workshops, focus groups, and meetings for the period July 1, 2019 through June 30, 2021. (58% Federal, 33% State, and 9% County)

- C. 58** APPROVE and AUTHORIZE the County Probation Officer, or designee, to execute a contract with the California Department of Corrections and Rehabilitation, in an amount not to exceed \$149,856 beginning upon Board and State approval through June 30, 2021 for diagnostic and treatment services to youth referred from Juvenile Court in Contra Costa County and in custody at Department of Juvenile Justice (DJJ) facilities. (100% County General Fund)
- C. 59** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with Healthrisk Resource Group, Inc., in an amount not to exceed \$300,000 to provide claims processing and negotiations services to Contra Costa Health Plan members for the period March 1, 2019 through February 28, 2021. (100% contingency fee from savings)
- C. 60** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with Anka Behavioral Health, Incorporated in an amount not to exceed \$147,414 to provide socialization and vocational rehabilitative services to adults with severe and persistent mental illness for the period January 1, 2019 through June 30, 2019 with a six-month automatic extension through December 31, 2019 in an amount not to exceed \$143,244. (100% Mental Health Realignment)
- C. 61** APPROVE and AUTHORIZE the Sheriff- Coroner, or designee, to execute a contract with West Advanced Technologies, Inc. in an amount not to exceed \$450,000 to provide consulting, design development, programming services, and maintenance and support for the Automated Regional Information Exchange System (ARIES) for the period April 1, 2019 through March 31, 2021. (up to 100% Urban Area Security Initiative funds, any remaining amount from Agency User fees)
- C. 62** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with Jennifer Lee Miles, M.D., in an amount not to exceed \$199,680 to provide outpatient psychiatric care services to children and adolescents for the period April 1, 2019 through March 31, 2020. (50% Federal Medi-Cal, 50% Mental Health Realignment)
- C. 63** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with Pediatrix Medical Group of California, a Professional Corporation, in an amount not to exceed \$450,000 to provide newborn hearing screening services for Contra Costa Regional Medical Center and Health Centers patients for the period August 1, 2019 through July 31, 2022. (100% Hospital Enterprise Fund I)
- C. 64** APPROVE and AUTHORIZE the Purchasing Agent, on behalf of the Health Services Director, to execute a purchase order with Carefusion 303, Inc., in an amount not to exceed \$370,788 for the replacement of the Model 8015, Becton Dickinson Alaris Point of Care Unit anesthesia pumps at Contra Costa Regional Medical Center. (100% Hospital Enterprise Fund I)

- C. 65** APPROVE and AUTHORIZE the Purchasing Agent, on behalf of the Health Services Director, to execute a contract amendment with Emocha Mobile Health, Inc., effective March 1, 2019, to increase the payment limit by \$93,960 for a new payment limit of \$250,440 for three additional years of tuberculosis monitoring software and to extend the termination date from April 30, 2019 to April 30, 2022. (100% Hospital Enterprise Fund I)
- C. 66** APPROVE and AUTHORIZE the Employment and Human Services Director, or designee, to execute a contract amendment with Contra Costa Senior Legal Services, effective March 1, 2019, to increase the payment limit by \$90,688 for a new contract payment limit of \$200,193 with no change to the term of July 1, 2018 through June 30, 2019. (100% Federal)
- C. 67** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with Medic Shuttle, LLC, in an amount not to exceed \$900,000 to provide non-emergency transportation/paratransit services for Contra Costa Health Plan members for the period April 1, 2019 through March 31, 2021. (100% Contra Costa Health Plan Enterprise Fund II)
- C. 68** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with Touchstone Counseling Services, Inc., in an amount not to exceed \$300,000 to provide outpatient psychotherapy services for Contra Costa Health Plan members for the period April 1, 2019 through March 31, 2021. (100% Contra Costa Health Plan Enterprise Fund II)
- C. 69** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with Dialysis Access Center, A Medical Corporation, in an amount not to exceed \$900,000 to provide dialysis services for Contra Costa Health Plan members for the period April 1, 2019 through March 31, 2021. (100% Contra Costa Health Plan Enterprise Fund II)
- C. 70** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with Sun Healthcare and Surgery Group, Inc., in an amount not to exceed \$2,500,000 to provide podiatry and wound care services for Contra Costa Health Plan members for the period April 1, 2019 through March 31, 2021. (100% Contra Costa Health Plan Enterprise Fund II)
- C. 71** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with Robin Wendy Asher, M.D., in an amount not to exceed \$239,616 to provide outpatient psychiatric care services to children and adolescents in Central Contra Costa County for the period April 1, 2019 through March 31, 2020. (50% Federal Medi-Cal, 50% Mental Health Realignment)

- C. 72** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract amendment with the Regents of the University of California, on behalf of its University of California, San Francisco School of Medicine, effective July 1, 2019, to increase the payment limit by \$120,000 for a new payment limit of \$330,000 and extend the termination date from June 30, 2019 to June 30, 2022, to provide a residency training program in family medicine. (100% Hospital Enterprise Fund I)
- C. 73** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract amendment with Ronald L. Leon, M.D., Inc., effective April 1, 2019, to increase the payment limit by \$12,480 to a new payment limit of \$222,144, for additional psychiatric services with no change in the term of April 1, 2019 through March 31, 2020. (100% Mental Health Realignment)
- C. 74** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with Daniel May, M.D., in an amount not to exceed \$279,552 to provide outpatient psychiatric services for County patients in West County for the period May 1, 2019 through April 30, 2020. (100% Mental Health Realignment)
- C. 75** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with David A. Schwartz, M.D., in an amount not to exceed \$174,720 to provide outpatient psychiatric services for adults in Central Contra Costa County for the period May 1, 2019 through April 30, 2020. (100% Mental Health Realignment)
- C. 76** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with Andrew Keyser, M.D, in an amount not to exceed \$211,000 to provide emergency medicine services for Contra Costa Regional Medical Center and Health Centers for the period April 10, 2019 through March 31, 2020. (100% Hospital Enterprise Fund I)
- C. 77** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with Northern California Cornea Associates, Inc. in an amount not to exceed \$300,000 to provide ophthalmology and optometry services for Contra Costa Health Plan members for the period April 1, 2019 through March 31, 2021. (100% Contra Costa Health Plan Enterprise Fund II)
- C. 78** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with Contra Costa Eye Medical Center, Inc., in an amount not to exceed \$250,000 to provide ophthalmology services for Contra Costa Health Plan members for the period April 1, 2019 through March 31, 2021. (100% Contra Costa Health Plan Enterprise Fund II)

- C. 79** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with Connect Hearing, Inc., in an amount not to exceed \$120,000 to provide hearing aid dispensing services for Contra Costa Health Plan members for the period April 1, 2019 through March 31, 2021. (100% Contra Costa Health Plan Enterprise Fund II)
- C. 80** APPROVE and AUTHORIZE the Purchasing Agent, on behalf of the Health Services Director, to execute a Purchase Order with Sam Clar Office Furniture, Inc. in an amount not to exceed \$159,540 for furniture purchase at 2120 Diamond Blvd for the expansion of the Environmental Health Division. (100% Hazardous Materials fees)

### **Other Actions**

- C. 81** ACCEPT Animal Services Department mid-fiscal year presentation on Shelter Enrichment Team program. (No Fiscal Impact)
- C. 82** ADOPT the Amended and Restated Bylaws of the Contra Costa County Library Commission (2019), as recommended by the Library Commission and County Librarian. (No fiscal impact)
- C. 83** ACCEPT the canvass of votes for the February 12, 2019 Special Election showing that the measure for Police Services in County Service Area P-6, Zone 2904, Supervisorial District 2 - Unincorporated Area of Lafayette passed, as recommended by the Clerk-Recorder. (No fiscal impact)
- C. 84** ADOPT Resolution No. 2019/69 authorizing operation of the Energy Efficient Equity Property Assessed Clean Energy financing program in the unincorporated area of the County and AUTHORIZE the Conservation and Development Director, or designee, to execute an Operating Agreement with the California Municipal Finance Authority. (No fiscal impact)
- C. 85** UPDATE the official list of persons to serve, in priority order, as standby officers for the respective members of the Board of Supervisors, as recommended by the County Administrator.
- C. 86** APPROVE the amended Conflict of Interest Code for the Acalanes Union High School District (“District”), including the list of designated positions as recommended by County Counsel.
- C. 87** APPROVE amendments to the list of designated positions of the Mt. View Sanitary District's Conflict of Interest Code as recommended by County Counsel.

- C. 88** APPROVE the 2017-2027 Comprehensive County Child Care Needs Assessment prepared by Brion Economics, Inc., on behalf of Contra Costa County Local Planning and Advisory Council for Early Care and Education, as recommended by the Family and Human Services Committee. (No fiscal impact)
- C. 89** APPROVE the ADA Upgrades to Juvenile Hall Gymnasium Project and take related actions under the California Environmental Quality Act, as recommended by the Public Works Director, Martinez area. (100% Facilities Lifecycle Improvement Program Funds)
- C. 90** APPROVE the ADA Upgrades to Juvenile Hall Main Building Project and take related actions under the California Environmental Quality Act, as recommended by the Public Works Director, Martinez area. (100% Facilities Lifecycle Improvement Program Funds)
- C. 91** APPROVE and AUTHORIZE the Auditor-Controller, or designee, to distribute the 2017-18 State Highway property rental pursuant to the provisions of Section 104.10 of the Streets and Highways Code, as recommended by the County Auditor-Controller. (91% County Road Fund, 7% City of Lafayette, 2% City of Walnut Creek)
- C. 92** ACCEPT the Treasurer's Quarterly Investment Report as of December 31, 2018, as recommended by the County Treasurer-Tax Collector. (No fiscal impact)
- C. 93** ACKNOWLEDGE, RATIFY and APPROVE the delegation of authority to the Executive Director of the Contra Costa County Mayors' Conference to act as permanent secretary and recording officer of the City Selection Committee under Government Code section 50270, et seq., and carry out all other functions of the Clerk to the Selection Committee, as recommended by the County Administrator.

### **GENERAL INFORMATION**

The Board meets in all its capacities pursuant to Ordinance Code Section 24-2.402, including as the Housing Authority and the Successor Agency to the Redevelopment Agency. Persons who wish to address the Board should complete the form provided for that purpose and furnish a copy of any written statement to the Clerk.

Any disclosable public records related to an open session item on a regular meeting agenda and distributed by the Clerk of the Board to a majority of the members of the Board of Supervisors less than 96 hours prior to that meeting are available for public inspection at 651 Pine Street, First Floor, Room 106, Martinez, CA 94553, during normal business hours.

All matters listed under CONSENT ITEMS are considered by the Board to be routine and will be enacted by one motion. There will be no separate discussion of these items unless requested by a member of the Board or a member of the public prior to the time the Board votes on the motion to adopt.

Persons who wish to speak on matters set for PUBLIC HEARINGS will be heard when the Chair

calls for comments from those persons who are in support thereof or in opposition thereto. After persons have spoken, the hearing is closed and the matter is subject to discussion and action by the Board. Comments on matters listed on the agenda or otherwise within the purview of the Board of Supervisors can be submitted to the office of the Clerk of the Board via mail: Board of Supervisors, 651 Pine Street Room 106, Martinez, CA 94553; by fax: 925-335-1913.

The County will provide reasonable accommodations for persons with disabilities planning to attend Board meetings who contact the Clerk of the Board at least 24 hours before the meeting, at (925) 335-1900; TDD (925) 335-1915. An assistive listening device is available from the Clerk, Room 106.

Copies of recordings of all or portions of a Board meeting may be purchased from the Clerk of the Board. Please telephone the Office of the Clerk of the Board, (925) 335-1900, to make the necessary arrangements.

Forms are available to anyone desiring to submit an inspirational thought nomination for inclusion on the Board Agenda. Forms may be obtained at the Office of the County Administrator or Office of the Clerk of the Board, 651 Pine Street, Martinez, California.

Subscribe to receive to the weekly Board Agenda by calling the Office of the Clerk of the Board, (925) 335-1900 or using the County's on line subscription feature at the County's Internet Web Page, where agendas and supporting information may also be viewed:

[www.co.contra-costa.ca.us](http://www.co.contra-costa.ca.us)

### **STANDING COMMITTEES**

The **Airport Committee** (Supervisors Diane Burgis and Karen Mitchoff) meets quarterly on the second Wednesday of the month at 11:00 a.m. at the Director of Airports Office, 550 Sally Ride Drive, Concord.

The **Family and Human Services Committee** (Supervisors Candace Andersen and John Gioia) meets on the fourth Monday of the month at 10:30 a.m. in Room 101, County Administration Building, 651 Pine Street, Martinez.

The **Finance Committee** (Supervisors Karen Mitchoff and John Gioia) meets on the fourth Monday of the month at 9:00 a.m. in Room 101, County Administration Building, 651 Pine Street, Martinez.

The **Hiring Outreach Oversight Committee** (Supervisors Federal D. Glover and Candace Andersen) meets on the first Monday of every other month at 1:00 p.m. in Room 101, County Administration Building, 651 Pine Street, Martinez.

The **Internal Operations Committee** (Supervisors Diane Burgis and Candace Andersen) meets on the second Monday of the month at 1:00 p.m. in Room 101, County Administration Building, 651 Pine Street, Martinez.

The **Legislation Committee** (Supervisors Diane Burgis and Karen Mitchoff) meets on the second Monday of the month at 10:30 a.m. in Room 101, County Administration Building, 651 Pine



Street, Martinez.

The **Public Protection Committee** (Supervisors John Gioia and Federal D. Glover) meets on the first Monday of the month at 10:30 a.m. in Room 101, County Administration Building, 651 Pine Street, Martinez.

The **Sustainability Committee** (Supervisors John Gioia and Federal D. Glover) meets on the fourth Monday of every other month at 1:00 p.m. in Room 101, County Administration Building, 651 Pine Street, Martinez.

The **Transportation, Water & Infrastructure Committee** (Supervisors Karen Mitchoff and Candace Andersen) meets on the second Monday of the month at 9:00 a.m. in Room 101, County Administration Building, 651 Pine Street, Martinez.

|  |  |            |           |
|--|--|------------|-----------|
| Airports Committee                               | May 8, 2019                                  | 11:00 a.m. | See above |
| Family & Human Services Committee                | March 25, 2019<br>Canceled<br>April 22, 2019 | 10:30 a.m. | See above |
| Finance Committee                                | March 25, 2019                               | 9:00 a.m.  | See above |
| Hiring Outreach Oversight Committee              | April 1, 2019                                | 1:00 p.m.  | See above |
| Internal Operations Committee                    | April 8, 2019                                | 1:00 p.m.  | See above |
| Legislation Committee                            | April 8, 2019                                | 10:30 a.m. | See above |
| Public Protection Committee                      | April 1, 2019                                | 10:30 a.m. | See above |
| Sustainability Committee                         | March 25, 2019                               | 12:30 p.m. | See above |
| Transportation, Water & Infrastructure Committee | April 8, 2019                                | 9:00 a.m.  | See above |

**AGENDA DEADLINE: Thursday, 12 noon, 12 days before the Tuesday Board meetings.**

### **Glossary of Acronyms, Abbreviations, and other Terms (in alphabetical order):**

Contra Costa County has a policy of making limited use of acronyms, abbreviations, and industry-specific language in its Board of Supervisors meetings and written materials. Following is a list of commonly used language that may appear in oral presentations and written materials associated with Board meetings:

**AB** Assembly Bill

**ABAG** Association of Bay Area Governments

**ACA** Assembly Constitutional Amendment  
**ADA** Americans with Disabilities Act of 1990  
**AFSCME** American Federation of State County and Municipal Employees  
**AICP** American Institute of Certified Planners  
**AIDS** Acquired Immunodeficiency Deficiency Syndrome  
**ALUC** Airport Land Use Commission  
**AOD** Alcohol and Other Drugs  
**ARRA** American Recovery & Reinvestment Act of 2009  
**BAAQMD** Bay Area Air Quality Management District  
**BART** Bay Area Rapid Transit District  
**BayRICS** Bay Area Regional Interoperable Communications System  
**BCDC** Bay Conservation & Development Commission  
**BGO** Better Government Ordinance  
**BOS** Board of Supervisors  
**CALTRANS** California Department of Transportation  
**CalWIN** California Works Information Network  
**CalWORKS** California Work Opportunity and Responsibility to Kids  
**CAER** Community Awareness Emergency Response  
**CAO** County Administrative Officer or Office  
**CCE** Community Choice Energy  
**CCCFPD (ConFire)** Contra Costa County Fire Protection District  
**CCHP** Contra Costa Health Plan  
**CCTA** Contra Costa Transportation Authority  
**CCRMC** Contra Costa Regional Medical Center  
**CCWD** Contra Costa Water District  
**CDBG** Community Development Block Grant  
**CFDA** Catalog of Federal Domestic Assistance  
**CEQA** California Environmental Quality Act  
**CIO** Chief Information Officer  
**COLA** Cost of living adjustment  
**ConFire (CCCFPD)** Contra Costa County Fire Protection District  
**CPA** Certified Public Accountant  
**CPI** Consumer Price Index  
**CSA** County Service Area  
**CSAC** California State Association of Counties  
**CTC** California Transportation Commission  
**dba** doing business as  
**DSRIP** Delivery System Reform Incentive Program  
**EBMUD** East Bay Municipal Utility District  
**ECCFPD** East Contra Costa Fire Protection District  
**EIR** Environmental Impact Report  
**EIS** Environmental Impact Statement  
**EMCC** Emergency Medical Care Committee  
**EMS** Emergency Medical Services  
**EPSDT** Early State Periodic Screening, Diagnosis and Treatment Program (Mental Health)  
**et al.** et alii (and others)  
**FAA** Federal Aviation Administration  
**FEMA** Federal Emergency Management Agency

**F&HS** Family and Human Services Committee  
**First 5** First Five Children and Families Commission (Proposition 10)  
**FTE** Full Time Equivalent  
**FY** Fiscal Year  
**GHAD** Geologic Hazard Abatement District  
**GIS** Geographic Information System  
**HCD** (State Dept of) Housing & Community Development  
**HHS** (State Dept of) Health and Human Services  
**HIPAA** Health Insurance Portability and Accountability Act  
**HIV** Human Immunodeficiency Virus  
**HOME** Federal block grant to State and local governments designed exclusively to create affordable housing for low-income households  
**HOPWA** Housing Opportunities for Persons with AIDS Program  
**HOV** High Occupancy Vehicle  
**HR** Human Resources  
**HUD** United States Department of Housing and Urban Development  
**IHSS** In-Home Supportive Services  
**Inc.** Incorporated  
**IOC** Internal Operations Committee  
**ISO** Industrial Safety Ordinance  
**JPA** Joint (exercise of) Powers Authority or Agreement  
**Lamorinda** Lafayette-Moraga-Orinda Area  
**LAFCo** Local Agency Formation Commission  
**LLC** Limited Liability Company  
**LLP** Limited Liability Partnership  
**Local 1** Public Employees Union Local 1  
**LVN** Licensed Vocational Nurse  
**MAC** Municipal Advisory Council  
**MBE** Minority Business Enterprise  
**M.D.** Medical Doctor  
**M.F.T.** Marriage and Family Therapist  
**MIS** Management Information System  
**MOE** Maintenance of Effort  
**MOU** Memorandum of Understanding  
**MTC** Metropolitan Transportation Commission  
**NACo** National Association of Counties  
**NEPA** National Environmental Policy Act  
**OB-GYN** Obstetrics and Gynecology  
**O.D.** Doctor of Optometry  
**OES-EOC** Office of Emergency Services-Emergency Operations Center  
**OPEB** Other Post Employment Benefits  
**OSHA** Occupational Safety and Health Administration  
**PACE** Property Assessed Clean Energy  
**PARS** Public Agencies Retirement Services  
**PEPRA** Public Employees Pension Reform Act  
**Psy.D.** Doctor of Psychology  
**RDA** Redevelopment Agency  
**RFI** Request For Information

**RFP** Request For Proposal  
**RFQ** Request For Qualifications  
**RN** Registered Nurse  
**SB** Senate Bill  
**SBE** Small Business Enterprise  
**SEIU** Service Employees International Union  
**SUASI** Super Urban Area Security Initiative  
**SWAT** Southwest Area Transportation Committee  
**TRANSPAC** Transportation Partnership & Cooperation (Central)  
**TRANSPLAN** Transportation Planning Committee (East County)  
**TRE** or **TTE** Trustee  
**TWIC** Transportation, Water and Infrastructure Committee  
**UASI** Urban Area Security Initiative  
**VA** Department of Veterans Affairs  
**vs.** versus (against)  
**WAN** Wide Area Network  
**WBE** Women Business Enterprise  
**WCCTAC** West Contra Costa Transportation Advisory Committee



**Contra  
Costa  
County**

To: Board of Supervisors  
From: Brian M. Balbas, Public Works Director/Chief Engineer  
Date: March 12, 2019

Subject: Establish Load Limits and Lane Restrictions for the Jersey Island Road Bridge over Dutch Slough, Oakley area.

---

**RECOMMENDATION(S):**

OPEN the public hearing on Resolution No. 2019/70, receive testimony, and CLOSE the public hearing.

FIND that the Jersey Island Road Bridge over Dutch Slough, northeast of the City of Oakley, must remain under load restriction and lane reduction until repair project completion;

ADOPT the attached Resolution No. 2019/70, which closes the northbound lane to traffic for the full length of the Jersey Island Road Bridge, and sets the load restriction limits to 11 tons per vehicle for Type 3 Truck, 17 tons per semi-trailer combination for Type 3S2 Truck, and 22 tons per truck and full trailer for Type 3-3 Truck, until repairs are completed.

DIRECT the Clerk of the Board of Supervisors to publish the resolution in the Contra Costa Times within 15 days after its adoption, with the names of the Supervisors voting for and against it.

DIRECT the Public Works Director, or designee, to post appropriate signs at the north and south entrances to the bridge, on Jersey Island Road, to provide notice of the restriction imposed by the resolution.

**FISCAL IMPACT:**

No fiscal impact.

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Kevin Emigh,  
925-313-2233

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

## BACKGROUND:

The Jersey Island Road Bridge (Bridge) over Dutch Slough (Bridge No. 28C-0405) was evaluated by Caltrans' Load Ratings Branch last July and August, 2018, due to multiple timber columns that are deteriorating. After that initial analysis, Caltrans directed Contra Costa County to remove traffic from the northbound lane until repairs could be completed. Additionally, the Load Ratings Branch concluded that the bridge must be temporarily posted for the following legal trucks until the County performs necessary repairs:

Type 3 Truck: 11 TONS PER VEHICLE

Type 3S2 Truck: 17 TONS PER SEMI-TRAILER COMBINATION

Type 3-3 Truck: 22 TONS PER TRUCK AND FULL TRAILER

On November 8, 2018, Caltrans Office of Structure Maintenance and Investigation issued to the County via e-mail and phone a Record of Critical Findings requiring County's action to close the northbound lane and restrict loads on the remaining open lane on the Jersey Island Road Bridge over Dutch Slough.

On November 13, 2018, Contra Costa County Public Works Department released a public notice indicating the temporary lane closure and short-term load restrictions.

On November 14, 2018, Contra Costa County Public Works Department installed load restriction signs on Jersey Island Road at the entrances to the bridge over Dutch Slough.

On December 13, 2018, the County installed temporary traffic signals at either end of the bridge to control the one-lane condition on the bridge.

The current signs posted at the bridge are considered temporary and are allowed for 90 days. After 90 days, the temporary posting signs will not be enforceable. In order to legally post the bridge, CVC Section 35751 requires that the public be formally notified and a hearing conducted.

The planned Jersey Island Bridge Replacement Project (County Project No. 0662-6U4134), which will improve the structure such that the load and lane restrictions can be lifted, is expected to be completed by Summer 2019. The main reason for delay in repairs is due to regulatory permit restrictions for work in the waterway, limiting construction to the summer months.

## CONSEQUENCE OF NEGATIVE ACTION:

Vehicles exceeding the safe load capacity of the Jersey Island Road Bridge would be allowed to use the structure, causing further deterioration of the structure, exposing bridge users to unsafe conditions.

## ATTACHMENTS

Resolution No. 2019/70

Recorded at the request of: Clerk of the Board

Return To: Public Works Department, Design/Construction Division

**THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA  
and for Special Districts, Agencies and Authorities Governed by the Board**

**Adopted this Resolution on 03/12/2019 by the following vote:**

|                 |                          |
|-----------------|--------------------------|
| <b>AYE:</b>     | <input type="checkbox"/> |
| <b>NO:</b>      | <input type="checkbox"/> |
| <b>ABSENT:</b>  | <input type="checkbox"/> |
| <b>ABSTAIN:</b> | <input type="checkbox"/> |
| <b>RECUSE:</b>  | <input type="checkbox"/> |

Resolution No. 2019/70

In the Matter of Adopting the Resolution to Establish Load Limits and Lane Restrictions for the Jersey Island Road Bridge over Dutch Slough, Oakley area, (District III)

WHEREAS, in accordance with the National Bridge Inspection Standards, the California Department of Transportation caused an engineering investigation to be made of Bridge No. 28C0405, Jersey Island Road Bridge over Dutch Slough, northeast of the City of Oakley, to determine the maximum weight which such structure or bridge, with safety to itself, will sustain; and

WHEREAS a public hearing was conducted in the Contra Costa County Board Chambers Room 107, Administration Building, 651 Pine Street on March 12, 2019 at 10:00am by Catherine Tarala, Area Bridge Maintenance Engineer, Structure Maintenance and Investigations, California Department of Transportation, after public notice thereof by posting on said bridge at least five days before said hearing, and all evidence presented at said time and place was heard, including the report of the engineering investigation made by the California Department of Transportation, and thereafter said Catherine Tarala reported the findings in writing with respect thereto;

WHEREAS, these findings are as follows:

Post the structure for:

- 11 Tons Per Vehicle 17 Tons Per Semi-Trailer Combination
- 22 Tons Per Truck and Full Trailer
- Northbound Lane Closure

NOW, THEREFORE, upon the basis of said engineering investigation, the evidence presented at said public hearing, and the findings heretofore reported in writing,

IT IS HEREBY DETERMINED AND DECLARED that the maximum load which said bridge or other structure will sustain, with safety to itself, is as herein before set forth in respect to such bridge or structure.

The order shall become effective upon the erection of signs in accordance with the provisions of Section 35752 of the Vehicle Code.

**Contact: Kevin Emigh, 925-313-2233**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

**ATTESTED: March 12, 2019**

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

**cc:**





Contra  
Costa  
County

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Report on the 2018 Annual Performance of Alliance Emergency Ambulance Services

---

**RECOMMENDATION(S):**

CONSIDER accepting the report from County EMS Director and Contra Costa County Fire Chief providing the 2018 Annual Performance Evaluation Report of Alliance Emergency Ambulance Medical Services; and

ACKNOWLEDGE that the 2018 Code 2 outlier fees are assigned as partial cost recovery for ambulance patient offload delays.

**FISCAL IMPACT:**

Outlier fees associated with Code two ambulance responses totaling \$117,000 were accumulated during 2018.

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Patricia Frost,  
925-608-5454

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Patricia Weisinger, Marcy Wilhelm

FISCAL IMPACT: (CONT'D)

BACKGROUND:

Contra Costa Fire Protection District was awarded the contract for Emergency Ambulance Services for Exclusive Areas I, II and V covering approximately 92% of county on January 1, 2016. Emergency Ambulance Services covered under this agreement perform these services utilizing the unique emergency ambulance service delivery model known as the Alliance with Contra Costa Fire Protection District being responsible for the subcontractor performance provided by American Medical Response. The Alliance model emergency ambulance service agreement has provided exemplary service.

This is the third annual performance evaluation report to the Board of Supervisors of this contract. The joint presentation by the EMS Agency and Contractor (Contra Costa Fire Protection District) will describe Emergency Ambulance Services delivered during 2017.

The performance evaluation specifies a report on the following:

1. Response Time performance standards assessed with reference to the minimum requirements in the Contract
2. Clinical performance standards assessed with reference to the minimum requirements in the contract.
3. Initiation of innovative programs to improve system performance
4. Workforce stability, including documented efforts to minimize employee turnover;
5. Compliance of pricing and revenue recovery efforts with rules and regulations
6. Compliance with information reporting requirements
7. Financial stability and sustainability

Under the contract, the EMS Agency may impose penalty fees if Code 2 outlier responses do not meet standards specified in the contract. During performance year 2017 the EMS Agency Director and Contra Costa Fire Chief established a data driven analysis and improvement process for providing uniform service delivery by managing delays in Code 2 responses countywide. Similar to last year, they recommended that outlier fees for 2018 serve as partial cost recovery.

| <b>Year</b> | <b>Code 2 Outliers Total Number/Fee**</b> | <b>Code 2 Outlier Optimization Re-investment</b>  |
|-------------|---|---|
| 2018        | 103 (\$117,000)                           | Partial Cost Recovery for Hospital Ambulance Patient Offload Delays of > 60 minutes in 2018 |

\*\* Outlier Responses determined within reasonable control of the provider.

CONSEQUENCE OF NEGATIVE ACTION:

The Board will not receive the Alliance performance evaluation report as required under the emergency ambulance contract. The Code 2 outlier annual appeals resolution negotiated through the contract dispute resolution process will not be approved.

ATTACHMENTS

Report

# Annual Performance Evaluation Emergency Medical Services (EMS)

A Comprehensive Report of Emergency Ambulance Services  
Delivered by the Alliance in Collaboration with the Contra Costa  
County EMS Agency (CCCEMS)  
Year 2018

Pat Frost, EMS Director  
Contra Costa County EMS Agency  
Jeff Carman, Fire Chief  
Contra Costa County Fire Protection District



# Performance Evaluation

- \* **Performance Based Contract:**

- \* Key Driver of Countywide EMS System enhancement

- \* **Performance Report**

- \* Response times
- \* Clinical Performance
- \* Innovation
- \* Workforce Stability
- \* Pricing & Revenue Recovery
- \* Reporting Compliance
- \* Fiscal Stability & Sustainability



# The Alliance

## *Year Three of Exemplary Service*

- \* **On January 1, 2016,**

Contra Costa County Fire Protection District (CCCFPD) assumed Emergency Ambulance Services for Exclusive Operating Areas (EOAs) I, II and V covering West, Central and East County = 92% of the County.

- ❖ *Initial Agreement January 1, 2016 thru December 31, 2020*

- ❖ *“Earned Contract Extension” for additional 5 years*

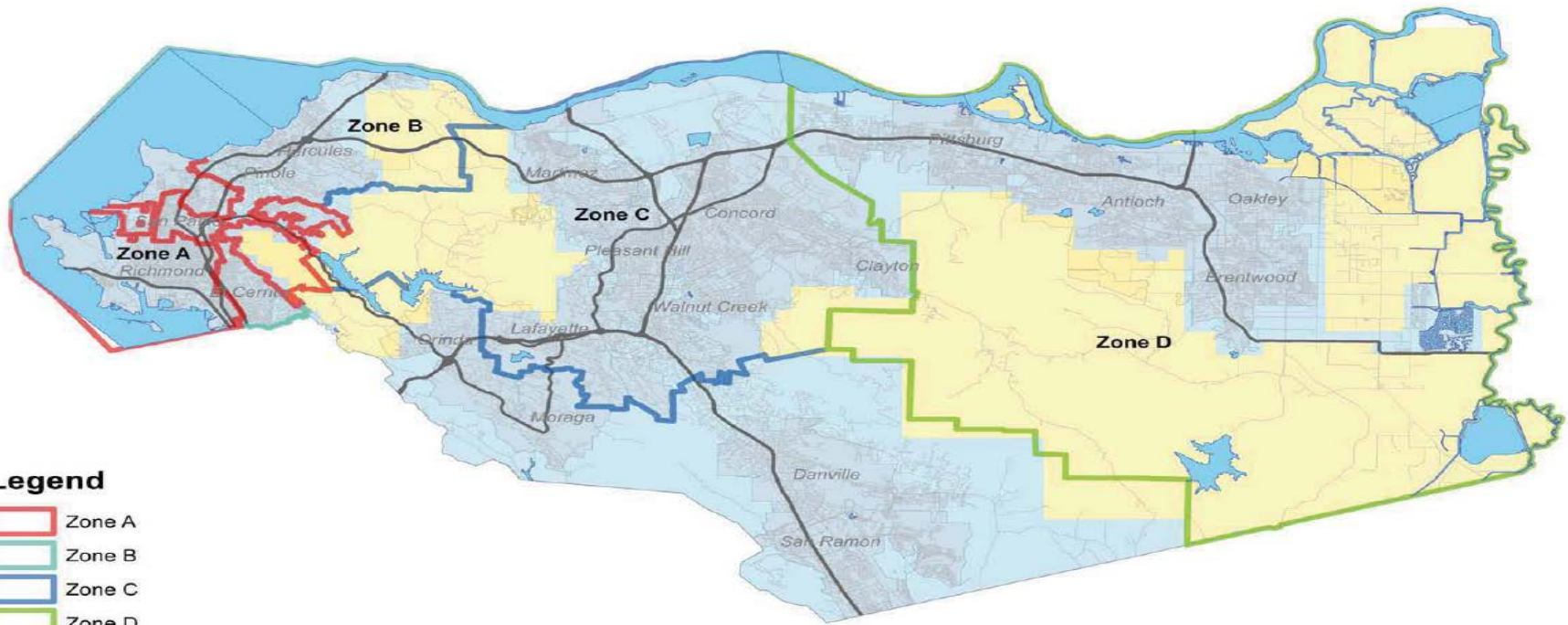
- ❖ *From January 1, 2020 through December 31, 2025*

- ❖ *LEMSA recommendation and findings report to BOS in April*

- \* **Alliance Model:** CCCFPD (contractor) operationally responsible for the subcontractor performance provided by American Medical Response (AMR). CCCEMS (contract performance oversight and compliance evaluator).

# Alliance Ambulance Response Zones

## Contra Costa County High Density/Low Density Response Zones - Plan A



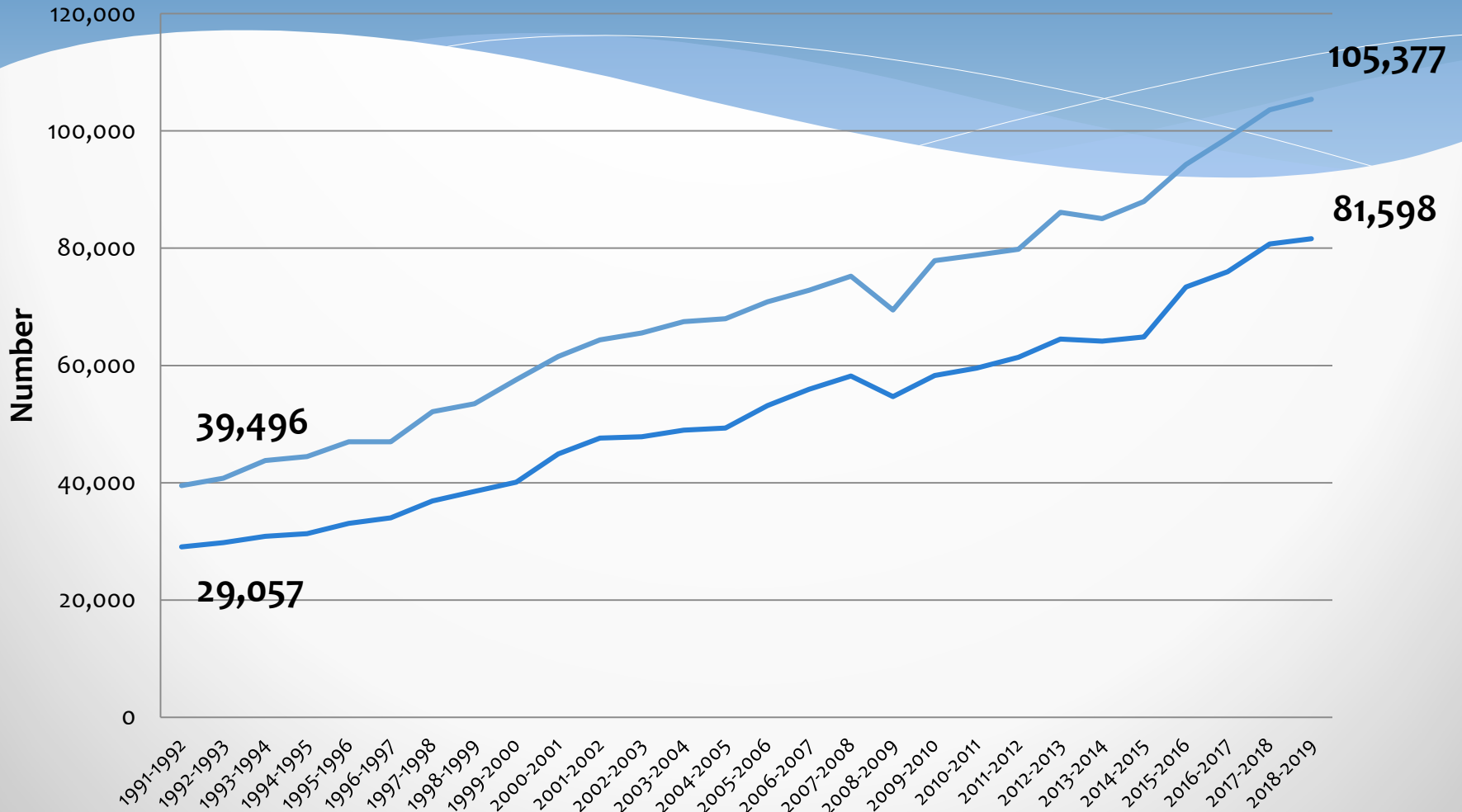
### Legend

- Zone A
- Zone B
- Zone C
- Zone D
- High Density
- Low Density

Source: Contra Costa EMS  
December 2014

0 4.25 8.5 17 Miles

# Contra Costa Emergency Medical Services Total EMS System Response and Transport Volume



# Countywide EMS Ambulance Volume 2018

## *A Powerhouse of 9-1-1 EMS System Delivery*

|                                 | All Providers |               | Contra Costa County Fire |               |
|---------------------------------|---------------|---------------|--------------------------|---------------|
|                                 | #             | %             | #                        | %             |
| <b>Total Dispatches</b>         | <b>105434</b> | <b>100.0%</b> | <b>94836</b>             | <b>100.0%</b> |
| Transported                     | 81598         | 77.4%         | 74704                    | 78.8%         |
| Canceled                        | 23836         | 22.6%         | 20132                    | 21.2%         |
| <b>Total Patient Transports</b> | <b>81598</b>  | <b>100.0%</b> | <b>74704</b>             | <b>100.0%</b> |
| Transported Code 3              | 4607          | 5.6%          | 4097                     | 5.5%          |
| Transported Code 2              | 76064         | 93.2%         | 69680                    | 93.3%         |
| Transport Code Not Reported     | 927           | 1.1%          | 927                      | 1.2%          |
| <b>Total Canceled</b>           | <b>23836</b>  | <b>100.0%</b> | <b>20132</b>             | <b>100.0%</b> |
| Enroute                         | 6467          | 27.1%         | 5515                     | 27.4%         |
| On Scene                        | 17369         | 72.9%         | 14617                    | 72.6%         |



# Alliance EMS System Footprint Countywide (2018)

**89%** of All EMS Ambulance Responses

**91%** of All EMS Ambulance Transports

# Response Time Performance Highly Reliable Service

| Response Area            | Response Time Performance Requirement High Density (Code 3) | Alliance Performance 2016 | Alliance Performance 2017 | Alliance Performance 2018 | Average Response Time (in minutes) 2018 |
|--------------------------|---|---------------------------|---------------------------|---------------------------|---|
| <b>Zone A (Richmond)</b> | 10:00 minutes<br>90% of the time                            | 94%                       | <b>96 %</b>               | 96%                       | <b>5:35</b>                             |
| <b>Zone B (West)</b>     | 11:45 minutes<br>90% of the time                            | 95 %                      | <b>96 %</b>               | 96%                       | <b>6:29</b>                             |
| <b>Zone C (Central)</b>  | 11:45 minutes<br>90% of the time                            | 94%                       | <b>95 %</b>               | 95%                       | <b>6:35</b>                             |
| <b>Zone D** (East)</b>   | 11:45 minutes<br>90% of the time                            | 94%                       | <b>95 %</b>               | 95%                       | <b>6:42</b>                             |

\* Average compliance prior to Alliance model was 93%

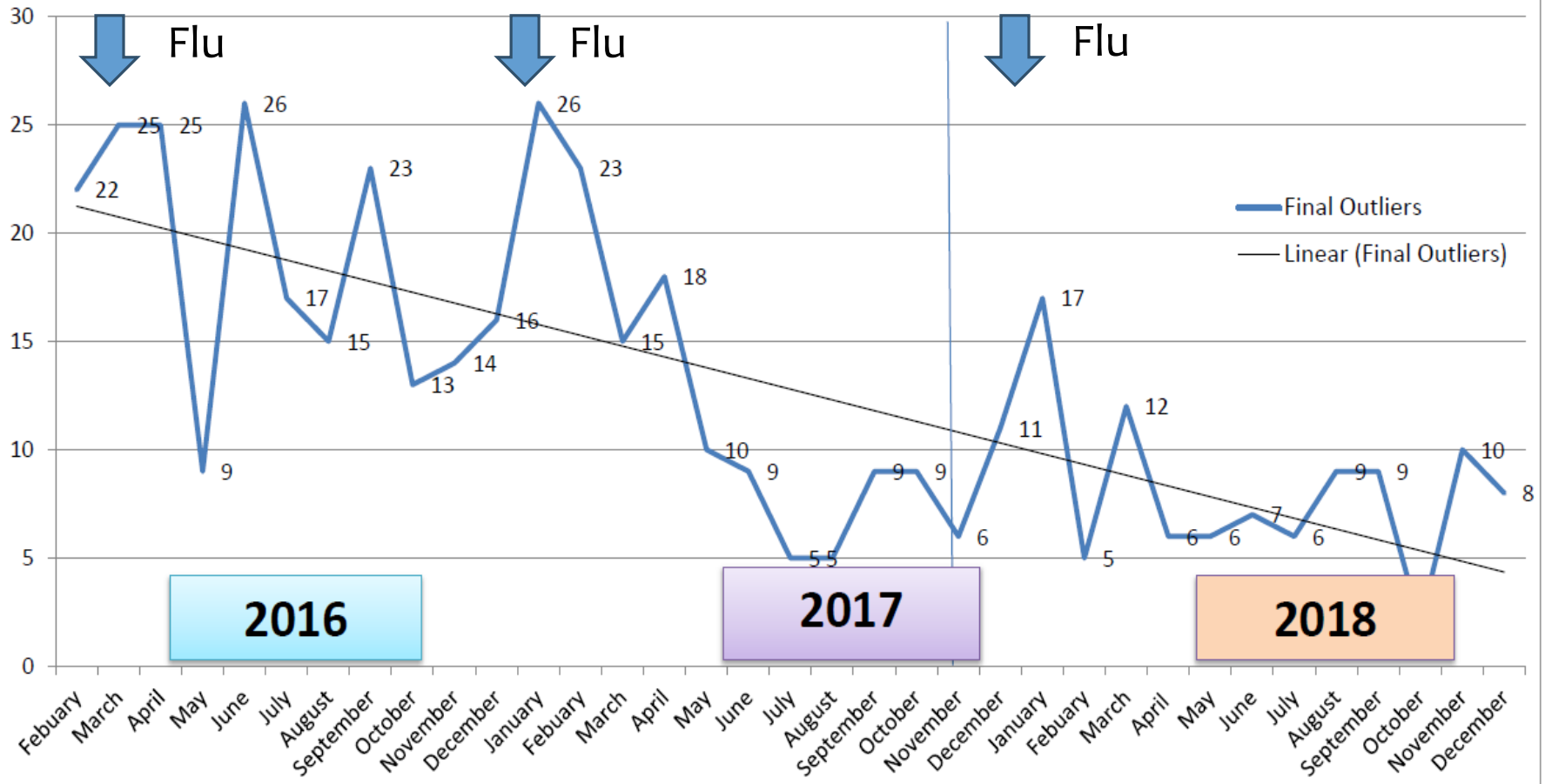
# Ambulance Response Time Performance

## The Outlier Improvement Initiative

**Average 7,889 ambulance responses/month**  
**Average 6225 ambulance transports/month**

Total outliers

February 2016 to December 31, 2018 Outliers



# Outlier Fee Re-Investment

Alliance Accountability Supporting  
EMS System Optimization

| Year | Responses Per Year | Total Number** (fee) | Code 2 Outlier Optimization Re-investment                       |
|------|--------------------|----------------------|---|
| 2016 | 89,768             | 174<br>(\$240,000)   | DECCAN System Status Management Dispatch (Total Cost \$235,000) |
| 2017 | 93,389             | 139<br>(\$184,500)   | 4 Motorola Dispatcher Radio Consoles (Total Cost \$204,592)     |
| 2018 | 94,836             | 108<br>(\$117,000)   | Partial Cost Recovery for Hospital APOT Delays > 60 minutes     |

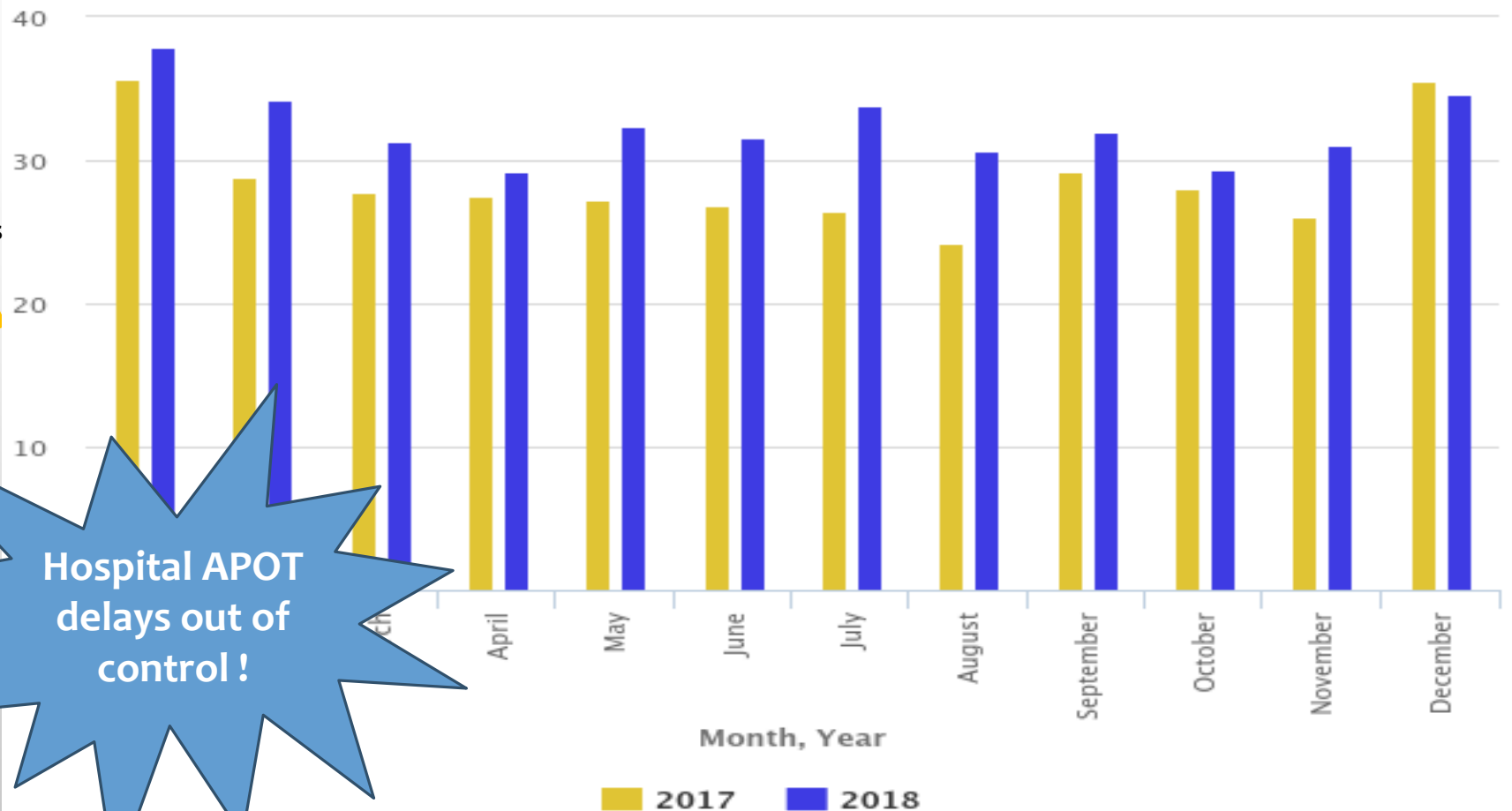
\*\*Outliers within reasonable control of the provider

# Ambulance Patient Offload Time (APOT)

## EMS System Hospital APOT Standard

### ***County Standard 20 min 90% of the Time***

Contra Costa 9-1-1 System APOT (90th Percentile in Minutes)



**Hospital APOT  
delays out of  
control !**

# Hospital Delays In Ambulance Patient Transfer of Care (APOT) > 60 minutes

***Delays ambulance availability for next 911 call***

***Increases Cost to Providers***

| EMS System Hospital Performance                       | 2016   | 2017   | 2018   |
|---|--------|--------|--------|
| Delays > 60 minutes                                   | 618    | 858    | 1131*  |
| Hospitals County wide<br>90% APOT Time (Contra Costa) | 30 min | 33 min | 37 min |

***A 54% Increase between 2016 -2018***

**\*Includes 271 > 60 min delays for out of county destinations in 2018**

# Partners in System Optimization

## 2019 Focus: Ambulance Patient Offload Times (APOT)



- \* Alliance is disproportionately affected by prolonged patient handoff at the emergency departments.
- \* Ambulance Patient Transfer of Care Delays
  - \* Draw down ambulance availability
  - \* Increase response times
  - \* Delay definitive patient care
- \* Cost Recovery for Hospital APOT Delays
  - \* Hospitals Choice: Reduce APOT delays or provide cost recovery for APOT delays
  - \* Recommendation allow EMS providers to invoice hospitals for services rendered



# The Alliance Model

- \* **Evolved from a Robust EMS System Redesign**
  - \* Responsive to Public & Stakeholder Input
- \* **First of its Kind Service Delivery Model**
  - \* Focus on EMS System Optimization
  - \* Positioned to be fiscally resilient and patient-centric





# The Alliance Model Benefits

- \* Complete financial transparency
- \* Continuity of care
  - \* Dispatch, first response, ambulance transport
- \* Better resource allocation options
  - \* Choose right resource to match incident
  - \* Known location of all resources
- \* Faster dispatch times
- \* Revenue reinvestment



# Contra Costa EMS System/Alliance Partnership Dedicated to Improving Patient Care



# Highest AHA Recognition “System of Care” Achievement

BECAUSE TIME MATTERS.



Mission:  
Lifeline<sup>®</sup>  
EMS

2018 Mission: Lifeline<sup>®</sup> EMS Recognition

The American Heart Association proudly recognizes

## ***Contra Costa County EMS Martinez, CA***

*Team Organizations: East Contra Costa Fire Protection District, Richmond Fire, El Cerrito Fire, Rodeo-Hercules Fire, Pinole Fire, Moraga-Orinda Fire District, San Ramon Valley Fire Protection District, American Medical Response, Contra Costa County Fire Protection District*

**Mission: Lifeline<sup>®</sup>-EMS – GOLD PLUS  
Achievement Award – EMS Agency**

The American Heart Association/American Stroke Associations recognizes this EMS provider organization, and the EMS provider organizations it supports, as an integrated EMS team. The EMS team has demonstrated continued success in using the Mission Lifeline<sup>®</sup>-EMS program. Thank you for applying the most up-to-date evidence-based treatment guidelines to improve patient care and outcomes in the community you serve.\*

Nancy Brown  
Chief Executive Officer  
American Heart Association

Eric Smith, MD  
Chairperson, Get With The Guidelines<sup>®</sup>  
Steering Committee

John Warner, MD  
President American Heart Association



# Ambulance Strike Team Response

## Regional Ambulance Mutual Aid



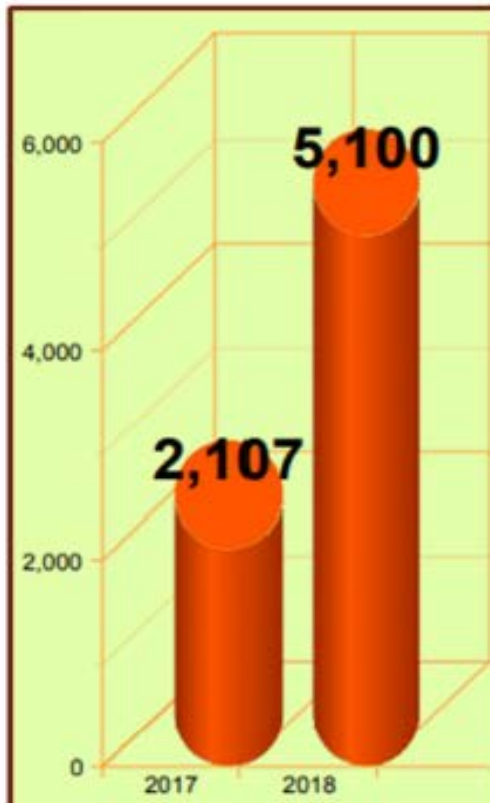
Yountville  
evacuations  
2017



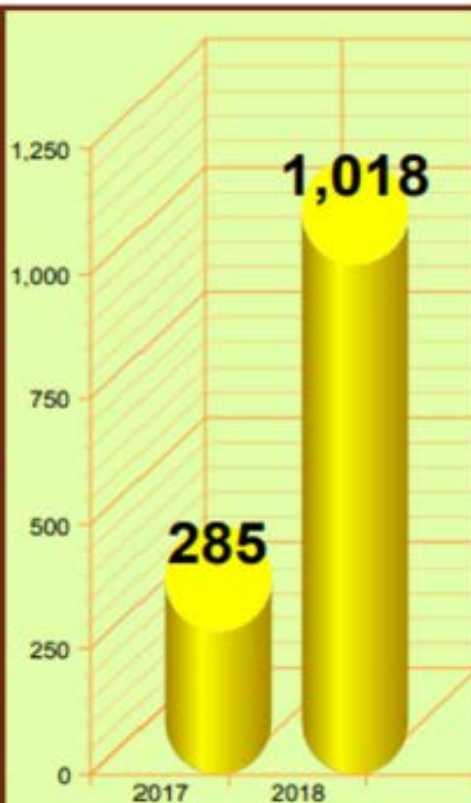
Butte  
2018

# Alliance partner AMR Training Initiative

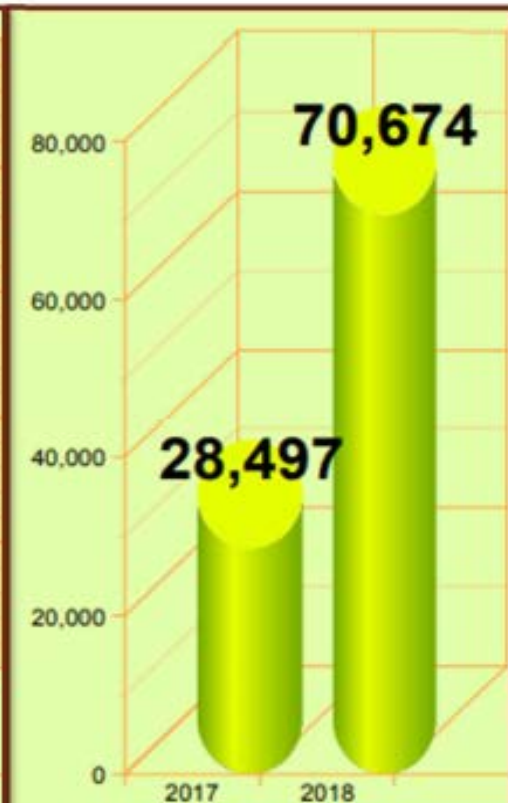
Individuals  
Trained



Training  
Hours



\$ Funding  
Dedicated



# 2019 Alliance Health Improvement Project

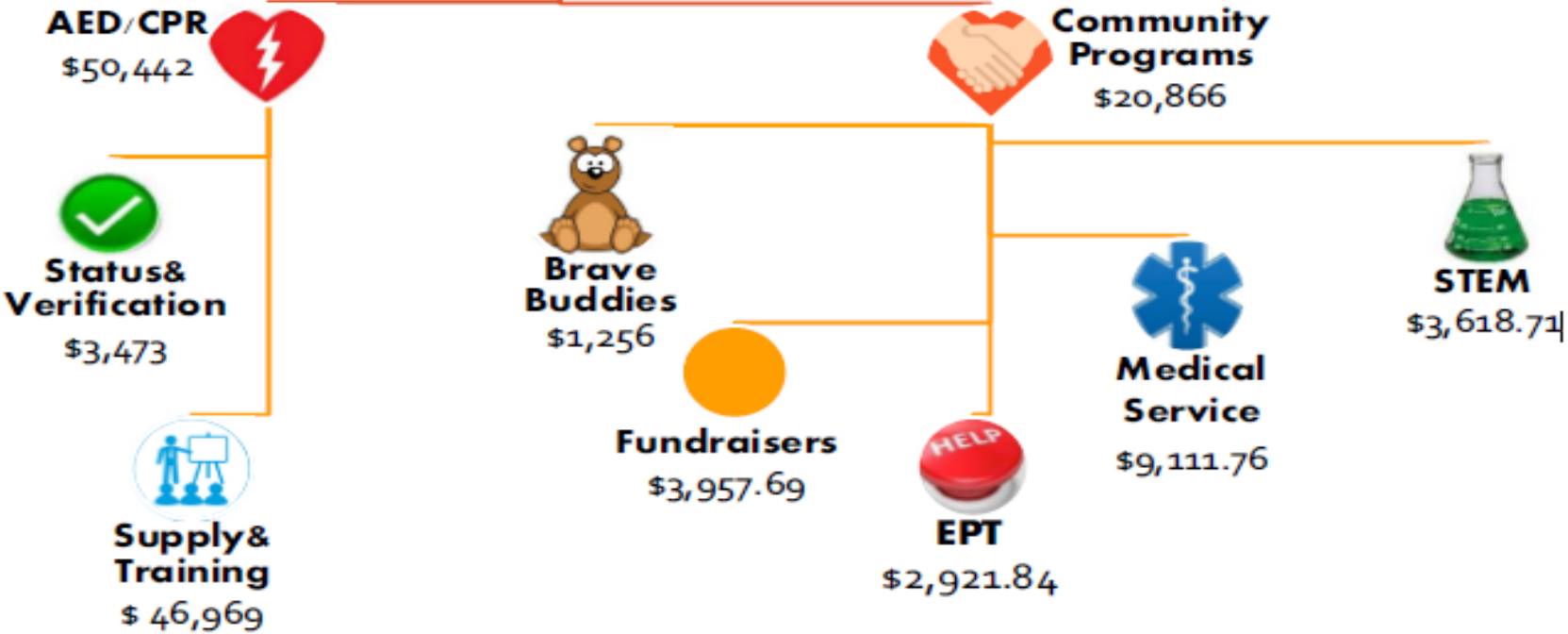
## *Pediatric Trauma “Look Out for the Littles”*



**Emergency Medical Services for Children (EMSC):  
Improving the Care of Ill and Injured Children**

# Public Education in the Community

**Department**  
**Resources: \$64,828.09**



# Fire / EMS Communication Center Benefits All

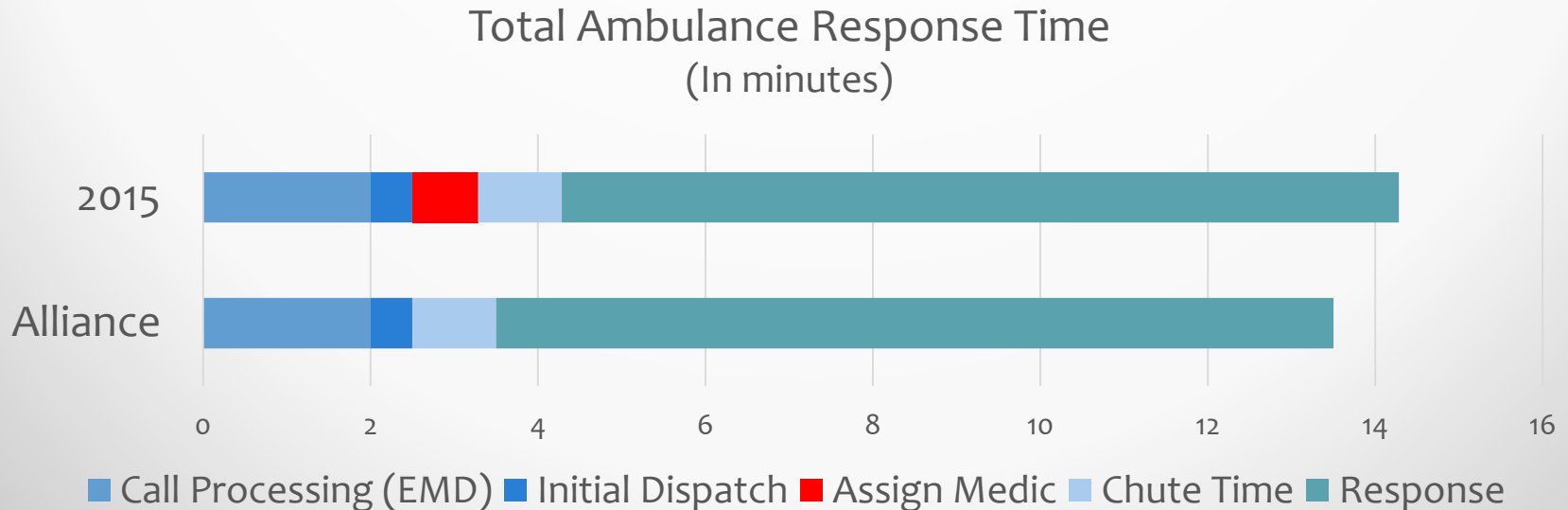
- Common Communications
- One Computer Aided Dispatch (CAD) System
- Improved Situational Awareness for All
  - Vehicle Location
  - CAD Comments
  - Location Information
  - Safety Related Issues





# Fire / EMS Communication Center Decreased Total Response Times

- Previous contract had 60 seconds to assign a medic after an engine was assigned
- Today, paramedic units are assigned at the same time that engines are assigned
- 47 seconds on average has completely been eliminated



# Right Resources to the Right Patient



- \* After extensive study, in collaboration, the LEMSA and Alliance adjusted response configurations for a certain component of call types. The goals are:
  - \* Introduce flexibility into the EMS system
  - \* Introduce added safety into our EMS response
  - \* Introduce efficiency into our EMS response
  - \* Understand that there is no “one size fits all”



# Financial Stability and Transparency

*At the current payer mix, collections rate, and contract expenses, Alliance model is financially sustainable*

- \* **The Contra Costa County Fire Protection District established a separate fund and budget (EMS Transport Fund) associated with Alliance ambulance service delivery.**
  - \* Allows tracking of revenue from different payer groups and expenditures associated with the provision of ambulance services.
- \* **The End of Year fund balance in the EMS Transport Fund for FY 2017-18 was \$16,900,000**
- \* **The District continues to build appropriate reserves and to prepare for future uncertainties in the health care system and payer plans.**
  - \* It is the District's goal to set aside six months of operating expenses as reserves and is on track to accomplish this goal.





# Collaborative Partnerships *Stronger Together!*



**CCCFPD-AMR-CCCEMS**



Contra  
Costa  
County

To: Board of Supervisors  
From: David Twa, County Administrator  
Date: March 12, 2019

Subject: Updated Procedures for Board of Supervisors Meetings and Remedial Action Under Government Code, sections 54960.1 and 54960.2

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**RECOMMENDATION(S):**

1. RESCIND the portion of the Board’s action of January 15, 2019 appointing a representative to the Metropolitan Transportation Commission (MTC); all other appointments made on that date are unchanged by this action;
2. ADOPT Resolution 2019/81 to update the operating procedures for the Board of Supervisors meetings to include processes for designating a nominee for Board Chair and appointing Board members to various committees, boards and commissions; and
3. AUTHORIZE the Chair to send the attached letter pursuant to Government Code section 54960.2(c)(1).

---

APPROVE
  OTHER  
 RECOMMENDATION OF CNTY ADMINISTRATOR
  RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Jami Napier,  
(925)335-1908

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

### FISCAL IMPACT:

No negative fiscal impact.

### BACKGROUND:

At the Board's reorganization meeting in January of each year the Board formally elects and swears in the Chair for that year. Absent unusual circumstances, the Board's longstanding practice is that the Vice Chair of the past year becomes the Chair of the current year. That is what happened on January 15, 2019, when the 2018 Vice-Chair, District I Supervisor John Gioia, was elected Chair.

At the same meeting, in accordance with the Board's usual custom, the Board also approved the incoming Chair's recommendations for Board member appointments to local, regional and statewide committees, boards and commissions. The committees, boards and commissions were shown as attachments to the Board Order, both alphabetically and by committee type. The Metropolitan Transportation Commission (MTC) was one of the listed regional commissions and Supervisor Federal Glover was appointed to the commission, with a term ending February 1, 2023.

Mr. Jason Bezis appeared before the Board on January 15, 2019, to comment on the Board member appointments. He expressed his belief that the Board must advertise the MTC position to the public and conduct public interviews before appointing anyone to the MTC. The Board of Supervisors follows the Maddy Local Appointive List Act of 1975 (Government Code section 54970, et seq.) when appointing public members to various boards, committees and commissions whose members serve at the pleasure of the Board, for example, advisory committees to the Board of Supervisors. The Maddy Act process involves a public notice and interview process. But the Maddy Act does not require that appointment of Board members to committees and commissions follow the same process.

Government Code sections 66503 and 66504 describe who may be appointed to the MTC. These statutes state that the MTC will include two members from Contra Costa County; one member appointed by the Board of Supervisors and one member appointed by the city selection committee. The statutes do not require that the position be advertised or offered to members of the public. As Supervisor Gioia explained to Mr. Bezis on January 15, the Board of Supervisors has not opened membership on the MTC to members of the public. Instead, the Board has determined that the MTC appointment should be filled by a member of the Board of Supervisors. It was within the prerogative of the Board of Supervisors to appoint Supervisor Glover to this MTC on January 15, and that is what the Board did.

On February 14, 2019, Jason Bezis sent an email to the County, alleging Brown Act violations in relation to the appointment of a representative to MTC, including the fact that the Board Order recommending the appointment was prepared by John Gioia as Board Chair before Mr. Gioia formally had been elected Chair. Mr. Bezis calls for the Board to invalidate its January 15, 2019, action appointing a representative to MTC. He also demands that the Board "cease and desist in the future from allowing a supervisor to act as 'chair' at the time of Board reorganization before a chair has yet been designated to that post, absent a formal transition policy."

The Government Code describes a process that may be used by a governing body to avoid litigating an alleged Brown Act violation. To address past actions, the legislative body may cure and correct the challenged action and inform the demanding party of the corrective action within thirty (30) calendar days of receipt of a Brown Act violation allegation. To address potential future Brown Act violations, a legislative body may approve an unconditional commitment letter within thirty (30) calendar days of receipt of a Brown Act violation allegation. The language of the letter, which is mandated by Government Code section 54960.2(c)(1), explicitly does not acknowledge the existence of a Brown Act violation but, instead, simply agrees to comply with the law.

To avoid unnecessary litigation of the complaint made by Mr. Bezis, it is recommended that the Board rescind the MTC appointment and inform Mr. Bezis in writing of the rescission by March 15, 2019. Following rescission of the appointment, the Board may reconsider appointing a representative to the MTC. The Board may reappoint Mr. Glover, if it chooses to do so by a separate action. In accordance with Board policy, the MTC representative will be a member of the Board of Supervisors.

To avoid future confusion by members of the public on this issue, it is recommended that the Board revise its procedural rules to specify that the Board will take public action(s) in December of each year to identify a nominee for Chair of the Board for the following year and to acknowledge receipt of the Board members' preferences for serving on various boards, committees and commissions.

If the Board approves this action, an unconditional commitment letter addressing the demand that the County cease and desist "allowing a supervisor to act as 'chair' at the time of Board reorganization before a chair has yet been designated to that post, absent a formal transition policy" will be sent to the complainant by the Board Chair. (See attached draft unconditional commitment letter.)

CONSEQUENCE OF NEGATIVE ACTION:

Failure to take this action may lead to misunderstanding of the County's practices and procedures

ATTACHMENTS

Resolution 2019/81

Draft Unconditional Commitment Letter

2019 Revised Rules of Procedure for Board of Supervisors Meetings - REDLINE

2019 Revised Rules of Procedure for Board of Supervisors Meetings - CLEAN

**THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA**  
**and for Special Districts, Agencies and Authorities Governed by the Board**

Adopted this Resolution on 03/12/2019 by the following vote:

**AYE:**   
**NO:**   
**ABSENT:**   
**ABSTAIN:**   
**RECUSE:**



**Resolution No. 2019/81**

**IN THE MATTER OF:** UPDATING THE OPERATING PROCEDURES FOR BOARD OF SUPERVISORS MEETINGS

**WHEREAS**, the Board of Supervisors is committed to continue conducting its meetings in a manner that provides ample opportunity for members of the public to participate; and

**WHEREAS**, more than three years have passed since the Board adopted procedures for the conduct of its meetings, and occasionally matters have arisen that suggest that the procedures should be reviewed and modified to address procedural questions that do not appear to be answered in the current procedures;

**NOW, THEREFORE, BE IT RESOLVED** that the Board of Supervisors of Contra Costa County hereby adopts the attached Rules of Procedures for the conduct of its meetings.

**BE IT FURTHER RESOLVED** that this Resolution supersedes Resolution Nos. 2015/55.

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

**ATTESTED: March 12, 2019**

David J. Twa, County Administrator and Clerk of the Board of Supervisors

**Contact: Jami Napier, (925)335-1908**

By: , Deputy

**cc:**



Dear Mr. Bezis:

The Contra Costa County Board of Supervisors has received your cease and desist letter dated February 14, 2019, alleging that the following described past action of the legislative body violates the Ralph M. Brown Act:

[A]llowing a supervisor to act as “chair” at the time of Board reorganization before a chair has yet been designated to that post, absent a formal transition policy.

In order to avoid unnecessary litigation and without admitting any violation of the Ralph M. Brown Act, the Contra Costa County Board of Supervisors hereby unconditionally commits that it will cease, desist from, and not repeat the challenged past action as described above by revising its procedural rules to specify that the Board will take public action(s) in December of each year to identify a nominee for Chair of the Board for the following year and to acknowledge receipt of the Board members’ preferences for serving on various boards, committees and commissions.

The Contra Costa County Board of Supervisors may rescind this commitment only by a majority vote of its membership taken in open session at a regular meeting and noticed on its posted agenda as means or media you provide in response to this message, to whatever address or addresses you specify, or any intention to consider rescinding this commitment at least 30 days before any such regular meeting. In the event that this commitment is rescinded, you will have the right to commence legal action pursuant to subdivision (a) of Section 54960 of the Government Code. That notice will be delivered to you by the same means as this commitment, or may be mailed to an address that you have designated in writing.

Very truly yours,

Supervisor John Gioia  
Chair of the Contra Costa County Board of Supervisors

cc. David Twa, County Administrator  
Sharon L. Anderson, County Counsel  
Jami Napier, Chief Assistant Clerk of the Board of Supervisors

RULES OF PROCEDURES FOR  
BOARD OF SUPERVISORS MEETINGS

**POLICY**

It is the policy of the Board of Supervisors to hold public meetings in accordance with the requirements of the Ralph M. Brown Act and all other applicable governing legislation. The Board strives to govern and work together in an effective, efficient, ethical and courteous manner in the highest tradition of public service and in the best interests of the County of Contra Costa and its residents. This resolution supersedes Resolution ~~Nos. 2009/12 and 96-35~~[No. 2015/55](#).

**PROCEDURE**

**GENERAL PROVISIONS**

**Rule 1. Applicability of Rules**

1.1 These Rules of Procedure (“Rules”) are adopted pursuant to Government Code Section 25003. The rules apply to the Board of Supervisors of the County of Contra Costa whether sitting as the Board of Supervisors of the County or as the governing body of any other district, authority, or board.

1.2 The Rules are deemed to be procedural only. Except as otherwise provided by law, the failure to strictly observe application of the Rules shall not affect the jurisdiction of the Board or invalidate any action taken at a meeting that is otherwise held in conformity with law.

1.3 Except as otherwise provided by law, these Rules, or any one of them, may be suspended by order of the Chair and will be deemed suspended by actions taken by or with the consent of the Chair or a majority of the Board members.

**Rule 2-. Definitions**

2.1 “Board” means the Board of Supervisors of the County of Contra Costa, whether sitting as the Board of Supervisors of the County or as the governing body of any other district, authority or board;

2.2 “Chair” and “Vice Chair” mean the Board members elected to those respective offices. “Chair” also means the presiding officer acting in the absence of the elected Chair.

2.3 “Clerk” means the Clerk of the Board or a Deputy Clerk of the Board;

2.4 “County Counsel” means the Board’s attorney, appointed pursuant to Ordinance Code section 24-12.002.

## **MEETINGS**

### **Rule 3. Organization Meeting**

The Organization Meeting of the Board shall be held on its first regular meeting in January of each year, at which time there shall be an election of officers.

### **Rule 4. Regular Meetings**

4.1 All regular meetings shall be held by the Board, in all its capacities, in its chambers in the Contra Costa County Administration Building, at 651 Pine Street, Martinez, California. Regular meetings shall commence at 9:00 a.m., or as otherwise prescribed by the Chair. Regular meetings generally shall be held on every Tuesday of the month. However, the Board of Supervisors shall not be required to hold a regular meeting (1) on any Tuesday that is the fifth Tuesday of a calendar month, (2) on any Tuesday following a Monday holiday; (3) that is canceled pursuant to the annual calendar adopted by the Board or (4) that is canceled by the Chair when no quorum is expected to be present.

4.2 Holidays, fifth Tuesdays, and periodic breaks, which result in a canceled meeting, will be determined by the Board and posted annually.

### **Rule 5. Absence of a Quorum, Adjournment**

5.1 In the absence of a quorum, the remaining members or (if no member is present) the Clerk may adjourn the meeting to another date and time in accordance with Government Code Section 54955 and shall post a Notice of Adjournment.

5.2 In the event a Supervisor leaves the hearing room, momentarily causing a lack of quorum, he or she should notify the Chair of his or her intended absence, and the Chair may call a recess.

## **ELECTION, POWERS AND DUTIES OF CHAIR AND VICE CHAIR**

### **Rule 6. Annual Selection of Chair and Vice Chair, and Committee Assignments**

6.1 On or before December 1 of each year, on a form provided by the Clerk of the Board, each Board member will submit to the Clerk of the Board their individual preference for appointment to committees, boards and commissions. During the month of December, the Clerk of the Board will ask the Board to acknowledge receipt of the preference forms as a Board action item on a public agenda.

6.2 During the month of December of each year the Board members will take action on a public agenda to identify an intended nominee for Chair of the Board for the following year.

6.3 At its Organization Meeting, the Board ~~shall~~will nominate and elect from its membership a Chair and Vice Chair to serve until the next year's Organization Meeting, or until the selection of their successors, whichever occurs later.

6.4 At its Organization Meeting, or thereafter, the incoming/new Chair of the Board will submit for approval by the full Board, the Chair's final recommendations for Board assignments to the local, regional and statewide committees, boards and commissions, in accordance with the Board's March 21, 2000 policy on Board Member Committee Assignments, as may be amended from time to time.

**Rule 7. Powers and Duties of Chair**

The Chair shall serve as presiding officer of the Board, rule on questions of procedure, nominate for Board approval representatives to Board committees whose appointment is not otherwise provided for, sign resolutions, ordinances, contracts, leases and other official documents approved by the Board, preserve order and decorum, and decide all questions of order. The Chair may consult with County Counsel in making such rulings. Decisions of the Chair may be overruled by a majority vote of the Board of Supervisors.

**Rule 8. Powers and Duties of the Vice-Chair**

The Vice-Chair shall have and exercise all powers and duties of the Chair at the meetings at which the Chair is absent.

**Rule 9. Selection of a Chair Pro Tempore**

If neither the Chair nor the Vice-Chair is present at a Board meeting, the Board members present shall select one of their members to act as the Chair Pro Tempore. The Chair Pro Tempore shall have and exercise all the powers and duties of the Chair for that particular meeting only.

**ORDER AND CONDUCT OF BUSINESS**

**Rule 10. Order of Business**

The order of business at each regular meeting, except for such times as may be set apart for consideration of special items (or as otherwise prescribed by the Chair), shall be as follows:

10.1 Call to Order

The presiding officer initiates the proceedings by bringing the meeting to order.

10.2 Roll Call

The Clerk calls the roll and records by name all members present or absent. During the course of the meeting the Clerk records the arrival of any member listed as absent and the departure of any member listed as present.

10.3 Inspirational Thought

The Board hears an inspirational thought as set forth on the agenda.

10.4 Pledge of Allegiance to the Flag of the United States of America.

The Chair designates a person to lead those present in reciting the Pledge.

#### 10.5 Agenda Review

The Chair inquires whether Board members or the County Administrator wish to make any agenda revisions or request any items to be continued or removed from the Consent agenda.

#### 10.6 Added Items

The Board may take action on items of business not appearing on the posted agenda under any of the following circumstances:

10.6.1 Upon a determination by a majority vote that an emergency situation exists, as defined in Government Code Section 54956.5;

10.6.2 Upon a determination by a two-thirds vote, or, if fewer than two-thirds of the members are present, by unanimous vote of those members present, that there is a need to take immediate action and that the need for action came to the attention of the County subsequent to the agenda being posted;

10.6.3 The item was posted for a prior meeting of the Board occurring not more than five calendar days prior to the date action is taken on the item, and at the prior meeting the item was continued to the meeting at which action is being taken.

#### 10.7 Consent Agenda Items

Consent agenda items are routine in nature and do not require individual consideration. All consent items are approved by a single vote as recommended without discussion unless an item is removed and continued or considered during the regular agenda for separate action at the request of a Board member, staff member, or a member of the public.

#### 10.8 Presentations

Each presentation will last no more than five minutes, unless this time is extended by the Chair. A maximum of three presentations may be scheduled at each meeting except that the Chair may authorize an additional presentation(s). The subject of the presentations should be of countywide interest and significance.

## 10.9 Public Comment

Public Comment is that period of time set aside for members of the public to address the Board on items of County business not listed on the agenda (“off-agenda”). The Public Comment item shall be deemed to constitute the opportunity for members of the public to address the Board in compliance with Government Code Section 54954.3. See Rule 11.1 and 11.2.

## 10.10 Discussion Items

Discussion items include items previously removed from the consent calendar.

## 10.11 Closed Session

Closed session discussion items are intended to be heard at the specified time(s) but may be heard earlier or later, in the discretion of the Chair.

## 10.12 Adjournment

Any Board member may request that the meeting be adjourned in memory of a recently deceased person.

# **Rule 11. Conduct of Meetings**

## 11.1 Addressing the Board—General

11.1.1 Before the meeting, or during the meeting before the agenda item to be addressed, a person wishing to address the Board should fill out a yellow speaker card and deposit it in the box near the podium.

11.1.2 When called upon, the person should come to the podium, state his or her name for the record, and, if speaking for an organization or other group, identify the organization or group represented.

11.1.3 All remarks should be addressed to the Board as a whole, not to individual members, to the staff, or to the audience. Each person speaking from the floor shall obtain permission from the Chair.

11.1.4 Questions, if any, should be addressed to the Chair, who will determine whether or by whom an answer will be provided.

11.1.5 Each speaker will have three minutes. This time may be extended or reduced, in the discretion of the Chair.

11.1.6 All speakers, especially those who anticipate making oral presentations or comments exceeding three minutes, are encouraged to submit comments in writing, in advance, to the Clerk for distribution to the Board and other interested parties at the earliest feasible time before the meeting, but no later than 8:00 a.m. on the day of the meeting. Written comments may be submitted either by mail or via email: [clerkoftheboard@cob.cccounty.us](mailto:clerkoftheboard@cob.cccounty.us). The Board requests that a person providing written materials to the Board during a meeting submit an original and 10 copies. Such written comments will be distributed to members of the Board and staff.

11.1.7 In order to maintain a public meeting environment conducive to receiving public testimony from all sides of any issue, it is the Board's policy that the audience will be discouraged from engaging in audible or emotional displays of support or opposition to testimony provided. Behavior such as clapping, booing, hissing, and cheering can create an intimidating environment for people interested in giving public testimony and can unnecessarily lengthen Board meetings. Consequently, such behavior is discouraged.

11.1.8 Speakers should not present the same or substantially the same items or argument to the Board as presented by earlier speakers, or be profane in presenting their oral comments.

11.1.9 In order to expedite matters and to avoid repetitious presentations, whenever any group of persons wishes to address the Board on the same subject matter, the Chair may request that a spokesperson be chosen by the group to comment on its behalf.

11.1.10 To minimize distractions during public meetings, all personal communication devices, such as mobile phones and pagers, will be turned off or put in a non-audible mode during Board meetings.

11.1.11 Any of the foregoing rules may be waived by the Chair or by a majority vote of the Board members present when it is deemed that there is good cause to do so, based upon the particular facts and circumstances involved.

11.1.12 The rules set forth above are not exclusive and do not limit the inherent power and general legal authority of the Board, or of its presiding officer, to govern the conduct of Board meetings as may be considered appropriate from time to time or in particular circumstances for purposes of orderly and effective conduct of the affairs of the County.



## 11.2 Addressing the Board—Public Comment

Comments under Public Comment are limited to subjects within the subject matter jurisdiction of the County but not on the agenda. Such comments are limited to no more than three minutes per speaker. If numerous persons wish to make comments, the Chair may limit the Public Comment period to a specific amount of time, *e.g.* 30 minutes total for all speakers, and/or may reduce the time limit per speaker, *e.g.* 2 minutes per speaker. Speakers are encouraged to submit written comments, either by mail or via email: [clerkoftheboard@cob.cccounty.us](mailto:clerkoftheboard@cob.cccounty.us). Written comments must be received by the Clerk of the Board before 8:00 a.m. on the day of the meeting in order to be considered at the meeting.

## 11.3 Addressing the Board—Items Removed from Consent Calendar

Members of the public addressing the Board in connection with more than one item that has been removed from consent calendar at a single meeting are limited to a cumulative total of not to exceed 12 minutes for all of their oral presentations at such meeting, unless otherwise allowed by the Chair. The purpose of this provision is to ensure that all members of the public who wish to speak during a meeting have an opportunity to do so and to permit the effective and orderly conduct of the County's business.

## 11.4 Addressing the Board—Public Hearings

11.4.1 Any member of the public wishing to address the Board orally on a public hearing on the Board agenda may do so before or during the hearing, or as otherwise specified by the Chair.

11.4.2 Any person desiring to have a hearing transcribed by a stenographic reporter at his or her own expense may do so, provided that he or she consults the Clerk to arrange facilities for such reporting prior to commencement of the hearing and advises the Clerk of the full name, business address, and telephone number of the reporter being used.

## 11.5 Use of Acronyms

The Board discourages the use of acronyms, abbreviations, and industry-specific language in its meetings and written materials.

## **Rule 12. Public Hearings for Planning and Zoning Matters—(Land Use Matters)**

### 12.1 Order of Procedure

Unless the Chair in his or her discretion directs otherwise, the order for presentation of testimony on particular land use items shall be as follows:

#### 12.1.1 Presentation by staff.

12.1.2 Documents. The Chair acknowledges receipt of any documents offered as evidence and filed with the Clerk before the hearing.

12.1.3 First Presentation. If the item is presented for Board approval (e.g., rezoning, development plan), the first presentation is by the project applicant, followed by public testimony. If the item is an appeal from a decision of a lower body (e.g., subdivision, land use permit), the first presentation is by the appellant, followed by the presentation by the project applicant. This presentation (or each presentation, if the item is an appeal) shall not exceed 10 minutes or the approximate length of the staff presentation, whichever is greater.

12.1.4 Public testimony. Each speaker shall have no more than three minutes.

12.1.5 Rebuttal. A rebuttal not exceeding five minutes may be made by the person making the first presentation.

12.1.6 Closing comments by staff.

12.1.7 Board discussion and decision.

### 12.2 Conduct of Hearings

12.2.1 Witnesses' Testimony. Witnesses will not be sworn.

12.2.2 Rules of Evidence. The hearing will not be conducted according to technical judicial rules of evidence. Any relevant evidence may be considered if it is the sort of evidence on which responsible persons are accustomed to rely in the conduct of serious affairs. The Chair may exclude irrelevant or redundant testimony and may make such other rulings as may be necessary for the orderly conduct of the proceedings ensuring basic fairness and a full airing of the issues involved.

### 12.3 Exhibits.

12.3.1 Subject to paragraph 12.3.2, all exhibits, including documentary materials such as photographs, drawings, maps, charts, letters, petitions and other physical evidence, presented at a land use hearing shall be retained in the Board of Supervisors files as part of the record of the hearing.

12.3.2 Any staff exhibit (e.g., a general plan or area map) that has been or will be used in other land use hearings will be preserved by the Community Development Department for future reference. A notation indicating its location shall be made in the case file in any matter in which it has been used.

### 12.4 Questioning of Speakers and Staff.

Any person desiring to direct a question to a speaker or staff member shall submit the question to the Chair, who shall determine whether the question is relevant to the subject of the hearing and whether or not it need be answered by the speaker or staff member.

12.5 Overriding Fairness. Notwithstanding the provisions of paragraph 12.1, the Board is committed to provide an applicant, appellant, or other person or entity with a substantial, direct property interest in an item, a full and fair hearing, based on the facts and circumstances of the particular matter, the nature and complexity of the particular issue, the number of persons wishing to be heard, and similar due process considerations. Toward this end, the Chair, in his or her discretion, may extend the time limits set forth in paragraph 12.1 and consider other procedural mechanisms.

12.6 Absent From Hearing. A Supervisor who was absent from all or part of a hearing may vote on the matter if the Supervisor states for the record that he or she has reviewed all evidence received during the absence and also states that he or she has either: (1) listened to the Clerk's recording, (2) watched the video, or (3) read a complete transcript of the proceedings that occurred during his or her absence.

## **Rule 13. Nuisance Abatement Hearings**

A hearing on an appeal from the County Abatement Officer's Notice and Order to Abate shall be held in accordance with the provisions of County Ordinance Code section 14-6.418, as well as with these Procedures. In the event of a conflict, the provisions of County Ordinance Code section 14-6.418 shall prevail.

**Rule 14. Disruption of Meeting**

If any meeting is willfully interrupted by a group or groups of persons so that the orderly conduct of the meeting becomes infeasible and order cannot be restored by the removal of individuals who are willfully interrupting the meeting, the Chair may order the meeting room cleared, as authorized by law (Gov. Code, § 54957.9), recess the meeting, or adjourn the meeting.

RULES OF PROCEDURES FOR  
BOARD OF SUPERVISORS MEETINGS

**POLICY**

It is the policy of the Board of Supervisors to hold public meetings in accordance with the requirements of the Ralph M. Brown Act and all other applicable governing legislation. The Board strives to govern and work together in an effective, efficient, ethical and courteous manner in the highest tradition of public service and in the best interests of the County of Contra Costa and its residents. This resolution supersedes Resolution No. 2015/55.

**PROCEDURE**

**GENERAL PROVISIONS**

**Rule 1. Applicability of Rules**

1.1 These Rules of Procedure (“Rules”) are adopted pursuant to Government Code Section 25003. The rules apply to the Board of Supervisors of the County of Contra Costa whether sitting as the Board of Supervisors of the County or as the governing body of any other district, authority, or board.

1.2 The Rules are deemed to be procedural only. Except as otherwise provided by law, the failure to strictly observe application of the Rules shall not affect the jurisdiction of the Board or invalidate any action taken at a meeting that is otherwise held in conformity with law.

1.3 Except as otherwise provided by law, these Rules, or any one of them, may be suspended by order of the Chair and will be deemed suspended by actions taken by or with the consent of the Chair or a majority of the Board members.

**Rule 2. Definitions**

2.1 “Board” means the Board of Supervisors of the County of Contra Costa, whether sitting as the Board of Supervisors of the County or as the governing body of any other district, authority or board;

2.2 “Chair” and “Vice Chair” mean the Board members elected to those respective offices. “Chair” also means the presiding officer acting in the absence of the elected Chair.

2.3 “Clerk” means the Clerk of the Board or a Deputy Clerk of the Board;

2.4 “County Counsel” means the Board’s attorney, appointed pursuant to Ordinance Code section 24-12.002.

## **MEETINGS**

### **Rule 3. Organization Meeting**

The Organization Meeting of the Board shall be held on its first regular meeting in January of each year, at which time there shall be an election of officers.

### **Rule 4. Regular Meetings**

4.1 All regular meetings shall be held by the Board, in all its capacities, in its chambers in the Contra Costa County Administration Building, at 651 Pine Street, Martinez, California. Regular meetings shall commence at 9:00 a.m., or as otherwise prescribed by the Chair. Regular meetings generally shall be held on every Tuesday of the month. However, the Board of Supervisors shall not be required to hold a regular meeting (1) on any Tuesday that is the fifth Tuesday of a calendar month, (2) on any Tuesday following a Monday holiday; (3) that is canceled pursuant to the annual calendar adopted by the Board or (4) that is canceled by the Chair when no quorum is expected to be present.

4.2 Holidays, fifth Tuesdays, and periodic breaks, which result in a canceled meeting, will be determined by the Board and posted annually.

### **Rule 5. Absence of a Quorum, Adjournment**

5.1 In the absence of a quorum, the remaining members or (if no member is present) the Clerk may adjourn the meeting to another date and time in accordance with Government Code Section 54955 and shall post a Notice of Adjournment.

5.2 In the event a Supervisor leaves the hearing room, momentarily causing a lack of quorum, he or she should notify the Chair of his or her intended absence, and the Chair may call a recess.

## **ELECTION, POWERS AND DUTIES OF CHAIR AND VICE CHAIR**

### **Rule 6. Annual Selection of Chair and Vice Chair, and Committee Assignments**

6.1 On or before December 1 of each year, on a form provided by the Clerk of the Board, each Board member will submit to the Clerk of the Board their individual preference for appointment to committees, boards and commissions. During the month of December, the Clerk of the Board will ask the Board to acknowledge receipt of the preference forms as a Board action item on a public agenda.

6.2 During the month of December of each year the Board members will take action on a public agenda to identify an intended nominee for Chair of the Board for the following year.

6.3 At its Organization Meeting, the Board will nominate and elect from its membership a Chair and Vice Chair to serve until the next year's Organization Meeting, or until the selection of their successors, whichever occurs later.

6.4 At its Organization Meeting, or thereafter, the incoming/new Chair of the Board will submit for approval by the full Board, the Chair's final recommendations for Board assignments to the local, regional and statewide committees, boards and commissions, in accordance with the Board's March 21, 2000 policy on Board Member Committee Assignments, as may be amended from time to time.

**Rule 7. Powers and Duties of Chair**

The Chair shall serve as presiding officer of the Board, rule on questions of procedure, nominate for Board approval representatives to Board committees whose appointment is not otherwise provided for, sign resolutions, ordinances, contracts, leases and other official documents approved by the Board, preserve order and decorum, and decide all questions of order. The Chair may consult with County Counsel in making such rulings. Decisions of the Chair may be overruled by a majority vote of the Board of Supervisors.

**Rule 8. Powers and Duties of the Vice-Chair**

The Vice-Chair shall have and exercise all powers and duties of the Chair at the meetings at which the Chair is absent.

**Rule 9. Selection of a Chair Pro Tempore**

If neither the Chair nor the Vice-Chair is present at a Board meeting, the Board members present shall select one of their members to act as the Chair Pro Tempore. The Chair Pro Tempore shall have and exercise all the powers and duties of the Chair for that particular meeting only.

**ORDER AND CONDUCT OF BUSINESS**

**Rule 10. Order of Business**

The order of business at each regular meeting, except for such times as may be set apart for consideration of special items (or as otherwise prescribed by the Chair), shall be as follows:

10.1 Call to Order

The presiding officer initiates the proceedings by bringing the meeting to order.

10.2 Roll Call

The Clerk calls the roll and records by name all members present or absent. During the course of the meeting the Clerk records the arrival of any member listed as absent and the departure of any member listed as present.

10.3 Inspirational Thought

The Board hears an inspirational thought as set forth on the agenda.

10.4 Pledge of Allegiance to the Flag of the United States of America.



The Chair designates a person to lead those present in reciting the Pledge.

#### 10.5 Agenda Review

The Chair inquires whether Board members or the County Administrator wish to make any agenda revisions or request any items to be continued or removed from the Consent agenda.

#### 10.6 Added Items

The Board may take action on items of business not appearing on the posted agenda under any of the following circumstances:

10.6.1 Upon a determination by a majority vote that an emergency situation exists, as defined in Government Code Section 54956.5;

10.6.2 Upon a determination by a two-thirds vote, or, if fewer than two-thirds of the members are present, by unanimous vote of those members present, that there is a need to take immediate action and that the need for action came to the attention of the County subsequent to the agenda being posted;

10.6.3 The item was posted for a prior meeting of the Board occurring not more than five calendar days prior to the date action is taken on the item, and at the prior meeting the item was continued to the meeting at which action is being taken.

#### 10.7 Consent Agenda Items

Consent agenda items are routine in nature and do not require individual consideration. All consent items are approved by a single vote as recommended without discussion unless an item is removed and continued or considered during the regular agenda for separate action at the request of a Board member, staff member, or a member of the public.

#### 10.8 Presentations

Each presentation will last no more than five minutes, unless this time is extended by the Chair. A maximum of three presentations may be scheduled at each meeting except that the Chair may authorize an additional presentation(s). The subject of the presentations should be of countywide interest and significance.

## 10.9 Public Comment

Public Comment is that period of time set aside for members of the public to address the Board on items of County business not listed on the agenda (“off-agenda”). The Public Comment item shall be deemed to constitute the opportunity for members of the public to address the Board in compliance with Government Code Section 54954.3. See Rule 11.1 and 11.2.

## 10.10 Discussion Items

Discussion items include items previously removed from the consent calendar.

## 10.11 Closed Session

Closed session discussion items are intended to be heard at the specified time(s) but may be heard earlier or later, in the discretion of the Chair.

## 10.12 Adjournment

Any Board member may request that the meeting be adjourned in memory of a recently deceased person.

# **Rule 11. Conduct of Meetings**

## 11.1 Addressing the Board—General

11.1.1 Before the meeting, or during the meeting before the agenda item to be addressed, a person wishing to address the Board should fill out a yellow speaker card and deposit it in the box near the podium.

11.1.2 When called upon, the person should come to the podium, state his or her name for the record, and, if speaking for an organization or other group, identify the organization or group represented.

11.1.3 All remarks should be addressed to the Board as a whole, not to individual members, to the staff, or to the audience. Each person speaking from the floor shall obtain permission from the Chair.

11.1.4 Questions, if any, should be addressed to the Chair, who will determine whether or by whom an answer will be provided.

11.1.5 Each speaker will have three minutes. This time may be extended or reduced, in the discretion of the Chair.

11.1.6 All speakers, especially those who anticipate making oral presentations or comments exceeding three minutes, are encouraged to submit comments in writing, in advance, to the Clerk for distribution to the Board and other interested parties at the earliest feasible time before the meeting, but no later than 8:00 a.m. on the day of the meeting. Written comments may be submitted either by mail or via email: [clerkoftheboard@cob.cccounty.us](mailto:clerkoftheboard@cob.cccounty.us). The Board requests that a person providing written materials to the Board during a meeting submit an original and 10 copies. Such written comments will be distributed to members of the Board and staff.

11.1.7 In order to maintain a public meeting environment conducive to receiving public testimony from all sides of any issue, it is the Board's policy that the audience will be discouraged from engaging in audible or emotional displays of support or opposition to testimony provided. Behavior such as clapping, booing, hissing, and cheering can create an intimidating environment for people interested in giving public testimony and can unnecessarily lengthen Board meetings. Consequently, such behavior is discouraged.

11.1.8 Speakers should not present the same or substantially the same items or argument to the Board as presented by earlier speakers, or be profane in presenting their oral comments.

11.1.9 In order to expedite matters and to avoid repetitious presentations, whenever any group of persons wishes to address the Board on the same subject matter, the Chair may request that a spokesperson be chosen by the group to comment on its behalf.

11.1.10 To minimize distractions during public meetings, all personal communication devices, such as mobile phones and pagers, will be turned off or put in a non-audible mode during Board meetings.

11.1.11 Any of the foregoing rules may be waived by the Chair or by a majority vote of the Board members present when it is deemed that there is good cause to do so, based upon the particular facts and circumstances involved.

11.1.12 The rules set forth above are not exclusive and do not limit the inherent power and general legal authority of the Board, or of its presiding officer, to govern the conduct of Board meetings as may be considered appropriate from time to time or in particular circumstances for purposes of orderly and effective conduct of the affairs of the County.

## 11.2 Addressing the Board—Public Comment

Comments under Public Comment are limited to subjects within the subject matter jurisdiction of the County but not on the agenda. Such comments are limited to no more than three minutes per speaker. If numerous persons wish to make comments, the Chair may limit the Public Comment period to a specific amount of time, *e.g.* 30 minutes total for all speakers, and/or may reduce the time limit per speaker, *e.g.* 2 minutes per speaker. Speakers are encouraged to submit written comments, either by mail or via email: [clerkoftheboard@cob.cccounty.us](mailto:clerkoftheboard@cob.cccounty.us). Written comments must be received by the Clerk of the Board before 8:00 a.m. on the day of the meeting in order to be considered at the meeting.

## 11.3 Addressing the Board—Items Removed from Consent Calendar

Members of the public addressing the Board in connection with more than one item that has been removed from consent calendar at a single meeting are limited to a cumulative total of not to exceed 12 minutes for all of their oral presentations at such meeting, unless otherwise allowed by the Chair. The purpose of this provision is to ensure that all members of the public who wish to speak during a meeting have an opportunity to do so and to permit the effective and orderly conduct of the County's business.

## 11.4 Addressing the Board—Public Hearings

11.4.1 Any member of the public wishing to address the Board orally on a public hearing on the Board agenda may do so before or during the hearing, or as otherwise specified by the Chair.

11.4.2 Any person desiring to have a hearing transcribed by a stenographic reporter at his or her own expense may do so, provided that he or she consults the Clerk to arrange facilities for such reporting prior to commencement of the hearing and advises the Clerk of the full name, business address, and telephone number of the reporter being used.

## 11.5 Use of Acronyms

The Board discourages the use of acronyms, abbreviations, and industry-specific language in its meetings and written materials.

## **Rule 12. Public Hearings for Planning and Zoning Matters—(Land Use Matters)**

### 12.1 Order of Procedure

Unless the Chair in his or her discretion directs otherwise, the order for presentation of testimony on particular land use items shall be as follows:

#### 12.1.1 Presentation by staff.

12.1.2 Documents. The Chair acknowledges receipt of any documents offered as evidence and filed with the Clerk before the hearing.

12.1.3 First Presentation. If the item is presented for Board approval (e.g., rezoning, development plan), the first presentation is by the project applicant, followed by public testimony. If the item is an appeal from a decision of a lower body (e.g., subdivision, land use permit), the first presentation is by the appellant, followed by the presentation by the project applicant. This presentation (or each presentation, if the item is an appeal) shall not exceed 10 minutes or the approximate length of the staff presentation, whichever is greater.

12.1.4 Public testimony. Each speaker shall have no more than three minutes.

12.1.5 Rebuttal. A rebuttal not exceeding five minutes may be made by the person making the first presentation.

12.1.6 Closing comments by staff.

12.1.7 Board discussion and decision.

### 12.2 Conduct of Hearings

12.2.1 Witnesses' Testimony. Witnesses will not be sworn.

12.2.2 Rules of Evidence. The hearing will not be conducted according to technical judicial rules of evidence. Any relevant evidence may be considered if it is the sort of evidence on which responsible persons are accustomed to rely in the conduct of serious affairs. The Chair may exclude irrelevant or redundant testimony and may make such other rulings as may be necessary for the orderly conduct of the proceedings ensuring basic fairness and a full airing of the issues involved.

### 12.3 Exhibits.

12.3.1 Subject to paragraph 12.3.2, all exhibits, including documentary materials such as photographs, drawings, maps, charts, letters, petitions and other physical evidence, presented at a land use hearing shall be retained in the Board of Supervisors files as part of the record of the hearing.

12.3.2 Any staff exhibit (e.g., a general plan or area map) that has been or will be used in other land use hearings will be preserved by the Community Development Department for future reference. A notation indicating its location shall be made in the case file in any matter in which it has been used.

### 12.4 Questioning of Speakers and Staff.

Any person desiring to direct a question to a speaker or staff member shall submit the question to the Chair, who shall determine whether the question is relevant to the subject of the hearing and whether or not it need be answered by the speaker or staff member.

12.5 Overriding Fairness. Notwithstanding the provisions of paragraph 12.1, the Board is committed to provide an applicant, appellant, or other person or entity with a substantial, direct property interest in an item, a full and fair hearing, based on the facts and circumstances of the particular matter, the nature and complexity of the particular issue, the number of persons wishing to be heard, and similar due process considerations. Toward this end, the Chair, in his or her discretion, may extend the time limits set forth in paragraph 12.1 and consider other procedural mechanisms.

12.6 Absent From Hearing. A Supervisor who was absent from all or part of a hearing may vote on the matter if the Supervisor states for the record that he or she has reviewed all evidence received during the absence and also states that he or she has either: (1) listened to the Clerk's recording, (2) watched the video, or (3) read a complete transcript of the proceedings that occurred during his or her absence.

## **Rule 13. Nuisance Abatement Hearings**

A hearing on an appeal from the County Abatement Officer's Notice and Order to Abate shall be held in accordance with the provisions of County Ordinance Code section 14-6.418, as well as with these Procedures. In the event of a conflict, the provisions of County Ordinance Code section 14-6.418 shall prevail.

**Rule 14. Disruption of Meeting**

If any meeting is willfully interrupted by a group or groups of persons so that the orderly conduct of the meeting becomes infeasible and order cannot be restored by the removal of individuals who are willfully interrupting the meeting, the Chair may order the meeting room cleared, as authorized by law (Gov. Code, § 54957.9), recess the meeting, or adjourn the meeting.



Contra  
Costa  
County

To: Board of Supervisors  
From: David Twa, County Administrator  
Date: March 12, 2019

Subject: CONSIDER APPOINTING A MEMBER OF THE BOARD OF SUPERVISORS TO THE METROPOLITAN  
TRANSPORTATION COMMISSION

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**RECOMMENDATION(S):**

CONSIDER appointing a member of the Board of Supervisors to the Metropolitan Transportation Commission with a term ending February 1, 2023.

**FISCAL IMPACT:**

No fiscal impact.

**BACKGROUND:**

At the Board's reorganization meeting in January the Board approved the incoming Chair's recommendations for Board member appointments to local, regional and statewide committees, boards and commissions. The committees, boards and commissions were shown as attachments to the Board Order, both alphabetically and by committee type. The Metropolitan Transportation Commission (MTC) was one of the listed regional commissions and Supervisor Federal Glover was appointed to the commission, with a term ending February 1, 2023.

Under Item D.5 on today's agenda, the Board was asked to consider rescinding the appointment of Supervisor Glover and revise its procedural rules with regard to the appointment of Board members to various boards, committees and commissions to allow for greater transparency in the process.

If the

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APPROVE  OTHER  
 RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE

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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Timothy Ewell, (925)  
335-1036

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:





BACKGROUND: (CONT'D)

appointment to the MTC was rescinded as proposed in Item D.5, then there is a current vacancy on that governing board. It has been the Board's practice to limit this appointment to members of the Board of Supervisors. This action requests that the Board of Supervisors consider making an appointment to the MTC to ensure representation of the County's interests with that agency.

CONSEQUENCE OF NEGATIVE ACTION:

The County representative seat on the MTC will remain vacant until the Board of Supervisors makes an appointment to the open seat.

CHILDREN'S IMPACT STATEMENT:

No impact.



Contra  
Costa  
County

To: Board of Supervisors  
From: Brian M. Balbas, Public Works Director/Chief Engineer  
Date: March 12, 2019

Subject: Notice of Completion of Construction Contract for the Marsh Creek Road Bridge Replacement Project, Clayton area.

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**RECOMMENDATION(S):**

ADOPT Resolution No. 2019/67 accepting as complete the contracted work performed by Bridgeway Civil Constructors, Inc., for the Marsh Creek Road Bridge Replacement Project, as recommended by the Public Works Director, Clayton area. (Federal Project No. BRLS 5928 (107), County Project No. 0662-6R4079) (District III)

**FISCAL IMPACT:**

Project was funded by 87% Federal Highway Bridge Program Funds, 11% Local Road Funds and 2% Contra Costa Water District Funds.

**BACKGROUND:**

The Public Works Director reports that said work has been inspected and complies with the approved plans, special provisions and standard specifications and recommends its acceptance as complete as of February 19, 2019.

**CONSEQUENCE OF NEGATIVE ACTION:**

The contractor will not be paid and acceptance notification will not be recorded.

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APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Kevin Emigh,  
925-313-2233

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

ATTACHMENTS

Resolution No.

2019/67

Recorded at the request of: Clerk of the Board

Return To: Public Works Department, Design/Construction Division

**THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA  
and for Special Districts, Agencies and Authorities Governed by the Board**

**Adopted this Resolution on 03/12/2019 by the following vote:**

AYE:

NO:

ABSENT:

ABSTAIN:

RECUSE:

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Resolution No. 2019/67

In the Matter of Accepting and Giving Notice of Completion of Contract for the Marsh Creek Road Bridge Replacement Project, Clayton area. Federal Project No. BRLS 5928 (107), County Project No. 0662-6R4079 (District III)

WHEREAS the Board of Supervisors RESOLVES that on March 27, 2018, the County contracted with Bridgeway Civil Constructors, Inc. for the work generally consisting of removal of existing bridge, construction of new bridge, roadway section approaching to bridge, retaining walls, driveway conforms, and installation of new 8" waterline owned by Contra Costa Water District, in the unincorporated Clayton area, with Berkeley Insurance Company as surety, for work performed on the grounds of the County; and

The Public Works Director reports that said work has been inspected and complies with the approved plans, special provisions and standard specifications and recommends its acceptance as complete as of February 19, 2019.

NOW THEREFORE, BE IT RESOLVED said work is ACCEPTED as complete on said date, and the Clerk shall file with the County Recorder a copy of this resolution and Notice as a Notice of Completion for said contract.

Contact: Kevin Emigh, 925-313-2233

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: **March 12, 2019**

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:



Contra  
Costa  
County

To: Board of Supervisors  
From: Brian M. Balbas, Public Works Director/Chief Engineer  
Date: March 12, 2019

Subject: Consulting Service Agreement with ISI Inspection Services, Inc., Countywide.

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**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Public Works Director, or designee, to execute a consulting service agreement with ISI Inspection Services, Inc., in an amount not to exceed \$150,000, for on-call materials testing and inspection services for the period of March 12, 2019 through September 30, 2021, Countywide. (Project No. Various) (All Districts)

**FISCAL IMPACT:**

100% Local Road, Flood Control, and Airport Enterprise Funds

**BACKGROUND:**

The Public Works Department is involved in various projects in the County that require materials testing and inspection services for road, flood control, and airport projects. After a solicitation process, this firm was selected as one of five firms to provide materials testing and inspection services on an "on-call" basis

- 
- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Kevin Emigh,  
925-313-2233

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

. The quality assurance testing is in support of County inspectors and other County staff who are assigned to inspect encroachment permit work and construction projects. This work must be contracted out because the County does not have a materials and testing lab. This on-call Consulting Services Agreement will be in effect for thirty-seven months.

Government Code Section 31000 and 4525 authorizes the County to contract for services including the type of construction management that ISI Inspection Services, Inc. provides.

CONSEQUENCE OF NEGATIVE ACTION:

Without approval from the Board of Supervisors, there is a possible delay in completing projects requiring materials testing and inspection services. Executing this Consulting Services Agreement will facilitate the process of design and construction for various Public Works projects requiring materials testing and inspection expertise.



**Contra  
Costa  
County**

To: Board of Supervisors  
From: Brian M. Balbas, Public Works Director/Chief Engineer  
Date: March 12, 2019

Subject: Contract Amend. #1 for the 2018 On-Call Fencing Services Contract(s) for Various Road, Flood Control, Airport and Facilities Maint. Work, Countywide

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**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Public Works Director, or designee, to execute construction contract amendments #1 with Alta Fence Co. and Crusader Fence Company, Inc. to extend the completion dates for each contract from March 13, 2019 to March 13, 2020 for the 2018 On-Call Fencing Services Contract(s) for Various Road, Flood Control, Airport and Facilities Maintenance Work, with no change to the payment limit, Countywide.

**FISCAL IMPACT:**

100% Local Road, Flood Control District, Airport Enterprise, and Facilities Funds.

**BACKGROUND:**

On March 13, 2018, the County awarded two on-call fencing services contracts for various road, flood control, airport, and facilities maintenance work to supplement the maintenance crews' routine and emergency work while they are busy with other activities, and to perform work that is typically time-sensitive and

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- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Christopher Lau  
925-313-7002

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:



BACKGROUND: (CONT'D)

may require specialized equipment and skills, in the following priority order:

Alta Fence Co., in a not-to-exceed amount (\$400,000) and unit prices submitted in the bid (\$1,448.25 Total Unit Price);

Crusader Fence Company, Inc., in a not-to-exceed amount (\$400,000) and unit prices submitted in the bid (\$2,719.00 Total Unit Price);

These two on-call contracts became effective on March 13, 2018 and will expire on March 13, 2019.

To retain the services of and to enable timely payment to Alta Fence Co. and Crusader Fence Company, Inc., the Public Works Director recommends that the Board approve Contract Amendment #1 and authorize the Public Works Director, or designee, to sign Contract Amendment #1 for the County. Contract Amendment #1 will extend the completion dates for each contract from March 13, 2019 to March 13, 2020. There are no changes to the maximum payment limits.

CONSEQUENCE OF NEGATIVE ACTION:

These contracts have a term of one year, with the option of two one-year extensions. Failure to approve Contract Amendment #1 may prevent the Public Works Department from completing routine road, flood control, airport, and facilities maintenance work in a timely manner.

ATTACHMENTS

Alta Fence

Crusader Fence

**AMENDMENT TO  
CONTRACT FOR 2018 ON-CALL FENCING SERVICES CONTRACT(S) FOR VARIOUS  
ROAD, FLOOD CONTROL, AIRPORT, AND FACILITIES MAINTENANCE WORK**

Effective March 13, 2018, the County of Contra Costa and Alta Fence Co. (collectively, the “Parties”), mutually agree as follows:

1. Amendment: The Contract (Contra Costa County Standard Form Construction Agreement), dated March 13, 2018, entered into by the Parties, is amended by:
  - a. Replacing section 4 (Completion Time) with: “March 13, 2020”; and
  - b. Replacing section 7 (Contract Price) with: “\$400,000”.
2. Effect: Except for this amendment, the Contract of March 13, 2018 remains in full force and effect.

COUNTY OF CONTRA COSTA

ALTA FENCE CO.

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Taxpayer Identification No.: \_\_\_\_\_

PAYMENT FROM:

Org.: \_\_\_\_\_  
Acct.: \_\_\_\_\_

APPROVED:

By: \_\_\_\_\_  
County Administrator’s Office

FORM APPROVED:

Sharon L. Anderson  
County Counsel

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Deputy County Counsel

**AMENDMENT TO  
CONTRACT FOR 2018 ON-CALL FENCING SERVICES CONTRACT(S) FOR VARIOUS  
ROAD, FLOOD CONTROL, AIRPORT, AND FACILITIES MAINTENANCE WORK**

Effective March 13, 2018, the County of Contra Costa and Crusader Fence Company, Inc. (collectively, the "Parties"), mutually agree as follows:

1. Amendment: The Contract (Contra Costa County Standard Form Construction Agreement), dated March 13, 2018, entered into by the Parties, is amended by:
  - a. Replacing section 4 (Completion Time) with: "March 13, 2020"; and
  - b. Replacing section 7 (Contract Price) with: "\$400,000".
2. Effect: Except for this amendment, the Contract of March 13, 2018 remains in full force and effect.

COUNTY OF CONTRA COSTA

CRUSADER FENCE COMPANY, INC.

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Taxpayer Identification No.: \_\_\_\_\_

PAYMENT FROM:

Org.: \_\_\_\_\_  
Acct.: \_\_\_\_\_

APPROVED:

By: \_\_\_\_\_  
County Administrator's Office

FORM APPROVED:

Sharon L. Anderson  
County Counsel

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Deputy County Counsel



Contra  
Costa  
County

To: Board of Supervisors  
From: Brian M. Balbas, Public Works Director/Chief Engineer  
Date: March 12, 2019

Subject: APPROVE the Conveyance of Surplus Real Property to the City of Pittsburg, Pittsburg area.

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**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Public Works Director, or designee, to execute, on behalf of the County, a purchase and sale agreement with the City of Pittsburg to sell the City one property at the corner of Harbor Street and California Avenue (APN 086-214-025), and a second property at the corner of Harbor Street and Bliss Avenue (APN 088-171-037) for the purchase price of \$591,209 for the two properties ("Purchase Price"), as recommended by the Public Works Director, Pittsburg area, pursuant to Streets and Highways Code section 960.

- 
- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Jann Edmunds,  
925-957-2454

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

RECOMMENDATION(S): (CONT'D)

DETERMINE that said properties were acquired on Contra Costa Transportation Authority's behalf for highway purposes, and that the properties are no longer necessary for those purposes. (Project No. 4660-6X4287, SCH#2000112007)

AUTHORIZE the Chair, Board of Supervisors, to execute the Grant Deed on behalf of the County.

DIRECT the Real Estate Division of the Public Works Department to cause said Grant Deed and a certified copy of this Board Order to be delivered to the City for acceptance and recording in the Office of the County Clerk-Recorder.

AUTHORIZE the Public Works Director, or designee, to transfer the amount of the Purchase Price to CCTA.

FISCAL IMPACT:

No fiscal impact. Contra Costa Transportation Authority (CCTA) will receive \$591,209 in revenue from the sale of the properties to the City of Pittsburg.

BACKGROUND:

In 2001, the County acquired, on behalf of the Contra Costa Transportation Authority (CCTA), one property at the corner of Harbor Street and California Avenue (APN 086-214-025), and a second property at the corner of Harbor Street and Bliss Avenue (APN 088-171-037), in Pittsburg for highway purposes. The properties were acquired entirely with CCTA funds. The County was responsible for acquiring right of way for the project on CCTA's behalf because CCTA did not have right of way staff. The properties are more particularly described in Exhibits "A" and "B" attached to the Grant Deed.

The properties are no longer needed for the project or for other highway purposes. CCTA has requested that the County dispose of the properties. The City of Pittsburg will purchase the Properties for landscaping, parking and future redevelopment within the Railroad Avenue Specific Plan area. Because the properties were purchased with CCTA funds, after the sale is complete the purchase price will be returned to CCTA.

CONSEQUENCE OF NEGATIVE ACTION:

The County would continue to own and be liable for the maintenance of these Properties.

ATTACHMENTS

Grant Deed APN 088-171 037-086214025

Recorded at the request of:  
Contra Costa County

Return to:  
City of Pittsburg  
65 Civic Avenue  
Pittsburg, CA 94565

EXEMPT FROM RECORDING FEES PURSUANT TO GOV'T. CODE SECTION 27383 AND DOCUMENTARY TRANSFER TAX PURSUANT TO REVENUE AND TAXATION CODE SECTION 11922.

Assessor's Parcel No's. 088-171-037 and 086-214-025

Title Co. Order No. 0114000632

## GRANT DEED

For valuable consideration, receipt of which is hereby acknowledged,

CONTRA COSTA COUNTY, a political subdivision of the State of California,

**Grants to** CITY OF PITTSBURG, a Municipal Corporation the following described real property in the City of Pittsburg, incorporated area of the County of Contra Costa, State of California,

**FOR DESCRIPTIONS AND PLAT MAPS SEE EXHIBITS "A, B, A-1, and B-1" ATTACHED HERETO AND MADE A PART HEREOF.**

CONTRA COSTA COUNTY;

Dated \_\_\_\_\_

By \_\_\_\_\_

John Gioia  
Chair, Board of Supervisors

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA )

COUNTY OF CONTRA COSTA )

On \_\_\_\_\_ before me, \_\_\_\_\_ Clerk of the Board of Supervisors, Contra Costa County, personally appeared \_\_\_\_\_, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature: \_\_\_\_\_  
Deputy Clerk



Contra  
Costa  
County

To: Board of Supervisors  
From: Brian M. Balbas, Public Works Director/Chief Engineer  
Date: March 12, 2019

Subject: Closing Pacheco Blvd. @ State Route 4, periodically at night, between March 13, 2019 & August 31, 2019, Martinez area.

**RECOMMENDATION(S):**

ADOPT Resolution No. 2019/8 approving and authorizing the Public Works Director, or designee, to fully close Pacheco Boulevard @ State Route 4, periodically for no more than 20 nights between March 13, 2019 and August 31, 2019, from 11:00 PM through 5:00 AM, for the purpose of demolition and girder erection for the Caltrans bridge widening project, Martinez area. (District V)

**FISCAL IMPACT:**

No fiscal impact

**BACKGROUND:**

The Contra Costa Transportation Authority and California Department of Transportation (Caltrans) has begun construction of improvements of Interstate 680/State Route 4 Interchange project. First phase of this improvement is to widen State Route 4 between Morello Avenue and State Route 242. As part of this phase Caltrans will widen the bridge at Pacheco Boulevard, which is within unincorporated County. To perform the demolition and girder erection work, Caltrans will need to close Pacheco Boulevard at night. As part of this road closure applicant shall follow guidelines set forth by the Public Works Department.

**CONSEQUENCE OF NEGATIVE ACTION:**

APPROVE  OTHER  
 RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Bob Hendry (925)  
674-7744

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Jocelyn LaRocque- Engineering Services, Bob Hendry -Engineering Services, CHP, Sheriff - Patrol Division Commander

Applicant will be unable to close the road for planned activities.



ATTACHMENTS

Resolution No.

2019/8

**THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA**  
**and for Special Districts, Agencies and Authorities Governed by the Board**

Adopted this Resolution on 03/12/2019 by the following vote:

**AYE:**   
**NO:**   
**ABSENT:**   
**ABSTAIN:**   
**RECUSE:**



**Resolution No. 2019/8**

IN THE MATTER OF approving and authorizing the Public Works Director, or designee, to fully close Pacheco Boulevard @ State Route 4, periodically for no more than 20 nights between March 13, 2019 and August 31, 2019, from 11:00 PM through 5:00 AM, for the purpose of demolition and girder erection for the Caltrans bridge widening project, Martinez area. (District V)

RC18-19

NOW, THEREFORE, BE IT RESOLVED that permission is granted to Brosamer & Wall, Inc. to fully close Pacheco Boulevard @ State Route 4 periodically for no more than 20 nights between March 13, 2019 and August 31, 2019, from 11:00 PM through 5:00 AM, subject to the following conditions:

1. Traffic will be detoured per traffic control plan reviewed and approved by Public Works.
2. Brosamer & Wall, Inc. shall notify Caltrans, Contra Costa County Sheriff's Department, Contra Costa County Fire Protection District and the California Highway Patrol one week prior to every closure.
3. All signing to be in accordance with the California Manual on Uniform Traffic Control Devices.
4. Brosamer & Wall, Inc. shall comply with the requirements of the Ordinance Code of Contra Costa County.
5. Provide the County with a Certificate of Insurance in the amount of \$1,000,000.00 for Comprehensive General Public Liability which names the County as an additional insured prior to permit issuance.
6. Obtain approval for the closure from the Sheriff's Department, the California Highway Patrol and the Fire District.

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

**ATTESTED: March 12, 2019**

David J. Twa, County Administrator and Clerk of the Board of Supervisors

**Contact: Bob Hendry (925) 674-7744**

By: , Deputy

**cc:** Jocelyn LaRocque- Engineering Services, Bob Hendry -Engineering Services, CHP, Sheriff - Patrol Division Commander



Contra  
Costa  
County

To: Board of Supervisors  
From: Brian M. Balbas, Public Works Director/Chief Engineer  
Date: March 12, 2019

Subject: Ratify the prior decision to partially close a segment of Parker Ave., between San Pablo Ave. & 6th St., Rodeo area.

---

**RECOMMENDATION(S):**

ADOPT Resolution No. 2019/61 ratifying the prior decision of the Public Works Director, or designee, to fully close a segment of Parker Avenue, between San Pablo Avenue and 6th Street, on March 9, 2019 from 9:00 AM through 9:20 AM, for the purpose of Rodeo Baseball Association Opening Day Parade, Rodeo area. (District V)

**FISCAL IMPACT:**

No fiscal impact

**BACKGROUND:**

Applicant shall follow guidelines set forth by the Public Works Department.

**CONSEQUENCE OF NEGATIVE ACTION:**

Applicant will not have Board approval for completed road closure.

- 
- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Bob Hendry (925)  
674-7744

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Jocelyn LaRocque- Engineering Services, Bob Hendry -Engineering Services, CHP, Sheriff - Patrol Division Commander

ATTACHMENTS

Resolution No.

2019/61

**THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA**  
**and for Special Districts, Agencies and Authorities Governed by the Board**

Adopted this Resolution on 03/12/2019 by the following vote:

**AYE:**

**NO:**

**ABSENT:**

**ABSTAIN:**

**RECUSE:**



**Resolution No. 2019/61**

IN THE MATTER OF ratifying the prior decision of the Public Works Director, or designee, to fully close a segment of Parker Avenue, between San Pablo Avenue and 6th Street, on March 9, 2019 from 9:00 AM through 9:20 AM, for the purpose of Rodeo Baseball Association Opening Day Parade, Rodeo area. (District V)

RC19-2

NOW, THEREFORE, BE IT RESOLVED IT IS BY THE BOARD RESOLVED that permission is granted to Rodeo Baseball Association to fully close a segment of Parker Avenue, between San Pablo Avenue and 6th St., except for emergency traffic, on March 9, 2019 for the period of 9:00 AM through 9:20 AM, subject to the following conditions:

1. Traffic will be detoured via neighboring streets per traffic control plan reviewed by Public Works.
2. All signing to be in accordance with the California Manual on Uniform Traffic Control Devices.
3. Rodeo Baseball Association shall comply with the requirements of the Ordinance Code of Contra Costa County.
4. Provide the County with a Certificate of Insurance in the amount of \$1,000,000.00 for Comprehensive General Public Liability which names the County as an additional insured prior to permit issuance.
5. Obtain approval for the closure from the Sheriff's Department, the California Highway Patrol and the Fire District.

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

**ATTESTED: March 12, 2019**

David J. Twa, County Administrator and Clerk of the Board of Supervisors

**Contact: Bob Hendry (925) 674-7744**

By: , Deputy

**cc:** Jocelyn LaRocque- Engineering Services, Bob Hendry -Engineering Services, CHP, Sheriff - Patrol Division Commander



Contra  
Costa  
County

To: Board of Supervisors  
From: Brian M. Balbas, Public Works Director/Chief Engineer  
Date: March 12, 2019

Subject: Approving the second extension of the Subdivision Agreement for minor subdivision MS03-00007, Alamo area.

---

**RECOMMENDATION(S):**

ADOPT Resolution No. 2019/66 approving the second extension of the Subdivision Agreement for minor subdivision MS03-00007, for a project being developed by Maurice Storch as recommended by the Public Works Director, Alamo area. (District II)

**FISCAL IMPACT:**

No fiscal impact.

**BACKGROUND:**

The terminal date of the Subdivision Agreement needs to be extended. The developer has not completed the required improvements and has requested more time. (Approximately 0% of the work has been completed to date.) By granting an extension, the County will give the developer more time to complete improvements and keeps the bond current.

**CONSEQUENCE OF NEGATIVE ACTION:**

The terminal date of the Subdivision Agreement will not be extended and

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APPROVE
  OTHER  
 RECOMMENDATION OF CNTY ADMINISTRATOR
  RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Randolph Sanders (925)  
313-2111

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

CONSEQUENCE OF NEGATIVE ACTION: (CONT'D)

the developer will be in default of the agreement, requiring the County to take legal action against the developer and surety to get the improvements installed, or revert the development to acreage.

ATTACHMENTS

Resolution No. 2019/66

Subdivision Agreement Extension

**THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA**  
**and for Special Districts, Agencies and Authorities Governed by the Board**

Adopted this Resolution on 03/12/2019 by the following vote:

**AYE:**   
**NO:**   
**ABSENT:**   
**ABSTAIN:**   
**RECUSE:**



**Resolution No. 2019/66**

IN THE MATTER OF approving the second extension of the Subdivision Agreement for minor subdivision MS03-00007 for a project being developed by Maurice Storch as recommended by the Public Works Director, Alamo area. (District II)

WHEREAS the Public Works Director having recommended that he be authorized to execute the second agreement extension which extends the Subdivision Agreement between Maurice Storch and the County for construction of certain improvements in minor subdivision MS03-00007, Alamo area, through November 17, 2020.

APPROXIMATE PERCENTAGE OF WORK COMPLETE: 0%

ANTICIPATED DATE OF COMPLETION: TBD

BOND NO.: 802955S Date: August 3, 2015

REASON FOR EXTENSION: Required drainage improvements to be constructed with building of custom home design.

NOW, THEREFORE, BE IT RESOLVED that the recommendation of the Public Works Director is APPROVED.

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

**Contact: Randolph Sanders (925) 313-2111**

**ATTESTED: March 12, 2019**

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

**cc:** Jocelyn LaRocque- Engineering Services, Randolph Sanders- Engineering Services, Alex Lopez - Engineering Services, Ruben Hernandez - DCD, Trixie Gothro - Design & Construction, Maurice Storch, Indemnity Company of California, T-09/01/2020



**CONTRA COSTA COUNTY**  
**SUBDIVISION AGREEMENT EXTENSION**

**Development Number:** MS03-00007  
**Developer:** Maurice Storch  
**Original Agreement Date:** November 17, 2015  
**Second Extension New Termination Date:** November 17, 2020

| <u>Improvement Security</u>                          |  |
|--|--|
| <b>SURETY:</b> Indemnity Company of California       |  |
| <b>BOND No.:</b> 802955S <b>Date:</b> August 3, 2015 |  |
| <u>Security Type</u>                                 | <u>Security Amount</u>                     |
| <b>Cash:</b>   | <b>\$ 1,000.00 (1% cash, \$1,000 Min.)</b> |
| <b>SURETY BOND:</b>                                  | <b>\$ 35,000.00 (Performance)</b>          |
|  | <b>\$ 18,000.00 (Labor &amp; Material)</b> |

The Developer and the Surety desire this Agreement to be extended through the above date; and Contra Costa County and said Surety hereby agree thereto and acknowledge same.

Dated: \_\_\_\_\_

FOR CONTRA COSTA COUNTY  
 Brian M. Balbas, Public Works Director

By: \_\_\_\_\_

RECOMMENDED FOR APPROVAL:

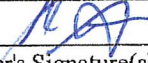
By: \_\_\_\_\_  
 (Engineering Services Division)

*(NOTE: Developer's, Surety's and Financial Institution's Signatures must be Notarized.)*

FORM APPROVED: Victor J. Westman, County Counsel

After Approval Return to Clerk of the Board

Dated: February 7, 2019

  
 Developer's Signature(s)  
 Maurice Storch

Printed \_\_\_\_\_

Developer's Signature(s) \_\_\_\_\_

Printed \_\_\_\_\_  
 645 Miner Road, Orinda, CA 94563

Address \_\_\_\_\_  
 Indemnity Company of California

Surety or Financial Institution \_\_\_\_\_  
 P.O. Box 19725, Irvine, CA 92623

Address \_\_\_\_\_  


Attorney in Facts Signature \_\_\_\_\_  
 Oscar Gallegos, Attorney-in-Fact

Printed \_\_\_\_\_

## ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California  
County of San Bernardino)

On February 7, 2019 before me, N. Cruz, Notary Public  
(insert name and title of the officer)

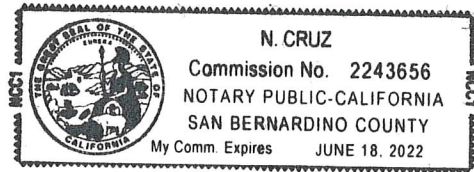
personally appeared Oscar Gallegos  
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) ~~is/are~~ subscribed to the within instrument and acknowledged to me that ~~he/she/they~~ executed the same in ~~his/her/their~~ authorized capacity(ies), and that by ~~his/her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature 

(Seal)



POWER OF ATTORNEY FOR  
DEVELOPERS SURETY AND INDEMNITY COMPANY  
INDEMNITY COMPANY OF CALIFORNIA  
PO Box 19725, IRVINE, CA 92623 (949) 263-3300

KNOW ALL BY THESE PRESENTS that except as expressly limited, DEVELOPERS SURETY AND INDEMNITY COMPANY and INDEMNITY COMPANY OF CALIFORNIA, do each hereby make, constitute and appoint:

\*\*\*Jennifer Wayne, Justin Buenaventura, John Van Bokkelen, Jeff Aase, Will Mingram, Jeffrey R. Davis, Oscar Gallegos, Rachel Holbrook, Trisha Bella Locke-Zamora, jointly or severally\*\*\*

as their true and lawful Attorney(s)-in-Fact, to make, execute, deliver and acknowledge, for and on behalf of said corporations, as sureties, bonds, undertakings and contracts of suretyship giving and granting unto said Attorney(s)-in-Fact full power and authority to do and to perform every act necessary, requisite or proper to be done in connection therewith as each of said corporations could do, but reserving to each of said corporations full power of substitution and revocation, and all of the acts of said Attorney(s)-in-Fact, pursuant to these presents, are hereby ratified and confirmed.

This Power of Attorney is granted and is signed by facsimile under and by authority of the following resolutions adopted by the respective Boards of Directors of DEVELOPERS SURETY AND INDEMNITY COMPANY and INDEMNITY COMPANY OF CALIFORNIA, effective as of January 1st, 2008.

RESOLVED, that a combination of any two of the Chairman of the Board, the President, Executive Vice-President, Senior Vice-President or any Vice President of the corporations be, and that each of them hereby is, authorized to execute this Power of Attorney, qualifying the attorney(s) named in the Power of Attorney to execute, on behalf of the corporations, bonds, undertakings and contracts of suretyship; and that the Secretary or any Assistant Secretary of either of the corporations be, and each of them hereby is, authorized to attest the execution of any such Power of Attorney;

RESOLVED, FURTHER, that the signatures of such officers may be affixed to any such Power of Attorney or to any certificate relating thereto by facsimile, and any such Power of Attorney or certificate bearing such facsimile signatures shall be valid and binding upon the corporations when so affixed and in the future with respect to any bond, undertaking or contract of suretyship to which it is attached.

IN WITNESS WHEREOF, DEVELOPERS SURETY AND INDEMNITY COMPANY and INDEMNITY COMPANY OF CALIFORNIA have severally caused these presents to be signed by their respective officers and attested by their respective Secretary or Assistant Secretary this 6th day of February, 2017.

By: *Daniel Young*  
Daniel Young, Senior Vice-President

By: *Mark Lansdon*  
Mark Lansdon, Vice-President

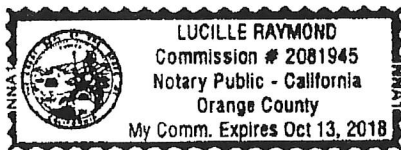


A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California  
County of Orange

On February 6, 2017 before me, Lucille Raymond, Notary Public  
Date Here Insert Name and Title of the Officer  
personally appeared Daniel Young and Mark Lansdon  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



Place Notary Seal Above

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature *Lucille Raymond*  
Lucille Raymond, Notary Public

CERTIFICATE

The undersigned, as Secretary or Assistant Secretary of DEVELOPERS SURETY AND INDEMNITY COMPANY or INDEMNITY COMPANY OF CALIFORNIA, does hereby certify that the foregoing Power of Attorney remains in full force and has not been revoked and, furthermore, that the provisions of the resolutions of the respective Boards of Directors of said corporations set forth in the Power of Attorney are in force as of the date of this Certificate.

This Certificate is executed in the City of Irvine, California, this 7th day of February, 2019

By: *Cassie J. Berrisford*  
Cassie J. Berrisford, Assistant Secretary



This certificate is attached to a 1 page document dealing with/entitled Subdivision Agreement Extension and dated 2/7/2019

### California ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California  
County of San Francisco

On February 15, 2019 before me,  
Angelica N. Reynoso Akbarzad, Notary Public (here insert name and title of the officer),

personally appeared Maurice Storch, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature [Handwritten Signature] (Seal)



**Contra  
Costa  
County**

To: Board of Supervisors  
From: Keith Freitas, Airports Director  
Date: March 12, 2019

Subject: Rehabilitation of Buchanan Field Airport Runway 14L/32R – CEQA and Related Actions and Advertising for Construction Bids (District IV)

---

**RECOMMENDATION(S):**

**APPROVE** the rehabilitation of Buchanan Field Airport Runway 14L/32R (reconstruction and surface replacement) at Buchanan Field Airport in Concord (Project No. 4855-4661-SAS-6X5324, DCD-CP17-39, and FAA Project No. 3-06-0050-025) (the Project).

**FIND** that the Project, as described in the initial study of environmental significance for the project, is exempt from the California Environmental Quality Act (CEQA) under CEQA Guidelines section 15301(d).

**DIRECT** the Director of Department of Conservation and Development to file a Notice of Exemption with the County Clerk.

**AUTHORIZE** the Public Works Director, or designee, to arrange for payment of a \$25 fee to the Department of Conservation and Development for processing, and a \$50 fee to the County Clerk for filing the Notice of Exemption.

**AUTHORIZE** the Public Works Director, or designee, to advertise the next phase of the Project for bids.

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Russell Milburn (925)  
681-4200

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

### FISCAL IMPACT:

There is no impact on the General Fund. The estimated construction cost is \$4,200,000, most of which will be funded by the Federal Aviation Administration (FAA) (approximately 90%, or \$3,780,000) and by Caltrans (approximately 4.5%, or \$189,000). The balance of approximately 5.5%, or \$231,000, will be funded by the Airport Enterprise Fund.

### BACKGROUND:

The last rehabilitation of Runway 14L/32R was completed in 1986 with the addition of a porous friction course that has reached its expected lifespan. It is necessary to resurface the runway in order to maintain the runway's structural integrity for safety, operational and capacity purposes, as required by the FAA. Carrying out the Project is consistent with the Buchanan Field Airport Master Plan, adopted by the Board of Supervisors on October 28, 2008. The Project is also consistent with the Board's approval, in April 2014, of the submittal of grant applications to the FAA and Caltrans for the funds to complete the Project.

In January 2017, the County entered into a contract with Mead & Hunt to perform the design engineering for the Project. The design work is now complete and Airport staff is ready to advertise the next phase of the Project for bids.

The Department of Conservation and Development has previously determined that this project is a Categorical Exemption, under Section 15301 (Class I) of the California Environmental Quality Act (CEQA) guidelines. The Notice of Exemption (County File Number CP17-39) was administratively approved on November 21, 2017.

The Public Works Department requires that the Board of Supervisors authorize the Director of Airports, or designee, to advertise the Project for bids.

### CONSEQUENCE OF NEGATIVE ACTION:

A delay in advertising for construction bids will delay the rehabilitation of the runway and may jeopardize federal funding for the Project.

### ATTACHMENTS

CEQA Documents

**Department of  
Conservation and  
Development**

30 Muir Road  
Martinez, CA 94553

Phone: 1-855-323-2626

**Contra  
Costa  
County**



**John Kopchik**  
Director

**Aruna Bhat**  
Deputy Director

**Jason Crapo**  
Deputy Director

**Maureen Toms**  
Deputy Director

**Kara Douglas**  
Assistant Deputy Director

**Kelli Zenn**  
Business Operations Manager



November 21, 2017

Claudia Gemberling  
Contra Costa County Public Works  
CP17-39

Dear Applicant:

The Contra Costa County Department of Conservation and Development, Community Development Division, has completed an initial study of the environmental significance of the project represented by your pending application bearing County File Number **CP17-39**. In conformance with Contra Costa County Guidelines for implementing the California Environmental Quality Act of 1970 (CEQA), it has been determined that your project will not have a significant effect on the environment. Your project falls within the following category:

**(X) AN ENVIRONMENTAL IMPACT REPORT (EIR) IS NOT REQUIRED.**

- ( X ) Categorical Exemption 15301 (d) [Class 1]
- ( ) The CEQA requirements are accommodated by the EIR previously prepared for \_\_\_\_\_.
- ( ) A statement that an EIR is not required. A Mitigated Negative Declaration was prepared for this project pursuant to the provisions of CEQA Section 15063(b)(2).
- ( ) General Rule of Applicability [Article 5, Section 15061(b)(3)]

**( ) AN ENVIRONMENTAL IMPACT REPORT (EIR) IS REQUIRED.**

- ( ) The complexity of your project requires your submission of additional special reports or information (as outlined on the attached sheet) (which will be outlined in a forthcoming letter).
- ( ) A consultant will be hired to prepare the environmental impact report. This procedure is explained on the attached sheet.
- ( ) Preparation of the EIR cannot be started until the fee and additional information requested is received by the Community Development Division.

If you have questions concerning this determination or desire additional information relative to environmental impact report regulations, please call 925-674-7786 and ask for **Lashun Cross**.

Sincerely yours,

John Kopchik, Director  
Department of Conservation and Development

By: Danielle Kelly

**CONTRA COSTA COUNTY  
DEPARTMENT OF CONSERVATION AND DEVELOPMENT**

**DATE: November 21, 2017**

**TO:** Claudia Gemberling  
Public Works Department- Environmental Services Division  
**FROM:** Aruna Bhat, Deputy Director, Community Development Division  
By: Telma Moreira; CEQA Coordinator  
Via: Danielle Kelly

**SUBJECT: CEQA REVIEW, PROJECT CP#17-39** Attached are the following environmental documents relative to the above-referenced project, which have been reviewed by the Department of Conservation and Development, Community Development Division. Please review the documents to determine if there are any posting periods and/or hearings required before the Board of Supervisors can take action on the project. These documents should be kept in the project file for future reference.

- Initial Study of Environmental Significance
- Copy of the Notice of Exemption (w/receipt).** (The original is: \_\_\_\_ on file in the Community Development Division; **on file with the County Clerk**; \_\_\_\_ being returned for filing in the project file.)
- Copy of the Notice of Determination. (The original is: \_\_\_\_ on file in the Community Development Division; \_\_\_\_ on file with the County Clerk; \_\_\_\_ being returned for filing in the project file.)
- Notice of Negative Declaration - including mail out list
- Draft EIR
- Mandatory Referral (copy)
- Proof of Publication
- Letter of CEQA determination
- Comments:
- ONE UNSIGNED COPY OF THE NOTICE OF EXEMPTION/DETERMINATION ARE ATTACHED. WHEN YOU HAVE SCHEDULED THIS ITEM WITH THE BOARD, PLEASE RETURN ONE COPY TO ME WITH A NOTE STATING THE DATE THE BOARD OF SUPERVISORS IS TO HEAR THE ITEM.

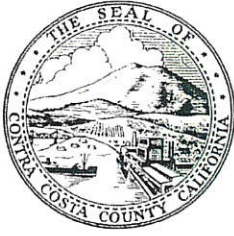
When the Board of Supervisors takes action on the project, it must "review" and "consider" the environmental impact report or negative declaration, together with any comments received during the public review process. They must then adopt the environmental document prior to approving the project. You must, therefore, provide copies for each member of the Board of the respective environmental impact report, notice of negative declaration or the notice of exemption, along with any comments received during the review period. They must review and consider these items prior to approving the project. If they do not physically have the documents, they cannot consider them.

In the case of projects, which are exempt from CEQA, the Board Order, should concur in the determination that the project is categorically exempt, and direct the filing of the Notice of Exemption.

**PLEASE NOTIFY US WITHIN TWO DAYS OF THE BOARD HEARING ON THIS PROJECT, WHAT ACTION THE BOARD TOOK SO THAT WE CAN INITIATE THE FILING OF THE NOTICE OF EXEMPTION OR NOTICE OF DETERMINATION WITH THE COUNTY CLERK. THE FILING OF THESE NOTICES STARTS THE STATUTE OF LIMITATIONS ON COURT CHALLENGES TO THE APPROVAL UNDER CEQA. HENCE, THE FILING OF THESE NOTICES IS IMPORTANT SINCE IT LIMITS THE COUNTY'S LIABILITY.**

If you have any questions concerning the above documents or the CEQA process, please contact **Lashun Cross** at 674-7786.





**CONTRA COSTA COUNTY**  
**Department of Conservation & Development**  
**Community Development Division**  
**30 Muir Road**  
**Martinez, CA 94553**  
**Telephone: 925-674-7205**

**TO:** Development Engineer/Architect

**DATE:** 11/21/17

**FROM:** Will Nelson, Advance Planning

*(Signature)*

**SUBJECT: MANDATORY REFERRAL FOR GENERAL PLAN CONFORMANCE**

Community Development staff has reviewed the attached project, **CP#17-39** to determine if mandatory referral for General Plan Conformance, as required by Government Code Section 65402, is necessary.

The project needs no further mandatory referral clearance from County staff subject to the following actions:

- Staff has determined that further 65402 review is not necessary.
- This project has been determined to be exempt from 65402 review in accordance with the Board of Supervisors Resolution 81/522 because the project involves a road alignment project of a minor nature.
- The project site is located within the City of \_\_\_\_\_. Our Department does not review projects for General Plan Conformance, which are located within a City (refer to Government Code Section 65402).
- Staff makes the following attached recommendation which should be incorporated into the staff report on this matter.

This project needs mandatory referral and County staff will process this project in the following manner:

- 65402 review is required. Staff will carry this matter before County Zoning Administrator.
- 65402 review must be withheld until completion of an Environmental Impact Report on this project.
- Other procedures.

PUBLIC WORKS DEPARTMENT  
INITIAL STUDY OF  
ENVIRONMENTAL SIGNIFICANCE

PROJECT NUMBER: WO5309  
CP# 17-39

PROJECT NAME: Runway 14L-32R Rehabilitation, Buchanan Field

PREPARED BY: Claudia Gemberling, Environmental Services Division, 313-2192 *B* DATE: November 16, 2017

APPROVED BY: *L. C. C.* DATE: 12/4/17

RECOMMENDATIONS:

- Categorical Exemption: 15301(d) [Class 1]**  Negative Declaration  
 Environmental Impact Report Required  Conditional Negative Declaration

The project will not have a significant effect on the environment. The recommendation is based on the following: The project consists of minor alterations to existing public infrastructure involving negligible or no expansion of use pursuant to section 15301 of the CEQA guidelines.

What changes to the project would mitigate the identified impacts: N/A

|                                      |                                     |           |
|--------------------------------------|-------------------------------------|-----------|
| USGS Quad Sheet: <u>Walnut Creek</u> | Base Map Sheet #: <u>G-14, H-14</u> | Parcel #: |
|--------------------------------------|-------------------------------------|-----------|

GENERAL CONSIDERATIONS:

1. **Location:** The project is located in Concord, an unincorporated area of Contra Costa County (Figure 1).
2. **Project Description:** Runway 14L-32R is approximately 4,600 square feet long and 150 feet wide; each end has a blast pad made of asphalt that is approximately 150 feet long. The project description included reconstructing any area where the pavement has failed, overlay paving for the entire runway, applying new striping, repairing or replacing existing conduit as necessary, repairing or replacing the existing shoulder material, and potentially replacing runway edge lighting with more efficient lighting alternatives and removing a portion of the existing airfield pavement on the approach end of Runway 32R as required to conform to the Federal Aviation Administration (FAA) standards. All project activities would not extend much beyond the existing pavement except for work on the shoulder. On April 14, 2014, the proposed maintenance activity was determined to be exempt from CEQA based on Class 1, Section 15301 (CP# 14-12).

Since then the project design has determined that the haul routes and equipment staging areas would need to be in different locations to minimize negatively impacting other airfield pavement surfaces. Two temporary haul routes and equipment staging areas would be needed and would occur primarily within existing access routes and already disturbed areas with the exception of the southern haul route (towards John Glen Drive) of which a portion of the route would occur across vegetated areas between the runways (Figures 2 and 3). These vegetated areas are routinely mowed and managed for maintenance of habitat wildlife hazards. A thin layer of gravel would be added to the temporary haul routes during construction to keep dirt and other debris from coming on the airfield surfaces which could create a safety hazard.

The project is necessary to maintain the structural integrity of the runway as required by the FAA for safety and operational purposes. The project is consistent with the Airport Master Plan for the Buchanan Field Airport.

3. Does it appear that any feature of the project will generate significant public concern?  
 Yes  No  maybe (Nature of concern):
4. Will the project require approval or permits by other than a County agency?  
 Yes  No Caltrans
5. Is the project within the Sphere of Influence of any city? Concord

# CONTRA COSTA COUNTY CALIFORNIA

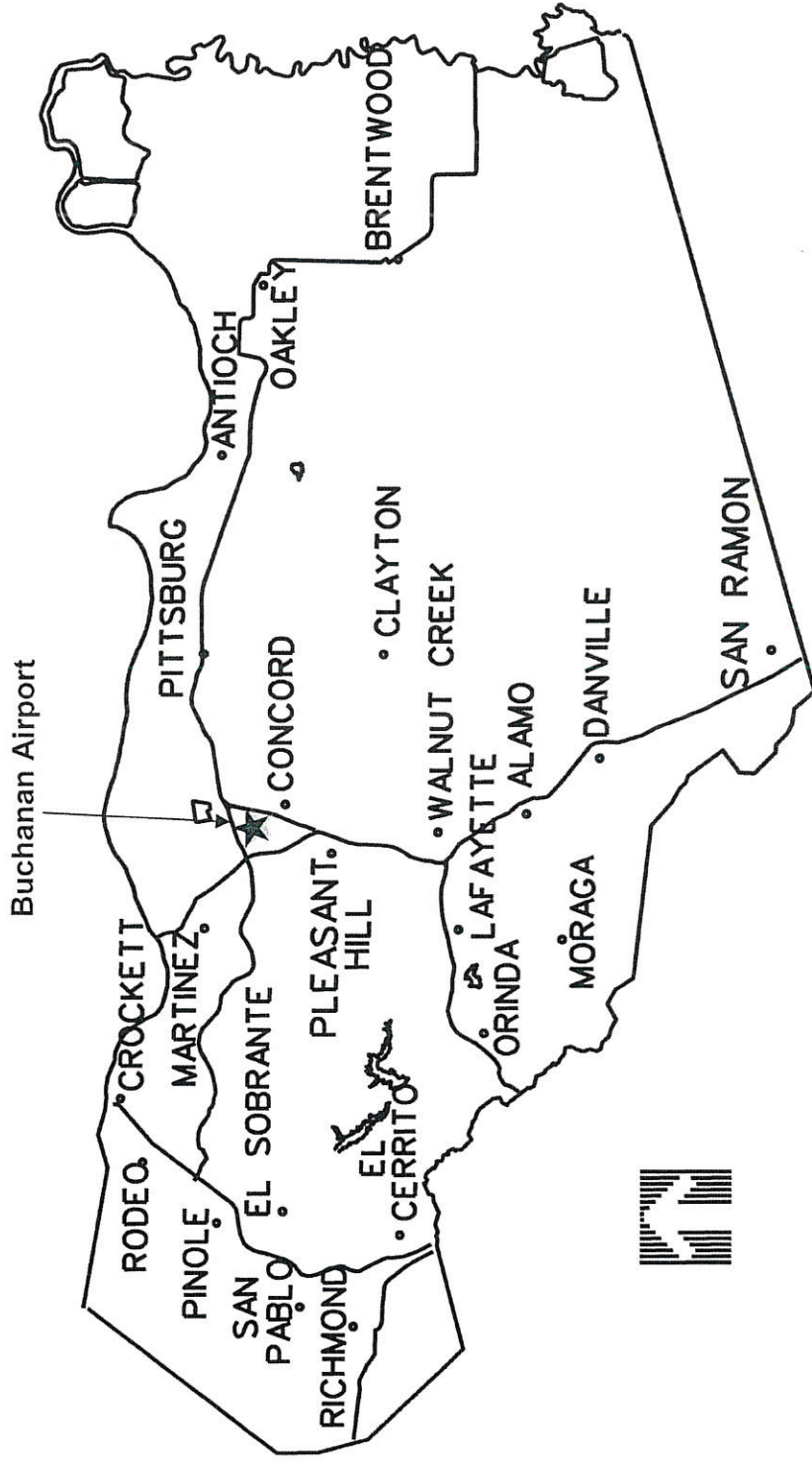


FIGURE 1: PROJECT LOCATION

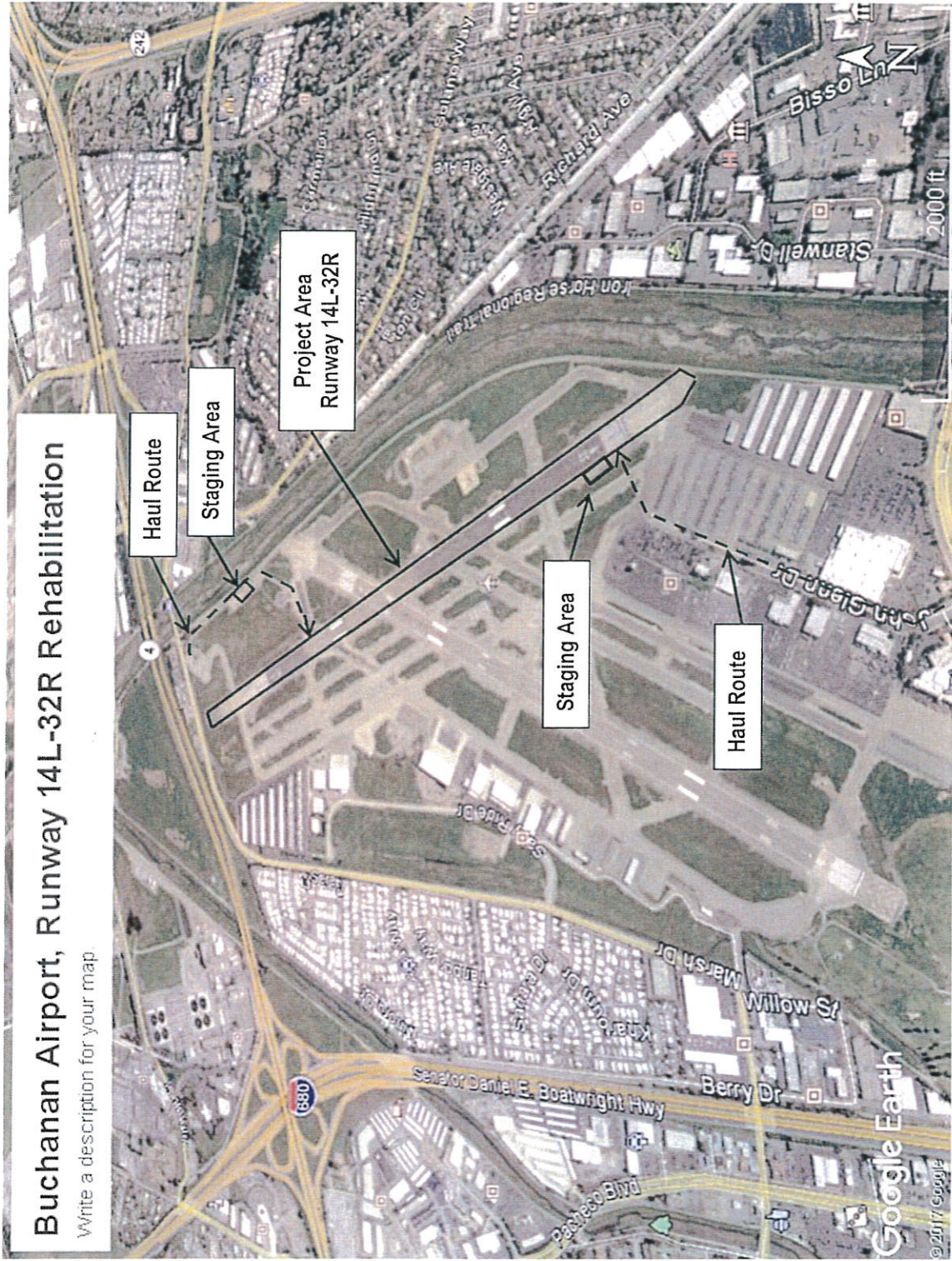


FIGURE 2 PROJECT LIMITS

**LEGEND**

- PHASE 4A L&C WORK, COORDINATION & FINAL MARKING APPLICATION
- PHASE 4B WORK AREA, PHASE 4B MARKING APPLICATION, PROPOSED MAINT ROUTE
- PHASE 4C WORK, COORDINATION & FINAL MARKING APPLICATION
- PHASE 4D WORK AREA, PHASE 4D MARKING APPLICATION, PROPOSED MAINT ROUTE
- PHASE 4E WORK, COORDINATION & FINAL MARKING APPLICATION
- PHASE 4F WORK AREA, PHASE 4F MARKING APPLICATION, PROPOSED MAINT ROUTE
- PHASE 4G WORK, COORDINATION & FINAL MARKING APPLICATION
- PHASE 4H WORK AREA, PHASE 4H MARKING APPLICATION, PROPOSED MAINT ROUTE
- PHASE 4I WORK, COORDINATION & FINAL MARKING APPLICATION
- PHASE 4J WORK AREA, PHASE 4J MARKING APPLICATION, PROPOSED MAINT ROUTE
- PHASE 4K WORK, COORDINATION & FINAL MARKING APPLICATION
- PHASE 4L WORK AREA, PHASE 4L MARKING APPLICATION, PROPOSED MAINT ROUTE

Scale: 0, 200, 400 feet

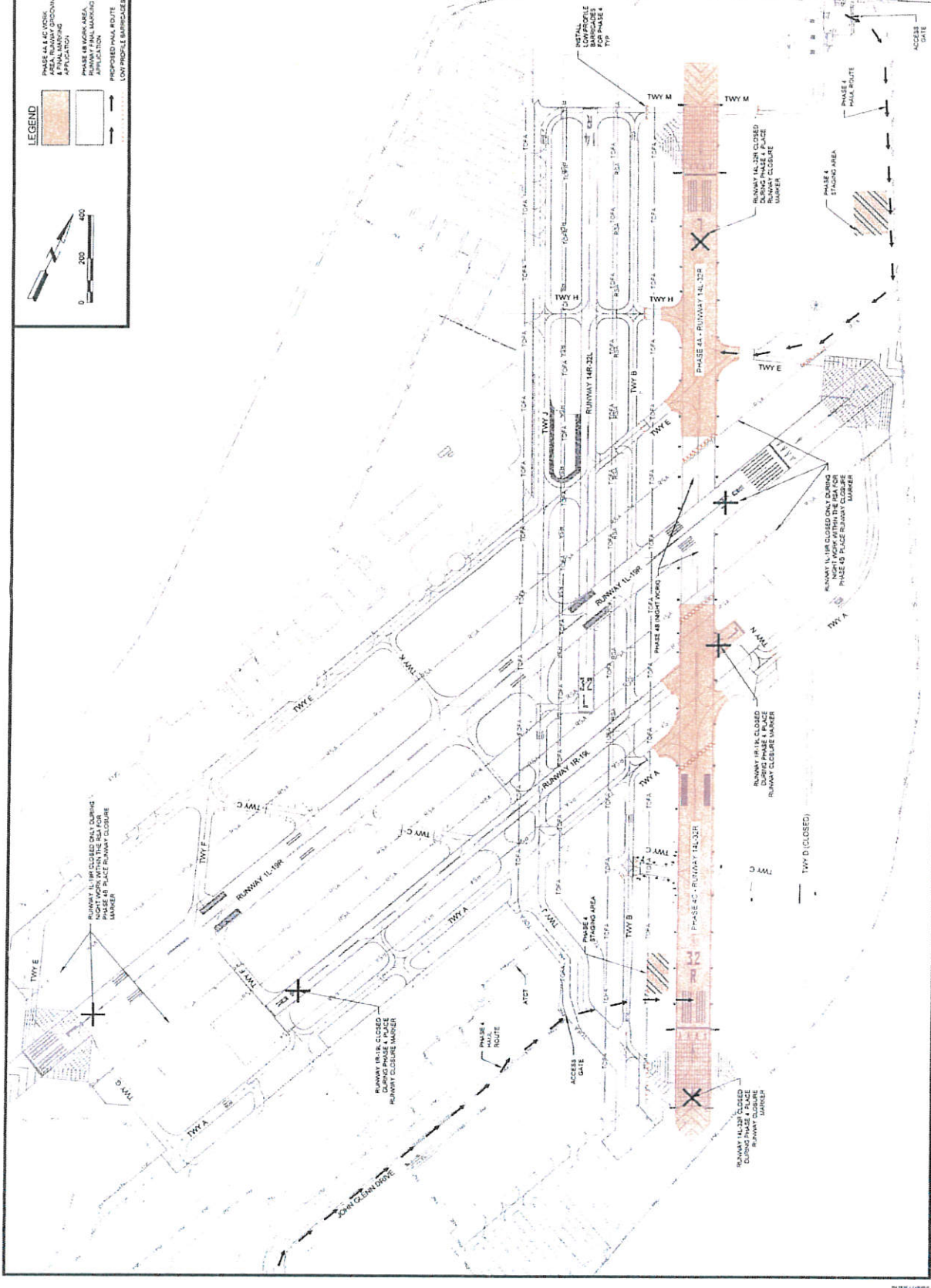


FIGURE 3 SITE PLAN

CALIFORNIA ENVIRONMENTAL QUALITY ACT  
**Notice of Exemption**

To:  Office of Planning and Research  
P.O. Box 3044, Room 113  
Sacramento, CA 95812-3044

From: Contra Costa County  
Dept. of Conservation & Development  
30 Muir Road  
Martinez, CA 94553

County Clerk  
County of: Contra Costa

Project Title: Runway 14L-32R Rehabilitation, Buchanan Field  
Project #: WO5309 CP#: 17-39

Project Applicant: Contra Costa County Public Works Department – Airports Division

Project Location – Specific: 550 Sally Ride Drive

Project Location: Concord

Project Location – County: Contra Costa

**Description of Nature, Purpose and Beneficiaries of Project:** Runway 14L-32R is approximately 4,600 square feet long and 150 feet wide; each end has a blast pad made of asphalt that is approximately 150 feet long. The project description included reconstructing any area where the pavement has failed, overlay paving for the entire runway, applying new striping, repairing or replacing existing conduit as necessary, repairing or replacing the existing shoulder material, and potentially replacing runway edge lighting with more efficient lighting alternatives and removing a portion of the existing airfield pavement on the approach end of Runway 32R as required to confirm to the Federal Aviation Administration (FAA) standards. All project activities would not extend much beyond the existing pavement except for work on the shoulder. On April 14, 2014, the proposed maintenance activity was determined to be exempt from CEQA based on Class 1, Section 15301 (CP# 14-12). Since then the project design has determined that the haul routes and equipment staging areas would need to be in different locations to minimize negatively impacting other airfield pavement surfaces. Two temporary haul routes and equipment staging areas would be needed and would occur primarily within existing access routes and already disturbed areas with the exception of the southern haul route (towards John Glen Drive) of which a portion of the route would occur across vegetated areas between the runways (Figures 2 and 3). These vegetated areas are routinely mowed and managed for maintenance of habitat wildlife hazards. A thin layer of gravel would be added to the temporary haul routes during construction to keep dirt and other debris from coming on the airfield surfaces which could create a safety hazard.

The project is necessary to maintain the structural integrity of the runway as required by the FAA for safety and operational purposes. The project is consistent with the Airport Master Plan for the Buchanan Field Airport.

Name of Public Agency Approving Project: Contra Costa County  
Name of Person or Agency Carrying Out Project: Contra Costa County Public Works Department

**Exempt Status:**

- Ministerial Project (Sec. 21080(b) (1); 15268);  
 Declared Emergency (Sec. 21080(b)(3); 15269(a));  
 Emergency Project (Sec. 21080(b)(4); 15269(b)(c));
- Categorical Exemption: 15301(d) (Class 1)**  
 Other Statutory Exemption, Code No.: \_\_\_\_\_  
 General Rule of Applicability [Article 5, Section 15061 (b)(3)]

**Reasons why project is exempt:** The project will not have a significant effect on the environment. The recommendation is based on the following: The project consists of minor alterations to existing public infrastructure involving negligible or no expansion of use pursuant to section 15301 of the CEQA guidelines.

Lead Agency Contact Person: Claudia Gemberling, Public Works Department Area Code/Telephone/Extension: (925) 313-2192

If filed by applicant:

1. Attach certified document of exemption finding.
2. Has a Notice of Exemption been filed by the public agency approving the project?  Yes  No

Signature: \_\_\_\_\_ Date: \_\_\_\_\_ Title: \_\_\_\_\_

Signed by Lead Agency  Signed by Applicant

**AFFIDAVIT OF FILING AND POSTING**

I declare that on \_\_\_\_\_ I received and posted this notice as required by California Public Resources Code Section 21152(c). Said notice will remain posted for 30 days from the filing date.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

**Applicant:**

Public Works Department  
255 Glacier Drive  
Martinez, CA 94553  
Attn: C. Gemberling  
Environmental Services Division  
Phone: (925) 313-2192

**Department of Fish and Game Fees Due**

- EIR - \$3,078.<sup>25</sup>  
 Neg. Dec. - \$2,216.<sup>25</sup>  
 DeMinimis Findings - \$0  
 County Clerk - \$50  
 Conservation & Development - \$25

Total Due: **\$75.00**  
Total Paid \$ \_\_\_\_\_  
Receipt #: \_\_\_\_\_



**Contra  
Costa  
County**

To: Board of Supervisors  
From: Keith Freitas, Airports Director  
Date: March 12, 2019

Subject: Consulting Services Agreement with The KPA Group for the Design of a Potential Aviation Terminal at Buchanan Field Airport

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Director of Airports, or designee, to execute a five-year consulting services agreement with The KPA Group, in the amount of \$1,134,209, to perform design, engineering, and construction management services for a potential new general aviation terminal at Buchanan Field Airport to house the Airport Division's office and Aircraft Rescue Fire Fighting (ARFF) facility. Concord Area. (District IV)

**FISCAL IMPACT:**

There is no impact on the General Fund. The total contract limit cost for the Buchanan Field Airport general aviation terminal design is \$1,134,209. Of this amount, \$807,002 is for the design and preparation of construction drawings and \$327,207 is for bidding, negotiation, and construction management services. The Federal Aviation Administration (FAA) and California Department of Transportation-Division of Aeronautics (Caltrans) have provided grants equal to \$283,500 for the design phase of the ARFF component. The balance will be funded by the Airport Enterprise Fund.

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Beth Lee, (925)  
681-4200

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

## BACKGROUND:

A new general aviation terminal, to replace the existing terminal building at the north end of John Glenn Drive, was identified as a potential capital improvement in the Buchanan Field Airport Master Plan adopted by the Board of Supervisors on October 28, 2008. In 2015, the Airports Division, after working with a diverse group of airport stakeholders, completed its Economic Development Incentive Program (EDIP) for both County airports. In 2017, the Airports Division updated its strategic plan. Both the EDIP and the updated strategic plan focus on increasing the regional competitiveness of the County's airports. Both the EDIP and the updated strategic plan identified the development of a new general aviation terminal building at Buchanan Field as a priority.

On February 27, 2018, the Board approved the submission and acceptance of grant applications to both the FAA and Caltrans for an ARFF facility at Buchanan Field. As a Part 139 Airport, the ARFF facility is an eligible project for FAA grant funds.

In October 2018, the County issued a request for proposal for the design of a general aviation terminal at Buchanan Field Airport that would accommodate the Airports Administration Office with additional flexible space that can be used for public meetings, serve the general aviation community, potentially attract commercial or charter aviation service and an ARFF facility for staff and equipment. A five-member review committee consisting of County staff and members of the Aviation Advisory Committee ranked the three proposals received. The review committee unanimously ranked The KPA Group as the top proposal. The proposed five-year contract is for design, engineering and construction management services with a contract limit of \$1,134,209. The work to be performed under the contract will include refining and finalizing the design and preparation of construction drawings. Upon completion of this phase, the County will evaluate the environmental analysis, project costs and financing options to determine if the project will proceed to construction.

If the County elects to proceed to construct the general aviation terminal, Airport staff will forward a FAA and Caltrans grant application approval request to the Board to seek funds for the construction of the eligible ARFF component.

## CONSEQUENCE OF NEGATIVE ACTION:

If the Airports Division does not engage a design engineer firm, it will be unable to proceed with the evaluation of the project in a timely manner. A delay could result in a loss of business and associated revenue for the Airport Enterprise Fund.





**Contra  
Costa  
County**

To: Board of Supervisors  
From: Brian M. Balbas, Public Works Director/Chief Engineer  
Date: March 12, 2019

Subject: Notice of Completion of Construction Contract for the Upper Sand Creek Basin Wetland Restoration Project, Antioch area.

---

**RECOMMENDATION(S):**

ADOPT Resolution No. 2019/74 accepting as complete the contracted work performed by SMP Services, Inc., for the Upper Sand Creek Basin Wetland Restoration Project, as recommended by the Chief Engineer, Antioch area. County Project No. 7562-6D8518-13 (District III)

**FISCAL IMPACT:**

Project was funded by 100% Drainage Area 130 Funds.

**BACKGROUND:**

The above project's installation work was completed on February 14, 2014 and the Establishment and five-year Maintenance Period began. The Board of Supervisors accepted the installation work on April 21, 2015.

The Chief Engineer reports that said Establishment and five-year Maintenance Period work has been inspected and completed and complies with the approved plans, special provisions and standard specifications and recommends its acceptance as complete as of February 15, 2019.

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Kevin Emigh,  
925-313-2233

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

CONSEQUENCE OF NEGATIVE ACTION:

The contractor will not be paid and acceptance notification will not be recorded.

ATTACHMENTS

Resolution No. 2019/74

Recorded at the request of: Clerk of the Board

Return To: Public Works Department, Design/Construction Division

**THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA  
and for Special Districts, Agencies and Authorities Governed by the Board**

**Adopted this Resolution on 03/12/2019 by the following vote:**

|          |                          |
|----------|--------------------------|
| AYE:     | <input type="checkbox"/> |
| NO:      | <input type="checkbox"/> |
| ABSENT:  | <input type="checkbox"/> |
| ABSTAIN: | <input type="checkbox"/> |
| RECUSE:  | <input type="checkbox"/> |

Resolution No. 2019/74

In the Matter of Accepting and Giving Notice of Completion of Contract for the Upper Sand Creek Basin Wetland Restoration Project, as recommended by the Chief Engineer, Antioch area. County Project No. 7562-6D8518-13 (District III)

SMP Services, Inc., for the work generally consisting of harvesting, storing, and planting willow pole cuttings; planting from containers; maintaining wetland, riparian woodland, and upland grassland areas for five (5) years in the Antioch area, with The Hanover Insurance Company as surety, for work performed on the grounds of the County; and  
The Chief Engineer reports that said work has been inspected, the maintenance period completed, and complies with the approved plans, special provisions and standard specifications and recommends its acceptance as complete as of February 15, 2019.

NOW THEREFORE, BE IT RESOLVED said work is ACCEPTED as complete on said date, and the Clerk shall file with the County Recorder a copy of this resolution and Notice as a Notice of Completion for said contract.

Contact: Kevin Emigh, 925-313-2233

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: **March 12, 2019**

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:



**Contra  
Costa  
County**

To: Board of Supervisors  
From: Keith Freitas, Airports Director  
Date: March 12, 2019

Subject: APPROVE and AUTHORIZE the Director of Airports, or designee, to execute a hangar rental agreement with Buchanan Field Airport hangar tenant

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Director of Airports, or designee, to execute a month-to-month hangar rental agreement with Allen Christensen for a Shade hangar at Buchanan Field Airport effective March 5, 2019 in the monthly amount of \$140.00, Pacheco area (District IV).

**FISCAL IMPACT:**

The Airport Enterprise Fund will realize \$1,680.00 annually.

**BACKGROUND:**

On September 1, 1970, Buchanan Airport Hangar Company entered into a 30-year lease with Contra Costa County for the construction of seventy-five (75) hangars and eighteen (18) aircraft shelters/shade hangars at Buchanan Field Airport. In 1977 Buchanan Airport Hangar Company amended their lease to allow for the construction of another 30-year lease with Contra Costa County for the construction of seventeen (17) additional hangars. Buchanan Airport Hangar Company was responsible for the maintenance and property management of the property during the lease period.

- 
- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Beth Lee, (925)  
681-4200

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

On September 1, 2000, the ninety-three (93) t- and shade hangars at Buchanan Field reverted to the County ownership pursuant to the terms of the above lease.

On November 14, 2006, the Contra Costa County Board of Supervisors approved the form of the T-Hangar and Shade Hangar Rental Agreement for use with renting the County's t-hangars, shade hangars, medium hangars, and executive hangars at Buchanan Field Airport.

On February 16, 2007, the additional seventeen (17) hangars at Buchanan Field reverted back to the County pursuant to the above referenced lease. This row included six (6) large hangars which were not covered by the approved T-Hangar and Shade Hangar Rental Agreement.

On February 23, 2007, Contra Costa County Board of Supervisors approved the new Large Hangar Rental Agreement for use with the large East Ramp Hangars.

On January 16, 2009, Contra Costa County Board of Supervisors approved an amendment to the T-Hangar and Shade Hangar Rental Agreement and the Large Hangar Rental Agreement (combined "Hangar Rental Agreements") which removed the Aircraft Physical Damage Insurance requirement. The Hangar Rental Agreements are the current forms in use for rental of all the County hangars at Buchanan Field Airport.

CONSEQUENCE OF NEGATIVE ACTION:

A negative action will cause a loss of revenue to the Airport Enterprise Fund.

ATTACHMENTS

Hangar Rental Agreement

## CONTRA COSTA COUNTY - BUCHANAN FIELD AIRPORT

### T-HANGAR AND SHADE HANGAR RENTAL AGREEMENT

1. **PARTIES:** March 5, 2019 ("Effective Date"), the COUNTY OF CONTRA COSTA, a political subdivision of the State of California ("Airport"), **Allen Christensen** ("Renter"), hereby mutually agree and promise as follows:
  
2. **RENTER AND AIRCRAFT INFORMATION:** Simultaneous with the execution of this T-Hangar and Shade Hangar Rental Agreement ("**Rental Agreement**") by Renter, Renter shall complete the Renter and Aircraft Information Form. A completed copy of the Renter and Aircraft Information Form is attached hereto as Exhibit "A" and incorporated herein. Renter must also provide to Airport at that time, for inspection and copying, (1) the original current Aircraft Registration or, if the aircraft described in Exhibit A is under construction, the plans for and proof of ownership of such aircraft; and (2) the insurance information required by Section 16 below.
  
3. **PURPOSE:** The purpose of this Rental Agreement is to provide for the rental of a T-Hangar or Shade Hangar space at the Contra Costa County - Buchanan Field Airport for the storage of the aircraft described in the Renter and Aircraft Information Form ("**Renter's Aircraft**").
  
4. **PREMISES:** For and in consideration of the rents and faithful performance by Renter of the terms and conditions set forth herein, Airport hereby rents to Renter and Renter hereby rents from Airport that T-Hangar or Shade Hangar shown as # **B-17** on the T-Hangar and Shade Hangar Site Plan, attached hereto as Exhibit B and incorporated herein. This T-Hangar or Shade Hangar is part of the T-Hangar and Shade Hangar Site ("**T-Hangar Site**") and shall hereinafter be described as the "**T-Hangar.**"

Renter has inspected the T-Hangar and hereby accepts the T-Hangar in its present condition, as is, without any obligation on the part of Airport to make any alterations, improvements, or repairs in or about the T-Hangar.

5. **USE:** The T-Hangar shall be exclusively by Renter for the storage of Renter's Aircraft. In addition to the storage of Renter's Aircraft, Renter may use the T-Hangar for (1) the homebuilding, restoration and/or maintenance of Renter's Aircraft, provided that such homebuilding, restoration and/or maintenance is performed by Renter only and in conformance with all applicable statutes, ordinances, resolutions, regulations, orders, circulars (including but not limited to FAA Advisory Circular 20-27) and policies now in existence or adopted from time to time by the United States, the State of California, the County of Contra Costa and other government agencies with jurisdiction over Buchanan Field Airport; (2) the storage of and materials directly

related to the storage, construction of homebuilt planes homebuilding, restoration, and/or maintenance of Renter's Aircraft; (3) the storage of one boat, or one recreational vehicle, or one motorcycle, or one automobile, provided that Renter first provides to Airport proof of Renter's ownership and original registration of any stored boat or vehicle, for inspection and copying; and/or (4) the storage of comfort items (such as a couch, small refrigerator, etc.) that the Director of Airports, in his sole discretion, determines will not impede the use of the hangar for the storage of Renter's Aircraft, and are not prohibited by applicable building and fire codes. The T-Hangar shall not be used for any purpose not expressly set forth in this Section 5. Use.

The use of all or a portion of the T-Hangar for the storage of aircraft not owned or leased by Renter is prohibited. ("Aircraft not owned or leased by Renter" means any aircraft in which Renter does not have an ownership interest or which is not directly leased to Renter). Renter shall present proof of said ownership interest or lease to Airport upon request in addition to that information provided in Exhibit A.

If Renter's Aircraft is or becomes non-operational, it may be stored in the T-Hangar only if it is being homebuilt or restored by Renter. Prior to the commencement of any such homebuilding or restoration, Renter shall provide to Airport (1) a copy of the purchase agreement or (2) a valid federal registration number. If Renter's Aircraft is not registered as of the Effective Date, upon completion of construction, Renter shall register and apply for an airworthiness certificate for Renter's Aircraft in accordance with all applicable federal statutes and regulations and provide the original registration and certification to Airport, for inspection and copying, immediately upon receipt by Renter. On or before January 1 of each year, if the homebuilding or restoration has not been completed, Renter shall provide a written annual report to the Director of Airports that details the homebuilding or restoration activity performed, work still required to be completed and an estimate of time of completion.

6. **TERM:** This Rental Agreement shall be from month to month commencing **March 5, 2019**, and shall continue until terminated. This Rental Agreement may be terminated by any party upon thirty (30) days written notice to the other party.

7. **RENT:**

A. **Monthly Rent and Additional Rent.** Renter shall pay \$ **140.00** in rent per month ("**Monthly Rent**") due and payable in advance on the first day of each calendar month, beginning on the commencement date of this Rental Agreement. Unless directed to do otherwise by Airport, Renter shall pay rent only in cash or by personal check, certified check, or money order. If the term of this Rental Agreement begins on a day other than the first day of the month, the Monthly Rent stated above for the first month shall be prorated



**Contra  
Costa  
County**

To: Board of Supervisors  
From: Keith Freitas, Airports Director  
Date: March 12, 2019

Subject: APPROVE and AUTHORIZE the Director of Airports, or designee, to execute a hangar rental agreement with Buchanan Field Airport Hangar tenant

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Director of Airports, or designee, to execute a month-to-month hangar rental agreement with Peter Lloyd for a Large T-hangar at Buchanan Field Airport effective March 15, 2019 in the monthly amount of \$620.00, Pacheco area. (District IV)

**FISCAL IMPACT:**

The Airport Enterprise Fund will realize \$7,440.00 annually.

**BACKGROUND:**

On September 1, 1970, Buchanan Airport Hangar Company entered into a 30-year lease with Contra Costa County for the construction of seventy-five (75) hangars and eighteen (18) aircraft shelters/shade hangars at Buchanan Field Airport. In 1977 Buchanan Airport Hangar Company amended their lease to allow for the construction of another 30-year lease with Contra Costa County for the construction of seventeen (17) additional hangars. Buchanan Airport Hangar Company was responsible for the maintenance and property management of the property during the lease period.

- 
- APPROVE  OTHER  
 RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Beth Lee, (925)  
681-4200

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:



BACKGROUND: (CONT'D)

On September 1, 2000, the ninety-three (93) t- and shade hangars at Buchanan Field reverted to the County ownership pursuant to the terms of the above lease.

On November 14, 2006, the Contra Costa County Board of Supervisors approved the form of the T-Hangar and Shade Hangar Rental Agreement for use with renting the County's t-hangars, shade hangars, medium hangars, and executive hangars at Buchanan Field Airport.

On February 16, 2007, the additional seventeen (17) hangars at Buchanan Field reverted back to the County pursuant to the above referenced lease. This row included six (6) large hangars which were not covered by the approved T-Hangar and Shade Hangar Rental Agreement.

On February 23, 2007, Contra Costa County Board of Supervisors approved the new Large Hangar Rental Agreement for use with the large East Ramp Hangars.

On January 16, 2009, Contra Costa County Board of Supervisors approved an amendment to the T-Hangar and Shade Hangar Rental Agreement and the Large Hangar Rental Agreement (combined "Hangar Rental Agreements") which removed the Aircraft Physical Damage Insurance requirement. The Hangar Rental Agreements are the current forms in use for rental of all the County hangars at Buchanan Field Airport.

CONSEQUENCE OF NEGATIVE ACTION:

A negative action will cause a loss of revenue to the Airport Enterprise Fund.

ATTACHMENTS

Hangar Rental Agreement

## CONTRA COSTA COUNTY - BUCHANAN FIELD AIRPORT

### LARGE AIRCRAFT HANGAR RENTAL AGREEMENT

1. **PARTIES:** Effective March 15, 2019 (the “**Effective Date**”), the COUNTY OF CONTRA COSTA, a political subdivision of the State of California (“**Airport**”), and Peter Lloyd (“**Renter**”), hereby mutually agree and promise as follows:
2. **RENTER AND AIRCRAFT INFORMATION** Simultaneous with the execution of this Large Aircraft Hangar Rental Agreement (this “**Rental Agreement**”) by Renter, Renter shall complete a Renter and Aircraft Information Form attached hereto as Exhibit A for each of the aircraft to be stored in Renter’s Large Aircraft Hangar. A completed copy of the Renter and Aircraft Information Form is attached hereto as Exhibit A and incorporated herein. Renter must also provide to Airport at that time, for inspection and copying, (1) the original current aircraft registration for each of Renter’s Aircraft or, if any of Renter’s Aircraft described in Exhibit A is under construction, the plans for and proof of ownership of such aircraft; and (2) the insurance information required by Section 16 below for each of Renter’s Aircraft.
3. **PURPOSE:** The purpose of this Rental Agreement is to provide for the rental of a large aircraft hangar space at the Contra Costa County - Buchanan Field Airport for the storage of the aircraft described in the Renter and Aircraft Information Form attached hereto as Exhibit A (individually or collectively, as the case may be, “**Renter’s Aircraft**”).
4. **PREMISES:** For and in consideration of the rents and faithful performance by Renter of the terms and conditions set forth herein, Airport hereby rents to Renter and Renter hereby rents from Airport that large aircraft hangar shown as #F-1 on the Large Aircraft Hangar Site Plan, attached hereto as Exhibit B and incorporated herein (hereinafter referred to as the “**Large Aircraft Hangar.**”).

Renter has inspected the Large Aircraft Hangar and hereby accepts the Large Aircraft Hangar in its present condition, as is, without any obligation on the part of Airport to make any alterations, improvements, or repairs in or about the Large Aircraft Hangar.

5. **USE:** The Large Aircraft Hangar shall be used exclusively by Renter for the storage of Renter’s Aircraft. In addition to the storage of Renter’s Aircraft, Renter may use the Large Aircraft Hangar for (1) the homebuilding, restoration and/or maintenance of Renter’s Aircraft, provided that such homebuilding, restoration and/or maintenance is performed by Renter only and in conformance with all applicable statutes, ordinances, resolutions, regulations, orders, circulars (including but not

limited to FAA Advisory Circular 20-27) and policies now in existence or adopted from time to time by the United States, the State of California, the County of Contra Costa and other government agencies with jurisdiction over Buchanan Field Airport; (2) the storage of and materials directly related to the storage, construction of homebuilt planes homebuilding, restoration, and/or maintenance of Renter's Aircraft; (3) the storage of one boat, or one recreational vehicle, or one motorcycle, or one automobile, provided that Renter first provides to Airport proof of Renter's ownership and original registration of any stored boat or vehicle, for inspection and copying; and/or (4) the storage of comfort items (such as a couch, small refrigerator, etc.) that the Director of Airports, in his sole discretion, determines will not impede the use of the hangar for the storage of Renter's Aircraft, and are not prohibited by applicable building and fire codes. The Large Aircraft Hangar shall not be used for any purpose not expressly set forth in this Section 5. Use.

The use of all or a portion of the Large Aircraft Hangar for the storage of aircraft not owned or leased by Renter is prohibited. (the term "aircraft not owned or leased by Renter" means any aircraft in which Renter does not have an ownership interest or which is not directly leased to Renter). Renter shall present proof of said ownership interest or lease to Airport upon request in addition to that information provided in Exhibit A.

If Renter's Aircraft is or becomes non-operational, it may be stored in the Large Aircraft Hangar only if it is being homebuilt or restored by Renter. Prior to the commencement of any such homebuilding or restoration, Renter shall provide to Airport (1) a copy of the purchase agreement or (2) a valid federal registration number. If Renter's Aircraft is not validly registered with the FAA as of the Effective Date, upon completion of construction, Renter shall register such aircraft and apply for an airworthiness certificate for Renter's Aircraft in accordance with all applicable federal statutes and regulations and provide the original registration and certification to Airport, for inspection and copying, immediately upon receipt by Renter. On or before January 1 of each year, if the homebuilding or restoration has not been completed, Renter shall provide a written annual report to the Director of Airports that details the homebuilding or restoration activity performed, work still required to be completed and an estimate of time of completion.

6. **TERM:** This Rental Agreement shall be from month to month commencing **March 15, 2019** and shall continue until terminated. This Rental Agreement may be terminated by any party upon thirty (30) days written notice to the other party.

7. **RENT**

A. **Monthly Rent and Additional Rent.** Renter shall pay \$ 620.00 in rent per month ("**Monthly Rent**") due and payable in advance on the first day of



Contra  
Costa  
County

To: Board of Supervisors  
From: Brian M. Balbas, Public Works Director/Chief Engineer  
Date: March 12, 2019

Subject: Settlement with T-Mobile USA, Inc.

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE settlement with T-Mobile USA, Inc. in the False Claims Act lawsuit *State of California ex rel. OnTheGo Wireless, LLC v. Cellco Partnership d/b/a Verizon Wireless, et al.* (Sacramento Superior Court Case No. 34-2012-00127517), requiring T-Mobile USA to pay the County \$11,777, as recommended by the Purchasing Agent.

APPROVE and AUTHORIZE the Purchasing Agent to complete and return, on behalf of the County, a consent and release for non-intervenors, to agree to the terms of settlement and to release T-Mobile USA, Inc., from claims that were raised or that could have been raised in the lawsuit.

**FISCAL IMPACT:**

The County will receive \$11,777 in settlement funds from T-Mobile USA. Funds will be deposited in the General Fund, General Purpose Revenue.

**BACKGROUND:**

This board order authorizes the County to participate in a settlement with T-Mobile USA, Inc., in a False Claims Act lawsuit filed on behalf of the State of California and several public agencies against several wireless carriers. The lawsuit,

- 
- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: David Gould, (925)  
313-2151

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

**BACKGROUND: (CONT'D)**

State of California ex rel. OnTheGo Wireless, LLC v. Cellco Partnership d/b/a Verizon Wireless, et al. (Sacramento Superior Court Case No. 34-2012-00127517), alleges that several wireless carriers, including T-Mobile USA, Inc., billed public agencies more than they agreed to bill under multi-agency contracts for wireless services. The County participated in those contracts and paid T-Mobile USA approximately \$147,000 for wireless services under the contract during the period covered by the lawsuit (2006-2012). The relator, OnTheGo Wireless, LLC, litigated this lawsuit on behalf of the State of California, Contra Costa County, and several other cities, counties, and public agencies.

The County received a notice that the Relator has agreed to a settlement with T-Mobile USA. This settlement will require T-Mobile USA to pay a total of \$600,000, plus attorney's fees, for T-Mobile USA's alleged unlawful conduct between 2011 and 2012. The Court will consider approving the settlement with T-Mobile USA on May 3, 2019. If the Court approves the settlement, and if the County signs and returns a release form by March 21, 2019, the County will receive \$11,777 from the T-Mobile USA's settlement funds. The release form will release T-Mobile USA from all claims related to its alleged unlawful billing practices between 2011 and 2012 that were raised or could have been raised in this litigation. If the County does not sign and return a release form and the court approves the settlement, the County's settlement amount would be reduced by 10 percent.

The Purchasing Agent recommends approving the partial settlement with T-Mobile USA and authorizing the execution and return of a release form, to ensure that the County receives the full amount of its allocation of settlement funds.

**CONSEQUENCE OF NEGATIVE ACTION:**

If the County takes no action and the court approves the settlement, the County would receive approximately \$10,600 in settlement funds.



Contra  
Costa  
County

To: Board of Supervisors  
From: Sharon Offord Hymes, Risk Manager  
Date: March 12, 2019

Subject: Final Settlement of Claim, John Mozzetti vs Contra Costa County

---

**RECOMMENDATION(S):**

RECEIVE this report concerning the final settlement of John Mozzetti (deceased) vs. Contra Costa County and AUTHORIZE payment from the Workers' Compensation Internal Service Fund in an amount not to exceed \$210,000.

**FISCAL IMPACT:**

Workers' Compensation Internal Service Fund payment of \$210,000.

**BACKGROUND:**

Attorney Mark A. Cartier, defense counsel for the County, has advised the County Administrator that within authorization, an agreement has been reached settling the workers' compensation claim of John Mozzetti (deceased) v. Contra Costa County. The Board's February 26, 2019 vote was: Supervisors Gioia, Andersen, Mitchoff and Glover - Yes. Supervisor Burgis - Absent. This actin is taken so that the terms of the final settlement and the earlier February 26, 2019 closed session vote of this Board authorizing its negotiated settlement is known publicly.

**CONSEQUENCE OF NEGATIVE ACTION:**

Case will not be settled.

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APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Sharon Hymes-Offord  
(925) 335-1400

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:



**Contra  
Costa  
County**

To: Board of Supervisors  
From: David Twa, County Administrator  
Date: March 12, 2019

Subject: Claims

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**RECOMMENDATION(S):**

DENY claims filed by Martin Barajas-Vences, Benttencourt Ranch, Alisa Castain, Ellen Langlois, and Peter Solomon. DENY amended claims filed by Ellen Langlois and Carolyn McCrary.

**FISCAL IMPACT:**

No fiscal impact.

**BACKGROUND:**

Martin Barajas-Vences: Property claim for lost personal property while at CCRMC in the amount of \$850.

Benttencourt Ranch: Property claim for damage to vehicle from parking lot gate in undisclosed amount.

Alisa Castain: Property claim for damage to vehicle arising out of motor vehicle accident with County employee in the amount of \$5,326.

Ellen Langlois: Property claim (and amended claim) for lost personal property while at CCRMC in the amount of \$58.

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APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Scott Selby  
925.335.1400

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

Peter Solomon: Property claim for lost personal property while at CCRMC in undisclosed amount.

Carolyn McCrary: Amended claim for missing personal property while at CCRMC in the amount of \$650.





Contra  
Costa  
County

To: Board of Supervisors  
From: Marc Shorr, Chief Information Officer  
Date: March 12, 2019

Subject: Presentation to recognize Susan Wright for 35 years of dedicated service to Contra Costa County on the occasion of her retirement

---

**RECOMMENDATION(S):**

ADOPT Resolution No. 2019/18 recognizing Susan Wright for 35 years of dedicated service to Contra Costa County on the occasion of her retirement, as recommended by the Department of Information Technology and the Chief Information Officer.

**FISCAL IMPACT:**

Not Applicable

**BACKGROUND:**

Not Applicable

**CONSEQUENCE OF NEGATIVE ACTION:**

N/A

**CHILDREN'S IMPACT STATEMENT:**

N/A

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APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

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Action of Board On: 03/12/2019  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Marc Shorr  
925-608-4071

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

ATTACHMENTS

Resolution  
2019/18

*The Board of Supervisors of  
Contra Costa County, California*

In the matter of:

**Resolution No. 2019/18**

Recognizing Susan Wright on the occasion of her Retirement from Contra Costa County.

WHEREAS Susan Wright started work as an experienced clerk in July of 1983 to support the Systems & Programming office of Data Processing Department; and

WHEREAS Susan was assigned to a newly created Office Automation group in 1986 to help county department administrative offices to migrate from typewriting on IBM Selectric to word processing on IBM personal computer; and

WHEREAS Susan was one of the three county PC software trainers from 1991 through 1998. She provided classroom setting trainings for Microsoft Word, WordPerfect and PageMaker desktop publishing. Over 600 participants went through WordPerfect classes alone; and

WHEREAS Susan took college courses and attended technical trainings to enhance her knowledge and skill in personal computer software and hardware. She received a Liberal Art A.A. degree in year 2000 while working full time; and

WHEREAS Susan has an unbeatable record of providing onsite computer hardware, software and desktop applications support to all County Departments: Agriculture, Airport, Animal Services, Assessor, Auditor, Board of Supervisors, County Administrator's Office, CCTV, Child Support Services, Clerk of the Board, Community Development, Community Services, Cooperative Extension, County Counsel, Courts, District Attorney, DoIT, Fire Districts, Employment and Human Services, General Services, Health Services, Human Resources, LAFCO, Library, Office of Revenue Collection, Probation, Public Defender, Public Works, Risk Management, Sheriff, Treasurer-Tax Collector and Veterans Services; and

WHEREAS in her career at the Department of Information Technology, Susan has received multiple letters and emails of acknowledgment from county departments for her knowledge, quick response and problems solving skills. Susan was always ready to serve, and she drove tens of thousands of miles to offices throughout the County to reach county staff when they needed her for IT support; and

WHEREAS Susan has held job titles:

- Experienced Clerk
- Senior Clerk
- Departmental Computer Specialist
- Information Systems Technician I from May 1998 to August 2000
- Network Technician I from September 2000 to February 2003
- Network Technician II from March 2003 to June 2016

- Network Administrator I from July 2016 to November 2018; and

**WHEREAS** Susan retired on December 1, 2018;

**NOW, THEREFORE, IT IS BY THE BOARD RESOLVED THAT** Susan Wright be recognized for the 35 years of dedicated service to Contra Costa County and the quality of work performed by Susan during her career.

\_\_\_\_\_  
**JOHN GIOIA**

Chair, District I Supervisor

\_\_\_\_\_  
**CANDACE ANDERSEN**

District II Supervisor

\_\_\_\_\_  
**DIANE BURGIS**

District III Supervisor

\_\_\_\_\_  
**KAREN MITCHOFF**

District IV Supervisor

\_\_\_\_\_  
**FEDERAL D. GLOVER**

District V Supervisor

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

David J. Twa,

By: \_\_\_\_\_, Deputy



Contra  
Costa  
County

To: Board of Supervisors  
From: Kathy Gallagher, Employment & Human Services Director  
Date: March 12, 2019

Subject: Proclaim March 2019 National Social Workers' Month in Contra Costa County

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**RECOMMENDATION(S):**

ADOPT Resolution No. 2019/36 proclaiming March 2019 National Social Workers' Month in Contra Costa County, as recommended by the Employment and Human Services Director.

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APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Elaine Burres,  
6084960

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

ATTACHMENTS

Resolution  
2019/36

*The Board of Supervisors of  
Contra Costa County, California*

In the matter of:

**Resolution No. 2019/36**

Proclaiming March 2019 National Social Workers' Month in Contra Costa County.

WHEREAS, the Social Work Profession is dedicated to enhancing the well-being of others and meeting the basic needs of all people, especially the most vulnerable in our society;

WHEREAS, Social Workers embody this year's National Social Work Month theme Social Workers: Elevate Social Work;

WHEREAS, the Social Work profession is expected to grow faster than average over the next seven years, with more than 649,000 people expected to be employed as social workers by 2024;

WHEREAS, in Contra Costa County, we are creating new ways to recognize, appreciate, and retain current Social Work professionals, as well as to attract new Social Workers to vacant positions within Children and Family Services, Aging and Adult Services, Workforce Services, and Health Services;

WHEREAS, Social Workers in Children and Family Services protect children from abuse and neglect, find foster and adoptive homes for children, and help families reunite;

WHEREAS, Social Workers in Aging and Adult Services provide comprehensive assessment and intervention through In-Home Support Services, assessment and advocacy on behalf of disabled clients, investigation of emotional abuse, sexual abuse, financial exploitation, neglect and isolation of the elderly and disabled adults, and make referrals to resources and support to help ensure their safety and independence;

WHEREAS, Social Workers in Workforce Services determine job readiness, and assist clients in finding resources to overcome employment barriers;

WHEREAS, Medical Social Workers within Contra Costa Health Services help patients obtain needed services in the County's hospital and clinics by addressing both the medical and psychosocial needs, serving as liaisons to other community services, and working in partnership with health, education and human service agencies;

WHEREAS, Social Workers are present in times of crisis, helping people overcome issues, such as death and grief and helping people and communities recover from natural disasters, such as fires and floods;

WHEREAS, the Social Work Profession has helped bring about some of the most profound, positive changes in our society over the past century, including voting rights, improved workplace safety, minimum wage and social safety net programs that help prevent poverty and hunger;

WHEREAS, Social Workers continue to engage and bring together individuals, communities, agencies and government to help society solve some of the most pressing issues of the day, including immigration reform, equal rights for all, affordable and good health care and mental health care for all, and protecting the environment.

NOW, THEREFORE, BE IT RESOLVED: In recognition of the numerous contributions made by Contra Costa County's Social Workers, the County's Board of Supervisors proclaims the month of March 2019 as Social Workers' Month in Contra Costa County.

\_\_\_\_\_  
**JOHN GIOIA**

Chair, District I Supervisor

\_\_\_\_\_  
**CANDACE ANDERSEN**

District II Supervisor

\_\_\_\_\_  
**DIANE BURGIS**

District III Supervisor

\_\_\_\_\_  
**KAREN MITCHOFF**

District IV Supervisor

\_\_\_\_\_  
**FEDERAL D. GLOVER**

District V Supervisor

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

David J. Twa,

By: \_\_\_\_\_, Deputy





Contra  
Costa  
County

To: Board of Supervisors  
From: Melinda Self, Child Support Services Director  
Date: March 12, 2019

Subject: Vincent Isip 25 Years of Service to Contra Costa County

---

**RECOMMENDATION(S):**

ADOPT Resolution No. 2019/59 recognizing Vincent Isip for his 25 years of service to Contra Costa County, as recommended by the Child Support Services Director.

- 
- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Jessica Shepard,  
925-313-4454

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Jessica Shepard

ATTACHMENTS

Resolution

2019/59

*The Board of Supervisors of  
Contra Costa County, California*

In the matter of:

**Resolution No. 2019/59**

Recognizing the contributions of Vincent Isip on the occasion of his 25 years of service to Contra Costa County.

WHEREAS Vincent Isip began his career with Contra Costa County on October 26, 1993 as a temporary Clerk Experienced Level for the District Attorney Family Support Department; and

WHEREAS Vincent utilized his skills and knowledge leading to his hiring as a permanent Account Clerk Experience Level on November 18, 1998; and

WHEREAS Vincent effectively utilized his skills and knowledge leading to his promotion to a Account Clerk Advance Level on July 1, 2000; and

WHEREAS Vincent honed his skills and knowledge leading to his promotion to Child Support Specialist II on October 17, 2016.

NOW, THEREFORE, BE IT RESOLVED that the Contra Costa County Board of Supervisors does hereby recognize and honor Vincent Isip for his 25 years of service, and gives it's full appreciation for his dedicated service to the Department of Child Support Services and the people of this County.

\_\_\_\_\_  
**JOHN GIOIA**

Chair, District I Supervisor

\_\_\_\_\_  
**CANDACE ANDERSEN**

District II Supervisor

\_\_\_\_\_  
**DIANE BURGIS**

District III Supervisor

\_\_\_\_\_  
**KAREN MITCHOFF**

District IV Supervisor

\_\_\_\_\_  
**FEDERAL D. GLOVER**

District V Supervisor

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

David J. Twa,

By: \_\_\_\_\_, Deputy



Contra  
Costa  
County

To: Board of Supervisors  
From: Melinda Self, Child Support Services Director  
Date: March 12, 2019

Subject: Lisa Nielsen 25 Years of Service to Contra Costa County

---

**RECOMMENDATION(S):**

ADOPT Resolution No. 2019/60 recognizing the contributions of Lisa Nielsen on her 25 years of service to Contra Costa County, as recommended by the Child Support Services Director.

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APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Jessica Shepard,  
925-313-4454

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

ATTACHMENTS

Resolution  
2019/60

*The Board of Supervisors of  
Contra Costa County, California*

In the matter of:

**Resolution No. 2019/60**

Recognizing the contributions of Lisa Nielsen on the occasion of her 25 years of service to Contra Costa County.

WHEREAS Lisa Nielsen began her career with Contra Costa County on October 25, 1993 as a temporary Family Support Collections Officer for the District Attorney, Family Support Department; and

WHEREAS Lisa effectively utilized her skills and knowledge, leading to her hiring as a permanent Account Clerk Experienced Level on April 25, 2000; and

WHEREAS Lisa honed her skills and knowledge, leading to her promotion to an Account Clerk Advanced Level on May 1, 2012.

NOW, THEREFORE, BE IT RESOLVED that the Contra Costa County Board of Supervisors does hereby recognize and honor Lisa Nielsen for her 25 years of service, and gives it's full appreciation for her dedicated service to the Department of Child Support Services and the people of this County.

\_\_\_\_\_  
**JOHN GIOIA**

Chair, District I Supervisor

\_\_\_\_\_  
**CANDACE ANDERSEN**

District II Supervisor

\_\_\_\_\_  
**DIANE BURGIS**

District III Supervisor

\_\_\_\_\_  
**KAREN MITCHOFF**

District IV Supervisor

\_\_\_\_\_  
**FEDERAL D. GLOVER**

District V Supervisor

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

David J. Twa,

By: \_\_\_\_\_, Deputy



Contra  
Costa  
County

To: Board of Supervisors  
From: Brian M. Balbas, Public Works Director/Chief Engineer  
Date: March 12, 2019

Subject: Recognizing Mike Carlson on the occasion of his retirement from Contra Costa County

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APPROVE  OTHER  
 RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Brian Balbas (925)  
313-2201

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

ATTACHMENTS

Resolution No.

2019/64



*The Board of Supervisors of  
Contra Costa County, California*

In the matter of:

**Resolution No. 2019/64**

**the contributions of Mike Carlson on the occasion of his Retirement from Contra Costa County**

**WHEREAS** Mike Carlson began his career with Contra Costa County in 1987 as a Staff Engineer for the Public Works Department in the Construction Division, and in 1991 was promoted to Associate Civil Engineer and transferred to the Design Division; and

**Whereas** in 1991 Mike supervised the "Team" administering the \$40 million Vasco Road Relocation Project, and in 1993 Mike received the Award of Excellence for representing the county and the department efficiently and professionally on the Vasco Road Relocation Project; and

**WHEREAS** in 1995 Mike was promoted to Senior Civil Engineer in the Construction Division and in 1999 was promoted to Supervising Civil Engineer of the Construction Division overseeing the building of public roads and drainage improvements; and

**WHEREAS** Mike was awarded the J. Michael Walford Project Manager of the Year Award in 2002 for his leadership of the team that showed excellence in the management of Public Works projects for the SR 4 Bypass Project; and

**WHEREAS** in 2002 Mike received a letter of thanks from Supervisor Donna Gerber of District 3 for his skilled negotiations on discussions with East Bay Municipal Utility District regarding the use of the Iron Horse Trail, which contributed to a positive outcome for the county and the citizens of Alamo; and

**WHEREAS** in 2005, under Mike's leadership, the American Public Works Association (APWA) recognized the Flood Control Division with the "2005 Distinguished Project" for the design, planning and execution of the Rossmoor Detention Basin project, and also received a letter of thanks from Supervisor Gayle B. Uilkema, District 2, for the design, building and landscaping of the Basin; and

**WHEREAS** in 2009 Mike received a letter of appreciation from the Rodeo Hills Elementary School on his presentation to the 5<sup>th</sup> grade class of the Parker Avenue Improvement Project in Rodeo, providing an excellent lesson in team building; and

**WHEREAS** in 2009 Mike was part of the J. Michael Walford Team of the Year Award for their excellence in coordination, communication, innovation, technical and negotiation skills to successfully get the Vasco Road Safety Project cleared for final approval; and

**WHEREAS** in 2010 Mike was reassigned to the Flood Control Division where he immediately motivated and energized staff to improve service delivery, conducting a poll of the public to determine where to focus customer service improvements. Mike also led a cultural change with staff to actively promote the District, its projects, and its programs, leading to creek clean-ups and planting days with elected officials and volunteers, and the "Giving the Natives a Chance" program; and

**WHEREAS** Mike invigorated a partnership with U C Berkeley that resulted in professors selecting county creeks for class assignments and staff reviewing graduate student projects, and several collaborative projects in District channels, including a groundbreaking study on homeless encampments in creeks; and

**WHEREAS** Mike is active in the County Engineers Association of California and served as Chair of the Flood Control and Water Resources Policy Committee providing technical support to the California State Association of Counties in their efforts to develop funding for stormwater; and

**WHEREAS** in 2016 Mike was promoted to Deputy Public Works Director overseeing Flood Control, Clean Water Program, Engineering Services and the Environmental Services Divisions of the Public Works Department; and

**Whereas** Mike has maintained many relationships both within and outside county government that allow him to be effective in his work for Contra Costa County; and

**WHEREAS** Mike has been a valuable travel resource to his colleagues for all things Hawaii (Aloha!), and ability to provide useless "80's trivia" including quotes from a variety of Will Ferrell movies; and

**WHEREAS** Mike has always shared his insightful knowledge of Costco deals along with photos of his frequent Costco shopping trips.

**NOW, THEREFORE, IT IS BY THE BOARD RESOLVED**, that Mike Carlson be recognized upon his retirement for 32 years of dedicated service to Contra Costa County and for the high quality of work delegated by him during his career.

\_\_\_\_\_  
**JOHN GIOIA**  
Chair, District I Supervisor

\_\_\_\_\_  
**CANDACE ANDERSEN**  
District II Supervisor

\_\_\_\_\_  
**DIANE BURGIS**  
District III Supervisor

\_\_\_\_\_  
**KAREN MITCHOFF**  
District IV Supervisor

\_\_\_\_\_  
**FEDERAL D. GLOVER**  
District V Supervisor

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

David J. Twa,

By: \_\_\_\_\_, Deputy



Contra  
Costa  
County

To: Board of Supervisors  
From: Russell Watts, Treasurer-Tax Collector  
Date: March 12, 2019

Subject: Treasurer-Tax Collector's Office 20 Year Service Award for Brice Bins

---

**RECOMMENDATION(S):**

ADOPT Resolution No. 2019/75 to recognize Brice Edward Bins, Chief Deputy Treasurer-Tax Collector, on the occasion of his 20 years of dedicated service with Contra Costa County Treasurer-Tax Collector's Office.

**FISCAL IMPACT:**

None.

**BACKGROUND:**

None.

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Ronda Boler, (925)  
957-2806

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

ATTACHMENTS

Resolution 2019/75

Brice Bins 20 Year Service

Award

*The Board of Supervisors of  
Contra Costa County, California*

In the matter of:

**Resolution No. 2019/75**

Recognizing the contributions of Brice Edward Bins for his 20 years of public service to Contra Costa County.

WHEREAS, in 1998, Brice Bins began his service with the Treasurer-Tax Collector's Office as a Treasurer's Investment Operations Analyst, conducting research and analysis of long and short term financial instruments, and monitoring and tracking the investment proceeds from such instruments; and

WHEREAS, in 2000, Brice was promoted to Treasurer's Investment Officer and assisted in analyzing anticipated receipts and expenditures of various county, school district and special district funds as they affected cash flow, as well as participated in buying and selling various securities for county and pool participants; and

WHEREAS, in 2002, Brice earned his certificate of Certified Cash Management from the Association of Financial Professionals, bringing additional knowledge and distinction to the treasury as a professional operation; and

WHEREAS, in 2006, Brice was promoted to Assistant County Treasurer and was responsible for the overall operations of the treasury: planning, coordinating, and directing all investment activities, and determining and insuring surplus funds were invested prudently; and

WHEREAS, in 2008, Brice effectively chaired a multi-departmental committee to explore and develop an electronic deposit permit (EDP) program whereby county departments and school and special districts would be able to deposit their receipts at nearby bank branches and report them remotely. The program has been in use since 2009 and has saved valuable time and resources; and

WHEREAS, in 2011, Brice was appointed Chief Deputy Treasurer-Tax Collector, and given the responsibility for assisting in planning, organizing and directing the operations of the entire department, including systems, programs and services necessary for the collection, deposit, investment and management of all taxes and funds deposited with the Treasurer-Tax Collector's Office; and

WHEREAS, Brice has contributed greatly to the overall operations of the Office, demonstrating excellent leadership in the development and improvement of various systems, programs and services utilized by staff, county agencies and the public, namely: the aforementioned EDP program; updating and promoting the County's Temporary Transfer Program for pool participants experiencing temporary shortfalls in operational funds due to unanticipated events such as a recession; a new Tax System "WIN" program that consolidates all search functions into a single screen; a Property Tax Online Payments System (PTOPS) that allows property owners to process online multiple payments in a single batch; the conversion of annual Tax Auction from in-person to online for greater participation and assurance of sale.

**NOW, THEREFORE, BE IT RESOLVED**, that Brice Bins be recognized for his 20 years of dedicated service to Contra Costa County, and for the high quality of work and contributions he rendered during such time.

---

**JOHN GIOIA**

Chair, District I Supervisor

\_\_\_\_\_  
**CANDACE ANDERSEN**

District II Supervisor

\_\_\_\_\_  
**KAREN MITCHOFF**

District IV Supervisor

\_\_\_\_\_  
**DIANE BURGIS**

District III Supervisor

\_\_\_\_\_  
**FEDERAL D. GLOVER**

District V Supervisor

I hereby certify that this is a true and correct copy of an  
action taken  
and entered on the minutes of the Board of Supervisors on  
the date  
shown.

ATTESTED: March 12, 2019

David J. Twa,

By: \_\_\_\_\_, Deputy

*The Board of Supervisors of  
Contra Costa County, California*

In the matter of:

**Resolution No. 2019/75**

Recognizing the contributions of Brice Edward Bins for his 20 years of public service to Contra Costa County as of December 28, 2018.

**WHEREAS**, in 1998, Brice Bins began his service with the Treasurer-Tax Collector's Office as a Treasurer's Investment Operations Analyst, conducting research and analysis of long and short term financial instruments, and monitoring and tracking the investment proceeds from such instruments; and

**WHEREAS**, in 2000, Brice was promoted to Treasurer's Investment Officer and assisted in analyzing anticipated receipts and expenditures of various county, school district and special district funds as they affected cash flow, as well as participated in buying and selling various securities for county and pool participants; and

**WHEREAS**, in 2002, Brice earned his certificate of Certified Cash Management from the Association of Financial Professionals, bringing additional knowledge and distinction to the treasury as a professional operation; and

**WHEREAS**, in 2006, Brice was promoted to Assistant County Treasurer and was responsible for the overall operations of the treasury: planning, coordinating, and directing all investment activities, and determining and insuring surplus funds were invested prudently; and

**WHEREAS**, in 2008, Brice effectively chaired a multi-departmental committee to explore and develop an electronic deposit permit (EDP) program whereby county departments and school and special districts would be able to deposit their receipts at nearby bank branches and report them remotely. The program has been in use since 2009 and has saved valuable time and resources; and

**WHEREAS**, in 2011, Brice was appointed Chief Deputy Treasurer-Tax Collector, and given the responsibility for assisting in planning, organizing and directing the operations of the entire department, including systems, programs and services necessary for the collection, deposit, investment and management of all taxes and funds deposited with the Treasurer-Tax Collector's Office; and

**WHEREAS**, Brice has contributed greatly to the overall operations of the Office, demonstrating excellent leadership in the development and improvement of various systems, programs and services utilized by staff, county agencies and the public, namely: the aforementioned EDP program; updating and promoting the County's Temporary Transfer Program for pool participants experiencing temporary shortfalls in operational funds due to unanticipated events such as a recession; a new Tax System "WIN" program that consolidates all search functions into a single screen; a Property Tax Online Payments System (PTOPS) that allows property owners to process online multiple payments in a single batch; the conversion of annual Tax Auction from in-person to online for greater participation and assurance of sale.

**NOW, THEREFORE, BE IT RESOLVED**, that Brice Bins be recognized for his 20 years of dedicated service to Contra Costa County, and for the high quality of work and contributions he rendered during such time.

**KAREN MITCHOFF**  
Chair, District IV Supervisor

**JOHN GIOIA**  
District I Supervisor

**CANDACE ANDERSEN**  
District II Supervisor

**DIANE BURGIS**  
District III Supervisor

**FEDERAL D. GLOVER**  
District V Supervisor

I hereby certify that this is a true and correct copy of and action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED:

David J. Twa

By: \_\_\_\_\_, Deputy





Contra  
Costa  
County

To: Board of Supervisors  
From: Sharon L. Anderson, County Counsel  
Date: March 12, 2019

Subject: Recognition of Michelle Maurer for 20 Years of Public Service

---

**RECOMMENDATION(S):**

ADOPT Resolution No. 2019/79 recognizing Michelle Maurer for 20 years of public service to Contra Costa County.

- 
- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Wanda McAdoo, (925)  
335-1811

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

ATTACHMENTS

Resolution  
2019/79

*The Board of Supervisors of  
Contra Costa County, California*

In the matter of:

**Resolution No. 2019/79**

Recognizing **Michielle B. Maurer for 20 Years of Public Service to Contra Costa County**

WHEREAS, Michielle B. Maurer began her career with Contra Costa County on April 1, 1999, in the Clerk of the Board's office and on February 15, 2001, found her true calling as Account Clerk in the Office of the County Counsel; and  
WHEREAS, Michielle performs her duties with dedication and enthusiasm, always putting the needs of the Office first, and  
WHEREAS, Michielle has always made herself available to colleagues wherever needed and has provided much appreciated support working alongside the administrative team to meet the many demands of the Office; and  
WHEREAS, through her integrity, honesty, kindness, generosity, and courage Michielle has been a leader in the County Counsel's Office; and  
WHEREAS, through her hard work, strength of purpose and perseverance, Michielle has inspired the County Counsel's Office to 14 years of victory in the annual County Food Fight and during that period helped to raise \$72,049 for the Food Bank of Contra Costa and Solano to help feed hungry people in our communities; and  
WHEREAS, through her great heart to help others, Michielle has been an example to her colleagues and her community showing us that, while we make a living by what we get, we make a life by what we give; and  
WHEREAS, we are proud to celebrate this significant personal and professional milestone in Michielle's life and career, and say "well done" and "thank you" for the thousands of little things she does every day.

**NOW, THEREFORE BE IT RESOLVED:** that, the Contra Costa County Board of Supervisors expresses its sincere gratitude to Michielle B. Maurer for her 20 years of loyal and dedicated service to the residents of Contra Costa County.

\_\_\_\_\_  
**JOHN GIOIA**

Chair, District I Supervisor

\_\_\_\_\_  
**CANDACE ANDERSEN**

District II Supervisor

\_\_\_\_\_  
**DIANE BURGIS**

District III Supervisor

\_\_\_\_\_  
**KAREN MITCHOFF**

District IV Supervisor

\_\_\_\_\_  
**FEDERAL D. GLOVER**

District V Supervisor

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

David J. Twa,

By: \_\_\_\_\_, Deputy



Contra  
Costa  
County

To: Board of Supervisors  
From: Kathy Gallagher, Employment & Human Services Director  
Date: March 12, 2019

Subject: Advisory Council on Aging Resignation

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**RECOMMENDATION(S):**

ACCEPT the resignation of Keith Katzman, DECLARE a vacancy in Local Committee Moraga Seat on the Advisory Council on Aging, and DIRECT the Clerk of the Board to post the vacancy, as recommended by the Employment and Human Services Director.

**FISCAL IMPACT:**

There is no fiscal impact.

**BACKGROUND:**

Mr, Katzman was appointed to the Moraga seat June 17, 2014. He is resigning due to business demands. The seat expires September 30, 2019.

The Advisory Council on Aging (ACOA) provides county-wide planning, cooperation, and coordination for individuals and groups interested in improving and developing services and opportunities for older residents of the County. ACOA provides leadership and advocacy on behalf of older persons and serves as a channel of communication and information on aging.

**CONSEQUENCE OF NEGATIVE ACTION:**

ACOA may not be able to conduct routine business.

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Elaine Burres  
608-4960

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:



**Contra  
Costa  
County**

To: Board of Supervisors  
From: Karen Mitchoff, District IV Supervisor  
Date: March 12, 2019

Subject: ACCEPT the resignation of Deborah St. Pierre from the Contra Costa County Library Commission and DECLARE the seat vacant

---

**RECOMMENDATION(S):**

ACCEPT the resignation of Deborah St. Pierre, DECLARE a vacancy in the District IV Alternate Seat on the Contra Costa County Library Commission, and DIRECT the Clerk of the Board to post the vacancy, as recommended by Supervisor Karen Mitchoff.

**FISCAL IMPACT:**

None.

**BACKGROUND:**

The role of members that serve in an advisory capacity to the Board of Supervisors and the County Librarian are; to provide a community linkage to the County Library; to establish a

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APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Lisa Chow, (925)  
521-7100

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

forum for the community to express its views regarding goals and operations of the County Library; to assist the Board of Supervisors and the County Librarian to provide library services based on assessed public needs; and to develop and recommend proposals to the Board of Supervisors and the County Librarian for the betterment of the County Library including, but not limited to, such efforts as insuring a stable and adequate funding level for the libraries in the County.

CONSEQUENCE OF NEGATIVE ACTION:

The Supervisor would be unable to appoint a new individual to the seat.

CHILDREN'S IMPACT STATEMENT:

n/a



**Contra  
Costa  
County**

To: Board of Supervisors  
From: AIRPORTS COMMITTEE  
Date: March 12, 2019

Subject: REAPPOINT EMILY BARNETT TO AN AT LARGE SEAT ON THE AVIATION ADVISORY COMMITTEE

---

**RECOMMENDATION(S):**

REAPPOINT Ms. Emily Barnett to an At Large seat on the Aviation Advisory Committee (AAC) to a term beginning March 1, 2019 and expiring February 28, 2022, as recommended by the Airport Committee.

**FISCAL IMPACT:**

None.

**BACKGROUND:**

The AAC was established by the Board of Supervisors (Board) to provide advice and recommendations to the Board on the aviation issues related to the economic viability and security of airports in Contra Costa County (County). The AAC is mandated to cooperate with local, state, and national aviation interests for the safe and orderly operation of airports; advance and promote the interests of aviation; and protect the general welfare of the people living and working near the airport and the County in general.

The AAC may initiate discussions, observations, or investigations and may hear comments on airport and aviation matters from the public or other agencies in order to formulate recommendations to the Board. In conjunction with all the above, the AAC provides a forum for the Director of Airports regarding policy matters at and around the airport.

The AAC comprises 13 members who must work and/or reside in Contra Costa County: one appointed by

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APPROVE
  OTHER  
 RECOMMENDATION OF CNTY ADMINISTRATOR
  RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Beth Lee, (925)  
681-4200

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:



each Supervisor; one from and nominated to the Board by the City of Concord; one from and nominated to the Board by the City of Pleasant Hill; one from and nominated to the Board by the Contra Costa County Airports Business Association; one from the community of Pacheco and nominated to the Board by the Airport Committee; one from the vicinity of Byron Airport (Brentwood, Byron, Knightsen or Discovery Bay) and nominated to the Board by the Airport Committee; and three At Large to represent the general community, to be nominated by the Airport Committee.

The Internal Operations (IO) subcommittee generally interviews and makes an appointment recommendation to the Board for the AAC At Large positions. At the IO's July 10, 2017, meeting they recommended that the AAC At Large position interview and selection process be referred to the Airport Committee as they have direct oversight and involvement in Airport related matters. On August 1, 2017, the Board approved referring the AAC At Large position recruitment and selection process to the Airport Committee.

On February 13, 2019, the Airport Committee interviewed the following candidates for an At Large seat on the AAC:

-

BACKGROUND: (CONT'D)

Emily Barnett, Pleasant Hill

- Andrei Obolenskiy, Pleasant Hill
- Moe Zendenam, Walnut Creek
- Kou Barnes, Martinez
- Christopher Doan, Walnut Creek
- James Gillivan, Walnut Creek

At the conclusion of the interviews, the Airport Committee decided to recommend the reappointment of Emily Barnett.

CONSEQUENCE OF NEGATIVE ACTION:

The At Large #3 seat will not have representation as the seat will become vacant.

ATTACHMENTS

Press Release

AAC Member Listing

Emily Barnett

Andrei Obolenskiy

Moe Zendenam

Kou Barnes

Christopher Doan

James Gillivan



**FOR IMMEDIATE RELEASE**

*Contra Costa Aviation Advisory Committee Opening*

**December 20, 2018** – Contra Costa County is accepting applications for the upcoming Member at Large opening on the Aviation Advisory Committee (AAC). The term begins upon appointment by the Board of Supervisors and expires on February 28, 2022. Residents of and/or employees in Contra Costa County are eligible to fill this position to represent all County stakeholders in matters related to Buchanan Field and Byron Airport.

The AAC serves as an advisory group to the Contra Costa County Board of Supervisors (Board) to provide advice and recommendations to the Board on aviation matters related to the Contra Costa County Airports. The AAC typically meets once per month at either Buchanan Field or Byron Airport.

Application forms can be obtained from the Clerk of the Board of Supervisors by calling 925.335.1900 or at <http://www.contracosta.ca.gov/3418/Appointed-Bodies-Committees-and-Commissi>. Applications should be submitted online or returned to the Clerk of the Board of Supervisors, Room 106, County Administration Building, 651 Pine Street, Martinez, CA 94553, no later than **5:00 p.m. on Monday, January 28, 2019**. Applicants should plan to be available for public interviews on **Wednesday, February 13, 2019**.

For more information on the Contra Costa County Airports or the AAC visit us at <http://www.contracostacountyairports.org/4694/Airports> or by calling (844) Fly-ToUs or 844.359.8687.

**About Contra Costa County Public Works Department:**

Contra Costa County Public Works Department (CCCPWD) maintains over 660 miles of roads, 150 miles of streams, channels and other drainage and over 200 County buildings throughout Contra Costa County. CCCPWD provides services such as Parks and Recreation, Sand Bag Distribution and Flood Control throughout unincorporated areas of Contra Costa County. CCCPWD operates two airports, Buchanan Field in Concord and Byron Airport in Byron. For more information about CCCPWD, please visit us [here](#).

**CONTACT:** Steve Padilla, 925.723.2382

**## ##**

| <b>CONTRA COSTA COUNTY AVIATION ADVISORY COMMITTEE</b> |                    |   |
|--|--------------------|---|
| <b>Term Expires</b>                                    | <b>Member</b>      | <b>Representing</b>                         |
| 2/28/2022  | Barnett, Emily     | Member at Large 3                           |
| 2/28/2021  | Bass, Roger        | District 2 - Andersen                       |
| 2/28/2022  | Moore, Cody        | CCC Aiports Bus. Assoc.                     |
| 2/29/2020  | Dale Roberts       | District 1 - Gioia                          |
| 2/28/2021  | Gunderson, Maurice | Member at Large 1                           |
| 2/28/2022  | McMahon, Keith     | City of Concord                             |
| 2/28/2021  | Mims, Derek        | City of Pleasant Hill                       |
| 2/28/2021  | Reagan, Ronald     | District 3 - Burgis                         |
| 2/29/2020  | Roe, Russell       | District 5 - Glover                         |
| 2/29/2020  | Eric Meinbress     | Member at Large 2                           |
| 2/29/2020  | Weber, Tom         | District 4 - Mitchoff                       |
| 2/28/2021  | Dietrich, Donna    | Community of Pacheco                        |
| 2/28/2021  | Starratt, Steven   | Surrounding communities of<br>Byron Airport |

# Application Form

## Profile

### Which Boards would you like to apply for?

Aviation Advisory Committee: Submitted

### At Large

Seat Name (if applicable)

### Describe why you are interested in serving on this advisory board/commission (please limit your response to one paragraph).

The Aviation Advisory Committee At Large position provides a wonderful opportunity to connect the public with the important work of both Buchanan and Byron airports. Airports should never be "just for pilots", rather a community resource for County funding, educational opportunities, industry training, business development, job creation, and community events. My work as Central San's Communications and Intergovernmental Relations Manager allows me the opportunity to be in Contra Costa County communities and accessible to the public and their input on the airports. I do not simply want to provide information to the public when inquires arise, but rather connect the public with the work of the airports, increasing communication and understanding of airport business, procedures and operations. Likewise, I will continue to share the public's input with the airports' Administration, further developing the positive relationship between the two.

### This application is used for all boards and commissions

Emily

First Name

Barnett

Last Name

Middle Initial

[Redacted]

Email Address

[Redacted]

Home Address

Suite or Apt

Pleasant Hill

City

CA

State

94523

Postal Code

[Redacted]

Primary Phone

Central Contra Costa Sanitary District (Central San)

Employer

Communications & Intergovernmental Relations Manager

Job Title

Public and Community Outreach

Occupation

### Do you, or a business in which you have a financial interest, have a contract with Contra Costa Co.?

Yes  No

### Is a member of your family (or step-family) employed by Contra Costa Co.?

Yes  No

---

## Education History

Select the highest level of education you have received:

---

Other

Masters

If "Other" was Selected Give Highest Grade or Educational Level Achieved

---

### College/ University A

San Jose State University

Name of College Attended

Communication Studies

Course of Study / Major

Units Completed

### Type of Units Completed

None Selected

Degree Awarded?

Yes  No

M.A.

Degree Type

2016

Date Degree Awarded

---

### College/ University B

California State University,  
Stanislaus

Name of College Attended

Political Science

Course of Study / Major

Units Completed

### Type of Units Completed

None Selected

Degree Awarded?

Yes  No

B.A.

Degree Type

2001

Date Degree Awarded

---

## College/ University C

Name of College Attended

Course of Study / Major

Units Completed

## Type of Units Completed

None Selected

## Degree Awarded?

Yes  No

Degree Type

Date Degree Awarded

---

## Other schools / training completed:

Course Studied

Hours Completed

## Certificate Awarded?

Yes  No

---

## Work History

Please provide information on your last three positions, including your current one if you are working.

### 1st (Most Recent)

8/10/2015 - present

Dates (Month, Day, Year) From - To

40

Hours per Week Worked?

**Volunteer Work?**

Yes  No

Intergovernmental Relations  
Manager

Position Title

**Employer's Name and Address**

Central Contra Costa Sanitary District (Central San) 5019 Imhoff Place, Martinez, CA 94553

**Duties Performed**

Outreach, communications, and advocacy work to customers, elected officials, employees, agencies, and general public.

**2nd**

7/1/2011 - 7/30/2015

Dates (Month, Day, Year) From - To

40

Hours per Week Worked?

**Volunteer Work?**

Yes  No

Director of Communications &  
Strategy Development

Position Title

**Employer's Name and Address**

ClearEra Communications 206 Powell Avenue, Pleasant Hill, CA 94523

**Duties Performed**

Government, community and media relations strategist and outreach for non-profit, business, and education start-up sectors.

**3rd**

12/1/2002 - 7/30/2010

Dates (Month, Day, Year) From - To

40

Hours per Week Worked?



**Volunteer Work?**

Yes  No

Government Relations Manager &  
Representative

Position Title

**Employer's Name and Address**

Pacific Gas and Electric Company 4040 West Lane, Stockton, CA 95204

**Duties Performed**

Public affairs outreach to customers, governmental agencies, and elected officials.

[Emily\\_amended\\_resume\\_-\\_narrowed\\_for\\_county\\_board.docx](#)

Upload a Resume

---

**Final Questions**

**How did you learn about this vacancy?**

Other

Current incumbent

If "Other" was selected please explain

**Do you have a Familial or Financial Relationship with a member of the Board of Supervisors?**

Yes  No

**If Yes, please identify the nature of the relationship:**

**Do you have any financial relationships with the County such as grants, contracts, or other economic relations?**

Yes  No

**If Yes, please identify the nature of the relationship:**

**Please Agree with the Following Statement**

**I understand that this form is a public document and is subject to the California Public Records Act.**

I Agree

# EMILY E. BARNETT

Pleasant Hill, CA 94523 •

## PROFESSIONAL EXPERIENCE

**Central Contra Costa Sanitary District, Martinez, CA** **Aug 2015 – present**  
**Communication Services & Intergovernmental Relations Manager**

*Manage seven public affairs staffers to implement a communications and government relations strategy to foster customer engagement and awareness, support internal departments, and strengthen internal communication*

- Developed and implemented a first-of-its-kind customer engagement strategy to grow student education programs, increase outreach of pollution prevention and environmental stewardship messages, support communication with customers for operational work, build and maintain relationships with elected officials, grow earned/paid media to reposition the District as a community and environmental leader to support planned rate increases for replacement of aging infrastructure
- Refocused Communication Services to a service-oriented Division, where every internal department is viewed as an ‘internal client’, decreasing department silos and increasing employee collaboration
- Reignited new and reestablished existing internal communication channels to increase employee engagement, morale, and workplace satisfaction
- Assessed, developed and led internal communications within the District by soliciting employee feedback, developing employee events, identifying employee stories for internal newsletter, communicating timely District operations and initiatives to departments, and incorporating employees into external communication publications for customers

**ClearEra Communications, Pleasant Hill, CA** **July 2011 – July 2015**  
**Director of Communications & Strategy Development**

*A skilled government, community, and media relations strategist that increased stakeholder engagement, coalition building and public outreach to propel client messages*

- Managed multiple projects for a diverse client base including non-profit, business and education start-up sectors simultaneously, meeting and exceeding all tasks and contract deadlines
- Positioned and implemented strategic development, project management, and community engagement for the premier environmental sustainability/green workforce development course in California
- Created student development team to test concepts and processes, shaping the first-generation website content and construction, social media applications, and peer outreach
- Co-developed the strategic plan for a first-of-its-kind beta phase project to include stakeholder engagement, marketability, relevance, and long-term scalability

**San Joaquin County Office of Education, Stockton, CA** **Aug. 2010 – June 2011**  
**Coordinator II, Government Relations & Public Affairs**

*Launched public affairs outreach project partnering private sector and governmental agencies with new and existing schools to expand curriculum and growth opportunities for underserved students*

**Pacific Gas and Electric Company, Stockton, CA** **Dec. 2002 – July 2010**  
**Manager, Government Relations 2009–2010, Representative, Government Relations 2002-2008**

*Led implementation of high-level business retention strategies, company objectives, crisis management, projects and charitable giving for nine-county area*

**California State Senate, Modesto, CA** **June 2000 – Dec. 2002**  
**District Representative 2001 – 2002, Office Assistant 2000 – 2001**

**EDUCATION**

B.A., Political Science, California State University Stanislaus, Turlock, CA

**2001**

M.A., Communication Studies, San Jose State University, San Jose, CA

**2016**

# Application Form

## Profile

### Which Boards would you like to apply for?

Aviation Advisory Committee: Submitted

Seat Name (if applicable)

### Describe why you are interested in serving on this advisory board/commission (please limit your response to one paragraph).

I am a resident who lives close to the Buchanan Airport. I would like to be a part of assisting in the development of the airports economically while balancing the impacts to our local neighborhoods. It is also my goal to become more involved in our community as a whole, and utilize my experience in industry to improve policy. As the bay area population grows we will see more interest in commercial interests at our local airports. I want to be a part of that evaluation, and be a conduit for my neighbors. Thank you.

### This application is used for all boards and commissions

|                           |                               |                          |
|---------------------------|-------------------------------|--------------------------|
| Andrei                    | N                             | Obolenskiy               |
| <small>First Name</small> | <small>Middle Initial</small> | <small>Last Name</small> |

[Redacted] Email Address

|                             |                             |
|-----------------------------|-----------------------------|
| [Redacted]                  | 212 Elaine Drive            |
| <small>Home Address</small> | <small>Suite or Apt</small> |

|                     |                      |                            |
|---------------------|----------------------|----------------------------|
| Pleasant Hill       | CA                   | 94523                      |
| <small>City</small> | <small>State</small> | <small>Postal Code</small> |

[Redacted] Primary Phone

|                         |                              |                           |
|-------------------------|------------------------------|---------------------------|
| CalAmp Inc.             | Business Development Manager | IoT/Telematics Sales B2B  |
| <small>Employer</small> | <small>Job Title</small>     | <small>Occupation</small> |

### Do you, or a business in which you have a financial interest, have a contract with Contra Costa Co.?

Yes  No

### Is a member of your family (or step-family) employed by Contra Costa Co.?

Yes  No

## Education History

Select the highest level of education you have received:

---

Other

MBA Global Business

If "Other" was Selected Give Highest Grade or Educational Level Achieved

---

**College/ University A**

St. Mary's College of CA

Name of College Attended

Economics/Business

Course of Study / Major

45

Units Completed

**Type of Units Completed**

---

Semester

**Degree Awarded?**

---

Yes  No

Master in Business Administration

Degree Type

09/28/2008

Date Degree Awarded

---

**College/ University B**

Moscow State

Name of College Attended

International Economics

Course of Study / Major

60

Units Completed

**Type of Units Completed**

---

Semester

**Degree Awarded?**

---

Yes  No

BS Economics

Degree Type

09/17/2004

Date Degree Awarded

---

## College/ University C

Warren Tech

Name of College Attended

Automotive Technology

Course of Study / Major

25

Units Completed

## Type of Units Completed

Semester

## Degree Awarded?

Yes  No

AA Automotive Tech

Degree Type

09/15/1998

Date Degree Awarded

---

## Other schools / training completed:

General A&P Mechanics

Course Studied

8

Hours Completed

## Certificate Awarded?

Yes  No

---

## Work History

Please provide information on your last three positions, including your current one if you are working.

### 1st (Most Recent)

06/30/2016

Dates (Month, Day, Year) From - To

40

Hours per Week Worked?

### Volunteer Work?

Yes  No

Business Development Manager

Position Title

### Employer's Name and Address

CalAmp Inc 15635 Alton Pkwy, Suite 250 Irvine, CA 92618

### Duties Performed

\*Develop and train new accounts for IoT telematics implementation \*Prospect and new business acquisition \*Manage a territory in conjunction with Operations, Engineering, and Account Management

2nd

04/15/2013-05/25/2016

Dates (Month, Day, Year) From - To

60

Hours per Week Worked?

### Volunteer Work?

Yes  No

Senior Performance Consultant

Position Title

### Employer's Name and Address

Proactive Dealer Solutions 16409 Northcross Dr # A, Huntersville, NC 28078

### Duties Performed

\*Train automotive dealerships, and OEM personnel on managing their customer leads, traffic, and CRM  
\*Develop customer campaigns, marketing campaigns, and utilizing metrics for ROI \*Set up Business Development Centers and train management on how to run them to increase business traffic/sales

3rd

08/04/2009-10/18/2012

Dates (Month, Day, Year) From - To

50

Hours per Week Worked?

### Volunteer Work?

Yes  No

Fixed Operations Director

Position Title

### Employer's Name and Address

Dinan Engineering 865 Jarvis Dr, Morgan Hill, CA 95037

### Duties Performed

\*Managed a fabrication team, software engineering team, and race engine development team \*Managed a team that built and designed OEM grade high performance products for BMW vehicles sold in dealerships worldwide \*Managed team and processes for Race Engine development in conjunction with BMW NA. Built engines for professional race cars. Major client Chip Gnassi Racing with Felix Sabates. Provided engine for multiple season winning DP car in the Rolex Series.

[Resume\\_2018\\_Andrei\\_Obolenskiy.docx](#)

Upload a Resume

### Final Questions

How did you learn about this vacancy?

Other

Posted on Nextdoor

If "Other" was selected please explain

. Do you have a Familial or Financial Relationship with a member of the Board of Supervisors?

Yes  No

If Yes, please identify the nature of the relationship:

Do you have any financial relationships with the County such as grants, contracts, or other economic relations?

Yes  No

If Yes, please identify the nature of the relationship:



**Please Agree with the Following Statement**

---

**I understand that this form is a public document and is subject to the California Public Records Act.**

---

I Agree

# Andrei Obolenskiy



## SUMMARY

A results oriented MBA graduate. I've consulted businesses on projects that helped them realize their next levels of profitability in IOT  
*Fluent in Spanish, and conversational Russian.*

## PROFESSIONAL EXPERIENCE

### CalAmp/LoJack 06/2017 - Present

#### *Business Development Manager*

Prospect and sign new accounts for Pre-Install IOT telematics and Stolen vehicle recovery. Extensive Prospecting (Hunter) developing new verticals. Selling up the stack from Hardware to SaaS. Negotiate contracts, pricing, and close deals.

### Proactive Dealer Solutions 09/2013 - 05/2017

#### *Senior Performance Coach*

Assist in performance management, and process development for automotive retail groups. Specialization in Multi-Site development for larger groups. Top Performer in retention/sales/increase. Ability to take over challenging accounts and move the needle. Work with internal teams to coach, develop process, and train

### Fixed OPS Consulting 2010 - 2013

#### *Managing Partner*

Assist owners increasing their overall profitability. Train and develop employees onsite. Develop SEO/SEM and web marketing

platform for retail clients. Install SOP roadmap for improved efficiency. Cost-effective resource management. Strategic and business process thinking.

## Dinan Engineering 2009- 2012

*Fixed Operations Director/Regional Sales West Coast*

Managed Engine/Suspension/Software team for development of Dinan Products sold in dealerships nationwide. Managed top secret racing engine development team for BMW/ Chip Gnessi Racing team.

## Hughes Network Systems 2006-2009

*Independent Contractor*

Independently Managed a Regional Installation Network. Managed a technician network

# EDUCATION

St. Mary's College of California  
MBA International Business

Moscow State University of Management  
B.S. Economics

# Application Form

## Profile

### Which Boards would you like to apply for?

Aviation Advisory Committee: Submitted

Seat Name (if applicable)

### Describe why you are interested in serving on this advisory board/commission (please limit your response to one paragraph).

Interesting position which fits my Aviation back ground.

### This application is used for all boards and commissions

|            |                |           |
|------------|----------------|-----------|
| Moe        | R.             | Zendenam  |
| First Name | Middle Initial | Last Name |

[Redacted] Email Address

|              |              |
|--------------|--------------|
| [Redacted]   | Suite or Apt |
| Home Address |              |

|              |       |             |
|--------------|-------|-------------|
| Walnut Creek | CA    | 94598       |
| City         | State | Postal Code |

[Redacted] Primary Phone

|          |           |            |
|----------|-----------|------------|
| Self     | Owner     | Owner      |
| Employer | Job Title | Occupation |

### Do you, or a business in which you have a financial interest, have a contract with Contra Costa Co.?

Yes  No

### Is a member of your family (or step-family) employed by Contra Costa Co.?

Yes  No

## Education History

### Select the highest level of education you have received:

Other

## Certificate

If "Other" was Selected Give Highest Grade or Educational Level Achieved

---

### College/ University A

Embry Riddle Aeronautical Univ.

Name of College Attended

Aviation management and  
teaching

Course of Study / Major

Certs.

Units Completed

### Type of Units Completed

None Selected

### Degree Awarded?

Yes  No

N/A

Degree Type

2014

Date Degree Awarded

---

### College/ University B

St. Louis University

Name of College Attended

Aeronautical engineering

Course of Study / Major

Aeronautical engineering

Units Completed

### Type of Units Completed

Semester

### Degree Awarded?

Yes  No

Engineering

Degree Type

1980

Date Degree Awarded

---

## College/ University C

University of DC

Name of College Attended

Aerospace sciences

Course of Study / Major

Included

Units Completed

## Type of Units Completed

Quarter

## Degree Awarded?

Yes  No

Associate of science airframe and  
power plant

Degree Type

1977

Date Degree Awarded

---

## Other schools / training completed:

University of Nevada and Las  
Vegas

Course Studied

Hours Completed

## Certificate Awarded?

Yes  No

---

## Work History

Please provide information on your last three positions, including your current one if you are working.

### 1st (Most Recent)

2/2/1997-6/1/2014

Dates (Month, Day, Year) From - To

40

Hours per Week Worked?

**Volunteer Work?**

---

Yes  No

**Professor**

Position Title

**Employer's Name and Address**

---

Various employees throughout the nation

**Duties Performed**

---

Teach aviation sciences and engineering

---

**2nd**

**6/30/1869-4/30/1996**

Dates (Month, Day, Year) From - To

**40**

Hours per Week Worked?

**Volunteer Work?**

---

Yes  No

**Engineering inspector**

Position Title

**Employer's Name and Address**

---

United airlines at San Francisco Airport California

**Duties Performed**

---

Inspection

---

**3rd**

**5/1/1981-5/1/1987**

Dates (Month, Day, Year) From - To

**40**

Hours per Week Worked?

**Volunteer Work?**

---

Yes  No

**Jumbo jet fly to engineering**

Position Title

**Employer's Name and Address**

U. S. Air Force Reserve

**Duties Performed**

Aviation / Flying jumbo jets

[Upload a Resume](#)

---

**Final Questions**

**How did you learn about this vacancy?**

Other

**Next-door publication**

If "Other" was selected please explain

**. Do you have a Familial or Financial Relationship with a member of the Board of Supervisors?**

Yes  No

**If Yes, please identify the nature of the relationship:**

**Do you have any financial relationships with the County such as grants, contracts, or other economic relations?**

Yes  No

**If Yes, please identify the nature of the relationship:**

**Please Agree with the Following Statement**

**I understand that this form is a public document and is subject to the California Public Records Act.**

I Agree



# Application Form

## Profile

### Which Boards would you like to apply for?

Aviation Advisory Committee: Submitted

Seat Name (if applicable)

### Describe why you are interested in serving on this advisory board/commission (please limit your response to one paragraph).

I live on top of Vine Hill in Martinez between two refineries. From my house I can see the run way and plane take off/landing. I also own properties in Concord. I am most interested in serving this advisory board/commission to participate for a better transportation environment in these areas. As a new member, I can bring in fresh information and benefit to the board. Thank you.

### This application is used for all boards and commissions

|            |                |           |
|------------|----------------|-----------|
| Kou        | M              | Barnes    |
| First Name | Middle Initial | Last Name |

[Redacted] Email Address

|                         |              |
|-------------------------|--------------|
| [Redacted] Home Address | Suite or Apt |
|-------------------------|--------------|

|          |       |             |
|----------|-------|-------------|
| MARTINEZ | CA    | 94553       |
| City     | State | Postal Code |

[Redacted] Primary Phone

|          |                          |  |
|----------|--------------------------|--|
| RETIRED  | COMMUNICATION SPECIALIST | INFORMATION TECHNOLOGY AND COMMUNICATION |
| Employer | Job Title                | Occupation                               |

### Do you, or a business in which you have a financial interest, have a contract with Contra Costa Co.?

Yes  No

### Is a member of your family (or step-family) employed by Contra Costa Co.?

Yes  No

## Education History

Select the highest level of education you have received:

Other

MBA (MASTER OF BUSINESS  
ADMINISTRATION)

If "Other" was Selected Give Highest Grade or  
Educational Level Achieved

---

**College/ University A**

University of Phoenix

Name of College Attended

Business Administration

Course of Study / Major

128

Units Completed

**Type of Units Completed**

Semester

**Degree Awarded?**

Yes  No

MBA

Degree Type

September 1999

Date Degree Awarded

---

**College/ University B**

University of San Francisco

Name of College Attended

INFORMATION SYSTEMS  
MANAGEMENT

Course of Study / Major

128

Units Completed

**Type of Units Completed**

Semester

**Degree Awarded?**

Yes  No

BS

Degree Type

June 1994

Date Degree Awarded

---

## College/ University C

Name of College Attended

Course of Study / Major

Units Completed

## Type of Units Completed

None Selected

## Degree Awarded?

Yes  No

Degree Type

Date Degree Awarded

---

## Other schools / training completed:

Course Studied

Hours Completed

## Certificate Awarded?

Yes  No

---

## Work History

Please provide information on your last three positions, including your current one if you are working.

### 1st (Most Recent)

30/1/2018 - 31/5/2018

Dates (Month, Day, Year) From - To

40

Hours per Week Worked?

### Volunteer Work?

Yes  No

### Communication Specialist

Position Title

### Employer's Name and Address

US Embassies of Freetown in Sierra Leon and Kinshasa (DRC)

### Duties Performed

This is a seasonal position as I have retired from the US Department of State. Assignments were given as needed. Most of the duties performed are customer services, communication improvement, and management of personnel.

### 2nd

Dates (Month, Day, Year) From - To

Hours per Week Worked?

### Volunteer Work?

Yes  No

Position Title

### Employer's Name and Address

### Duties Performed

### 3rd

Dates (Month, Day, Year) From - To

Hours per Week Worked?

**Volunteer Work?**

Yes  No

Position Title

**Employer's Name and Address**

**Duties Performed**

Upload a Resume

---

**Final Questions**

**How did you learn about this vacancy?**

Other

**NEXT DOOR APP**

If "Other" was selected please explain

**. Do you have a Familial or Financial Relationship with a member of the Board of Supervisors?**

Yes  No

**If Yes, please identify the nature of the relationship:**

**Do you have any financial relationships with the County such as grants, contracts, or other economic relations?**

Yes  No

**If Yes, please identify the nature of the relationship:**

**Please Agree with the Following Statement**

**I understand that this form is a public document and is subject to the California Public Records Act.**

I Agree

# Application Form

## Profile

Which Boards would you like to apply for?

Aviation Advisory Committee: Submitted

Member at Large

Seat Name (if applicable)

Describe why you are interested in serving on this advisory board/commission (please limit your response to one paragraph).

Having moved to the area in 2018 after retirement, I have been focused on finding areas of interest where I can contribute. The 2019 opening in the Aviation Advisory Committee appeared recently in the Nextdoor Murwood blog and peaked my interest. After some research, I feel this committee would be a good fit with opportunity to contribute to the community with my extensive aviation background. I would be honored to serve if appointed.

This application is used for all boards and commissions

Christopher

First Name

Doan

Last Name

Middle Initial

[Redacted]

Email Address

[Redacted]

Home Address

Suite or Apt

Walnut Creek

City

CA

State

94596

Postal Code

[Redacted]

Primary Phone

Retired Aviation Executive

Employer

Job Title

Occupation

Do you, or a business in which you have a financial interest, have a contract with Contra Costa Co.?

Yes  No

Is a member of your family (or step-family) employed by Contra Costa Co.?

Yes  No

## Education History

Select the highest level of education you have received:

---

Other

Bachelor of Science plus on-going  
Masters level courses

If "Other" was Selected Give Highest Grade or  
Educational Level Achieved

---

**College/ University A**

LeTourneau University

Name of College Attended

Aeronautical Technology

Course of Study / Major

120

Units Completed

**Type of Units Completed**

---

Semester

**Degree Awarded?**

---

Yes  No

Bachelor of Science

Degree Type

December 1967

Date Degree Awarded

---

**College/ University B**

Michigan State Graduate School

Name of College Attended

Strategic Planning

Course of Study / Major

3

Units Completed

**Type of Units Completed**

---

Quarter

**Degree Awarded?**

---

Yes  No

**Certificate**

Degree Type

Date Degree Awarded

---

**College/ University C**

**Kellogg Graduate School**

Name of College Attended

**Customer Service Superiority**

Course of Study / Major

**3**

Units Completed

**Type of Units Completed**

Quarter

**Degree Awarded?**

Yes  No

**Certificate**

Degree Type

Date Degree Awarded

---

**Other schools / training completed:**

**Leadership Development**

Course Studied

**3**

Hours Completed

**Certificate Awarded?**

Yes  No

---

**Work History**

Please provide information on your last three positions, including your current one if you are working.

**1st (Most Recent)**



2/1/2015 - 4/1/2017

Dates (Month, Day, Year) From - To

50+

Hours per Week Worked?

**Volunteer Work?**

Yes  No

Vice President

Position Title

**Employer's Name and Address**

Oliver Wyman Management Consulting 1717 Main St #4400, Dallas, TX 75201

**Duties Performed**

Aviation Consulting and Advisory Services

**2nd**

7/1/2004 - 1/31/2015

Dates (Month, Day, Year) From - To

50+

Hours per Week Worked?

**Volunteer Work?**

Yes  No

Chairman & CEO

Position Title

**Employer's Name and Address**

TeamSAI Consulting, Inc. 1003 Virginia Avenue, Atlanta, GA 30354

**Duties Performed**

Aviation Consulting and Advisory Services

**3rd**

10/1/2002 - 6/30/2004

Dates (Month, Day, Year) From - To

50+

Hours per Week Worked?

**Volunteer Work?**

Yes  No

President

Position Title

**Employer's Name and Address**

Triumph Precision Castings Chandler, AZ

**Duties Performed**

Turn-around operations to position company for profitability.

[Doan Bio-Quals 2019.pdf](#)

Upload a Resume

---

**Final Questions**

**How did you learn about this vacancy?**

Other

Notice in NextDoor Murwood blog

If "Other" was selected please explain

**Do you have a Familial or Financial Relationship with a member of the Board of Supervisors?**

Yes  No

If Yes, please identify the nature of the relationship:

**Do you have any financial relationships with the County such as grants, contracts, or other economic relations?**

Yes  No

If Yes, please identify the nature of the relationship:

**Please Agree with the Following Statement**

I understand that this form is a public document and is subject to the California Public Records Act.

I Agree

**Christopher Doan**

██████████  
Walnut Creek, CA 94596  
██████████  
██████████

**Biography and Qualifications:**

2017 marked my 50th year in the aviation business which made for an ideal time to retire from active, day-to-day industry involvement. While I plan to stay active with Board of Director level involvement, I will also be enjoying my free time with family and other interests.

From 2005-2015, I was Chairman & CEO of TeamSAI Consulting. Following 10 years of steady growth with TeamSAI, the company was acquired by Oliver Wyman and merged with the CAVOK Group. This transaction brought together two of the largest and most capable consulting and technical advisory firms in aviation and further demonstrated our joint commitment to being at the forefront of the industry. It created a powerhouse of over 130 dedicated, full-time consultants and specialists who help airports, airlines, MRO providers, lessors, investors and other stakeholders on mission critical issues surrounding certification, safety and operational performance, execution and investment.

The corporate cultures aligned well resulting in a thoughtful, collaborative, and highly valued support reputation that both companies enjoyed separately.

I have a proven industry track record of driving results throughout my career which involved senior executive experiences in consulting, airline operations, MRO and manufacturing leadership. As an insightful and motivating leader, I have focused most of my career on improving troubled operations in a broad array of assignments. I was always a motivating, communicative leader of high integrity and a knowledgeable strategist and tactician. Over my career, I had a reputation for instilling a compelling vision, turning around operations, and adding value to bottom line results.

**Specialties:**

Executive Management & Development  
Strategic Planning  
Organizational Change & Development  
Operations  
Productivity & Performance Systems  
Major Project Planning & Execution  
Process Efficiency & Control  
Cultural Transformations

**Work History:**

Board of Directors  
ATP/CaseBank  
Dates Employed: Jan 2018 – Present

## **Christopher Doan**

Page 2

Vice President CAVOK Group, a Division of Oliver Wyman

Dates Employed: Mar 2015 – Mar 2017

Aviation Consulting and Advisory Services

Chairman & CEO – TeamSAI Consulting, Inc.

Dates Employed: Jul 2004 – Mar 2015

Aviation consulting, technical and advisory services

President - Triumph Precision Castings, a Division of The Triumph Group

Dates Employed: 2002 – 2004

Castings, machining and coatings for hot section blades and vanes - aero and ground power turbines

Senior Vice President - Maintenance and Operations – US Airways

Dates Employed: 1996 – 2002

Turn-around team responsible for Maintenance and Engineering, along with Operations and Operations Control for two years.

Senior Vice President - Technical Operations – Northwest Airlines

Dates Employed: 1986 – 1996

Progressively more responsible involvement concluding as SVP with responsibility for Maintenance, Engineering and Materials Management.

Director – Trans World Airlines

Dates Employed: 1968 – 1986

Progressively more responsible involvement concluding as Director with responsibility for the day-to-day maintenance of the fleet.

### **Education:**

Michigan State University Graduate School, Strategic Planning (1995)

Kellogg Graduate School of Management, Executive Program, Customer Service Superiority (1994)

Center for Creative Leadership, Leadership Development (1989)

UCLA Graduate School, Advanced Management Studies (1978)

LeTourneau University, Bachelor of Science – Aeronautical Technology (1967)

### **FAA Licenses:**

Airframe and Powerplant Mechanic #1686857

Private Pilot, Airplane single Engine Land #1657788

# Application Form

## Profile

Which Boards would you like to apply for?

Aviation Advisory Committee: Submitted

Member At Large

Seat Name (if applicable)

Describe why you are interested in serving on this advisory board/commission (please limit your response to one paragraph).

I have a high interest in aviation, I have an interest in serving the public, and I have the free time to do so.

This application is used for all boards and commissions

James

First Name

G

Middle Initial

Gillivan

Last Name

[Redacted]  
Email Address

[Redacted]  
Home Address

[Redacted]  
Suite or Apt

Walnut Creek

City

CA

State

94598

Postal Code

[Redacted]  
Primary Phone

Retired

Employer

[Redacted]  
Job Title

[Redacted]  
Occupation

Do you, or a business in which you have a financial interest, have a contract with Contra Costa Co.?

Yes  No

Is a member of your family (or step-family) employed by Contra Costa Co.?

Yes  No

## Education History

Select the highest level of education you have received:

Other

Postgrad

If "Other" was Selected Give Highest Grade or Educational Level Achieved

---

**College/ University A**

Cal Poly, San Luis OBispo CA

Name of College Attended

Biological Sciences

Course of Study / Major

Unknown

Units Completed

**Type of Units Completed**

Quarter

**Degree Awarded?**

Yes  No

BS

Degree Type

June 1963

Date Degree Awarded

---

**College/ University B**

Pepperdine

Name of College Attended

MBA

Course of Study / Major

Unknown

Units Completed

**Type of Units Completed**

Quarter

**Degree Awarded?**

Yes  No

Degree Type

Date Degree Awarded

---

## College/ University C

\_\_\_\_\_  
Name of College Attended

\_\_\_\_\_  
Course of Study / Major

\_\_\_\_\_  
Units Completed

### Type of Units Completed

\_\_\_\_\_  
None Selected

### Degree Awarded?

\_\_\_\_\_  
 Yes  No

\_\_\_\_\_  
Degree Type

\_\_\_\_\_  
Date Degree Awarded

---

### Other schools / training completed:

\_\_\_\_\_  
US Navy Officer Candidate School

\_\_\_\_\_  
Course Studied

\_\_\_\_\_  
4 mo.

\_\_\_\_\_  
Hours Completed

### Certificate Awarded?

\_\_\_\_\_  
 Yes  No

---

## Work History

Please provide information on your last three positions, including your current one if you are working.

### 1st (Most Recent)

\_\_\_\_\_  
11/1969 to 12/2002

\_\_\_\_\_  
Dates (Month, Day, Year) From - To

\_\_\_\_\_  
40+

\_\_\_\_\_  
Hours per Week Worked?

**Volunteer Work?**

---

Yes  No

**Vice President**

Position Title

**Employer's Name and Address**

---

UBS 1255 Treat Blvd., Ste. 1000 Walnut Creek CA 94507

**Duties Performed**

---

Financial executive Branch Manager

---

**2nd**

\_\_\_\_\_  
Dates (Month, Day, Year) From - To

\_\_\_\_\_  
Hours per Week Worked?

**Volunteer Work?**

---

Yes  No

\_\_\_\_\_  
Position Title

**Employer's Name and Address**

---

**Duties Performed**

---

**3rd**

\_\_\_\_\_  
Dates (Month, Day, Year) From - To

\_\_\_\_\_  
Hours per Week Worked?

**Volunteer Work?**

---

Yes  No

\_\_\_\_\_  
Position Title



**Employer's Name and Address**

---

**Duties Performed**

---

[Upload a Resume](#)

---

**Final Questions**

**How did you learn about this vacancy?**

---

Other

Newspaper article

If "Other" was selected please explain

**Do you have a Familial or Financial Relationship with a member of the Board of Supervisors?**

---

Yes  No

**If Yes, please identify the nature of the relationship:**

---

**Do you have any financial relationships with the County such as grants, contracts, or other economic relations?**

---

Yes  No

**If Yes, please identify the nature of the relationship:**

---

**Please Agree with the Following Statement**

---

**I understand that this form is a public document and is subject to the California Public Records Act.**

---

I Agree



Contra  
Costa  
County

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Medical Staff Appointments and Reappointments – January 2019

---

**RECOMMENDATION(S):**

APPROVE the medical staff appointments and reappointments, additional privileges, advancements, and voluntary resignations as recommend by the Medical Staff Executive Committee, at their January 28, 2019 meeting, and by the Health Services Director.

**FISCAL IMPACT:**

Not applicable.

**BACKGROUND:**

The Joint Commission on Accreditation of Healthcare Organizations has requested that evidence of Board of Supervisors approval for each Medical Staff member will be placed in his or her Credentials File. The above recommendations for appointment/reappointment were reviewed by the Credentials Committee and approved by the Medical Executive Committee.

**CONSEQUENCE OF NEGATIVE ACTION:**

If this action is not approved, the Contra Costa Regional Medical and Contra Costa Health Centers' medical staff would not be appropriately credentialed and not be in compliance with The Joint Commission on Accreditation of Healthcare Organizations.

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Jaspreet Benepal,  
925-370-5101

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: James Ham, Marcy Wilhelm

## ATTACHMENTS

List

**A. New Medical Staff Members**

|                         |                       |
|-------------------------|-----------------------|
| Champlin, Marie, DO     | Psychiatry/Psychology |
| Della Selva, Megan, MD  | Psychiatry/Psychology |
| Hummos, Ali, MD         | Psychiatry/Psychology |
| Sakhrani, Mohan, MD     | Emergency Medicine    |
| Wahidi, Nasratullah, MD | Psychiatry/Psychology |

**B. Advance to Non-Provisional**

|                      |                             |
|----------------------|-----------------------------|
| Chauhan, Dignpal, MD | DFAM                        |
| Gaind, Anita, MD     | DFAM                        |
| Samman, Antoine, MD  | Internal Medicine-Neurology |

**C. Biennial Reappointments**

|                       |                              |   |
|-----------------------|------------------------------|---|
| Burns, Deidre, MD     | Pediatrics                   | A |
| Cavallaro, Grace, MD  | OB/GYN                       | A |
| Deng, Quanmei, MD     | Anesthesia                   | A |
| Feierabend, Susan, MD | OB/GYN                       | A |
| Gee, Lance, MD        | DFAM                         | A |
| Ghori, Imtiaz, MD     | Pediatrics                   | A |
| Hajyan, Karine, DO    | OB/GYN                       | A |
| Hernandez, Estela, MD | Emergency Medicine           | A |
| Kwok, Paul, DO        | Anesthesia                   | A |
| Peng, Yu-Hung, MD     | DFAM                         | A |
| Peterson, William, MD | Emergency Medicine           | A |
| Rosenfeld, Jack, DDS  | Dental                       | A |
| Wildfire, Gila, MD    | DFAM                         | A |
| Wille, Mark, MD       | Internal Medicine            | A |
| Wu, Monte, MD         | Internal Medicine-Nephrology | C |

**D. Biennial Renew of Privileges**

|                     |      |     |
|---------------------|------|-----|
| Howard, Phyllis, NP | DFAM | AFF |
|---------------------|------|-----|

**E. Voluntary Resignations**

|                     |                       |
|---------------------|-----------------------|
| Chan, Tony, MD      | Psychiatry/Psychology |
| Echols, John, MD    | Psychiatry/Psychology |
| Hawkins, John, DO   | Psychiatry/Psychology |
| Lo, Ernest, MD      | Internal Medicine     |
| Melny, Richard, MD  | Psychiatry/Psychology |
| Sharpe, Leilani, MD | Psychiatry/Psychology |
| Vadde, Vinutha, MD  | Anesthesia            |

**F. Voluntary Teleradiologist Resignations**

Haimes, Allison, MD

Diagnostic Imaging(vRad)



Contra  
Costa  
County

To: Board of Supervisors  
From: FAMILY & HUMAN SERVICES COMMITTEE  
Date: March 12, 2019

Subject: RECOMMENDATIONS FOR APPOINTMENT TO THE ADVISORY COUNCIL ON AGING

---

**RECOMMENDATION(S):**

APPOINT Kathryn Ames to At Large #10 seat and REAPPOINT Gail Garrett to the Nutrition Project seat on the Advisory Council on Aging to terms expiring September 30, 2020.

**BACKGROUND:**

On December 6, 2011 the Board of Supervisors adopted Resolution No. 2011/497 adopting policy governing appointments to boards, committees, and commissions that are advisory to the Board of Supervisors. Included in this resolution was a requirement that applications for at large/countywide seats be reviewed by a Board of Supervisors committee.

The Advisory Council on Aging provides a means for county-wide planning, cooperation and coordination for individuals and groups interested in improving and developing services and opportunities for the older residents of this County. The Council provides leadership and advocacy on behalf of older persons and serves as a channel of communication and information on aging.

The Advisory Council on Aging consists of 40 members serving 2 year staggered terms, each ending on September 30. The Council consists of representatives of the target population and the general public, including older low-income and military persons; at least one-half of the membership must be made up of

---

APPROVE
  OTHER  
 RECOMMENDATION OF CNTY ADMINISTRATOR
  RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Julie DiMaggio Enea  
(925) 335-1077

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: FHS Staff, AAA

BACKGROUND: (CONT'D)

actual consumers of services under the Area Plan. The Council includes: 19 representatives recommended from each Local Committee on Aging, 1 representative from the Nutrition Project Council, 1 Retired Senior Volunteer Program, and 19 Members at-Large.

There are currently 31 seats filled on the Advisory Council on Aging and 9 vacancies. These vacant seats include: Local Committee Pinole, Local Committee Richmond, Local Committee Pittsburg, Local Committee San Ramon, Local Committee San Pablo, Local Committee Martinez, Local Committee Oakley, Nutrition Project Council and Member-At-Large #10 seat.

The Family and Human Services Committee reviewed and approved the recommended appointments at its February 25, 2019 meeting. The recommended appointments will bring the membership to 33, leaving 7 seats vacant:

| <u>Seat title</u>                | <u>Current incumbent</u> | <u>Incumbent<br/>Supervisor District</u> |
|----------------------------------|--------------------------|--|
| Nutrition Project Council        | Garrett, Gail            | I  |
| At-Large 1                       | Adams, Fred              | II                                       |
| At-Large 2                       | Krohn, Shirley           | IV                                       |
| At-Large 3                       | Benson, Ed               |  |
| At-Large 4                       | Welty, Patricia          | V  |
| At-Large 5                       | Card, Deborah            | V  |
| At-Large 6                       | Lipson, Steve            | I  |
| At-Large 7                       | Selleck, Summer          | V  |
| At-Large 8                       | Mehta, Jatin             | III                                      |
| At-Large 9                       | Xavier, Rita             | I  |
| At-Large 10                      | Ames, Kathryn            | IV                                       |
| At-Large 11                      | Bhambra, Jagjit          | V  |
| At-Large 12                      | Neemuchwalla,<br>Nuru    | IV                                       |
| At-Large 13                      | Dunne-Rose, Mary<br>D    | II                                       |
| At-Large 14                      | Yee, Dennis              | IV                                       |
| At-Large 15                      | Bruns, Mary              | IV                                       |
| At-Large 16                      | O'Toole, Brian           | IV                                       |
| At-Large 17                      | Donovan, Kevin D.        | II                                       |
| At-Large 18                      | Nahm, Richard            | III                                      |
| At-Large 19                      | Kleiner, Jill            | II                                       |
| At-Large 20                      | Frederick, Susan         | I  |
| Local Committee Lafayette        | McCahan, Ruth            | II                                       |
| Local Committee Orinda           | Clark, Nina              | II                                       |
| Local Committee Antioch          | Fernandez, Rudy          | III                                      |
| Local Committee Pleasant<br>Hill | VanAckern, Lorna         | IV                                       |
| Local Committee Pinole           |                          |  |
| Local Committee Concord          | Omran, Fuad              | IV                                       |
| Local Committee Richmond         |                          |  |
| Local Committee El Cerrito       | Kim-Selby, Joanna        | I  |
| Local Committee Hercules         | Doran, Jennifer          | V  |
| Local Committee Pittsburg        |                          |  |
| Local Committee San<br>Ramon     |                          |  |
| Local Committee Clayton          | Tervelt, Ron             | IV                                       |



|   |                 |     |
|---|-----------------|-----|
| Local Committee<br>Alamo-Danville                     | Donnelly, James | II  |
| Local Committee Walnut<br>Creek                       | Thomas, Jessica | IV  |
| Local Committee Moraga<br>Local Committee San Pablo   | Katzman, Keith  | II  |
| Local Committee Martinez<br>Local Committee Brentwood | Kee, Arthur     | III |
| Local Committee Oakley                                | Cook, Branin    | III |

ATTACHMENTS

AAA Transmittal Memo to FHS Committee

Candidate Application\_Gail Garrett\_AAA

Candidate Application\_Kathryn Ames\_AAA

Contra Costa County California  
Employment & Human Services

Kathy Gallagher, Director

40 Douglas Dr., Martinez, CA 94553 \* Phone: (925) 313-1579 \* Fax: (925) 313-1575 \* [www.cccounty.us/ehsd](http://www.cccounty.us/ehsd).

**MEMORANDUM**

**DATE:** 1/09/2019

**TO:** Family and Human Services Committee

**CC:** Laura Cepoi, Program Manager Area Agency on Aging  
Victoria Tolbert, Director Aging and Adult Services

**FROM:** Anthony Macias, Senior Staff Assistant, for Area Agency on Aging

**SUBJECT:** Advisory Council on Aging – Appointment Requested

---

The Contra Costa Area Agency on Aging (AAA) recommends the following individual for reappointment to Nutrition Project Council seat assigned to the Contra Costa Advisory Council on Aging (ACOA) with terms expiring on September 30, 2020:

- Nutrition Project Council Seat: Gail Garrett
- At Large #10 Seat: Kathryn Ames

Recruitment is handled by both the Area Agency on Aging, the ACOA Membership Committee and the Clerk of the Board using CCTV. Members of the AAA staff have encouraged interested individuals including minorities to apply through announcements distributed to the senior centers, Contra Costa libraries, the East, Central and West County Senior Coalitions and among the active ACOA membership. The ACOA Membership Committee has developed a survey and will continue work to populate the Council with members who are also consumers of services provided by the Older Americans Act. The Contra Costa County EHSD website contains dedicated web content where interested members of the public are encouraged to apply. The website provides access to the Board of Supervisors official application with instructions on whom to contact for ACOA related inquiries, including application procedure.

The Nutrition Advisory Council elected Ms. Garret to continue to represent their interests to the ACOA, on January 8, 2019. The Membership Committee and the Council's current President, Susan Frederick, recommend the two above detailed appointments. Please find copies of the member's applications provided as separate attachments.

Thank You

# Application Form

## Profile

### Which Boards would you like to apply for?

Advisory Council on Aging: Submitted

ACOA Secretary

Seat Name (if applicable)

**Describe why you are interested in serving on this advisory board/commission (please limit your response to one paragraph).**

I am currently on the Board & would like to reapply/renew.

### This application is used for all boards and commissions

Gail

First Name

L

Middle Initial

Garrett

Last Name

[Redacted]

Email Address

[Redacted]

Home Address

Suite or Apt

Richmond

City

CA

State

[Redacted]

Postal Code

[Redacted]

Primary Phone

Retired

Employer

Job Title

Occupation

**Do you, or a business in which you have a financial interest, have a contract with Contra Costa Co.?**

Yes  No

Is a member of your family (or step-family) employed by Contra Costa Co.?

---

Yes  No

---

## Education History

Select the highest level of education you have received:

---

Other

BA

If "Other" was Selected Give Highest Grade or Educational Level Achieved

---

## College/ University A

St Mary's College

Name of College Attended

Cross Cultural Studies

Course of Study / Major

Units Completed

## Type of Units Completed

---

None Selected

## Degree Awarded?

---

Yes  No

Cross Cultural Studies

Degree Type

2002

Date Degree Awarded

---

## College/ University B

---

Name of College Attended

---

Course of Study / Major

---

Units Completed

### Type of Units Completed

---

None Selected

### Degree Awarded?

---

Yes  No

---

Degree Type

---

Date Degree Awarded

---

## College/ University C

---

Name of College Attended

---

Course of Study / Major

---

Units Completed

### Type of Units Completed

---

None Selected

### Degree Awarded?

---

Yes  No

---

Degree Type

---

Date Degree Awarded

---

## Other schools / training completed:

---

Course Studied

---

Hours Completed

## Certificate Awarded?

Yes  No

---

## Work History

Please provide information on your last three positions, including your current one if you are working.

### 1st (Most Recent)

8/83-4/17

---

Dates (Month, Day, Year) From - To

37-1/2

---

Hours per Week Worked?

## Volunteer Work?

Yes  No

Computer Operator

---

Position Title

## Employer's Name and Address

---

St Mary's College of California- 1926 St Mary's Road - Moraga, CA

## Duties Performed

---

Administrative Computer Service

---

## 2nd

---

Dates (Month, Day, Year) From - To

---

Hours per Week Worked?

### Volunteer Work?

---

Yes  No

---

Position Title

### Employer's Name and Address

---

### Duties Performed

---

---

---

## 3rd

---

Dates (Month, Day, Year) From - To

---

Hours per Week Worked?

### Volunteer Work?

---

Yes  No

---

Position Title

### Employer's Name and Address

---

## Duties Performed

---

Upload a Resume

---

## Final Questions

How did you learn about this vacancy?

---

Other

I am already a member .

If "Other" was selected please explain

. Do you have a Familial or Financial Relationship with a member of the Board of Supervisors?

---

Yes  No

If Yes, please identify the nature of the relationship:

---

Do you have any financial relationships with the County such as grants, contracts, or other economic relations?

---

Yes  No

If Yes, please identify the nature of the relationship:

---

Please Agree with the Following Statement

---

I understand that this form is a public document and is subject to the California Public Records Act.

---

I Agree





Contra Costa County

For Office Use Only
Date Received:

For Reviewers Use Only:
Accepted Rejected

BOARDS, COMMITTEES, AND COMMISSIONS APPLICATION

MAIL OR DELIVER TO:
Contra Costa County
CLERK OF THE BOARD
651 Pine Street, Rm. 106
Martinez, California 94553-1292
PLEASE TYPE OR PRINT IN INK
(Each Position Requires a Separate Application)

BOARD, COMMITTEE OR COMMISSION NAME AND SEAT TITLE YOU ARE APPLYING FOR:

[Empty box for board name]

[Empty box for seat name]

PRINT EXACT NAME OF BOARD, COMMITTEE, OR COMMISSION

PRINT EXACT SEAT NAME (if applicable)

1. Name: Ames Kathryn B
(Last Name) (First Name) (Middle Name)

2. Address: [Redacted] Pleasant Hill [Redacted]
(No.) (Street) (Apt.) (City) (State) (Zip Code)

3. Phones: [Redacted] [Redacted] [Redacted]
(Home No.) (Work No.) (Cell No.)

4. Email Address: [Redacted]

5. EDUCATION: Check appropriate box if you possess one of the following:

High School Diploma [X] G.E.D. Certificate [ ] California High School Proficiency Certificate [ ]

Give Highest Grade or Educational Level Achieved BA Human Services - Holy Names College

Table with 6 columns: Names of colleges / universities attended, Course of Study / Major, Degree Awarded, Units Completed (Semester/Quarter), Degree Type, Date Degree Awarded. Includes entries for S.F. State University and Holy Names University.

02-2016: MEALS ON WHEELS - VOLUNTEER  
 - Office work which includes data input.

6. PLEASE FILL OUT THE FOLLOWING SECTION COMPLETELY. List experience that relates to the qualifications needed to serve on the local appointive body. Begin with your most recent experience. A resume or other supporting documentation may be attached but it may not be used as a substitute for completing this section.

| A) Dates (Month, Day, Year)   | Title  | Duties Performed   |
|---|--|--|
| From <input type="text" value="1992"/> To <input type="text" value="2013"/><br>Total: Yrs. <input type="text" value="21"/> Mos. <input type="text" value="7"/><br>Hrs. per week <input type="text" value="40"/> . Volunteer <input type="checkbox"/>  | Kaiser Permanente Hospital<br>Employer's Name and Address<br>1992-2001 Hayward<br>2002-2013 transferred to Kaiser Martinez   | Computer program<br>Data Entry<br>Audits<br>Procurement of supplies  |
| B) Dates (Month, Day, Year)<br>From <input type="text" value="1979"/> To <input type="text" value="1991"/><br>Total: Yrs. <input type="text" value="12"/> Mos. <input type="text" value="8"/><br>Hrs. per week <input type="text" value="40"/> . Volunteer <input type="checkbox"/>                           | Unit Secretary and Coagulation Lab Secretary<br>Employer's Name and Address<br>Providence Hospital<br>3100 Summit St.<br>Oakland, CA<br>(merged to Merritt Medical Center - Oakland) | Duties Performed<br>Performed all unit Secretary duties in the PICU - Post Intensive Care Unit<br>Transferred within to Coagulation Lab: admin duties + small amt lab duties |
| C) Dates (Month, Day, Year)<br>From <input type="text" value="1975"/> To <input type="text" value="1978"/><br>Total: Yrs. <input type="text" value="3"/> Mos. <input type="text" value="0"/><br>Hrs. per week <input type="text" value="32"/> . Volunteer <input type="checkbox"/>                            | Food Service Clerk + Microbiology Lab clerk<br>Employer's Name and Address<br>Alza Bates Hospital<br>Berkeley, CA  | Duties Performed<br>Menu + nutritional info to inpatients.<br>Transferred to Microbiology Lab<br>- processed blood cultures<br>- "planted" specimens<br>- general office     |
| D) Dates (Month, Day, Year)<br>From <input type="text" value="1968"/> To <input type="text" value="1974"/><br>Total: Yrs. <input type="text" value="6"/> Mos. <input type="text" value="0"/><br>Hrs. per week <input type="text" value="0"/> . Volunteer <input type="checkbox"/><br>on call<br>"Student job" | Radiology File clerk<br>Employer's Name and Address<br>Herrick Hospital<br>2001 Dwight Way<br>Berkeley, CA   | Duties Performed<br>X-ray Dept -<br>General duties filing xrays + reports and charts   |

7. How did you learn about this vacancy?

CCC Homepage  Walk-In  Newspaper Advertisement  District Supervisor  Other member

8. Do you have a Familial or Financial Relationship with a member of the Board of Supervisors? (Please see Board Resolution no. 2011/55, attached): No  Yes

If Yes, please identify the nature of the relationship:

9. Do you have any financial relationships with the County such as grants, contracts, or other economic relations?

No  Yes

If Yes, please identify the nature of the relationship:

I CERTIFY that the statements made by me in this application are true, complete, and correct to the best of my knowledge and belief, and are made in good faith. I acknowledge and understand that all information in this application is publically accessible. I understand and agree that misstatements / omissions of material fact may cause forfeiture of my rights to serve on a Board, Committee, or Commission in Contra Costa County.

Sign Name: Kathryn B. Jones Date: 8/9/2018

### Important Information

1. This application is a public document and is subject to the California Public Records Act (CA Gov. Code §6250-6270).
2. Send the completed paper application to the Office of the Clerk of the Board at: **651 Pine Street, Room 106, Martinez, CA 94553.**
3. A résumé or other relevant information may be submitted with this application.
4. All members are required to take the following training: 1) The Brown Act, 2) The Better Government Ordinance, and 3) Ethics Training.
5. Members of boards, commissions, and committees may be required to: 1) file a Statement of Economic Interest Form also known as a Form 700, and 2) complete the State Ethics Training Course as required by AB 1234.
6. Advisory body meetings may be held in various locations and some locations may not be accessible by public transportation.
7. Meeting dates and times are subject to change and may occur up to two days per month.
8. Some boards, committees, or commissions may assign members to subcommittees or work groups which may require an additional commitment of time.

**THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA and for  
Special Districts, Agencies and Authorities Governed by the Board Adopted Resolution  
no. 2011/55 on 2/08/2011 as follows:**

IN THE MATTER OF ADOPTING A POLICY MAKING FAMILY MEMBERS OF THE BOARD OF SUPERVISORS INELIGIBLE FOR APPOINTMENT TO BOARDS, COMMITTEES OR COMMISSIONS FOR WHICH THE BOARD OF SUPERVISORS IS THE APPOINTING AUTHORITY

WHEREAS the Board of Supervisors wishes to avoid the reality or appearance of improper influence or favoritism;  
NOW, THEREFORE, BE IT RESOLVED THAT the following policy is hereby adopted:

- I. SCOPE: This policy applies to appointments to any seats on boards, committees or commissions for which the Contra Costa County Board of Supervisors is the appointing authority.
- II. POLICY: A person will not be eligible for appointment if he/she is related to a Board of Supervisors' Member in any of the following relationships:
  1. Mother, father, son, and daughter;
  2. Brother, sister, grandmother, grandfather, grandson, and granddaughter;
  3. Great-grandfather, great-grandmother, aunt, uncle, nephew, niece, great-grandson, and great-granddaughter;
  4. First cousin;
  5. Husband, wife, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepson, and stepdaughter;
  6. Sister-in-law (brother's spouse or spouse's sister), brother-in-law (sister's spouse or spouse's brother), spouse's grandmother, spouse's grandfather, spouse's granddaughter, and spouse's grandson;
  7. Registered domestic partner, pursuant to California Family Code section 297.
  8. The relatives, as defined in 5 and 6 above, for a registered domestic partner.
  9. Any person with whom a Board Member shares a financial interest as defined in the Political Reform Act (Gov't Code §87103, Financial Interest), such as a business partner or business associate.



Contra  
Costa  
County

To: Board of Supervisors  
From: FAMILY & HUMAN SERVICES COMMITTEE  
Date: March 12, 2019

Subject: RECOMMENDATIONS FOR APPOINTMENT TO THE WORKFORCE DEVELOPMENT BOARD

---

**RECOMMENDATION(S):**

APPOINT Meaghan Doran to the Business #3 seat, Robert Muller to the Business #9 seat, Romina Gonzalez to the Business #12 seat, and Fred Wood to the Education & Training #2 seat on the Workforce Development Board to terms ending on June 30, 2020.

**FISCAL IMPACT:**

There is no fiscal impact.

**BACKGROUND:**

On December 13, 2011, The Board of Supervisors adopted Resolution No. 2011/498 adopting policy governing appointments to independent boards, committees, and commissions, and special districts. Included in this resolution was a requirement that independent bodies initially conducting interviews for At Large/Countywide seats provide appointment recommendations to a Board Committee for further review.

The Workforce Development Board implements federal requirements for programs to address the education, skills, and employment needs for a skilled workforce, and that lead to an increase in the skills and earnings of Contra Costa residents.

- 
- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Julie DiMaggio Enea  
(925) 335-1077

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: FHS Staff, WDB Staff

## BACKGROUND: (CONT'D)

On March 14, 2016, the Family and Human Services Committee (FHS) accepted the Employment and Human Services Department's recommendation to decertify the then-current Workforce Investment Act local Board and re-certify a new board structure in compliance with the new Workforce Innovation and Opportunity Act (WIOA). FHS approved these recommendations, and the Board did the same at its March 29, 2016 meeting.

Under new standards in WIOA (2016) and as adopted by the Board on March 29, 2016, the new Workforce Development Board structure is: a total of 23 required seats and 2 "optional seats", consisting of: 13 Business representatives, 5 Workforce representatives, and 5 Education and Training representatives as follows: (1) Adult Education/Literacy; (2) Higher Education; (3) Economic & Community Devl; (4) Wagner Peyser representative; (5) Vocational Rehabilitation. Also two additional/ "optional" seats that may be filled from any of the 3 categories above.

The Workforce Development Board currently has 20 filled seats and 5 vacancies. The Family and Human Services Committee reviewed and approved the recommended appointments at its meeting on February 25, 2019. The Committee memos, along with applications, current rosters and attendance records are attached for reference.

## ATTACHMENTS

WDB Transmittal Memo\_ Wood and Muller

WDB Transmittal Memo\_ Doran and Gonzalez

WDB Attendance Roster

Candidate Application\_ Meaghan Doran\_ WDB

Candidate Application\_ Fred Wood\_ WDB

Candidate Application\_ Romina Gonzalez\_ WDB

Candidate Application\_ Robert Muller\_ WDB

**MEMORANDUM**

**DATE:** September 18, 2018  
**TO:** Family and Human Services Committee  
**CC:** Enid Mendoza, CAO Sr. Deputy County Administrator  
**FROM:** Donna Van Wert, Executive Director  
**SUBJECT:** **Appointment to Workforce Development Board**

This memorandum requests the Family and Human Services Committee recommend to the Contra Costa County Board of Supervisors the appointment of the following candidates to the new WIOA compliant Workforce Development Board of Contra Costa County.

**Background:**

Local board structure and size:

Compared to predecessor legislation, the Workforce Innovation and Opportunity Act (WIOA) substantially changes Local Board composition by reducing local workforce development board size while maintaining a business and industry majority and ensuring representation from labor and employment and training organizations.

The Executive Committee of the local WIOA board met January 21, 2016 and approved a recommended WIOA Board configuration, subsequently approved by the Board of Supervisors on March 29, 2016. To meet the categorical membership percentages, the WDB recommended a board of twenty-five (25) members. This option represents the minimum required local board size under WIOA plus an additional six (6) optional representatives in the following enumerated categories: 1) business; 2) workforce; 3) education and training.

Category – Representatives of Business (WIOA Section 107(b)(2)(A))

- Thirteen (13) representatives (52%)

Category – Representatives of Workforce (WIOA Section 107(b)(2)(A))

- Five (5) representatives (20%)

Category – Representatives of Education and Training (WIOA Section 107(b)(2)(C))

- One (1) Adult Education/Literacy Representative (WIOA title II)
- One (1) Higher Education Representative
- One (1) Economic and Community Development Representative
- One (1) Wagner Peyser Representative
- One (1) Vocational Rehabilitation Representative

Two (2) additional seats from the above categories, including constituencies referenced in Attachment III of Training Employment & Guidance Letter (TEGL) 27-14.

**Recommendation:**

a) Recommend approval of local board candidates for the vacant

Business Seat #9- to the new WIOA-compliant board (*Attached application & board roster*)

- Interview Date – August 14, 2018
- Robert Muller - Approved on September 12, 2018 at the Executive Committee Meeting
- No other candidate competed for the vacant Business Seat # 9

Education and Training Seat #2 to the new WIOA-compliant board (*Attached application & board roster*)

- Interview Date – August 7, 2018
- Fred Wood - Approved on September 12, 2018 at the Executive Committee Meeting
- No other candidate competed for the vacant Education and Training Seat # 2

**NEW APPOINTMENT**

| <b>Seat</b>                   | <b>Last Name</b> | <b>First Name</b> | <b>Address &amp; District #</b>                                 | <b>Term of Expiration</b> | <b>District (Resident)</b> |
|-------------------------------|------------------|-------------------|---|---------------------------|----------------------------|
| Business Seat #9              | Muller           | Robert            | PO BOX 711<br>Martinez, CA 94553<br>District # 5                | 6/30/2020                 | District #5                |
| Education & Training Seat # 2 | Wood             | Fred              | 2600 Mission Bell<br>Dr. San Pablo, CA<br>94806<br>District # 1 | 6/30/2020                 | Davis, CA                  |

Thank you

DVW/rms  
attachment



**MEMORANDUM**

**DATE:** October 18, 2018  
**TO:** Family and Human Services Committee  
**CC:** Enid Mendoza, CAO Sr. Deputy County Administrator  
**FROM:** Donna Van Wert, Executive Director  
**SUBJECT:** **Appointment to Workforce Development Board**

---

This memorandum requests the Family and Human Services Committee recommend to the Contra Costa County Board of Supervisors the appointment of the following candidates to the new WIOA compliant Workforce Development Board of Contra Costa County.

**Background:**

Local board structure and size:

Compared to predecessor legislation, the Workforce Innovation and Opportunity Act (WIOA) substantially changes Local Board composition by reducing local workforce development board size while maintaining a business and industry majority and ensuring representation from labor and employment and training organizations.

The Executive Committee of the local WIOA board met January 21, 2016 and approved a recommended WIOA Board configuration, subsequently approved by the Board of Supervisors on March 29, 2016. To meet the categorical membership percentages, the WDB recommended a board of twenty-five (25) members. This option represents the minimum required local board size under WIOA plus an additional six (6) optional representatives in the following enumerated categories: 1) business; 2) workforce; 3) education and training.

Category – Representatives of Business (WIOA Section 107(b)(2)(A))

- Thirteen (13) representatives (52%)

Category – Representatives of Workforce (WIOA Section 107(b)(2)(A))

- Five (5) representatives (20%)

Category – Representatives of Education and Training (WIOA Section 107(b)(2)(C))

- One (1) Adult Education/Literacy Representative (WIOA title II)
- One (1) Higher Education Representative
- One (1) Economic and Community Development Representative
- One (1) Wagner Peyser Representative
- One (1) Vocational Rehabilitation Representative

Two (2) additional seats from the above categories, including constituencies referenced in Attachment III of Training Employment & Guidance Letter (TEGL) 27-14.

**Recommendation:**

a) Recommend approval of local board candidates for the vacant

Business Seat #9- to the new WIOA-compliant board (*Attached application & board roster*)

- Interview Date – August 1, 2018
- Romina Gonzalez - Approved on October 10, 2018 at the Executive Committee Meeting
- No other candidate competed for the vacant Business Seat # 12

Business Seat #10 to the new WIOA-compliant board (*Attached application & board roster*)

- Interview Date – September 12, 2018
- Meaghan Doran - Approved on October 10, 2018 at the Executive Committee Meeting
- No other candidate competed for the vacant Business Seat # 3

**NEW APPOINTMENT**

| <b>Seat</b>       | <b>Last Name</b> | <b>First Name</b> | <b>Address &amp; District #</b>                          | <b>Term of Expiration</b> | <b>District (Resident)</b> |
|-------------------|------------------|-------------------|--|---------------------------|----------------------------|
| Business Seat #12 | Gonzalez         | Romina            | 2231 Monument Blvd.<br>Concord, CA 94520<br>District # 4 | 6/30/2020                 | District #4                |
| Business Seat # 3 | Doran            | Meaghan           | 1125 Tamalpais Avenue<br>San Rafael, Ca<br>94901         | 6/30/2020                 | Sausalito, CA              |

Thank you

DVW/rms  
attachment



**BOARD MEMBERS ATTENDANCE RECORDS  
FULL BOARD MEETINGS  
PY 2017-2018**

| Name                     | Seat # | Appointment Date | Term End Date | Total # meetings HELD since appointment | Total # meetings ATTENDED since appointment date | Total # of Absences | Committee       |
|--------------------------|--------|------------------|---------------|---|--|---------------------|-----------------|
| Michael McGill           | 1      | 3/29/2016        | 6/30/2020     | 10                                      | 8  | 2                   | Executive       |
| Joshua Aldrich           | 2      | 10/9/2016        | 6/30/2020     |   |  |                     | BED             |
| Vacant                   | 3      |                  | 6/30/2020     |   |  |                     |                 |
| Terry Curley             | 4      | 10/9/2018        | 6/30/2020     |   |  |                     | BED             |
| Bhupen B. Amin           | 5      | 3/29/2016        | 6/30/2020     | 10                                      | 8  | 2                   | Executive/BED   |
| Jose Carrascal           | 6      | 3/29/2016        | 6/30/2020     | 10                                      | 8  | 2                   | Executive/Youth |
| Jason Cox                | 7      | 3/29/2016        | 6/30/2020     | 10                                      | 7  | 3                   | Executive       |
| Ashley Georgian          | 8      | 3/29/2016        | 6/30/2020     | 10                                      | 7  | 3                   | BED             |
| Vacant                   | 9      |                  | 6/30/2020     |   |  |                     |                 |
| Robert Rivera            | 10     | 3/29/2016        | 6/30/2020     | 10                                      | 7  | 3                   | BED             |
| Justin Steele            | 11     | 3/29/2016        | 6/30/2020     | 10                                      | 7  | 3                   | BED             |
| Vacant                   | 12     |                  | 6/30/2020     |   |  |                     |                 |
| Melissa Johnson-Scranton | 13     | 3/13/2018        | 6/30/2020     | 2                                       | 1  | 1                   | TBD             |

| Name                   | Seat # | Appointment Date | Term End Date | Total # meetings HELD since appointment | Total # meetings attended since appointment date | Total # of Absences | Committee |
|------------------------|--------|------------------|---------------|---|--|---------------------|-----------|
| Thomas Hansen          | 1      | 10/17/2017       | 6/30/2020     | 4                                       | 2  | 2                   | TBD       |
| Robert III Williams    | 2      | 3/29/2016        | 6/30/2020     | 10                                      | 0  | 10                  | Youth     |
| Steve Older            | 3      | 3/29/2016        | 6/30/2020     | 10                                      | 7  | 3                   | BED       |
| Margaret Hanlon-Gradie | 4      | 3/29/2016        | 6/30/2020     | 10                                      | 6  | 4                   | Executive |
| Vacant                 | 5      |                  | 6/30/2020     |   |  |                     |           |

| Name               | Seat # | Appointment Date | Term End Date | Total # meetings HELD since appointment | Total # meetings attended since appointment date | Total # of Absences | Committee |
|--------------------|--------|------------------|---------------|---|--|---------------------|-----------|
| G. Vittoria Abbate | 1      | 10/17/2017       | 6/30/2020     | 4                                       | 4  | 0                   | Youth     |
| Vacant             | 2      |                  | 6/30/2020     |   |  |                     |           |

| Name             | Seat # | Appointment Date | Term End Date | Total # meetings HELD since appointment | Total # meetings attended since appointment date | Total # of Absences | Committee |
|------------------|--------|------------------|---------------|---|--|---------------------|-----------|
| Kristin Connelly | 1      | 3/29/2016        | 6/30/2020     | 10                                      | 6  | 4                   | BED       |
| Richard Johnson  | 2      | 3/29/2016        | 6/30/2020     | 10                                      | 6  | 4                   | Youth     |
| Carol Asch       | 3      | 3/29/2016        | 6/30/2020     | 10                                      | 6  | 4                   | Youth     |

| Name         | Seat # | Appointment Date | Term End Date | Total # meetings HELD since appointment | Total # meetings attended since appointment date | Total # of Absences | Committee       |
|--------------|--------|------------------|---------------|---|--|---------------------|-----------------|
| Yolanda Vega | 1      | 3/29/2016        | 6/30/2020     | 10                                      | 8  | 2                   | Executive/Youth |
| John Montag  | 2      | 6/6/2017         | 6/30/2020     | 6                                       | 4  | 2                   | BED             |

|  |   |
|--|---|
|  | BUSINESS  |
|  | WORKFORCE & LABOR                                   |
|  | EDUCATION AND TRAINING                              |
|  | GOVERNMENTAL AND ECONOMIC AND COMMUNITY DEVELOPMENT |
|  | FLEX ADDITIONAL MEMBERS                             |
|  | PENDING APPROVAL/CONFIRMATION                       |
|  | VACANT SEAT   |

Term length: 48 months  
WDBCCC Bylaws  
ARTICLE X - TERMINATIONS

B. Failure to attend three consecutive regularly scheduled Full WDBCCC/ and or committee meetings, excessive excused absences from regularly scheduled WDBCCC and/or committee meetings, or failure to resign when he/she ceases to be representative of the group from which he/she was selected. Said conduct shall automatically be reviewed by the WDBCCC Executive Committee which in turn shall present a recommendation to the WDBCCC. A majority vote of the WDBCCC membership is needed to affirm the recommendation.



**Contra  
Costa  
County**

**For Office Use Only**  
Date Received:

**For Reviewers Use Only:**  
Accepted Rejected

**BOARDS, COMMITTEES, AND COMMISSIONS APPLICATION**

MAIL OR DELIVER TO:  
Contra Costa County  
CLERK OF THE BOARD  
651 Pine Street, Rm. 106  
Martinez, California 94553-1292  
**PLEASE TYPE OR PRINT IN INK**  
(Each Position Requires a Separate Application)

BOARD, COMMITTEE OR COMMISSION NAME AND SEAT TITLE YOU ARE APPLYING FOR:

Workforce Development Board

PRINT EXACT NAME OF BOARD, COMMITTEE, OR COMMISSION

PRINT EXACT SEAT NAME (if applicable)

1. **Name:** Doran Meaghan  
 (Last Name) (First Name) (Middle Name)

2. **Address:** [Redacted]  
 (No.) (Street) (Apt.) (City) (State) (Zip Code)

3. **Phones:** [Redacted] [Redacted] [Redacted]  
 (Home No.) (Work No.) (Cell No.)

4. **Email Address:** [Redacted]

5. **EDUCATION:** Check appropriate box if you possess one of the following:

High School Diploma  G.E.D. Certificate  California High School Proficiency Certificate

Give Highest Grade or Educational Level Achieved Graduate Degree

| Names of colleges / universities attended | Course of Study / Major          | Degree Awarded  | Units Completed  |         | Degree Type | Date Degree Awarded |
|---|----------------------------------|---|--|---------|-------------|---------------------|
|   |                                  |   | Semester   | Quarter |             |                     |
| A) State University of New York at Oswego | International Business/ Coaching | Yes No <input checked="" type="checkbox"/> <input type="checkbox"/> |  |         | BS          | 5/02                |
| B) Dominican University of California     | MBA Sustainable Enterprise       | Yes No <input checked="" type="checkbox"/> <input type="checkbox"/> |  |         | MBA         | 12/11               |
| C)  |                                  | Yes No <input type="checkbox"/> <input type="checkbox"/>            |  |         |             |                     |
| D) Other schools / training completed:    | Course Studied                   | Hours Completed   | Certificate Awarded:<br>Yes No <input type="checkbox"/> <input type="checkbox"/> |         |             |                     |
|   |                                  |   |  |         |             |                     |

**6. PLEASE FILL OUT THE FOLLOWING SECTION COMPLETELY. List experience that relates to the qualifications needed to serve on the local appointive body. Begin with your most recent experience. A resume or other supporting documentation may be attached but it may not be used as a substitute for completing this section.**

|   |   |   |
|---|---|---|
| <p>A) Dates (Month, Day, Year)<br/> <u>From</u>      <u>To</u><br/> <div style="display: flex; justify-content: space-between;"> <div style="border: 1px solid black; padding: 2px;">11/2013</div> <div style="border: 1px solid black; padding: 2px;">present</div> </div> <p>Total: <u>Yrs.</u>    <u>Mos.</u><br/> <div style="display: flex; justify-content: space-between;"> <div style="border: 1px solid black; padding: 2px;">4</div> <div style="border: 1px solid black; padding: 2px;">10</div> </div> <p>Hrs. per week <u>40</u> . Volunteer <input type="checkbox"/></p> </p></p> | <p style="text-align: center;"><b>Title</b></p> <div style="border: 1px solid black; padding: 2px;">Manager of Customer Programs</div> <hr/> <p style="text-align: center;"><b>Employer's Name and Address</b></p> <div style="border: 1px solid black; padding: 2px;"> MCE<br/> 1125 Tamalpais Ave.<br/> San Rafael, CA 94901 </div>   | <p style="text-align: center;"><b>Duties Performed</b></p> <div style="border: 1px solid black; padding: 2px;"> Oversee MCE's portfolio of energy efficiency, low-income, health and safety, disaster recovery, and workforce development programs. </div>  |
| <p>B) Dates (Month, Day, Year)<br/> <u>From</u>      <u>To</u><br/> <div style="display: flex; justify-content: space-between;"> <div style="border: 1px solid black; padding: 2px;">12/2012</div> <div style="border: 1px solid black; padding: 2px;">11/2013</div> </div> <p>Total: <u>Yrs.</u>    <u>Mos.</u><br/> <div style="display: flex; justify-content: space-between;"> <div style="border: 1px solid black; padding: 2px;"></div> <div style="border: 1px solid black; padding: 2px;">11</div> </div> <p>Hrs. per week <u>40</u> . Volunteer <input type="checkbox"/></p> </p></p>  | <p style="text-align: center;"><b>Title</b></p> <div style="border: 1px solid black; padding: 2px;">Energy Efficiency &amp; Marketing Manager</div> <hr/> <p style="text-align: center;"><b>Employer's Name and Address</b></p> <div style="border: 1px solid black; padding: 2px;"> Marin City Community Development Corp.<br/> 441 Drake Ave.<br/> Marin City, CA 94956 </div>                                | <p style="text-align: center;"><b>Duties Performed</b></p> <div style="border: 1px solid black; padding: 2px;"> Oversaw the development of the MCE Direct Install team, conducted outreach to multifamily properties, project managed participating properties from engagement to beyond project completion, oversaw organization wide marketing, developed relationship with laborers ultimately resulting in a pre-apprenticeship program. </div> |
| <p>C) Dates (Month, Day, Year)<br/> <u>From</u>      <u>To</u><br/> <div style="display: flex; justify-content: space-between;"> <div style="border: 1px solid black; padding: 2px;">11/2011</div> <div style="border: 1px solid black; padding: 2px;">10/2012</div> </div> <p>Total: <u>Yrs.</u>    <u>Mos.</u><br/> <div style="display: flex; justify-content: space-between;"> <div style="border: 1px solid black; padding: 2px;">1</div> <div style="border: 1px solid black; padding: 2px;"></div> </div> <p>Hrs. per week <u>25</u> . Volunteer <input type="checkbox"/></p> </p></p>   | <p style="text-align: center;"><b>Title</b></p> <div style="border: 1px solid black; padding: 2px;">Project Manager</div> <hr/> <p style="text-align: center;"><b>Employer's Name and Address</b></p> <div style="border: 1px solid black; padding: 2px;"> Dominican University of California<br/> Participatory Action Research Project - Canal Community<br/> 51 Acacia Ave.<br/> San Rafael, CA 94901 </div> | <p style="text-align: center;"><b>Duties Performed</b></p> <div style="border: 1px solid black; padding: 2px;"> Managed full cycle of research project designed to access the collective knowledge of the Canal community. </div>   |
| <p>D) Dates (Month, Day, Year)<br/> <u>From</u>      <u>To</u><br/> <div style="display: flex; justify-content: space-between;"> <div style="border: 1px solid black; padding: 2px;">5/2007</div> <div style="border: 1px solid black; padding: 2px;">6/2009</div> </div> <p>Total: <u>Yrs.</u>    <u>Mos.</u><br/> <div style="display: flex; justify-content: space-between;"> <div style="border: 1px solid black; padding: 2px;">2</div> <div style="border: 1px solid black; padding: 2px;">1</div> </div> <p>Hrs. per week <u>40</u> . Volunteer <input type="checkbox"/></p> </p></p>    | <p style="text-align: center;"><b>Title</b></p> <div style="border: 1px solid black; padding: 2px;">Finance Coordinator</div> <hr/> <p style="text-align: center;"><b>Employer's Name and Address</b></p> <div style="border: 1px solid black; padding: 2px;"> Ballard Construction<br/> Syracuse, NY 13204 </div>  | <p style="text-align: center;"><b>Duties Performed</b></p> <div style="border: 1px solid black; padding: 2px;"> Completed job costing, AP, and payroll. </div>  |

7. How did you learn about this vacancy?

CCC Homepage  Walk-In  Newspaper Advertisement  District Supervisor  Other Patience Ofodu

8. Do you have a Familial or Financial Relationship with a member of the Board of Supervisors? (Please see Board Resolution no. 2011/55, attached): No  Yes

If Yes, please identify the nature of the relationship:

9. Do you have any financial relationships with the County such as grants, contracts, or other economic relations? No  Yes

If Yes, please identify the nature of the relationship:

I CERTIFY that the statements made by me in this application are true, complete, and correct to the best of my knowledge and belief, and are made in good faith. I acknowledge and understand that all information in this application is publically accessible. I understand and agree that misstatements / omissions of material fact may cause forfeiture of my rights to serve on a Board, Committee, or Commission in Contra Costa County.

Sign Name: [REDACTED] Date: 9/18/19

**Important Information**

1. This application is a public document and is subject to the California Public Records Act (CA Gov. Code §6250-6270).
2. Send the completed paper application to the Office of the Clerk of the Board at: **651 Pine Street, Room 106, Martinez, CA 94553.**
3. A résumé or other relevant information may be submitted with this application.
4. All members are required to take the following training: 1) The Brown Act, 2) The Better Government Ordinance, and 3) Ethics Training.
5. Members of boards, commissions, and committees may be required to: 1) file a Statement of Economic Interest Form also known as a Form 700, and 2) complete the State Ethics Training Course as required by AB 1234.
6. Advisory body meetings may be held in various locations and some locations may not be accessible by public transportation.
7. Meeting dates and times are subject to change and may occur up to two days per month.
8. Some boards, committees, or commissions may assign members to subcommittees or work groups which may require an additional commitment of time.

**THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA and for  
Special Districts, Agencies and Authorities Governed by the Board Adopted Resolution  
no. 2011/55 on 2/08/2011 as follows:**

IN THE MATTER OF ADOPTING A POLICY MAKING FAMILY MEMBERS OF THE BOARD OF SUPERVISORS INELIGIBLE FOR APPOINTMENT TO BOARDS, COMMITTEES OR COMMISSIONS FOR WHICH THE BOARD OF SUPERVISORS IS THE APPOINTING AUTHORITY

WHEREAS the Board of Supervisors wishes to avoid the reality or appearance of improper influence or favoritism;  
NOW, THEREFORE, BE IT RESOLVED THAT the following policy is hereby adopted:

- I. SCOPE: This policy applies to appointments to any seats on boards, committees or commissions for which the Contra Costa County Board of Supervisors is the appointing authority.
- II. POLICY: A person will not be eligible for appointment if he/she is related to a Board of Supervisors' Member in any of the following relationships:
  1. Mother, father, son, and daughter;
  2. Brother, sister, grandmother, grandfather, grandson, and granddaughter;
  3. Great-grandfather, great-grandmother, aunt, uncle, nephew, niece, great-grandson, and great-granddaughter;
  4. First cousin;
  5. Husband, wife, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepson, and stepdaughter;
  6. Sister-in-law (brother's spouse or spouse's sister), brother-in-law (sister's spouse or spouse's brother), spouse's grandmother, spouse's grandfather, spouse's granddaughter, and spouse's grandson;
  7. Registered domestic partner, pursuant to California Family Code section 297.
  8. The relatives, as defined in 5 and 6 above, for a registered domestic partner.
  9. Any person with whom a Board Member shares a financial interest as defined in the Political Reform Act (Gov't Code §87103, Financial Interest), such as a business partner or business associate.



September 25, 2018

Contra Costa County  
Clerk of the Board  
661 Pine Street, Rm. 105  
Martinez, California 94553

Re: Meaghan Doran

Dear Contra Costa County Workforce Development Board Selection Committee,

On behalf of the Marin Builders Association I respectfully submit this letter of recommendation for Meghan Doran of Marin Clean Energy.

It has been our sincere pleasure to work with Meaghan over the years. She has been an active partner in our association and has served on a number of committees. Specifically, she has represented Marin Clean Energy in our Cornerstone Partnership Program, served on our Advisory Committee and been a strong supporter of our Construction Technology Education Program for local students. Meaghan has brought dedication, enthusiasm and energy to every group she has been involved in with us at Marin Builders Association and we believe she would be an asset to your Workforce Development Board.

Thank you for your consideration of this recommendation for Meaghan Doran – a true community leader.

Regards

  
Rick Wells  
Chief Executive Officer  






Contra  
Costa  
County

For Office Use Only

Date Received:

For Reviewers Use Only:

Accepted Rejected

## BOARDS, COMMITTEES, AND COMMISSIONS APPLICATION

**MAIL OR DELIVER TO:**

Contra Costa County  
CLERK OF THE BOARD  
651 Pine Street, Rm. 106  
Martinez, California 94553-1292

PLEASE TYPE OR PRINT IN INK

(Each Position Requires a Separate Application)

**BOARD, COMMITTEE OR COMMISSION NAME AND SEAT TITLE YOU ARE APPLYING FOR:**

Workforce Development Board

Higher Education

PRINT EXACT NAME OF BOARD, COMMITTEE, OR COMMISSION

PRINT EXACT SEAT NAME (if applicable)

1. **Name:** Wood Fred Edwin  
(Last Name) (First Name) (Middle Name)

2. **Address:** [Redacted]  
(No.) (Street) (Apt.) (City) (State) (Zip Code)

3. **Phones:** [Redacted]  
(Home No.) (Work No.) (Cell No.)

4. **Email Address:** [Redacted]

**5. EDUCATION:** Check appropriate box if you possess one of the following:

High School Diploma  G.E.D. Certificate  California High School Proficiency Certificate

Give Highest Grade or Educational Level Achieved, Doctorate

|    | Names of colleges / universities attended | Course of Study / Major | Degree Awarded  | Units Completed  |         | Degree Type | Date Degree Awarded |
|----|---|-------------------------|---|--|---------|-------------|---------------------|
|    |   |                         |   | Semester   | Quarter |             |                     |
| A) | Diablo Valley College                     | Physical Science        | Yes No <input checked="" type="checkbox"/> <input type="checkbox"/> | 110  |         | AA          | 6/78                |
| B) | University of California Davis            | Chemistry               | Yes No <input checked="" type="checkbox"/> <input type="checkbox"/> |  | 75      | BS          | 7/80                |
| C) | University of California Davis            | Bioinorganic Chemistry  | Yes No <input checked="" type="checkbox"/> <input type="checkbox"/> |  | 144     | Ph.D.       | 8/84                |
| D) | Other schools / training completed:       | Course Studied          | Hours Completed   | Certificate Awarded:<br>Yes No <input type="checkbox"/> <input type="checkbox"/> |         |             |                     |

THIS FORM IS A PUBLIC DOCUMENT

6. PLEASE FILL OUT THE FOLLOWING SECTION COMPLETELY. List experience that relates to the qualifications needed to serve on the local appointive body. Begin with your most recent experience. A resume or other supporting documentation may be attached but it may not be used as a substitute for completing this section.

|  |  |   |
|--|--|---|
| <p>A) Dates (Month, Day, Year)<br/>         From <u>1/2017</u> To <u>Present</u><br/>         Total: Yrs. <u>1</u> Mos. <u>8</u><br/>         Hrs. per week <u>FT</u> . Volunteer <input type="checkbox"/></p>   | <p>Title<br/> <u>Chancellor</u><br/>         Employer's Name and Address<br/> <u>Contra Costa Community College District</u></p> | <p>Duties Performed<br/> <u>Chief Executive Officer</u></p> |
| <p>B) Dates (Month, Day, Year)<br/>         From <input type="text"/> To <input type="text"/><br/>         Total: Yrs. <input type="text"/> Mos. <input type="text"/><br/>         Hrs. per week <input type="text"/> . Volunteer <input type="checkbox"/></p> | <p>Title<br/> <input type="text"/><br/>         Employer's Name and Address<br/> <input type="text"/></p>                        | <p>Duties Performed<br/> <input type="text"/></p>           |
| <p>C) Dates (Month, Day, Year)<br/>         From <input type="text"/> To <input type="text"/><br/>         Total: Yrs. <input type="text"/> Mos. <input type="text"/><br/>         Hrs. per week <input type="text"/> . Volunteer <input type="checkbox"/></p> | <p>Title<br/> <input type="text"/><br/>         Employer's Name and Address<br/> <input type="text"/></p>                        | <p>Duties Performed<br/> <input type="text"/></p>           |
| <p>D) Dates (Month, Day, Year)<br/>         From <input type="text"/> To <input type="text"/><br/>         Total: Yrs. <input type="text"/> Mos. <input type="text"/><br/>         Hrs. per week <input type="text"/> . Volunteer <input type="checkbox"/></p> | <p>Title<br/> <input type="text"/><br/>         Employer's Name and Address<br/> <input type="text"/></p>                        | <p>Duties Performed<br/> <input type="text"/></p>           |

7. How did you learn about this vacancy?

CCC Homepage  Walk-In  Newspaper Advertisement  District Supervisor  Other Board Member / Executive Director

8. Do you have a Familial or Financial Relationship with a member of the Board of Supervisors? (Please see Board Resolution no. 2011/55, attached): No  Yes

If Yes, please identify the nature of the relationship:

9. Do you have any financial relationships with the County such as grants, contracts, or other economic relations?

No  Yes

If Yes, please identify the nature of the relationship:

I CERTIFY that the statements made by me in this application are true, complete, and correct to the best of my knowledge and belief, and are made in good faith. I acknowledge and understand that all information in this application is publically accessible. I understand and agree that misstatements / omissions of material fact may cause forfeiture of my rights to serve on a Board, Committee, or Commission in Contra Costa County.

Sign Name: 

Date: 

### Important Information

1. This application is a public document and is subject to the California Public Records Act (CA Gov. Code §6250-6270).
2. Send the completed paper application to the Office of the Clerk of the Board at: 651 Pine Street, Room 106, Martinez, CA 94553.
3. A résumé or other relevant information may be submitted with this application.
4. All members are required to take the following training: 1) The Brown Act, 2) The Better Government Ordinance, and 3) Ethics Training.
5. Members of boards, commissions, and committees may be required to: 1) file a Statement of Economic Interest Form also known as a Form 700, and 2) complete the State Ethics Training Course as required by AB 1234.
6. Advisory body meetings may be held in various locations and some locations may not be accessible by public transportation.
7. Meeting dates and times are subject to change and may occur up to two days per month.
8. Some boards, committees, or commissions may assign members to subcommittees or work groups which may require an additional commitment of time.

**THIS FORM IS A PUBLIC DOCUMENT**

**THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA and for  
Special Districts, Agencies and Authorities Governed by the Board Adopted Resolution  
no. 2011/55 on 2/08/2011 as follows:**

**IN THE MATTER OF ADOPTING A POLICY MAKING FAMILY MEMBERS OF THE BOARD OF SUPERVISORS INELIGIBLE FOR APPOINTMENT TO BOARDS, COMMITTEES OR COMMISSIONS FOR WHICH THE BOARD OF SUPERVISORS IS THE APPOINTING AUTHORITY**

WHEREAS the Board of Supervisors wishes to avoid the reality or appearance of improper influence or favoritism;

NOW, THEREFORE, BE IT RESOLVED THAT the following policy is hereby adopted:

- I. SCOPE: This policy applies to appointments to any seats on boards, committees or commissions for which the Contra Costa County Board of Supervisors is the appointing authority.
- II. POLICY: A person will not be eligible for appointment if he/she is related to a Board of Supervisors' Member in any of the following relationships:
  1. Mother, father, son, and daughter;
  2. Brother, sister, grandmother, grandfather, grandson, and granddaughter;
  3. Great-grandfather, great-grandmother, aunt, uncle, nephew, niece, great-grandson, and great-granddaughter;
  4. First cousin;
  5. Husband, wife, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepson, and stepdaughter;
  6. Sister-in-law (brother's spouse or spouse's sister), brother-in-law (sister's spouse or spouse's brother), spouse's grandmother, spouse's grandfather, spouse's granddaughter, and spouse's grandson;
  7. Registered domestic partner, pursuant to California Family Code section 297.
  8. The relatives, as defined in 5 and 6 above, for a registered domestic partner.
  9. Any person with whom a Board Member shares a financial interest as defined in the Political Reform Act (Gov't Code §87103, Financial Interest), such as a business partner or business associate.

# Application Form

## Profile

### Which Boards would you like to apply for?

Workforce Development Board: Submitted

Seat Name (if applicable)

### Describe why you are interested in serving on this advisory board/commission (please limit your response to one paragraph).

I believe that people that have the passion to serve and make a difference in society, are key to contributing to implement efforts and expand broad and deep economic development accomplishments. I am hoping I can be part of a little change and inspire others to support economic growth thru education, hard work and commitment.

### This application is used for all boards and commissions

Romina

First Name

P

Middle Initial

Gonzalez

Last Name

[Redacted]

Email Address

[Redacted]

Home Address

Suite or Apt

[Redacted]

City

[Redacted]

State

[Redacted]

Postal Code

[Redacted]

Primary Phone

Dolan's Lumber Doors & Windows

Employer

Public Relations

Job Title

Occupation

### Do you, or a business in which you have a financial interest, have a contract with Contra Costa Co.?

Yes  No

Is a member of your family (or step-family) employed by Contra Costa Co.?

Yes  No

---

## Education History

Select the highest level of education you have received:

Other

Some College

If "Other" was Selected Give Highest Grade or Educational Level Achieved

---

## College/ University A

JFK University

Name of College Attended

Entrepreneurial Leadership

Course of Study / Major

2 quarter units -22hrs

Units Completed

---

## Type of Units Completed

Semester

---

## Degree Awarded?

Yes  No

Certificate

Degree Type

June 2013

Date Degree Awarded

---

## College/ University B

---

Name of College Attended

---

Course of Study / Major

---

Units Completed

### Type of Units Completed

---

None Selected

### Degree Awarded?

---

Yes  No

---

Degree Type

---

Date Degree Awarded

---

## College/ University C

---

Name of College Attended

---

Course of Study / Major

---

Units Completed

### Type of Units Completed

---

None Selected

### Degree Awarded?

---

Yes  No

---

Degree Type

---

Date Degree Awarded

---

### Other schools / training completed:

California Personal Lines Broker  
and Code& Ethics

---

Course Studied

26

---

Hours Completed

### Certificate Awarded?

---

Yes  No

---

## Work History

Please provide information on your last three positions, including your current one if you are working.

### 1st (Most Recent)

June 1 2014- Present

---

Dates (Month, Day, Year) From - To

35

---

Hours per Week Worked?

### Volunteer Work?

---

Yes  No

Public Relations & Safety Program  
Director

---

Position Title

### Employer's Name and Address

---

Dolan's Lumber Doors & Windows 2231 Monument Blvd. Concord CA 94520



## Duties Performed

---

Run Monthly Sales Reports, Implement, keep under systematic review customer loyalty programs, Event coordinator, Product Knowledge seminars for sales staff and customers. Monitor and track security standards, policies, and procedures.

---

## 2nd

December 2011- May 5 2014

Dates (Month, Day, Year) From - To

50

Hours per Week Worked?

## Volunteer Work?

---

Yes  No

Career Development Manager

Position Title

## Employer's Name and Address

---

Monument Impact 2699 Monument Blvd, Concord CA 94520

## Duties Performed

---

Create, Implement, Monitor and review to ensure that Center programs including WIA (Work Force Investment Act) guidelines are met, and performances are of adequate quality. Establish work schedules and assign work to staff members. Confer with directors and production staff to discuss issues such as deliverables, budgets, and policies. Develop ideas for programs and features that Career Development department could produce. (Cal-Works Welfare to Work Program for Limited English Proficient individuals)

---

## 3rd

2013

Dates (Month, Day, Year) From - To

Hours per Week Worked?

## Volunteer Work?

---

Yes  No

Co-Chair and Trainer

Position Title

## Employer's Name and Address

---

East Bay Works Once Stop Career Center 4071 Port Chicago Hwy #250, Concord, CA 94520

## Duties Performed

---

Co-chair East Bay Works WIN Workforce Integration Network -Plan and schedule programming and event coverage, based on broadcast length, time availability, and other factors, such as community need for Spanish speaker Clients. Taught seminars on customer service and how to obtain and maintain a job.



Upload a Resume

---

## Final Questions

How did you learn about this vacancy?

---

Other

Donna P. Van Wert

If "Other" was selected please explain

**. Do you have a Familial or Financial Relationship with a member of the Board of Supervisors?**

---

Yes  No

**If Yes, please identify the nature of the relationship:**

---

**Do you have any financial relationships with the County such as grants, contracts, or other economic relations?**

---

Yes  No

**If Yes, please identify the nature of the relationship:**

---

**Please Agree with the Following Statement**

---

**I understand that this form is a public document and is subject to the California Public Records Act.**

---

I Agree



Together, building a stronger community

September 2, 2018

To whom it may concern:

It is an honor to present this letter of recommendation for Romina Gonzalez for the position of Board Member on the Contra Costa Board of Workplace Development.

Romina served as Career Development Program Manager for Monument Impact, a local nonprofit that has worked with the immigrant and refugee community for 17 years. From December 2011 to June 2014, Romina worked with CalWORKS limited-English proficient participants to provide them with skills and training to find jobs. She had high standards for herself and her community clients. As someone who is efficient, decisive and thorough, Romina instilled confidence and capacity in her clients to overcome obstacles. She intricately understands workforce development, especially from the standpoint of community members who have multiple barriers to employment.

Part of Romina's position at Monument Impact was to connect clients with employers. She is remembered for having exceptional relationships with local employers. Romina also volunteered and participated as a workforce trainer, teaching seminars on customer service and how to obtain and maintain a job to Spanish speakers at East Bay Works One Stop Career Center. She also served as Co-Chair of WIN (Workforce Integration Network) in 2013.

Romina left Monument Impact to work with Gene Dolan and Dolan's Lumber, Windows and Doors where she currently manages public relations. Gene Dolan was a long-time Board member of Monument Impact, so Romina's move to his company was in keeping with their shared passion to make a difference in the Monument community. As part of her current work, Romina is working with Patrick Dolan, Gene's son, to collaborate with different community-focused economic efforts to continue Gene's legacy of commitment to community growth.

Romina sits at the unique intersection of nonprofit workforce development services, local business, and community. She has professionally and personally demonstrated her commitment to workforce development. She deeply understands business development, workforce needs, and the diversity of our County. I firmly believe this makes her an excellent candidate and asset for the Contra Costa Workforce Development Board.

For these reasons, I highly recommend Romina Gonzalez for the Contra Costa Board of Workforce Development. If you have any questions regarding this recommendation, please contact me.

Sincerely,

[REDACTED]  
Debra Ballinger Bernstein  
Executive Director

[REDACTED]



Contra  
Costa  
County

For Office Use Only  
Date Received:

For Reviewers Use Only:  
Accepted Rejected

## BOARDS, COMMITTEES, AND COMMISSIONS APPLICATION

**MAIL OR DELIVER TO:**

Contra Costa County  
CLERK OF THE BOARD  
651 Pine Street, Rm. 106  
Martinez, California 94553-1292

**PLEASE TYPE OR PRINT IN INK**

(Each Position Requires a Separate Application)

BOARD, COMMITTEE OR COMMISSION NAME AND SEAT TITLE YOU ARE APPLYING FOR:

CCC Workforce Development Board

Business/Economic Development Committee

PRINT EXACT NAME OF BOARD, COMMITTEE, OR COMMISSION

PRINT EXACT SEAT NAME (if applicable)

1. Name: Muller Robert Anthony  
(Last Name) (First Name) (Middle Name)

2. Address: [REDACTED]  
(No.) (Street) (Apt.) (City) (State) (Zip Code)

3. Phones: N/A [REDACTED]  
(Home No.) (Work No.) (Cell No.)

4. Email Address: [REDACTED]

5. **EDUCATION:** Check appropriate box if you possess one of the following:

High School Diploma  G.E.D. Certificate  California High School Proficiency Certificate

Give Highest Grade or Educational Level Achieved: [REDACTED]

| Names of colleges / universities attended | Course of Study / Major | Degree Awarded   | Units Completed  |            | Degree Type | Date Degree Awarded |
|---|-------------------------|--|--|------------|-------------|---------------------|
|   |                         |  | Semester   | Quarter    |             |                     |
| A) [REDACTED]                             | [REDACTED]              | Yes No <input type="checkbox"/> <input type="checkbox"/> | [REDACTED]   | [REDACTED] | [REDACTED]  | [REDACTED]          |
| B) [REDACTED]                             | [REDACTED]              | Yes No <input type="checkbox"/> <input type="checkbox"/> | [REDACTED]   | [REDACTED] | [REDACTED]  | [REDACTED]          |
| C) [REDACTED]                             | [REDACTED]              | Yes No <input type="checkbox"/> <input type="checkbox"/> | [REDACTED]   | [REDACTED] | [REDACTED]  | [REDACTED]          |
| D) Other schools / training completed:    | Course Studied          | Hours Completed  | Certificate Awarded:<br>Yes No <input type="checkbox"/> <input type="checkbox"/> |            |             |                     |
| [REDACTED]                                | [REDACTED]              | [REDACTED]   |  |            |             |                     |

6. PLEASE FILL OUT THE FOLLOWING SECTION COMPLETELY. List experience that relates to the qualifications needed to serve on the local appointive body. Begin with your most recent experience. A resume or other supporting documentation may be attached but it may not be used as a substitute for completing this section.

|   |  |  |
|---|--|--|
| <p>A) Dates (Month, Day, Year)<br/> <u>From</u>      <u>To</u><br/> <div style="border: 1px solid black; padding: 2px;">12/1/2017</div>    <div style="border: 1px solid black; padding: 2px;">Present</div><br/>           Total: <u>Yrs.</u>    <u>Mos.</u><br/> <div style="border: 1px solid black; padding: 2px;">0 Years</div>    <div style="border: 1px solid black; padding: 2px;">7 Months</div><br/>           Hrs. per week <div style="border: 1px solid black; padding: 2px;">40</div> . Volunteer <input type="checkbox"/></p>   | <p style="text-align: center;">Title</p> <div style="border: 1px solid black; padding: 2px;">Learning Manager</div><br><p style="text-align: center;">Employer's Name and Address</p> <div style="border: 1px solid black; padding: 2px;">Shell Martinez Refinery<br/>           PO Box 711<br/>           Martinez, CA 94553</div>                      | <p style="text-align: center;">Duties Performed</p> <div style="border: 1px solid black; padding: 2px;">Manager of Learning &amp; Development Department, including ten (10) Direct Reports. In charge of all Training and Procedural issues for the site. Related tasks include: CCHS Process Safety Team, P-Tech Advisory Board Member, manage LMC Intern Processes, hiring events, job fairs, community outreach.</div>                                 |
| <p>B) Dates (Month, Day, Year)<br/> <u>From</u>      <u>To</u><br/> <div style="border: 1px solid black; padding: 2px;">12/1/2012</div>    <div style="border: 1px solid black; padding: 2px;">12/1/2017</div><br/>           Total: <u>Yrs.</u>    <u>Mos.</u><br/> <div style="border: 1px solid black; padding: 2px;">5 Years</div>    <div style="border: 1px solid black; padding: 2px;">0 Months</div><br/>           Hrs. per week <div style="border: 1px solid black; padding: 2px;">40</div> . Volunteer <input type="checkbox"/></p>   | <p style="text-align: center;">Title</p> <div style="border: 1px solid black; padding: 2px;">Learning &amp; Development Supervisor</div><br><p style="text-align: center;">Employer's Name and Address</p> <div style="border: 1px solid black; padding: 2px;">Shell Martinez Refinery<br/>           PO Box 711<br/>           Martinez, CA 94553</div> | <p style="text-align: center;">Duties Performed</p> <div style="border: 1px solid black; padding: 2px;">Ran Production Mentor Program, in charge of all phases of Operator Training Program and of 2500 site Operating Procedures. Worked with CCHS developing and implementing Critical Procedure HAZOP Review Policies/Practices. Coordinated community events, Managed P-Tech Internships and Onboarding processes, P-Tech Advisory Board Member.</div> |
| <p>C) Dates (Month, Day, Year)<br/> <u>From</u>      <u>To</u><br/> <div style="border: 1px solid black; padding: 2px; width: 50px; height: 20px;"></div>    <div style="border: 1px solid black; padding: 2px; width: 50px; height: 20px;"></div><br/>           Total: <u>Yrs.</u>    <u>Mos.</u><br/> <div style="border: 1px solid black; padding: 2px; width: 50px; height: 20px;"></div>    <div style="border: 1px solid black; padding: 2px; width: 50px; height: 20px;"></div><br/>           Hrs. per week <div style="border: 1px solid black; padding: 2px; width: 30px; height: 20px;"></div> . Volunteer <input type="checkbox"/></p> | <p style="text-align: center;">Title</p> <div style="border: 1px solid black; padding: 2px; height: 20px;"></div><br><p style="text-align: center;">Employer's Name and Address</p> <div style="border: 1px solid black; padding: 2px; height: 40px;"></div>   | <p style="text-align: center;">Duties Performed</p> <div style="border: 1px solid black; padding: 2px; height: 40px;"></div>   |
| <p>D) Dates (Month, Day, Year)<br/> <u>From</u>      <u>To</u><br/> <div style="border: 1px solid black; padding: 2px; width: 50px; height: 20px;"></div>    <div style="border: 1px solid black; padding: 2px; width: 50px; height: 20px;"></div><br/>           Total: <u>Yrs.</u>    <u>Mos.</u><br/> <div style="border: 1px solid black; padding: 2px; width: 50px; height: 20px;"></div>    <div style="border: 1px solid black; padding: 2px; width: 50px; height: 20px;"></div><br/>           Hrs. per week <div style="border: 1px solid black; padding: 2px; width: 30px; height: 20px;"></div> . Volunteer <input type="checkbox"/></p> | <p style="text-align: center;">Title</p> <div style="border: 1px solid black; padding: 2px; height: 20px;"></div><br><p style="text-align: center;">Employer's Name and Address</p> <div style="border: 1px solid black; padding: 2px; height: 40px;"></div>   | <p style="text-align: center;">Duties Performed</p> <div style="border: 1px solid black; padding: 2px; height: 40px;"></div>   |

7. How did you learn about this vacancy?

CCC Homepage  Walk-In  Newspaper Advertisement  District Supervisor  Other

8. Do you have a Familial or Financial Relationship with a member of the Board of Supervisors? (Please see Board Resolution no. 2011/55, attached): No  Yes

If Yes, please identify the nature of the relationship:

9. Do you have any financial relationships with the County such as grants, contracts, or other economic relations? No  Yes

If Yes, please identify the nature of the relationship:

I CERTIFY that the statements made by me in this application are true, complete, and correct to the best of my knowledge and belief, and are made in good faith. I acknowledge and understand that all information in this application is publically accessible. I understand and agree that misstatements / omissions of material fact may cause forfeiture of my rights to serve on a Board, Committee, or Commission in Contra Costa County.

Sign Name:  Date:

### Important Information

1. This application is a public document and is subject to the California Public Records Act (CA Gov. Code §6250-6270).
2. Send the completed paper application to the Office of the Clerk of the Board at: **651 Pine Street, Room 106, Martinez, CA 94553.**
3. A résumé or other relevant information may be submitted with this application.
4. All members are required to take the following training: 1) The Brown Act, 2) The Better Government Ordinance, and 3) Ethics Training.
5. Members of boards, commissions, and committees may be required to: 1) file a Statement of Economic Interest Form also known as a Form 700, and 2) complete the State Ethics Training Course as required by AB 1234.
6. Advisory body meetings may be held in various locations and some locations may not be accessible by public transportation.
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4. First cousin;
5. Husband, wife, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepson, and stepdaughter;
6. Sister-in-law (brother's spouse or spouse's sister), brother-in-law (sister's spouse or spouse's brother), spouse's grandmother, spouse's grandfather, spouse's granddaughter, and spouse's grandson;
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8. The relatives, as defined in 5 and 6 above, for a registered domestic partner.
9. Any person with whom a Board Member shares a financial interest as defined in the Political Reform Act (Gov't Code §87103, Financial Interest), such as a business partner or business associate.





To: Contra Costa County Board of Supervisors  
Re: Workforce Development Board of Contra Costa County

Board of Supervisors,

It is my pleasure to write a letter in support of Robert Muller being appointed to the Workforce Development Board of Contra Costa County.

While I am not personally acquainted with Mr. Muller, he comes highly recommended by Erin Hallissy, External Relations Advisor for the Shell Martinez Refinery and member of the Martinez Chamber of Commerce Board of Directors as well as Ann Notarangelo, External Relations Manager for the Shell Martinez Refinery, both of whose opinions I value and trust.

According to Erin and Ann, Mr. Muller has been a valued employee of the Shell Oil Company since 1987; he currently is the manager of the Learning and Development Department and works with recruiting, training and onboarding new operator hires and P-Tech interns. He has been on the P-Tech Advisory Board at Los Medanos College since 2012.

I fully support the appointment of Robert Muller to the Workforce Development Board of Contra Costa County and I am confident in his ability to contribute to the mission of promoting the local workforce and supporting the economic vitality in the region.

Sincerely,

Julie Johnston  
President & CEO Martinez Chamber of Commerce



Contra  
Costa  
County

To: Board of Supervisors  
From: FAMILY & HUMAN SERVICES COMMITTEE  
Date: March 12, 2019

Subject: RECOMMENDATIONS FOR APPOINTMENT TO THE COUNCIL ON HOMELESSNESS

---

**RECOMMENDATION(S):**

APPOINT the following individuals to the Council on Homelessness to initial three-year terms ending on December 31, 2021, after which the terms for future appointments to these seats will be for two years, ending on December 31 of odd-numbered years:

| <u>Nominee</u>      | <u>Affiliation</u>           | <u>Seat</u>                       | <u>District</u> |
|---------------------|------------------------------|-----------------------------------|-----------------|
| Leslie Gleason      | Shelter, Inc.                | CoC/ESG Program Grantee           | All             |
| Lindy Lavendar      | Pacheco Area                 | Community Member                  | IV              |
| Sherry Lynn Peralta |                              | Employment and Human Services Rep | All             |
| Doug Leich          | Multi-Faith Action Coalition | Faith Community Representative    | All             |
| Manuel Arredondo    | La Clinica De La Raza        | Health Care Representative        | All             |
| Tony Ucciferri      | CCC Housing Authority        | Public Housing Authority          | All             |

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Julie DiMaggio Enea  
(925) 335-1077

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: HSD Homeless Programs, CoB, FHS Staff

RECOMMENDATION(S): (CONTD)

FISCAL IMPACT:

There is no fiscal impact.

BACKGROUND:

On December 6, 2011 the Board of Supervisors adopted Resolution No. 2011/497 adopting policy governing appointments to boards, committees, and commissions that are advisory to the Board of Supervisors. Included in this resolution was a requirement that applications for at large/countywide seats be reviewed by a Board of Supervisors committee.

Please see the attached memo from the Council on Homelessness, which details their request to fill the 6 current vacancies on the 18-member council. Attached is the proposed roster showing city of residence for current and prospective members. Below is the current Council roster.

The Family and Human Services Committee reviewed and approved the recommended appointments at its meeting on February 25, 2019. The Committee also recommends making these appointments to three-year terms ending on December 31, 2021 in order to achieve two-tier staggering of term expirations among the Council seats. After the expiration of the three-year terms of these appointments, the Committee recommends resetting the terms of office to two years ending on December 31 of odd-numbered years.

| <u>Name</u>           | <u>Start date</u> | <u>End date</u> | <u>Position</u>                              |
|-----------------------|-------------------|-----------------|--|
| Alejandra Chamberlain | 1-Jan-18          | 31-Dec-20       | Educational and Vocational Services          |
| Bradley R Lindblom    | 13-Mar-18         | 31-Dec-19       | Public Safety Representative #2              |
| Candace C Collier     | 13-Mar-18         | 31-Dec-20       | Consumer/Consumer Advocate                   |
| Carolyn Foudy         | 1-Jan-19          | 31-Dec-20       | Employment and Human Services Representative |
| Dan Sawislak          | 13-Mar-18         | 31-Dec-20       | Affordable Housing Director                  |
| Deanne M Pearn        | 13-Mar-18         | 31-Dec-20       | Homeless Service Provider                    |
| Gabriel Lemus         | 13-Mar-18         | 31-Dec-20       | Emergency Solutions Grant Rep.               |
| Manjit Sappal         | 13-Mar-18         | 31-Dec-20       | Public Safety Representative #1              |
| Miguel Hidalgo-Barnes | 13-Mar-18         | 31-Dec-20       | Behavioral Health Representative             |
| Patrice Guillory      | 13-Mar-18         | 31-Dec-19       | Reentry Services Representative              |
| Teri House            | 13-Mar-18         | 31-Dec-20       | City Government Seat                         |
| Tracy Pullar          | 13-Mar-18         | 31-Dec-20       | Veterans Administration Representative       |
| Vacancy               | 1-Jan-19          | 1-Jan-21        | CoC/ESG Program Grantee                      |
| Vacancy               | 1-Jan-19          | 1-Jan-21        | Community Member                             |
| Vacancy               | 1-Jan-19          | 1-Jan-21        | Employment and Human Services Representative |
| Vacancy               | 1-Jan-19          | 1-Jan-21        | Faith Community Representative               |
| Vacancy               | 1-Jan-19          | 1-Jan-21        | Health Care Representative                   |
| Vacancy               | 1-Jan-19          | 1-Jan-21        | Public Housing Authority                     |

ATTACHMENTS

HSD Recommendation Letter  
Rubric for COH Applications  
Applicant Roster 2019  
Proposed COH Roster



Date: February 11, 2019  
To: Family and Human Services Committee  
Supervisor John Gioia, District I, Chair  
Supervisor Candace Anderson, District II, Co-Chair  
From: Lavonna Martin, Director, Health, Housing and Homeless Services Division  
CC: Anna Roth, RN, MS, MPH Health Services Director  
Subject: Council on Homelessness Seat Membership Recommendation Process

The Director of Health, Housing and Homeless Services, Lavonna Martin, respectfully requests that the Family and Human Services Committee accepts the recommendation to appoint six (6) individuals for open seats on the Contra Costa Council on Homelessness (aka the Homelessness Advisory Board).

#### PURPOSE OF COUNCIL ON HOMELESSNESS

The Contra Costa Continuum of Care is governed by the Contra Costa Council on Homelessness (hereinafter referred to as the Council). The Council is appointed by the Contra Costa County Board of Supervisors to assist and provide guidance in the development and implementation of long-range planning and policy formulation of homeless issues in Contra Costa County.

The Contra Costa Council on Homelessness provides a forum for communication and coordination of the County's Strategic Plan to End Homelessness, educate the community on homeless issues, and advocate on federal, state and local policy issues affecting people who are homeless or at-risk of homelessness.

#### **Governance**

The Council on Homelessness is appointed by the Board of Supervisors and consists of 17 seats representing homeless or formerly homeless persons, community members, educational/vocational services, health care, housing providers, law enforcement, local government, the faith community, and homeless service providers including the Veterans Administration. All Council members reside in or are employed in Contra Costa County, demonstrate a professional interest in or personal commitment to addressing and alleviating the impact of homelessness, and be able to contribute unique expertise, opinions and viewpoints on homeless issues. Candidates will serve two-year terms.

#### SUMMARY OF RECRUITMENT EFFORTS/NOMINEES FOR MEMBERSHIP

The Council on Homelessness continues to make every effort to fill its vacant seats. These efforts include sending targeted email solicitations via the Continuum of Care mailing list (1500+ contacts that include each Supervisor's office as well as local homeless task forces), announcing vacancies at public Council on Homelessness meetings and posting information about the vacancies and application materials on the Council on Homelessness website.



A nominating committee consisting of three seated Council on Homelessness members reviewed applications and supplemental information including optional letters of interest for all seats. The committee used a rubric to evaluate the applicants that included capacity to meet the functions and tasks of the Council on Homelessness as stated in the Council's bylaws and evaluating the diversity of current and potential Council members, using the information available, to ensure that a diverse population contributes to deliberations and decision-making.

Following a close review of applications and interviews with the candidates by a nominating committee, the Council on Homelessness recommends appointing the following six (6) nominees:

1. CoC/ESG Program Grantee  
Leslie Gleason  
Director of Programs,  
Shelter, Inc.  
Concord, CA
2. Community Member Seat  
Lindy Lavendar  
Community Member  
Pacheco, CA
3. Employment and Human Services (EHSD) Representative  
Sherry Lynn Peralta  
Program Director  
Employment and Human Services Department  
Contra Costa County
4. Faith Community Representative  
Doug Leich  
Multi-FAITH Action Coalition  
Danville, CA
5. Health Care Representative  
Manuel Arredondo, LCSW, MPH  
Supervisor of Integrated Behavioral Health  
La Clinica De La Raza
6. Public Housing Authority  
Tony Ucciferri  
Special Assistant to the Executive Director  
Housing Authority of Contra Costa County  
Martinez, CA

The candidates have expressed a sincere interest in serving on the Council and are dedicated to fulfilling the mission and goals as outlines in the Council on Homelessness by-laws.

If approved the new Council on Homelessness roster will represent the following districts in the following proportions (minus the two members who are not Contra Costa residents):

| <u>District I</u> | <u>District II</u> | <u>District III</u> | <u>District IV</u> | <u>District V</u> |
|-------------------|--------------------|---------------------|--------------------|-------------------|
| 4                 | 2                  | 2                   | 2                  | 5                 |
| 26 %              | 13%                | 13%                 | 13%                | 33%               |

Based on the above information, the Director of Health, Housing and Homeless Services Division, on behalf of the Council on Homelessness respectfully recommends that the FHS Committee appoint the above listed people to the Council on Homelessness.

Attachments:

- Rubric for evaluating applications
- Full list of applicants, by seat
- Council on Homelessness roster with proposed members, including city of residence



2018 COH Application Assessment Form

Name of Candidate: \_\_\_\_\_

Seat applying for: \_\_\_\_\_

Reviewer: \_\_\_\_\_

Score: \_\_\_\_\_

Recommended: Y/N

Known information: \_\_\_\_\_

**Ranking Scale is 1 (Does not meet criteria/don't know) to 5 (Completely meets criteria)**

| <u>Criteria</u>   | <u>Ranking</u> | <u>Notes</u> |
|---|----------------|--------------|
| Demonstrates a professional interest in, or personal commitment to addressing and alleviating the impact of homelessness on the people of the County of Contra Costa.   |                |              |
| If Consumer Seat has a lived experience of homelessness (i.e., be homeless or formerly homeless).   |                |              |
| Likely to contribute unique expertise, opinions, and viewpoints on homeless issues.   |                |              |
| Knowledge of: <ul style="list-style-type: none"> <li>• Principles and practices of project planning, monitoring, and evaluation.</li> <li>• Principles of effective team building and project management.</li> <li>• Standard organizational and management practices as applied to the analysis and evaluation of programs, policies, and operational needs.</li> <li>• Principles and practices of working in multi-cultural, multi-ethnic environments</li> </ul>  |                |              |
| Skill to: <ul style="list-style-type: none"> <li>• Participate in planning, organizing, directing, coordinating, and evaluating projects, events, or technical areas.</li> <li>• Participate in the development and administration of program goals, objectives and procedures.</li> <li>• Provide effective leadership, build relationships, and utilize team building skills</li> <li>• Organize and prioritize timelines and project schedules in an effective and timely manner.</li> <li>• Analyze problems, identify alternative solutions, project consequences of proposed actions and implement recommendations in support of goals.</li> <li>• Work effectively under pressure, meet deadlines, and adjust to changing priorities.</li> <li>• Communicate clearly and concisely, both orally and in writing.</li> </ul> |                |              |

## 2018 COH Application Assessment Form

Other criteria to consider:

- Contribution of balance of gender, ethnic, cultural, and geographical representation on Council
- Representation from organizations or agencies who serve various homeless subpopulations such as: persons with chronic substance abuse issues, persons with serious mental illness, persons experiencing chronic homelessness, persons with HIV/AIDS, veterans, families with children, unaccompanied youth, victims of domestic violence, dating violence, sexual assault, and stalking, and seniors.
- Balance of Government and Community Based Organizations represented



## 2019 COH Seat Applications

| <u>Seat</u>            | <u>Name</u>             | <u>Agency</u>                                      | <u>City of Residence</u> |
|------------------------|-------------------------|--|--------------------------|
| 1. Community Member    | Barth, Daniel           | Lives in Richmond                                  | Richmond                 |
| 2. Community Member    | Buckingham, Louis       | Lives in Antioch                                   | Antioch                  |
| 3. Community Member    | Buckley, Kimberli       | Works in Concord/works for Concord Library         | Concord                  |
| 4. Community Member    | Cummings, June          | Works in Contra Costa                              | Fairfield                |
| 5. Community Member    | Dandie, La'Tanya Janet  | Lives in Richmond                                  | Richmond                 |
| 6. Community Member    | Dunson, Kyle            | Lives in Concord/works for BFHP                    | Concord                  |
| 7. Community Member    | Fockler, Henry          | Lives in Martinez                                  | Martinez                 |
| 8. Community Member    | Gabalton, Betty         | Lives in Walnut Creek                              | Walnut Creek             |
| 9. Community Member    | Gardner, Nicole         | Lives in Antioch                                   | Antioch                  |
| 10. Community Member   | Gaughan, Pete           | Lives in Concord                                   | Concord                  |
| 11. Community Member   | Hasan, Michelle         | Lives in Antioch                                   | Antioch                  |
| 12. Community Member   | Jackl, Felix            | Lives in Antioch/works in H.S.                     | Antioch                  |
| 13. Community Member   | Jones, Titania          | Lives in Concord                                   | Concord                  |
| 14. Community Member   | Kain, Brenda            | Lives and works in Concord/works for city          | Concord                  |
| 15. Community Member   | Lavender, Lindy         | Lives in Pacheco                                   | Pacheco                  |
| 16. Community Member   | Mayes, Christa          | Lives in Crockett/program participant              | Crockett                 |
| 17. Community Member   | Meyer, Susannah         | Lives in Brentwood/Works for Meals on Wheels       | Brentwood                |
| 18. Community Member   | Powers, DeVonn          | Lives in Concord                                   | Concord                  |
| 19. Community Member   | Ramirez, Leonard        | Lives in Concord                                   | Concord                  |
| 20. Community Member   | Roche-Greene, Dominique | Lives/Works in Richmond/Works for City of Richmond | Richmond                 |
| 21. Community Member   | Wardley, Erma           | Lives in Pinole                                    | Pinole                   |
| 22. Community Member   | Warner, Carry           | Lives in Concord                                   | Concord                  |
| 23. Community Member   | Young, Patt             | Lives in Pittsburg                                 | Pittsburg                |
| 1. CoC/ESG Grantee     | Gleason, Susan (Leslie) | Shelter, Inc.                                      | Oakland                  |
| 2. CoC/ESG Grantee     | Ucciferri, Tony         | Housing Authority of County of Contra Costa        | Concord                  |
| 1. EHSD Representative | Lee, Lashanna           | Adult Protective Services Social Worker            | Dublin                   |
| 2. EHSD Representative | Peralta, Sherry Lynn    | Division Manager                                   | Hercules                 |

## 2019 COH Seat Applications

|                                   |                   |  |             |
|-----------------------------------|-------------------|--|-------------|
| 1. Faith Community Representative | Kinney, Richard   | Apostle City Ministries                                  | San Pablo   |
| 2. Faith Community Representative | Leich, Doug       | Multi-Faith ACTION Coalition                             | Danville    |
| 3. Faith Community Representative | Proctor, Vicki    | Extended Hands Ministry                                  | Antioch     |
| 4. Faith Community Representative | Smith, Frances    | N/A  | Richmond    |
| 5. Faith Community Representative | Wells, Robin      | Lafayette United Methodist Church                        | Lafayette   |
| 1. Health Care                    | Arredondo, Manuel | La Clinica De La Raza<br>(LCSW, MPH)                     | El Cerrito  |
| 2. Health Care                    | Blue, Richard     | CCRMC<br>(LVN)   | Martinez    |
| 3. Health Care                    | Lougee, Mariel    | Healthcare for the Homeless (M.D.)                       | Oakland     |
| 4. Health Care                    | May, Leslie       | Mental Health Commission (Masters in Health Care admin)  | Antioch     |
| 5. Health Care                    | Noy, Mariana      | Contra Costa Health Services: CCRMC and Clinics<br>(MSW) | San Leandro |
| 6. Health Care                    | Proctor, Vicki    | Brightstar Care<br>(CNA)                                 | Antioch     |
| 7. Health Care                    | Raulston, Erika   | Swords to Plowshares<br>(Nursing student)                | Antioch     |
| 1. Public Housing Authority       | Smargiasso, Bruce | Pittsburg Housing Authority                              | Pittsburg   |
| 2. Public Housing Authority       | Ucciferri, Tony   | Housing Authority of County of Contra Costa              | Concord     |
| 1. Misc                           | Green, Rodney     | Former Brookside Resident                                |             |



## Council on Homelessness Roster 2019

The Contra Costa Council on Homelessness (Council on Homelessness) is appointed by the Contra Costa County Board of Supervisors to assist and provide guidance in the development and implementation of long range planning and policy formulation that addresses homeless issues in Contra Costa County. The Council on Homelessness provides a forum for communication and coordination of the County's Strategic Plan to End Homelessness; educate the community on homeless issues, allocate federal HUD Homeless Assistance funding to providers, and advocate on federal, state and local policy issues affecting people who are homeless or at-risk of homelessness. Council on Homelessness members are appointed and serve two year terms.

|     | Seat Name   | Appointee                   | Affiliation  | City of Residence | Term Expiration |
|-----|---|-----------------------------|--|-------------------|-----------------|
| 1.  | Affordable Housing Developer                        | Dan Sawislak                | Executive Director, Resources for Community Development                                  | Berkeley          | 12/31/20        |
| 2.  | Behavioral Health Representative                    | Miguel Hidalgo-Barnes, PsyD | Program Manger, Hume Center  | Richmond          | 12/31/20        |
| 3.  | City Government Seat                                | Teri House                  | CDBG Consultant, City of Antioch   | Pittsburg         | 12/31/20        |
| 4.  | CoC/ESG Program Grantee                             | Leslie Gleason              | Director of Programs, Shelter, Inc.  | Oakland           | 12/31/21        |
| 5.  | Community Member Seat                               | Lindy Lavender              | Community Affairs Representative, Central San  | Pacheco           | 12/31/21        |
| 6.  | Consumer/Consumer Advocate                          | Candace Collier             | Former Consumer, Contra Costa Health Services  | Antioch           | 12/31/20        |
| 7.  | Education and Vocational Services Representative    | Alejandra Chamberlain       | Homeless Education Liaison, Contra Costa Office of Education                             | Pleasant Hill     | 12/31/20        |
| 8.  | Emergency Solutions Grants Representative           | Gabriel Lemus               | Contra Costa Department of Conservation and Development                                  | Martinez          | 12/31/20        |
| 9.  | Employment and Human Services (EHSD) Representative | Sherry Lynn Peralta         | Program Director, Employment and Human Services Department                               | Hercules          | 12/31/21        |
| 10. | Faith Community Representative                      | Doug Leich                  | Multi-Faith ACTION Coalition   | Danville          | 12/31/21        |
| 11. | Health Care Representative                          | Manuel Arredondo, LCSW, MPH | Supervisor of Integrated Behavioral Health, La Clinica De La Raza                        | El Cerrito        | 12/31/21        |
| 12. | Homeless Service Provider                           | Deanne Pearn                | Executive Director, Contra Costa Interfaith Housing                                      | Moraga            | 12/31/20        |
| 13. | Public Housing Authority                            | Tony Ucciferri              | Special Assistant to the Executive Director, Housing Authority of County of Contra Costa | Concord           | 12/31/21        |
| 14. | Public Safety Representative #1                     | Bradley Lindblom            | Sergeant, San Pablo Police Department  | San Pablo         | 12/31/19        |
| 15. | Public Safety Representative #2                     | Manjit Sappal               | Chief, Martinez Police Department  | Martinez          | 12/31/20        |
| 16. | Reentry Services Representative                     | Patrice Guillory            | Network Manager, Healthright 360   | Antioch           | 12/31/19        |
| 17. | Veterans Administration Representative              | Tracy Pullar                | Homeless Program Manger, U.S. Department of Veterans Affairs                             | Martinez          | 12/31/20        |

Rev. 12.14.18





**Contra  
Costa  
County**

To: Board of Supervisors  
From: David Twa, County Administrator  
Date: March 12, 2019

Subject: Appoint Matthew Slattengren to Agricultural Commissioner/Sealer of Weights and Measures

---

**RECOMMENDATION(S):**

APPOINT Matthew Slattengren to the position of Agricultural Commissioner/Sealer of Weights and Measures at Step 1 of the salary range effective March 13, 2019. All other benefits as provided in the current Management Resolution applicable to the position of Agricultural Commissioner/Sealer of Weights and Measures.

**FISCAL IMPACT:**

The position is currently budgeted. No increased costs result from this action.

**BACKGROUND:**

For a period of time, Contra Costa County shared the Alameda Agricultural Commissioner/Sealer of Weights and Measures position. When that individual took a position in another county, Contra Costa County engaged the services of Scott Paulsen on an interim basis.

The County retained Teri Black & Company, LLC, to recruit for a new Agricultural Commissioner/ Sealer of Weights and Measures. The job announcement was advertised with the California Agricultural Commissioners and Sealers Association, [californiacountynews.org](http://californiacountynews.org), California State Association of

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Dianne Dinsmore, (925) 335-1766

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Robert Campbell, Auditor-Controller, Dianne Dinsmore, Human Resources Director

BACKGROUND: (CONT'D)

Counties, Jobs Available, Contra Costa County career website, and Teri Black & Company career portal. Job announcement brochures were mailed out to 105 potential candidates. In addition, Teri Black & Company did direct outreach to encourage qualified individuals to consider the opportunity. This position is unique in that there are a very small pool of individuals that possess all of the required certifications and licenses. We were fortunate to have a total of six candidates. Teri Black & Company screened applicants and five semi-finalists were forwarded to the County Selection Committee comprised of Scott Paulsen, Interim Agricultural Commissioner/ Sealer of Weights and Measures, Jesse Cuevas, Assistant Director for Pesticide Programs with the State of California, and Nancy Yee, Executive Assistant to the County Administrator. Interviews were held on Wednesday, January 9, 2019. Following those interviews, Chief Assistant County Administrator Eric Angstadt, interviewed the finalists forwarded by the selection committee and selected Matt Slattengren for the position.

Mr. Slattengren is a native of Contra Costa County. He earned his Bachelor of Science in Agricultural and Managerial Economics at University of California at Davis. He joined Contra Costa County in 2002 as an Agricultural Biologist/Weights and Measures Inspector. He promoted to Deputy Agricultural Commissioner in November 2012 and to Assistant Agricultural Commissioner/Sealer of Weights and Measures in December 2013. Given his years of service, he is familiar with the strengths and weaknesses of his department and has developed relationships with our partners throughout the County, region and state.

I am recommending Matthew Slattengren be appointed Agricultural Commissioner/Sealer of Weights and Measures at Step 1 of the salary range effective March 13, 2019.

CONSEQUENCE OF NEGATIVE ACTION:

The Agricultural Commissioner/Sealer of Weights and Measures position will remain vacant.



Contra  
Costa  
County

To: Board of Supervisors  
From: Diane Burgis, District III Supervisor  
Date: March 12, 2019

Subject: REAPPOINTMENT TO THE DISCOVERY BAY P-6 CITIZEN ADVISORY COMMITTEE

---

**RECOMMENDATION(S):**

REAPPOINT Bob Mankin, Appointee 2 to the Discovery Bay P-6 Citizen Advisory Committee to a term expiring December 31, 2020, as recommended by Supervisor Diane Burgis.

**FISCAL IMPACT:**

None.

**BACKGROUND:**

The term for this seat expired December 31, 2018. Applications were accepted and the recommendation to reappoint the above individual was then determined.

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Lea Castleberry  
925-252-4500

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:



Contra  
Costa  
County

To: Board of Supervisors  
From: Diane Burgis, District III Supervisor  
Date: March 12, 2019

Subject: REAPPOINTMENT TO THE BYRON-BRENTWOOD-KNIGHTSEN UNION CEMETERY DISTRICT

---

**RECOMMENDATION(S):**

REAPPOINT Sharon Marsh, Trustee 1 to the Byron-Brentwood-Knightsen Union Cemetery District to a term expiring December 31, 2022, as recommended by Supervisor Diane Burgis.

**FISCAL IMPACT:**

None.

**BACKGROUND:**

The term for this expired December 31, 2018. Applications were accepted and the recommendation to reappoint the above individual was then determined.

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Lea Castleberry  
925-252-4500

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:



Contra  
Costa  
County

To: Board of Supervisors  
From: Diane Burgis, District III Supervisor  
Date: March 12, 2019

Subject: REAPPOINTMENT TO THE COUNTYWIDE BICYCLE COMMITTEE

---

**RECOMMENDATION(S):**

REAPPOINT, Alvin G. Lim to the District 3 seat on the Countywide Bicycle Advisory Committee to a term expiring December 31, 2020, as recommended by Supervisor Diane Burgis.

**FISCAL IMPACT:**

None.

**BACKGROUND:**

The Committee provides input to the County and the cities of the County on bicycle projects for Transportation Development Act (TDA) funds to construct bicycle/pedestrian projects and also provide advice to cities and the County on bicycle planning matters.

The term for this seat expired December 31, 2018. Applications were accepted and the recommendation to reappoint the above individual was then determined.

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Lea Castleberry  
925-252-4500

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:





Contra  
Costa  
County

To: Board of Supervisors  
From: Diane Burgis, District III Supervisor  
Date: March 12, 2019

Subject: REAPPOINTMENT TO TRI-DELTA TRANSIT AUTHORITY BOARD OF DIRECTORS

---

**RECOMMENDATION(S):**

REAPPOINT Michael Daugelli to the Member 2 Alternate seat on the Tri-Delta Transit Authority Board of Directors to a term expiring December 31, 2020, as recommended by Supervisor Diane Burgis.

**FISCAL IMPACT:**

None.

**BACKGROUND:**

Tri-Delta Transit was established to own, operate and maintain a public transit system in an effort to meet public transportation needs in Eastern Contra Costa County.

The term for this seat expired December 31, 2018.

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Lea Castleberry  
925-252-4500

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:



Contra  
Costa  
County

To: Board of Supervisors  
From: Diane Burgis, District III Supervisor  
Date: March 12, 2019

Subject: VACANCY ON MENTAL HEALTH COMMISSION

---

**RECOMMENDATION(S):**

DECLARE vacant the District 3 Family Member seat on the Mental Health Commission previously held by Julie Ann Neward due to resignation, and DIRECT the Clerk of the Board to post the vacancy, as recommended by Supervisor Diane Burgis.

**FISCAL IMPACT:**

None.

**BACKGROUND:**

Ms. Neward notified the District Office of her resignation to the Mental Health Commission effective immediately.

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

David J. Twa, County Administrator and Clerk of the Board of Supervisors

Contact: Lea Castleberry  
925-252-4500

By: , Deputy

cc:



Contra  
Costa  
County

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Medical Staff Appointments and Reappointments – February 25, 2019

---

**RECOMMENDATION(S):**

APPROVE the medical staff appointments and reappointments, additional privileges, advancements, and voluntary resignations as recommend by the Medical Staff Executive Committee, at their February 25, 2019 meeting, and by the Health Services Director.

**FISCAL IMPACT:**

Not applicable.

**BACKGROUND:**

The Joint Commission on Accreditation of Healthcare Organizations has requested that evidence of Board of Supervisors approval for each Medical Staff member will be placed in his or her Credentials File. The above recommendations for appointment/reappointment were reviewed by the Credentials Committee and approved by the Medical Executive Committee.

**CONSEQUENCE OF NEGATIVE ACTION:**

If this action is not approved, the Contra Costa Regional Medical Center and Contra Costa Health Centers' medical staff would not be appropriately credentialed and not be in compliance with The Joint Commission on Accreditation of Healthcare Organizations.

- 
- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Japreet Benepal,  
925-370-5101

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Marcy Wilhelm, James Ham

ATTACHMENTS

Attachment

**A. New Medical Staff Members**

|                          |                       |
|--------------------------|-----------------------|
| Brattie, Rachel, DO      | Psychiatry/Psychology |
| Chu Fantini, Eveline, MD | DFAM                  |
| Clark, Christine, MD     | Psychiatry/Psychology |
| Javid, Rihan, DO         | Psychiatry/Psychology |

**B. Application for Staff Affiliation**

|                        |        |
|------------------------|--------|
| Scafidi, Jennifer, CNM | OB/GYN |
|------------------------|--------|

**C. Request for Additional Privileges**

|                      |        |
|----------------------|--------|
| Wright, Courtney, MD | OB/GYN |
|----------------------|--------|

**D. Advance to Non-Provisional**

|                         |                               |
|-------------------------|-------------------------------|
| Ayala, Gladys, MD       | Internal Medicine-Gastro      |
| Cheng, Jennifer, DO     | Internal Medicine-Infec. Dis. |
| Cruz, Richelle, MD      | DFAM                          |
| Nguyen, Michael, MD     | DFAM                          |
| Samplin, Erin, MD       | Psychiatry/Psychology         |
| Swarzenski, Barbara, MD | Psychiatry/Psychology         |
| Terry, Jonathan, DO     | Psychiatry/Psychology         |
| Witten, Elizabeth, CNM  | OB/GYN                        |

**E. Biennial Reappointments**

|                           |                       |   |
|---------------------------|-----------------------|---|
| Asuncion, Gloria, MD      | Pathology             | C |
| Beaton, Melina, MD        | DFAM                  | A |
| Berlingieri, William, MD  | Psychiatry/Psychology | A |
| Blaisch, Brian, MD        | Pediatrics            | A |
| Borenstein, Yehonatan, MD | Psychiatry/Psychology | A |
| Chin, Joanna, MD          | Pediatrics            | A |
| D'Souza, Preeti, DDS      | Dental                | A |
| Echiverri, Angela, MD     | DFAM                  | A |
| Espinoza, Alex, MD        | Pediatrics            | C |
| Etwaru, Gupta, MD         | Surgery-Ophthalmology | A |
| Forman, Stuart, MD        | Critical Care         | A |
| Gorodetsky, Alexander, MD | Psychiatry/Psychology | C |
| Hamilton, Gwendolyn, MD   | Pediatrics            | A |
| Ho, Viet, MD              | Surgery-Ophthalmology | C |
| Hoffman, Micah, MD        | Psychiatry/Psychology | A |
| Ibrahim, Fayaz, MD        | Psychiatry/Psychology | A |
| Kuri, Mauricio, MD        | Surgery-Plastics      | A |
| Lee, David, MD            | DFAM                  | A |
| Lee, Jessica, MD          | Psychiatry/Psychology | C |

|                            |                                   |   |
|----------------------------|-----------------------------------|---|
| Madrigal, Teresa, MD       | DFAM                              | A |
| Malik, Bhavna, MD          | Internal Medicine- Infec. Disease | C |
| McDonald, Thomas, MD       | Surgery-Ophthalmology             | A |
| Meyer, George, MD          | Internal Medicine-Gastro          | C |
| Pinto, Natasha, MD         | DFAM                              | A |
| Price, Michael, MD         | Diagnostic Imaging                | C |
| Raphael, Deborah, MD       | Psychiatry/Psychology             | C |
| Rasool Vali, Zulfikar, MD  | Psychiatry/Psychology             | A |
| Sharma, Vinod, MD          | Psychiatry/Psychology             | A |
| Siddiqui, Zakaria, MD      | Psychiatry/Psychology             | C |
| Stromberg, Lynette, MD     | DFAM                              | A |
| Torres-Collazo, Victor, MD | Psychiatry/Psychology             | A |
| Tsou, Michelle, DPM        | Surgery-Podiatry                  | C |
| Vahabzadeh, Arshya, MD     | Psychiatry/Psychology             | C |
| Wang, Lisa, MD             | Psychiatry/Psychology             | A |
| White, Matthew, MD         | Psychiatry/Psychology             | A |

**F. Biennial Renew of Privileges**

|                   |         |     |
|-------------------|---------|-----|
| Miller, Laura, NP | DFAM    | AFF |
| Rosett, Debra, NP | Surgery | AFF |

**G. Teleradiologist (VRAD) Reappointments**

|                   |                           |
|-------------------|---------------------------|
| Conley, Diane, MD | Diagnostic Imaging (vRad) |
| Fassihi, Amir, MD | Diagnostic Imaging (vRad) |

**H. Voluntary Resignations**

|                       |                           |
|-----------------------|---------------------------|
| Aikawa, Taro, MD      | Diagnostic Imaging (vRad) |
| Ashbrook, Cynthia, MD | DFAM                      |
| Bondi-Boyd, Brea, MD  | DFAM                      |
| Brody, David, MD      | Psychiatry/Psychology     |
| Davae, Ummee, DO      | Psychiatry/Psychology     |
| Downing, Michael, MD  | DFAM                      |
| Gupta, Mamta, MD      | Diagnostic Imaging (vRad) |
| Hill, Patricia, NP    | DFAM                      |
| Khanna, Arati, MD     | Diagnostic Imaging (vRad) |
| Nair, Sandhya, MD     | Psychiatry/Psychology     |
| Park, Susan, MD       | Diagnostic Imaging (vRad) |
| Ramas, Carla, MD      | Diagnostic Imaging (vRad) |



Contra  
Costa  
County

To: Board of Supervisors  
From: Russell Watts, Treasurer-Tax Collector  
Date: March 12, 2019

Subject: DECLARE A VACANCY ON THE TREASURY OVERSIGHT COMMITTEE

---

**RECOMMENDATION(S):**

ACCEPT the resignation of Daniel Heinrich, DECLARE vacancy in the Public Representative seat on the Treasury Oversight Committee, and DIRECT the Clerk of the Board to post the vacancy.

**FISCAL IMPACT:**

No fiscal impact.

**BACKGROUND:**

The purpose of the Treasury Oversight Committee is to review the County's investment policy, regularly monitor the County Investment Pool's performance, and report on the pool's performance to the Board of Supervisors. The membership of seven comprises: (1) One representative (or Member) appointed by the Board of Supervisors; (2) The County Superintendent of Schools, or his or her designee; (3) One representative selected by a majority of the presiding officers of the governing bodies of the school districts and the community college district in the County; (4) One representative selected by a majority of the presiding officers of the legislative bodies of the special districts in the County that are required or authorized to deposit funds in the County Treasury; and (5-7) three members of the public, a majority of whom shall have expertise in, or

- 
- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Ronda Boler, (925)  
957-2806

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

an academic background in, public finance and who shall be economically diverse and bipartisan in political registration. (May have no fewer than three nor more than 11 members, additional restrictions are on members).

Daniel Heinrich, who held one of the three Public Representative seats on the Treasury Oversight Committee since 2012, has notified our office of his decision to step down effective immediately. The term of his seat ends April 30, 2020. A new nomination to finish out Mr. Heinrich's term will be brought to the Board's Internal Operations Committee for review and recommendation to the Board of Supervisors.

CONSEQUENCE OF NEGATIVE ACTION:

Failure to declare the vacancy before making a new appointment will cause the County to be out of compliance with State law.





**Contra  
Costa  
County**

To: Board of Supervisors  
From: David Twa, County Administrator  
Date: March 12, 2019

Subject: Appointment to Association of Bay Area Governments and Metropolitan Transportation Commission, CASA  
Legislative Task Force

---

**RECOMMENDATION(S):**

APPOINT Supervisor John M. Gioia (District 1) of the Contra Costa County Board of Supervisors to serve on the Association of Bay Area Governments and Metropolitan Transportation Commission, CASA Legislative Task Force, as recommended by Supervisor Gioia.

**FISCAL IMPACT:**

None

**BACKGROUND:**

ABAG and MTC are leading the effort to bring together a blue-ribbon panel of local elected officials, housing experts, and leaders from across the Bay Area who worked together for almost two years to build an actionable consensus around (1) increasing housing production at all levels of affordability, (2) preserving existing affordable housing, and (3) protecting vulnerable populations from housing instability and displacement. This group is named CASA, the Committee to House the Bay Area.

The ABAG Executive Board directed the formation of the CASA task force of elected officials from Bay Area cities and counties to follow, become informed and to engage in the legislative process that is essential

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APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Jami Napier,  
335-1908

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

for the CASA compact to be implemented.

Each county's Boards of Supervisors is invited to appoint one representative. To encourage the presence of new perspectives on the Task Force, nominating bodies are encouraged to appoint members who are not currently serving on the ABAG Executive Board or on MTC. Currently, Supervisor Glover is the County's representative on MTC, and Supervisors Andersen and Mitchoff are the County's regular members on the ABAG Executive Board.

CONSEQUENCE OF NEGATIVE ACTION:

Contra Costa County will not have representation on the CASA Legislative Task Force.

ATTACHMENTS

CASA Legislative Task Force letter 2\_28\_19



METROPOLITAN  
TRANSPORTATION  
COMMISSION

Bay Area Metro Center  
375 Beale Street, Suite 800  
San Francisco, CA 94105  
415.778.6700



Association of  
Bay Area Governments

Bay Area Metro Center  
375 Beale Street, Suite 700  
San Francisco, CA 94105  
415.820.7900

February 28, 2019

Dear Bay Area Local Elected Officials,

The Bay Area faces many pressing regional challenges such as crowded roadways and public transit, the lingering threat of earthquakes and raising tides, to name a few. But the housing shortage has reached crisis proportions. During our remarkable run of economic expansion since the Great Recession ended in 2010, the Bay Area has added 722,000 jobs but constructed only 106,000 housing units. With housing supply and demand that far out of whack, home prices and rental costs have shot through the roof and a wide swath of our fellow Bay Area residents from long-time residents, newcomers and young people are suffering. As well, more people are being forced to move further away in search of affordability and endure crushing long-distance commutes that also exacerbates congestion and GHG production.

The traffic and housing challenge is well known to you. Perhaps less well known is the effort led by ABAG and MTC to bring together a blue-ribbon panel of local elected officials, housing experts, and leaders from across the Bay Area who worked together for almost two years to build an actionable consensus around (1) increasing housing production at all levels of affordability, (2) preserving existing affordable housing, and (3) protecting vulnerable populations from housing instability and displacement.

This group named itself CASA, the Committee to House the Bay Area and the compact the group committed to each other can be found online at <https://mtc.ca.gov/casa>

Both the Metropolitan Transportation Commission and the ABAG Executive Board have authorized their respective Chair and President to sign the compact. In addition, the ABAG Executive Board directed the formation of a task force of elected officials from Bay Area cities and counties to follow, become informed and to engage in the legislative process that is essential for the CASA compact to be implemented. Similarly, MTC's CASA motion included direction to staff to do additional outreach to local elected officials and engage with them during the legislative process.

Accordingly, on behalf of MTC and ABAG, we respectfully invite each county's Boards of Supervisors to appoint one representative and each county's City Selection Committee to appoint two representatives to serve on the CASA Legislative Task Force (Task Force).

To encourage the presence of new perspectives on the Task Force, nominating bodies are encouraged to appoint members who are not currently serving on the ABAG Executive Board or on MTC. The participation of elected officials from a wide range of city sizes is encouraged as well. The Task Force will be chaired by ABAG with a member of MTC serving as the Vice Chair, and each will have an appointment to the Task Force with a goal of creating a well-rounded committee. The Task Force will seek to meet monthly during the current state

legislative session to receive progress reports and to engage on CASA related legislation. This feedback will be relayed to the Joint ABAG/MTC Legislation Committee, the ABAG Executive Board and MTC. Meetings will be held at the Bay Area Metro Center, 375 Beale Street, San Francisco with telephone participation available. The Task Force is subject to the Brown Act. Task Force members are not eligible for per diem.

Please submit nominees via email to ABAG Clerk of the Board, Fred Castro, at [fcastro@bayareametro.gov](mailto:fcastro@bayareametro.gov) and note "CASA Task Force Nomination" in the subject line. Deadline for submitting nominees is March 14, 2019. Appointments to the Task Force will be ratified by the ABAG Executive Board and the Metropolitan Transportation Commission at their March meetings.

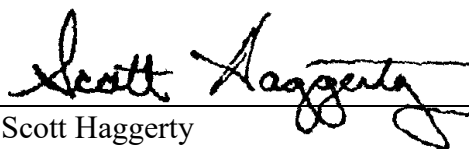
Should you have any questions, please contact Alix Bockelman, Deputy Executive Director of Policy, at [abockelman@bayareametro.gov](mailto:abockelman@bayareametro.gov).

Sincerely,



David Rabbitt  
President

Association of Bay Area Governments



Scott Haggerty  
Chair

Metropolitan Transportation Commission

Cc:

County of Alameda, Board of Supervisors  
County of Contra Costa, Board of Supervisors  
County of Marin, Board of Supervisors  
County of Napa, Board of Supervisors  
City and County of San Francisco, Board of Supervisors  
County of San Mateo, Board of Supervisors  
County of Santa Clara, Board of Supervisors  
County of Solano, Board of Supervisors  
County of Sonoma, Board of Supervisors

Alameda County Mayors Conference  
Contra Costa County Mayors Conference  
Marin County Council of Mayors and Councilmembers  
Napa County City Selection Committee  
City and County of San Francisco--Mayor  
San Mateo County City Selection Committee  
Cities Association of Santa Clara County  
Solano County City Selection Committee  
Sonoma County City Selection Committee



Contra  
Costa  
County

To: Board of Supervisors  
From: John Kopchik, Director, Conservation & Development Department  
Date: March 12, 2019

Subject: Declare a Vacancy on the Sustainability Commission

---

**RECOMMENDATION(S):**

ACCEPT the resignation of Kathy Cutting, DECLARE a vacancy in the Business Group #1 seat on the Sustainability Commission, and DIRECT the Clerk of the Board to post the vacancy.

**FISCAL IMPACT:**

None.

**BACKGROUND:**

On March 14, 2017, the Board of Supervisors appointed Kathy Cutting to the Business Group #1 seat on the County's Sustainability Commission. On February 27, 2018, Ms. Cutting notified the County Sustainability Coordinator that she is no longer able to serve on the Sustainability Commission. Consistent with the Sustainability Commission Bylaws, the Sustainability Coordinator shared this information with the Clerk of the Board and the Chair of the Sustainability Commission.

The term of the Business Group #1 seat expires on March 31, 2021. Consistent with the Sustainability Commission Bylaws, "A vacancy during the term of any member will be filled by the Board of Supervisors for the remainder of the then-current term."

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Jody London,  
925-674-7871

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

CONSEQUENCE OF NEGATIVE ACTION:

Failure to fill the vacancy limits the ability of the Sustainability Commission to fulfill its purpose.



**Contra  
Costa  
County**

To: Board of Supervisors  
From: John Gioia, District I Supervisor  
Date: March 12, 2019

Subject: RECOMMENDATION FOR APPOINTMENT OF A VICE CHAIR TO THE 2020 CENSUS COMPLETE COUNT STEERING COMMITTEE

---

**RECOMMENDATION(S):**

APPOINT District II Supervisor Candace Andersen as Vice Chair of the 2020 Census Complete Count Steering Committee.

**FISCAL IMPACT:**

No fiscal impact.

**BACKGROUND:**

The Board of Supervisors, on December 18, 2018, authorized the establishment of a Complete Count Steering Committee to guide the County's 2020 Census outreach effort. The charge of the Committee is to:

- Set clear, achievable goals and objectives;

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Jami Napier,  
335-1908

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

## BACKGROUND: (CONT'D)

- Identify areas of the community that may need extra outreach efforts, either a geographical area or a population group that might be hard to count;
- Use a “grassroots” approach to working with community-based organizations and groups who have direct contact with households who may be hard to count;
- Customize promotional materials for local area;
- Implement special events;
- Build awareness of the census and its benefits and motivate response through social media, newsletters, and other communications;
- Support and complete these tasks through the work of regional sub-committees in Central County, East County, South County, and West County;
- Develop a proposed Census 2020 budget for consideration by the Board of Supervisors by March 26, 2019.

The Complete Count Steering Committee is an *ad hoc* committee created for the limited duration of the 2020 Census effort. The Board appointed Supervisor Diane Burgis to chair the Steering Committee and to make recommendations to the Board on the Committee’s composition. The Committee will be composed of 15 members, including the Chair. Of these, 5 will be appointed representatives from each Supervisorial District, and 9 will be at-large members, all appointed by the Board of Supervisors. The 9 At-Large representatives are being recommended by the Internal Operations Committee in a separate action today. This action concerns the 5 Supervisorial District representatives.

## CONSEQUENCE OF NEGATIVE ACTION:

Due to the condensed start-up schedule and the need to develop a strategic plan and budget for Board adoption no later than April 9, any delay in appointing the Vice Chair of the Steering Committee may jeopardize the County's ability to secure up to \$362,605 in State funding to support the Complete Count effort.





**Contra  
Costa  
County**

To: Board of Supervisors  
From: David O. Livingston, Sheriff-Coroner  
Date: March 12, 2019

Subject: Transfer Appropriations to reassign six (6) Deputy Sheriff positions and incumbents, from Dept 0300 (CSB) to Dept 0255 (FOB)

---

**RECOMMENDATION(S):**

Approve Appropriation Adjustment No.5026 authorizing the transfer of appropriations in the amount of \$747,705 from Sheriff's Custody Services Bureau (0300) to Sheriff's Field Operations Bureau to reassign six (6) Deputy Sheriff-40 Hour (6XWA) (represented) positions.

**FISCAL IMPACT:**

This action increases appropriations in the Sheriff's Field Operations Bureau (0255) and decreases appropriations in the Sheriff's Custody Services Bureau (0300). No change in Net County Cost.

**BACKGROUND:**

The Office of the Sheriff is requesting to reassign Deputy Sheriff positions #2780, #2822, #2969, #3051, #3190, and #3261 and incumbents, from the Custody Services Bureau to the Field Operations Bureau in order to fulfill a staffing need in our Patrol Division to be a more responsive, effective and efficient organization.

**CONSEQUENCE OF NEGATIVE ACTION:**

Failure to approve the request would impede the organization structure of the Office of the Sheriff.

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Liz Arbuckle,  
335-1529

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Liz Arbuckle, Heike Anderson, Paul Reyes

ATTACHMENTS

TC24/27 5026

# 35771

CONTRA COSTA COUNTY  
 APPROPRIATION ADJUSTMENT  
 T/C 27

AUDITOR-CONTROLLER USE ONLY

FINAL APPROVAL NEEDED BY:

- BOARD OF SUPERVISORS  
 COUNTY ADMINISTRATOR

| ACCOUNT CODING |                         | BUDGET UNIT: Sheriff's Office FOB (0255) Sheriff's Office CSB (0300) |            |    |            |
|----------------|-------------------------|--|------------|----|------------|
| ORGANIZATION   | EXPENDITURE SUB-ACCOUNT | EXPENDITURE ACCOUNT DESCRIPTION                                      | <DECREASE> |    | INCREASE   |
| 2578           | 1011                    | PERMANENT SALARIES   | 308,968    | 00 |            |
| 2578           | 1044                    | RETIREMENT EXPENSE   | 232,729    | 00 |            |
| 2578           | 1060                    | EMPLOYEE GROUP INSURANCE   | 35,407     | 00 |            |
| 2578           | 1042                    | F.I.C.A.   | 4,471      | 00 |            |
| 2578           | 1063                    | UNEMPLOYMENT INSURANCE   | 155        | 00 |            |
| 2578           | 1070                    | WORKERS COMPENSATION INS   | 17,760     | 00 |            |
| 2590           | 1011                    | PERMANENT SALARIES   | 74,849     | 00 |            |
| 2590           | 1044                    | RETIREMENT EXPENSE   | 61,833     | 00 |            |
| 2590           | 1060                    | EMPLOYEE GROUP INSURANCE   | 6,099      | 00 |            |
| 2590           | 1042                    | F.I.C.A.   | 1,085      | 00 |            |
| 2590           | 1063                    | UNEMPLOYMENT INSURANCE   | 37         | 00 |            |
| 2590           | 1070                    | WORKERS COMPENSATION INS   | 4,312      | 00 |            |
| 2505           | 1011                    | PERMANENT SALARIES   |            |    | 383,817 00 |
| 2505           | 1044                    | RETIREMENT EXPENSE   |            |    | 294,562 00 |
| 2505           | 1060                    | EMPLOYEE GROUP INSURANCE   |            |    | 41,506 00  |
| 2505           | 1042                    | F.I.C.A.   |            |    | 5,556 00   |
| 2505           | 1063                    | UNEMPLOYMENT INSURANCE   |            |    | 192 00     |
| 2505           | 1070                    | WORKERS COMPENSATION INS   |            |    | 22,072 00  |
| TOTALS         |                         |  | 747,705    | 00 | 747,705 00 |

APPROVED

EXPLANATION OF REQUEST

AUDITOR-CONTROLLER:

BY: [Signature] DATE 11/2/18

To move appropriations from CSB (0300) to FOB (0255) for 8 mo.

Deputies: Maka, Hume, Hughes, Otico, Mazza, Sevier

COUNTY ADMINISTRATOR:

BY: [Signature] DATE 3/6/19

BOARD OF SUPERVISORS:

YES:

NO:

BY: \_\_\_\_\_ DATE \_\_\_\_\_

[Signature]

Fiscal Officer

10/31/2018

SIGNATURE

TITLE

DATE

APPROPRIATION APOO 5026

ADJ. JOURNAL NO.

AUDITOR-CONTROLLER  
 2018 NOV -1 P 3:44



**Contra  
Costa  
County**

To: Board of Supervisors  
From: David O. Livingston, Sheriff-Coroner  
Date: March 12, 2019

Subject: Transfer Appropriations to reassign two (2) Sergeant positions and incumbents, from Dept 0255 (FOB) to Dept 0300 (CSB)

---

**RECOMMENDATION(S):**

Approve Appropriation Adjustment No. 5027 authorizing the transfer of appropriations in the amount of \$366,059 from Sheriff's Field Operations Bureau (0255) to Sheriff's Custody Services Bureau (0300) to reassign two (2) Sergeant (6XTA) (represented) positions.

**FISCAL IMPACT:**

This action increases appropriations in the Sheriff's Custody Services Bureau (0300) and decreases appropriations in the Sheriff's Field Operations Bureau (0255). No change in Net County Cost.

**BACKGROUND:**

The Office of the Sheriff is requesting to reassign Sergeant positions #3300 and #3311 and incumbents from the Field Operations Bureau to the Custody Services Bureau in order to fulfill a staffing need in our Detention Division to be a more responsive, effective and efficient organization.

**CONSEQUENCE OF NEGATIVE ACTION:**

Failure to approve the request would impede the organization structure of the Office of the Sheriff.

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Liz Arbuckle,  
335-1529

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Liz Arbuckle, Heike Anderson, Paul Reyes

ATTACHMENTS

TC27 5027

# 35773

CONTRA COSTA COUNTY  
APPROPRIATION ADJUSTMENT  
T/C 27

AUDITOR-CONTROLLER USE ONLY  
FINAL APPROVAL NEEDED BY:  
 BOARD OF SUPERVISORS  
 COUNTY ADMINISTRATOR

| ACCOUNT CODING |                         | BUDGET UNIT: Sheriff's Office FOB (0255) Sheriff's Office CSB (0300) |            |    |            |
|----------------|-------------------------|--|------------|----|------------|
| ORGANIZATION   | EXPENDITURE SUB-ACCOUNT | EXPENDITURE ACCOUNT DESCRIPTION                                      | <DECREASE> |    | INCREASE   |
| 2505           | 1011                    | PERMANENT SALARIES   | 86,087     | 00 |            |
| 2505           | 1044                    | RETIREMENT EXPENSE   | 70,610     | 00 |            |
| 2505           | 1060                    | EMPLOYEE GROUP INSURANCE   | 14,079     | 00 |            |
| 2505           | 1042                    | F.I.C.A.   | 1,239      | 00 |            |
| 2505           | 1063                    | UNEMPLOYMENT INSURANCE   | 43         | 00 |            |
| 2505           | 1070                    | WORKERS COMPENSATION INS   | 4,923      | 00 |            |
| 2535           | 1011                    | PERMANENT SALARIES   | 94,433     | 00 |            |
| 2535           | 1044                    | RETIREMENT EXPENSE   | 82,021     | 00 |            |
| 2535           | 1060                    | EMPLOYEE GROUP INSURANCE   | 5,767      | 00 |            |
| 2535           | 1042                    | F.I.C.A.   | 1,370      | 00 |            |
| 2535           | 1063                    | UNEMPLOYMENT INSURANCE   | 47         | 00 |            |
| 2535           | 1070                    | WORKERS COMPENSATION INS   | 5,440      | 00 |            |
| 2578           | 1011                    | PERMANENT SALARIES   |            |    | 180,520 00 |
| 2578           | 1044                    | RETIREMENT EXPENSE   |            |    | 152,631 00 |
| 2578           | 1060                    | EMPLOYEE GROUP INSURANCE   |            |    | 19,846 00  |
| 2578           | 1042                    | F.I.C.A.   |            |    | 2,609 00   |
| 2578           | 1063                    | UNEMPLOYMENT INSURANCE   |            |    | 90 00      |
| 2578           | 1070                    | WORKERS COMPENSATION INS   |            |    | 10,363 00  |
| TOTALS         |                         |  | 366,059    | 00 | 366,059 00 |

APPROVED

AUDITOR-CONTROLLER:  
BY: [Signature] DATE 11/2/18

COUNTY ADMINISTRATOR:  
BY: [Signature] DATE 3/6/19

BOARD OF SUPERVISORS:

YES:

NO:

BY: \_\_\_\_\_ DATE \_\_\_\_\_

EXPLANATION OF REQUEST

To move appropriations from FOB (0255) to CSB (0300) for 8 mo.  
Sergeants: Lawson, Gibson

AUDITOR-CONTROLLER  
2018 NOV - 1 PM 3:44

Fiscal Officer [Signature] 10/31/2018  
SIGNATURE TITLE DATE

APPROPRIATION APOO 5027  
ADJ. JOURNAL NO.



**Contra  
Costa  
County**

To: Board of Supervisors  
From: David O. Livingston, Sheriff-Coroner  
Date: March 12, 2019

Subject: Position Adjustment to reassign six (6) Deputy Sheriff positions and incumbents, from Dept 0300 (Custody Services) to Dept 0255 (Field Operations)

---

**RECOMMENDATION(S):**

ADOPT Position Adjustment No. 22429 to reassign Deputy Sheriff-40 Hour (6XWA) (represented) positions #2969 and #3051 and incumbents, and vacant positions #3325, #3452, #14917 and #17150, from Department 0300 (Custody Services Bureau) to Department 0255 (Field Operations Bureau) in the Office of the Sheriff.

**FISCAL IMPACT:**

There will be no fiscal impact.

**BACKGROUND:**

The Office of the Sheriff is requesting to reassign Deputy Sheriff positions #2969 and #3051 and incumbents, and vacant positions #3325, #3452, #14917 and #17150, from the Custody Services Bureau to the Field Operations Bureau in order to fulfill a staffing need in our Patrol Division to be a more responsive, effective and efficient organization.

**CONSEQUENCE OF NEGATIVE ACTION:**

Failure to approve the request would impede the organizational structure of the Office of the Sheriff.

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Hallie Wachowiak,  
(925) 335-1552

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Robyn Hanson





ATTACHMENTS

P300 No. 22429

**POSITION ADJUSTMENT REQUEST**

NO. 22429  
DATE 2/7/2019

Department Office of the Sheriff

Department No./  
Budget Unit No. 255 Org No. 2505 Agency No. 25

Action Requested: Position adjustment to reassign six (6) Deputy Sheriff positions #2969 and #3051 and incumbents, and positions #3325, #3452, #14917 and #17150, from Department 300 (Custody Services Bureau) to Department 255 (Field Operations Bureau).

Proposed Effective Date: 3/1/2019

Classification Questionnaire attached: Yes  No  / Cost is within Department's budget: Yes  No

Total One-Time Costs (non-salary) associated with request: \$0.00

Estimated total cost adjustment (salary / benefits / one time):

Total annual cost \$0.00 Net County Cost \$0.00  
Total this FY \$0.00 N.C.C. this FY \$0.00

SOURCE OF FUNDING TO OFFSET ADJUSTMENT Office of the Sheriff's General Fund

Department must initiate necessary adjustment and submit to CAO.  
Use additional sheet for further explanations or comments.

Mary Jane Robb

\_\_\_\_\_  
(for) Department Head

REVIEWED BY CAO AND RELEASED TO HUMAN RESOURCES DEPARTMENT

Paul Reyes

2/12/2019

\_\_\_\_\_  
Deputy County Administrator

\_\_\_\_\_  
Date

HUMAN RESOURCES DEPARTMENT RECOMMENDATIONS

DATE 2/15/2019

Reassign Deputy Sheriff-40 Hour (6XWA) (represented) positions #2969 and #3051 and incumbents, and vacant positions #3325, #3452, #14917 and #17150, from Department 0300 (Custody Services Bureau) to Department 0255 (Field Operations Bureau).

Amend Resolution 71/17 establishing positions and resolutions allocating classes to the Basic / Exempt salary schedule.

Effective:  Day following Board Action.  
 \_\_\_\_\_(Date)

Tanya Williams

2/15/2019

\_\_\_\_\_  
(for) Director of Human Resources

\_\_\_\_\_  
Date

COUNTY ADMINISTRATOR RECOMMENDATION:

DATE \_\_\_\_\_

- Approve Recommendation of Director of Human Resources
- Disapprove Recommendation of Director of Human Resources
- Other: \_\_\_\_\_

\_\_\_\_\_  
(for) County Administrator

BOARD OF SUPERVISORS ACTION:

Adjustment is APPROVED  DISAPPROVED

David J. Twa, Clerk of the Board of Supervisors  
and County Administrator

DATE \_\_\_\_\_

BY \_\_\_\_\_

APPROVAL OF THIS ADJUSTMENT CONSTITUTES A PERSONNEL / SALARY RESOLUTION AMENDMENT

POSITION ADJUSTMENT ACTION TO BE COMPLETED BY HUMAN RESOURCES DEPARTMENT FOLLOWING BOARD ACTION

Adjust class(es) / position(s) as follows:

## REQUEST FOR PROJECT POSITIONS

Department 025

Date 2/15/2019

No. xxxxxx

1. Project Positions Requested:
  
2. Explain Specific Duties of Position(s)
  
3. Name / Purpose of Project and Funding Source (do not use acronyms i.e. SB40 Project or SDSS Funds)
  
4. Duration of the Project: Start Date \_\_\_\_\_ End Date \_\_\_\_\_  
Is funding for a specified period of time (i.e. 2 years) or on a year-to-year basis? Please explain.
  
5. Project Annual Cost
  - a. Salary & Benefits Costs: \_\_\_\_\_
  - b. Support Costs: \_\_\_\_\_  
(services, supplies, equipment, etc.)
  - c. Less revenue or expenditure: \_\_\_\_\_
  - d. Net cost to General or other fund: \_\_\_\_\_
  
6. Briefly explain the consequences of not filling the project position(s) in terms of:
  - a. potential future costs
  - b. legal implications
  - c. financial implications
  - d. political implications
  - e. organizational implications
  
7. Briefly describe the alternative approaches to delivering the services which you have considered. Indicate why these alternatives were not chosen.
  
8. Departments requesting new project positions must submit an updated cost benefit analysis of each project position at the halfway point of the project duration. This report is to be submitted to the Human Resources Department, which will forward the report to the Board of Supervisors. Indicate the date that your cost / benefit analysis will be submitted
  
9. How will the project position(s) be filled?
  - a. Competitive examination(s)
  - b. Existing employment list(s) Which one(s)? \_\_\_\_\_
  - c. Direct appointment of:
    1. Merit System employee who will be placed on leave from current job
    2. Non-County employee

Provide a justification if filling position(s) by C1 or C2

USE ADDITIONAL PAPER IF NECESSARY



Contra  
Costa  
County

To: Board of Supervisors  
From: David O. Livingston, Sheriff-Coroner  
Date: March 12, 2019

Subject: Position Adjustment to reassign two (2) Sergeant positions, from Dept 0255 (Field Operations Bureau) to 0300 (Custody Services Bureau)

---

**RECOMMENDATION(S):**

ADOPT Position Adjustment No. 22430 to reassign Sergeant (6XHA) (represented) vacant positions #2541 and #3311, from Department 0255 (Field Operations Bureau) to 0300 (Custody Services Bureau) in the Office of the Sheriff.

**FISCAL IMPACT:**

There will be no fiscal impact.

**BACKGROUND:**

The Office of the Sheriff is requesting to reassign Sergeant positions #2541 and #3311 from the Field Operations Bureau to the Custody Services Bureau in order to fulfill a staffing need in our Detention Division to be a more responsive, effective and efficient organization.

**CONSEQUENCE OF NEGATIVE ACTION:**

Failure to approve the request would impede the organizational structure of the Office of the Sheriff.

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Hallie Wachowiak,  
(925) 335-1552

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Robyn Hanson

ATTACHMENTS

P300 No. 22430

**POSITION ADJUSTMENT REQUEST**

NO. 22430  
DATE 2/7/2019

Department Office of the Sheriff Department No./  
Budget Unit No. 0300 Org No. 2580 Agency No. 25  
Action Requested: Position adjustment to reassign Sergeant (6XHA) (represented) positions #2541 and #3311, from Department 255 (Field Operations Bureau) to 300 (Custody Services Bureau)

Proposed Effective Date: 3/1/2019

Classification Questionnaire attached: Yes  No  / Cost is within Department's budget: Yes  No

Total One-Time Costs (non-salary) associated with request: \$0.00

Estimated total cost adjustment (salary / benefits / one time):

Total annual cost \$0.00 Net County Cost \$0.00  
Total this FY \$0.00 N.C.C. this FY \$0.00

SOURCE OF FUNDING TO OFFSET ADJUSTMENT Office of the Sheriff's General Fund

Department must initiate necessary adjustment and submit to CAO.  
Use additional sheet for further explanations or comments.

Mary Jane Robb

\_\_\_\_\_  
(for) Department Head

REVIEWED BY CAO AND RELEASED TO HUMAN RESOURCES DEPARTMENT

Paul Reyes

2/12/2019

\_\_\_\_\_  
Deputy County Administrator

\_\_\_\_\_  
Date

HUMAN RESOURCES DEPARTMENT RECOMMENDATIONS

DATE 2/15/2019

Reassign two (2) Sergeant (6XHA)(represented) vacant positions #2541 and 3311, from Department 0255 (Field Operations Bureau) to 0300 (Custody Services Bureau).

Amend Resolution 71/17 establishing positions and resolutions allocating classes to the Basic / Exempt salary schedule.

Effective:  Day following Board Action.

\_\_\_\_\_(Date)

Tanya Williams

2/15/2019

\_\_\_\_\_  
(for) Director of Human Resources

\_\_\_\_\_  
Date

COUNTY ADMINISTRATOR RECOMMENDATION:

DATE

3/5/2019

Approve Recommendation of Director of Human Resources

Disapprove Recommendation of Director of Human Resources

Other: \_\_\_\_\_

Paul Reyes

\_\_\_\_\_  
(for) County Administrator

BOARD OF SUPERVISORS ACTION:

Adjustment is APPROVED  DISAPPROVED

David J. Twa, Clerk of the Board of Supervisors  
and County Administrator

DATE \_\_\_\_\_

BY \_\_\_\_\_

APPROVAL OF THIS ADJUSTMENT CONSTITUTES A PERSONNEL / SALARY RESOLUTION AMENDMENT

POSITION ADJUSTMENT ACTION TO BE COMPLETED BY HUMAN RESOURCES DEPARTMENT FOLLOWING BOARD ACTION

Adjust class(es) / position(s) as follows:

## REQUEST FOR PROJECT POSITIONS

Department 025

Date 3/5/2019

No. xxxxxx

1. Project Positions Requested:
  
2. Explain Specific Duties of Position(s)
  
3. Name / Purpose of Project and Funding Source (do not use acronyms i.e. SB40 Project or SDSS Funds)
  
4. Duration of the Project: Start Date \_\_\_\_\_ End Date \_\_\_\_\_  
Is funding for a specified period of time (i.e. 2 years) or on a year-to-year basis? Please explain.
  
5. Project Annual Cost
  - a. Salary & Benefits Costs: \_\_\_\_\_
  - b. Support Costs: \_\_\_\_\_  
(services, supplies, equipment, etc.)
  - c. Less revenue or expenditure: \_\_\_\_\_
  - d. Net cost to General or other fund: \_\_\_\_\_
  
6. Briefly explain the consequences of not filling the project position(s) in terms of:
  - a. potential future costs
  - b. legal implications
  - c. financial implications
  - d. political implications
  - e. organizational implications
  
7. Briefly describe the alternative approaches to delivering the services which you have considered. Indicate why these alternatives were not chosen.
  
8. Departments requesting new project positions must submit an updated cost benefit analysis of each project position at the halfway point of the project duration. This report is to be submitted to the Human Resources Department, which will forward the report to the Board of Supervisors. Indicate the date that your cost / benefit analysis will be submitted
  
9. How will the project position(s) be filled?
  - a. Competitive examination(s)
  - b. Existing employment list(s) Which one(s)? \_\_\_\_\_
  - c. Direct appointment of:
    1. Merit System employee who will be placed on leave from current job
    2. Non-County employee

Provide a justification if filling position(s) by C1 or C2

USE ADDITIONAL PAPER IF NECESSARY



Contra  
Costa  
County

To: Board of Supervisors  
From: David O. Livingston, Sheriff-Coroner  
Date: March 12, 2019

Subject: Concord Jet Service, Inc.

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Sheriff-Coroner, or designee, to execute a sub-sublease with Concord Jet Service Incorporated, in an amount not to exceed \$650,000 to provide an aircraft hangar, office space and helicopter fuel for the period of May 1, 2019 through April 30, 2024.

**FISCAL IMPACT:**

100% General Fund. Budgeted.

**BACKGROUND:**

Concord Jet Service Incorporated will provide aircraft hangar space at Buchanan Field Airport for the Sheriff's helicopters and office space for staff. The Office of the Sheriff will pay contractor \$1,948.00 for hangar rental per month and \$2,262.00 for office space per month for a total rent of \$4,210.00 per month. The sub-sublease allows for the purchase of helicopter fuel.

**CONSEQUENCE OF NEGATIVE ACTION:**

The sub-lease will not be approved resulting in no hangar or office spaces for the Sheriff's Helicopter Unit.

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APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Sandra Brown,  
925-335-1553

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:





Contra  
Costa  
County

To: Board of Supervisors  
From: Brian M. Balbas, Public Works Director/Chief Engineer  
Date: March 12, 2019

Subject: Approve and Authorize a Lease Amendment for 2120 Diamond Bl., Ste. 100 & 200, Concord.

---

**RECOMMENDATION(S):**

APPROVE the Public Works Director, or designee, to execute a Lease Amendment with Nancy Weil, Trustee of the Nancy Weil Price Trust; Julius Aires, Trustee of the Julius Aires Trust Agreement dated November 15, 2005; Nina Weil, Trustee of the Nina Weil Trust Agreement dated October 19, 2005; Thomas M. Kasten and Kendra L. Kasten, Trustee of the Kasten Family Trust Agreement dated November 5, 2001; Charles Lawrence Marks and Gladys Monroy Marks, Trustees of the Marks Family Trust dated May 14, 1999; Alexander R. Lithgow and Karen V. Lithgow, Trustees of the Lithgow 1996 Revocable Trust dated July 10, 1996, (collectively, the Lessors) for 16,897 square feet of office space for Environment Health. The term of this lease is 10 years with one five-year renewal term. The monthly rental payment is \$26,190.35 through December 31, 2019 with annual increases thereafter.

AUTHORIZE the Public Works Director, or designee, to execute any renewal options under the terms and conditions set forth in the lease.

- 
- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Charlotte Nelson, 925. 957-2458

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

FISCAL IMPACT:

100% Environmental Health – Admin Budget Org #5889. The Lease costs were anticipated and budgeted in the approved FY 2019/20 budget.

BACKGROUND:

On April 27, 1999, the Board of Supervisors approved a Lease between the County and Lessors for occupancy by the Human Services Department – Environmental Health Division. On July 14, 1999, the Board approved a First Amendment to Lease to expand the premises and extend the lease. On January 24, 2006, the Board approved a Second Amendment to Lease to expand the premises and extend the lease. On December 6, 2011, the Board approved a Third Amendment to Lease to extend the lease. On November 4, 2014, the Board approved a Fourth Amendment to Lease to extend the lease. This Fifth Lease Amendment provides for the County’s partial relocation to the ground floor and continued occupancy of the building through December 31, 2029 by the Environmental Health Division.

CONSEQUENCE OF NEGATIVE ACTION:

If this Fifth Lease Amendment is not approved, the Environmental Health office will be in hold-over and may have to relocate to another location at a higher cost.

ATTACHMENTS

Lease Amendment

## FIFTH AMENDMENT TO LEASE

This fifth amendment (“**Amendment**”) is dated March 12, 2019, and is between Nancy Weil, Trustee of the Nancy Weil Price Trust; Julius Aires, Trustee of the Julius Aires Trust Agreement dated November 15, 2005; Nina Weil, Trustee of the Nina Weil Trust Agreement dated October 19, 2005; Thomas M. Kasten and Kendra L. Kasten, Trustee of the Kasten Family Trust Agreement dated November 5, 2001; Charles Lawrence Marks and Gladys Monroy Marks, Trustees of the Marks Family Trust dated May 14, 1999; Alexander R. Lithgow and Karen V. Lithgow, Trustees of the Lithgow 1996 Revocable Trust dated July 10, 1996, (collectively, the “**Landlord**”) and the County of Contra Costa, a political subdivision of the State of California (the “**County**”).

### RECITALS

A. The Landlord and the County are parties to a lease dated April 27, 1999, as amended by a First Amendment to Lease dated July 14, 1999, a Second Amendment to Lease dated January 24, 2006, a Third Amendment to Lease dated December 6, 2011, and a Fourth Amendment to Lease dated November 4, 2014, under which the County is currently leasing Suites 200, 220A and 220B, consisting of approximately 13,995 square feet, in the building commonly known as 2120 Diamond Boulevard, Concord, California (the “**Lease**”).

B. Among other things, the parties desire to amend the Lease to modify the definition of Premises to exclude Suite 220A and Suite 220B and to include Suite 100, resulting in the Premises consisting of Suite 100 and Suite 200 and approximately 16,897 square feet. As part of this Amendment, Landlord is agreeing to construct tenant improvements in Suite 100 as described in this Amendment.

C. With this Amendment the parties also (i) extending the term of the Lease through December 31, 2029, and (ii) modifying Monthly Rent to reflect the change in the definition of Premises and the extension of the term.

The parties therefore agree as follows:

### AGREEMENT

1. This Amendment goes into effect on the day it is fully executed by both parties; provided, however, the terms of this Fifth Amendment that relate to redefining the Premises and modifying the Monthly Rent go into effect on the Effective Date, as defined below.
2. At its sole cost and expense, Landlord shall construct tenant improvements in Suite 100 and Suite 200 in accordance with the construction documents set forth in Exhibit E attached to this Fifth Amendment (the “**Final Plans**”) (such improvements, the “**Tenant Improvements**”). Landlord shall cause the Tenant Improvements to be constructed by well-trained, adequately supervised workers, in a good and workmanlike manner, free

from design, material and workmanship defects in accordance with the Final Plans and all applicable laws and restrictions. Landlord shall use commercially reasonable efforts to (i) complete the Tenant Improvements in Suite 100 by April 1, 2019, and (ii) complete the Tenant Improvements in Suite 200 by August 1, 2019. With respect to both Suite 100 and Suite 200:

- a. Upon Landlord's completion of the Tenant Improvements, Landlord shall give written notice thereof to the County's Real Estate Division. County shall inspect the premises within five (5) business days after receiving the notice and will accept or conditionally accept the Tenant Improvements upon completion of the inspection. Conditional acceptance constitutes acceptance. Acceptance does not constitute a waiver of any warranty or duty with regard to workmanship or material of the Tenant Improvements.
  - b. If the County conditionally accepts the Tenant Improvements, County shall provide Landlord with a list of items of work requiring correction, replacement, or completion (a "punch list"). Landlord shall cause all of the items on the punch list to be completed, corrected, or replaced as required within thirty (30) days of receipt of the punch list. If the punch list items are not completed, corrected, or replaced within thirty (30) days, the County may contract to complete the punch list items. The cost incurred by the County to correct the punch list items is to be paid by Landlord within ten (10) days of written demand from the County. In the alternative, the County may deduct the cost of such corrections from monies owned to Landlord.
3. The County is responsible for all costs associated with the removal of furniture and equipment from Suite 200, and the reinstallation of such furniture and equipment, in order to allow Landlord to construct the Tenant Improvements in Suite 200.
  4. The "**Effective Date**" of this Fifth Amendment is the day immediately following the day the City of Concord issues a certificate of occupancy, or equivalent document, following the completion of the Tenant Improvements in Suite 100. To create a record of the Effective Date, Landlord, or Landlord's authorized representative, shall execute a Confirmation of Effective Date in substantial conformity with Exhibit F attached to this Fifth Amendment and deliver such confirmation to the County.
  5. The Basic Lease Provisions are deleted in their entirety and replaced with the following:
    - a. Building Address: 2120 Diamond Boulevard, Concord California
    - b. Suite Numbers: Suite 100 and Suite 200 (together, the "**Premises**")  
Rentable Area: 16,897 square feet
    - c. Total Rentable Area of Building: 27,219 square feet  
County's Building Expense Percentage: N/A

d. Monthly Rental Rate:

Effective Date – December 31, 2019 is \$1.55 per square foot  
January 1, 2020 – December 31, 2020 is \$1.85 per square foot  
January 1, 2021 – December 31, 2021 is \$1.91 per square foot  
January 1, 2022 – December 31, 2022 is \$1.96 per square foot  
January 1, 2023 – December 31, 2023 is \$2.02 per square foot  
January 1, 2024 – December 31, 2024 is \$2.08 per square foot  
January 1, 2025 – December 31, 2025 is \$2.14 per square foot  
January 1, 2026 – December 31, 2026 is \$2.21 per square foot  
January 1, 2027 – December 31, 2027 is \$2.28 per square foot  
January 1, 2028 – December 31, 2028 is \$2.34 per square foot  
January 1, 2029 – December 31, 2029 is \$2.41 per square foot

e. Monthly Rent:

Effective Date – December 31, 2019 is \$26,190.35  
January 1, 2020 – December 31, 2020 is \$31,259.45  
January 1, 2021 – December 31, 2021 is \$32,197.23  
January 1, 2022 – December 31, 2022 is \$33,163.15  
January 1, 2023 – December 31, 2023 is \$34,158.05  
January 1, 2024 – December 31, 2024 is \$35,182.79  
January 1, 2025 – December 31, 2025 is \$36,238.27  
January 1, 2026 – December 31, 2026 is \$37,325.42  
January 1, 2027 – December 31, 2027 is \$38,445.18  
January 1, 2028 – December 31, 2028 is \$39,598.54  
January 1, 2029 – December 31, 2029 is \$40,786.49

Rent for any fractional month will be prorated and computed on a daily basis with each day's rent equal to one-thirtieth (1/30) of the monthly rent.

f. Term: Thirty (30) years, nine (9) months

g. Commencement Date: April 1, 1999

h. Expiration Date: December 31, 2029

i. Option to Renew: County has the option to extend the lease for an additional five year term at the then agreed upon rental rate and upon all the terms set forth herein, provided the County is not in default beyond any applicable cure periods as of the date the County gives notice to the Landlord of its election to extend the Lease. Upon the commencement of an extension term, all references to the "term" of this Lease will be deemed to mean the term as extended pursuant to this Section.

The County shall give Landlord one hundred eighty (180) days prior written notice of its intention to exercise any option to extend the term of this Lease; provided, however, that in the event the County does not give such written notice, its right to exercise any option to extend the term will not expire until fifteen (15) working days after receipt of Landlord's written demand to exercise or forfeit the option.

- j. Security Deposit: N/A
- k. Permitted Use: General Office Purposes
- l. Address for notices under this Lease:

Landlord

PCOM  
2600 Central Ave., Suite H  
Union City, CA 94587

County

Contra Costa County  
Attn: Real Estate Division  
255 Glacier Drive  
Martinez, CA 94553

- 6. Landlord warrants that all construction in connection with the Tenant Improvements will be performed in a good and workmanlike manner and that the material, equipment and other Building facilities furnished will be free of defects, latent or patent. Landlord shall, at its sole cost and expense, repair and replace any poor workmanship or defective materials, or defective equipment in Suite 100 and Suite 200, or other building facilities within a reasonable time after written notice thereof, provided the notice is sent by the County within one (1) year of the acceptance of the relevant Tenant Improvements. Landlord's obligations under this paragraph are in addition to and not in limitation of any other obligation of Landlord. Enforcement of contractors' express warranties and guarantees to repair as part of the Construction Documents are in addition to and not in limitation of any other rights or remedies the County may have under the Lease or law or in equity for defective work. Landlord shall provide the following items to County:
  - a. Three (3) copies of any warranties.
  - b. HVAC balance report completed by a licensed company.
  - c. Any other reports or calculations reasonably required by a governmental agency in connection with approvals or permits for the Tenant Improvements.
  - d. Operation and maintenance data manuals meeting the criteria set forth in Exhibit E.
- 7. The County has sixty (60) days from the Effective Date to vacate Suite 220A and Suite 220B. The County is required to remove all furniture and personal belongings and pull all cabling from Suite 220A and Suite 220B. Once vacant, Landlord is

responsible for providing janitorial services to Suite 220A and Suite 220B for them to be left in broom clean condition.

8. In negotiating this lease, Lessor represents itself and the County represents itself. Lessor recognizes and acknowledges that the County is entitled to a real estate commission when it represents itself. Lessor shall pay to County a real estate commission in the amount of Twenty-Five Thousand Dollars (\$25,000.00) (the “**County Commission**”). Lessor shall pay one-half of the County Commission upon the full execution of this lease and the remainder on April 1, 2019.
9. Section 14.29 to the Lease (Option to Expand Space) is deleted in its entirety.
10. Exhibit A to the Lease (Floor Plan) is deleted in its entirety.
11. Exhibit B to the Lease (Design Development Documents attached to the Second Amendment) is deleted in its entirety.
12. Exhibit C to the Lease (Construction Documents, mentioned in the Second Amendment but never attached) is deleted in its entirety.
13. All other terms of the Lease remain unchanged.

[Remainder of Page Intentionally Left Blank]

Landlord and County are signing this Fifth Amendment as of the date set forth in the introductory paragraph.

**COUNTY**

**LANDLORD**

COUNTY OF CONTRA COSTA, a political subdivision of the State of California

By \_\_\_\_\_  
Brian M. Balbas  
Public Works Director

By \_\_\_\_\_  
Nancy Weil, Trustee of the  
Nancy Weil Price Trust

**RECOMMENDED FOR APPROVAL:**

By \_\_\_\_\_  
Karen A. Laws  
Principal Real Property Agent

By \_\_\_\_\_  
Julius Aires, Trustee of the  
Julius Aires Trust Agreement  
dated November 15, 2005

By \_\_\_\_\_  
Charlotte A. Nelson  
Senior Real Property Agent

**APPROVED AS TO FORM:**  
SHARON L. ANDERSON  
County Counsel

By \_\_\_\_\_  
Kathleen M. Andrus  
Deputy County Counsel

By \_\_\_\_\_  
Nina Weil, Trustee of the  
Nina Weil Price Trust Agreement  
dated October 19, 2005

By \_\_\_\_\_  
Thomas M. Kasten, Trustee of the  
Kasten Family Trust Agreement  
dated November 5, 2001



By \_\_\_\_\_  
Kendra L. Kasten, Trustee of the  
Kasten Family Trust Agreement  
dated November 5, 2001

By \_\_\_\_\_  
Charles Lawrence Marks,  
Trustee of the Marks Family 1999  
Trust dated May 14, 1999

By \_\_\_\_\_  
Gladys Monroy Marks,  
Trustee of the Marks Family 1999  
Trust dated May 14, 1999

By \_\_\_\_\_  
Alexander R. Lithgow,  
Trustee of the Lithgow 1996  
Revocable Trust dated  
July 10, 1996

By \_\_\_\_\_  
Karen V. Lithgow,  
Trustee of the Lithgow 1996  
Revocable Trust dated  
July 10, 1996



Contra  
Costa  
County

To: Board of Supervisors  
From: Marc Shorr, Chief Information Officer  
Date: March 12, 2019

Subject: Agreement for Radio Communication Services with City of Brentwood

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Chief Information Officer, or designee, to execute a contract amendment with the City of Brentwood, effective June 30, 2018, to extend the term from June 30, 2018 through June 30, 2020, allowing for the Department of Information Technology to continue providing maintenance of City radio equipment and City-requested radio communication services, at DoIT's current hourly radio labor rate, for services performed; plus the cost of any materials and required equipment.

**FISCAL IMPACT:**

Revenue for DoIT's Telecommunications division.

**BACKGROUND:**

On July 2, 2015, the County Department of Information Technology's (DoIT) Telecommunications Division executed an agreement with City of Brentwood to provide radio communication services at the hourly labor rate of \$148.00 through June 30, 2017. On May 26, 2017, the Parties executed Amendment No. 1 to the Agreement to decrease the hourly labor rate to \$128.00 and extend the term through June 30, 2018. Under this Amendment No. 2, the term is being extended through June 30, 2020, and the Parties have agreed to a new scope of work, allowing the County the right to, on an annual basis, adjust the hourly rates it charges City for radio services based on its costs to provide the Services, and will notify City in

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APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Joanne Buenger,  
925-313-1202

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

writing of any change in the hourly rate for subsequent fiscal years (July 1 to June 30), and City shall either: (i) countersign the COUNTY correspondence indicating agreement with the increased hourly rate set forth therein, or (ii) provide COUNTY with a notice of termination under Section 9 (Termination) of the Agreement.

The County has provided such services to the City of Brentwood since 2013.

In accordance with Administrative Bulletin No 104, no action shall be taken to initiate new or expanded programs or projects (such as providing services to another governmental entity for a fee) unless approved by the Board of Supervisors in advance. The County Administrator's Office has reviewed this request and recommends approval.

CONSEQUENCE OF NEGATIVE ACTION:

A decision to not approve the recommendation will diminish revenue for the radio division, which could increase labor rates.



**Contra  
Costa  
County**

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Contract #72-125 with Compass Group USA, Inc.

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Health Services Director, or designee, to execute on behalf of the County Contract #72-125 with Compass Group USA, Inc. (dba Canteen Refreshment Services), a corporation, to furnish healthy vending machine services at specified Contra Costa Health Services (CCHS) locations, for the period from February 1, 2019 through January 31, 2022.

**FISCAL IMPACT:**

County will receive 20% commission for drink and snack machines and 8% for fresh food machines based on total gross vending sales. There is no general fund impact.

**BACKGROUND:**

Excess bodyweight and obesity are leading risk factors for a range of health problems that include: heart disease, type 2 diabetes, some cancers, high blood pressure, stroke, liver disease, respiratory problems and other conditions. Since 2010, CCHS has implemented a 100% Healthy Vending Policy to support the health of patients and staff who use CCHS facilities.

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APPROVE
  OTHER  
 RECOMMENDATION OF CNTY ADMINISTRATOR
  RECOMMENDATION OF BOARD COMMITTEE

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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Dan Peddycord,  
925-313-6712

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: L Walker, M Wilhelm

BACKGROUND: (CONT'D)

Under Contract #72-125, Contractor will provide healthy vending machine services for the period February 1, 2019 through January 31, 2022.

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, patients and staff will not have access to healthy vending machine services at CCHS facilities



**Contra  
Costa  
County**

To: Board of Supervisors  
From: Kathy Gallagher, Employment & Human Services Director  
Date: March 12, 2019

Subject: 2019-20 Early Head Start Childcare Partnerships grant

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Employment and Human Services Director, or designee, to apply for and accept funding from the U.S. Department of Health and Human Services, Administration for Children and Families in an amount not to exceed \$1,138,429 for Early Head Start supplemental funding for the term July 1, 2019 through June 30, 2020.

**FISCAL IMPACT:**

The Community Services Bureau of the Employment and Human Services Department (EHSD) will receive \$1,138,429 in federal funding. The County, as Grantee, is required to generate a 20% non-federal match (\$284,607), which is calculate by adding 25% of the federal grant to the federal grant amount and then calculating 20% of that total. This match is achieved through in-kind volunteer hours accrued by Head Start parents and community partners.

[CFDA # 93.708]

**BACKGROUND:**

Contra Costa County submits an application annually to the U.S. Health and Human Services Department, Administration for Children and Families (ACF) as the Head Start grantee. The Board of Supervisors

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APPROVE  OTHER  
 RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE

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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: CSB (925)  
681-6389

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Nasim Eghlima, Christina Reich, Haydee Ilan, Angela Winn

BACKGROUND: (CONT'D)

(Board) approved the 2019 grantee application on September 11, 2018 (c.49). ACF developed an additional funding opportunity for Early Head Start in 2016 - Early Head Start - Childcare Partnership funding. The County applied for and was awarded these funds in 2016, 2017 and 2018. This board order seeks approval to submit the application for the fourth year of funding, due April 1, 2019. The Policy Council reviewed and approved the grant application on February 20, 2019.

CONSEQUENCE OF NEGATIVE ACTION:

If not approved, the County would forego funding used to enhance services within the County Early Head Start program.

CHILDREN'S IMPACT STATEMENT:

The Community Services Bureau of the EHSD's Head Start program supports three of Contra Costa County's community outcomes - Outcome 1: Children Ready for and Succeeding in School, Outcome 3: Families that are Economically Self-sufficient, and Outcome 4: Families that are Safe, Stable, and Nurturing. These outcomes are achieved by offering comprehensive services, including high quality early childhood education, nutrition, and health services to low-income children throughout Contra Costa County.

ATTACHMENTS

- Grant application letter
- Budget narrative



ADMINISTRATION FOR  
**CHILDREN & FAMILIES**

Office of Head Start | Region IX | 90 7th Street, San Francisco, CA 94103 | [www.eclkc.ohs.acf.hhs.gov](http://www.eclkc.ohs.acf.hhs.gov)

Karen Mitchoff  
Board Chairperson  
Contra Costa County Community Services  
1470 Civic Circuit, Suite 200  
Concord, California 94520

Re: Grant No. 09HP0012

Dear Ms. Mitchoff:

A grant application must be completed for the upcoming budget period. Please consult the grant application instructions to determine the type of application required. The application for the Head Start grant is due 04/01/2019. As informed by Program Instruction ACF-PI-HS-18-06 issued November 30, 2018, the Department of Defense and Labor, Health and Human Services, and Education Appropriations Act, 2019 and Continuing Appropriations Act, 2019, provides a cost-of-living adjustment (COLA) of 1.77 percent to eligible grantees. This application will need to include the COLA increase and must demonstrate how it will be used in accordance with the intended purposes indicated in the Program Instruction.

The following table reflects the annual funding and enrollment levels for your grant.

| <b>Program</b>                    | <b>Early Head Start</b> |
|-----------------------------------|-------------------------|
| Federal Funded Enrollment         | 72                      |
| <b>Funding Type</b>               | <b>Early Head Start</b> |
| Program Operations                | \$1,093,173             |
| Training and Technical Assistance | \$25,907                |
| Cost-of-Living Adjustment (COLA)  | \$19,349                |
| <b>Total Funding</b>              | <b>\$1,138,429</b>      |

**Period of Funding: 07/01/2019 – 06/30/2020**

### **Application Submission Requirements**

The application must be prepared and submitted in accordance with the *Head Start Grant Application Instructions with Guidance, Version 3 (Application Instructions)*. It must be submitted on behalf of the Authorizing Official registered in the HSES.

**Incomplete applications will not be processed.**

The *Application Instructions* are available on the home page of HSES. Please review the instructions carefully prior to preparing the application. Submission guidance can be found in the “Instructions” section of the HSES.



Please contact Maureen Burns-Vermette, Program Specialist, at (415) 437-8452 or [maureen.burns@acf.hhs.gov](mailto:maureen.burns@acf.hhs.gov) or Frank Olguin, Grants Management Specialist, at (415) 437-8415 or [frank.olguin@acf.hhs.gov](mailto:frank.olguin@acf.hhs.gov) with questions regarding the *Application Instructions*.

For assistance submitting the application in HSES, contact [help@hsesinfo.org](mailto:help@hsesinfo.org) or 1-866-771-4737.

Funding is contingent upon the availability of federal funds and satisfactory performance under the terms and conditions of the Head Start grant in the current budget period.

Thank you for your cooperation and timely submission of the grant application.

Sincerely,



Jan Len  
Regional Program Manager  
Office of Head Start – Region IX

cc: Kathy Gallagher, Executive Director  
Camilla Rand, Head Start Director

**Contra Costa County Employment & Human Services Department  
Community Services Bureau  
FY 2019-2020 Early Head Start-Child Care Partnerships Funding Application**

**EXECUTIVE SUMMARY  
INCOMING FUNDS NARRATIVE STATEMENT**

- 1. PROJECT/PROGRAM TITLE.** Early Head Start-Child Care Partnerships Funding Application for Budget Period July 1, 2019 through June 30, 2020.
- 2. FUNDING AGENCY.** Department of Health and Human Services, Administration for Children and Families (ACF), Office of Head Start (OHS).
- 3. SUBMITTAL STATUS.** This is a submission of application for Early Head Start Child Care Partnership grant funding for Budget Period July 1, 2019 through June 30, 2020.
- 4. PROPOSED TERM.** Funding must be requested annually. The standard one year budget period is from July 1, 2019 through June 30, 2020. The budget summary is below.
- 5. CURRENT FUNDING.** Funding for Early Head Start is provided by federal dollars. Contra Costa County, as Grantee, is required to generate a 20% non-federal match of the total project budget, which may be in cash or in-kind contributions, fairly valued.
- 6. FUTURE FUNDING.** An application for continuation grant funding must be submitted each year.

**7. BUDGET SUMMARY**

| <b>Budget Categories:</b>                   | <b>FY 2019-20 OPERATION<br/>EHS Child Care Partnership #1</b> |
|---|---|
| Personnel                                   | \$ 308,852  |
| Fringe Benefits                             | \$ 214,598  |
| T & TA                                      | \$ 25,907   |
| Travel                                      | \$ - 0 -  |
| Supplies                                    | \$ 6,900  |
| Contractual                                 | \$ 463,260  |
| Other                                       | \$ 48,792   |
| <b>Sub-Total of Direct Charges</b>          | <b>\$1,068,309</b>  |
| Indirect Costs                              | \$ 70,120   |
| <b>Total Federal Amount Being Requested</b> | <b>\$1,138,429</b>  |
| <b>Non-Federal Share</b>                    | <b>\$ 284,607</b>   |
|   |   |
| <b>Total Federal and Non-Federal</b>        | <b>\$1,423,036</b>  |

**8. STAFFING REQUIREMENTS.** As Grantee, Contra Costa County operates the Head Start Program, which is administered and staffed by the Employment & Human Services Department, Community Services Bureau.

**9. PROGRAM NEED.** The Community Services Bureau serves the needs of low-income children (3-5 years of age under Head Start, and prenatal - 3 yrs under Early Head Start) and their families, by providing quality childcare, child development, and other services such as medical, mental health and dental needs.

**10. RELATIONSHIP TO OTHER PROGRAMS.** The Community Services Bureau's Head Start program combines Federal Head Start and State Child Development funding into one cohesive program. The Bureau also has strong collaborations with other departments within the County and partners with community based organizations, local private businesses, schools, non-profits, and volunteer organizations.

**11. PROJECT GOALS. (Same goals and objectives for both Head Start and Early Head Start)**

**Goal 1:** Goal 1: Through the use of multiple technologies, CSB will develop systems to enhance staff and client communication while coordinating program-wide approaches to effective data management and ensuring high quality service delivery.

**Goal 2:** Due to an 84% increase in Early Head Start slots (from 311 to 573) in two years, CSB will enhance its Early Head Start programming through a multi-faceted approach.

**Goal 3:** CSB will implement a "Grow Our Own" approach to hiring, developing, and retaining a robust staff across all service areas that are responsive to the clients and intrinsically motivated to be the best they can be through a variety of supports and services.

**Goal 4:** CSB will implement data-driven Parent, Family and Community Engagement (PFCE) services that embrace the PFCE framework and result in measurable impacts that achieve the mission of the organization.

**12. STATED OBJECTIVES.**

- By June 30, 2019, CSB will place interactive self-service kiosk stations at large centers and the administration building with user-friendly interface and software that interacts with CSB's data management system.
- By June 30, 2019, CSB will implement the use of an interactive web-portal and mobile application to improve communication between client and staff.
- By June 30, 2019, CSB will implement an Interactive Voice Response (IVR) and Short Message System (SMS) that allows a computer to interact with clients through the use of voice and/or text to input via keypad or their land line or mobile device.
- By June 2019, trainings based on Program for Infant and Toddler Care (PITC) and State of California Infant and Toddler Learning Foundations will continue to be implemented to support growing professional knowledge of caregiving practices.
- By June 2019, CSB will increase family collaboration and understanding of school readiness through various family engagement activities based on the first assessment date in the child outcomes report.

- By June 2019, CSB will administer vision screenings to Early Head Start Children ages 6 months to 3 years using the Plusoptix Mobile Vision Screener to ensure the earliest possible identification of vision concerns.
- By June 2019, CSB will enhance nutrition and oral health education with parents and staff to reduce the risk of early onset dental decay in children under 3 years old.
- By December 31, 2019, CSB will collaborate with Diablo Valley College ECE Learning Communities to engage participants in the field of early childhood education.
- By December 31, 2019, CSB staff will have an opportunity to participate in the Staff Health Improvement Plan (SHIP) and other initiatives that facilitate increased staff wellness and morale.
- By December 31, 2019, CSB will collaborate with the YMCA of the Bay Area and Contra Costa College in the California Apprenticeship Initiative to engage participants in the early care and education field.
- By June 2019, CSB will strengthen father-child relationships through activities that promote healthy lifestyles.
- By June 2019, CLOUDS's Strength Building-Family Partnership Agreement (SB-FPA) will provide measurable family outcome reports.
- By June 2019, The Make Parenting A Pleasure (MPAP) Curriculum will expand the scope of trainings.

**13. ACTIVITY SUMMARY.** Program continues to provide high-quality services.

**14. EVALUATION METHOD(S).** Measurable, results-based child and family outcomes have been implemented, such as the required State of California's Desired Results Developmental Profile, for programs providing services through collaboration with the State of California Department of Education.

**15. CHANGES COMPARED TO PRIOR YEAR (if any).** Goals and Objectives cover FY 2018 – FY 2022. Policy Council has been involved in the development, review and evaluation process of the goals and objectives.

**16. POTENTIAL CONTROVERSIAL ISSUES.** None. Public perception of the Head Start and Early Head Start programs remain positive. The Policy Council will approve submission of this grant at their February 20, 2019 meeting.



**Contra  
Costa  
County**

To: Board of Supervisors  
From: Kathy Gallagher, Employment & Human Services Director  
Date: March 12, 2019

Subject: Head Start Facilities Improvement Grant

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**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Employment and Human Services Department Director, or designee, to apply for and accept funding from the U.S. Department of Health and Human Services, Administration for Children and Families, Office of Head Start, in an amount not to exceed \$950,000 for a supplemental, one-time grant to provide facility renovation and construction at the central kitchen facility for the Head Start program.

**FISCAL IMPACT:**

The County will receive a one-time federal grant in an amount not to exceed \$950,000. As Grantee, the County is required to generate a 20% non-federal match (\$237,500), which is calculate by adding 25% of the federal grant to the federal grant amount and then calculating 20% of that total. However, the Department will seek a waiver of the match requirement. The match is usually achieved through collaboration with State Child Development programs and the volunteer hours accrued by Head Start parents and community partners. With this grant, there will be no community or parent involvement. Historically, the Department has been successful in receiving match waivers for similar grant programs. If the request for waiver for the non-federal share is not granted, the match will come from the use of County-owned Head Start facilities and/or County General fund dollars.

[CFDA 93.600]

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Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: CSB (925)  
681-6389

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Nasim Eghlima, Christina Reich, Haydee Ilan, Angela Winn

## BACKGROUND:

Contra Costa County submits an application annually to the U.S. Department of Health and Human Services, Administration for Children and Families, Office of Head Start, as the Head Start grantee. This board order presents an opportunity for the County to apply for a supplemental, one-time grant for facility renovation costs. The funding term will be April 1, 2019 through November 30, 2020. The grant will be reviewed for approval by the Head Start Policy Council on March 30, 2019.

If the grant is received, the funds are proposed to be utilized to construct a central kitchen at a new location. Currently, the central kitchen is located at the now-closed Brookside Children's Center. The kitchen needs to be moved to a location closer to the programs. The current central kitchen location has been in operation since 1990 and is located in a former County juvenile detention facility. The building is aging and public health and safety issues have arisen that necessitate the need to move the central kitchen to a new location. The building is located between two active railroad lines and two retired Chevron and PG&E pipelines. The department has become aware that the grounds of the center have been contaminated due to petroleum leaks from the pipelines; further there is a growing and unabated homeless population that regularly congregates near the railroad tracks by the center. Due to this, the children and staff have been re-located from Brookside and active measures are being taken to also move the central kitchen.

## CONSEQUENCE OF NEGATIVE ACTION:

If not approved, the County will be unable to apply for a grant to cover the cost of construction of a central kitchen for the Head Start program.

## CHILDREN'S IMPACT STATEMENT:

The Community Services Bureau of the Employment & Human Services Department's Head Start program supports three of Contra Costa County's community outcomes - Outcome 1: Children Ready for and Succeeding in School, Outcome 3: Families that are Economically Self-sufficient, and Outcome 4: Families that are Safe, Stable, and Nurturing. These outcomes are achieved by offering comprehensive services, including high quality early childhood education, nutrition, and health services to low-income children throughout Contra Costa County.

## ATTACHMENTS

Budget narrative

## **2018 PROGRAM IMPROVEMENT CH GRANT BUDGET**

Community Services Bureau (CSB) is submitting this request for supplemental funding under the Head Start Program in the amount of \$755,851 to comply with federal rating requirements and to cover two major needs directly related to improving the quality of health and safety of children in our centers. CSB made a review and assessment of the safety of physical environments of our existing centers in order that this program can holistically support and provide safe learning environments for our children. Our Licensed Certified Playground Safety Inspector (CPSI) has identified additional needs to improve the quality of our existing building in two of our centers.

The following sections provide more detailed information:

### **(6h) Other – Building maintenance and repair \$720,851**

CSB is requesting funding to re-roof the buildings at our Balboa and Crescent Park centers due to recommendations from a recent annual inspection visit from Public Works Department. Due to concerns from the annual inspection, Public Works Department dispatched a roofing consultant for further inspection. The roofing consultant confirmed the roof for both centers are deteriorated and in need of major repair. These two centers have continuously experienced multiple roof leaks that County Building Maintenance has temporarily repaired. The frequency of roofing repairs has significantly increased within the past few years. As a result from these leaks, there may be a high probability of dry rot damage and mold. This imposes an immediate health and safety risk for our children, families and staff at these centers. Based on Public Works and roofing consultant's concerns, they highly recommend action be taken in order to address the dry rot. Priority is also focused on addressing possible health and safety concerns associated with water

damage (mold, mildew and fungus). In an effort to maintain a safe environment for our children, families and staff, it is a very high priority for CSB to resolve.

|               |                         |
|---------------|-------------------------|
| Balboa Center | \$488,885               |
| Crescent Park | <u>231,966</u>          |
| <b>TOTAL</b>  | <b><u>\$720,851</u></b> |

**(6h) Other (Health and Safety) \$ 35,000**

CSB is requesting funding to install new CCTV system for Marsh Creek and to upgrade the CCTV system of GM III into a digital system as well as add additional cameras in classrooms to cover blind spots.

|                        |                        |
|------------------------|------------------------|
| Marsh Creek            | \$18,000               |
| George Miller (GM) III | <u>17,000</u>          |
| <b>TOTAL</b>           | <b><u>\$35,000</u></b> |

|                                  |                  |
|----------------------------------|------------------|
| <b>TOTAL SUPPLEMENTAL BUDGET</b> | <b>\$755,851</b> |
|----------------------------------|------------------|

|                          |               |
|--------------------------|---------------|
| <b>NON FEDERAL MATCH</b> | <b>\$ -0-</b> |
|--------------------------|---------------|

The bureau is requesting a waiver of non-federal match in the amount of \$188,963. There is no community or parent involvement in these proposals and, therefore, it is not feasible to generate non-federal match.





Contra  
Costa  
County

To: Board of Supervisors  
From: Melinda Cervantes, County Librarian  
Date: March 12, 2019

Subject: Grant Opportunity from the Dean and Margaret Leshar Foundation

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**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the County Librarian, or designee, to apply for and accept a two-year grant from the Dean and Margaret Leshar Foundation in the amount of \$20,000 (\$10,000 payable in 2019 and \$10,000 payable in 2020) to provide library books and materials at the Juvenile Hall Library and at the Orin Allen Youth Rehabilitation Facility Library for the period February 1, 2019 through June 30, 2020.

**FISCAL IMPACT:**

No Library Fund match.

**BACKGROUND:**

Grant funding from the Dean and Margaret Leshar Foundation will allow Juvenile Hall and Orin Allen Youth Rehabilitation Facility Libraries to purchase new, current titles to augment the collection as well as materials to replace worn items. These items will be ordered on a monthly basis over the course of the project's two-year time span to ensure a continuous increase of new materials.

All books and materials for both libraries' collections are purchased from revenue generated entirely through grants and individual donations. Because both libraries have been so successful in developing a

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Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: W. Beveridge  
925-608-7730

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

love of reading for education and pleasure, many of the books in the collection need to be replaced annually due to wear and tear. Additionally, the teens are constantly demanding the newest titles by authors they admire or series they have read. In order to continue encouraging this special and unique population to develop habits of reading for pleasure and self-directed learning, it is important that the libraries are able to provide new titles that refresh the collection and to which teens can relate.

CONSEQUENCE OF NEGATIVE ACTION:

If the grant is not funded then the libraries will not have the needed materials for reading.

CHILDREN'S IMPACT STATEMENT:

As well as supplying Contra Costa County's population of incarcerated teens with books and encouraging a love of reading and a lifelong habit of self-directed learning, the materials will allow the youth to engage in creative, educational activities that many of the youth have never experienced before, exposing them to new ideas and allowing them to discover previously unknown interests and hidden talents. This meets the Children's Report Card outcome of Children Ready for and Succeeding in School.



Contra  
Costa  
County

To: Board of Supervisors  
From: David Twa, County Administrator  
Date: March 12, 2019

Subject: PROPOSITION 47 COHORT 2 GRANT APPLICATION - FORENSIC COMMUNITY ASSERTIVE TREATMENT (FACT) PROGRAM

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**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the County Administrator, or designee, to apply for and accept the California Board of State and Community Corrections Proposition 47 Cohort 2 grant in an amount not to exceed \$6,000,000 to for mental health diversion housing and programming for the period August 15, 2019 through May 15, 2023.

**FISCAL IMPACT:**

Up to \$6,000,000, 100% State. Proposition 47 grant funds are derived from a portion of the State savings following the passage of Proposition 47. A portion of those savings are made available to the California Board of State and Community Corrections (BSCC) to grant to local agencies for targeted services.

**BACKGROUND:**

Contra Costa County has designed an innovative, trauma-informed, culturally competent, diversion program for people arrested in Contra Costa County who have schizophrenia, schizoaffective disorder, or bipolar disorder alone or with a co-occurring substance use issue whose behavioral health condition played a significant role in the commission of a crime and are at risk for being declared incompetent to stand trial. "CoCo FACT" proposes to divert 75 clients

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**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Timothy M. Ewell, (925)  
335-1036

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Anna Roth, Health Services Director, Matthew White, Behavioral Health Director

BACKGROUND: (CONT'D)

for the 3-year grant period. The proposed program was developed with assistance of a Local Advisory Committee (LAC) of residents, stakeholders and community based organizations that have been meeting weekly to develop the program.

CoCo FACT includes an evidence-based intervention that is centered on Forensic Assertive Community Treatment (FACT) paired with housing, vocational supports, trauma reduction, and restorative justice components to help address individual and structural barriers that contribute to recidivism among those with involvement in the criminal justice system to decrease recidivism; promote recovery; and ensure community safety, stability, and healing. This project will be transformative for the County and its citizens, resulting in reduced recidivism and healing for both victims and offenders, replacing ineffective and costly practices that fail to address the actual factors contributing to the perpetration of the crime.

Today's action authorizes the County Administrator, or designee, to submit the grant proposal to the California Board of State and Community Corrections (BSCC) for consideration. This grant would complement a separate allocation to the County of AB 1810 dollars, which, similarly, targets individuals within the local justice system with mental health needs that would benefit from diversion. Braiding both funding sources ensures that the County's focus is scaling up services to this population in a coordinated manner with the same goals and objectives.

CONSEQUENCE OF NEGATIVE ACTION:

The County will be unable to apply for and accept the grant from the State.



Contra  
Costa  
County

To: Board of Supervisors  
From: Kathy Gallagher, Employment & Human Services Director  
Date: March 12, 2019

Subject: Amend Interagency Agreement with Liberty Adult Education

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**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Employment and Human Services Director, or designee, to execute an interagency agreement amendment with Liberty Adult Education, effective April 1, 2019, to increase the payment limit by \$16,001 to a new contract payment limit not to exceed \$80,001 and to add a service training component to a skills training program for Welfare-to-Work (WTW) participants of the California Work Opportunity and Responsibility to Kids (CalWORKs) Program.

**FISCAL IMPACT:**

The interagency agreement is funded with 100% state CalWORKs Single Allocation revenue. [CFDA #93.558]

**BACKGROUND:**

This amendment is adding additional funding and a service training program called "Career Connections." The

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APPROVE

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Clerks Notes:

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ATTESTED: March 12, 2019

Contact: V. Kaplan, (925)  
608-4963

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

Career Connections Program will provide job readiness skills needed for clients to be able to learn about the current job market, develop resume writing skills, current interviewing techniques and interpersonal behavior useful for employment.

The Finding Opportunities Careers for Ultimate Self-sufficiency (FOCUS), Essential Skills for Success (Essential Skills), and Career Connections Programs (the Program) offer WTW participants the opportunity to participate in vocational training and/or job skills workshops at the Liberty Adult Education school. The Program is intended to provide clients with additional assessment, support, and training/educational services. Program outcomes seek to help clients further define and advance their educational and professional paths, ultimately leading to job placement or continued educational attainment.

The Program is intended to offer WTW participants an opportunity to increase their awareness of how their views and experiences shape their perception about work, money, and the circumstances of their lives. Understanding their role enables participants to decrease the barriers and increase the motivation to set goals and accomplish them.

The original interagency agreement with Liberty Adult Education was approved by the Board of Supervisors on April 24, 2018 (C.41), in the amount of \$64,000, for the period July 1, 2018 through June 30, 2019. An amendment to the interagency agreement was approved by the Board of Supervisors on October 23, 2018 (C.32) to add an additional service training program called "Essential Skills for Success."

CONSEQUENCE OF NEGATIVE ACTION:

Without approval of amended agreement, program participants will receive less services geared toward job placement and/or continued education attainment, resulting in continued reliance on public benefits.



**Contra  
Costa  
County**

To: Board of Supervisors  
From: Kathy Gallagher, Employment & Human Services Director  
Date: March 12, 2019

Subject: Amend Interagency Agreement with Mt. Diablo Adult Education

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Employment and Human Services Director, or designee, to execute an interagency agreement amendment with Mt. Diablo Adult Education, effective April 1, 2019, to increase the payment limit by \$15,982 to a new contract payment limit not to exceed \$68,982 and to add a service training component to a skills training program for Welfare-to-Work (WTW) participants of the California Work Opportunity and Responsibility to Kids (CalWORKs) Program.

**FISCAL IMPACT:**

The interagency agreement is funded with 100% state CalWORKs Single Allocation revenue. [CFDA #93.558]

**BACKGROUND:**

This amendment is adding additional funding and a service training program called "Career Connections." The

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Contact: V. Kaplan, (925)  
608-4963

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

Career Connections Program will provide job readiness skills needed for clients to be able to learn about the current job market, develop resume writing skills, current interviewing techniques and interpersonal behavior useful for employment.

The Finding Opportunities Careers for Ultimate Self-sufficiency (FOCUS), Essential Skills for Success (Essential Skills), and Career Connections Programs (the Program) offer WTW participants the opportunity to participate in vocational training and/or job skills workshops at the Mt. Diablo Adult Education school. The Program is intended to provide clients with additional assessment, support, and training/educational services. Program outcomes seek to help clients further define and advance their educational and professional paths, ultimately leading to job placement or continued educational attainment.

The Program is intended to offer WTW participants an opportunity to increase their awareness of how their views and experiences shape their perception about work, money, and the circumstances of their lives. Understanding their role enables participants to decrease the barriers and increase the motivation to set goals and accomplish them.

The original interagency agreement with Mt. Diablo Adult Education was approved by the Board of Supervisors on May 22, 2018 (C.59), in the amount of \$53,000, for the period July 1, 2018 through June 30, 2019. An amendment to the interagency agreement was approved by the Board of Supervisors on October 23, 2018 (C.31) to add an additional service training program called "Essential Skills for Success."

CONSEQUENCE OF NEGATIVE ACTION:

Without approval of amended agreement, program participants will receive less services geared toward job placement and/or continued education attainment, resulting in continued reliance on public benefits.





**Contra  
Costa  
County**

To: Board of Supervisors  
From: Kathy Gallagher, Employment & Human Services Director  
Date: March 12, 2019

Subject: Amend Interagency Agreement with West Contra Costa Adult Education

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**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Employment and Human Services Director, or designee, to execute an interagency agreement amendment with West Contra Costa Adult Education, effective April 1, 2019, to increase the payment limit by \$14,725 to a new contract payment limit not to exceed \$76,448 and to add a service training component to a skills training program for Welfare-to-Work (WTW) participants of the California Work Opportunity and Responsibility to Kids (CalWORKs) Program.

**FISCAL IMPACT:**

The interagency agreement is funded with 100% state CalWORKs Single Allocation revenue. [CFDA #93.558]

**BACKGROUND:**

This amendment is adding additional funding and a service training program called "Career Connections." The Career Connections Program will provide job readiness skills needed for clients to be able to learn about the current job market, develop resume writing skills, current interviewing techniques and interpersonal behavior useful for employment.

The Finding Opportunities Careers for Ultimate Self-sufficiency (FOCUS), Essential Skills for Success

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: V. Kaplan, (925)  
408-4963

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

(Essential Skills), and Career Connections Programs (the Program) offer WTW participants the opportunity to participate in vocational training and/or job skills workshops at the West Contra Costa Adult Education school. The Program is intended to provide clients with additional assessment, support, and training/educational services. Program outcomes seek to help clients further define and advance their educational and professional paths, ultimately leading to job placement or continued educational attainment.

The Program is intended to offer WTW participants an opportunity to increase their awareness of how their views and experiences shape their perception about work, money, and the circumstances of their lives. Understanding their role enables participants to decrease the barriers and increase the motivation to set goals and accomplish them.

The original interagency agreement with West Contra Costa Adult Education was approved by the Board of Supervisors on May 22, 2018 (C.106), in the amount of \$61,723, for the period July 1, 2018 through June 30, 2019. An amendment to the interagency agreement was approved by the Board of Supervisors on October 23, 2018 (C.30) to add an additional service training program called "Essential Skills for Success."

CONSEQUENCE OF NEGATIVE ACTION:

Without approval of amended agreement, program participants will receive less services geared toward job placement and/or continued education attainment, resulting in continued reliance on public benefits.



Contra  
Costa  
County

To: Board of Supervisors  
From: Marc Shorr, Chief Information Officer  
Date: March 12, 2019

Subject: Renewal of Oracle Technical Support Services for Software Support

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Chief Information Officer, or designee, to execute ordering documents under the existing Oracle Master Agreement with Oracle America, Inc., in an amount not to exceed \$245,800 for Oracle program technical support services for software updates and support for various County departmental systems, for the period May 31, 2019 through May 30, 2020.

**FISCAL IMPACT:**

The total annual cost is \$245,800. The cost is billed in quarterly installments and charged back to user departments through the Department of Information Technology's billing process.

**BACKGROUND:**

On November 17, 2015, the Board authorized the Chief Information Officer to enter into an Oracle Master Agreement (US-OMA-QT5714570) with Oracle America, Inc. The Master Agreement authorizes the County to place orders with Oracle for five years following the date of the Master Agreement. The order being placed under this board order is for a support service number that will renew technical support services for software for a one-year term. The support agreement will cover various Oracle servers through several participating County departments. The support order is as follows:

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APPROVE
  OTHER  
 RECOMMENDATION OF CNTY ADMINISTRATOR
  RECOMMENDATION OF BOARD COMMITTEE

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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Scott Sullivan  
925-313-1288

, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

Support Service #2339990- \$245,799.84 for the period of May 31, 2019 through May 30, 2020.

- Oracle Database Enterprise Edition
- Oracle Diagnostic Pack
- Oracle Internet Developer Suite
- Oracle Tuning Pack

Oracle will send the County invoices quarterly, in arrears. According to the Oracle Master Agreement, the County may terminate the agreement at any time without cause by giving Oracle 30-days prior written notice of such termination.

In accordance with Administrative Bulletin No 611.0, Departments are required to obtain Board approval for contracts in excess of \$100,000. The County Administrator's Office has reviewed this request and recommends approval.

CONSEQUENCE OF NEGATIVE ACTION:

Disapproval of the recommendation will prevent the County from obtaining the software maintenance and support that is required by the manufacturer for continued use of the software.



Contra  
Costa  
County

To: Board of Supervisors  
From: Kathy Gallagher, Employment & Human Services Director  
Date: March 12, 2019

Subject: Stipends to Foster Parents for Trainings, Workshops, and Focus Groups

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Auditor-Controller, or designee, to pay stipends in an amount not to exceed \$15 per individual for each half day, or \$25 per individual for each full day to foster parents and birth parents, for a total payment amount not to exceed \$50,000 for attendance at training workshops, focus groups, and meetings for the period July 1, 2019 through June 30, 2021.

**FISCAL IMPACT:**

The \$50,000 of stipends are funded 50% by Child Welfare Allocation, which is 10% County, 48% State, and 42% Federal, and 50% Substance Abuse HIV funding, which is 7.5% County, 17.5% State, and 75% Federal.

**BACKGROUND:**

Employment and Human Services Department (EHSD) supports and convenes trainings, meetings, workshops, and focus groups to meet mandated and discretionary training requirements for foster parents and birth parents. EHSD often includes provisions for reasonable stipends to be paid to program participants in their funding application, in order to encourage participation. The involvement of program participants is critical in designing and modifying effective programs.

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APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Elaine burres  
608-4960

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

CONSEQUENCE OF NEGATIVE ACTION:

Without approval of stipends for attendance to workshops, trainings, and focus groups, foster parents and birth parents may be precluded from attendance and interaction in the ongoing system of change and development of services.



Contra  
Costa  
County

To: Board of Supervisors  
From: Todd Billeci, County Probation Officer  
Date: March 12, 2019

Subject: Contract with California Department of Corrections and Rehabilitation

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the County Probation Officer, or designee, to execute a contract with the California Department of Corrections and Rehabilitation, in an amount not to exceed \$149,856 beginning upon Board and State approval through June 30, 2021 for diagnostic and treatment services to youth referred from Juvenile Court in Contra Costa County and in custody at Department of Juvenile Justice (DJJ) facilities.

**FISCAL IMPACT:**

\$149,856, 100% General Fund

**BACKGROUND:**

The California Department of Corrections and Rehabilitation provides diagnostic studies, treatment services and temporary detention for case referrals from Juvenile Courts for a period not to exceed ninety (90) days. The cost of this treatment is borne by the County in which the Court is situated. The Director of the facility reports to the court its diagnosis and recommendations concerning the person within the ninety (90) days.

**CONSEQUENCE OF NEGATIVE ACTION:**

If the Juvenile Court makes an order placing a person in a DJJ Diagnosis and Treatment Center Probation will not have a contract in place to pay for services.

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

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ATTESTED: March 12, 2019

Contact: Danielle Fokkema  
925-313-4195

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

CHILDREN'S IMPACT STATEMENT:

This action supports two of the community outcomes established in the Children's Report Card: 1) "Children and Youth Healthy and Preparing for Productive Adulthood" and 2) "Communities that are Safe and Provide a High Quality of Life for Children and Families."





Contra  
Costa  
County

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Contract #77-217 with Healthrisk Resource Group, Inc.

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Health Services Director, or designee, to execute on behalf of the County Contract #77-217 with Healthrisk Resource Group, Inc., a corporation, in an amount not to exceed \$300,000, to provide claims processing and negotiation services to Contra Costa Health Plan (CCHP) members, for the period from March 1, 2019 through February 28, 2021.

**FISCAL IMPACT:**

This is a contingency fee contract. Contractor receives a percentage of the savings received from their services.

**BACKGROUND:**

Under Contract #77-217, Contractor will provide claims processing services and negotiation services for CCHP, including, acting as billing agent to negotiate discounted rates, reviewing the documentation of medical claims, and electronically transmitting claims from out-of-network medical providers, for the period from March 1, 2019 through February 28, 2021.

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- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Sharron Mackey,  
925-313-6004

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: L Walker, M Wilhelm

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, CCHP will not have access to Contractor's negotiation, claims processing and price factoring services.



Contra  
Costa  
County

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Contract #74-593 with Anka Behavioral Health, Incorporated

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Health Services Director, or designee, to execute on behalf of the County Contract #74-593 with Anka Behavioral Health, Incorporated, a non-profit corporation, in an amount not to exceed \$147,414, to provide socialization and vocation rehabilitative services to adults with severe and persistent mental illness for the period from January 1, 2019 through June 30, 2019. This contract includes a six-month automatic extension through December 31, 2019, in an amount not to exceed \$143,244.

**FISCAL IMPACT:**

This Contract is funded 100% by Mental Health Realignment.

**BACKGROUND:**

Under Contract #74-593, Contractor will provide socialization and vocational rehabilitative services to adults with severe and persistent mental illness, including specific technical training and hands-on instruction for trades, certifications, or diplomas for the period from January 1, 2019 through June 30, 2019, which includes a six-month automatic extension through December 31, 2019.

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- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Matthew White, M.D.,  
925-957-5201

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: L Walker, M Wilhelm

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, adults with severe and persistent mental illness will not receive vocational services provided by this contractor.

ATTACHMENTS



Contra  
Costa  
County

To: Board of Supervisors  
From: David O. Livingston, Sheriff-Coroner  
Date: March 12, 2019

Subject: West Advanced Technologies, Inc.

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**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Sheriff- Coroner, or designee, to execute a contract with West Advanced Technologies, Inc. in an amount not to exceed \$450,000 to provide consulting, design development, programming services, and maintenance and support for the Automated Regional Information Exchange System (ARIES) for the period April 1, 2019 through March 31, 2021.

**FISCAL IMPACT:**

Urban Areas Security Initiative (UASI) Grant and ARIES org #2551 Funding.

**BACKGROUND:**

The Automated Regional Information Exchange System (ARIES) is a software application owned by Contra Costa County, and used by County and other law enforcement agencies to manage arrest and parolee data collected from law enforcement agencies. ARIES manages arrest and parole data provided by local law enforcement agencies that is stored on a County server. The purpose of this Contract is for the Contractor to provide

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- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Sandra Brown  
925-335-1553

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

consulting, design development, programming services, maintenance and support services to the Sheriff's Office, including without limitation, the Data Standardization, Data Warehouse Decommission, LInX Integration and Agency Data Mapping Integration projects. There are currently more than 9,000 users from over 104 different agencies participating in the system.

CONSEQUENCE OF NEGATIVE ACTION:

ARIES continues to improve the way it does business everyday by providing reliable and functional application to law enforcement agencies. If this contract is not approved, ARIES will not be able to continue to improve, upgrade and implement a sophisticated data sharing system for more than 9,000 users.



Contra  
Costa  
County

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Contract #74-592 with Jennifer Lee Miles, M.D.

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Health Services Director, or designee, to execute on behalf of the County Contract #74-592 with Jennifer Lee Miles, MD, an individual, in an amount not to exceed \$199,680, to provide outpatient psychiatric care services to children and adolescents, for the period from April 1, 2019 through March 31, 2020.

**FISCAL IMPACT:**

This Contract is funded by 50% Federal Medi-Cal and 50% by Mental Health Realignment.

**BACKGROUND:**

Under Contract #74-592, Contractor will provide mental health assessments, medication management and evaluations for minors and adolescents with mental health disorders through March 31, 2020.

**CONSEQUENCE OF NEGATIVE ACTION:**

If this contract is not approved, County's clients will not have access to Contractor's psychiatric care services.

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- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Matthew White, M.D.  
(925) 957-5201

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: A Floyd , M Wilhelm



Contra  
Costa  
County

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Contract #26-713-3 with Pediatrix Medical Group of California, A Professional Corporation

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Health Services Director, or designee, to execute on behalf of the County Contract #26-713-3 with Pediatrix Medical Group of California, a Professional Corporation, in an amount not to exceed \$450,000, to provide newborn hearing screening services at Contra Costa Regional Medical Center (CCRMC) and Health Centers, for the period from August 1, 2019 through July 31, 2022.

**FISCAL IMPACT:**

This Contract is funded 100% by Hospital Enterprise Fund I. (No rate increase)

**BACKGROUND:**

On June 21, 2016, the Board of Supervisors approved Contract #26-713-2 with Pediatrix Medical Group of California, A Professional Corporation, to provide newborn hearing screening services for CCRMC and Health Center patients for the period August 1, 2016 through July 31, 2019.

Approval of Contract #26-713-3 will allow Contractor to continue to provide newborn hearing screening services through July 31, 2022.

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- APPROVE  OTHER  
 RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Samir Shah, M.D.  
925-370-5525

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: A Floyd , M Wilhelm



CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, County's patients will not have access to Contractors newborn hearing screening services.



**Contra  
Costa  
County**

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Purchase Order with Carefusion 303, Inc.

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Purchasing Agent, on behalf of the Health Services Director, to execute a Purchase Order with Carefusion 303, Inc., in an amount of \$370,787.52 for the replacement of the Model 8015, Becton Dickinson Alaris Point of Care Unit at the Contra Costa Regional Medical Center (CCRMC).

**FISCAL IMPACT:**

100% funding is included in the Hospital Enterprise Fund I budget.

**BACKGROUND:**

CCRMC's 8015 Point of Care Unit is the engine that powers and runs the infusion pumps, syringe pumps and patient controlled anesthesia. The current Unit has reached its end of service and needs replacement. The purchase of this equipment will ensure that CCRMC staff are using the most up to date Unit to continue with the quality of care we are providing to patients.

**CONSEQUENCE OF NEGATIVE ACTION:**

If this Purchase Order is not approved, CCRMC and Contra Costa Health Centers will be unable to provide the safest, most reliable service to patients.

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- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Jaspreet Benepal,  
925-370-5101

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: M Wilhelm, Margaret Harris



Contra  
Costa  
County

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Amendment No. 3 to Contract #23-596-3 with Emocha Mobile Health, Inc.

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Purchasing Agent, on behalf of the Health Services Director, to execute Amendment No. 3 to Software and Services Agreement #23-596-3 with Emocha Mobile Health, Inc., effective March 1, 2019, to increase the payment limit by \$93,960 for a new payment limit of \$250,440 for tuberculosis monitoring software, and to extend the original term of May 1, 2016 through April 30, 2019, by three years to April 30, 2022.

**FISCAL IMPACT:**

This contract is funded 100% by Hospital Enterprise Fund I.

**BACKGROUND:**

On February 6, 2018, the Board of Supervisors approved Contract #23-596 (as amended by Administrative Amendment #23-596-1) with Emocha Mobile Health, Inc. for the Tuberculosis Monitoring and Outreach software through its Contractor-hosted system which allows the Health Services Department and patients to track laboratory measurements of the illness via telephone. Approval of Amendment No. 3 to Contract #23-596-3 will allow the County to have 10 County employee users of the software with an unlimited number of patients (including licenses, hosting, and support), and extend the contract term by three years through April 30, 2022

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APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

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Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Patrick Wilson,  
925-335-8700

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

CONSEQUENCE OF NEGATIVE ACTION:

If this amendment is not approved, the County will not be able to use the Contractor's software to monitor and track tuberculosis; therefore, the County would have to call patients with laboratory results and capture them manually.



**Contra  
Costa  
County**

To: Board of Supervisors  
From: Kathy Gallagher, Employment & Human Services Director  
Date: March 12, 2019

Subject: Amend Contract with Contra Costa Senior Legal Services for Legal Services to Eligible Seniors

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Employment and Human Services Director, or designee, to execute a contract amendment with Contra Costa Senior Legal Services, effective March 1, 2019, to increase the payment limit by \$90,688 to a new contract payment limit not to exceed \$200,193 for the period July 1, 2018 through June 30, 2019.

**FISCAL IMPACT:**

This amendment will increase department expenditures by \$90,688 to be funded 100% by Federal Older Americans Act, Title III-B and Title VII-B revenue. [CFDA #93.044, 93.041]

**BACKGROUND:**

Contra Costa Legal Services provides county-wide legal services (e.g. phone call, letters and document review, negotiations), representation at administrative proceedings and representation in court hearings to eligible clients 60 years of age and older, with emphasis on those individuals of greatest social need, greatest economic need, minority persons, and particularly minority persons with the greatest economic needs. This amendment is the result of receiving additional funding from the California Department on Aging's redistribution of unused funds from all California counties that related to providing legal services.

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APPROVE
  OTHER  
 RECOMMENDATION OF CNTY ADMINISTRATOR
  RECOMMENDATION OF BOARD COMMITTEE

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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: V. Kaplan, (925)  
608-4963

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

Contra Costa Senior Legal Services was selected through the competitive bid process, Request For Proposals (RFP) 1154.

Original contract was approved by the Board of Supervisors May 22, 2018 (C.83), for \$109,505 from July 1, 2018 through June 30, 2019.

CONSEQUENCE OF NEGATIVE ACTION:

County-wide legal services and representation to clients 60 years of age or older will not be available at no cost.



Contra  
Costa  
County

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Contract #77-062-3 with Medic Shuttle, LLC

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Health Service Director, or designee, to execute on behalf of the County Contract #77-062-3 with Medic Shuttle, LLC, a limited liability company, in an amount not to exceed \$900,000, to provide non-emergency medical transportation/paratransit services for Contra Costa Health Plan (CCHP) members, for the period April 1, 2019 through March 31, 2021.

**FISCAL IMPACT:**

This Contract funded 100% by Contra Costa Health Plan Enterprise Fund II. (No rate increase)

**BACKGROUND:**

In April 2017, the County Administrator approved and the Purchasing Services Manager executed Contract #77-062 (as amended by Amendment Agreements #77-062-1 and #77-062-2) with Medic Shuttle, LLC to provide non-medical transportation/paratransit services for CCHP members for the period April 1, 2017 through March 31, 2019.

Approval of Contract #77-062-3 will allow the Contractor to provide non-emergency medical transportation/paratransit services to CCHP members through March 31, 2021

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- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Sharron Mackey,  
925-313-6104

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: K Cyr, M Wilhelm

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, certain specialized health care services for CCHP members under the terms of their Individual and Group Health Plan membership contracts with the County will not be provided.





Contra  
Costa  
County

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Contract #27-136-12 with Touchstone Counseling Services, Inc.

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Health Services Director, or designee, to execute on behalf of the County Contract #27-136-12 with Touchstone Counseling Services, Inc., a non-profit corporation, in an amount not to exceed \$300,000, to provide outpatient psychotherapy services for Contra Costa Health Plan (CCHP) members for the period from April 1, 2019 through March 31, 2021.

**FISCAL IMPACT:**

This Contract is funded 100% by CCHP Enterprise Fund II. (Rate increase)

**BACKGROUND:**

On March 10, 2015, the Board of Supervisors approved Contract #27-136-11 with Touchstone Counseling Services, Inc., for the provision of outpatient psychotherapy services for CCHP members for the period from April 1, 2017 through March 31, 2019.

Approval of Contract #27-136-12 will allow Contractor to continue providing outpatient psychotherapy services for CCHP members through March 31, 2021.

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APPROVE
  OTHER  
 RECOMMENDATION OF CNTY ADMINISTRATOR
  RECOMMENDATION OF BOARD COMMITTEE

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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

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I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Sharron Mackey,  
925-313-6104

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: K Cyr, M Wilhelm

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, certain specialized professional health care services for CCHP members under the terms of their Individual and Group Health Plan membership contracts with the County will not be provided.



Contra  
Costa  
County

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Contract #27-578-11 with Dialysis Access Center, A Medical Corporation

---

**RECOMMENDATION(S):**

APPROVE AND AUTHORIZE the Health Services Director, or designee, to execute on behalf of the County Contract #27-578-11 with Dialysis Access Center, A Medical Corporation, in an amount not to exceed \$900,000 to provide dialysis services for Contra Costa Health Plan (CCHP) members for the period from April 1, 2019 through March 31, 2021.

**FISCAL IMPACT:**

This Contract is funded 100% by CCHP Enterprise Fund II. (Rate Increase)

**BACKGROUND:**

On March 27, 2017, the Board of Supervisors approved Contract #27-578-9 (as amended by Amendment Agreement #27-578-10) with Dialysis Access Center Inc., to provide dialysis services for CCHP members for the period from April 1, 2017 through March 31, 2019.

Approval of Contract #27-578-11 will allow the Contractor to continue providing dialysis services for CCHP members through March 31, 2021.

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APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Sharron Mackey,  
925-313-6104

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: K Cyr, M Wilhelm

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, certain specialized health care services for CCHP members under the terms of their Individual and Group Health Plan membership contracts with the County will not be provided.



Contra  
Costa  
County

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Contract #77-069-1 with Sun Healthcare and Surgery Group, Inc.

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Health Services Director, or designee, to execute on behalf of the County Contract #77-069 with Sun Healthcare and Surgery Group, Inc., a corporation, in an amount not to exceed \$2,500,000, to provide podiatry and wound care services for Contra Costa Health Plan (CCHP) members, for the period from April 1, 2019 through March 31, 2021.

**FISCAL IMPACT:**

This Contract is funded 100% by CCHP Enterprise Fund II Fund. (Rate increase)

**BACKGROUND:**

On March 7, 2017, the Board of Supervisors approved Contract #77-069 with Sun Healthcare and Surgery Group, Inc. to provide podiatry and wound care services for CCHP members the period April 1, 2017 through March 31, 2019.

Approval of Contract #77-069-1 will allow the Contractor to continue to provide podiatry and wound care services for CCHP members through March 31, 2021.

- 
- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Sharon Mackey,  
925-313-6104

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: K Cyr, M Wilhelm

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, certain specialized health care services for CCHP members under the terms of their Individual and Group Health Plan membership contracts with the County will not be provided.



Contra  
Costa  
County

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Contract #74-514-5 with Robin Wendy Asher, MD

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**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Health Services Director, or designee, to execute on behalf of the County Contract #74-514-5 with Robin Wendy Asher, MD, an individual, in an amount not to exceed \$239,616, to provide outpatient psychiatric care services to children and adolescents in Central Contra Costa County, for the period from April 1, 2019 through March 31, 2020.

**FISCAL IMPACT:**

This Contract is funded by 50% Federal Medi-Cal and 50% by Mental Health Realignment. (No rate increase)

**BACKGROUND:**

On January 23, 2018, the Board of Supervisors approved Contract #74-514-3, (as amended by Contract Amendment Agreement #74-514-4) with Robin Wendy Asher, MD, to provide outpatient psychiatric services to mentally ill adults in Central Contra Costa County, including diagnosing, counseling, evaluation, and medical and therapeutic treatment and consulting and training in medical and therapeutic matters for the period from April 1, 2018 through March 31, 2019.

Approval of Contract #74-514-5 will allow Contractor to continue providing psychiatric services through March 31, 2020.

- 
- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Matthew White, M.D.,  
925-957-5201

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, County's clients will not have access to Contractor's psychiatric care services.





**Contra  
Costa  
County**

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Amendment/Extension #26-744-4 with the Regents of the University of California, on behalf of its University of California, San Francisco School of Med

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Health Services Director, or designee, to execute on behalf of the County Contract Amendment/Extension Agreement #26-744-4 with the Regents of the University of California, on behalf of its University of California, San Francisco School of Medicine, an educational institution, effective July 1, 2019, to increase the payment limit by \$120,000, from \$210,000 to a new payment limit of \$330,000, and to extend the termination date from June 30, 2019 to June 30, 2022, to provide a residency training program in family medicine.

**FISCAL IMPACT:**

This amendment is funded 100% by Hospital Enterprise I Funds. (Rate increase)

**BACKGROUND:**

On August 6, 2013, the Board of Supervisors approved Contract #26-744 (as amended by Amendment Agreements #26-744-1 through #26-744-3) with the Regents of the University of California, on behalf of its University of California, San Francisco School of Medicine, to provide a residency training program in family medicine for the period from May 1, 2013 through June 30,

- 
- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Samir Shah, MD,  
925-370-5525

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: E Suisala , M Wilhelm

BACKGROUND: (CONT'D)

2019. This contract includes mutual indemnification.

Approval of Contract Amendment/Extension Agreement #26-744-4 will allow the Contractor to continue providing services through June 30, 2022.

CONSEQUENCE OF NEGATIVE ACTION:

If this amendment is not approved, Contractor's students will not continue providing services in the Family Medicine Program.



**Contra  
Costa  
County**

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Amendment #74-394-12 with Ronald L. Leon, M.D., Inc.

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Health Services Director, or designee, to execute on behalf of the County Contract Amendment Agreement #74-394-12 with Ronald L. Leon, M.D., Inc., a corporation, effective April 1, 2019, to amend Contract #74-394-11, to increase the payment limit by \$12,480, from \$209,664 to a new payment limit of \$222,144, with no change in the term of April 1, 2019 through March 31, 2020.

**FISCAL IMPACT:**

This amendment is funded by 100% Mental Health Realignment. (Rate increase)

**BACKGROUND:**

On December 12, 2018, the Board of Supervisors approved Contract #74-394-11 with Ronald L. Leon, M.D., Inc. to provide outpatient psychiatric services to patients in East Contra Costa County, for the period from April 1, 2019 through March 31, 2020.

Approval of Contract Amendment Agreement #74-394-12 will allow the Contractor to provide additional services through March 31, 2020.

- 
- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Matthew White M.D.,  
925-957-5201

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

CONSEQUENCE OF NEGATIVE ACTION:

If this amendment is not approved, Contractor will not provide additional psychiatric services.



**Contra  
Costa  
County**

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Contract #24-837-28 with Daniel May, M.D.

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Health Services Director, or designee, to execute on behalf of the County Contract #24-837-28 with Daniel May, M.D., an individual, in an amount not to exceed \$279,552, to provide outpatient psychiatric services to County patients in West Contra Costa County, for the period from May 1, 2019 through April 30, 2020.

**FISCAL IMPACT:**

This Contract is funded 100% Mental Health Realignment. (No rate increase)

**BACKGROUND:**

On March 27, 2018, the Board of Supervisors approved Contract #24-837-26 (as amended by Contract Amendment Agreement #24-837-27) with Daniel May, M.D., to provide outpatient psychiatric services, including diagnosing, counseling, evaluating, and providing medical and therapeutic treatment to County patients in West County, for the period from May 1, 2018 through April 30, 2019.

Approval of Contract #24-837-28 will allow Contractor to continue providing outpatient psychiatric services through April 30, 2020.

- 
- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Matthew White, M.D.,  
925-957-5201

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: E Suisala , M Wilhelm

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, adult patients in West County requiring outpatient psychiatric services will not have access to Contractor's services.



Contra  
Costa  
County

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Contract #74-424-9 with David A. Schwartz, M.D.

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Health Services Director, or designee, to execute on behalf of the County Contract #74-424-9 with David A. Schwartz, M.D., an individual, in an amount not to exceed \$174,720 to provide outpatient psychiatric services for adults in Central Contra Costa County for the period from May 1, 2019 through April 30, 2020.

**FISCAL IMPACT:**

This Contract is funded 100% Mental Health Realignment. (No rate increase)

**BACKGROUND:**

On March 13, 2018 the Board of Supervisors approved Contract #74-424-7 (as amended by Contract Amendment Agreement #74-424-8) with David A. Schwartz, M.D., for the provision of outpatient psychiatric services, including, but not limited to diagnosing, counseling, evaluating, and providing medical and therapeutic treatment to mentally ill adults in Central Contra Costa County for the period May 1, 2018 through April 30, 2019.

Approval of Contract #74-424-9 will allow Contractor to continue providing outpatient psychiatric services through April 30, 2020.

---

APPROVE
  OTHER  
 RECOMMENDATION OF CNTY ADMINISTRATOR
  RECOMMENDATION OF BOARD COMMITTEE

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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Matthew White, M.D.,  
925-957-5201

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: A Floyd, M Wilhelm

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, County's clients will not have access to Contractor's outpatient psychiatric services.





Contra  
Costa  
County

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Contract #76-640 with Andrew Keyser. M.D.

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Health Services Director, or designee, to execute on behalf of the County Contract #76-640 with Andrew Keyser, M.D, an individual, in an amount not to exceed \$211,000, to provide emergency medicine services for Contra Costa Regional Medical Center (CCRMC) and Health Centers for the period from April 10, 2019 through March 31, 2020.

**FISCAL IMPACT:**

This Contract is funded 100% by Hospital Enterprise I. (No rate increase)

**BACKGROUND:**

Under Contract #76-640, Contractor will provide emergency medicine services at CCRMC and Health Centers for the period April 10, 2019 through March 31, 2020.

**CONSEQUENCE OF NEGATIVE ACTION:**

If this contract is not approved, patients requiring emergency medicine care at CCRMC and Health Centers will not have access to Contractor's services.

- 
- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Samir Shah, M.D.,  
925-370-5525

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: A Floyd, M Wilhelm



**Contra  
Costa  
County**

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Contract #27-779-5 with Northern California Cornea Associates, Inc.

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Health Services Director, or designee, to execute on behalf of the County Contract #27-779-5 with Northern California Cornea Associates, Inc., a corporation, in an amount not to exceed \$300,000, to provide ophthalmology and optometry services for Contra Costa Health Plan (CCHP) members for the period from April 1, 2019 through March 31, 2021.

**FISCAL IMPACT:**

This Contract is funded by 100% by CCHP Enterprise Fund II. (Rate increase)

**BACKGROUND:**

In February 2017, the County Administrator approved and the Purchasing Services Manager executed Contract #27-779-4 with Northern California Cornea Associates, Inc. to provide ophthalmology and optometry services for CCHP members for the period from April 1, 2017 through March 31, 2019.

Approval of Contract #27-779-5 will allow Contractor to continue providing ophthalmology and optometry services for CCHP members through March 31, 2021.

- 
- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Sharron Mackey,  
925-313-6104

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: K Cyr, M Wilhelm

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, certain specialized health care services for CCHP members under the terms of their Individual and Group Health Plan membership contracts with the County will not be provided.



Contra  
Costa  
County

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Contract #27-583-6 with Contra Costa Eye Medical Center, Inc.

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Health Services Director, or designee, to execute on behalf of the County Contract #27-583-6 with Contra Costa Eye Medical Center, Inc., a corporation, in an amount not to exceed \$250,000 to provide ophthalmology services for Contra Costa Health Plan (CCHP) members for the period from April 1, 2019 through March 31, 2021

**FISCAL IMPACT:**

This Contract is funded 100% by CCHP Enterprise Fund II. (Rate Increase)

**BACKGROUND:**

On March 14, 2017 the Board of Supervisors approved Contract #27-583-5 with Contra Costa Eye Medical Center, Inc., to provide ophthalmology services for CCHP members for the period from April 1, 2017 through March 31, 2019.

Approval of Contract #27-583-6 will allow the Contractor to continue providing ophthalmology services for CCHP members through March 31, 2021.

**CONSEQUENCE OF NEGATIVE ACTION:**

If this contract is not approved, certain specialized health care services for CCHP members under the terms of their Individual and Group Health Plan membership contracts with the County will not be provided.

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Sharron Mackey,  
925-313-6104

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: K Cyr, M Wilhelm





Contra  
Costa  
County

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Contract #77-211 with Connect Hearing, Inc.

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Health Services Director, or designee, to execute on behalf of the County Contract #77-211 with Connect Hearing, Inc., a corporation, in an amount not to exceed \$120,000, to provide hearing aid dispensing services to Contra Costa Health Plan (CCHP) members for the period from April 1, 2019 through March 31, 2021.

**FISCAL IMPACT:**

This Contract is funded 100% by CCHP Enterprise Fund II.

**BACKGROUND:**

Under Contract #77-211, the Contractor will provide hearing aid dispensing services to CCHP members for the period April 1, 2018 through March 31, 2021

**CONSEQUENCE OF NEGATIVE ACTION:**

If this contract is not approved, certain specialized health care services for CCHP members under the terms of their Individual and Group Health Plan membership contracts with the County will not be provided.

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

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Action of Board On: 03/12/2019  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Sharron Mackey,  
925-313-6104

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: K Cyr, M Wilhelm



Contra  
Costa  
County

To: Board of Supervisors  
From: Anna Roth, Health Services Director  
Date: March 12, 2019

Subject: Purchase Order with Sam Clar Office Furniture, Inc.

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Purchasing Agent, on behalf of the Health Services Director, to execute a Purchase Order with Sam Clar Office Furniture, Inc. in an amount not to exceed \$159,540 for furniture purchase at 2120 Diamond Blvd for the expansion of the Environmental Health Division.

**FISCAL IMPACT:**

Funded 100% by Hazardous Materials fees.

**BACKGROUND:**

Sam Clar Office Furniture, Inc. has supplied needed furniture and installation for offices and other spaces to multiple Health Services Department (HSD) locations. The Environmental Health Division is expanding into a larger suite and requires furniture and workstations to accommodate the staff and to serve clients.

**CONSEQUENCE OF NEGATIVE ACTION:**

If this Purchase Order is not approved the staff moving to the new suite will not have furniture or workstations to perform their work efficiently.

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Patrick Godley,  
925-957-5405

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Gennifer Mountain, Marcy Wilhelm



Contra  
Costa  
County

To: Board of Supervisors  
From: Beth Ward, Animal Services Director  
Date: March 12, 2019

Subject: Animal Services Director UPDATE with Shelter Enrichment Team (SET) Program

---

**RECOMMENDATION(S):**

ACCEPT Animal Services Department mid-fiscal year presentation on Shelter Enrichment Team (SET) program contract deliverables.

**FISCAL IMPACT:**

This board action has no fiscal impact. The \$300,000 contract used to execute the SET program reported on in this presentation, is funded mainly with fund balance from the Animal Benefit Fund, which is non-General Fund. There are insufficient funds generated through the Animal Benefit Fund to support this program long-term.

**BACKGROUND:**

Animal Services Department would like to provide a mid-fiscal year update on the SET program's contract deliverables and benefits/challenges we have learned in the past six months. The SET team has provided support in dog walking, kennel enrichment, behavior evaluations, volunteer/staff/public trainings and various exercise activities for dogs that may have entered the shelter with behavior challenges or have developed difficult to handle behaviors while in care at the Martinez and Pinole shelters.

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF  
SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

David J. Twa, County Administrator and Clerk of the Board of Supervisors

Contact: (925)  
608-8470

By: , Deputy

cc:




CONSEQUENCE OF NEGATIVE ACTION:

The Department's capacity to provide animal welfare and care to sheltered animals at the Pinole and Martinez shelters would be negatively impacted if the SET program was discontinued.

ATTACHMENTS


Update on Shelter Enrichment Program



# Status Report: Shelter Enrichment Team

---

Unconditional Dog  
7/01/2018-1/01/2019



## Current Contract

July 1, 2018-June 31, 2019

### Deliverables

- Provide Enrichment, in and out of kennel, to dogs at CCAS
  - 40 In-Kennel between both shelters, per work day (5 days/week)
  - 10 Out of Kennel/Walks in Pinole and 30 Out of Kennel/Walks in Martinez, per work day (5 days/week)
- Provide Behavior Evaluations
  - 30 in Martinez and 15 in Pinole, per week
- To provide Volunteer Training
- To provide Public Education Training Classes

# Definitions of Deliverables



## In Kennel Enrichment

Providing enrichment via presentation of novel object, scent, food toy or training session with use of protected barrier (kennel door).

\*Specifically used for sick, injured, quarantined, and severely stressed animals

# Definition of Deliverables



## Out Of Kennel Enrichment - referred to as "Walks"

Consists of any activity outside of protective contact. Typically these sessions consist of walks, training sessions, nosework activities or playtime with toys.

# Definition of Deliverables



## Behavior Evaluations

Using a predetermined and consistent set of interactions with each dog to gain knowledge on how they might handle situations outside of the shelter. This helps us to place each dog into the category of Available or Transfer Behavior and uses a color coded system to describe a set of expected behaviors. This information aids in adoption counseling and handling within shelter.

# Our Team

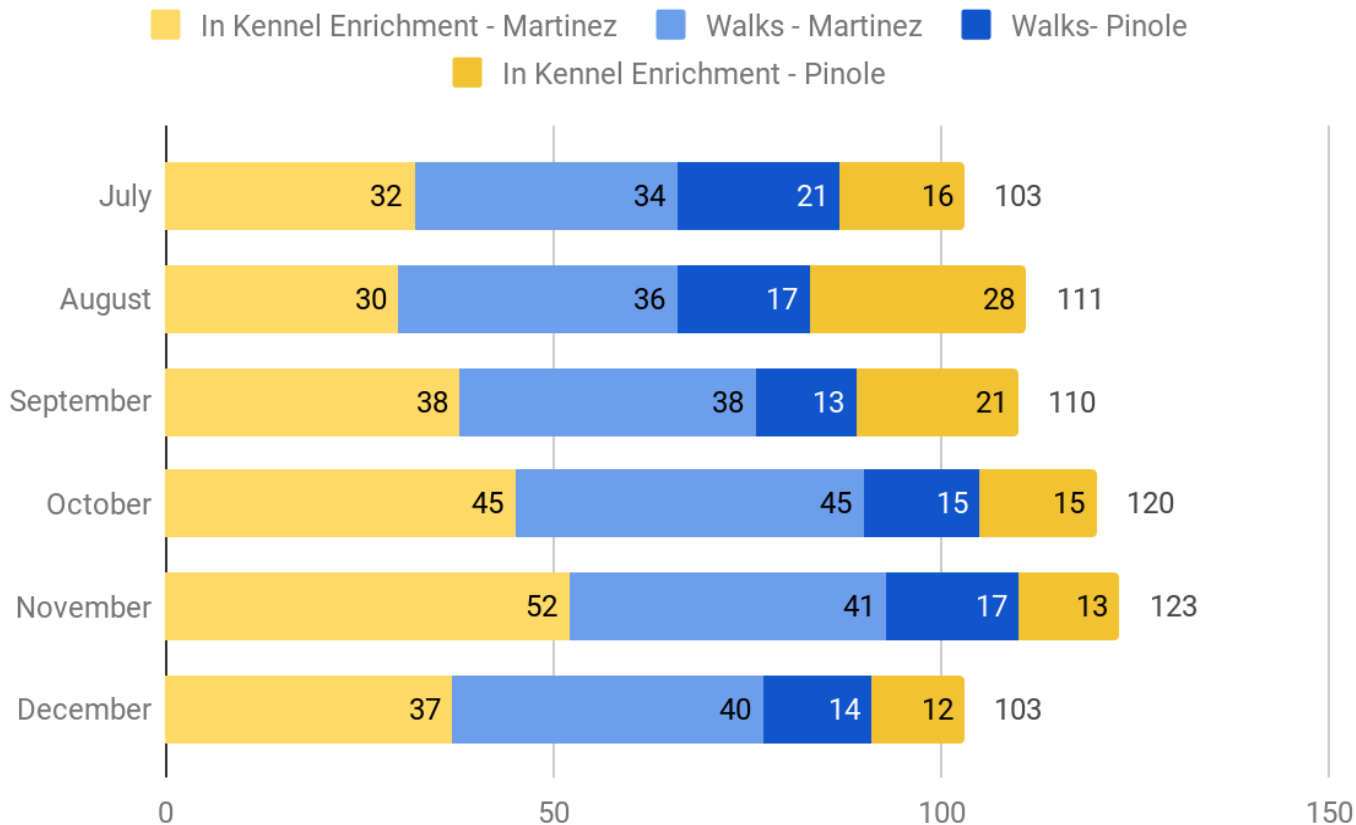
Throughout the majority of the referred time frame our team was as follows:

Two full-time (30-40 hours/week) “supervisors” who perform Behavior Evaluations, Volunteer Training, coordinate and meet with CCAS staff as necessary, track behavior changes with resident dogs and work daily with the most challenging dogs, in addition to coordinating SET duties and tasks.

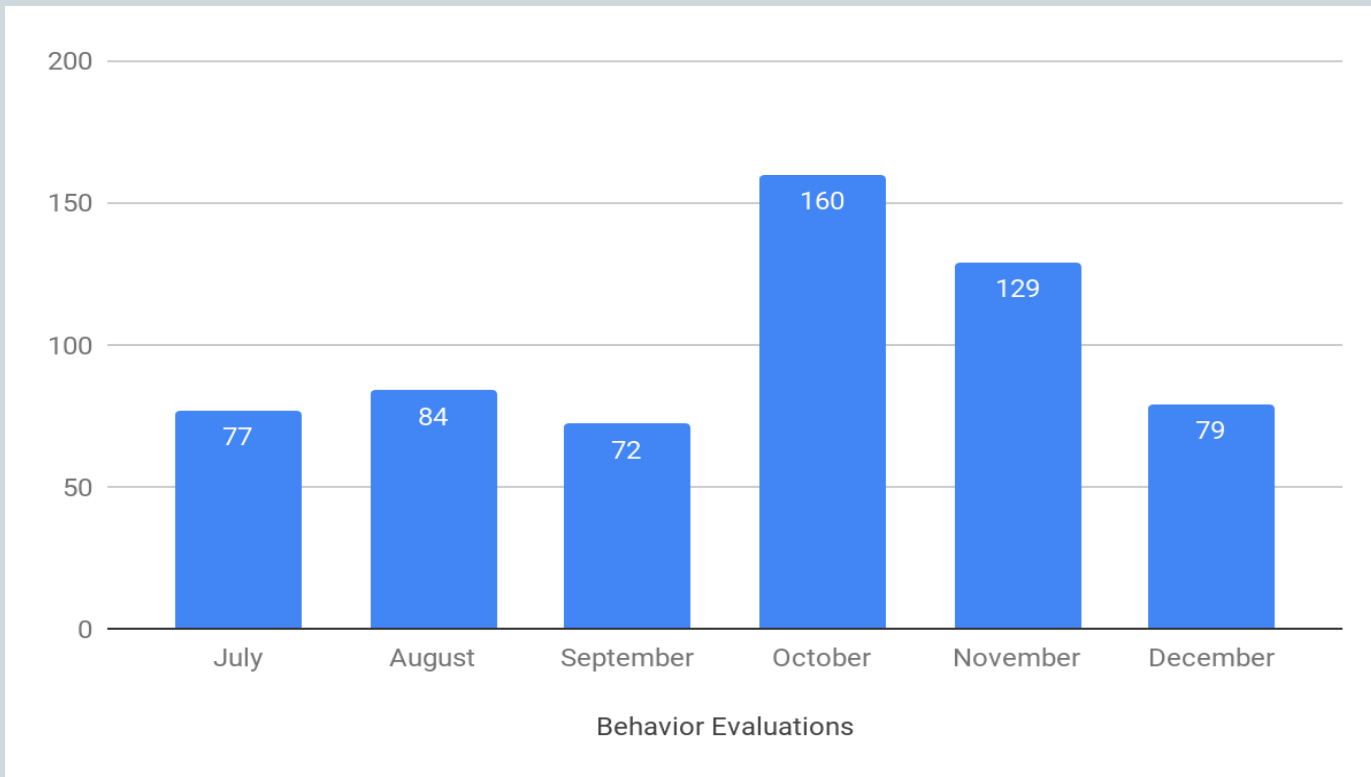
Two full-time (40 hours/week) walkers, and three part-time (25 hours/week) walkers

Additionally, two Contractors who assisted in completion of Behavior Evaluations and Volunteer Training for a collective 25 hours/month

# In and Out of Kennel Enrichment- Daily Average, per Month







- Fluctuations highly dependent on intake of animals, as well as animal health at time of attempted evaluation.
- Shelter practice is to place dogs under 25 lbs, or under 1 yr old, with an “Available” status prior to a behavior evaluation to facilitate quicker adoptions for the more “desirable” dogs.

# Trainings Offered - July Through December



Volunteer Trainings:

13 Volunteer Classes

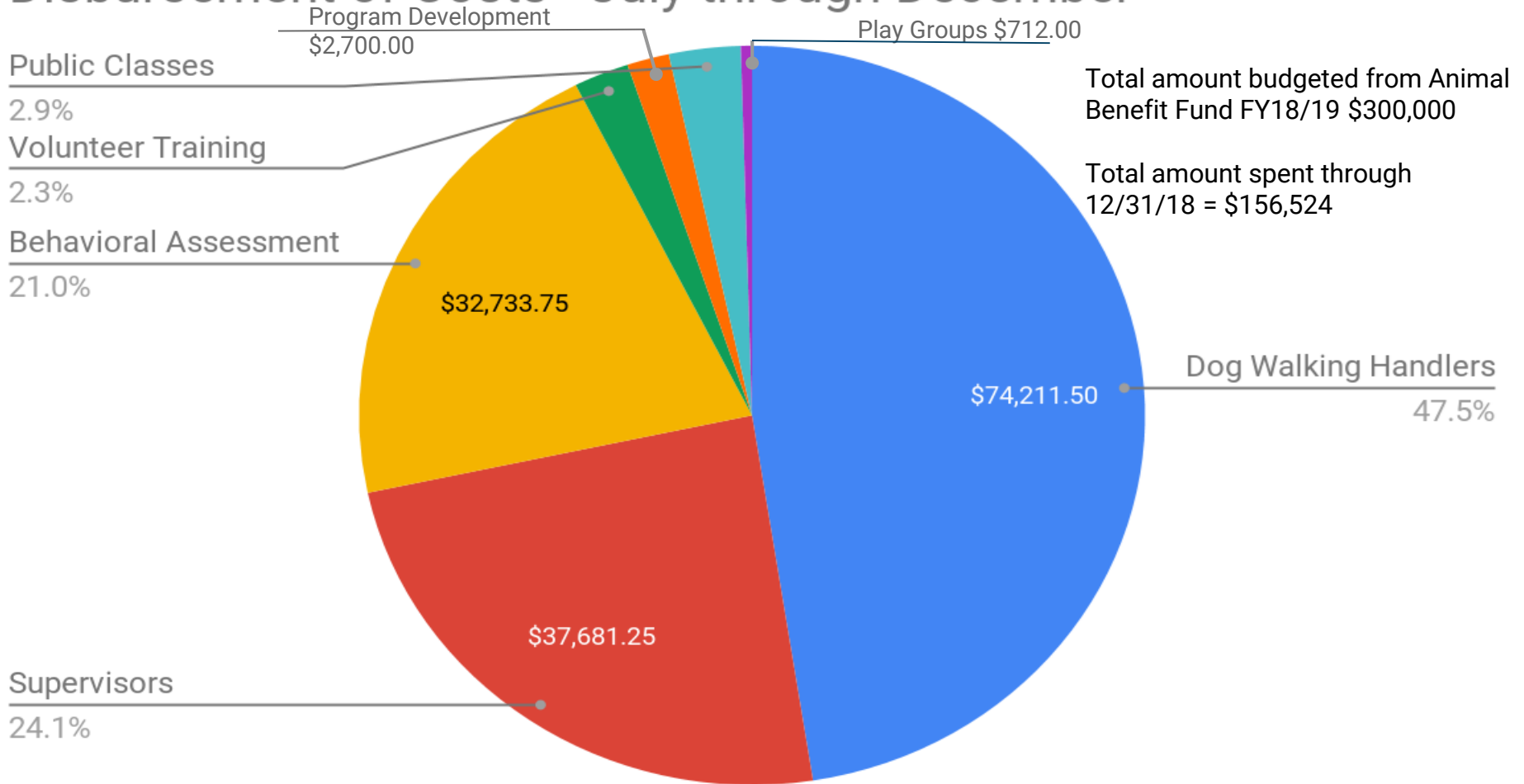
12 drop-in classes offered

Public Training - 2 classes  
per week

Total Classes: 46 classes

PDA Classes due to begin in  
March 2019

# Disbursement of Costs - July through December





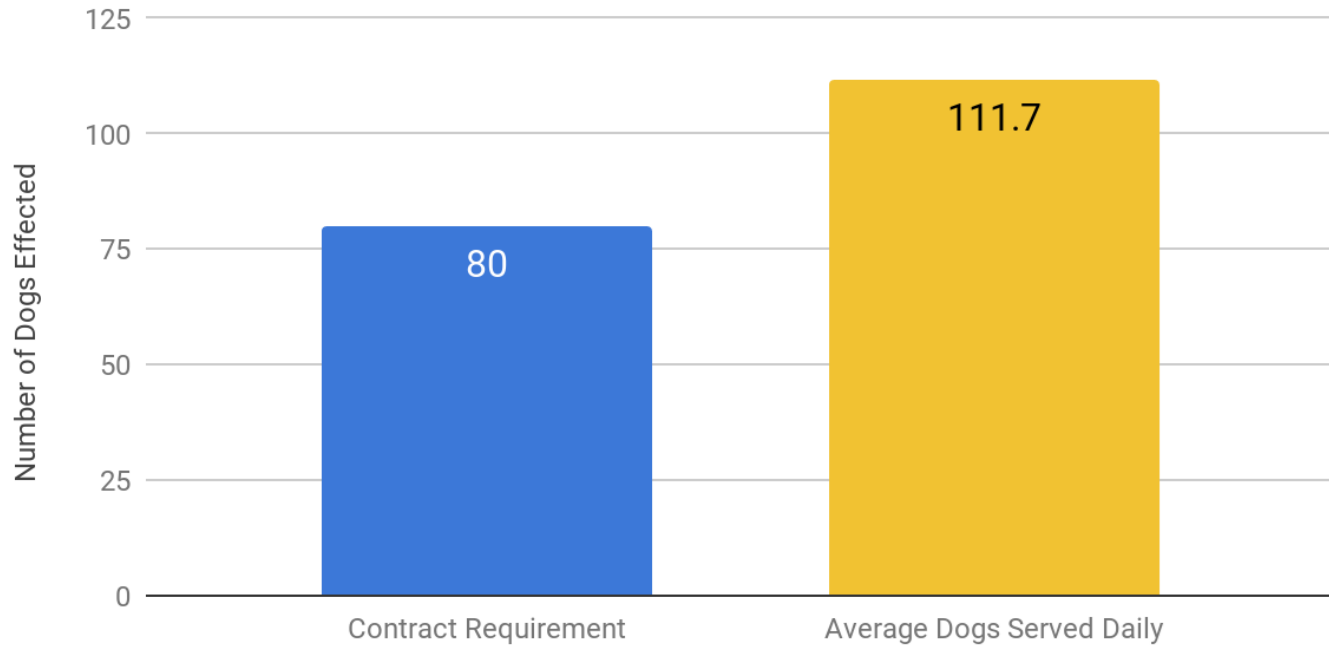
# Results

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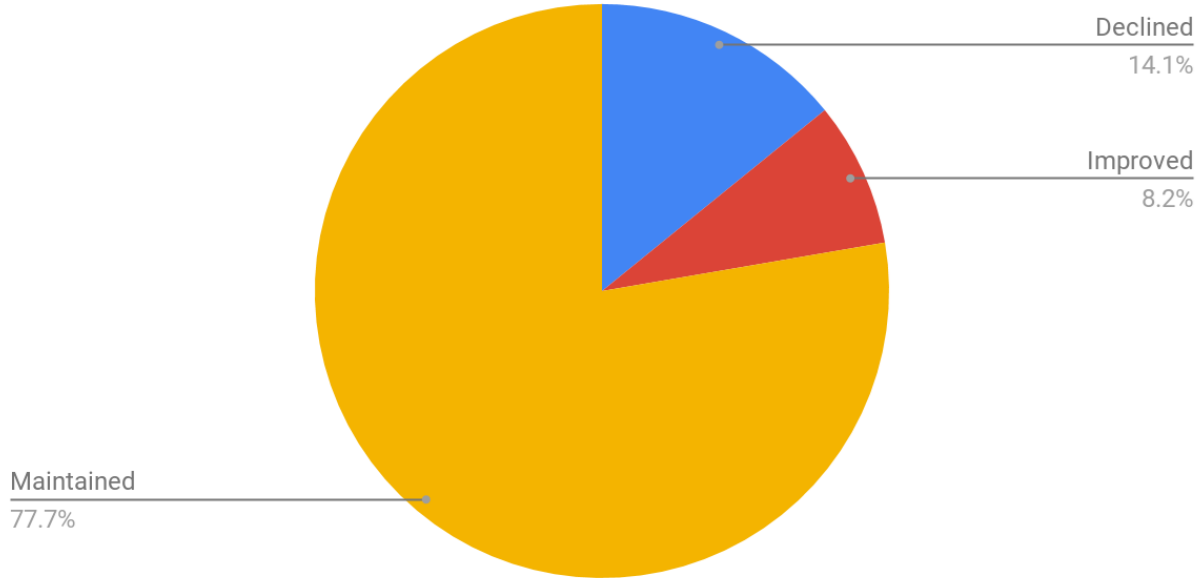
# Average Daily Enrichment

Daily Average of Dogs Directly Effected by SET Efforts



## Data Tracking of Status Changes

Specifically tracking dogs who were evaluated from 7/1/18-1/1/19



Prior to the beginning of the SET program a large percentage of dogs “declined” during their stay. Positive results of the SET program are that dogs “maintained” or “improved” their status during their stay at CCAS.

# Program Successes



1. Helping dogs maintain for longer stays
  2. Improvement through consistent training/interactions
  3. Being able to slow or limit decline due to kennel stress
  4. More thorough knowledge of dogs as individuals, before adoption/transfer
  5. Team-like atmosphere with medical and kennel staff
-

# Program Challenges

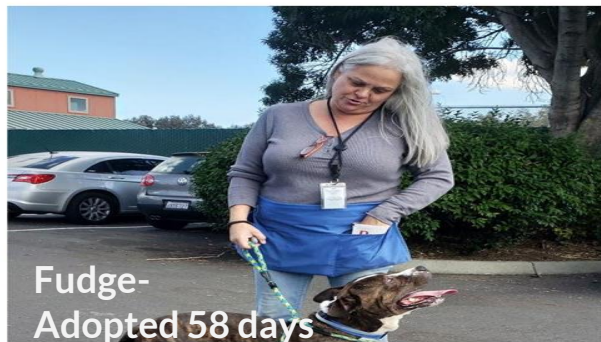


1. Increase in population and length of stay for dogs directly affects stress within facility
  2. Limited control over environment impacts efficacy of training and management plans, specifically in cases needing environmental arrangement
  3. Outside pressure to make dogs with behavior concerns “Available” while managing higher return rate
  4. Management of notes and communications with number of dogs under consistent care
  5. Taking on of additional tasks based on shelter needs (assisting with medical, adoption counseling, adopter support, transfer partner communications)
-





Conway-  
Transferred 291 days



Fudge-  
Adopted 58 days



Tango-  
Still Waiting! 338+ days



Shasta-  
Transferred 46 days



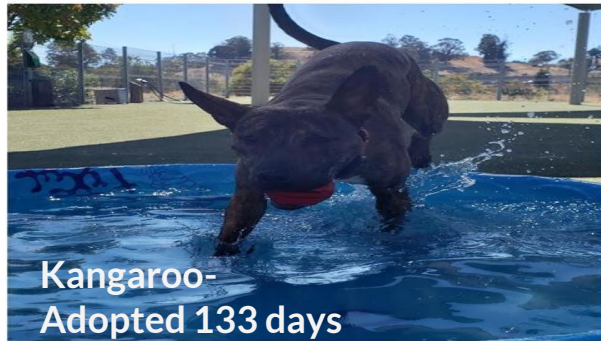
Robo-  
Transferred  
149 days



Count Chocula-  
Transferred  
112 days



Royal-  
Adopted 155 days



Kangaroo-  
Adopted 133 days



Pancho-  
Transferred  
122 days



Contra  
Costa  
County

To: Board of Supervisors  
From: Melinda Cervantes, County Librarian  
Date: March 12, 2019

Subject: Amended and Restated Bylaws of the Contra Costa County Library Commission (2019)

---

**RECOMMENDATION(S):**

ADOPT the Amended and Restated Bylaws of the Contra Costa County Library Commission, as recommended by the Library Commission and the County Librarian.

**FISCAL IMPACT:**

No fiscal impact.

**BACKGROUND:**

The Library Commission was established by the Board on March 12, 1991. The 1991 Board Order established the composition and powers of the Commission. Since that time, a number of changes have occurred that have affected the Commission. The City of Richmond elected to leave the Commission. Two new members were added to the Commission when the City of Oakley incorporated in 2000 and the College District was invited to join. On December 19, 2017, the Board approved the current composition of the Commission and assorted changes to the powers of the Commission. On April 24, 2018, the Board of Supervisors approved the Amended and Restated Bylaws of the Commission. During the regular Commission meeting in November, 2018, the Commissioners discussed moving some of the meetings to other library facilities as a way of achieving Goal 3, Objective 2 of the 2019 Work

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Walter Beveridge  
925-608-7730

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

Plan: "Conduct more meetings of the Commission at community libraries." It was discovered during this discussion that while the current bylaws grant the Commission the ability to change the location of the meeting, they are silent on the ability to alter the day/time of the meeting. This addition to the bylaws seeks to remedy that oversight.

CONSEQUENCE OF NEGATIVE ACTION:

If these revised bylaws are not approved, the Library Commission will not have the flexibility necessary to allow changes in meeting days/times. This flexibility will aid the Commission in achieving Goal 3, Objective 2 of their current 2019 Work Plan: "Conduct more meetings of the Commission at community libraries."

CHILDREN'S IMPACT STATEMENT:

None.

ATTACHMENTS

Bylaws - Clean Copy

2019 Draft Bylaws with added language

**AMENDED AND RESTATED BYLAWS OF THE  
CONTRA COSTA COUNTY LIBRARY COMMISSION**

Adopted March 12, 2019

**AMENDED AND RESTATED BYLAWS  
OF THE CONTRA COSTA COUNTY  
LIBRARY COMMISSION  
March 2019**

**I. NAME**

The name of the commission is the Contra Costa County Library Commission ("the Commission").

**II. AUTHORITY**

The Commission is organized and exists as an advisory board to the Board of Supervisors of Contra Costa County (the "Board") and the County Librarian pursuant to an Order of the Board dated March 12, 1991. The composition of the Commission and certain of its powers were revised pursuant to an Order of the Board dated December 19, 2017, and an Order of the Board dated April 24, 2018.

**III. PURPOSE**

The Commission is created for the following purposes:

- A. To serve in an advisory capacity to the Board and the County Librarian.
- B. To provide community linkage to the County Library including, but not limited to, providing regular reports on the activities of the Commission to appointing authorities.
- C. To serve as a forum for the community to express its views regarding the goals and operations of the County Library.
- D. To assist the Board and the County Librarian in providing library services based on assessed public need.
- E. To develop and recommend proposals to the Board and the County Librarian for the betterment of the County Library, including, but not limited to, such efforts as insuring a stable and adequate funding level for the libraries in the County.

**IV. DUTIES**

The Commission shall perform the following advisory functions:

- A. Participate in the planning process, including the Library element of the County General Plan and the Library Strategic Plan.

- B. Assist in the review of County Library policies that the Commission and the County Librarian determine will improve the operations of the County Library and service to the public.
- C. Perform such other tasks and undertake such other assignments as may from time to time be referred to the Commission by the Board or the County Librarian.
- D. Provide reports to the Board and the County Librarian when the Commission deems such reports to be timely and appropriate.

The Library Commission is an advisory body and as such is specifically prohibited from (i) undertaking any inquiry or investigation into the personnel policies and practices of the County Library or the day-to-day administrative operations of the County Library, and, (ii) from taking any action that would imply the County’s support or opposition to legislation, in the absence of, or that is inconsistent with, adopted Board positions. *Only the Board of Supervisors can send letters on a particular piece of legislation.*

## V. COMPOSITION

When all positions are filled, the Commission will be comprised of no fewer than twenty-four voting members and no more than twenty-eight total members (voting and non-voting members are “Members”). The composition of the Commission will be as follows:

- A. Eighteen city (town) representatives, with each of the eighteen cities and towns in the County Library Service Area<sup>1</sup> having one appointment, and with each appointment being (i) other than a member of the city (town) council, and (ii) a voting Member (each such Member a “City Member”).
- B. Five representatives of the County, with each member of the Board having one appointment and each appointment being (i) other than a member of the Board, and (ii) a voting Member (each such Member, a “County Member”).
- C. Four ex officio (non-voting) Members, with (i) each of the following entities having one appointment, and (ii) each to be other than an elected member of the appointing authority’s governing body.
  - Contra Costa County Office of Education
  - Contra Costa Friends Council
  - East Bay Leadership Council

---

<sup>1</sup> The eighteen cities/towns are: Antioch, Brentwood, Clayton, Concord, Danville, El Cerrito, Hercules, Lafayette, Martinez, Moraga, Oakley, Orinda, Pinole, Pittsburg, Pleasant Hill, San Pablo, San Ramon and Walnut Creek.

- Contra Costa Community College District

D. One voting Member appointed by the Contra Costa Central Labor Council (the “Labor Council Member”).

An appointing authority may appoint an alternate member to participate as a Member when the primary Member is absent. When the primary Member is absent, the alternate member will serve as the voting Member for the appointing authority. Alternate members may attend any meeting, but may only vote in the absence of the primary Member.

When a vacancy occurs, the entity represented by the vacant seat may appoint a replacement representative.

## VI. DURATION AND TERM

### A. General.

1. Term. Following any applicable Transition Term, as defined below, each Member will serve 4-year term and may be reappointed by their appointing authority. Each Member, including a Member appointed to fill a vacancy, will hold the seat for which they were appointed until the expiration of the term for that seat, or until that Member’s earlier resignation or removal in accordance with these bylaws.

### 2. Definitions.

- a) “Class 1” means the group of Members that have a four-year term beginning July 1, 2018.
- b) “Class 2” means the group of Members that have a four-year term beginning July 1, 2019.
- c) “Class 3” means the group of Members that have a four-year term beginning July 1, 2020.
- d) “Class 4” means the group of Members that have a four-year term beginning July 1, 2021.
- e) “Current Term” means the term in effect at the time these bylaws are amended and restated by the Board in 2018.
- f) “Transition Term” means the period of time that begins on the day after the Member’s Current Term expires, and ends on (i) June 30, 2018, if the Member is in Class 1, (ii) June 30, 2019, if the Member is in Class 2, (iii)

June 30, 2020, if the Member is in Class 3, and (iv) June 30, 2021, if the Member is in Class 4.

3. Class Assignments. Class assignments will be determined by the County Librarian.

B. Rescission. Either the Board or the appointing authority may rescind an appointment to the Commission.

## VII. ATTENDANCE REQUIREMENTS

Regular attendance at meetings of the Commission is required. An unexcused absence from three regularly scheduled consecutive meetings will be considered grounds for the Commission to recommend to the Board that it rescind the absentee Member's appointment. Excused absences will be granted for the following reasons: illness of self, member of immediate family, or close friend; death of member of immediate family or close friend; requirements of the member's job or elected/appointed position; vacation. A Member must contact the Secretary prior to the meeting to be excused from a meeting.

Before making a recommendation of rescission to the Board, the Chair will notify any Member whose appointment is at risk.

## VIII. OPEN MEETINGS AND CONFLICT OF INTEREST

Commission meetings must be open to the public in accordance with the Ralph M. Brown Act, (Gov. Code 54950 *et seq.*) and the Contra Costa County Better Government Ordinance. Commission members must adhere to the principles and rules of the Political Reform Act of 1974 (Gov. Code 81000 *et seq.*).

## IX. OFFICERS

A. The Commission shall elect its own Chair and Vice Chair. The County Librarian will be the Secretary.

B. The Chair shall (i) preside at all meetings of the Commission, (ii) set the agenda and review minutes for all meetings in consultation with the Secretary, (iii) have general supervision over all Commission business and (iv) have such other powers and duties as may be assigned by the Commission, provided such powers and duties are consistent with these bylaws.

C. The Vice Chair shall, in the absence or inability of the Chair to act, exercise all the powers and perform all the duties of the Chair. The Vice Chair shall also have such other powers and duties as may be assigned by the Commission, provided such powers and duties are consistent with these bylaws.



- D. The Secretary will keep the minutes of the meetings of the Commission. In consultation with the Chair, the Secretary shall prepare all agendas. The Secretary shall distribute all agendas, act as custodian of Commission records, keep a register of the contact information of each member, which information is to be furnished to the Secretary by each member and, in general, perform all duties incident to the office of Secretary.
- E. The Chair and Vice Chair shall be elected annually at the regular November meeting for a term of one year and may succeed themselves for one additional consecutive term. Officer vacancies are to be filled by election at the next regular meeting.

## X. MEETINGS

- A. The Commission shall hold regular meetings at least quarterly at dates and times to be determined by vote of the Commission, at the final meeting of the year for the year following. Meetings will be held at the Contra Costa County Library Headquarters, 777 Arnold Drive, Suite 210, Martinez, California 94553, or other designated location. Ninety-six hours' notice must be given for all regular meetings.
- B. A special meeting may be called at any time by the Chair or by a Commission majority.
- C. A quorum for all meetings is thirteen voting Members.
- D. The agenda and minutes of each meeting is to be sent electronically to each member, the Board of Supervisors, and any additional persons authorized by the Commission. A paper copy of the agenda and minutes of each meeting will be mailed upon request. Other persons requesting the minutes of a meeting must do so in writing to the Secretary and pay the prevailing copying and mailing rates.

## XI. VOTING

- A. The work of the Commission may proceed by consensus as long as there is no objection from a Member to proceeding in this manner.
- B. Except as provided in Section XIV below, on any matter where a Member requests a recorded vote, the matter may only be approved if it is approved by a majority of the County Members present and a majority of the City Members present. For purposes of this section, the vote of the Labor Council Member will be counted as a City Member vote.
- C. Proxy voting is not permitted.

D. Alternate members may only vote in the absence of the primary Member.

## XII. CONDUCT OF BUSINESS

- A. Only business that is clearly identified as an item of discussion on the publicly-posted meeting agenda may be transacted at a meeting of the Commission, except as permitted under the Ralph M. Brown Act and Contra Costa County Better Governance Ordinance.
- B. All meetings of the Commission are to be called to order by the Chair, or in the Chair's absence, by the Vice Chair, or in the Vice Chair's absence, by a Member designated for that purpose by the Chair or Vice Chair. In the absence of any such designation, the Commission may designate an acting chair by majority vote.
- C. Public comment at all meetings is to be permitted in accordance with applicable law.

## XIII. COMMITTEES

- A. The Commission may appoint working committees of no more than five Members for such specific purposes as appropriate for the conduct of the business of the Commission.
- B. All working committees shall make progress reports to the Commission at each of the Commission's regular meetings.
- C. All working committees are encouraged to contain a representative mix of County Members, City Members and non-voting Members.

## XIV. AMENDMENTS TO THE BYLAWS

- A. Only the Board may amend these bylaws. A recommendation to the Board that these bylaws be amended must be approved by an affirmative vote of 60% of the voting Members.
- B. Any proposed amendment to these bylaws is to be presented in writing at a regular meeting of the Commission for discussion. The Commission shall vote on the proposed amendments at the next regular meeting of the Commission. The agenda for such meeting is to contain an item entitled "Proposed Bylaws Amendment."

## XV. PUBLIC ACCESS TO COMMISSION RECORDS

The County Library shall make available to the general public all records of the Library Commission as required by law.

Note: New text being added to these bylaws is highlighted in yellow on page 5.

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Adopted March 12, 2019

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Contra  
Costa  
County

To: Board of Supervisors  
From: Joseph E. Canciamilla, Clerk-Recorder  
Date: March 12, 2019

Subject: ACCEPT CANVASS OF VOTES FOR POLICE SERVICE AREA ZONE 2904

---

**RECOMMENDATION(S):**

Accept the Canvass of Votes for the February 12, 2019 Special Election showing that the measure for Police Services in County Service Area P-6, Zone 2904, Supervisorial District 2 - Unincorporated area of Lafayette passed as follows:

Total Landowners - 1  
Voted - 1  
Yes - 11  
No - 0

**FISCAL IMPACT:**

None

**BACKGROUND:**

See the attached Certificate of the County Clerk, providing results of the February 12, 2019 Special Election for County Service Area P-6, Zone 2904, where each landowner of the affected area was allowed one vote for each acre or portion thereof on Resolution No. 2018/586, so as to authorize a special tax on

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APPROVE  OTHER  
 RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Rosa Mena,  
925.335.7806

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

said property, located in unincorporated area in Lafayette, to maintain present level of police protection services and provide additional funding for increased police protection services.

CONSEQUENCE OF NEGATIVE ACTION:

If the Board of Supervisors does not accept the Canvass of Votes, Zone 2904 will not be formed.

ATTACHMENTS

Election Certificate

JOSEPH E. CANCIAMILLA  
COUNTY CLERK



SCOTT O. KONOPASEK  
ASSISTANT COUNTY REGISTRAR

**CONTRA COSTA COUNTY**  
REGISTRATION-ELECTION DEPARTMENT  
555 ESCOBAR STREET  
MARTINEZ, CALIFORNIA 94553

February 13, 2019

TO: Department of Conservation and Development  
Attention: Jennifer Cruz

FROM: Joseph E. Canciamilla, County Clerk-Recorder  
By: Rosa Mena, Elections Processing Supervisor

SUBJECT: CANVASS OF VOTE-POLICE SERVICE AREA P-6, ZONE 2904,  
SUBDIVISION 9442

---

Enclosed please find the result of Canvass of Votes of the Police Service Area P-6, Zone 2904, Subdivision 9442 Election held on February 12, 2019.

**CERTIFICATE OF COUNTY CLERK AS TO THE RESULTS OF THE CANVASS  
OF THE POLICE SERVICE AREA P-6, ZONE 2904,  
SUBDIVISION 9442 SPECIAL ELECTION**

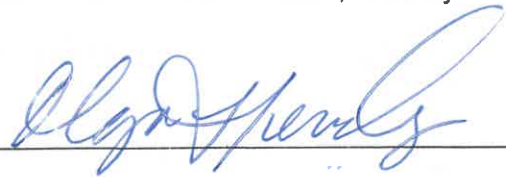
State of California            }  
  }  
County of Contra Costa        }        ss.

I, JOSEPH E. CANCIAMILLA, County Clerk of Contra Costa County, State of California, do hereby certify that I did canvass the return of the votes cast in the February 12, 2019 Special Election. I further certify that the statement of the votes cast, to which this certificate is attached shows the whole number of votes cast in said County, and the whole number of votes cast for and against the measure in said County and in each respective precinct therein, and that the totals of the respective columns and the totals as shown for and against the measure are full, true and correct.

WITNESS my hand and Official Seal this 13<sup>th</sup> day of February, 2019.

JOSEPH E. CANCIAMILLA, County Clerk

By \_\_\_\_\_



**CONTRA COSTA POLICE SERVICE AREA P-6,  
ZONE 2904, SUBDIVISION 9442**

**OFFICIAL CANVASS**

The Election was conducted on February 12, 2019, by Landowners of the effected area. Each Landowner was allowed one vote for each acre or portion thereof.

| <u>Total Landowners</u> | <u>Voted</u> | <u>Yes</u> | <u>No</u> |
|-------------------------|--------------|------------|-----------|
| 1                       | 1            | 11         | 0         |



Contra  
Costa  
County

To: Board of Supervisors  
From: John Kopchik, Director, Conservation & Development Department  
Date: March 12, 2019

Subject: Energy Efficient Equity Property Assessed Clean Energy (PACE) Financing Program

---

**RECOMMENDATION(S):**

ADOPT Resolution No. 2019/69 authorizing the California Municipal Finance Authority to implement the Energy Efficient Equity Program within the County's jurisdiction and AUTHORIZE the Conservation and Development Director, or designee, to execute the Operating Agreement with the California Municipal Finance Authority to operate the Energy Efficient Equity Program in the unincorporated area of Contra Costa County.

**FISCAL IMPACT:**

There is no fiscal impact to the County associated with this item.

**BACKGROUND:**

California law allows cities, counties, and other authorized public agencies to establish voluntary financing districts to facilitate energy efficiency improvements to existing residential and commercial properties. Such financing is commonly referred to as Property Assessed Clean Energy (PACE) financing. Once established, property owners within the boundaries of such a district can choose to enter into a voluntary agreement and borrow funds from the district to finance eligible improvements on their property. The assessment is then repaid in installments on the property tax bill.

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APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Jason Crapo,  
925-674-7722

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

## BACKGROUND: (CONT'D)

The California Municipal Finance Authority (CMFA) is a joint powers authority with the legal authority to establish PACE financing districts, and is the public agency sponsor of the Energy Efficient Equity Program. Contra Costa County is a member agency of CMFA. CMFA contracts with Energy Efficient Equity, Inc., to administer day-to-day operations of the Energy Efficient Equity Program.

On June 16, 2015, the Board of Supervisors approved the recommendation of the Internal Operations Committee to direct the Department of Conservation and Development (DCD) to establish an application process and accept applications from PACE providers to operate within the unincorporated area of the County. The Board also approved the form of an Operating Agreement the County would require PACE providers to enter into with the County as a condition of operations. The purpose of the Operating Agreement is to protect the County and the general public from the potential costs and risks of PACE programs. The Operating Agreement requires PACE providers to participate in the State PACE Loss Reserve Program, disclose financial costs and risks to participating property owners, and indemnify the County from legal claims arising from the operation of PACE programs.

CMFA and Energy Efficient Equity, Inc., have submitted an application to operate the Energy Efficient Equity Program in the unincorporated area of the County. Staff has reviewed this application and recommends the Board authorize the Energy Efficient Equity Program to operate within the County's jurisdiction.

The Board of Supervisors has previously authorized four other PACE programs to operate within the County's jurisdiction. If the Board approves the recommended actions, Energy Efficient Equity (E3) would be the fifth PACE program authorized to operate within the unincorporated area of the County.

## CONSEQUENCE OF NEGATIVE ACTION:

If the Board of Supervisors does not approve the recommended actions, the Energy Efficient Equity program will not be able to provide property owners in the unincorporated area of the County with financing for energy and water efficiency improvements to their property.

## ATTACHMENTS

Resolution 2019/69

Operating Agreement



**THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA**  
**and for Special Districts, Agencies and Authorities Governed by the Board**

Adopted this Resolution on 03/12/2019 by the following vote:

**AYE:**

**NO:**

**ABSENT:**

**ABSTAIN:**

**RECUSE:**



**Resolution No. 2019/69**

RESOLUTION OF THE BOARD OF SUPERVISORS OF THE COUNTY OF CONTRA COSTA CONSENTING TO THE INCLUSION OF PROPERTIES WITHIN THE UNINCORPORATED AREA OF THE COUNTY IN THE CALIFORNIA MUNICIPAL FINANCE AUTHORITY OPEN PACE PROGRAM KNOWN AS THE ENERGY EFFICIENT EQUITY PROGRAM; AUTHORIZING THE CALIFORNIA MUNICIPAL FINANCE AUTHORITY TO ACCEPT APPLICATIONS FROM PROPERTY OWNERS, CONDUCT CONTRACTUAL ASSESSMENT PROCEEDINGS AND LEVY CONTRACTUAL ASSESSMENTS WITHIN THE TERRITORY OF THE COUNTY; AND AUTHORIZING RELATED ACTIONS

WHEREAS, the California Municipal Finance Authority (the "Authority") is a joint exercise of powers authority, the members of which include numerous cities and counties in the State of California, including the County of Contra Costa (the "County"); and

WHEREAS, the Authority is implementing Property Assessed Clean Energy (PACE) programs, which it has designated CMFA Open PACE, consisting of CMFA Open PACE programs each administered by a separate program administrator (collectively with any successors, assigns, replacements or additions, the "Programs"), to allow the financing or refinancing of renewable energy, energy efficiency, water efficiency and seismic strengthening improvements, electric vehicle charging infrastructure and such other improvements, infrastructure or other work as may be authorized by law from time to time (collectively, the "Improvements") through the levy of contractual assessments pursuant to Chapter 29 of Division 7 of the Streets & Highways Code ("Chapter 29") within counties and cities throughout the State of California that consent to the inclusion of properties within their respective territories in the Programs and the issuance of bonds from time to time; and

WHEREAS, the program administrators currently active in administering Programs are Energy Efficient Equity, Inc.; BlueFlame PACE Services LLC; OnPACE Energy Solutions, LLC; PACE Equity, LLC; Samas Capital LLC; Structured Finance Associates, LLC; and Twain Community Partners II LLC; and the Authority will notify the County in advance of any additions or changes; and

WHEREAS, Chapter 29 provides that assessments may be levied under its provisions only with the free and willing consent of the owner or owners of each lot or parcel on which an assessment is levied at the time the assessment is levied; and

WHEREAS, the County desires to allow the owners of property ("Participating Property Owners") within its jurisdiction to participate in the Energy Efficient Equity Program administered by Energy Efficient Equity, Inc. and to allow the Authority to conduct assessment proceedings under Chapter 29 within its territory and to issue bonds to finance or refinance Improvements; and

WHEREAS, the territory within which assessments may be levied for the Energy Efficient Equity Program shall include all of the unincorporated area within the County's official boundaries; and

WHEREAS, the Authority will conduct all assessment proceedings under Chapter 29 for the Programs and issue any bonds issued in connection with the Energy Efficient Equity Program; and

WHEREAS, the County will not be responsible for the conduct of any assessment proceedings; the levy of assessments; any required remedial action in the case of delinquencies in such assessment payments; or the issuance, sale, administration repayment or guarantee of any bonds issued in connection with the Programs;

Now, Therefore Be it Resolved by the Board of Supervisors of the County of Contra Costa as follows:

Section 1. This Board of Supervisors hereby finds and declares that the foregoing recitals are true and correct.

Section 2. This Board of Supervisors hereby finds and declares that properties in the jurisdiction of the County will benefit from the availability of the Energy Efficient Equity Program within the jurisdiction of the County and, pursuant thereto, the conduct of special assessment proceedings by the Authority pursuant to Chapter 29 and the issuance of bonds to finance or refinance Improvements. This resolution shall only authorize the PACE Program administered by Energy Efficient Equity, Inc., known as the Energy Efficient Equity or E3 program, to be available within the unincorporated area of Contra Costa County. Other program administrators under the CMFA Open Pace program may be made available by adoption of a separate authorizing resolution.

Section 3. In connection with the Energy Efficient Equity Program the County hereby consents to the conduct of special assessment proceedings by the Authority pursuant to Chapter 29 on any property within the territory of the County and the issuance of bonds to finance or refinance Improvements; provided, that

- (1) The Participating Property Owners, who shall be the legal owners of such property, execute a contract pursuant to Chapter 29 and comply with other applicable provisions of California law in order to accomplish the valid levy of assessments; and
- (2) The County will not be responsible for the conduct of any assessment proceedings; the levy of assessments; any required remedial action in the case of delinquencies in such assessment payments; or the issuance, sale, administration, repayment or guarantee of any bonds issued in connection with the Energy Efficient Equity Program.

Section 4. The following staff persons, together with any other staff persons chosen by the Board of Supervisors of the County from time to time, are hereby designated as the contact persons for the Authority in connection with the Energy Efficient Equity Program; Jason Crapo, Deputy Director, Department of Conservation and Development.

Section 5. County staff is authorized to assist Authority staff to facilitate operation of the Energy Efficient Equity Program within the County, including assisting in the levying, collecting, and enforcement of the special tax lien.

Section 6. The Board of Supervisors hereby finds that adoption of this Resolution is not a "project" under the California Environmental Quality Act, because the Resolution does not involve any commitment to a specific project which may result in a potentially significant physical impact on the environment, as contemplated by Title 14, California Code of Regulations, Section 15378(b)(4)).

Section 7. This Resolution shall take effect immediately upon its adoption.

The Clerk of the Board of Supervisors is hereby authorized and directed to transmit a certified copy of this resolution to the Financial Advisor of the Authority at; California Municipal Finance Authority, 211 Palomar Airport Road, Suite 320, Carlsbad, California, 92011, Attn: Travis Cooper.

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

**ATTESTED: March 12, 2019**

David J. Twa, County Administrator and Clerk of the Board of Supervisors

**Contact: Jason Crapo, 925-674-7722**

By: , Deputy

**cc:**

**OPERATING AGREEMENT BETWEEN  
CONTRA COSTA COUNTY AND PACE PROVIDER FOR  
PROPERTY ASSESSED CLEAN ENERGY (PACE) FINANCING**

This agreement ("Agreement"), dated as of \_\_\_\_\_, 2018 ("Effective Date"), is by and between Contra Costa County, a political subdivision of the State of California (the "County"), and California Municipal Finance Authority, a California joint powers authority established pursuant to Chapter 5 of Division 7, Title 1 of the Government Code of the State of California, Section 6500 and following (the "PACE Provider").

RECITALS

A. Property Assessed Clean Energy (PACE) financing is a method of providing financing to property owners to finance permanent energy efficiency improvements on real property. A property owner who obtains PACE financing repays the financing by entering into an agreement that allows an assessment or special tax to be levied on the property. These levies are known as voluntary contractual assessments.

B. Voluntary contractual assessments that are utilized to finance the installation of energy efficiency improvements on real property are authorized by (1) the Improvement Act of 1911, as amended by AB 811 (Streets and Highways Code Section 5898.10 et seq.) ("Improvement Act") and (2) the Mello-Roos Community Facilities Act of 1982, as amended by SB 555 (Government Code Section 53311 et seq. ("Mello-Roos Act").

C. The PACE Provider is a joint exercise of powers authority that has created one or more PACE financing programs (each, a "PACE Program"). The PACE Provider has established one or more PACE Programs to allow the financing of certain renewable energy, energy efficiency and water efficiency, and other authorized improvements that are permanently affixed to real property through the levy of assessments voluntarily agreed to by property owners participating in a PACE Program. Under each PACE Program, the PACE Provider accepts applications from eligible property owners, conducts assessment proceedings, and levies assessments.

**NOW, THEREFORE**, in consideration of the foregoing recitals and the mutual covenants contained herein, the parties agree as follows:

AGREEMENT

1. Definitions. As used in this Agreement, the following terms have the following meanings:
  - a. "PACE Administrator" means each independent contractor of the PACE Provider that markets, administers and carries out a PACE Program on behalf of the PACE Provider.

- b. "Eligible Improvement" is a renewable energy improvement, energy efficiency improvement or other improvement authorized by the Improvement Act, the Mello-Roos Act or other state law pertaining to voluntary contractual assessments.
- c. "Non-residential Property" means a property with four or more residential units or any commercial, agricultural, or industrial property that is otherwise eligible for PACE Financing.
- d. "Participating Contractor" is any contractor that installs Eligible Improvements that are funded by a PACE Provider.
- e. "Program Participant" is a property owner who enters into a voluntary contractual assessment with the PACE Provider.
- f. "Property Assessed Clean Energy (PACE) Financing" is a means of financing Eligible Improvements as authorized by the Improvement Act, the Mello-Roos Act, or other state law pertaining to voluntary contractual assessments.
- g. "Residential Property" means a property with three or fewer residential units.
- h. "Value" means the greater of: (1) assessed value; or (2) fair market value, as determined either by an automated valuation model or an appraisal.

## 2. General Requirements.

- a. PACE Provider's Specified Services. The PACE Provider may offer and provide Property Assessed Clean Energy Financing to property owners in the unincorporated areas of the County. The PACE Provider is solely responsible for the formation, operation and administration of the PACE Program, including the conduct of assessment proceedings, the levy and collection of assessments, and the offer, sale and administration of any bonds issued by or other financing offered by the PACE Provider on behalf of the PACE Program. The PACE Provider may contract with third parties to perform any part of these functions on behalf of California Municipal Finance Authority but as between the County and the PACE Provider, the PACE Provider shall be responsible for the performance of any third parties.
- b. Cooperation with County. The PACE Provider shall independently operate its program and cooperate with the County and County staff as described in this Agreement.
- c. Performance Standard. The PACE Provider shall provide PACE Financing in a manner consistent with the level of competency and standard of care

normally observed by an organization providing PACE Financing pursuant to the Improvement Act or Mello-Roos Act.

3. Disclosure Requirements.

The PACE Provider shall require and ensure that each PACE Administrator does all of the following:

- a. Discloses in writing to potential Program Participants the financial risks associated with PACE Financing, including the risks associated with federal regulation and administration of mortgage financing and the position of the Federal Housing Finance Agency (FHFA) on PACE lending. The disclosure materials must include the disclosures contained in the E3 Program Application, which is attached and incorporated herein as Attachment A.
- b. Requires potential Program Participants to sign a written acknowledgment of the Federal Housing Finance Agency (FHFA) position on PACE liens.
- c. Requires Program Participants who own non-residential properties to obtain written consent to participate in the PACE Program from lenders who have made loans to the Program Participant where the property serves as security for the loan.
- d. Provides federal Truth in Lending Act disclosure details to the applicant specific to the requested amount of the financing. The details shall be provided to the applicant in the Truth in PACE Disclosure Statement, which is attached and incorporated herein as Attachment B.
- e. Advises potential Program Participants of available state or federal rebate or incentive programs.
- f. Requires each Program Participant to obtain from the County all building permits for improvements.
- g. The PACE Administrator may recommend that property owners consult with a tax professional prior to claiming any tax deductions associated with the project.

4. Financial Requirements.

The PACE Provider shall require and ensure that each PACE Administrator does all of the following:

- a. Administers and reviews Program Participant eligibility and determines the Eligible Improvement costs to be financed.
- b. Establishes each PACE Program's own interest rates, payback terms and fees.
- c. Participates in the State of California's PACE Loss Reserve Program, administered by the California Alternative Energy and Advanced Transportation Financing Authority (CAEATFA), and provide evidence of current participation and copies of all application materials submitted to CAEATFA. If the State discontinues the PACE Loss Reserve Program, or if the County determines that the State's PACE Loss Reserve Program does not provide adequate coverage, then the County may terminate this Agreement unless the County is satisfied with coverage by an alternative loan loss reserve program.
- d. For residential properties, ensures that the loan amount to a Program Participant does not exceed 15% of the value of the property up to the first \$700,000 of the value of the property, and is for less than 10% of the remaining value of the property above \$700,000.
- e. Ensures that the combined amount of any loans existing prior to the proposed PACE lien and the amount of the PACE lien itself, have an aggregate amount of no more than 95% of the value of the property, including all mortgage-related debt as determined as of the date the assessment contract is executed.
- f. Ensures that the total property taxes and annual assessments for each property that will have PACE Financing will not exceed 5% of the value of the property as determined as of the date the assessment contract is executed.
- g. Verifies that each Program Participant is current on all property taxes and has not made late payments in the past three years or period of ownership (whichever is less), and verifies that each Program Participant has not filed for bankruptcy in the past three years.
- h. Coordinates with the Auditor-Controller's Office each year regarding delinquent assessments.

5. Reports.

For each property that has entered into a voluntary contractual assessment through the PACE Provider, the PACE Provider shall require and ensure that each PACE Administrator provides project information and data in an accessible electronic format to the County on a monthly and annual basis and upon request, including but not limited to the following:

- a. The Assessor's Parcel Number (APN) and property type (residential or non-residential) of the property.
  - b. The amount of the contractual assessment.
  - c. All installed Eligible Improvements financed through PACE Financing.
  - d. If applicable, the solar STC-DC rating in watts or kilowatts of each Eligible Improvement.
  - e. If available, the expected financial and energy savings associated with each Eligible Improvement.
  - f. For each property with an agreement to subordinate the PACE obligation, the effective date of that agreement.
6. Participating Contractor Obligations. The PACE Provider shall require and ensure that each PACE Administrator does all of the following:
- a. Requires and ensures that each Participating Contractor has all required California State License Board licenses and all other required State and County licenses.
  - b. Requires and ensures that each Participating Contractor's bonding is in good standing.
  - c. Requires and ensures that each Participating Contractor holds harmless, indemnifies and defends the County as set forth in Section 9 (c).
  - d. Requires and ensures that each Participating Contractor has insurance as required in Section 12 (b).
  - e. Requires and ensures that each Participating Contractor and their representatives, employees, and agents do not represent themselves as agents, representatives, contractors, subcontractors, or employees of the County or the Department of Conservation and Development or claim association or affiliation with the County or Department of Conservation and Development.
7. Agreement with County Auditor-Controller. The PACE Provider will enter into a separate agreement with the Contra Costa County Auditor-Controller for the administration of property tax assessments placed on properties through the PACE Financing program.

8. Agreement with Program Participant. Each voluntary contractual assessment between the PACE Provider and a Program Participant shall require the Program Participant to hold harmless, indemnify and defend the County, and release the County from liability, in accordance with the Assessment Contract, which is attached and incorporated herein as Attachment C. The terms set forth in Attachment C shall be incorporated into the PACE Provider's voluntary contractual assessment with each Program Participant for PACE Financing.

9. Indemnification and Release.

a. Indemnification Obligation of the PACE Provider. To the fullest extent not prohibited by applicable law, the PACE Provider shall defend, indemnify, protect, save, and hold harmless the County, the County Auditor-Controller, the County Treasurer-Tax Collector, their respective employees, agents, attorneys, officers, divisions, related agencies and entities, affiliates, successors and assigns (collectively and individually the "Indemnitees"), from any and all claims, cost, loss, liability, expense, damages, or other injury, claim, action or proceeding (collectively "Liability") arising out of or connected with this Agreement or activities taken by the parties pursuant to this Agreement, including but not limited to the establishment, placement or collection of assessments or special taxes on participating properties; and will make good to and reimburse Indemnitees for any expenditures, including reasonable attorney's fees, the Indemnitees may make by reason of such matters. If requested by any of the Indemnitees, the PACE Provider will defend any such suits at the sole cost and expense of the PACE Provider with counsel selected or approved by the Contra Costa County Counsel.

The PACE Provider's obligations under this section will exist regardless of concurrent negligence or willful misconduct on the part of any Indemnitee or any other person; provided, however, that the PACE Provider will not be required to indemnify Indemnitees for the proportion of Liability a court determines is attributable to the sole negligence or willful misconduct of the County, its governing body, officers or employees. This indemnification clause shall survive the termination or expiration of this Agreement.

b. PACE Provider's Release. To the fullest extent not prohibited by applicable law, the PACE Provider hereby releases and forever discharges the County, the County Auditor-Controller, the County Treasurer-Tax Collector, their respective employees, agents, attorneys, officers, divisions, related agencies and entities, affiliates, successors and assigns (collectively "Released Parties"), from any and all claims, cost, loss, liability, expense, damage (including consequential damages), or other injury, claim, action or proceeding (including without limitation, attorneys' fees and expenses), which the PACE Provider now has or could assert in any manner arising out of or connected with this Agreement, the subject matter of this Agreement, or activities taken by the parties pursuant to this Agreement, including any claim, action or proceeding to attack, set aside, void,



abrogate, rescind or annul this Agreement or the actions of either party under this Agreement. The PACE Provider knowingly waives the right to make any claim against the Released Parties for such damages and expressly waives all rights provided by section 1542 of the California Civil Code, which provides as follows:

“A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS WHICH THE CREDITOR DOES NOT KNOW OR SUSPECT TO EXIST IN HIS OR HER FAVOR AT THE TIME OF EXECUTING THE RELEASE, WHICH IF KNOWN BY HIM OR HER MUST HAVE MATERIALLY AFFECTED HIS SETTLEMENT WITH THE DEBTOR.”

The rights and obligations contained in this paragraph will survive termination of this Agreement.

c. Indemnification and Release Obligations of Participating Contractors.

The PACE Provider shall require and ensure that each PACE Administrator requires and ensures that each Participating Contractor releases, defends, indemnifies, protects, saves, and holds harmless the County, the County Auditor-Controller, the County Treasurer-Tax Collector, their respective employees, agents, attorneys, officers, divisions, related agencies and entities, affiliates, successors and assigns, from any and all liability, claims, losses, costs, expenses, penalties, fines, forfeitures, judgments and damages, including attorneys' fees and costs, arising out of or connected with the Participating Contractor's actions under the PACE Program, including the installation of any Eligible Improvement.

10. Term of Agreement. The term of this Agreement shall be from the Effective Date until termination in accordance with the provisions of Section 11, Termination.

11. Termination.

- a. Termination without Cause. Notwithstanding any other provision of this Agreement, at any time and without cause, the County or PACE Provider shall have the right, in its sole discretion, to terminate this Agreement by giving 30 days' written notice to the other Party of this Agreement. This Agreement may be cancelled immediately by written mutual consent.
- b. Termination for Cause. Notwithstanding any other provision of this Agreement, if the PACE Provider fails to uphold any of its obligations under this Agreement, or otherwise violates any of the terms of this Agreement, the County may immediately terminate this Agreement by giving the PACE Provider written notice of such termination, stating the reason for termination.
- c. Discontinuation of PACE Program. Upon 24 hours' notice from the County, the PACE Provider shall immediately discontinue its residential PACE Program in the County's unincorporated area if the Federal Housing

Finance Authority (FHFA) takes any action in California pertaining to PACE Financing, as it relates to Fannie Mae and Freddie Mac mortgages, that the County determines will create an undue liability to the County or Program Participants.

- d. Delivery of Data and Information upon Termination. In the event of termination and within 14 days following the date of termination, the PACE Provider must deliver to County all data and information for all properties with contractual assessments, as specified in Section 5, Reports.
- e. Effect of Termination. If the Board of Supervisors terminates this agreement pursuant to this Section 11, the PACE Provider may not solicit new assessment contracts within the unincorporated areas of the County.
- f. Upon termination of this Agreement or the discontinuance of the PACE Program, the PACE Provider shall continue to administer all voluntary assessment contracts that exist at the time of the termination.

## 12. Insurance.

- a. The PACE Provider shall maintain commercial general liability insurance, including contractual liability (or blanket contractual) coverage, owners' and contractors' protective coverage, and broad form property damage coverage, with a minimum of \$1 million per occurrence.
- b. The PACE Provider will ensure that the following insurance requirements are incorporated into all contracts entered into by the PACE Provider with each PACE Administrator and Participating Contractor, or their respective contractors, subcontractors or assigns, in connection with this Agreement: (1) each PACE Administrator and Participating Contractor must maintain workers' compensation insurance pursuant to state law; (2) each PACE Administrator and Participating Contractor must maintain commercial general liability insurance, including contractual liability (or blanket contractual) coverage, owners' and contractors' protective coverage, and broad form property damage coverage, with a minimum of \$1 million per occurrence; (3) each PACE Administrator and Participating Contractor must maintain vehicle liability insurance with a minimum combined single-limit coverage of \$500,000 per occurrence; and (4) each PACE Administrator shall maintain Professional Liability Errors and Omissions Insurance coverage at \$1,000,000 per occurrence or aggregate limit. Each PACE Administrator and Participating Contractor shall provide certificates of insurance to the County, copies of policies, or endorsements evidencing the above insurance coverage and requiring at least 30 days' written notice to the County of policy lapse, cancellation, or material change in coverage.

13. Miscellaneous Provisions.

- a. Independent Contractor Status. The parties intend that the PACE Provider, in implementing and operating the PACE Program, is an independent contractor, and that the PACE Provider will control the work and the manner in which it is performed. This Agreement is not to be construed to create a relationship between the parties of agent, servant, employee, partnership, joint venture, or association. The PACE Provider is not a County employee. This Agreement does not give the PACE Provider any right to participate in any pension plan, workers' compensation plan, insurance, bonus, or similar benefits County provides to its employees.
- b. Compliance with the Law. The PACE Provider is subject to and must comply with all applicable federal, state, and local laws and regulations with respect to its performance under this Agreement, including but not limited to, licensing, employment, and purchasing practices; and wages, hours, and conditions of employment, including nondiscrimination.
- c. Authorization. The PACE Provider represents and warrants that it has full power and authority to enter into this Agreement and to perform the obligations set forth herein.
- d. Assignment and Delegation. Neither party hereto shall assign, delegate, sublet, or transfer any interest in or duty under this Agreement without the prior written consent of the other, and no such transfer shall be of any force or effect whatsoever unless and until the other party shall have so consented. This Agreement binds the heirs, successors, assigns and representatives of the PACE Provider.
- e. Method and Place of Giving Notice. All notices shall be made in writing and shall be given by personal delivery or by U.S. Mail or courier service. Notices shall be addressed as follows:

TO COUNTY:

Contra Costa County  
Department of Conservation and Development  
Deputy Director, Building Inspection Division  
30 Muir Road  
Martinez, CA 94553

TO PACE PROVIDER:

California Municipal Finance Authority  
Attn: Travis Cooper  
2111 Palomar Airport Rd., Suite 320  
Carlsbad, CA 92011

With a copy to the PACE ADMINISTRATOR as follows:

Energy Efficient Equity, Inc.  
Attn: Chris Peterson  
7676 Hazard Center Drive, 5<sup>th</sup> Floor  
San Diego, CA 92108

The effective date of notice is the date of deposit in the mail or other delivery, except that the effective date of notice to the County is the date of receipt by the Deputy Director, Building Inspection Division, Department of Conservation and Development. Changes may be made in the names and addresses of the person to whom notices are to be given by giving notice pursuant to this paragraph.

- f. Inspection. Upon the County's request, the County or its designee shall have the right at reasonable times and intervals to inspect the PACE Provider's financial and program records at the premises of the PACE Provider and the PACE Administrator. The PACE Provider or the PACE Administrator shall maintain all PACE Program records for a period of four years following termination of the Agreement, and shall make them available for copying upon the County's request at the County's expense.
- g. No Waiver of Breach. The waiver by the County of any breach of any term or promise contained in this Agreement shall not be deemed to be a waiver of such term or provision or any subsequent breach of the same or any other term or promise contained in this Agreement.
- h. Construction. To the fullest extent allowed by law, the provisions of this Agreement shall be construed and given effect in a manner that avoids any violation of statute, ordinance, regulation, or law. The parties agree that in the event that any provision of this Agreement is held by a court of competent jurisdiction to be invalid, void, or unenforceable, the remainder of the provisions hereof shall remain in full force and effect and shall in no way be affected, impaired, or invalidated thereby. The PACE Provider and the County acknowledge that they have each contributed to the making of this Agreement and that, in the event of a dispute over the interpretation of this Agreement, the language of the Agreement will not be construed against one party in favor of the other.
- i. Consent. Wherever in this Agreement the consent or approval of one party is required to an act of the other party, such consent or approval shall not be unreasonably withheld or delayed.

- j. No Third Party Beneficiaries. Nothing contained in this Agreement shall be construed to create, and the parties do not intend to create, any rights in third parties.
- k. Choice of Law. This Agreement is made in Contra Costa County and is governed by, and must be construed in accordance with, the laws of the State of California.
- l. Captions. The captions in this Agreement are solely for convenience of reference. They are not a part of this Agreement and shall have no effect on its construction or interpretation.
- m. Survival of Terms. All express representations, waivers, indemnifications, and limitations of liability included in this Agreement will survive its completion, expiration or termination for any reason.
- n. Time of Essence. Time is and shall be of the essence of this Agreement and every provision hereof.
- o. Entire Agreement. This Agreement contains all the terms and conditions agreed upon by the parties. Except as expressly provided herein, no other understanding, oral or otherwise, regarding the subject matter of this Agreement will be deemed to exist or to bind any of the parties hereto.
- p. Duplicate Counterparts. This Agreement may be executed in duplicate counterparts. The Agreement shall be deemed executed when it has been signed by both parties.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the Effective Date.

PACE PROVIDER

CONTRA COSTA COUNTY

By: \_\_\_\_\_  
 Name: \_\_\_\_\_  
 Title: \_\_\_\_\_

By: \_\_\_\_\_  
 Name: \_\_\_\_\_  
 Title: \_\_\_\_\_

# Application

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## Property Information

Address [Property Street Address], [City], [State] [Zip]

Property Type [Property Type]

## Owner(s) Information, [Vesting type]

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Name [Owner Full Name]

Contact [Owner Phone], [Owner Email]

SSN & DOB ●●● ●● [4ssn], [01/01/2001]

Annual Income \$[0,000]

Mailing Address [Property Street Address], [City], [State] [Zip]

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Name [Owner Full Name]

Contact [Owner Phone], [Owner Email]

SSN & DOB ●●● ●● [4ssn], [01/01/2001]

Annual Income \$[0,000]

Mailing Address [Property Street Address], [City], [State] [Zip]

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## Property Owner Acknowledgments

By signing this Application, I acknowledge and represent that I and any other owner(s) of the property which is the subject of this application (the "Property") meet these qualifications and I authorize the Program Administrator to obtain a credit report for each of the property owner(s) and/or trustees whose social security number is provided on this application to verify such representations.

- I am current on all property taxes for the Property.
- I am current on property related debt.
- I am not aware of any involuntary liens, defaults or judgments in excess of \$1,000 on the Property.
- I have the authority to authorize the Program Administrator to obtain a credit report for each of the property owner(s) and/or trustee(s) whose social security number(s) is provided on this application.
- I am not party to an open bankruptcy proceeding and the property is not subject to a bankruptcy proceeding.

By signing this Application, I hereby declare under penalty of perjury under the laws of the State of California all of the following:

- That the information provided in this Application is true and correct as of the date set forth herein.
- I have received, read and understood the Financing Documents and have access to the Program Handbook.
- I am applying to participate in the Program, have the authority, without the consent of any third party, to execute and deliver this Application, the Assessment Contract, and the various other documents and instruments referenced herein.
- I understand that the financing provided by the Program will be repayable through an assessment levied against the Property.
- I understand that an assessment lien will be recorded by the Program against the Property in the office of the County Recorder upon execution of the Assessment Contract.
- I understand that the property tax bill (which includes my assessments) for the Property will increase by the amount of these assessment installment payments as specified in the Assessment Contract.
- I understand, as with all tax and assessment liens, this lien will be senior to all existing and future private liens against the Property, including mortgages, deeds of trust and other security instruments.

## Terms and Conditions

|                                   |  |
|-----------------------------------|--|
| Interest Rate                     | You will be charged a fixed interest rate on your total financed amount. Your interest rate will be set at the time your Financing Documents are issued.   |
| Initial Administration Fee        | At the time of closing, you will be charged a one-time administration fee equal to [0.0]% of the principal amount of the assessment on the Property to cover the costs of administering the Program. This fee will be added to the assessment amount.  |
| Recording Fee                     | At the time of closing, you will be charged a one-time recording fee equal to \$[0] to cover the costs of recording the assessment. This fee will be added to the assessment amount.   |
| Reserve Deposit                   | At the time of closing, you will be charged a one-time reserve deposit fee equal to [0.00]% of the principal amount of the assessment to be used to pay debt service on a related series of bonds. This fee will be added to the assessment amount. Upon the final redemption date of the related series of bonds, a pro rata portion of the balance in the bond reserve account will be applied to the final Assessment payment.  |
| Annual Ongoing Administration Fee | Each year, an annual administrative fee will be added to the assessment lien amount on your property tax bill. The cost for the first year is \$[0] and will be adjusted in subsequent years to meet the ongoing Program requirements. A fee equal to the first year annual ongoing administration fee will also be added to the assessment amount to cover the Program administrative expenses between the Assessment recording date and first assessment payment date. |
| Interest Before First Payment     | Based on the date the assessment is recorded on your property, payments may not begin until the following year's tax statement. Interest Before First Payment is the amount of interest that is added to the assessment amount for the period between your closing date and the first payment date.  |
| Prepayment                        | The Assessment may be prepaid, in whole or in any amount of at least \$5,000, at any time subject to terms of your assessment contract.  |
| Additional Fees                   | The Program may apply additional eligible fees to your assessment as defined in the Program Handbook and subject to the terms of your assessment contract.   |



Disclosures

The following describes some (but not all) characteristics and risks of participation in the Program as well as laws to which the Program is subject. A full understanding of any item listed below can be gained only by reviewing the relevant laws, policy statements, and/or the contractual documents related to the Program. Energy Efficient Equity is available to answer questions regarding the items listed below before you enter into an Assessment Contract, and invites you to ask Program representatives any questions regarding these items or request any document related to the Program.

Existing Mortgage

The Program establishes the manner by which the Authority may finance, pursuant to Chapter 29 of Part 3 of Division 7 of the California Streets and Highways Code (commencing with Section 5898.10), the installation of Eligible Products. Eligible Products will be financed pursuant to an Assessment Contract between you and the Program.

BEFORE COMPLETING A PROGRAM APPLICATION, YOU SHOULD CAREFULLY REVIEW ANY MORTGAGE AGREEMENT(S) OR OTHER SECURITY INSTRUMENT(S) WHICH AFFECT THE PROPERTY OR TO WHICH YOU AS THE PROPERTY OWNER ARE A PARTY. ENTERING INTO A PROGRAM ASSESSMENT CONTRACT WITHOUT THE CONSENT OF YOUR EXISTING LENDER(S) COULD CONSTITUTE AN EVENT OF DEFAULT UNDER SUCH AGREEMENTS OR SECURITY INSTRUMENTS. DEFAULTING UNDER AN EXISTING MORTGAGE AGREEMENT OR SECURITY INSTRUMENT COULD HAVE SERIOUS CONSEQUENCES TO YOU, WHICH COULD INCLUDE THE ACCELERATION OF THE REPAYMENT OBLIGATIONS DUE UNDER SUCH AGREEMENT OR SECURITY INSTRUMENT. IN ADDITION, FANNIE MAE AND FREDDIE MAC, THE OWNER OF A SIGNIFICANT PORTION OF ALL HOME MORTGAGES, STATED THAT THEY WOULD NOT PURCHASE HOME LOANS WITH ASSESSMENTS SUCH AS THOSE OFFERED BY THE AUTHORITY. THIS MAY MEAN THAT PROPERTY OWNERS WHO SELL OR REFINANCE THEIR PROPERTY MAY BE REQUIRED TO PREPAY SUCH ASSESSMENTS AT THE TIME THEY CLOSE THEIR SALE OR REFINANCING.

IF YOUR LENDER REQUIRES AN IMPOUND FOR YOUR PROPERTY TAXES IT IS YOUR RESPONSIBILITY TO NOTIFY THEM OF THE ANNUAL ASSESSMENT PAYMENT AMOUNT SO THEY CAN ADJUST YOUR IMPOUND AMOUNT.

|            |            |
|------------|------------|
| [Initials] | [Initials] |
| [Name]     | [Name]     |

Federal Housing Finance Agency Alert

In May, 2010, Fannie Mae and Freddie Mac, government sponsored enterprises that purchase a large segment of conforming single family home mortgages, issued new instructions to lending institutions on how to treat properties with assessments under Property Assessed Clean Energy (PACE) programs such as the California Municipal Finance Authority's PACE program. These letters, and additional statements issued by the Federal Housing Finance Agency, the agency that regulates single family

home lenders, instruct lenders to treat energy assessments as "loans" instead of "assessments."

On August 31, 2010, the agencies issued additional instructions to lenders that Fannie Mae and Freddie Mac "will not purchase mortgage loans secured by properties with an outstanding PACE obligation."

These letters and statements may lead lenders to conclude the PACE assessment should be paid off before a property transfers or is refinanced. In addition, it may lead some lenders to conclude that participating in PACE program is a violation of typical mortgage terms prohibiting prior liens without lender consent. If you are selling your property, a buyer's lender may refuse to finance the buyer's first mortgage loan unless the assessment is paid off. We urge you to carefully read the disclosure information in the Program application, review your mortgage documents, evaluate the risks of proceeding with an application at this time, and contact your lender if you have any concerns or for information regarding any other financing options that may be available to you.

|            |            |
|------------|------------|
| [Initials] | [Initials] |
| [Name]     | [Name]     |

Electronic links to the copies of letters from the Federal Financing Housing Authority re: PACE programs:

<https://www.efanniemae.com/sf/guides/ssg/annltrs/pdf/2010/ll1006.pdf>

<http://www.freddie.mac.com/singlefamily/guide/bulletins/pdf/iltr050510.pdf>

<http://www.fhfa.gov/Media/PublicAffairs/Pages/FHFA-Statement-on-Certain-Energy-Retrofit-Loan-Programs.aspx>

<http://www.fhfa.gov/Media/PublicAffairs/Pages/Statement-of-FHFA-Acting-Director-Edward-J-DeMarco-on-PACE-Programs.aspx>

<https://www.efanniemae.com/sf/guides/ssg/annltrs/pdf/2010/sel1012.pdf>

<http://www.freddie.mac.com/singlefamily/guide/bulletins/pdf/bl1020.pdf>

#### Foreclosure

Not later than October 1 each year, the Authority shall determine whether any annual assessment installment is not paid when due and shall have the right to order that any such delinquent payment, penalties, interest, and associated costs be collected by a foreclosure action brought in Superior Court that could result in a sale of the Property for the payment of such delinquent assessment installment.

#### Transfers

Property owner hereby agrees to provide copies of the Assessment Contract, Final Cost and Payment Summary and Program Handbook to any subsequent purchaser or transferee of the Property before the time of sale or transfer of the Property. Property owner understands and acknowledges that the Assessment, and obligation to pay the Assessment pursuant to such Assessment Contract, runs with the land and, upon sale or transfer of the Property or any interest therein, any subsequent owner

or transferee shall be required to pay the Assessment pursuant to such Assessment Contract. If a subsequent owner or transferee fails to pay the Assessment pursuant to such Assessment Contract, then the provisions of this Contract, including the "Foreclosure" provision listed above, shall apply to the subsequent owner or transferee's interest in the Property. Property owner further understands and acknowledges that a subsequent purchaser or transferee, or any interested party to the sale or transfer (such as a lender), may require as a condition of sale or transfer, that the Assessment be paid in full prior to sale or transfer. Information regarding Assessment prepayment can be found in the Contract to Pay Assessment; Prepayment section of the Assessment Contract.

|                      |  |
|----------------------|--|
| Property Value Model | The Program uses a Property Value Model to determine your eligible financing amount. You have a right to receive a copy of the Property Value Report used in connection with this application. To obtain a copy of this report contact Energy Efficient Equity and submit a request. The Property Value Model is not an Appraisal.   |
| No Endorsement       | The Program and Energy Efficient Equity do not endorse any manufacturer, contractor, product, or system, or in any way warranty such equipment, installation, or the efficiency or production capability of any equipment and make no representations and have no responsibility regarding the equipment and its installation, including the quality, safety, cost savings, efficiency or production capability of any equipment; or any compliance of the equipment or its installation with any applicable laws, regulations, codes, standards or requirements. Further, Energy Efficient Equity and the Program shall not be in anyway liable for any incidental or consequential damages resulting from the equipment or its installation. |
| Inspection           | At any time during the Term of the Assessment, Energy Efficient Equity and the Program may inspect and Validate that installed Eligible Improvements meet Program eligibility requirements. Energy Efficient Equity and the Program reserve the right to perform independent on-site Inspections of any Eligible Improvements financed by the Program.   |
| Analysis             | During the term of the Assessment, Energy Efficient Equity and the Program reserve the right to monitor and track energy systems' generation data, if applicable, and utility usage via property utility bill data. By submitting this application, you consent to any such monitoring and utility bill energy usage analysis. By submitting this application, you also agree to sign the authorization form to participate in utility billing energy usage analysis to measure Program impact savings and participant satisfaction.   |
| Compliance           | Energy Efficient Equity and the Program are subject to certain terms in the: Equal Credit Opportunity Act (ECOA); Fair Credit Reporting Act; Housing Financial Discrimination Act of 1977 and the Patriot Act. As such, your information may be obtained by the Program and shared with the applicable Agency to maintain compliance with the Law.   |

Property Owner Signature(s)

I declare that (i) I have received, read, and understand the risks and characteristics of the Program described in the Program Handbook, sample financing documents, and Disclosures set forth in this Application (ii) I have been informed that I must take the sole responsibility to satisfy myself that executing the Assessment Contract, receiving financing from the Program, and consenting to the assessment levied against the Property will not constitute a default under any other agreement or security instrument (specifically the terms of any mortgage on the Property) which affects the Property or to which I am a party. I hereby authorize the Program to obtain my credit information.

|                            |             |      |              |
|----------------------------|-------------|------|--------------|
| [Owner Full Name]          | [Signature] |      |              |
| Identity Verification Code | [ID Code]   | Date | [01/01/2001] |

|                            |             |      |              |
|----------------------------|-------------|------|--------------|
| [Owner Full Name]          | [Signature] |      |              |
| Identity Verification Code | [ID Code]   | Date | [01/01/2001] |

# Truth-in-PACE™ Disclosure Statement

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## Property Information

Owners [Owner Names]  
 Address [Property Address]  
 APN [Assessor Parcel Number]  
 Transaction # [E3 File ID]

## Summary of your Financing

| Amount Financed           | Financing Term  | Interest Rate                                      | Payment Amount   |
|---------------------------|---|--|--|
| \$[0]                     | [0] Years   | [0.00]%  | \$(0)  |
| Cost of your improvements | Number of years that payments will be added to the Property Tax Bill. | The annual cost of the financing, as a percentage. | The estimated amount due on your Semi-Annual Property Tax Bill |

## Important Dates

| Application Date                     | Expiration Date  | Recording Date  | First Payment Date                   |
|--------------------------------------|--|---|--------------------------------------|
| [01/01/2001]                         | [01/01/2001]   | [01/01/2001]  | [01/01/2001]                         |
| Date your application was submitted. | Your Improvements must be completed before this date to be eligible for Financing. | The estimated Date that the Assessment is recorded on the Property with the County. | The first property tax payment date. |

If your Assessment is recorded on or before <Tax Roll Cutoff Date - 1 day> your first payment will be included on your November <This Year> property tax bill. If your Assessment is recorded After <Tax Roll Cutoff Date> your first payment will be included on your November <Next Year> property tax bill. Upon receiving your payments, your county will remit payment to service debt on the related municipal bond each March 2<sup>nd</sup> and September 2<sup>nd</sup> during the Financing Term.

## Items payable in connection with Financing

Program Administrative Expenses \$[0]

The estimated costs to provide financing for your Improvements. Includes; Processing, Ongoing annual administrative and Bond Issuance expenses.

Recording Fee \$[0]

Fee paid to your County to Record and process your Assessment.

Interest Before First Payment \$[0]

The estimated amount of interest accrued between the Recording Date and the first payment date on a related municipal bond.

Reserve Deposit \$[0]

Deposit for debt servicing on related Bond.

Other Fees \$[0]

[Fee Name]

## Calculations

Total Settlement Charges \$[0]

The Dollar Amount that the Financing will cost you.

Prepaid Amount \$[0]

The Dollar Amount Prepaid to your contractor.

Total Assessment Amount \$[0]

The total Assessment levied on your property.

Annual Assessment Amount \$[0]

The Amount added to your property taxes each year during the Term. Includes Principal, Interest, and \$95 for Administrative expenses.

Total of Payments \$[0]

The total amount you will have paid after you make all payments including principal, interest, settlement charges, and fees.

Annual Percentage Rate [0.00]%

The yearly cost of the financing, expressed as a percentage. This is not your interest rate.

Total Interest Percentage [0.00]%

The total amount of interest you will pay over the financing term as a percentage of the total Payments.

## Important Financing Terms

|                                 |                                 |
|---------------------------------|---------------------------------|
| Is the Interest Rate Fixed?     | Yes                             |
| Can the Balance Increase?       | No                              |
| Is there a Prepayment Penalty?  | No                              |
| Is there a Balloon Payment?     | No                              |
| Is the Interest Tax Deductible? | Consult with a tax professional |

## Understanding what can change at Settlement

This Truth-in-PACET<sup>™</sup> Disclosure Statement estimates your settlement charges based on an Assessment Recording date of [01/01/2001]. Actual amounts will be calculated based the Actual recording date of your Assessment and will be listed in the Final Cost and Payment Summary.

### Items that **Cannot** Increase at settlement

- Interest Rate
- Amount Financed
- Recording Fee
- Reserve Deposit

### Items that **Can** Increase at settlement

- Program Administrative Expense
- Interest before first payment

## Dealer Fees and Interest Rate Buydowns

The interest rate stated above has been subsidized by your contractor. To fund this subsidy, your contractor will pay E3 a 'Dealer Fee'. The "Cost of your improvements" stated above may include all or a portion of the Dealer Fee, depending upon the amount of such fee that your contractor has determined to pass along to you in connection with this transaction. The Dealer Fee paid to E3 by your contractor in connection with this transaction is [\$0]. For more information, contact \_\_\_\_\_.

## What you should know before hiring a contractor

By law, anyone in California who contracts for or bids on a construction project valued at \$500 or more (combined labor and material costs) must be licensed by the Contractors State License Board (CSLB). To qualify for a license, a contractor must verify four years of journey-level experience in the trade, pass both a trade and license law and business examination, and post a license bond. Since 2005, all new contractors have been required to pass a criminal background check. Contractors are required to put their CSLB license number in all advertisements. Ask to see the contractor's plastic pocket license and photo identification.

### Construction Project Checklist:

- Check the contractor license number at [www.cslb.ca.gov](http://www.cslb.ca.gov) to make sure it is current and in good standing.
- Ask to see the contractor's pocket license and a current photo ID.
- Ask for a list of current contact information (telephone number and business address) for the contractor, subcontractors, and suppliers.
- Find out from your local building department whether your project needs a building permit and confirm that your contractor will obtain all necessary permits.
- Get at least three contractor bids and references, and check out, in person, each prospective contractor's recent similar projects.
- Ask whether your contractor carries general liability insurance for employees in case accidental damage occurs during the project, and workers' compensation insurance for employees.
- Make sure all project materials and expectations are spelled out and signed in a written contract, including clean-up, debris removal, and site security.
- Ask your contractor if he or she understands your project expectations
- Schedule and document each phase of your project and the corresponding payment schedule. Do not let payments get ahead of the work.
- Pay no more than 10% down or \$1,000, whichever is less. There is an exception to this rule for contractors who have filed a blanket performance and payment bond with CSLB's Registrar. This information is noted on the contractor's license detail page on CSLB's website.
- Avoid paying in cash.
- Keep all of your project documents, including payments and photographs, in a job file
- Try researching your contractor's name online for additional reviews

### Questions

If you have questions about the financing terms or costs on this form, contact Energy Efficient Equity at:

Phone 844-622-5533  
 Fax 844-622-5533  
 Email [info@energyefficientequity.com](mailto:info@energyefficientequity.com)  
 Address 12100 Wilshire Blvd Suite 800  
 Los Angeles CA 90025  
[www.energyefficientequity.com](http://www.energyefficientequity.com)



### Acknowledgements

I understand that by participating in the Program an Assessment will be levied on my property which will result in an additional payment that will be added to my Property Tax Bill and:

If I refinance my property, my mortgage company may require me to pay off the remaining balance. [Initials] [Initials]  
-----  
[Name] [Name]

If I sell my property, the Buyer or their mortgage company may require me to pay off the remaining balance. [Initials] [Initials]  
-----  
[Name] [Name]

If I pay my property taxes using an impound account I need to save an estimated \$[0] for my first payment in November [2001] or cause the trustee of such impound account to adjust my payments upon settlement. [Initials] [Initials]  
-----  
[Name] [Name]

I should consult a qualified tax professional regarding the tax deductibility of the interest payments and other potential tax benefits of participation in the Program and ownership of eligible improvements financed by the Program. [Initials] [Initials]  
-----  
[Name] [Name]

If I pay my property tax payment late, the amount due will be subject to a 10% penalty, late fees, and 1.5% per month interest penalty as established by state law, and my property may be subject to foreclosure. [Initials] [Initials]  
-----  
[Name] [Name]

### Confirmation of Receipt

I declare that I have received, read, and understand this Truth-in-PACE™ disclosure statement.

[Owner Full Name] [Signature]  
-----

Identity Verification Code [ID Code] Date [01/01/2001]

[Owner Full Name] [Signature]  
-----

Identity Verification Code [ID Code] Date [01/01/2001]

# Right to Cancel

---

Financing ID [E3 ID Number]  
 Owners [Owner Full Name], [Phone], [Email]  
 [Owner Full Name], [Phone], [Email]  
 Property Address [Property Address]

**Your Right to Cancel** You are entering into an Assessment Contract with the California Municipal Finance Authority ("CMFA") for Financing under the CMFA Energy Efficient Equity Program ("Program") that will result in a lien on the property at [Property Address]. Under the Program, you may cancel this transaction, without cost, within three (3) business days from the date on which you signed the Assessment Contract.

**If you Cancel** If you Cancel the transaction the Program will:

- Not Charge you a cancellation fee;
- Refund any money you have given the Program, excluding application and processing fees as applicable; and
- If the lien on your property has been recorded, take the necessary steps to discharge such lien within 20 calendar days after receiving your notification to cancel financing.

**How to Cancel** To Cancel this transaction, you may submit this form to Energy Efficient Equity in writing at:

Energy Efficient Equity  
 Attn: Right To Cancel Notification  
 12100 Wilshire Blvd, Suite 800  
 Los Angeles, 90025  
 Fax (844) 622-5533

**Deadline to Cancel** If you cancel by mail, fax or email, you must send the notice no later than midnight of the third business day following the date on which you signed the Assessment Contract. If you send or deliver your written notice to cancel some other way, it must be delivered to the above address no later than the time indicated above.

## Acknowledgement of Receipt

[Owner Full Name]

[Signature]

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|                            |           |      |              |
|----------------------------|-----------|------|--------------|
| Identity Verification Code | [ID Code] | Date | [01/01/2001] |
|----------------------------|-----------|------|--------------|

|                   |             |
|-------------------|-------------|
| [Owner Full Name] | [Signature] |
|-------------------|-------------|

---

|                            |           |      |              |
|----------------------------|-----------|------|--------------|
| Identity Verification Code | [ID Code] | Date | [01/01/2001] |
|----------------------------|-----------|------|--------------|

I Wish to Cancel

(Only Sign here if you are canceling your financing)

If two or more people have the right to cancel this financing, cancellation by one person is effective for all of them.

---

Print Name

Signature

Date

# Project Definition

---

Contractor [Company Name]  
 Address [Company Address]  
 Contact [Name], [Phone], [Email]

Property Address [Property Address]  
 Owners [Owner Full Name], [Phone], [Email]  
 [Owner Full Name], [Phone], [Email]

| Improvements                     | Price    | Qty | Amount   |
|----------------------------------|----------|-----|----------|
| [Make], [Model]<br>[Description] | [\$0.00] | [0] | [\$0.00] |
| [Make], [Model]<br>[Description] | [\$0.00] | [0] | [\$0.00] |
| [Make], [Model]<br>[Description] | [\$0.00] | [0] | [\$0.00] |
| Total Cost of Improvements       |          |     | [\$0.00] |

This project definition is used to determine the total financing amount requested for your assessment. This project definition along with the requested financing amount will be submitted to the CMFA for approval. If the CMFA approves the project for financing, you and your contractor will receive a notice to proceed. The program makes no commitment to finance this project until you receive the notice to proceed.

# Assessment Contract

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## CALIFORNIA MUNICIPAL FINANCE AUTHORITY PACE PROGRAM

THIS ASSESSMENT CONTRACT (this "Contract"), dated as of [01/01/2001], is by and between the California Municipal Finance Authority (the "Authority"), and the record owner[s], [Property Owner Names] (the "Property Owner") of the fee interest in the real property described on Exhibit A (the "Property").

### RECITALS

WHEREAS, the Authority is a joint exercise of powers authority, the members of which include numerous cities and counties in the State of California;

WHEREAS, the Authority has established the CMFA PACE Program (the "Program") to allow the financing of certain distributed generation renewable energy sources, energy efficiency improvements, water efficiency improvements, seismic strengthening improvements, electric vehicle charging infrastructure and such other work, infrastructure or improvements as may be authorized by law from time to time that are permanently fixed to real property (the "Authorized Improvements") through the levy of contractual assessments pursuant to Chapter 29 of Part 3 of Division 7 of the California Streets & Highways Code ("Chapter 29") and the issuance of improvement bonds under Chapter 29 and, to the extent not in conflict with Chapter 29, the Improvement Bond Act of 1915 (California Streets and Highways Code Section 8500 and following) (to the extent not in conflict with Chapter 29, the "1915 Act") upon the security of the unpaid contractual assessments;

WHEREAS, Chapter 29 provides that assessments may be levied under the provisions thereof only with the free and willing consent of the owner of each lot or parcel on which an assessment is levied at the time the assessment is levied pursuant to a contract between the property owner and the public agency;

WHEREAS, the Authority has conducted the proceedings required by Chapter 29 with respect to the territory within the boundaries of the City or County identified in Exhibit A (the "Participating Entity");

WHEREAS, the Authority has appointed Energy Efficient Equity Inc. as a program administrator (together with any successors thereto, the "Program Administrator") for the Program as it pertains to this Contract;

WHEREAS, the Property is located in the boundaries of the Participating Entity, and the Participating Entity has consented to (a) owners of property within its jurisdiction (the "Participating Property Owners") participating in the Program and (b) the Authority conducting assessment proceedings under Chapter 29 and issuing bonds under Chapter 29 and the 1915 Act to finance the Authorized Improvements; and

WHEREAS, pursuant to Chapter 29, the Authority and the Property Owner desire to enter into this Contract, pursuant to which the Property Owner will agree to pay an assessment in order to finance the installation of the Authorized Improvements described in Exhibit A (the "Improvements") and the Authority will agree to provide financing, all on the terms set forth in this Contract;

NOW, THEREFORE, in consideration of the foregoing and the material covenants hereinafter contained, the Property Owner and the Authority formally covenant, agree and bind themselves and their successors and assigns as follows:

## AGREEMENT

### 1 Purpose.

The Property Owner and the Authority are entering into this Contract for the purpose of financing the installation of the Improvements identified on Exhibit A. All references to finance, financing and financed in this Contract shall, as appropriate and as applicable, be deemed to also refer to refinance, refinancing and refinanced, respectively.

### 2 The Property.

This Contract relates to the Property, which is described on Exhibit A. The Property Owner has provided to the Authority evidence that the Property Owner is the owner of the fee interest in the Property and possesses all legal authority necessary to execute this Contract.

### 3 Assessment; Bonds; Installment; Prepayment; Collection.

(a) The Assessment. The Property Owner hereby freely and willingly agrees that an assessment in the amount specified in Exhibit B (the "Assessment") shall be levied by the Authority on the Property pursuant to Chapter 29. The amount of the Assessment shall be the amount specified in Exhibit B, which includes an amount to pay the costs to finance the Improvements, an amount to pay incidental expenses and, if so specified in Exhibit B, an amount to fund a reserve and an amount for capitalized interest on bonds to be issued. The Property Owner acknowledges and agrees that the maximum amount of the Assessment, as specified in Exhibit B, does not exceed the special benefit conferred on the Property by the installation of the Improvements thereon.

(b) Bonds. The Authority hereby determines that serial bonds, term bonds or both (the "Bonds") shall be issued as provided in Chapter 29 and the 1915 Act and payable from the Assessment installments described below. The final maturity date of the Bonds shall be no later than the Final Maturity Date specified in Exhibit B.

(c) Interest; Assessment Installments. Interest on the Assessment shall begin to accrue from the date of the Bonds and shall be computed at the rate specified in Exhibit B. The Final Scheduled Payment Date of the Assessment shall be as specified in Exhibit B. The unpaid Assessment shall be payable in annual installments corresponding in number and in the pro rata share of the proportionate amount to the number of installments and principal amount of the corresponding Bonds maturing or becoming subject to mandatory prior redemption in each year. An annual proportion of the Assessment shall be payable in each fiscal year preceding the date of maturity or mandatory prior redemption date of each of the corresponding Bonds, sufficient to pay the pro rata share of such Bonds when due.

(d) Collection. The annual proportion of the Assessment coming due in any year, together with the annual interest thereon, shall be payable in the same manner and at the same time and in the same installments as the general taxes on real property are payable, and have the same priority, become delinquent at the same time and in the same proportionate amounts and bear the same proportionate penalties and interest after delinquency as do the general taxes on real property.

(e) Administrative Expenses. In addition to the annual installment of the Assessment described in subsection (c) of this Section, the Authority shall, in accordance with and subject to the limitations contained in Section 8682 and Section 8682.1 of the 1915 Act, add thereto amounts to in order to pay for the costs of collecting the Assessment, the annual administration of the Assessment, the annual administration of the Bonds and other administrative costs (the "Annual Assessment Administrative Fee").

(f) Prepayment of the Assessment. The Assessment may be prepaid, in whole or in any amount of at least \$5,000, at any time upon the payment of (i) the amount of any delinquent installments of principal or interest on the Assessment, together with penalties accrued to the date of prepayment, plus (ii) the whole or, subject to the minimum amount set forth in this subsection, a portion of the unpaid non-delinquent principal of the Assessment (the "Assessment Prepayment Amount"), plus (iii) interest on the Assessment Prepayment Amount to the earlier of March 2 or September 2 occurring at least 50 days following the date the prepayment is made, plus (iv) \$[0.00], for the cost of administering the prepayment and the redemption of the Bonds, plus (v) an amount equal to the redemption premium, if any, necessary to redeem the principal amount of Bonds corresponding to the amount of the Assessment Prepayment Amount.

(g) No Reduction or Offset. The Property Owner hereby acknowledges and agrees that the Assessment will not be subject to reduction, offset or credit of any kind in the event that the Improvements fail to perform in any way or for any reason.

#### 4 Lien; Foreclosure.

(a) Lien. The Assessment, and each installment thereof and the interest and penalties thereon shall constitute a lien against the Property until they are paid, which lien shall be coequal to and independent of the lien for general taxes.

(b) Foreclosure. The Property Owner acknowledges and agrees that if any Assessment installment is not paid when due, the Authority has the right to have such delinquent installment and its associated penalties and interest stripped off the secured property tax roll and immediately enforced through a judicial foreclosure action that could result in a sale of the Property for the payment of the delinquent installments, associated penalties and interest, and all costs of suit, including attorneys' fees. The Property Owner acknowledges that the Authority may obligate itself, through a covenant with the owners of the Bonds, to exercise its judicial foreclosure rights with respect to delinquent Assessment installments under circumstances specified in such covenant.

#### 5 Financing of the Improvements.

The parties hereby agree that the net proceeds of the Bonds allocable to the Assessment shall be used to finance the Improvements. The parties hereby further agree that E3 Credit SPV, LLC (or another subsidiary or affiliated entity of Energy Efficient Equity Inc.) (the "Advancing Party") will advance funds to the Property Owner to (a) initially finance the costs of Improvements, as specified in Exhibit A, in the amount of \$<Improvement Cost>[, and (b) initially finance the costs to pay or prepay the assessments, loans and/or other debt instruments relating to existing Improvements, as specified in Exhibit A, in an amount of \$<Refi Cost>]. Such advance of funds constitutes the initial financing of the Improvements, and the Advancing Party shall be repaid for such advance, together with interest thereon at the Assessment Interest Rate specified in Exhibit B, from the net proceeds of the Bonds allocable to the Assessment on the date of issuance of the Bonds. Such repayment shall constitute a refinancing of the Improvements.

#### 6 Term; Contract Runs with the Land; Division.

(a) Except as otherwise set forth in this Contract, this Contract shall expire upon the final payment or prepayment of the Assessment, and all accrued interest thereon, together with any applicable penalties, costs, fees and other charges, have been paid.

(b) This Contract establishes rights and obligations that are for the benefit of the Property and, therefore, such rights and obligations run with the land pursuant to Civil Code Section 1462.

(c) The obligation to pay the Assessment is an obligation of the Property and no agreement or action of the Property Owner shall be competent to impair in any way the Authority's rights, including, but not limited to, the right to pursue judicial foreclosure of the Assessment lien or the right to enforce the collection of the Assessment or any installment thereof against the Property.

(d) In the event the Property is divided while the Assessment remains unpaid, the unpaid installments of the Assessment shall be segregated and apportioned in accordance with the benefits to the original lot or parcel plus costs and fees of making the apportionment.

## 7 Recordation of Documents.

The Authority shall record or cause to be recorded in the office of the County Recorder a notice or notices and other documents required by Chapter 29 and other applicable laws to be recorded against the Property.

## 8 Notice.

To the extent required by applicable Law, the Property Owner shall provide written notice to any subsequent purchaser of the Property, or a portion thereof, of the obligation to pay the Assessment, interest thereon and the Annual Assessment Administrative Fee.

## 9 Waivers, Acknowledgment and Contract.

(a) Since the Assessment is voluntary and imposed, in accordance with Chapter 29, pursuant to this Contract, the Property Owner hereby waives any otherwise applicable requirements of Article XIII D of the California Constitution, or any other provision of California law, for an engineer's report, notice, public hearing, protest or ballot.

(b) The Property Owner hereby waives its right to repeal the Assessment by initiative or any other action, or to file any lawsuit or other proceeding to challenge the Assessment or any aspect of the proceedings of the Authority undertaken in connection with the Program. The Property Owner hereby agrees that the Property Owner and its successors in interest to fee title in the Property shall be solely responsible for the installation, operation and maintenance of the Improvements. The Property Owner hereby acknowledges that the Property will be responsible for payment of the Assessment regardless of whether the Improvements are properly installed, operated, maintained or perform as expected.

(c) The Property Owner hereby agrees that the Authority is entering into this Contract solely for the purpose of assisting the Property Owner with the financing of the installation of the Improvements, and that neither the Authority nor the Participating Entity has any responsibility of any kind for, and shall have no liability arising out of, the installation, operation, financing, maintenance or performance of the Improvements. The Property Owner hereby waives the right to recover from and fully and irrevocably releases the Authority, the Participating Entity and any and all agents, employees, program administrators, attorneys, representatives and successors and assigns of the Authority and the Participating Entity from any and all losses, liabilities, claims, damages (including consequential damages), penalties, fines, forfeitures, costs and expenses (including all reasonable out-of-pocket litigation costs and reasonable attorney's fees), relating to the subject matter of this Contract that the Property Owner may now have or hereafter acquire against the Authority, the Participating Entity and any and all agents, employees, program administrators, attorneys, representatives and successors and assigns of the Authority or the Participating Entity.

(d) To the extent that the foregoing waivers and agreements are subject to Section 1542 of the California Civil Code or similar provisions of other applicable law, it is the intention of the Property Owner that the foregoing waivers and agreements will be effective as a bar to any and all losses, liabilities, claims, damages (including consequential damages), penalties, fines, forfeitures, costs and expenses (including all reasonable out-of-pocket litigation costs and reasonable attorney's fees), of whatever character, nature and



kind, known or unknown, suspected or unsuspected, and Property Owner agrees to waive any and all rights and benefits conferred upon the Property Owner by the provisions of Section 1542 of the California Civil Code or similar provisions of applicable law. Section 1542 reads as follows:

"A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS WHICH THE CREDITOR DOES NOT KNOW OR SUSPECT TO EXIST IN HIS OR HER FAVOR AT THE TIME OF EXECUTING THE RELEASE, WHICH IF KNOWN BY HIM OR HER MUST HAVE MATERIALLY AFFECTED HIS OR HER SETTLEMENT WITH THE DEBTOR."

BY INITIALING BELOW, OWNER HEREBY WAIVES THE PROVISIONS OF SECTION 1542 SOLELY IN CONNECTION WITH THE MATTERS WHICH ARE THE SUBJECT OF THE FOREGOING WAIVERS AND RELEASES.

[Owner Name]

[Initials]

[Owner Name]

[Initials]

(e) The waivers, releases and agreements set forth in this Section shall survive termination of this Contract.

#### 10 Indemnification.

(a) The Property Owner agrees to indemnify, defend, protect, and hold harmless the Authority, the Participating Entity and any and all agents, employees, program administrators, attorneys, representatives and successors and assigns of the Authority or the Participating Entity, from and against all losses, liabilities, claims, damages (including consequential damages), penalties, fines, forfeitures, costs and expenses (including all reasonable out-of-pocket litigation costs and reasonable attorney's fees) and any demands of any nature whatsoever related directly or indirectly to, or arising out of or in connection with (i) the Property Owner's participation in the Program, (ii) the Assessment, (iii) the Improvements, or (iv) any other fact, circumstance or event related to the subject matter of this Contract, regardless of whether such losses, liabilities, claims, damages (including consequential damages), penalties, fines, forfeitures, costs and expenses (including all reasonable out-of-pocket litigation costs and reasonable attorney's fees) accrue before or after the date of this Contract.

(b) The provisions of this Section shall survive the termination of this Contract.

#### 11 Right to Inspect Property.

The Property Owner hereby grants the Authority, its agents and representatives the right to enter at any reasonable time, upon reasonable notice, to inspect the Improvements. The Property Owner further hereby

grants the Authority, its agents and representatives the right to examine and copy any documentation relating to the Improvements.

## 12 Carbon Credits.

The Property Owner hereby agrees that any carbon credits attributable to the Improvements shall be owned by the Authority or its assignees.

## 13 Program Application.

The Property Owner hereby represents and warrants to the Authority that the information set forth in the Program Application submitted to the Authority in connection with its request for financing is true and correct as of the date hereof, and that the representations set forth in the Program Application with respect to the Property and the Property Owner are true and correct as of the date hereof as if made on the date hereof.

## 14 Amendment.

This Contract may be modified or amended only by the written agreement of the Authority and the Property Owner.

## 15 Binding Effect; Assignment.

This Contract inures to the benefit of and is binding upon the Authority, the Property Owner and their respective successors and assigns. The Authority has the right to assign any or all of its rights and obligations under this Contract without the consent of the Property Owner. The Authority intends to delegate certain of its functions under this Contract to the Program Administrator and may pledge and assign this Contract to a trustee as security for the Bonds.

## 16 Exhibits.

Exhibits A and B attached to this Contract are incorporated into this Contract by this reference as if set forth in their entirety in this Contract.

## 17 Severability.

If any provision of this Contract is held invalid or unenforceable by any court of competent jurisdiction, such holding will not invalidate or render unenforceable any other provision of this Contract.

## 18 Corrective Instruments.

The Authority and the Property Owner shall, from time to time, execute, acknowledge and deliver, or cause to be executed, acknowledged and delivered, such supplements hereto and such further instruments as may reasonably be required in order to carry out the expressed intention of this Contract.

## 19 Governing Law: Venue.

This Contract shall be construed in accordance with and governed by the laws of the State of California applicable to contracts made and performed in the State of California. This Contract shall be enforceable in the State of California, and any action arising hereunder shall (unless waived by the Authority in writing) be filed and maintained in the Superior Court of California, County of Los Angeles; provided, however, that actions to foreclose delinquent installments of the Assessment shall be filed and maintained in the Superior Court of California in the County identified in Exhibit A.

## 20 Counterparts.

This Contract may be executed in several counterparts, each of which is an original and all of which constitutes one and the same instrument.

## 21 Monitoring and Recording of Telephone Calls.

The Program may monitor and/or record telephone calls for security and customer service purposes. By agreeing to this Contract the Property Owner agrees to have his, her or its telephone calls with the Program recorded.

## 22 Electronic Signatures.

(a) The parties hereto acknowledge and agree that this Contract may be executed by one or more electronic means ("Electronic Signatures"). Each party hereto agrees that Electronic Signatures provided by such party shall constitute effective execution and delivery of this Contract by such party to all other parties to or relying on this Contract. Each party hereto agrees that Electronic Signatures shall constitute complete and satisfactory evidence of the intent of such party to be bound by those signatures and by the terms and conditions of this Contract as signed. Each party hereto agrees that Electronic Signatures shall be deemed to be original signatures for all purposes.

(b) Each party hereto agrees to accept Electronic Signatures provided by any and all other parties to this Contract as (i) full and sufficient intent by such parties to be bound hereunder, (ii) effective execution and delivery of this Contract, and (iii) constituting this Contract an original for all purposes, without the necessity for any manually signed copies to be provided, maintained or to exist for back up or for any other purpose.

(c) If Electronic Signatures are used to execute this Contract, each party hereto hereby accepts the terms of, and intends and does sign, this Contract by its Electronic Signature hereto.

## 23 Contract Documents.

(a) The Property Owner acknowledges and agrees that the entire agreement between Property Owner and the Authority includes each and every document specified in the List of Documents contained in Exhibit B (collectively, the "Contract Documents").

(b) By executing this Contract, the Property Owner acknowledges and agrees that:

(i) The Property Owner has had sufficient time to review and has reviewed each of the Contract Documents and has had the opportunity to ask any questions of the Authority that Property Owner may have regarding such Contract Documents;

(ii) The Property Owner has reviewed, understands and agrees to each and every additional requirement and term contained in the Program Handbook (as defined in Exhibit B to this Contract, the "Program Handbook");

(iii) The Property Owner has reviewed, understands, agrees to and affirms each and every representation and warranty contained in the Property Owner's application and the Program Handbook; and

(iv) Prior to executing this Contract has read and understands the Property Owner's Acknowledgments and Disclosures contained in the (A) Application, (B) this Contract, (C) the Privacy Notice, and (D) the Program Handbook

#### 24 Execution and Return of Contract.

The Property Owner must execute and return this Contract to the Authority at the address set forth in the "Notice Information" section of Exhibit A so that it is received by the Authority not later than <Expiration Date>. If the Property Owner fails to return this Contract so executed to the Authority by the indicated date, the Program reserves the right to require the Property Owner to enter into a new Contract. The signature of each person signing as or on behalf of the Property Owner must be notarized by a duly licensed notary unless all such persons have previously successfully completed the identity verification process approved by the Authority.

IN WITNESS WHEREOF, the Authority and the Property Owner have caused this Contract to be executed in their respective names by their duly authorized representatives, all as of the Effective Date. The "Effective Date" is defined as the last date entered with the signatures of the parties below.

#### Property Owner(s):

|                            |             |      |              |
|----------------------------|-------------|------|--------------|
| [Owner Name]               | [Signature] |      |              |
| Identity Verification Code | [ID Code]   | Date | [01/01/2001] |
| [Owner Name]               | [Signature] |      |              |
| Identity Verification Code | [ID Code]   | Date | [01/01/2001] |

#### Authority:

|                      |             |      |              |
|----------------------|-------------|------|--------------|
| Authorized Signatory | [Signature] |      |              |
| Name                 | [Name]      | Date | [01/01/2001] |

# EXHIBIT A

---

## DESCRIPTION OF PROPERTY, DESCRIPTION OF THE IMPROVEMENTS, AND NOTICE INFORMATION

### Description of Property:

Property Owner(s): [Owner Full Name]  
 [Owner Full Name]

Property Address: [Property Address]  
 APN: [Assessor Parcel Number]  
 County: [County]

### Description of Improvements:

The improvements to be financed consist of the following:

[Improvement Description]

[Improvement Description]

[Improvement Description]

The existing improvements to be refinanced consist of the following:

[None][Existing Improvement Description]

### [Payments Relating to Existing Improvements to be Refinanced:]

[\$[0.00] will be submitted to [PAYEE] to refinance the [insert general description of existing improvements to be refinanced].]

### Notice Information:

Administrator: Energy Efficient Equity  
 Attn: Assessment Notice  
 12100 Wilshire Blvd Suite 800  
 Los Angeles CA 90025  
 Property Owner: [Owner Names]  
 [Property Address]

# EXHIBIT B

---

## LIST OF CONTRACT DOCUMENTS, DISBURSEMENT, AND SCHEDULE OF ANNUAL ASSESSMENT INSTALLMENTS, INCLUDING PRINCIPAL, INTEREST AND ANNUAL ASSESSMENT ADMINISTRATIVE FEE

### List of Contract Documents:

This Contract shall consist of the following documents:

- This Contract and the exhibits hereto;
- The Application;
- The Truth-In-PACE™ Disclosure Statement
- The Completion Certificate;
- The Assessment Cost and Payment Summary;
- The Notice of Assessment and Payment of Contractual Assessment Required;
- The Program Handbook; and
- The Program website located at [www.energyefficientequity.com](http://www.energyefficientequity.com).

### Assessment:

The estimated amount of the Assessment is \$[0.00] (the "Assessment Amount"), of which \$[0.00] is estimated to be allocable to the cost of the Improvements, \$[0.00] is estimated to be allocable to incidental expenses, \$[0.00] is estimated to be allocable to fund a reserve, and \$[0.00] is estimated to be allocable to capitalized interest.

The actual amount of the Assessment will be provided to you in the Final Costs and Payment Summary after the Notice of Assessment and Payment of Contractual Assessment Required relating to the Assessment has been recorded and the Bonds have been issued. Such notice shall not be recorded until the Improvements have been completed. Assuming such notice is recorded within [90] days of the date of this Contract, the amount of the Assessment shall not exceed \$[0.00].

The Assessment Interest Rate is [0.00] %.

The final scheduled Assessment installment shall be payable in the fiscal year preceding the Final Maturity Date of the Bonds specified below.

### Bonds:

The Final Maturity Date of the Bonds shall be no later than September 2, [2001].

### Estimated Annual Assessment Installments:

The schedule of the estimated annual installments of the Assessment is based on the following assumptions:

1. Corresponding Bonds allocable to the Assessment are issued in an amount equal to Assessment Amount.
2. Bonds are to be issued on [Estimated Bond Issuance Date].
3. The Improvements will be completed and paid for, and the Notice of Assessment and Payment of Contractual Assessment Required will be recorded, on a date in the tax year commencing on July 1, [20\_\_] and ending on June 30, [20\_\_] (the "Estimated Recording Date").

4. The Annual Percentage Rate (APR)<sup>1</sup> attributable to the Assessment is [0.00]%. APR is the Effective Cost of Credit in consumer loans and real estate loans expressed as a percentage interest rate. The annual percentage rate is the interest rate the borrower actually pays, including fees required in order to participate in the Program.
5. The total amount of incidental expenses (which includes recording fees and other costs associated with the Assessment and the Bonds), reserve, and capitalized interest to be added to your assessment is \$[0.00].
6. The Annual Assessment Administrative Fee will be in an annual amount equal to \$[].

<sup>1</sup> Annualized effective rate over the full assessment term.

Estimated Payment Schedule: <sup>1</sup>

| Tax Year<br>(Commencing<br>July 1 and<br>Ending June<br>30) | Interest | Principal | Total<br>Assessment<br>Installment | Annual<br>Assessment<br>Administrative<br>Fee <sup>2</sup> | Total<br>Contractual<br>Assessment<br>Payment |
|---|----------|-----------|------------------------------------|--|---|
| [2001]-[2002] <sup>2</sup>                                  | \$[0.00] | \$[0.00]  | \$[0.00]                           | \$[0.00]   | \$[0.00]                                      |
| [2001]-[2002]   | \$[0.00] | \$[0.00]  | \$[0.00]                           | \$[0.00]   | \$[0.00]                                      |
| [2001]-[2002]   | \$[0.00] | \$[0.00]  | \$[0.00]                           | \$[0.00]   | \$[0.00]                                      |
| [2001]-[2002]   | \$[0.00] | \$[0.00]  | \$[0.00]                           | \$[0.00]   | \$[0.00]                                      |
| [2001]-[2002]   | \$[0.00] | \$[0.00]  | \$[0.00]                           | \$[0.00]   | \$[0.00]                                      |

<sup>1</sup> The Estimated Initial Tax Year shown on preceding schedule is based upon the Estimated Recording Date; the actual Initial Tax Year will be based upon the actual date the Improvements are completed and paid for and the Notice of Assessment and Payment of Contractual Assessment Required is recorded.

AN UPDATED SCHEDULE OF ANNUAL ASSESSMENT INSTALLMENTS SHALL BE SPECIFIED IN THE "NOTICE OF ASSESSMENT AND PAYMENT OF CONTRACTUAL ASSESSMENT REQUIRED" TO BE RECORDED BY THE AUTHORITY IN THE OFFICE OF THE COUNTY RECORDER OF [COUNTY NAME]. UPON THE ISSUANCE OF THE BONDS, THE ACTUAL ANNUAL ASSESSMENT INSTALLMENTS WILL BE DETERMINED IN ACCORDANCE WITH THE CHAPTER 29 AND THE 1915 ACT, AS DESCRIBED IN THIS CONTRACT. AND WILL BE PROVIDED TO YOU IN THE FINAL COSTS AND PAYMENT SUMMARY.

<sup>2</sup> Estimated, subject to change.

**Prepayment:**

The Assessment may be prepaid in whole or in part, as described in Section 3(f) of this Contract.



Contra  
Costa  
County

To: Board of Supervisors  
From: David Twa, County Administrator  
Date: March 12, 2019

Subject: 2019 APPOINTMENT OF STANDBY OFFICERS TO MEMBERS OF THE BOARD OF SUPERVISORS

**RECOMMENDATION(S):**

1. UPDATE the official list of persons to serve, in priority order, as standby officers for the respective members of the Board of Supervisors, pursuant to County Ordinance Code section 42-4.004:

|   | <u>District I</u> | <u>District II</u> | <u>District III</u> | <u>District IV</u> | <u>District V</u> |
|---|-------------------|--------------------|---------------------|--------------------|-------------------|
| 1 | Sonia Bustamante  | Phil Andersen      | Mary N. Piepho      | Ron Mullin         | Janis Glover      |
| 2 | Robert Rogers     | Gayle Israel       | Joan Buchanan       | Guy Bjerke         | Lynn Enea         |
| 3 | Paul Fadelli      | Dominique Yancy    | Mark Goodwin        | Tamara Steiner     | David Fraser      |

2. DIRECT that the above appointments supersede all previous appointments.

3. REQUEST that the Emergency Services Division of the Sheriff's Office meet with any Standby Officer that requests it, and provide an overview of the County Emergency System, to include written procedures and contact information, and address any questions raised by the Standby Officers.

**FISCAL IMPACT:**

None to the County from this action.

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Jami Napier  
925.335.1908

, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:



## BACKGROUND:

Pursuant to the California Emergency Services Act, Article 15, Preservation of Local Government, the governing body of a political subdivision of the State is required to appoint three Standby Officers for each member of the governing body. Accordingly, Section 42-4.004 of the County Ordinance Code requires the Board to make such appointments.

A Standby Officer appointed by the Board is to serve in place of a regular Board member when the regular Board member is unavailable during a state of war emergency, a state of emergency, or a local emergency. (Unavailable means that the regular Board member has been killed, is missing, or is seriously injured as to be unable to attend meetings and otherwise perform his/her duties.) Appointment of the specified Standby Officers will assure a line of succession so that in the event of the unavailability of one or more regular Board members during a state of war emergency, a state of emergency, or a local emergency, the business of the Board of Supervisors may continue to be conducted with a full complement of Board members. A Standby Officer shall serve until the regular officer becomes available or until the election or appointment of a new regular officer. As required by County Ordinance Code, the status of these Standby Officer appointments will be reviewed annually to determine if any changes in appointees is necessary.

Government Code section 8641 sets out the duties of Standby Officers for the Board of Supervisors:

- 1) To be informed of the Supervisor's duties.
- 2) To be informed of the business and affairs of the County.
- 3) To immediately report for duty in the event of a state of war emergency, state of emergency, or state of local emergency.
- 4) To fill the Supervisor's post when the Supervisor is unavailable (dead, missing, or seriously injured) due to a state of war emergency, state of emergency, or state of local emergency.

The County must provide the Standby Officers with copies of California Emergency Services Act, Article 15: Preservation of Local Government (Gov. Code, §§ 8635-8644.) (See attachment.) The County can also host meetings to keep the Standby Officers informed about County business and can require their attendance at these meetings. (Gov. Code, § 8641 (b).) Standby Officers must take the same oath of office as the Supervisor and serve at the pleasure of the BOS. (Gov. Code, § 8640.)

## CONSEQUENCE OF NEGATIVE ACTION:

Without the appointment of the specified standby officers, there will be no line of succession in the event of the unavailability of one or more regular Board members during a state of war emergency, a state of emergency, or a local emergency. Therefore, the business of the Board of Supervisors may not continue to be conducted with a full complement of Board members.

## ATTACHMENTS

CA Emergency Services Act\_Art 15\_Preservation of Local Govt

[Up^](#)[Add To My Favorites](#)**GOVERNMENT CODE - GOV****TITLE 2. GOVERNMENT OF THE STATE OF CALIFORNIA [8000 - 22980]** ( Title 2 enacted by Stats. 1943, Ch. 134. )**DIVISION 1. GENERAL [8000 - 8899.24]** ( Division 1 enacted by Stats. 1943, Ch. 134. )**CHAPTER 7. California Emergency Services Act [8550 - 8668]** ( Chapter 7 added by Stats. 1970, Ch. 1454. )**ARTICLE 15. Preservation of Local Government [8635 - 8644]** ( Article 15 added by Stats. 1970, Ch. 1454. )

**8635.** The Legislature recognizes that if this state or nation were attacked by an enemy of the United States, many areas in California might be subjected to the effects of an enemy attack and some or all of these areas could be severely damaged. During such attacks and in the reconstruction period following such attacks, law and order must be preserved and so far as possible government services must be continued or restored. This can best be done by civil government. To help to preserve law and order and to continue or restore local services, it is essential that the local units of government continue to function.

In enacting this article the Legislature finds and declares that the preservation of local government in the event of enemy attack or in the event of a state of emergency or a local emergency is a matter of statewide concern. The interdependence of political subdivisions requires that, for their mutual preservation and for the protection of all the citizens of the State of California, all political subdivisions have the power to take the minimum precautions set forth in this article. The purpose of this article is to furnish a means by which the continued functioning of political subdivisions will be assured. Should any part of this article be in conflict with or inconsistent with any other part of this chapter, the provisions of this article shall control.

Nothing in this article shall prevent a city or county existing under a charter from amending said charter to provide for the preservation and continuation of its government in the event of a state of war emergency.

(Amended by Stats. 1974, Ch. 595.)

**8636.** As used in this article, "unavailable" means that an officer is either killed, missing, or so seriously injured as to be unable to attend meetings and otherwise perform his duties. Any question as to whether a particular officer is unavailable shall be settled by the governing body of the political subdivision or any remaining available members of said body (including standby officers who are serving on such governing body).

(Added by Stats. 1970, Ch. 1454.)

**8637.** Each political subdivision may provide for the succession of officers who head departments having duties in the maintenance of law and order or in the furnishing of public services relating to health and safety.

(Added by Stats. 1970, Ch. 1454.)

**8638.** To provide for the continuance of the legislative and executive departments of the political subdivision during a state of war emergency or a state of emergency or a local emergency the governing body thereof shall have the power to appoint the following standby officers:

- (a) Three for each member of the governing body.
- (b) Three for the chief executive, if he is not a member of the governing body.

In case a standby office becomes vacant because of removal, death, resignation, or other cause, the governing body shall have the power to appoint another person to fill said office.

Standby officers shall be designated Nos. 1, 2, and 3 as the case may be.

(Amended by Stats. 1974, Ch. 595.)

**8639.** The qualifications of each standby officer should be carefully investigated, and the governing body may request the Director of Emergency Services to aid in the investigation of any prospective appointee. No

examination or investigation shall be made without the consent of the prospective appointee.

Consideration shall be given to places of residence and work, so that for each office for which standby officers are appointed there shall be the greatest probability of survivorship. Standby officers may be residents or officers of a political subdivision other than that to which they are appointed as standby officers.

*(Amended by Stats. 2013, Ch. 352, Sec. 176. Effective September 26, 2013. Operative July 1, 2013, by Sec. 543 of Ch. 352.)*

**8640.** Each standby officer shall take the oath of office required for the officer occupying the office for which he stands by. Persons appointed as standby officers shall serve in their posts as standby officers at the pleasure of the governing body appointing them and may be removed and replaced at any time with or without cause.

*(Added by Stats. 1970, Ch. 1454.)*

**8641.** Each standby officer shall have the following duties:

(a) To inform himself or herself of the duties of the office for which the officer stands by. Officers and employees of the political subdivision shall assist the standby officer and each political subdivision shall provide each standby officer with a copy of this article.

(b) To keep informed of the business and affairs of the political subdivision to the extent necessary to enable the standby officer to fill his or her post competently. For this purpose the political subdivision may arrange information meetings and require attendance.

(c) To immediately report himself or herself ready for duty in the event of a state of war emergency or in the event of a state of emergency or a local emergency at the place and in the method previously designated by the political subdivision.

(d) To fill the post for which he or she has been appointed when the regular officer is unavailable during a state of war emergency, a state of emergency or a local emergency. Standby officers Nos. 2 and 3 shall substitute in succession for standby officer No. 1 in the same way that standby officer No. 1 is substituted in place of the regular officer. The standby officer shall serve until the regular officer becomes available or until the election or appointment of a new regular officer.

*(Amended by Stats. 1992, Ch. 1020, Sec. 1.7. Effective January 1, 1993.)*

**8642.** Whenever a state of war emergency a state of emergency or a local emergency exists the governing body of the political subdivision shall meet as soon as possible. The place of meeting need not be within the political subdivision. The meeting may be called by the chief executive of the political subdivision or by a majority of the members of the governing body. Should there be only one member of the governing body, he may call and hold said meeting and perform acts necessary to reconstitute the governing body.

*(Amended by Stats. 1974, Ch. 595.)*

**8643.** During a state of war emergency a state of emergency or a local emergency the governing body shall:

(a) Ascertain the damage to the political subdivision and its personnel and property. For this purpose it shall have the power to issue subpoenas to compel the attendance of witnesses and the production of records.

(b) Proceed to reconstitute itself by filling vacancies until there are sufficient officers to form the largest quorum required by the law applicable to that political subdivision. Should only one member of the governing body or only one standby officer be available, that one shall have power to reconstitute the governing body.

(c) Proceed to reconstitute the political subdivision by appointment of qualified persons to fill vacancies.

(d) Proceed to perform its functions in the preservation of law and order and in the furnishing of local services.

*(Amended by Stats. 1974, Ch. 595.)*

**8644.** Should all members of the governing body, including all standby members, be unavailable, temporary officers shall be appointed to serve until a regular member or a standby member becomes available or until the election or appointment of a new regular or standby member. Temporary officers shall be appointed as follows:

(a) By the chairman of the board of supervisors of the county in which the political subdivision is located, and if he is unavailable,

(b) By the chairman of the board of supervisors of any other county within 150 miles of the political subdivision, beginning with the nearest and most populated county and going to the farthest and least populated, and if he is unavailable,

(c) By the mayor of any city within 150 miles of the political subdivision, beginning with the nearest and most populated city and going to the farthest and least populated.

*(Added by Stats. 1970, Ch. 1454.)*



Contra  
Costa  
County

To: Board of Supervisors  
From: Sharon L. Anderson, County Counsel  
Date: March 12, 2019

Subject: Conflict of Interest Code for the Acalanes Union High School District

---

**RECOMMENDATION(S):**

APPROVE the amended Conflict of Interest Code for the Acalanes Union High School District (“District”), including the list of designated positions.

**FISCAL IMPACT:**

None.

**BACKGROUND:**

The District amended its Conflict of Interest Code and submitted the revised code, attached as Exhibit A, to the Board for approval pursuant to Government Code section 87306 and 87306.5.

The February 2019 changes include an updated list of positions designated to file conflict of interest statements.

- 
- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Cynthia Schwerin, Deputy County Counsel, (925) 335-1800

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: David Twa, Clerk of the Board of Supervisors, Cynthia Schwerin, Deputy County Counsel, John Nickerson, Ed.D., President AUHSD

BACKGROUND: (CONT'D)

These changes will ensure that the Conflict of Interest Code accurately reflects the current positions and organizational structure in use by the District. A strike-out version of the Conflict of Interest Code is attached as Exhibit B.

CONSEQUENCE OF NEGATIVE ACTION:

None.

ATTACHMENTS

Exhibit A - Conflict of Interest Code Feb 2019 of the Acalanes Union High School District.pdf

Exhibit B - Conflict of Interest Code Feb 2019 of the Acalanes Union High School District  
STRIKEOUT.pdf

**Acalanes Union High School District  
Conflict of Interest Code  
Resolution No. 18-19-15**

**WHEREAS**, the Political Reform Act, Government Code 87300-87313, requires each public agency in California to adopt a conflict of interest code; and

**WHEREAS**, the Governing Board of the Acalanes Union High School District has previously adopted a local conflict of interest code; and

**WHEREAS**, past and future amendments to the Political Reform Act and implementing regulations may require conforming amendments to be made to the district's conflict of interest code; and

**WHEREAS**, a regulation adopted by the Fair Political Practices Commission, 2 CCR 18730, provides that incorporation by reference of the terms of that regulation, along with an agency-specific appendix designating positions and disclosure categories shall constitute the adoption and amendment of a conflict of interest code in conformance with Government Code 87300 and 87306; and

**WHEREAS**, the Acalanes Union High School District has recently reviewed its positions, and the duties of each position, and has determined that changes to the current conflict of interest code are necessary; and

**WHEREAS**, any earlier resolutions, bylaws, and/or appendices containing the district's conflict of interest code shall be rescinded and superseded by this resolution and Appendix; and

**NOW THEREFORE BE IT RESOLVED** that the Acalanes Union High School District Governing Board adopt the following Conflict of Interest Code including its Appendix of Designated Employees and Disclosure Categories.

**PASSED AND ADOPTED THIS** sixth day of February 2019, at a meeting, by the following vote:

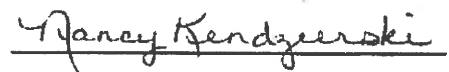
Ayes: Connelly, Coppersmith, Hockett, Kendzierski, Severson

Nays:

Abstain:

Absent:

Attest:



Nancy Kendzierski  
Clerk, Governing Board  
Acalanes Union High School District

**Acalanes Union High School District  
Conflict of Interest  
Resolution No. 18-19-15**

**APPENDIX**

**Disclosure Categories**

1. Category 1: A person designated Category 1 shall disclose:
  - a. Interests in real property located entirely or partly within district boundaries, or within two miles of district boundaries, or of any land owned or used by the district.
  - b. Investments or business positions in or income from sources which are engaged in the acquisition or disposal of real property within the district, are contractors or subcontractors which are or have been within the past two years engaged in work or services of the type used by the district, or manufacture or sell supplies, books, machinery, or equipment of the type used by the district.
2. Category 2: A person designated Category 2 shall disclose:
  - a. Investments or business positions in or income from sources which are contractors or subcontractors engaged in work or services of the type used by the department which the designated person manages or directs.
  - b. Investments or business positions in or income from sources which manufacture or sell supplies, books, machinery, or equipment of the type used by the department which the designated person manages or directs. For the purposes of this category, a principal's department is his/her entire school.
3. Full Disclosure: Because it has been determined that the district's Board members and/or Superintendent "manage public investments," they and other persons designated for "full disclosure" shall disclose, in accordance with Government Code 87200:
  - a. Interests in real property located entirely or partly within district boundaries, or within two miles of district boundaries, or of any land owned or used by the district.
  - b. Investments, business positions, and sources of income, including gifts, loans, and travel payments.



**Acalanes Union High School District  
Conflict of Interest  
Resolution No. 18-19-15**

**APPENDIX**

**Designated Position and Disclosure Category**

| <b>Designated Position</b>                                   | <b>Category</b> |
|--|-----------------|
| Governing Board Members                                      | 1               |
| Superintendent of Schools                                    | 1               |
| Associate Superintendent, Educational Services               | 1               |
| Associate Superintendent, Administrative Services            | 1               |
| Chief Business Official                                      | 1               |
| Purchasing Specialist  | 1               |
| Director of Special Education and Auxiliary Services         | 2               |
| Director of Curricular Innovation and Educational Technology | 2               |
| Director of Del Valle Education Center                       | 2               |
| Director of Fiscal Services                                  | 2               |
| Principal  | 2               |
| Associate Principal  | 2               |
| Director of Facilities                                       | 2               |
| Director of Custodial, Facility Use & Aquatics               | 2               |
| Coordinator of Food Services & Safety Program                | 2               |
| Coordinator of Alternative Education                         | 2               |
| Network Manager  | 2               |

**Disclosures for Consultants**

Consultants are designated employees who must disclose financial interests as determined on a case-by-case basis by the Superintendent or designee. The Superintendent or designee's written determination shall include a description of the consultant's duties and a statement of the extent of disclosure requirements based upon that description. All such determinations are public records and shall be retained for public inspection along with this conflict of interest code.

A consultant is an individual who, pursuant to a contract with the district, makes a governmental decision whether to: (2 CCR 18701)

1. Approve a rate, rule, or regulation
2. Adopt or enforce a law
3. Issue, deny, suspend, or revoke a permit, license, application, certificate, approval, order, or similar authorization or entitlement
4. Authorize the district to enter into, modify, or renew a contract that

**Acalanes Union High School District  
Conflict of Interest  
Resolution No. 18-19-15**

**APPENDIX**

requires district approval

5. Grant district approval to a contract that requires district approval and in which the district is a party, or to the specifications for such a contract
6. Grant district approval to a plan, design, report, study, or similar item
7. Adopt or grant district approval of district policies, standards, or guidelines

A consultant is also an individual who, pursuant to a contract with the district, serves in a staff capacity with the district and in that capacity participates in making a governmental decision as defined in 2 CCR 18702.2 or performs the same or substantially all the same duties for the district that would otherwise be performed by an individual holding a position specified in the district's conflict of interest code. (2 CCR 18701)

**Acalanes Union High School District  
Conflict of Interest  
Resolution No. 18-19-15**

**APPENDIX**

**Disclosure Categories**

1. Category 1: A person designated Category 1 shall disclose:
  - a. Interests in real property located entirely or partly within district boundaries, or within two miles of district boundaries, or of any land owned or used by the district.
  - b. Investments or business positions in or income from sources which are engaged in the acquisition or disposal of real property within the district, are contractors or subcontractors which are or have been within the past two years engaged in work or services of the type used by the district, or manufacture or sell supplies, books, machinery, or equipment of the type used by the district.
  
2. Category 2: A person designated Category 2 shall disclose:
  - a. Investments or business positions in or income from sources which are contractors or subcontractors engaged in work or services of the type used by the department which the designated person manages or directs.
  - b. Investments or business positions in or income from sources which manufacture or sell supplies, books, machinery, or equipment of the type used by the department which the designated person manages or directs. For the purposes of this category, a principal's department is his/her entire school.
  
3. Full Disclosure: Because it has been determined that the district's Board members and/or Superintendent "manage public investments," they and other persons designated for "full disclosure" shall disclose, in accordance with Government Code 87200:
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  - b. Investments, business positions, and sources of income, including gifts, loans, and travel payments.

**Acalanes Union High School District  
Conflict of Interest  
Resolution No. 18-19-15**

**APPENDIX**

**Designated Position and Disclosure Category**

| <b>Designated Position</b>  | <b>Category</b> |
|---|-----------------|
| Governing Board Members   | 1               |
| Superintendent of Schools   | 1               |
| Associate Superintendent, Educational Services                            | 1               |
| Associate Superintendent, Administrative Services                         | 1               |
| Chief Business Official   | 1               |
| Purchasing Specialist   | 1               |
| Director of Special Education and Auxiliary Services                      | 2               |
| Director of Curricular Innovation and Educational Technology              | 2               |
| Director of Del Valle Education Center                                    | 2               |
| Director of Fiscal Services   | 2               |
| Principal   | 2               |
| Associate Principal   | 2               |
| <del>Director of Facilities, Building, Grounds &amp; Transportation</del> | <del>2</del>    |
| Director of Custodial, Facility Use & Aquatics                            | 2               |
| Coordinator of Food Services & Safety Program                             | 2               |
| Coordinator of Alternative Education                                      | 2               |
| Network Manager   | 2               |
| Director of Facilities  | 2               |

**Disclosures for Consultants**

Consultants are designated employees who must disclose financial interests as determined on a case-by-case basis by the Superintendent or designee. The Superintendent or designee's written determination shall include a description of the consultant's duties and a statement of the extent of disclosure requirements based upon that description. All such determinations are public records and shall be retained for public inspection along with this conflict of interest code.

A consultant is an individual who, pursuant to a contract with the district, makes a governmental decision whether to: (2 CCR 18701)

1. Approve a rate, rule, or regulation
2. Adopt or enforce a law
3. Issue, deny, suspend, or revoke a permit, license, application, certificate, approval, order, or similar authorization or entitlement

**Acalanes Union High School District  
Conflict of Interest  
Resolution No. 18-19-15**

**APPENDIX**

4. Authorize the district to enter into, modify, or renew a contract that requires district approval
5. Grant district approval to a contract that requires district approval and in which the district is a party, or to the specifications for such a contract
6. Grant district approval to a plan, design, report, study, or similar item
7. Adopt or grant district approval of district policies, standards, or guidelines

A consultant is also an individual who, pursuant to a contract with the district, serves in a staff capacity with the district and in that capacity participates in making a governmental decision as defined in 2 CCR 18702.2 or performs the same or substantially all the same duties for the district that would otherwise be performed by an individual holding a position specified in the district's conflict of interest code. (2 CCR 18701)



Contra  
Costa  
County

To: Board of Supervisors  
From: Sharon L. Anderson, County Counsel  
Date: March 12, 2019

Subject: Conflict of Interest Code of the Mt. View Sanitary District

---

**RECOMMENDATION(S):**

APPROVE amendments to the list of designated positions in Appendix A of the Mt. View Sanitary District’s Conflict of Interest Code.

**FISCAL IMPACT:**

None.

**BACKGROUND:**

The Mt. View Sanitary District has amended Appendix A to its conflict of interest code and submitted the revised Appendix, attached as Exhibit A, to the Board for approval pursuant to Government Code sections 87306 and 87306.5. The list of designated positions in Appendix A has been revised to include new positions, amend disclosure categories, and delete a position. These changes will ensure that the Conflict of Interest Code for the Mt. View Sanitary District accurately reflects its current positions and organizational structure. The changes are shown on the attached ‘red-line’ version of Appendix A included as Exhibit B.

**CONSEQUENCE OF NEGATIVE ACTION:**

None.

---

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> APPROVE                              | <input type="checkbox"/> OTHER                             |
| <input checked="" type="checkbox"/> RECOMMENDATION OF CNTY ADMINISTRATOR | <input type="checkbox"/> RECOMMENDATION OF BOARD COMMITTEE |
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Cynthia Schwerin, Deputy County Counsel, (925) 335-1800

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy



ATTACHMENTS

Exhibit A - Conflict of Interest Code Appendix A for the Mt View Sanitary District.pdf

Exhibit B - Conflict of Interest Code Appendix A for the Mt View Sanitary District  
REDLINE.pdf



**ORDINANCE NO. 2018-124**  
**(GENERAL REGULATION NO. 124)**

**AN ORDINANCE OF THE BOARD OF DIRECTORS OF MT. VIEW SANITARY DISTRICT ADOPTING GENERAL REGULATION NO. 117 AMENDING THE CONFLICT OF INTEREST CODE FOR THE DISTRICT**

**WHEREAS**, by way of Ordinance 2000-79 (General Regulation No 79) adopted October 12, 2000, a new Conflict of Interest Code for the District was enacted; and

**WHEREAS**, said Conflict of Interest Code was amended by Ordinance No. 2002-83 (General Regulation No. 83), adopted March 14, 2002; and

**WHEREAS**, said Conflict of Interest Code was amended by Ordinance 2002-85 (General Regulation No. 85), adopted October 10, 2002; and

**WHEREAS**, said Conflict of Interest Code was amended by Ordinance No. 2005-89 (General Regulation No. 89), adopted May 12, 2005; and

**WHEREAS**, said Conflict of Interest Code was amended by Ordinance No. 2006-92 (General Regulation No. 92) adopted August 14, 2006; and

**WHEREAS**, said Conflict of Interest Code was amended by Ordinance No. 2008-96 (General Regulation No. 96) adopted July 10, 2008; and

**WHEREAS**, said Conflict of Interest Code was amended by Ordinance No. 2010-104 (General Regulation No. 104) adopted November 15, 2010; and

**WHEREAS**, said Conflict of Interest Code was amended by Ordinance No. 2012-108 (General Regulation No. 108) adopted December 13, 2012; and

**WHEREAS**, said Conflict of Interest Code was amended by Ordinance No. 2015-117 (General Regulation No. 117) adopted November 12, 2015; and

**WHEREAS**, by prior action of the Board of Directors the positions of Maintenance Scheduler/Planner and Administrative Services Manager were created, and the position of Maintenance and SSMP Coordinator was eliminated; and

**WHEREAS**, it has been determined that the District's Conflict of Interest Code requires amendment to add the positions of Maintenance Scheduler/Planner and Administrative Services Manager and identify their respective reporting requirements, and

**WHEREAS**, it has been determined that the District's Conflict of Interest Code requires further amendment to remove the position of Maintenance and SSMP Coordinator; and

**WHEREAS**, it has been determined that the District's Conflict of Interest Code requires further amendment to add reporting category A to the previously identified positions of Laboratory Analyst and Wastewater Operations Supervisor; and

**WHEREAS**, at its August 16, 2018 meeting, the Board authorized the timely transmission of correspondence to the Contra Costa County Clerk of the Board of Supervisors regarding the anticipated amendment of the Conflict of Interest Code for the Mt. View Sanitary District and set a Public Hearing on the amendment for October 11, 2018; and

**WHEREAS**, at its October 11, 2018 meeting, the Board continued the Public Hearing to November 8, 2018.

**NOW, THEREFORE, THE BOARD OF DIRECTORS OF THE MT. VIEW SANITARY DISTRICT DOES ORDAIN AS FOLLOWS:**

Section 1. The positions of Maintenance Scheduler/Planner and Administrative Services Manager are added to the list of positions required to file statements of economic interest, the position of Maintenance and SSMP Coordinator is removed, and Category A is added to the applicable disclosure categories for the positions of Laboratory Analyst and Wastewater Operations Supervisor and Appendix "A" of the District's Conflict of Interest Code is so amended. The revised Appendix "A" is attached hereto and made a part hereof. All designated positions shall file statements of economic interest in conformance with the disclosure categories applicable to said position. The disclosure categories are more particularly described in Appendix "B" to Ordinance 2000-79.

Section 2. All provisions of Ordinance 2000-79 are hereby declared applicable to the positions set forth in Appendix "A".


Section 3. Upon its adoption, the Board Secretary is directed to forward a certified copy of this Ordinance to the Clerk of the Board of Supervisors and the County Administrator of Contra Costa County.

Section 4. Except as amended herein, Ordinance 2000-79 remains in full force and effect.


Section 5. Copies of this Ordinance and General Regulation shall be entered in the Minutes of the District Board, posted by the Board Secretary in at least 3 public places in the District and published in accordance with California Health and Safety Code Section 6490. The effective date of the Ordinance and General Regulation shall be upon expiration of the week following publication and posting.

**THE FOREGOING ORDINANCE** was regularly moved, seconded, passed and adopted and ordered published in accordance with Health & Safety Code Section 6490 at a regular meeting of the District Board of Mt. View Sanitary District held on November 8, 2018, by the following vote:

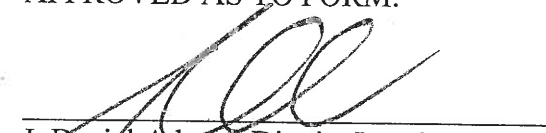
- AYES: Directors Maggi, Pyka, and Schaal, Vice President Caldwell, President Danley
- NOES: None
- ABSENT: None
- ABSTAIN: None

  
 Brian A. Danley, President  
 Mt. View Sanitary District

ATTEST:

  
 Sheri L. Riddle, Secretary


APPROVED AS TO FORM:

  
 Daniel Adams, District Legal Counsel

APPROVED AS TO ADMINISTRATION

  
 Neal B. Allen, District Manager

I hereby certify that the foregoing Ordinance was duly passed, enacted and ordered published and posted at a regular meeting of the Board of Directors of the Mt. View Sanitary District held on November 8, 2018.

  
 Sheri L. Riddle, Secretary

**APPENDIX "A"**  
**ORDINANCE NO. 2018-124**  
**MVSD CONFLICT OF INTEREST CODE**

| <b><u>Position</u></b>                               | <b><u>Disclosure Category</u></b> |
|--|-----------------------------------|
| (a) Director (includes President and Vice President) | All categories                    |
| (b) District Manager                                 | All categories                    |
| (c) Assistant District Manager                       | All categories                    |
| (d) Administrative Services Manager                  | All categories                    |
| (e) Office Administrator/Board Secretary             | All categories                    |
| (f) District Engineer                                | All categories                    |
| (g) District Legal Counsel                           | All categories                    |
| (h) District Assistant Legal Counsel                 | All categories                    |
| (i) Wastewater Operations Supervisor                 | Categories A, B, C & D            |
| (j) Consultant*                                      | All categories*                   |
| (k) District Biologist                               | All categories                    |
| (l) Laboratory Analyst                               | Categories A, B, C & D            |
| (m) Maintenance Scheduler/Planner                    | Categories A, B, C & D            |

\*The District Manager may determine in writing that a particular consultant, although a "designated position", is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The District Manager's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

SECRETARY'S CERTIFICATE

I, Sheri L. Riddle, Board Secretary to the Mt. View Sanitary District, do hereby certify as follows:

The foregoing is a full, true, and correct copy of an ordinance duly adopted at a regular meeting of the Board of Directors of said District, duly and regularly and legally held at the regular meeting place thereof on November 8, 2018, of which meeting all of the members of said Board had due notice and at which a majority thereof was present.

At said meeting said ordinance was upon motion duly seconded and adopted by the vote as therein set forth.

I have carefully compared the same with the original minutes of said meeting on file and of record in my office and that said ordinance is duly entered of record in the minutes and the foregoing is a full, true and correct copy of the original ordinance adopted at said meeting and entered in said minutes.

Said ordinance has not been amended, modified or rescinded since the date of its adoption and the same is now in full force and effect.

Dated: December 3, 2018



---

SHERI L. RIDDLE, BOARD SECRETARY  
OF THE DISTRICT BOARD OF MT. VIEW SANITARY  
DISTRICT, COUNTY OF CONTRA COSTA,  
STATE OF CALIFORNIA

**APPENDIX "A"**  
**ORDINANCE NO. 2015-1172018-124**  
**MVSD CONFLICT OF INTEREST CODE**

| <b><u>Position</u></b>                               | <b><u>Disclosure Category</u></b>            |
|--|--|
| (a) Director (includes President and Vice President) | All categories                               |
| (b) District Manager                                 | All categories                               |
| (c) Assistant District Manager                       | All categories                               |
| <del>(d) Maintenance and SSMP Coordinator</del>      | <del>All categories</del>                    |
| <del>(d) Administrative Services Manager</del>       | <del>All categories</del>                    |
| (e) Office Administrator/Board Secretary             | All categories                               |
| (f) District Engineer                                | All categories                               |
| (g) District Legal Counsel                           | All categories                               |
| (h) District Assistant Legal Counsel                 | All categories                               |
| (i) Wastewater Operations Supervisor                 | Categories <u>A</u> , B, C & D               |
| (j) Consultant*                                      | All categories*                              |
| (k) District Biologist                               | All categories                               |
| (l) Laboratory Analyst                               | Categories <u>A</u> , B, C & D               |
| <del>(m) Maintenance Scheduler/Planner</del>         | <del>Categories <u>A</u>, B, C &amp; D</del> |

\*The District Manager may determine in writing that a particular consultant, although a "designated position", is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The District Manager's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.



Contra  
Costa  
County

To: Board of Supervisors  
From: FAMILY & HUMAN SERVICES COMMITTEE  
Date: March 12, 2019

Subject: 2017-2027 Comprehensive County Child Care Needs Assessment

---

**RECOMMENDATION(S):**

APPROVE the 2017-2027 Comprehensive County Child Care Needs Assessment prepared by Brion Economics, Inc., on behalf of Contra Costa County Local Planning and Advisory Council for Early Care and Education.

**BACKGROUND:**

The California Department of Education, Early Education and Support Division, requires every county to develop a child care needs assessment of early education and before-and after-school programs for their jurisdictions at least every five (5) years (*ref. CA Education Code section 8499.5*). The Child Care Planning Council of Contra Costa County has prepared the attached report not only to satisfy this requirement, but also to help inform child care policies and priorities based on the current status of child care in the County. Issues discussed in this report include:

- Current supply of licensed child care within Contra Costa County
- Demand for child care by age for County residents ages 0-12
- Magnitude and location of gaps in child care supply
- Cost of child care for families
- Sources of child care subsidy assistance
- Estimates of the level of need for child care among special populations, such as children with disabilities and non-English speakers

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Susan Jeong (925)  
942-3413

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: FHS Staff, CCC Office of Education

BACKGROUND: (CONT'D)

The Family and Humans Services Committee, at its February 25th meeting, reviewed and accepted the attached 2017-2027 Comprehensive County Child Care Needs Assessment prepared by Brion Economics, Inc., on behalf of the Contra Costa County Local Planning and Advisory Council for Early Care and Education (LPC), First 5 Contra Costa, Contra Costa County Office of Education and Contra Costa County Conservation and Development Department. The needs assessment requires Board of Supervisors approval. The previous study was completed in 2012.

ATTACHMENTS

2017 10-Year Comprehensive Countywide Child Care Needs Assessment





Brion Economics INC.

# Final Report

## Contra Costa County Comprehensive Countywide Child Care Needs Assessment – 2017 to 2027

*Prepared for*

Contra Costa County Local Planning and Advisory Council for Early Care and Education (LPC),  
First 5 Contra Costa,  
Contra Costa County Office of Education and Contra Costa County Conservation and  
Development Department

*Prepared by*

Brion Economics, Inc., with Davis Consultant Network and Nilsson Consulting

August 2018



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# 1. Introduction and Findings

The County Office of Education retained Brion Economics, Inc. (BEI) in 2017 to conduct a Countywide Child Care Needs Assessment, which will be used for a variety of purposes. One of the main purposes of the assessment is to fulfill the State’s requirement for each county to analyze the child care needs for infants, preschool, and school age children. This analysis is what we call a Child Care Supply and Demand Study, and estimates the demand for child care by age group and compares it to available supply of child care spaces. This study presents the analysis at the County level as well as the city and community level (see **Chapters 2 and 3**). BEI prepared a countywide-only study for Contra Costa County in 2012, and a detailed countywide and city level analysis in 2006 - 2007.

This study has been prepared for the Contra Costa County Local Planning Council in partnership with First 5 Contra Costa County. This study builds on our past work for the County in both 2012 and 2007. It is being prepared as part of a larger study concerning Child Care Facility Needs in the County and in combination with two online surveys on child care issues. The first online survey focused on child care providers. The results of this survey are discussed in **Chapter 4**. The second online survey targeted stakeholders in the County that have some relationship to providing child care facilities, or are involved in the development community, including public agencies and decision makers (see **Chapter 5**).

BEI has been conducting child care needs assessments and other planning studies since 2000, when the firm was initially formed. The firm has conducted more than 60 studies for a variety of clients, public and private, and regarding a variety of aspects of child care, including Economic Impact Studies, Preschool for All studies, Strategic Plans, and Facility Development Handbooks. However, child care needs assessments are our main focus regarding child care work.

## Summary of Findings

- **Total Children:** In 2017, there were an estimated 1.12 million people in Contra Costa County, of which 195,500 were children ages birth to 12 years old, or 17.4% of total population. Overall, 75,100 or 38% of those children require licensed or license-exempt care, based on labor force participation rates (LFPRs) and licensed care demand factors, as discussed in more detail below.

| Countywide                                | 2017      | 2027      | Net Change |
|---|-----------|-----------|------------|
| Total Population                          | 1,120,460 | 1,193,320 | 72,860     |
| Total Employees                           | 392,790   | 425,128   | 32,338     |
| Total Children 0-12 years                 | 195,517   | 208,397   | 12,880     |
| 0 to 2 Years                              | 41,476    | 44,327    | 2,851      |
| 3 to 4 Years                              | 33,857    | 36,125    | 2,269      |
| School Age                                | 120,185   | 127,945   | 7,760      |
| Children 0 to 12 as % of Total Population | 17.4%     | 17.5%     | 0.01%      |

- **Population Growth 2017 to 2027:** Overall, Contra Costa County will see an increase in population of 72,900 residents, or 6.5% between 2017 and 2027, for a total population of 1,200,000 in 2027. For children birth to 12 years old, there will also be an increase of 12,900 or 6.2%, for a total child population of 208,400.
- **Child care Supply:** There are approximately 39,800 child care spaces in Contra Costa County for children from birth to 12 years old.

| Age of Children     | FCCH Spaces  | Center Spaces | # of Spaces   | % of Supply |
|---------------------|--------------|---------------|---------------|-------------|
| 0 to 2 Years        | 1,933        | 1,459         | 3,398         | 9%          |
| 3 to 4 Years        | 3,866        | 14,861        | 19,085        | 48%         |
| School Age          | 2,487        | 14,806        | 17,293        | 43%         |
| <b>Total Supply</b> | <b>8,286</b> | <b>31,126</b> | <b>39,776</b> | <b>100%</b> |

- **Total Demand for Child Care at 2017:** The total demand for licensed child care spaces as of 2017 equals about 75,200. The breakdown is 18% infants, 29% preschool, and 53% school age.

| Age of Children     | # of Spaces Needed | % of Demand |
|---------------------|--------------------|-------------|
| 0 to 2 Years        | 13,368             | 18%         |
| 3 to 4 Years        | 21,739             | 29%         |
| School Age          | 40,034             | 53%         |
| <b>Total Demand</b> | <b>75,141</b>      | <b>100%</b> |

- **Infant Care Shortage:** In Contra Costa County, there is currently a shortage of almost 10,000 infant (birth to two years old) spaces with 25% of demand currently met. The shortage varies significantly by city.<sup>1</sup>
- **Preschool Shortage:** For preschool age children (three to four years old<sup>2</sup>), there is a shortage of 2,700 spaces, with 88% of demand being met.<sup>3</sup> The shortage varies significantly by city.

<sup>1</sup> Infants include children from birth through 2 years old.

<sup>2</sup> Preschool includes 3 and 4 year olds, as well as 25% of 5 year olds.

- **School Age Shortage:** For school age children (ages five to 12 years old), there is a shortage of 22,700 spaces in Contra Costa County. Approximately 43% of total demand is met with existing supply.<sup>4</sup>

| Age of Children                 | Shortage of Spaces<br>2017 | % of Demand Met<br>2017 | Shortage of Spaces<br>2027 | % of Demand Met<br>2027 |
|---------------------------------|----------------------------|-------------------------|----------------------------|-------------------------|
| 0 to 2 Years                    | (9,970)                    | 25%                     | (10,903)                   | 24%                     |
| 3 to 4 Years                    | (2,654)                    | 88%                     | (4,135)                    | 82%                     |
| School Age                      | (22,741)                   | 43%                     | (25,371)                   | 41%                     |
| <b>Total Shortage/(Surplus)</b> | <b>(35,365)</b>            | <b>53%</b>              | <b>(40,408)</b>            | <b>50%</b>              |

- **Change Since 2012:** In 2012, there was a total shortage of 7,400 spaces with 88% of demand overall met. In 2017, the total shortage increased to 35,400.<sup>5</sup> This is partly due the change in demand factors used in the two studies. Reallocation of two year olds to Infant and of some five year olds to School Age has changed the distribution of supply and demand.
- **Future Infant Demand in 2027:** By 2027, total demand for licensed child care spaces will increase to about 80,200 or by about 5,000 spaces without any new supply added to the market. This breaks down to a need for 14,300 infant spaces or an increase of 7%. Based on the current supply of spaces, there will be a shortfall of 10,900 infant spaces, with 24% of total demand met. This assumes no increase in the number of licensed or license-exempt spaces between 2017 and 2027.
- **Future Preschool Demand in 2027:** Demand for preschool spaces will be 23,200 with a shortfall of 4,100 preschool spaces; 82% of demand will be met, which is a 6% decrease in demand compared to 2017 conditions. This assumes no increase in the number of licensed or license-exempt spaces between 2017 and 2027.
- **Future School Age Demand in 2027:** For school age spaces, there will be an estimated demand for 42,700 spaces, creating a shortfall of 25,400 spaces in 2027; about 40% of demand will be met. Again, this assumes no increase in the number of licensed or license-exempt spaces between 2017 and 2027.

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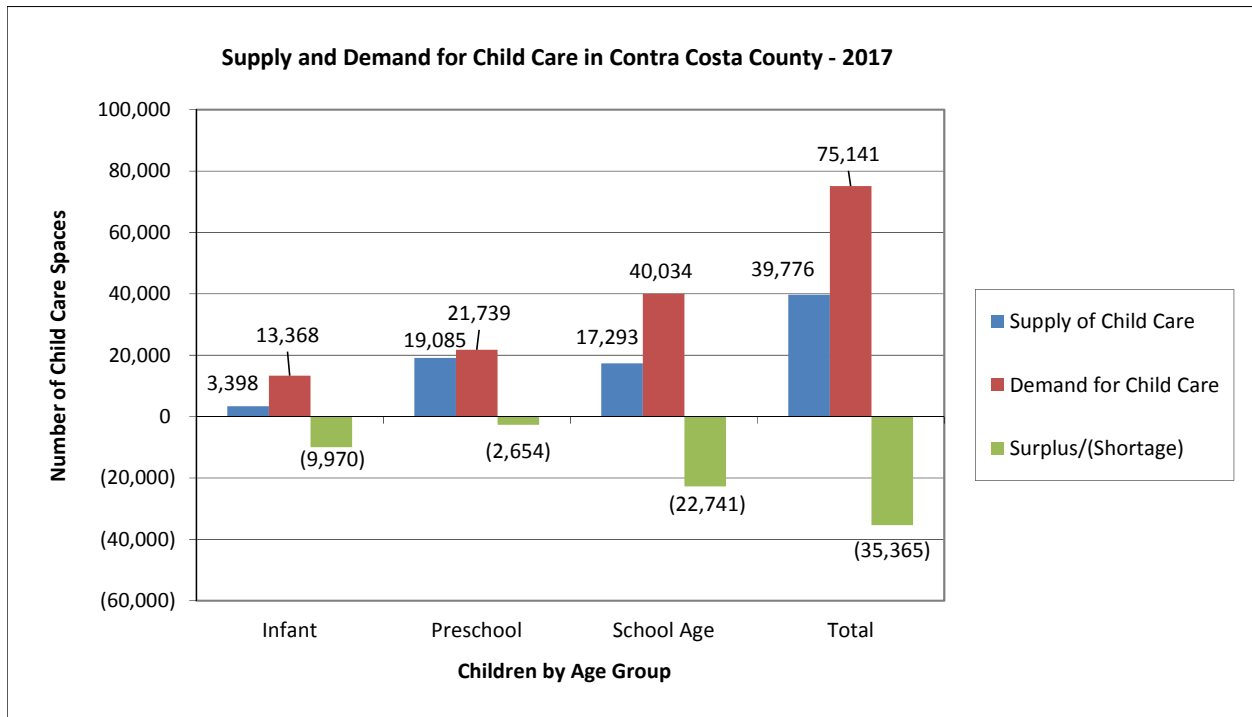
<sup>3</sup> Demand for, or shortage of, spaces refers to licensed or license-exempt spaces.

<sup>4</sup> Ibid.

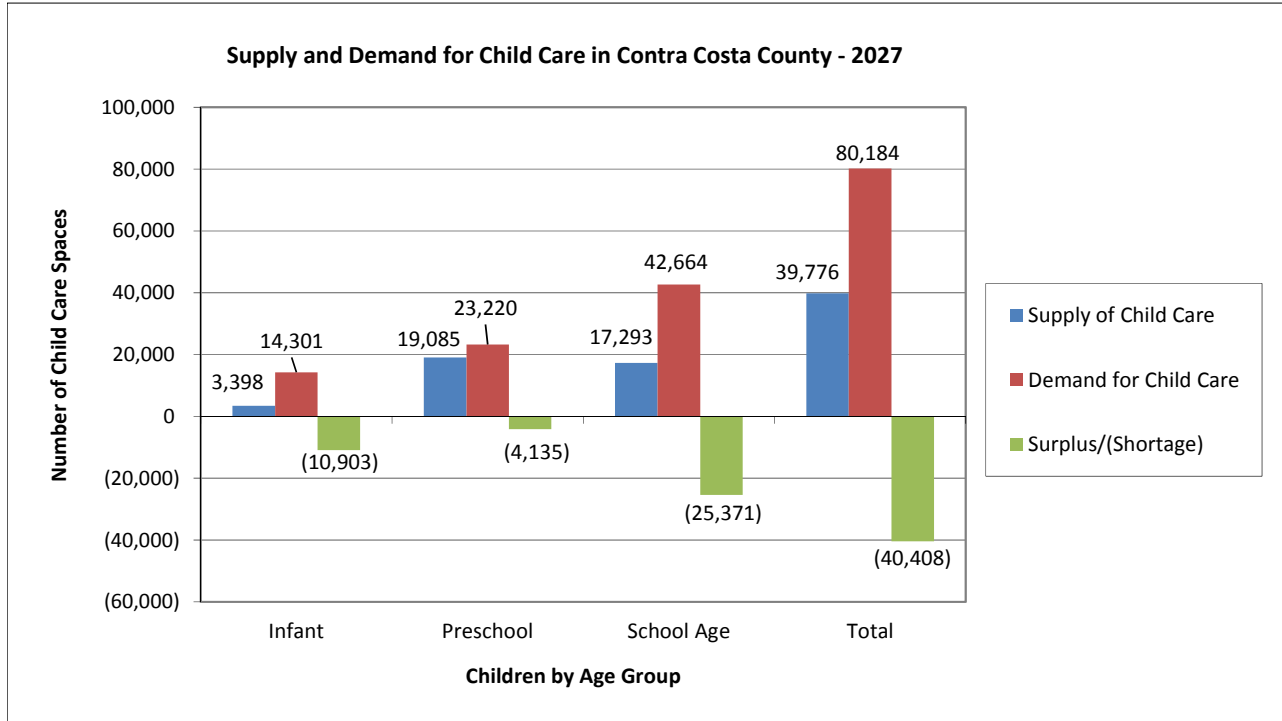
<sup>5</sup> It should be noted that the demand factors used in 2012 were significantly less than those used in the 2017 study, which contributes to the significant shortages as compared to 2012. Two year olds have been moved to Infant and removed from Preschool.

| Age of Children     | # of Spaces Needed | % of Demand |
|---------------------|--------------------|-------------|
| 0 to 2 Years        | 14,301             | 18%         |
| 3 to 4 Years        | 23,220             | 29%         |
| School Age          | 42,664             | 53%         |
| <b>Total Demand</b> | <b>80,184</b>      | <b>100%</b> |

The following graphics summarize the supply and demand data by type of care and year.







## Acknowledgements

We would like to acknowledge the following organizations for their support in this effort:

- The Contra Costa County Child Care Resource and Referral Services agency (CocoKids)
- Contra Costa County, Conservation Department
- Contra Costa County Office of Education
- Contra Costa County Human Services Department
- Contra Costa County Planning and Advisory Council for Early Care & Education

## 2. Child Care Needs Assessment – 2017 to 2027

This chapter presents methodology, analysis, and results of the Child Care Needs Assessment for current conditions (2017) and future conditions (2027) for children from birth to 12 years old by city/area in Contra Costa County.

A summary of the child care supply and demand analysis findings are in **Chapter 1**. Please note that all **Chapter 2** tables are at the end of the Chapter.

### Background and Methodology

In California, there are several methodologies for estimating demand for child care but there is limited published data on this issue. Given the diversity of demographics in the state by county, the use of a single set of child care demand factors across the state does not make sense. The California Child Care Coordinators Association (CCCCA) adopted a set of general demand factors that is in use across the state for infants, toddlers, and school-aged children.<sup>6</sup> However, they suggest that local jurisdictions should consider local conditions and develop demand factors that reflect conditions in their county and while Contra Costa County has used the recommended demand factors in prior studies, it has decided to use demand factors for this study that reflect observations and experiences of child care demand in our county. The demand rates we have chosen (50% for infant/toddler care, 100% for preschool care, and 50% for school-aged care) reflect information about current use of those types of care, as well as the County's belief that it is important that quality child care be available to all children who need it.<sup>7</sup>

Other urban counties, like San Francisco and San Mateo, have also taken a local approach to determine demand factors based on available data and value-based milestones that amplify access to child care in their community. The County believes this set of child care demand factors for licensed child care best reflects our local conditions.

The following table summarizes the rates used by age group in the 2007, 2012, and 2017 studies. While the County use of the higher demand factors included in this study is logical, it is

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<sup>6</sup> See California Child Care Coordinators Association's "LPC Child Care Need Assessment: Instruction Guide for Completing the Aggregate County Report." <http://www.california-childcare-coordinators.org/resources/resources-lpc-coordinators.html>.

<sup>7</sup> This decision was made by the Contra Costa County Child Care Data Committee in March 2018.

important for BEI to make this change explicit so that readers who are reviewing our prior work understand why the resulting unmet demand has changed so significantly.

| Year | Demand Factor for Licensed Care |           |            |
|------|---------------------------------|-----------|------------|
|      | Infants                         | Preschool | School Age |
| 2018 | 50%                             | 100%      | 50%        |
| 2013 | 37%                             | 90%       | 39%        |
| 2007 | 37%                             | 75%       | 39%        |

The Needs Assessment begins with the underlying demographic data and then growth projections are incorporated into the analysis. Child care supply and demand analysis by city/area and for the County is then estimated at 2017 and 2027. **Appendix A Tables 1 to 47** present Needs Assessment tables and the supporting data for each city/area individually for Existing Conditions (2017) and Future Conditions (2027).

**Appendix A Tables 1 to 47** provide detailed analysis tables, one set for each of the 22 cities/areas analyzed for this study, and one for Contra Costa County as a whole under current 2017 and future 2027 conditions (see **Appendix A Tables 46 and 47**).

This study focuses on children ages 0 to 12 years old, with the following age ranges:

- Infants – children birth through 2 years old.
- Preschool – children ages 3 to 4 and 25% of 5 year olds.
- School Age – children ages 5 to 12 (including 75% of 5 year olds).

It is assumed that 75% of 5 year olds will be enrolled in kindergarten or transitional kindergarten and will not be generating demand for preschool age child care spaces.

Detailed demographic data for each city/area in 2017 is presented in **Appendix A, Table 48**. Households and employment are based on ABAG data, and the percentage of the population by age group for children birth to 12 years old is calculated from U.S. Census data (2010). **Appendix A, Table 49** presents the same detailed demographics estimates as **Appendix A, Table 48** for 2027.

Child Care supply in 2017 by city/area, type of care, and child age group is shown in detail in **Appendix A, Table 50**.

## Summary of Supply and Demand

The Needs Assessment is focused on 19 incorporated cities and three other unincorporated areas, as shown in **Table 2-1**. The other three areas are Alamo-Blackhawk, Rodeo-Crockett, and East Rural Contra Costa County, as defined by the Association of Bay Area Governments (ABAG). As shown in **Table 2-1**, total population for Contra Costa County in 2017 is estimated at 1,120,000, based on calculating the average annual growth between 2015 and 2020 based on ABAG *Projections '13*. **Table 2-1** also shows population growth by city/area between 2017 and 2027. Overall, the County is expected to grow from 1,120,000 residents to 1,190,000, an increase of almost 73,000 or 6.5%. In terms of size, Concord will see the largest population growth in the County, with approximately 14,700 new residents, followed by Richmond with an estimated 10,300 new residents. The City of Hercules is projected to see the largest percentage increase of population at 13.9%. The City of Oakley is expected to see growth of 12.4%, followed by Concord at 11%.

## Age Groupings

**Table 2-2** calculates 2017 population by age group for all children under three years old, children three to four years old, and children five to 12 years old by city and by total. The breakdown of children by age is calculated based on data from the 2010 U.S. Census, which measures population by age. The percentage of children from birth to 35 months, from three to four years (including 25% of five year olds), and five to 12 years (including 75% of five year olds) was applied to current 2017 population figures to estimate the current number of children by age group. Countywide, there are approximately 41,500 children under the age of three years, 33,900 children ages three and four years old, and 120,200 children five to 12 years old, for a total of 195,500. Children ages 12 and under comprise approximately 17.4% of the total County population and this figure varies by city or area. By 2027, it is estimated that there will be 208,400 children ages 12 and under in the County, an increase of 12,900 children or an increase of 6.2% from 2017.

## Child Care Supply

**Table 2-4** summarizes current licensed and legally license-exempt<sup>8</sup> child care supply by age group for Infants, Preschool, and School Age children by city/area as of August 2017, based on information provided by CocoKids. Supply data breaks down the number of spaces by age group and by city/area. It also shows what percentage of overall supply each city/area has as compared to the County overall. Concord and Richmond together have the greatest number and percentage of child care spaces, making up over 26% of the total number of spaces in the County. Countywide, there are approximately 3,400 Infant spaces, 19,100 Preschool spaces, and 17,300 School Age spaces, for a total of almost 39,800 spaces. Concord and Richmond together have almost 24% of the total children in the County as of 2017.

## Child Care Demand

Demand is calculated by figuring the number of children by age group with working parents, based on applying labor force participation rates (LFPRs) for children under age six (from the 2015 5-Year American Community Survey) to the number of Infants and Preschoolers. LFPRs for six to 17 year olds are applied to the number of School Age children. This allows us to calculate the number of children in each of these age groups with working parents. Labor force participation rates include families with two working parents or a single parent who works.

Relevant demand factors are then applied to the number of children with working parents to determine the number of those children requiring licensed care, as discussed above. It is assumed that only a percentage of children with working parents require licensed care because some parents choose to have nannies, extended family, friends, or other arrangements for their children and are therefore not looking for a licensed child care space.

For Infants, a demand factor of 50% is applied to children with working parents. This is the demand factor that was provided by the data committee for this project. (The California Child Care Coordinators Association recommends a demand factor of 37% of infants for needs assessments. Infants include children from ages zero months to 35 months.)

For Preschool children, demand factors typically vary between 75% and 100% of children with working parents. At the direction of the data committee, we are using 100% of three and four year old children with working parents. Preschool numbers also include 25% of five year olds.

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<sup>8</sup> Legally license-exempt programs include programs run by City Park & Recreation programs, Co-operative/Parent Participation programs, school district programs, and federal migrant programs.

The demand factor for School Age children is 50% of children with working parents. This figure is 10% higher than the demand factor recommended by CCCCA but decided on by the data committee for this project as better reflecting Contra Costa County. School age children for this study include six to 12 year olds and 75% of five year olds.

Once demand is calculated, the percent distribution of total demand for spaces by age group is calculated as well as the percent of total children requiring licensed care.

The total demand for spaces by age group for both 2017 and 2027 is summarized in **Table 2-3**. At 2017, the City of Richmond shows the greatest demand for all age groups, needing almost 9,500 spaces. The City of Antioch follows, requiring over 8,400 spaces, with the City of Concord closely following in demand. Overall, countywide, there is demand for almost 13,400 infant spaces, 21,700 preschool spaces, and 40,000 school age spaces, or 75,100 spaces countywide in 2017.

In 2027, the demand in Richmond is still highest, with a continued 13% of the total demand, or 10,200 spaces. In 2027, countywide, it is expected that there will be a need for 14,300 infant spaces, 23,200 preschool spaces, and 42,700 school age spaces, for a total demand of almost 80,200 licensed child care spaces, or an increase of 5,000 spaces or 7%.

**Tables 2-5 through 2-7** summarize the supply and demand by city/area for infants, preschoolers, and school age kids, respectively. For infants, there is a shortfall of almost 10,000 spaces, with 25% of current demand met (see **Table 2-5**). For preschool, there is a shortfall of 2,700 spaces with 88% of demand met (see **Table 2-6**). And for school age children, there is a shortfall of almost 22,700 spaces, with 43% of demand met (see **Table 2-7**).

**Table 2-8** summarizes the supply and demand at 2017 for all age groups combined. Countywide there is a shortfall of almost 35,400 spaces, and 53% of total demand is met. That means: roughly one in two children that need a child care space could potentially find one. These rates vary significantly by city/area, however.

The number and type of child care providers by city/area is shown in **Table 2-9**. The number of family child care homes, licensed centers, and license-exempt centers are each listed by city/area. The City of Concord has the most total child care facilities at 170, followed by the City of Richmond with 163, and the City of Antioch with 133. In total, there are 824 family child care homes (FCCHs), 348 licensed centers, and 77 license-exempt centers in the County, for a total of 1,249 providers.

A summary of surplus/shortage by age group and city/area in 2017 (current conditions) is shown in **Table 2-10**. Overall, the shortage of infant care makes up 28% of the overall shortfall, preschool makes up 8%, and school age makes up 64% of the total shortfall of 35,400 spaces in Contra Costa County. The same data for 2027 (future conditions) is provided in **Table 2-11**. In 2027, the shortage is more significant because the supply of child care is not considered to increase from 2017, while population does increase. The total shortfall at 2027 is estimated at around 40,400 spaces. In reality, some additional supply will be created but it is difficult to predict how much or where it might occur.

**Table 2-1**  
**Population Growth by City 2017 and 2027 from ABAG**  
**Contra Costa County Child Care Needs Assessment 2017**

| City/Area             | Population at<br>2017 | Population at<br>2027 | CHANGE 2017 to 2027 |             |
|-----------------------|-----------------------|-----------------------|---------------------|-------------|
|                       |                       |                       | 2017 to 2027        | % Change    |
| Antioch               | 108,720               | 114,320               | 5,600               | 5.2%        |
| Brentwood             | 54,380                | 56,560                | 2,180               | 4.0%        |
| Clayton               | 11,300                | 11,600                | 300                 | 2.7%        |
| Concord               | 133,320               | 148,000               | 14,680              | 11.0%       |
| Danville              | 45,580                | 46,880                | 1,300               | 2.9%        |
| El Cerrito (1)        | 30,760                | 31,920                | 1,160               | 3.8%        |
| Hercules              | 28,420                | 32,380                | 3,960               | 13.9%       |
| Lafayette             | 26,420                | 27,480                | 1,060               | 4.0%        |
| Martinez              | 44,380                | 45,760                | 1,380               | 3.1%        |
| Moraga                | 16,860                | 17,600                | 740                 | 4.4%        |
| Oakley                | 41,780                | 46,940                | 5,160               | 12.4%       |
| Orinda                | 18,320                | 18,960                | 640                 | 3.5%        |
| Pinole                | 31,040                | 32,360                | 1,320               | 4.3%        |
| Pittsburg (1)         | 93,000                | 101,580               | 8,580               | 9.2%        |
| Pleasant Hill (1)     | 41,440                | 42,800                | 1,360               | 3.3%        |
| Richmond (1)          | 132,100               | 142,360               | 10,260              | 7.8%        |
| San Pablo             | 35,440                | 37,600                | 2,160               | 6.1%        |
| San Ramon             | 77,500                | 81,660                | 4,160               | 5.4%        |
| Walnut Creek          | 87,240                | 92,680                | 5,440               | 6.2%        |
| Alamo-Blackhawk       | 25,600                | 26,020                | 420                 | 1.6%        |
| Rodeo-Crockett        | 12,160                | 12,480                | 320                 | 2.6%        |
| Rural East County (1) | 20,320                | 20,880                | 560                 | 2.8%        |
| Remainder             | 4,380                 | 4,500                 | 120                 | 2.7%        |
| <b>Total</b>          | <b>1,120,460</b>      | <b>1,193,320</b>      | <b>72,860</b>       | <b>6.5%</b> |

(1) Population based on ABAG Projections 2013 for 2017.

Sources: ABAG Projections 2013; U.S. Census 2010; Brion Economics, Inc.

Table 2-2  
 Population by Age Group by City 2017 and 2027  
 Contra Costa County Child Care Needs Assessment 2017

| City/Area               | 2017                 |                  |                   | 2027                 |                  |                   | CHANGE 2017 to 2027  |                  |                   |               |
|-------------------------|----------------------|------------------|-------------------|----------------------|------------------|-------------------|----------------------|------------------|-------------------|---------------|
|                         | Birth to 2 Years Old | 3 to 4 Years Old | 5 to 12 Years Old | Birth to 2 Years Old | 3 to 4 Years Old | 5 to 12 Years Old | Birth to 2 Years Old | 3 to 4 Years Old | 5 to 12 Years Old | Total         |
| Antioch                 | 4,534                | 3,602            | 13,043            | 4,767                | 3,788            | 13,715            | 234                  | 186              | 672               | 1,091         |
| Brentwood               | 2,105                | 1,929            | 7,672             | 2,190                | 2,006            | 7,980             | 84                   | 77               | 308               | 469           |
| Clayton                 | 262                  | 1,283            | 1,806             | 269                  | 268              | 1,317             | 7                    | 7                | 34                | 48            |
| Concord                 | 5,436                | 4,077            | 12,487            | 6,034                | 4,526            | 13,862            | 599                  | 449              | 1,375             | 2,422         |
| Danville                | 1,170                | 1,193            | 5,675             | 1,203                | 1,227            | 5,836             | 33                   | 34               | 162               | 229           |
| El Cerrito (1)          | 1,036                | 792              | 2,266             | 1,075                | 822              | 2,351             | 39                   | 30               | 85                | 154           |
| Hercules                | 976                  | 745              | 2,700             | 1,112                | 849              | 3,077             | 136                  | 104              | 376               | 616           |
| Lafayette               | 704                  | 690              | 2,997             | 733                  | 717              | 3,117             | 28                   | 28               | 120               | 176           |
| Martinez                | 1,334                | 1,010            | 3,726             | 1,376                | 1,041            | 3,842             | 41                   | 31               | 116               | 189           |
| Moraga                  | 285                  | 348              | 1,610             | 298                  | 363              | 1,681             | 13                   | 15               | 71                | 98            |
| Oakley                  | 1,876                | 1,444            | 5,505             | 2,108                | 1,623            | 6,184             | 232                  | 178              | 680               | 1,090         |
| Orinda                  | 439                  | 458              | 2,229             | 455                  | 474              | 2,307             | 15                   | 16               | 78                | 109           |
| Pinole                  | 955                  | 700              | 2,593             | 996                  | 729              | 2,704             | 41                   | 30               | 110               | 181           |
| Pittsburg (1)           | 4,265                | 3,421            | 10,864            | 4,658                | 3,737            | 11,867            | 393                  | 316              | 1,002             | 1,711         |
| Pleasant Hill (1)       | 1,313                | 1,069            | 3,438             | 1,356                | 1,104            | 3,551             | 43                   | 35               | 113               | 191           |
| Richmond (1)            | 5,857                | 4,390            | 13,845            | 6,312                | 4,731            | 14,921            | 455                  | 341              | 1,075             | 1,871         |
| San Pablo               | 1,736                | 1,339            | 4,259             | 1,841                | 1,421            | 4,519             | 106                  | 82               | 260               | 447           |
| San Ramon               | 3,393                | 3,108            | 10,670            | 3,575                | 3,275            | 11,243            | 182                  | 167              | 573               | 922           |
| Walnut Creek            | 2,065                | 1,712            | 6,266             | 2,194                | 1,818            | 6,656             | 129                  | 107              | 391               | 626           |
| Alamo-Blackhawk         | 517                  | 547              | 3,009             | 525                  | 555              | 3,059             | 8                    | 9                | 49                | 67            |
| Rodeo-Crockett          | 386                  | 321              | 1,142             | 396                  | 330              | 1,172             | 10                   | 8                | 30                | 49            |
| Rural East County (1)   | 692                  | 586              | 2,492             | 711                  | 602              | 2,561             | 19                   | 16               | 69                | 104           |
| Remainder               | 139                  | 116              | 411               | 143                  | 119              | 423               | 4                    | 3                | 11                | 18            |
| <b>Total by Age (2)</b> | <b>41,476</b>        | <b>33,857</b>    | <b>120,185</b>    | <b>44,327</b>        | <b>36,125</b>    | <b>127,945</b>    | <b>2,851</b>         | <b>2,269</b>     | <b>7,760</b>      | <b>12,880</b> |

Note: 2 year olds include up to 35 mo. 25% of 5 year olds are included in Preschool age, and 75% of 5 year olds are included in school age demand.

(1) El Cerrito includes data for the unincorporated area of Kensington; Pittsburg includes data for unincorporated area of Bay Point; Pleasant Hill includes data for unincorporated area of Pacheco; Richmond includes data for unincorporated area of El Sobrante; Rural East County includes Discovery Bay.

(2) Population projections based on ABAG Projections 2013 for 2017 and children as % of population based on the breakdown from the U.S. Census 2010. Sources: ABAG Projections 2013; U.S. Census 2010; Brion Economics, Inc.



Table 2-3  
 Child Care Demand Summary Table for 2017 and 2027  
 Contra Costa County Child Care Needs Assessment 2017

| City/Area             | 2017                    |                     |                      |                 | 2027                                 |                         |                     |                      |                 |                                      |
|-----------------------|-------------------------|---------------------|----------------------|-----------------|--------------------------------------|-------------------------|---------------------|----------------------|-----------------|--------------------------------------|
|                       | Birth to 2<br>Years Old | 3 to 4<br>Years Old | 5 to 12<br>Years Old | Total<br>Demand | City/Area as<br>% of Total<br>Demand | Birth to 2<br>Years Old | 3 to 4<br>Years Old | 5 to 12<br>Years Old | Total<br>Demand | City/Area as<br>% of Total<br>Demand |
| Antioch               | 1,505                   | 2,392               | 4,531                | 8,428           | 11.2%                                | 1,583                   | 2,515               | 4,764                | 8,862           | 11.1%                                |
| Brentwood             | 687                     | 1,258               | 2,618                | 4,564           | 6.1%                                 | 714                     | 1,309               | 2,723                | 4,747           | 5.9%                                 |
| Clayton               | 104                     | 207                 | 417                  | 728             | 1.0%                                 | 107                     | 212                 | 429                  | 747             | 0.9%                                 |
| Concord               | 1,657                   | 2,486               | 4,185                | 8,329           | 11.1%                                | 1,840                   | 2,760               | 4,646                | 9,246           | 11.5%                                |
| Danville              | 348                     | 710                 | 1,657                | 2,715           | 3.6%                                 | 358                     | 730                 | 1,705                | 2,793           | 3.5%                                 |
| El Cerrito (1)        | 329                     | 504                 | 840                  | 1,673           | 2.2%                                 | 342                     | 523                 | 871                  | 1,736           | 2.2%                                 |
| Hercules              | 382                     | 584                 | 1,030                | 1,996           | 2.7%                                 | 435                     | 665                 | 1,173                | 2,274           | 2.8%                                 |
| Lafayette             | 191                     | 375                 | 953                  | 1,520           | 2.0%                                 | 199                     | 390                 | 992                  | 1,581           | 2.0%                                 |
| Martinez              | 443                     | 671                 | 1,328                | 2,443           | 3.3%                                 | 457                     | 692                 | 1,369                | 2,519           | 3.1%                                 |
| Moraga                | 90                      | 220                 | 499                  | 809             | 1.1%                                 | 94                      | 229                 | 521                  | 844             | 1.1%                                 |
| Oakley                | 672                     | 1,034               | 2,118                | 3,824           | 5.1%                                 | 755                     | 1,162               | 2,380                | 4,296           | 5.4%                                 |
| Orinda                | 97                      | 203                 | 589                  | 889             | 1.2%                                 | 101                     | 210                 | 609                  | 920             | 1.1%                                 |
| Pinole                | 295                     | 432                 | 963                  | 1,689           | 2.2%                                 | 307                     | 450                 | 1,004                | 1,761           | 2.2%                                 |
| Pittsburg (1)         | 1,413                   | 2,268               | 3,514                | 7,195           | 9.6%                                 | 1,544                   | 2,477               | 3,838                | 7,859           | 9.8%                                 |
| Pleasant Hill (1)     | 402                     | 654                 | 1,128                | 2,185           | 2.9%                                 | 415                     | 676                 | 1,165                | 2,256           | 2.8%                                 |
| Richmond (1)          | 1,945                   | 2,915               | 4,622                | 9,482           | 12.6%                                | 2,096                   | 3,142               | 4,981                | 10,219          | 12.7%                                |
| San Pablo             | 550                     | 848                 | 1,441                | 2,840           | 3.8%                                 | 583                     | 900                 | 1,529                | 3,013           | 3.8%                                 |
| San Ramon             | 1,047                   | 1,918               | 3,357                | 6,322           | 8.4%                                 | 1,103                   | 2,021               | 3,537                | 6,661           | 8.3%                                 |
| Walnut Creek          | 733                     | 1,215               | 2,200                | 4,148           | 5.5%                                 | 779                     | 1,291               | 2,337                | 4,406           | 5.5%                                 |
| Alamo-Blackhawk       | 97                      | 205                 | 715                  | 1,016           | 1.4%                                 | 98                      | 208                 | 727                  | 1,033           | 1.3%                                 |
| Rodeo-Crockett        | 120                     | 199                 | 441                  | 760             | 1.0%                                 | 123                     | 204                 | 453                  | 779             | 1.0%                                 |
| Rural East County (1) | 261                     | 442                 | 885                  | 1,588           | 2.1%                                 | 268                     | 454                 | 909                  | 1,631           | 2.0%                                 |
| <b>Total</b>          | <b>13,368</b>           | <b>21,739</b>       | <b>40,034</b>        | <b>75,141</b>   | <b>100.0%</b>                        | <b>14,301</b>           | <b>23,220</b>       | <b>42,664</b>        | <b>80,184</b>   | <b>100.0%</b>                        |

Note: 2 year olds include up to 35 mo. 25% of 5 year olds are included in preschool age, and 75% of 5 year olds are included in school age demand.

(1) El Cerrito includes data for the unincorporated area of Kensington; Pittsburg includes data for unincorporated area of Bay Point; Pleasant Hill includes data for unincorporated area of Pacheco; Richmond includes data for unincorporated area of El Sobrante; Rural East County includes Discovery Bay.

Sources: ABAG 2013; Census 2010; Brion Economics, Inc.

**Table 2-4**  
**Child Care Supply Summary Table for 2017 - Total Number of Spaces by Age**  
**Contra Costa County Child Care Needs Assessment 2017**

| City/Area             | Birth to 2<br>Years Old | 3 to 4<br>Years Old | 5 to 12<br>Years Old | Total Supply  | City/Area as %<br>of Total Supply |
|-----------------------|-------------------------|---------------------|----------------------|---------------|-----------------------------------|
| Antioch               | 354                     | 1,535               | 1,119                | 3,008         | 7.6%                              |
| Brentwood             | 240                     | 1,183               | 806                  | 2,229         | 5.6%                              |
| Clayton               | 27                      | 230                 | 220                  | 477           | 1.2%                              |
| Concord               | 430                     | 2,742               | 2,513                | 5,685         | 14.3%                             |
| Danville              | 67                      | 736                 | 846                  | 1,649         | 4.1%                              |
| El Cerrito (1)        | 108                     | 850                 | 339                  | 1,297         | 3.3%                              |
| Hercules              | 55                      | 165                 | 429                  | 649           | 1.6%                              |
| Lafayette             | 92                      | 674                 | 324                  | 1,090         | 2.7%                              |
| Martinez              | 177                     | 681                 | 784                  | 1,642         | 4.1%                              |
| Moraga                | 32                      | 558                 | 208                  | 798           | 2.0%                              |
| Oakley                | 125                     | 527                 | 285                  | 937           | 2.4%                              |
| Orinda                | 14                      | 343                 | 138                  | 495           | 1.2%                              |
| Pinole                | 34                      | 187                 | 203                  | 424           | 1.1%                              |
| Pittsburg (1)         | 239                     | 1,893               | 1,566                | 3,698         | 9.3%                              |
| Pleasant Hill (1)     | 172                     | 788                 | 983                  | 1,943         | 4.9%                              |
| Richmond (1)          | 607                     | 2,248               | 2,122                | 4,977         | 12.5%                             |
| San Pablo             | 133                     | 428                 | 1,021                | 1,582         | 4.0%                              |
| San Ramon             | 220                     | 1,226               | 1,542                | 2,988         | 7.5%                              |
| Walnut Creek          | 152                     | 1,463               | 1,429                | 3,044         | 7.7%                              |
| Alamo-Blackhawk       | 10                      | 257                 | 154                  | 421           | 1.1%                              |
| Rodeo-Crockett        | 88                      | 237                 | 36                   | 361           | 0.9%                              |
| Rural East County (1) | 22                      | 134                 | 226                  | 382           | 1.0%                              |
| <b>Total</b>          | <b>3,398</b>            | <b>19,085</b>       | <b>17,293</b>        | <b>39,776</b> | <b>100.0%</b>                     |

*Note: 2 year olds include up to 35 mo. 25% of 5 year olds are included in Preschool age, and 75% of 5 year olds are included in school age demand.*

(1) El Cerrito includes data for the unincorporated area of Kensington; Pittsburg includes data for unincorporated area of Bay Point; Pleasant Hill includes data for unincorporated area of Pacheco; Richmond includes data for unincorporated area of El Sobrante; Rural East County includes Discovery Bay.

Sources: CocoKids (formerly Contra Costa Child Care Council); Brion Economics, Inc.

**Table 2-5**  
**Total Infant Supply and Demand by City - 2017**  
**Contra Costa County Child Care Needs Assessment 2017**

| City/Area             | 2017         |               |                 |                 |
|-----------------------|--------------|---------------|-----------------|-----------------|
|                       | Total Supply | Total Demand  | Total Shortfall | % of Demand Met |
| Antioch               | 354          | 1,505         | (1,151)         | 23.5%           |
| Brentwood             | 240          | 687           | (447)           | 34.9%           |
| Clayton               | 27           | 104           | (77)            | 26.0%           |
| Concord               | 430          | 1,657         | (1,227)         | 25.9%           |
| Danville              | 67           | 348           | (281)           | 19.3%           |
| El Cerrito (1)        | 108          | 329           | (221)           | 32.8%           |
| Hercules              | 55           | 382           | (327)           | 14.4%           |
| Lafayette             | 92           | 191           | (99)            | 48.0%           |
| Martinez              | 177          | 443           | (266)           | 39.9%           |
| Moraga                | 32           | 90            | (58)            | 35.5%           |
| Oakley                | 125          | 672           | (547)           | 18.6%           |
| Orinda                | 14           | 97            | (83)            | 14.4%           |
| Pinole                | 34           | 295           | (261)           | 11.5%           |
| Pittsburg (1)         | 239          | 1,413         | (1,174)         | 16.9%           |
| Pleasant Hill (1)     | 172          | 402           | (230)           | 42.8%           |
| Richmond (1)          | 607          | 1,945         | (1,338)         | 31.2%           |
| San Pablo             | 133          | 550           | (417)           | 24.2%           |
| San Ramon             | 220          | 1,047         | (827)           | 21.0%           |
| Walnut Creek          | 152          | 733           | (581)           | 20.7%           |
| Alamo-Blackhawk       | 10           | 97            | (87)            | 10.3%           |
| Rodeo-Crockett        | 88           | 120           | (32)            | 73.6%           |
| Rural East County (1) | <u>22</u>    | <u>261</u>    | <u>(239)</u>    | 8.4%            |
| <b>Total</b>          | <b>3,398</b> | <b>13,368</b> | <b>(9,970)</b>  | <b>25.4%</b>    |

(1) El Cerrito includes data for the unincorporated area of Kensington; Pittsburg includes data for unincorporated area of Bay Point; Pleasant Hill includes data for unincorporated area of Pacheco; Richmond includes data for unincorporated area of El Sobrante; Rural East County includes Discovery Bay.

Sources: ABAG 2013; Census 2010; Brion Economics, Inc.

**Table 2-6**  
**Total Preschool Supply and Demand by City - 2017**  
**Contra Costa County Child Care Needs Assessment 2017**

| City/Area             | 2017          |               |                       |                 |
|-----------------------|---------------|---------------|-----------------------|-----------------|
|                       | Total Supply  | Total Demand  | Shortfall/<br>Surplus | % of Demand Met |
| Antioch               | 1,535         | 2,392         | (857)                 | 64.2%           |
| Brentwood             | 1,183         | 1,258         | (75)                  | 94.0%           |
| Clayton               | 230           | 207           | 23                    | 111.3%          |
| Concord               | 2,742         | 2,486         | 256                   | 110.3%          |
| Danville              | 736           | 710           | 26                    | 103.7%          |
| El Cerrito (1)        | 850           | 504           | 346                   | 168.7%          |
| Hercules              | 165           | 584           | (419)                 | 28.3%           |
| Lafayette             | 674           | 375           | 299                   | 179.7%          |
| Martinez              | 681           | 671           | 10                    | 101.5%          |
| Moraga                | 558           | 220           | 338                   | 254.0%          |
| Oakley                | 527           | 1,034         | (507)                 | 51.0%           |
| Orinda                | 343           | 203           | 140                   | 169.1%          |
| Pinole                | 187           | 432           | (245)                 | 43.3%           |
| Pittsburg (1)         | 1,893         | 2,268         | (375)                 | 83.5%           |
| Pleasant Hill (1)     | 788           | 654           | 134                   | 120.4%          |
| Richmond (1)          | 2,248         | 2,915         | (667)                 | 77.1%           |
| San Pablo             | 428           | 848           | (420)                 | 50.4%           |
| San Ramon             | 1,226         | 1,918         | (692)                 | 63.9%           |
| Walnut Creek          | 1,463         | 1,215         | 248                   | 120.4%          |
| Alamo-Blackhawk       | 257           | 205           | 52                    | 125.5%          |
| Rodeo-Crockett        | 237           | 199           | 38                    | 119.2%          |
| Rural East County (1) | <u>134</u>    | <u>442</u>    | <u>(308)</u>          | 30.3%           |
| <b>Total</b>          | <b>19,085</b> | <b>21,739</b> | <b>(2,654)</b>        | <b>87.8%</b>    |

(1) El Cerrito includes data for the unincorporated area of Kensington; Pittsburg includes data for unincorporated area of Bay Point; Pleasant Hill includes data for unincorporated area of Pacheco; Richmond includes data for unincorporated area of El Sobrante; Rural East County includes Discovery Bay.

Sources: ABAG 2013; Census 2010; Brion Economics, Inc.

**Table 2-7**  
**Total School Age Supply and Demand by City - 2017**  
**Contra Costa County Child Care Needs Assessment 2017**

| City/Area             | 2017          |               |                 |                 |
|-----------------------|---------------|---------------|-----------------|-----------------|
|                       | Total Supply  | Total Demand  | Total Shortfall | % of Demand Met |
| Antioch               | 1,119         | 4,531         | (3,412)         | 24.7%           |
| Brentwood             | 806           | 2,618         | (1,812)         | 30.8%           |
| Clayton               | 220           | 417           | (197)           | 52.7%           |
| Concord               | 2,513         | 4,185         | (1,672)         | 60.0%           |
| Danville              | 846           | 1,657         | (811)           | 51.0%           |
| El Cerrito (1)        | 339           | 840           | (501)           | 40.4%           |
| Hercules              | 429           | 1,030         | (601)           | 41.7%           |
| Lafayette             | 324           | 953           | (629)           | 34.0%           |
| Martinez              | 784           | 1,328         | (544)           | 59.0%           |
| Moraga                | 208           | 499           | (291)           | 41.7%           |
| Oakley                | 285           | 2,118         | (1,833)         | 13.5%           |
| Orinda                | 138           | 589           | (451)           | 23.4%           |
| Pinole                | 203           | 963           | (760)           | 21.1%           |
| Pittsburg (1)         | 1,566         | 3,514         | (1,948)         | 44.6%           |
| Pleasant Hill (1)     | 983           | 1,128         | (145)           | 87.1%           |
| Richmond (1)          | 2,122         | 4,622         | (2,500)         | 45.9%           |
| San Pablo             | 1,021         | 1,441         | (420)           | 70.8%           |
| San Ramon             | 1,542         | 3,357         | (1,815)         | 45.9%           |
| Walnut Creek          | 1,429         | 2,200         | (771)           | 65.0%           |
| Alamo-Blackhawk       | 154           | 715           | (561)           | 21.5%           |
| Rodeo-Crockett        | 36            | 441           | (405)           | 8.2%            |
| Rural East County (1) | <u>226</u>    | <u>885</u>    | <u>(659)</u>    | 25.5%           |
| <b>Total</b>          | <b>17,293</b> | <b>40,034</b> | <b>(22,741)</b> | <b>43.2%</b>    |

(1) El Cerrito includes data for the unincorporated area of Kensington; Pittsburg includes data for unincorporated area of Bay Point; Pleasant Hill includes data for unincorporated area of Pacheco; Richmond includes data for unincorporated area of El Sobrante; Rural East County includes Discovery Bay.

Sources: ABAG 2013; Census 2010; Brion Economics, Inc.

**Table 2-8**  
**Total Supply and Demand by City for Children 0 to 12 Years Old - 2017**  
**Contra Costa County Child Care Needs Assessment 2017**

| City/Area             | 2017          |               |                 |                 |
|-----------------------|---------------|---------------|-----------------|-----------------|
|                       | Total Supply  | Total Demand  | Total Shortfall | % of Demand Met |
| Antioch               | 3,008         | 8,428         | (5,420)         | 35.7%           |
| Brentwood             | 2,229         | 4,564         | (2,335)         | 48.8%           |
| Clayton               | 477           | 728           | (251)           | 65.5%           |
| Concord               | 5,685         | 8,329         | (2,644)         | 68.3%           |
| Danville              | 1,649         | 2,715         | (1,066)         | 60.7%           |
| El Cerrito (1)        | 1,297         | 1,673         | (376)           | 77.5%           |
| Hercules              | 649           | 1,996         | (1,347)         | 32.5%           |
| Lafayette             | 1,090         | 1,520         | (430)           | 71.7%           |
| Martinez              | 1,642         | 2,443         | (801)           | 67.2%           |
| Moraga                | 798           | 809           | (11)            | 98.6%           |
| Oakley                | 937           | 3,824         | (2,887)         | 24.5%           |
| Orinda                | 495           | 889           | (394)           | 55.7%           |
| Pinole                | 424           | 1,689         | (1,265)         | 25.1%           |
| Pittsburg (1)         | 3,698         | 7,195         | (3,497)         | 51.4%           |
| Pleasant Hill (1)     | 1,943         | 2,185         | (242)           | 88.9%           |
| Richmond (1)          | 4,977         | 9,482         | (4,505)         | 52.5%           |
| San Pablo             | 1,582         | 2,840         | (1,258)         | 55.7%           |
| San Ramon             | 2,988         | 6,322         | (3,334)         | 47.3%           |
| Walnut Creek          | 3,044         | 4,148         | (1,104)         | 73.4%           |
| Alamo-Blackhawk       | 421           | 1,016         | (595)           | 41.4%           |
| Rodeo-Crockett        | 361           | 760           | (399)           | 47.5%           |
| Rural East County (1) | <u>382</u>    | <u>1,588</u>  | (1,206)         | 24.1%           |
| <b>Total</b>          | <b>39,776</b> | <b>75,141</b> | <b>(35,365)</b> | <b>52.9%</b>    |

(1) El Cerrito includes data for the unincorporated area of Kensington; Pittsburg includes data for unincorporated area of Bay Point; Pleasant Hill includes data for unincorporated area of Pacheco; Richmond includes data for unincorporated area of El Sobrante; Rural East County includes Discovery Bay.

Sources: ABAG 2013; Census 2010; Brion Economics, Inc.

**Table 2-9**  
**Number and Type of Child Care Providers by City in 2017**  
**Contra Costa County Child Care Needs Assessment 2017**

| City/Area             | Family Child Care Homes | Licensed Centers | License-Exempt Centers | Total        |
|-----------------------|-------------------------|------------------|------------------------|--------------|
| Antioch               | 110                     | 16               | 7                      | 133          |
| Brentwood             | 55                      | 27               | 1                      | 83           |
| Clayton               | 4                       | 3                | 0                      | 7            |
| Concord               | 114                     | 43               | 13                     | 170          |
| Danville              | 14                      | 21               | 0                      | 35           |
| El Cerrito (1)        | 33                      | 16               | 0                      | 49           |
| Hercules              | 20                      | 4                | 1                      | 25           |
| Lafayette             | 3                       | 14               | 1                      | 18           |
| Martinez              | 19                      | 16               | 3                      | 38           |
| Moraga                | 4                       | 7                | 2                      | 13           |
| Oakley                | 54                      | 7                | 1                      | 62           |
| Orinda                | 6                       | 6                | 1                      | 13           |
| Pinole                | 13                      | 6                | 0                      | 19           |
| Pittsburg (1)         | 63                      | 26               | 12                     | 101          |
| Pleasant Hill (1)     | 36                      | 18               | 3                      | 57           |
| Richmond (1)          | 111                     | 37               | 15                     | 163          |
| San Pablo             | 42                      | 11               | 7                      | 60           |
| San Ramon             | 69                      | 22               | 0                      | 91           |
| Walnut Creek          | 35                      | 33               | 2                      | 70           |
| Alamo-Blackhawk       | 4                       | 7                | 0                      | 11           |
| Rodeo-Crockett        | 5                       | 6                | 0                      | 11           |
| Rural East County (1) | 10                      | 2                | 2                      | 14           |
| <b>Countywide</b>     | <b>824</b>              | <b>348</b>       | <b>71</b>              | <b>1,243</b> |

(1) El Cerrito includes data for the unincorporated area of Kensington; Pittsburg includes data for unincorporated area of Bay Point; Pleasant Hill includes data for unincorporated area of Pacheco; Richmond includes data for unincorporated area of El Sobrante; Rural East County includes Discovery Bay.

Sources: CocoKids (formerly Contra Costa Child Care Council); Brion Economics, Inc.

**Table 2-10**  
**Summary of Supply and Demand of Child Care by Age Group and by City: 2017**  
**Contra Costa County Child Care Needs Assessment 2017**

| City/Area                    | Child Care Surplus (Shortage) at 2017 |                     |                           |                          |                         |
|------------------------------|---------------------------------------|---------------------|---------------------------|--------------------------|-------------------------|
|                              | Birth to 2<br>Years Old               | 3 to 4<br>Years Old | Subtotal,<br>0 to 4 Years | 5 to 12 or<br>School Age | Total,<br>0 to 12 Years |
| Antioch                      | (1,151)                               | (857)               | (2,008)                   | (3,412)                  | (5,420)                 |
| Brentwood                    | (447)                                 | (75)                | (522)                     | (1,812)                  | (2,335)                 |
| Clayton                      | (77)                                  | 23                  | (54)                      | (197)                    | (251)                   |
| Concord                      | (1,227)                               | 256                 | (971)                     | (1,672)                  | (2,644)                 |
| Danville                     | (281)                                 | 26                  | (255)                     | (811)                    | (1,066)                 |
| El Cerrito (1)               | (221)                                 | 346                 | 125                       | (501)                    | (376)                   |
| Hercules                     | (327)                                 | (419)               | (746)                     | (601)                    | (1,347)                 |
| Lafayette                    | (99)                                  | 299                 | 200                       | (629)                    | (430)                   |
| Martinez                     | (266)                                 | 10                  | (256)                     | (544)                    | (801)                   |
| Moraga                       | (58)                                  | 338                 | 280                       | (291)                    | (11)                    |
| Oakley                       | (547)                                 | (507)               | (1,054)                   | (1,833)                  | (2,887)                 |
| Orinda                       | (83)                                  | 140                 | 57                        | (451)                    | (394)                   |
| Pinole                       | (261)                                 | (245)               | (506)                     | (760)                    | (1,265)                 |
| Pittsburg (1)                | (1,174)                               | (375)               | (1,549)                   | (1,948)                  | (3,497)                 |
| Pleasant Hill (1)            | (230)                                 | 134                 | (96)                      | (145)                    | (242)                   |
| Richmond (1)                 | (1,338)                               | (667)               | (2,005)                   | (2,500)                  | (4,505)                 |
| San Pablo                    | (417)                                 | (420)               | (837)                     | (420)                    | (1,258)                 |
| San Ramon                    | (827)                                 | (692)               | (1,519)                   | (1,815)                  | (3,334)                 |
| Walnut Creek                 | (581)                                 | 248                 | (333)                     | (771)                    | (1,104)                 |
| Alamo-Blackhawk              | (87)                                  | 52                  | (35)                      | (561)                    | (595)                   |
| Rodeo-Crockett               | (32)                                  | 38                  | 7                         | (405)                    | (399)                   |
| Rural East County (1)        | (239)                                 | (308)               | (547)                     | (659)                    | (1,206)                 |
| <b>Total County</b>          | <b>(9,970)</b>                        | <b>(2,654)</b>      | <b>(12,625)</b>           | <b>(22,741)</b>          | <b>(35,365)</b>         |
| <b>Percent of Total</b>      | <b>28.2%</b>                          | <b>7.5%</b>         | <b>35.7%</b>              | <b>64.3%</b>             | <b>100.0%</b>           |
| <b>Percent of Demand Met</b> | <b>25.4%</b>                          | <b>87.8%</b>        | <b>64.0%</b>              | <b>43.2%</b>             | <b>52.9%</b>            |

*Note: 2 year olds include up to 35 mo. 25% of 5 year olds are included in Preschool age, and 75% of 5 year olds are included in school age demand.*

(1) El Cerrito includes data for the unincorporated area of Kensington; Pittsburg includes data for unincorporated area of Bay Point; Pleasant Hill includes data for unincorporated area of Pacheco; Richmond includes data for unincorporated area of El Sobrante; Rural East County includes Discovery Bay. Source: Brion Economics, Inc.



**Table 2-11**  
**Summary of Future Supply and Demand of Child Care by Age Group and City: 2027**  
**Contra Costa County Child Care Needs Assessment 2017**

| City/Area                    | Child Care Surplus (Shortage) at 2027 |                     |                           |                          |                         |
|------------------------------|---------------------------------------|---------------------|---------------------------|--------------------------|-------------------------|
|                              | Birth to 2<br>Years Old               | 3 to 4<br>Years Old | Subtotal,<br>0 to 4 Years | 5 to 12 or<br>School Age | Total,<br>0 to 12 Years |
| Antioch                      | (1,229)                               | (980)               | (2,209)                   | (3,645)                  | (5,854)                 |
| Brentwood                    | (474)                                 | (126)               | (600)                     | (1,917)                  | (2,518)                 |
| Clayton                      | (80)                                  | 18                  | (62)                      | (209)                    | (270)                   |
| Concord                      | (1,410)                               | (18)                | (1,427)                   | (2,133)                  | (3,561)                 |
| Danville                     | (291)                                 | 6                   | (285)                     | (859)                    | (1,144)                 |
| El Cerrito (1)               | (234)                                 | 327                 | 93                        | (532)                    | (439)                   |
| Hercules                     | (380)                                 | (500)               | (881)                     | (744)                    | (1,625)                 |
| Lafayette                    | (107)                                 | 284                 | 177                       | (668)                    | (491)                   |
| Martinez                     | (280)                                 | (11)                | (291)                     | (585)                    | (877)                   |
| Moraga                       | (62)                                  | 329                 | 267                       | (313)                    | (46)                    |
| Oakley                       | (630)                                 | (635)               | (1,264)                   | (2,095)                  | (3,359)                 |
| Orinda                       | (87)                                  | 133                 | 46                        | (471)                    | (425)                   |
| Pinole                       | (273)                                 | (263)               | (537)                     | (801)                    | (1,337)                 |
| Pittsburg (1)                | (1,305)                               | (584)               | (1,889)                   | (2,272)                  | (4,161)                 |
| Pleasant Hill (1)            | (243)                                 | 112                 | (131)                     | (182)                    | (313)                   |
| Richmond (1)                 | (1,489)                               | (894)               | (2,383)                   | (2,859)                  | (5,242)                 |
| San Pablo                    | (450)                                 | (472)               | (923)                     | (508)                    | (1,431)                 |
| San Ramon                    | (883)                                 | (795)               | (1,678)                   | (1,995)                  | (3,673)                 |
| Walnut Creek                 | (627)                                 | 172                 | (454)                     | (908)                    | (1,362)                 |
| Alamo-Blackhawk              | (88)                                  | 49                  | (40)                      | (573)                    | (612)                   |
| Rodeo-Crockett               | (35)                                  | 33                  | (2)                       | (417)                    | (418)                   |
| Rural East County (1)        | (246)                                 | (320)               | (566)                     | (683)                    | (1,249)                 |
| <b>Total County</b>          | <b>(10,903)</b>                       | <b>(4,135)</b>      | <b>(15,037)</b>           | <b>(25,371)</b>          | <b>(40,408)</b>         |
| <b>Percent of Total</b>      | <b>27.0%</b>                          | <b>10.2%</b>        | <b>37.2%</b>              | <b>62.8%</b>             | <b>100.0%</b>           |
| <b>Percent of Demand Met</b> | <b>23.8%</b>                          | <b>82.2%</b>        | <b>59.9%</b>              | <b>40.5%</b>             | <b>49.6%</b>            |

*Note: 2 year olds include up to 35 mo. 25% of 5 year olds are included in Preschool age, and 75% of 5 year olds are included in school age demand.*

(1) El Cerrito includes data for the unincorporated area of Kensington; Pittsburg includes data for unincorporated area of Bay Point; Pleasant Hill includes data for unincorporated area of Pacheco; Richmond includes data for unincorporated area of El Sobrante; Rural East County includes Discovery Bay.

Source: Brion Economics, Inc.

### 3. State-Mandated Needs Assessment

In preparing the 2017 Needs Assessment for Contra Costa County, Brion Economics, Inc. (BEI) followed the LPC Child Care Needs Assessment: Instruction Guide for Completing the Aggregate County Report. We used the suggested data sources, as well as additional sources of information as needed. Below is an explanation of the tables, as numbered in the table format found in the LPC Child Care Needs Assessment. The table numbers correlate to the section numbers in the Needs Assessment report form generated by the LPC and document how the numbers in the Needs Assessment report were derived. The formal needs assessment can be found in **Appendix B**.

**Table 3-1** shows the total population, and number of children ages 0 to 12 in Contra Costa County. The total population data is based on ABAG's *Projections 2013* for 2017, which is the most **recent** data available. Totals for each age group—Infants (0 to 2 years), Preschool (3 to 4 years), and School Age (5 to 12 years)—are listed at the bottom, and are based on the breakdown of population by age based on data from the 2010 U.S. Census, which is the most recent data available. For this study, 25% of 5 year olds are included in the Preschool category and 75% are included in School Age.

**Table 3-1** also shows the percentage of each age as compared to total children ages 0 to 12 years and to the population as a whole. By group, Infants make up 21.2% of children ages 0 to 12 and 3.7% of the County's population, Preschoolers comprise 17.3% of children ages 0 to 12 and 3.0% of the County's population, and School Age children make up 61.5% of children ages 0 to 12 years and 10.7% of the County's population.

**Table 3-2** shows the breakdown of race/ethnicity for the population ages 0 to 12 years old. Children who are Hispanic/Latino make up 35.0% of the 0 to 12 population in Contra Costa County, followed by White children at 31.7% of the population and Asian American with 12.5%. Data in **Table 3-2** is based on data from the California Department of Education.

**Table 3-1**  
**Children by Age, 0-12 Years Old for 2017**  
**Contra Costa County Needs Assessment - 2017 Update**

| Age in Years (1)                     | 2017<br>Population | % of Children<br>0-12 Years | % of Total<br>Population | 2012<br>Population | % Population<br>Change 2012 to<br>2017 |
|--------------------------------------|--------------------|-----------------------------|--------------------------|--------------------|--|
| 0                                    | 13,363             | 6.8%                        | 1.2%                     | 12,473             | 7.1%                                   |
| 1                                    | 13,560             | 6.9%                        | 1.2%                     | 12,764             | 6.2%                                   |
| 2                                    | 14,553             | 7.4%                        | 1.3%                     | 13,586             | 7.1%                                   |
| 3                                    | 15,222             | 7.8%                        | 1.4%                     | 14,269             | 6.7%                                   |
| 4                                    | 14,826             | 7.6%                        | 1.3%                     | 13,926             | 6.5%                                   |
| 5                                    | 15,232             | 7.8%                        | 1.4%                     | 14,275             | 6.7%                                   |
| 6                                    | 15,424             | 7.9%                        | 1.4%                     | 14,427             | 6.9%                                   |
| 7                                    | 15,368             | 7.9%                        | 1.4%                     | 14,362             | 7.0%                                   |
| 8                                    | 15,368             | 7.9%                        | 1.4%                     | 14,443             | 6.4%                                   |
| 9                                    | 15,436             | 7.9%                        | 1.4%                     | 14,778             | 4.5%                                   |
| 10                                   | 15,811             | 8.1%                        | 1.4%                     | 14,831             | 6.6%                                   |
| 11                                   | 15,495             | 7.9%                        | 1.4%                     | 14,582             | 6.3%                                   |
| 12                                   | <u>15,858</u>      | <u>8.1%</u>                 | <u>1.4%</u>              | <u>14,785</u>      | <u>7.3%</u>                            |
| <b>Total Ages 0-12</b>               | <b>195,517</b>     | <b>100.0%</b>               | <b>17.4%</b>             | <b>183,502</b>     | <b>6.5%</b>                            |
| Total Contra Costa County Population | 1,120,460          |                             |                          | 1,049,025          | 6.8%                                   |
| Total Infants (0-2 years)            | 41,476             | 21.2%                       | 3.7%                     | 38,823             | 6.8%                                   |
| Total Preschool (3-4 years) (2)      | 33,857             | 17.3%                       | 3.0%                     | 31,764             | 6.6%                                   |
| Total School Age (5-12 years)        | 120,185            | 61.5%                       | 10.7%                    | 112,914            | 6.4%                                   |

(1) Population estimates are from "ABAG Projections 2013" for 2017. Percent age breakdown based on U.S. Census 2010.

(2) The 2012 Needs Assessment had different age groupings: Preschool included 5 year olds, 75% of which are now included in School Age. 25% of 5 year olds are counted as Preschool in this study.

Sources: ABAG; U.S. Census 2010; Brion Economics, Inc.

Child Population by Language reflects the primary language spoken (other than English) by children in grades K to 12 in Contra Costa County and is summarized in **Table 3-3**. Data on language spoken is from the California Department of Education's DataQuest database ([www.cde.ca.gov](http://www.cde.ca.gov)). This data set is not available for children ages 0 to 5 years old, but it is assumed that the K to 12 data is reflective of the language spoken by households with younger children in the County. Spanish makes up the greatest percentage (22.9%) of primary language spoken, followed by Filipino (1.3%), and Mandarin (1.2%). Overall, 28.5% of children in Contra Costa County speak a primary language other than English.

**Table 3-2**  
**Children Ages 0-12 Years by Race/Ethnicity for 2017**  
**Contra Costa County Needs Assessment - 2017 Update**

| Race/Ethnicity                 | No. of Children<br>0-12 Years | % of Total Children<br>0-12 Years |
|--------------------------------|-------------------------------|-----------------------------------|
| Hispanic/Latino                | 68,431                        | 35.0%                             |
| American Indian/Alaskan Native | 587                           | 0.3%                              |
| Asian American                 | 24,440                        | 12.5%                             |
| Pacific Islander               | 1,173                         | 0.6%                              |
| Filipino                       | 8,212                         | 4.2%                              |
| African American               | 18,183                        | 9.3%                              |
| Multiracial                    | 10,558                        | 5.4%                              |
| White, Not Hispanic            | 61,979                        | 31.7%                             |
| Not reported                   | 1,955                         | 1.0%                              |
| <b>Total</b>                   | <b>195,517</b>                | <b>100.0%</b>                     |

(1) Data from California Department of Education; 2016-17.  
 Sources: California Department of Education; Brion Economics, Inc.

**Table 3-3**  
**Children In Grades K-12 by Language Spoken (Excluding English) for 2016-17**  
**Contra Costa County Needs Assessment - 2017 Update**

| Language     | Number of<br>Children K-12 | Percentage of Children<br>who Speak Languages<br>Other Than English | Percentage of<br>Total Children |
|--------------|----------------------------|---|---------------------------------|
| Spanish      | 40,678                     | 67.17%  | 22.93%                          |
| Filipino     | 2,306                      | 3.81%   | 1.30%                           |
| Mandarin     | 2,040                      | 3.37%   | 1.15%                           |
| Cantonese    | 1,211                      | 2.00%   | 0.68%                           |
| Farsi        | 1,167                      | 1.93%   | 0.66%                           |
| Vietnamese   | 1,133                      | 1.87%   | 0.64%                           |
| Arabic       | 1,032                      | 1.70%   | 0.58%                           |
| Korean       | 943                        | 1.56%   | 0.53%                           |
| Other        | <u>10,047</u>              | <u>16.59%</u>   | N/A                             |
| <b>Total</b> | <b>60,557</b>              | <b>100.00%</b>  | <b>28.5%</b>                    |

Sources: California Department of Education DataQuest Report for 2016-17 for Contra Costa County; Brion Economics, Inc.

The number of children with an Individual Family Service Plan (IFSP) or Individualized Education Plan (IEP) is broken down by age group in **Table 3-4**. IFSPs are for families with children younger than 3 years and IEPs are for children ages 3 and up. Data for Infants and Preschoolers was provided by Care Parent Network in Contra Costa County. For School Age children, data is not available as of 2017. There are currently 860 Infants with IFSPs, and 1,700 Preschoolers with IEPs in Contra Costa County.

**Table 3-4**  
**Children with an IFSP or IEP by Age Group for 2017**  
**Contra Costa County Needs Assessment - 2017 Update**

| Age                     | 0-2 Years | 3-4 Years | 5-12 Years | Total | Percent of Total |
|-------------------------|-----------|-----------|------------|-------|------------------|
| Total (IFSP or IEP) (1) | 860       | 1,700     | NA         | 2,560 | 1.3%             |

Data provided by Deborah Penry, Care Parent Network, for 2017.

(1) Children 3 years and up have Individualized Education Plans (IEPs) and children under 3 have Individual Family Services Plans (IFSPs).

Sources: Care Parent Network; Brion Economics, Inc.

**Table 3-5** should provide data on the number of children in Child Protective Services by age group and the number of CPS children referred for child care. Unfortunately, this data could not be obtained for this study for Contra Costa County.

**Table 3-5**  
**Children in Child Protective Services System and Number Referred-2017**  
**Contra Costa County Needs Assessment - 2017 Update**

| Age   | 0-2 Years | 3-4 Years | 5-12 Years | Total |
|---|-----------|-----------|------------|-------|
| Number of Children in Child Protective Services (1) | n/a       | n/a       | n/a        | n/a   |
| Number of Children Referred for Child Care Services | n/a       | n/a       | n/a        | n/a   |

(1) Data for Child Protective Services was not available for this study.

Sources: California Child Welfare Indicators Project, UC Berkeley; Brion Economics, Inc.

The number of children in families on CalWORKs by age group is shown in **Table 3-6**. Data for CalWORKs Stage 1 show a total of 976 children receiving assistance, with 322 infants and 654 children ages 3 to 12. CalWORKs Stage 1 data was provided by Contra Costa County - Employment and Human Services Department. The data for CalWORKs Stages 2 and 3 is provided by CocoKids. There are a total of 2,037 children in CalWORKs Stages 2 and 3 for children ages 0 to 12 years old, for a total of 3,013 children assisted by CalWORKs.

**Table 3-6**  
**Children in Families on CalWORKs by Age Group for 2017**  
**Contra Costa County Needs Assessment - 2017 Update**

| Age                   | 0-2 Years  | 3-4 Years  | 5-12 Years   | Total<br>0-12 Years |
|-----------------------|------------|------------|--------------|---------------------|
| CalWORKs Stage 1 (1)  | 322        | 288        | 366          | 976                 |
| CalWORKs Stage 2 (2)  | 291        | 333        | 428          | 1,052               |
| CalWORKs Stage 3 (2)  | 117        | 210        | 658          | 985                 |
| <b>Total CalWORKs</b> | <b>730</b> | <b>831</b> | <b>1,452</b> | <b>3,013</b>        |

(1) CalWORKs Stage 1 data provided by Contra Costa County - Employment & Human Services Department, June 2018. 3-4 year olds may include some 5 year olds in the only data available.

(2) Data on CalWORKs Stage 2 and 3 provide by Margaret Weigart-Jacobs, CocoKids, 2017.

Sources: CocoKids; Contra Costa County - Employment and Human Services Department; Brion Economics, Inc.

**Table 3-7** calculates the number of children in families by income category and age group. Based on the American Community Survey 5-Year Estimates (2016), the percentage of families with incomes below the poverty level in the past 12 months for children under 5 years (13.5%) is applied to the total number of Infants (0 to 2 years) and Preschoolers (3 to 4 years). The percentage of families with incomes below the poverty level in the past 12 months for children ages 5 to 17 years (12.6%) is applied to School Age children (5 to 12 years). These percentages are multiplied by the total number of children in each age group to calculate the number of children in families with incomes below the poverty level. There are currently approximately 5,600 Infants, 4,600 Preschoolers, and 15,100 School Age children in families with incomes below the poverty level in Contra Costa County, for a total of 15,000 children 0 to 12, or 12.9% of all children.

In addition, **Table 3-7** calculates the number of children in families earning less than 70% of State Median Income (SMI). This data is from the Early Learning Needs Assessment Tool by the American Institutes for Research and based on ACS data for 2016. For Infants, 37.1% or 15,400 live in families earning less than 70% of SMI. For Preschool age children, this number is approximately 37.6% and 12,700 children, and for School Age, it is 36.8% or 44,300 children. Overall, approximately 72,400 children in Contra Costa County live in families earning less than 70% of SMI; this is 37% of all children ages 0 to 12. Lastly, **Table 3-7** also calculates the number of children by age group who live in families earning more than 70% SMI, which totals 123,114 children.

**Table 3-7**  
**Number of Children in Families by Income Category and Age Group**  
**Contra Costa County Needs Assessment - 2017 Update**

| Age   |     | 0-2 Years | 3-4 Years | 5-12 Years | Total   |
|---|-----|-----------|-----------|------------|---------|
| Total Children by Age Group   |     | 41,476    | 33,857    | 120,185    | 195,517 |
| % of Families with Children Whose Income was In the Past Year Below Poverty Level | (1) | 13.5%     | 13.5%     | 12.6%      | 12.9%   |
| # of Families with Children Whose Income was In the Past Year Below Poverty Level |     | 5,599     | 4,571     | 15,143     | 25,313  |
| % of Children in Families earning less than 70% of State Median Income            | (2) | 37.1%     | 37.6%     | 36.8%      | 37.0%   |
| # of Children in Families Earning less than 70% of State Median Income            |     | 15,396    | 12,744    | 44,264     | 72,404  |
| Children in Families with Incomes Above 70% SMI                                   | (2) | 26,080    | 21,113    | 75,921     | 123,114 |

(1) This data is from the American Community Survey 5-Year Estimates 2016.

(2) This data is from the Early Learning Needs Assessment Tool compiled by American Institutes for Research.

The percentage used here is based on numbers from the American Community Survey for Contra Costa County, according to the American Institutes for Research for 2016. The children in families earning less than 70% of State Median Income also include the children living below the poverty level.

Sources: ABAG; American Community Survey 5-Year Estimates 2016; American Institutes for Research; Brion Economics, Inc.

Data for children from migrant families is shown in **Table 3-8**. If 50% or more of a family’s income comes from migrant labor, they are considered a migrant family in terms of this data, which was provided by the California Migrant Education Program, located in the San Joaquin County Office of Education. As of 2017, there are 4 children ages 0 to 12 years old from migrant families in Contra Costa County, all of whom are School Age.

**Table 3-8**  
**Number of Children in Migrant Families for 2017**  
**Contra Costa County Needs Assessment - 2017 Update**

| Age                                     | 0-2 Years | 3-4 Years | 5-12 Years | Total |
|---|-----------|-----------|------------|-------|
| Children in Migrant Worker Families (1) | 0         | 0         | 4          | 4     |
| Percent Distribution                    | 0%        | 0%        | 100%       | 100%  |

(1) Data for Contra Costa County provided by Manuel Nunez, Director II, Migrant Education, San Joaquin County Office of Education, 2018.

Sources: San Joaquin County Office of Education; Brion Economics, Inc.

**Table 3-9** estimates the number of children by age group whose families work and are eligible for subsidized child care and development. Applying the Labor Force Participation Rates from

the ACS 5-Year Survey (2016) for children in households with two working parents or a single parent that works to the number of families earning less than 70% of SMI (see **Table 3-7**) calculates the number of children eligible for subsidized care. It is estimated that 47,461 children ages 0 to 12 or 24.3 % qualify for subsidized care in Contra Costa County.

**Table 3-9**  
**Number of Children in Families at or Below 70% of SMI with Working Parents**  
**Contra Costa County Needs Assessment - 2017 Update**

| Age  | 0-2 Years | 3-4 Years | 5-12 Years | Total   |
|--|-----------|-----------|------------|---------|
| Total Number of Children by Age Group                | 41,476    | 33,857    | 120,185    | 195,517 |
| Children at or Below 70% of SMI (1)                  | 15,396    | 12,744    | 44,264     | 72,404  |
| Labor Force Participation Rates (2)                  | 63.9%     | 63.9%     | 66.6%      |         |
| Children at or Below 70% of SMI with Working Parents | 9,838     | 8,143     | 29,480     | 47,461  |

(1) Number of children from AIR data, 2016.

(2) Labor force participation rates from American Community Survey, 2016.

Sources: ABAG; American Community Survey 5-Year Estimates 2016; American Institutes for Research 2016; Brion Economics, Inc.

Child care demand for children in households with working parents is calculated in **Table 3-10**. The total number of children by age group is multiplied by the Labor Force Participation Rates (63.9% for children under 6 years and 66.6% for children 5 to 17 years<sup>9</sup>) to calculate the number of children with working parents (either two working parents or a single parent who works). The number of children with working parents is then multiplied by the percentage of children who need licensed care by age group (50% for Infants, 100% for Preschool, and 50% for School Age). These percentages of demand were developed by the Local Planning Council based on local knowledge and conditions. Based on these calculations, it is estimated that 75,141 children ages 0 to 12 years require licensed care in Contra Costa County or 38.4% of children overall.

<sup>9</sup> This is based on the age breakdown provided by the U.S. Census. LFPRs reported for children under 6 years old are applied to Infants and Preschool; LFPRs reported for children 6 to 17 years old are applied to School Age.



**Table 3-10**  
**Estimated Number of Children in Families Where All Parents/Guardians Work 2017**  
**Contra Costa County Needs Assessment - 2017 Update**

| Age                              | 0-2 Years | 3-4 Years | 5-12 Years | Total   |
|----------------------------------|-----------|-----------|------------|---------|
| Number of Children by Age Group  | 41,476    | 33,857    | 120,185    | 195,517 |
| Labor Force Participation Rates  | 63.9%     | 63.9%     | 66.6%      | 66.0%   |
| Children With Working Parents    | 26,599    | 21,713    | 80,698     | 129,009 |
| % Children Needing Licensed Care | 50%       | 100%      | 50%        | 58%     |
| Children Needing Licensed Care   | 13,368    | 21,739    | 40,034     | 75,141  |

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Sources: ABAG; American Community Survey 5-Year Estimates 2016; American Institutes for Research 2016; Brion Economics, Inc.

**Table 3-11 and 3-12** (one table which refers to Sections 11 and 12 in the Needs Assessment form) calculates the demand for part-time Preschool for children that have at least one non-working parent (Section 11) and also demand for part-time Preschool for low-income children (Section 12). The total number of 3 and 4 year olds is shown; this figure is then reduced by the number of children in working families. This results in 12,144 children that are ages 3 and 4 years<sup>10</sup> and have at least one non-working parent. Applying the 37.6% of families below 70% SMI to that figure results in 4,571 children ages 3 and 4 in families earning less than 70% of SMI and with one non-working parent. Because this table calculates children with at least one non-working parent, this is used to consider demand for part-time Preschool care instead of full-time care. This figure represents children that may require subsidies for part-time child care. Part-time care is considered important for kindergarten-readiness and for all of the benefits of early care and education, but does not represent “child care” for all families in terms of the Needs Assessment, which focuses on the need for full-time child care. It should be noted that some of these parents may desire or need their Preschool age child to be in full-time care as well for reasons other than employment.

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<sup>10</sup> In this study, Preschool age children include 25% of 5 year olds.

**Table 3-11 and 3-12**  
**Demand for Part Day State Preschool for 3 and 4 Year Olds - 2017**  
**Contra Costa County Needs Assessment - 2017 Update**

| Item   | 3-4 Years Old | Notes      |
|--|---------------|------------|
| Total Preschool Children Countywide (1)  | 33,857        |            |
| Number of Children in Working Families   | 21,713        |            |
| Number of 3- & 4-Year Olds With At Least One Non-Working Parent                              | 12,144        | Section 11 |
| % of Children in Families earning less than 70% of State Median Income                       | 37.6%         |            |
| Number of 3- & 4-Year Olds With At Least One Non-Working Parent<br>Earning Less than 70% SMI | 4,571         | Section 12 |

(1) Preschool includes 25% of 5-year-olds.

Sources: American Community Survey 5-Year Estimates 2016; ABAG; Brion Economics, Inc.

Licensed capacity at centers and family child care homes is shown in Section 13. **Table 3-13** presents the number of licensed child care center spaces by age. As shown, there are a total of 24,595 spaces in child care centers, with 60% defined as Preschool.

The calculations used to derive the number of licensed spaces at Family Child Care Homes (FCCH) are also shown in **Table 3-13**. CocoKids provided the number of licensed FCCHs. Family Child Care home spaces by age are defined by licensing regulations.

As of August 2017, there are 491 small FCCHs and 333 large FCCHs. For small FCCHs, it is assumed that each one has an average of 2 Infant spaces, 4 Preschool spaces, and 2 School Age spaces.<sup>11</sup> This equals a total of 982 infant spaces, 1,964 Preschool spaces, and 982 School Age spaces for a total of 3,928 spaces at small FCCHs in Contra Costa County. For large FCCHs it is assumed that each one has an average of 3 infant spaces, 6 Preschool spaces, and 5 School Age spaces. This equals a total of 951 Infant spaces, 1,902 Preschool spaces, and 1,505 School Age spaces in the County for a total of 4,358 spaces for children at large FCCHs. This provides a total of 8,286 licensed spaces at all Family Child Care Homes in the County. It is important to note that all FCCHs do not always fill all of their licensed spaces and at these rates. This is an estimate of total potential supply of FCCHs spaces.

<sup>11</sup> These distributions are averages, based on licensing requirements by the State; actual FCCHs may have their own preference on distribution. For instance some FCCHs may choose not to serve Infants and/or School Age children.

**Table 3-13**  
**Capacity at Licensed and License-Exempt Child Care Centers and Family Child Care Homes (FCCHs)**  
**Contra Costa County Needs Assessment - 2017 Update**

| Age                               | # of Providers | 0-2 Years    | 3-4 Years     | 5-12 Years    | Total         |
|-----------------------------------|----------------|--------------|---------------|---------------|---------------|
| <b>Child Care Centers</b>         |                |              |               |               |               |
| Licensed Child Care Centers       | 348            | 1,459        | 14,861        | 8,275         | 24,595        |
| Percent Distribution              |                | 6%           | 60%           | 34%           | 100%          |
| License-Exempt Centers            | 77             | 6            | 358           | 6,531         | 6,895         |
| Percent Distribution              |                | 0.1%         | 5%            | 95%           | 100%          |
| <b>Total Center Spaces by Age</b> | <b>425</b>     | <b>1,465</b> | <b>15,219</b> | <b>14,806</b> | <b>31,490</b> |
|                                   |                | 5%           | 48%           | 47%           | 100%          |
| <b>FCCHs</b>                      |                |              |               |               |               |
| Spaces at Small FCCH (1)          | 491            | 982          | 1,964         | 982           | 3,928         |
| Spaces at Large FCCH (1)          | 333            | 951          | 1,902         | 1,505         | 4,358         |
| <b>Total FCCH Spaces by Age</b>   | <b>824</b>     | <b>1,933</b> | <b>3,866</b>  | <b>2,487</b>  | <b>8,286</b>  |
| Percent Distribution              |                | 23%          | 47%           | 30%           | 100%          |
| <b>TOTAL CHILD CARE SUPPLY</b>    | <b>1,249</b>   | <b>3,398</b> | <b>19,085</b> | <b>17,293</b> | <b>39,776</b> |
| Percent Distribution              |                | 9%           | 48%           | 43%           | 100%          |

(1) Assumes 8 licensed spaces for small FCCHs and 14 spaces for large FCCHs.

Sources: CocoKids; Brion Economics, Inc.

**Table 3-14** shows the maximum reimbursement rates, and average cost of child care for part-time and full-time care at licensed centers and Family Child Care Homes. Reimbursement rates are from the California Department of Education, for 2017. The average child care Preschool space at a center is \$210 per week, for full-time care, with maximum reimbursement rates of \$338 for full-time care and \$261 per week for part-time care. In FCCHs, the average Preschool cost for full-time care is \$174 per week, while the maximum state reimbursement rate is \$229 per week for full-time care and \$179 per week for part-time care. Average rates for Infant and School Age care are also shown. Average costs refer to data from the California Child Care Resource & Referral Network 2015, which is the most recent available. Rates by location in the county may vary greatly.

**Table 3-14**  
**Weekly Cost of Care by Age Group and Facility Type**  
**Contra Costa County Needs Assessment - 2017 Update**

| Age  |     | Year of Data | Infants 0-2 Years | Preschool 3-4 Years | School Age 5-12 Years |
|--|-----|--------------|-------------------|---------------------|-----------------------|
| <b>Center-Based Care</b>                     |     |              |                   |                     |                       |
| Center Full-Time Maximum Reimbursement Rates | (1) | 2017         | \$416             | \$338               | \$216                 |
| Center Full-Time Average                     | (2) | 2015         | \$288             | \$210               | na                    |
| Center Part-Time Maximum Reimbursement Rates | (1) | 2017         | \$310             | \$261               | \$142                 |
| <b>Family Child Care Homes</b>               |     |              |                   |                     |                       |
| FCCH Full-Time Maximum Reimbursement Rates   | (1) | 2017         | \$252             | \$229               | \$171                 |
| FCCH Full-Time Average                       | (2) | 2015         | \$190             | \$174               | na                    |
| FCCH Part-Time Maximum Reimbursement Rates   | (1) | 2017         | \$195             | \$179               | \$138                 |

(1) Maximum reimbursement data from <http://www3.cde.ca.gov/rcsc/index.aspx>. CDE data was viewed October 2017 and current as of January 1, 2017.

(2) Full time average weekly costs for centers and FCCHs from California Childcare Resource and Referral Network:

[https://d3n8a8pro7vhmx.cloudfront.net/rrnetwork/pages/204/attachments/original/1499103375/All\\_Counties\\_Final.pdf?1499103375](https://d3n8a8pro7vhmx.cloudfront.net/rrnetwork/pages/204/attachments/original/1499103375/All_Counties_Final.pdf?1499103375)

Sources: California Department of Education; California Child Care Resource & Referral Network; Brion Economics, Inc.

The capacity to serve children who are eligible for subsidized care is calculated in **Table 3-15**. The American Institutes for Research Early Learning Needs Assessment Tool for 2016 report provided numbers for CSPP, CCTR Alternative Payment, Handicap, Migrant, and FCCHEN. Data from CocoKids provided Stage 1 CalWORKs, Stage 2 and 3 CalWORKs, Head Start and Early Head Start numbers for Contra Costa County. As of the 2016 /17 academic year, there were 14,004 children ages 0 to 12 enrolled in some form of subsidized care in the County.

**Table 3-16** determines the shortfalls and surpluses in child care availability for the various populations included in the Needs Assessment. One data item that is calculated is the unmet need for child care for children by age group who require full-time care because they have working parents. This is calculated by taking the number of total children with working parents who need licensed care, as calculated in **Table 3-10**, and subtracting that from the number of licensed spaces for that age group.

**Table 3-15**  
**Income Eligible Children Enrolled in Programs**  
**Contra Costa County Needs Assessment - 2017 Update**

| Age   | Year of Data | Infants<br>0-2 Years | Preschool<br>3-4 Years | School Age<br>5-12 Years | Total           |
|---|--------------|----------------------|------------------------|--------------------------|-----------------|
| Center-Based (General) Program (CCTR) (1)                 | 2016         | 387                  | 36                     | 288                      | 711             |
| CSPP (1)  | 2016         | na                   | 1,359                  | 65                       | 1,424           |
| FCCHEN (1)  | 2016         | 0                    | 1                      | 2                        | 3               |
| Migrant (1)   | 2016         | 0                    | 0                      | 0                        | 0               |
| Handicap Program (1)                                      | 2016         | 0                    | 0                      |                          | 0               |
| Alternative Payment (1)                                   | 2016         | 142                  | 160                    | 67                       | 369             |
| CalWORKs Stage 1 (2)                                      | 2017         | 322                  | 288                    | 366                      | 976             |
| CalWORKs Stage 2 (2)                                      | 2017         | 291                  | 333                    | 428                      | 1,052           |
| CalWORKs Stage 3 (2)                                      | 2017         | 117                  | 210                    | 658                      | 985             |
| Head Start (2)  | 2017         | na                   | 1,380                  | na                       | 1,380           |
| Early Head Start (2)                                      | 2017         | 573                  | na                     | na                       | 573             |
| Other (ASES and other school age license-exempt programs) | 2017         |                      |                        | 6,531                    | 6,531           |
| <b>Total</b>  |              | <b>1,832</b>         | <b>3,767</b>           | <b>8,405</b>             | <b>14,004</b>   |
| Percent Distribution                                      |              | 13%                  | 27%                    | 60%                      | 100%            |
| <b>Demand for Subsidized Care by Age (See Table 9)</b>    |              |                      |                        |                          |                 |
| Children at or Below 70% of SMI with Working Parents      |              | <b>9,838</b>         | <b>8,143</b>           | <b>29,480</b>            | <b>47,461</b>   |
| <b>Surplus/(Shortage) of Subsidized Care</b>              |              | <b>(8,006)</b>       | <b>(4,376)</b>         | <b>(21,075)</b>          | <b>(33,457)</b> |
| Percent of Demand Met                                     |              | 19%                  | 46%                    | 29%                      | 30%             |

(1) Data from American Institutes for Research Early Learning Needs Assessment Tool for 2016.

(2) Head Start, Early Head Start, and CalWORKs Stages 1, 2 and 3 data provided by Margaret Weigart Jacobs, CocoKids. Sources: American Institutes of Research 2016; CocoKids; Brion Economics, Inc.

The results show shortages of 9,970 infant spaces, 2,654 Preschool spaces, and 22,741 School Age spaces for a total shortage of 35,365 spaces. **Table 3-16** also calculates unmet need for children who need full-time care and are eligible for subsidies. It shows that there are almost 58,400 children who qualify for subsidized care between the ages of 0 to 12 years and for which there is not an available subsidized child care space. Currently 19% of children 0 to 12 who qualify for subsidies are served with some sort of subsidized care or about 1 child out of 5. There is also a shortage of almost 4,600 part-time Preschool spaces for children ages 3 to 4 years who need subsidies and would attend for enrichment purposes.

**Table 3-16**  
**Countywide Unmet Need for Subsidized Care by Type of Care and By Age (1)**  
**Contra Costa County Needs Assessment - 2017 Update**

| Type of Care Needed  | Infants<br>0-2 Years | Preschool<br>3-4 Years | School Age<br>5-12 Years | Total    |
|--|----------------------|------------------------|--------------------------|----------|
| Need for Full-Time Care for Working Parents - Surplus/(Shortage)   | (9,970)              | (2,654)                | (22,741)                 | (35,365) |
| % of Unmet Need for Full-Time Working Parents (% of children for whom there are no spaces)   | 75%                  | 12%                    | 57%                      | 47%      |
| Full-Time Care Because of Work and Eligible for State Subsidy (total number of eligible children from low-income working families) | 15,396               | 12,744                 | 44,264                   | 72,404   |
| # of Available Subsidized Spaces   | 1,832                | 3,767                  | 8,405                    | 14,004   |
| # of Eligible children for whom there is no subsidy  | 13,564               | 8,977                  | 35,859                   | 58,400   |
| % of Unmet Need for those who Work and are Eligible for State Subsidy (% of eligible children for whom there is no subsidy)        | 88%                  | 70%                    | 81%                      | 81%      |
| Demand (Unmet Need) for Part-Time preschool care for enrichment/school readiness (2)   |                      | 8,377                  |                          |          |
| Unmet Need as % of Demand for Part-Time preschool care for enrichment/readiness  |                      | 69%                    |                          |          |
| Demand (Unmet Need) for Part-Time preschool care for enrichment/school readiness and eligible for state subsidy (3)                |                      | 4,571                  |                          |          |
| Unmet Need as % of Demand for Part-Time preschool care for enrichment/school readiness and eligible for state subsidy              |                      | 40%                    |                          |          |

(1) This table and its calculations are based on a detailed worksheet provided by the Child Care Coordinators Association and was not developed by Brion Economics, Inc.

(2) This figure includes need for half-day preschool for all families regardless of income with at least one parent at home. This includes Head Start, CSPP, and other 1/2 day licensed programs.

(3) This is the number of 3 and 4 year olds with at least one non-working parent and in household earning less than 70% SMI. See Tables 11 & 12.

Source: Brion Economics, Inc.

**Table 3-17** summarizes the total child care supply and demand by age group for the entire County. The top of the table calculates the existing demand for child care based on the total number of children by age group in the County, and applies labor force participation rates to those totals to determine the number of children with working parents. Percentages are then applied to those numbers to determine the number of children by age group who require licensed care.

In the County, there are 13,400 Infants, 21,700 Preschool children, and 40,000 School Age children requiring licensed care, or a total of 75,100 children, 0 to 12 years old. Comparing this to current supply, the total surplus/shortfall of licensed spaces by age group is calculated. Currently in Contra Costa County, there is a shortage of almost 10,000 infant spaces, 2,700 Preschool spaces, and 22,700 School Age spaces, for a total shortfall of approximately 35,400 spaces for all children ages 0 to 12 years in the County. This shortage excludes supply provided by programs run by City recreation and park programs, YMCAs, and other unlicensed child care. **Table 3-17** also shows total shortfall for subsidized care. Shortages for subsidized spaces total 33,500 across all ages, with 30% of demand being met by existing supply.

**Table 3-17**  
**Existing Child Care Demand and Supply in 2017 in Contra Costa County**  
**Contra Costa County Needs Assessment - 2017 Update**

| CONTRA COSTA COUNTY                                    | No. of Providers | Child Care as of 2017 |                           |                             |                      |
|--|------------------|-----------------------|---------------------------|-----------------------------|----------------------|
|  |                  | 0-2 Years or Infant   | 3 to 4 Years or Preschool | 5 to 12 Years or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  | Child Care Demand     |                           |                             |                      |
| Estimated Total Children                               | (1)              | 41,476                | 33,857                    | 120,185                     | 195,517              |
| Avg. Labor Force Participation Rates                   | (2)              | 64%                   | 64%                       | 67%                         | 66%                  |
| Children With Working Parents                          |                  | 26,599                | 21,713                    | 80,698                      | 129,009              |
| % Children Needing Licensed Care                       | (3)              | 50%                   | 100%                      | 50%                         | 58%                  |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>13,368</b>         | <b>21,739</b>             | <b>40,034</b>               | <b>75,141</b>        |
| % Distribution of Total Demand for Spaces by Age Group |                  | 18%                   | 29%                       | 53%                         | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 32%                   | 64%                       | 33%                         | 38%                  |
| <b>EXISTING SUPPLY</b>                                 |                  | Child Care Spaces     |                           |                             |                      |
| Family Child Care Home Supply                          | (4)              |                       |                           |                             |                      |
| Licensed for 8   | (5)              | 491                   | 982                       | 1,964                       | 3,928                |
| Licensed for 14  |                  | 333                   | 951                       | 1,902                       | 4,358                |
| Child Care Center Supply                               |                  | 348                   | 1,459                     | 14,861                      | 24,595               |
| Other License Exempt Programs                          | (6)              | 77                    | 6                         | 358                         | 6,895                |
| <b>Current Child Care Supply</b>                       |                  | <b>1,249</b>          | <b>3,398</b>              | <b>19,085</b>               | <b>39,776</b>        |
| Percent Distribution                                   |                  | 9%                    | 48%                       | 43%                         | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(9,970)</b>        | <b>(2,654)</b>            | <b>(22,741)</b>             | <b>(35,365)</b>      |
| Percent Distribution                                   |                  | 28%                   | 8%                        | 64%                         | 100%                 |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 25%                   | 88%                       | 43%                         | 53%                  |
| <b>DEMAND FOR SUBSIDIZED CARE</b>                      |                  |                       |                           |                             |                      |
| Children at or Below 70% of SMI with Working Parents   |                  | 9,838                 | 8,143                     | 29,480                      | 47,461               |
| Surplus (or shortage) of Subsidized Care               |                  | <b>(8,006)</b>        | <b>(4,376)</b>            | <b>(21,075)</b>             | <b>(33,457)</b>      |
| Percent of Subsidized Demand Met                       |                  | 19%                   | 46%                       | 29%                         | 30%                  |

Note: County totals are based on the sum of the totals for each of the cities in the study.

- (1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months. Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.
- (2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.
- (3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.
- (4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.
- (5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCHs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCHs, it is assumed that licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.

Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.



## 4. Child Care Provider Survey Findings

### Summary of Key Findings

A quarter of the 1250 licensed child care programs in Contra Costa County responded to an invitation to an online survey designed to explore child care supply, demand, and facilities issues. Of these:

1. **Private and Faith-Based Locations** - Child care center facility ownership was most often within the private or faith-based sector.
2. **Strong Ownership** - 64% of center-based programs and 72% of Family Child Care Homes (FCCHs) own their facilities.
3. **Stability** - The average tenure of centers in a single location was 23 years. The average tenure of FCCHs was 12 years.
4. **Overall Good Facility Conditions** - Center directors reported facilities overall in adequate or good repair. About 7% anticipated imminent need to address structural issues. A quarter of the FCCHs had facility renovations underway at the time of the survey.
5. **Enrollments** - 76% of centers and 69% of FCCHs are within 90% of their target enrollments.
6. **Expansion** - More than a third of centers and FCCHs would consider expansion. The most frequently identified challenges by centers was finding a site and qualified staff. For FCCHs the greatest challenge was cost, a site, and qualified staff.

### Introduction

The Contra Costa County Local Planning Council contracted with Brion Economics, Inc. to conduct a child care needs assessment and facilities study. This included a supply and demand analysis and survey research. Brion Economics and Davis Consultant Network conducted two online surveys of child care providers. One was directed to center directors, and the other to licensed family child care providers. The family child care home provider (FCCH) survey was provided in both Spanish and English.

The surveys were designed to:

1. Collect information related to child care supply and demand in Contra Costa County;
2. Better understand the status of early learning facilities;
3. Identify providers with interest in program expansion; and
4. Understand issues which related to expansion.

All Contra Costa County licensed and license-exempt child care and preschool providers were invited via a personalized email to respond to the linked survey.

## Sample

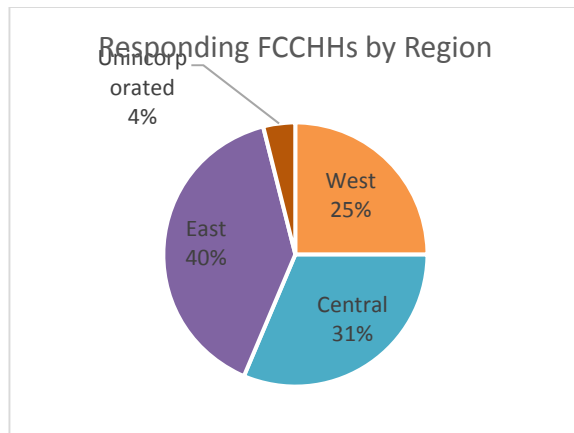
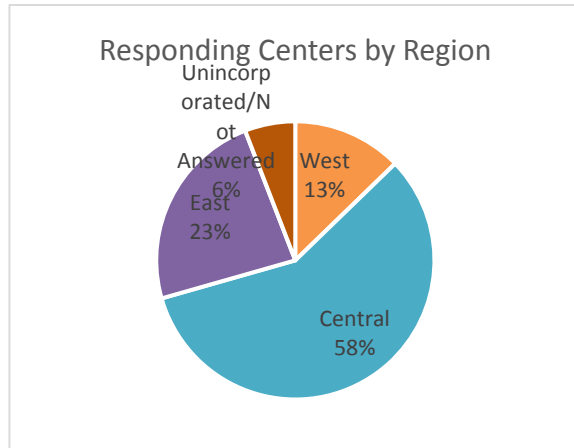
CocoKids, the Contra Costa County Child Care Resource and Referral Services agency, provided a list of 1,250 licensed child care providers. The 849 contacts with email addresses (68% of all licensees) were invited to respond to the survey. Fifty-eight percent of contacted center directors and 32% of family child care home (FCCHH) providers completed the survey, representing 25% of all licensed providers. This was considered a very strong response rate.

| License Type           | Licensed | w/Emails | Responses | % of Total Licensed | Response Rate |
|------------------------|----------|----------|-----------|---------------------|---------------|
| Center                 | 445      | 179      | 102       | 23%                 | 58%           |
| Family Child Care Home | 805      | 670      | 204       | 25%                 | 30%           |
| TOTAL                  | 1,250    | 849      | 307       | 25%                 | 36%           |

Responses were collected between September 25, 2017 and January 30, 2018.

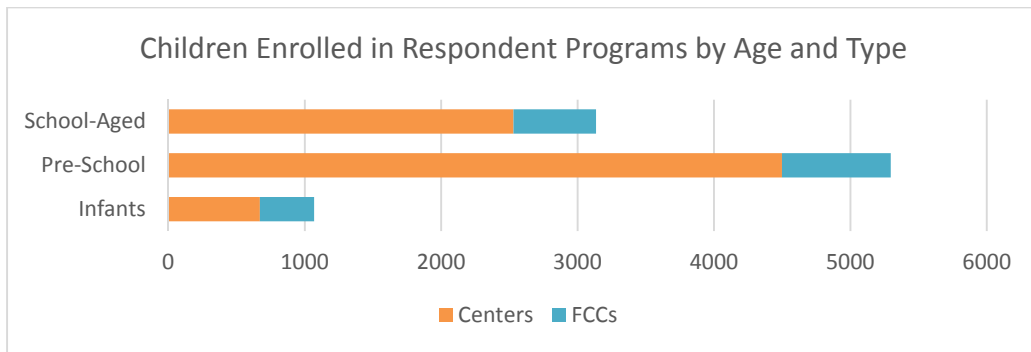
Responses were collected from all Contra Costa County municipalities and regions.

| Region                   | City          | Centers         | FCCHs           |
|--------------------------|---------------|-----------------|-----------------|
| West                     | El Cerrito    | 3               | 4               |
|                          | Hercules      | 1               | 9               |
|                          | Pinole        | 2               | 2               |
|                          | Richmond      | 5               | 31              |
|                          | San Pablo     | 2               | 5               |
|                          |               | <b>13 (13%)</b> | <b>51 (25%)</b> |
| Central                  | Alamo         | 0               | 1               |
|                          | Clayton       | 0               | 1               |
|                          | Concord       | 18              | 25              |
|                          | Danville      | 7               | 7               |
|                          | Lafayette     | 6               | 2               |
|                          | Martinez      | 4               | 2               |
|                          | Moraga        | 0               | 1               |
|                          | Orinda        | 0               | 2               |
|                          | Pleasant Hill | 8               | 9               |
|                          | San Ramon     | 1               | 5               |
|                          | Walnut Creek  | 15              | 9               |
|                          |               | <b>59 (58%)</b> | <b>64 (31%)</b> |
| East                     | Antioch       | 6               | 35              |
|                          | Brentwood     | 14              | 15              |
|                          | Oakley        | 1               | 16              |
|                          | Pittsburg     | 3               | 15              |
|                          |               | <b>24 (22%)</b> | <b>81 (40%)</b> |
| Unincorporated/No Answer |               | 6               | 8               |
| Total                    |               | 102             | 204             |



Collectively, responding programs care for nearly 9,500 children in Contra Costa County.

- Reporting Centers care for 671 infants, 4,498 preschool age children, and 2,530 school age children.
- Reporting FCCHs care for nearly 400 infants, 800 preschool age children, and 600 school age children.



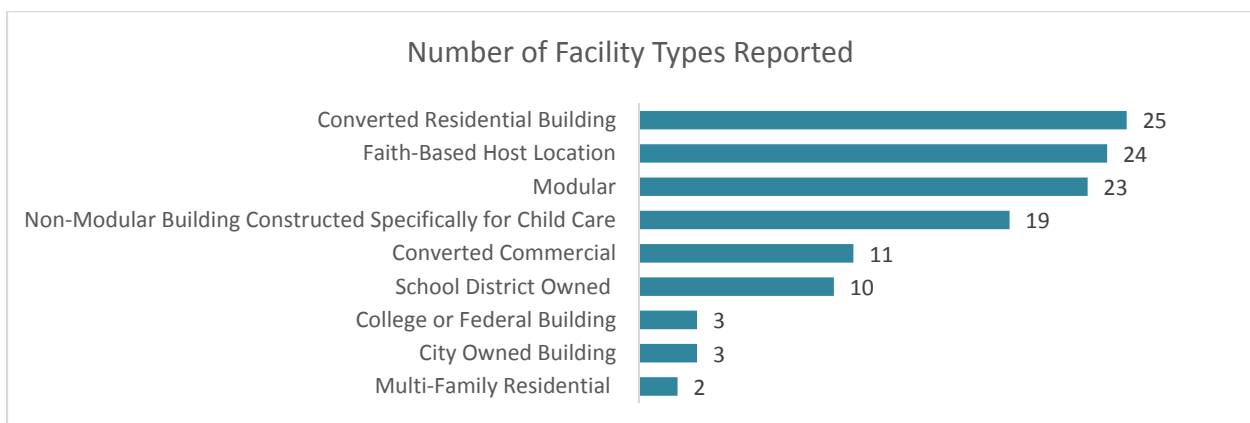
## Child Care Center Findings

### Public Funding

Fifty-eight percent of reporting sites have some public funding. This included four state preschools, three Head Start programs, and 45 other sites that offer state-subsidized care for eligible families through Cal-Works or the California Alternative Payment (CAP) program.

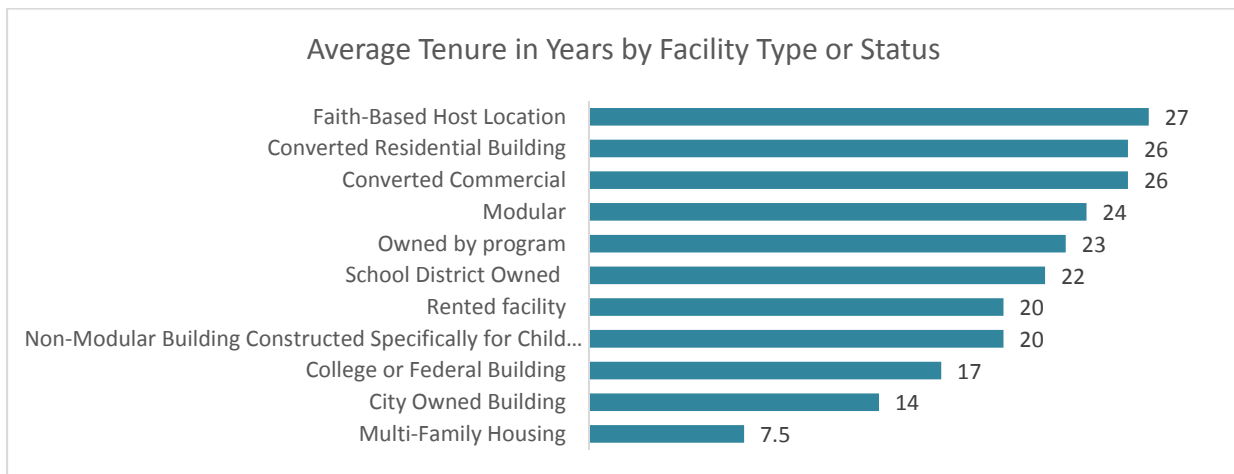
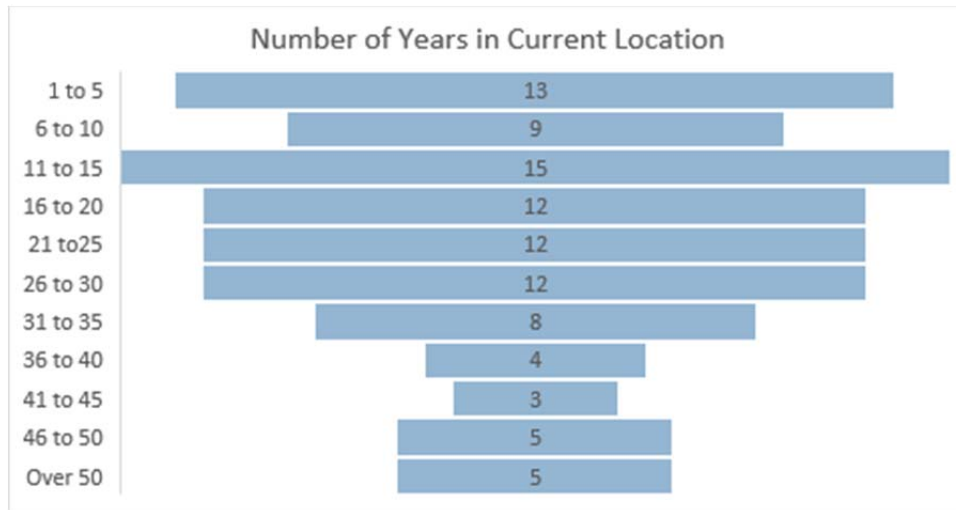
### Building Type, Ownership, and Tenure

Responding center directors have programs housed in a variety of facility types, with most centers being housed in converted residential buildings (25%), on faith-based campuses (23%), in a modular building (22%), or in a non-modular building constructed for child care (18%).<sup>12</sup> Only 16% of responding sites were situated in public facilities of which 10% were housed by a school district, 3% in a city-owned facility, and 3% in a community college or federal building.



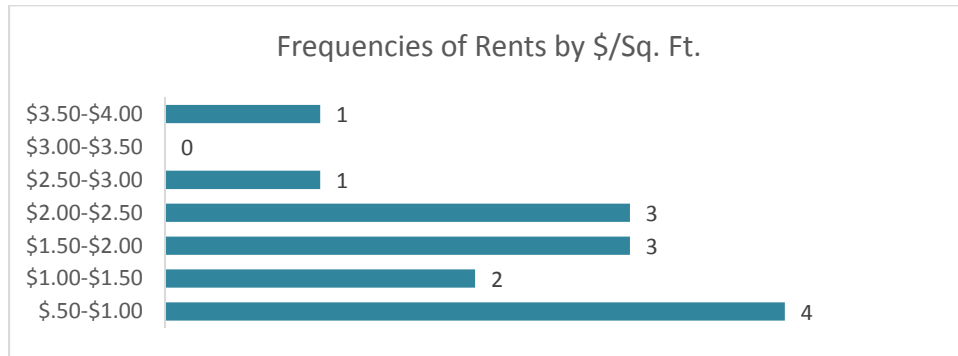
<sup>12</sup> Please note, respondents could select multiple building types, therefore the percentages add up to more than 100%.

Center directors reported that their program had been housed in its current location from 1 to 75 years, with an average tenure of 23 years. The period of greatest center expansion was 1988-2007. The greatest stability in facility ownership has been through faith-based organizations and private ownership.



Thirty-six percent of programs rent their facility. Reported rents ranged from \$1 to \$15,474 per month.<sup>13</sup> Rent per square foot was calculated for the 13 renters that reported both figures. It ranges from \$0.55 to \$3.91 per square foot, with an average of \$1.71 per square foot. Two centers report they are losing their leases, and another seven have concerns that their lease may not be renewed.

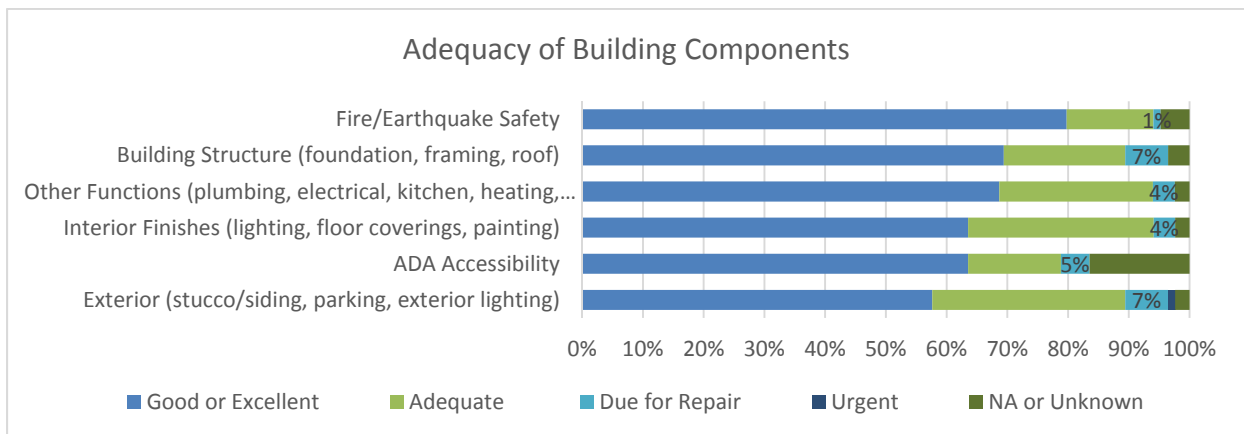
<sup>13</sup> On 3.16.18, an email was sent to the director of this site requesting verification.



## Site Conditions, Repairs, and Renovations

One in five sites are actively involved in site repairs or renovations. Projects mentioned included plumbing (new water heaters, sewage repairs); site expansion; installation of playground equipment; roofing; painting; landscaping; and insulation. Cost was the main factor for deferred maintenance.

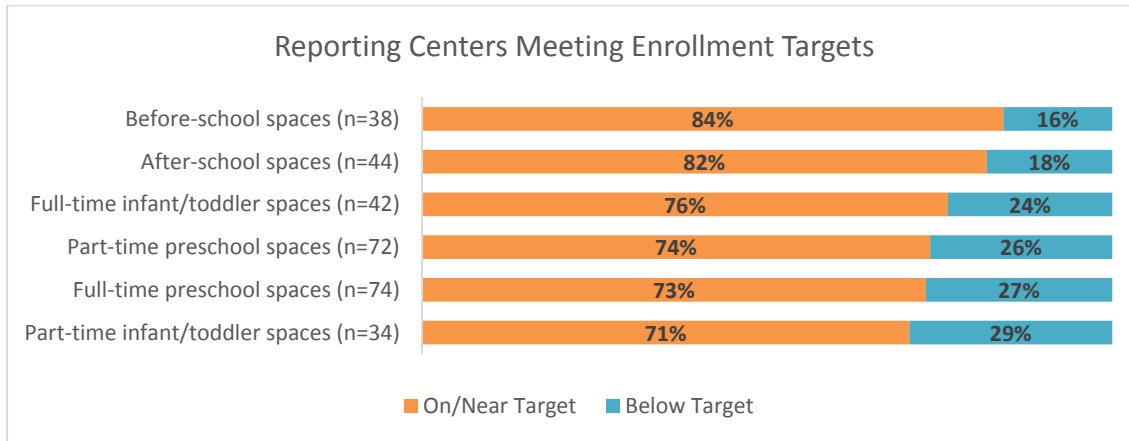
Overall, sites reported well-maintained facilities. The category most frequently reported as having repair due was the building exterior (stucco, siding, parking, exterior lighting). Some respondents noted that they are housed in older buildings.



## Waitlists & Enrollment Targets

Respondents were asked “How well are you meeting your target enrollment for each of the following?” Depending on type of spaces, 71 to 84% of responding programs were within 90% of their target

enrollments. Programs for school age care and full-time infant/toddler care were most often near capacity.



Fifty-eight percent of sites reported that they maintain a waitlist.<sup>14</sup>

|                 | Infant/Toddler<br>(0-24 months) | Preschool<br>(ages 2-5 years) | School Age<br>(over 5 years of age) |
|-----------------|---------------------------------|-------------------------------|-------------------------------------|
| Total           | 798                             | 1,789                         | 189                                 |
| Range           | 1 to 500                        | 1 to 1,000                    | 1 to 50                             |
| Outlier Removed | 298                             | 789                           | 189                                 |

Thirteen of reporting sites had expanded in the past five years to accommodate 76 more infant/toddler spaces, 38 preschool spaces, and 205 before or after school spaces. Their reported expenses to accommodate the expansion included: permitting fees in the \$500 to \$600 range; furnishings in the \$1,000 to \$10,000 range; facility rental; and expenses related to additional staff. Some were required to participate in public hearings or getting school district approval. Sites that found available space reported few challenges. Retrofitting for requisite plumbing fixtures was reported by two as a major expense.

## Public Funding

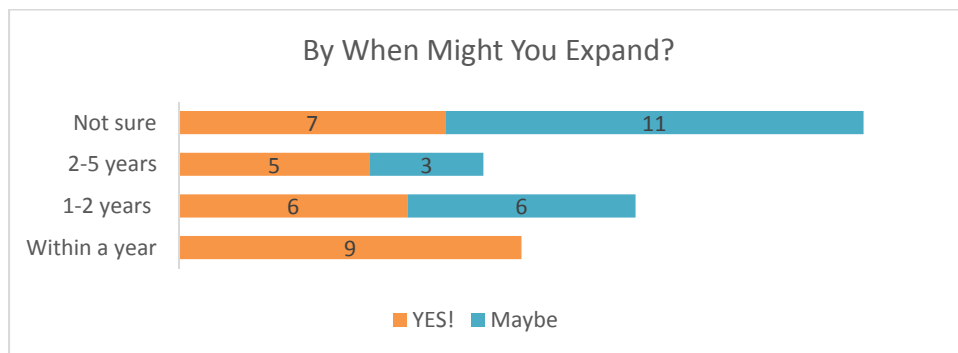
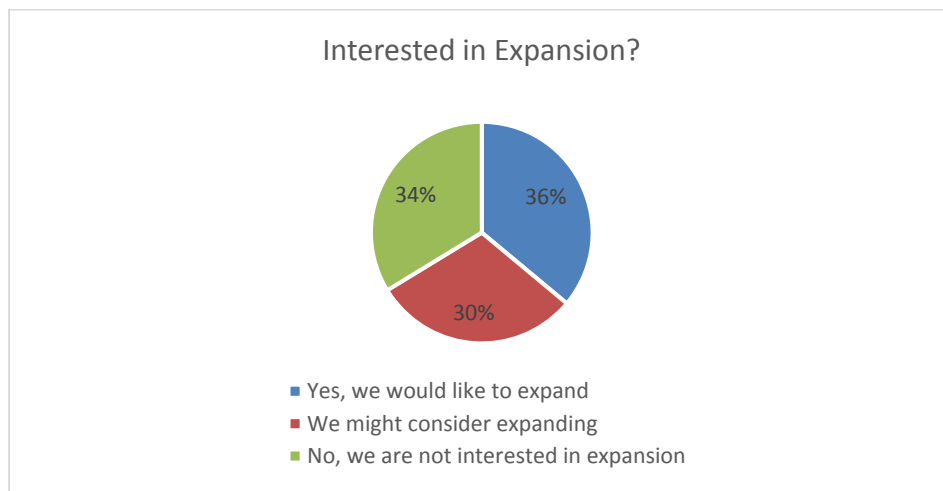
Fifty-eight percent of centers reported some public funding. This included four state preschools, three Head Start programs, and 45 sites that enrolled 239 children with CocoKids managed subsidies. This funding includes both the CalWORKs and California Alternative

<sup>14</sup> One Head Start director from Concord reported waitlists of 500 infant/toddlers and 1,000 preschool aged children. She has been emailed requesting more information. Her numbers were deleted from the bottom row of the table above.

Payment (CAP) subsidy programs. Sixty percent of sites reported that the public funding adequately covered the cost of care. Where the subsidy does not meet expenses, sites supplement with parent fees, higher fees from non-subsidized families, fundraising, or they take a loss.

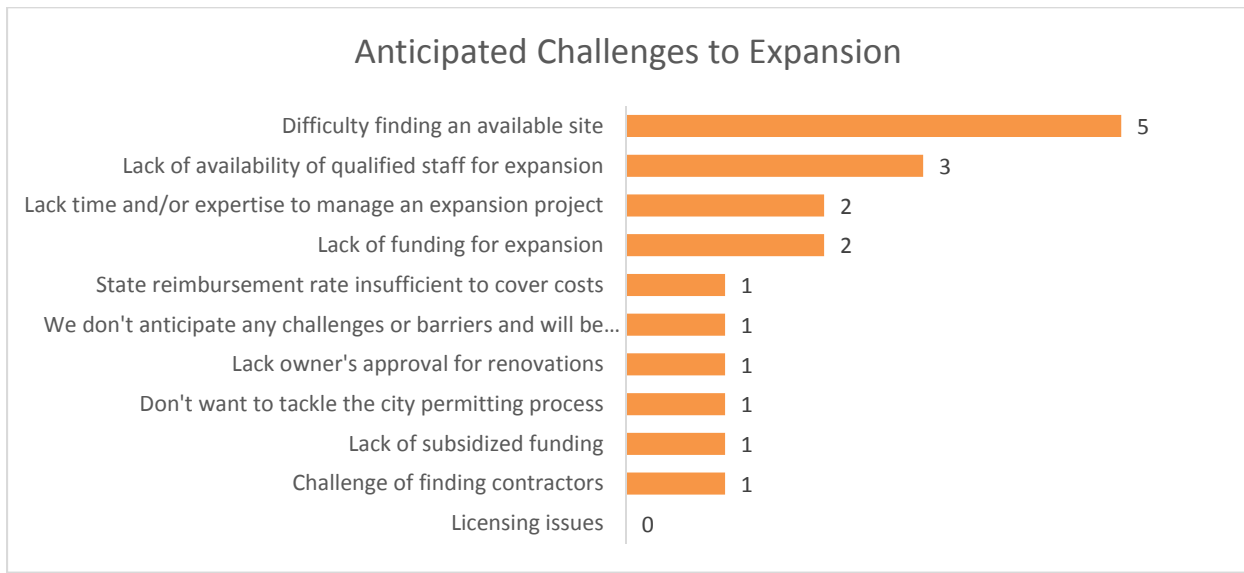
## Interest in Expansion

One question asked “Would you or your organization/business consider expanding to serve more children in Contra Costa County at this or another location? (Note: this question is not limited to the site you have been describing in previous responses.) Of the 86 directors who answered this question, they were fairly evenly divided between those that would like to expand, those who would consider expansion, and those with no interest in expansion.



Of the nine sites that are considering expanding within the year, only one anticipated no barriers to proceeding. The most anticipated challenges were difficulty finding space, finding qualified staff, having the time and/or expertise to manage an expansion, and having the funds to expand. Nine directors offered suggestions for available sites.





The following centers granted permission to be listed in this report as interested in expansion.

| Region                | Center   |
|-----------------------|--|
| <b>West County</b>    | 1. Hope Preschool, Richmond                                  |
| <b>Central County</b> | 2. St. Michael's Preschool, Concord                          |
|                       | 3. Little Bridges Child Care, Danville                       |
|                       | 4. Center of Gravity, Pleasant Hill                          |
|                       | 5. Gan B'nai Shalom Preschool, Walnut Creek                  |
|                       | 6. Walnut Avenue Community Christian Preschool, Walnut Creek |
|                       | 7. My Spanish Village Preschools, Inc., Walnut Creek         |
|                       | <b>East County</b>   |
|                       | 9. Baby Yale Academy, Brentwood                              |

## Closing Comments

The survey ended with an open-ended question “Do you have anything else you would like to tell us as we consider ways to ensure the adequate supply of child care facilities in Contra Costa County for the years to come?”

|                                     |   |
|-------------------------------------|---|
| Consider Distribution of Facilities | <i>We need a quality preschool in the area I am currently located, but we are being forced to move.</i> |
| Help with Affordable Facilities     | <i>Finding space in this area is very expensive and hard to find.</i>                                   |

|                             |  |
|-----------------------------|--|
|                             | <p><i>It would be great if there were incentives for small business owners to open/expand sites. We are non-profit and cannot seem to find a location that would work for us to expand, this has been challenging but without getting a real estate agent which I am not sure is the direction I want to go in, I'm not sure of another way. Thank you!</i></p> <p><i>We all need funding but more importantly we need spaces to rent/lease that we can afford. Rents are so high. It is shameful that we cannot afford to help children and families.</i></p> |
| Help with Early Start       | <p><i>I would like to find out how to get about getting a contract for Early start.</i></p>  |
| Infant & Toddler Care       | <p><i>I would like to add an infant room for ages 6 weeks-12 months.</i></p> <p><i>Our County desperately needs more infant care!</i></p> <p><i>There seems to be a need in our area for infant care. We had looked at the possibility of starting one here years ago but the cost of starting an infant care scared away our church board from going any further with it.</i></p> <p><i>There's a huge need for 0-3-year old children.</i></p>  |
| Is there really a shortage? | <p><i>I believe there are adequate spaces. Many sites I know of are not full. I believe at some time ago there was also a push for more sites (many in home daycares were open) this caused many sites to close because children were spread out too thin. This questionnaire seems to be aimed at this again.</i></p> <p><i>Yes, we currently need new children to serve.</i></p>   |
| Let's Partner               | <p><i>The Center of Gravity is committed to bringing high quality early education to Contra Costa County and making sure it is obtainable to all families. We are open to partnering to make that happen.</i></p>  |
| Staffing                    | <p><i>Need continuous help with finding qualifying staff.</i></p>  |
| Support for Expansion       | <p><i>We providers need support for expanding and serve more children</i></p>  |
| Thank you                   | <p><i>Continue to support schools and staff as you currently do! Thank you!!</i></p>   |

## Family Child Care Provider Findings

### About Family Child Care (FCCH)

The State of California requires licensing of child care offered in caregivers' homes. Family Child Care Homes (FCCHs). The licenses are classified for either a small, up to 8 children, or large family child care home up to 14 children. These classifications define maximum enrollments, depending on the ages of children.

Small FCCHs can select to have a maximum of: four infants or six children with no more than three who are infants; or up to eight children when one is school-aged and no more than two infants are in care.

The maximum enrollments of large FCCHs require an assistant and are limited to twelve children when no more than four are infants or up to fourteen children when one child is school-aged and no more than three infants are in care.

These variations in configuration provide flexibility for FCCH providers, but limit assessment of available spaces by age.

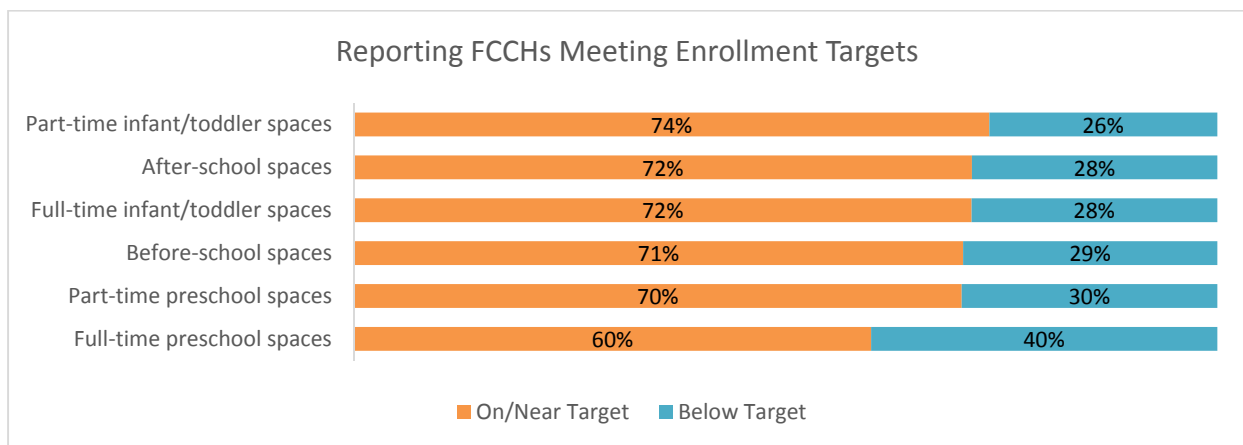
### FCCH Survey Respondents

1. Of the completed surveys, 191 (88%) were collected through the English version and 25 (12%) from the Spanish translation.
2. Licensed capacity was evenly divided between small (53%) and large (47%) homes.
3. Respondents were currently providing care to nearly 400 infants, 800 preschool children, and just over 600 school-aged children.
4. The caregivers reported being in operation between 0 and 44 years, with an average of 12 years. The average number of years in the current location was 6 years.
5. 72% of the respondents owned their homes. Of the 28% who rent, they pay between \$1,200 and \$4,500 monthly, with an average rent of \$1,360 per month. Only two providers anticipated problems renewing the lease.

## Waitlists & Enrollment Targets

Respondents were asked “How well are you meeting your target enrollment for each of the following?” Depending on type of spaces, 60 to 72% of responding FCCHs were meeting or nearly meeting their target enrollments. The group least likely to be at capacity was full-time preschool, for which 40% of respondents reported that they were below their targets

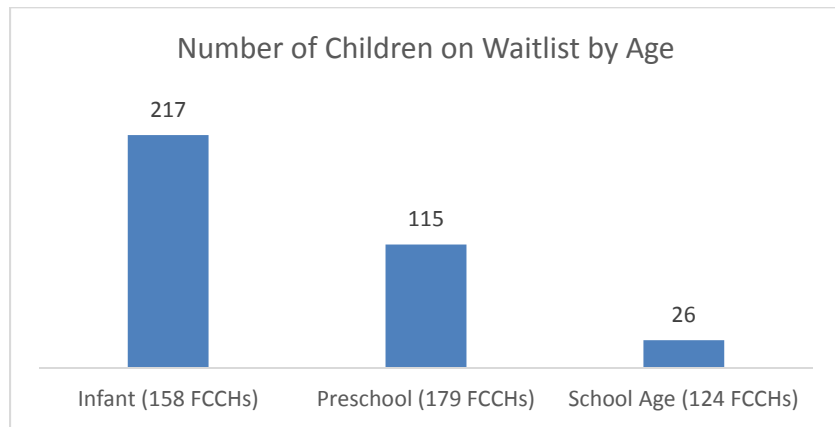
Overall FCCHs were less likely than centers to meet their target enrollments in all categories except part-time infant/toddler care, where they outperformed centers. This suggests the FCCHs are meeting a demand for this niche.



The survey asked “Approximately, how many children are on your wait list?” by age group. Eighty FCCHs (39%) had waitlists, which totaled to 358 children.

- Of those 158 sites with any infants currently enrolled, 40% (63) reported a total of 214 infants on their waitlist.
- Of the 179 sites with any preschool age children enrolled, 20% (35) reported a total of 115 children on their waitlists.
- Of the 124 sites with any school age children enrolled, 10% (12) reported a total of 26 school-aged children on their waitlists.

The waitlist findings support national and California trends in greatest supply shortage for infant care.

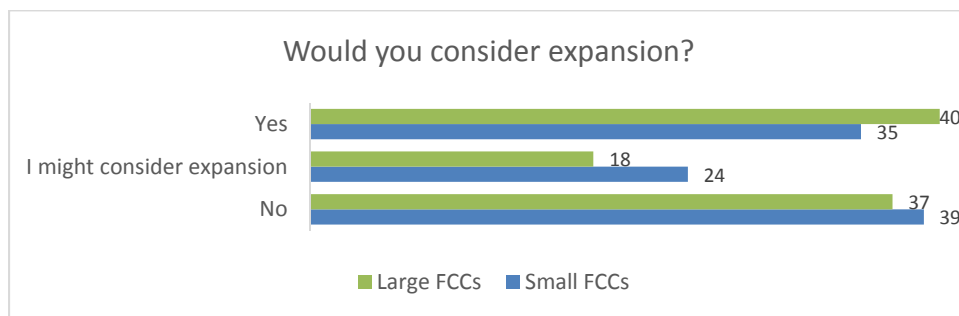


## Site Repairs and Renovations

Nearly one in four (23%) of respondents reported that they were currently undergoing repairs or renovations to improve their home for the child care program. The renovations included landscape plantings, fencing, hardscape, climbing structures, exterior storage structures, painting, interior flooring, bathroom upgrades, lighting, and heating and air conditioning repair. Nearly half had researched renovations which they decided not to pursue. The most frequently reported barrier was a lack of funds.

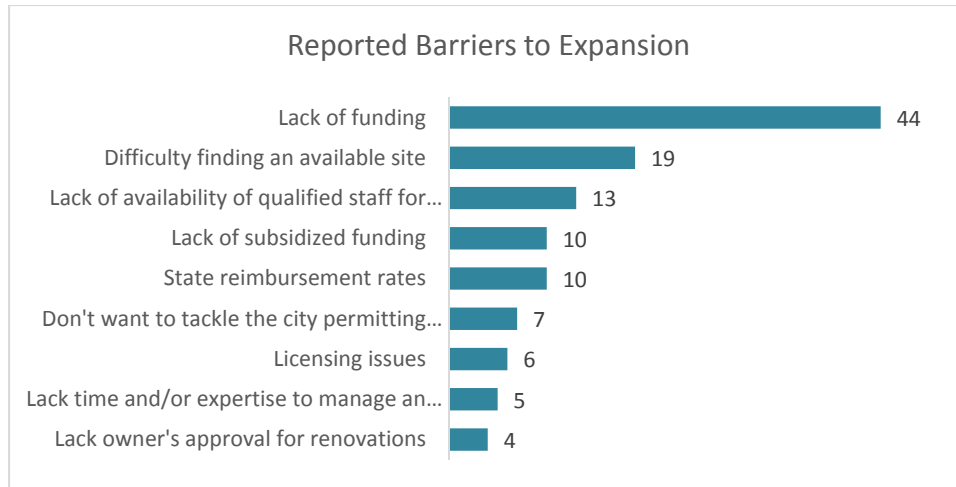
## Interest in Expansion

Thirty-six percent of responding small FCCHs and 42% of large FCCHs expressed interested in expansion.



Of the 44 sites considering expanding within the next year, 25% don't anticipate any barriers to expansion. The barriers to expansion most frequently cited were lack of funding, difficulty finding a site, and difficulty finding staff. In addition to the offered selection of possible barriers, respondents offered additional reasons: high city permitting fees, being at licensed

capacity, preferring to operate as a FCCH rather than a center, low enrollments, fire marshal disallowing use of upstairs space, and objections of neighbors.



The following FCCHs gave permission to be listed in this report as having interest in expansion.

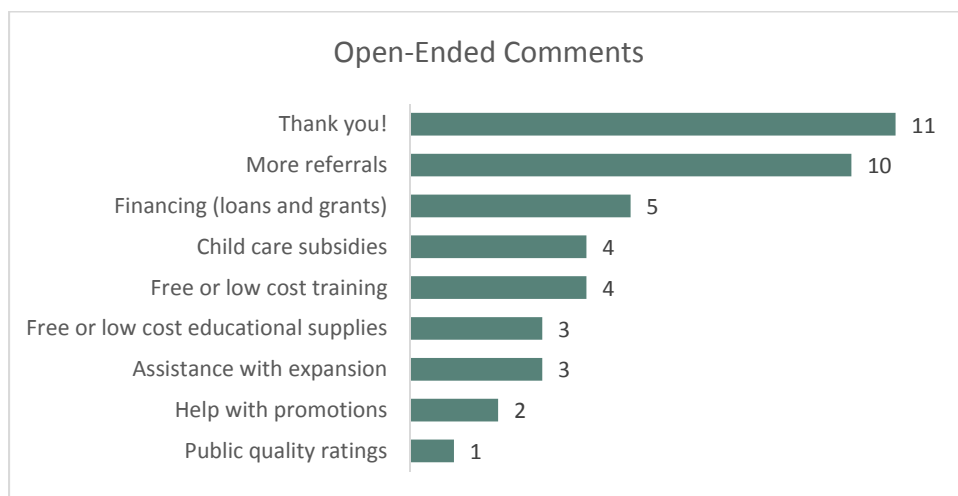
| Region    | City                                   | FCCH Name                                       |
|-----------|--|---|
| West      | El Sobrante                            | 1. Little Earth Seeds                           |
|           |  | 2. Lulu's Day Care                              |
|           | Hercules                               | 3. Kathy Duchaussee, Loving Arms Family Daycare |
|           | Richmond                               | 4. Nina's Day Care                              |
|           |  | 5. Veronicas Family Daycare                     |
|           |  | 6. S Family Day Care                            |
|           |  | 7. Little hands Family Child Care               |
|           |  | 8. Dee's Tiny Tots University                   |
|           |  | 9. Kera's Family Quality Child Care             |
|           |  | 10. Anielka Family Day Care                     |
|           |  | 11. Ricon de Luz                                |
|           |  | 12. Lollipops Family Daycare                    |
|           |  | 13. Nina's Day Care                             |
|           | 14. Carmen Diaz, Little Omar's Daycare |   |
| San Pablo | 15. Tiny Footprints                    |   |
|           | 16. Le'Sade Learning Academy           |   |
|           | 17. Tiny Footprints                    |   |
| Central   | Concord                                | 18. Kesha Family Day Care                       |
|           |  | 19. Gin's Family Child Care                     |
|           |  | 20. Passion Polanco's Family Child Care         |
|           |  | 21. Imagination Station Preschool               |

|              |  |   |
|--------------|--|---|
|              |  | 22. Orellana's Daycare                                |
|              |  | 23. Nazli Sajjad                                      |
|              |  | 24. Nekessa Joy Yanila, Little Montessori Home        |
|              |  | 25. Linda Matus, Nana's Place                         |
|              |  | 26. Biana Kaplun                                      |
|              | Lafayette                                | 27. De Colores Daycare                                |
|              | Orinda                                   | 28. Rebecca Van Voorhis-Gilbert, Orinda Afternoons    |
|              | Pleasant Hill                            | 29. Thelma Escobar                                    |
|              | San Ramon                                | 30. Hope Win Academy                                  |
|              |  | 31. Little Stars Day Care                             |
|              |  | 32. Norma's Kiddie Preschool, San Ramon               |
|              |  | 33. ABC SunnyCare                                     |
|              |  | 34. Lil Bears Preschool                               |
|              |  | 35. Crayoland Family Daycare                          |
| Walnut Creek | 36. Kids Kastle Childcare                |   |
|              | 37. Mary's Family Child Care & Preschool |   |
| <b>East</b>  | Antioch                                  | 38. 2nd Home Family Day Care                          |
|              |  | 39. Janell Collins                                    |
|              |  | 40. Training Children Childcare & Learning Center     |
|              |  | 41. Giggles & Scribbles Family Daycare                |
|              |  | 42. One of a Kind Childcare                           |
|              |  | 43. Little Peeps                                      |
|              |  | 44. Pringle's Christian Child Care Home               |
|              |  | 45. 2 Cousins Day Care                                |
|              |  | 46. LaDasha Biagas-Wilson, 123 Ready Set Grow Academy |
|              |  | 47. Seasons Family Daycare                            |
|              |  | 48. Perry's House                                     |
|              |  | 49. Tiny Precious Lambs Family Child Care             |
|              |  | 50. Magical Moments Loving Daycare                    |
|              |  | 51. Little Ones Early Head Start                      |
|              |  | 52. Tasheena Family Childcare                         |
|              |  | 53. Michelle's Little Blossoms Daycare                |
|              |  | 54. LOL Tots Daycare                                  |
|              |  | 55. Ree Ree's Little Scholars                         |
|              |  | 56. Happy Faces Childcare                             |
|              |  | 57. Rosa'dar care                                     |
|              | 58. Vilmary's Day Care                   |   |
|              | Bay Point                                | 59. Luv Muffins Daycare                               |
|              |  | 60. Mundo FUNtastico                                  |
|              |  | 61. Nimberly's daycare                                |
|              | Brentwood                                | 62. Learning Through Play Family Daycare              |

|  |           |   |
|--|-----------|---|
|  |           | 63. Pegah                                       |
|  |           | 64. Kinder College Preschool/Childcare          |
|  |           | 65. Tailila Scott, Momma Tees child care        |
|  |           | 66. Keiki Care 123                              |
|  | Oakley    | 67. Angela Engel (Angela's Angels)              |
|  |           | 68. Dynasty Rose Family Child Care              |
|  |           | 69. Alisa's "Beary Special Family" Childcare    |
|  |           | 70. Happy Hearts                                |
|  |           | 71. God's Little Angels Daycare & Preschool     |
|  | Pittsburg | 72. Frances Robinson (Franny the Nanny Daycare) |
|  |           | 73. Misty Little Angels                         |
|  |           | 74. Kamari's Family Daycare                     |
|  |           | 75. Happy Days Family Childcare & Preschool     |
|  |           | 76. Gibson Family Childcare                     |
|  |           | 77. Patricia's Daycare                          |

## Other Comments

The survey concluded with an open-ended question “Do you have anything else you would like to tell us as we consider ways to ensure an adequate supply of child care facilities in Contra Costa County for the years to come?” The most frequent comment was gratitude for all assistance provided to the child care community. That was followed by a desire for referrals from CocoKids. Others observed the low profit margin for caregivers and suggested help with financing, free or low-cost teacher training, free or low-cost educational materials, and help with expansion and promotions. One person suggested all FCCHs have publicly available quality ratings.





## 5. Stakeholder Survey

### Introduction

The Contra Costa County Local Planning and Advisory Council of Early Care and Education (LPC) in partnership with First 5 Contra Costa, Contra Costa County Office of Education (CCCOE), and Contra Costa County Conservation and Development Department contracted with Brion Economics, Inc. (BEI) to conduct a child care needs assessment and facilities study. This work included a supply and demand analysis and survey research. Brion Economics and Davis Consultant Network conducted two types of surveys: a child care provider survey and a stakeholder survey. This document summarized the findings for the latter.

### Summary of Key Findings

1. Faith-based organizations and school districts are strategic partners for expansion of child care facilities. Most own their facilities and have complementary facility uses.
2. Cities in general have not broadly explored their potential role in facilitating expansion of child care facilities, with a few noted exceptions such as Concord's reduction of fees for licensed family child care providers. City planners are potential partners who hold local knowledge of potential sites and could be approached to help develop collaborative solutions.
3. There is widespread awareness that many sectors will need to be engaged to address the development of child care facilities to meet the projected demand.

### Sample and Method

The survey was designed to:

- Build awareness of the projected shortages of child care facilities in Contra Costa County;
- To understand existing strategies in place to develop child care facilities; to seek perceptions of where responsibilities lie; and
- To identify real properties with potential to house early learning programs.

This survey was focused on opinions from various sectors in the County to solicit a wide range of ideas on how to address the existing early learning facilities shortage. By design, the stakeholders were not limited to a discrete set of respondents. Both targeted and open

engagement strategies were used. School Superintendents and facilities personnel were invited by direct email invitation by County Superintendent of Schools, Karen Sakata. Staff from county and city planning departments were invited to respond and distribute the survey through personalized email invitations from members of the Board of Supervisors. Davis Consultant Network developed lists and sent invitations to 16 large employers, 52 faith-based organizations, 76 real estate development firms, and 18 non-profit organizations. The LPC and First 5 Contra Costa County were offered the web link to distribute through their communication networks. Seventy-five (75) survey responses were collected between January 30, 2018 and June 6, 2018. This report summarizes responses received, which have not been independently verified.

*Figure 1: Survey respondents self-identified by their sector related to child care facilities, land use or real estate in Contra Costa County.*

| Sector                     | Individual Responses |
|----------------------------|----------------------|
| Real Estate Developer      | 1                    |
| Local Business             | 1                    |
| Community College District | 1                    |
| County of Contra Costa     | 1                    |
| Non-Profit                 | 4                    |
| Interested Individual      | 10                   |
| Local School Districts     | 13                   |
| City Planners              | 14                   |
| Faith-Based Organizations  | 29                   |
| <b>Total</b>               | <b>74</b>            |

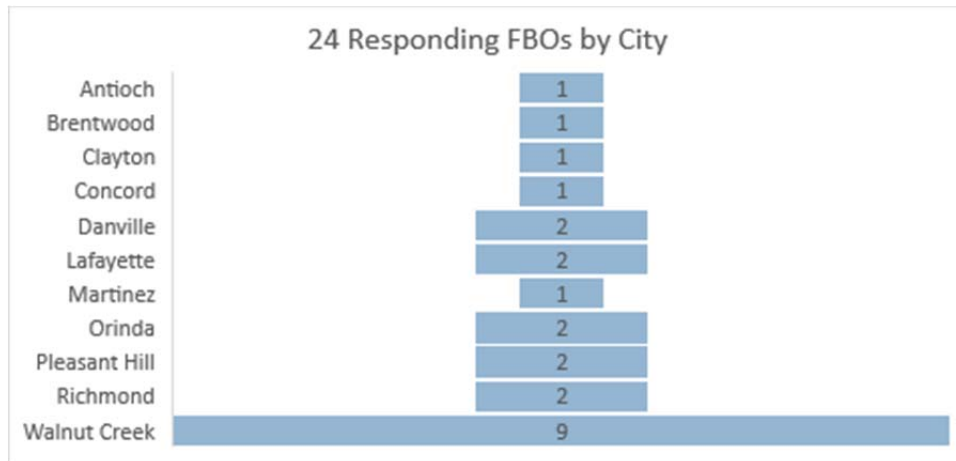
## Stakeholder Survey Findings by Sector

### Faith-Based Organizations

#### Sample

The research team developed a list of faith-based organizations (FBOs) from an internet search of members of the Contra Costa Interfaith Coalition and the Multi-Faith Action Coalition. Twenty-eight individual responses were received from 24 different entities.

Figure 2: Number of responding faith-based organizations by city. (n=24 FBOs)

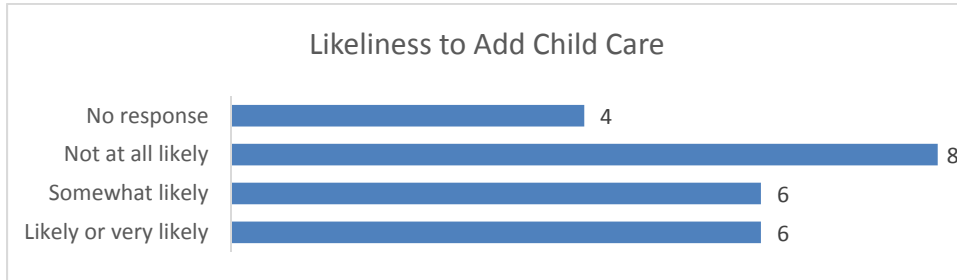


We asked if each respondent if their congregation owned their facility. With ownership comes greater control over facility usage. Eighty-three percent (n=20) of reported FBOs own their facilities.

One-third of the reporting congregations (n = 8) currently provide space for licensed weekday child care. Half of the respondents (n = 14 respondents representing 12 congregations) thought there was some likelihood (“very likely”, “likely” and “somewhat likely”) that their congregation would consider incorporating new or additional space for child care. One was currently looking for a suitable child care operator and two others indicated a commitment to serve the community and be responsive to emerging needs.

When asked if they would rent space to an independent child care operator, the number likely to offer space dropped to 37% (n = 11 respondents representing 9 congregations). Reasons offered for this included: avoidance of competition for existing on-site child care; competing uses, such as offering space for a school for special needs children; and limited resources to develop license-qualified spaces.

Figure 3: Responses by FBOs to question “In your opinion, how likely is it that your congregation would consider incorporating new or additional space for child care either within its current facilities or on its grounds within the next ten years?” (n=24 congregations)



## City Planners

### Sample

Contra Costa Supervisors sent email requests to city managers and city planning departments inviting them to respond to the survey. The research team sent a third reminder to city planning offices of cities that had not yet responded. Fourteen individual responses were collected from the following 12 of the 19 Contra Costa County municipalities. These were: Brentwood; Clayton; Concord; El Cerrito; Hercules; Lafayette; Oakley; Orinda; Pinole; Pittsburg; Pleasant Hill; and Walnut Creek. Additionally, staff from Danville answered some survey questions in a telephone interview when the research team was verifying email addresses for the survey invitation.

### Addressing Child Care in Planning

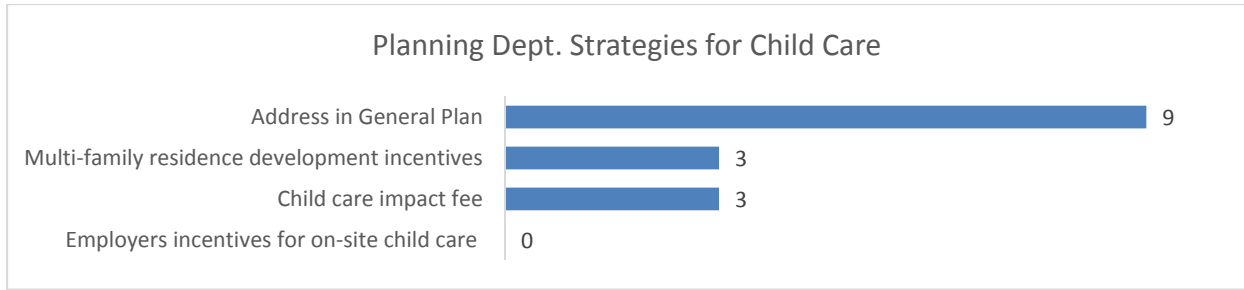
Several questions were asked about existing planning strategies to provide for the development of child care facilities. Three-quarters of the responding cities (n = 10) reported addressing child care in their general plan. The cities of Clayton<sup>15</sup>, Pinole<sup>16</sup>, and Walnut Creek<sup>17</sup> offer incentives to developers of multi-family residences to include child care. Clayton, Concord, and Danville charge child care development fees.

<sup>15</sup> The City of Clayton offers either a density bonus or other concession or incentive to residential projects which include child care facilities. See: [https://library.municode.com/ca/clayton/codes/municipal\\_code?nodeId=TIT17ZO\\_CH17.90AFHODEBORE\\_17.90.060CHCAFA](https://library.municode.com/ca/clayton/codes/municipal_code?nodeId=TIT17ZO_CH17.90AFHODEBORE_17.90.060CHCAFA)

<sup>16</sup> New multi-family residential developments within Pinole that include on-site day care as a community benefit may be eligible for residential density or intensity bonuses.

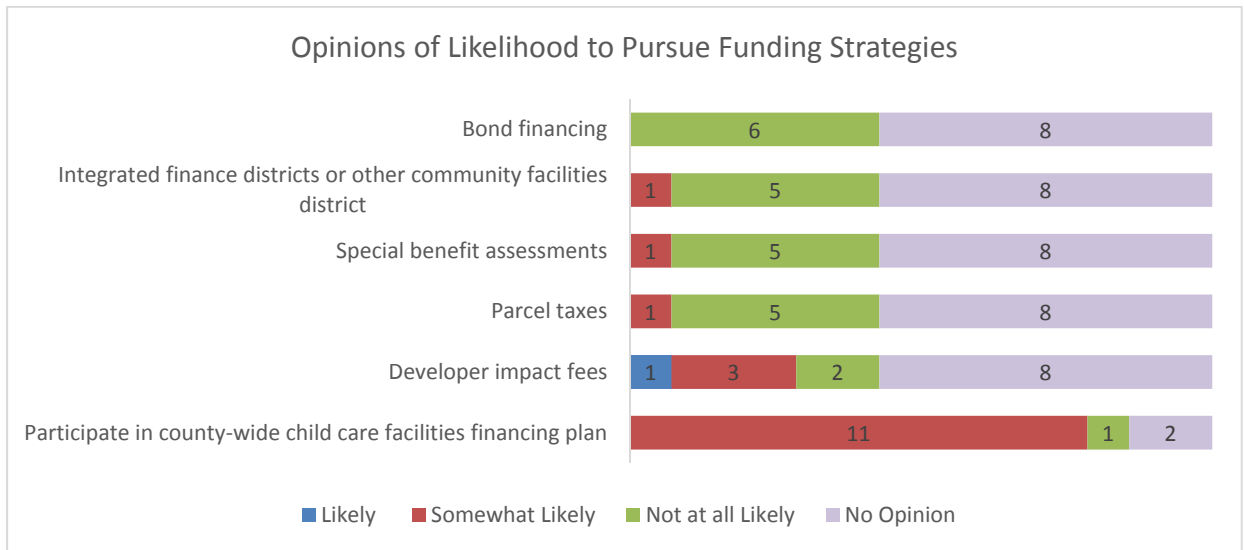
<sup>17</sup> Walnut Creek offers a density bonus when planning department is scoring proposed developments that include child care. See: Walnut Creek Muni Code section 10-2.3.1007 Density Bonus for Child Care Facilities. See: <http://www.codepublishing.com/CA/WalnutCreek/#!/walnutcreek10/WalnutCreek1002C.html#10>

Figure 4: Summary chart of cities reported use of various strategies to encourage child care facilities. (n=12)



The responding city staff were asked their opinions of the likelihood that their jurisdiction would consider various strategies to ensure sufficiency of child care facilities. Modest optimism was offered by 79% of respondents who thought their jurisdiction would be “somewhat likely” to participate in some type of county-wide facilities child care funding plan. Planners considered developer impact fees the most likely funding strategy to be considered, but support for that was still quite modest. No city staff were aware of any major child care planning or policy efforts in their city. Concord staff noted that they have reduced application fees and requirements for small and large licensed family child care programs in their city.

Figure 5: Summary chart of responses to a series of questions asking respondents opinion of the likelihood of their jurisdiction considering specific funding strategies. (n=14)



## Potential Sites for Child Care Facilities

Several questions were asked about potential sites for new child care facilities.

- Brentwood, Concord, Oakley, and Pleasant Hill identified several planned or in-construction projects that will include child care.
- Clayton, Concord, Oakley, and Pittsburg identified several currently-proposed development projects that could possibly accommodate child care in the facilities or on their grounds.
- Pleasant Hill and Oakley identified city-owned sites with potential for constructing child care facilities.
- Clayton, Oakley, and Pinole identified sites within their jurisdictions with potential to accommodate child care facilities.

## Public School Districts (K-12 & Community College)

### Sample

County Superintendent of Schools Karen Sakata distributed three direct invitations to all the district superintendents requesting that a member of their staff respond to the survey. The research team sent an additional reminder to district facilities personnel from districts that had not yet responded to the initial two requests. Responses were received from 12 of the invited 25 school districts (which included primary, secondary, joint, county office, and community college districts). Responding districts/schools included: Acalanes Union High; Byron Union; Canyon; Contra Costa Community College; Contra Costa Mauzy School; John Swett Unified; Liberty Union; Martinez Unified; Moraga; Orinda Union; Pittsburg Unified; and Walnut Creek.

### Existing & Potential On-Site Child Care

Districts were asked if they provided on-site child care. See table below. Martinez Unified School District had previously offered a Head Start program which is no longer housed by the district. Bryon Union, the Mauzy School, John Swett Unified and Pittsburg Unified all reported that they have potential rooms, portables or land which might be converted for early education programs.

| School District                         | On-Site Child Care Offered by Child Age |                                  |                                   |            | Potential for Expansion |
|---|---|----------------------------------|-----------------------------------|------------|-------------------------|
|   | 0-2 years                               | 3-4 years<br>(District Provided) | 3-4 years<br>(Privately Provided) | 5-12 years |                         |
| Acalanes Union High                     | ●                                       | ●                                |                                   |            |                         |
| Byron Union                             |   | ●                                |                                   | ●          | ✓                       |
| Canyon                                  |   |                                  |                                   | ●          |                         |
| Contra Costa Community College District | ●                                       | ●                                |                                   |            |                         |
| Contra Costa County Mauzy School        |   |                                  | ●                                 | ●          | ✓                       |
| John Swett Unified                      |   |                                  | ●                                 | ●          | ✓                       |
| Liberty Union High                      |   |                                  | ●                                 | ●          |                         |
| Martinez Unified                        |   | ●                                | ●                                 |            |                         |
| Moraga                                  |   | ●                                | ●                                 |            |                         |
| Orinda Union                            |   | ●                                | ●                                 |            |                         |
| Pittsburg Unified                       |   | ●                                | ●                                 |            | ✓                       |
| Walnut Creek                            |   | ●                                | ●                                 |            |                         |

## Real Estate Developers

### Sample

Invitations were sent by the research team to 76 real estate development entities active in the county. Only one responded.

### Challenges

This developer had previously considered including child care in a project, but it proved too challenging. “Current city and muni fees [municipal fees] have increased substantially in recent years. Hard cost escalation during that same time has also been double-digit growth, year over year. Accommodating child care facilities is economically next to impossible due to these two items.” When asked for suggestions to meet the growing demand for child care, the developer stated: “Designate sites for child care facilities and incentivize developers to develop those sites as child care. Requiring developers to put child care in new multi-family projects is going to result in higher lease rates for tenants. Rising construction costs and city/muni fees have resulted in margins already being below industry standard levels. Requiring day care centers in new multi-family developments will either result in the projects not being developed due to not being economically feasible or increased rental rates for tenants.”

## Other Respondents & Comments

Twenty-six other respondents from the non-profit or private sector identified six other potential child care sites.

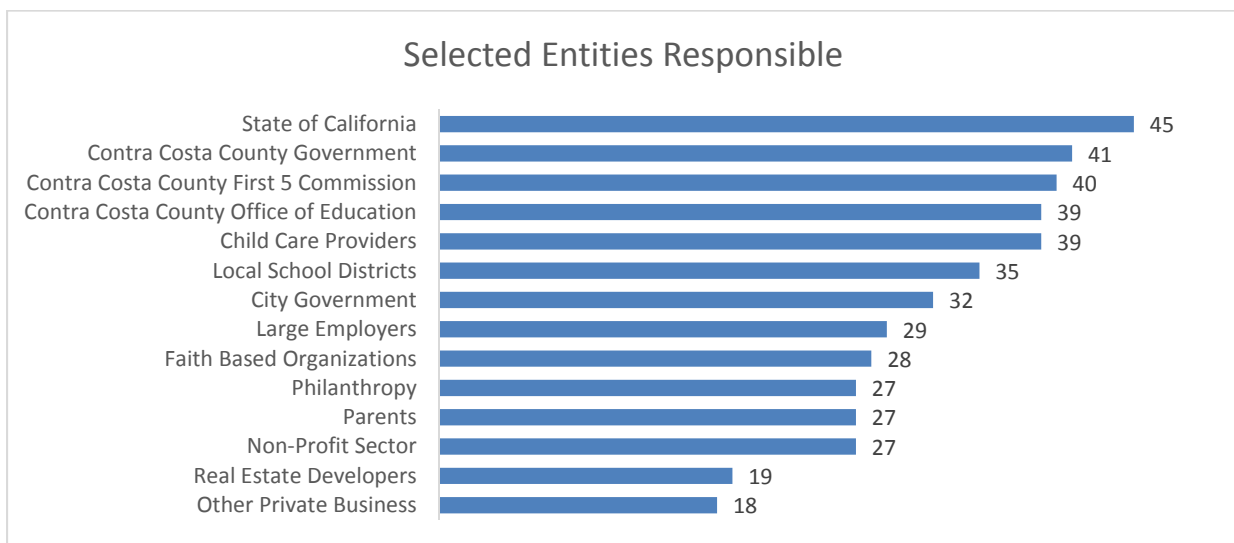
The survey ended with an open-ended question seeking questions and comments. Some notable responses included the following.

1. Can cities offer facilities for child care at discounted rates?
2. Develop a clearinghouse of potential sites that child care providers can consider.
3. Consider expedited licensing for expansion of existing or development of new sites.
4. Offer educational sessions on financing options.
5. Plan to link child care and senior facilities.

## Who has the Responsibility to Solve?

A closing question asked, “Who or what entities do you believe are responsible to ensure a continued supply of quality early learning and child care in Contra Costa County?” The public sector at all levels of government was most frequently identified as responsible: the state, county, First 5, County Office of Education, local school districts and city government. It was commonly recognized that any solution would require collaboration across levels of government and between the public and private sectors. See chart below.

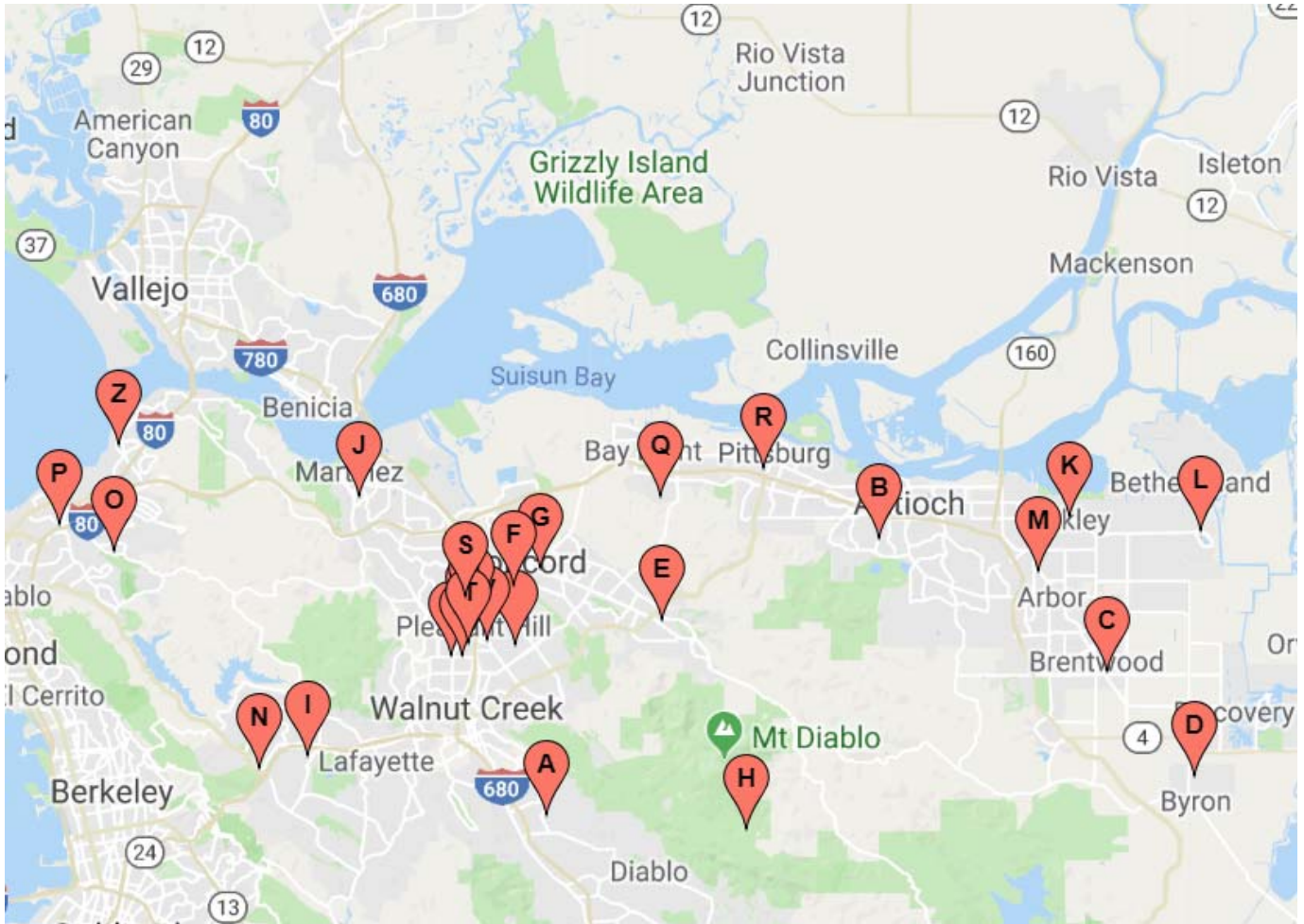
*Figure 6: Response to question “Who or what entities do you believe are responsible to ensure a continued supply of quality early learning and child care in Contra Costa County?” (n=72)*





## Identified Potential New Child Care Locations

Several questions asked if the respondent knew of any potential sites for child care. The map and chart below summarize suggested sites.



Comprehensive Countywide Child Care Needs Assessment – 2017 to 2027  
 Contra Costa County  
 August 2018

| City            | Map | Location  | Type            | Planned | Possibility For |           |
|-----------------|-----|---|-----------------|---------|-----------------|-----------|
|                 |     |   |                 |         | New             | Expansion |
| Alamo           | A   | Contra Costa County Office of Education, Mauzy School           | School District |         |                 | ●         |
|                 | B   | St. Ignatius of Antioch Catholic Church                         | FBO             |         |                 | ●         |
| Brentwood       | C   | Kiddie Academy, 8680 Brentwood Boulevard                        | Private         | ●       |                 |           |
| Byron           | D   | Byron Union, Discovery Rooms                                    | School District |         |                 | ●         |
| Clayton         | E   | St. John's Episcopal Church                                     | FBO             |         | ●               | ●         |
| Concord         | F   | Concord Child Care Center                                       | Child Care      |         |                 | ●         |
|                 | G   | Bright Stars Daycare, Large Family Daycare                      | Child Care      |         |                 | ●         |
| of Contra Costa |     | County owns parcels of land throughout the county               | County          |         | ●               |           |
| Lafayette       | I   | Lafayette-Orinda Presbyterian Church                            | FBO             |         |                 | ●         |
| Martinez        | J   | Martinez Early Childhood Center                                 | FBO             |         |                 | ●         |
| Oakley          | K   | The SE corner of Laurel Rd. and Main St. is a small parcel that | Private         |         | ●               |           |
|                 | L   | Shea Homes has a small facility at the Summer Lakes Subdivis    | Private         |         | ●               |           |
|                 | M   | First Academy   |                 | ●       |                 |           |
| Orinda          | N   | First Church of Christ, Scientist                               | FBO             |         |                 | ●         |
| Pinole          | O   | Elementary & Middle School campuses owned by the West Co        | School District |         |                 | ●         |
|                 | P   | Appian 80 Shopping Center, Tara Hills Drive, West of Appian V   | Private         |         | ●               |           |
| Pittsburg       | Q   | Pittsburg Unified   | School District |         |                 | ●         |
|                 | R   | Civic Center Offices, NW Corner of Hwy 4 & Railroad Ave         | City            |         |                 | ●         |
| Pleasant Hill   | S   | St. Andrews Presbyterian Church                                 | FBO             |         | ●               | ●         |
|                 | T   | 250 Cleaveland Rd. - 0.25 acres vacant land                     | Private         |         | ●               |           |
|                 | U   | Woodsworth Lane - 0.20 acres vacant land (no address)           | Private         |         | ●               |           |
|                 | V   | Hookston Rd. - 0.14 acres vacant land                           | Private         |         | ●               |           |
|                 | W   | Faith Lutheran Church, 50 Cleaveland                            | FBO             | ●       |                 |           |
|                 | X   | Fountainhead Day Care - 1715 Oak Park Blvd                      | Child Care      | ●       |                 |           |
|                 | Y   | Kidz Kastle - 1925 Oak Park Blvd                                | Child Care      | ●       |                 |           |
| Rodeo           | Z   | John Swett Unified, Rodeo Hills Elementary                      | School District |         |                 | ●         |
| Walnut Creek    | AA  | Walnut Creek Church of Christ                                   | FBO             |         | ●               |           |

## Contributors

We would like to thank all those who responded to our survey. Special thanks to the following who granted permission to be acknowledged in this report.

| Name                       | Agency  |
|----------------------------|---|
| Julie Andereggen           | Golden Hills Community Church                 |
| Matthew Belasco            | First Church of Christ, Scientist, Orinda     |
| Bruce Burns                | St. Paul's Episcopal Church                   |
| Melissa Cady               | Concord United Methodist Church               |
| Joel Carico                | First Church of Christ, Scientist, Orinda     |
| Gloria Faircloth           | Grace Episcopal Church                        |
| Mindy Gentry               | City of Clayton                               |
| Debbie Gold                | Temple Beth Hillel                            |
| Ernie Hess                 | St Andrew's Presbyterian Church               |
| Debbie Hill                | City of Brentwood                             |
| Dave Humphrey              | Temple Isaiah                                 |
| Rabbi Dean Kertesz         | Temple Beth Hillel                            |
| Phelicia Lang              | Golden Hills Community Church                 |
| Sungho Lee                 | Concord United Methodist Church               |
| Rev. Will McGarvey         | Interfaith Council of CCC                     |
| Charles Miller             | St. John Vianney Catholic Church              |
| Marie Morgan               | Unity of Walnut Creek                         |
| Natalie Oleas              | Family Justice Center                         |
| Mike Pawlowski             | Martinez Unified School District              |
| Jaime Polson               | Lafayette-Orinda Presbyterian Church          |
| Heather Posner             | Temple Isaiah                                 |
| Kristin Powell             | Unity of Walnut Creek                         |
| Beverly Price              | St. Paul's Episcopal Church                   |
| Camilla Rand               | Contra Costa County Community Services Bureau |
| Winston Rhodes             | City of Pinole                                |
| Father Robert Rien         | St. Ignatius of Antioch Catholic Church       |
| Hector Rojas               | City of Pittsburg                             |
| Rev. Eric Sherlock         | Danville Congregational Church                |
| Laura Simpson              | City of Concord                               |
| The Rev. Dr. Deborah White | Grace Episcopal Church                        |
| Colleen Wilson             | St. John's Episcopal Church                   |
|                            | City of Hercules                              |
|                            | City of Oakley                                |
|                            | City of Walnut Creek                          |
|                            | Concord Childcare Center                      |
|                            | Liberty Union School District                 |
|                            | St. John Vianney Catholic Church              |

## Additional City Data

City staff from the following cities offered some sources for additional information regarding land-use projects proposed or in development, as well as how to access their general plans.

| City          | List of Projects in the Pipeline   | General Plan References of Child Care   |
|---------------|--|---|
| Brentwood     | <a href="http://www.brentwoodca.gov/gov/cd/planning/current.asp">www.brentwoodca.gov/gov/cd/planning/current.asp</a>   | The general commercial designation allows for concentrations of a variety of mixed use and service type businesses, including day care centers.<br><a href="http://www.brentwoodca.gov/civicax/filebank/blobdload.aspx?BlobID=26394">www.brentwoodca.gov/civicax/filebank/blobdload.aspx?BlobID=26394</a>                           |
| Clayton       | Email Community Development Director, Mindy Gentry at <a href="mailto:mgency@ci.clayton.ca.us">mgency@ci.clayton.ca.us</a> to request.   |   |
| Concord       | Email Planning Manager Laura Simpson at <a href="mailto:Laura.Simpson@cityofconcord.org">Laura.Simpson@cityofconcord.org</a>   |   |
| El Cerrito    |  | <a href="http://www.el-cerrito.org/718/General-Plan">www.el-cerrito.org/718/General-Plan</a>  |
| Lafayette     | <a href="http://www.lovelafayette.org/?splash=http%3a%2f%2flafayette.icitywork.com%2f&amp;___isexternal=true">www.lovelafayette.org/?splash=http%3a%2f%2flafayette.icitywork.com%2f&amp;___isexternal=true</a> |   |
| Oakley        | <a href="http://www.oakleyinfo.com">www.oakleyinfo.com</a>   | Encouraged in all residential zones and specifically mentioned in the Growth Management Element. <a href="http://www.ci.oakley.ca.us/wp-content/uploads/2015/07/2016-Update-Complete_2-2-16.pdf">www.ci.oakley.ca.us/wp-content/uploads/2015/07/2016-Update-Complete_2-2-16.pdf</a>   |
| Pittsburg     | <a href="https://app.smartsheet.com/b/publish?EQBCT=0af19f7941c94a9f8407285ae7e06827">https://app.smartsheet.com/b/publish?EQBCT=0af19f7941c94a9f8407285ae7e06827</a>  |   |
| Pleasant Hill | Interactive Planning Projects Map on City Website  | <a href="http://www.ci.pleasant-hill.ca.us/DocumentCenter/Home/View/314">www.ci.pleasant-hill.ca.us/DocumentCenter/Home/View/314</a>  |
| Walnut Creek  | <a href="http://www.walnut-creek.org/home/showdocument?id=4970">www.walnut-creek.org/home/showdocument?id=4970</a>   | WC General Plan 2025 - Chapter 4, page 4-3: "Single-family residential units, churches, schools, parks, public/semi-public buildings, accessory uses, and day-care facilities are permitted in all residential land use districts provided they meet the requirements of the underlying zone and applicable general plan policies." |

## APPENDIX A:

# NEEDS ASSESSMENT TABLES BY CITY AND COUNTY

**Contra Costa County Child Care Needs Assessment 2017**

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**Contra Costa County Child Care Needs Assessment 2017**

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Source: Brion Economics, Inc.

**Table 1**  
**Summary of Demographics by City and Age: 2017 to 2027**  
**Contra Costa County Child Care Needs Assessment 2017**

| City/Area                           | Antioch      |              | Brentwood    |              | Clayton     |             | Concord      |              | Danville     |              |
|-------------------------------------|--------------|--------------|--------------|--------------|-------------|-------------|--------------|--------------|--------------|--------------|
|                                     | 2017         | 2027         | 2017         | 2027         | 2017        | 2027        | 2017         | 2027         | 2017         | 2027         |
| <b>Population</b>                   | 108,720      | 114,320      | 54,380       | 56,560       | 11,300      | 11,600      | 133,320      | 148,000      | 45,580       | 46,880       |
| Percent of Total County             | 9.7%         | 9.6%         | 4.9%         | 4.7%         | 1.0%        | 1.0%        | 11.9%        | 12.4%        | 4.1%         | 3.9%         |
| Net Change '17 to '27               |              | 5,600        |              | 2,180        |             | 300         |              | 14,680       |              | 1,300        |
| Percent Change '17 to '27           |              | 5%           |              | 4%           |             | 3%          |              | 11%          |              | 3%           |
| <b>Population by Age</b>            |              |              |              |              |             |             |              |              |              |              |
| 0-35 Months                         | 4,534        | 4,767        | 2,105        | 2,190        | 262         | 269         | 5,436        | 6,034        | 1,170        | 1,203        |
| 3-4 years                           | 3,602        | 3,788        | 1,929        | 2,006        | 261         | 268         | 4,077        | 4,526        | 1,193        | 1,227        |
| 5-9 years                           | 7,798        | 8,199        | 4,569        | 4,752        | 743         | 763         | 7,720        | 8,570        | 3,345        | 3,440        |
| 10-12 years                         | <u>5,245</u> | <u>5,515</u> | <u>3,103</u> | <u>3,228</u> | <u>540</u>  | <u>555</u>  | <u>4,767</u> | <u>5,292</u> | <u>2,330</u> | <u>2,396</u> |
| Total Children 0-12 years           | 21,179       | 22,269       | 11,706       | 12,175       | 1,806       | 1,854       | 22,000       | 24,422       | 8,037        | 8,267        |
| Percent of Total County             | 10.8%        | 10.7%        | 6.0%         | 5.8%         | 0.9%        | 0.9%        | 11.3%        | 11.7%        | 4.1%         | 4.0%         |
| Net Change '17 to '27               |              | 1,091        |              | 469          |             | 48          |              | 2,422        |              | 229          |
| <b>Percent of Population by Age</b> |              |              |              |              |             |             |              |              |              |              |
| 0-35 Months                         | 4.2%         | 4.2%         | 3.9%         | 3.9%         | 2.3%        | 2.3%        | 4.1%         | 4.1%         | 2.6%         | 2.6%         |
| 3-4 years                           | 3.3%         | 3.3%         | 3.5%         | 3.5%         | 2.3%        | 2.3%        | 3.1%         | 3.1%         | 2.6%         | 2.6%         |
| 5-9 years                           | 7.2%         | 7.2%         | 8.4%         | 8.4%         | 6.6%        | 6.6%        | 5.8%         | 5.8%         | 7.3%         | 7.3%         |
| 10-12 years                         | <u>4.8%</u>  | <u>4.8%</u>  | <u>5.7%</u>  | <u>5.7%</u>  | <u>4.8%</u> | <u>4.8%</u> | <u>3.6%</u>  | <u>3.6%</u>  | <u>5.1%</u>  | <u>5.1%</u>  |
| Total Children 0-12 years           | 19.5%        | 19.5%        | 21.5%        | 21.5%        | 16.0%       | 16.0%       | 16.5%        | 16.5%        | 17.6%        | 17.6%        |

*Note county total does not equal sum of cities/areas due to rounding.*

Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months.

Sources: ABAG Projections '13; Brion Economics, Inc.

Continued



**Table 1**  
**Summary of Demographics by City and Age: 2017 to 2027**  
**Contra Costa County Child Care Needs Assessment 2017**

Continued

| City/Area                           | El Cerrito  |             | Hercules     |              | Lafayette    |              | Martinez     |              | Moraga      |             |
|-------------------------------------|-------------|-------------|--------------|--------------|--------------|--------------|--------------|--------------|-------------|-------------|
|                                     | 2017        | 2027        | 2017         | 2027         | 2017         | 2027         | 2017         | 2027         | 2017        | 2027        |
| <b>Population</b>                   | 30,760      | 31,920      | 28,420       | 32,380       | 26,420       | 27,480       | 44,380       | 45,760       | 16,860      | 17,600      |
| Percent of Total County             | 2.7%        | 2.7%        | 2.5%         | 2.7%         | 2.4%         | 2.3%         | 4.0%         | 3.8%         | 1.5%        | 1.5%        |
| Net Change '17 to '27               |             | 1,160       |              | 3,960        |              | 1,060        |              | 1,380        |             | 740         |
| Percent Change '17 to '27           |             | 4%          |              | 14%          |              | 4%           |              | 3%           |             | 4%          |
| <b>Population by Age</b>            |             |             |              |              |              |              |              |              |             |             |
| 0-35 Months                         | 1,036       | 1,075       | 976          | 1,112        | 704          | 733          | 1,334        | 1,376        | 285         | 298         |
| 3-4 years                           | 792         | 822         | 745          | 849          | 690          | 717          | 1,010        | 1,041        | 348         | 363         |
| 5-9 years                           | 1,516       | 1,573       | 1,653        | 1,883        | 1,806        | 1,878        | 2,284        | 2,355        | 947         | 988         |
| 10-12 years                         | <u>750</u>  | <u>778</u>  | <u>1,048</u> | <u>1,194</u> | <u>1,191</u> | <u>1,239</u> | <u>1,442</u> | <u>1,487</u> | <u>663</u>  | <u>692</u>  |
| Total Children 0-12 years           | 4,094       | 4,248       | 4,421        | 5,037        | 4,391        | 4,567        | 6,070        | 6,259        | 2,243       | 2,342       |
| Percent of Total County             | 2.1%        | 2.0%        | 2.3%         | 2.4%         | 2.2%         | 2.2%         | 3.1%         | 3.0%         | 1.1%        | 1.1%        |
| Net Change '17 to '27               |             | 154         |              | 616          |              | 176          |              | 189          |             | 98          |
| <b>Percent of Population by Age</b> |             |             |              |              |              |              |              |              |             |             |
| 0-35 Months                         | 3.4%        | 3.4%        | 3.4%         | 3.4%         | 2.7%         | 2.7%         | 3.0%         | 3.0%         | 1.7%        | 1.7%        |
| 3-4 years                           | 2.6%        | 2.6%        | 2.6%         | 2.6%         | 2.6%         | 2.6%         | 2.3%         | 2.3%         | 2.1%        | 2.1%        |
| 5-9 years                           | 4.9%        | 4.9%        | 5.8%         | 5.8%         | 6.8%         | 6.8%         | 5.1%         | 5.1%         | 5.6%        | 5.6%        |
| 10-12 years                         | <u>2.4%</u> | <u>2.4%</u> | <u>3.7%</u>  | <u>3.7%</u>  | <u>4.5%</u>  | <u>4.5%</u>  | <u>3.2%</u>  | <u>3.2%</u>  | <u>3.9%</u> | <u>3.9%</u> |
| Total Children 0-12 years           | 13.3%       | 13.3%       | 15.6%        | 15.6%        | 16.6%        | 16.6%        | 13.7%        | 13.7%        | 13.3%       | 13.3%       |

*Note county total does not equal sum of cities/areas due to rounding.*

Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months.

Sources: ABAG Projections '13; Brion Economics, Inc.

Continued

**Table 1**  
**Summary of Demographics by City and Age: 2017 to 2027**  
**Contra Costa County Child Care Needs Assessment 2017**

Continued

| City/Area                           | Oakley       |              | Orinda      |             | Pinole       |              | Pittsburg    |              | Pleasant Hill |              |
|-------------------------------------|--------------|--------------|-------------|-------------|--------------|--------------|--------------|--------------|---------------|--------------|
|                                     | 2017         | 2027         | 2017        | 2027        | 2017         | 2027         | 2017         | 2027         | 2017          | 2027         |
| <b>Population</b>                   | 41,780       | 46,940       | 18,320      | 18,960      | 31,040       | 32,360       | 93,000       | 101,580      | 41,440        | 42,800       |
| Percent of Total County             | 3.7%         | 3.9%         | 1.6%        | 1.6%        | 2.8%         | 2.7%         | 8.3%         | 8.5%         | 3.7%          | 3.6%         |
| Net Change '17 to '27               |              | 5,160        |             | 640         |              | 1,320        |              | 8,580        |               | 1,360        |
| Percent Change '17 to '27           |              | 12%          |             | 3%          |              | 4%           |              | 9%           |               | 3%           |
| <b>Population by Age</b>            |              |              |             |             |              |              |              |              |               |              |
| 0-35 Months                         | 1,876        | 2,108        | 439         | 455         | 955          | 996          | 4,265        | 4,658        | 1,313         | 1,356        |
| 3-4 years                           | 1,444        | 1,623        | 458         | 474         | 700          | 729          | 3,421        | 3,737        | 1,069         | 1,104        |
| 5-9 years                           | 3,251        | 3,653        | 1,321       | 1,367       | 1,501        | 1,565        | 6,776        | 7,401        | 2,150         | 2,220        |
| 10-12 years                         | <u>2,253</u> | <u>2,532</u> | <u>909</u>  | <u>940</u>  | <u>1,092</u> | <u>1,138</u> | <u>4,088</u> | <u>4,465</u> | <u>1,289</u>  | <u>1,331</u> |
| Total Children 0-12 years           | 8,825        | 9,915        | 3,127       | 3,236       | 4,248        | 4,429        | 18,550       | 20,262       | 5,820         | 6,011        |
| Percent of Total County             | 4.5%         | 4.8%         | 1.6%        | 1.6%        | 2.2%         | 2.1%         | 9.5%         | 9.7%         | 3.0%          | 2.9%         |
| Net Change '17 to '27               |              | 1,090        |             | 109         |              | 181          |              | 1,711        |               | 191          |
| <b>Percent of Population by Age</b> |              |              |             |             |              |              |              |              |               |              |
| 0-35 Months                         | 4.5%         | 4.5%         | 2.4%        | 2.4%        | 3.1%         | 3.1%         | 4.6%         | 4.6%         | 3.2%          | 3.2%         |
| 3-4 years                           | 3.5%         | 3.5%         | 2.5%        | 2.5%        | 2.3%         | 2.3%         | 3.7%         | 3.7%         | 2.6%          | 2.6%         |
| 5-9 years                           | 7.8%         | 7.8%         | 7.2%        | 7.2%        | 4.8%         | 4.8%         | 7.3%         | 7.3%         | 5.2%          | 5.2%         |
| 10-12 years                         | <u>5.4%</u>  | <u>5.4%</u>  | <u>5.0%</u> | <u>5.0%</u> | <u>3.5%</u>  | <u>3.5%</u>  | <u>4.4%</u>  | <u>4.4%</u>  | <u>3.1%</u>   | <u>3.1%</u>  |
| Total Children 0-12 years           | 21.1%        | 21.1%        | 17.1%       | 17.1%       | 13.7%        | 13.7%        | 19.9%        | 19.9%        | 14.0%         | 14.0%        |

*Note county total does not equal sum of cities/areas due to rounding.*

Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months.

Sources: ABAG Projections '13; Brion Economics, Inc.

Continued

**Table 1**  
**Summary of Demographics by City and Age: 2017 to 2027**  
**Contra Costa County Child Care Needs Assessment 2017**

Continued

| City/Area                           | Richmond     |              | San Pablo    |              | San Ramon    |              | Walnut Creek |              | Alamo-Blackhawk (3) |              |
|-------------------------------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|---------------------|--------------|
|                                     | 2017         | 2027         | 2017         | 2027         | 2017         | 2027         | 2017         | 2027         | 2017                | 2027         |
| <b>Population</b>                   | 132,100      | 142,360      | 35,440       | 37,600       | 77,500       | 81,660       | 87,240       | 92,680       | 25,600              | 26,020       |
| Percent of Total County             | 11.8%        | 11.9%        | 3.2%         | 3.2%         | 6.9%         | 6.8%         | 7.8%         | 7.8%         | 2.3%                | 2.2%         |
| Net Change '17 to '27               |              | 10,260       |              | 2,160        |              | 4,160        |              | 5,440        |                     | 420          |
| Percent Change '17 to '27           |              | 8%           |              | 6%           |              | 5%           |              | 6%           |                     | 2%           |
| <b>Population by Age</b>            |              |              |              |              |              |              |              |              |                     |              |
| 0-35 Months                         | 5,857        | 6,312        | 1,736        | 1,841        | 3,393        | 3,575        | 2,065        | 2,194        | 517                 | 525          |
| 3-4 years                           | 4,390        | 4,731        | 1,339        | 1,421        | 3,108        | 3,275        | 1,712        | 1,818        | 547                 | 555          |
| 5-9 years                           | 8,547        | 9,211        | 2,678        | 2,841        | 6,784        | 7,148        | 3,792        | 4,028        | 1,645               | 1,672        |
| 10-12 years                         | <u>5,298</u> | <u>5,709</u> | <u>1,581</u> | <u>1,677</u> | <u>3,886</u> | <u>4,095</u> | <u>2,474</u> | <u>2,628</u> | <u>1,364</u>        | <u>1,387</u> |
| Total Children 0-12 years           | 24,092       | 25,964       | 7,334        | 7,781        | 17,172       | 18,094       | 10,042       | 10,668       | 4,073               | 4,139        |
| Percent of Total County             | 12.3%        | 12.5%        | 3.8%         | 3.7%         | 8.8%         | 8.7%         | 5.1%         | 5.1%         | 2.1%                | 2.0%         |
| Net Change '17 to '27               |              | 1,871        |              | 447          |              | 922          |              | 626          |                     | 67           |
| <b>Percent of Population by Age</b> |              |              |              |              |              |              |              |              |                     |              |
| 0-35 Months                         | 4.4%         | 4.4%         | 4.9%         | 4.9%         | 4.4%         | 4.4%         | 2.4%         | 2.4%         | 2.0%                | 2.0%         |
| 3-4 years                           | 3.3%         | 3.3%         | 3.8%         | 3.8%         | 4.0%         | 4.0%         | 2.0%         | 2.0%         | 2.1%                | 2.1%         |
| 5-9 years                           | 6.5%         | 6.5%         | 7.6%         | 7.6%         | 8.8%         | 8.8%         | 4.3%         | 4.3%         | 6.4%                | 6.4%         |
| 10-12 years                         | <u>4.0%</u>  | <u>4.0%</u>  | <u>4.5%</u>  | <u>4.5%</u>  | <u>5.0%</u>  | <u>5.0%</u>  | <u>2.8%</u>  | <u>2.8%</u>  | <u>5.3%</u>         | <u>5.3%</u>  |
| Total Children 0-12 years           | 18.2%        | 18.2%        | 20.7%        | 20.7%        | 22.2%        | 22.2%        | 11.5%        | 11.5%        | 15.9%               | 15.9%        |

*Note county total does not equal sum of cities/areas due to rounding.*

Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months.

Sources: ABAG Projections '13; Brion Economics, Inc.

Continued

**Table 1**  
**Summary of Demographics by City and Age: 2017 to 2027**  
**Contra Costa County Child Care Needs Assessment 2017**

Continued

| City/Area                           | Rodeo-Crockett (4) |             | Rural East C.C. County (5) |              | Remainder   |             | Total Contra Costa County |               |
|-------------------------------------|--------------------|-------------|----------------------------|--------------|-------------|-------------|---------------------------|---------------|
|                                     | 2017               | 2027        | 2017                       | 2027         | 2017        | 2027        | 2017                      | 2027          |
| <b>Population</b>                   | 12,160             | 12,480      | 20,320                     | 20,880       | 4,380       | 4,500       | 1,120,460                 | 1,193,320     |
| Percent of Total County             | 1.1%               | 1.0%        | 1.8%                       | 1.7%         | 0.4%        | 0.4%        | 100.0%                    | 100.0%        |
| Net Change '17 to '27               |                    | 320         |                            | 560          |             | 120         |                           | 72,860        |
| Percent Change '17 to '27           |                    | 3%          |                            | 3%           |             | 3%          |                           | 7%            |
| <b>Population by Age</b>            |                    |             |                            |              |             |             |                           |               |
| 0-35 Months                         | 386                | 396         | 692                        | 711          | 139         | 143         | 41,476                    | 0             |
| 3-4 years                           | 321                | 330         | 586                        | 602          | 116         | 119         | 33,857                    | 36,125        |
| 5-9 years                           | 681                | 699         | 1,459                      | 1,499        | 245         | 252         | 73,209                    | 77,958        |
| 10-12 years                         | <u>462</u>         | <u>474</u>  | <u>1,034</u>               | <u>1,062</u> | <u>166</u>  | <u>171</u>  | <u>46,977</u>             | <u>49,987</u> |
| Total Children 0-12 years           | 1,850              | 1,899       | 3,770                      | 3,874        | 666         | 685         | 195,517                   | 208,397       |
| Percent of Total County             | 0.9%               | 0.9%        | 1.9%                       | 1.9%         | 0.3%        | 0.3%        | 100.0%                    | 100.0%        |
| Net Change '17 to '27               |                    | 49          |                            | 104          |             | 18          |                           | 12,880        |
| <b>Percent of Population by Age</b> |                    |             |                            |              |             |             |                           |               |
| 0-35 Months                         | 3.2%               | 3.2%        | 3.4%                       | 3.4%         | 3.2%        | 3.2%        | 3.7%                      | 3.7%          |
| 3-4 years                           | 2.6%               | 2.6%        | 2.9%                       | 2.9%         | 2.6%        | 2.6%        | 3.0%                      | 3.0%          |
| 5-9 years                           | 5.6%               | 5.6%        | 7.2%                       | 7.2%         | 5.6%        | 5.6%        | 6.5%                      | 6.5%          |
| 10-12 years                         | <u>3.8%</u>        | <u>3.8%</u> | <u>5.1%</u>                | <u>5.1%</u>  | <u>3.8%</u> | <u>3.8%</u> | <u>4.2%</u>               | <u>4.2%</u>   |
| Total Children 0-12 years           | 15.2%              | 15.2%       | 18.6%                      | 18.6%        | 15.2%       | 15.2%       | 17.4%                     | 17.4%         |

*Note county total does not equal sum of cities/areas due to rounding.*

Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months.

Sources: ABAG Projections '13; Brion Economics, Inc.

**Table 2**  
**Existing Child Care Demand and Supply in 2017**  
**for City of Antioch**  
**Contra Costa County Child Care Needs Assessment 2017**

| ANTIOCH-EXISTING                                       | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 4,534                        | 3,602               | 8,136                  | 13,043                | 21,179               |
| Avg. Labor Force Participation Rates                   | (2)              | 66%                          | 66%                 | 66%                    | 69%                   | 68%                  |
| Children With Working Parents                          |                  | 3,010                        | 2,392               | 5,402                  | 9,062                 | 14,464               |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 72%                    | 50%                   | 58%                  |
| Children Needing Licensed Care                         |                  | 1,505                        | 2,392               | 3,897                  | 4,531                 | 8,428                |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>1,505</b>                 | <b>2,392</b>        | <b>3,897</b>           | <b>4,531</b>          | <b>8,428</b>         |
| % Distribution of Total Demand for Spaces by Age Group |                  | 18%                          | 28%                 | 46%                    | 54%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 33%                          | 66%                 | 48%                    | 35%                   | 40%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 71                           | 142                 | 284                    | 142                   | 568                  |
| Licensed for 14  |                  | 39                           | 117                 | 351                    | 195                   | 546                  |
| Available Child Care Center Spaces                     |                  | 16                           | 95                  | 1,088                  | 182                   | 1,270                |
| License Exempt   |                  | 7                            | -                   | 24                     | 600                   | 624                  |
| <b>Total Number of Providers</b>                       |                  | <b>133</b>                   |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>354</b>                   | <b>1,535</b>        | <b>1,889</b>           | <b>1,119</b>          | <b>3,008</b>         |
| Percent Distribution                                   |                  | 12%                          | 51%                 | 63%                    | 37%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(1,151)</b>               | <b>(857)</b>        | <b>(2,008)</b>         | <b>(3,412)</b>        | <b>(5,420)</b>       |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 24%                          | 64%                 | 48%                    | 25%                   | 36%                  |

(1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months. Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.

(2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.

(3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.

(4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.

(5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCHs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCHs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.

Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.

**Table 3**  
**Estimated Future Child Care Demand in 2027**  
**for City of Antioch**  
**Contra Costa County Child Care Needs Assessment 2017**

| ANTIOCH-FUTURE                              | Child Care Demand and Supply by Age Categories |                        |                           |                          |                         |
|---|--|------------------------|---------------------------|--------------------------|-------------------------|
|   | Birth to 2 or<br>Infant                        | 3 to 4 or<br>Preschool | Subtotal,<br>0 to 4 Years | 5 to 12 or<br>School Age | Total,<br>0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(1,151)</b>                                 | <b>(857)</b>           | <b>(2,008)</b>            | <b>(3,412)</b>           | <b>(5,420)</b>          |
| <b>Future Demand For Child Care at 2027</b> |  |                        |                           |                          |                         |
| Estimated Children at 2027                  | 4,767  | 3,788                  | 8,555                     | 13,715                   | 22,269                  |
| Labor Force Participation Rates             | 66%  | 66%                    | 66%                       | 69%                      | 68%                     |
| Children with Working Parents               | 3,165  | 2,515                  | 5,680                     | 9,529                    | 15,209                  |
| Percent of Children Needing Licensed Care   | 50%  | 100%                   | 72%                       | 50%                      | 58%                     |
| Total Demand at 2027                        | 1,583  | 2,515                  | 4,098                     | 4,764                    | 8,862                   |
| Current Child Care Supply at 2017           | 354  | 1,535                  | 1,889                     | 1,119                    | 3,008                   |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(1,229)</b>                                 | <b>(980)</b>           | <b>(2,209)</b>            | <b>(3,645)</b>           | <b>(5,854)</b>          |
| Percentage of Demand Met by Existing Spaces | 22%  | 61%                    | 46%                       | 23%                      | 34%                     |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>(1)</b>                                     | <b>78</b>              | <b>123</b>                | <b>201</b>               | <b>434</b>              |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County. A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.

**Table 4**  
**Existing Child Care Demand and Supply in 2017**  
**for City of Brentwood**  
**Contra Costa County Child Care Needs Assessment 2017**

| BRENTWOOD-EXISTING                                     | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 2,105                        | 1,929               | 4,034                  | 7,672                 | 11,706               |
| Avg. Labor Force Participation Rates                   | (2)              | 65%                          | 65%                 | 65%                    | 68%                   | 67%                  |
| Children With Working Parents                          |                  | 1,374                        | 1,258               | 2,632                  | 5,237                 | 7,869                |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 74%                    | 50%                   | 58%                  |
| Children Needing Licensed Care                         |                  | 687                          | 1,258               | 1,945                  | 2,618                 | 4,564                |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>687</b>                   | <b>1,258</b>        | <b>1,945</b>           | <b>2,618</b>          | <b>4,564</b>         |
| % Distribution of Total Demand for Spaces by Age Group |                  | 15%                          | 28%                 | 43%                    | 57%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 33%                          | 65%                 | 48%                    | 34%                   | 39%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 38                           | 76                  | 152                    | 76                    | 304                  |
| Licensed for 14  |                  | 17                           | 51                  | 102                    | 85                    | 238                  |
| Available Child Care Center Spaces                     |                  | 27                           | 113                 | 929                    | 545                   | 1,587                |
| License Exempt   |                  | 1                            | -                   | -                      | 100                   | 100                  |
| <b>Total Number of Providers</b>                       |                  | <b>83</b>                    |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>240</b>                   | <b>1,183</b>        | <b>1,423</b>           | <b>806</b>            | <b>2,229</b>         |
| Percent Distribution                                   |                  | 11%                          | 53%                 | 64%                    | 36%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(447)</b>                 | <b>(75)</b>         | <b>(522)</b>           | <b>(1,812)</b>        | <b>(2,335)</b>       |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 35%                          | 94%                 | 73%                    | 31%                   | 49%                  |

- (1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months. Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.
- (2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.
- (3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.
- (4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.
- (5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCs, 2 spaces are infant, 4 are preschool, and 2 are school. For large FCCs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.
- Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.

**Table 5**  
**Estimated Future Child Care Demand in 2027**  
**for City of Brentwood**  
**Contra Costa County Child Care Needs Assessment 2017**

| BRENTWOOD-FUTURE                            | Child Care Demand and Supply by Age Categories |                        |                           |                          |                         |
|---|--|------------------------|---------------------------|--------------------------|-------------------------|
|   | Birth to 2 or<br>Infant                        | 3 to 4 or<br>Preschool | Subtotal,<br>0 to 4 Years | 5 to 12 or<br>School Age | Total,<br>0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(447)</b>                                   | <b>(75)</b>            | <b>(522)</b>              | <b>(1,812)</b>           | <b>(2,335)</b>          |
| <b>Future Demand For Child Care at 2027</b> |  |                        |                           |                          |                         |
| Estimated Children at 2027                  | 2,190  | 2,006                  | 4,195                     | 7,980                    | 12,175                  |
| Labor Force Participation Rates             | 65%  | 65%                    | 65%                       | 68%                      | 67%                     |
| Children with Working Parents               | 1,429  | 1,309                  | 2,738                     | 5,447                    | 8,185                   |
| Percent of Children Needing Licensed Care   | 50%  | 100%                   | 74%                       | 50%                      | 58%                     |
| Total Demand at 2027                        | 714  | 1,309                  | 2,023                     | 2,723                    | 4,747                   |
| Current Child Care Supply at 2017           | 240  | 1,183                  | 1,423                     | 806                      | 2,229                   |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(474)</b>                                   | <b>(126)</b>           | <b>(600)</b>              | <b>(1,917)</b>           | <b>(2,518)</b>          |
| Percentage of Demand Met by Existing Spaces | 34%  | 90%                    | 70%                       | 30%                      | 47%                     |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>28</b>                                      | <b>50</b>              | <b>78</b>                 | <b>105</b>               | <b>183</b>              |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County. A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.



**Table 6**  
**Existing Child Care Demand and Supply in 2017**  
**for City of Clayton**  
**Contra Costa County Child Care Needs Assessment 2017**

| CLAYTON-EXISTING                                       | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 262                          | 261                 | 523                    | 1,283                 | 1,806                |
| Avg. Labor Force Participation Rates                   | (2)              | 79%                          | 79%                 | 79%                    | 65%                   | 69%                  |
| Children With Working Parents                          |                  | 208                          | 207                 | 414                    | 835                   | 1,249                |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 75%                    | 50%                   | 58%                  |
| Children Needing Licensed Care                         |                  | 104                          | 207                 | 311                    | 417                   | 728                  |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>104</b>                   | <b>207</b>          | <b>311</b>             | <b>417</b>            | <b>728</b>           |
| % Distribution of Total Demand for Spaces by Age Group |                  | 14%                          | 28%                 | 43%                    | 57%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 40%                          | 79%                 | 59%                    | 33%                   | 40%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 1                            | 2                   | 4                      | 2                     | 8                    |
| Licensed for 14  |                  | 3                            | 9                   | 18                     | 15                    | 42                   |
| Available Child Care Center Spaces                     |                  | 3                            | 16                  | 208                    | 203                   | 427                  |
| License Exempt   |                  | 0                            | -                   | -                      | -                     | -                    |
| <b>Total Number of Providers</b>                       |                  | <b>7</b>                     |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>27</b>                    | <b>230</b>          | <b>257</b>             | <b>220</b>            | <b>477</b>           |
| Percent Distribution                                   |                  | 6%                           | 48%                 | 54%                    | 46%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(77)</b>                  | <b>23</b>           | <b>(54)</b>            | <b>(197)</b>          | <b>(251)</b>         |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 26%                          | 111%                | 83%                    | 53%                   | 66%                  |

(1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months.

Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.

(2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.

(3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.

(4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.

(5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCHs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCHs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.

Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.

**Table 7**  
**Estimated Future Child Care Demand in 2027**  
**for City of Clayton**  
**Contra Costa County Child Care Needs Assessment 2017**

| CLAYTON-FUTURE                              | Child Care Demand and Supply by Age Categories |                        |                           |                          |                         |
|---|--|------------------------|---------------------------|--------------------------|-------------------------|
|   | Birth to 2 or<br>Infant                        | 3 to 4 or<br>Preschool | Subtotal,<br>0 to 4 Years | 5 to 12 or<br>School Age | Total,<br>0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(77)</b>                                    | <b>23</b>              | <b>(54)</b>               | <b>(197)</b>             | <b>(251)</b>            |
| <b>Future Demand For Child Care at 2027</b> |  |                        |                           |                          |                         |
| Estimated Children at 2027                  | 269  | 268                    | 537                       | 1,317                    | 1,854                   |
| Labor Force Participation Rates             | 79%  | 79%                    | 79%                       | 65%                      | 69%                     |
| Children with Working Parents               | 213  | 212                    | 425                       | 857                      | 1,283                   |
| Percent of Children Needing Licensed Care   | 50%  | 100%                   | 75%                       | 50%                      | 58%                     |
| Total Demand at 2027                        | 107  | 212                    | 319                       | 429                      | 747                     |
| Current Child Care Supply at 2017           | 27   | 230                    | 257                       | 220                      | 477                     |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(80)</b>                                    | <b>18</b>              | <b>(62)</b>               | <b>(209)</b>             | <b>(270)</b>            |
| Percentage of Demand Met by Existing Spaces | 25%  | 108%                   | 81%                       | 51%                      | 64%                     |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>(1)</b>                                     | <b>3</b>               | <b>5</b>                  | <b>11</b>                | <b>19</b>               |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County. A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.

**Table 8**  
**Existing Child Care Demand and Supply in 2017**  
**for City of Concord**  
**Contra Costa County Child Care Needs Assessment 2017**

| CONCORD-EXISTING                                       | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 5,436                        | 4,077               | 9,513                  | 12,487                | 22,000               |
| Avg. Labor Force Participation Rates                   | (2)              | 61%                          | 61%                 | 61%                    | 67%                   | 64%                  |
| Children With Working Parents                          |                  | 3,315                        | 2,486               | 5,800                  | 8,371                 | 14,171               |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 71%                    | 50%                   | 59%                  |
| Children Needing Licensed Care                         |                  | 1,657                        | 2,486               | 4,143                  | 4,185                 | 8,329                |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>1,657</b>                 | <b>2,486</b>        | <b>4,143</b>           | <b>4,185</b>          | <b>8,329</b>         |
| % Distribution of Total Demand for Spaces by Age Group |                  | 20%                          | 30%                 | 50%                    | 50%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 30%                          | 61%                 | 44%                    | 34%                   | 38%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 80                           | 160                 | 480                    | 160                   | 640                  |
| Licensed for 14  |                  | 34                           | 103                 | 309                    | 165                   | 474                  |
| Available Child Care Center Spaces                     |                  | 43                           | 167                 | 2,079                  | 1,126                 | 3,205                |
| License Exempt   |                  | <u>13</u>                    | -                   | 304                    | 1,062                 | 1,366                |
| <b>Total Number of Providers</b>                       |                  | <b>170</b>                   |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>430</b>                   | <b>2,742</b>        | <b>3,172</b>           | <b>2,513</b>          | <b>5,685</b>         |
| Percent Distribution                                   |                  | 8%                           | 48%                 | 56%                    | 44%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(1,227)</b>               | <b>256</b>          | <b>(971)</b>           | <b>(1,672)</b>        | <b>(2,644)</b>       |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 26%                          | 110%                | 77%                    | 60%                   | 68%                  |

(1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months. Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.

(2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.

(3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.

(4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.

(5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCHs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCHs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.

Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.

**Table 9**  
**Estimated Future Child Care Demand in 2027**  
**for City of Concord**  
**Contra Costa County Child Care Needs Assessment 2017**

| CONCORD-FUTURE                              | Child Care Demand and Supply by Age Categories |                     |                        |                       |                      |
|---|--|---------------------|------------------------|-----------------------|----------------------|
|   | Birth to 2 or Infant                           | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(1,227)</b>                                 | <b>256</b>          | <b>(971)</b>           | <b>(1,672)</b>        | <b>(2,644)</b>       |
| <b>Future Demand For Child Care at 2027</b> |  |                     |                        |                       |                      |
| Estimated Children at 2027                  | 6,034  | 4,526               | 10,560                 | 13,862                | 24,422               |
| Labor Force Participation Rates             | 61%  | 61%                 | 61%                    | 67%                   | 64%                  |
| Children with Working Parents               | 3,680  | 2,760               | 6,439                  | 9,293                 | 15,732               |
| Percent of Children Needing Licensed Care   | 50%  | 100%                | 71%                    | 50%                   | 59%                  |
| Total Demand at 2027                        | 1,840  | 2,760               | 4,599                  | 4,646                 | 9,246                |
| Current Child Care Supply at 2017           | 430  | 2,742               | 3,172                  | 2,513                 | 5,685                |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(1,410)</b>                                 | <b>(18)</b>         | <b>(1,427)</b>         | <b>(2,133)</b>        | <b>(3,561)</b>       |
| Percentage of Demand Met by Existing Spaces | 23%  | 99%                 | 69%                    | 54%                   | 61%                  |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>(1)</b>                                     | <b>182</b>          | <b>274</b>             | <b>4,646</b>          | <b>5,102</b>         |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County.

A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.

**Table 10**  
**Existing Child Care Demand and Supply in 2017**  
**for Town of Danville**  
**Contra Costa County Child Care Needs Assessment 2017**

| DANVILLE-EXISTING                                      | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 1,170                        | 1,193               | 2,363                  | 5,675                 | 8,037                |
| Avg. Labor Force Participation Rates                   | (2)              | 59%                          | 59%                 | 59%                    | 58%                   | 59%                  |
| Children With Working Parents                          |                  | 696                          | 710                 | 1,406                  | 3,315                 | 4,720                |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 75%                    | 50%                   | 58%                  |
| Children Needing Licensed Care                         |                  | 348                          | 710                 | 1,058                  | 1,657                 | 2,715                |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>348</b>                   | <b>710</b>          | <b>1,058</b>           | <b>1,657</b>          | <b>2,715</b>         |
| % Distribution of Total Demand for Spaces by Age Group |                  | 13%                          | 26%                 | 39%                    | 61%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 30%                          | 59%                 | 45%                    | 29%                   | 34%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 11                           | 22                  | 44                     | 22                    | 88                   |
| Licensed for 14  |                  | 3                            | 9                   | 18                     | 15                    | 42                   |
| Available Child Care Center Spaces                     |                  | 21                           | 36                  | 674                    | 809                   | 1,519                |
| License Exempt   |                  | 0                            | -                   | -                      | -                     | -                    |
| <b>Total Number of Providers</b>                       |                  | <b>35</b>                    |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>67</b>                    | <b>736</b>          | <b>803</b>             | <b>846</b>            | <b>1,649</b>         |
| Percent Distribution                                   |                  | 4%                           | 45%                 | 49%                    | 51%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(281)</b>                 | <b>26</b>           | <b>(255)</b>           | <b>(811)</b>          | <b>(1,066)</b>       |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 19%                          | 104%                | 76%                    | 51%                   | 61%                  |

(1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months. Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.

(2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.

(3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.

(4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.

(5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.

Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.

**Table 11**  
**Estimated Future Child Care Demand in 2027**  
**for Town of Danville**  
**Contra Costa County Child Care Needs Assessment 2017**

| DANVILLE-FUTURE                             | Child Care Demand and Supply by Age Categories |                        |                           |                          |                         |
|---|--|------------------------|---------------------------|--------------------------|-------------------------|
|   | Birth to 2 or<br>Infant                        | 3 to 4 or<br>Preschool | Subtotal,<br>0 to 4 Years | 5 to 12 or<br>School Age | Total,<br>0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(281)</b>                                   | <b>26</b>              | <b>(255)</b>              | <b>(811)</b>             | <b>(1,066)</b>          |
| <b>Future Demand For Child Care at 2027</b> |  |                        |                           |                          |                         |
| Estimated Children at 2027                  | 1,203  | 1,227                  | 2,430                     | 5,836                    | 8,267                   |
| Labor Force Participation Rates             | 59%  | 59%                    | 59%                       | 58%                      | 59%                     |
| Children with Working Parents               | 716  | 730                    | 1,446                     | 3,409                    | 4,855                   |
| Percent of Children Needing Licensed Care   | 50%  | 100%                   | 75%                       | 50%                      | 58%                     |
| Total Demand at 2027                        | 358  | 730                    | 1,088                     | 1,705                    | 2,793                   |
| Current Child Care Supply at 2017           | 67   | 736                    | 803                       | 846                      | 1,649                   |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(291)</b>                                   | <b>6</b>               | <b>(285)</b>              | <b>(859)</b>             | <b>(1,144)</b>          |
| Percentage of Demand Met by Existing Spaces | 19%  | 101%                   | 74%                       | 50%                      | 59%                     |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>(1)</b>                                     | <b>10</b>              | <b>20</b>                 | <b>1,705</b>             | <b>1,735</b>            |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County. A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.

**Table 12**  
**Existing Child Care Demand and Supply in 2017**  
**for City of El Cerrito**  
**Contra Costa County Child Care Needs Assessment 2017**

| EL CERRITO-EXISTING                                    | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 1,036                        | 792                 | 1,828                  | 2,266                 | 4,094                |
| Avg. Labor Force Participation Rates                   | (2)              | 64%                          | 64%                 | 64%                    | 74%                   | 69%                  |
| Children With Working Parents                          |                  | 659                          | 504                 | 1,163                  | 1,680                 | 2,842                |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 72%                    | 50%                   | 59%                  |
| Children Needing Licensed Care                         |                  | 329                          | 504                 | 833                    | 840                   | 1,673                |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>329</b>                   | <b>504</b>          | <b>833</b>             | <b>840</b>            | <b>1,673</b>         |
| % Distribution of Total Demand for Spaces by Age Group |                  | 20%                          | 30%                 | 50%                    | 50%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 32%                          | 64%                 | 46%                    | 37%                   | 41%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 10                           | 20                  | 60                     | 20                    | 80                   |
| Licensed for 14  |                  | 23                           | 71                  | 213                    | 105                   | 318                  |
| Available Child Care Center Spaces                     |                  | 16                           | 17                  | 685                    | 114                   | 799                  |
| License Exempt   |                  | <u>1</u>                     | -                   | -                      | 100                   | 100                  |
| <b>Total Number of Providers</b>                       |                  | <b>50</b>                    |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>108</b>                   | <b>850</b>          | <b>958</b>             | <b>339</b>            | <b>1,297</b>         |
| Percent Distribution                                   |                  | 8%                           | 66%                 | 74%                    | 26%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(221)</b>                 | <b>346</b>          | <b>125</b>             | <b>(501)</b>          | <b>(376)</b>         |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 33%                          | 169%                | 115%                   | 40%                   | 78%                  |

(1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months. Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.

(2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.

(3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.

(4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.

(5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCHs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCHs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.

Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.

**Table 13**  
**Estimated Future Child Care Demand in 2027**  
**for City of El Cerrito**  
**Contra Costa County Child Care Needs Assessment 2017**

| EL CERRITO-FUTURE                           | Child Care Demand and Supply by Age Categories |                     |                        |                       |                      |
|---|--|---------------------|------------------------|-----------------------|----------------------|
|   | Birth to 2 or Infant                           | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(221)</b>                                   | <b>346</b>          | <b>125</b>             | <b>(501)</b>          | <b>(376)</b>         |
| <b>Future Demand For Child Care at 2027</b> |  |                     |                        |                       |                      |
| Estimated Children at 2027                  | 1,075  | 822                 | 1,897                  | 2,351                 | 4,248                |
| Labor Force Participation Rates             | 64%  | 64%                 | 64%                    | 74%                   | 69%                  |
| Children with Working Parents               | 684  | 523                 | 1,206                  | 1,743                 | 2,949                |
| Percent of Children Needing Licensed Care   | 50%  | 100%                | 72%                    | 50%                   | 59%                  |
| Total Demand at 2027                        | 342  | 523                 | 865                    | 871                   | 1,736                |
| Current Child Care Supply at 2017           | 108  | 850                 | 958                    | 339                   | 1,297                |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(234)</b>                                   | <b>327</b>          | <b>93</b>              | <b>(532)</b>          | <b>(439)</b>         |
| Percentage of Demand Met by Existing Spaces | 32%  | 163%                | 111%                   | 39%                   | 75%                  |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>(1)</b>                                     | <b>12</b>           | <b>19</b>              | <b>871</b>            | <b>903</b>           |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County. A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.



**Table 14**  
**Existing Child Care Demand and Supply in 2017**  
**for City of Hercules**  
**Contra Costa County Child Care Needs Assessment 2017**

| HERCULES-EXISTING                                      | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 976                          | 745                 | 1,721                  | 2,700                 | 4,421                |
| Avg. Labor Force Participation Rates                   | (2)              | 78%                          | 78%                 | 78%                    | 76%                   | 77%                  |
| Children With Working Parents                          |                  | 764                          | 584                 | 1,348                  | 2,060                 | 3,408                |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 72%                    | 50%                   | 59%                  |
| Children Needing Licensed Care                         |                  | 382                          | 584                 | 966                    | 1,030                 | 1,996                |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>382</b>                   | <b>584</b>          | <b>966</b>             | <b>1,030</b>          | <b>1,996</b>         |
| % Distribution of Total Demand for Spaces by Age Group |                  | 19%                          | 29%                 | 48%                    | 52%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 39%                          | 78%                 | 56%                    | 38%                   | 45%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 6                            | 12                  | 24                     | 12                    | 48                   |
| Licensed for 14  |                  | 14                           | 43                  | 86                     | 65                    | 194                  |
| Available Child Care Center Spaces                     |                  | 4                            | -                   | 55                     | 288                   | 343                  |
| License Exempt   |                  | <u>1</u>                     | -                   | -                      | 64                    | 64                   |
| <b>Total Number of Providers</b>                       |                  | <b>25</b>                    |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>55</b>                    | <b>165</b>          | <b>220</b>             | <b>429</b>            | <b>649</b>           |
| Percent Distribution                                   |                  | 8%                           | 25%                 | 34%                    | 66%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(327)</b>                 | <b>(419)</b>        | <b>(746)</b>           | <b>(601)</b>          | <b>(1,347)</b>       |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 14%                          | 28%                 | 23%                    | 42%                   | 33%                  |

(1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months. Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.

(2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.

(3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.

(4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.

(5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCHs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCHs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.

Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.

**Table 15**  
**Estimated Future Child Care Demand in 2027**  
**for City of Hercules**  
**Contra Costa County Child Care Needs Assessment 2017**

| HERCULES-FUTURE                             | Child Care Demand and Supply by Age Categories |                     |                        |                       |                      |
|---|--|---------------------|------------------------|-----------------------|----------------------|
|   | Birth to 2 or Infant                           | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(327)</b>                                   | <b>(419)</b>        | <b>(746)</b>           | <b>(601)</b>          | <b>(1,347)</b>       |
| <b>Future Demand For Child Care at 2027</b> |  |                     |                        |                       |                      |
| Estimated Children at 2027                  | 1,112  | 849                 | 1,961                  | 3,077                 | 5,037                |
| Labor Force Participation Rates             | 78%  | 78%                 | 78%                    | 76%                   | 77%                  |
| Children with Working Parents               | 871  | 665                 | 1,536                  | 2,347                 | 3,883                |
| Percent of Children Needing Licensed Care   | 50%  | 100%                | 72%                    | 50%                   | 59%                  |
| Total Demand at 2027                        | 435  | 665                 | 1,101                  | 1,173                 | 2,274                |
| Current Child Care Supply at 2017           | 55   | 165                 | 220                    | 429                   | 649                  |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(380)</b>                                   | <b>(500)</b>        | <b>(881)</b>           | <b>(744)</b>          | <b>(1,625)</b>       |
| Percentage of Demand Met by Existing Spaces | 13%  | 25%                 | 20%                    | 37%                   | 29%                  |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>(1)</b>                                     | <b>53</b>           | <b>81</b>              | <b>135</b>            | <b>1,308</b>         |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County. A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.

**Table 16**  
**Existing Child Care Demand and Supply in 2017**  
**for City of Lafayette**  
**Contra Costa County Child Care Needs Assessment 2017**

| LAFAYETTE-EXISTING                                     | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 704                          | 690                 | 1,394                  | 2,997                 | 4,391                |
| Avg. Labor Force Participation Rates                   | (2)              | 54%                          | 54%                 | 54%                    | 64%                   | 61%                  |
| Children With Working Parents                          |                  | 383                          | 375                 | 758                    | 1,907                 | 2,665                |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 75%                    | 50%                   | 57%                  |
| Children Needing Licensed Care                         |                  | 191                          | 375                 | 566                    | 953                   | 1,520                |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>191</b>                   | <b>375</b>          | <b>566</b>             | <b>953</b>            | <b>1,520</b>         |
| % Distribution of Total Demand for Spaces by Age Group |                  | 13%                          | 25%                 | 37%                    | 63%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 27%                          | 54%                 | 41%                    | 32%                   | 35%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 1                            | 2                   | 4                      | 2                     | 8                    |
| Licensed for 14  |                  | 2                            | 6                   | 12                     | 10                    | 28                   |
| Available Child Care Center Spaces                     |                  | 14                           | 78                  | 730                    | 312                   | 1,042                |
| License Exempt   |                  | 1                            | 6                   | 12                     | -                     | 12                   |
| <b>Total Number of Providers</b>                       |                  | <b>18</b>                    |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>92</b>                    | <b>674</b>          | <b>766</b>             | <b>324</b>            | <b>1,090</b>         |
| Percent Distribution                                   |                  | 8%                           | 62%                 | 70%                    | 30%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(99)</b>                  | <b>299</b>          | <b>200</b>             | <b>(629)</b>          | <b>(430)</b>         |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 48%                          | 180%                | 135%                   | 34%                   | 72%                  |

(1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months.

Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.

(2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.

(3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.

(4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.

(5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCHs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCHs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.

Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.

**Table 17**  
**Estimated Future Child Care Demand in 2027**  
**for City of Lafayette**  
**Contra Costa County Child Care Needs Assessment 2017**

| LAFAYETTE-FUTURE                            | Child Care Demand and Supply by Age Categories |                     |                        |                       |                      |
|---|--|---------------------|------------------------|-----------------------|----------------------|
|   | Birth to 2 or Infant                           | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(99)</b>                                    | <b>299</b>          | <b>200</b>             | <b>(629)</b>          | <b>(430)</b>         |
| <b>Future Demand For Child Care at 2027</b> |  |                     |                        |                       |                      |
| Estimated Children at 2027                  | 733  | 717                 | 1,450                  | 3,117                 | 4,567                |
| Labor Force Participation Rates             | 54%  | 54%                 | 54%                    | 64%                   | 61%                  |
| Children with Working Parents               | 398  | 390                 | 788                    | 1,984                 | 2,772                |
| Percent of Children Needing Licensed Care   | 50%  | 100%                | 75%                    | 50%                   | 57%                  |
| Total Demand at 2027                        | 199  | 390                 | 589                    | 992                   | 1,581                |
| Current Child Care Supply at 2017           | 92   | 674                 | 766                    | 324                   | 1,090                |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(107)</b>                                   | <b>284</b>          | <b>177</b>             | <b>(668)</b>          | <b>(491)</b>         |
| Percentage of Demand Met by Existing Spaces | 46%  | 173%                | 130%                   | 33%                   | 69%                  |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>(1)</b>                                     | <b>8</b>            | <b>15</b>              | <b>38</b>             | <b>61</b>            |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County. A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.

**Table 18**  
**Existing Child Care Demand and Supply in 2017**  
**for City of Martinez**  
**Contra Costa County Child Care Needs Assessment 2017**

| MARTINEZ-EXISTING                                      | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 1,334                        | 1,010               | 2,344                  | 3,726                 | 6,070                |
| Avg. Labor Force Participation Rates                   | (2)              | 66%                          | 66%                 | 66%                    | 71%                   | 69%                  |
| Children With Working Parents                          |                  | 887                          | 671                 | 1,558                  | 2,656                 | 4,214                |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 72%                    | 50%                   | 58%                  |
| Children Needing Licensed Care                         |                  | 443                          | 671                 | 1,114                  | 1,328                 | 2,443                |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>443</b>                   | <b>671</b>          | <b>1,114</b>           | <b>1,328</b>          | <b>2,443</b>         |
| % Distribution of Total Demand for Spaces by Age Group |                  | 18%                          | 27%                 | 46%                    | 54%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 33%                          | 66%                 | 48%                    | 36%                   | 40%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 13                           | 26                  | 78                     | 26                    | 104                  |
| Licensed for 14  |                  | 6                            | 18                  | 54                     | 30                    | 84                   |
| Available Child Care Center Spaces                     |                  | 16                           | 133                 | 702                    | 578                   | 1,280                |
| License Exempt   |                  | 3                            | -                   | 24                     | 150                   | 174                  |
| <b>Total Number of Providers</b>                       |                  | <b>38</b>                    |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>177</b>                   | <b>681</b>          | <b>858</b>             | <b>784</b>            | <b>1,642</b>         |
| Percent Distribution                                   |                  | 11%                          | 41%                 | 52%                    | 48%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(266)</b>                 | <b>10</b>           | <b>(256)</b>           | <b>(544)</b>          | <b>(801)</b>         |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 40%                          | 101%                | 77%                    | 59%                   | 67%                  |

- (1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months. Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.
- (2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.
- (3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.
- (4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.
- (5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCHs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCHs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.
- Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.

**Table 19**  
**Estimated Future Child Care Demand in 2027**  
**for City of Martinez**  
**Contra Costa County Child Care Needs Assessment 2017**

| MARTINEZ-FUTURE                             | Child Care Demand and Supply by Age Categories |                     |                        |                       |                      |
|---|--|---------------------|------------------------|-----------------------|----------------------|
|   | Birth to 2 or Infant                           | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(266)</b>                                   | <b>10</b>           | <b>(256)</b>           | <b>(544)</b>          | <b>(801)</b>         |
| <b>Future Demand For Child Care at 2027</b> |  |                     |                        |                       |                      |
| Estimated Children at 2027                  | 1,376  | 1,041               | 2,417                  | 3,842                 | 6,259                |
| Labor Force Participation Rates             | 66%  | 66%                 | 66%                    | 71%                   | 69%                  |
| Children with Working Parents               | 914  | 692                 | 1,606                  | 2,739                 | 4,345                |
| Percent of Children Needing Licensed Care   | 50%  | 100%                | 72%                    | 50%                   | 58%                  |
| Total Demand at 2027                        | 457  | 692                 | 1,149                  | 1,369                 | 2,519                |
| Current Child Care Supply at 2017           | 177  | 681                 | 858                    | 784                   | 1,642                |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(280)</b>                                   | <b>(11)</b>         | <b>(291)</b>           | <b>(585)</b>          | <b>(877)</b>         |
| Percentage of Demand Met by Existing Spaces | 39%  | 98%                 | 75%                    | 57%                   | 65%                  |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>(1)</b>                                     | <b>14</b>           | <b>21</b>              | <b>35</b>             | <b>76</b>            |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County.

A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.

**Table 20**  
**Existing Child Care Demand and Supply in 2017**  
**for Town of Moraga**  
**Contra Costa County Child Care Needs Assessment 2017**

| MORAGA-EXISTING  | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 285                          | 348                 | 633                    | 1,610                 | 2,243                |
| Avg. Labor Force Participation Rates                   | (2)              | 63%                          | 63%                 | 63%                    | 62%                   | 62%                  |
| Children With Working Parents                          |                  | 180                          | 220                 | 400                    | 998                   | 1,398                |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 77%                    | 50%                   | 58%                  |
| Children Needing Licensed Care                         |                  | 90                           | 220                 | 310                    | 499                   | 809                  |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>90</b>                    | <b>220</b>          | <b>310</b>             | <b>499</b>            | <b>809</b>           |
| % Distribution of Total Demand for Spaces by Age Group |                  | 11%                          | 27%                 | 38%                    | 62%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 32%                          | 63%                 | 49%                    | 31%                   | 36%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 4                            | 8                   | 16                     | 8                     | 32                   |
| Licensed for 14  |                  | 0                            | -                   | -                      | -                     | -                    |
| Available Child Care Center Spaces                     |                  | 7                            | 24                  | 566                    | -                     | 566                  |
| License Exempt   |                  | <u>2</u>                     | -                   | -                      | 200                   | 200                  |
| <b>Total Number of Providers</b>                       |                  | <b>13</b>                    |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>32</b>                    | <b>558</b>          | <b>590</b>             | <b>208</b>            | <b>798</b>           |
| Percent Distribution                                   |                  | 4%                           | 70%                 | 74%                    | 26%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(58)</b>                  | <b>338</b>          | <b>280</b>             | <b>(291)</b>          | <b>(11)</b>          |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 36%                          | 254%                | 190%                   | 42%                   | 99%                  |

(1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months. Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.  
 (2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.  
 (3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.  
 (4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.  
 (5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCHs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCHs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.  
 Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.

**Table 21**  
**Estimated Future Child Care Demand in 2027**  
**for Town of Moraga**  
**Contra Costa County Child Care Needs Assessment 2017**

| MORAGA-FUTURE                               | Child Care Demand and Supply by Age Categories |                     |                        |                       |                      |
|---|--|---------------------|------------------------|-----------------------|----------------------|
|   | Birth to 2 or Infant                           | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(58)</b>                                    | <b>338</b>          | <b>280</b>             | <b>(291)</b>          | <b>(11)</b>          |
| <b>Future Demand For Child Care at 2027</b> |  |                     |                        |                       |                      |
| Estimated Children at 2027                  | 298  | 363                 | 661                    | 1,681                 | 2,342                |
| Labor Force Participation Rates             | 63%  | 63%                 | 63%                    | 62%                   | 62%                  |
| Children with Working Parents               | 188  | 229                 | 417                    | 1,042                 | 1,460                |
| Percent of Children Needing Licensed Care   | 50%  | 100%                | 77%                    | 50%                   | 58%                  |
| Total Demand at 2027                        | 94   | 229                 | 323                    | 521                   | 844                  |
| Current Child Care Supply at 2017           | 32   | 558                 | 590                    | 208                   | 798                  |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(62)</b>                                    | <b>329</b>          | <b>267</b>             | <b>(313)</b>          | <b>(46)</b>          |
| Percentage of Demand Met by Existing Spaces | 34%  | 243%                | 182%                   | 40%                   | 94%                  |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>(1)</b>                                     | <b>4</b>            | <b>10</b>              | <b>22</b>             | <b>36</b>            |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County.

A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.



**Table 22**  
**Existing Child Care Demand and Supply in 2017**  
**for City of Oakley**

**Contra Costa County Child Care Needs Assessment 2017**

| OAKLEY-EXISTING  | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 1,876                        | 1,444               | 3,320                  | 5,505                 | 8,825                |
| Avg. Labor Force Participation Rates                   | (2)              | 72%                          | 72%                 | 72%                    | 77%                   | 75%                  |
| Children With Working Parents                          |                  | 1,343                        | 1,034               | 2,377                  | 4,237                 | 6,614                |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 72%                    | 50%                   | 58%                  |
| Children Needing Licensed Care                         |                  | 672                          | 1,034               | 1,706                  | 2,118                 | 3,824                |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>672</b>                   | <b>1,034</b>        | <b>1,706</b>           | <b>2,118</b>          | <b>3,824</b>         |
| % Distribution of Total Demand for Spaces by Age Group |                  | 18%                          | 27%                 | 45%                    | 55%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 36%                          | 72%                 | 51%                    | 38%                   | 43%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 37                           | 74                  | 148                    | 74                    | 296                  |
| Licensed for 14  |                  | 17                           | 51                  | 102                    | 85                    | 238                  |
| Available Child Care Center Spaces                     |                  | 7                            | -                   | 277                    | 26                    | 303                  |
| License Exempt   |                  | 1                            | -                   | -                      | 100                   | 100                  |
| <b>Total Number of Providers</b>                       |                  | <b>62</b>                    |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>125</b>                   | <b>527</b>          | <b>652</b>             | <b>285</b>            | <b>937</b>           |
| Percent Distribution                                   |                  | 13%                          | 56%                 | 70%                    | 30%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(547)</b>                 | <b>(507)</b>        | <b>(1,054)</b>         | <b>(1,833)</b>        | <b>(2,887)</b>       |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 19%                          | 51%                 | 38%                    | 13%                   | 25%                  |

(1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months. Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.

(2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.

(3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.

(4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.

(5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCHs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCHs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.

Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.

**Table 23**  
**Estimated Future Child Care Demand in 2027**  
**for City of Oakley**  
**Contra Costa County Child Care Needs Assessment 2017**

| OAKLEY-FUTURE                               | Child Care Demand and Supply by Age Categories |                     |                        |                       |                      |
|---|--|---------------------|------------------------|-----------------------|----------------------|
|   | Birth to 2 or Infant                           | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(547)</b>                                   | <b>(507)</b>        | <b>(1,054)</b>         | <b>(1,833)</b>        | <b>(2,887)</b>       |
| <b>Future Demand For Child Care at 2027</b> |  |                     |                        |                       |                      |
| Estimated Children at 2027                  | 2,108  | 1,623               | 3,730                  | 6,184                 | 9,915                |
| Labor Force Participation Rates             | 72%  | 72%                 | 72%                    | 77%                   | 75%                  |
| Children with Working Parents               | 1,509  | 1,162               | 2,671                  | 4,760                 | 7,431                |
| Percent of Children Needing Licensed Care   | 50%  | 100%                | 72%                    | 50%                   | 58%                  |
| Total Demand at 2027                        | 755  | 1,162               | 1,916                  | 2,380                 | 4,296                |
| Current Child Care Supply at 2017           | 125  | 527                 | 652                    | 285                   | 937                  |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(630)</b>                                   | <b>(635)</b>        | <b>(1,264)</b>         | <b>(2,095)</b>        | <b>(3,359)</b>       |
| Percentage of Demand Met by Existing Spaces | 17%  | 45%                 | 34%                    | 12%                   | 22%                  |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>(1)</b>                                     | <b>83</b>           | <b>128</b>             | <b>211</b>            | <b>2,591</b>         |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County. A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.

**Table 24**  
**Existing Child Care Demand and Supply in 2017**  
**for City of Orinda**

**Contra Costa County Child Care Needs Assessment 2017**

| ORINDA-EXISTING  | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 439                          | 458                 | 897                    | 2,229                 | 3,127                |
| Avg. Labor Force Participation Rates                   | (2)              | 44%                          | 44%                 | 44%                    | 53%                   | 50%                  |
| Children With Working Parents                          |                  | 194                          | 203                 | 397                    | 1,178                 | 1,575                |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 76%                    | 50%                   | 56%                  |
| Children Needing Licensed Care                         |                  | 97                           | 203                 | 300                    | 589                   | 889                  |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>97</b>                    | <b>203</b>          | <b>300</b>             | <b>589</b>            | <b>889</b>           |
| % Distribution of Total Demand for Spaces by Age Group |                  | 11%                          | 23%                 | 34%                    | 66%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 22%                          | 44%                 | 33%                    | 26%                   | 28%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 4                            | 8                   | 16                     | 8                     | 32                   |
| Licensed for 14  |                  | 2                            | 6                   | 12                     | 10                    | 28                   |
| Available Child Care Center Spaces                     |                  | 6                            | -                   | 315                    | -                     | 315                  |
| License Exempt   |                  | <u>1</u>                     | -                   | -                      | 120                   | 120                  |
| <b>Total Number of Providers</b>                       |                  | <b>13</b>                    |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  |                              | <b>14</b>           | <b>343</b>             | <b>357</b>            | <b>495</b>           |
| Percent Distribution                                   |                  |                              | 3%                  | 69%                    | 72%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  |                              | <b>(83)</b>         | <b>140</b>             | <b>57</b>             | <b>(394)</b>         |
| Percentage of Demand Met by Existing Facilities/Spaces |                  |                              | 14%                 | 169%                   | 119%                  | 56%                  |

(1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months. Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.

(2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.

(3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.

(4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.

(5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCHs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCHs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.

Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.

**Table 25**  
**Estimated Future Child Care Demand in 2027**  
**for City of Orinda**  
**Contra Costa County Child Care Needs Assessment 2017**

| ORINDA-FUTURE                               | Child Care Demand and Supply by Age Categories |                     |                        |                       |                      |
|---|--|---------------------|------------------------|-----------------------|----------------------|
|   | Birth to 2 or Infant                           | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(83)</b>                                    | <b>140</b>          | <b>57</b>              | <b>(451)</b>          | <b>(394)</b>         |
| <b>Future Demand For Child Care at 2027</b> |  |                     |                        |                       |                      |
| Estimated Children at 2027                  | 455  | 474                 | 929                    | 2,307                 | 3,236                |
| Labor Force Participation Rates             | 44%  | 44%                 | 44%                    | 53%                   | 50%                  |
| Children with Working Parents               | 201  | 210                 | 411                    | 1,219                 | 1,630                |
| Percent of Children Needing Licensed Care   | 50%  | 100%                | 76%                    | 50%                   | 56%                  |
| Total Demand at 2027                        | 101  | 210                 | 311                    | 609                   | 920                  |
| Current Child Care Supply at 2017           | 14   | 343                 | 357                    | 138                   | 495                  |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(87)</b>                                    | <b>133</b>          | <b>46</b>              | <b>(471)</b>          | <b>(425)</b>         |
| Percentage of Demand Met by Existing Spaces | 14%  | 163%                | 115%                   | 23%                   | 54%                  |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>(1)</b>                                     | <b>3</b>            | <b>7</b>               | <b>21</b>             | <b>31</b>            |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County. A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.

**Table 26**  
**Existing Child Care Demand and Supply in 2017**  
**for City of Pinole**

**Contra Costa County Child Care Needs Assessment 2017**

| PINOLE-EXISTING  | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 955                          | 700                 | 1,655                  | 2,593                 | 4,248                |
| Avg. Labor Force Participation Rates                   | (2)              | 62%                          | 62%                 | 62%                    | 74%                   | 69%                  |
| Children With Working Parents                          |                  | 590                          | 432                 | 1,022                  | 1,926                 | 2,947                |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 71%                    | 50%                   | 57%                  |
| Children Needing Licensed Care                         |                  | 295                          | 432                 | 727                    | 963                   | 1,689                |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>295</b>                   | <b>432</b>          | <b>727</b>             | <b>963</b>            | <b>1,689</b>         |
| % Distribution of Total Demand for Spaces by Age Group |                  | 17%                          | 26%                 | 43%                    | 57%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 31%                          | 62%                 | 44%                    | 37%                   | 40%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 5                            | 10                  | 20                     | 10                    | 40                   |
| Licensed for 14  |                  | 8                            | 24                  | 48                     | 40                    | 112                  |
| Available Child Care Center Spaces                     |                  | 6                            | -                   | 119                    | 153                   | 272                  |
| License Exempt   |                  | 0                            | -                   | -                      | -                     | -                    |
| <b>Total Number of Providers</b>                       |                  | <b>19</b>                    |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>34</b>                    | <b>187</b>          | <b>221</b>             | <b>203</b>            | <b>424</b>           |
| Percent Distribution                                   |                  | 8%                           | 44%                 | 52%                    | 48%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(261)</b>                 | <b>(245)</b>        | <b>(506)</b>           | <b>(760)</b>          | <b>(1,265)</b>       |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 12%                          | 43%                 | 30%                    | 21%                   | 25%                  |

- (1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months. Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.
- (2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.
- (3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.
- (4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.
- (5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCHs, 2 spaces are infant, 4 are preschool, and 2 are school age.

For large FCCHs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.

**Table 27**  
**Estimated Future Child Care Demand in 2027**  
**for City of Pinole**  
**Contra Costa County Child Care Needs Assessment 2017**

| PINOLE-FUTURE                               | Child Care Demand and Supply by Age Categories |                     |                        |                       |                      |
|---|--|---------------------|------------------------|-----------------------|----------------------|
|   | Birth to 2 or Infant                           | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(261)</b>                                   | <b>(245)</b>        | <b>(506)</b>           | <b>(760)</b>          | <b>(1,265)</b>       |
| <b>Future Demand For Child Care at 2027</b> |  |                     |                        |                       |                      |
| Estimated Children at 2027                  | 996  | 729                 | 1,725                  | 2,704                 | 4,429                |
| Labor Force Participation Rates             | 62%  | 62%                 | 62%                    | 74%                   | 69%                  |
| Children with Working Parents               | 615  | 450                 | 1,065                  | 2,007                 | 3,072                |
| Percent of Children Needing Licensed Care   | 50%  | 100%                | 71%                    | 50%                   | 57%                  |
| Total Demand at 2027                        | 307  | 450                 | 758                    | 1,004                 | 1,761                |
| Current Child Care Supply at 2017           | 34   | 187                 | 221                    | 203                   | 424                  |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(273)</b>                                   | <b>(263)</b>        | <b>(537)</b>           | <b>(801)</b>          | <b>(1,337)</b>       |
| Percentage of Demand Met by Existing Spaces | 11%  | 42%                 | 29%                    | 20%                   | 24%                  |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>(1)</b>                                     | <b>13</b>           | <b>18</b>              | <b>41</b>             | <b>72</b>            |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County.

A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.

**Table 28**  
**Existing Child Care Demand and Supply in 2017**  
**for City of Pittsburg**  
**Contra Costa County Child Care Needs Assessment 2017**

| PITTSBURG-EXISTING                                     | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 4,265                        | 3,421               | 7,686                  | 10,864                | 18,550               |
| Avg. Labor Force Participation Rates                   | (2)              | 66%                          | 66%                 | 66%                    | 65%                   | 65%                  |
| Children With Working Parents                          |                  | 2,827                        | 2,268               | 5,094                  | 7,028                 | 12,123               |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 72%                    | 50%                   | 59%                  |
| Children Needing Licensed Care                         |                  | 1,413                        | 2,268               | 3,681                  | 3,514                 | 7,195                |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>1,413</b>                 | <b>2,268</b>        | <b>3,681</b>           | <b>3,514</b>          | <b>7,195</b>         |
| % Distribution of Total Demand for Spaces by Age Group |                  | 20%                          | 32%                 | 51%                    | 49%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 33%                          | 66%                 | 48%                    | 32%                   | 39%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 42                           | 84                  | 168                    | 84                    | 336                  |
| Licensed for 14  |                  | 21                           | 63                  | 126                    | 105                   | 294                  |
| Available Child Care Center Spaces                     |                  | 26                           | 92                  | 1,599                  | 198                   | 1,889                |
| License Exempt   |                  | <u>12</u>                    | -                   | -                      | 1,179                 | 1,179                |
| <b>Total Number of Providers</b>                       |                  | <b>101</b>                   |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>239</b>                   | <b>1,893</b>        | <b>2,132</b>           | <b>1,566</b>          | <b>3,698</b>         |
| Percent Distribution                                   |                  | 6%                           | 51%                 | 58%                    | 42%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(1,174)</b>               | <b>(375)</b>        | <b>(1,549)</b>         | <b>(1,948)</b>        | <b>(3,497)</b>       |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 17%                          | 83%                 | 58%                    | 45%                   | 51%                  |

(1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months. Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.

(2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.

(3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.

(4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.

(5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.

Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.

**Table 29**  
**Estimated Future Child Care Demand in 2027**  
**for City of Pittsburgh**  
**Contra Costa County Child Care Needs Assessment 2017**

| PITTSBURG-FUTURE                            | Child Care Demand and Supply by Age Categories |                     |                        |                       |                      |
|---|--|---------------------|------------------------|-----------------------|----------------------|
|   | Birth to 2 or Infant                           | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(1,174)</b>                                 | <b>(375)</b>        | <b>(1,549)</b>         | <b>(1,948)</b>        | <b>(3,497)</b>       |
| <b>Future Demand For Child Care at 2027</b> |  |                     |                        |                       |                      |
| Estimated Children at 2027                  | 4,658  | 3,737               | 8,395                  | 11,867                | 20,262               |
| Labor Force Participation Rates             | 66%  | 66%                 | 66%                    | 65%                   | 65%                  |
| Children with Working Parents               | 3,087  | 2,477               | 5,564                  | 7,677                 | 13,241               |
| Percent of Children Needing Licensed Care   | 50%  | 100%                | 72%                    | 50%                   | 59%                  |
| Total Demand at 2027                        | 1,544  | 2,477               | 4,021                  | 3,838                 | 7,859                |
| Current Child Care Supply at 2017           | 239  | 1,893               | 2,132                  | 1,566                 | 3,698                |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(1,305)</b>                                 | <b>(584)</b>        | <b>(1,889)</b>         | <b>(2,272)</b>        | <b>(4,161)</b>       |
| Percentage of Demand Met by Existing Spaces | 15%  | 76%                 | 53%                    | 41%                   | 47%                  |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>(1)</b>                                     | <b>130</b>          | <b>209</b>             | <b>340</b>            | <b>664</b>           |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County. A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.



**Table 30**  
**Existing Child Care Demand and Supply in 2017**  
**for City of Pleasant Hill**  
**Contra Costa County Child Care Needs Assessment 2017**

| PLEASANT HILL-EXISTING                                 | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 1,313                        | 1,069               | 2,382                  | 3,438                 | 5,820                |
| Avg. Labor Force Participation Rates                   | (2)              | 61%                          | 61%                 | 61%                    | 66%                   | 64%                  |
| Children With Working Parents                          |                  | 804                          | 654                 | 1,458                  | 2,257                 | 3,715                |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 72%                    | 50%                   | 59%                  |
| Children Needing Licensed Care                         |                  | 402                          | 654                 | 1,056                  | 1,128                 | 2,185                |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>402</b>                   | <b>654</b>          | <b>1,056</b>           | <b>1,128</b>          | <b>2,185</b>         |
| % Distribution of Total Demand for Spaces by Age Group |                  | 18%                          | 30%                 | 48%                    | 52%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 31%                          | 61%                 | 44%                    | 33%                   | 38%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 20                           | 40                  | 80                     | 40                    | 160                  |
| Licensed for 14  |                  | 16                           | 48                  | 96                     | 80                    | 224                  |
| Available Child Care Center Spaces                     |                  | 18                           | 84                  | 612                    | 518                   | 1,214                |
| License Exempt   |                  | 3                            | -                   | -                      | 345                   | 345                  |
| <b>Total Number of Providers</b>                       |                  | <b>57</b>                    |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>172</b>                   | <b>788</b>          | <b>960</b>             | <b>983</b>            | <b>1,943</b>         |
| Percent Distribution                                   |                  | 9%                           | 41%                 | 49%                    | 51%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(230)</b>                 | <b>134</b>          | <b>(96)</b>            | <b>(145)</b>          | <b>(242)</b>         |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 43%                          | 120%                | 91%                    | 87%                   | 89%                  |

(1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months. Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.

(2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.

(3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.

(4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.

(5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCHs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCHs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.

Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.

**Table 31**  
**Estimated Future Child Care Demand in 2027**  
**for City of Pleasant Hill**  
**Contra Costa County Child Care Needs Assessment 2017**

| PLEASANT HILL-FUTURE                        | Child Care Demand and Supply by Age Categories |                     |                        |                       |                      |
|---|--|---------------------|------------------------|-----------------------|----------------------|
|   | Birth to 2 or Infant                           | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(230)</b>                                   | <b>134</b>          | <b>(96)</b>            | <b>(145)</b>          | <b>(242)</b>         |
| <b>Future Demand For Child Care at 2027</b> |  |                     |                        |                       |                      |
| Estimated Children at 2027                  | 1,356  | 1,104               | 2,460                  | 3,551                 | 6,011                |
| Labor Force Participation Rates             | 61%  | 61%                 | 61%                    | 66%                   | 64%                  |
| Children with Working Parents               | 830  | 676                 | 1,506                  | 2,331                 | 3,837                |
| Percent of Children Needing Licensed Care   | 50%  | 100%                | 72%                    | 50%                   | 59%                  |
| Total Demand at 2027                        | 415  | 676                 | 1,091                  | 1,165                 | 2,256                |
| Current Child Care Supply at 2017           | 172  | 788                 | 960                    | 983                   | 1,943                |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(243)</b>                                   | <b>112</b>          | <b>(131)</b>           | <b>(182)</b>          | <b>(313)</b>         |
| Percentage of Demand Met by Existing Spaces | 41%  | 117%                | 88%                    | 84%                   | 86%                  |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>(1)</b>                                     | <b>13</b>           | <b>21</b>              | <b>37</b>             | <b>72</b>            |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County.

A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.

**Table 32**  
**Existing Child Care Demand and Supply in 2017**  
**for City of Richmond**  
**Contra Costa County Child Care Needs Assessment 2017**

| RICHMOND-EXISTING                                      | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 5,857                        | 4,390               | 10,247                 | 13,845                | 24,092               |
| Avg. Labor Force Participation Rates                   | (2)              | 66%                          | 66%                 | 66%                    | 67%                   | 67%                  |
| Children With Working Parents                          |                  | 3,890                        | 2,915               | 6,805                  | 9,244                 | 16,049               |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 71%                    | 50%                   | 59%                  |
| Children Needing Licensed Care                         |                  | 1,945                        | 2,915               | 4,860                  | 4,622                 | 9,482                |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>1,945</b>                 | <b>2,915</b>        | <b>4,860</b>           | <b>4,622</b>          | <b>9,482</b>         |
| % Distribution of Total Demand for Spaces by Age Group |                  | 21%                          | 31%                 | 51%                    | 49%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 33%                          | 66%                 | 47%                    | 33%                   | 39%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 59                           | 118                 | 354                    | 118                   | 472                  |
| Licensed for 14  |                  | 52                           | 158                 | 474                    | 250                   | 724                  |
| Available Child Care Center Spaces                     |                  | 37                           | 331                 | 2,027                  | 248                   | 2,275                |
| License Exempt   |                  | <u>15</u>                    | -                   | -                      | 1,506                 | 1,506                |
| <b>Total Number of Providers</b>                       |                  | <b>163</b>                   |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>607</b>                   | <b>2,248</b>        | <b>2,855</b>           | <b>2,122</b>          | <b>4,977</b>         |
| Percent Distribution                                   |                  | 12%                          | 45%                 | 57%                    | 43%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(1,338)</b>               | <b>(667)</b>        | <b>(2,005)</b>         | <b>(2,500)</b>        | <b>(4,505)</b>       |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 31%                          | 77%                 | 59%                    | 46%                   | 52%                  |

(1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months.

Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.

(2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.

(3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.

(4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.

(5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCHs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCHs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.

Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.

**Table 33**  
**Estimated Future Child Care Demand in 2027**  
**for City of Richmond**  
**Contra Costa County Child Care Needs Assessment 2017**

| RICHMOND-FUTURE                             | Child Care Demand and Supply by Age Categories |                     |                        |                       |                      |
|---|--|---------------------|------------------------|-----------------------|----------------------|
|   | Birth to 2 or Infant                           | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(1,338)</b>                                 | <b>(667)</b>        | <b>(2,005)</b>         | <b>(2,500)</b>        | <b>(4,505)</b>       |
| <b>Future Demand For Child Care at 2027</b> |  |                     |                        |                       |                      |
| Estimated Children at 2027                  | 6,312  | 4,731               | 11,043                 | 14,921                | 25,964               |
| Labor Force Participation Rates             | 66%  | 66%                 | 66%                    | 67%                   | 67%                  |
| Children with Working Parents               | 4,192  | 3,142               | 7,334                  | 9,962                 | 17,295               |
| Percent of Children Needing Licensed Care   | 50%  | 100%                | 71%                    | 50%                   | 59%                  |
| Total Demand at 2027                        | 2,096  | 3,142               | 5,238                  | 4,981                 | 10,219               |
| Current Child Care Supply at 2017           | 607  | 2,248               | 2,855                  | 2,122                 | 4,977                |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(1,489)</b>                                 | <b>(894)</b>        | <b>(2,383)</b>         | <b>(2,859)</b>        | <b>(5,242)</b>       |
| Percentage of Demand Met by Existing Spaces | 29%  | 72%                 | 55%                    | 43%                   | 49%                  |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>(1)</b>                                     | <b>151</b>          | <b>377</b>             | <b>359</b>            | <b>736</b>           |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County.

A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.

**Table 34**  
**Existing Child Care Demand and Supply in 2017**  
**for City of San Pablo**  
**Contra Costa County Child Care Needs Assessment 2017**

| SAN PABLO-EXISTING                                     | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 1,736                        | 1,339               | 3,075                  | 4,259                 | 7,334                |
| Avg. Labor Force Participation Rates                   | (2)              | 63%                          | 63%                 | 63%                    | 68%                   | 66%                  |
| Children With Working Parents                          |                  | 1,100                        | 848                 | 1,948                  | 2,883                 | 4,831                |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 72%                    | 50%                   | 59%                  |
| Children Needing Licensed Care                         |                  | 550                          | 848                 | 1,398                  | 1,441                 | 2,840                |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>550</b>                   | <b>848</b>          | <b>1,398</b>           | <b>1,441</b>          | <b>2,840</b>         |
| % Distribution of Total Demand for Spaces by Age Group |                  | 19%                          | 30%                 | 49%                    | 51%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 32%                          | 63%                 | 45%                    | 34%                   | 39%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 12                           | 24                  | 72                     | 24                    | 96                   |
| Licensed for 14  |                  | 30                           | 60                  | 90                     | 50                    | 140                  |
| Available Child Care Center Spaces                     |                  | 11                           | 320                 | 399                    | 242                   | 641                  |
| License Exempt   |                  | 7                            | -                   | -                      | 705                   | 705                  |
| <b>Total Number of Providers</b>                       |                  | <b>60</b>                    |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>133</b>                   | <b>428</b>          | <b>561</b>             | <b>1,021</b>          | <b>1,582</b>         |
| Percent Distribution                                   |                  | 8%                           | 27%                 | 35%                    | 65%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(417)</b>                 | <b>(420)</b>        | <b>(837)</b>           | <b>(420)</b>          | <b>(1,258)</b>       |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 24%                          | 50%                 | 40%                    | 71%                   | 56%                  |

(1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months. Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.  
 (2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.  
 (3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.  
 (4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.  
 (5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCHs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCHs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.  
 Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.

**Table 35**  
**Estimated Future Child Care Demand in 2027**  
**for City of San Pablo**  
**Contra Costa County Child Care Needs Assessment 2017**

| SAN PABLO-FUTURE                            | Child Care Demand and Supply by Age Categories |                     |                        |                       |                      |
|---|--|---------------------|------------------------|-----------------------|----------------------|
|   | Birth to 2 or Infant                           | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(417)</b>                                   | <b>(420)</b>        | <b>(837)</b>           | <b>(420)</b>          | <b>(1,258)</b>       |
| <b>Future Demand For Child Care at 2027</b> |  |                     |                        |                       |                      |
| Estimated Children at 2027                  | 1,841  | 1,421               | 3,262                  | 4,519                 | 7,781                |
| Labor Force Participation Rates             | 63%  | 63%                 | 63%                    | 68%                   | 66%                  |
| Children with Working Parents               | 1,167  | 900                 | 2,067                  | 3,059                 | 5,126                |
| Percent of Children Needing Licensed Care   | 50%  | 100%                | 72%                    | 50%                   | 59%                  |
| Total Demand at 2027                        | 583  | 900                 | 1,484                  | 1,529                 | 3,013                |
| Current Child Care Supply at 2017           | 133  | 428                 | 561                    | 1,021                 | 1,582                |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(450)</b>                                   | <b>(472)</b>        | <b>(923)</b>           | <b>(508)</b>          | <b>(1,431)</b>       |
| Percentage of Demand Met by Existing Spaces | 23%  | 48%                 | 38%                    | 67%                   | 53%                  |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>(1)</b>                                     | <b>34</b>           | <b>85</b>              | <b>88</b>             | <b>173</b>           |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County. A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.

**Table 36**  
**Existing Child Care Demand and Supply in 2017**  
**for City of San Ramon**  
**Contra Costa County Child Care Needs Assessment 2017**

| SAN RAMON-EXISTING                                     | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 3,393                        | 3,108               | 6,502                  | 10,670                | 17,172               |
| Avg. Labor Force Participation Rates                   | (2)              | 62%                          | 62%                 | 62%                    | 63%                   | 62%                  |
| Children With Working Parents                          |                  | 2,094                        | 1,918               | 4,012                  | 6,714                 | 10,725               |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 74%                    | 50%                   | 59%                  |
| Children Needing Licensed Care                         |                  | 1,047                        | 1,918               | 2,965                  | 3,357                 | 6,322                |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>1,047</b>                 | <b>1,918</b>        | <b>2,965</b>           | <b>3,357</b>          | <b>6,322</b>         |
| % Distribution of Total Demand for Spaces by Age Group |                  | 17%                          | 30%                 | 47%                    | 53%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 31%                          | 62%                 | 46%                    | 31%                   | 37%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 50                           | 100                 | 200                    | 100                   | 400                  |
| Licensed for 14  |                  | 19                           | 63                  | 189                    | 65                    | 254                  |
| Available Child Care Center Spaces                     |                  | 22                           | 57                  | 957                    | 1,377                 | 2,334                |
| License Exempt   |                  | 0                            | -                   | -                      | -                     | -                    |
| <b>Total Number of Providers</b>                       |                  | <b>91</b>                    |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>220</b>                   | <b>1,226</b>        | <b>1,446</b>           | <b>1,542</b>          | <b>2,988</b>         |
| Percent Distribution                                   |                  | 7%                           | 41%                 | 48%                    | 52%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(827)</b>                 | <b>(692)</b>        | <b>(1,519)</b>         | <b>(1,815)</b>        | <b>(3,334)</b>       |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 21%                          | 64%                 | 49%                    | 46%                   | 47%                  |

(1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months.

Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.

(2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.

(3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.

(4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.

(5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCHs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCHs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.

Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.

**Table 37**  
**Estimated Future Child Care Demand in 2027**  
**for City of San Ramon**  
**Contra Costa County Child Care Needs Assessment 2017**

| SAN RAMON-FUTURE                            | Child Care Demand and Supply by Age Categories |                     |                        |                       |                      |
|---|--|---------------------|------------------------|-----------------------|----------------------|
|   | Birth to 2 or Infant                           | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(827)</b>                                   | <b>(692)</b>        | <b>(1,519)</b>         | <b>(1,815)</b>        | <b>(3,334)</b>       |
| <b>Future Demand For Child Care at 2027</b> |  |                     |                        |                       |                      |
| Estimated Children at 2027                  | 3,575  | 3,275               | 6,851                  | 11,243                | 18,094               |
| Labor Force Participation Rates             | 62%  | 62%                 | 62%                    | 63%                   | 62%                  |
| Children with Working Parents               | 2,206  | 2,021               | 4,227                  | 7,074                 | 11,301               |
| Percent of Children Needing Licensed Care   | 50%  | 100%                | 74%                    | 50%                   | 59%                  |
| Total Demand at 2027                        | 1,103  | 2,021               | 3,124                  | 3,537                 | 6,661                |
| Current Child Care Supply at 2017           | 220  | 1,226               | 1,446                  | 1,542                 | 2,988                |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(883)</b>                                   | <b>(795)</b>        | <b>(1,678)</b>         | <b>(1,995)</b>        | <b>(3,673)</b>       |
| Percentage of Demand Met by Existing Spaces | 20%  | 61%                 | 46%                    | 44%                   | 45%                  |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>(1)</b>                                     | <b>56</b>           | <b>103</b>             | <b>180</b>            | <b>339</b>           |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County. A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.



**Table 38**  
**Existing Child Care Demand and Supply in 2017**  
**for City of Walnut Creek**  
**Contra Costa County Child Care Needs Assessment 2017**

| WALNUT CREEK-EXISTING                                  | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 2,065                        | 1,712               | 3,777                  | 6,266                 | 10,042               |
| Avg. Labor Force Participation Rates                   | (2)              | 71%                          | 71%                 | 71%                    | 70%                   | 71%                  |
| Children With Working Parents                          |                  | 1,466                        | 1,215               | 2,681                  | 4,400                 | 7,080                |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 73%                    | 50%                   | 59%                  |
| Children Needing Licensed Care                         |                  | 733                          | 1,215               | 1,948                  | 2,200                 | 4,148                |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>733</b>                   | <b>1,215</b>        | <b>1,948</b>           | <b>2,200</b>          | <b>4,148</b>         |
| % Distribution of Total Demand for Spaces by Age Group |                  | 18%                          | 29%                 | 47%                    | 53%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 35%                          | 71%                 | 52%                    | 35%                   | 41%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 14                           | 28                  | 56                     | 84                    | 112                  |
| Licensed for 14  |                  | 21                           | 63                  | 126                    | 189                   | 294                  |
| Available Child Care Center Spaces                     |                  | 33                           | 61                  | 1,281                  | 1,342                 | 2,538                |
| License Exempt   |                  | 2                            | -                   | -                      | 100                   | 100                  |
| <b>Total Number of Providers</b>                       |                  | <b>70</b>                    |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>152</b>                   | <b>1,463</b>        | <b>1,615</b>           | <b>1,429</b>          | <b>3,044</b>         |
| Percent Distribution                                   |                  | 5%                           | 48%                 | 53%                    | 47%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(581)</b>                 | <b>248</b>          | <b>(333)</b>           | <b>(771)</b>          | <b>(1,104)</b>       |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 21%                          | 120%                | 83%                    | 65%                   | 73%                  |

(1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months. Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.

(2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents.

(3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.

(4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.

(5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.

Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.

**Table 39**  
**Estimated Future Child Care Demand in 2027**  
**for City of Walnut Creek**  
**Contra Costa County Child Care Needs Assessment 2017**

| WALNUT CREEK-FUTURE                         | Child Care Demand and Supply by Age Categories |                     |                        |                       |                      |
|---|--|---------------------|------------------------|-----------------------|----------------------|
|   | Birth to 2 or Infant                           | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(581)</b>                                   | <b>248</b>          | <b>(333)</b>           | <b>(771)</b>          | <b>(1,104)</b>       |
| <b>Future Demand For Child Care at 2027</b> |  |                     |                        |                       |                      |
| Estimated Children at 2027                  | 2,194  | 1,818               | 4,012                  | 6,656                 | 10,668               |
| Labor Force Participation Rates             | 71%  | 71%                 | 71%                    | 70%                   | 71%                  |
| Children with Working Parents               | 1,557  | 1,291               | 2,848                  | 4,674                 | 7,522                |
| Percent of Children Needing Licensed Care   | 50%  | 100%                | 73%                    | 50%                   | 59%                  |
| Total Demand at 2027                        | 779  | 1,291               | 2,069                  | 2,337                 | 4,406                |
| Current Child Care Supply at 2017           | 152  | 1,463               | 1,615                  | 1,429                 | 3,044                |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(627)</b>                                   | <b>172</b>          | <b>(454)</b>           | <b>(908)</b>          | <b>(1,362)</b>       |
| Percentage of Demand Met by Existing Spaces | 20%  | 113%                | 78%                    | 61%                   | 69%                  |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>(1)</b>                                     | <b>46</b>           | <b>76</b>              | <b>137</b>            | <b>259</b>           |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County. A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.

**Table 40**  
**Existing Child Care Demand and Supply in 2017**  
**for Region of Alamo-Blackhawk**  
**Contra Costa County Child Care Needs Assessment 2017**

| ALAMO-BLACKHAWK-EXISTING                               | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 517                          | 547                 | 1,063                  | 3,009                 | 4,073                |
| Avg. Labor Force Participation Rates                   | (2)              | 37%                          | 37%                 | 37%                    | 48%                   | 45%                  |
| Children With Working Parents                          |                  | 194                          | 205                 | 398                    | 1,430                 | 1,828                |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 76%                    | 50%                   | 56%                  |
| Children Needing Licensed Care                         |                  | 97                           | 205                 | 302                    | 715                   | 1,016                |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>97</b>                    | <b>205</b>          | <b>302</b>             | <b>715</b>            | <b>1,016</b>         |
| % Distribution of Total Demand for Spaces by Age Group |                  | 10%                          | 20%                 | 30%                    | 70%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 19%                          | 37%                 | 28%                    | 24%                   | 25%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 2                            | 4                   | 8                      | 4                     | 16                   |
| Licensed for 14  |                  | 2                            | 6                   | 12                     | 10                    | 28                   |
| Available Child Care Center Spaces                     |                  | 7                            | -                   | 237                    | 140                   | 377                  |
| License Exempt   |                  | 0                            | -                   | -                      | -                     | -                    |
| <b>Total Number of Providers</b>                       |                  | <b>11</b>                    |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>10</b>                    | <b>257</b>          | <b>267</b>             | <b>154</b>            | <b>421</b>           |
| Percent Distribution                                   |                  | 2%                           | 61%                 | 63%                    | 37%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(87)</b>                  | <b>52</b>           | <b>(35)</b>            | <b>(561)</b>          | <b>(595)</b>         |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 10%                          | 126%                | 89%                    | 22%                   | 41%                  |

(1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months. Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.

(2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.

(3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.

(4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.

(5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCHs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCHs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.

Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.

**Table 41**  
**Estimated Future Child Care Demand in 2027**  
**for Region of Alamo-Blackhawk**  
**Contra Costa County Child Care Needs Assessment 2017**

| ALAMO-BLACKHAWK-FUTURE                      | Child Care Demand and Supply by Age Categories |                        |                           |                          |                         |
|---|--|------------------------|---------------------------|--------------------------|-------------------------|
|   | Birth to 2 or<br>Infant                        | 3 to 4 or<br>Preschool | Subtotal,<br>0 to 4 Years | 5 to 12 or<br>School Age | Total,<br>0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(87)</b>                                    | <b>52</b>              | <b>(35)</b>               | <b>(561)</b>             | <b>(595)</b>            |
| <b>Future Demand For Child Care at 2027</b> |  |                        |                           |                          |                         |
| Estimated Children at 2027                  | 525  | 555                    | 1,081                     | 3,059                    | 4,139                   |
| Labor Force Participation Rates             | 37%  | 37%                    | 37%                       | 48%                      | 45%                     |
| Children with Working Parents               | 197  | 208                    | 405                       | 1,453                    | 1,858                   |
| Percent of Children Needing Licensed Care   | 50%  | 100%                   | 76%                       | 50%                      | 56%                     |
| Total Demand at 2027                        | 98   | 208                    | 307                       | 727                      | 1,033                   |
| Current Child Care Supply at 2017           | 10   | 257                    | 267                       | 154                      | 421                     |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(88)</b>                                    | <b>49</b>              | <b>(40)</b>               | <b>(573)</b>             | <b>(612)</b>            |
| Percentage of Demand Met by Existing Spaces | 10%  | 123%                   | 87%                       | 21%                      | 41%                     |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>(1)</b>                                     | <b>2</b>               | <b>3</b>                  | <b>12</b>                | <b>17</b>               |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County. A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.

**Table 42**  
**Existing Child Care Demand and Supply in 2017**  
**for Region of Rodeo-Crockett**  
**Contra Costa County Child Care Needs Assessment 2017**

| RODEO-CROCKETT-EXISTING                                | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 386                          | 321                 | 708                    | 1,142                 | 1,850                |
| Avg. Labor Force Participation Rates                   | (2)              | 62%                          | 62%                 | 62%                    | 77%                   | 71%                  |
| Children With Working Parents                          |                  | 239                          | 199                 | 438                    | 882                   | 1,320                |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 73%                    | 50%                   | 58%                  |
| Children Needing Licensed Care                         |                  | 120                          | 199                 | 318                    | 441                   | 760                  |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>120</b>                   | <b>199</b>          | <b>318</b>             | <b>441</b>            | <b>760</b>           |
| % Distribution of Total Demand for Spaces by Age Group |                  | 16%                          | 26%                 | 42%                    | 58%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 31%                          | 62%                 | 45%                    | 39%                   | 41%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 3                            | 6                   | 12                     | 6                     | 24                   |
| Licensed for 14  |                  | 2                            | 6                   | 18                     | 10                    | 28                   |
| Available Child Care Center Spaces                     |                  | 6                            | 76                  | 213                    | 20                    | 309                  |
| License Exempt   |                  | 0                            | -                   | -                      | -                     | -                    |
| <b>Total Number of Providers</b>                       |                  | <b>11</b>                    |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>88</b>                    | <b>237</b>          | <b>325</b>             | <b>36</b>             | <b>361</b>           |
| Percent Distribution                                   |                  | 24%                          | 66%                 | 90%                    | 10%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(32)</b>                  | <b>38</b>           | <b>7</b>               | <b>(405)</b>          | <b>(399)</b>         |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 74%                          | 119%                | 102%                   | 8%                    | 48%                  |

(1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months. Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.

(2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.

(3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.

(4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.

(5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.

Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.

**Table 43**  
**Estimated Future Child Care Demand in 2027**  
**for Region of Rodeo-Crockett**  
**Contra Costa County Child Care Needs Assessment 2017**

| RODEO-CROCKETT-FUTURE                       | Child Care Demand and Supply by Age Categories |                     |                        |                       |                      |
|---|--|---------------------|------------------------|-----------------------|----------------------|
|   | Birth to 2 or Infant                           | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(32)</b>                                    | <b>38</b>           | <b>7</b>               | <b>(405)</b>          | <b>(399)</b>         |
| <b>Future Demand For Child Care at 2027</b> |  |                     |                        |                       |                      |
| Estimated Children at 2027                  | 396  | 330                 | 726                    | 1,172                 | 1,899                |
| Labor Force Participation Rates             | 62%  | 62%                 | 62%                    | 77%                   | 71%                  |
| Children with Working Parents               | 245  | 204                 | 449                    | 906                   | 1,355                |
| Percent of Children Needing Licensed Care   | 50%  | 100%                | 73%                    | 50%                   | 58%                  |
| Total Demand at 2027                        | 123  | 204                 | 327                    | 453                   | 779                  |
| Current Child Care Supply at 2017           | 88   | 237                 | 325                    | 36                    | 361                  |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(35)</b>                                    | <b>33</b>           | <b>(2)</b>             | <b>(417)</b>          | <b>(418)</b>         |
| Percentage of Demand Met by Existing Spaces | 72%  | 116%                | 99%                    | 8%                    | 46%                  |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>(1)</b>                                     | <b>3</b>            | <b>5</b>               | <b>12</b>             | <b>20</b>            |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County. A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.

**Table 44**  
**Existing Child Care Demand and Supply in 2017**  
**for East Rural Contra Costa County**  
**Contra Costa County Child Care Needs Assessment 2017**

| EAST RURAL CONTRA COSTA COUNTY-EXISTING                | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 692                          | 586                 | 1,278                  | 2,492                 | 3,770                |
| Avg. Labor Force Participation Rates                   | (2)              | 75%                          | 75%                 | 75%                    | 71%                   | 73%                  |
| Children With Working Parents                          |                  | 522                          | 442                 | 964                    | 1,770                 | 2,734                |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 73%                    | 50%                   | 58%                  |
| Children Needing Licensed Care                         |                  | 261                          | 442                 | 703                    | 885                   | 1,588                |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>261</b>                   | <b>442</b>          | <b>703</b>             | <b>885</b>            | <b>1,588</b>         |
| % Distribution of Total Demand for Spaces by Age Group |                  | 16%                          | 28%                 | 44%                    | 56%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 38%                          | 75%                 | 55%                    | 36%                   | 42%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 8                            | 16                  | 32                     | 16                    | 64                   |
| Licensed for 14  |                  | 2                            | 6                   | 18                     | 10                    | 28                   |
| Available Child Care Center Spaces                     |                  | 2                            | -                   | 90                     | -                     | 90                   |
| License Exempt   |                  | 2                            | -                   | -                      | 200                   | 200                  |
| <b>Total Number of Providers</b>                       |                  | <b>14</b>                    |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>22</b>                    | <b>134</b>          | <b>156</b>             | <b>226</b>            | <b>382</b>           |
| Percent Distribution                                   |                  | 6%                           | 35%                 | 41%                    | 59%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(239)</b>                 | <b>(308)</b>        | <b>(547)</b>           | <b>(659)</b>          | <b>(1,206)</b>       |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 8%                           | 30%                 | 22%                    | 26%                   | 24%                  |

(1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months. Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.

(2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.

(3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.

(4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.

(5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCHs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCHs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.

**Table 45**  
**Estimated Future Child Care Demand in 2027**  
**for East Rural Contra Costa County**  
**Contra Costa County Child Care Needs Assessment 2017**

| EAST RURAL CONTRA COSTA COUNTY-FUTURE       | Child Care Demand and Supply by Age Categories |                     |                        |                       |                      |
|---|--|---------------------|------------------------|-----------------------|----------------------|
|   | Birth to 2 or Infant                           | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(239)</b>                                   | <b>(308)</b>        | <b>(547)</b>           | <b>(659)</b>          | <b>(1,206)</b>       |
| <b>Future Demand For Child Care at 2027</b> |  |                     |                        |                       |                      |
| Estimated Children at 2027                  | 711  | 602                 | 1,313                  | 2,561                 | 3,874                |
| Labor Force Participation Rates             | 75%  | 75%                 | 75%                    | 71%                   | 73%                  |
| Children with Working Parents               | 536  | 454                 | 990                    | 1,819                 | 2,809                |
| Percent of Children Needing Licensed Care   | 50%  | 100%                | 73%                    | 50%                   | 58%                  |
| Total Demand at 2027                        | 268  | 454                 | 722                    | 909                   | 1,631                |
| Current Child Care Supply at 2017           | 22   | 134                 | 156                    | 226                   | 382                  |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(246)</b>                                   | <b>(320)</b>        | <b>(566)</b>           | <b>(683)</b>          | <b>(1,249)</b>       |
| Percentage of Demand Met by Existing Spaces | 8%   | 30%                 | 22%                    | 25%                   | 23%                  |
| <b>Total Net New Demand - 2017 to 2027</b>  | <b>(1)</b>                                     | <b>7</b>            | <b>12</b>              | <b>24</b>             | <b>44</b>            |

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County. A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.



**Table 46**  
**Existing Child Care Demand and Supply in 2017**  
**for Contra Costa County**  
**Contra Costa County Child Care Needs Assessment 2017**

| CONTRA COSTA COUNTY-EXISTING                           | No. of Providers | Child Care Demand as of 2017 |                     |                        |                       |                      |
|--|------------------|------------------------------|---------------------|------------------------|-----------------------|----------------------|
|  |                  | Birth to 2 or Infant         | 3 to 4 or Preschool | Subtotal, 0 to 4 Years | 5 to 12 or School Age | Total, 0 to 12 Years |
| <b>EXISTING DEMAND</b>                                 |                  |                              |                     |                        |                       |                      |
| Estimated Total Children                               | (1)              | 41,476                       | 33,857              | 75,332                 | 120,185               | 195,517              |
| Avg. Labor Force Participation Rates                   | (2)              | 64%                          | 64%                 | 64%                    | 67%                   | 66%                  |
| Children With Working Parents                          |                  | 26,599                       | 21,713              | 48,311                 | 80,698                | 129,009              |
| % Children Needing Licensed Care                       | (3)              | 50%                          | 100%                | 73%                    | 50%                   | 58%                  |
| Children Needing Licensed Care                         |                  | 13,368                       | 21,739              | 35,108                 | 40,034                | 75,141               |
| <b>Total Demand for Child Care Spaces</b>              |                  | <b>13,368</b>                | <b>21,739</b>       | <b>35,108</b>          | <b>40,034</b>         | <b>75,141</b>        |
| % Distribution of Total Demand for Spaces by Age Group |                  | 18%                          | 29%                 | 47%                    | 53%                   | 100%                 |
| % of Total Children Needing Licensed Care              |                  | 32%                          | 64%                 | 47%                    | 33%                   | 38%                  |
| <b>EXISTING SUPPLY</b>                                 |                  |                              |                     |                        |                       |                      |
| Family Child Care Homes Supply                         | (4)              |                              |                     |                        |                       |                      |
| Licensed for 8   | (5)              | 491                          | 982                 | 1,964                  | 982                   | 3,928                |
| Licensed for 14  |                  | 333                          | 951                 | 1,902                  | 1,505                 | 4,358                |
| Available Child Care Center Spaces                     |                  | 348                          | 1,459               | 14,861                 | 8,275                 | 24,595               |
| License Exempt   |                  | <u>71</u>                    | 6                   | 358                    | 6,531                 | 6,895                |
| <b>Total Number of Providers</b>                       |                  | <b>1,243</b>                 |                     |                        |                       |                      |
| <b>Current Child Care Spaces</b>                       |                  | <b>3,398</b>                 | <b>19,085</b>       | <b>22,483</b>          | <b>17,293</b>         | <b>39,776</b>        |
| Percent Distribution                                   |                  | 9%                           | 48%                 | 57%                    | 43%                   | 100%                 |
| <b>EXISTING SURPLUS/(SHORTAGE)</b>                     |                  | <b>(9,970)</b>               | <b>(2,654)</b>      | <b>(12,625)</b>        | <b>(22,741)</b>       | <b>(35,365)</b>      |
| Percent Distribution                                   |                  | 28%                          | 8%                  | 36%                    | 64%                   | 100%                 |
| Percentage of Demand Met by Existing Facilities/Spaces |                  | 25%                          | 88%                 | 64%                    | 43%                   | 53%                  |

Note: County totals are based on the sum of the totals for each of the cities in the study.

- (1) Based on estimated number of children by area using ABAG Projections 2013. Infants include 2 year olds up to 35 months. Preschool includes 25% of 5-year-olds and School Age includes 75% of 5-year-olds.
- (2) Labor force participation rates are from the 2015 American Community Survey 5-Year Estimates and include children with two working parents or single working parents. Rates vary by age: under 6 years, and 6 and over.
- (3) Not all children with working parents are assumed to need licensed care: percentage assumptions under each age category are used. The remaining children are assumed to be cared for by family members, nannies, friends, and unlicensed care. Percentages were decided upon by the study's data committee and deviate somewhat from most of BEI's Needs Assessments, particularly for Infant and School Age care.
- (4) Data on child care supply provided by Contra Costa Child Care Council (CocoKids), Aug 2017.
- (5) Family Child Care Home spaces by age are broken down by licensing regulations. It is assumed that for small FCCHs, 2 spaces are infant, 4 are preschool, and 2 are school age. For large FCCHs, it is assumed that of Licenses for 14 include 3 infant spaces, 6 preschool spaces, and 5 school age. Licenses for 12 breakdown to 4 infant and 8 preschool.

Sources: ABAG Projections 2013; 2010 U.S. Census; American Community Survey 2015; Brion Economics, Inc.  
 Prepared by Brion Economics, Inc. CCC City Demand Model FINAL 8.28.18

8/28/2018

**Table 47**  
**Estimated Future Child Care Demand in 2027**  
**for Contra Costa County**  
**Contra Costa County Child Care Needs Assessment 2017**

| CONTRA COSTA COUNTY-FUTURE                  | Child Care Demand and Supply by Age Categories |                        |                           |                          |                         |
|---|--|------------------------|---------------------------|--------------------------|-------------------------|
|   | Birth to 2 or<br>Infant                        | 3 to 4 or<br>Preschool | Subtotal,<br>0 to 4 Years | 5 to 12 or<br>School Age | Total,<br>0 to 12 Years |
| <b>Current Surplus/(Shortage) at 2017</b>   | <b>(9,970)</b>                                 | <b>(2,654)</b>         | <b>(12,625)</b>           | <b>(22,741)</b>          | <b>(35,365)</b>         |
|   | 3,398  | 19,085                 | 22,483                    | 17,293                   | 39,776                  |
| <b>Future Demand For Child Care at 2027</b> |  |                        |                           |                          |                         |
| Estimated Children at 2027                  | 44,327   | 36,125                 | 80,452                    | 127,945                  | 208,397                 |
| Labor Force Participation Rates             | 64%  | 64%                    | 64%                       | 67%                      | 66%                     |
| Children with Working Parents               | 28,427   | 23,167                 | 51,595                    | 85,908                   | 137,503                 |
| Percent of Children Needing Licensed Care   | 50%  | 100%                   | 73%                       | 50%                      | 58%                     |
| Total Demand at 2027                        | 14,301   | 23,220                 | 37,520                    | 42,664                   | 80,184                  |
| Current Child Care Supply at 2017           | 3,398  | 19,085                 | 22,483                    | 17,293                   | 39,776                  |
| <b>Surplus/(Shortage) at 2027</b>           | <b>(10,903)</b>                                | <b>(4,135)</b>         | <b>(15,037)</b>           | <b>(25,371)</b>          | <b>(40,408)</b>         |
| Percentage of Demand Met by Existing Spaces | 24%  | 82%                    | 60%                       | 41%                      | 50%                     |
| <b>Total Net New Demand - 2017 to 2027</b>  | (1) <b>932</b>                                 | <b>1,481</b>           | <b>2,413</b>              | <b>2,631</b>             | <b>5,043</b>            |

Note: County totals are based on the sum of the totals for each of the cities in the study.

(1) A positive number means a net increase in demand for spaces from 2017 to 2027. This figure represents the amount of new child care that could be funded through impact fees or other financing mechanisms adopted by individual cities or the County.

A negative number represents an overall loss of children in this age category from 2017 to 2027.

Sources: ABAG Projections 2013; 2010 U.S. Census; Brion Economics, Inc.

**Table 48**  
**Demographic Forecast by Area in 2017**  
**Contra Costa County Child Care Needs Assessment 2017**

| City/Area                                  | 2017           | 2017          | 2017          | 2017           | 2017          | 2017          | 2017          | 2017          |
|--|----------------|---------------|---------------|----------------|---------------|---------------|---------------|---------------|
|  | Antioch        | Brentwood     | Clayton       | Concord        | Danville      | El Cerrito    | Hercules      | Lafayette     |
| <b>Population (1,2)</b>                    | <b>108,720</b> | <b>54,380</b> | <b>11,300</b> | <b>133,320</b> | <b>45,580</b> | <b>30,760</b> | <b>28,420</b> | <b>26,420</b> |
| Percent Distribution                       | 9.7%           | 4.9%          | 1.0%          | 11.9%          | 4.1%          | 2.7%          | 2.5%          | 2.4%          |
| Population by Age (2)                      |                |               |               |                |               |               |               |               |
| 0-35 Months                                | 4,534          | 2,105         | 262           | 5,436          | 1,170         | 1,036         | 976           | 704           |
| 3-4 years                                  | 3,602          | 1,929         | 261           | 4,077          | 1,193         | 792           | 745           | 690           |
| 5-9 years                                  | 7,798          | 4,569         | 743           | 7,720          | 3,345         | 1,516         | 1,653         | 1,806         |
| 10-12 years                                | <u>5,245</u>   | <u>3,103</u>  | <u>540</u>    | <u>4,767</u>   | <u>2,330</u>  | <u>750</u>    | <u>1,048</u>  | <u>1,191</u>  |
| <b>Total Children 0-12 years</b>           | <b>21,179</b>  | <b>11,706</b> | <b>1,806</b>  | <b>22,000</b>  | <b>8,037</b>  | <b>4,094</b>  | <b>4,421</b>  | <b>4,391</b>  |
| Percent Distribution                       | 10.8%          | 6.0%          | 0.9%          | 11.3%          | 4.1%          | 2.1%          | 2.3%          | 2.2%          |
| Percent of Population by Age (2)           |                |               |               |                |               |               |               |               |
| 0-35 Months                                | 4.2%           | 3.9%          | 2.3%          | 4.1%           | 2.6%          | 3.4%          | 3.4%          | 2.7%          |
| 3-4 years                                  | 3.3%           | 3.5%          | 2.3%          | 3.1%           | 2.6%          | 2.6%          | 2.6%          | 2.6%          |
| 5-9 years                                  | 7.2%           | 8.4%          | 6.6%          | 5.8%           | 7.3%          | 4.9%          | 5.8%          | 6.8%          |
| 10-12 years                                | <u>4.8%</u>    | <u>5.7%</u>   | <u>4.8%</u>   | <u>3.6%</u>    | <u>5.1%</u>   | <u>2.4%</u>   | <u>3.7%</u>   | <u>4.5%</u>   |
| <b>Total Children 0-12 years</b>           | <b>19.5%</b>   | <b>21.5%</b>  | <b>16.0%</b>  | <b>16.5%</b>   | <b>17.6%</b>  | <b>13.3%</b>  | <b>15.6%</b>  | <b>16.6%</b>  |
| <b>Labor Force Participation Rates (3)</b> |                |               |               |                |               |               |               |               |
| With children under 6 years                | 66%            | 65%           | 79%           | 61%            | 59%           | 64%           | 78%           | 54%           |
| With children 6-17 years                   | 69%            | 68%           | 65%           | 67%            | 58%           | 74%           | 76%           | 64%           |
| <b>Households (1)</b>                      | <b>34,320</b>  | <b>17,340</b> | <b>4,126</b>  | <b>48,094</b>  | <b>16,510</b> | <b>13,248</b> | <b>9,452</b>  | <b>10,172</b> |
| Percent Distribution                       | 9%             | 4%            | 1%            | 12%            | 4%            | 3%            | 2%            | 3%            |
| <b>Employment (1)</b>                      | <b>22,006</b>  | <b>9,932</b>  | <b>1,732</b>  | <b>58,906</b>  | <b>15,346</b> | <b>7,264</b>  | <b>5,126</b>  | <b>11,108</b> |
| Percent Distribution                       | 6%             | 3%            | 0%            | 15%            | 4%            | 2%            | 1%            | 3%            |

(1) Based on ABAG Projections 2013, and children as % of population based on the breakdown from the U.S. Census 2010.  
(2) Based on age as percentage of population for the U.S. Census 2010. Preschool includes 25% of 5 year olds and School Age includes 75% of 5 year olds.  
(3) Rural East Contra Costa County Population by Age and LFPR is based on an average of the percentages for Oakley and Clayton.  
(4) LFPR for Remainder are same as for Rural East County as these rates are not available for a "remainder" area.  
Sources: ABAG Projections 2013; American Community Survey 2015; U.S. Census 2010; Brion Economics, Inc.

Continued

**Table 48**  
**Demographic Forecast by Area in 2017**  
**Contra Costa County Child Care Needs Assessment 2017**

| City/Area                                  | 2017          | 2017          | 2017          | 2017          | 2017          | 2017          | 2017          | 2017           |
|--|---------------|---------------|---------------|---------------|---------------|---------------|---------------|----------------|
|  | Martinez      | Moraga        | Oakley        | Orinda        | Pinole        | Pittsburg     | Pleasant Hill | Richmond       |
| <b>Population (1,2)</b>                    | <b>44,380</b> | <b>16,860</b> | <b>41,780</b> | <b>18,320</b> | <b>31,040</b> | <b>93,000</b> | <b>41,440</b> | <b>132,100</b> |
| Percent Distribution                       | 4.0%          | 1.5%          | 3.7%          | 1.6%          | 2.8%          | 8.3%          | 3.7%          | 11.8%          |
| Population by Age (2)                      |               |               |               |               |               |               |               |                |
| 0-35 Months                                | 1,334         | 285           | 1,876         | 439           | 955           | 4,265         | 1,313         | 5,857          |
| 3-4 years                                  | 1,010         | 348           | 1,444         | 458           | 700           | 3,421         | 1,069         | 4,390          |
| 5-9 years                                  | 2,284         | 947           | 3,251         | 1,321         | 1,501         | 6,776         | 2,150         | 8,547          |
| 10-12 years                                | <u>1,442</u>  | <u>663</u>    | <u>2,253</u>  | <u>909</u>    | <u>1,092</u>  | <u>4,088</u>  | <u>1,289</u>  | <u>5,298</u>   |
| <b>Total Children 0-12 years</b>           | <b>6,070</b>  | <b>2,243</b>  | <b>8,825</b>  | <b>3,127</b>  | <b>4,248</b>  | <b>18,550</b> | <b>5,820</b>  | <b>24,092</b>  |
| Percent Distribution                       | 3.1%          | 1.1%          | 4.5%          | 1.6%          | 2.2%          | 9.5%          | 3.0%          | 12.3%          |
| Percent of Population by Age (2)           |               |               |               |               |               |               |               |                |
| 0-35 Months                                | 3.0%          | 1.7%          | 4.5%          | 2.4%          | 3.1%          | 4.6%          | 3.2%          | 4.4%           |
| 3-4 years                                  | 2.3%          | 2.1%          | 3.5%          | 2.5%          | 2.3%          | 3.7%          | 2.6%          | 3.3%           |
| 5-9 years                                  | 5.1%          | 5.6%          | 7.8%          | 7.2%          | 4.8%          | 7.3%          | 5.2%          | 6.5%           |
| 10-12 years                                | <u>3.2%</u>   | <u>3.9%</u>   | <u>5.4%</u>   | <u>5.0%</u>   | <u>3.5%</u>   | <u>4.4%</u>   | <u>3.1%</u>   | <u>4.0%</u>    |
| <b>Total Children 0-12 years</b>           | <b>13.7%</b>  | <b>13.3%</b>  | <b>21.1%</b>  | <b>17.1%</b>  | <b>13.7%</b>  | <b>19.9%</b>  | <b>14.0%</b>  | <b>18.2%</b>   |
| <b>Labor Force Participation Rates (3)</b> |               |               |               |               |               |               |               |                |
| With children under 6 years                | 66%           | 63%           | 72%           | 44%           | 62%           | 66%           | 61%           | 66%            |
| With children 6-17 years                   | 71%           | 62%           | 77%           | 53%           | 74%           | 65%           | 66%           | 67%            |
| <b>Households (1)</b>                      | <b>17,322</b> | <b>5,824</b>  | <b>12,742</b> | <b>6,784</b>  | <b>10,810</b> | <b>28,192</b> | <b>17,250</b> | <b>45,942</b>  |
| Percent Distribution                       | 4%            | 1%            | 3%            | 2%            | 3%            | 7%            | 4%            | 12%            |
| <b>Employment (1)</b>                      | <b>23,206</b> | <b>5,188</b>  | <b>4,978</b>  | <b>6,118</b>  | <b>8,300</b>  | <b>17,896</b> | <b>21,678</b> | <b>39,328</b>  |
| Percent Distribution                       | 6%            | 1%            | 1%            | 2%            | 2%            | 5%            | 6%            | 10%            |

(1) Based on ABAG Projections 2013, and children as % of population based on the breakdown from the U.S. Census 2010.  
(2) Based on age as percentage of population for the U.S. Census 2010. Preschool includes 25% of 5 year olds and School Age includes 75% of 5 year olds.  
(3) Rural East Contra Costa County Population by Age and LFPR is based on an average of the percentages for Oakley and Clayton.  
(4) LFPR for Remainder are same as for Rural East County as these rates are not available for a "remainder" area.  
Sources: ABAG Projections 2013; American Community Survey 2015; U.S. Census 2010; Brion Economics, Inc.

**Table 48**  
**Demographic Forecast by Area in 2017**  
**Contra Costa County Child Care Needs Assessment 2017**

| City/Area                                  | 2017          | 2017          | 2017          | 2017            | 2017           | 2017                       | 2017          | 2017                    |
|--|---------------|---------------|---------------|-----------------|----------------|----------------------------|---------------|-------------------------|
|  | San Pablo     | San Ramon     | Walnut Creek  | Alamo-Blackhawk | Rodeo-Crockett | Rural East C.C. County (3) | Remainder (4) | Total County or Average |
| <b>Population (1,2)</b>                    | <b>35,440</b> | <b>77,500</b> | <b>87,240</b> | <b>25,600</b>   | <b>12,160</b>  | <b>20,320</b>              | <b>4,380</b>  | <b>1,120,460</b>        |
| Percent Distribution                       | 3.2%          | 6.9%          | 7.8%          | 2.3%            | 1.1%           | 1.8%                       | 0.4%          | 100%                    |
| Population by Age (2)                      |               |               |               |                 |                |                            |               |                         |
| 0-35 Months                                | 1,736         | 3,393         | 2,065         | 517             | 386            | 692                        | 139           | 41,476                  |
| 3-4 years                                  | 1,339         | 3,108         | 1,712         | 547             | 321            | 586                        | 116           | 33,857                  |
| 5-9 years                                  | 2,678         | 6,784         | 3,792         | 1,645           | 681            | 1,459                      | 245           | 73,209                  |
| 10-12 years                                | <u>1,581</u>  | <u>3,886</u>  | <u>2,474</u>  | <u>1,364</u>    | <u>462</u>     | <u>1,034</u>               | <u>166</u>    | <u>46,977</u>           |
| <b>Total Children 0-12 years</b>           | <b>7,334</b>  | <b>17,172</b> | <b>10,042</b> | <b>4,073</b>    | <b>1,850</b>   | <b>3,770</b>               | <b>666</b>    | <b>195,517</b>          |
| Percent Distribution                       | 3.8%          | 8.8%          | 5.1%          | 2.1%            | 0.9%           | 1.9%                       | 0.3%          | 100%                    |
| Percent of Population by Age (2)           |               |               |               |                 |                |                            |               |                         |
| 0-35 Months                                | 4.9%          | 4.4%          | 2.4%          | 2.0%            | 3.2%           | 3.4%                       | 3.2%          | 3.7%                    |
| 3-4 years                                  | 3.8%          | 4.0%          | 2.0%          | 2.1%            | 2.6%           | 2.9%                       | 2.6%          | 3.0%                    |
| 5-9 years                                  | 7.6%          | 8.8%          | 4.3%          | 6.4%            | 5.6%           | 7.2%                       | 5.6%          | 6.5%                    |
| 10-12 years                                | <u>4.5%</u>   | <u>5.0%</u>   | <u>2.8%</u>   | <u>5.3%</u>     | <u>3.8%</u>    | <u>5.1%</u>                | <u>3.8%</u>   | <u>4.2%</u>             |
| <b>Total Children 0-12 years</b>           | <b>20.7%</b>  | <b>22.2%</b>  | <b>11.5%</b>  | <b>15.9%</b>    | <b>15.2%</b>   | <b>18.6%</b>               | <b>15.2%</b>  | <b>17.4%</b>            |
| <b>Labor Force Participation Rates (3)</b> |               |               |               |                 |                |                            |               |                         |
| With children under 6 years                | 63%           | 62%           | 71%           | 37%             | 62%            | 75.4%                      | 75.4%         | 64.1%                   |
| With children 6-17 years                   | 68%           | 63%           | 70%           | 48%             | 77%            | 71.0%                      | 71.0%         | 67.1%                   |
| <b>Households (1)</b>                      | <b>10,612</b> | <b>27,182</b> | <b>40,792</b> | <b>9,036</b>    | <b>4,482</b>   | <b>7,344</b>               | <b>1,634</b>  | <b>399,210</b>          |
| Percent Distribution                       | 3%            | 7%            | 10%           | 2%              | 1%             | 2%                         | 0%            | 100%                    |
| <b>Employment (1)</b>                      | <b>8,436</b>  | <b>49,922</b> | <b>60,606</b> | <b>8,410</b>    | <b>2,462</b>   | <b>3,838</b>               | <b>1,004</b>  | <b>392,790</b>          |
| Percent Distribution                       | 2%            | 13%           | 15%           | 2%              | 1%             | 1%                         | 0%            | 100%                    |

(1) Based on ABAG Projections 2013, and children as % of population based on the breakdown from the U.S. Census 2010.

(2) Based on age as percentage of population for the U.S. Census 2010. Preschool includes 25% of 5 year olds and School Age includes 75% of 5 year olds.

(3) Rural East Contra Costa County Population by Age and LFPR is based on an average of the percentages for Oakley and Clayton.

(4) LFPR for Remainder are same as for Rural East County as these rates are not available for a "remainder" area.

Sources: ABAG Projections 2013; American Community Survey 2015; U.S. Census 2010; Brion Economics, Inc.

**Table 49**  
**Demographic Forecast by Area in 2027**  
**Contra Costa County Child Care Needs Assessment 2017**

| City/Area                                  | 2027           | 2027          | 2027          | 2027           | 2027          | 2027          | 2027          | 2027          |
|--|----------------|---------------|---------------|----------------|---------------|---------------|---------------|---------------|
|  | Antioch        | Brentwood     | Clayton       | Concord        | Danville      | El Cerrito    | Hercules      | Lafayette     |
| <b>Population (1,2)</b>                    | <b>114,320</b> | <b>56,560</b> | <b>11,600</b> | <b>148,000</b> | <b>46,880</b> | <b>31,920</b> | <b>32,380</b> | <b>27,480</b> |
| Percent Distribution                       | 10%            | 5%            | 1%            | 12%            | 4%            | 3%            | 3%            | 2%            |
| Population by Age (1)                      |                |               |               |                |               |               |               |               |
| 0-35 Months                                | 4,767          | 2,190         | 269           | 6,034          | 1,203         | 1,075         | 1,112         | 733           |
| 3-4 years                                  | 3,788          | 2,006         | 268           | 4,526          | 1,227         | 822           | 849           | 717           |
| 5-9 years                                  | 8,199          | 4,752         | 763           | 8,570          | 3,440         | 1,573         | 1,883         | 1,878         |
| 10-12 years                                | <u>5,515</u>   | <u>3,228</u>  | <u>555</u>    | <u>5,292</u>   | <u>2,396</u>  | <u>778</u>    | <u>1,194</u>  | <u>1,239</u>  |
| <b>Total Children 0-12 years</b>           | <b>22,269</b>  | <b>12,175</b> | <b>1,854</b>  | <b>24,422</b>  | <b>8,267</b>  | <b>4,248</b>  | <b>5,037</b>  | <b>4,567</b>  |
| Percent Distribution                       | 10.7%          | 5.8%          | 0.9%          | 11.7%          | 4.0%          | 2.0%          | 2.4%          | 2.2%          |
| Percent of Population by Age (1)           |                |               |               |                |               |               |               |               |
| 0-35 Months                                | 4.2%           | 3.9%          | 2.3%          | 4.1%           | 2.6%          | 3.4%          | 3.4%          | 2.7%          |
| 3-4 years                                  | 3.3%           | 3.5%          | 2.3%          | 3.1%           | 2.6%          | 2.6%          | 2.6%          | 2.6%          |
| 5-9 years                                  | 7.2%           | 8.4%          | 6.6%          | 5.8%           | 7.3%          | 4.9%          | 5.8%          | 6.8%          |
| 10-12 years                                | <u>4.8%</u>    | <u>5.7%</u>   | <u>4.8%</u>   | <u>3.6%</u>    | <u>5.1%</u>   | <u>2.4%</u>   | <u>3.7%</u>   | <u>4.5%</u>   |
| <b>Total Children 0-12 years</b>           | <b>19.5%</b>   | <b>21.5%</b>  | <b>16.0%</b>  | <b>16.5%</b>   | <b>17.6%</b>  | <b>13.3%</b>  | <b>15.6%</b>  | <b>16.6%</b>  |
| <b>Labor Force Participation Rates (2)</b> |                |               |               |                |               |               |               |               |
| With children under 6 years                | 66%            | 65%           | 79%           | 61%            | 59%           | 64%           | 78%           | 54%           |
| With children 6-17 years                   | 69%            | 68%           | 65%           | 67%            | 58%           | 74%           | 76%           | 64%           |
| <b>Households (1)</b>                      | <b>36,062</b>  | <b>17,938</b> | <b>4,210</b>  | <b>52,938</b>  | <b>16,932</b> | <b>13,668</b> | <b>10,660</b> | <b>10,560</b> |
| Percent Distribution                       | 9%             | 4%            | 1%            | 13%            | 4%            | 3%            | 3%            | 2%            |
| <b>Employment (1)</b>                      | <b>23,712</b>  | <b>10,726</b> | <b>1,856</b>  | <b>64,906</b>  | <b>16,464</b> | <b>7,702</b>  | <b>5,810</b>  | <b>11,790</b> |
| Percent Distribution                       | 6%             | 3%            | 0%            | 15%            | 4%            | 2%            | 1%            | 3%            |

(1) Based on ABAG Projections 2013, and children as % of population based on the breakdown from the U.S. Census 2010.  
 (2) Based on age as percentage of population for the U.S. Census 2010. Preschool includes 25% of 5 year olds and School Age includes 75% of 5 year olds.  
 (3) Rural East Contra Costa County Population by Age and LFPR is based on an average of the percentages for Oakley and Clayton.  
 (4) LFPR for Remainder are same as for Rural East County as these rates are not available for a "remainder" area.  
 Sources: ABAG Projections 2013; American Community Survey 2015; U.S. Census 2010; Brion Economics, Inc.

Continued

**Table 49**  
**Demographic Forecast by Area in 2027**  
**Contra Costa County Child Care Needs Assessment 2017**

Continued

| City/Area                                  | 2027          | 2027          | 2027          | 2027          | 2027          | 2027           | 2027          | 2027           |
|--|---------------|---------------|---------------|---------------|---------------|----------------|---------------|----------------|
|  | Martinez      | Moraga        | Oakley        | Orinda        | Pinole        | Pittsburg      | Pleasant Hill | Richmond       |
| <b>Population (1,2)</b>                    | <b>45,760</b> | <b>17,600</b> | <b>46,940</b> | <b>18,960</b> | <b>32,360</b> | <b>101,580</b> | <b>42,800</b> | <b>142,360</b> |
| Percent Distribution                       | 4%            | 1%            | 4%            | 2%            | 3%            | 9%             | 4%            | 12%            |
| Population by Age (1)                      |               |               |               |               |               |                |               |                |
| 0-35 Months                                | 1,376         | 298           | 2,108         | 455           | 996           | 4,658          | 1,356         | 6,312          |
| 3-4 years                                  | 1,041         | 363           | 1,623         | 474           | 729           | 3,737          | 1,104         | 4,731          |
| 5-9 years                                  | 2,355         | 988           | 3,653         | 1,367         | 1,565         | 7,401          | 2,220         | 9,211          |
| 10-12 years                                | <u>1,487</u>  | <u>692</u>    | <u>2,532</u>  | <u>940</u>    | <u>1,138</u>  | <u>4,465</u>   | <u>1,331</u>  | <u>5,709</u>   |
| <b>Total Children 0-12 years</b>           | <b>6,259</b>  | <b>2,342</b>  | <b>9,915</b>  | <b>3,236</b>  | <b>4,429</b>  | <b>20,262</b>  | <b>6,011</b>  | <b>25,964</b>  |
| Percent Distribution                       | 3.0%          | 1.1%          | 4.8%          | 1.6%          | 2.1%          | 9.7%           | 2.9%          | 12.5%          |
| Percent of Population by Age (1)           |               |               |               |               |               |                |               |                |
| 0-35 Months                                | 3.0%          | 1.7%          | 4.5%          | 2.4%          | 3.1%          | 4.6%           | 3.2%          | 4.4%           |
| 3-4 years                                  | 2.3%          | 2.1%          | 3.5%          | 2.5%          | 2.3%          | 3.7%           | 2.6%          | 3.3%           |
| 5-9 years                                  | 5.1%          | 5.6%          | 7.8%          | 7.2%          | 4.8%          | 7.3%           | 5.2%          | 6.5%           |
| 10-12 years                                | <u>3.2%</u>   | <u>3.9%</u>   | <u>5.4%</u>   | <u>5.0%</u>   | <u>3.5%</u>   | <u>4.4%</u>    | <u>3.1%</u>   | <u>4.0%</u>    |
| <b>Total Children 0-12 years</b>           | <b>13.7%</b>  | <b>13.3%</b>  | <b>21.1%</b>  | <b>17.1%</b>  | <b>13.7%</b>  | <b>19.9%</b>   | <b>14.0%</b>  | <b>18.2%</b>   |
| <b>Labor Force Participation Rates (2)</b> |               |               |               |               |               |                |               |                |
| With children under 6 years                | 66%           | 63%           | 72%           | 44%           | 62%           | 66%            | 61%           | 66%            |
| With children 6-17 years                   | 71%           | 62%           | 77%           | 53%           | 74%           | 65%            | 66%           | 67%            |
| <b>Households (1)</b>                      | <b>17,748</b> | <b>6,032</b>  | <b>14,274</b> | <b>6,996</b>  | <b>11,218</b> | <b>30,688</b>  | <b>17,692</b> | <b>49,170</b>  |
| Percent Distribution                       | 4%            | 1%            | 3%            | 2%            | 3%            | 7%             | 4%            | 12%            |
| <b>Employment (1)</b>                      | <b>24,496</b> | <b>5,496</b>  | <b>5,786</b>  | <b>6,486</b>  | <b>8,838</b>  | <b>19,648</b>  | <b>23,304</b> | <b>42,742</b>  |
| Percent Distribution                       | 6%            | 1%            | 1%            | 2%            | 2%            | 5%             | 5%            | 10%            |

(1) Based on ABAG Projections 2013, and children as % of population based on the breakdown from the U.S. Census 2010.  
(2) Based on age as percentage of population for the U.S. Census 2010. Preschool includes 25% of 5 year olds and School Age includes 75% of 5 year olds.  
(3) Rural East Contra Costa County Population by Age and LFPR is based on an average of the percentages for Oakley and Clayton.  
(4) LFPR for Remainder are same as for Rural East County as these rates are not available for a "remainder" area.  
Sources: ABAG Projections 2013; American Community Survey 2015; U.S. Census 2010; Brion Economics, Inc.

Continued

**Table 49**  
**Demographic Forecast by Area in 2027**  
**Contra Costa County Child Care Needs Assessment 2017**

Continued

| City/Area                                  | 2027          | 2027          | 2027          | 2027            | 2027           | 2027                       | 2027          | 2027                    |
|--|---------------|---------------|---------------|-----------------|----------------|----------------------------|---------------|-------------------------|
|  | San Pablo     | San Ramon     | Walnut Creek  | Alamo-Blackhawk | Rodeo-Crockett | Rural East C.C. County (3) | Remainder (4) | Total County or Average |
| <b>Population (1,2)</b>                    | <b>37,600</b> | <b>81,660</b> | <b>92,680</b> | <b>26,020</b>   | <b>12,480</b>  | <b>20,880</b>              | <b>4,500</b>  | <b>1,193,320</b>        |
| Percent Distribution                       | 3%            | 7%            | 8%            | 2%              | 1%             | 2%                         | 0%            | 100%                    |
| Population by Age (1)                      |               |               |               |                 |                |                            |               |                         |
| 0-35 Months                                | 1,841         | 3,575         | 2,194         | 525             | 396            | 711                        | 143           | 44,327                  |
| 3-4 years                                  | 1,421         | 3,275         | 1,818         | 555             | 330            | 602                        | 119           | 36,125                  |
| 5-9 years                                  | 2,841         | 7,148         | 4,028         | 1,672           | 699            | 1,499                      | 252           | 77,958                  |
| 10-12 years                                | <u>1,677</u>  | <u>4,095</u>  | <u>2,628</u>  | <u>1,387</u>    | <u>474</u>     | <u>1,062</u>               | <u>171</u>    | <u>49,987</u>           |
| <b>Total Children 0-12 years</b>           | <b>7,781</b>  | <b>18,094</b> | <b>10,668</b> | <b>4,139</b>    | <b>1,899</b>   | <b>3,874</b>               | <b>685</b>    | <b>208,397</b>          |
| Percent Distribution                       | 3.7%          | 8.7%          | 5.1%          | 2.0%            | 0.9%           | 1.9%                       | 0.3%          | 100.0%                  |
| Percent of Population by Age (1)           |               |               |               |                 |                |                            |               |                         |
| 0-35 Months                                | 4.9%          | 4.4%          | 2.4%          | 2.0%            | 3.2%           | 3.4%                       | 3.2%          | 3.7%                    |
| 3-4 years                                  | 3.8%          | 4.0%          | 2.0%          | 2.1%            | 2.6%           | 2.9%                       | 2.6%          | 3.0%                    |
| 5-9 years                                  | 7.6%          | 8.8%          | 4.3%          | 6.4%            | 5.6%           | 7.2%                       | 5.6%          | 6.5%                    |
| 10-12 years                                | <u>4.5%</u>   | <u>5.0%</u>   | <u>2.8%</u>   | <u>5.3%</u>     | <u>3.8%</u>    | <u>5.1%</u>                | <u>3.8%</u>   | <u>4.2%</u>             |
| <b>Total Children 0-12 years</b>           | <b>20.7%</b>  | <b>22.2%</b>  | <b>11.5%</b>  | <b>15.9%</b>    | <b>15.2%</b>   | <b>18.6%</b>               | <b>15.2%</b>  | <b>17.4%</b>            |
| <b>Labor Force Participation Rates (2)</b> |               |               |               |                 |                |                            |               |                         |
| With children under 6 years                | 63%           | 62%           | 71%           | 37%             | 62%            | 75%                        | 75%           | 64%                     |
| With children 6-17 years                   | 68%           | 63%           | 70%           | 48%             | 77%            | 71%                        | 71%           | 67%                     |
| <b>Households (1)</b>                      | <b>11,244</b> | <b>28,630</b> | <b>43,196</b> | <b>9,152</b>    | <b>4,584</b>   | <b>7,472</b>               | <b>1,640</b>  | <b>422,704</b>          |
| Percent Distribution                       | 3%            | 7%            | 10%           | 2%              | 1%             | 2%                         | 0%            | 100%                    |
| <b>Employment (1)</b>                      | <b>9,014</b>  | <b>53,752</b> | <b>65,650</b> | <b>9,114</b>    | <b>2,624</b>   | <b>4,130</b>               | <b>1,082</b>  | <b>425,128</b>          |
| Percent Distribution                       | 2%            | 13%           | 15%           | 2%              | 1%             | 1%                         | 0%            | 100%                    |

(1) Based on ABAG Projections 2013, and children as % of population based on the breakdown from the U.S. Census 2010.  
 (2) Based on age as percentage of population for the U.S. Census 2010. Preschool includes 25% of 5 year olds and School Age includes 75% of 5 year olds.  
 (3) Rural East Contra Costa County Population by Age and LFPR is based on an average of the percentages for Oakley and Clayton.  
 (4) LFPR for Remainder are same as for Rural East County as these rates are not available for a "remainder" area.  
 Sources: ABAG Projections 2013; American Community Survey 2015; U.S. Census 2010; Brion Economics, Inc.



**Table 50**  
**Child Care Supply by Type and Age 2017**  
**Contra Costa County Child Care Needs Assessment 2017**

| City/Area             | Small Family Child Care Homes (1)       |                              |                           |                             | Total Spaces |
|-----------------------|---|------------------------------|---------------------------|-----------------------------|--------------|
|                       | Total Number of Facilities or Providers | Birth to 24 months or Infant | 2 to 5 Years or Preschool | 6 to 13 Years or School Age |              |
| Antioch               | 71                                      | 142                          | 284                       | 142                         | 568          |
| Brentwood             | 38                                      | 76                           | 152                       | 76                          | 304          |
| Clayton               | 1                                       | 2                            | 4                         | 2                           | 8            |
| Concord               | 80                                      | 160                          | 320                       | 160                         | 640          |
| Danville              | 11                                      | 22                           | 44                        | 22                          | 88           |
| El Cerrito (2)        | 10                                      | 20                           | 40                        | 20                          | 80           |
| Hercules              | 6                                       | 12                           | 24                        | 12                          | 48           |
| Lafayette             | 1                                       | 2                            | 4                         | 2                           | 8            |
| Martinez              | 13                                      | 26                           | 52                        | 26                          | 104          |
| Moraga                | 4                                       | 8                            | 16                        | 8                           | 32           |
| Oakley                | 37                                      | 74                           | 148                       | 74                          | 296          |
| Orinda                | 4                                       | 8                            | 16                        | 8                           | 32           |
| Pinole                | 5                                       | 10                           | 20                        | 10                          | 40           |
| Pittsburg (2)         | 42                                      | 84                           | 168                       | 84                          | 336          |
| Pleasant Hill (2)     | 20                                      | 40                           | 80                        | 40                          | 160          |
| Richmond (2)          | 59                                      | 118                          | 236                       | 118                         | 472          |
| San Pablo             | 12                                      | 24                           | 48                        | 24                          | 96           |
| San Ramon             | 50                                      | 100                          | 200                       | 100                         | 400          |
| Walnut Creek          | 14                                      | 28                           | 56                        | 28                          | 112          |
| Alamo-Blackhawk       | 2                                       | 4                            | 8                         | 4                           | 16           |
| Rodeo-Crockett        | 3                                       | 6                            | 12                        | 6                           | 24           |
| Rural East County (2) | 8                                       | 16                           | 32                        | 16                          | 64           |
| <b>TOTAL</b>          | <b>491</b>                              | <b>982</b>                   | <b>1,964</b>              | <b>982</b>                  | <b>3,928</b> |

(1) Breakdown of spaces by age for FCCHs is based on California state licensing requirements.  
 (2) El Cerrito includes data for the unincorporated area of Kensington; Pittsburg includes data for unincorporated area of Bay Point; Pleasant Hill includes data for unincorporated area of Pacheco; Richmond includes data for unincorporated area of El Sobrante; Rural East County includes Discovery Bay.

Sources: CocoKids (formerly Contra Costa Child Care Council); Brion Economics, Inc. Continued

**Table 50**  
**Child Care Supply by Type and Age 2017**  
**Contra Costa County Child Care Needs Assessment 2017**

Continued

| City/Area             | Large Family Child Care Homes (1)       |                              |                           |                             |              |
|-----------------------|---|------------------------------|---------------------------|-----------------------------|--------------|
|                       | Total Number of Facilities or Providers | Birth to 24 months or Infant | 2 to 5 Years or Preschool | 6 to 13 Years or School Age | Total Spaces |
| Antioch               | 39                                      | 117                          | 234                       | 195                         | 546          |
| Brentwood             | 17                                      | 51                           | 102                       | 85                          | 238          |
| Clayton               | 3                                       | 9                            | 18                        | 15                          | 42           |
| Concord               | 34                                      | 103                          | 206                       | 165                         | 474          |
| Danville              | 3                                       | 9                            | 18                        | 15                          | 42           |
| El Cerrito (2)        | 23                                      | 71                           | 142                       | 105                         | 318          |
| Hercules              | 14                                      | 43                           | 86                        | 65                          | 194          |
| Lafayette             | 2                                       | 6                            | 12                        | 10                          | 28           |
| Martinez              | 6                                       | 18                           | 36                        | 30                          | 84           |
| Moraga                | 0                                       | 0                            | 0                         | 0                           | 0            |
| Oakley                | 17                                      | 51                           | 102                       | 85                          | 238          |
| Orinda                | 2                                       | 6                            | 12                        | 10                          | 28           |
| Pinole                | 8                                       | 24                           | 48                        | 40                          | 112          |
| Pittsburg (2)         | 21                                      | 63                           | 126                       | 105                         | 294          |
| Pleasant Hill (2)     | 16                                      | 48                           | 96                        | 80                          | 224          |
| Richmond (2)          | 52                                      | 158                          | 316                       | 250                         | 724          |
| San Pablo             | 30                                      | 30                           | 60                        | 50                          | 140          |
| San Ramon             | 19                                      | 63                           | 126                       | 65                          | 254          |
| Walnut Creek          | 21                                      | 63                           | 126                       | 105                         | 294          |
| Alamo-Blackhawk       | 2                                       | 6                            | 12                        | 10                          | 28           |
| Rodeo-Crockett        | 2                                       | 6                            | 12                        | 10                          | 28           |
| Rural East County (2) | 2                                       | 6                            | 12                        | 10                          | 28           |
| <b>TOTAL</b>          | <b>333</b>                              | <b>951</b>                   | <b>1,902</b>              | <b>1,505</b>                | <b>4,358</b> |

(1) Breakdown of spaces by age for FCCHs is based on California state licensing requirements.  
 (2) El Cerrito includes data for the unincorporated area of Kensington; Pittsburg includes data for unincorporated area of Bay Point; Pleasant Hill includes data for unincorporated area of Pacheco; Richmond includes data for unincorporated area of El Sobrante; Rural East County includes Discovery Bay.

Sources: CocoKids (formerly Contra Costa Child Care Council); Brion Economics, Inc.

Continued

**Table 50**  
**Child Care Supply by Type and Age 2017**  
**Contra Costa County Child Care Needs Assessment 2017**

Continued

| City/Area             | Child Care Centers                      |                              |                           |                             |               |
|-----------------------|---|------------------------------|---------------------------|-----------------------------|---------------|
|                       | Total Number of Facilities or Providers | Birth to 24 months or Infant | 2 to 5 Years or Preschool | 6 to 13 Years or School Age | Total Spaces  |
| Antioch               | 16                                      | 95                           | 993                       | 182                         | 1,270         |
| Brentwood             | 27                                      | 113                          | 929                       | 545                         | 1,587         |
| Clayton               | 3                                       | 16                           | 208                       | 203                         | 427           |
| Concord               | 43                                      | 167                          | 1,912                     | 1,126                       | 3,205         |
| Danville              | 21                                      | 36                           | 674                       | 809                         | 1,519         |
| El Cerrito (2)        | 16                                      | 17                           | 668                       | 114                         | 799           |
| Hercules              | 4                                       | 0                            | 55                        | 288                         | 343           |
| Lafayette             | 14                                      | 78                           | 652                       | 312                         | 1,042         |
| Martinez              | 16                                      | 133                          | 569                       | 578                         | 1,280         |
| Moraga                | 7                                       | 24                           | 542                       | 0                           | 566           |
| Oakley                | 7                                       | 0                            | 277                       | 26                          | 303           |
| Orinda                | 6                                       | 0                            | 315                       | 0                           | 315           |
| Pinole                | 6                                       | 0                            | 119                       | 153                         | 272           |
| Pittsburg (2)         | 26                                      | 92                           | 1,599                     | 198                         | 1,889         |
| Pleasant Hill (2)     | 18                                      | 84                           | 612                       | 518                         | 1,214         |
| Richmond (2)          | 37                                      | 331                          | 1,696                     | 248                         | 2,275         |
| San Pablo             | 11                                      | 79                           | 320                       | 242                         | 641           |
| San Ramon             | 22                                      | 57                           | 900                       | 1,377                       | 2,334         |
| Walnut Creek          | 33                                      | 61                           | 1,281                     | 1,196                       | 2,538         |
| Alamo-Blackhawk       | 7                                       | 0                            | 237                       | 140                         | 377           |
| Rodeo-Crockett        | 6                                       | 76                           | 213                       | 20                          | 309           |
| Rural East County (2) | 2                                       | 0                            | 90                        | 0                           | 90            |
| <b>TOTAL</b>          | <b>348</b>                              | <b>1,459</b>                 | <b>14,861</b>             | <b>8,275</b>                | <b>24,595</b> |

- (1) Breakdown of spaces by age for FCCHs is based on California state licensing requirements.
- (2) El Cerrito includes data for the unincorporated area of Kensington; Pittsburg includes data for unincorporated area of Bay Point; Pleasant Hill includes data for unincorporated area of Pacheco; Richmond includes data for unincorporated area of El Sobrante; Rural East County includes Discovery Bay.

Sources: CocoKids (formerly Contra Costa Child Care Council); Brion Economics, Inc. Continued

**Table 50**  
**Child Care Supply by Type and Age 2017**  
**Contra Costa County Child Care Needs Assessment 2017**

Continued

| City/Area             | License Exempt Centers                  |                              |                           |                             |              | Total Spaces |
|-----------------------|---|------------------------------|---------------------------|-----------------------------|--------------|--------------|
|                       | Total Number of Facilities or Providers | Birth to 24 months or Infant | 2 to 5 Years or Preschool | 6 to 13 Years or School Age |              |              |
| Antioch               | 7                                       | 0                            | 24                        | 600                         | 624          |              |
| Brentwood             | 1                                       | 0                            | 0                         | 100                         | 100          |              |
| Clayton               | 0                                       | 0                            | 0                         | 0                           | 0            |              |
| Concord               | 13                                      | 0                            | 304                       | 1,062                       | 1,366        |              |
| Danville              | 0                                       | 0                            | 0                         | 0                           | 0            |              |
| El Cerrito (2)        | 0                                       | 0                            | 0                         | 100                         | 100          |              |
| Hercules              | 1                                       | 0                            | 0                         | 64                          | 64           |              |
| Lafayette             | 1                                       | 6                            | 6                         | 0                           | 12           |              |
| Martinez              | 3                                       | 0                            | 24                        | 150                         | 174          |              |
| Moraga                | 2                                       | 0                            | 0                         | 200                         | 200          |              |
| Oakley                | 1                                       | 0                            | 0                         | 100                         | 100          |              |
| Orinda                | 1                                       | 0                            | 0                         | 120                         | 120          |              |
| Pinole                | 0                                       | 0                            | 0                         | 0                           | 0            |              |
| Pittsburg (2)         | 12                                      | 0                            | 0                         | 1,179                       | 1,179        |              |
| Pleasant Hill (2)     | 3                                       | 0                            | 0                         | 345                         | 345          |              |
| Richmond (2)          | 15                                      | 0                            | 0                         | 1,506                       | 1,506        |              |
| San Pablo             | 7                                       | 0                            | 0                         | 705                         | 705          |              |
| San Ramon             | 0                                       | 0                            | 0                         | 0                           | 0            |              |
| Walnut Creek          | 2                                       | 0                            | 0                         | 100                         | 100          |              |
| Alamo-Blackhawk       | 0                                       | 0                            | 0                         | 0                           | 0            |              |
| Rodeo-Crockett        | 0                                       | 0                            | 0                         | 0                           | 0            |              |
| Rural East County (2) | 2                                       | 0                            | 0                         | 200                         | 200          |              |
| <b>TOTAL</b>          | <b>71</b>                               | <b>6</b>                     | <b>358</b>                | <b>6,531</b>                | <b>6,895</b> |              |

- (1) Breakdown of spaces by age for FCCHs is based on California state licensing requirements.  
(2) El Cerrito includes data for the unincorporated area of Kensington; Pittsburg includes data for unincorporated area of Bay Point; Pleasant Hill includes data for unincorporated area of Pacheco; Richmond includes data for unincorporated area of El Sobrante; Rural East County includes Discovery Bay.

Sources: CocoKids (formerly Contra Costa Child Care Council); Brion Economics, Inc.

Continued

**Table 50**  
**Child Care Supply by Type and Age 2017**  
**Contra Costa County Child Care Needs Assessment 2017**

Continued

| City/Area             | Total FCCHs and Centers in Contra Costa County |                              |                           |                             |               |
|-----------------------|--|------------------------------|---------------------------|-----------------------------|---------------|
|                       | Total Number of Facilities or Providers        | Birth to 24 months or Infant | 2 to 5 Years or Preschool | 6 to 13 Years or School Age | Total Spaces  |
| Antioch               | 133  | 354                          | 1,535                     | 1,119                       | 3,008         |
| Brentwood             | 83   | 240                          | 1,183                     | 806                         | 2,229         |
| Clayton               | 7  | 27                           | 230                       | 220                         | 477           |
| Concord               | 170  | 430                          | 2,742                     | 2,513                       | 5,685         |
| Danville              | 35   | 67                           | 736                       | 846                         | 1,649         |
| El Cerrito (2)        | 49   | 108                          | 850                       | 339                         | 1,297         |
| Hercules              | 25   | 55                           | 165                       | 429                         | 649           |
| Lafayette             | 18   | 92                           | 674                       | 324                         | 1,090         |
| Martinez              | 38   | 177                          | 681                       | 784                         | 1,642         |
| Moraga                | 13   | 32                           | 558                       | 208                         | 798           |
| Oakley                | 62   | 125                          | 527                       | 285                         | 937           |
| Orinda                | 13   | 14                           | 343                       | 138                         | 495           |
| Pinole                | 19   | 34                           | 187                       | 203                         | 424           |
| Pittsburg (2)         | 101  | 239                          | 1,893                     | 1,566                       | 3,698         |
| Pleasant Hill (2)     | 57   | 172                          | 788                       | 983                         | 1,943         |
| Richmond (2)          | 163  | 607                          | 2,248                     | 2,122                       | 4,977         |
| San Pablo             | 60   | 133                          | 428                       | 1,021                       | 1,582         |
| San Ramon             | 91   | 220                          | 1,226                     | 1,542                       | 2,988         |
| Walnut Creek          | 70   | 152                          | 1,463                     | 1,429                       | 3,044         |
| Alamo-Blackhawk       | 11   | 10                           | 257                       | 154                         | 421           |
| Rodeo-Crockett        | 11   | 88                           | 237                       | 36                          | 361           |
| Rural East County (2) | 14   | 22                           | 134                       | 226                         | 382           |
| <b>TOTAL</b>          | <b>1,243</b>                                   | <b>3,398</b>                 | <b>19,085</b>             | <b>17,293</b>               | <b>39,776</b> |

(1) Breakdown of spaces by age for FCCHs is based on California state licensing requirements.  
 (2) El Cerrito includes data for the unincorporated area of Kensington; Pittsburg includes data for unincorporated area of Bay Point; Pleasant Hill includes data for unincorporated area of Pacheco; Richmond includes data for unincorporated area of El Sobrante; Rural East County includes Discovery Bay.  
 Sources: CocoKids (formerly Contra Costa Child Care Council); Brion Economics, Inc.

## **APPENDIX B:**

# **NEEDS ASSESSMENT CALIFORNIA STATE FORM**

|                             |                     |                        |
|-----------------------------|---------------------|------------------------|
| <b>County:</b> Contra Costa | <b>County Code:</b> | <b>Date Submitted:</b> |
| <b>Contact:</b>             | <b>Phone:</b>       | <b>Email:</b>          |

**DEMOGRAPHICS**

| Section 1: Number of Children in the County by Age Cohorts |            |                              | Section 2: Percent of Children K-12 by Race/Ethnicity |       | Section 3: Child Population (grades K-12) by Threshold Languages |            |        |
|--|------------|------------------------------|---|-------|--|------------|--------|
| Ages   | 1a. Number | Age Totals                   | Ethnicity   | %     | Language   | 3a. Number | 3b. %  |
| <1   | 13,363     | 1.b 0-2 yr olds:<br>41,476   | Hispanic or Latino                                    | 35.0% | Spanish  | 40,678     | 22.93% |
| 1  | 13,560     |                              | American Indian or Alaskan Native                     | 0.3%  | Vietnamese   | 1,133      | 0.64%  |
| 2  | 14,553     | 1.c 3 & 4 yr olds:<br>33,857 | Asian American  | 12.5% | Cantonese  | 1,211      | 0.68%  |
| 3  | 15,222     |                              | Pacific Islander                                      | 0.6%  | Filipino   | 2,306      | 1.30%  |
| 4  | 14,826     | 1.d 5-12 yr olds:<br>120,185 | Filipino  | 4.2%  | Korean   | 943        | 0.53%  |
| 5  | 15,232     |                              | African American                                      | 9.3%  | Mandarin   | 2,040      | 1.15%  |
| 6-12   | 108,761    | Total:<br>195,517            | White, Not Hispanic                                   | 31.7% | Arabic   | 1,032      | 0.58%  |
|  |            |                              | Multiracial/ethnic                                    | 5.4%  | Farsi  | 1,167      | 0.66%  |
|  |            |                              | Not reported  | 1.0%  | Other  | 10,047     | NA     |

Section 1 Source: ABAG; U.S. Census 2010: American Community Survey.

25% of 5 year olds are counted as Preschool, the remaining 75% are included in School Age.

Section 2 Source: CA Dept of Education 2016-17.

Section 3 Source: CA Dept of Education Dataquest Report 2016-17 for Contra Costa County.

**SPECIAL NEEDS**

| Section 4: Number of Children Who Have an Individualized Family Services Plan (IFSP) or an Individualized Education Plan (IEP) by Age Group |               |              | Section 5: Number of Children Served in child Protective Services |  |
|---|---------------|--------------|---|--|
| Age Group   | 4a. with IFSP | 4b. with IEP | 5a. In the CPS Sytem by Age Group                                 | 5b. Referred for Child Care by Age Group |
| 0-2   | 860           |              | n/a   | n/a                                      |
| 3 & 4   |               | 1,700        | n/a   | n/a                                      |
| 5-12  |               | n/a          | n/a   | n/a                                      |

Section 4 Sources: Care Parent Network.

Section 5 Sources: County Child Welfare Department.

**INCOME**

| Section 6: Number of Children in Families Receiving CalWORKs by Age and Stage |         |         |         |
|---|---------|---------|---------|
| Age Group   | Stage 1 | Stage 2 | Stage 3 |
| 0-2   | 322     | 291     | 117     |
| 3 & 4   | 288     | 333     | 210     |
| 5-12  | 366     | 428     | 658     |

| Section 7: Estimated Number of Children by Income Category, by Age |   |  |               |
|--|---|--|---------------|
| Age Group  | 7.a At or Below Federal Poverty (Eligible for Head Start) | 7.b At or below 70% State median income (Eligible for State Subsidy) | Above 70% SMI |
| 0-2  |   | 5,599  | 15,396        |
| 3 & 4  |   | 4,571  | 12,744        |
| 5-12   |   | 15,143   | 44,264        |

| Section 8: Number of Children in Migrant Families (50% or more of income is from Migrant Work) |   |
|--|---|
| Children in Migrant Families 0-12  |   |
|  | 4 |

Section 6 Source: CalWORKs Stage 1 data provided by Contra Costa County - Employment & Human Services Dept, June 2018.

3-4 year olds may include some 5 year olds in the only data available.

Data on CalWORKs Stage 2 and 3 provide by Margaret Weigart-Jacobs, CocoKids, 2017.

Section 7 Source: American Community Survey 5-Year Estimates 2016;

Early Learning Needs Assessment Tool compiled by American Institutes for Research.

Section 8 Source: Manuel Nunez, Director II, Migrant Education, San Joaquin County Office of Education, 2018.

**DEMAND FOR CHILD CARE AND DEVELOPMENT SERVICES**

| Demand Populations   | Ages 0-2 | Ages 3 and 4 yr olds | Ages 5-12 yr olds |
|--|----------|----------------------|-------------------|
| Section 9: Number of Children in families with working parents who are at or below 70% SMI           | 15,396   | 12,744               | 44,264            |
| Section 10: Number of children with all parents in the workforce (all income levels)                 | 26,599   | 21,713               | 80,698            |
| Section 11: Number of 3 & 4 yr olds with at least 1 non-working parent (all income levels)           |          | 12,144               |                   |
| Section 12: Number of 3 & 4 yr olds with at least 1 non-working parent in family at or below 70% SMI |          | 4,571                |                   |

Section 9-12 Source: ABAG; American Community Survey 5-Year Estimates 2016.

**CAPACITY**

**Section 13: Licensed Capacity for Age Groups**

| Spaces                            | 13a. Infants (0-2) | 13.b Preschool (3 & 4) | 13.c School-Age (5-12) |
|-----------------------------------|--------------------|------------------------|------------------------|
| Licensed Centers                  | 1,459              | 14,861                 | 8,275                  |
| Licensed Family Child Care Homes* | 1,933              | 3,866                  | 2,487                  |
| License-Exempt Centers**          | 6                  | 358                    | 6,531                  |

\*Assumes 8 licensed spaces for small FCCHs and 14 spaces for large FCCHs.

\*\* Number of spaces in License -exempt are self-reported or estimated based on licensing capacity.

Section 13 Sources: CocoKids.

**COST OF CARE: COUNTY REGIONAL MARKET RATES ALLOWED FOR STATE SUBSIDY**

**Section 14: Weekly Regional Market Rates by Age and Type of Care**

| Center Regional Market Rates                 | Center Full-time Maximum | Center Full-Time Average | Center Part-Time Maximum | Center Part -Time Average |
|--|--------------------------|--------------------------|--------------------------|---------------------------|
| Infant/Toddlers                              | \$416                    | \$288                    | \$310                    | n/a                       |
| Preschool                                    | \$338                    | \$210                    | \$261                    | n/a                       |
| School-Age                                   | \$216                    | n/a                      | \$142                    | n/a                       |
| Family Child Care Home Regional Market Rates | FCCH Full-time Maximum   | FCCH Full-Time Average   | FCCH Part-Time Maximum   | FCCH Part -Time Average   |
| Infant/Toddlers                              | \$252                    | \$190                    | \$195                    | n/a                       |
| Preschool                                    | \$229                    | \$174                    | \$179                    | n/a                       |
| School-Age                                   | \$171                    | n/a                      | \$138                    | n/a                       |

Section 14 Source: California Department of Education; California Child Care Resource & Referral Network.

**CHILDREN ENROLLED IN STATE AND FEDERAL CHILD CARE AND DEVELOPMENT SUBSIDY PROGRAMS**

**Section 15: Children served in Subsidized Child Care and Development Subsidy Programs (point in time)**

| Funding /Program Type                              | Infant Toddlers (0-2) | Preschool (3 & 4 yr olds) | School-Age (5-12) |
|--|-----------------------|---------------------------|-------------------|
| Full-Day Center (CCTR)                             | 387                   | 36                        | 288               |
| CA State Preschool (CSPP) Full-day                 | na                    | 1,359                     | 65                |
| CA State Preschool (CSPP) Part-day                 | na                    | na                        | na                |
| FCCH Networks                                      | 0                     | 1                         | 2                 |
| Migrant  | 0                     | 0                         | 0                 |
| Handicap Program                                   | 0                     | 0                         | 0                 |
| Alternative Payment (voucher)                      | 142                   | 160                       | 67                |
| CalWORKs Stage 1                                   | 322                   | 288                       | 366               |
| CalWORKs Stage 2                                   | 291                   | 333                       | 428               |
| CalWORKs Stage 3                                   | 117                   | 210                       | 658               |
| Head Start   | na                    | 1,380                     | na                |
| Early Head Start                                   | 573                   | na                        | na                |
| Other (ASES and other after-school license-exempt) |                       |                           | 6,531             |

Section 15 Sources: American Institutes for Research Early Learning Needs Assessment Tool for 2016;

Head Start, Early Head Start, and CalWORKs Stages 1, 2 and 3 data provided by Margaret Weigart Jacobs, CocoKids.

**UNMET NEED**

**Section 16: County Unmet Need By Type of Care and Age Group**

| Type of care needed  | Infant Toddler (0-2) |      | Preschool (3 & 4) |      | School-Age 5-12 |
|--|----------------------|------|-------------------|------|-----------------|
|  | Number               | %    | Number            | %    | Number          |
| 16a. Full-time care for working parents  | 9,970                | 37%  | 2,654             | 12%  | 22,741          |
| 16b. Full-time care for working families eligible for State subsidy                      | 15,396               | 100% | 12,744            | 100% | 44,264          |
| 16c. Part time Preschool for enrichment / school readiness (all incomes)                 |                      |      | 8,377             | 69%  |                 |
| 16d. Part time Preschool for enrichment/ school readiness and eligible for state subsidy |                      |      | 4,571             | 100% |                 |

Section 16 Source: Calculation from data above.

Prepared by Brion Economics, Inc.



## **APPENDIX C:**

# **CENTER DIRECTOR PROVIDER SURVEY AND FAMILY CHILD CARE PROVIDER SURVEY IN ENGLISH AND SPANISH**

Introduction

**Thank you for taking our survey.**

**Child care is so important. We want to be sure that it is available here in Contra Costa County for all the families that will need it, now and in the future.**

**We are doing a study to help us plan. We have hired an independent research firm, Brion Economics, Inc., who has designed this survey.**

**The survey asks for specifics about your program enrollments and site facility. What you tell us will be available only to child care planning staff and the research consultant team. The consultants will summarize all the responses and create a public report with trends by city and program type.**

**Your experience as a provider is critical! This survey should take no more than 15 minutes to complete. All those who complete the survey will be entered into a raffle for \$1000 in prizes (\$300, \$200, \$100 or \$50 gift certificate for educational supplies).**

**Please do your best to answer all of the questions. If you have any questions regarding this survey, please contact Cesca Wright at 530.220.4049 or [cesca@davisconsultants.net](mailto:cesca@davisconsultants.net).**

**Thank you for all you do for children and families in our community.**

***Ruth Fernández*, Manager, Educational Services, Contra Costa County Office of Education and LPC Coordinator**

***Margaret Wiegert-Jacobs*, Director of the Learning Institute and Resource and Referral Department, CocoKids**

Have we got the right person?

\* 1. Are you the person from your organization or business who is most knowledgeable about the child care facility and current enrollments?

Yes

No

Then who?

2. Please provide the name, email and phone number of the person who is most knowledgeable about your facilities.

Name

Email address

Phone number

\* 3. Choose the next page for exiting the survey.

- I'd like to leave a comment for the agencies studying the supply and demand for child care facilities in Contra Costa County
- I'd like to exit without leaving a comment.

Single or Multiple Locations

\* 4. Does your program manage only one or multiple child care center locations at this time?

- One location
- Multiple locations

For Administrators of Multiple Sites

We will be asking questions about each site. Kindly provide the contact information for each site director. If this is challenging, please call or email Cesca Wright so we can find a better solution. cesca@davisconsultants.net or 530.220.4049.

5. Please list your sites, the name of the site director and her/his email.

|                           |                      |
|---------------------------|----------------------|
| 1st site name and city    | <input type="text"/> |
| 1st Site Director's Name  | <input type="text"/> |
| 1st Site Director's Email | <input type="text"/> |
| 2nd Site name and city    | <input type="text"/> |
| 2nd Site Director's Name  | <input type="text"/> |
| 2nd Site name and city    | <input type="text"/> |
| 3rd site name and city    | <input type="text"/> |
| 3rd Site Director's Name  | <input type="text"/> |
| 3rd Site Director's Email | <input type="text"/> |
| 4th site name and city    | <input type="text"/> |
| 4th Site Director's Name  | <input type="text"/> |
| 4th Site Director's Email | <input type="text"/> |
| 5th site name and city    | <input type="text"/> |
| 5th Site Director's Name  | <input type="text"/> |
| 5th Site Director's Email | <input type="text"/> |
| 6th site name and city    | <input type="text"/> |
| 6th Site Director's Name  | <input type="text"/> |
| 6th Site Director's Email | <input type="text"/> |
| 7th site name and city    | <input type="text"/> |
| 7th Site Director's Name  | <input type="text"/> |
| 7th Site Director's Email | <input type="text"/> |
| 8th site name and city    | <input type="text"/> |

8th Site Director's Name

8th Site Director's Email

9th site name and city

9th Site Director's Name

9th Site Director's Email

10th site name and city

10th Site Director's Name

10th Site Director's Email

\* 6. Are you a site director as well?

Yes

No

Verification of Site

\* 7. What do you call your child care program?

Center or program name

8. In what city are you located?

- Antioch
- Lafayette
- Pleasant Hill
- Brentwood
- Martinez
- Richmond
- Clayton
- Moraga
- San Pablo
- Concord
- Oakley
- Walnut Creek
- Danville
- Orinda
- Unincorporated County
- El Cerrito
- Pinole
- My center is not located in Contra Costa County
- Hercules
- Pittsburg

9. Please check all the building types which apply to your facility.

- Converted Residential Building
- City Owned Building
- School District Building
- Converted Commercial Building
- Modular Building
- Building Constructed Specifically for Child Care
- Faith-based campus (Church, Mosque, Synagogue, Temple, etc.)
- Other (please specify)

10. Approximately, for how many years has this program been operating at this location?



11. Has your program participated in Quality Matters (QRIS) or the Contra Costa Countywide Professional Development Program (PDP)?

Yes

No

Enrollments

12. What are your current enrollment numbers?

**Infant/Toddlers** (ages 0-24 months)

**Preschool Children** (ages 2-4 years)

**School-Aged Children** (5 years and older)

13. How well are you meeting your target enrollment for each of the following?

|                                 | ON TARGET (We are at our target capacity.) | CLOSE to TARGET (We are within 90% of our target capacity.) | BELOW TARGET (We are below 90% of our target capacity.) | NOT APPLICABLE (We do not offer this.) |
|---------------------------------|--|---|---|--|
| Full-time infant/toddler spaces | <input type="radio"/>                      | <input type="radio"/>                                       | <input type="radio"/>                                   | <input type="radio"/>                  |
| Part-time infant/toddler spaces | <input type="radio"/>                      | <input type="radio"/>                                       | <input type="radio"/>                                   | <input type="radio"/>                  |
| Full-time preschool spaces      | <input type="radio"/>                      | <input type="radio"/>                                       | <input type="radio"/>                                   | <input type="radio"/>                  |
| Part-time preschool spaces      | <input type="radio"/>                      | <input type="radio"/>                                       | <input type="radio"/>                                   | <input type="radio"/>                  |
| Before-school spaces            | <input type="radio"/>                      | <input type="radio"/>                                       | <input type="radio"/>                                   | <input type="radio"/>                  |
| After-school spaces             | <input type="radio"/>                      | <input type="radio"/>                                       | <input type="radio"/>                                   | <input type="radio"/>                  |

\* 14. Does your program have a wait list?

Yes

No

Waitlist

15. Approximately, how many children are on your wait list?

**Infant/Toddler** (0-24 months)

**Preschool** (ages 2-5 years)

**School Aged** (over 5 years of age)

Growing Programs

\* 16. Have you expanded the number of child care spaces at your center in the past 5 years?

Yes

No

Cost of Expansion

17. How many more childcare spaces did you add, by age group?

**Infant/Toddlers** (ages 0-24 months)

**Preschool** (ages 2-4 years)

**Before/After School** (ages 5 years and older)

18. Approximately how much did the following components of the expansion cost?

Permitting & Licensing Fees

Construction Costs

Furniture and Equipment

Other costs (please explain in text box below)

19. Please explain any additional expansion costs.

20. Please check all of the planning processes you went through.

- Building Permit
- Use Permit
- Zoning or General Plan Change
- Public Hearings
- Other (please specify)

21. Did you face any challenges to expansion? Please describe below.

Publicly Subsidized?

\* 22. Does your program receive funding from any public sources, such as State Preschool, Head Start/Early Start, ASES, 21st Century, or have children enrolled who receive subsidies from Coco Kids or Contra Costa County?

Yes

No

For Programs with Public Funding

23. Approximately how many spaces are funded by each of these programs?

State Preschool

Head Start/Early Head Start

After School Education & Safety Program (ASES)

21st Century

Coco Kids or Contra Costa County

OTHER

24. What percent of your subsidized spaces are filled?

25. Do current reimbursement rates cover the costs per space?

Yes

No

26. How do you cover the costs not reimbursed?

Size and Ownership Status

***Please answer these to the best of your ability. It is not a test!***

**27. What is the gross square footage of the child care facility?** *(This is the square footage of the building or portion of the building/s used for the program. It does not include outside play space. This information can be found on your center license.)*

\* 28. Does your organization own the building that houses the program?

Yes

No



Rented Facilities

**Your responses will be seen by Brion Economics. They will NOT be shared in any public document.**

29. What sort of rental agreement do you have?

- Month to month
- Fixed term lease
- Other (please specify)

30. What is the monthly rent or occupancy costs/charges? (If you don't know, but can name someone who does, kindly provide their contact information.)

31. When does the lease expire?

32. Do you anticipate any problems renewing your lease or continuing to rent this space?

- We plan to renew and don't anticipate problems.
- We may have issues renewing.
- Our lease will not be renewed and we know we have to relocate.

If you anticipate issues, please explain.

Renovations Underway?

33. Do you currently have any significant repairs or renovations underway at this location?

- No
- Yes (please describe below)

Please describe renovations underway.

\* 34. Have you received bids or estimates for repairs or renovations that have not yet been pursued?

- No
- Yes (Please describe below)

Please itemize the repairs or renovations you have considered.

Barriers to Repairs or Renovation

35. Is anything stopping you from making the repairs or renovations you have considered? *(Please check all that apply.)*

- We don't have any barriers and will be proceeding
- No longer a priority
- The costs were too high
- Lacked owner's approval
- Lacked time and/or expertise to manage the project
- Did not want to tackle the city permitting process
- Did not have the funds
- License issues

IF there were other reasons, please explain.

Current Facility Condition

36. Please rate the current condition of the following components of the child care facility.

|   | <b>URGENT</b> ( <i>has safety issues that could jeopardize license renewal</i> ) | Due for<br><b>REPAIR/RENOVATION</b><br>soon | <b>ADEQUATE</b> ( <i>showing some wear and tear but remains safe and usable</i> ) | <b>GOOD or EXCELLENT</b> | Not Applicable or Unknown |
|---|--|---|---|--------------------------|---------------------------|
| <b>Exterior</b> (stucco/siding, parking, exterior lighting)                       | <input type="radio"/>  | <input type="radio"/>                       | <input type="radio"/>   | <input type="radio"/>    | <input type="radio"/>     |
| <b>Building Structure</b> (foundation, framing, roof)                             | <input type="radio"/>  | <input type="radio"/>                       | <input type="radio"/>   | <input type="radio"/>    | <input type="radio"/>     |
| <b>Interior Finishes</b> (lighting, floor coverings, painting)                    | <input type="radio"/>  | <input type="radio"/>                       | <input type="radio"/>   | <input type="radio"/>    | <input type="radio"/>     |
| <b>ADA Accessibility</b>  | <input type="radio"/>  | <input type="radio"/>                       | <input type="radio"/>   | <input type="radio"/>    | <input type="radio"/>     |
| <b>Fire/Earthquake Safety</b>   | <input type="radio"/>  | <input type="radio"/>                       | <input type="radio"/>   | <input type="radio"/>    | <input type="radio"/>     |
| <b>Other Functions</b> (plumbing, electrical, kitchen, heating, air conditioning) | <input type="radio"/>  | <input type="radio"/>                       | <input type="radio"/>   | <input type="radio"/>    | <input type="radio"/>     |

37. Please explain any items ranked "Urgent" or "Inadequate".

38. Please rate the current condition of these aspects of the facility.

|                      | <b>URGENT</b> ( <i>has safety issues that could jeopardize license renewal</i> ) | Due for<br><b>REPAIR/RENOVATION</b><br>Soon | <b>ADEQUATE</b> ( <i>showing some wear, but remains safe and usable</i> ) | <b>GOOD or Excellent Condition</b> |
|----------------------|--|---|---|------------------------------------|
| Exterior lighting    | <input type="radio"/>  | <input type="radio"/>                       | <input type="radio"/>   | <input type="radio"/>              |
| Interior walls/paint | <input type="radio"/>  | <input type="radio"/>                       | <input type="radio"/>   | <input type="radio"/>              |
| Interior lighting    | <input type="radio"/>  | <input type="radio"/>                       | <input type="radio"/>   | <input type="radio"/>              |
| Floor coverings      | <input type="radio"/>  | <input type="radio"/>                       | <input type="radio"/>   | <input type="radio"/>              |
| Play structures      | <input type="radio"/>  | <input type="radio"/>                       | <input type="radio"/>   | <input type="radio"/>              |

39. Please explain any items ranked "Urgent" or "Due for Repair" responses.

Expansion Possibilities

\* 40. Would you or **your organization/business** consider expanding to serve more children in Contra Costa County **at this or another location**? *(Note: this question is not limited to the site you have been describing in previous responses.)*

- Yes, we would like to expand
- We might consider expansion
- No, we are not interested in expansion

For those considering expansion

41. Please check all the types of spaces you would consider.

- Part time
- Full time
- Mixed, full and part time

42. How many additional spaces would you like to add?

**Infant/Toddler** (0-24 months)

**Preschool** (2-5 years)

**School-Aged** (over 5 years)

43. By when might you expand?

- Within a year
- In 1-2 years
- In 2-5 years
- Not sure

44. Do you anticipate any of the following challenges for expansion or opening a new site? *(Please check all that apply.)*

- We don't anticipate any challenges or barriers and will be proceeding.
- Difficulty finding an available site
- Lack owner's approval for renovations
- Lack time and/or expertise to manage an expansion project
- Don't want to tackle the city permitting process
- Lack of funding for expansion
- Licensing issues
- State reimbursement rate insufficient to cover costs
- Lack of availability of qualified staff for expansion
- Lack of subsidized funding
- Other challenges or barriers (please specify)

45. May we include the name of your organization or center site in a public list as one that is interested in expansion?

- Yes
- No

If yes, how would you like it listed? (list the site or agency name.)



## Opportunities

\* 46. Do you know of any potential buildings or sites (vacant lots, buildings, shared facilities, etc.) which might be developed for child care/early learning facilities? If you are currently working at acquiring this site, please note that in the comment notes, and we will not disclose that location.

Yes (please describe below)

No

Please describe the potential space/s you have in mind, and any contact information if available. Please note if your program is pursuing this space.

Anything else?

47. Do you have anything else you would like to tell us as we consider ways to ensure the adequate supply of child care facilities in Contra Costa County for the years to come?

48. If you would like your name entered in the raffle to win a gift certificate for educational supplies, please provide your name and email below.

Name

Email address

Thank you for assisting us in planning for long-term availability of local child care facilities.

## Family Child Care Provider Survey

### Introduction

Thank you for taking our survey.

Child care is so important. We want to be sure that it is available here in Contra Costa County for all the families that will need it, now and in the future.

We are doing a study to help us plan. We have hired an independent research firm, Brion Economics, Inc., who has designed this survey.

The survey asks for specifics about your program. What you tell us will be available only to child care planning staff and the research consultant team. The consultants will summarize all the responses and create a public report with trends by city and program type.

Your experience as a provider is critical! This survey should take no more than 15 minutes to complete. All those who complete the survey will be entered into a raffle for \$750 in prizes (\$300, \$200, \$100 or \$50 gift certificate for educational supplies).

Please do your best to answer all of the questions. If you have any questions regarding this survey, please contact Cesca Wright at 530.220.4049 or [cesca@davisconsultants.net](mailto:cesca@davisconsultants.net).

Thank you for all you do for children and families in our community.

*Ruth Fernández*, Manager, Educational Services - Contra Costa County Office of Education and LPC Coordinator

*Margaret Wiegert-Jacobs*, Director of the Learning Institute and Resource and Referral Department, CocoKids

## Family Child Care Provider Survey

### The Site

\* 1. What do you call your Family Child Care program?

Name

2. For how many years have you had a licensed Family Child Care Home?(*If you are newly licensed please enter "1".*)

3. In what city are you currently located?

- |                                  |                                 |   |
|----------------------------------|---------------------------------|---|
| <input type="radio"/> Antioch    | <input type="radio"/> Lafayette | <input type="radio"/> Pleasant Hill   |
| <input type="radio"/> Brentwood  | <input type="radio"/> Martinez  | <input type="radio"/> Richmond  |
| <input type="radio"/> Clayton    | <input type="radio"/> Moraga    | <input type="radio"/> San Pablo   |
| <input type="radio"/> Concord    | <input type="radio"/> Oakley    | <input type="radio"/> Walnut Creek  |
| <input type="radio"/> Danville   | <input type="radio"/> Orinda    | <input type="radio"/> Unincorporated County   |
| <input type="radio"/> El Cerrito | <input type="radio"/> Pinole    | <input type="radio"/> My family child care home is not located in Contra Costa County |
| <input type="radio"/> Hercules   | <input type="radio"/> Pittsburg |   |

4. Approximately, for how many years has this program been operating at this location?(*If you are a new center, please enter "1"*)

5. Have you participated in Quality Matters (QRIS) or the Contra Costa Countywide Development Program (PDP)?

- Yes
- No

# Family Child Care Provider Survey

## Enrollments

6. What are your current enrollment numbers?

**Infant/Toddlers** (ages 0-24 months)

**Preschool Children** (ages 2-4 years)

**School-Aged Children** (5 years and older)

7. How well are you meeting your target enrollment for each of the following?

|                                 | ON TARGET ( <i>I am at my target capacity.</i> ) | CLOSE to TARGET       | BELOW TARGET ( <i>I am wanting more children in this age group</i> ) | NOT APPLICABLE ( <i>We do not offer this.</i> ) |
|---------------------------------|--|-----------------------|--|---|
| Full-time infant/toddler spaces | <input type="radio"/>                            | <input type="radio"/> | <input type="radio"/>  | <input type="radio"/>                           |
| Part-time infant/toddler spaces | <input type="radio"/>                            | <input type="radio"/> | <input type="radio"/>  | <input type="radio"/>                           |
| Full-time preschool spaces      | <input type="radio"/>                            | <input type="radio"/> | <input type="radio"/>  | <input type="radio"/>                           |
| Part-time preschool spaces      | <input type="radio"/>                            | <input type="radio"/> | <input type="radio"/>  | <input type="radio"/>                           |
| Before-school spaces            | <input type="radio"/>                            | <input type="radio"/> | <input type="radio"/>  | <input type="radio"/>                           |
| After-school spaces             | <input type="radio"/>                            | <input type="radio"/> | <input type="radio"/>  | <input type="radio"/>                           |

\* 8. Do you have a wait list?

- Yes
- No

Waitlist & Ownership Status

9. Approximately, how many children are on your wait list?

**Infant/Toddler** (0-24 months)

**Preschool** (ages 2-5 years)

**School Aged** (over 5 years of age)

\* 10. Do you own or rent your family care home?

Own

Rent

Rented Facilities

**Let us remind you again that your responses will be seen by Brion Economics and the COCO Kids staff administering this study. They will NOT be shared in any public document.**

11. What sort of rental agreement do you have?

- Month to month
- Fixed term lease
- Other (please specify)

12. What is the monthly rent or occupancy costs/charges?

13. When does the lease expire?

14. Do you anticipate any problems renewing your lease or continuing to rent this space?

- I don't anticipate problems.
- I may have issues renewing.
- I know I will have to relocate.

If you anticipate issues, please explain.



15. Approximately what percent of the home is used by the child care program?

- 30%
- 40%
- 50%
- 60%
- 70%
- 80%
- 90%
- 100%

Renovations Underway?

16. Do you currently have any significant repairs or renovations underway to improve your home for the child care program?

- No
- Yes (please describe below)

Please describe renovations underway.

\* 17. Have you received bids/estimates for repairs/renovations to improve the space for child care that have not been pursued?

- No
- Yes (Please describe below)

Please itemize the repairs or renovations you have considered.

Barriers to Repairs or Renovation

18. Has anything stopped you from making the repairs or renovations you have considered? *(Please check all that apply.)*

- We don't have any barriers and will be proceeding
- No longer a priority
- The costs were too high
- Lacked owner's approval
- Lacked time and/or expertise to manage the project
- Did not want to tackle the city permitting process
- Did not have the funds
- License issues

IF there were other reasons, please explain.

Expansion Possibilities

\* 19. Are you licensed as a Large Family Child Care Home?

Yes

No

\* 20. Would you consider expanding to serve more children in Contra Costa County **at this or another location?**

Yes, I would like to expand

I might consider expansion

No, I am not interested in expansion

## Family Child Care Provider Survey

### For those considering expansion

21. Please check all the types of spaces you would consider.

- Part time
- Full time
- Mixed, full and part time

22. How many additional spaces would you like to add?

**Infant/Toddler** (0-24 months)

**Preschool** (2-5 years)

**School-Aged** (over 5 years)

23. By when might you expand?

- Within a year
- In 1-2 years
- In 2-5 years
- Not sure

24. Do you anticipate any of the following challenges for expansion or opening a new site? *(Please check all that apply.)*

- We don't anticipate any challenges or barriers and will be proceeding.
- Difficulty finding an available site
- Lack owner's approval for renovations
- Lack time and/or expertise to manage an expansion project
- Don't want to tackle the city permitting process
- Lack of funding for expansion
- Licensing issues
- State reimbursement rate insufficient to cover costs
- Lack of availability of qualified staff for expansion
- Lack of subsidized funding
- Other challenges or barriers (please specify)

25. May we include your name in a public list of Family Care Providers with an interest in possible expansion?

- Yes
- No

If yes, how would you like it listed? (list the site or agency name.)

Opportunities

26. Do you know of any potential buildings or sites (vacant lots, buildings, shared facilities, etc.) which might be developed for child care/early learning center? If you are currently working at acquiring this site, please note that in the comment notes, and we will not disclose that location.

Yes (please describe below)

No

Please describe the potential space/s you have in mind, and any contact information if available. Please note if your program is pursuing this space.

Raffle Entry

27. If you would like your name entered in the raffle to win a gift certificate for educational supplies, please provide your name and email below.

Name

Email address



Anything else?

28. Do you have anything else you would like to tell us as we consider ways to ensure an adequate supply of child care facilities in Contra Costa County for the years to come?

Thank you for assisting us in planning for long-term availability of local child care facilities.

Presentación

**Gracias por hacer nuestra encuesta.**

**El cuidado infantil es importante. Queremos asegurarnos de que esté disponible aquí en el Condado de Contra Costa para todas las familias que lo necesiten, ahora y en el futuro. Estamos realizando un estudio para ayudarnos a planificar. Hemos contratado a una firma de investigación independiente, Brion Economics, Inc., la misma que ha diseñado esta encuesta.**

**La encuesta pregunta de manera específica acerca de su programa. Lo que usted nos diga estará disponible solamente para el personal de planificación de cuidado infantil y para el equipo consultor de investigación. Los consultores resumirán todas las respuestas y crearán un reporte público con las tendencias de acuerdo a cada ciudad y tipo de programa.**

**¡Su experiencia como proveedor es muy importante! Esta encuesta debe tomar no más de 15 minutos para completarla. Todos aquellos que completen la encuesta participaran en una rifa de \$750 en premios (tarjetas de regalos para materiales educativos por valor de \$300, \$200, \$100 o \$50).**

**Por favor haga todo lo posible para responder a todas las preguntas. Si usted tiene alguna pregunta sobre esta encuesta, por favor póngase en contacto con Cesca Wright al 530.220.4049 o a [cesca@davisconsultants.net](mailto:cesca@davisconsultants.net).**

**Gracias por todo lo que hace por los niños y las familias de nuestra comunidad.**

**Ruth Fernández, Gerente, Servicios Educativos – Oficina de Educación del Condado de Contra Costa y Coordinadora LPC.**

**Margaret Wiegert-Jacobs, Directora del Instituto de Aprendizaje y Recursos y Departamento de Referencia, CocoKids**

El Lugar

\* 1. ¿Cómo llama a su programa de Cuidado Infantil Familiar?

Nombre

2. ¿Por cuántos años ha tenido usted un Hogar con licencia para Cuidado Infantil Familiar?(Si usted recién obtuvo su licencia, escriba "1".)

3. ¿En qué ciudad está actualmente ubicado?

- |                                  |                                 |   |
|----------------------------------|---------------------------------|---|
| <input type="radio"/> Antioch    | <input type="radio"/> Lafayette | <input type="radio"/> Pleasant Hill   |
| <input type="radio"/> Brentwood  | <input type="radio"/> Martinez  | <input type="radio"/> Richmond  |
| <input type="radio"/> Clayton    | <input type="radio"/> Moraga    | <input type="radio"/> San Pablo   |
| <input type="radio"/> Concord    | <input type="radio"/> Oakley    | <input type="radio"/> Walnut Creek  |
| <input type="radio"/> Danville   | <input type="radio"/> Orinda    | <input type="radio"/> Área no incorporada del Condado   |
| <input type="radio"/> El Cerrito | <input type="radio"/> Pinole    | <input type="radio"/> Mi hogar para cuidado infantil no está ubicado en el Condado de Contra Costa. |
| <input type="radio"/> Hercules   | <input type="radio"/> Pittsburg |   |

4. Aproximadamente, ¿por cuántos años ha estado este programa operando en esta ubicación?(Si es un centro nuevo, por favor escriba "1").

5. ¿Ha participado en Quality Matters (QRIS) o el Programa de Desarrollo para todo el Condado de Contra Costa (PDP)?

- Si
- No

Inscripciones

6. Actualmente, ¿cuántos tiene registrados?

Niños pequeños (edades de 0-24 meses)

Niños en edad pre-escolar (edades 2-4 años)

Niños en edad escolar (5 años o mayores)

7. ¿Qué tan bien está logrando su meta de registro por cada uno de los siguientes?

|  | EN LA META<br>(estoy en mi meta en cuanto a capacidad) | EN LA META            | POR DEBAJO DE LA META (quiero más niños en esta edad) | NO APLICA (No ofrecemos esto) |
|--|--|-----------------------|---|-------------------------------|
| Espacios a tiempo completo para bebés/niños pequeños | <input type="radio"/>                                  | <input type="radio"/> | <input type="radio"/>                                 | <input type="radio"/>         |
| Espacio a medio tiempo para bebés/niños pequeños     | <input type="radio"/>                                  | <input type="radio"/> | <input type="radio"/>                                 | <input type="radio"/>         |
| Espacios a tiempo completo para pre-escolar          | <input type="radio"/>                                  | <input type="radio"/> | <input type="radio"/>                                 | <input type="radio"/>         |
| Espacios a medio tiempo para pre-escolar             | <input type="radio"/>                                  | <input type="radio"/> | <input type="radio"/>                                 | <input type="radio"/>         |
| Espacios para antes de la escuela                    | <input type="radio"/>                                  | <input type="radio"/> | <input type="radio"/>                                 | <input type="radio"/>         |
| Espacios para después de la escuela                  | <input type="radio"/>                                  | <input type="radio"/> | <input type="radio"/>                                 | <input type="radio"/>         |

\* 8. ¿Tiene una lista de espera?

- Sí
- No

Lista de Espera y Estado de la Propiedad

9. Aproximadamente, ¿cuántos niños están en su lista de espera?

**Bebés/niños pequeños**  
(0-24 meses)

**Pre-escolar** (edades de  
2-5 años)

**En edad escolar** (más de  
5 años de edad)

\* 10. ¿Alquila o es dueño de la casa para el cuidado familiar?

Propia

Alquilada

Instalaciones en Alquiler

**Permítanos recordarle que sus respuestas solo las verán Brion Economics y el personal de COCO Kids administrando este estudio. Sus respuestas NO serán compartidas en ningún documento público.**

11. ¿Qué tipo de contrato de alquiler tiene?

- Mes a mes
- Contrato a plazo fijo
- Otro (por favor especifique)

12. Por favor ingrese un comentario.

13. ¿Cuándo termina el contrato de alquiler?

14. ¿Anticipa usted algún problema para renovar su alquiler o continuar alquilando este espacio?

- No anticipo problemas.
- Puedo tener problemas renovándolo.
- Sé que tendré que moverme

Si anticipa problemas, por favor explique.

15. Aproximadamente, ¿qué porcentaje de su casa es utilizado para el programa de cuidado infantil?

- 30%
- 40%
- 50%
- 60%
- 70%
- 80%
- 90%
- 100%



¿Renovaciones en Curso?

16. Actualmente, ¿tiene usted importantes reparaciones o renovaciones trazadas para mejorar su hogar para el programa de cuidado infantil?

- No
- Sí (por favor describa a continuación)

Por favor describa las renovaciones en curso.

\* 17. ¿Ha recibido ofertas/presupuestos para las reparaciones/renovaciones para mejorar el espacio para el cuidado infantil que no han sido llevadas a cabo?

- No
- Sí (Por favor describa a continuación)

Por favor enumere las reparaciones o renovaciones que usted ha considerado.

Obstáculos para las Reparaciones/Renovaciones

18. ¿Hay algo que lo haya detenido de considerar hacer reparaciones o renovaciones?(Por favor marque todas las que aplican)

- No tenemos ningún obstáculo y vamos a proceder
- Ya no es una prioridad
- Los costos son muy altos
- No contamos con la aprobación del propietario
- Faltó tiempo y/o experiencia para manejar el proyecto
- No quería hacer frente al proceso de permiso ante la ciudad
- No tuve los fondos
- Problemas de licencia

Si hubo otras razones, por favor explique.

Posibilidades de Expansión

\* 19. ¿Tiene usted licencia como un Hogar Grande para el Cuidado Infantil?

Sí

No

\* 20. ¿Consideraría ampliar para servir a más niños en el Condado de Contra Costa en esta ubicación o en otra?

Sí, me gustaría ampliar

Podría considerar una ampliación

No, no estoy interesado en una ampliación

Para aquellos considerando una ampliación

21. Favor de poner un número, 0 o mayor en el cuadro.

- Medio tiempo
- Tiempo completo
- Mezcla de medio y tiempo completo

22. ¿Cuántos espacios adicionales le gustaría agregar?

**Bebés/niños pequeños**  
(de 0-24 meses)

**Pre-escolar (2-5 años)**

**Edad escolar (más de 5 años)**

23. ¿Cuándo podría usted ampliar?

- Dentro de un año
- En 1 a 2 años
- En 2 a 5 años
- No estoy seguro

24. ¿Anticipa algunos de los siguientes retos para la ampliación o inauguración de un nuevo local?(Por favor marque todos los que aplican)

- No anticipamos ningún reto u obstáculo y vamos a proceder
- Dificultad encontrando un lugar disponible
- Falta la aprobación del dueño para las renovaciones
- Falta la aprobación del dueño para las renovaciones
- No quiero hacer frente al proceso de permisos ante la ciudad
- Falta de financiamiento para la expansión
- Problemas de licencia
- State reimbursement rate insufficient to cover costs
- La tasa de reembolso del estado insuficiente para cubrir costos
- Falta de disponibilidad de personal calificado para la expansión
- Otros retos u obstáculos (por favor especificar)

25. ¿Podemos incluir su nombre en una lista pública de Proveedores de Cuidado Familiar con interés en una posible expansión?

- Sí
- No

Si contestó Sí, ¿cómo le gustaría aparecer? (mencionando el lugar o el nombre de la agencia)

Oportunidades

26. ¿Sabe de cualquier edificación potencial (lotes baldíos, edificaciones, instalaciones compartidas, etc.) que podrían desarrollarse para centro de educación temprana/cuidado infantil? Si usted está actualmente trabajando para adquirir este lugar, por favor escriba eso en los comentarios, y nosotros no divulgaremos esa ubicación.

Sí (por favor describa a continuación)

No

Por favor describa el(los) espacio(s) potencial(es) que usted tiene en mente y cualquier Información de contacto si estuviera disponible. Por favor anote si su programa está persiguiendo este espacio.

Ingresar su Nombre a la Rifa

27. Si usted quisiera que su nombre ingrese en la rifa para ganar tarjetas de regalos para materiales educativos, por favor escriba su nombre y dirección de correo electrónico a continuación.

Nombre

Correo Electrónico

¿Algo más?

28. ¿Tiene usted algo más que le gustaría decirnos mientras consideramos maneras para asegurar un suministro adecuado de instalaciones para el cuidado infantil en el Condado de Contra Costa para los próximos años?



Gracias por ayudarnos en la planeación para la disponibilidad a largo plazo de instalaciones locales para el cuidado infantil.

# APPENDIX D:

# STAKEHOLDER SURVEY

## Contra Costa County Child Care Stakeholder Survey

### Introduction

**Child care lets families work, and helps kids learn. Contra Costa County currently has a shortage of nearly 30,000 child care spaces and the demand is growing. One of the greatest challenges to meeting the demand is finding affordable site locations.**

**We have hired an independent research firm, Brion Economics, to assist us in studying this acute facilities shortage. A publicly available report will summarize survey responses and other relevant research. The report will identify types of properties by city as potential facility locations. Specific properties will not be mentioned in the report, but be used internally for staff follow-up. At the end of the survey, we will ask permission to acknowledge you and/or your agency for your assistance in the study.**

**If you have any questions regarding this survey, you may contact Cesca Wright at 530.220.4049 or [cesca@davisconsultants.net](mailto:cesca@davisconsultants.net).**

**Thank you for all you do to make Contra Costa County a great place to live and work.**

**Karen Sakata, Superintendent, Contra Costa County Office of Education  
Sean Casey, Executive Director, First 5 Contra Costa**

\* 1. Which sector best describes your role related to facilities, land use, or real estate in Contra Costa County? *(Please select the single best match.)*

- Faith-Based Organization
- City or Town
- Real Estate Broker, Real Estate Developer, or Non-Profit Development Organization
- Commercial Property Owner
- Other Business Operating in Contra Costa County (other than real estate related)
- Other Non-Profit or Community Service Organization
- Local School District or Community College District
- County of Contra Costa
- Other interested individual



## Contra Costa County Child Care Stakeholder Survey

### For Real Estate and Development Organizations

\* 2. What is the name of the agency you work for?

## Contra Costa County Child Care Stakeholder Survey

### Real Estate Professionals Continued

3. Are you aware of any planned or under-construction development projects in Contra Costa County, California, that already include child care facilities?

Yes

No

If you answered yes, kindly tell us the project/s name/s and location/s.

4. Are you aware of any planned or under-construction development projects in Contra Costa County, California, that might possibly include child care facilities?

Yes

No

If you answered yes, kindly tell us the project/s name/s and location/s.

5. Has {{ Q2 }} ever considered including child care in a project **but it proved too challenging?**

Yes

No

If you answered yes, please describe the challenges and when and where the project was developed.

\* 6. To the best of your knowledge, has {{ Q2 }} ever included child care facilities in any of its residential or non-residential projects?

- Yes
- No
- I don't know

## Contra Costa County Child Care Stakeholder Survey

### For Developers with Experience Building Child Care Facilities

7. Please describe the location and scale of the child care facility that {{ Q2 }} developed.

8. What insights did you gain that would be helpful for those considering including child care in their development plans?

\* 9. Please click below to go to the final survey page.

[Click here](#)



## Contra Costa County Child Care Stakeholder Survey

For other businesses, non-profits, commercial property owners and other interested individuals.

\* 10. What is the name of your organization or business?

11. Does your organization own or rent facilities in Contra Costa County?

- We own all of our facilities
- We rent all of our facilities
- Some of our facilities are owned, and others are rented
- We have no facilities in Contra Costa County

12. In what cities do you have facilities? *(Please select all that apply.)*

- |                                     |                                    |  |
|-------------------------------------|------------------------------------|--|
| <input type="checkbox"/> Antioch    | <input type="checkbox"/> Lafayette | <input type="checkbox"/> Pleasant Hill   |
| <input type="checkbox"/> Brentwood  | <input type="checkbox"/> Martinez  | <input type="checkbox"/> Richmond  |
| <input type="checkbox"/> Clayton    | <input type="checkbox"/> Moraga    | <input type="checkbox"/> San Pablo   |
| <input type="checkbox"/> Concord    | <input type="checkbox"/> Oakley    | <input type="checkbox"/> Walnut Creek  |
| <input type="checkbox"/> Danville   | <input type="checkbox"/> Orinda    | <input type="checkbox"/> Unincorporated Contra Costa County  |
| <input type="checkbox"/> El Cerrito | <input type="checkbox"/> Pinole    | <input type="checkbox"/> NOT APPLICABLE, we neither rent nor own facilities in Contra Costa County |
| <input type="checkbox"/> Hercules   | <input type="checkbox"/> Pittsburg |  |

13. Approximately how many employees do you have in Contra Costa County? *(Please enter a number.)*

There are multiple ways ways to support the development of new child care spaces and facilities. They can be hosted in existing structures, in new buildings or on vacant land in modular portable buildings. The program can be managed internally or simply hosted onsite with facilities rented to an independent child care operator.

\* 14. Is licensed child care currently offered in your facilities or on your grounds?

Yes

No

## Contra Costa County Child Care Stakeholder Survey

### For organizations with existing onsite child care

15. Who is eligible to utilize onsite child care spaces?

- Only employees (100%)
- Primarily employees (85-99%)
- Employees and community members
- Other (please specify)

## Contra Costa County Child Care Stakeholder Survey

### Other Businesses, NGOs, and Commercial Real Estate Owners continued

There are multiple ways ways to support the development of new child care spaces and facilities. They can be hosted in existing structures, in new buildings or on vacant land in modular portable buildings. The program can be managed internally or facilities can be rented to an independent child care operator.

\* 16. In your opinion, how likely is it that your organization would consider incorporating new or additional space for child care on its grounds or within its facilities within the next ten years? *(The child care could be provided either by an outside operator or by your organization.)*

- Not at all likely
- Somewhat likely
- Likely
- Very Likely

Feel free to explain your response.

## Contra Costa County Child Care Stakeholder Survey

IF at all likely to consider planning for future on site child care

17. Who at your organization would be the best person to contact about this possibility?

**Name**

**Email Address**

**Phone Number**

\* 18. Please click below to go to the final survey page.

[Click here](#)

## Contra Costa County Child Care Stakeholder Survey

### For Faith-Based Organizations

**In a recent survey of Contra Costa County child care providers, 20% of centers reported being located in a building owned by a faith-based community.**

\* 19. Please tell us the name of your congregation.

20. In what city are you located?

\* 21. Does your congregation rent or own its facilities?

- We rent.
- We own.

## Contra Costa County Child Care Stakeholder Survey

### For Faith-Based Organizations Which Own Their Facilities

\* 22. Does your congregation currently provide space for licensed, weekday child care services?

Yes

No

23. What is the name of the child care program housed on your grounds?

## Contra Costa County Child Care Stakeholder Survey

### Faith-Based Child Care Site Opportunities

**There are multiple ways ways to support the development of new child care spaces and facilities. They can be hosted in existing structures, in new buildings or on vacant land in modular portable buildings. The program can be managed internally or facilities can be rented to an independent child care operator.**

\* 24. In your opinion, how likely is it that your congregation would consider incorporating new or additional space for child care either within its current facilities or on its grounds within the next ten years?

- Not at all likely
- Somewhat likely
- Likely
- Very Likely

Feel free to explain your response.



## Contra Costa County Child Care Stakeholder Survey

### For Faith Based Organizations which may consider offering future space for child care

\* 25. How likely would it be for your congregation to consider offering land or renting space to an independent child care operator?

- Not at all likely
- Somewhat likely
- Likely
- Very Likely

Feel free to explain your response.

\* 26. Please click below to go to the final survey page.

- Click here

## Contra Costa County Child Care Stakeholder Survey

### For Faith Based Organizations Which RENT Their Facilities

27. We have a set of questions for owners of facilities. Would you kindly provide us with the owner's contact information so we may invite them to respond to this survey?

Name

Email

Phone

\* 28. Please click below to go to the final survey page.

Click here

## Contra Costa County Child Care Stakeholder Survey

### Education Sector

29. With which school district are you affiliated? *(Please select your district from the drop-down menu below.)*

30. Does your district currently host any infant/toddler programs for children ages 0-2 years?

- Yes  
 No  
 Not sure

31. Does your district currently host any child care or early learning programs for children ages 3-4 years?

- Yes  
 No  
 Not sure

32. Does your district currently host any child care or early learning programs for school aged children, ages 5-12 years?

- Yes  
 No  
 Not sure

33. Does your district currently offer space for privately operated early childhood programs for children under five years of age?

- Yes
- No
- Not Sure

34. Has your district discontinued providing space it previously provided for infant/toddler/preschool programs?

- YES, we previously hosted early education program/s, but have discontinued the programs listed in the comment box below.
- NO. Our district has never provided space for infant, toddler or preschool programs.
- Does not apply. We still provide space.
- I don't know.

If you answered YES, please describe the formerly hosted early childhood program and tell us why the district discontinued offering the space.

\* 35. Does your district have any potential rooms, portables or land which might be converted for early education programs?

- Yes
- No

## Contra Costa County Child Care Stakeholder Survey

### Potential School Site Locations

36. At what sites might space be available?

School name/s

Describe types of facilities  
or land under  
consideration

\* 37. Please click below to go to the final survey page.

[Click here](#)

## Contra Costa County Child Care Stakeholder Survey

### Cities

\* 38. With which jurisdiction are you affiliated?

39. Which best describes your role?

- Elected Official
- Commissioner
- Management
- Other Staff
- Other (please specify)

There are multiple ways ways to support the development of new child care spaces and facilities. They can be hosted in existing structures, in new buildings or on vacant land in modular portable buildings. The program can be managed internally or facilities can be rented to an independent child care operator.

40. Are you aware of any under-utilized or vacant buildings or land **OWNED by your jurisdiction** which might accommodate child care facilities?

- Yes
- No

If yes, please describe the type of property and location.

41. Are you aware of any other under-utilized or vacant buildings or land **WITHIN your jurisdiction** which might accommodate child care facilities?

Yes

No

Please describe type of property and location.

42. Are you aware of any **planned or under-construction** development projects in your jurisdiction that **already include** child care or early learning facilities?

Yes

No

Please describe type of property and location.

43. Are you aware of any **proposed** development projects in your jurisdiction that **might possibly accommodate** child care in its facilities or on its grounds?

Yes

No

Please describe type of property and location.

44. Does the city have a list of projects that are currently active and in the entitlement process?

No

Yes

How can we access it?

45. Does your jurisdiction offer **incentives for businesses** to provide space for onsite child care?

Yes

No

I don't know

If you answered yes, please provide more detailed description.

46. Does your jurisdiction offer incentives for **multi-family housing developments** to provide space for onsite child care?

- Yes
- No
- I don't know

If you answered yes, please provide a more detailed description.

47. Does your jurisdiction have a **child care impact fee program or other funding mechanism** for child care?

- Yes
- No
- I don't know

If you answered yes, please provide a more detailed description.

48. Does your jurisdiction include policies that promote and allow for child care in the **General Plan**?

- Yes
- No
- I don't know

If yes, can you provide us with more information and links to your General Plan?

49. In your opinion, how likely is it that your jurisdiction would consider participating in planning for **some type of countywide facilities funding plan for child care**?

- Not at all likely
- Somewhat likely
- Likely
- Very Likely



50. In your opinion, how likely is it that your jurisdiction would consider the following mechanisms...

|   | Not at all likely     | Somewhat likely       | Likely                | Very likely           | I don't know          |
|---|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| Bond financing  | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Parcel taxes  | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Special benefit assessments   | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| General fund certificates of participation                          | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Developer Impact fees   | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |
| Integrated finance districts or other community facilities district | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> |

51. Are you aware of any major child care planning or policy efforts in your community? If so, can you provide more information.

52. Do you have any suggestions or other strategies your jurisdiction might consider to address the child care facilities shortage?

## Contra Costa County Child Care Stakeholder Survey

### Other Opportunities and Ideas

53. Do you know of any potential buildings or sites (vacant lots, buildings, shared facilities, etc.) **in Contra Costa County** which might be developed for child care/early learning facilities that you have not already told us about?

- Yes (please describe below)
- No

Please describe the potential space/s you have in mind, and any contact information available.

54. Do you have any questions for us about incorporating early learning and child care into site and facilities planning?

55. Who or what entities do you believe are responsible to ensure a continued supply of quality early learning and child care in Contra Costa County? *(Please check all that apply).*

- State of California
- Contra Costa County Government
- Contra Costa County Office of Education
- Contra Costa County First 5 Commission
- Local School Districts
- City Government
- Real Estate Developers
- Large Employers
- Child Care Providers
- Other Private Business
- Faith Based Organizations
- Non-Profit Sector
- Philanthropy
- Parents
- Other (please specify)

56. Do you have any suggestions or anything else you would like to tell us as we consider ways to ensure adequate supply of child care facilities in Contra Costa County for the years to come?

57. Do we have permission to list your organization in our report as a contributor to this study?

- Yes
- No
- Not sure
- I am responding as an unaffiliated individual

58. Do we have permission to list your name as a contributor to this study?

- Yes
- No

59. Would you like us to email you a link to the final summary report?

Yes

No

60. If we have a question regarding any of your responses may we contact you?

Yes

No

61. Kindly provide your name and contact information. *(Only if you gave permission above, we will include your name as a contributor to the report, use the email to send you a link to the final report, or give you a call to follow-up on your questions or comments.)*

Name

Email

Phone



## Contra Costa County Child Care Stakeholder Survey

### Thank You!

Thank you for assisting us in planning for long-term availability of local child care facilities. Feel free to forward your invitation email to others, or to send them this link. <https://www.surveymonkey.com/r/Kiddos>



**Contra  
Costa  
County**

To: Board of Supervisors  
From: Brian M. Balbas, Public Works Director/Chief Engineer  
Date: March 12, 2019

Subject: APPROVE the ADA Upgrades to Juvenile Hall Gymnasium Project and take related actions under CEQA.

---

**RECOMMENDATION(S):**

APPROVE the ADA Upgrades to Juvenile Hall Gymnasium Project (Project), Martinez area. [County Project No.250-1727, DCD-CP#19-01] (District V).

DETERMINE the Project is a California Environmental Quality Act (CEQA), Class 1(a) Categorical Exemption, pursuant to Article 19, Section 15301 of the CEQA Guidelines, and

DIRECT the Director of Department of Conservation and Development to file a Notice of Exemption with the County Clerk, and

AUTHORIZE the Public Works Director, or designee, to arrange for payment of a \$25 fee to the Department of Conservation and Development for processing, and a \$50 fee to the County Clerk for filing the Notice of Exemption.

**FISCAL IMPACT:**

Estimated Project cost: \$143,000. 100% Facilities Lifestyle Improvement Program Funds.

- 
- APPROVE  OTHER
  - RECOMMENDATION OF CNTY ADMINISTRATOR  RECOMMENDATION OF BOARD COMMITTEE
- 

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Sandeep Singh (925)  
313-2022

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Ave' Brown

BACKGROUND:

The purpose of this Project is to improve accessibility for institutional portions of the juvenile detention facility gymnasium.

The Project consists of revisions and improvements of roughly 160 square feet of space in the existing gymnasium to meet ADA requirements.

On November 13, 2018, the Board of Supervisors awarded a job order contract (JOC) for repair, remodeling, and other repetitive work to be performed pursuant to the Construction Task Catalog to each of Mark Scott Construction, Inc., Aztec Consultants, and Staples Construction Company, Inc., each in the amount of \$2,500,000. This project is expected to be performed by one of the three JOC contractors. A task order catalogue has been prepared for the JOC contractor to complete this Project. In the event that the Project is not performed by the JOC contractor, the Public Works Department will return to the Board for approval of plans and specifications and authorization to advertise and solicit bids.

CONSEQUENCE OF NEGATIVE ACTION:

Delay in approving the project may result in a delay of design, construction, and may jeopardize funding.

ATTACHMENTS

CEQA

PUBLIC WORKS DEPARTMENT  
INITIAL STUDY OF  
ENVIRONMENTAL SIGNIFICANCE

PROJECT NUMBER: 250-1727  
CP# 19-01

PROJECT NAME: ADA Upgrades to Juvenile Hall Gymnasium  
PREPARED BY: Sandeep Singh, Environmental Services Division *WB* DATE: January 24, 2019  
APPROVED BY: Telma B. Moreno DATE: 1/31/2019

**RECOMMENDATIONS:**

- Categorical Exemption: 15301 [Class 1(a)]  Negative Declaration
- Environmental Impact Report Required  Conditional Negative Declaration

The project will not have a significant effect on the environment. The recommendation is based on the following: The project consists of interior alterations involving negligible expansion of use, pursuant to section 15301(a) of the CEQA guidelines.

What changes to the project would mitigate the identified impacts: N/A

|                                      |                              |                              |
|--------------------------------------|------------------------------|------------------------------|
| USGS Quad Sheet: <u>Walnut Creek</u> | Base Map Sheet #: <u>G13</u> | Parcel #: <u>155-280-011</u> |
|--------------------------------------|------------------------------|------------------------------|

**GENERAL CONSIDERATIONS:**

1. **Location:** The project is located at the Contra Costa County Juvenile Hall Gymnasium, 202 Glacier Drive, Martinez, CA 94553 [Figures 1-2].

2. **Project Description:**  
The purpose of this Project is to improve accessibility for institutional portions of the juvenile detention facility gymnasium located at the above address.

The Project consists of revisions and improvements of roughly 160 square feet of space in the existing gymnasium to meet ADA requirements.

Utility adjustments or relocation may be necessary in support of the project. General Plan Conformance will be obtained from the City of Martinez.

3. Does it appear that any feature of the project will generate significant public concern?

- Yes  No  maybe (Nature of concern):

4. Will the project require approval or permits by other than a County agency?

- Yes  No

5. Is the project within the Sphere of Influence of any city? Yes

The Project is in the City of Martinez



# CONTRA COSTA COUNTY CALIFORNIA

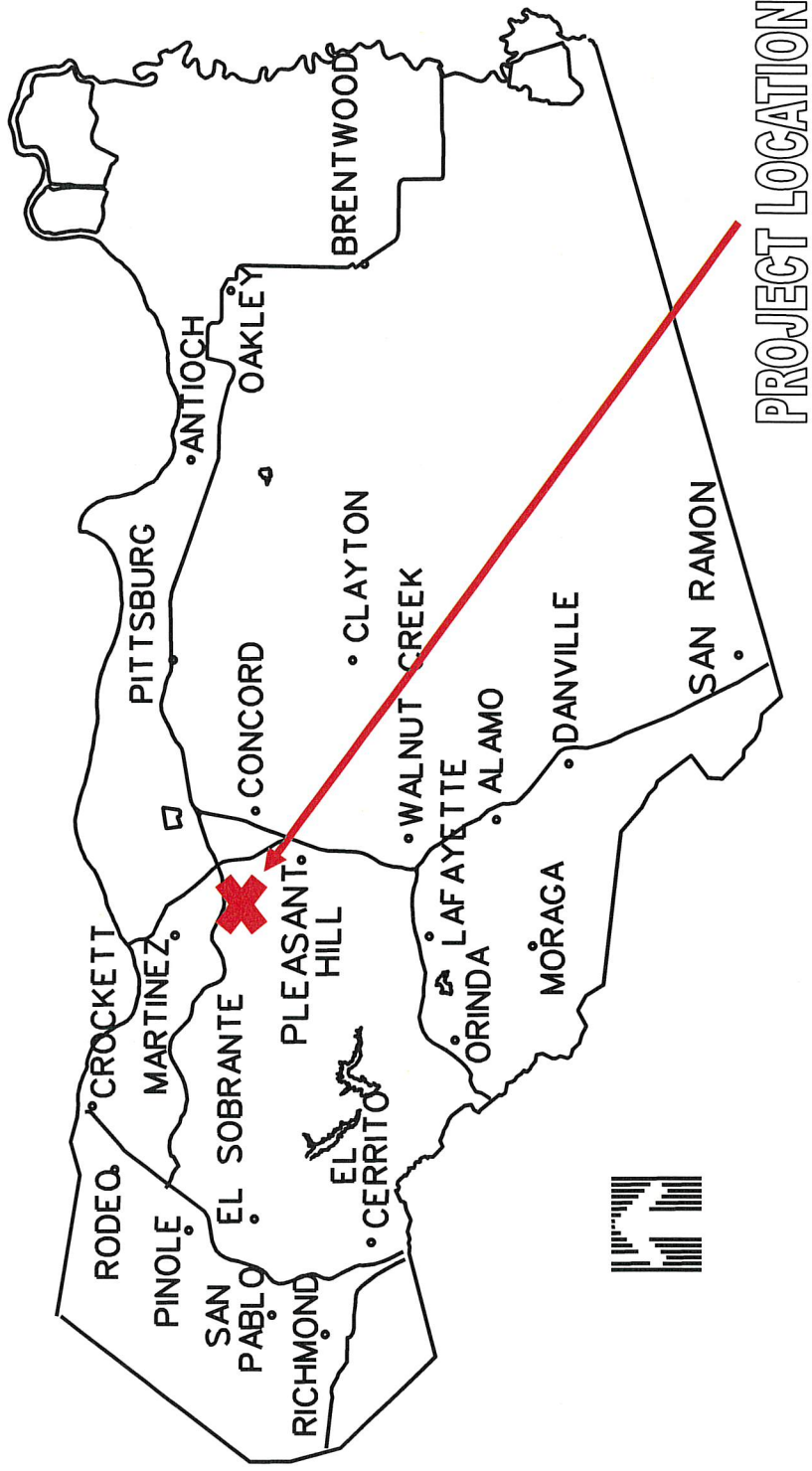
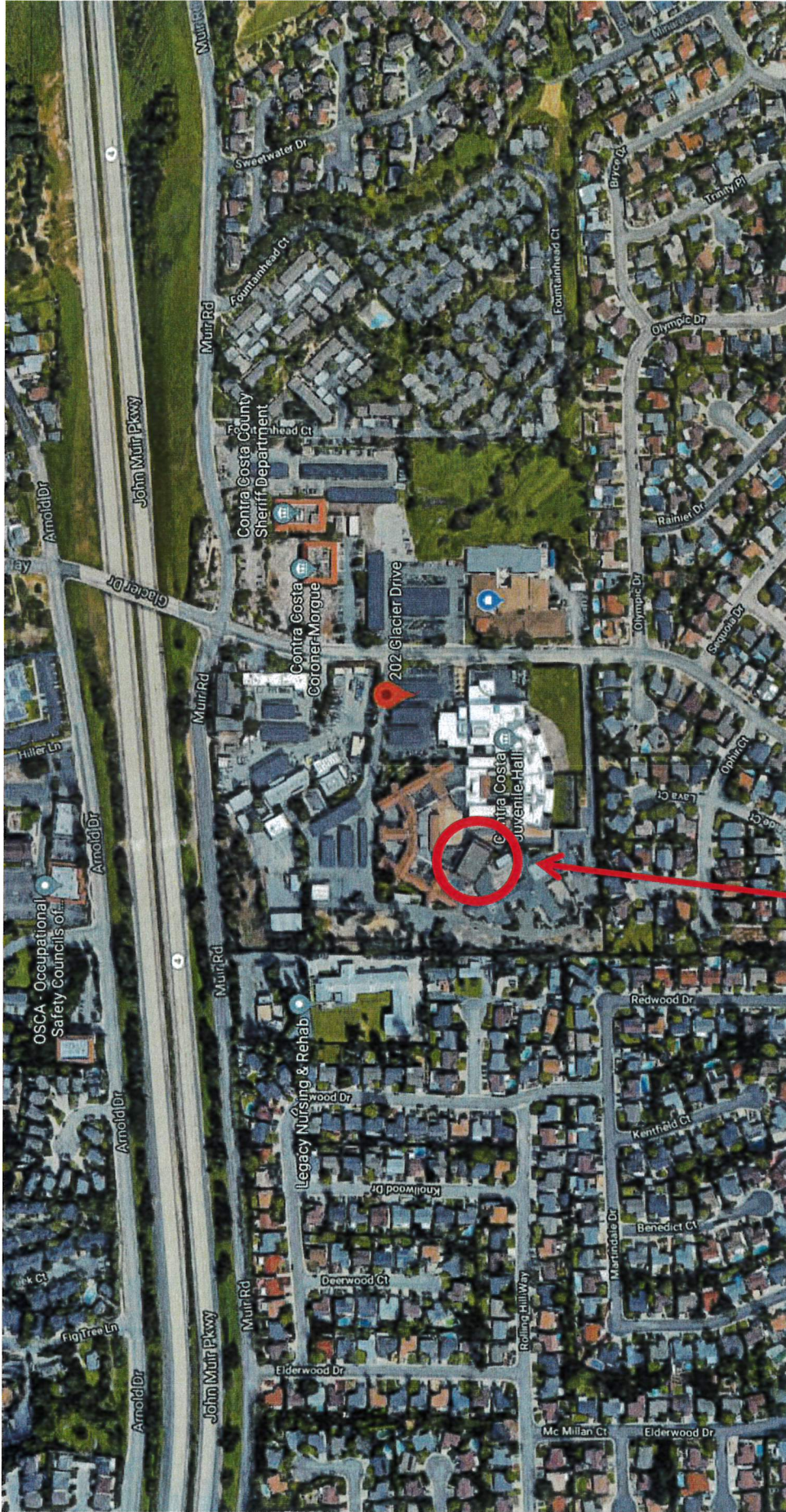


FIGURE 1: Regional Location Map



**Project Site**

**FIGURE 2: Project Vicinity Map**

CALIFORNIA ENVIRONMENTAL QUALITY ACT  
**Notice of Exemption**

To:  Office of Planning and Research  
P.O. Box 3044, Room 113  
Sacramento, CA 95812-3044

From: Contra Costa County  
Dept. of Conservation & Development  
30 Muir Road  
Martinez, CA 94553

County Clerk  
County of: Contra Costa

Project Title: ADA Upgrades to Juvenile Hall Gymnasium  
Proj. No. 250-1727 CP#19-01

Project Applicant: **Contra Costa County Public Works Department**

Project Location – **Specific:** 202 Glacier Drive (Juvenile Hall Gymnasium)

Project Location: Martinez

Project Location – County: Central Contra Costa

**Description of Nature, Purpose and Beneficiaries of Project:**

The purpose of this Project is to improve accessibility for institutional portions of the juvenile detention facility gymnasium located at the above address.

The Project consists of revisions and improvements of roughly 160 square feet of space in the existing gymnasium to meet ADA requirements.

Utility adjustments or relocation may be necessary in support of the project.

General Plan Conformance will be obtained from the City of Martinez.

Name of Public Agency Approving Project: **Contra Costa County**

Name of Person or Agency Carrying Out Project: **Contra Costa County Public Works Department**

**Exempt Status:**

- |   |  |
|---|--|
| <input type="checkbox"/> Ministerial Project (Sec. 21080(b)(1); 15268;      | <input checked="" type="checkbox"/> Categorical Exemption: <u>15301 Class 1(a)</u>       |
| <input type="checkbox"/> Declared Emergency (Sec. 21080(b)(3); 15269(a));   | <input type="checkbox"/> Other Statutory Exemption, Code No.: _____                      |
| <input type="checkbox"/> Emergency Project (Sec. 21080(b)(4); 15269(b)(c)); | <input type="checkbox"/> General Rule of Applicability [Article 5, Section 15061 (b)(3)] |

**Reasons why project is exempt:** The project consists of interior alterations involving negligible expansion of use, pursuant to Section 15301(a) of the CEQA guidelines.

Lead Agency Contact Person: Sandeep Singh - Public Works Dept. Area Code/Telephone/Extension: (925) 313-2022

If filed by applicant:

1. Attach certified document of exemption finding.
2. Has a Notice of Exemption been filed by the public agency approving the project?  Yes  No

Signature: \_\_\_\_\_ Date: \_\_\_\_\_ Title: \_\_\_\_\_

Signed by Lead Agency  Signed by Applicant

**AFFIDAVIT OF FILING AND POSTING**

I declare that on \_\_\_\_\_ I received and posted this notice as required by California Public Resources Code Section 21152(c). Said notice will remain posted for 30 days from the filing date.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

**Applicant:**

Public Works Department  
255 Glacier Drive  
Martinez, CA 94553

Attn: Sandeep Singh

Environmental Services Division

Phone: (925) 313-2022

**Department of Fish and Game Fees Due**

- |   |
|---|
| <input type="checkbox"/> EIR - \$3,271. <sup>00</sup>                 |
| <input type="checkbox"/> Neg. Dec. - \$2,354. <sup>75</sup>           |
| <input type="checkbox"/> DeMinimis Findings - \$0                     |
| <input checked="" type="checkbox"/> County Clerk - \$50               |
| <input checked="" type="checkbox"/> Conservation & Development - \$25 |

Total Due: \$ \_\_\_\_\_

Total Paid \$ \_\_\_\_\_

Receipt #: \_\_\_\_\_



**Contra  
Costa  
County**

To: Board of Supervisors  
From: Brian M. Balbas, Public Works Director/Chief Engineer  
Date: March 12, 2019

Subject: APPROVE the ADA Upgrades to Juvenile Hall Main Building Project and take related actions under CEQA.

---

**RECOMMENDATION(S):**

APPROVE the ADA Upgrades to Juvenile Hall Main Building Project (Project), Martinez area. [County Project No.250-1728, DCD-CP#19-02] (District V)

DETERMINE the Project is a California Environmental Quality Act (CEQA), Class 1(a) Categorical Exemption, pursuant to Article 19, Section 15301 of the CEQA Guidelines, and

DIRECT the Director of Department of Conservation and Development to file a Notice of Exemption with the County Clerk, and

AUTHORIZE the Public Works Director, or designee, to arrange for payment of a \$25 fee to the Department of Conservation and Development for processing, and a \$50 fee to the County Clerk for filing the Notice of Exemption.

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Sandeep Singh, (925)  
313-2022

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Ave Brown - Environmental Division Manager

FISCAL IMPACT:

Estimated Project cost: \$572,000. 100% Facilities Lifestyle Improvement Program Funds.

BACKGROUND:

The purpose of this Project is to improve accessibility for public and institutional portions of the juvenile detention facility main building.

The Project consists of revisions and improvements of roughly 740 square feet of space in multiple locations including the remodel of several restrooms, drinking fountains, kitchen spaces, and services areas to meet ADA requirements.

On November 13, 2018, the Board of Supervisors awarded a job order contract (JOC) for repair, remodeling, and other repetitive work to be performed pursuant to the Construction Task Catalog to each of Mark Scott Construction, Inc., Aztec Consultants, and Staples Construction Company, Inc., each in the amount of \$2,500,000. This project is expected to be performed by one of the three JOC contractors. A task order catalogue has been prepared for the JOC contractor to complete this Project. In the event that the Project is not performed by the JOC contractor, the Public Works Department will return to the Board for approval of plans and specifications and authorization to advertise and solicit bids.

CONSEQUENCE OF NEGATIVE ACTION:

Delay in approving the project may result in a delay of design, construction, and may jeopardize funding.

ATTACHMENTS

CEQA Document

PUBLIC WORKS DEPARTMENT  
INITIAL STUDY OF  
ENVIRONMENTAL SIGNIFICANCE

PROJECT NUMBER: 250-1728  
CP# 19-02

PROJECT NAME: ADA Upgrades to Juvenile Hall Main Building

PREPARED BY: Sandeep Singh, Environmental Services Division AB

DATE: January 24, 2019

APPROVED BY: Teena B. Morana

DATE: 1/31/2019

**RECOMMENDATIONS:**

- Categorical Exemption: 15301 [Class 1(a)]  Negative Declaration  
 Environmental Impact Report Required  Conditional Negative Declaration

The project will not have a significant effect on the environment. The recommendation is based on the following: The project consists of interior alterations involving negligible expansion of use, pursuant to section 15301(a) of the CEQA guidelines.

What changes to the project would mitigate the identified impacts: N/A

|                                      |                              |                              |
|--------------------------------------|------------------------------|------------------------------|
| USGS Quad Sheet: <u>Walnut Creek</u> | Base Map Sheet #: <u>G13</u> | Parcel #: <u>155-280-011</u> |
|--------------------------------------|------------------------------|------------------------------|

**GENERAL CONSIDERATIONS:**

- 1. Location:** The project is located at the Contra Costa County Juvenile Hall Main Building, 202 Glacier Drive, Martinez, CA 94553 [Figures 1-2].
- 2. Project Description:**

The purpose of this Project is to improve accessibility for public and institutional portions of the juvenile detention facility main building located at the above address.

The Project consists of revisions and improvements of roughly 740 square feet of space in multiple locations including the remodel of several restrooms, drinking fountains, kitchen spaces, and service areas.

Utility adjustments or relocation may be necessary in support of the project.

General Plan Conformance will be obtained from the City of Martinez.
- 3. Does it appear that any feature of the project will generate significant public concern?**  
 Yes  No  maybe (Nature of concern):
- 4. Will the project require approval or permits by other than a County agency?**  
 Yes  No
- 5. Is the project within the Sphere of Influence of any city? Yes**  
The Project is in the City of Martinez

# CONTRA COSTA COUNTY CALIFORNIA

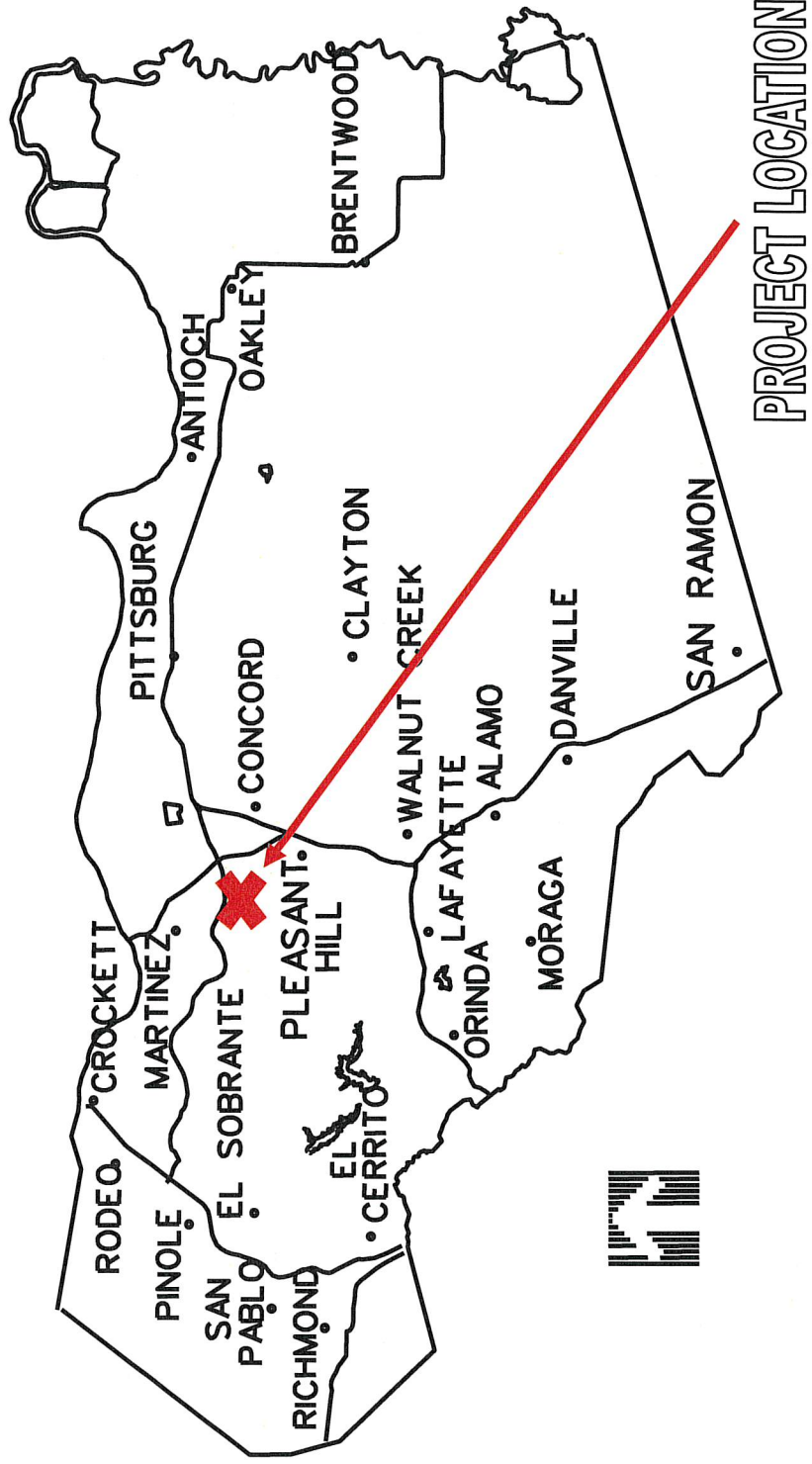
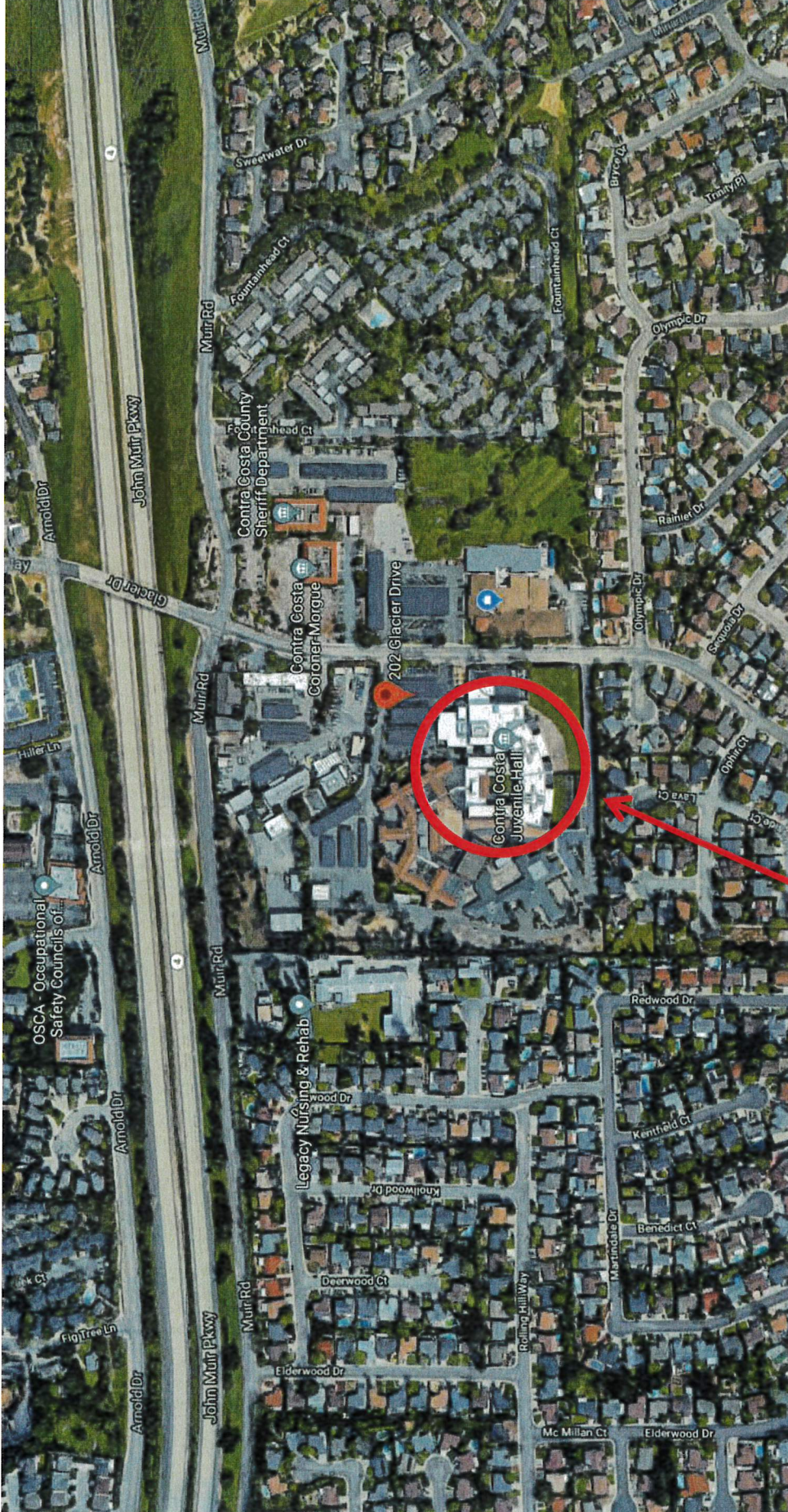


FIGURE 1: Regional Location Map



Project Site

FIGURE 2: Project Vicinity Map



CALIFORNIA ENVIRONMENTAL QUALITY ACT  
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From: Contra Costa County  
Dept. of Conservation & Development  
30 Muir Road  
Martinez, CA 94553

County Clerk  
County of: Contra Costa

Project Title: ADA Upgrades to Juvenile Hall Main Building  
Proj. No. 250-1728 CP#19-02

Project Applicant: **Contra Costa County Public Works Department**

Project Location – **Specific:** 202 Glacier Drive (Juvenile Hall Main Building)

Project Location: Martinez

Project Location – County: Central Contra Costa

**Description of Nature, Purpose and Beneficiaries of Project:**

The purpose of this Project is to improve accessibility for public and institutional portions of the juvenile detention facility main building located at the above address.

The Project consists of revisions and improvements of roughly 740 square feet of space in multiple locations including the remodel of several restrooms, drinking fountains, kitchen spaces, and service areas.

Utility adjustments or relocation may be necessary in support of the project.

General Plan Conformance will be obtained from the City of Martinez.

Name of Public Agency Approving Project: **Contra Costa County**

Name of Person or Agency Carrying Out Project: **Contra Costa County Public Works Department**

**Exempt Status:**

- |   |  |
|---|--|
| <input type="checkbox"/> Ministerial Project (Sec. 21080(b) (1); 15268;     | <input checked="" type="checkbox"/> Categorical Exemption: <u>15301 Class 1(a)</u>       |
| <input type="checkbox"/> Declared Emergency (Sec. 21080(b)(3); 15269(a));   | <input type="checkbox"/> Other Statutory Exemption, Code No.: _____                      |
| <input type="checkbox"/> Emergency Project (Sec. 21080(b)(4); 15269(b)(c)); | <input type="checkbox"/> General Rule of Applicability [Article 5, Section 15061 (b)(3)] |

**Reasons why project is exempt:** The project consists of interior alterations involving negligible expansion of use, pursuant to Section 15301(a) of the CEQA guidelines.

Lead Agency Contact Person: Sandeep Singh - Public Works Dept. Area Code/Telephone/Extension: (925) 313-2022

If filed by applicant:

1. Attach certified document of exemption finding.
2. Has a Notice of Exemption been filed by the public agency approving the project?  Yes  No

Signature: \_\_\_\_\_ Date: \_\_\_\_\_ Title: \_\_\_\_\_

Signed by Lead Agency  Signed by Applicant

**AFFIDAVIT OF FILING AND POSTING**

I declare that on \_\_\_\_\_ I received and posted this notice as required by California Public Resources Code Section 21152(c). Said notice will remain posted for 30 days from the filing date.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

**Applicant:**

Public Works Department  
255 Glacier Drive  
Martinez, CA 94553

Attn: Sandeep Singh

Environmental Services Division

Phone: (925) 313-2022

**Department of Fish and Game Fees Due**

- EIR - \$3,271.<sup>00</sup>  
 Neg. Dec. - \$2,354.<sup>75</sup>  
 DeMinimis Findings - \$0  
 County Clerk - \$50  
 Conservation & Development - \$25

Total Due: \$ \_\_\_\_\_

Total Paid \$ \_\_\_\_\_

Receipt #: \_\_\_\_\_



Contra  
Costa  
County

To: Board of Supervisors  
From: Robert Campbell, Auditor-Controller  
Date: March 12, 2019

Subject: DISTRIBUTION OF STATE HIGHWAY PROPERTY RENTAL REVENUE

---

**RECOMMENDATION(S):**

APPROVE and AUTHORIZE the Auditor-Controller, or designee, to distribute the 2017-18 State Highway property rental revenue in the amount of \$81,445.20 to the County Road Fund and to eligible cities pursuant to the provisions of Section 104.10 of the Streets and Highways Code, as follows: County Road Fund \$73,993.20; City of Lafayette \$6,072.00; City of Walnut Creek \$1,380.00

**FISCAL IMPACT:**

This action has no impact on the General Fund. The County Road Fund will receive \$73,993.20.

**BACKGROUND:**

Section 104.6 of the Streets and Highways Code authorizes the State Department of Transportation to lease any lands it holds which are not presently needed for State Highway purposes and provides that 24 percent of such rental revenue shall be allocated pursuant to Section 104.10. Section 104.13 provides that all funds distributed to the County shall be deemed to be in full or partial payment of the possessory interest taxes due on such leased properties. The recommended distribution is in accordance with those State laws.

**CONSEQUENCE OF NEGATIVE ACTION:**

The funds will not be distributed.

---

APPROVE
  OTHER  
 RECOMMENDATION OF CNTY ADMINISTRATOR
  RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Joanne Bohren, (925)  
335-8610

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

ATTACHMENTS

17-18 State Highway Property Rental  
Revenue

**DISTRIBUTION OF 2017-18 HIGHWAY PROPERTY RENTAL REVENUE**  
(Right of Way Rental Income)

|                      |                           |
|----------------------|---------------------------|
| County Road Fund     | \$73,993.20               |
| City of Lafayette    | 6,072.00                  |
| City of Walnut Creek | <u>1,380.00</u>           |
| <b>TOTAL</b>         | <u><u>\$81,445.20</u></u> |



Contra  
Costa  
County

To: Board of Supervisors  
From: Russell Watts, Treasurer-Tax Collector  
Date: March 12, 2019

Subject: ADOPTION OF THE QUARTERLY INVESTMENT REPORT AS OF 12/31/2018

---

**RECOMMENDATION(S):**

ACCEPT the Treasurer's Quarterly Investment Report (the "Report") as of December 31, 2018, as recommended by the County Treasurer-Tax Collector.

**FISCAL IMPACT:**

None.

**BACKGROUND:**

Government Code Section 53646 requires the County Treasurer to prepare quarterly reports to the Board of Supervisors describing County investments including type, par value, cost, and market value. Attached please find the report covering the period October 1, 2018 through December 31, 2018.

As of December 31, 2018, the par value, cost, and market value of Contra Costa County Investment Pool were \$3,818,124,976.16, \$3,801,309,776.69 and \$3,802,582,237.96, respectively. The weighted yield to maturity was 2.45% and the weighted average days to maturity were 190 days.

As of December 31, 2018, the Treasurer's investment portfolio was in compliance with Government Code 53600 et. seq. and with the Treasurer's current

---

APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

---

Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Ronda Boler, (925)  
957-2806

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

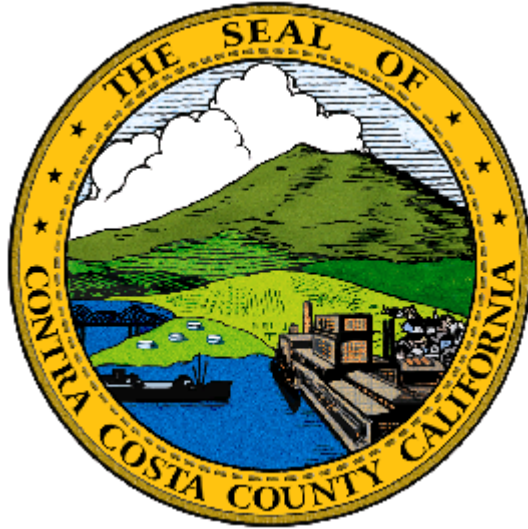
cc:

BACKGROUND: (CONT'D)

investment policy. Historical activities combined with future cash flow projections indicate that the County has sufficient liquidity to meet its obligations for the next six months.

ATTACHMENTS

Treasurer's Quarterly Investment Report 12.31.18



CONTRA COSTA COUNTY  
TREASURER'S QUARTERLY INVESTMENT REPORT  
AS OF DECEMBER 31, 2018

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## EXECUTIVE SUMMARY

- The Treasurer's investment portfolio is in compliance with Government Code 53600 et. seq..
- The Treasurer's investment portfolio is in compliance with the Treasurer's current investment policy.
- The Treasurer's investment portfolio has no securities lending, reverse repurchase agreements or derivatives.
- As of 12/31/18, the fair value of the Treasurer's investment portfolio was 1.0003% of the cost. More than 83 percent of the portfolio or over \$3.18 billion will mature in less than a year. Historical activities combined with future cash flow projections indicate that the County is able to meet its cash flow needs for the next six months.
- Treasurer's Investment Portfolio Characteristics

|                                   |                    |
|-----------------------------------|--------------------|
| Par                               | \$3,818,124,976.16 |
| Cost                              | \$3,801,309,776.69 |
| Market Value                      | \$3,802,582,237.96 |
| Weighted Yield to Maturity        | 2.45%              |
| Weighted Average Days to Maturity | 190 days           |
| Weighted Duration                 | 0.50 year          |

**CONTRA COSTA COUNTY INVESTMENT POOL**  
As of December 31, 2018

| <u>TYPE</u>  | <u>PAR VALUE</u>          | <u>COST</u>               | <u>FAIR VALUE</u>           | <u>PERCENT OF<br/>TOTAL COST</u> |
|--|---------------------------|---------------------------|-----------------------------|----------------------------------|
| <b>A. Investments Managed by Treasurer's Office</b>  |                           |                           |                             |                                  |
| 1. U.S. Treasuries (STRIPS, Bills, Notes)            | \$108,130,000.00          | \$107,316,245.82          | \$107,605,836.80            | 2.82%                            |
| 2. U.S. Agencies                                     |                           |                           |                             |                                  |
| Federal Home Loan Banks                              | 248,060,000.00            | 246,923,965.08            | 246,807,624.90              | 6.50%                            |
| Federal National Mortgage Association                | 59,135,000.00             | 59,003,725.76             | 58,644,204.17               | 1.55%                            |
| Federal Farm Credit Banks                            | 168,622,000.00            | 168,398,256.27            | 167,702,514.33              | 4.43%                            |
| Federal Home Loan Mortgage Corporation               | 153,032,000.00            | 151,400,939.61            | 151,220,322.73              | 3.98%                            |
| Municipal Bonds                                      | 0.00                      | 0.00 <sup>1</sup>         | 0.00 <sup>1</sup>           | 0.00%                            |
| Subtotal   | 628,849,000.00            | 625,726,886.72            | 624,374,666.13              | 16.46%                           |
| 3. Supranationals - International Government         | 302,479,000.00            | 300,898,038.73            | 301,031,174.60              | 7.92%                            |
| 4. Money Market Instruments                          |                           |                           |                             |                                  |
| Commercial Paper                                     | 1,027,150,000.00          | 1,018,499,080.14          | 1,021,895,972.56            | 26.79%                           |
| Negotiable Certificates of Deposit                   | 880,640,000.00            | 880,640,000.00            | 880,940,767.13              | 23.17%                           |
| Medium Term Certificates of Deposit                  | 0.00                      | 0.00                      | 0.00                        | 0.00%                            |
| Money Market Accounts                                | 0.00                      | 0.00                      | 0.00                        | 0.00%                            |
| Time Deposit   | 3,397.22                  | 3,397.22                  | 3,397.22                    | 0.00%                            |
| Subtotal   | 1,907,793,397.22          | 1,899,142,477.36          | 1,902,840,136.91            | 49.96%                           |
| 5. Corporate Notes                                   | 256,023,000.00            | 253,306,827.67            | 253,165,944.01              | 6.66%                            |
| <b>TOTAL (Section A.)<sup>2</sup></b>                | <b>3,203,274,397.22</b>   | <b>3,186,390,476.30</b>   | <b>3,189,017,758.45</b>     | <b>83.82%</b>                    |
| <b>B. Investments Managed by Outside Contractors</b> |                           |                           |                             |                                  |
| 1. PFM   | 155,417,644.68            | 155,772,653.35            | 154,419,139.19              | 4.10%                            |
| 2. Local Agency Investment Fund (LAIF)               | 227,748,174.98            | 227,748,174.98            | 227,532,070.89 <sup>3</sup> | 5.99%                            |
| 3. Wells Capital Management                          | 44,568,630.10             | 44,282,342.88             | 44,497,140.25 <sup>4</sup>  | 1.16%                            |
| 4. CalTRUST (Short-Term Fund)                        | 45,494,700.70             | 45,494,700.70             | 45,494,700.70               | 1.20%                            |
| 5. CalTRUST (Liquidity Fund)                         | 85,007,249.29             | 85,007,249.29             | 85,007,249.29               | 2.24%                            |
| 6. Other   |                           |                           |                             |                                  |
| a. EBRCB Bond  | 1,769,666.58              | 1,769,666.58              | 1,769,666.58                | 0.05%                            |
| <b>TOTAL (Section B.)</b>                            | <b>560,006,066.33</b>     | <b>560,074,787.78</b>     | <b>558,719,966.90</b>       | <b>14.73%</b>                    |
| <b>C. Cash</b>                                       | <b>54,844,512.61</b>      | <b>54,844,512.61</b>      | <b>54,844,512.61</b>        | <b>1.44%</b>                     |
| <b><sup>5</sup>GRAND TOTAL (FOR A , B, &amp; C)</b>  | <b>\$3,818,124,976.16</b> | <b>\$3,801,309,776.69</b> | <b>\$3,802,582,237.96</b>   | <b>100.00%</b>                   |

## Notes:

1. Fair Value equals Cost less purchase interest

2. Excludes funds managed by PFM retained by Contra Costa School Insurance Group and Community College District

3. Estimated Fair Value

4. Base Market Value plus Accrued Interest

5. Does not include the Futuris Public Entity Trust of the Contra Costa Community College District Retirement Board of Authority

**CONTRA COSTA COUNTY INVESTMENT POOL**  
**As of December 31, 2018**

**CONTRA COSTA COUNTY INVESTMENT POOL - EARNING STATISTICS**

|                            | Fiscal<br>Year To Date | Quarter ending<br>12/31/2018 |
|----------------------------|------------------------|------------------------------|
| Average Daily Balance (\$) | 3,082,073,252.58       | 3,160,862,148.25             |
| Net Earnings (\$)          | 33,277,685.47          | 18,090,280.21                |
| Earned Income Yield        | 2.11%                  | 2.24%                        |

**CONTRA COSTA COUNTY INVESTMENT POOL - PORTFOLIO STATISTICS**

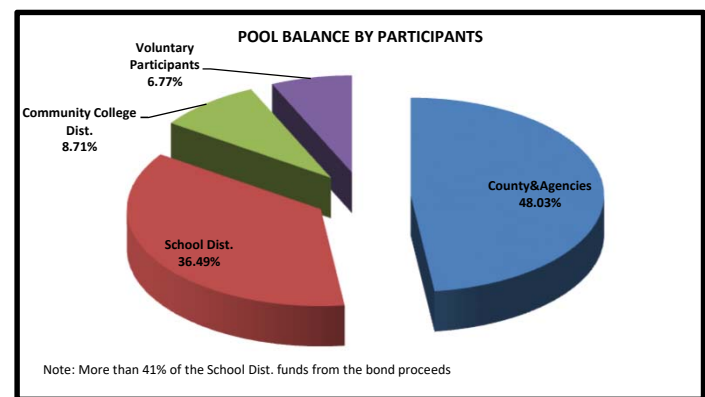
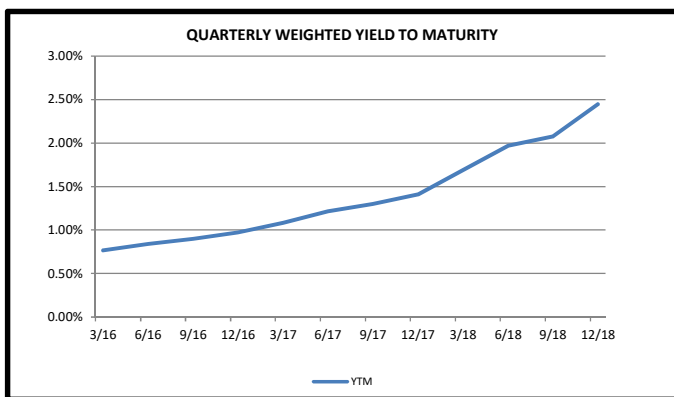
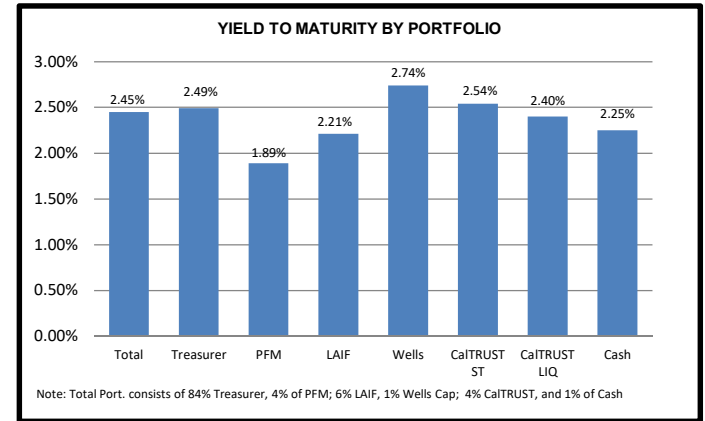
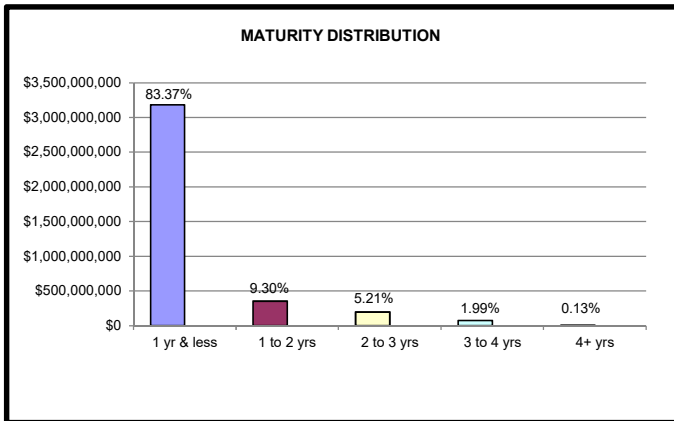
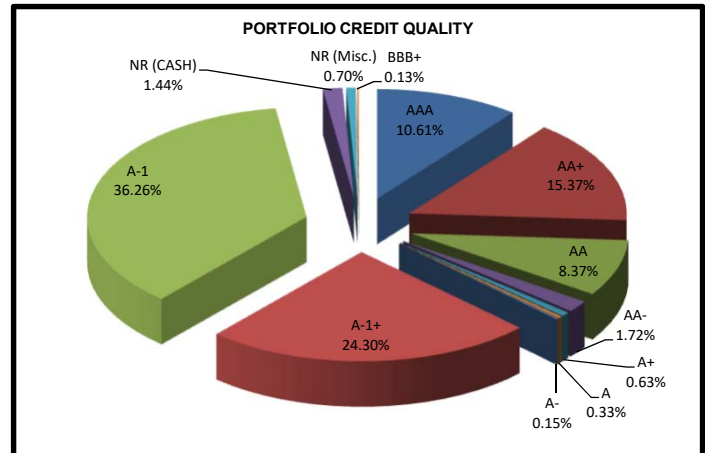
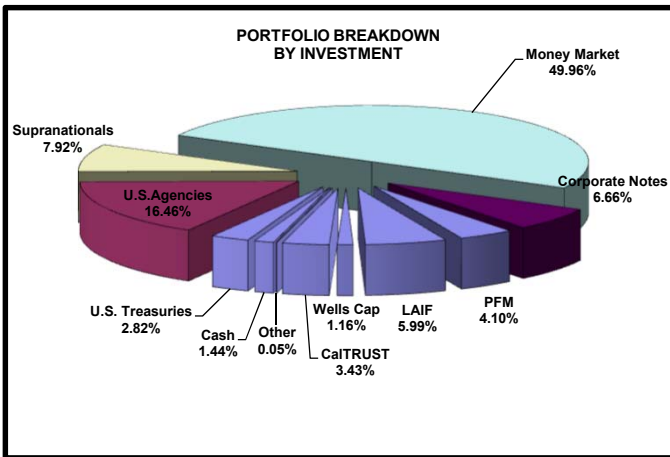
| Investment<br>Type            | Par<br>Value<br>(\$)           | Fair<br>Value<br>(\$)          | YTM<br><br>(%)     | WAM<br><br>(days) | Percentage<br>of<br>Portfolio |
|-------------------------------|--------------------------------|--------------------------------|--------------------|-------------------|-------------------------------|
| U.S. Treasury                 | 108,130,000.00                 | 107,605,836.80                 | 2.45               | 217               | 2.83%                         |
| Agencies                      | 628,849,000.00                 | 624,374,666.13                 | 2.03               | 338               | 16.42%                        |
| Municipals                    | -                              | -                              | 0.00               | 0                 | 0.00%                         |
| Commercial Paper              | 1,027,150,000.00               | 1,021,895,972.56               | 2.65               | 69                | 26.87%                        |
| NCD/YCD                       | 880,640,000.00                 | 880,940,767.13                 | 2.68               | 73                | 23.17%                        |
| Corporate Notes               | 256,023,000.00                 | 253,165,944.01                 | 2.61               | 582               | 6.66%                         |
| Time Deposit                  | 3,397.22                       | 3,397.22                       | 0.40               | 510               | 0.00%                         |
| Money Market Fund             | -                              | -                              | 0.00               | 0                 | 0.00%                         |
| Supranationals                | 302,479,000.00                 | 301,031,174.60                 | 2.29               | 398               | 7.92%                         |
| PFM                           | 155,417,644.68                 | 154,419,139.19                 | 1.84               | 481               | 4.06%                         |
| LAIF                          | 227,748,174.98                 | 227,532,070.89                 | 2.29               | 1                 | 5.98%                         |
| CalTRUST (Short Term)         | 45,494,700.70                  | 45,494,700.70                  | 2.54               | 1                 | 1.20%                         |
| CalTRUST (Liquidity)          | 85,007,249.29                  | 85,007,249.29                  | 2.40               | 0                 | 2.24%                         |
| Wells Cap                     | 44,568,630.10                  | 44,497,140.25                  | 2.74               | 248               | 1.17%                         |
| Misc. <sup>1</sup>            | 1,769,666.58                   | 1,769,666.58                   | 0.00               | N/A               | 0.05%                         |
| Cash                          | 54,844,512.61                  | 54,844,512.61                  | 2.25               | 0                 | 1.44%                         |
| <b>Total Fund<sup>3</sup></b> | <b><u>3,818,124,976.16</u></b> | <b><u>3,802,582,237.96</u></b> | <b><u>2.45</u></b> | <b><u>190</u></b> | <b><u>100.00%</u></b>         |

1. East Bay Regional Communications System Authority.

2. Average Earning Allowance for this quarter.

3. Excludes the Futuris Public Entity Trust of the CCCCD Retirement Board of Authority.

**CONTRA COSTA COUNTY  
INVESTMENT POOL  
AT A GLANCE**  
*As of December 31, 2018*

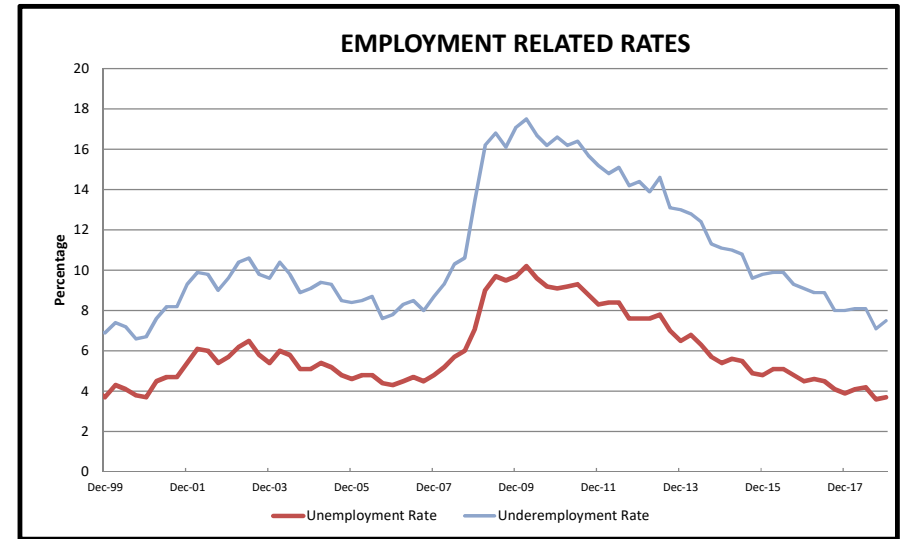
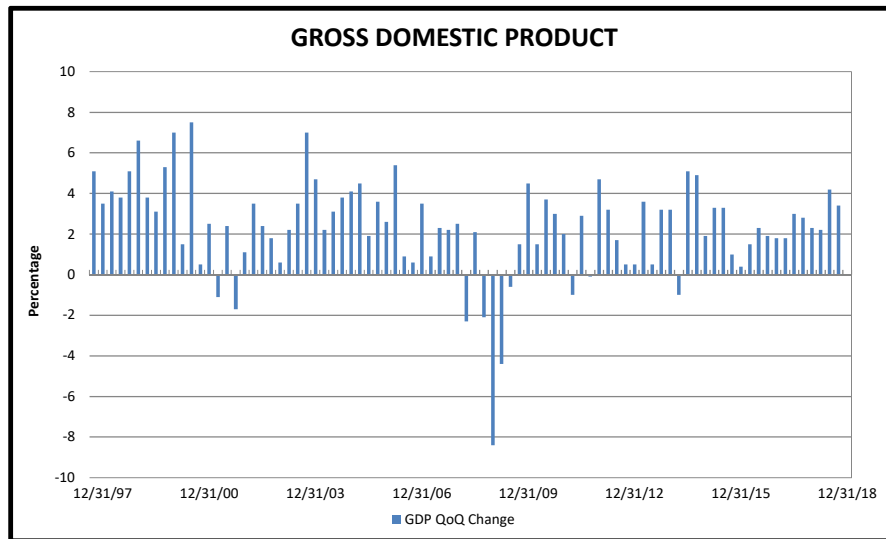
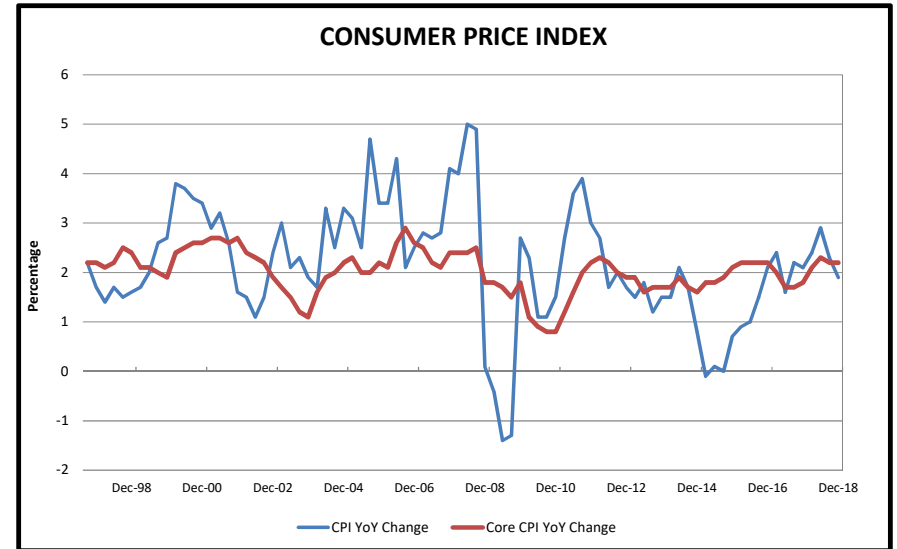
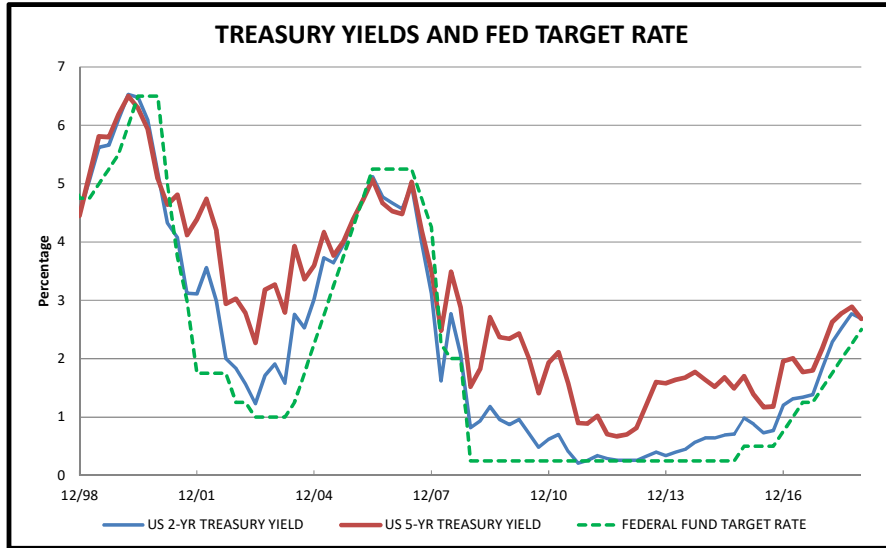


**NOTES TO INVESTMENT PORTFOLIO SUMMARY AND AT A GLANCE AS OF DECEMBER 31, 2018**

1. All report information is unaudited but due diligence was utilized in its preparation.
2. There may be slight differences between the portfolio summary page and the attached exhibits and statements for investments managed by outside contractors or trustees. The variance is due to the timing difference in recording transactions associated with outside contracted parties during interim periods and later transmitted to the appropriate county agency and/or the Treasurer's Office. In general, the Treasurer's records reflect booked costs at the beginning of a period.
3. All securities and amounts included in the portfolio are denominated in United States Dollars.
4. The Contra Costa County investment portfolio maintains Standard & Poor's highest credit quality rating of AA+ and lowest volatility of S1+. The portfolio consists of a large portion of short-term investments with credit rating of A-1/P-1 or better. The majority of the long-term investments in the portfolio are rated AA or better.
5. In accordance with Contra Costa County's Investment Policy, the Treasurer's Office has constructed a portfolio that safeguards the principal, meets the liquidity needs and achieves a return. As a result, more than 83% of the portfolio will mature in less than a year with a weighted average maturity of 190 days.

## MAJOR MARKET AND ECONOMIC DATA

AS OF DECEMBER 31, 2018



Note:  
 All data provided by Bloomberg.  
 Delayed U.S. 4th quarter GDP to be made public on February 28th.

## **SECTION III**

### **APPENDIX**

#### **A. INVESTMENT PORTFOLIO DETAIL - MANAGED BY TREASURER'S OFFICE**



## Inventory by Market Value

As Of Date: 12/31/2018

Date Basis: Settlement

Run: 01/09/2019 03:48:06 PM

Reporting Currency: Local

### Contra Costa County

| Inv. No.                          | Description         | CUSIP     | Purchase Maturity | Coupon YTM TR   | Current Par /Share<br>Current Book | Market Value<br>Market Price | Curr Accr Int<br>Price Source | Unrealized Gain<br>Unrealized Loss |
|-----------------------------------|---------------------|-----------|-------------------|-----------------|------------------------------------|------------------------------|-------------------------------|------------------------------------|
| <b>Inv Type: 1 SUPRANATIONALS</b> |                     |           |                   |                 |                                    |                              |                               |                                    |
| 82978                             | SUP INTL BK RECON & | 459058EV1 | 03/10/2016        | 1.250000        | 5,000,000.00                       | 4,961,150.00                 | 26,912.50                     | 0.00                               |
|                                   |                     |           | 07/26/2019        | 1.345903        | 4,984,200.00                       | 99.223000                    | IDC-FIS                       | -23,050.00                         |
| 83184                             | SUP INTER-AMERICAN  | 458182DX7 | 06/28/2016        | 1.000000        | 10,000,000.00                      | 9,939,300.00                 | 13,333.33                     | 0.00                               |
|                                   |                     |           | 05/13/2019        | 1.040147        | 9,988,600.00                       | 99.393000                    | IDC-FIS                       | -49,300.00                         |
| 83435                             | SUPRA INTL BK RECON | 459058FS7 | 11/29/2016        | 1.126000        | 10,000,000.00                      | 9,869,700.00                 | 10,634.98                     | 7,800.00                           |
|                                   |                     |           | 11/27/2019        | 1.832388        | 9,861,900.00                       | 98.697000                    | IDC-FIS                       | 0.00                               |
| 83473                             | GOV SUPRA INTER-AME | 4581X0BY3 | 12/07/2016        | 1.125000        | 10,000,000.00                      | 9,893,300.00                 | 34,062.50                     | 2,600.00                           |
|                                   |                     |           | 09/12/2019        | 1.530191        | 9,890,700.00                       | 98.933000                    | IDC-FIS                       | 0.00                               |
| 83504                             | GOV SUPRA INTL BK R | 45905UVL5 | 12/16/2016        | 1.260000        | 6,500,000.00                       | 6,467,175.00                 | 36,172.50                     | 0.00                               |
|                                   |                     |           | 01/22/2019        | 1.505016        | 6,467,175.00                       | 99.495000                    | BOOK                          | 0.00                               |
| 83585                             | SUPRA INTL BK RECON | 459058EV1 | 01/31/2017        | 1.250000        | 10,000,000.00                      | 9,922,300.00                 | 53,819.44                     | 0.00                               |
|                                   |                     |           | 07/26/2019        | 1.530185        | 9,931,900.00                       | 99.223000                    | IDC-FIS                       | -9,600.00                          |
| 83686                             | SUP INTL BK RECON & | 459058FA6 | 04/07/2017        | 1.376000        | 20,000,000.00                      | 19,704,600.00                | 69,564.44                     | 0.00                               |
|                                   |                     |           | 03/30/2020        | 1.602582        | 19,868,600.00                      | 98.523000                    | IDC-FIS                       | -164,000.00                        |
| 83740                             | SUPRA INTER-AMERICA | 458182DX7 | 05/12/2017        | 1.000000        | 10,000,000.00                      | 9,939,300.00                 | 13,333.33                     | 34,700.00                          |
|                                   |                     |           | 05/13/2019        | 1.485219        | 9,904,600.00                       | 99.393000                    | IDC-FIS                       | 0.00                               |
| 83927                             | SUPRA INTL BK RECON | 4581X0BY3 | 10/13/2017        | 1.125000        | 10,000,000.00                      | 9,893,300.00                 | 34,062.50                     | 0.00                               |
|                                   |                     |           | 09/12/2019        | 1.637439        | 9,903,800.00                       | 98.933000                    | IDC-FIS                       | -10,500.00                         |
| 84187                             | SUP INTL BK RECON A | 45905US96 | 02/08/2018        | 2.400000        | 10,000,000.00                      | 10,000,000.00                | 95,333.33                     | 0.00                               |
|                                   |                     |           | 02/08/2021        | 2.400000        | 10,000,000.00                      | 100.000000                   | BOOK                          | 0.00                               |
| 84206                             | SUPRA IFC           | 45950KCM0 | 01/26/2018        | 2.250000        | 20,000,000.00                      | 19,868,800.00                | 195,000.00                    | 0.00                               |
|                                   |                     |           | 01/25/2021        | 2.336839        | 19,950,000.00                      | 99.344000                    | IDC-FIS                       | -81,200.00                         |
| 84507                             | SUPRA IFC NOTE      | 45950KCM0 | 06/26/2018        | 2.250000        | 20,000,000.00                      | 19,868,800.00                | 195,000.00                    | 106,200.00                         |
|                                   |                     |           | 01/25/2021        | 2.729079        | 19,762,600.00                      | 99.344000                    | IDC-FIS                       | 0.00                               |
| 84589                             | SUPRA INTL FINANCE  | 45950VMJ2 | 09/06/2018        | 2.750000        | 30,000,000.00                      | 30,006,000.00                | 263,541.67                    | 6,000.00                           |
|                                   |                     |           | 09/08/2020        | 2.750000        | 30,000,000.00                      | 100.020000                   | IDC-FIS                       | 0.00                               |
| 84623                             | SUPRA INTL FINANCE  | 45950VMJ2 | 09/20/2018        | 2.750000        | 20,000,000.00                      | 20,004,000.00                | 175,694.45                    | 48,040.00                          |
|                                   |                     |           | 09/08/2020        | 2.865525        | 19,977,348.89                      | 100.020000                   | IDC-FIS                       | 0.00                               |
| 84650                             | RM SUPRA IBRD       | 459058FQ1 | 10/11/2018        | 1.200000        | 379,000.00                         | 374,603.60                   | 1,149.64                      | 1,174.90                           |
|                                   |                     |           | 09/30/2019        | 2.746752        | 373,567.67                         | 98.840000                    | IDC-FIS                       | 0.00                               |
| 84693                             | SUPRA INTL FINANCE  | 45950VMQ6 | 11/13/2018        | 3.000000        | 30,000,000.00                      | 30,142,500.00                | 120,000.00                    | 142,500.00                         |
|                                   |                     |           | 11/13/2020        | 3.000000        | 30,000,000.00                      | 100.475000                   | IDC-FIS                       | 0.00                               |
| 84755                             | RM SUPRA IADB       | 4581X0CD8 | 12/06/2018        | 2.125000        | 2,000,000.00                       | 1,981,340.00                 | 6,138.89                      | 11,252.00                          |
|                                   |                     |           | 11/09/2020        | 2.929235        | 1,973,275.50                       | 99.067000                    | IDC-FIS                       | 0.00                               |
|                                   |                     |           | <b>Subtotal</b>   | <b>2.032111</b> | <b>223,879,000.00</b>              | <b>222,836,168.60</b>        | <b>1,343,753.50</b>           | <b>360,266.90</b>                  |



## Inventory by Market Value

As Of Date: 12/31/2018

Date Basis: Settlement

Run: 01/09/2019 03:48:06 PM

Reporting Currency: Local

### Contra Costa County

| Inv. No. | Description | CUSIP | Purchase Maturity | Coupon YTM TR | Current Par /Share<br>Current Book | Market Value<br>Market Price | Curr Accr Int<br>Price Source | Unrealized Gain<br>Unrealized Loss |
|----------|-------------|-------|-------------------|---------------|------------------------------------|------------------------------|-------------------------------|------------------------------------|
|          |             |       |                   | 2.239778      | 222,838,267.06                     | 99.534199                    |                               | -337,650.00                        |

#### Inv Type: 5 SUPRANATIONALS DISC

|       |                    |           |                 |                 |                      |                      |                   |                  |
|-------|--------------------|-----------|-----------------|-----------------|----------------------|----------------------|-------------------|------------------|
| 84754 | SUPRA IFC DISCOUNT | 459516EE6 | 12/03/2018      | 2.440000        | 30,000,000.00        | 29,798,700.00        | 58,966.67         | 2,033.33         |
|       |                    |           | 04/11/2019      | 2.461522        | 29,737,700.00        | 99.329000            | IDC-FIS           | 0.00             |
| 84769 | SUP IBRD DISC      | 459052DY9 | 12/07/2018      | 2.390000        | 30,000,000.00        | 29,811,000.00        | 49,791.67         | 0.00             |
|       |                    |           | 04/05/2019      | 2.409032        | 29,762,991.67        | 99.370000            | IDC-FIS           | -1,783.34        |
| 84783 | SO SUPRA IBRD DISC | 459052AP1 | 12/12/2018      | 2.400000        | 3,300,000.00         | 3,297,393.00         | 4,400.00          | 253.00           |
|       |                    |           | 01/14/2019      | 2.405292        | 3,292,740.00         | 99.921000            | IDC-FIS           | 0.00             |
| 84784 | SO SUPRA IBRD DISC | 459052AP1 | 12/12/2018      | 2.400000        | 2,800,000.00         | 2,797,788.00         | 3,733.33          | 214.67           |
|       |                    |           | 01/14/2019      | 2.405292        | 2,793,840.00         | 99.921000            | IDC-FIS           | 0.00             |
| 84785 | SO SUPRA IBRD DISC | 459052AP1 | 12/12/2018      | 2.400000        | 800,000.00           | 799,368.00           | 1,066.67          | 61.33            |
|       |                    |           | 01/14/2019      | 2.405292        | 798,240.00           | 99.921000            | IDC-FIS           | 0.00             |
| 84786 | SO SUPRA IBRD DISC | 459052AP1 | 12/12/2018      | 2.400000        | 1,800,000.00         | 1,798,578.00         | 2,400.00          | 138.00           |
|       |                    |           | 01/14/2019      | 2.405292        | 1,796,040.00         | 99.921000            | IDC-FIS           | 0.00             |
| 84787 | SO SUPRA IBRD DISC | 459052AP1 | 12/12/2018      | 2.400000        | 9,900,000.00         | 9,892,179.00         | 13,200.00         | 759.00           |
|       |                    |           | 01/14/2019      | 2.405292        | 9,878,220.00         | 99.921000            | IDC-FIS           | 0.00             |
|       |                    |           | <b>Subtotal</b> | <b>2.411426</b> | <b>78,600,000.00</b> | <b>78,195,006.00</b> | <b>133,558.34</b> | <b>3,459.33</b>  |
|       |                    |           |                 | <b>2.428139</b> | <b>78,059,771.67</b> | <b>99.484740</b>     |                   | <b>-1,783.34</b> |

#### Inv Type: 11 TREASURY BILLS

|       |                     |           |            |          |               |               |           |          |
|-------|---------------------|-----------|------------|----------|---------------|---------------|-----------|----------|
| 84518 | RM GOV TREASURY BIL | 912796PK9 | 07/05/2018 | 2.050000 | 1,000,000.00  | 999,940.00    | 10,250.00 | 53.89    |
|       |                     |           | 01/03/2019 | 2.071469 | 989,636.11    | 99.994000     | IDC-FIS   | 0.00     |
| 84550 | KFPD GOV US TREASUR | 912796QC6 | 07/26/2018 | 2.210000 | 250,000.00    | 248,112.50    | 2,440.21  | 0.00     |
|       |                     |           | 04/25/2019 | 2.247668 | 245,810.21    | 99.245000     | IDC-FIS   | -137.92  |
| 84582 | CCCSD GOV US TREASU | 912796PT0 | 08/31/2018 | 2.200000 | 4,000,000.00  | 3,984,920.00  | 30,066.66 | 0.00     |
|       |                     |           | 02/28/2019 | 2.224606 | 3,955,755.56  | 99.623000     | IDC-FIS   | -902.22  |
| 84619 | AUHSD GOV US TREASU | 912796RA9 | 09/17/2018 | 2.475000 | 2,600,000.00  | 2,554,058.00  | 18,947.50 | 0.00     |
|       |                     |           | 09/12/2019 | 2.537811 | 2,535,650.00  | 98.233000     | IDC-FIS   | -539.50  |
| 84620 | AUHSD GOV US TREASU | 912796RA9 | 09/17/2018 | 2.475000 | 110,000.00    | 108,056.30    | 801.63    | 0.00     |
|       |                     |           | 09/12/2019 | 2.537811 | 107,277.50    | 98.233000     | IDC-FIS   | -22.83   |
| 84719 | GOV US TREASURY BIL | 912796RG6 | 11/30/2018 | 2.410000 | 30,000,000.00 | 29,801,700.00 | 64,133.33 | 2,116.67 |
|       |                     |           | 04/11/2019 | 2.426397 | 29,735,450.00 | 99.339000     | IDC-FIS   | 0.00     |
| 84770 | GOV US TREASURY BIL | 912796RG6 | 12/07/2018 | 2.400000 | 30,000,000.00 | 29,801,700.00 | 50,000.00 | 1,700.00 |
|       |                     |           | 04/11/2019 | 2.420168 | 29,750,000.00 | 99.339000     | IDC-FIS   | 0.00     |
| 84810 | LUHSD GOV TREASURY  | 912796RU5 | 12/14/2018 | 2.480000 | 10,000,000.00 | 9,890,100.00  | 12,400.00 | 2,388.89 |





## Inventory by Market Value

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Reporting Currency: Local

### Contra Costa County

| Inv. No. | Description         | CUSIP     | Purchase Maturity | Coupon YTM TR   | Current Par /Share   |                      | Market Value Market Price | Curr Accr Int Price Source | Unrealized Gain  |                 |
|----------|---------------------|-----------|-------------------|-----------------|----------------------|----------------------|---------------------------|----------------------------|------------------|-----------------|
|          |                     |           |                   |                 | Current Book         | Market Price         |                           |                            | Unrealized Loss  | Unrealized Gain |
| 84818    | CCCSD GOV US TREASU | 912796QM4 | 06/13/2019        | 2.511313        | 9,875,311.11         | 98.901000            | IDC-FIS                   | 0.00                       |                  |                 |
|          |                     |           | 12/21/2018        | 2.455000        | 5,000,000.00         | 4,942,650.00         | 3,750.69                  | 615.28                     |                  |                 |
|          |                     |           | 06/20/2019        | 2.485681        | 4,938,284.03         | 98.853000            | IDC-FIS                   | 0.00                       |                  |                 |
|          | <b>Subtotal</b>     |           |                   | <b>2.404541</b> | <b>82,960,000.00</b> | <b>82,331,236.80</b> |                           | <b>192,790.02</b>          | <b>6,874.73</b>  |                 |
|          |                     |           |                   | <b>2.426970</b> | <b>82,133,174.52</b> | <b>99,242089</b>     |                           |                            | <b>-1,602.47</b> |                 |

### Inv Type: 12 TREASURY NOTES

|       |                    |           |            |                 |                      |                      |            |                   |                   |  |
|-------|--------------------|-----------|------------|-----------------|----------------------|----------------------|------------|-------------------|-------------------|--|
| 82512 | RM GOV US TREASURY | 912828ST8 | 04/15/2015 | 1.250000        | 5,000,000.00         | 4,979,500.00         | 10,704.42  | 0.00              |                   |  |
|       |                    |           | 04/30/2019 | 1.138894        | 5,021,875.00         | 99.590000            | IDC-FIS    | -42,375.00        |                   |  |
| 83893 | WT GOV US TREASURY | 9128282S8 | 09/11/2017 | 1.625000        | 170,000.00           | 164,900.00           | 938.64     | 0.00              |                   |  |
|       |                    |           | 08/31/2022 | 1.670000        | 169,636.30           | 97.000000            | IDC-FIS    | -4,736.30         |                   |  |
| 84637 | GOV FHLB NOTES     | 3130AF2D8 | 10/01/2018 | 2.860000        | 20,000,000.00        | 20,130,200.00        | 144,451.21 | 138,640.00        |                   |  |
|       |                    |           | 10/15/2020 | 2.881044        | 19,991,560.00        | 100.651000           | IDC-FIS    | 0.00              |                   |  |
|       | <b>Subtotal</b>    |           |            | <b>2.530623</b> | <b>25,170,000.00</b> | <b>25,274,600.00</b> |            | <b>156,094.27</b> | <b>138,640.00</b> |  |
|       |                    |           |            | <b>2.525476</b> | <b>25,183,071.30</b> | <b>100.415574</b>    |            |                   | <b>-47,111.30</b> |  |

### Inv Type: 22 FEDERAL HOME LOAN BANKS

|       |                     |           |            |          |               |               |           |             |  |  |
|-------|---------------------|-----------|------------|----------|---------------|---------------|-----------|-------------|--|--|
| 82400 | RM GOV FHLB NOTES   | 3133782M2 | 02/25/2015 | 1.500000 | 5,000,000.00  | 4,991,200.00  | 23,541.67 | 0.00        |  |  |
|       |                     |           | 03/08/2019 | 1.415035 | 5,016,600.00  | 99.824000     | IDC-FIS   | -25,400.00  |  |  |
| 82582 | RM GOV FHLB NOTES   | 313379EE5 | 06/08/2015 | 1.625000 | 2,500,000.00  | 2,489,175.00  | 1,918.40  | 0.00        |  |  |
|       |                     |           | 06/14/2019 | 1.563094 | 2,506,000.00  | 99.567000     | IDC-FIS   | -16,825.00  |  |  |
| 82694 | RM GOV FHLB NOTES   | 313380FB8 | 09/21/2015 | 1.375000 | 2,500,000.00  | 2,477,525.00  | 10,312.50 | 0.00        |  |  |
|       |                     |           | 09/13/2019 | 1.400130 | 2,497,575.00  | 99.101000     | IDC-FIS   | -20,050.00  |  |  |
| 82929 | KFPD GOV FHLB NOTES | 313381CA1 | 01/27/2016 | 1.375000 | 250,000.00    | 244,467.50    | 190.97    | 0.00        |  |  |
|       |                     |           | 12/11/2020 | 1.513574 | 248,377.50    | 97.787000     | IDC-FIS   | -3,910.00   |  |  |
| 82930 | KFPD GOV FHLB NOTES | 313381C94 | 01/27/2016 | 1.250000 | 250,000.00    | 246,780.00    | 156.25    | 0.00        |  |  |
|       |                     |           | 12/13/2019 | 1.326075 | 249,282.50    | 98.712000     | IDC-FIS   | -2,502.50   |  |  |
| 82931 | KFPD GOV FHLB NOTES | 3130A3UQ5 | 01/25/2016 | 1.875000 | 250,000.00    | 246,710.00    | 260.42    | 0.00        |  |  |
|       |                     |           | 12/11/2020 | 1.570027 | 253,565.00    | 98.684000     | IDC-FIS   | -6,855.00   |  |  |
| 83167 | RM GOV FHLB NOTES   | 3133834H1 | 06/10/2016 | 1.375000 | 1,865,000.00  | 1,832,922.00  | 1,353.42  | 0.00        |  |  |
|       |                     |           | 06/12/2020 | 1.180179 | 1,879,174.00  | 98.280000     | IDC-FIS   | -46,252.00  |  |  |
| 83168 | RM GOV FHLB NOTES   | 3133834H1 | 06/10/2016 | 1.375000 | 5,265,000.00  | 5,174,442.00  | 3,820.84  | 0.00        |  |  |
|       |                     |           | 06/12/2020 | 1.180179 | 5,305,014.00  | 98.280000     | IDC-FIS   | -130,572.00 |  |  |
| 83434 | GOV FHLB NOTES      | 3130AA2H0 | 11/29/2016 | 1.125000 | 20,000,000.00 | 19,733,200.00 | 20,000.00 | 0.00        |  |  |
|       |                     |           | 11/29/2019 | 1.165139 | 19,976,400.00 | 98.666000     | IDC-FIS   | -243,200.00 |  |  |



## Inventory by Market Value

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### Contra Costa County

| Inv. No. | Description        | CUSIP     | Purchase Maturity | Coupon YTM TR   | Current Par /Share<br>Current Book | Market Value<br>Market Price | Curr Accr Int<br>Price Source | Unrealized Gain<br>Unrealized Loss |
|----------|--------------------|-----------|-------------------|-----------------|------------------------------------|------------------------------|-------------------------------|------------------------------------|
| 83629    | WT GOV FHLB NOTES  | 3130AABG2 | 03/08/2017        | 1.875000        | 170,000.00                         | 166,931.50                   | 283.33                        | 0.00                               |
|          |                    |           | 11/29/2021        | 2.050128        | 168,663.80                         | 98.195000                    | IDC-FIS                       | -1,732.30                          |
| 83728    | RM GOV FHLB NOTES  | 3130AB5A0 | 04/27/2017        | 1.750000        | 5,000,000.00                       | 4,917,600.00                 | 20,416.67                     | 0.00                               |
|          |                    |           | 04/07/2021        | 1.723000        | 5,005,108.25                       | 98.352000                    | IDC-FIS                       | -87,508.25                         |
| 83924    | GOV FHLB NOTES     | 3130ACLS1 | 10/11/2017        | 1.550000        | 20,000,000.00                      | 19,989,200.00                | 68,888.89                     | 0.00                               |
|          |                    |           | 10/11/2019        | 1.577535        | 19,989,200.00                      | 99.946000                    | BOOK                          | 0.00                               |
| 84066    | RM GOV FHLB NOTES  | 3130AAB49 | 12/08/2017        | 1.875000        | 4,500,000.00                       | 4,404,420.00                 | 4,921.88                      | 0.00                               |
|          |                    |           | 12/10/2021        | 2.100994        | 4,461,124.50                       | 97.876000                    | IDC-FIS                       | -56,704.50                         |
| 84190    | RM GOV FHLB NOTES  | 3130ADME9 | 02/08/2018        | 2.375000        | 3,500,000.00                       | 3,487,820.00                 | 33,019.10                     | 0.00                               |
|          |                    |           | 02/08/2021        | 2.375000        | 3,500,000.00                       | 99.652000                    | IDC-FIS                       | -12,180.00                         |
| 84367    | CLT GOV FHLB NOTES | 3130AE6P0 | 04/26/2018        | 2.250000        | 250,000.00                         | 249,780.00                   | 1,015.63                      | 0.00                               |
|          |                    |           | 04/26/2019        | 2.278646        | 249,929.98                         | 99.912000                    | IDC-FIS                       | -149.98                            |
| 84420    | WT GOV FHLB NOTE   | 3130AE3Q1 | 05/21/2018        | 2.735000        | 165,000.00                         | 165,795.30                   | 890.01                        | 1,837.44                           |
|          |                    |           | 04/20/2022        | 2.906513        | 163,957.86                         | 100.482000                   | IDC-FIS                       | 0.00                               |
| 84459    | RM GOV FHLB NOTE   | 3130AEEQ9 | 06/01/2018        | 2.650000        | 2,500,000.00                       | 2,504,725.00                 | 8,281.25                      | 7,042.50                           |
|          |                    |           | 05/16/2022        | 2.675015        | 2,497,682.50                       | 100.189000                   | IDC-FIS                       | 0.00                               |
| 84460    | RM GOV FHLB NOTE   | 3130AEEP1 | 06/01/2018        | 2.550000        | 2,500,000.00                       | 2,502,700.00                 | 5,843.75                      | 3,770.00                           |
|          |                    |           | 05/28/2021        | 2.565000        | 2,498,930.00                       | 100.108000                   | IDC-FIS                       | 0.00                               |
| 84480    | RM FHLB NOTE       | 3130AEEP1 | 06/08/2018        | 2.550000        | 5,000,000.00                       | 5,005,400.00                 | 11,687.50                     | 25,630.00                          |
|          |                    |           | 05/28/2021        | 2.692494        | 4,979,770.00                       | 100.108000                   | IDC-FIS                       | 0.00                               |
| 84484    | CCCSD FHLB NOTE    | 3130ABF92 | 06/08/2018        | 1.375000        | 5,000,000.00                       | 4,975,600.00                 | 6,302.08                      | 20,950.00                          |
|          |                    |           | 05/28/2019        | 2.323683        | 4,954,650.00                       | 99.512000                    | IDC-FIS                       | 0.00                               |
| 84489    | RM FHLB NOTE       | 3130AEBM1 | 06/08/2018        | 2.750000        | 5,000,000.00                       | 5,021,100.00                 | 8,020.66                      | 36,775.00                          |
|          |                    |           | 06/10/2022        | 2.832511        | 4,984,325.00                       | 100.422000                   | IDC-FIS                       | 0.00                               |
| 84581    | GOV FHLB NOTES     | 3130AEU65 | 08/29/2018        | 2.625000        | 20,000,000.00                      | 20,027,000.00                | 185,208.34                    | 58,160.00                          |
|          |                    |           | 08/21/2020        | 2.706385        | 19,976,131.67                      | 100.135000                   | IDC-FIS                       | 0.00                               |
| 84712    | RM GOV FHLB NOTES  | 3130ABCV6 | 11/27/2018        | 1.690000        | 5,000,000.00                       | 4,897,950.00                 | 9,858.34                      | 43,050.00                          |
|          |                    |           | 05/19/2021        | 2.912372        | 4,856,777.78                       | 97.959000                    | IDC-FIS                       | 0.00                               |
| 84713    | RM GOV FHLB NOTES  | 3130AEV80 | 11/27/2018        | 2.750000        | 5,000,000.00                       | 5,025,950.00                 | 43,923.61                     | 65,255.00                          |
|          |                    |           | 09/06/2022        | 2.965325        | 4,991,632.50                       | 100.519000                   | IDC-FIS                       | 0.00                               |
|          |                    |           | <b>Subtotal</b>   | <b>1.871459</b> | <b>121,465,000.00</b>              | <b>120,778,393.30</b>        | <b>470,115.51</b>             | <b>262,469.94</b>                  |
|          |                    |           |                   | <b>1.994440</b> | <b>121,209,871.84</b>              | <b>99.434729</b>             |                               | <b>-653,841.53</b>                 |

#### Inv Type: 23 FEDERAL NATIONAL MORTGAGE ASSO

|       |                     |           |            |          |            |            |          |           |
|-------|---------------------|-----------|------------|----------|------------|------------|----------|-----------|
| 81889 | AUHSD GOV FNMA NOTE | 3135G0ZA4 | 04/01/2014 | 1.875000 | 377,000.00 | 376,705.94 | 2,591.89 | 0.00      |
|       |                     |           | 02/19/2019 | 1.795148 | 378,398.67 | 99.922000  | IDC-FIS  | -1,692.73 |



## Inventory by Market Value

As Of Date: 12/31/2018

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Reporting Currency: Local

### Contra Costa County

| Inv. No. | Description         | CUSIP     | Purchase Maturity | Coupon YTM TR   | Current Par /Share   |                      | Curr Accr Int Price Source | Unrealized Gain |                    |
|----------|---------------------|-----------|-------------------|-----------------|----------------------|----------------------|----------------------------|-----------------|--------------------|
|          |                     |           |                   |                 | Current Book         | Market Price         |                            | Unrealized Loss |                    |
| 81890    | AUHSD GOV FNMA NOTE | 3135G0ZA4 | 04/01/2014        | 1.875000        | 6,959,000.00         | 6,953,571.98         | 47,843.20                  |                 | 0.00               |
|          |                     |           | 02/19/2019        | 1.795148        | 6,984,817.89         | 99.922000            | IDC-FIS                    |                 | -31,245.91         |
| 82119    | WT GOV FNMA NOTES   | 3135G0ZG1 | 09/12/2014        | 1.750000        | 166,000.00           | 164,985.74           | 879.57                     |                 | 87.98              |
|          |                     |           | 09/12/2019        | 1.889799        | 164,897.76           | 99.389000            | IDC-FIS                    |                 | 0.00               |
| 82381    | RM GOV FNMA NOTES   | 3136FTS67 | 02/09/2015        | 1.700000        | 6,000,000.00         | 5,992,800.00         | 35,133.33                  |                 | 0.00               |
|          |                     |           | 02/27/2019        | 1.329281        | 6,087,180.00         | 99.880000            | IDC-FIS                    |                 | -94,380.00         |
| 82436    | WT GOV FNMA NOTES   | 3135G0A78 | 03/16/2015        | 1.625000        | 170,000.00           | 168,308.50           | 1,227.78                   |                 | 0.00               |
|          |                     |           | 01/21/2020        | 1.627650        | 169,977.90           | 99.005000            | IDC-FIS                    |                 | -1,669.40          |
| 82997    | GOV FNMA NOTES      | 3135G0J53 | 03/22/2016        | 1.000000        | 10,000,000.00        | 9,977,700.00         | 34,722.22                  |                 | 0.00               |
|          |                     |           | 02/26/2019        | 1.067074        | 9,980,700.00         | 99.777000            | IDC-FIS                    |                 | -3,000.00          |
| 83000    | RM GOV FNMA NOTES   | 3136G1C98 | 03/28/2016        | 1.420000        | 4,000,000.00         | 3,950,920.00         | 23,035.56                  |                 | 0.00               |
|          |                     |           | 02/05/2020        | 1.286438        | 4,020,000.00         | 98.773000            | IDC-FIS                    |                 | -69,080.00         |
| 83081    | GOV FNMA NOTES      | 3135G0J53 | 04/22/2016        | 1.000000        | 10,000,000.00        | 9,977,700.00         | 34,722.22                  |                 | 0.00               |
|          |                     |           | 02/26/2019        | 1.070042        | 9,980,400.00         | 99.777000            | IDC-FIS                    |                 | -2,700.00          |
| 83210    | RM GOV FNMA NOTES   | 3136G16D6 | 07/12/2016        | 1.350000        | 2,465,000.00         | 2,420,605.35         | 462.19                     |                 | 0.00               |
|          |                     |           | 06/26/2020        | 1.070378        | 2,491,622.00         | 98.199000            | IDC-FIS                    |                 | -71,016.65         |
| 83474    | RM GOV FNMA NOTES   | 3136G04H1 | 12/07/2016        | 1.700000        | 4,998,000.00         | 4,906,386.66         | 11,328.80                  |                 | 0.00               |
|          |                     |           | 11/13/2020        | 1.720219        | 4,994,151.54         | 98.167000            | IDC-FIS                    |                 | -87,764.88         |
| 83810    | RM GOV FNMA NOTES   | 3135G0J20 | 06/29/2017        | 1.375000        | 4,000,000.00         | 3,902,520.00         | 19,097.22                  |                 | 0.00               |
|          |                     |           | 02/26/2021        | 1.648008        | 3,961,360.00         | 97.563000            | IDC-FIS                    |                 | -58,840.00         |
| 84217    | GOV FNMA NOTES      | 3135G0S38 | 03/01/2018        | 2.000000        | 5,000,000.00         | 4,926,000.00         | 48,888.89                  |                 | 33,415.00          |
|          |                     |           | 01/05/2022        | 2.590321        | 4,892,585.00         | 98.520000            | IDC-FIS                    |                 | 0.00               |
| 84248    | RM GOV FNMA NOTES   | 3135G0S38 | 03/07/2018        | 2.000000        | 5,000,000.00         | 4,926,000.00         | 48,888.89                  |                 | 28,365.00          |
|          |                     |           | 01/05/2022        | 2.564557        | 4,897,635.00         | 98.520000            | IDC-FIS                    |                 | 0.00               |
|          |                     |           | <b>Subtotal</b>   | <b>1.479052</b> | <b>59,135,000.00</b> | <b>58,644,204.17</b> | <b>308,821.76</b>          |                 | <b>61,867.98</b>   |
|          |                     |           |                   | <b>1.549377</b> | <b>59,003,725.76</b> | <b>99.170042</b>     |                            |                 | <b>-421,389.57</b> |

### Inv Type: 27 FEDERAL FARM CREDIT BANKS

|       |                   |           |            |          |              |              |           |  |            |
|-------|-------------------|-----------|------------|----------|--------------|--------------|-----------|--|------------|
| 82185 | RM GOV FFCB NOTES | 3133EDYB2 | 11/04/2014 | 1.500000 | 2,000,000.00 | 1,999,420.00 | 13,750.00 |  | 9,120.00   |
|       |                   |           | 01/16/2019 | 1.620035 | 1,990,300.00 | 99.971000    | IDC-FIS   |  | 0.00       |
| 82671 | RM GOV FFCB NOTES | 3133EE5S5 | 08/25/2015 | 1.500000 | 1,000,000.00 | 993,700.00   | 6,083.33  |  | 0.00       |
|       |                   |           | 08/05/2019 | 1.350014 | 1,005,740.00 | 99.370000    | IDC-FIS   |  | -12,040.00 |
| 82672 | RM GOV FFCB NOTES | 3133EE5S5 | 08/25/2015 | 1.500000 | 3,000,000.00 | 2,981,100.00 | 18,250.00 |  | 0.00       |
|       |                   |           | 08/05/2019 | 1.350014 | 3,017,220.00 | 99.370000    | IDC-FIS   |  | -36,120.00 |
| 82683 | WT GOV FFCB NOTES | 3133EE5Z9 | 09/11/2015 | 1.750000 | 170,000.00   | 167,801.90   | 1,214.79  |  | 0.00       |
|       |                   |           | 08/04/2020 | 1.730194 | 170,156.40   | 98.707000    | IDC-FIS   |  | -2,354.50  |



## Inventory by Market Value

As Of Date: 12/31/2018

Date Basis: Settlement

Run: 01/09/2019 03:48:06 PM

Reporting Currency: Local

### Contra Costa County

| Inv. No. | Description         | CUSIP     | Purchase Maturity | Coupon YTM TR | Current Par /Share |               | Curr Accr Int Price Source | Unrealized Gain |             |
|----------|---------------------|-----------|-------------------|---------------|--------------------|---------------|----------------------------|-----------------|-------------|
|          |                     |           |                   |               | Current Book       | Market Price  |                            | Unrealized Loss |             |
| 82926    | KFPD GOV FFCB NOTES | 3133EFVQ7 | 01/27/2016        | 1.250000      | 250,000.00         | 249,860.00    | 1,380.21                   |                 | 0.00        |
|          |                     |           | 01/22/2019        | 1.126024      | 250,907.50         | 99.944000     | IDC-FIS                    |                 | -1,047.50   |
| 82946    | WT GOV FFCB NOTES   | 3133EC6Z2 | 02/12/2016        | 1.400000      | 155,000.00         | 151,498.55    | 102.47                     |                 | 0.00        |
|          |                     |           | 12/14/2020        | 1.320066      | 155,578.15         | 97.741000     | IDC-FIS                    |                 | -4,079.60   |
| 82963    | GOV FFCB CALLABLE N | 3133EFYS0 | 03/02/2016        | 1.150000      | 10,000,000.00      | 9,984,100.00  | 41,208.33                  |                 | 3,700.00    |
|          |                     |           | 02/22/2019        | 1.217313      | 9,980,400.00       | 99.841000     | IDC-FIS                    |                 | 0.00        |
| 82986    | RM GOV FFCB NOTES   | 3133EFK63 | 03/15/2016        | 1.250000      | 4,061,000.00       | 4,001,384.52  | 16,497.81                  |                 | 0.00        |
|          |                     |           | 03/04/2020        | 1.430176      | 4,032,857.27       | 98.532000     | IDC-FIS                    |                 | -31,472.75  |
| 82992    | GOV FFCB NOTES      | 3133EFQ67 | 03/21/2016        | 1.160000      | 10,000,000.00      | 9,971,000.00  | 32,222.22                  |                 | 0.00        |
|          |                     |           | 03/21/2019        | 1.180075      | 9,994,100.00       | 99.710000     | IDC-FIS                    |                 | -23,100.00  |
| 83007    | RM GOV FFCB NOTES   | 3133EFV20 | 03/30/2016        | 1.490000      | 4,000,000.00       | 3,941,960.00  | 15,065.56                  |                 | 0.00        |
|          |                     |           | 03/30/2020        | 1.490000      | 4,000,000.00       | 98.549000     | IDC-FIS                    |                 | -58,040.00  |
| 83160    | GOV FFCB NOTES      | 3133EGCA1 | 06/03/2016        | 1.060000      | 10,000,000.00      | 9,937,600.00  | 8,244.44                   |                 | 0.00        |
|          |                     |           | 06/03/2019        | 1.140244      | 9,976,400.00       | 99.376000     | IDC-FIS                    |                 | -38,800.00  |
| 83190    | GOV FFCB NOTES      | 3133EGJC0 | 07/01/2016        | .950000       | 10,000,000.00      | 9,921,500.00  | 47,500.00                  |                 | 0.00        |
|          |                     |           | 07/01/2019        | 1.000200      | 9,985,200.00       | 99.215000     | IDC-FIS                    |                 | -63,700.00  |
| 83344    | RM GOV FFCB NOTES   | 3133EGUT0 | 09/28/2016        | 1.170000      | 4,690,000.00       | 4,580,535.40  | 15,242.51                  |                 | 0.00        |
|          |                     |           | 09/21/2020        | 1.110242      | 4,700,880.80       | 97.666000     | IDC-FIS                    |                 | -120,345.40 |
| 83505    | RM GOV FFCB NOTES   | 3133EGT88 | 12/16/2016        | 1.450000      | 2,000,000.00       | 1,976,700.00  | 1,530.56                   |                 | 0.00        |
|          |                     |           | 12/12/2019        | 1.640327      | 1,988,940.00       | 98.835000     | IDC-FIS                    |                 | -12,240.00  |
| 83725    | GOV FFCB NOTES      | 3133EHFL2 | 04/26/2017        | 1.550000      | 10,000,000.00      | 9,868,200.00  | 33,583.33                  |                 | 0.00        |
|          |                     |           | 04/13/2020        | 1.578010      | 9,991,900.00       | 98.682000     | IDC-FIS                    |                 | -123,700.00 |
| 83741    | GOV FFCB NOTES      | 3133EHHN6 | 05/12/2017        | 1.350000      | 10,000,000.00      | 9,963,100.00  | 22,125.00                  |                 | 0.00        |
|          |                     |           | 05/02/2019        | 1.430437      | 9,984,400.00       | 99.631000     | IDC-FIS                    |                 | -21,300.00  |
| 83767    | GOV FFCB NOTES      | 3133EHLG6 | 05/30/2017        | 1.320000      | 20,000,000.00      | 19,901,600.00 | 22,733.33                  |                 | 0.00        |
|          |                     |           | 05/30/2019        | 1.400388      | 19,968,400.00      | 99.508000     | IDC-FIS                    |                 | -66,800.00  |
| 83813    | GOV FFCB NOTES      | 3133EHQB2 | 07/06/2017        | 1.550000      | 10,000,000.00      | 9,848,600.00  | 75,347.22                  |                 | 0.00        |
|          |                     |           | 07/06/2020        | 1.560960      | 9,996,800.00       | 98.486000     | IDC-FIS                    |                 | -148,200.00 |
| 84191    | RM GOV FFCB NOTES   | 3133EH6X6 | 02/08/2018        | 2.200000      | 3,500,000.00       | 3,456,810.00  | 36,147.22                  |                 | 0.00        |
|          |                     |           | 01/12/2022        | 2.455479      | 3,466,746.50       | 98.766000     | IDC-FIS                    |                 | -9,936.50   |
| 84218    | GOV FFCB NOTES      | 3133EJCE7 | 03/01/2018        | 2.350000      | 5,000,000.00       | 4,978,550.00  | 45,368.06                  |                 | 0.00        |
|          |                     |           | 02/12/2021        | 2.480332      | 4,981,555.00       | 99.571000     | IDC-FIS                    |                 | -3,005.00   |
| 84249    | RM GOV FFCB NOTES   | 3133EJCE7 | 03/07/2018        | 2.350000      | 5,000,000.00       | 4,978,550.00  | 45,368.06                  |                 | 0.00        |
|          |                     |           | 02/12/2021        | 2.464582      | 4,983,855.00       | 99.571000     | IDC-FIS                    |                 | -5,305.00   |
| 84289    | RM GOV FFCB NOTES   | 3133EJHC6 | 03/29/2018        | 2.600000      | 5,000,000.00       | 4,993,500.00  | 36,111.11                  |                 | 0.00        |
|          |                     |           | 03/21/2022        | 2.612688      | 4,997,600.00       | 99.870000     | IDC-FIS                    |                 | -4,100.00   |
| 84547    | KFPD GOV FFCB BOND  | 3133EJUM9 | 07/25/2018        | 2.600000      | 500,000.00         | 500,155.00    | 5,958.33                   |                 | 1,191.50    |



## Inventory by Market Value

As Of Date: 12/31/2018

Date Basis: Settlement

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Reporting Currency: Local

### Contra Costa County

| Inv. No. | Description         | CUSIP     | Purchase Maturity | Coupon YTM TR   | Current Par /Share    | Market Value          | Curr Accr Int     | Unrealized Gain    |
|----------|---------------------|-----------|-------------------|-----------------|-----------------------|-----------------------|-------------------|--------------------|
|          |                     |           |                   |                 | Current Book          | Market Price          | Price Source      | Unrealized Loss    |
|          |                     |           | 07/16/2020        | 2.708286        | 499,288.50            | 100.031000            | IDC-FIS           | 0.00               |
| 84573    | RM GOV FFCB DISC NO | 3133ECWH3 | 08/27/2018        | 2.100000        | 296,000.00            | 295,188.96            | 2,520.94          | 97.68              |
|          |                     |           | 08/05/2019        | 2.431326        | 295,471.15            | 99.726000             | IDC-FIS           | 0.00               |
| 84636    | GOV FFCB NOTES      | 3133EJB81 | 09/27/2018        | 2.730000        | 18,000,000.00         | 18,044,100.00         | 131,040.00        | 63,270.00          |
|          |                     |           | 03/25/2020        | 2.803194        | 17,983,560.00         | 100.245000            | IDC-FIS           | 0.00               |
| 84775    | GOC FFCB CALLABLE N | 3133EJ2M0 | 12/11/2018        | 2.960000        | 20,000,000.00         | 20,016,000.00         | 32,888.89         | 16,000.00          |
|          |                     |           | 12/11/2020        | 2.960000        | 20,000,000.00         | 100.080000            | IDC-FIS           | 0.00               |
|          |                     |           | <b>Subtotal</b>   | <b>1.766280</b> | <b>168,622,000.00</b> | <b>167,702,514.33</b> | <b>707,483.72</b> | <b>93,379.18</b>   |
|          |                     |           |                   | <b>1.819859</b> | <b>168,398,256.27</b> | <b>99.454706</b>      |                   | <b>-785,686.25</b> |

### Inv Type: 28 FHLMC DISCOUNT NOTES

|       |                     |           |            |          |               |               |            |           |
|-------|---------------------|-----------|------------|----------|---------------|---------------|------------|-----------|
| 84585 | CCCSO GOV FHLMC DIS | 313396CQ4 | 08/31/2018 | 2.210000 | 5,000,000.00  | 4,979,161.11  | 37,754.17  | 0.00      |
|       |                     |           | 03/04/2019 | 2.235387 | 4,943,215.28  | 99.583222     | IDC-FIS    | -1,808.34 |
| 84674 | GOV FHLMC DISC      | 313396AT0 | 10/30/2018 | 2.270000 | 30,000,000.00 | 29,966,283.33 | 119,175.00 | 0.00      |
|       |                     |           | 01/18/2019 | 2.281509 | 29,848,666.67 | 99.887611     | IDC-FIS    | -1,558.34 |
| 84721 | PW GOV FHLMC NOTES  | 313396NC3 | 11/30/2018 | 2.580000 | 250,000.00    | 244,942.50    | 573.33     | 138.34    |
|       |                     |           | 10/18/2019 | 2.640946 | 244,230.83    | 97.977000     | IDC-FIS    | 0.00      |
| 84722 | PW GOV FHLMC NOTES  | 313396NC3 | 11/30/2018 | 2.580000 | 2,300,000.00  | 2,253,471.00  | 5,274.67   | 1,272.66  |
|       |                     |           | 10/18/2019 | 2.640944 | 2,246,923.67  | 97.977000     | IDC-FIS    | 0.00      |
| 84723 | PW GOV FHLMC NOTES  | 313396NC3 | 11/30/2018 | 2.580000 | 20,000,000.00 | 19,595,400.00 | 45,866.67  | 11,066.66 |
|       |                     |           | 10/18/2019 | 2.640944 | 19,538,466.67 | 97.977000     | IDC-FIS    | 0.00      |
| 84724 | PW GOV FHLMC NOTES  | 313396NC3 | 11/30/2018 | 2.580000 | 150,000.00    | 146,965.50    | 344.00     | 83.01     |
|       |                     |           | 10/18/2019 | 2.640952 | 146,538.49    | 97.977000     | IDC-FIS    | 0.00      |
| 84725 | PW GOV FHLMC NOTES  | 313396NC3 | 11/30/2018 | 2.580000 | 250,000.00    | 244,942.50    | 573.33     | 138.34    |
|       |                     |           | 10/18/2019 | 2.640946 | 244,230.83    | 97.977000     | IDC-FIS    | 0.00      |
| 84726 | PW GOV FHLMC NOTES  | 313396NC3 | 11/30/2018 | 2.580000 | 400,000.00    | 391,908.00    | 917.33     | 221.34    |
|       |                     |           | 10/18/2019 | 2.640945 | 390,769.33    | 97.977000     | IDC-FIS    | 0.00      |
| 84727 | PW GOV FHLMC NOTES  | 313396NC3 | 11/30/2018 | 2.580000 | 300,000.00    | 293,931.00    | 688.00     | 166.00    |
|       |                     |           | 10/18/2019 | 2.640944 | 293,077.00    | 97.977000     | IDC-FIS    | 0.00      |
| 84728 | PW GOV FHLMC NOTES  | 313396NC3 | 11/30/2018 | 2.580000 | 4,100,000.00  | 4,017,057.00  | 9,402.67   | 2,268.66  |
|       |                     |           | 10/18/2019 | 2.640944 | 4,005,385.67  | 97.977000     | IDC-FIS    | 0.00      |
| 84729 | PW GOV FHLMC NOTES  | 313396NC3 | 11/30/2018 | 2.580000 | 450,000.00    | 440,896.50    | 1,032.00   | 249.00    |
|       |                     |           | 10/18/2019 | 2.640944 | 439,615.50    | 97.977000     | IDC-FIS    | 0.00      |
| 84730 | PW GOV FHLMC NOTES  | 313396NC3 | 11/30/2018 | 2.580000 | 4,800,000.00  | 4,702,896.00  | 11,008.00  | 2,656.00  |
|       |                     |           | 10/18/2019 | 2.640944 | 4,689,232.00  | 97.977000     | IDC-FIS    | 0.00      |
| 84731 | PW GOV FHLMC NOTES  | 313396NC3 | 11/30/2018 | 2.580000 | 150,000.00    | 146,965.50    | 344.00     | 83.00     |



## Inventory by Market Value

As Of Date: 12/31/2018

Date Basis: Settlement

Run: 01/09/2019 03:48:06 PM

Reporting Currency: Local

### Contra Costa County

| Inv. No. | Description        | CUSIP     | Purchase Maturity | Coupon YTM TR | Current Par /Share<br>Current Book | Market Value<br>Market Price | Curr Accr Int<br>Price Source | Unrealized Gain<br>Unrealized Loss |
|----------|--------------------|-----------|-------------------|---------------|------------------------------------|------------------------------|-------------------------------|------------------------------------|
|          |                    |           | 10/18/2019        | 2.640944      | 146,538.50                         | 97.977000                    | IDC-FIS                       | 0.00                               |
| 84732    | PW GOV FHLMC NOTES | 313396NC3 | 11/30/2018        | 2.580000      | 2,000,000.00                       | 1,959,540.00                 | 4,586.67                      | 1,106.66                           |
|          |                    |           | 10/18/2019        | 2.640944      | 1,953,846.67                       | 97.977000                    | IDC-FIS                       | 0.00                               |
| 84733    | PW GOV FHLMC NOTES | 313396NC3 | 11/30/2018        | 2.580000      | 150,000.00                         | 146,965.50                   | 344.00                        | 83.00                              |
|          |                    |           | 10/18/2019        | 2.640944      | 146,538.50                         | 97.977000                    | IDC-FIS                       | 0.00                               |
| 84734    | PW GOV FHLMC NOTES | 313396NC3 | 11/30/2018        | 2.580000      | 800,000.00                         | 783,816.00                   | 1,834.67                      | 442.66                             |
|          |                    |           | 10/18/2019        | 2.640944      | 781,538.67                         | 97.977000                    | IDC-FIS                       | 0.00                               |
| 84735    | PW GOV FHLMC NOTES | 313396NC3 | 11/30/2018        | 2.580000      | 7,100,000.00                       | 6,956,367.00                 | 16,282.67                     | 3,928.66                           |
|          |                    |           | 10/18/2019        | 2.640944      | 6,936,155.67                       | 97.977000                    | IDC-FIS                       | 0.00                               |
| 84736    | PW GOV FHLMC NOTES | 313396NC3 | 11/30/2018        | 2.580000      | 200,000.00                         | 195,954.00                   | 458.67                        | 110.66                             |
|          |                    |           | 10/18/2019        | 2.640942      | 195,384.67                         | 97.977000                    | IDC-FIS                       | 0.00                               |
| 84737    | PW GOV FHLMC NOTES | 313396NC3 | 11/30/2018        | 2.580000      | 2,000,000.00                       | 1,959,540.00                 | 4,586.67                      | 1,106.66                           |
|          |                    |           | 10/18/2019        | 2.640944      | 1,953,846.67                       | 97.977000                    | IDC-FIS                       | 0.00                               |
| 84738    | PW GOV FHLMC NOTES | 313396NC3 | 11/30/2018        | 2.580000      | 600,000.00                         | 587,862.00                   | 1,376.00                      | 332.00                             |
|          |                    |           | 10/18/2019        | 2.640944      | 586,154.00                         | 97.977000                    | IDC-FIS                       | 0.00                               |
| 84739    | PW GOV FHLMC NOTES | 313396NC3 | 11/30/2018        | 2.580000      | 700,000.00                         | 685,839.00                   | 1,605.33                      | 387.34                             |
|          |                    |           | 10/18/2019        | 2.640945      | 683,846.33                         | 97.977000                    | IDC-FIS                       | 0.00                               |
| 84740    | PW GOV FHLMC NOTES | 313396NC3 | 11/30/2018        | 2.580000      | 400,000.00                         | 391,908.00                   | 917.33                        | 221.34                             |
|          |                    |           | 10/18/2019        | 2.640945      | 390,769.33                         | 97.977000                    | IDC-FIS                       | 0.00                               |
| 84741    | PW GOV FHLMC NOTES | 313396NC3 | 11/30/2018        | 2.580000      | 350,000.00                         | 342,919.50                   | 802.67                        | 193.66                             |
|          |                    |           | 10/18/2019        | 2.640943      | 341,923.17                         | 97.977000                    | IDC-FIS                       | 0.00                               |
| 84742    | PW GOV FHLMC NOTES | 313396NC3 | 11/30/2018        | 2.580000      | 1,200,000.00                       | 1,175,724.00                 | 2,752.00                      | 664.00                             |
|          |                    |           | 10/18/2019        | 2.640944      | 1,172,308.00                       | 97.977000                    | IDC-FIS                       | 0.00                               |
| 84743    | PW GOV FHLMC NOTES | 313396NC3 | 11/30/2018        | 2.580000      | 500,000.00                         | 489,885.00                   | 1,146.67                      | 276.66                             |
|          |                    |           | 10/18/2019        | 2.640943      | 488,461.67                         | 97.977000                    | IDC-FIS                       | 0.00                               |
| 84744    | PW GOV FHLMC NOTES | 313396NC3 | 11/30/2018        | 2.580000      | 1,100,000.00                       | 1,077,747.00                 | 2,522.67                      | 608.66                             |
|          |                    |           | 10/18/2019        | 2.640944      | 1,074,615.67                       | 97.977000                    | IDC-FIS                       | 0.00                               |
| 84745    | PW GOV FHLMC NOTES | 313396NC3 | 11/30/2018        | 2.580000      | 850,000.00                         | 832,804.50                   | 1,949.33                      | 470.34                             |
|          |                    |           | 10/18/2019        | 2.640945      | 830,384.83                         | 97.977000                    | IDC-FIS                       | 0.00                               |
| 84746    | PW GOV FHLMC NOTES | 313396NC3 | 11/30/2018        | 2.580000      | 300,000.00                         | 293,931.00                   | 688.00                        | 166.00                             |
|          |                    |           | 10/18/2019        | 2.640944      | 293,077.00                         | 97.977000                    | IDC-FIS                       | 0.00                               |
| 84747    | PW GOV FHLMC NOTES | 313396NC3 | 11/30/2018        | 2.580000      | 1,300,000.00                       | 1,273,701.00                 | 2,981.33                      | 719.34                             |
|          |                    |           | 10/18/2019        | 2.640944      | 1,270,000.33                       | 97.977000                    | IDC-FIS                       | 0.00                               |
| 84748    | PW GOV FHLMC NOTES | 313396NC3 | 11/30/2018        | 2.580000      | 150,000.00                         | 146,965.50                   | 344.00                        | 83.00                              |
|          |                    |           | 10/18/2019        | 2.640944      | 146,538.50                         | 97.977000                    | IDC-FIS                       | 0.00                               |
| 84749    | PW GOV FHLMC NOTES | 313396NC3 | 11/30/2018        | 2.580000      | 350,000.00                         | 342,919.50                   | 802.67                        | 193.66                             |
|          |                    |           | 10/18/2019        | 2.640943      | 341,923.17                         | 97.977000                    | IDC-FIS                       | 0.00                               |



## Inventory by Market Value

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### Contra Costa County

| Inv. No. | Description         | CUSIP     | Purchase Maturity | Coupon YTM TR   | Current Par /Share<br>Current Book | Market Value<br>Market Price | Curr Accr Int<br>Price Source | Unrealized Gain<br>Unrealized Loss |
|----------|---------------------|-----------|-------------------|-----------------|------------------------------------|------------------------------|-------------------------------|------------------------------------|
| 84756    | CCCSD GOV FHLMC DIS | 313396GK3 | 12/06/2018        | 2.500000        | 5,000,000.00                       | 4,948,150.00                 | 9,027.78                      | 1,275.00                           |
|          |                     |           | 06/03/2019        | 2.531468        | 4,937,847.22                       | 98.963000                    | IDC-FIS                       | 0.00                               |
| 84789    | PW GOV FHLMC DISCOU | 313396LW1 | 12/12/2018        | 2.540000        | 1,000,000.00                       | 982,016.67                   | 1,411.11                      | 361.12                             |
|          |                     |           | 09/18/2019        | 2.591191        | 980,244.44                         | 98.201667                    | IDC-FIS                       | 0.00                               |
| 84809    | LUHSD GOV FHLMC DIS | 313396HB2 | 12/14/2018        | 2.470000        | 10,000,000.00                      | 9,885,455.56                 | 12,350.00                     | 1,408.34                           |
|          |                     |           | 06/19/2019        | 2.502103        | 9,871,697.22                       | 98.854556                    | IDC-FIS                       | 0.00                               |
|          |                     |           | <b>Subtotal</b>   | <b>2.457116</b> | <b>104,200,000.00</b>              | <b>102,884,830.67</b>        | <b>301,723.41</b>             | <b>32,481.77</b>                   |
|          |                     |           |                   | <b>2.497670</b> | <b>102,553,992.17</b>              | <b>98.737841</b>             |                               | <b>-3,366.68</b>                   |

### Inv Type: 29 FHLMC NOTES

|       |                     |           |                 |                 |                      |                      |                   |                    |
|-------|---------------------|-----------|-----------------|-----------------|----------------------|----------------------|-------------------|--------------------|
| 82571 | RM GOV FHLMC NOTES  | 3137EADG1 | 05/27/2015      | 1.750000        | 3,000,000.00         | 2,990,490.00         | 4,520.83          | 0.00               |
|       |                     |           | 05/30/2019      | 1.379985        | 3,043,140.00         | 99.683000            | IDC-FIS           | -52,650.00         |
| 82762 | RM GOV FHLMC NOTES  | 3137EADM8 | 10/26/2015      | 1.250000        | 6,000,000.00         | 5,938,020.00         | 18,541.67         | 0.00               |
|       |                     |           | 10/02/2019      | 1.310124        | 5,986,200.00         | 98.967000            | IDC-FIS           | -48,180.00         |
| 82927 | KFPD GOV FHLMC NOTE | 3137EADM8 | 01/25/2016      | 1.250000        | 250,000.00           | 247,417.50           | 772.57            | 0.00               |
|       |                     |           | 10/02/2019      | 1.330187        | 249,280.00           | 98.967000            | IDC-FIS           | -1,862.50          |
| 83006 | GOV FHLMC NOTES     | 3134G8PD5 | 03/30/2016      | 1.350000        | 10,000,000.00        | 9,901,500.00         | 34,125.00         | 0.00               |
|       |                     |           | 09/30/2019      | 1.350000        | 10,000,000.00        | 99.015000            | IDC-FIS           | -98,500.00         |
| 83039 | GOV FHLMC NOTES     | 3134G8W21 | 04/08/2016      | 1.375000        | 5,000,000.00         | 4,938,200.00         | 190.99            | 0.00               |
|       |                     |           | 12/30/2019      | 1.375000        | 5,000,000.00         | 98.764000            | IDC-FIS           | -61,800.00         |
| 83172 | GOV FHLMC NOTES     | 3134G9QW0 | 06/14/2016      | 1.280000        | 10,000,000.00        | 9,942,200.00         | 6,044.44          | 0.00               |
|       |                     |           | 06/14/2019      | 1.280000        | 10,000,000.00        | 99.422000            | IDC-FIS           | -57,800.00         |
| 83345 | WLT GOV FHLMC NOTES | 3137EAEC9 | 09/28/2016      | 1.125000        | 173,000.00           | 167,085.13           | 751.47            | 0.00               |
|       |                     |           | 08/12/2021      | 1.250127        | 171,979.30           | 96.581000            | IDC-FIS           | -4,894.17          |
| 83809 | RM GOV FHLMC NOTES  | 3134GBTQ5 | 06/29/2017      | 1.500000        | 4,000,000.00         | 3,935,800.00         | 27,666.66         | 0.00               |
|       |                     |           | 07/15/2020      | 1.567587        | 3,992,000.00         | 98.395000            | IDC-FIS           | -56,200.00         |
| 83979 | GOV FHLMC NOTES     | 3134GBW81 | 11/22/2017      | 2.350000        | 10,000,000.00        | 9,867,700.00         | 25,458.33         | 0.00               |
|       |                     |           | 11/22/2022      | 2.350000        | 10,000,000.00        | 98.677000            | IDC-FIS           | -132,300.00        |
| 84250 | WT GOV FHLMC NOTES  | 3134GBA69 | 03/07/2018      | 2.375000        | 158,000.00           | 157,015.66           | 1,480.15          | 1,004.41           |
|       |                     |           | 08/09/2022      | 2.678470        | 156,011.25           | 99.377000            | IDC-FIS           | 0.00               |
| 84379 | RM GOV FHLMC NOTES  | 3137EADZ9 | 04/30/2018      | 1.125000        | 251,000.00           | 250,063.77           | 596.13            | 1,726.88           |
|       |                     |           | 04/15/2019      | 2.250071        | 248,336.89           | 99.627000            | IDC-FIS           | 0.00               |
|       |                     |           | <b>Subtotal</b> | <b>1.568700</b> | <b>48,832,000.00</b> | <b>48,335,492.06</b> | <b>120,148.24</b> | <b>2,731.29</b>    |
|       |                     |           |                 | <b>1.566079</b> | <b>48,846,947.44</b> | <b>98.983232</b>     |                   | <b>-514,186.67</b> |



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### Contra Costa County

| Inv. No.                                | Description         | CUSIP     | Purchase Maturity | Coupon YTM TR   | Current Par /Share<br>Current Book | Market Value<br>Market Price | Curr Accr Int<br>Price Source | Unrealized Gain<br>Unrealized Loss |
|---|---------------------|-----------|-------------------|-----------------|------------------------------------|------------------------------|-------------------------------|------------------------------------|
| <b>Inv Type: 43 FHLB DISCOUNT NOTES</b> |                     |           |                   |                 |                                    |                              |                               |                                    |
| 84568                                   | PW GOV FHLB DISC    | 313384BX6 | 08/17/2018        | 2.160000        | 500,000.00                         | 495,477.50                   | 2,760.22                      | 0.00                               |
|   |                     |           | 05/15/2019        | 1.466643        | 494,540.00                         | 99.095500                    | IDC-FIS                       | -1,822.72                          |
| 84588                                   | CCCSD GOV FHLB DISC | 313384CS6 | 09/06/2018        | 2.240000        | 5,000,000.00                       | 4,978,488.89                 | 36,400.00                     | 0.00                               |
|   |                     |           | 03/06/2019        | 2.265515        | 4,943,688.89                       | 99.569778                    | IDC-FIS                       | -1,600.00                          |
| 84631                                   | GOV FHLB DISC       | 313384AB5 | 09/25/2018        | 2.200000        | 30,000,000.00                      | 29,998,016.67                | 179,666.67                    | 0.00                               |
|   |                     |           | 01/02/2019        | 2.213391        | 29,818,500.00                      | 99.993389                    | IDC-FIS                       | -150.00                            |
| 84777                                   | GOV FHLB DISC       | 313384FK0 | 12/11/2018        | 2.430000        | 30,000,000.00                      | 29,738,775.00                | 42,525.00                     | 0.00                               |
|   |                     |           | 05/10/2019        | 2.454855        | 29,696,250.00                      | 99.129250                    | IDC-FIS                       | 0.00                               |
| 84782                                   | GOV FHLB DISCO      | 313384AJ8 | 12/13/2018        | 2.300000        | 30,000,000.00                      | 29,984,133.33                | 36,416.67                     | 0.00                               |
|   |                     |           | 01/09/2019        | 2.303974        | 29,948,250.00                      | 99.947111                    | IDC-FIS                       | -533.34                            |
| 84811                                   | PW GOV FHLB DISC    | 313384BA6 | 12/19/2018        | 2.330000        | 583,000.00                         | 582,074.97                   | 489.48                        | 0.00                               |
|   |                     |           | 01/25/2019        | 2.330574        | 581,606.87                         | 99.841333                    | IDC-FIS                       | -21.38                             |
| 84812                                   | PW GOV FHLB DISC    | 313384PZ6 | 12/19/2018        | 2.560000        | 512,000.00                         | 499,886.08                   | 473.32                        | 83.05                              |
|   |                     |           | 12/02/2019        | 2.624958        | 499,329.71                         | 97.634000                    | IDC-FIS                       | 0.00                               |
| 84816                                   | CCCSD GOV FHLB DISC | 313384DV8 | 12/21/2018        | 2.425000        | 5,000,000.00                       | 4,969,287.50                 | 3,704.86                      | 0.00                               |
|   |                     |           | 04/02/2019        | 2.441777        | 4,965,645.83                       | 99.385750                    | IDC-FIS                       | -63.19                             |
| 84817                                   | CCCSD GOV FHLB DISC | 31334DG15 | 12/21/2018        | 2.400000        | 5,000,000.00                       | 4,973,783.33                 | 3,666.67                      | 0.00                               |
|   |                     |           | 03/20/2019        | 2.414325        | 4,970,333.33                       | 99.475667                    | IDC-FIS                       | -216.67                            |
| 84819                                   | CCCSD GOV FHLB DISC | 313384DJ5 | 12/24/2018        | 2.410000        | 5,000,000.00                       | 4,973,111.11                 | 2,677.78                      | 0.00                               |
|   |                     |           | 03/22/2019        | 2.424282        | 4,970,544.44                       | 99.462222                    | IDC-FIS                       | -111.11                            |
| 84820                                   | CCCSD GOV FHLB DISC | 313384DH9 | 12/24/2018        | 2.400000        | 5,000,000.00                       | 4,973,447.22                 | 2,666.67                      | 0.00                               |
|   |                     |           | 03/21/2019        | 2.414001        | 4,971,000.00                       | 99.468944                    | IDC-FIS                       | -219.45                            |
| 84821                                   | CCCSD GOV FHLB DISC | 313384JL4 | 12/24/2018        | 2.490000        | 5,000,000.00                       | 4,931,544.44                 | 2,766.67                      | 1,402.77                           |
|   |                     |           | 07/22/2019        | 2.526700        | 4,927,375.00                       | 98.630889                    | IDC-FIS                       | 0.00                               |
| 84822                                   | CCCSD GOV FHLB DISC | 313384JM2 | 12/24/2018        | 2.490000        | 5,000,000.00                       | 4,931,205.56                 | 2,766.67                      | 1,409.72                           |
|   |                     |           | 07/23/2019        | 2.526878        | 4,927,029.17                       | 98.624111                    | IDC-FIS                       | 0.00                               |
|   |                     |           | <b>Subtotal</b>   | <b>2.337339</b> | <b>126,595,000.00</b>              | <b>126,029,231.60</b>        | <b>316,980.68</b>             | <b>2,895.54</b>                    |
|   |                     |           |                   | <b>2.351101</b> | <b>125,714,093.24</b>              | <b>99.553088</b>             |                               | <b>-4,737.86</b>                   |

### Inv Type: 71 COMMERCIAL PAPER DISCOUNT

|       |                     |           |            |          |               |               |            |            |
|-------|---------------------|-----------|------------|----------|---------------|---------------|------------|------------|
| 84587 | CCCSD CP TOYOTA MOT | 89233HQ49 | 09/06/2018 | 2.400000 | 4,000,000.00  | 3,981,744.44  | 31,200.00  | 0.00       |
|       |                     |           | 03/04/2019 | 2.428986 | 3,952,266.67  | 99.543611     | IDC-FIS    | -1,722.23  |
| 84627 | CP JP MORGAN SECURI | 46640QTM3 | 09/24/2018 | 2.700000 | 30,000,000.00 | 29,602,425.00 | 222,750.00 | 0.00       |
|       |                     |           | 06/21/2019 | 2.755805 | 29,392,500.00 | 98.674750     | IDC-FIS    | -12,825.00 |





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|----------|---------------------|-----------|-------------------|---------------|--------------------|--|---------------------------|----------------------------|-----------------|------------|
|          |                     |           |                   |               | Current Book       |  |                           |                            | Unrealized Loss |            |
| 84630    | CP STANDARD CHARTER | 85324UNR0 | 09/25/2018        | 2.435000      | 30,000,000.00      |  | 29,949,000.00             | 198,858.33                 |                 | 0.00       |
|          |                     |           | 01/25/2019        | 2.455261      | 29,752,441.67      |  | 99.830000                 | IDC-FIS                    |                 | -2,300.00  |
| 84638    | CP EXXON MOBIL CORP | 30229BNA1 | 10/02/2018        | 2.220000      | 30,000,000.00      |  | 29,980,875.00             | 168,350.00                 |                 | 0.00       |
|          |                     |           | 01/10/2019        | 2.233775      | 29,815,000.00      |  | 99.936250                 | IDC-FIS                    |                 | -2,475.00  |
| 84639    | CP JP MORGAN SECURI | 46640QR11 | 10/02/2018        | 2.570000      | 30,000,000.00      |  | 29,792,250.00             | 194,891.67                 |                 | 0.00       |
|          |                     |           | 04/01/2019        | 2.603643      | 29,612,358.33      |  | 99.307500                 | IDC-FIS                    |                 | -15,000.00 |
| 84658    | CP CREDIT AGRICOLE  | 22533UNE9 | 10/22/2018        | 2.410000      | 30,000,000.00      |  | 29,972,375.00             | 142,591.67                 |                 | 0.00       |
|          |                     |           | 01/14/2019        | 2.423629      | 29,831,300.00      |  | 99.907917                 | IDC-FIS                    |                 | -1,516.67  |
| 84659    | CP TOYOTA MOTOR CRE | 89233HNJ9 | 10/22/2018        | 2.410000      | 30,000,000.00      |  | 29,963,875.00             | 142,591.66                 |                 | 0.00       |
|          |                     |           | 01/18/2019        | 2.424282      | 29,823,266.67      |  | 99.879583                 | IDC-FIS                    |                 | -1,983.33  |
| 84660    | PW CP TOYOTA MOTOR  | 89233HRJ5 | 10/22/2018        | 2.610000      | 800,000.00         |  | 793,413.56                | 4,118.00                   |                 | 0.00       |
|          |                     |           | 04/18/2019        | 2.644122      | 789,676.00         |  | 99.176694                 | IDC-FIS                    |                 | -380.44    |
| 84664    | CP JP MORGAN SECURI | 46640QPF2 | 10/23/2018        | 2.580000      | 30,000,000.00      |  | 29,904,375.00             | 150,500.00                 |                 | 1,125.00   |
|          |                     |           | 02/15/2019        | 2.601440      | 29,752,750.00      |  | 99.681250                 | IDC-FIS                    |                 | 0.00       |
| 84665    | CP JP MORGAN SECURI | 46640QPR6 | 10/25/2018        | 2.580000      | 30,000,000.00      |  | 29,883,125.00             | 146,200.00                 |                 | 1,375.00   |
|          |                     |           | 02/25/2019        | 2.602945      | 29,735,550.00      |  | 99.610417                 | IDC-FIS                    |                 | 0.00       |
| 84675    | CP SOCIETE GENERALE | 83369CNW0 | 10/30/2018        | 2.460000      | 30,000,000.00      |  | 29,938,375.00             | 129,150.00                 |                 | 0.00       |
|          |                     |           | 01/30/2019        | 2.475563      | 29,811,400.00      |  | 99.794583                 | IDC-FIS                    |                 | -2,175.00  |
| 84678    | CP SOCIETE GENERALE | 83369CNJ9 | 11/01/2018        | 2.320000      | 30,000,000.00      |  | 29,963,875.00             | 117,933.33                 |                 | 0.00       |
|          |                     |           | 01/18/2019        | 2.331721      | 29,849,200.00      |  | 99.879583                 | IDC-FIS                    |                 | -3,258.33  |
| 84680    | CP NORDEA BANK      | 65558KNX8 | 10/31/2018        | 2.365000      | 30,000,000.00      |  | 29,936,250.00             | 122,191.67                 |                 | 0.00       |
|          |                     |           | 01/31/2019        | 2.379381      | 29,818,683.33      |  | 99.787500                 | IDC-FIS                    |                 | -4,625.00  |
| 84681    | PW CP MUFG UNION BA | 62478YS17 | 11/02/2018        | 2.570000      | 1,500,000.00       |  | 1,486,050.00              | 6,425.00                   |                 | 0.00       |
|          |                     |           | 05/01/2019        | 2.603454      | 1,480,725.00       |  | 99.070000                 | IDC-FIS                    |                 | -1,100.00  |
| 84687    | CP TOYOTA MOTOR CRE | 89233HP81 | 11/02/2018        | 2.540000      | 30,000,000.00      |  | 29,919,250.00             | 127,000.00                 |                 | 0.00       |
|          |                     |           | 02/08/2019        | 2.557685      | 29,792,566.67      |  | 99.730833                 | IDC-FIS                    |                 | -316.67    |
| 84689    | CP JP MORGAN SECURI | 46640QQN4 | 11/07/2018        | 2.720000      | 15,000,000.00      |  | 14,911,666.67             | 62,333.33                  |                 | 2,333.34   |
|          |                     |           | 03/22/2019        | 2.748030      | 14,847,000.00      |  | 99.411111                 | IDC-FIS                    |                 | 0.00       |
| 84692    | CP MUFG BANK LTD    | 62479MP80 | 11/09/2018        | 2.580000      | 30,000,000.00      |  | 29,919,250.00             | 113,950.00                 |                 | 950.00     |
|          |                     |           | 02/08/2019        | 2.596936      | 29,804,350.00      |  | 99.730833                 | IDC-FIS                    |                 | 0.00       |
| 84694    | PW CP TOYOTA MOTOR  | 89233HSE5 | 11/15/2018        | 2.740000      | 800,000.00         |  | 791,754.00                | 2,861.78                   |                 | 0.00       |
|          |                     |           | 05/14/2019        | 2.778059      | 789,040.00         |  | 98.969250                 | IDC-FIS                    |                 | -147.78    |
| 84695    | CP JP MORGAN        | 46640QPR6 | 11/16/2018        | 2.650000      | 30,000,000.00      |  | 29,883,125.00             | 101,583.33                 |                 | 4,583.34   |
|          |                     |           | 02/25/2019        | 2.669850      | 29,776,958.33      |  | 99.610417                 | IDC-FIS                    |                 | 0.00       |
| 84701    | CP CREDIT AGRICOLE  | 22533UQS5 | 11/21/2018        | 2.680000      | 30,000,000.00      |  | 29,814,500.00             | 91,566.67                  |                 | 2,100.00   |
|          |                     |           | 03/26/2019        | 2.705173      | 29,720,833.33      |  | 99.381667                 | IDC-FIS                    |                 | 0.00       |
| 84702    | CP CREDIT AGRICOLE  | 22533UQR7 | 11/21/2018        | 2.680000      | 30,000,000.00      |  | 29,816,708.33             | 91,566.67                  |                 | 2,074.99   |



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|----------|---------------------|-----------|-------------------|---------------|--------------------|---------------|---------------------------|----------------------------|-----------------|-----------------|
|          |                     |           |                   |               | Current Book       | Market Price  |                           |                            | Unrealized Loss | Unrealized Gain |
|          |                     |           | 03/25/2019        | 2.704970      |                    | 29,723,066.67 | 99.389028                 | IDC-FIS                    |                 | 0.00            |
| 84703    | CP TOYOTA MOTOR CRE | 89233HQB3 | 11/21/2018        | 2.650000      |                    | 30,000,000.00 | 29,847,625.00             | 90,541.67                  |                 | 0.00            |
|          |                     |           | 03/11/2019        | 2.671633      |                    | 29,757,083.33 | 99.492083                 | IDC-FIS                    |                 | 0.00            |
| 84710    | CP STANDARD CHARTER | 85324UQT3 | 11/26/2018        | 2.700000      |                    | 30,000,000.00 | 29,812,291.67             | 81,000.00                  |                 | 3,541.67        |
|          |                     |           | 03/27/2019        | 2.724727      |                    | 29,727,750.00 | 99.374306                 | IDC-FIS                    |                 | 0.00            |
| 84711    | CP MUFG BK LTD NY   | 62479MNU3 | 11/27/2018        | 2.540000      |                    | 30,000,000.00 | 29,942,625.00             | 74,083.33                  |                 | 0.00            |
|          |                     |           | 01/28/2019        | 2.551160      |                    | 29,868,766.67 | 99.808750                 | IDC-FIS                    |                 | -225.00         |
| 84714    | CP CREDIT AGRICOLE  | 22533URC9 | 11/29/2018        | 2.710000      |                    | 30,000,000.00 | 29,766,858.33             | 74,525.00                  |                 | 0.00            |
|          |                     |           | 04/12/2019        | 2.737615      |                    | 29,697,383.33 | 99.222861                 | IDC-FIS                    |                 | -5,050.00       |
| 84716    | CP TORONTO DOMINION | 89119BRA6 | 11/28/2018        | 2.680000      |                    | 30,000,000.00 | 29,771,475.00             | 75,933.33                  |                 | 0.00            |
|          |                     |           | 04/10/2019        | 2.706800      |                    | 29,702,966.67 | 99.238250                 | IDC-FIS                    |                 | -7,425.00       |
| 84717    | CP CREDIT AGRICOLE  | 22533UQ89 | 11/28/2018        | 2.610000      |                    | 30,000,000.00 | 29,854,250.00             | 73,950.00                  |                 | 0.00            |
|          |                     |           | 03/08/2019        | 2.629061      |                    | 29,782,500.00 | 99.514167                 | IDC-FIS                    |                 | -2,200.00       |
| 84720    | CP JP MORGAN SECURI | 46640QRR4 | 11/30/2018        | 2.820000      |                    | 30,000,000.00 | 29,736,850.00             | 75,200.00                  |                 | 4,750.00        |
|          |                     |           | 04/25/2019        | 2.852625      |                    | 29,656,900.00 | 99.122833                 | IDC-FIS                    |                 | 0.00            |
| 84758    | PW CP TOYOTA MOTOR  | 89233HT38 | 12/06/2018        | 2.820000      |                    | 4,300,000.00  | 4,249,012.75              | 8,757.67                   |                 | 548.25          |
|          |                     |           | 06/03/2019        | 2.860104      |                    | 4,239,706.83  | 98.814250                 | IDC-FIS                    |                 | 0.00            |
| 84759    | PW CP TOYOTA MOTOR  | 89233HT38 | 12/06/2018        | 2.820000      |                    | 1,100,000.00  | 1,086,956.75              | 2,240.33                   |                 | 140.26          |
|          |                     |           | 06/03/2019        | 2.860105      |                    | 1,084,576.16  | 98.814250                 | IDC-FIS                    |                 | 0.00            |
| 84760    | PW CP TOYOTA MOTOR  | 89233HT38 | 12/06/2018        | 2.820000      |                    | 1,000,000.00  | 988,142.50                | 2,036.67                   |                 | 127.51          |
|          |                     |           | 06/03/2019        | 2.860106      |                    | 985,978.32    | 98.814250                 | IDC-FIS                    |                 | 0.00            |
| 84767    | CP SOCIETE GENERALE | 83369CRA4 | 12/07/2018        | 2.730000      |                    | 30,000,000.00 | 29,771,475.00             | 56,875.00                  |                 | 0.00            |
|          |                     |           | 04/10/2019        | 2.755915      |                    | 29,717,900.00 | 99.238250                 | IDC-FIS                    |                 | -3,300.00       |
| 84768    | CP SOCIETE GENERALE | 83369CR89 | 12/07/2018        | 2.730000      |                    | 30,000,000.00 | 29,776,091.67             | 56,875.00                  |                 | 0.00            |
|          |                     |           | 04/08/2019        | 2.755493      |                    | 29,722,450.00 | 99.253639                 | IDC-FIS                    |                 | -3,233.33       |
| 84773    | CP CREDIT SUISSE NY | 2254EBRA1 | 12/10/2018        | 2.770000      |                    | 30,000,000.00 | 29,771,475.00             | 50,783.33                  |                 | 0.00            |
|          |                     |           | 04/10/2019        | 2.796032      |                    | 29,720,691.67 | 99.238250                 | IDC-FIS                    |                 | 0.00            |
| 84776    | CP CREDIT SUISSE NY | 2254EBRF0 | 12/11/2018        | 2.770000      |                    | 30,000,000.00 | 29,759,933.33             | 48,475.00                  |                 | 0.00            |
|          |                     |           | 04/15/2019        | 2.796901      |                    | 29,711,458.33 | 99.199778                 | IDC-FIS                    |                 | 0.00            |
| 84778    | CP NORDEA BANK      | 65558KQB3 | 12/11/2018        | 2.740000      |                    | 30,000,000.00 | 29,847,625.00             | 47,950.00                  |                 | 5,175.00        |
|          |                     |           | 03/11/2019        | 2.758898      |                    | 29,794,500.00 | 99.492083                 | IDC-FIS                    |                 | 0.00            |
| 84779    | CP STANDARD CHARTER | 85324URS4 | 12/11/2018        | 2.760000      |                    | 30,000,000.00 | 29,734,541.67             | 48,300.00                  |                 | 0.00            |
|          |                     |           | 04/26/2019        | 2.789081      |                    | 29,687,200.00 | 99.115139                 | IDC-FIS                    |                 | -958.33         |
| 84788    | CP JP MORGAN SECURI | 46640QQC8 | 12/12/2018        | 2.780000      |                    | 30,000,000.00 | 29,845,416.67             | 46,333.33                  |                 | 7,583.34        |
|          |                     |           | 03/12/2019        | 2.799456      |                    | 29,791,500.00 | 99.484722                 | IDC-FIS                    |                 | 0.00            |
| 84790    | PW CP TOYOTA MOTOR  | 89233HQB3 | 12/12/2018        | 2.750000      |                    | 5,450,000.00  | 5,422,318.54              | 8,645.56                   |                 | 725.41          |
|          |                     |           | 03/11/2019        | 2.768824      |                    | 5,413,359.26  | 99.492083                 | IDC-FIS                    |                 | 0.00            |



## Inventory by Market Value

As Of Date: 12/31/2018

Date Basis: Settlement

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Reporting Currency: Local

### Contra Costa County

| Inv. No. | Description         | CUSIP     | Purchase Maturity | Coupon YTM TR   | Current Par /Share      |                         | Curr Accr Int Price Source | Unrealized Gain |                  |
|----------|---------------------|-----------|-------------------|-----------------|-------------------------|-------------------------|----------------------------|-----------------|------------------|
|          |                     |           |                   |                 | Current Book            | Market Price            |                            | Unrealized Loss |                  |
| 84791    | PW CP TOYOTA MOTOR  | 89233HQB3 | 12/12/2018        | 2.750000        | 5,300,000.00            | 5,273,080.42            | 8,407.61                   |                 | 705.45           |
|          |                     |           | 03/11/2019        | 2.768824        | 5,264,367.72            | 99.492083               | IDC-FIS                    |                 | 0.00             |
| 84792    | PW CP TOYOTA MOTOR  | 89233HQB3 | 12/12/2018        | 2.750000        | 500,000.00              | 497,460.42              | 793.17                     |                 | 66.56            |
|          |                     |           | 03/11/2019        | 2.768828        | 496,638.46              | 99.492083               | IDC-FIS                    |                 | 0.00             |
| 84793    | PW CP TOYOTA MOTOR  | 89233HQB3 | 12/12/2018        | 2.750000        | 800,000.00              | 795,936.67              | 1,269.07                   |                 | 106.49           |
|          |                     |           | 03/11/2019        | 2.768825        | 794,621.54              | 99.492083               | IDC-FIS                    |                 | 0.00             |
| 84794    | PW CP TOYOTA MOTOR  | 89233HQB3 | 12/12/2018        | 2.750000        | 1,900,000.00            | 1,890,349.58            | 3,014.05                   |                 | 252.89           |
|          |                     |           | 03/11/2019        | 2.768824        | 1,887,226.17            | 99.492083               | IDC-FIS                    |                 | 0.00             |
| 84795    | PW CP TOYOTA MOTOR  | 89233HQB3 | 12/12/2018        | 2.750000        | 2,290,000.00            | 2,278,368.71            | 3,632.73                   |                 | 304.80           |
|          |                     |           | 03/11/2019        | 2.768824        | 2,274,604.17            | 99.492083               | IDC-FIS                    |                 | 0.00             |
| 84796    | PW CP TOYOTA MOTOR  | 89233HQB3 | 12/12/2018        | 2.750000        | 340,000.00              | 338,273.08              | 539.35                     |                 | 45.26            |
|          |                     |           | 03/11/2019        | 2.768827        | 337,714.15              | 99.492083               | IDC-FIS                    |                 | 0.00             |
| 84797    | PW CP TOYOTA MOTOR  | 89233HQB3 | 12/12/2018        | 2.750000        | 3,200,000.00            | 3,183,746.67            | 5,076.30                   |                 | 425.93           |
|          |                     |           | 03/11/2019        | 2.768825        | 3,178,486.17            | 99.492083               | IDC-FIS                    |                 | 0.00             |
| 84798    | PW CP TOYOTA MOTOR  | 89233HQB3 | 12/12/2018        | 2.750000        | 700,000.00              | 696,444.58              | 1,110.44                   |                 | 93.17            |
|          |                     |           | 03/11/2019        | 2.768825        | 695,293.85              | 99.492083               | IDC-FIS                    |                 | 0.00             |
| 84799    | PW CP TOYOTA MOTOR  | 89233HQB3 | 12/12/2018        | 2.750000        | 2,800,000.00            | 2,785,778.33            | 4,441.76                   |                 | 372.68           |
|          |                     |           | 03/11/2019        | 2.768824        | 2,781,175.40            | 99.492083               | IDC-FIS                    |                 | 0.00             |
| 84800    | PW CP TOYOTA MOTOR  | 89233HQB3 | 12/12/2018        | 2.750000        | 4,000,000.00            | 3,979,683.33            | 6,345.37                   |                 | 532.40           |
|          |                     |           | 03/11/2019        | 2.768824        | 3,973,107.72            | 99.492083               | IDC-FIS                    |                 | 0.00             |
| 84801    | PW CP TOYOTA MOTOR  | 89233HQB3 | 12/12/2018        | 2.750000        | 24,000,000.00           | 23,878,100.00           | 38,072.22                  |                 | 3,194.44         |
|          |                     |           | 03/11/2019        | 2.768824        | 23,838,646.30           | 99.492083               | IDC-FIS                    |                 | 0.00             |
| 84802    | PW CP TOYOTA MOTOR  | 89233HQB3 | 12/12/2018        | 2.750000        | 500,000.00              | 497,460.42              | 793.17                     |                 | 66.56            |
|          |                     |           | 03/11/2019        | 2.768828        | 496,638.46              | 99.492083               | IDC-FIS                    |                 | 0.00             |
| 84803    | PW CP TOYOTA MOTOR  | 89233HQB3 | 12/12/2018        | 2.750000        | 4,190,000.00            | 4,168,718.29            | 6,646.77                   |                 | 557.70           |
|          |                     |           | 03/11/2019        | 2.768824        | 4,161,830.33            | 99.492083               | IDC-FIS                    |                 | 0.00             |
| 84804    | PW CP TOYOTA MOTOR  | 89233HQB3 | 12/12/2018        | 2.750000        | 415,000.00              | 412,892.15              | 658.33                     |                 | 55.24            |
|          |                     |           | 03/11/2019        | 2.768821        | 412,209.93              | 99.492083               | IDC-FIS                    |                 | 0.00             |
| 84805    | PW CP TOYOTA MOTOR  | 89233HQB3 | 12/12/2018        | 2.750000        | 2,265,000.00            | 2,253,495.69            | 3,593.07                   |                 | 301.47           |
|          |                     |           | 03/11/2019        | 2.768823        | 2,249,772.25            | 99.492083               | IDC-FIS                    |                 | 0.00             |
| 84806    | PW CP TOYOTA MOTOR  | 89233HQB3 | 12/12/2018        | 2.750000        | 5,000,000.00            | 4,974,604.17            | 7,931.71                   |                 | 665.52           |
|          |                     |           | 03/11/2019        | 2.768825        | 4,966,384.64            | 99.492083               | IDC-FIS                    |                 | 0.00             |
| 84807    | PW CP TOYOTA MOTOR  | 89233HQB3 | 12/12/2018        | 2.750000        | 5,000,000.00            | 4,974,604.17            | 7,931.71                   |                 | 665.52           |
|          |                     |           | 03/11/2019        | 2.768825        | 4,966,384.64            | 99.492083               | IDC-FIS                    |                 | 0.00             |
| 84814    | CP TORONTO DOMINION | 89119BQL3 | 12/20/2018        | 2.795000        | 30,000,000.00           | 29,827,750.00           | 27,950.00                  |                 | 9,425.00         |
|          |                     |           | 03/20/2019        | 2.814667        | 29,790,375.00           | 99.425833               | IDC-FIS                    |                 | 0.00             |
|          |                     |           | <b>Subtotal</b>   | <b>2.622925</b> | <b>1,027,150,000.00</b> | <b>1,021,895,972.56</b> | <b>3,419,325.16</b>        |                 | <b>54,970.19</b> |



## Inventory by Market Value

As Of Date: 12/31/2018

Date Basis: Settlement

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Reporting Currency: Local

### Contra Costa County

| Inv. No. | Description | CUSIP | Purchase Maturity | Coupon YTM TR | Current Par /Share<br>Current Book | Market Value<br>Market Price | Curr Accr Int<br>Price Source | Unrealized Gain<br>Unrealized Loss |
|----------|-------------|-------|-------------------|---------------|------------------------------------|------------------------------|-------------------------------|------------------------------------|
|          |             |       |                   | 2.645398      | 1,018,499,080.14                   | 99.488485                    |                               | -72,217.11                         |

**Inv Type: 72 NEGOTIABLE CERT OF DEPOSIT**

|       |                     |           |            |          |               |               |            |           |
|-------|---------------------|-----------|------------|----------|---------------|---------------|------------|-----------|
| 84139 | KFPD YCD BNP PARIBA | 05576T2D0 | 01/16/2018 | 2.050000 | 500,000.00    | 499,907.19    | 9,965.28   | 0.00      |
|       |                     |           | 01/16/2019 | 2.050000 | 500,000.00    | 99.981438     | IDC-FIS    | -92.81    |
| 84141 | PW YCD BNP PARIBAS  | 05576T2F5 | 01/19/2018 | 2.150000 | 1,500,000.00  | 1,499,760.29  | 31,085.42  | 0.00      |
|       |                     |           | 01/18/2019 | 2.150000 | 1,500,000.00  | 99.984019     | IDC-FIS    | -239.71   |
| 84353 | AUHSD NCD UNION BAN | 62478TD55 | 04/20/2018 | 2.340000 | 1,500,000.00  | 1,498,329.23  | 24,960.00  | 0.00      |
|       |                     |           | 04/18/2019 | 2.340000 | 1,500,000.00  | 99.888616     | IDC-FIS    | -1,670.77 |
| 84361 | AUHSD NCD UNION BAN | 62478TD55 | 04/20/2018 | 2.340000 | 120,000.00    | 119,866.34    | 1,996.80   | 0.00      |
|       |                     |           | 04/18/2019 | 2.340000 | 120,000.00    | 99.888616     | IDC-FIS    | -133.66   |
| 84492 | AUHSD NCD MUFG UNIO | 62478TG94 | 06/14/2018 | 2.400000 | 410,000.00    | 409,575.78    | 5,494.00   | 0.00      |
|       |                     |           | 05/03/2019 | 2.400000 | 410,000.00    | 99.896531     | IDC-FIS    | -424.22   |
| 84633 | YCD BANK OF MONTREA | 06370RLB3 | 09/26/2018 | 2.450000 | 30,000,000.00 | 30,000,295.12 | 198,041.67 | 295.12    |
|       |                     |           | 01/25/2019 | 2.450000 | 30,000,000.00 | 100.000984    | IDC-FIS    | 0.00      |
| 84634 | YCD STANDARD CHARTE | 85325TQ40 | 09/26/2018 | 2.460000 | 30,000,000.00 | 30,000,581.88 | 198,850.00 | 581.88    |
|       |                     |           | 01/29/2019 | 2.460000 | 30,000,000.00 | 100.001940    | IDC-FIS    | 0.00      |
| 84635 | YCD TORONTO DOMINIO | 89114MGH4 | 09/27/2018 | 2.440000 | 30,000,000.00 | 30,000,088.22 | 195,200.00 | 88.22     |
|       |                     |           | 01/24/2019 | 2.440000 | 30,000,000.00 | 100.000294    | IDC-FIS    | 0.00      |
| 84666 | YCD STANDARD CHARTE | 85325TT21 | 10/25/2018 | 2.600000 | 30,000,000.00 | 30,007,824.07 | 147,333.33 | 7,824.07  |
|       |                     |           | 02/25/2019 | 2.600000 | 30,000,000.00 | 100.026080    | IDC-FIS    | 0.00      |
| 84672 | NCD MUFG UNION BANK | 62478TP45 | 10/26/2018 | 2.410000 | 25,000,000.00 | 24,999,842.05 | 112,131.94 | 0.00      |
|       |                     |           | 01/11/2019 | 2.410000 | 25,000,000.00 | 99.999368     | IDC-FIS    | -157.95   |
| 84673 | YCD MUFG BANK LTD N | 55379WQQ2 | 10/26/2018 | 2.470000 | 25,000,000.00 | 25,000,379.21 | 114,923.61 | 379.21    |
|       |                     |           | 01/14/2019 | 2.470000 | 25,000,000.00 | 100.001517    | IDC-FIS    | 0.00      |
| 84676 | YCD BANK OF MONTREA | 06370RMY2 | 11/01/2018 | 2.520000 | 30,000,000.00 | 30,002,390.48 | 128,100.00 | 2,390.48  |
|       |                     |           | 02/01/2019 | 2.520000 | 30,000,000.00 | 100.007968    | IDC-FIS    | 0.00      |
| 84682 | PW NCD MUFG UNION B | 62478TQ28 | 11/02/2018 | 2.810000 | 1,610,000.00  | 1,609,025.01  | 7,540.17   | 0.00      |
|       |                     |           | 11/01/2019 | 2.810000 | 1,610,000.00  | 99.939441     | IDC-FIS    | -974.99   |
| 84696 | YCD NORDEA BANK     | 65558TAP0 | 11/16/2018 | 2.590000 | 30,000,000.00 | 30,005,659.80 | 99,283.33  | 5,659.80  |
|       |                     |           | 02/11/2019 | 2.590000 | 30,000,000.00 | 100.018866    | IDC-FIS    | 0.00      |
| 84697 | YCD NORDEA BANK     | 65558TAR6 | 11/16/2018 | 2.580000 | 30,000,000.00 | 30,004,681.05 | 98,900.00  | 4,681.05  |
|       |                     |           | 02/06/2019 | 2.580000 | 30,000,000.00 | 100.015604    | IDC-FIS    | 0.00      |
| 84704 | YCD TORONTO DOMINIO | 89114MMV6 | 11/21/2018 | 2.630000 | 25,000,000.00 | 25,009,120.10 | 74,881.94  | 9,120.10  |
|       |                     |           | 03/06/2019 | 2.630000 | 25,000,000.00 | 100.036480    | IDC-FIS    | 0.00      |
| 84705 | YCD TORONTO DOMINIO | 89114MMX2 | 11/21/2018 | 2.630000 | 30,000,000.00 | 30,011,279.36 | 89,858.33  | 11,279.36 |



## Inventory by Market Value

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### Contra Costa County

| Inv. No. | Description          | CUSIP     | Purchase Maturity | Coupon YTM TR | Current Par /Share |               | Market Value Market Price | Curr Accr Int Price Source | Unrealized Gain |                 |
|----------|----------------------|-----------|-------------------|---------------|--------------------|---------------|---------------------------|----------------------------|-----------------|-----------------|
|          |                      |           |                   |               |                    | Current Book  |                           |                            |                 | Unrealized Loss |
|          |                      |           | 03/08/2019        | 2.630000      |                    | 30,000,000.00 | 100.037598                | IDC-FIS                    |                 | 0.00            |
| 84715    | YCD NORDEA BANK      | 65558TBJ3 | 11/28/2018        | 2.730000      |                    | 30,000,000.00 | 30,004,103.86             | 77,350.00                  |                 | 4,103.86        |
|          |                      |           | 04/03/2019        | 2.730000      |                    | 30,000,000.00 | 100.013680                | IDC-FIS                    |                 | 0.00            |
| 84718    | YCD CREDIT AGRICOLE  | 22535CAS0 | 11/30/2018        | 2.760000      |                    | 30,000,000.00 | 30,007,057.23             | 73,600.00                  |                 | 7,057.23        |
|          |                      |           | 04/12/2019        | 2.760000      |                    | 30,000,000.00 | 100.023524                | IDC-FIS                    |                 | 0.00            |
| 84750    | YCD STANDARD CHARTE  | 85325TV44 | 12/04/2018        | 2.790000      |                    | 30,000,000.00 | 30,009,652.09             | 65,100.00                  |                 | 9,652.09        |
|          |                      |           | 04/12/2019        | 2.790000      |                    | 30,000,000.00 | 100.032174                | IDC-FIS                    |                 | 0.00            |
| 84751    | YCD STANDARD CHARTE  | 85325TV51 | 12/04/2018        | 2.790000      |                    | 25,000,000.00 | 25,007,965.14             | 54,250.00                  |                 | 7,965.14        |
|          |                      |           | 04/11/2019        | 2.790000      |                    | 25,000,000.00 | 100.031861                | IDC-FIS                    |                 | 0.00            |
| 84752    | YCD BANK OF MONTREAL | 06370RPU7 | 12/04/2018        | 2.780000      |                    | 25,000,000.00 | 25,007,270.36             | 54,055.56                  |                 | 7,270.36        |
|          |                      |           | 04/11/2019        | 2.780000      |                    | 25,000,000.00 | 100.029081                | IDC-FIS                    |                 | 0.00            |
| 84753    | YCD BANK OF MONTREAL | 06370RPT0 | 12/04/2018        | 2.780000      |                    | 30,000,000.00 | 30,008,810.16             | 64,866.67                  |                 | 8,810.16        |
|          |                      |           | 04/12/2019        | 2.780000      |                    | 30,000,000.00 | 100.029367                | IDC-FIS                    |                 | 0.00            |
| 84762    | YCD BANK OF MONTREAL | 06370RQE2 | 12/06/2018        | 2.780000      |                    | 30,000,000.00 | 30,008,758.88             | 60,233.33                  |                 | 8,758.88        |
|          |                      |           | 04/11/2019        | 2.780000      |                    | 30,000,000.00 | 100.029196                | IDC-FIS                    |                 | 0.00            |
| 84763    | YCD BANK OF MONTREAL | 06370RQC6 | 12/06/2018        | 2.780000      |                    | 30,000,000.00 | 30,008,844.95             | 60,233.33                  |                 | 8,844.95        |
|          |                      |           | 04/12/2019        | 2.780000      |                    | 30,000,000.00 | 100.029483                | IDC-FIS                    |                 | 0.00            |
| 84764    | YCD MUFG BANK LTD N  | 55379WTL0 | 12/06/2018        | 2.770000      |                    | 30,000,000.00 | 30,007,925.02             | 60,016.67                  |                 | 7,925.02        |
|          |                      |           | 04/11/2019        | 2.770000      |                    | 30,000,000.00 | 100.026417                | IDC-FIS                    |                 | 0.00            |
| 84765    | YCD MUFG BANK LTD N  | 55379WTN6 | 12/06/2018        | 2.770000      |                    | 30,000,000.00 | 30,008,002.89             | 60,016.67                  |                 | 8,002.89        |
|          |                      |           | 04/12/2019        | 2.770000      |                    | 30,000,000.00 | 100.026676                | IDC-FIS                    |                 | 0.00            |
| 84771    | YCD NORDEA BANK      | 65558TBT1 | 12/10/2018        | 2.770000      |                    | 30,000,000.00 | 30,008,307.80             | 50,783.33                  |                 | 8,307.80        |
|          |                      |           | 04/15/2019        | 2.770000      |                    | 30,000,000.00 | 100.027693                | IDC-FIS                    |                 | 0.00            |
| 84772    | YCD MUFG BK          | 55379WTT3 | 12/10/2018        | 2.770000      |                    | 30,000,000.00 | 30,008,307.80             | 50,783.33                  |                 | 8,307.80        |
|          |                      |           | 04/15/2019        | 2.770000      |                    | 30,000,000.00 | 100.027693                | IDC-FIS                    |                 | 0.00            |
| 84780    | YCD TORONTO DOMINIO  | 89114MQK6 | 12/13/2018        | 2.770000      |                    | 30,000,000.00 | 30,020,698.72             | 43,858.33                  |                 | 20,698.72       |
|          |                      |           | 03/13/2019        | 2.770000      |                    | 30,000,000.00 | 100.068996                | IDC-FIS                    |                 | 0.00            |
| 84781    | YCD STANDARD CHARTE  | 85325TW76 | 12/13/2018        | 2.800000      |                    | 30,000,000.00 | 30,022,487.88             | 44,333.33                  |                 | 22,487.88       |
|          |                      |           | 03/13/2019        | 2.800000      |                    | 30,000,000.00 | 100.074960                | IDC-FIS                    |                 | 0.00            |
| 84813    | YCD STANDARD CHARTE  | 85325TX34 | 12/19/2018        | 2.800000      |                    | 30,000,000.00 | 30,024,425.12             | 30,333.33                  |                 | 24,425.12       |
|          |                      |           | 03/19/2019        | 2.800000      |                    | 30,000,000.00 | 100.081417                | IDC-FIS                    |                 | 0.00            |
| 84815    | YCD BANK OF MONTREAL | 06370RSJ9 | 12/20/2018        | 2.800000      |                    | 30,000,000.00 | 30,024,748.93             | 28,000.00                  |                 | 24,748.93       |
|          |                      |           | 03/20/2019        | 2.800000      |                    | 30,000,000.00 | 100.082496                | IDC-FIS                    |                 | 0.00            |
| 84823    | YCD MUFG BANK LTD N  | 55379WUX2 | 12/27/2018        | 2.770000      |                    | 30,000,000.00 | 30,024,886.09             | 11,541.67                  |                 | 24,886.09       |
|          |                      |           | 03/27/2019        | 2.770000      |                    | 30,000,000.00 | 100.082954                | IDC-FIS                    |                 | 0.00            |
| 84824    | YCD BANK OF MONTREAL | 06370RSZ3 | 12/27/2018        | 2.780000      |                    | 30,000,000.00 | 30,025,598.44             | 11,583.33                  |                 | 25,598.44       |
|          |                      |           | 03/27/2019        | 2.780000      |                    | 30,000,000.00 | 100.085328                | IDC-FIS                    |                 | 0.00            |



## Inventory by Market Value

As Of Date: 12/31/2018

Date Basis: Settlement

Run: 01/09/2019 03:48:06 PM

Reporting Currency: Local

### Contra Costa County

| Inv. No. | Description        | CUSIP     | Purchase Maturity | Coupon YTM TR   | Current Par /Share<br>Current Book | Market Value<br>Market Price | Curr Accr Int<br>Price Source | Unrealized Gain<br>Unrealized Loss |
|----------|--------------------|-----------|-------------------|-----------------|------------------------------------|------------------------------|-------------------------------|------------------------------------|
| 84825    | YCD NORDEA BANK NY | 65558TCM5 | 12/27/2018        | 2.770000        | 30,000,000.00                      | 30,024,310.59                | 11,541.67                     | 24,310.59                          |
|          |                    |           | 03/25/2019        | 2.770000        | 30,000,000.00                      | 100.081035                   | IDC-FIS                       | 0.00                               |
|          |                    |           | <b>Subtotal</b>   | <b>2.684808</b> | <b>880,640,000.00</b>              | <b>880,940,767.13</b>        | <b>2,451,026.37</b>           | <b>304,461.24</b>                  |
|          |                    |           |                   | <b>2.684808</b> | <b>880,640,000.00</b>              | <b>100.034153</b>            |                               | <b>-3,694.11</b>                   |

### Inv Type: 75 CORPORATE NOTES

|       |                     |           |            |          |               |               |            |             |
|-------|---------------------|-----------|------------|----------|---------------|---------------|------------|-------------|
| 82975 | CORP WELLS FARGO &  | 949746RS2 | 03/07/2016 | 2.500000 | 5,000,000.00  | 4,917,850.00  | 40,625.00  | 0.00        |
|       |                     |           | 03/04/2021 | 2.543964 | 4,989,750.00  | 98.357000     | IDC-FIS    | -71,900.00  |
| 82985 | CORP EXXON MOBIL CO | 30231GAP7 | 03/15/2016 | 1.708000 | 3,500,000.00  | 3,493,770.00  | 19,926.67  | 0.00        |
|       |                     |           | 03/01/2019 | 1.708000 | 3,500,000.00  | 99.822000     | IDC-FIS    | -6,230.00   |
| 83151 | CORP CHEVRON        | 166764BH2 | 05/26/2016 | 1.561000 | 5,000,000.00  | 4,973,000.00  | 9,756.25   | 0.00        |
|       |                     |           | 05/16/2019 | 1.657877 | 4,986,000.00  | 99.460000     | IDC-FIS    | -13,000.00  |
| 83273 | CORP ROYAL BANK OF  | 78012KRK5 | 07/29/2016 | 1.500000 | 5,000,000.00  | 4,963,200.00  | 31,666.67  | 0.00        |
|       |                     |           | 07/29/2019 | 1.500000 | 5,000,000.00  | 99.264000     | IDC-FIS    | -36,800.00  |
| 83592 | CORP MICROSOFT CORP | 594918BV5 | 02/06/2017 | 1.850000 | 10,000,000.00 | 9,927,400.00  | 74,513.89  | 0.00        |
|       |                     |           | 02/06/2020 | 1.850000 | 10,000,000.00 | 99.274000     | IDC-FIS    | -72,600.00  |
| 83732 | CORP MICROSOFT CORP | 594918BP8 | 05/04/2017 | 1.550000 | 8,549,000.00  | 8,305,097.03  | 52,635.72  | 0.00        |
|       |                     |           | 08/08/2021 | 2.010124 | 8,389,048.21  | 97.147000     | IDC-FIS    | -83,951.18  |
| 83742 | CORP APPLE INC      | 037833CS7 | 05/12/2017 | 1.800000 | 10,000,000.00 | 9,874,000.00  | 25,000.00  | 0.00        |
|       |                     |           | 05/11/2020 | 1.829955 | 9,991,300.00  | 98.740000     | IDC-FIS    | -117,300.00 |
| 84156 | CORP TOYOTA MOTOR C | 89236TDM4 | 01/24/2018 | 1.700000 | 8,000,000.00  | 7,998,320.00  | 64,977.78  | 19,840.00   |
|       |                     |           | 01/09/2019 | 1.984629 | 7,978,480.00  | 99.979000     | IDC-FIS    | 0.00        |
| 84184 | CORP WELLS FARGO BA | 94988J5L7 | 02/06/2018 | 2.400000 | 10,000,000.00 | 9,914,900.00  | 110,666.67 | 0.00        |
|       |                     |           | 01/15/2020 | 2.469417 | 9,986,900.00  | 99.149000     | IDC-FIS    | -72,000.00  |
| 84189 | CORP JOHNSON & JOHN | 478160CH5 | 02/12/2018 | 1.950000 | 16,850,000.00 | 16,603,147.50 | 46,548.13  | 0.00        |
|       |                     |           | 11/10/2020 | 2.295016 | 16,695,991.00 | 98.535000     | IDC-FIS    | -92,843.50  |
| 84370 | MICROSOFT CORP      | 594918BA1 | 04/26/2018 | 2.375000 | 10,000,000.00 | 9,878,800.00  | 91,701.39  | 130,100.00  |
|       |                     |           | 02/12/2022 | 3.081219 | 9,748,700.00  | 98.788000     | IDC-FIS    | 0.00        |
| 84387 | MICROSOFT CORP      | 594918BG8 | 05/03/2018 | 2.000000 | 8,000,000.00  | 7,910,880.00  | 25,777.78  | 45,360.00   |
|       |                     |           | 11/03/2020 | 2.699874 | 7,865,520.00  | 98.886000     | IDC-FIS    | 0.00        |
| 84499 | CORP WALMART INC    | 931142DY6 | 06/22/2018 | 1.750000 | 15,000,000.00 | 14,894,700.00 | 59,791.67  | 59,355.00   |
|       |                     |           | 10/09/2019 | 2.615066 | 14,835,345.00 | 99.298000     | IDC-FIS    | 0.00        |
| 84504 | CORP WALMART INC    | 931142DY6 | 06/25/2018 | 1.750000 | 5,000,000.00  | 4,964,900.00  | 19,930.56  | 19,440.00   |
|       |                     |           | 10/09/2019 | 2.615073 | 4,945,460.00  | 99.298000     | IDC-FIS    | 0.00        |
| 84508 | CORP APPLE INC      | 037833BS8 | 06/26/2018 | 2.250000 | 20,000,000.00 | 19,765,600.00 | 160,000.00 | 86,200.00   |
|       |                     |           | 02/23/2021 | 2.880031 | 19,679,400.00 | 98.828000     | IDC-FIS    | 0.00        |



## Inventory by Market Value

As Of Date: 12/31/2018

Date Basis: Settlement

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Reporting Currency: Local

### Contra Costa County

| Inv. No. | Description         | CUSIP     | Purchase Maturity | Coupon YTM TR   | Current Par /Share<br>Current Book | Market Value<br>Market Price | Curr Accr Int<br>Price Source | Unrealized Gain<br>Unrealized Loss |
|----------|---------------------|-----------|-------------------|-----------------|------------------------------------|------------------------------|-------------------------------|------------------------------------|
| 84528    | CORP TOYOTA MOTOR C | 89236TDZ5 | 07/03/2018        | 2.000000        | 8,200,000.00                       | 7,920,462.00                 | 911.11                        | 0.00                               |
|          |                     |           | 12/29/2020        | 3.100913        | 7,985,652.00                       | 96.591000                    | IDC-FIS                       | -65,190.00                         |
| 84549    | CORP WELLS FARGO BA | 94988J5D5 | 07/18/2018        | 1.750000        | 10,000,000.00                      | 9,949,200.00                 | 17,986.11                     | 26,600.00                          |
|          |                     |           | 05/24/2019        | 2.675824        | 9,922,600.00                       | 99.492000                    | IDC-FIS                       | 0.00                               |
| 84590    | CORP JOHNSON AND JO | 478160CD4 | 09/05/2018        | 2.250000        | 10,284,000.00                      | 10,031,733.48                | 75,844.50                     | 0.00                               |
|          |                     |           | 03/03/2022        | 2.844617        | 10,083,719.10                      | 97.547000                    | IDC-FIS                       | -50,700.12                         |
| 84596    | CORP JOHNSON AND JO | 478160CD4 | 09/07/2018        | 2.250000        | 10,000,000.00                      | 9,754,700.00                 | 73,750.00                     | 0.00                               |
|          |                     |           | 03/03/2022        | 2.867054        | 9,799,000.00                       | 97.547000                    | IDC-FIS                       | -41,800.00                         |
| 84622    | CORP APPLE INC      | 037833BS8 | 09/20/2018        | 2.250000        | 10,000,000.00                      | 9,882,800.00                 | 80,000.00                     | 52,480.00                          |
|          |                     |           | 02/23/2021        | 2.980040        | 9,847,195.00                       | 98.828000                    | IDC-FIS                       | 0.00                               |
| 84646    | CORP WALMART INC    | 931142DY6 | 10/09/2018        | 1.750000        | 20,000,000.00                      | 19,859,600.00                | 79,722.22                     | 49,500.00                          |
|          |                     |           | 10/09/2019        | 2.718905        | 19,810,100.00                      | 99.298000                    | IDC-FIS                       | 0.00                               |
| 84649    | CORP EXXON MOBIL CO | 30231GAV4 | 10/11/2018        | 2.222000        | 12,640,000.00                      | 12,457,984.00                | 93,620.27                     | 83,798.40                          |
|          |                     |           | 03/01/2021        | 3.142000        | 12,405,392.36                      | 98.560000                    | IDC-FIS                       | 0.00                               |
| 84677    | CORP ORACLE CORP    | 68389XAX3 | 11/01/2018        | 2.250000        | 20,000,000.00                      | 19,905,600.00                | 103,750.00                    | 11,440.00                          |
|          |                     |           | 10/08/2019        | 2.826041        | 19,922,910.00                      | 99.528000                    | IDC-FIS                       | 0.00                               |
| 84761    | CORP APPLE INC      | 037833AR1 | 12/06/2018        | 2.850000        | 15,000,000.00                      | 15,018,300.00                | 65,312.50                     | 115,560.00                         |
|          |                     |           | 05/06/2021        | 3.130008        | 14,938,365.00                      | 100.122000                   | IDC-FIS                       | 0.00                               |
|          |                     |           | <b>Subtotal</b>   | <b>2.056608</b> | <b>256,023,000.00</b>              | <b>253,165,944.01</b>        | <b>1,424,414.89</b>           | <b>699,673.40</b>                  |
|          |                     |           |                   | <b>2.605199</b> | <b>253,306,827.67</b>              | <b>98.884063</b>             |                               | <b>-724,314.80</b>                 |

#### Inv Type: 99 MONEY MARKET ACCOUNTS

|       |                      |          |                 |                |             |                |             |             |
|-------|----------------------|----------|-----------------|----------------|-------------|----------------|-------------|-------------|
| 83381 | AUHS D MM DREYFUS TR | X9USDRE0 | 08/08/2016      | .000000        | 0.00        | 0.00           | 0.00        | 0.00        |
|       |                      |          | 01/01/2019      | .000000        | 0.00        | .000000        | BOOK        | 0.00        |
|       |                      |          | <b>Subtotal</b> | <b>.000000</b> | <b>0.00</b> | <b>0.00</b>    | <b>0.00</b> | <b>0.00</b> |
|       |                      |          |                 | <b>.000000</b> | <b>0.00</b> | <b>.000000</b> |             | <b>0.00</b> |

#### Inv Type: 1000 TD WITH CALC CODE OF CSC-00

|       |                     |           |                 |                |                 |                   |              |             |
|-------|---------------------|-----------|-----------------|----------------|-----------------|-------------------|--------------|-------------|
| 83754 | CCCCD CD BERTA KAMM | 121101042 | 05/24/2017      | .400000        | 3,397.22        | 3,397.22          | 22.16        | 0.00        |
|       |                     |           | 05/24/2020      | .400000        | 3,397.22        | 100.000000        | BOOK         | 0.00        |
|       |                     |           | <b>Subtotal</b> | <b>.400000</b> | <b>3,397.22</b> | <b>3,397.22</b>   | <b>22.16</b> | <b>0.00</b> |
|       |                     |           |                 | <b>.400000</b> | <b>3,397.22</b> | <b>100.000000</b> |              | <b>0.00</b> |

|                    |                  |  |          |  |                  |                  |               |               |
|--------------------|------------------|--|----------|--|------------------|------------------|---------------|---------------|
| <b>Grand Total</b> | <b>Count 276</b> |  | 2.414342 |  | 3,203,274,397.22 | 3,189,017,758.45 | 11,346,258.03 | 2,024,171.49  |
|                    |                  |  | 2.491226 |  | 3,186,390,476.30 | 99.554935        |               | -3,571,581.69 |



Contra Costa County

## Inventory by Market Value

As Of Date: 12/31/2018

Date Basis: Settlement

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Reporting Currency: Local





## Inventory by Market Value

As Of Date: 12/31/2018

Date Basis: Settlement

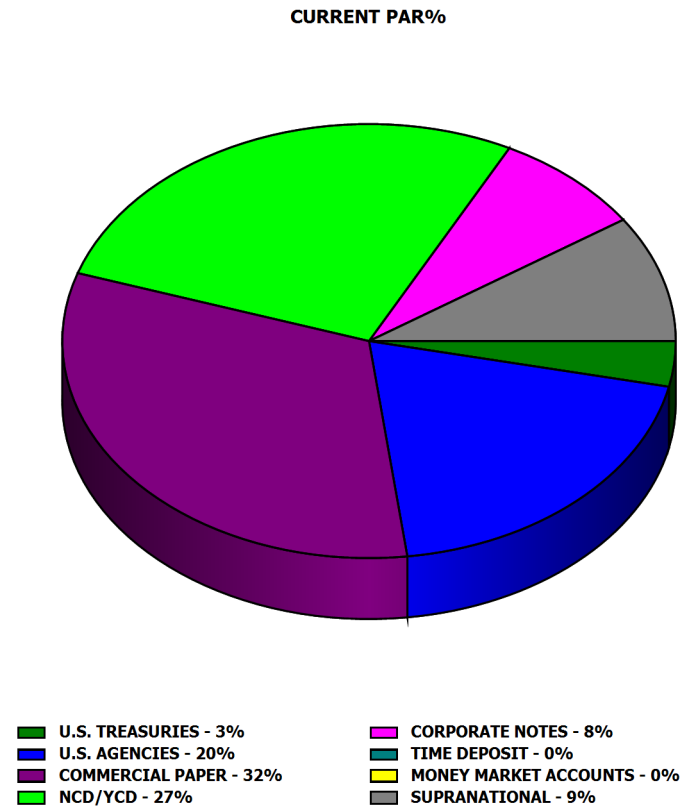
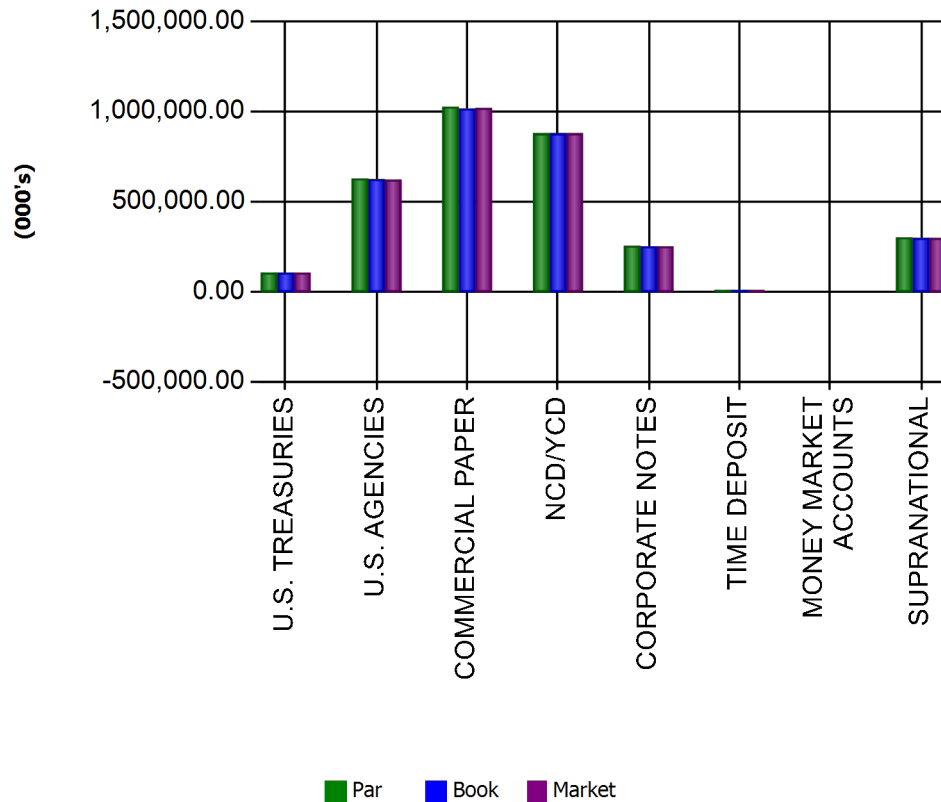
Run: 01/09/2019 03:48:06 PM

Reporting Currency: Local

### Contra Costa County

| Assets (000's)        | Current Par         | Current Book        | Market              | MKT/Book        | Un Gain/Loss     | Yield         |
|-----------------------|---------------------|---------------------|---------------------|-----------------|------------------|---------------|
| U.S. TREASURIES       | 108,130.00          | 107,316.25          | 107,605.84          | 100.27 %        | 96.80            | 2.45 %        |
| U.S. AGENCIES         | 628,849.00          | 625,726.89          | 624,374.67          | 99.78 %         | -1,927.38        | 2.03 %        |
| COMMERCIAL PAPER      | 1,027,150.00        | 1,018,499.08        | 1,021,895.97        | 100.33 %        | -17.25           | 2.65 %        |
| NCD/YCD               | 880,640.00          | 880,640.00          | 880,940.77          | 100.03 %        | 300.77           | 2.68 %        |
| CORPORATE NOTES       | 256,023.00          | 253,306.83          | 253,165.94          | 99.94 %         | -24.64           | 2.61 %        |
| TIME DEPOSIT          | 3.40                | 3.40                | 3.40                | 100.00 %        | 0.00             | 0.40 %        |
| MONEY MARKET ACCOUNTS | 0.00                | 0.00                | 0.00                | 0.00 %          | 0.00             | 0.00 %        |
| SUPRANATIONAL         | 302,479.00          | 300,898.04          | 301,031.17          | 100.04 %        | 24.29            | 2.29 %        |
| <b>Totals(000's)</b>  | <b>3,203,274.40</b> | <b>3,186,390.48</b> | <b>3,189,017.76</b> | <b>100.08 %</b> | <b>-1,547.41</b> | <b>2.49 %</b> |

### Asset Allocation





601 Riverside Avenue, Jacksonville, FL 32204  
Tel. 877.482.8786 | Fax. 904.357.1105 | fisglobal.com

January 10, 2019

Ms Belinda Zhu  
Assistant County Treasurer  
Contra Costa County  
625 Court Street, Room 100  
Martinez, CA 94533

*Via email*

Dear Ms. Zhu:

Per your request, I am confirming for you the source of the APS2 market pricing data for the quarter ending December 31, 2018.

Monthly you request pricing from three (3) pricing files that we provide, **RAPID**, **PRICES** and **MARKET**. The data within these three files is obtained from Interactive Data Corporation/ICE. The content of the **PRICES** file consists of prices for overnight repos, TDs, CDs, CPs, B/As and government discount issues other than Treasury Bills. The content of the **RAPID** file consists of prices for U.S. government issues (such as FFCBs and GNMMAs) and Treasuries. The **MARKET** file consists of Corporate Bonds, Notes and Equity prices.

If you need further information, please do not hesitate to contact me at 805-509-0917 or Eric Graves at 818-425-2094.

Sincerely,

A handwritten signature in blue ink, appearing to read 'Karen Tanaka'.

Karen Tanaka  
FIS/APS2

**Empowering the Financial World**

## **SECTION III**

### **APPENDIX**

#### **B. INVESTMENT PORTFOLIO DETAIL – MANAGED BY OUTSIDE CONTRACTED PARTIES**

##### **B.1. PFM**



## Inventory by Market Value

As Of Date: 12/31/2018

Date Basis: Settlement

Run: 01/09/2019 03:49:22 PM

Reporting Currency: Local

### Contra Costa County

| Inv. No.                          | Description         | CUSIP     | Purchase Maturity | Coupon YTM TR   | Current Par /Share<br>Current Book | Market Value<br>Market Price | Curr Accr Int<br>Price Source | Unrealized Gain<br>Unrealized Loss |
|-----------------------------------|---------------------|-----------|-------------------|-----------------|------------------------------------|------------------------------|-------------------------------|------------------------------------|
| <b>Inv Type: 1 SUPRANATIONALS</b> |                     |           |                   |                 |                                    |                              |                               |                                    |
| 83859                             | CCCSIG SUPRA INTL B | 459058GA5 | 08/29/2017        | 1.625000        | 750,000.00                         | 738,045.00                   | 3,960.94                      | 0.00                               |
|                                   |                     |           | 09/04/2020        | 1.633607        | 749,842.50                         | 98.406000                    | IDC-FIS                       | -11,797.50                         |
| 83862                             | CCCSIG SUPRA INTL B | 459058FS7 | 08/30/2017        | 1.126000        | 450,000.00                         | 444,136.50                   | 479.72                        | 0.00                               |
|                                   |                     |           | 11/27/2019        | 1.572131        | 446,062.50                         | 98.697000                    | IDC-FIS                       | -1,926.00                          |
| 83895                             | CCCCD SUPRA INTL BK | 45905UP32 | 09/19/2017        | 1.561000        | 225,000.00                         | 220,878.00                   | 1,063.43                      | 0.00                               |
|                                   |                     |           | 09/12/2020        | 1.643882        | 224,460.00                         | 98.168000                    | IDC-FIS                       | -3,582.00                          |
| 83896                             | CCCSIG SUPRA INTL B | 45905UP32 | 09/19/2017        | 1.561000        | 800,000.00                         | 785,344.00                   | 3,781.09                      | 0.00                               |
|                                   |                     |           | 09/12/2020        | 1.643882        | 798,080.00                         | 98.168000                    | IDC-FIS                       | -12,736.00                         |
| 83918                             | CCCSIG SUPRA INTL A | 4581X0CD8 | 10/10/2017        | 2.125000        | 750,000.00                         | 743,002.50                   | 2,302.08                      | 0.00                               |
|                                   |                     |           | 11/09/2020        | 1.814044        | 756,951.83                         | 99.067000                    | IDC-FIS                       | -13,949.33                         |
| 83919                             | CCCCD SUPRA INTL AM | 4581X0CD8 | 10/10/2017        | 2.125000        | 220,000.00                         | 217,947.40                   | 675.28                        | 0.00                               |
|                                   |                     |           | 11/09/2020        | 1.814044        | 222,039.20                         | 99.067000                    | IDC-FIS                       | -4,091.80                          |
| 84157                             | CCCCD SUPRA INTL FI | 45950KCM0 | 01/25/2018        | 2.250000        | 90,000.00                          | 89,409.60                    | 877.50                        | 0.00                               |
|                                   |                     |           | 01/25/2021        | 2.352073        | 89,735.40                          | 99.344000                    | IDC-FIS                       | -325.80                            |
| 84266                             | CCCSIG SUP IFC      | 45950VLQ7 | 03/16/2018        | 2.635000        | 850,000.00                         | 849,039.50                   | 6,970.26                      | 0.00                               |
|                                   |                     |           | 03/09/2021        | 2.661454        | 849,362.50                         | 99.887000                    | IDC-FIS                       | -323.00                            |
| 84267                             | CCCCD SUP IFC       | 45950VLQ7 | 03/16/2018        | 2.635000        | 170,000.00                         | 169,807.90                   | 1,394.16                      | 0.00                               |
|                                   |                     |           | 03/09/2021        | 2.661454        | 169,872.50                         | 99.887000                    | IDC-FIS                       | -64.60                             |
| 84365                             | CCCSIG SUPRA IADB   | 4581X0DB1 | 04/19/2018        | 2.625000        | 975,000.00                         | 975,965.25                   | 5,118.75                      | 3,110.25                           |
|                                   |                     |           | 04/19/2021        | 2.701839        | 972,855.00                         | 100.099000                   | IDC-FIS                       | 0.00                               |
| 84546                             | CCCCD SUPRA IBRD    | 459058GH0 | 07/25/2018        | 2.750000        | 725,000.00                         | 727,950.75                   | 8,639.58                      | 4,647.25                           |
|                                   |                     |           | 07/23/2021        | 2.834778        | 723,303.50                         | 100.407000                   | IDC-FIS                       | 0.00                               |
| 84548                             | CCCCD SUPRA IBRD    | 459058GH0 | 07/25/2018        | 2.750000        | 160,000.00                         | 160,651.20                   | 1,906.67                      | 1,025.60                           |
|                                   |                     |           | 07/23/2021        | 2.832094        | 159,625.60                         | 100.407000                   | IDC-FIS                       | 0.00                               |
|                                   |                     |           | <b>Subtotal</b>   | <b>2.152918</b> | <b>6,165,000.00</b>                | <b>6,122,177.60</b>          | <b>37,169.46</b>              | <b>8,783.10</b>                    |
|                                   |                     |           |                   | <b>2.180681</b> | <b>6,162,190.53</b>                | <b>99.305395</b>             |                               | <b>-48,796.03</b>                  |

### Inv Type: 12 TREASURY NOTES

|       |                     |           |            |          |            |            |         |           |
|-------|---------------------|-----------|------------|----------|------------|------------|---------|-----------|
| 82901 | CCCCD GOV US TREASU | 912828WC0 | 01/11/2016 | 1.750000 | 150,000.00 | 147,949.50 | 449.59  | 0.00      |
|       |                     |           | 10/31/2020 | 1.682647 | 150,462.89 | 98.633000  | IDC-FIS | -2,513.39 |
| 83153 | CCCCD GOV US TREASU | 912828N89 | 05/27/2016 | 1.375000 | 100,000.00 | 97,707.00  | 575.41  | 0.00      |
|       |                     |           | 01/31/2021 | 1.357590 | 100,078.13 | 97.707000  | IDC-FIS | -2,371.13 |
| 83187 | CCCCD GOV US TREASU | 912828Q78 | 06/29/2016 | 1.375000 | 35,000.00  | 34,135.85  | 82.44   | 0.00      |
|       |                     |           | 04/30/2021 | .983665  | 35,626.68  | 97.531000  | IDC-FIS | -1,490.83 |



## Inventory by Market Value

As Of Date: 12/31/2018

Date Basis: Settlement

Run: 01/09/2019 03:49:22 PM

Reporting Currency: Local

### Contra Costa County

| Inv. No. | Description         | CUSIP     | Purchase Maturity | Coupon YTM TR | Current Par /Share |              | Curr Accr Int Price Source | Unrealized Gain |            |
|----------|---------------------|-----------|-------------------|---------------|--------------------|--------------|----------------------------|-----------------|------------|
|          |                     |           |                   |               | Current Book       | Market Price |                            | Unrealized Loss |            |
| 83209    | CCCCD GOV US TREASU | 912828Q78 | 07/08/2016        | 1.375000      | 25,000.00          | 24,382.75    | 58.89                      |                 | 0.00       |
|          |                     |           | 04/30/2021        | .971848       | 25,349.18          | 97.531000    | IDC-FIS                    |                 | -966.43    |
| 83301    | CCCCD GOV US TREASU | 912828B58 | 08/31/2016        | 2.125000      | 210,000.00         | 208,385.10   | 1,867.46                   |                 | 0.00       |
|          |                     |           | 01/31/2021        | 1.166490      | 215,913.80         | 99.231000    | IDC-FIS                    |                 | -7,528.70  |
| 83302    | CCCCD GOV US TREASU | 912828XM7 | 08/31/2016        | 1.625000      | 70,000.00          | 69,007.40    | 476.02                     |                 | 0.00       |
|          |                     |           | 07/31/2020        | 1.102671      | 71,022.06          | 98.582000    | IDC-FIS                    |                 | -2,014.66  |
| 83343    | CCCCD GOV US TREASU | 912828VF4 | 09/28/2016        | 1.375000      | 1,790,000.00       | 1,761,127.30 | 2,163.74                   |                 | 0.00       |
|          |                     |           | 05/31/2020        | 1.001277      | 1,814,053.13       | 98.387000    | IDC-FIS                    |                 | -52,925.83 |
| 83366    | CCCCD GOV US TREASU | 912828B90 | 10/05/2016        | 2.000000      | 370,000.00         | 366,155.70   | 2,514.36                   |                 | 0.00       |
|          |                     |           | 02/28/2021        | 1.149627      | 383,470.31         | 98.961000    | IDC-FIS                    |                 | -17,314.61 |
| 83425    | CCCCD GOV US TREAS  | 912828WY2 | 11/23/2016        | 2.250000      | 25,000.00          | 24,861.25    | 235.39                     |                 | 0.00       |
|          |                     |           | 07/31/2021        | 1.775275      | 25,342.78          | 99.445000    | IDC-FIS                    |                 | -481.53    |
| 83468    | CCCSIG GOV US TREAS | 912828H52 | 12/05/2016        | 1.250000      | 1,360,000.00       | 1,340,348.00 | 7,114.32                   |                 | 0.00       |
|          |                     |           | 01/31/2020        | 1.497931      | 1,355,764.53       | 98.555000    | IDC-FIS                    |                 | -15,416.53 |
| 83469    | CCCCD GOV US TREAS  | 912828D72 | 12/05/2016        | 2.000000      | 365,000.00         | 360,466.70   | 2,480.39                   |                 | 0.00       |
|          |                     |           | 08/31/2021        | 1.921700      | 365,916.79         | 98.758000    | IDC-FIS                    |                 | -5,450.09  |
| 83479    | CCCCD GOV US TREASU | 912828K58 | 12/09/2016        | 1.375000      | 5,000,000.00       | 4,923,050.00 | 11,774.86                  |                 | 0.00       |
|          |                     |           | 04/30/2020        | 1.500519      | 4,979,296.88       | 98.461000    | IDC-FIS                    |                 | -56,246.88 |
| 83480    | CCCCD GOV US TREASU | 912828G95 | 12/09/2016        | 1.625000      | 3,275,000.00       | 3,242,381.00 | 147.01                     |                 | 0.00       |
|          |                     |           | 12/31/2019        | 1.391995      | 3,297,771.48       | 99.004000    | IDC-FIS                    |                 | -55,390.48 |
| 83531    | CCCSIG GOV US TREAS | 912828H52 | 01/05/2017        | 1.250000      | 3,610,000.00       | 3,557,835.50 | 18,924.06                  |                 | 0.00       |
|          |                     |           | 01/31/2020        | 1.513863      | 3,594,601.25       | 98.555000    | IDC-FIS                    |                 | -36,765.75 |
| 83639    | CCCSIG GOV US TREAS | 912828J84 | 03/17/2017        | 1.375000      | 1,975,000.00       | 1,945,987.25 | 6,938.26                   |                 | 0.00       |
|          |                     |           | 03/31/2020        | 1.704769      | 1,955,790.04       | 98.531000    | IDC-FIS                    |                 | -9,802.79  |
| 83640    | CCCCD GOV US TREASU | 912828Q37 | 03/17/2017        | 1.250000      | 75,000.00          | 73,008.00    | 239.53                     |                 | 0.00       |
|          |                     |           | 03/31/2021        | 1.988274      | 73,429.71          | 97.344000    | IDC-FIS                    |                 | -421.71    |
| 83641    | CCCCD GOV US TREASU | 912828J43 | 03/17/2017        | 1.750000      | 90,000.00          | 88,038.00    | 535.15                     |                 | 0.00       |
|          |                     |           | 02/28/2022        | 2.144211      | 88,696.53          | 97.820000    | IDC-FIS                    |                 | -658.53    |
| 83669    | CCCCD GOV US TREASU | 912828F96 | 04/05/2017        | 2.000000      | 365,000.00         | 360,309.75   | 1,250.28                   |                 | 0.00       |
|          |                     |           | 10/31/2021        | 1.857459      | 367,266.99         | 98.715000    | IDC-FIS                    |                 | -6,957.24  |
| 83736    | CCCSIG GOV US TREAS | 912828XE5 | 05/09/2017        | 1.500000      | 1,285,000.00       | 1,266,123.35 | 1,694.51                   |                 | 0.00       |
|          |                     |           | 05/31/2020        | 1.560250      | 1,282,909.93       | 98.531000    | IDC-FIS                    |                 | -16,786.58 |
| 83808    | CCCSIG GOV US TREAS | 912828XH8 | 06/28/2017        | 1.625000      | 75,000.00          | 73,986.00    | 3.36                       |                 | 0.00       |
|          |                     |           | 06/30/2020        | 1.502249      | 75,249.40          | 98.648000    | IDC-FIS                    |                 | -1,263.40  |
| 83814    | CCCSIG GOV US TREAS | 912828XM7 | 07/07/2017        | 1.625000      | 3,170,000.00       | 3,125,049.40 | 21,556.86                  |                 | 0.00       |
|          |                     |           | 07/31/2020        | 1.606525      | 3,171,733.59       | 98.582000    | IDC-FIS                    |                 | -46,684.19 |
| 83815    | CCCCD GOV US TREASU | 912828R77 | 07/07/2017        | 1.375000      | 295,000.00         | 287,418.50   | 356.59                     |                 | 0.00       |



## Inventory by Market Value

As Of Date: 12/31/2018

Date Basis: Settlement

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Reporting Currency: Local

### Contra Costa County

| Inv. No. | Description         | CUSIP     | Purchase Maturity | Coupon YTM TR | Current Par /Share<br>Current Book | Market Value<br>Market Price | Curr Accr Int<br>Price Source | Unrealized Gain<br>Unrealized Loss |
|----------|---------------------|-----------|-------------------|---------------|------------------------------------|------------------------------|-------------------------------|------------------------------------|
|          |                     |           | 05/31/2021        | 1.805242      | 290,240.82                         | 97.430000                    | IDC-FIS                       | -2,822.32                          |
| 83820    | CCCCD GOV US TREASU | 912828V72 | 07/12/2017        | 1.875000      | 220,000.00                         | 216,081.80                   | 1,726.22                      | 0.00                               |
|          |                     |           | 01/31/2022        | 1.908201      | 219,682.03                         | 98.219000                    | IDC-FIS                       | -3,600.23                          |
| 83840    | CCCSIG GOV US TREAS | 912828L32 | 08/03/2017        | 1.375000      | 650,000.00                         | 637,734.50                   | 3,036.77                      | 0.00                               |
|          |                     |           | 08/31/2020        | 1.514468      | 647,283.20                         | 98.113000                    | IDC-FIS                       | -9,548.70                          |
| 83884    | CCCSIG GOV US TREAS | 912828L32 | 09/01/2017        | 1.375000      | 2,100,000.00                       | 2,060,373.00                 | 9,811.12                      | 0.00                               |
|          |                     |           | 08/31/2020        | 1.441812      | 2,095,898.44                       | 98.113000                    | IDC-FIS                       | -35,525.44                         |
| 83912    | CCCSIG GOV US TREAS | 912828L99 | 10/05/2017        | 1.375000      | 800,000.00                         | 783,752.00                   | 1,883.98                      | 0.00                               |
|          |                     |           | 10/31/2020        | 1.643376      | 793,593.75                         | 97.969000                    | IDC-FIS                       | -9,841.75                          |
| 83954    | CCCSIG GOV US TREAS | 912828M98 | 11/03/2017        | 1.625000      | 990,000.00                         | 973,684.80                   | 1,414.29                      | 0.00                               |
|          |                     |           | 11/30/2020        | 1.762521      | 985,939.45                         | 98.352000                    | IDC-FIS                       | -12,254.65                         |
| 83962    | CCCSIG GOV US TREAS | 912828M98 | 11/06/2017        | 1.625000      | 1,000,000.00                       | 983,520.00                   | 1,430.67                      | 0.00                               |
|          |                     |           | 11/30/2020        | 1.773455      | 995,585.94                         | 98.352000                    | IDC-FIS                       | -12,065.94                         |
| 84046    | CCCCD GOV US TREASU | 912828W89 | 12/06/2017        | 1.875000      | 495,000.00                         | 485,718.75                   | 2,371.31                      | 0.00                               |
|          |                     |           | 03/31/2022        | 2.130845      | 489,798.63                         | 98.125000                    | IDC-FIS                       | -4,079.88                          |
| 84088    | CCCSIG GOV US TREAS | 912828N48 | 12/11/2017        | 1.750000      | 1,500,000.00                       | 1,478,610.00                 | 72.51                         | 0.00                               |
|          |                     |           | 12/31/2020        | 1.937773      | 1,491,679.69                       | 98.574000                    | IDC-FIS                       | -13,069.69                         |
| 84120    | CCCSIG GOV US TRESU | 912828N89 | 01/04/2018        | 1.375000      | 2,600,000.00                       | 2,540,382.00                 | 14,960.60                     | 0.00                               |
|          |                     |           | 01/31/2021        | 2.033644      | 2,549,218.75                       | 97.707000                    | IDC-FIS                       | -8,836.75                          |
| 84121    | CCCCD GOV US TREASU | 912828W89 | 01/04/2018        | 1.875000      | 220,000.00                         | 215,875.00                   | 1,053.91                      | 0.00                               |
|          |                     |           | 03/31/2022        | 2.178392      | 217,310.16                         | 98.125000                    | IDC-FIS                       | -1,435.16                          |
| 84224    | CCCCD GOV US TREASU | 912828F96 | 03/05/2018        | 2.000000      | 175,000.00                         | 172,751.25                   | 599.45                        | 642.85                             |
|          |                     |           | 10/31/2021        | 2.475285      | 172,108.40                         | 98.715000                    | IDC-FIS                       | 0.00                               |
| 84391    | CCCCD GOV US TREASU | 9128283C2 | 05/04/2018        | 2.000000      | 230,000.00                         | 225,922.10                   | 787.85                        | 3,594.76                           |
|          |                     |           | 10/31/2022        | 2.795864      | 222,327.34                         | 98.227000                    | IDC-FIS                       | 0.00                               |
| 84423    | CCCSIG GOV US TREAS | 912828WN6 | 05/22/2018        | 2.000000      | 820,000.00                         | 810,906.20                   | 1,441.76                      | 8,523.39                           |
|          |                     |           | 05/31/2021        | 2.744936      | 802,382.81                         | 98.891000                    | IDC-FIS                       | 0.00                               |
| 84473    | CCCSIG GOV US TREAS | 912828WR7 | 06/06/2018        | 2.125000      | 1,780,000.00                       | 1,765,261.60                 | 104.49                        | 12,309.26                          |
|          |                     |           | 06/30/2021        | 2.643910      | 1,752,952.34                       | 99.172000                    | IDC-FIS                       | 0.00                               |
| 84474    | CCCCD GOV US TREASU | 912828L57 | 06/06/2018        | 1.750000      | 205,000.00                         | 199,585.95                   | 915.47                        | 2,954.11                           |
|          |                     |           | 09/30/2022        | 2.759560      | 196,631.84                         | 97.359000                    | IDC-FIS                       | 0.00                               |
| 84519    | CCCCD GOV US TREASU | 912828P79 | 07/05/2018        | 1.500000      | 195,000.00                         | 187,344.30                   | 993.85                        | 2,856.02                           |
|          |                     |           | 02/28/2023        | 2.741166      | 184,488.28                         | 96.074000                    | IDC-FIS                       | 0.00                               |
| 84531    | CCCSIG GOV US TREAS | 912828WY2 | 07/06/2018        | 2.250000      | 1,300,000.00                       | 1,292,785.00                 | 12,240.49                     | 8,628.75                           |
|          |                     |           | 07/31/2021        | 2.665911      | 1,284,156.25                       | 99.445000                    | IDC-FIS                       | 0.00                               |
| 84561    | CCCCD US TREASURY N | 912828W89 | 08/03/2018        | 1.875000      | 115,000.00                         | 112,843.75                   | 550.90                        | 1,617.19                           |
|          |                     |           | 03/31/2022        | 2.824938      | 111,226.56                         | 98.125000                    | IDC-FIS                       | 0.00                               |



## Inventory by Market Value

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### Contra Costa County

| Inv. No. | Description         | CUSIP     | Purchase Maturity | Coupon YTM TR   | Current Par /Share   |                      | Curr Accr Int Price Source | Unrealized Gain |                    |
|----------|---------------------|-----------|-------------------|-----------------|----------------------|----------------------|----------------------------|-----------------|--------------------|
|          |                     |           |                   |                 | Current Book         | Market Price         |                            | Unrealized Loss |                    |
| 84580    | CCCSIG GOV US TREAS | 9128283X6 | 08/30/2018        | 2.250000        | 1,310,000.00         | 1,302,886.70         | 11,133.22                  |                 | 6,191.39           |
|          |                     |           | 02/15/2021        | 2.679261        | 1,297,896.74         | 99.457000            | IDC-FIS                    |                 | 0.00               |
| 84586    | CCCCD GOV US TREASU | 912828W89 | 09/06/2018        | 1.875000        | 280,000.00           | 274,750.00           | 1,341.35                   |                 | 3,007.81           |
|          |                     |           | 03/31/2022        | 2.748783        | 271,742.19           | 98.125000            | IDC-FIS                    |                 | 0.00               |
| 84591    | CCCSIG GOV US TREAS | 9128284W7 | 09/07/2018        | 2.750000        | 450,000.00           | 453,042.00           | 4,674.26                   |                 | 2,672.86           |
|          |                     |           | 08/15/2021        | 2.720393        | 451,142.58           | 100.676000           | IDC-FIS                    |                 | 0.00               |
| 84621    | CCCSIG GOV US TREAS | 9128285A4 | 09/20/2018        | 2.750000        | 1,135,000.00         | 1,142,888.25         | 9,312.01                   |                 | 12,499.19          |
|          |                     |           | 09/15/2021        | 2.892892        | 1,130,820.17         | 100.695000           | IDC-FIS                    |                 | 0.00               |
| 84626    | CCCSIG GOV US TREAS | 9128285A4 | 09/21/2018        | 2.750000        | 2,000,000.00         | 2,013,900.00         | 16,408.84                  |                 | 21,946.87          |
|          |                     |           | 09/15/2021        | 2.891621        | 1,992,864.73         | 100.695000           | IDC-FIS                    |                 | 0.00               |
| 84644    | CCCCD GOV US TREASU | 912828P38 | 10/04/2018        | 1.750000        | 100,000.00           | 97,106.00            | 732.33                     |                 | 1,879.44           |
|          |                     |           | 01/31/2023        | 2.933296        | 95,535.66            | 97.106000            | IDC-FIS                    |                 | 0.00               |
| 84690    | CCCSIG GOV US TREAS | 9128285F3 | 11/07/2018        | 2.875000        | 200,000.00           | 202,110.00           | 1,232.14                   |                 | 2,680.31           |
|          |                     |           | 10/15/2021        | 2.976704        | 199,793.01           | 101.055000           | IDC-FIS                    |                 | 0.00               |
| 84774    | CCCSIG GOV US TREAS | 9128285L0 | 12/11/2018        | 2.875000        | 2,450,000.00         | 2,477,268.50         | 9,145.20                   |                 | 17,123.97          |
|          |                     |           | 11/15/2021        | 2.726485        | 2,465,203.58         | 101.113000           | IDC-FIS                    |                 | 0.00               |
|          |                     |           | <b>Subtotal</b>   | <b>1.742981</b> | <b>47,035,000.00</b> | <b>46,484,796.75</b> | <b>190,813.47</b>          |                 | <b>109,128.17</b>  |
|          |                     |           |                   | <b>1.899747</b> | <b>46,837,249.42</b> | <b>98.830226</b>     |                            |                 | <b>-452,531.79</b> |

### Inv Type: 22 FEDERAL HOME LOAN BANKS

|       |                     |           |            |          |              |              |           |  |            |
|-------|---------------------|-----------|------------|----------|--------------|--------------|-----------|--|------------|
| 83166 | CCCCD GOV FHLB GLOB | 3130A8DB6 | 06/09/2016 | 1.125000 | 2,365,000.00 | 2,348,752.45 | 739.07    |  | 0.00       |
|       |                     |           | 06/21/2019 | 1.017963 | 2,372,544.35 | 99.313000    | IDC-FIS   |  | -23,791.90 |
| 83332 | CCCCD GOV FHLB GLOB | 3130A9EP2 | 09/21/2016 | 1.000000 | 1,900,000.00 | 1,877,029.00 | 5,013.89  |  | 0.00       |
|       |                     |           | 09/26/2019 | 1.062882 | 1,896,466.00 | 98.791000    | IDC-FIS   |  | -19,437.00 |
| 83481 | CCCCD GOV FHLB GLOB | 3130AAE46 | 12/09/2016 | 1.250000 | 2,650,000.00 | 2,648,675.00 | 15,182.32 |  | 0.00       |
|       |                     |           | 01/16/2019 | 1.225506 | 2,651,291.67 | 99.950000    | IDC-FIS   |  | -2,616.67  |
| 83514 | CCCCD GOV FHLB NOTE | 3130AAE46 | 12/20/2016 | 1.250000 | 2,025,000.00 | 2,023,987.50 | 11,601.56 |  | 2,875.50   |
|       |                     |           | 01/16/2019 | 1.344369 | 2,021,112.00 | 99.950000    | IDC-FIS   |  | 0.00       |
| 83631 | CCCCD GOV FHLB NOTE | 3130AAE46 | 03/07/2017 | 1.250000 | 2,415,000.00 | 2,413,792.50 | 13,835.94 |  | 2,922.15   |
|       |                     |           | 01/16/2019 | 1.343248 | 2,410,870.35 | 99.950000    | IDC-FIS   |  | 0.00       |
| 83772 | CCCCD GOV FHLB NOTE | 3130ABF92 | 05/31/2017 | 1.375000 | 2,000,000.00 | 1,990,240.00 | 2,520.83  |  | 0.00       |
|       |                     |           | 05/28/2019 | 1.369154 | 2,000,220.00 | 99.512000    | IDC-FIS   |  | -9,980.00  |
| 83892 | CCCCD GOV FHLB NOTE | 3130ACE26 | 09/08/2017 | 1.375000 | 175,000.00   | 171,461.50   | 621.61    |  | 0.00       |
|       |                     |           | 09/28/2020 | 1.482926 | 174,438.25   | 97.978000    | IDC-FIS   |  | -2,976.75  |
| 84688 | CCCSIG GOV FHLB     | 3130AF5B9 | 11/06/2018 | 3.000000 | 1,075,000.00 | 1,088,717.00 | 7,077.08  |  | 14,899.50  |
|       |                     |           | 10/12/2021 | 3.039012 | 1,075,967.50 | 101.276000   | IDC-FIS   |  | 0.00       |



## Inventory by Market Value

As Of Date: 12/31/2018

Date Basis: Settlement

Run: 01/09/2019 03:49:22 PM

Reporting Currency: Local

### Contra Costa County

| Inv. No.        | Description | CUSIP | Purchase Maturity | Coupon YTM TR | Current Par /Share<br>Current Book | Market Value<br>Market Price | Curr Accr Int<br>Price Source | Unrealized Gain<br>Unrealized Loss |
|-----------------|-------------|-------|-------------------|---------------|------------------------------------|------------------------------|-------------------------------|------------------------------------|
| <b>Subtotal</b> |             |       |                   | 1.344782      | 14,605,000.00                      | 14,562,654.95                | 56,592.30                     | 20,697.15                          |
|                 |             |       |                   | 1.362930      | 14,602,910.12                      | 99.710065                    |                               | -58,802.32                         |

#### Inv Type: 23 FEDERAL NATIONAL MORTGAGE ASSO

|                 |                     |           |            |          |               |               |           |            |
|-----------------|---------------------|-----------|------------|----------|---------------|---------------|-----------|------------|
| 82909           | CCCCD GOV FNMA BENC | 3135G0H63 | 01/13/2016 | 1.375000 | 6,660,000.00  | 6,654,738.60  | 38,919.37 | 0.00       |
|                 |                     |           | 01/28/2019 | 1.309114 | 6,673,053.60  | 99.921000     | IDC-FIS   | -18,315.00 |
| 83289           | CCCCD GOV FNMA NOTE | 3135G0N82 | 08/19/2016 | 1.250000 | 140,000.00    | 135,573.20    | 651.39    | 0.00       |
|                 |                     |           | 08/17/2021 | 1.334096 | 139,433.00    | 96.838000     | IDC-FIS   | -3,859.80  |
| 83290           | CCCCD GOV FNMA NOTE | 3135G0N82 | 08/19/2016 | 1.250000 | 45,000.00     | 43,577.10     | 209.38    | 0.00       |
|                 |                     |           | 08/17/2021 | 1.321009 | 44,846.06     | 96.838000     | IDC-FIS   | -1,268.96  |
| 83295           | CCCCD GOV FNMA NOTE | 3135G0N82 | 08/31/2016 | 1.250000 | 260,000.00    | 251,778.80    | 1,209.72  | 0.00       |
|                 |                     |           | 08/17/2021 | 1.337550 | 258,910.60    | 96.838000     | IDC-FIS   | -7,131.80  |
| 83305           | CCCSIG GOV FNMA NOT | 3135G0P49 | 09/02/2016 | 1.000000 | 950,000.00    | 939,873.00    | 3,245.83  | 0.00       |
|                 |                     |           | 08/28/2019 | 1.053166 | 949,645.14    | 98.934000     | IDC-FIS   | -9,772.14  |
| 83335           | CCCCD GOV FNMA NOTE | 3135G0P49 | 09/23/2016 | 1.000000 | 1,995,000.00  | 1,973,733.30  | 6,816.24  | 0.00       |
|                 |                     |           | 08/28/2019 | 1.020798 | 1,993,803.00  | 98.934000     | IDC-FIS   | -20,069.70 |
| 83340           | CCCCD GOV FNMA NOTE | 3135G0P49 | 09/28/2016 | 1.000000 | 1,820,000.00  | 1,800,598.80  | 6,218.32  | 0.00       |
|                 |                     |           | 08/28/2019 | .994725  | 1,820,273.00  | 98.934000     | IDC-FIS   | -19,674.20 |
| 83618           | CCCSIG GOV FNMA NOT | 3135G0T29 | 02/28/2017 | 1.500000 | 850,000.00    | 840,327.00    | 4,356.25  | 0.00       |
|                 |                     |           | 02/28/2020 | 1.521905 | 849,456.00    | 98.862000     | IDC-FIS   | -9,129.00  |
| 83647           | CCCCD GOV FNMA NOTE | 3135G0N33 | 03/21/2017 | .875000  | 3,400,000.00  | 3,365,660.00  | 12,313.19 | 15,606.00  |
|                 |                     |           | 08/02/2019 | 1.509791 | 3,350,054.00  | 98.990000     | IDC-FIS   | 0.00       |
| 83812           | CCCCD GOV FNMA NOTE | 3135G0S38 | 06/29/2017 | 2.000000 | 300,000.00    | 295,560.00    | 2,933.33  | 0.00       |
|                 |                     |           | 01/05/2022 | 1.851838 | 301,917.00    | 98.520000     | IDC-FIS   | -6,357.00  |
| 83837           | CCCCD GOV FNMA NOTE | 3135G0T60 | 08/01/2017 | 1.500000 | 55,000.00     | 54,090.85     | 346.05    | 0.00       |
|                 |                     |           | 07/30/2020 | 1.603953 | 54,887.58     | 98.347000     | IDC-FIS   | -796.73    |
| 84343           | CCCCD FNMA NOTES    | 3135G0U27 | 04/13/2018 | 2.500000 | 110,000.00    | 109,909.80    | 595.83    | 73.70      |
|                 |                     |           | 04/13/2021 | 2.551908 | 109,836.10    | 99.918000     | IDC-FIS   | 0.00       |
| 84766           | CCCCD GOV FNMA NOTE | 3135G0U43 | 12/06/2018 | 2.875000 | 340,000.00    | 344,001.80    | 2,905.35  | 4,688.60   |
|                 |                     |           | 09/12/2023 | 2.920145 | 341,539.73    | 101.177000    | IDC-FIS   | 0.00       |
| <b>Subtotal</b> |                     |           |            | 1.222273 | 16,925,000.00 | 16,809,422.25 | 80,720.25 | 20,368.30  |
|                 |                     |           |            | 1.329307 | 16,887,654.81 | 99.317118     |           | -96,374.33 |

#### Inv Type: 26 AGENCY MBS FXD-M 30/360

|       |                    |           |            |          |          |          |       |      |
|-------|--------------------|-----------|------------|----------|----------|----------|-------|------|
| 82778 | CCCCD MBS FNMA SRS | 3136AQDQ0 | 10/30/2015 | 1.646000 | 7,699.55 | 7,776.65 | 10.56 | 0.00 |
|-------|--------------------|-----------|------------|----------|----------|----------|-------|------|





## Inventory by Market Value

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### Contra Costa County

| Inv. No. | Description         | CUSIP     | Purchase Maturity | Coupon YTM TR   | Current Par /Share<br>Current Book | Market Value<br>Market Price | Curr Accr Int<br>Price Source | Unrealized Gain<br>Unrealized Loss |
|----------|---------------------|-----------|-------------------|-----------------|------------------------------------|------------------------------|-------------------------------|------------------------------------|
|          |                     |           | 09/25/2019        | 1.382287        | 7,776.65                           | 101.001357                   | BOOK                          | 0.00                               |
| 82814    | CCCCD MBS FNMA SRS  | 3136AQS1  | 11/30/2015        | 1.898080        | 1,544.79                           | 1,560.24                     | 2.44                          | 0.00                               |
|          |                     |           | 01/25/2019        | 1.572772        | 1,560.24                           | 101.000136                   | BOOK                          | 0.00                               |
| 83093    | CCCCD MBS FHLMC SRS | 3137BNN26 | 04/28/2016        | 1.780000        | 14,377.20                          | 14,520.63                    | 21.34                         | 0.00                               |
|          |                     |           | 07/25/2019        | 1.464707        | 14,520.63                          | 100.997621                   | BOOK                          | 0.00                               |
| 83189    | CCCSIG MBS FNMA SRS | 3136ASPX8 | 06/30/2016        | 1.785000        | 4,773.87                           | 4,821.61                     | 7.10                          | 0.00                               |
|          |                     |           | 06/25/2019        | 1.442671        | 4,821.61                           | 101.000027                   | BOOK                          | 0.00                               |
| 84321    | CCCCD MBS FHMS K721 | 3137BM6P6 | 04/09/2018        | 3.090000        | 100,000.00                         | 100,851.56                   | 257.50                        | 0.00                               |
|          |                     |           | 08/25/2022        | 2.882712        | 100,851.56                         | 100.851560                   | BOOK                          | 0.00                               |
| 84376    | CCCCD MBS FNMA 2018 | 3136B1XP4 | 04/30/2018        | 3.560000        | 84,437.91                          | 86,117.48                    | 547.17                        | 0.00                               |
|          |                     |           | 09/25/2021        | 2.944966        | 86,117.48                          | 101.989118                   | BOOK                          | 0.00                               |
| 84808    | CCCSIG MBS FHMS KPO | 3137FKK39 | 12/17/2018        | 3.203000        | 225,000.00                         | 224,999.33                   | 600.56                        | 0.00                               |
|          |                     |           | 07/25/2023        | 3.202929        | 225,319.63                         | 99.999702                    | BOOK                          | 0.00                               |
|          |                     |           | <b>Subtotal</b>   | <b>3.152438</b> | <b>437,833.32</b>                  | <b>440,647.50</b>            | <b>1,446.67</b>               | <b>0.00</b>                        |
|          |                     |           |                   | <b>2.964955</b> | <b>440,967.80</b>                  | <b>100.642751</b>            |                               | <b>0.00</b>                        |

#### Inv Type: 29 FHLMC NOTES

|       |                     |           |                 |                 |                     |                     |                  |                    |
|-------|---------------------|-----------|-----------------|-----------------|---------------------|---------------------|------------------|--------------------|
| 82996 | CCCCD GOV FHLMC NOT | 3137EADZ9 | 03/22/2016      | 1.125000        | 6,000,000.00        | 5,977,620.00        | 14,250.00        | 0.00               |
|       |                     |           | 04/15/2019      | 1.131578        | 5,998,800.00        | 99.627000           | IDC-FIS          | -21,180.00         |
| 83529 | CCCCD GOV FHLMC NOT | 3137EACA5 | 01/04/2017      | 3.750000        | 1,860,000.00        | 1,865,728.80        | 18,212.50        | 0.00               |
|       |                     |           | 03/27/2019      | 1.329015        | 1,958,617.20        | 100.308000          | IDC-FIS          | -92,888.40         |
|       |                     |           | <b>Subtotal</b> | <b>1.771110</b> | <b>7,860,000.00</b> | <b>7,843,348.80</b> | <b>32,462.50</b> | <b>0.00</b>        |
|       |                     |           |                 | <b>1.180175</b> | <b>7,957,417.20</b> | <b>99.788153</b>    |                  | <b>-114,068.40</b> |

#### Inv Type: 49 CORP ABS FXD-M 30/360

|       |                     |           |                 |                 |                   |                   |               |             |
|-------|---------------------|-----------|-----------------|-----------------|-------------------|-------------------|---------------|-------------|
| 83650 | CCCSIG ABS CORP CNH | 12636WAB2 | 03/22/2017      | 1.640000        | 70,820.32         | 70,817.60         | 51.63         | 0.00        |
|       |                     |           | 07/15/2020      | 1.642287        | 70,817.60         | 99.996159         | BOOK          | 0.00        |
| 83769 | CCCSIG ABS AMEX CRE | 02582JHG8 | 05/30/2017      | 1.640000        | 265,000.00        | 264,957.55        | 193.16        | 0.00        |
|       |                     |           | 12/15/2021      | 1.647074        | 264,957.55        | 99.983981         | BOOK          | 0.00        |
| 83825 | CCCSIG ABS JOHN DEE | 47788BAD6 | 07/18/2017      | 1.820000        | 225,000.00        | 224,983.53        | 182.00        | 0.00        |
|       |                     |           | 10/15/2021      | 1.823435        | 224,983.53        | 99.992680         | BOOK          | 0.00        |
| 84207 | CCCSIG JDOT 2018 CO | 47788CAC6 | 02/28/2018      | 2.660000        | 190,000.00        | 189,986.34        | 224.62        | 0.00        |
|       |                     |           | 04/18/2022      | 2.663481        | 189,986.34        | 99.992811         | BOOK          | 0.00        |
|       |                     |           | <b>Subtotal</b> | <b>1.952067</b> | <b>750,820.32</b> | <b>750,745.02</b> | <b>651.41</b> | <b>0.00</b> |
|       |                     |           |                 | <b>1.956690</b> | <b>750,745.02</b> | <b>99.989971</b>  |               | <b>0.00</b> |



# Inventory by Market Value

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## Contra Costa County

| Inv. No.                                  | Description         | CUSIP     | Purchase Maturity | Coupon YTM TR | Current Par /Share<br>Current Book | Market Value<br>Market Price | Curr Accr Int<br>Price Source | Unrealized Gain<br>Unrealized Loss |
|---|---------------------|-----------|-------------------|---------------|------------------------------------|------------------------------|-------------------------------|------------------------------------|
| <b>Inv Type: 50 AUTO ABS FXD-M 30/360</b> |                     |           |                   |               |                                    |                              |                               |                                    |
| 82964                                     | CCCSIG ABS TOYOTA C | 89237KAD5 | 03/02/2016        | 1.250000      | 113,537.28                         | 113,530.84                   | 63.08                         | 0.00                               |
|   |                     |           | 03/16/2020        | 1.252803      | 113,530.84                         | 99.994328                    | BOOK                          | 0.00                               |
| 83008                                     | CCCSIG ABS HYUNDAI  | 44930UAD8 | 03/30/2016        | 1.560000      | 103,310.28                         | 103,290.23                   | 71.62                         | 0.00                               |
|   |                     |           | 09/15/2020        | 1.568722      | 103,290.23                         | 99.980592                    | BOOK                          | 0.00                               |
| 83091                                     | CCCSIG ABS CORP NIS | 65478VAD9 | 04/27/2016        | 1.320000      | 102,485.64                         | 102,469.74                   | 60.12                         | 0.00                               |
|   |                     |           | 01/15/2021        | 1.326574      | 102,469.74                         | 99.984486                    | BOOK                          | 0.00                               |
| 83281                                     | CCCSIG ABS TOYOTA A | 89237WAD9 | 08/10/2016        | 1.140000      | 108,458.44                         | 108,455.54                   | 54.95                         | 0.00                               |
|   |                     |           | 08/17/2020        | 1.141339      | 108,455.54                         | 99.997326                    | BOOK                          | 0.00                               |
| 83333                                     | CCCSIG ABS HYUNDAI  | 44891EAC3 | 09/21/2016        | 1.290000      | 343,610.68                         | 343,564.43                   | 197.00                        | 0.00                               |
|   |                     |           | 04/15/2021        | 1.295868      | 343,564.43                         | 99.986540                    | BOOK                          | 0.00                               |
| 83571                                     | CCCSIG ABS FORDO 20 | 34531EAD8 | 01/25/2017        | 1.670000      | 528,348.82                         | 528,346.86                   | 392.15                        | 0.00                               |
|   |                     |           | 06/15/2021        | 1.670159      | 528,346.86                         | 99.999629                    | BOOK                          | 0.00                               |
| 83586                                     | CCCSIG ABS ALLY AUT | 02007PAC7 | 01/31/2017        | 1.700000      | 76,928.96                          | 76,922.23                    | 58.12                         | 0.00                               |
|   |                     |           | 06/15/2021        | 1.704009      | 76,922.23                          | 99.991252                    | BOOK                          | 0.00                               |
| 83658                                     | CCCSIG ABS ALLY AUT | 02007HAC5 | 03/29/2017        | 1.780000      | 594,588.17                         | 594,518.07                   | 470.39                        | 0.00                               |
|   |                     |           | 08/16/2021        | 1.785395      | 594,518.07                         | 99.988210                    | BOOK                          | 0.00                               |
| 83659                                     | CCCSIG ABS HYUNDAI  | 44931PAD8 | 03/29/2017        | 1.760000      | 365,000.00                         | 364,970.47                   | 285.51                        | 0.00                               |
|   |                     |           | 08/16/2021        | 1.763698      | 364,970.47                         | 99.991910                    | BOOK                          | 0.00                               |
| 83750                                     | CCCSIG ABS TOTOTA A | 89190BAD0 | 05/17/2017        | 1.760000      | 675,000.00                         | 674,948.23                   | 528.00                        | 0.00                               |
|   |                     |           | 07/15/2021        | 1.763665      | 674,948.23                         | 99.992330                    | BOOK                          | 0.00                               |
| 83855                                     | CCCSIG ABS NAROT 20 | 65478GAD2 | 08/23/2017        | 1.750000      | 500,000.00                         | 499,972.05                   | 388.89                        | 0.00                               |
|   |                     |           | 10/15/2021        | 1.752684      | 499,972.05                         | 99.994410                    | BOOK                          | 0.00                               |
| 83939                                     | CCCSIG ABS CARMAX 2 | 14314RAH5 | 10/25/2017        | 1.800000      | 137,722.77                         | 137,712.66                   | 110.18                        | 0.00                               |
|   |                     |           | 04/15/2021        | 1.804194      | 137,712.66                         | 99.992659                    | BOOK                          | 0.00                               |
| 83973                                     | CCCSIG ABS TAOT 201 | 89238KAD4 | 11/15/2017        | 1.930000      | 225,000.00                         | 224,979.26                   | 193.12                        | 0.00                               |
|   |                     |           | 01/18/2022        | 1.934403      | 224,979.26                         | 99.990782                    | BOOK                          | 0.00                               |
| 84175                                     | CCCCD ABS ALLYA 201 | 02007MAE0 | 01/31/2018        | 2.350000      | 110,000.00                         | 109,987.28                   | 114.90                        | 0.00                               |
|   |                     |           | 06/15/2022        | 2.355239      | 109,987.28                         | 99.988436                    | BOOK                          | 0.00                               |
| 84176                                     | CCCSIG ABS ALLYA 20 | 02007MAE0 | 01/31/2018        | 2.350000      | 265,000.00                         | 264,969.37                   | 276.87                        | 0.00                               |
|   |                     |           | 06/15/2022        | 2.355236      | 264,969.37                         | 99.988442                    | BOOK                          | 0.00                               |
| 84177                                     | CCCCD ABS TAOT 2018 | 89238BAD4 | 01/31/2018        | 2.350000      | 100,000.00                         | 99,998.85                    | 104.44                        | 0.00                               |
|   |                     |           | 05/16/2022        | 2.396566      | 99,998.85                          | 99.998850                    | BOOK                          | 0.00                               |
| 84405                                     | CCCSIG ABS HART 201 | 44891KAD7 | 04/18/2018        | 2.790000      | 130,000.00                         | 129,980.42                   | 161.20                        | 0.00                               |
|   |                     |           | 07/15/2022        | 2.797121      | 129,980.42                         | 99.984938                    | BOOK                          | 0.00                               |
| 84409                                     | CCCCD ABS TOYOTA 20 | 89238TAD5 | 05/16/2018        | 2.960000      | 100,000.00                         | 99,998.51                    | 131.56                        | 0.00                               |



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|----------|---------------------|-----------|-------------------|-----------------|------------------------------------|------------------------------|-------------------------------|------------------------------------|
|          |                     |           | 09/15/2022        | 2.960687        | 99,998.51                          | 99,998.51                    | BOOK                          | 0.00                               |
| 84422    | CCCSIG ABS FORDO 20 | 34528FAD0 | 05/22/2018        | 3.030000        | 225,000.00                         | 224,963.62                   | 303.00                        | 0.00                               |
|          |                     |           | 11/15/2022        | 3.037269        | 224,963.62                         | 99,983831                    | BOOK                          | 0.00                               |
| 84510    | CCCCD ABS ALLYA 201 | 02007JAC1 | 06/27/2018        | 3.000000        | 110,000.00                         | 109,992.48                   | 146.67                        | 0.00                               |
|          |                     |           | 01/17/2023        | 3.003013        | 109,992.48                         | 99,993164                    | BOOK                          | 0.00                               |
| 84535    | CCCSIG ABS GMCAR 20 | 36255JAD6 | 07/18/2018        | 3.020000        | 250,000.00                         | 249,941.70                   | 314.58                        | 0.00                               |
|          |                     |           | 05/16/2023        | 3.029757        | 249,941.70                         | 99,976680                    | BOOK                          | 0.00                               |
| 84540    | CCCSIG ABS CARMX 20 | 14313FAD1 | 07/25/2018        | 3.130000        | 200,000.00                         | 199,972.74                   | 278.22                        | 0.00                               |
|          |                     |           | 06/15/2023        | 3.135636        | 199,972.74                         | 99,986370                    | BOOK                          | 0.00                               |
| 84541    | CCCSIG ABS MBART 20 | 58772RAD6 | 07/25/2018        | 3.030000        | 410,000.00                         | 409,984.26                   | 552.13                        | 0.00                               |
|          |                     |           | 01/17/2023        | 3.031706        | 409,984.26                         | 99,996161                    | BOOK                          | 0.00                               |
| 84542    | CCCCD ABS NAROT 201 | 65479GAD1 | 07/25/2018        | 3.060000        | 65,000.00                          | 64,997.89                    | 88.40                         | 0.00                               |
|          |                     |           | 03/15/2023        | 3.061387        | 64,997.89                          | 99,996754                    | BOOK                          | 0.00                               |
| 84544    | CCCCD ABS MBART 201 | 58772RAD6 | 07/25/2018        | 3.030000        | 70,000.00                          | 69,997.31                    | 94.27                         | 0.00                               |
|          |                     |           | 01/17/2023        | 3.031708        | 69,997.31                          | 99,996157                    | BOOK                          | 0.00                               |
| 84628    | CCCSIG ABS FORDL 20 | 34531LAD2 | 09/21/2018        | 3.190000        | 240,000.00                         | 239,979.72                   | 340.27                        | 0.00                               |
|          |                     |           | 12/15/2021        | 3.195186        | 239,979.72                         | 99,991550                    | BOOK                          | 0.00                               |
| 84632    | CCCSIG ABS GMALT 20 | 36256GAD1 | 09/26/2018        | 3.180000        | 175,000.00                         | 174,986.18                   | 170.04                        | 0.00                               |
|          |                     |           | 06/21/2021        | 3.185694        | 174,986.18                         | 99,992103                    | BOOK                          | 0.00                               |
| 84654    | CCCSIG ABS BMWLT 20 | 05586CAC8 | 10/17/2018        | 3.260000        | 150,000.00                         | 149,979.15                   | 149.42                        | 0.00                               |
|          |                     |           | 07/20/2021        | 3.270188        | 149,979.15                         | 99,986100                    | BOOK                          | 0.00                               |
| 84698    | CCCSIG ABS MBALT 20 | 58769LAC6 | 11/20/2018        | 3.210000        | 500,000.00                         | 499,988.80                   | 713.33                        | 0.00                               |
|          |                     |           | 09/15/2021        | 3.211543        | 499,988.80                         | 99,997760                    | BOOK                          | 0.00                               |
|          |                     |           | <b>Subtotal</b>   | <b>2.251422</b> | <b>6,973,991.04</b>                | <b>6,973,398.89</b>          | <b>6,812.43</b>               | <b>0.00</b>                        |
|          |                     |           |                   | <b>2.256152</b> | <b>6,973,398.89</b>                | <b>99,991509</b>             |                               | <b>0.00</b>                        |

#### Inv Type: 53 CREDIT ABS FXD-SA 30/360

|       |                     |           |                 |                 |                   |                   |                 |             |
|-------|---------------------|-----------|-----------------|-----------------|-------------------|-------------------|-----------------|-------------|
| 84172 | CCCSIG ABS CCCIT 20 | 17305EGK5 | 01/31/2018      | 2.490000        | 350,000.00        | 349,951.56        | 3,897.54        | 0.00        |
|       |                     |           | 01/20/2023      | 2.495080        | 349,951.56        | 99,986160         | BOOK            | 0.00        |
|       |                     |           | <b>Subtotal</b> | <b>2.490000</b> | <b>350,000.00</b> | <b>349,951.56</b> | <b>3,897.54</b> | <b>0.00</b> |
|       |                     |           |                 | <b>2.495080</b> | <b>349,951.56</b> | <b>99,986160</b>  |                 | <b>0.00</b> |

#### Inv Type: 72 NEGOTIABLE CERT OF DEPOSIT

|       |                     |           |            |          |              |              |           |         |
|-------|---------------------|-----------|------------|----------|--------------|--------------|-----------|---------|
| 83555 | CCCCD YCD SVENSKA H | 86958JHB8 | 01/12/2017 | 1.890000 | 2,350,000.00 | 2,349,639.84 | 21,590.63 | 0.00    |
|       |                     |           | 01/10/2019 | 1.890000 | 2,350,000.00 | 99,984674    | IDC-FIS   | -360.16 |



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|----------|---------------------|-----------|-------------------|-----------------|------------------------------------|------------------------------|-------------------------------|------------------------------------|
| 83675    | CCCCD YCD BK OF NOV | 06417GUE6 | 04/06/2017        | 1.910000        | 1,935,000.00                       | 1,931,083.91                 | 9,034.31                      | 0.00                               |
|          |                     |           | 04/05/2019        | 1.910000        | 1,935,000.00                       | 99.797618                    | IDC-FIS                       | -3,916.09                          |
| 83733    | CCCCD YCD SUMITOMO  | 86563YVN0 | 05/04/2017        | 2.050000        | 140,000.00                         | 139,701.93                   | 470.35                        | 0.00                               |
|          |                     |           | 05/03/2019        | 2.050000        | 140,000.00                         | 99.787096                    | IDC-FIS                       | -298.07                            |
| 83841    | CCCSIG YCD SKANDNV  | 83050FXT3 | 08/04/2017        | 1.840000        | 1,625,000.00                       | 1,615,921.78                 | 12,624.45                     | 0.00                               |
|          |                     |           | 08/02/2019        | 1.859877        | 1,624,366.25                       | 99.441340                    | IDC-FIS                       | -8,444.47                          |
| 83976    | CCCSIG YCD SWEDBANK | 87019U6D6 | 11/17/2017        | 2.270000        | 800,000.00                         | 800,000.00                   | 2,320.44                      | 0.00                               |
|          |                     |           | 11/16/2020        | 2.270000        | 800,000.00                         | 100.000000                   | BOOK                          | 0.00                               |
| 83977    | CCCCD YCD SWEDBANK  | 87019U6D6 | 11/17/2017        | 2.270000        | 225,000.00                         | 225,000.00                   | 652.63                        | 0.00                               |
|          |                     |           | 11/16/2020        | 2.270000        | 225,000.00                         | 100.000000                   | BOOK                          | 0.00                               |
| 84188    | CCCCD CREDIT SUISSE | 22549LFR1 | 02/08/2018        | 2.670000        | 110,000.00                         | 110,000.00                   | 2,667.78                      | 0.00                               |
|          |                     |           | 02/07/2020        | 2.670000        | 110,000.00                         | 100.000000                   | BOOK                          | 0.00                               |
| 84192    | CCCSIG CREDIT SUISS | 22549LFR1 | 02/08/2018        | 2.670000        | 755,000.00                         | 755,000.00                   | 18,310.64                     | 0.00                               |
|          |                     |           | 02/07/2020        | 2.670000        | 755,000.00                         | 100.000000                   | BOOK                          | 0.00                               |
| 84196    | CCCSIG NORDEA BANK  | 65590ASN7 | 02/22/2018        | 2.720000        | 800,000.00                         | 800,000.00                   | 8,160.00                      | 0.00                               |
|          |                     |           | 02/20/2020        | 2.720000        | 800,000.00                         | 100.000000                   | BOOK                          | 0.00                               |
| 84197    | CCCCD NORDEA BANK A | 65590ASN7 | 02/22/2018        | 2.720000        | 170,000.00                         | 170,000.00                   | 1,734.00                      | 0.00                               |
|          |                     |           | 02/20/2020        | 2.720000        | 170,000.00                         | 100.000000                   | BOOK                          | 0.00                               |
| 84246    | CCCSIG YCD UBS AG S | 90275DHG8 | 03/06/2018        | 2.900000        | 1,200,000.00                       | 1,200,000.00                 | 11,503.33                     | 0.00                               |
|          |                     |           | 03/02/2020        | 2.900000        | 1,200,000.00                       | 100.000000                   | BOOK                          | 0.00                               |
| 84327    | CCCSIG YCD CREDIT A | 22532XHT8 | 04/10/2018        | 2.801440        | 800,000.00                         | 800,000.00                   | 5,167.10                      | 0.00                               |
|          |                     |           | 04/10/2020        | 2.801440        | 800,000.00                         | 100.000000                   | BOOK                          | 0.00                               |
| 84328    | CCCSIG YCD CANADIAN | 13606BVF0 | 04/10/2018        | 2.731441        | 800,000.00                         | 800,000.00                   | 5,037.99                      | 0.00                               |
|          |                     |           | 04/10/2020        | 2.731441        | 800,000.00                         | 100.000000                   | BOOK                          | 0.00                               |
|          |                     |           | <b>Subtotal</b>   | <b>2.271189</b> | <b>11,710,000.00</b>               | <b>11,696,347.46</b>         | <b>99,273.65</b>              | <b>0.00</b>                        |
|          |                     |           |                   | <b>2.273947</b> | <b>11,709,366.25</b>               | <b>99.883411</b>             |                               | <b>-13,018.79</b>                  |

### Inv Type: 74 CERT OF DEPOSIT MEDIUM TERM

|       |                     |           |            |          |            |            |           |            |
|-------|---------------------|-----------|------------|----------|------------|------------|-----------|------------|
| 83904 | CCCCD YCD BK OF TOK | 06539RGM3 | 09/27/2017 | 2.070000 | 115,000.00 | 112,620.36 | 648.03    | 0.00       |
|       |                     |           | 09/25/2019 | 2.070000 | 115,000.00 | 97.930750  | IDC-FIS   | -2,379.64  |
| 83905 | CCCSIG YCD BK OF TO | 06539RGM3 | 09/27/2017 | 2.070000 | 800,000.00 | 783,446.00 | 4,508.00  | 0.00       |
|       |                     |           | 09/25/2019 | 2.070000 | 800,000.00 | 97.930750  | IDC-FIS   | -16,554.00 |
| 84559 | CCCCD YCD BANK OF M | 06370REU9 | 08/03/2018 | 3.190000 | 175,000.00 | 175,000.00 | 2,341.55  | 0.00       |
|       |                     |           | 08/03/2020 | 3.190000 | 175,000.00 | 100.000000 | BOOK      | 0.00       |
| 84560 | CCCSIG YCD BANK OF  | 06370REU9 | 08/03/2018 | 3.190000 | 775,000.00 | 775,000.00 | 10,369.72 | 0.00       |
|       |                     |           | 08/03/2020 | 3.190000 | 775,000.00 | 100.000000 | BOOK      | 0.00       |



## Inventory by Market Value

As Of Date: 12/31/2018

Date Basis: Settlement

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Reporting Currency: Local

### Contra Costa County

| Inv. No. | Description         | CUSIP     | Purchase Maturity | Coupon YTM TR   | Current Par /Share<br>Current Book | Market Value<br>Market Price | Curr Accr Int<br>Price Source | Unrealized Gain<br>Unrealized Loss |
|----------|---------------------|-----------|-------------------|-----------------|------------------------------------|------------------------------|-------------------------------|------------------------------------|
| 84655    | CCCSIG YCD SUMITOMO | 86565BPC9 | 10/18/2018        | 3.390000        | 750,000.00                         | 748,980.00                   | 5,296.88                      | 0.00                               |
|          |                     |           | 10/16/2020        | 3.476115        | 748,980.00                         | 99.864000                    | BOOK                          | 0.00                               |
| 84656    | CCCCD YCD SUMITOMO  | 86565BPC9 | 10/18/2018        | 3.390000        | 110,000.00                         | 109,850.40                   | 776.88                        | 0.00                               |
|          |                     |           | 10/16/2020        | 3.476115        | 109,850.40                         | 99.864000                    | BOOK                          | 0.00                               |
|          |                     |           | <b>Subtotal</b>   | <b>2.876826</b> | <b>2,725,000.00</b>                | <b>2,704,896.76</b>          | <b>23,941.06</b>              | <b>0.00</b>                        |
|          |                     |           |                   | <b>2.903978</b> | <b>2,723,830.40</b>                | <b>99.262266</b>             |                               | <b>-18,933.64</b>                  |

### Inv Type: 75 CORPORATE NOTES

|       |                      |           |            |          |              |              |           |             |
|-------|----------------------|-----------|------------|----------|--------------|--------------|-----------|-------------|
| 82513 | CCCCD CORP GENERAL   | 36962G4D3 | 04/15/2015 | 6.000000 | 100,000.00   | 101,077.00   | 2,400.00  | 0.00        |
|       |                      |           | 08/07/2019 | 1.743001 | 117,603.00   | 101.077000   | IDC-FIS   | -16,526.00  |
| 82713 | CCCCD CORP PFIZER I  | 717081DL4 | 09/29/2015 | 2.100000 | 1,960,000.00 | 1,954,864.80 | 5,259.33  | 0.00        |
|       |                      |           | 05/15/2019 | 1.748923 | 1,984,068.80 | 99.738000    | IDC-FIS   | -29,204.00  |
| 82716 | CCCCD CORP MICROSOFT | 594918AC8 | 09/29/2015 | 4.200000 | 1,400,000.00 | 1,408,876.00 | 4,900.00  | 0.00        |
|       |                      |           | 06/01/2019 | 1.599996 | 1,529,290.00 | 100.634000   | IDC-FIS   | -120,414.00 |
| 82717 | CCCCD CORP US BANCO  | 91159HHH6 | 09/29/2015 | 2.200000 | 1,950,000.00 | 1,945,729.50 | 7,865.00  | 0.00        |
|       |                      |           | 04/25/2019 | 1.710407 | 1,982,935.50 | 99.781000    | IDC-FIS   | -37,206.00  |
| 82718 | CCCCD CORP CISCO SY  | 17275RAE2 | 09/29/2015 | 4.950000 | 1,795,000.00 | 1,798,805.40 | 33,566.50 | 0.00        |
|       |                      |           | 02/15/2019 | 1.739996 | 1,983,187.80 | 100.212000   | IDC-FIS   | -184,382.40 |
| 82719 | CCCCD CORP BB&T CAL  | 05531FAQ6 | 09/29/2015 | 2.250000 | 1,960,000.00 | 1,958,804.40 | 18,375.00 | 0.00        |
|       |                      |           | 02/01/2019 | 1.927457 | 1,980,325.20 | 99.939000    | IDC-FIS   | -21,520.80  |
| 83177 | CCCCD CORP JP MORGAN | 48127HAA7 | 06/22/2016 | 2.200000 | 1,100,000.00 | 1,090,771.00 | 4,638.33  | 0.00        |
|       |                      |           | 10/22/2019 | 1.726909 | 1,116,775.00 | 99.161000    | IDC-FIS   | -26,004.00  |
| 83269 | CCCCD CORP MORGAN S  | 61746BDX1 | 07/26/2016 | 2.450000 | 1,930,000.00 | 1,929,054.30 | 19,702.08 | 0.00        |
|       |                      |           | 02/01/2019 | 1.672940 | 1,966,766.50 | 99.951000    | IDC-FIS   | -37,712.20  |
| 83286 | CCCSIG CORP BERKSHI  | 084664CK5 | 08/15/2016 | 1.300000 | 375,000.00   | 371,062.50   | 1,841.67  | 0.00        |
|       |                      |           | 08/15/2019 | 1.333092 | 374,636.25   | 98.950000    | IDC-FIS   | -3,573.75   |
| 83307 | CCCCD CORP WELLS FA  | 949746RS2 | 09/02/2016 | 2.500000 | 240,000.00   | 236,056.80   | 1,950.00  | 0.00        |
|       |                      |           | 03/04/2021 | 1.900017 | 246,189.60   | 98.357000    | IDC-FIS   | -10,132.80  |
| 83309 | CCCCD CORP JP MORGAN | 46625HHS2 | 09/02/2016 | 4.400000 | 225,000.00   | 229,070.25   | 4,372.50  | 0.00        |
|       |                      |           | 07/22/2020 | 1.922970 | 245,783.25   | 101.809000   | IDC-FIS   | -16,713.00  |
| 83325 | CCCSIG CORP CISCO S  | 17275RBG6 | 09/20/2016 | 1.400000 | 795,000.00   | 787,868.85   | 3,122.58  | 0.00        |
|       |                      |           | 09/20/2019 | 1.437937 | 794,117.55   | 99.103000    | IDC-FIS   | -6,248.70   |
| 83380 | CCCSIG CORP TOYOTA   | 89236TDH5 | 10/18/2016 | 1.550000 | 510,000.00   | 504,038.10   | 1,602.96  | 0.00        |
|       |                      |           | 10/18/2019 | 1.567127 | 509,745.00   | 98.831000    | IDC-FIS   | -5,706.90   |
| 83423 | CCCSIG CORP PFIZER   | 717081EB5 | 11/21/2016 | 1.700000 | 845,000.00   | 836,845.75   | 638.44    | 0.00        |
|       |                      |           | 12/15/2019 | 1.724022 | 844,391.60   | 99.035000    | IDC-FIS   | -7,545.85   |



## Inventory by Market Value

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### Contra Costa County

| Inv. No. | Description         | CUSIP     | Purchase Maturity | Coupon YTM TR | Current Par /Share |              | Curr Accr Int Price Source | Unrealized Gain |            |
|----------|---------------------|-----------|-------------------|---------------|--------------------|--------------|----------------------------|-----------------|------------|
|          |                     |           |                   |               | Current Book       | Market Price |                            | Unrealized Loss |            |
| 83589    | CCCCD CORP MORGAN S | 61747YDW2 | 02/03/2017        | 2.650000      | 240,000.00         | 238,197.60   | 2,720.67                   |                 | 0.00       |
|          |                     |           | 01/27/2020        | 2.393173      | 241,764.00         | 99.249000    | IDC-FIS                    |                 | -3,566.40  |
| 83595    | CCCSIG CORP APPLE I | 037833CK4 | 02/09/2017        | 1.900000      | 600,000.00         | 594,792.00   | 4,560.00                   |                 | 0.00       |
|          |                     |           | 02/07/2020        | 1.916933      | 599,706.00         | 99.132000    | IDC-FIS                    |                 | -4,914.00  |
| 83625    | CCCCD CORP AMERICAN | 0258M0EE5 | 03/03/2017        | 2.200000      | 170,000.00         | 168,172.50   | 1,225.89                   |                 | 0.00       |
|          |                     |           | 03/03/2020        | 2.236036      | 169,823.20         | 98.925000    | IDC-FIS                    |                 | -1,650.70  |
| 83668    | CCCSIG CORP CHEVRON | 166764BP4 | 04/05/2017        | 1.991000      | 1,075,000.00       | 1,065,432.50 | 7,015.50                   |                 | 0.00       |
|          |                     |           | 03/03/2020        | 1.873043      | 1,078,569.00       | 99.110000    | IDC-FIS                    |                 | -13,136.50 |
| 83709    | CCCCD CORP TOYOTA M | 89236TDU6 | 04/17/2017        | 1.950000      | 150,000.00         | 148,137.00   | 601.25                     |                 | 0.00       |
|          |                     |           | 04/17/2020        | 1.965865      | 149,931.00         | 98.758000    | IDC-FIS                    |                 | -1,794.00  |
| 83739    | CCCSIG CORP APPLE I | 037833CS7 | 05/11/2017        | 1.800000      | 530,000.00         | 523,322.00   | 1,325.00                   |                 | 0.00       |
|          |                     |           | 05/11/2020        | 1.835100      | 529,459.40         | 98.740000    | IDC-FIS                    |                 | -6,137.40  |
| 83793    | CCCSIG CORP WALT DI | 25468PDU7 | 06/06/2017        | 1.800000      | 850,000.00         | 837,539.00   | 1,105.00                   |                 | 0.00       |
|          |                     |           | 06/05/2020        | 1.839965      | 849,014.00         | 98.534000    | IDC-FIS                    |                 | -11,475.00 |
| 83801    | CCCSIG CORP JPMORGA | 46625HKA7 | 06/20/2017        | 2.250000      | 400,000.00         | 396,016.00   | 3,950.00                   |                 | 0.00       |
|          |                     |           | 01/23/2020        | 2.099428      | 401,508.00         | 99.004000    | IDC-FIS                    |                 | -5,492.00  |
| 83824    | CCCSIG CORP AMERI H | 02665WBT7 | 07/20/2017        | 1.950000      | 505,000.00         | 497,015.95   | 4,404.02                   |                 | 0.00       |
|          |                     |           | 07/20/2020        | 1.984846      | 504,489.95         | 98.419000    | IDC-FIS                    |                 | -7,474.00  |
| 83832    | CCCSIG CORP GOLDMAN | 38141GWP5 | 07/24/2017        | 1.950000      | 315,000.00         | 312,902.10   | 2,695.88                   |                 | 0.00       |
|          |                     |           | 07/23/2019        | 1.956169      | 314,962.20         | 99.334000    | IDC-FIS                    |                 | -2,060.10  |
| 83839    | CCCSIG CORP MORGAN  | 61761JB32 | 08/03/2017        | 2.800000      | 750,000.00         | 745,125.00   | 875.00                     |                 | 0.00       |
|          |                     |           | 06/16/2020        | 2.122846      | 764,055.00         | 99.350000    | IDC-FIS                    |                 | -18,930.00 |
| 83842    | CCCCD CORP GOLDMAN  | 38141GWG5 | 08/04/2017        | 2.600000      | 225,000.00         | 220,788.00   | 65.00                      |                 | 0.00       |
|          |                     |           | 12/27/2020        | 2.319822      | 227,045.25         | 98.128000    | IDC-FIS                    |                 | -6,257.25  |
| 83851    | CCCSIG CORP EXXON M | 30231GAG7 | 08/17/2017        | 1.912000      | 400,000.00         | 396,404.00   | 2,443.11                   |                 | 0.00       |
|          |                     |           | 03/06/2020        | 1.719253      | 401,916.00         | 99.101000    | IDC-FIS                    |                 | -5,512.00  |
| 83863    | CCCSIG CORP VISA IN | 92826CAB8 | 08/30/2017        | 2.200000      | 210,000.00         | 207,719.40   | 218.17                     |                 | 0.00       |
|          |                     |           | 12/14/2020        | 1.853307      | 212,310.00         | 98.914000    | IDC-FIS                    |                 | -4,590.60  |
| 83879    | CCCCD CORP CITIGRP  | 172967LC3 | 08/31/2017        | 2.900000      | 250,000.00         | 245,957.50   | 463.19                     |                 | 0.00       |
|          |                     |           | 12/08/2021        | 2.488448      | 254,137.50         | 98.383000    | IDC-FIS                    |                 | -8,180.00  |
| 83885    | CCCSIG CORP CATERPI | 14913Q2A6 | 09/07/2017        | 1.850000      | 635,000.00         | 622,636.55   | 3,817.94                   |                 | 0.00       |
|          |                     |           | 09/04/2020        | 1.879031      | 634,466.60         | 98.053000    | IDC-FIS                    |                 | -11,830.05 |
| 83890    | CCCCD CORP BANK OF  | 06406FAA1 | 09/07/2017        | 2.500000      | 125,000.00         | 123,461.25   | 659.72                     |                 | 0.00       |
|          |                     |           | 04/15/2021        | 2.072430      | 126,846.25         | 98.769000    | IDC-FIS                    |                 | -3,385.00  |
| 83891    | CCCSIG CORP IBM CRE | 44932HAA1 | 09/08/2017        | 1.625000      | 700,000.00         | 693,203.00   | 3,633.68                   |                 | 0.00       |
|          |                     |           | 09/06/2019        | 1.663919      | 699,468.00         | 99.029000    | IDC-FIS                    |                 | -6,265.00  |
| 83894    | CCCSIG CORP BOFA CA | 06051GGS2 | 09/18/2017        | 2.328000      | 545,000.00         | 534,361.60   | 3,171.90                   |                 | 0.00       |



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| Inv. No. | Description         | CUSIP     | Purchase Maturity | Coupon YTM TR | Current Par /Share |              | Curr Accr Int Price Source | Unrealized Gain |  |
|----------|---------------------|-----------|-------------------|---------------|--------------------|--------------|----------------------------|-----------------|--|
|          |                     |           |                   |               | Current Book       | Market Price |                            | Unrealized Loss |  |
|          |                     |           | 10/01/2021        | 2.328000      | 545,000.00         | 98.048000    | IDC-FIS                    | -10,638.40      |  |
| 83921    | CCCSIG CORP PEPSICO | 713448DX3 | 10/10/2017        | 2.000000      | 375,000.00         | 367,530.00   | 1,583.33                   | 0.00            |  |
|          |                     |           | 04/15/2021        | 2.005881      | 374,925.00         | 98.008000    | IDC-FIS                    | -7,395.00       |  |
| 83922    | CCCCD CORP PEPSICO  | 713448DX3 | 10/10/2017        | 2.000000      | 70,000.00          | 68,605.60    | 295.56                     | 0.00            |  |
|          |                     |           | 04/15/2021        | 2.005881      | 69,986.00          | 98.008000    | IDC-FIS                    | -1,380.40       |  |
| 83935    | CCCSIG CORP WALMART | 931142DY6 | 10/20/2017        | 1.750000      | 350,000.00         | 347,543.00   | 1,395.14                   | 0.00            |  |
|          |                     |           | 10/09/2019        | 1.751150      | 349,993.00         | 99.298000    | IDC-FIS                    | -2,450.00       |  |
| 83940    | CCCSIG CORP BB&T MT | 05531FAZ6 | 10/26/2017        | 2.150000      | 215,000.00         | 210,476.40   | 1,926.05                   | 0.00            |  |
|          |                     |           | 02/01/2021        | 2.165131      | 214,901.10         | 97.896000    | IDC-FIS                    | -4,424.70       |  |
| 83949    | CCCSIG CORP HONEYWE | 438516BQ8 | 10/30/2017        | 1.800000      | 240,000.00         | 237,648.00   | 732.00                     | 0.00            |  |
|          |                     |           | 10/30/2019        | 1.839901      | 239,812.80         | 99.020000    | IDC-FIS                    | -2,164.80       |  |
| 83952    | CCCCD CORP BANK OF  | 06051GFW4 | 11/03/2017        | 2.625000      | 110,000.00         | 108,504.00   | 577.50                     | 0.00            |  |
|          |                     |           | 04/19/2021        | 2.398027      | 110,823.90         | 98.640000    | IDC-FIS                    | -2,319.90       |  |
| 83964    | CCCCD CORP BB T MTN | 05531FAZ6 | 11/06/2017        | 2.150000      | 110,000.00         | 107,685.60   | 985.41                     | 0.00            |  |
|          |                     |           | 02/01/2021        | 2.189947      | 109,864.70         | 97.896000    | IDC-FIS                    | -2,179.10       |  |
| 83969    | CCCSIG CORP PACCAR  | 69371RN85 | 11/13/2017        | 2.050000      | 225,000.00         | 221,368.50   | 615.00                     | 0.00            |  |
|          |                     |           | 11/13/2020        | 2.053109      | 224,979.75         | 98.386000    | IDC-FIS                    | -3,611.25       |  |
| 83971    | CCCSIG CORP UNITED  | 911312BP0 | 11/14/2017        | 2.050000      | 475,000.00         | 466,701.75   | 2,434.38                   | 0.00            |  |
|          |                     |           | 04/01/2021        | 2.098958      | 474,249.50         | 98.253000    | IDC-FIS                    | -7,547.75       |  |
| 84087    | CCCSIG CORP CITIGRO | 172967KB6 | 12/11/2017        | 2.650000      | 600,000.00         | 592,026.00   | 2,870.83                   | 0.00            |  |
|          |                     |           | 10/26/2020        | 2.497862      | 602,508.00         | 98.671000    | IDC-FIS                    | -10,482.00      |  |
| 84126    | CCCSIG CORP JOHN DE | 24422ETZ2 | 01/08/2018        | 2.350000      | 305,000.00         | 300,818.45   | 3,444.38                   | 0.00            |  |
|          |                     |           | 01/08/2021        | 2.368059      | 304,841.40         | 98.629000    | IDC-FIS                    | -4,022.95       |  |
| 84200    | CCCSIG BANK OF NY M | 06406HDD8 | 02/21/2018        | 2.600000      | 500,000.00         | 496,710.00   | 4,838.89                   | 0.00            |  |
|          |                     |           | 08/17/2020        | 2.805542      | 497,545.00         | 99.342000    | IDC-FIS                    | -835.00         |  |
| 84202    | CCCSIG CORP PACCAR  | 69371RN93 | 02/27/2018        | 2.800000      | 500,000.00         | 495,470.00   | 4,666.67                   | 0.00            |  |
|          |                     |           | 03/01/2021        | 2.817011      | 499,755.00         | 99.094000    | IDC-FIS                    | -4,285.00       |  |
| 84225    | CCCCD CORP CICSO SY | 17275RBD3 | 03/05/2018        | 2.200000      | 130,000.00         | 128,277.50   | 977.17                     | 518.70          |  |
|          |                     |           | 02/28/2021        | 2.806895      | 127,758.80         | 98.675000    | IDC-FIS                    | 0.00            |  |
| 84247    | CCCSIG NATIONAL RUR | 63743HER9 | 02/26/2018        | 2.900000      | 330,000.00         | 328,498.50   | 2,817.83                   | 0.00            |  |
|          |                     |           | 03/15/2021        | 2.937862      | 329,633.70         | 99.545000    | IDC-FIS                    | -1,135.20       |  |
| 84255    | CCCSIG CORP JOHN DE | 24422EUD9 | 03/13/2018        | 2.875000      | 400,000.00         | 398,924.00   | 3,481.94                   | 0.00            |  |
|          |                     |           | 03/12/2021        | 2.898871      | 399,728.00         | 99.731000    | IDC-FIS                    | -804.00         |  |
| 84277    | CCCSIG CORP UNILEVE | 904764AZ0 | 03/22/2018        | 2.750000      | 650,000.00         | 646,873.50   | 4,915.63                   | 195.00          |  |
|          |                     |           | 03/22/2021        | 2.929170      | 646,678.50         | 99.519000    | IDC-FIS                    | 0.00            |  |
| 84290    | CCCSIG CORP HSBC US | 40428HPR7 | 03/29/2018        | 2.350000      | 400,000.00         | 395,960.00   | 3,028.89                   | 1,056.00        |  |
|          |                     |           | 03/05/2020        | 3.032909      | 394,904.00         | 98.990000    | IDC-FIS                    | 0.00            |  |



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Reporting Currency: Local

### Contra Costa County

| Inv. No. | Description         | CUSIP     | Purchase Maturity | Coupon YTM TR   | Current Par /Share<br>Current Book | Market Value<br>Market Price | Curr Accr Int<br>Price Source | Unrealized Gain<br>Unrealized Loss |
|----------|---------------------|-----------|-------------------|-----------------|------------------------------------|------------------------------|-------------------------------|------------------------------------|
| 84307    | CCCCD CORP NATL RUR | 637432NM3 | 04/05/2018        | 2.400000        | 115,000.00                         | 111,907.65                   | 506.00                        | 52.90                              |
|          |                     |           | 04/25/2022        | 3.122993        | 111,854.75                         | 97.311000                    | IDC-FIS                       | 0.00                               |
| 84308    | CCCCD CORP CATERPIL | 14912L5Q0 | 04/05/2018        | 2.625000        | 60,000.00                          | 58,369.80                    | 525.00                        | 0.00                               |
|          |                     |           | 03/01/2023        | 3.195058        | 58,457.40                          | 97.283000                    | IDC-FIS                       | -87.60                             |
| 84309    | CCCCD CORP HOME DEP | 437076AZ5 | 04/05/2018        | 2.700000        | 100,000.00                         | 98,966.00                    | 675.00                        | 866.00                             |
|          |                     |           | 04/01/2023        | 3.114098        | 98,100.00                          | 98.966000                    | IDC-FIS                       | 0.00                               |
| 84399    | CCCSIG CORP HERSHEY | 427866AY4 | 05/10/2018        | 2.900000        | 350,000.00                         | 349,814.50                   | 1,296.94                      | 45.50                              |
|          |                     |           | 05/15/2020        | 2.933837        | 349,769.00                         | 99.947000                    | IDC-FIS                       | 0.00                               |
| 84402    | CCCSIG CORP GENERAL | 369550BA5 | 05/11/2018        | 2.875000        | 460,000.00                         | 460,317.40                   | 1,836.81                      | 1,945.80                           |
|          |                     |           | 05/11/2020        | 3.058819        | 458,371.60                         | 100.069000                   | IDC-FIS                       | 0.00                               |
| 84411    | CCCSIG CORP AMERICA | 025816BU2 | 05/17/2018        | 3.375000        | 785,000.00                         | 786,248.15                   | 3,238.13                      | 1,381.60                           |
|          |                     |           | 05/17/2021        | 3.381007        | 784,866.55                         | 100.159000                   | IDC-FIS                       | 0.00                               |
| 84421    | CCCSIG CORP CHARLES | 808513AW5 | 05/22/2018        | 3.250000        | 500,000.00                         | 502,440.00                   | 1,805.56                      | 2,455.00                           |
|          |                     |           | 05/21/2021        | 3.251084        | 499,985.00                         | 100.488000                   | IDC-FIS                       | 0.00                               |
| 84470    | CCCSIG CORP BBT COR | 05531FBD4 | 06/05/2018        | 3.200000        | 150,000.00                         | 149,853.00                   | 2,746.67                      | 31.50                              |
|          |                     |           | 09/03/2021        | 3.235931        | 149,821.50                         | 99.902000                    | IDC-FIS                       | 0.00                               |
| 84511    | CCCSIG CORP WALMART | 931142EJ8 | 06/27/2018        | 3.125000        | 595,000.00                         | 599,230.45                   | 413.19                        | 4,260.20                           |
|          |                     |           | 06/23/2021        | 3.126857        | 594,970.25                         | 100.711000                   | IDC-FIS                       | 0.00                               |
| 84592    | CCCSIG CORP CATERPI | 14913Q2N8 | 09/07/2018        | 3.150000        | 100,000.00                         | 100,264.00                   | 997.50                        | 341.00                             |
|          |                     |           | 09/07/2021        | 3.177112        | 99,923.00                          | 100.264000                   | IDC-FIS                       | 0.00                               |
| 84593    | CCCSIG CORP UNILEVE | 904764BF3 | 09/07/2018        | 3.000000        | 100,000.00                         | 99,264.00                    | 950.00                        | 0.00                               |
|          |                     |           | 03/07/2022        | 3.147126        | 99,516.00                          | 99.264000                    | IDC-FIS                       | -252.00                            |
| 84594    | CCCSIG CORP PFIZER  | 717081EM1 | 09/07/2018        | 3.000000        | 250,000.00                         | 251,492.50                   | 2,375.00                      | 1,830.00                           |
|          |                     |           | 09/15/2021        | 3.046915        | 249,662.50                         | 100.597000                   | IDC-FIS                       | 0.00                               |
| 84618    | CCCSIG CORP 3M COMP | 88579YBA8 | 09/14/2018        | 3.000000        | 185,000.00                         | 186,494.80                   | 1,649.58                      | 1,874.05                           |
|          |                     |           | 09/14/2021        | 3.072054        | 184,620.75                         | 100.808000                   | IDC-FIS                       | 0.00                               |
| 84645    | CCCSIG CORP AMERICA | 02665WCP4 | 10/10/2018        | 3.375000        | 300,000.00                         | 301,473.00                   | 590.63                        | 1,617.00                           |
|          |                     |           | 12/10/2021        | 3.392166        | 299,856.00                         | 100.491000                   | IDC-FIS                       | 0.00                               |
| 84757    | CCCSIG CORP HOME DE | 437076BV3 | 12/06/2018        | 3.250000        | 225,000.00                         | 227,335.50                   | 507.81                        | 2,952.00                           |
|          |                     |           | 03/01/2022        | 3.341128        | 224,383.50                         | 101.038000                   | IDC-FIS                       | 0.00                               |
|          |                     |           | <b>Subtotal</b>   | <b>2.560727</b> | <b>34,120,000.00</b>               | <b>33,927,199.15</b>         | <b>216,885.73</b>             | <b>21,422.25</b>                   |
|          |                     |           |                   | <b>2.097503</b> | <b>34,617,332.35</b>               | <b>99.434933</b>             |                               | <b>-711,555.45</b>                 |

**Inv Type: 78 NEGOTIABLE CD 30/360 EUR**

|       |                     |           |            |          |              |              |           |           |
|-------|---------------------|-----------|------------|----------|--------------|--------------|-----------|-----------|
| 83597 | CCCCD YCD BK OF MON | 06427KRC3 | 02/09/2017 | 1.880000 | 2,350,000.00 | 2,343,913.50 | 17,672.01 | 0.00      |
|       |                     |           | 02/07/2019 | 1.880000 | 2,350,000.00 | 99.741000    | IDC-FIS   | -6,086.50 |





## Inventory by Market Value

As Of Date: 12/31/2018

Date Basis: Settlement

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Reporting Currency: Local

### Contra Costa County

| Inv. No.                           | Description         | CUSIP     | Purchase Maturity | Coupon YTM TR | Current Par /Share<br>Current Book | Market Value<br>Market Price | Curr Accr Int<br>Price Source | Unrealized Gain<br>Unrealized Loss |
|------------------------------------|---------------------|-----------|-------------------|---------------|------------------------------------|------------------------------|-------------------------------|------------------------------------|
| 83844                              | CCCSIG MTN WESTPAC  | 96121T4A3 | 08/07/2017        | 2.050000      | 1,460,000.00                       | 1,460,000.00                 | 11,972.00                     | 0.00                               |
|                                    |                     |           | 08/03/2020        | 2.050000      | 1,460,000.00                       | 100.000000                   | BOOK                          | 0.00                               |
|                                    |                     |           | <b>Subtotal</b>   |               | <b>1.945144</b>                    | <b>3,810,000.00</b>          | <b>29,644.01</b>              | <b>0.00</b>                        |
|                                    |                     |           |                   |               | <b>1.945144</b>                    | <b>3,810,000.00</b>          |                               | <b>-6,086.50</b>                   |
| <hr/>                              |                     |           |                   |               |                                    |                              |                               |                                    |
| <b>Inv Type: 79 YCD/NCD 30/360</b> |                     |           |                   |               |                                    |                              |                               |                                    |
| 84476                              | CCCCSIG YCD BANK OF | 06417GU22 | 06/07/2018        | 3.080000      | 800,000.00                         | 799,696.00                   | 1,779.56                      | 0.00                               |
|                                    |                     |           | 06/05/2020        | 3.099862      | 799,696.00                         | 99.962000                    | BOOK                          | 0.00                               |
| 84477                              | CCCCD YCD BANK OF N | 06417GU22 | 06/07/2018        | 3.080000      | 150,000.00                         | 149,943.00                   | 333.66                        | 0.00                               |
|                                    |                     |           | 06/05/2020        | 3.099862      | 149,943.00                         | 99.962000                    | BOOK                          | 0.00                               |
| 84486                              | CCCSIG ROYAL BANK O | 78012UEE1 | 06/08/2018        | 3.240000      | 1,000,000.00                       | 1,000,000.00                 | 2,160.00                      | 0.00                               |
|                                    |                     |           | 06/07/2021        | 3.240000      | 1,000,000.00                       | 100.000000                   | BOOK                          | 0.00                               |
|                                    |                     |           | <b>Subtotal</b>   |               | <b>3.162066</b>                    | <b>1,950,000.00</b>          | <b>4,273.22</b>               | <b>0.00</b>                        |
|                                    |                     |           |                   |               | <b>3.171741</b>                    | <b>1,949,639.00</b>          |                               | <b>0.00</b>                        |
| <hr/>                              |                     |           |                   |               |                                    |                              |                               |                                    |
| <b>Grand Total</b>                 |                     |           | <b>Count 214</b>  |               | 1.960256                           | 155,417,644.68               | 154,419,139.19                | 784,583.70                         |
|                                    |                     |           |                   |               | 1.889184                           | 155,772,653.35               |                               | 180,398.97                         |
|                                    |                     |           |                   |               |                                    |                              |                               | -1,520,167.25                      |



## Inventory by Market Value

As Of Date: 12/31/2018

Date Basis: Settlement

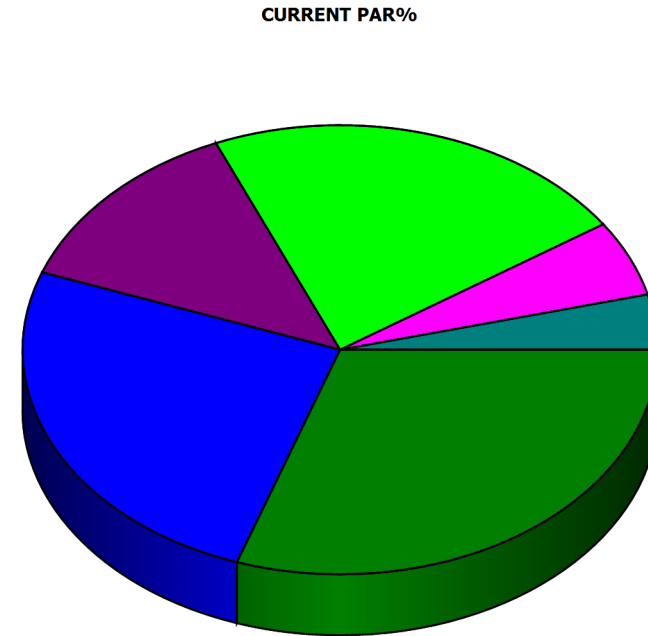
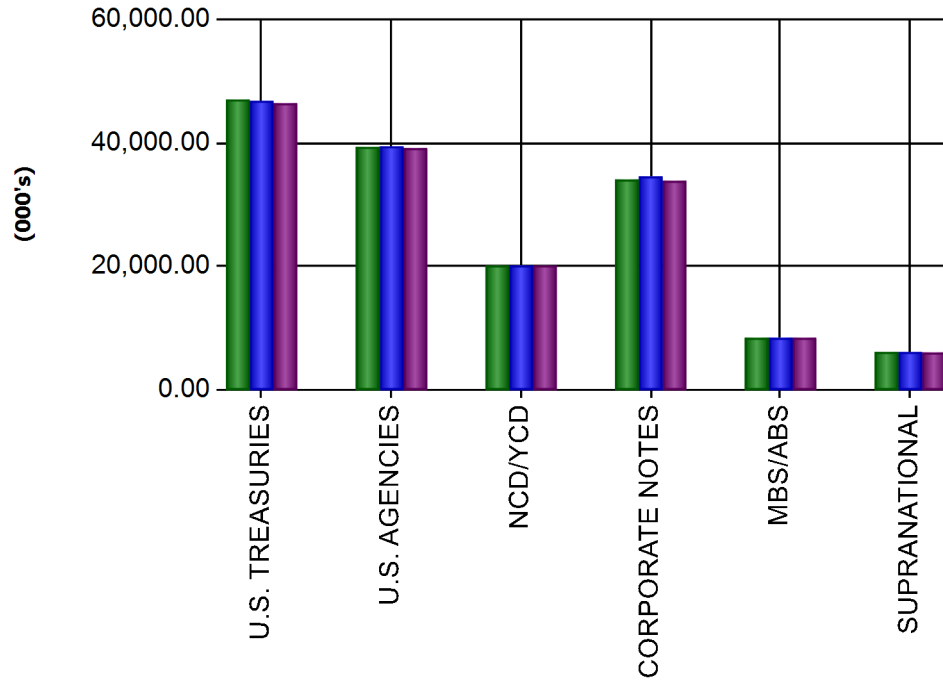
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Reporting Currency: Local

### Contra Costa County

| Assets (000's)  | Current Par | Current Book | Market     | MKT/Book | Un Gain/Loss | Yield  |
|-----------------|-------------|--------------|------------|----------|--------------|--------|
| U.S. TREASURIES | 47,035.00   | 46,837.25    | 46,484.80  | 99.25 %  | -343.40      | 1.90 % |
| U.S. AGENCIES   | 39,390.00   | 39,447.98    | 39,215.43  | 99.41 %  | -228.18      | 1.31 % |
| NCD/YCD         | 20,195.00   | 20,192.84    | 20,154.80  | 99.81 %  | -38.04       | 2.38 % |
| CORPORATE NOTES | 34,120.00   | 34,617.33    | 33,927.20  | 98.01 %  | -690.13      | 2.10 % |
| MBS/ABS         | 8,512.64    | 8,515.06     | 8,514.74   | 100.00 % | 0.00         | 2.28 % |
| SUPRANATIONAL   | 6,165.00    | 6,162.19     | 6,122.18   | 99.35 %  | -40.01       | 2.18 % |
| Totals(000's)   | 155,417.64  | 155,772.65   | 154,419.14 | 99.13 %  | -1,339.77    | 1.89 % |

### Asset Allocation



■ Par    ■ Book    ■ Market

■ U.S. TREASURIES - 30%    ■ CORPORATE NOTES - 22%  
 ■ U.S. AGENCIES - 25%    ■ MBS/ABS - 5%  
 ■ NCD/YCD - 13%    ■ SUPRANATIONAL - 4%

## **SECTION III**

### **APPENDIX**

#### **B. INVESTMENT PORTFOLIO DETAIL – MANAGED BY OUTSIDE CONTRACTED PARTIES**

##### **B.2. STATE OF CALIFORNIA LOCAL AGENCY INVESTMENT FUND (LAIF)**

**CONTRA COSTA COUNTY  
AS OF DECEMBER 31, 2018**

| CALIFORNIA STATE LOCAL<br>AGENCY INVESTMENT ACCOUNTS          | STATE CONTROLLER<br>ACCOUNT NUMBER | ACCOUNT<br>BALANCE    | ESTIMATED<br>FAIR VALUE |
|---|------------------------------------|-----------------------|-------------------------|
| ACALANES UNION HIGH SCHOOL                                    | 75-07-010                          | 977,712.47            | 976,784.75              |
| ANTIOCH UNIFIED SCHOOL DISTRICT                               | 75-07-005                          | 851,535.79            | 850,727.79              |
| BRENTWOOD UNION SCHOOL DISTRICT                               | 75-07-013                          | 8,005,627.09          | 7,998,030.77            |
| BYRON UNION SCHOOL DISTRICT                                   | 75-07-017                          | 170,590.99            | 170,429.12              |
| CANYON ELEMENTARY SCHOOL DISTRICT                             | 75-07-018                          | 198,326.01            | 198,137.82              |
| CENTRAL CONTRA COSTA SANITARY DISTRICT                        | 70-07-001                          | 38,200,000.00         | 38,163,753.05           |
| CONTRA COSTA COMMUNITY COLLEGE                                | 75-07-001                          | 634,620.81            | 634,018.64              |
| CONTRA COSTA COUNTY   | 99-07-000                          | 65,000,000.00         | 64,938,323.26           |
| CONTRA COSTA COUNTY OFFICE OF EDUCATION                       | 75-07-007                          | 1,321,301.44          | 1,320,047.69            |
| CONTRA COSTA COUNTY SCHOOL INSURANCE GROUP                    | 35-07-001                          | 2,300,182.06          | 2,297,999.48            |
| CROCKETT COMMUNITY SERVICES DISTRICT                          | 16-07-004                          | 4,406,636.83          | 4,402,455.49            |
| DELTA DIABLO SANITATION DISTRICT                              | 70-07-003                          | 74,815.42             | 74,744.43               |
| EAST CONTRA COSTA REG FEE & FINANCING AUTH                    | 40-07-006                          | 1,040,266.25          | 1,039,279.17            |
| KENSINGTON FIRE PROTECTION DISTRICT                           | 17-07-011                          | 2,705,647.91          | 2,703,080.59            |
| KENSINGTON POLICE PROTECTION & COMMUNITY<br>SERVICES DISTRICT | 16-07-003                          | 993,932.62            | 992,989.50              |
| LAFAYETTE SCHOOL DISTRICT                                     | 75-07-012                          | 5,015,747.23          | 5,010,987.92            |
| MARTINEZ UNIFIED SCHOOL DISTRICT                              | 75-07-011                          | 22,977,040.79         | 22,955,238.50           |
| MORAGA ORINDA FIRE DISTRICT                                   | 17-07-003                          | 9,589,575.09          | 9,580,475.80            |
| MORAGA SCHOOL DISTRICT  | 75-07-016                          | 2,755.59              | 2,752.98                |
| MT DIABLO UNIFIED SCHOOL DISTRICT                             | 75-07-008                          | 4,169,556.09          | 4,165,599.71            |
| MT VIEW SANITARY DISTRICT                                     | 70-07-008                          | 9,488,056.05          | 9,479,053.09            |
| OAKLEY UNION SCHOOL DISTRICT                                  | 75-07-009                          | 254,799.26            | 254,557.49              |
| ORINDA UNION SCHOOL DISTRICT                                  | 75-07-015                          | 3,673,281.83          | 3,669,796.35            |
| PITTSBURG UNIFIED SCHOOL DISTRICT                             | 75-07-002                          | 36,237.62             | 36,203.24               |
| RECLAMATION DISTRICT 799                                      | 60-07-001                          | 84,469.22             | 84,389.07               |
| RECLAMATION DISTRICT 800                                      | 60-07-003                          | 3,087,444.78          | 3,084,515.19            |
| REDEVELOPMENT AGENCY  | 65-07-015                          | 7,198.69              | 7,191.86                |
| RODEO -HERCULES FIRE PROTECTION DISTRICT                      | 17-07-001                          | 109,086.84            | 108,983.33              |
| SAN RAMON VALLEY UNIFIED SCHOOL DISTRICT                      | 75-07-004                          | 246,889.31            | 246,655.04              |
| WEST CONTRA COSTA UNIFIED SCHOOL DISTRICT                     | 75-07-014                          | 42,124,840.90         | 42,084,869.78           |
|   | TOTAL                              | <u>227,748,174.98</u> | <u>227,532,070.89</u>   |

## **SECTION III**

### **APPENDIX**

#### **B. INVESTMENT PORTFOLIO DETAIL – MANAGED BY OUTSIDE CONTRACTED PARTIES**

##### **ASSET MANAGEMENT FUNDS**

- B.3. WELLS CAPITAL MANAGEMENT**
- B.4. CalTRUST (SHORT TERM)**
- B.5. CalTRUST (LIQUIDITY)**

## WFAM GAAP

01 October 2018 to 31 December 2018

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WC-Contra Costa County

Investment Strategy: Short Duration Fixed Income

The information contained in this report represents estimated trade date investment calculations. Certain calculations may not be available for all time periods. Please refer to your custody statement for official portfolio holdings and transactions. Note that certain accounting methods may cause differences between this investment report and your custody statement.

Wells Fargo Asset Management is a trade name used by the asset management businesses of Wells Fargo & Company.



|   |    |
|---|----|
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# Risk Summary

Base Currency: USD  
 01 October 2018 to 31 December 2018

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

## Balance Sheet

|                          |               |
|--------------------------|---------------|
| Book Value + Accrued     | 44,538,255.91 |
| Net Unrealized Gain/Loss | -41,115.66    |
| Market Value + Accrued   | 44,497,140.25 |

## Cash and Fixed Income Summary

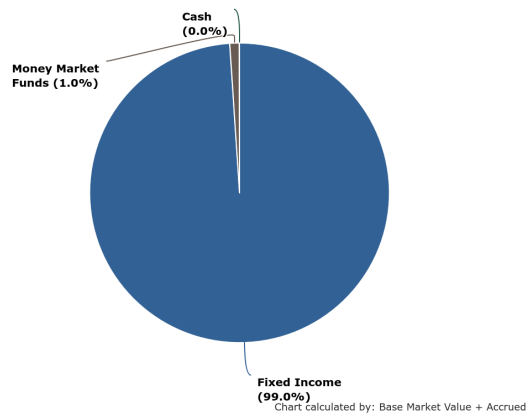
| Risk Metric                 | Value         |
|-----------------------------|---------------|
| Cash                        | 1,765.13      |
| MMFund                      | 460,253.00    |
| Fixed Income                | 44,035,122.12 |
| Duration                    | 0.45          |
| Convexity                   | 0.00          |
| WAL                         | 0.52          |
| Years to Final Maturity     | 0.68          |
| Years to Effective Maturity | 0.54          |
| Yield                       | 2.74          |
| Book Yield                  | 2.48          |
| Avg Credit Rating           | AA+/Aa1/AA+   |

## Issuer Concentration

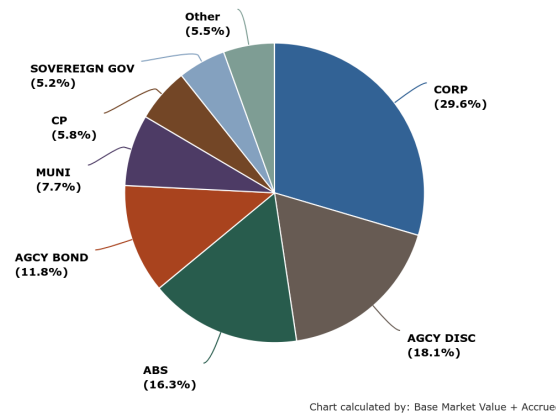
| Issuer Concentration                          | % of Base Market Value + Accrued |
|---|----------------------------------|
| Other   | 58.70%                           |
| Federal Farm Credit Banks Funding Corporation | 12.73%                           |
| Federal Home Loan Banks Office of Finance     | 10.61%                           |
| Federal Home Loan Mortgage Corporation        | 5.39%                            |
| Government of the United States               | 4.47%                            |
| Inter-American Development Bank               | 3.37%                            |
| Capital One Multi-Asset Execution Trust       | 2.80%                            |
| The Bank of New York Mellon Corporation       | 1.92%                            |

--- 100.00%

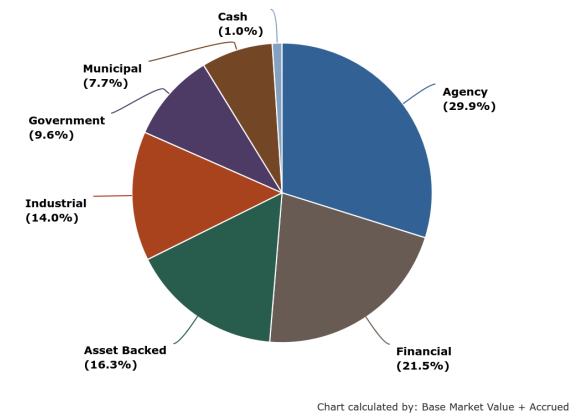
## Asset Class



## Security Type



## Market Sector



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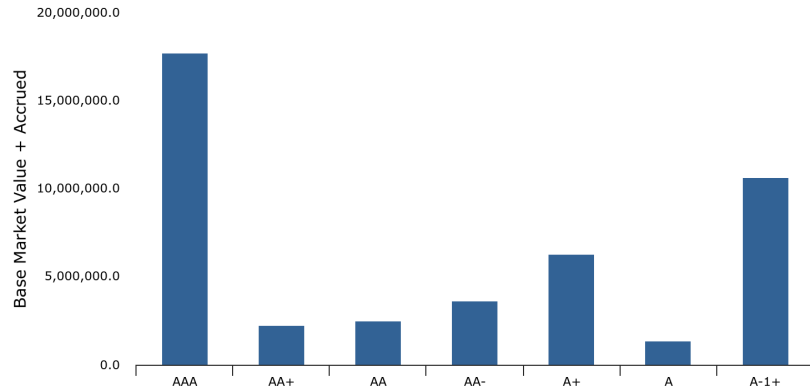


# Risk Summary

Base Currency: USD  
01 October 2018 to 31 December 2018

WC-Contra Costa County  
Account: XXX235  
Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
Investment Strategy: Short Duration Fixed Income

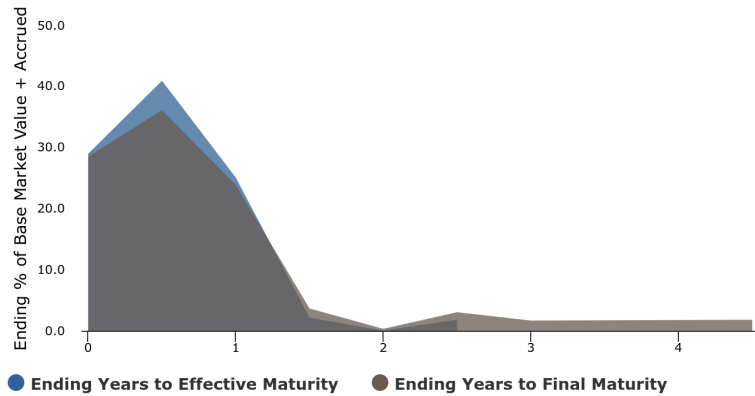
## Credit Rating



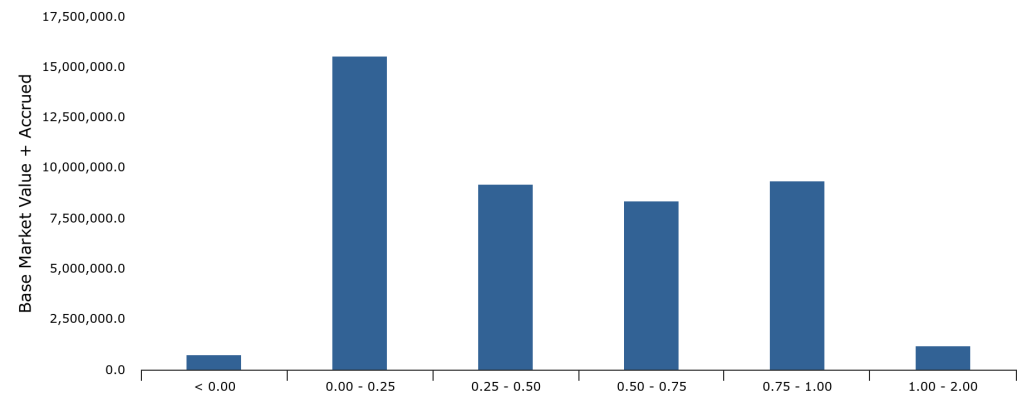
## Credit Duration Heat Map

| Rating | 0 - 1  | 1 - 2 | 2 - 3 | 3 - 4 | 4 - 5 | 5 - 7 | 7 - 10 | 10 - 15 | 15 - 30 |
|--------|--------|-------|-------|-------|-------|-------|--------|---------|---------|
| AAA    | 60.87% | 1.11% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00%  | 0.00%   | 0.00%   |
| AA     | 18.52% | 0.45% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00%  | 0.00%   | 0.00%   |
| A      | 16.13% | 1.13% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00%  | 0.00%   | 0.00%   |
| BBB    | 0.00%  | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00%  | 0.00%   | 0.00%   |
| BB     | 0.00%  | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00%  | 0.00%   | 0.00%   |
| B      | 0.00%  | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00%  | 0.00%   | 0.00%   |
| CCC    | 0.00%  | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00%  | 0.00%   | 0.00%   |
| CC     | 0.00%  | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00%  | 0.00%   | 0.00%   |
| C      | 0.00%  | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00%  | 0.00%   | 0.00%   |
| NA     | 0.00%  | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00%  | 0.00%   | 0.00%   |

## Time To Maturity



## Duration



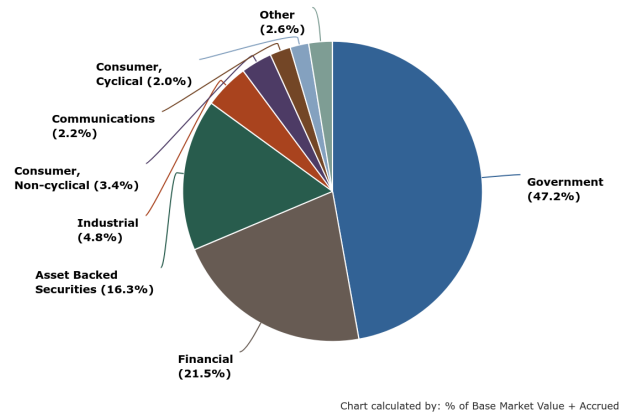
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# Risk Summary

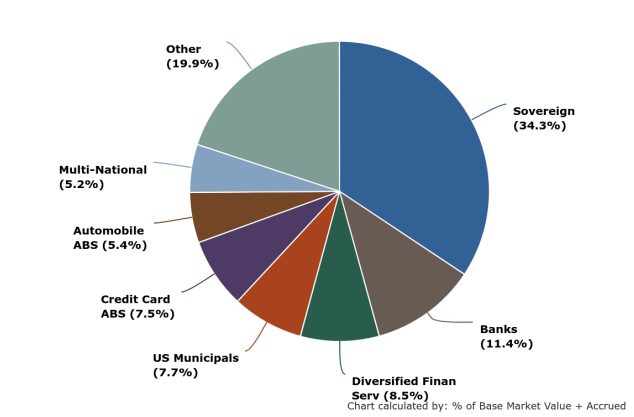
Base Currency: USD  
 01 October 2018 to 31 December 2018

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

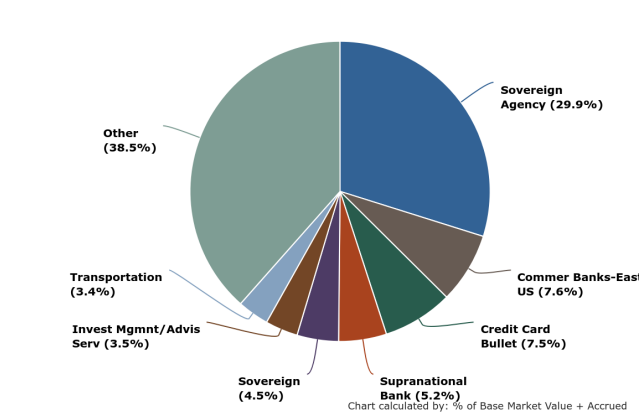
## Base Exposure - Industry Sector



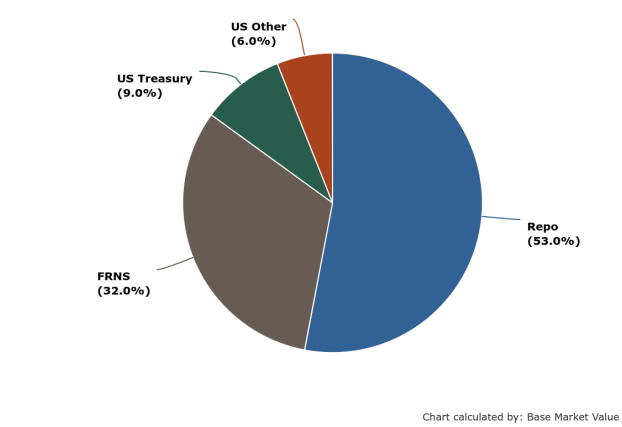
## Base Exposure - Industry Group



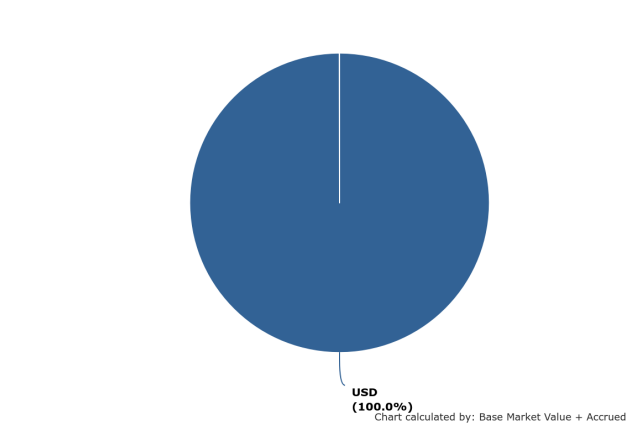
## Base Exposure - Industry Subgroup



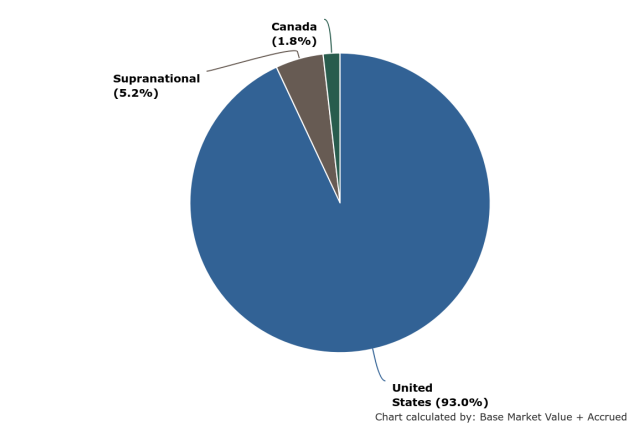
## MMF Asset Allocation



## Currency



## Country



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# Performance Summary Gross of Fees

Base Currency: USD

01 October 2018 to 31 December 2018

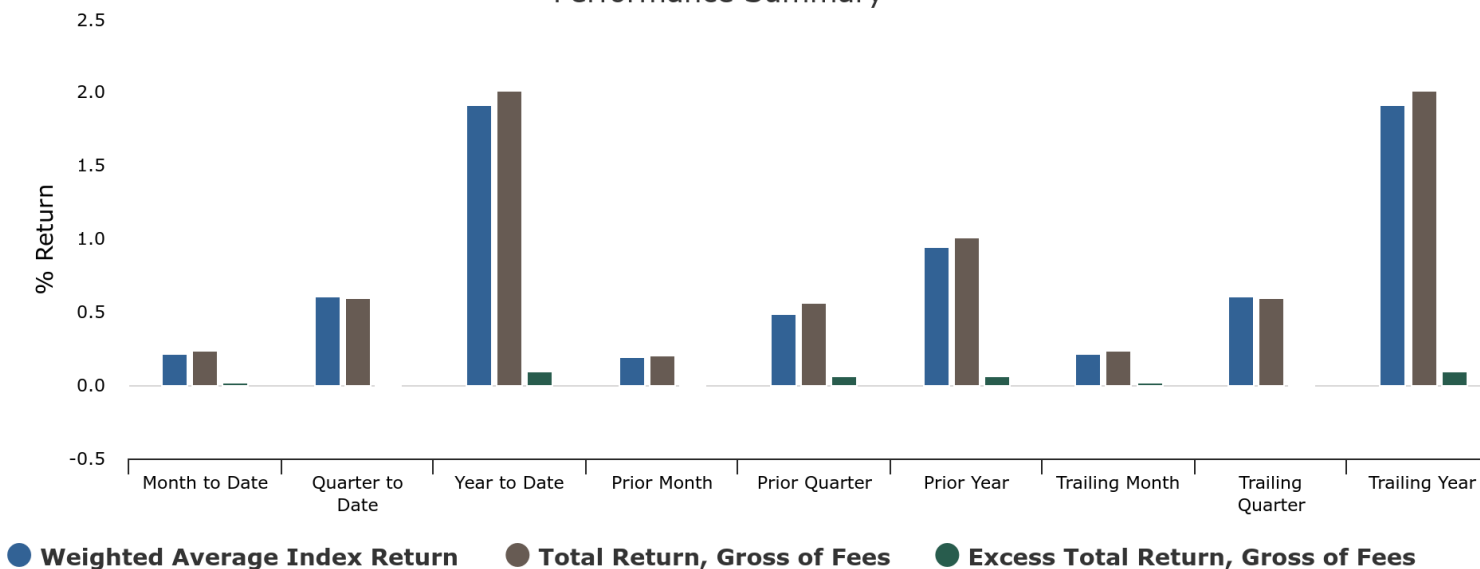
WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

### Performance Summary



| Period           | Period Begin | Period End | Total Return, Gross of Fees | Weighted Average Index Return | Excess Total Return, Gross of Fees |
|------------------|--------------|------------|-----------------------------|-------------------------------|------------------------------------|
| Month to Date    | 12/01/2018   | 12/31/2018 | 0.24%                       | 0.22%                         | 0.02%                              |
| Quarter to Date  | 10/01/2018   | 12/31/2018 | 0.61%                       | 0.61%                         | -0.01%                             |
| Year to Date     | 01/01/2018   | 12/31/2018 | 2.02%                       | 1.92%                         | 0.10%                              |
| Prior Month      | 11/01/2018   | 11/30/2018 | 0.21%                       | 0.20%                         | 0.01%                              |
| Prior Quarter    | 07/01/2018   | 09/30/2018 | 0.57%                       | 0.50%                         | 0.07%                              |
| Prior Year       | 01/01/2017   | 12/31/2017 | 1.02%                       | 0.95%                         | 0.06%                              |
| Trailing Month   | 12/01/2018   | 12/31/2018 | 0.24%                       | 0.22%                         | 0.02%                              |
| Trailing Quarter | 10/01/2018   | 12/31/2018 | 0.61%                       | 0.61%                         | -0.01%                             |
| Trailing Year    | 01/01/2018   | 12/31/2018 | 2.02%                       | 1.92%                         | 0.10%                              |

| Account                | Index                                     | Index Start Date | Index End Date |
|------------------------|---|------------------|----------------|
| WC-Contra Costa County | ML 6 Month T-Bill                         | 01/01/1980       | 11/30/2004     |
| WC-Contra Costa County | ICE BofAML US 6-Month Treasury Bill Index | 12/01/2004       | ---            |

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# Performance Summary Gross of Fees

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

Gross of Fees (includes trading).

Returns are actual and have not been annualized.

No Tax Adjustment.

Note that data will not exist prior to the performance inception date of: 04/01/2001.

Historical data exists for the options shown below, only available on historical data boundaries:

| Begin Date,<br>End Date  | Return Type,<br>Fee Options                | Tax Options   |
|--------------------------|--|---|
| 04/01/2001<br>01/31/2011 | Total Return<br>Gross of Fees, Net of Fees | Gross Down Method, Gross Up Method, No Tax Adjustment |
| 04/01/2001<br>01/31/2011 | Income Return<br>Gross of Fees             | No Tax Adjustment                                     |
| 04/01/2001<br>01/31/2011 | Price Return<br>Gross of Fees              | No Tax Adjustment                                     |
| 01/01/2008<br>01/31/2011 | Book Return<br>Gross of Fees, Net of Fees  | Gross Down Method, Gross Up Method, No Tax Adjustment |

Reported Index Return is always Total Return.

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# Performance Summary Net of Fees

Base Currency: USD

01 October 2018 to 31 December 2018

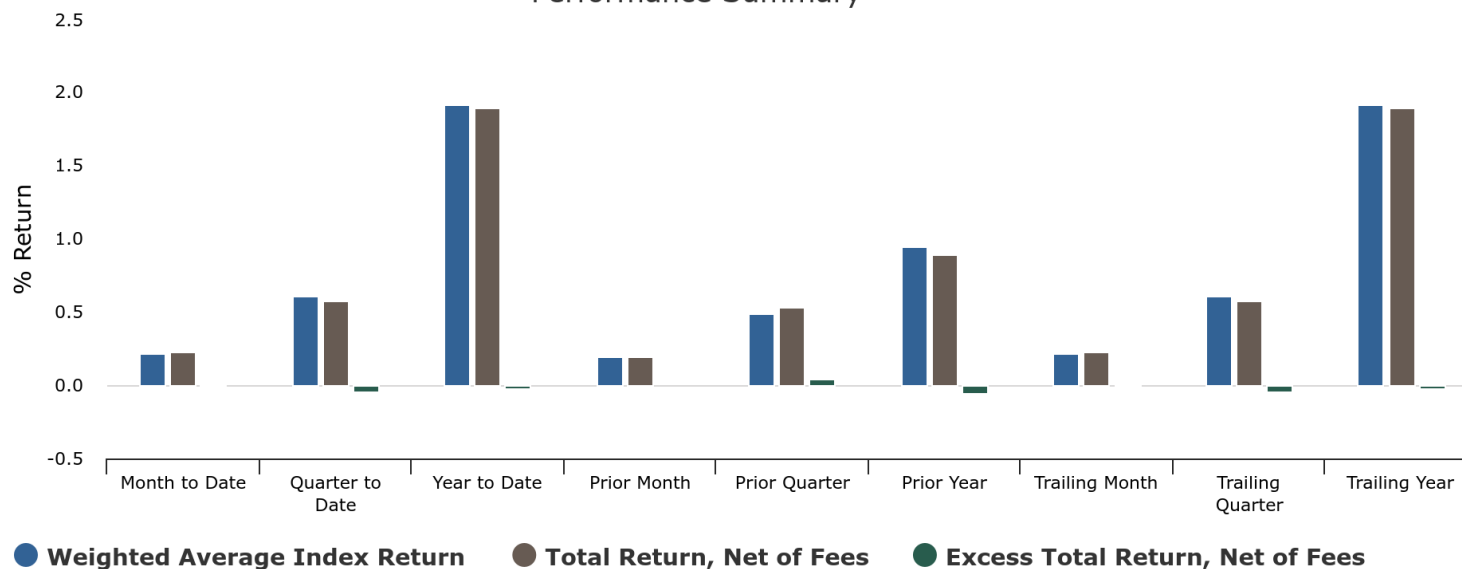
WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

## Performance Summary



| Period           | Period Begin | Period End | Total Return, Net of Fees | Weighted Average Index Return | Excess Total Return, Net of Fees |
|------------------|--------------|------------|---------------------------|-------------------------------|----------------------------------|
| Month to Date    | 12/01/2018   | 12/31/2018 | 0.23%                     | 0.22%                         | 0.01%                            |
| Quarter to Date  | 10/01/2018   | 12/31/2018 | 0.58%                     | 0.61%                         | -0.04%                           |
| Year to Date     | 01/01/2018   | 12/31/2018 | 1.90%                     | 1.92%                         | -0.02%                           |
| Prior Month      | 11/01/2018   | 11/30/2018 | 0.20%                     | 0.20%                         | 0.00%                            |
| Prior Quarter    | 07/01/2018   | 09/30/2018 | 0.54%                     | 0.50%                         | 0.04%                            |
| Prior Year       | 01/01/2017   | 12/31/2017 | 0.90%                     | 0.95%                         | -0.05%                           |
| Trailing Month   | 12/01/2018   | 12/31/2018 | 0.23%                     | 0.22%                         | 0.01%                            |
| Trailing Quarter | 10/01/2018   | 12/31/2018 | 0.58%                     | 0.61%                         | -0.04%                           |
| Trailing Year    | 01/01/2018   | 12/31/2018 | 1.90%                     | 1.92%                         | -0.02%                           |

| Account                | Index                                     | Index Start Date | Index End Date |
|------------------------|---|------------------|----------------|
| WC-Contra Costa County | ML 6 Month T-Bill                         | 01/01/1980       | 11/30/2004     |
| WC-Contra Costa County | ICE BofAML US 6-Month Treasury Bill Index | 12/01/2004       | ---            |

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# Performance Summary Net of Fees

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

Net of Fees (includes management and trading).

Returns are actual and have not been annualized.

No Tax Adjustment.

Note that data will not exist prior to the performance inception date of: 04/01/2001.

Historical data exists for the options shown below, only available on historical data boundaries:

| Begin Date,<br>End Date  | Return Type,<br>Fee Options                | Tax Options   |
|--------------------------|--|---|
| 04/01/2001<br>01/31/2011 | Total Return<br>Gross of Fees, Net of Fees | Gross Down Method, Gross Up Method, No Tax Adjustment |
| 04/01/2001<br>01/31/2011 | Income Return<br>Gross of Fees             | No Tax Adjustment                                     |
| 04/01/2001<br>01/31/2011 | Price Return<br>Gross of Fees              | No Tax Adjustment                                     |
| 01/01/2008<br>01/31/2011 | Book Return<br>Gross of Fees, Net of Fees  | Gross Down Method, Gross Up Method, No Tax Adjustment |

Reported Index Return is always Total Return.

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# GAAP Financials

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Balance Sheet            | WC-Contra Costa County |               |               |
|--------------------------|------------------------|---------------|---------------|
|                          | As of:                 | 09/30/2018    | 12/31/2018    |
| Book Value               |                        | 44,374,511.84 | 44,390,369.21 |
| Accrued Balance          |                        | 138,002.49    | 147,886.70    |
| Book Value + Accrued     |                        | 44,512,514.33 | 44,538,255.91 |
| Net Unrealized Gain/Loss |                        | -49,303.87    | -41,115.66    |
| Market Value + Accrued   |                        | 44,463,210.46 | 44,497,140.25 |

| Income Statement                  | WC-Contra Costa County |            |          |             |
|-----------------------------------|------------------------|------------|----------|-------------|
|                                   | Begin Date             | 10/01/2018 | End Date | 12/31/2018  |
| Net Amortization/Accretion Income |                        |            |          | 81,584.50   |
| Interest Income                   |                        | 178,808.55 |          |             |
| Dividend Income                   |                        | 0.00       |          |             |
| Foreign Tax Withheld Expense      |                        | 0.00       |          |             |
| Misc Income                       |                        | 0.00       |          |             |
| Allowance Expense                 |                        | 0.00       |          |             |
| Income Subtotal                   |                        |            |          | 178,808.55  |
| Net Realized Gain/Loss            |                        | 3.68       |          |             |
| Net Holding Gain/Loss             |                        | 0.00       |          |             |
| Impairment Loss                   |                        | 0.00       |          |             |
| Net Gain/Loss                     |                        |            |          | 3.68        |
| Expense                           |                        | -13,028.17 |          |             |
| Net Income                        |                        |            |          | 247,368.56  |
| Transfers In/Out                  |                        |            |          | -221,626.98 |
| Change in Unrealized Gain/Loss    |                        |            |          | 8,188.21    |

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# GAAP Financials

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

## Statement of Cash Flows

|                                | <i>WC-Contra Costa County</i> |                   |
|--------------------------------|-------------------------------|-------------------|
|                                | <i>Begin Date</i>             | <i>10/01/2018</i> |
|                                | <i>End Date</i>               | <i>12/31/2018</i> |
| Net Income                     |                               | 247,368.56        |
| Amortization/Accretion on MS   | -66,168.10                    |                   |
| Change in Accrued on MS        | 20,387.81                     |                   |
| Net Gain/Loss on MS            | -3.68                         |                   |
| Change in Unrealized G/L on CE | 51.28                         |                   |
| Subtotal                       |                               | -45,732.69        |
| Purchase of MS                 | -10,517,816.37                |                   |
| Purchased Accrued of MS        | -30,272.01                    |                   |
| Sales of MS                    | 661,004.63                    |                   |
| Sold Accrued of MS             | 0.00                          |                   |
| Maturities of MS               | 8,450,000.00                  |                   |
| Net Purchases/Sales            |                               | -1,437,083.75     |
| Transfers of Cash & CE         |                               | -221,626.98       |
| Total Change in Cash & CE      |                               | -1,457,074.86     |
| Beginning Cash & CE            |                               | 5,262,005.38      |
| Ending Cash & CE               |                               | 3,804,930.52      |

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# Income Detail

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

Base Currency: USD  
 01 October 2018 to 31 December 2018

| Identifier, Description                                | Ending Base | Current Units, Coupon | Effective Maturity, Final Maturity | Transfers In/Out, Settle Date | Interest/Dividend Income, Net Amortization/Accretion Income | Net Realized Gain/Loss | Base Expense, Base Net Income |
|--|-------------|-----------------------|------------------------------------|-------------------------------|---|------------------------|-------------------------------|
| 010831DL6<br>ALAMEDA CNTY CALIF JT PWRS AUTH LEASE REV | 500,000.00  | 2.48                  | 06/01/2019<br>06/01/2019           | 0.00<br>04/24/2018            | 3,106.25<br>0.00  | 0.00                   | 0.00<br>3,106.25              |
| 0258MODZ9<br>AMERICAN EXPRESS CREDIT CORP              | 0.00        | 1.88                  | 11/05/2018<br>11/05/2018           | 0.00<br>12/19/2017            | 473.96<br>2.44  | 3.66                   | 0.00<br>480.07                |
| 02665WCL3<br>AMERICAN HONDA FINANCE CORP               | 700,000.00  | 2.80                  | 02/21/2020<br>02/21/2020           | 0.00<br>10/03/2018            | 4,572.95<br>-122.02   | 0.00                   | 0.00<br>4,450.94              |
| 02587AAJ3<br>AMXCA 171 A                               | 500,000.00  | 1.93                  | 02/18/2020<br>02/18/2020           | 0.00<br>05/25/2018            | 2,412.51<br>1,082.66  | 0.00                   | 0.00<br>3,495.17              |
| 037833DHO<br>APPLE INC                                 | 500,000.00  | 1.80                  | 11/13/2019<br>11/13/2019           | 0.00<br>11/30/2018            | 775.00<br>490.57  | 0.00                   | 0.00<br>1,265.57              |
| 06051GFE4<br>BANK OF AMERICA CORP                      | 740,000.00  | 3.27                  | 04/01/2019<br>04/01/2019           | 0.00<br>12/26/2018            | 402.81<br>-86.03  | 0.00                   | 0.00<br>316.78                |
| 06050TME9<br>BANK OF AMERICA NA                        | 0.00        | 2.05                  | 12/07/2018<br>12/07/2018           | 0.00<br>01/12/2018            | 1,879.17<br>-73.31  | 0.00                   | 0.00<br>1,805.85              |
| 06406HCU1<br>BANK OF NEW YORK MELLON CORP              | 250,000.00  | 2.20                  | 05/15/2019<br>05/15/2019           | 0.00<br>01/25/2018            | 1,375.00<br>-9.82   | 0.00                   | 0.00<br>1,365.18              |
| 06406HCP2<br>BANK OF NEW YORK MELLON CORP              | 600,000.00  | 2.10                  | 01/15/2019<br>01/15/2019           | 0.00<br>08/03/2018            | 3,150.00<br>531.93  | 0.00                   | 0.00<br>3,681.93              |
| 05531FAS2<br>BB&T CORP                                 | 250,000.00  | 2.45                  | 01/15/2020<br>01/15/2020           | 0.00<br>11/15/2018            | 782.64<br>210.45  | 0.00                   | 0.00<br>993.09                |
| 084670BL1<br>BERKSHIRE HATHAWAY INC                    | 700,000.00  | 2.10                  | 08/14/2019<br>08/14/2019           | 0.00<br>05/22/2018            | 3,675.00<br>755.88  | 0.00                   | 0.00<br>4,430.88              |
| 09247XAE1<br>BLACKROCK INC                             | 700,000.00  | 5.00                  | 12/10/2019<br>12/10/2019           | 0.00<br>06/08/2018            | 2,041.67<br>-897.40   | 0.00                   | 0.00<br>1,144.27              |
| 09247XAE1<br>BLACKROCK INC                             | 0.00        | 5.00                  | 12/10/2019<br>12/10/2019           | 0.00<br>06/08/2018            | 6,708.33<br>-2,855.36                                       | 0.00                   | 0.00<br>3,852.97              |
| 055657AB6<br>BMWLT 171 A2                              | 0.00        | 1.64                  | 12/20/2018<br>07/22/2019           | 0.00<br>03/22/2017            | 61.34<br>0.30   | 0.00                   | 0.00<br>61.64                 |
| 055657AC4<br>BMWLT 171 A3                              | 374,366.26  | 1.98                  | 05/07/2019<br>05/20/2020           | 0.00<br>06/08/2018            | 1,964.49<br>635.79  | 0.00                   | 0.00<br>2,600.29              |
| 05584PAB3<br>BMWLT 172 A2A                             | 170,221.13  | 1.80                  | 03/28/2019<br>02/20/2020           | 0.00<br>10/25/2017            | 947.07<br>4.87  | -0.01                  | 0.00<br>951.93                |
| 07330NAL9<br>BRANCH BANKING AND TRUST CO               | 450,000.00  | 1.45                  | 05/10/2019<br>05/10/2019           | 0.00<br>06/29/2018            | 1,631.25<br>1,362.91  | 0.00                   | 0.00<br>2,994.16              |
| CCYUSD<br>Cash   | 1.88        | 0.00                  | 12/31/2018<br>12/31/2018           | -221,626.98<br>---            | 0.00<br>0.00  | 0.00                   | -13,028.17<br>-13,028.17      |
| 14912L6R7<br>CATERPILLAR FINANCIAL SERVICES CORP       | 700,000.00  | 1.35                  | 05/18/2019<br>05/18/2019           | 0.00<br>04/17/2018            | 2,362.50<br>2,094.63  | 0.00                   | 0.00<br>4,457.13              |
| 17305EFS9<br>CCCIT 14A6 A6                             | 400,000.00  | 2.15                  | 07/15/2019<br>07/15/2019           | 0.00<br>05/23/2018            | 2,150.00<br>536.48  | 0.00                   | 0.00<br>2,686.48              |
| 17305EFW0<br>CCCIT 16A1 A1                             | 450,000.00  | 1.75                  | 11/19/2019<br>11/19/2019           | 0.00<br>04/26/2018            | 918.75<br>521.97  | 0.00                   | 0.00<br>1,440.72              |
| 17305EFW0<br>CCCIT 16A1 A1                             | 0.00        | 1.75                  | 11/19/2019<br>11/19/2019           | 0.00<br>04/26/2018            | 1,050.00<br>594.80  | 0.00                   | 0.00<br>1,644.80              |

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# Income Detail

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

Base Currency: USD  
 01 October 2018 to 31 December 2018

| Identifier, Description                                 | Ending Base  | Current Units, Coupon | Effective Maturity, Final Maturity | Transfers In/Out, Settle Date | Interest/Dividend Income, Net Amortization/Accretion Income | Net Realized Gain/Loss | Base Expense, Base Net Income |
|---|--------------|-----------------------|------------------------------------|-------------------------------|---|------------------------|-------------------------------|
| 161571FK5<br>CHAIT 124 A                                | 475,000.00   | 1.58                  | 08/15/2019<br>08/15/2019           | 0.00<br>04/19/2018            | 1,876.26<br>1,279.39  | 0.00                   | 0.00<br>3,155.65              |
| 161571HC1<br>CHAIT 162 A                                | 300,000.00   | 1.37                  | 06/17/2019<br>06/17/2019           | 0.00<br>01/26/2018            | 1,027.50<br>603.92  | 0.00                   | 0.00<br>1,631.42              |
| 166764AR1<br>CHEVRON CORP                               | 200,000.00   | 1.96                  | 03/03/2020<br>03/03/2020           | 0.00<br>11/05/2018            | 610.08<br>316.80  | 0.00                   | 0.00<br>926.88                |
| 166764BA7<br>CHEVRON CORP                               | 0.00         | 1.79                  | 11/16/2018<br>11/16/2018           | 0.00<br>12/22/2017            | 1,118.75<br>19.57   | 0.00                   | 0.00<br>1,138.32              |
| 17325FAB4<br>CITIBANK NA                                | 750,000.00   | 3.13                  | 03/20/2019<br>03/20/2019           | 0.00<br>03/20/2017            | 5,245.50<br>0.00  | 0.00                   | 0.00<br>5,245.50              |
| 14041NFC0<br>COMET 161 A                                | 600,000.00   | 2.91                  | 04/15/2019<br>04/15/2019           | 0.00<br>09/20/2017            | 4,215.08<br>-546.60   | 0.00                   | 0.00<br>3,668.48              |
| 14041NFC0<br>COMET 161 A                                | 0.00         | 2.91                  | 04/15/2019<br>04/15/2019           | 0.00<br>09/20/2017            | 0.21<br>0.00  | 0.00                   | 0.00<br>0.21                  |
| 14041NFE6<br>COMET 163 A                                | 250,000.00   | 1.34                  | 06/17/2019<br>06/17/2019           | 0.00<br>05/24/2018            | 837.51<br>833.77  | 0.00                   | 0.00<br>1,671.28              |
| 14041NFF3<br>COMET 164 A                                | 400,000.00   | 1.33                  | 08/15/2019<br>08/15/2019           | 0.00<br>09/21/2018            | 1,329.99<br>1,402.44  | 0.00                   | 0.00<br>2,732.43              |
| 22546QAC1<br>CREDIT SUISSE FIRST BOSTON NEW YORK BRANCH | 650,000.00   | 5.30                  | 08/13/2019<br>08/13/2019           | 0.00<br>10/05/2018            | 8,229.72<br>-3,806.00                                       | 0.00                   | 0.00<br>4,423.72              |
| 30231GAD4<br>EXXON MOBIL CORP                           | 475,000.00   | 1.82                  | 03/15/2019<br>03/15/2019           | 0.00<br>06/11/2018            | 2,160.06<br>676.80  | 0.00                   | 0.00<br>2,836.86              |
| 313312QL7<br>FEDERAL FARM CREDIT BANKS                  | 1,000,000.00 | 0.00                  | 12/13/2019<br>12/13/2019           | 0.00<br>12/14/2018            | 0.00<br>1,335.00  | 0.00                   | 0.00<br>1,335.00              |
| 313312EK2<br>FEDERAL FARM CREDIT BANKS                  | 1,000,000.00 | 0.00                  | 04/16/2019<br>04/16/2019           | 0.00<br>04/16/2018            | 0.00<br>5,494.44  | 0.00                   | 0.00<br>5,494.44              |
| 313312LVO<br>FEDERAL FARM CREDIT BANKS                  | 1,000,000.00 | 0.00                  | 09/17/2019<br>09/17/2019           | 0.00<br>09/25/2018            | 0.00<br>6,567.78  | 0.00                   | 0.00<br>6,567.78              |
| 313312NS5<br>FEDERAL FARM CREDIT BANKS                  | 1,000,000.00 | 0.00                  | 11/01/2019<br>11/01/2019           | 0.00<br>11/13/2018            | 0.00<br>3,647.78  | 0.00                   | 0.00<br>3,647.78              |
| 313312NG1<br>FEDERAL FARM CREDIT BANKS                  | 500,000.00   | 0.00                  | 10/22/2019<br>10/22/2019           | 0.00<br>10/23/2018            | 0.00<br>2,556.94  | 0.00                   | 0.00<br>2,556.94              |
| 3133EFC70<br>FEDERAL FARM CREDIT BANKS FUNDING CORP     | 750,000.00   | 1.12                  | 02/22/2019<br>02/22/2019           | 0.00<br>08/17/2017            | 2,100.00<br>521.86  | 0.00                   | 0.00<br>2,621.86              |
| 3133EFLA3<br>FEDERAL FARM CREDIT BANKS FUNDING CORP     | 500,000.00   | 1.24                  | 10/22/2019<br>10/22/2019           | 0.00<br>10/18/2018            | 1,188.33<br>1,418.08  | 0.00                   | 0.00<br>2,606.41              |
| 3133EFLA3<br>FEDERAL FARM CREDIT BANKS FUNDING CORP     | 0.00         | 1.24                  | 10/22/2019<br>10/22/2019           | 0.00<br>10/18/2018            | 68.89<br>79.89  | 0.00                   | 0.00<br>148.78                |
| 3133EHZG1<br>FEDERAL FARM CREDIT BANKS FUNDING CORP     | 0.00         | 1.30                  | 12/21/2018<br>12/21/2018           | 0.00<br>09/21/2017            | 2,888.89<br>119.72  | 0.00                   | 0.00<br>3,008.61              |
| 313384FV6<br>FEDERAL HOME LOAN BANKS                    | 1,000,000.00 | 0.00                  | 05/20/2019<br>05/20/2019           | 0.00<br>11/20/2018            | 0.00<br>2,858.33  | 0.00                   | 0.00<br>2,858.33              |
| 313384CY3<br>FEDERAL HOME LOAN BANKS                    | 750,000.00   | 0.00                  | 03/12/2019<br>03/12/2019           | 0.00<br>12/18/2018            | 0.00<br>697.08  | 0.00                   | 0.00<br>697.08                |

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# Income Detail

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

Base Currency: USD  
 01 October 2018 to 31 December 2018

| Identifier, Description                      | Ending Base  | Current Units, Coupon | Effective Maturity, Final Maturity | Transfers In/Out, Settle Date | Interest/Dividend Income, Net Amortization/Accretion Income | Net Realized Gain/Loss | Base Expense, Base Net Income |
|--|--------------|-----------------------|------------------------------------|-------------------------------|---|------------------------|-------------------------------|
| 313384LA5<br>FEDERAL HOME LOAN BANKS         | 1,000,000.00 | 0.00                  | 08/29/2019<br>08/29/2019           | 0.00<br>12/06/2018            | 0.00<br>1,892.22  | 0.00                   | 0.00<br>1,892.22              |
| 3130AAE46<br>FEDERAL HOME LOAN BANKS         | 1,000,000.00 | 1.25                  | 01/16/2019<br>01/16/2019           | 0.00<br>04/13/2018            | 3,125.00<br>2,164.32  | 0.00                   | 0.00<br>5,289.32              |
| 3130ACLX0<br>FEDERAL HOME LOAN BANKS         | 500,000.00   | 1.63                  | 10/30/2019<br>10/30/2019           | 0.00<br>09/27/2018            | 1,376.74<br>920.15  | 0.00                   | 0.00<br>2,296.88              |
| 3130ACLX0<br>FEDERAL HOME LOAN BANKS         |              | 0.00                  | 10/30/2019                         | 0.00                          | 654.51  | 0.00                   | 0.00                          |
|  |              | 1.63                  | 10/30/2019                         | 09/27/2018                    | 423.56  |                        | 1,078.07                      |
| 313385R81<br>FEDERAL HOME LOAN BANKS         |              | 0.00                  | 12/04/2018                         | 0.00                          | 0.00  | 0.00                   | 0.00                          |
|  |              | 0.00                  | 12/04/2018                         | 08/08/2018                    | 3,662.22  |                        | 3,662.22                      |
| 3130ABF92<br>FEDERAL HOME LOAN BANKS         | 1,000,000.00 | 1.38                  | 05/28/2019<br>05/28/2019           | 0.00<br>04/16/2018            | 3,437.50<br>2,102.21  | 0.00                   | 0.00<br>5,539.71              |
| 313397J36<br>FEDERAL HOME LOAN MORTGAGE CORP |              | 0.00                  | 10/04/2018                         | 0.00                          | 0.00  | 0.00                   | 0.00                          |
|  |              | 0.00                  | 10/04/2018                         | 07/31/2018                    | 159.17  |                        | 159.17                        |
| 313397Q20<br>FEDERAL HOME LOAN MORTGAGE CORP |              | 0.00                  | 11/20/2018                         | 0.00                          | 0.00  | 0.00                   | 0.00                          |
|  |              | 0.00                  | 11/20/2018                         | 08/06/2018                    | 2,777.78  |                        | 2,777.78                      |
| 313397N49<br>FEDERAL HOME LOAN MORTGAGE CORP |              | 0.00                  | 11/06/2018                         | 0.00                          | 0.00  | 0.00                   | 0.00                          |
|  |              | 0.00                  | 11/06/2018                         | 08/27/2018                    | 2,020.00  |                        | 2,020.00                      |
| 3134G9Q75<br>FEDERAL HOME LOAN MORTGAGE CORP | 500,000.00   | 1.25                  | 07/26/2019                         | 0.00                          | 1,562.50  | 0.00                   | 0.00                          |
|  |              | 0.00                  | 07/26/2019                         | 05/07/2018                    | 1,395.51  |                        | 2,958.01                      |
| 313397S28<br>FEDERAL HOME LOAN MORTGAGE CORP |              | 0.00                  | 12/06/2018                         | 0.00                          | 0.00  | 0.00                   | 0.00                          |
|  |              | 0.00                  | 12/06/2018                         | 08/01/2018                    | 3,685.00  |                        | 3,685.00                      |
| 3134G92B2<br>FEDERAL HOME LOAN MORTGAGE CORP | 500,000.00   | 0.95                  | 01/30/2019                         | 0.00                          | 1,187.50  | 0.00                   | 0.00                          |
|  |              | 0.95                  | 01/30/2019                         | 05/01/2018                    | 1,690.08  |                        | 2,877.58                      |
| 3134G92B2<br>FEDERAL HOME LOAN MORTGAGE CORP | 500,000.00   | 0.95                  | 01/30/2019                         | 0.00                          | 1,187.50  | 0.00                   | 0.00                          |
|  |              | 0.95                  | 01/30/2019                         | 05/16/2018                    | 1,609.11  |                        | 2,796.61                      |
| 313396A0<br>FEDERAL HOME LOAN MORTGAGE CORP  | 900,000.00   | 0.00                  | 01/18/2019                         | 0.00                          | 0.00  | 0.00                   | 0.00                          |
|  |              | 0.00                  | 01/18/2019                         | 09/06/2018                    | 4,945.00  |                        | 4,945.00                      |
| 31680GAD8<br>FITAT 151 A3                    | 10,228.54    | 1.42                  | 01/15/2019                         | 0.00                          | 210.08  | 0.00                   | 0.00                          |
|  |              | 0.00                  | 03/16/2020                         | 11/13/2017                    | 27.65   |                        | 237.72                        |
| 369550BA5<br>GENERAL DYNAMICS CORP           | 250,000.00   | 2.88                  | 05/11/2020                         | 0.00                          | 1,796.88  | 0.00                   | 0.00                          |
|  |              | 0.00                  | 05/11/2020                         | 09/14/2018                    | 57.79   |                        | 1,854.66                      |
| 438124AC3<br>HAROT 163 A3                    | 132,669.74   | 1.16                  | 04/12/2019                         | 0.00                          | 477.41  | 0.00                   | 0.00                          |
|  |              | 0.00                  | 05/18/2020                         | 01/25/2018                    | 383.24  |                        | 860.65                        |
| 40428HPN6<br>HSBC USA INC (NEW)              | 500,000.00   | 2.38                  | 11/13/2019                         | 0.00                          | 1,583.33  | 0.00                   | 0.00                          |
|  |              | 0.00                  | 11/13/2019                         | 10/10/2018                    | 421.84  |                        | 2,005.17                      |
| 40428HPN6<br>HSBC USA INC (NEW)              |              | 0.00                  | 11/13/2019                         | 0.00                          | 1,088.54  | 0.00                   | 0.00                          |
|  |              | 2.38                  | 11/13/2019                         | 10/10/2018                    | 292.71  |                        | 1,381.25                      |
| 4581X0CH9<br>INTER-AMERICAN DEVELOPMENT BANK | 1,000,000.00 | 1.75                  | 10/15/2019                         | 0.00                          | 3,694.44  | 0.00                   | 0.00                          |
|  |              | 0.00                  | 10/15/2019                         | 09/17/2018                    | 1,966.87  |                        | 5,661.31                      |
| 4581X0CH9<br>INTER-AMERICAN DEVELOPMENT BANK |              | 0.00                  | 10/15/2019                         | 0.00                          | 680.56  | 0.00                   | 0.00                          |
|  |              | 1.75                  | 10/15/2019                         | 09/17/2018                    | 353.03  |                        | 1,033.58                      |
| 45818WAV8<br>INTER-AMERICAN DEVELOPMENT BANK | 500,000.00   | 2.48                  | 01/15/2019                         | 0.00                          | 3,143.26  | 0.00                   | 0.00                          |
|  |              | 0.00                  | 01/15/2019                         | 04/17/2018                    | -123.93   |                        | 3,019.33                      |

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# Income Detail

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

Base Currency: USD  
 01 October 2018 to 31 December 2018

| Identifier, Description   | Ending Base | Current Units, Coupon | Effective Maturity, Final Maturity | Transfers In/Out, Settle Date | Interest/Dividend Income, Net Amortization/Accretion Income | Net Realized Gain/Loss | Base Expense, Base Net Income |
|---|-------------|-----------------------|------------------------------------|-------------------------------|---|------------------------|-------------------------------|
| 459058FC2<br>INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPM | 500,000.00  | 1.25                  | 04/26/2019<br>04/26/2019           | 0.00<br>10/13/2017            | 1,562.50<br>420.57  | 0.00                   | 0.00<br>1,983.07              |
| 459058FC2<br>INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPM | 300,000.00  | 1.25                  | 04/26/2019<br>04/26/2019           | 0.00<br>08/29/2018            | 937.50<br>931.50  | 0.00                   | 0.00<br>1,869.00              |
| 45950VHE9<br>INTERNATIONAL FINANCE CORP                         | 0.00        | 1.25                  | 11/27/2018<br>11/27/2018           | 0.00<br>10/19/2017            | 1,944.44<br>441.61  | 0.00                   | 0.00<br>2,386.05              |
| 47788CAB8<br>JDOT 2018 A2                                       | 140,860.66  | 2.42                  | 05/22/2019<br>10/15/2020           | 0.00<br>02/28/2018            | 937.14<br>1.45  | 0.00                   | 0.00<br>938.60                |
| 24422ERR2<br>JOHN DEERE CAPITAL CORP                            | 700,000.00  | 2.25                  | 04/17/2019<br>04/17/2019           | 0.00<br>03/14/2018            | 3,937.50<br>397.05  | 0.00                   | 0.00<br>4,334.55              |
| 46625HQU7<br>JPMORGAN CHASE & CO                                | 700,000.00  | 1.85                  | 03/22/2019<br>03/22/2019           | 0.00<br>10/25/2017            | 3,237.50<br>7.53  | 0.00                   | 0.00<br>3,245.03              |
| 48306BP66<br>Kaiser Foundation Hospitals                        | 800,000.00  | 0.00                  | 02/06/2019<br>02/06/2019           | 0.00<br>12/06/2018            | 0.00<br>1,444.44  | 0.00                   | 0.00<br>1,444.44              |
| 544351MK2<br>LOS ANGELES CALIF                                  | 750,000.00  | 4.00                  | 09/01/2019<br>09/01/2019           | 0.00<br>07/12/2018            | 7,500.00<br>-2,672.09                                       | 0.00                   | 0.00<br>4,827.91              |
| 57636QAA2<br>MASTERCARD INC                                     | 500,000.00  | 2.00                  | 04/01/2019<br>04/01/2019           | 0.00<br>05/04/2018            | 2,500.00<br>576.39  | 0.00                   | 0.00<br>3,076.39              |
| 58769DAB6<br>MBALT 17A A2A                                      | 13,007.54   | 1.53                  | 01/15/2019<br>08/15/2019           | 0.00<br>04/26/2017            | 190.98<br>0.01  | 0.00                   | 0.00<br>190.99                |
| 58772RAB0<br>MBART 181 A2A                                      | 600,000.00  | 2.71                  | 07/27/2019<br>04/15/2021           | 0.00<br>07/25/2018            | 4,065.00<br>2.95  | 0.00                   | 0.00<br>4,067.95              |
| 65477XAD6<br>NALT 16B A3  | 0.00        | 1.50                  | 12/15/2018<br>07/15/2019           | 0.00<br>10/10/2017            | 246.51<br>33.13   | 0.00                   | 0.00<br>279.64                |
| 65478GAD2<br>NAROT 17B A3                                       | 450,000.00  | 1.75                  | 12/27/2019<br>10/15/2021           | 0.00<br>04/26/2018            | 1,968.75<br>551.96  | 0.00                   | 0.00<br>2,520.71              |
| 65478GAD2<br>NAROT 17B A3                                       | 300,000.00  | 1.75                  | 12/27/2019<br>10/15/2021           | 0.00<br>11/08/2018            | 772.91<br>249.90  | 0.00                   | 0.00<br>1,022.81              |
| 637432MX0<br>NATIONAL RURAL UTILITIES COOP FINANCE CORP         | 450,000.00  | 2.15                  | 02/01/2019<br>02/01/2019           | 0.00<br>03/22/2018            | 2,418.75<br>522.74  | 0.00                   | 0.00<br>2,941.49              |
| 63763QN37<br>National Securities Clearing Corporation           | 500,000.00  | 0.00                  | 01/03/2019<br>01/03/2019           | 0.00<br>11/16/2018            | 0.00<br>1,520.56  | 0.00                   | 0.00<br>1,520.56              |
| 63763QK97<br>National Securities Clearing Corporation           | 0.00        | 0.00                  | 10/09/2018<br>10/09/2018           | 0.00<br>07/11/2018            | 0.00<br>244.44  | 0.00                   | 0.00<br>244.44                |
| 63763QKG1<br>National Securities Clearing Corporation           | 0.00        | 0.00                  | 10/16/2018<br>10/16/2018           | 0.00<br>10/09/2018            | 0.00<br>216.81  | 0.00                   | 0.00<br>216.81                |
| 63763QLG0<br>National Securities Clearing Corporation           | 0.00        | 0.00                  | 11/16/2018<br>11/16/2018           | 0.00<br>10/16/2018            | 0.00<br>947.22  | 0.00                   | 0.00<br>947.22                |
| 69353RCH9<br>PNC BANK NA  | 750,000.00  | 2.20                  | 01/28/2019<br>01/28/2019           | 0.00<br>01/31/2018            | 4,125.00<br>-91.72  | 0.00                   | 0.00<br>4,033.28              |
| CCYUSD<br>Receivable  | 1,763.25    | 0.00                  | 12/31/2018<br>12/31/2018           | 0.00<br>---                   | 0.00<br>0.00  | 0.00                   | 0.00<br>0.00                  |

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# Income Detail

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

Base Currency: USD  
 01 October 2018 to 31 December 2018

| Identifier, Description  | Ending Base  | Current Units, Coupon | Effective Maturity, Final Maturity | Transfers In/Out, Settle Date | Interest/Dividend Income, Net Amortization/Accretion Income | Net Realized Gain/Loss | Base Expense, Base Net Income |
|--|--------------|-----------------------|------------------------------------|-------------------------------|---|------------------------|-------------------------------|
| 78607QAT2<br>SACRAMENTO CALIF SUBN WTR DIST REV                    | 500,000.00   | 2.71                  | 11/01/2019<br>11/01/2019           | 0.00<br>05/30/2018            | 2,260.00<br>0.00  | 0.00                   | 0.00<br>2,260.00              |
| 78607QAT2<br>SACRAMENTO CALIF SUBN WTR DIST REV                    | 0.00         | 2.71                  | 11/01/2019<br>11/01/2019           | 0.00<br>05/30/2018            | 1,130.00<br>0.00  | 0.00                   | 0.00<br>1,130.00              |
| 797669XS2<br>SAN FRANCISCO CALIF BAY AREA RAPID TRAN<br>DIST SALES | 750,000.00   | 2.01                  | 07/01/2019<br>07/01/2019           | 0.00<br>12/28/2017            | 3,770.63<br>0.00  | 0.00                   | 0.00<br>3,770.63              |
| 79766DKL2<br>SAN FRANCISCO CALIF CITY & CNTY ARPTS<br>COMMN INTL A | 750,000.00   | 1.72                  | 05/01/2019<br>05/01/2019           | 0.00<br>10/31/2017            | 3,226.88<br>0.00  | 0.00                   | 0.00<br>3,226.88              |
| 798170AB2<br>SUCCESSOR AGENCY TO THE REDEVELOPMENT<br>AGENCY OF TH | 150,000.00   | 2.10                  | 08/01/2019<br>08/01/2019           | 0.00<br>01/29/2018            | 786.75<br>74.91   | 0.00                   | 0.00<br>861.66                |
| 89237WAD9<br>TAOT 16C A3   | 166,859.14   | 1.14                  | 04/30/2019<br>08/17/2020           | 0.00<br>09/13/2017            | 562.79<br>236.92  | 0.01                   | 0.00<br>799.72                |
| 09702MNP4<br>The Boeing Company                                    | 500,000.00   | 0.00                  | 01/23/2019<br>01/23/2019           | 0.00<br>11/21/2018            | 0.00<br>1,378.06  | 0.00                   | 0.00<br>1,378.06              |
| 88602UKN9<br>Thunder Bay Funding, LLC                              | 0.00         | 0.00                  | 10/22/2018<br>10/22/2018           | 0.00<br>09/25/2018            | 0.00<br>1,236.67  | 0.00                   | 0.00<br>1,236.67              |
| 88602UN89<br>Thunder Bay Funding, LLC                              | 800,000.00   | 0.00                  | 01/08/2019<br>01/08/2019           | 0.00<br>10/22/2018            | 0.00<br>3,881.33  | 0.00                   | 0.00<br>3,881.33              |
| 89236TBB0<br>TOYOTA MOTOR CREDIT CORP                              | 189,000.00   | 2.10                  | 01/17/2019<br>01/17/2019           | 0.00<br>04/26/2018            | 992.25<br>166.69  | 0.00                   | 0.00<br>1,158.94              |
| 912828U73<br>UNITED STATES TREASURY                                | 1,000,000.00 | 1.38                  | 12/15/2019<br>12/15/2019           | 0.00<br>11/28/2018            | 642.17<br>632.77  | 0.00                   | 0.00<br>1,274.94              |
| 912828U73<br>UNITED STATES TREASURY                                | 0.00         | 1.38                  | 12/15/2019<br>12/15/2019           | 0.00<br>11/28/2018            | 638.66<br>632.77  | 0.00                   | 0.00<br>1,271.43              |
| 912828K5<br>UNITED STATES TREASURY                                 | 1,000,000.00 | 1.38                  | 07/31/2019<br>07/31/2019           | 0.00<br>10/10/2017            | 3,437.50<br>316.29  | 0.00                   | 0.00<br>3,753.79              |
| 912828T83<br>UNITED STATES TREASURY                                | 0.00         | 0.75                  | 10/31/2018<br>10/31/2018           | 0.00<br>09/19/2017            | 611.41<br>469.33  | 0.00                   | 0.00<br>1,080.74              |
| 90331HMK5<br>US BANK NA  | 700,000.00   | 2.57                  | 01/17/2020<br>01/17/2020           | 0.00<br>01/23/2018            | 4,569.17<br>0.00  | 0.00                   | 0.00<br>4,569.17              |
| 90290AAB3<br>USAOT 171 A2  | 19,398.96    | 1.54                  | 01/15/2019<br>02/18/2020           | 0.00<br>09/20/2017            | 201.76<br>0.43  | 0.01                   | 0.00<br>202.20                |
| 92868LAB7<br>VALET 181 A2A   | 750,000.00   | 2.81                  | 09/19/2019<br>07/20/2021           | 0.00<br>07/03/2018            | 5,268.75<br>0.76  | 0.00                   | 0.00<br>5,269.51              |
| 92512MKX6<br>Versailles Commercial Paper LLC                       | 0.00         | 0.00                  | 10/31/2018<br>10/31/2018           | 0.00<br>07/20/2018            | 0.00<br>1,456.25  | 0.00                   | 0.00<br>1,456.25              |
| 92512MM30<br>Versailles Commercial Paper LLC                       | 0.00         | 0.00                  | 12/03/2018<br>12/03/2018           | 0.00<br>10/31/2018            | 0.00<br>1,670.62  | 0.00                   | 0.00<br>1,670.62              |
| 92348XAB1<br>VZOT 18A A1B  | 800,000.00   | 2.71                  | 04/30/2021<br>04/20/2023           | 0.00<br>10/10/2018            | 4,825.77<br>0.00  | 0.00                   | 0.00<br>4,825.77              |
| 931142EF6<br>WALMART INC   | 700,000.00   | 2.86                  | 06/23/2020<br>06/23/2020           | 0.00<br>06/27/2018            | 4,375.90<br>0.00  | 0.00                   | 0.00<br>4,375.90              |

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# Income Detail

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Identifier, Description                     | Ending Base   | Current Units, Coupon | Effective Maturity, Final Maturity | Transfers In/Out, Settle Date | Interest/Dividend Income, Net Amortization/Accretion Income | Net Realized Gain/Loss | Base Expense, Base Net Income |
|---|---------------|-----------------------|------------------------------------|-------------------------------|---|------------------------|-------------------------------|
| 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 460,253.00    | 2.50                  | 12/31/2018<br>12/31/2018           | 0.00<br>---                   | 4,620.48<br>0.00  | 0.00                   | 0.00<br>4,620.48              |
| ---   | 44,568,630.10 | 1.63                  | 07/16/2019<br>09/07/2019           | -221,626.98<br>---            | 178,808.55<br>81,584.50                                     | 3.68                   | -13,028.17<br>247,368.56      |

\* Weighted by: Ending Base Market Value + Accrued.      \* Holdings Displayed by: Lot.

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# Balance Sheet Classification

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

Base Currency: USD  
 01 October 2018 to 31 December 2018

## CE

| Identifier, Description                               | Base Current Units, Market Price | Final Maturity, Coupon | Effective Maturity | Rating, Market Sector | Book Yield, Yield | Base Original Cost, Base Book Value, Base Net Total Unrealized Gain/Loss | Base Market Value, Base Accrued Balance, Base Market Value + Accrued |
|---|----------------------------------|------------------------|--------------------|-----------------------|-------------------|--|--|
| 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF           | 460,253.00<br>1.0000             | 12/31/2018<br>2.50     | 12/31/2018         | AAA<br>Cash           | 2.43<br>2.43      | 460,253.00<br>460,253.00<br>0.00   | 460,253.00<br>0.00<br>460,253.00                                     |
| CCYUSD<br>Cash  | 1.88<br>1.0000                   | 12/31/2018<br>0.00     | 12/31/2018         | AAA<br>Cash           | 0.00<br>0.00      | 1.88<br>1.88<br>0.00   | 1.88<br>0.00<br>1.88   |
| CCYUSD<br>Receivable                                  | 1,763.25<br>1.0000               | 12/31/2018<br>0.00     | 12/31/2018         | AAA<br>Cash           | 0.00<br>0.00      | 1,763.25<br>1,763.25<br>0.00   | 1,763.25<br>0.00<br>1,763.25   |
| 63763QN37<br>National Securities Clearing Corporation | 500,000.00<br>99.9805            | 01/03/2019<br>0.00     | 01/03/2019         | A-1+<br>Financial     | 2.40<br>2.84      | 498,413.33<br>499,933.89<br>-31.39                                       | 499,902.50<br>0.00<br>499,902.50                                     |
| 88602UN89<br>Thunder Bay Funding, LLC                 | 800,000.00<br>99.9448            | 01/08/2019<br>0.00     | 01/08/2019         | A-1+<br>Financial     | 2.48<br>2.75      | 795,736.00<br>799,617.33<br>-58.93                                       | 799,558.40<br>0.00<br>799,558.40                                     |
| 09702MNP4<br>The Boeing Company                       | 500,000.00<br>99.8420            | 01/23/2019<br>0.00     | 01/23/2019         | A-1+<br>Industrial    | 2.44<br>2.60      | 497,882.50<br>499,260.56<br>-50.54                                       | 499,210.02<br>0.00<br>499,210.02                                     |
| 48306BP66<br>Kaiser Foundation Hospitals              | 800,000.00<br>99.7150            | 02/06/2019<br>0.00     | 02/06/2019         | A-1+<br>Industrial    | 2.52<br>2.94      | 796,555.56<br>798,000.00<br>-280.03                                      | 797,719.98<br>0.00<br>797,719.98                                     |
| 313384CY3<br>FEDERAL HOME LOAN BANKS                  | 750,000.00<br>99.5362            | 03/12/2019<br>0.00     | 03/12/2019         | A-1+<br>Agency        | 2.41<br>2.34      | 745,817.50<br>746,514.58<br>6.92   | 746,521.50<br>0.00<br>746,521.50                                     |
| ---   | 3,812,018.13                     | 01/27/2019             | 01/27/2019         | AAA                   | 2.45              | 3,796,423.02   | 3,804,930.52   |
| ---   | 87.7932                          | 0.30                   |                    | ---                   | 2.66              | 3,805,344.49<br>-413.97  | 0.00<br>3,804,930.52   |

## ST

| Identifier, Description                                 | Base Current Units, Market Price | Final Maturity, Coupon | Effective Maturity | Rating, Market Sector | Book Yield, Yield | Base Original Cost, Base Book Value, Base Net Total Unrealized Gain/Loss | Base Market Value, Base Accrued Balance, Base Market Value + Accrued |
|---|----------------------------------|------------------------|--------------------|-----------------------|-------------------|--|--|
| 22546OAC1<br>CREDIT SUISSE FIRST BOSTON NEW YORK BRANCH | 650,000.00<br>101.2593           | 08/13/2019<br>5.30     | 08/13/2019         | A+<br>Financial       | 2.82<br>2.97      | 663,494.00<br>659,688.00<br>-1,502.55                                    | 658,185.45<br>13,205.83<br>671,391.28                                |
| 09247XAE1<br>BLACKROCK INC                              | 700,000.00<br>101.7933           | 12/10/2019<br>5.00     | 12/10/2019         | AA-<br>Financial      | 2.81<br>3.06      | 722,435.00<br>713,991.28<br>-1,438.18                                    | 712,553.10<br>2,041.67<br>714,594.77                                 |
| 24422ERR2<br>JOHN DEERE CAPITAL CORP                    | 700,000.00<br>99.7753            | 04/17/2019<br>2.25     | 04/17/2019         | A<br>Industrial       | 2.48<br>2.98      | 698,278.00<br>699,542.53<br>-1,115.43                                    | 698,427.10<br>3,237.50<br>701,664.60                                 |

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# Balance Sheet Classification

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income



Base Currency: USD  
 01 October 2018 to 31 December 2018

| Identifier, Description                                 | Base Current Units, Market Price | Final Maturity, Coupon | Effective Maturity | Rating, Market Sector | Book Yield   | Base Original Cost, Base Book Value, Base Net Total Unrealized Gain/Loss | Base Market Value, Base Accrued Balance, Base Market Value + Accrued |
|---|----------------------------------|------------------------|--------------------|-----------------------|--------------|--|--|
| 161571FK5<br>CHAIT 124 A                                | 475,000.00<br>99.1859            | 08/15/2019<br>1.58     | 08/15/2019         | AAA<br>Asset Backed   | 2.67<br>2.90 | 468,283.20<br>471,857.15<br>-724.12                                      | 471,133.03<br>333.56<br>471,466.58                                   |
| 06406HCP2<br>BANK OF NEW YORK MELLON CORP               | 600,000.00<br>99.9706            | 01/15/2019<br>2.10     | 01/15/2019         | AA-<br>Financial      | 2.45<br>2.74 | 599,046.00<br>599,919.05<br>-95.45                                       | 599,823.60<br>5,810.00<br>605,633.60                                 |
| 89236TBBO<br>TOYOTA MOTOR CREDIT CORP                   | 189,000.00<br>99.9527            | 01/17/2019<br>2.10     | 01/17/2019         | AA-<br>Industrial     | 2.45<br>3.06 | 188,518.05<br>188,971.01<br>-60.41                                       | 188,910.60<br>1,808.10<br>190,718.70                                 |
| 637432MX0<br>NATIONAL RURAL UTILITIES COOP FINANCE CORP | 450,000.00<br>99.9063            | 02/01/2019<br>2.15     | 02/01/2019         | A+<br>Financial       | 2.62<br>3.17 | 448,204.50<br>449,823.86<br>-245.51                                      | 449,578.35<br>4,031.25<br>453,609.60                                 |
| 69353RCH9<br>PNC BANK NA                                | 750,000.00<br>99.9492            | 01/28/2019<br>2.20     | 01/28/2019         | A+<br>Financial       | 2.20<br>2.79 | 750,345.00<br>750,000.00<br>-381.00                                      | 749,619.00<br>7,012.50<br>756,631.50                                 |
| 30231GAD4<br>EXXON MOBIL CORP                           | 475,000.00<br>99.8158            | 03/15/2019<br>1.82     | 03/15/2019         | AAA<br>Industrial     | 2.39<br>2.67 | 472,962.25<br>474,462.98<br>-337.93                                      | 474,125.05<br>2,544.07<br>476,669.12                                 |
| 06051GFE4<br>BANK OF AMERICA CORP                       | 740,000.00<br>100.1473           | 04/01/2019<br>3.27     | 04/01/2019         | A+<br>Financial       | 2.56<br>3.09 | 741,376.40<br>741,290.38<br>-200.35                                      | 741,090.02<br>6,176.37<br>747,266.39                                 |
| 57636QAA2<br>MASTERCARD INC                             | 500,000.00<br>99.7143            | 04/01/2019<br>2.00     | 04/01/2019         | A+<br>Industrial      | 2.46<br>3.10 | 497,920.00<br>499,436.14<br>-864.64                                      | 498,571.50<br>2,500.00<br>501,071.50                                 |
| 06406HCU1<br>BANK OF NEW YORK MELLON CORP               | 250,000.00<br>99.7685            | 05/15/2019<br>2.20     | 05/15/2019         | AA-<br>Financial      | 2.18<br>2.80 | 250,047.50<br>250,011.10<br>-589.85                                      | 249,421.25<br>702.78<br>250,124.03                                   |
| 17305EFS9<br>CCCIT 14A6 A6                              | 400,000.00<br>99.5882            | 07/15/2019<br>2.15     | 07/15/2019         | AAA<br>Asset Backed   | 2.68<br>2.91 | 397,562.50<br>398,862.89<br>-509.97                                      | 398,352.92<br>3,965.56<br>402,318.48                                 |
| 084670BL1<br>BERKSHIRE HATHAWAY INC                     | 700,000.00<br>99.5172            | 08/14/2019<br>2.10     | 08/14/2019         | AA<br>Financial       | 2.54<br>2.88 | 696,311.00<br>698,151.39<br>-1,530.99                                    | 696,620.40<br>5,594.17<br>702,214.57                                 |
| 40428HPN6<br>HSBC USA INC (NEW)                         | 500,000.00<br>99.3634            | 11/13/2019<br>2.38     | 11/13/2019         | AA-<br>Financial      | 3.02<br>3.12 | 496,565.00<br>497,279.55<br>-462.55                                      | 496,817.00<br>1,583.33<br>498,400.33                                 |
| 3133EFLA3<br>FEDERAL FARM CREDIT BANKS FUNDING CORP     | 500,000.00<br>98.8636            | 10/22/2019<br>1.24     | 10/22/2019         | AAA<br>Agency         | 2.73<br>2.64 | 492,630.00<br>494,127.97<br>190.03                                       | 494,318.00<br>1,188.33<br>495,506.33                                 |
| 3133EFC70<br>FEDERAL FARM CREDIT BANKS FUNDING CORP     | 750,000.00<br>99.8367            | 02/22/2019<br>1.12     | 02/22/2019         | AAA<br>Agency         | 1.40<br>2.19 | 746,857.50<br>749,705.04<br>-929.79                                      | 748,775.25<br>3,010.00<br>751,785.25                                 |
| 46625HQU7<br>JPMORGAN CHASE & CO                        | 700,000.00<br>99.7126            | 03/22/2019<br>1.85     | 03/22/2019         | AA-<br>Financial      | 1.85<br>3.07 | 699,958.00<br>699,993.45<br>-2,005.25                                    | 697,988.20<br>3,561.25<br>701,549.45                                 |

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# Balance Sheet Classification

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

Base Currency: USD  
 01 October 2018 to 31 December 2018

| Identifier, Description   | Base Current Units, Market Price | Final Maturity, Coupon | Effective Maturity | Rating, Market Sector | Book Yield, Yield | Base Original Cost, Base Book Value, Base Net Total Unrealized Gain/Loss | Base Market Value, Base Accrued Balance, Base Market Value + Accrued |
|---|----------------------------------|------------------------|--------------------|-----------------------|-------------------|--|--|
| 459058FC2<br>INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPM | 500,000.00<br>99.5750            | 04/26/2019<br>1.25     | 04/26/2019         | AAA<br>Government     | 1.59<br>2.54      | 497,440.00<br>499,474.29<br>-1,599.29                                    | 497,875.00<br>1,128.47<br>499,003.47                                 |
| 459058FC2<br>INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPM | 300,000.00<br>99.5750            | 04/26/2019<br>1.25     | 04/26/2019         | AAA<br>Government     | 2.50<br>2.54      | 297,570.00<br>298,835.63<br>-110.63                                      | 298,725.00<br>677.08<br>299,402.08                                   |
| 07330NAL9<br>BRANCH BANKING AND TRUST CO                        | 450,000.00<br>99.4198            | 05/10/2019<br>1.45     | 05/10/2019         | A+<br>Financial       | 2.67<br>3.05      | 445,333.50<br>448,088.96<br>-699.86                                      | 447,389.10<br>924.38<br>448,313.47                                   |
| 14041NFC0<br>COMET 161 A  | 600,000.00<br>100.0905           | 04/15/2019<br>2.91     | 04/15/2019         | AAA<br>Asset Backed   | 2.56<br>2.23      | 603,398.44<br>600,617.90<br>-75.08                                       | 600,542.82<br>726.28<br>601,269.10                                   |
| 14912L6R7<br>CATERPILLAR FINANCIAL SERVICES CORP                | 700,000.00<br>99.4064            | 05/18/2019<br>1.35     | 05/18/2019         | A<br>Industrial       | 2.56<br>2.90      | 690,984.00<br>696,880.83<br>-1,036.03                                    | 695,844.80<br>1,128.75<br>696,973.55                                 |
| 161571HC1<br>CHAIT 162 A  | 300,000.00<br>99.2480            | 06/17/2019<br>1.37     | 06/17/2019         | AAA<br>Asset Backed   | 2.18<br>2.71      | 296,671.88<br>298,903.76<br>-1,159.70                                    | 297,744.06<br>182.67<br>297,926.73                                   |
| 3134G9Q75<br>FEDERAL HOME LOAN MORTGAGE CORP                    | 500,000.00<br>99.1893            | 07/26/2019<br>1.25     | 07/26/2019         | AAA<br>Agency         | 2.38<br>2.70      | 493,250.00<br>496,875.28<br>-928.78                                      | 495,946.50<br>2,690.97<br>498,637.47                                 |
| 14041NFE6<br>COMET 163 A  | 250,000.00<br>99.2301            | 06/17/2019<br>1.34     | 06/17/2019         | AAA<br>Asset Backed   | 2.69<br>2.73      | 246,474.61<br>248,486.53<br>-411.23                                      | 248,075.30<br>148.89<br>248,224.19                                   |
| 3134G92B2<br>FEDERAL HOME LOAN MORTGAGE CORP                    | 500,000.00<br>99.8929            | 01/30/2019<br>0.95     | 01/30/2019         | AAA<br>Agency         | 2.32<br>2.24      | 494,966.50<br>499,467.26<br>-2.76  | 499,464.50<br>1,992.36<br>501,456.86                                 |
| 3134G92B2<br>FEDERAL HOME LOAN MORTGAGE CORP                    | 500,000.00<br>99.8929            | 01/30/2019<br>0.95     | 01/30/2019         | AAA<br>Agency         | 2.25<br>2.24      | 495,470.00<br>499,492.78<br>-28.28                                       | 499,464.50<br>1,992.36<br>501,456.86                                 |
| 14041NFF3<br>COMET 164 A  | 400,000.00<br>98.9894            | 08/15/2019<br>1.33     | 08/15/2019         | AAA<br>Asset Backed   | 2.75<br>2.97      | 395,000.00<br>396,554.88<br>-597.32                                      | 395,957.56<br>236.44<br>396,194.00                                   |
| 17305EFW0<br>CCCIT 16A1 A1                                      | 450,000.00<br>98.9389            | 11/19/2019<br>1.75     | 11/19/2019         | AAA<br>Asset Backed   | 2.75<br>2.96      | 443,056.64<br>446,091.33<br>-866.41                                      | 445,224.91<br>918.75<br>446,143.66                                   |
| 3130AAE46<br>FEDERAL HOME LOAN BANKS                            | 1,000,000.00<br>99.9498          | 01/16/2019<br>1.25     | 01/16/2019         | AAA<br>Agency         | 2.12<br>2.28      | 993,460.00<br>999,647.12<br>-149.12                                      | 999,498.00<br>5,729.17<br>1,005,227.17                               |
| 912828U73<br>UNITED STATES TREASURY                             | 1,000,000.00<br>98.8086          | 12/15/2019<br>1.38     | 12/15/2019         | AAA<br>Government     | 2.76<br>2.63      | 985,781.25<br>987,046.79<br>1,039.21                                     | 988,086.00<br>642.17<br>988,728.17                                   |
| 17325FAB4<br>CITIBANK NA  | 750,000.00<br>100.0243           | 03/20/2019<br>3.13     | 03/20/2019         | A+<br>Financial       | 3.13<br>3.07      | 750,000.00<br>750,000.00<br>182.25                                       | 750,182.25<br>783.00<br>750,965.25                                   |

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# Balance Sheet Classification

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income



Base Currency: USD  
 01 October 2018 to 31 December 2018

| Identifier, Description  | Base Current Units, Market Price | Final Maturity, Coupon | Effective Maturity | Rating, Market Sector | Book Yield   | Base Original Cost, Base Book Value, Base Net Total Unrealized Gain/Loss | Base Market Value, Base Accrued Balance, Base Market Value + Accrued |
|--|----------------------------------|------------------------|--------------------|-----------------------|--------------|--|--|
| 58769DAB6<br>MBALT 17A A2A   | 13,007.54<br>99.9402             | 08/15/2019<br>1.53     | 01/15/2019         | AAA<br>Asset Backed   | 1.53<br>2.88 | 13,007.53<br>13,007.54<br>-7.78  | 12,999.76<br>8.85<br>13,008.60                                       |
| 3130ABF92<br>FEDERAL HOME LOAN BANKS                               | 1,000,000.00<br>99.5124          | 05/28/2019<br>1.38     | 05/28/2019         | AAA<br>Agency         | 2.22<br>2.54 | 990,700.00<br>996,641.03<br>-1,517.03                                    | 995,124.00<br>1,260.42<br>996,384.42                                 |
| 9128282K5<br>UNITED STATES TREASURY                                | 1,000,000.00<br>99.3164          | 07/31/2019<br>1.38     | 07/31/2019         | AAA<br>Government     | 1.50<br>2.56 | 997,734.38<br>999,274.59<br>-6,110.59                                    | 993,164.00<br>5,754.08<br>998,918.08                                 |
| 3130ACLX0<br>FEDERAL HOME LOAN BANKS                               | 500,000.00<br>99.1496            | 10/30/2019<br>1.63     | 10/30/2019         | AAA<br>Agency         | 2.71<br>2.66 | 494,187.00<br>495,589.13<br>158.87                                       | 495,748.00<br>1,376.74<br>497,124.74                                 |
| 79766DKL2<br>SAN FRANCISCO CALIF CITY & CNTY ARPTS<br>COMMN INTL A | 750,000.00<br>99.6810            | 05/01/2019<br>1.72     | 05/01/2019         | A+<br>Municipal       | 1.72<br>2.64 | 750,000.00<br>750,000.00<br>-2,392.50                                    | 747,607.50<br>2,151.25<br>749,758.75                                 |
| 037833DHO<br>APPLE INC   | 500,000.00<br>99.1830            | 11/13/2019<br>1.80     | 11/13/2019         | AA+<br>Industrial     | 2.94<br>2.75 | 494,665.00<br>495,155.57<br>759.43                                       | 495,915.00<br>1,200.00<br>497,115.00                                 |
| 798170AB2<br>SUCCESSOR AGENCY TO THE<br>REDEVELOPMENT AGENCY OF TH | 150,000.00<br>99.5920            | 08/01/2019<br>2.10     | 08/01/2019         | AA<br>Municipal       | 2.30<br>2.77 | 149,553.00<br>149,827.39<br>-439.39                                      | 149,388.00<br>1,311.25<br>150,699.25                                 |
| 797669XS2<br>SAN FRANCISCO CALIF BAY AREA RAPID<br>TRAN DIST SALES | 750,000.00<br>99.7000            | 07/01/2019<br>2.01     | 07/01/2019         | AA+<br>Municipal      | 2.01<br>2.59 | 750,000.00<br>750,000.00<br>-2,250.00                                    | 747,750.00<br>7,541.25<br>755,291.25                                 |
| 4581X0CH9<br>INTER-AMERICAN DEVELOPMENT BANK                       | 1,000,000.00<br>99.2566          | 10/15/2019<br>1.75     | 10/15/2019         | AAA<br>Government     | 2.69<br>2.68 | 990,090.00<br>992,762.93<br>-196.93                                      | 992,566.00<br>3,694.44<br>996,260.44                                 |
| 010831DL6<br>ALAMEDA CNTY CALIF JT PWRS AUTH LEASE<br>REV          | 500,000.00<br>99.8340            | 06/01/2019<br>2.48     | 06/01/2019         | AA+<br>Municipal      | 2.49<br>2.85 | 500,000.00<br>500,000.00<br>-830.00                                      | 499,170.00<br>1,035.42<br>500,205.42                                 |
| 45818WAV8<br>INTER-AMERICAN DEVELOPMENT BANK                       | 500,000.00<br>100.0010           | 01/15/2019<br>2.48     | 01/15/2019         | AAA<br>Government     | 2.42<br>2.35 | 500,367.75<br>500,018.86<br>-13.86                                       | 500,005.00<br>2,682.67<br>502,687.67                                 |
| 313312EK2<br>FEDERAL FARM CREDIT BANKS                             | 1,000,000.00<br>99.2951          | 04/16/2019<br>0.00     | 04/16/2019         | A-1+<br>Agency        | 2.19<br>2.38 | 978,201.39<br>993,729.17<br>-778.17                                      | 992,951.00<br>0.00<br>992,951.00                                     |
| 78607QAT2<br>SACRAMENTO CALIF SUBN WTR DIST REV                    | 500,000.00<br>99.8150            | 11/01/2019<br>2.71     | 11/01/2019         | AA+<br>Municipal      | 2.71<br>2.91 | 500,000.00<br>500,000.00<br>-925.00                                      | 499,075.00<br>2,260.00<br>501,335.00                                 |
| 544351MK2<br>LOS ANGELES CALIF                                     | 750,000.00<br>100.8240           | 09/01/2019<br>4.00     | 09/01/2019         | AA<br>Municipal       | 2.55<br>2.72 | 762,082.50<br>757,057.81<br>-877.81                                      | 756,180.00<br>14,083.33<br>770,263.33                                |
| 313396ATO<br>FEDERAL HOME LOAN MORTGAGE CORP                       | 900,000.00<br>99.8942            | 01/18/2019<br>0.00     | 01/18/2019         | A-1+<br>Agency        | 2.17<br>2.04 | 892,797.50<br>899,086.25<br>-38.45                                       | 899,047.80<br>0.00<br>899,047.80                                     |

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# Balance Sheet Classification

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

Base Currency: USD  
 01 October 2018 to 31 December 2018

| Identifier, Description                | Base Current Units, Market Price | Final Maturity, Coupon | Effective Maturity | Rating, Market Sector | Book Yield, Yield | Base Original Cost, Base Book Value, Base Net Total Unrealized Gain/Loss | Base Market Value, Base Accrued Balance, Base Market Value + Accrued |
|--|----------------------------------|------------------------|--------------------|-----------------------|-------------------|--|--|
| 313312LV0<br>FEDERAL FARM CREDIT BANKS | 1,000,000.00<br>98.2012          | 09/17/2019<br>0.00     | 09/17/2019         | A-1+<br>Agency        | 2.62<br>2.51      | 974,514.17<br>981,510.28<br>501.72                                       | 982,012.00<br>0.00<br>982,012.00                                     |
| 313312NG1<br>FEDERAL FARM CREDIT BANKS | 500,000.00<br>97.9490            | 10/22/2019<br>0.00     | 10/22/2019         | A-1+<br>Agency        | 2.68<br>2.53      | 486,703.89<br>489,260.83<br>484.17                                       | 489,745.00<br>0.00<br>489,745.00                                     |
| 313312NS5<br>FEDERAL FARM CREDIT BANKS | 1,000,000.00<br>97.8622          | 11/01/2019<br>0.00     | 11/01/2019         | A-1+<br>Agency        | 2.73<br>2.59      | 973,721.11<br>977,368.89<br>1,253.11                                     | 978,622.00<br>0.00<br>978,622.00                                     |
| 313384FV6<br>FEDERAL HOME LOAN BANKS   | 1,000,000.00<br>99.0608          | 05/20/2019<br>0.00     | 05/20/2019         | A-1+<br>Agency        | 2.48<br>2.44      | 987,681.94<br>990,540.27<br>67.73  | 990,608.00<br>0.00<br>990,608.00                                     |
| 313384LA5<br>FEDERAL HOME LOAN BANKS   | 1,000,000.00<br>98.3403          | 08/29/2019<br>0.00     | 08/29/2019         | A-1+<br>Agency        | 2.66<br>2.51      | 980,641.11<br>982,533.33<br>869.67                                       | 983,403.00<br>0.00<br>983,403.00                                     |
| 313312QL7<br>FEDERAL FARM CREDIT BANKS | 1,000,000.00<br>97.5562          | 12/13/2019<br>0.00     | 12/13/2019         | A-1+<br>Agency        | 2.73<br>2.59      | 973,003.33<br>974,338.33<br>1,223.67                                     | 975,562.00<br>0.00<br>975,562.00                                     |
| ---                                    | 33,542,007.54                    | 06/23/2019             | 06/22/2019         | AA+                   | 2.44              | 33,299,302.32  | 33,360,973.18  |
| ---                                    | 99.4688                          | 1.62                   | ---                | ---                   | 2.67              | 33,391,279.28<br>-30,306.10  | 132,567.70<br>33,493,540.88  |

## LT

| Identifier, Description   | Base Current Units, Market Price | Final Maturity, Coupon | Effective Maturity | Rating, Market Sector | Book Yield, Yield | Base Original Cost, Base Book Value, Base Net Total Unrealized Gain/Loss | Base Market Value, Base Accrued Balance, Base Market Value + Accrued |
|---------------------------|----------------------------------|------------------------|--------------------|-----------------------|-------------------|--|--|
| 05531FAS2<br>BB&T CORP    | 250,000.00<br>99.3021            | 01/15/2020<br>2.45     | 01/15/2020         | A+<br>Financial       | 3.12<br>3.18      | 248,092.50<br>248,302.95<br>-47.70                                       | 248,255.25<br>2,824.31<br>251,079.56                                 |
| 166764AR1<br>CHEVRON CORP | 200,000.00<br>98.9960            | 03/03/2020<br>1.96     | 03/03/2020         | AA<br>Industrial      | 3.00<br>2.89      | 197,310.00<br>197,626.80<br>365.20                                       | 197,992.00<br>1,285.54<br>199,277.54                                 |
| 31680GAD8<br>FITAT 151 A3 | 10,228.54<br>99.9373             | 03/16/2020<br>1.42     | 01/15/2019         | AAA<br>Asset Backed   | 1.51<br>2.83      | 10,218.15<br>10,228.20<br>-6.08  | 10,222.12<br>6.46<br>10,228.58                                       |
| 89237WAD9<br>TAOT 16C A3  | 166,859.14<br>99.3437            | 08/17/2020<br>1.14     | 04/30/2019         | AAA<br>Asset Backed   | 1.67<br>3.15      | 166,155.20<br>166,594.00<br>-829.92                                      | 165,764.09<br>84.54<br>165,848.63                                    |
| 438124AC3<br>HAROT 163 A3 | 132,669.74<br>99.4232            | 05/18/2020<br>1.16     | 04/12/2019         | AAA<br>Asset Backed   | 2.04<br>3.27      | 131,830.19<br>132,332.44<br>-427.89                                      | 131,904.55<br>55.57<br>131,960.12                                    |
| 02587AAJ3<br>AMXCA 171 A  | 500,000.00<br>98.8173            | 02/18/2020<br>1.93     | 02/18/2020         | AAA<br>Asset Backed   | 2.82<br>2.92      | 492,539.06<br>495,139.80<br>-1,053.30                                    | 494,086.50<br>428.89<br>494,515.39                                   |

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# Balance Sheet Classification

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Identifier, Description                  | Base Current Units, Market Price | Final Maturity, Coupon | Effective Maturity | Rating, Market Sector | Book Yield, Yield | Base Original Cost, Base Book Value, Base Net Total Unrealized Gain/Loss | Base Market Value, Base Accrued Balance, Base Market Value + Accrued |
|--|----------------------------------|------------------------|--------------------|-----------------------|-------------------|--|--|
| 055657AC4<br>BMWLT 171 A3                | 374,366.26<br>99.6251            | 05/20/2020<br>1.98     | 05/07/2019         | AAA<br>Asset Backed   | 3.03<br>3.08      | 372,055.72<br>373,230.86<br>-268.06                                      | 372,962.80<br>226.49<br>373,189.29                                   |
| 65478GAD2<br>NAROT 17B A3                | 450,000.00<br>98.8381            | 10/15/2021<br>1.75     | 12/27/2019         | AAA<br>Asset Backed   | 2.73<br>2.96      | 442,212.89<br>443,868.31<br>903.27                                       | 444,771.59<br>350.00<br>445,121.59                                   |
| 65478GAD2<br>NAROT 17B A3                | 300,000.00<br>98.8381            | 10/15/2021<br>1.75     | 12/27/2019         | AAA<br>Asset Backed   | 2.78<br>2.96      | 295,464.84<br>295,714.74<br>799.65                                       | 296,514.39<br>233.33<br>296,747.72                                   |
| 90290AAB3<br>USAOT 171 A2                | 19,398.96<br>99.9378             | 02/18/2020<br>1.54     | 01/15/2019         | AAA<br>Asset Backed   | 1.55<br>2.93      | 19,398.60<br>19,398.95<br>-12.06   | 19,386.90<br>13.28<br>19,400.17                                      |
| 05584PAB3<br>BMWLT 172 A2A               | 170,221.13<br>99.7554            | 02/20/2020<br>1.80     | 03/28/2019         | AAA<br>Asset Backed   | 1.82<br>2.84      | 170,206.26<br>170,218.14<br>-413.45                                      | 169,804.69<br>93.62<br>169,898.31                                    |
| 90331HMK5<br>US BANK NA                  | 700,000.00<br>99.7209            | 01/17/2020<br>2.57     | 01/17/2020         | AA-<br>Financial      | 2.61<br>3.38      | 700,000.00<br>700,000.00<br>-1,953.70                                    | 698,046.30<br>3,803.52<br>701,849.82                                 |
| 47788CAB8<br>JDOT 2018 A2                | 140,860.66<br>99.8106            | 10/15/2020<br>2.42     | 05/22/2019         | AAA<br>Asset Backed   | 2.44<br>2.90      | 140,854.51<br>140,857.53<br>-263.60                                      | 140,593.93<br>151.50<br>140,745.43                                   |
| 369550BA5<br>GENERAL DYNAMICS CORP       | 250,000.00<br>100.0687           | 05/11/2020<br>2.88     | 05/11/2020         | A+<br>Industrial      | 2.97<br>2.86      | 249,620.00<br>249,688.46<br>483.29                                       | 250,171.75<br>998.26<br>251,170.01                                   |
| 931142EF6<br>WALMART INC                 | 700,000.00<br>99.7537            | 06/23/2020<br>2.86     | 06/23/2020         | AA<br>Industrial      | 2.91<br>3.06      | 700,000.00<br>700,000.00<br>-1,724.10                                    | 698,275.90<br>445.47<br>698,721.37                                   |
| 92868LAB7<br>VALET 181 A2A               | 750,000.00<br>99.8651            | 07/20/2021<br>2.81     | 09/19/2019         | AAA<br>Asset Backed   | 2.83<br>3.00      | 749,993.85<br>749,995.35<br>-1,006.95                                    | 748,988.40<br>643.96<br>749,632.36                                   |
| 58772RAB0<br>MBART 181 A2A               | 600,000.00<br>99.8098            | 04/15/2021<br>2.71     | 07/27/2019         | AAA<br>Asset Backed   | 2.73<br>3.04      | 599,979.78<br>599,984.92<br>-1,126.36                                    | 598,858.56<br>722.67<br>599,581.23                                   |
| 02665WCL3<br>AMERICAN HONDA FINANCE CORP | 700,000.00<br>99.6105            | 02/21/2020<br>2.80     | 02/21/2020         | A+<br>Industrial      | 2.76<br>3.46      | 700,686.00<br>700,563.98<br>-3,290.48                                    | 697,273.50<br>2,228.88<br>699,502.38                                 |
| 92348XAB1<br>VZOT 18A A1B                | 800,000.00<br>99.9346            | 04/20/2023<br>2.71     | 04/30/2021         | AAA<br>Asset Backed   | 2.72<br>2.95      | 800,000.00<br>800,000.00<br>-523.36                                      | 799,476.64<br>722.70<br>800,199.34                                   |
| ---                                      | 7,214,604.43                     | 12/21/2020             | 01/29/2020         | AA+                   | 2.73              | 7,186,617.54   | 7,183,349.85   |
| ---                                      | 99.5683                          | 2.42                   | ---                | ---                   | 3.08              | 7,193,745.44<br>-10,395.59   | 15,319.00<br>7,198,668.85  |

## Summary

The information contained in this report represents estimated trade date investment calculations. Certain calculations may not be available for all time periods. Please refer to your custody statement for official portfolio holdings and transactions. Note that certain accounting methods may cause differences between this investment report and your custody statement.

# Balance Sheet Classification

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Identifier, Description | Base Current Units, Market Price | Final Maturity, Coupon | Effective Maturity | Rating, Market Sector | Book Yield, Yield | Base Original Cost, Base Book Value, Base Net Total Unrealized Gain/Loss | Base Market Value, Base Accrued Balance, Base Market Value + Accrued |
|-------------------------|----------------------------------|------------------------|--------------------|-----------------------|-------------------|--|--|
| ---                     | 44,568,630.10                    | 09/07/2019             | 07/16/2019         | AA+                   | 2.48              | 44,282,342.88  | 44,349,253.55  |
| ---                     | 98.4865                          | 1.63                   |                    | ---                   | 2.74              | 44,390,369.21  | 147,886.70   |
|                         |                                  |                        |                    |                       |                   | -41,115.66   | 44,497,140.25  |

\* Grouped by: BS Class 2. \* Groups Sorted by: BS Class 2. \* Weighted by: Base Market Value + Accrued, except Book Yield by Base Book Value + Accrued. \* Holdings  
Displayed by: Lot.

The information contained in this report represents estimated trade date investment calculations. Certain calculations may not be available for all time periods. Please refer to your custody statement for official portfolio holdings and transactions. Note that certain accounting methods may cause differences between this investment report and your custody statement.

# Trading Activity

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

\* Does not Lock Down.

## Buy

| Trade Date,<br>Settle Date | Identifier,<br>Description                                 | Broker/Dealer,<br>Final Maturity,<br>Coupon Rate    | Base Original Units,<br>Base Current Units,<br>Price | Purchased Cost,<br>Base Principal | Base Net Total<br>Realized Gain,<br>Base Accrued Interest | Base Commission,<br>Base Amount |
|----------------------------|--|---|--|-----------------------------------|---|---------------------------------|
| 10/01/2018<br>10/03/2018   | 02665WCL3<br>AMERICAN HONDA FINANCE CORP                   | Citigroup Global Markets (AU)<br>02/21/2020<br>2.46 | 700,000.00<br>700,000.00<br>100.10                   | 0.00<br>700,686.00                | 0.00<br>1,961.37  | 0.00<br>-702,647.37             |
| 11/28/2018<br>11/30/2018   | 037833DH0<br>APPLE INC                                     | MORGAN STANLEY CO<br>11/13/2019<br>1.80             | 500,000.00<br>500,000.00<br>98.93                    | 0.00<br>494,665.00                | 0.00<br>425.00  | 0.00<br>-495,090.00             |
| 11/13/2018<br>11/15/2018   | 05531FAS2<br>BB&T CORP                                     | MarketAxess<br>01/15/2020<br>2.45                   | 250,000.00<br>250,000.00<br>99.24                    | 0.00<br>248,092.50                | 0.00<br>2,041.67  | 0.00<br>-250,134.17             |
| 12/21/2018<br>12/26/2018   | 06051GFE4<br>BANK OF AMERICA CORP                          | TORONTO DOMINION BK<br>04/01/2019<br>3.27           | 740,000.00<br>740,000.00<br>100.19                   | 0.00<br>741,376.40                | 0.00<br>5,773.56  | 0.00<br>-747,149.96             |
| 11/21/2018<br>11/21/2018   | 09702MNP4<br>The Boeing Company                            | Bank of America<br>01/23/2019<br>0.00               | 500,000.00<br>500,000.00<br>99.58                    | 0.00<br>497,882.50                | 0.00<br>0.00  | 0.00<br>-497,882.50             |
| 11/01/2018<br>11/05/2018   | 166764AR1<br>CHEVRON CORP                                  | RAMIREZ & CO INC<br>03/03/2020<br>1.96              | 200,000.00<br>200,000.00<br>98.66                    | 0.00<br>197,310.00                | 0.00<br>675.46  | 0.00<br>-197,985.46             |
| 10/03/2018<br>10/05/2018   | 22546QAC1<br>CREDIT SUISSE FIRST BOSTON NEW<br>YORK BRANCH | Dain Rauscher<br>08/13/2019<br>5.30                 | 650,000.00<br>650,000.00<br>102.08                   | 0.00<br>663,494.00                | 0.00<br>4,976.11  | 0.00<br>-668,470.11             |
| 10/23/2018<br>10/23/2018   | 313312NG1<br>FEDERAL FARM CREDIT BANKS                     | APX Asset<br>10/22/2019<br>0.00                     | 500,000.00<br>500,000.00<br>97.34                    | 0.00<br>486,703.89                | 0.00<br>0.00  | 0.00<br>-486,703.89             |
| 11/13/2018<br>11/13/2018   | 313312NS5<br>FEDERAL FARM CREDIT BANKS                     | FIRST TENNESSEE BANK N A BOND<br>11/01/2019<br>0.00 | 1,000,000.00<br>1,000,000.00<br>97.37                | 0.00<br>973,721.11                | 0.00<br>0.00  | 0.00<br>-973,721.11             |
| 12/14/2018<br>12/14/2018   | 313312QL7<br>FEDERAL FARM CREDIT BANKS                     | FIRST TENNESSEE BANK N A BOND<br>12/13/2019<br>0.00 | 1,000,000.00<br>1,000,000.00<br>97.30                | 0.00<br>973,003.33                | 0.00<br>0.00  | 0.00<br>-973,003.33             |
| 12/18/2018<br>12/18/2018   | 313384CY3<br>FEDERAL HOME LOAN BANKS                       | FIRST TENNESSEE BANK N A BOND<br>03/12/2019<br>0.00 | 750,000.00<br>750,000.00<br>99.44                    | 0.00<br>745,817.50                | 0.00<br>0.00  | 0.00<br>-745,817.50             |
| 11/20/2018<br>11/20/2018   | 313384FV6<br>FEDERAL HOME LOAN BANKS                       | APX Asset<br>05/20/2019<br>0.00                     | 1,000,000.00<br>1,000,000.00<br>98.77                | 0.00<br>987,681.94                | 0.00<br>0.00  | 0.00<br>-987,681.94             |
| 12/06/2018<br>12/06/2018   | 313384LA5<br>FEDERAL HOME LOAN BANKS                       | CastleOak Securities LP<br>08/29/2019<br>0.00       | 1,000,000.00<br>1,000,000.00<br>98.06                | 0.00<br>980,641.11                | 0.00<br>0.00  | 0.00<br>-980,641.11             |
| 10/17/2018<br>10/18/2018   | 3133EFLA3<br>FEDERAL FARM CREDIT BANKS<br>FUNDING CORP     | FIRST TENNESSEE BANK N A BOND<br>10/22/2019<br>1.24 | 500,000.00<br>500,000.00<br>98.53                    | 0.00<br>492,630.00                | 0.00<br>3,031.11  | 0.00<br>-495,661.11             |

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# Trading Activity

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Trade Date,<br>Settle Date | Identifier,<br>Description                               | Broker/Dealer,<br>Final Maturity,<br>Coupon Rate       | Base Original Units,<br>Base Current Units,<br>Price | Purchased Cost,<br>Base Principal | Base Net Total<br>Realized Gain,<br>Base Accrued Interest | Base Commission,<br>Base Amount |
|----------------------------|--|--|--|-----------------------------------|---|---------------------------------|
| 10/05/2018<br>10/10/2018   | 40428HPN6<br>HSBC USA INC (NEW)                          | Hong Kong Shanghai Bank Corp<br>11/13/2019<br>2.38     | 500,000.00<br>500,000.00<br>99.31                    | 0.00<br>496,565.00                | 0.00<br>4,815.97  | 0.00<br>-501,380.97             |
| 12/06/2018<br>12/06/2018   | 48306BP66<br>Kaiser Foundation Hospitals                 | J.P. Morgan Securities Inc. (AU)<br>02/06/2019<br>0.00 | 800,000.00<br>800,000.00<br>99.57                    | 0.00<br>796,555.56                | 0.00<br>0.00  | 0.00<br>-796,555.56             |
| 10/09/2018<br>10/09/2018   | 63763QKG1<br>National Securities Clearing<br>Corporation | J.P. Morgan Securities Inc. (AU)<br>10/16/2018<br>0.00 | 500,000.00<br>500,000.00<br>99.96                    | 0.00<br>499,783.19                | 0.00<br>0.00  | 0.00<br>-499,783.19             |
| 10/16/2018<br>10/16/2018   | 63763QLG0<br>National Securities Clearing<br>Corporation | J.P. Morgan Securities Inc. (AU)<br>11/16/2018<br>0.00 | 500,000.00<br>500,000.00<br>99.81                    | 0.00<br>499,052.78                | 0.00<br>0.00  | 0.00<br>-499,052.78             |
| 11/16/2018<br>11/16/2018   | 63763QN37<br>National Securities Clearing<br>Corporation | Dain Rauscher<br>01/03/2019<br>0.00                    | 500,000.00<br>500,000.00<br>99.68                    | 0.00<br>498,413.33                | 0.00<br>0.00  | 0.00<br>-498,413.33             |
| 11/06/2018<br>11/08/2018   | 65478GAD2<br>NAROT 17B A3                                | INTL FCStone L.P.<br>10/15/2021<br>1.75                | 300,000.00<br>300,000.00<br>98.49                    | 0.00<br>295,464.84                | 0.00<br>335.42  | 0.00<br>-295,800.26             |
| 10/22/2018<br>10/22/2018   | 88602UN89<br>Thunder Bay Funding, LLC                    | CS First Boston (BR)<br>01/08/2019<br>0.00             | 800,000.00<br>800,000.00<br>99.47                    | 0.00<br>795,736.00                | 0.00<br>0.00  | 0.00<br>-795,736.00             |
| 11/27/2018<br>11/28/2018   | 912828U73<br>UNITED STATES TREASURY                      | MORGAN STANLEY CO<br>12/15/2019<br>1.38                | 1,000,000.00<br>1,000,000.00<br>98.58                | 0.00<br>985,781.25                | 0.00<br>6,236.34  | 0.00<br>-992,017.59             |
| 10/02/2018<br>10/10/2018   | 92348XAB1<br>VZOT 18A A1B                                | Bank of America<br>04/20/2023<br>2.52                  | 800,000.00<br>800,000.00<br>100.00                   | 0.00<br>800,000.00                | 0.00<br>0.00  | 0.00<br>-800,000.00             |
| 10/31/2018<br>10/31/2018   | 92512MM30<br>Versailles Commercial Paper LLC             | J.P. Morgan Securities Inc. (AU)<br>12/03/2018<br>0.00 | 750,000.00<br>750,000.00<br>99.78                    | 0.00<br>748,329.38                | 0.00<br>0.00  | 0.00<br>-748,329.38             |
| 10/01/2018<br>10/01/2018   | 94975P405<br>WELLS FRGO GOVERNMENT CL I<br>MMF           | Direct<br>12/31/2018<br>2.03                           | 1,009,866.88<br>1,009,866.88<br>1.00                 | 1,009,866.88<br>1,009,866.88      | 0.00<br>0.00  | 0.00<br>-1,009,866.88           |
| 10/04/2018<br>10/04/2018   | 94975P405<br>WELLS FRGO GOVERNMENT CL I<br>MMF           | Direct<br>12/31/2018<br>2.03                           | 1,000,000.00<br>1,000,000.00<br>1.00                 | 1,000,000.00<br>1,000,000.00      | 0.00<br>0.00  | 0.00<br>-1,000,000.00           |
| 10/09/2018<br>10/09/2018   | 94975P405<br>WELLS FRGO GOVERNMENT CL I<br>MMF           | Direct<br>12/31/2018<br>2.03                           | 500,000.00<br>500,000.00<br>1.00                     | 500,000.00<br>500,000.00          | 0.00<br>0.00  | 0.00<br>-500,000.00             |
| 10/15/2018<br>10/15/2018   | 94975P405<br>WELLS FRGO GOVERNMENT CL I<br>MMF           | Direct<br>12/31/2018<br>2.03                           | 20,504.62<br>20,504.62<br>1.00                       | 20,504.62<br>20,504.62            | 0.00<br>0.00  | 0.00<br>-20,504.62              |
| 10/15/2018<br>10/15/2018   | 94975P405<br>WELLS FRGO GOVERNMENT CL I<br>MMF           | Direct<br>12/31/2018<br>2.03                           | 120,971.58<br>120,971.58<br>1.00                     | 120,971.58<br>120,971.58          | 0.00<br>0.00  | 0.00<br>-120,971.58             |
| 10/16/2018<br>10/16/2018   | 94975P405<br>WELLS FRGO GOVERNMENT CL I<br>MMF           | Direct<br>12/31/2018<br>2.03                           | 206,744.10<br>206,744.10<br>1.00                     | 206,744.10<br>206,744.10          | 0.00<br>0.00  | 0.00<br>-206,744.10             |

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# Trading Activity

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Trade Date,<br>Settle Date | Identifier,<br>Description        | Broker/Dealer,<br>Final Maturity,<br>Coupon Rate | Base Original Units,<br>Base Current Units,<br>Price | Purchased Cost,<br>Base Principal | Base Net Total<br>Realized Gain,<br>Base Accrued Interest | Base Commission,<br>Base Amount |
|----------------------------|-----------------------------------|--|--|-----------------------------------|---|---------------------------------|
| 10/16/2018                 | 94975P405                         | Direct   | 533,628.52   | 533,628.52                        | 0.00  | 0.00                            |
| 10/16/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.03                               | 533,628.52<br>1.00                                   | 533,628.52                        | 0.00  | -533,628.52                     |
| 10/17/2018                 | 94975P405                         | Direct   | 4,402.46   | 4,402.46                          | 0.00  | 0.00                            |
| 10/17/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.03                               | 4,402.46<br>1.00                                     | 4,402.46                          | 0.00  | -4,402.46                       |
| 10/17/2018                 | 94975P405                         | Direct   | 7,875.00   | 7,875.00                          | 0.00  | 0.00                            |
| 10/17/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.03                               | 7,875.00<br>1.00                                     | 7,875.00                          | 0.00  | -7,875.00                       |
| 10/22/2018                 | 94975P405                         | Direct   | 38,538.44  | 38,538.44                         | 0.00  | 0.00                            |
| 10/22/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.03                               | 38,538.44<br>1.00                                    | 38,538.44                         | 0.00  | -38,538.44                      |
| 10/22/2018                 | 94975P405                         | Direct   | 1,001,164.62   | 1,001,164.62                      | 0.00  | 0.00                            |
| 10/22/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.03                               | 1,001,164.62<br>1.00                                 | 1,001,164.62                      | 0.00  | -1,001,164.62                   |
| 10/26/2018                 | 94975P405                         | Direct   | 5,000.00   | 5,000.00                          | 0.00  | 0.00                            |
| 10/26/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.03                               | 5,000.00<br>1.00                                     | 5,000.00                          | 0.00  | -5,000.00                       |
| 10/30/2018                 | 94975P405                         | Direct   | 4,062.50   | 4,062.50                          | 0.00  | 0.00                            |
| 10/30/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.03                               | 4,062.50<br>1.00                                     | 4,062.50                          | 0.00  | -4,062.50                       |
| 10/31/2018                 | 94975P405                         | Direct   | 1,753,750.00   | 1,753,750.00                      | 0.00  | 0.00                            |
| 10/31/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.03                               | 1,753,750.00<br>1.00                                 | 1,753,750.00                      | 0.00  | -1,753,750.00                   |
| 11/01/2018                 | 94975P405                         | Direct   | 13,301.55  | 13,301.55                         | 0.00  | 0.00                            |
| 11/01/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | 13,301.55<br>1.00                                    | 13,301.55                         | 0.00  | -13,301.55                      |
| 11/06/2018                 | 94975P405                         | Direct   | 1,000,000.00   | 1,000,000.00                      | 0.00  | 0.00                            |
| 11/06/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | 1,000,000.00<br>1.00                                 | 1,000,000.00                      | 0.00  | -1,000,000.00                   |
| 11/08/2018                 | 94975P405                         | Direct   | 703,874.28   | 703,874.28                        | 0.00  | 0.00                            |
| 11/08/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | 703,874.28<br>1.00                                   | 703,874.28                        | 0.00  | -703,874.28                     |
| 11/13/2018                 | 94975P405                         | Direct   | 5,904.51   | 5,904.51                          | 0.00  | 0.00                            |
| 11/13/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | 5,904.51<br>1.00                                     | 5,904.51                          | 0.00  | -5,904.51                       |
| 11/13/2018                 | 94975P405                         | Direct   | 6,856.25   | 6,856.25                          | 0.00  | 0.00                            |
| 11/13/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | 6,856.25<br>1.00                                     | 6,856.25                          | 0.00  | -6,856.25                       |
| 11/15/2018                 | 94975P405                         | Direct   | 621.67   | 621.67                            | 0.00  | 0.00                            |
| 11/15/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | 621.67<br>1.00                                       | 621.67                            | 0.00  | -621.67                         |
| 11/16/2018                 | 94975P405                         | Direct   | 1,004,475.00   | 1,004,475.00                      | 0.00  | 0.00                            |
| 11/16/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | 1,004,475.00<br>1.00                                 | 1,004,475.00                      | 0.00  | -1,004,475.00                   |
| 11/19/2018                 | 94975P405                         | Direct   | 29,937.39  | 29,937.39                         | 0.00  | 0.00                            |
| 11/19/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | 29,937.39<br>1.00                                    | 29,937.39                         | 0.00  | -29,937.39                      |

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# Trading Activity

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Trade Date,<br>Settle Date | Identifier,<br>Description        | Broker/Dealer,<br>Final Maturity,<br>Coupon Rate | Base Original Units,<br>Base Current Units,<br>Price | Purchased Cost,<br>Base Principal | Base Net Total<br>Realized Gain,<br>Base Accrued Interest | Base Commission,<br>Base Amount |
|----------------------------|-----------------------------------|--|--|-----------------------------------|---|---------------------------------|
| 11/20/2018                 | 94975P405                         | Direct   | 42,979.57  | 42,979.57                         | 0.00  | 0.00                            |
| 11/20/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | 42,979.57<br>1.00                                    | 42,979.57                         | 0.00  | -42,979.57                      |
| 11/20/2018                 | 94975P405                         | Direct   | 996,299.96   | 996,299.96                        | 0.00  | 0.00                            |
| 11/20/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | 996,299.96<br>1.00                                   | 996,299.96                        | 0.00  | -996,299.96                     |
| 11/27/2018                 | 94975P405                         | Direct   | 6,250.00   | 6,250.00                          | 0.00  | 0.00                            |
| 11/27/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | 6,250.00<br>1.00                                     | 6,250.00                          | 0.00  | -6,250.00                       |
| 11/27/2018                 | 94975P405                         | Direct   | 1,000,000.00   | 1,000,000.00                      | 0.00  | 0.00                            |
| 11/27/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | 1,000,000.00<br>1.00                                 | 1,000,000.00                      | 0.00  | -1,000,000.00                   |
| 12/03/2018                 | 94975P405                         | Direct   | 757,909.60   | 757,909.60                        | 0.00  | 0.00                            |
| 12/03/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.50                               | 757,909.60<br>1.00                                   | 757,909.60                        | 0.00  | -757,909.60                     |
| 12/04/2018                 | 94975P405                         | Direct   | 1,000,000.00   | 1,000,000.00                      | 0.00  | 0.00                            |
| 12/04/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.50                               | 1,000,000.00<br>1.00                                 | 1,000,000.00                      | 0.00  | -1,000,000.00                   |
| 12/06/2018                 | 94975P405                         | Direct   | 1,000,000.00   | 1,000,000.00                      | 0.00  | 0.00                            |
| 12/06/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.50                               | 1,000,000.00<br>1.00                                 | 1,000,000.00                      | 0.00  | -1,000,000.00                   |
| 12/07/2018                 | 94975P405                         | Direct   | 505,125.00   | 505,125.00                        | 0.00  | 0.00                            |
| 12/07/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.50                               | 505,125.00<br>1.00                                   | 505,125.00                        | 0.00  | -505,125.00                     |
| 12/10/2018                 | 94975P405                         | Direct   | 17,500.00  | 17,500.00                         | 0.00  | 0.00                            |
| 12/10/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.50                               | 17,500.00<br>1.00                                    | 17,500.00                         | 0.00  | -17,500.00                      |
| 12/17/2018                 | 94975P405                         | Direct   | 2,717.22   | 2,717.22                          | 0.00  | 0.00                            |
| 12/17/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.50                               | 2,717.22<br>1.00                                     | 2,717.22                          | 0.00  | -2,717.22                       |
| 12/17/2018                 | 94975P405                         | Direct   | 102,119.88   | 102,119.88                        | 0.00  | 0.00                            |
| 12/17/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.50                               | 102,119.88<br>1.00                                   | 102,119.88                        | 0.00  | -102,119.88                     |
| 12/18/2018                 | 94975P405                         | Direct   | 66,519.17  | 66,519.17                         | 0.00  | 0.00                            |
| 12/18/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.50                               | 66,519.17<br>1.00                                    | 66,519.17                         | 0.00  | -66,519.17                      |
| 12/20/2018                 | 94975P405                         | Direct   | 25,054.18  | 25,054.18                         | 0.00  | 0.00                            |
| 12/20/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.50                               | 25,054.18<br>1.00                                    | 25,054.18                         | 0.00  | -25,054.18                      |
| 12/20/2018                 | 94975P405                         | Direct   | 4,103.07   | 4,103.07                          | 0.00  | 0.00                            |
| 12/20/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.50                               | 4,103.07<br>1.00                                     | 4,103.07                          | 0.00  | -4,103.07                       |
| 12/20/2018                 | 94975P405                         | Direct   | 32,414.62  | 32,414.62                         | 0.00  | 0.00                            |
| 12/20/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.50                               | 32,414.62<br>1.00                                    | 32,414.62                         | 0.00  | -32,414.62                      |
| 12/21/2018                 | 94975P405                         | Direct   | 1,006,500.00   | 1,006,500.00                      | 0.00  | 0.00                            |
| 12/21/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.50                               | 1,006,500.00<br>1.00                                 | 1,006,500.00                      | 0.00  | -1,006,500.00                   |

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# Trading Activity

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Trade Date, Settle Date | Identifier, Description        | Broker/Dealer, Final Maturity, Coupon Rate | Base Original Units, Base Current Units, Price | Purchased Cost, Base Principal | Base Net Total Realized Gain, Base Accrued Interest | Base Commission, Base Amount |
|-------------------------|--------------------------------|--|--|--------------------------------|---|------------------------------|
| 12/24/2018              | 94975P405                      | Direct                                     | 4,304.75                                       | 4,304.75                       | 0.00  | 0.00                         |
| 12/24/2018              | WELLS FRGO GOVERNMENT CL I MMF | 12/31/2018<br>2.50                         | 4,304.75<br>1.00                               | 4,304.75                       | 0.00  | -4,304.75                    |
| ---                     | ---                            | ---  | 31,281,276.39                                  | 15,541,276.39                  | 0.00  | 0.00                         |
| ---                     | ---                            | 05/16/2019<br>1.58                         | 31,281,276.39<br>---                           | 31,140,663.00                  | 30,272.01   | -31,170,935.01               |

## Call Redemption

| Trade Date, Settle Date | Identifier, Description      | Broker/Dealer, Final Maturity, Coupon Rate | Base Original Units, Base Current Units, Price | Purchased Cost, Base Principal | Base Net Total Realized Gain, Base Accrued Interest | Base Commission, Base Amount |
|-------------------------|------------------------------|--|--|--------------------------------|---|------------------------------|
| 10/15/2018              | 0258MODZ9                    | Redemption                                 | -700,000.00                                    | 0.00                           | 3.66  | 0.00                         |
| 10/15/2018              | AMERICAN EXPRESS CREDIT CORP | 11/05/2018<br>1.88                         | -700,000.00<br>100.00                          | -700,000.00                    | 0.00  | 700,000.00                   |
| 10/15/2018              | 0258MODZ9                    | Redemption                                 | -700,000.00                                    | 0.00                           | 3.66  | 0.00                         |
| 10/15/2018              | AMERICAN EXPRESS CREDIT CORP | 11/05/2018<br>1.88                         | -700,000.00<br>100.00                          | -700,000.00                    | 0.00  | 700,000.00                   |

## Maturity

| Trade Date, Settle Date | Identifier, Description         | Broker/Dealer, Final Maturity, Coupon Rate | Base Original Units, Base Current Units, Price | Purchased Cost, Base Principal | Base Net Total Realized Gain, Base Accrued Interest | Base Commission, Base Amount |
|-------------------------|---------------------------------|--|--|--------------------------------|---|------------------------------|
| 12/07/2018              | 06050TME9                       | Maturity                                   | -500,000.00                                    | 0.00                           | 0.00  | 0.00                         |
| 12/07/2018              | BANK OF AMERICA NA              | 12/07/2018<br>2.05                         | -500,000.00<br>100.00                          | -500,000.00                    | 0.00  | 500,000.00                   |
| 11/16/2018              | 166764BA7                       | Maturity                                   | -500,000.00                                    | 0.00                           | 0.00  | 0.00                         |
| 11/16/2018              | CHEVRON CORP                    | 11/16/2018<br>1.79                         | -500,000.00<br>100.00                          | -500,000.00                    | 0.00  | 500,000.00                   |
| 12/04/2018              | 313385R81                       | Maturity                                   | -1,000,000.00                                  | 0.00                           | 0.00  | 0.00                         |
| 12/04/2018              | FEDERAL HOME LOAN BANKS         | 12/04/2018<br>0.00                         | -1,000,000.00<br>100.00                        | -1,000,000.00                  | 0.00  | 1,000,000.00                 |
| 10/04/2018              | 313397J36                       | Maturity                                   | -1,000,000.00                                  | 0.00                           | 0.00  | 0.00                         |
| 10/04/2018              | FEDERAL HOME LOAN MORTGAGE CORP | 10/04/2018<br>0.00                         | -1,000,000.00<br>100.00                        | -1,000,000.00                  | 0.00  | 1,000,000.00                 |
| 11/06/2018              | 313397N49                       | Maturity                                   | -1,000,000.00                                  | 0.00                           | 0.00  | 0.00                         |
| 11/06/2018              | FEDERAL HOME LOAN MORTGAGE CORP | 11/06/2018<br>0.00                         | -1,000,000.00<br>100.00                        | -1,000,000.00                  | 0.00  | 1,000,000.00                 |
| 11/20/2018              | 313397Q20                       | Maturity                                   | -1,000,000.00                                  | 0.00                           | 0.00  | 0.00                         |
| 11/20/2018              | FEDERAL HOME LOAN MORTGAGE CORP | 11/20/2018<br>0.00                         | -1,000,000.00<br>100.00                        | -1,000,000.00                  | 0.00  | 1,000,000.00                 |
| 12/06/2018              | 313397S28                       | Maturity                                   | -1,000,000.00                                  | 0.00                           | 0.00  | 0.00                         |
| 12/06/2018              | FEDERAL HOME LOAN MORTGAGE CORP | 12/06/2018<br>0.00                         | -1,000,000.00<br>100.00                        | -1,000,000.00                  | 0.00  | 1,000,000.00                 |

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# Trading Activity

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Trade Date, Settle Date | Identifier, Description                  | Broker/Dealer, Final Maturity, Coupon Rate | Base Original Units, Base Current Units, Price | Purchased Cost, Base Principal | Base Net Total Realized Gain, Base Accrued Interest | Base Commission, Base Amount |
|-------------------------|--|--|--|--------------------------------|---|------------------------------|
| 12/21/2018              | 3133EHZG1                                | Maturity                                   | -1,000,000.00                                  | 0.00                           | 0.00  | 0.00                         |
| 12/21/2018              | FEDERAL FARM CREDIT BANKS FUNDING CORP   | 12/21/2018<br>1.30                         | -1,000,000.00<br>100.00                        | -1,000,000.00                  | 0.00  | 1,000,000.00                 |
| 11/27/2018              | 45950VHE9                                | Maturity                                   | -1,000,000.00                                  | 0.00                           | 0.00  | 0.00                         |
| 11/27/2018              | INTERNATIONAL FINANCE CORP               | 11/27/2018<br>1.25                         | -1,000,000.00<br>100.00                        | -1,000,000.00                  | 0.00  | 1,000,000.00                 |
| 10/09/2018              | 63763QK97                                | Maturity                                   | -500,000.00                                    | 0.00                           | 0.00  | 0.00                         |
| 10/09/2018              | National Securities Clearing Corporation | 10/09/2018<br>0.00                         | -500,000.00<br>100.00                          | -500,000.00                    | 0.00  | 500,000.00                   |
| 10/16/2018              | 63763QKG1                                | Maturity                                   | -500,000.00                                    | 0.00                           | 0.00  | 0.00                         |
| 10/16/2018              | National Securities Clearing Corporation | 10/16/2018<br>0.00                         | -500,000.00<br>100.00                          | -500,000.00                    | 0.00  | 500,000.00                   |
| 11/16/2018              | 63763QLG0                                | Maturity                                   | -500,000.00                                    | 0.00                           | 0.00  | 0.00                         |
| 11/16/2018              | National Securities Clearing Corporation | 11/16/2018<br>0.00                         | -500,000.00<br>100.00                          | -500,000.00                    | 0.00  | 500,000.00                   |
| 10/22/2018              | 88602UKN9                                | Maturity                                   | -1,000,000.00                                  | 0.00                           | 0.00  | 0.00                         |
| 10/22/2018              | Thunder Bay Funding, LLC                 | 10/22/2018<br>0.00                         | -1,000,000.00<br>100.00                        | -1,000,000.00                  | 0.00  | 1,000,000.00                 |
| 10/31/2018              | 912828T83                                | Maturity                                   | -1,000,000.00                                  | 0.00                           | 0.00  | 0.00                         |
| 10/31/2018              | UNITED STATES TREASURY                   | 10/31/2018<br>0.75                         | -1,000,000.00<br>100.00                        | -1,000,000.00                  | 0.00  | 1,000,000.00                 |
| 10/31/2018              | 92512MKX6                                | Maturity                                   | -750,000.00                                    | 0.00                           | 0.00  | 0.00                         |
| 10/31/2018              | Versailles Commercial Paper LLC          | 10/31/2018<br>0.00                         | -750,000.00<br>100.00                          | -750,000.00                    | 0.00  | 750,000.00                   |
| 12/03/2018              | 92512MM30                                | Maturity                                   | -750,000.00                                    | 0.00                           | 0.00  | 0.00                         |
| 12/03/2018              | Versailles Commercial Paper LLC          | 12/03/2018<br>0.00                         | -750,000.00<br>100.00                          | -750,000.00                    | 0.00  | 750,000.00                   |
| ---                     | ---                                      | Maturity                                   | -13,000,000.00                                 | 0.00                           | 0.00  | 0.00                         |
| ---                     | ---                                      | 11/14/2018<br>0.40                         | -13,000,000.00<br>100.00                       | -13,000,000.00                 | 0.00  | 13,000,000.00                |

## Principal Paydown

| Trade Date, Settle Date | Identifier, Description | Broker/Dealer, Final Maturity, Coupon Rate | Base Original Units, Base Current Units, Price | Purchased Cost, Base Principal | Base Net Total Realized Gain, Base Accrued Interest | Base Commission, Base Amount |
|-------------------------|-------------------------|--|--|--------------------------------|---|------------------------------|
| 10/20/2018              | 055657AB6               | Direct                                     | 0.00   | 0.00                           | 0.00  | 0.00                         |
| 10/20/2018              | BMWLT 171 A2            | 07/22/2019<br>1.64                         | -15,725.20<br>---                              | -15,725.20                     | 0.00  | 15,725.20                    |
| 11/20/2018              | 055657AB6               | Direct                                     | 0.00   | 0.00                           | 0.00  | 0.00                         |
| 11/20/2018              | BMWLT 171 A2            | 07/22/2019<br>1.64                         | -15,562.08<br>---                              | -15,562.08                     | 0.00  | 15,562.08                    |
| 12/20/2018              | 055657AB6               | Direct                                     | 0.00   | 0.00                           | 0.00  | 0.00                         |
| 12/20/2018              | BMWLT 171 A2            | 07/22/2019<br>1.64                         | -3,605.03<br>---                               | -3,605.03                      | 0.00  | 3,605.03                     |
| 12/20/2018              | 055657AC4               | Direct                                     | 0.00   | 0.00                           | 0.00  | 0.00                         |
| 12/20/2018              | BMWLT 171 A3            | 05/20/2020<br>1.98                         | -25,633.74<br>---                              | -25,633.74                     | 0.00  | 25,633.74                    |

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# Trading Activity

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Trade Date,<br>Settle Date | Identifier,<br>Description | Broker/Dealer,<br>Final Maturity,<br>Coupon Rate | Base Original Units,<br>Base Current Units,<br>Price | Purchased Cost,<br>Base Principal | Base Net Total<br>Realized Gain,<br>Base Accrued Interest | Base Commission,<br>Base Amount |
|----------------------------|----------------------------|--|--|-----------------------------------|---|---------------------------------|
| 10/20/2018                 | 05584PAB3                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 10/20/2018                 | BMWLT 172 A2A              | 02/20/2020<br>1.80                               | -22,401.51<br>---                                    | -22,401.51                        | 0.00  | 22,401.51                       |
| 11/20/2018                 | 05584PAB3                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 11/20/2018                 | BMWLT 172 A2A              | 02/20/2020<br>1.80                               | -25,304.62<br>---                                    | -25,304.62                        | 0.00  | 25,304.62                       |
| 12/20/2018                 | 05584PAB3                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 12/20/2018                 | BMWLT 172 A2A              | 02/20/2020<br>1.80                               | -24,756.78<br>---                                    | -24,756.78                        | 0.00  | 24,756.78                       |
| 10/15/2018                 | 31680GAD8                  | Direct   | 0.00   | 0.00                              | -0.01   | 0.00                            |
| 10/15/2018                 | FITAT 151 A3               | 03/16/2020<br>1.42                               | -33,508.33<br>---                                    | -33,508.32                        | 0.00  | 33,508.32                       |
| 11/15/2018                 | 31680GAD8                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 11/15/2018                 | FITAT 151 A3               | 03/16/2020<br>1.42                               | -35,394.53<br>---                                    | -35,394.53                        | 0.00  | 35,394.53                       |
| 12/15/2018                 | 31680GAD8                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 12/15/2018                 | FITAT 151 A3               | 03/16/2020<br>1.42                               | -32,144.71<br>---                                    | -32,144.71                        | 0.00  | 32,144.71                       |
| 10/18/2018                 | 438124AC3                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 10/18/2018                 | HAROT 163 A3               | 05/18/2020<br>1.16                               | -20,748.59<br>---                                    | -20,748.59                        | 0.00  | 20,748.59                       |
| 11/18/2018                 | 438124AC3                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 11/18/2018                 | HAROT 163 A3               | 05/18/2020<br>1.16                               | -21,107.02<br>---                                    | -21,107.02                        | 0.00  | 21,107.02                       |
| 12/18/2018                 | 438124AC3                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 12/18/2018                 | HAROT 163 A3               | 05/18/2020<br>1.16                               | -19,881.76<br>---                                    | -19,881.76                        | 0.00  | 19,881.76                       |
| 11/15/2018                 | 47788CAB8                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 11/15/2018                 | JDOT 2018 A2               | 10/15/2020<br>2.42                               | -5,093.90<br>---                                     | -5,093.90                         | 0.00  | 5,093.90                        |
| 12/15/2018                 | 47788CAB8                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 12/15/2018                 | JDOT 2018 A2               | 10/15/2020<br>2.42                               | -14,045.44<br>---                                    | -14,045.44                        | 0.00  | 14,045.44                       |
| 10/15/2018                 | 58769DAB6                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 10/15/2018                 | MBALT 17A A2A              | 08/15/2019<br>1.53                               | -20,396.83<br>---                                    | -20,396.83                        | 0.00  | 20,396.83                       |
| 11/15/2018                 | 58769DAB6                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 11/15/2018                 | MBALT 17A A2A              | 08/15/2019<br>1.53                               | -24,888.23<br>---                                    | -24,888.23                        | 0.00  | 24,888.23                       |
| 12/15/2018                 | 58769DAB6                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 12/15/2018                 | MBALT 17A A2A              | 08/15/2019<br>1.53                               | -26,245.91<br>---                                    | -26,245.91                        | 0.00  | 26,245.91                       |
| 10/15/2018                 | 65477XAD6                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 10/15/2018                 | NALT 16B A3                | 07/15/2019<br>1.50                               | -50,753.68<br>---                                    | -50,753.68                        | 0.00  | 50,753.68                       |
| 11/15/2018                 | 65477XAD6                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 11/15/2018                 | NALT 16B A3                | 07/15/2019<br>1.50                               | -54,898.85<br>---                                    | -54,898.85                        | 0.00  | 54,898.85                       |

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# Trading Activity

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Trade Date,<br>Settle Date | Identifier,<br>Description | Broker/Dealer,<br>Final Maturity,<br>Coupon Rate | Base Original Units,<br>Base Current Units,<br>Price | Purchased Cost,<br>Base Principal | Base Net Total<br>Realized Gain,<br>Base Accrued Interest | Base Commission,<br>Base Amount |
|----------------------------|----------------------------|--|--|-----------------------------------|---|---------------------------------|
| 12/15/2018                 | 65477XAD6                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 12/15/2018                 | NALT 16B A3                | 07/15/2019<br>1.50                               | -37,704.87<br>---                                    | -37,704.87                        | 0.00  | 37,704.87                       |
| 10/15/2018                 | 89237WAD9                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 10/15/2018                 | TAOT 16C A3                | 08/17/2020<br>1.14                               | -20,998.61<br>---                                    | -20,998.61                        | 0.00  | 20,998.61                       |
| 11/15/2018                 | 89237WAD9                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 11/15/2018                 | TAOT 16C A3                | 08/17/2020<br>1.14                               | -22,346.43<br>---                                    | -22,346.43                        | 0.00  | 22,346.43                       |
| 12/15/2018                 | 89237WAD9                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 12/15/2018                 | TAOT 16C A3                | 08/17/2020<br>1.14                               | -19,969.36<br>---                                    | -19,969.36                        | 0.00  | 19,969.36                       |
| 10/15/2018                 | 90290AAB3                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 10/15/2018                 | USAOT 171 A2               | 02/18/2020<br>1.54                               | -22,147.15<br>---                                    | -22,147.15                        | 0.00  | 22,147.15                       |
| 11/15/2018                 | 90290AAB3                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 11/15/2018                 | USAOT 171 A2               | 02/18/2020<br>1.54                               | -24,145.19<br>---                                    | -24,145.19                        | 0.00  | 24,145.19                       |
| 12/15/2018                 | 90290AAB3                  | Direct   | 0.00   | 0.00                              | 0.00  | 0.00                            |
| 12/15/2018                 | USAOT 171 A2               | 02/18/2020<br>1.54                               | -21,596.29<br>---                                    | -21,596.29                        | 0.00  | 21,596.29                       |
| ---                        | ---                        | Direct   | 0.00   | 0.00                              | 0.02  | 0.00                            |
| ---                        | ---                        | 01/11/2020<br>1.51                               | -661,004.61<br>---                                   | -661,004.63                       | 0.00  | 661,004.63                      |

## Sell

| Trade Date,<br>Settle Date | Identifier,<br>Description        | Broker/Dealer,<br>Final Maturity,<br>Coupon Rate | Base Original Units,<br>Base Current Units,<br>Price | Purchased Cost,<br>Base Principal | Base Net Total<br>Realized Gain,<br>Base Accrued Interest | Base Commission,<br>Base Amount |
|----------------------------|-----------------------------------|--|--|-----------------------------------|---|---------------------------------|
| 10/03/2018                 | 94975P405                         | Direct   | -702,647.37  | -702,647.37                       | 0.00  | 0.00                            |
| 10/03/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.03                               | -702,647.37<br>1.00                                  | -702,647.37                       | 0.00  | 702,647.37                      |
| 10/05/2018                 | 94975P405                         | Direct   | -668,470.11  | -668,470.11                       | 0.00  | 0.00                            |
| 10/05/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.03                               | -668,470.11<br>1.00                                  | -668,470.11                       | 0.00  | 668,470.11                      |
| 10/09/2018                 | 94975P405                         | Direct   | -499,783.19  | -499,783.19                       | 0.00  | 0.00                            |
| 10/09/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.03                               | -499,783.19<br>1.00                                  | -499,783.19                       | 0.00  | 499,783.19                      |
| 10/10/2018                 | 94975P405                         | Direct   | -1,301,380.97  | -1,301,380.97                     | 0.00  | 0.00                            |
| 10/10/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.03                               | -1,301,380.97<br>1.00                                | -1,301,380.97                     | 0.00  | 1,301,380.97                    |
| 10/16/2018                 | 94975P405                         | Direct   | -8,750.00  | -8,750.00                         | 0.00  | 0.00                            |
| 10/16/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.03                               | -8,750.00<br>1.00                                    | -8,750.00                         | 0.00  | 8,750.00                        |
| 10/18/2018                 | 94975P405                         | Direct   | -474,724.59  | -474,724.59                       | 0.00  | 0.00                            |
| 10/18/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.03                               | -474,724.59<br>1.00                                  | -474,724.59                       | 0.00  | 474,724.59                      |

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# Trading Activity

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Trade Date,<br>Settle Date | Identifier,<br>Description        | Broker/Dealer,<br>Final Maturity,<br>Coupon Rate | Base Original Units,<br>Base Current Units,<br>Price | Purchased Cost,<br>Base Principal | Base Net Total<br>Realized Gain,<br>Base Accrued Interest | Base Commission,<br>Base Amount |
|----------------------------|-----------------------------------|--|--|-----------------------------------|---|---------------------------------|
| 10/22/2018                 | 94975P405                         | Direct   | -795,736.00  | -795,736.00                       | 0.00  | 0.00                            |
| 10/22/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.03                               | -795,736.00<br>1.00                                  | -795,736.00                       | 0.00  | 795,736.00                      |
| 10/23/2018                 | 94975P405                         | Direct   | -486,703.89  | -486,703.89                       | 0.00  | 0.00                            |
| 10/23/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.03                               | -486,703.89<br>1.00                                  | -486,703.89                       | 0.00  | 486,703.89                      |
| 10/26/2018                 | 94975P405                         | Direct   | -221,626.98  | -221,626.98                       | 0.00  | 0.00                            |
| 10/26/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.03                               | -221,626.98<br>1.00                                  | -221,626.98                       | 0.00  | 221,626.98                      |
| 10/31/2018                 | 94975P405                         | Direct   | -748,329.38  | -748,329.38                       | 0.00  | 0.00                            |
| 10/31/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.03                               | -748,329.38<br>1.00                                  | -748,329.38                       | 0.00  | 748,329.38                      |
| 11/05/2018                 | 94975P405                         | Direct   | -197,985.46  | -197,985.46                       | 0.00  | 0.00                            |
| 11/05/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | -197,985.46<br>1.00                                  | -197,985.46                       | 0.00  | 197,985.46                      |
| 11/07/2018                 | 94975P405                         | Direct   | -703,874.28  | -703,874.28                       | 0.00  | 0.00                            |
| 11/07/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | -703,874.28<br>1.00                                  | -703,874.28                       | 0.00  | 703,874.28                      |
| 11/08/2018                 | 94975P405                         | Direct   | -295,800.26  | -295,800.26                       | 0.00  | 0.00                            |
| 11/08/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | -295,800.26<br>1.00                                  | -295,800.26                       | 0.00  | 295,800.26                      |
| 11/13/2018                 | 94975P405                         | Direct   | -973,721.11  | -973,721.11                       | 0.00  | 0.00                            |
| 11/13/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | -973,721.11<br>1.00                                  | -973,721.11                       | 0.00  | 973,721.11                      |
| 11/15/2018                 | 94975P405                         | Direct   | -73,990.59   | -73,990.59                        | 0.00  | 0.00                            |
| 11/15/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | -73,990.59<br>1.00                                   | -73,990.59                        | 0.00  | 73,990.59                       |
| 11/16/2018                 | 94975P405                         | Direct   | -498,413.33  | -498,413.33                       | 0.00  | 0.00                            |
| 11/16/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | -498,413.33<br>1.00                                  | -498,413.33                       | 0.00  | 498,413.33                      |
| 11/20/2018                 | 94975P405                         | Direct   | -987,681.94  | -987,681.94                       | 0.00  | 0.00                            |
| 11/20/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | -987,681.94<br>1.00                                  | -987,681.94                       | 0.00  | 987,681.94                      |
| 11/21/2018                 | 94975P405                         | Direct   | -493,577.06  | -493,577.06                       | 0.00  | 0.00                            |
| 11/21/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | -493,577.06<br>1.00                                  | -493,577.06                       | 0.00  | 493,577.06                      |
| 11/28/2018                 | 94975P405                         | Direct   | -985,142.59  | -985,142.59                       | 0.00  | 0.00                            |
| 11/28/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | -985,142.59<br>1.00                                  | -985,142.59                       | 0.00  | 985,142.59                      |
| 11/30/2018                 | 94975P405                         | Direct   | -495,090.00  | -495,090.00                       | 0.00  | 0.00                            |
| 11/30/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.07                               | -495,090.00<br>1.00                                  | -495,090.00                       | 0.00  | 495,090.00                      |
| 12/06/2018                 | 94975P405                         | Direct   | -980,641.11  | -980,641.11                       | 0.00  | 0.00                            |
| 12/06/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.50                               | -980,641.11<br>1.00                                  | -980,641.11                       | 0.00  | 980,641.11                      |
| 12/06/2018                 | 94975P405                         | Direct   | -796,555.56  | -796,555.56                       | 0.00  | 0.00                            |
| 12/06/2018                 | WELLS FRGO GOVERNMENT CL I<br>MMF | 12/31/2018<br>2.50                               | -796,555.56<br>1.00                                  | -796,555.56                       | 0.00  | 796,555.56                      |

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# Trading Activity

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Trade Date, Settle Date | Identifier, Description        | Broker/Dealer, Final Maturity, Coupon Rate | Base Original Units, Base Current Units, Price | Purchased Cost, Base Principal | Base Net Total Realized Gain, Base Accrued Interest | Base Commission, Base Amount |
|-------------------------|--------------------------------|--|--|--------------------------------|---|------------------------------|
| 12/14/2018              | 94975P405                      | Direct                                     | -973,003.33                                    | -973,003.33                    | 0.00  | 0.00                         |
| 12/14/2018              | WELLS FRGO GOVERNMENT CL I MMF | 12/31/2018<br>2.50                         | -973,003.33<br>1.00                            | -973,003.33                    | 0.00  | 973,003.33                   |
| 12/18/2018              | 94975P405                      | Direct                                     | -731,459.67                                    | -731,459.67                    | 0.00  | 0.00                         |
| 12/18/2018              | WELLS FRGO GOVERNMENT CL I MMF | 12/31/2018<br>2.50                         | -731,459.67<br>1.00                            | -731,459.67                    | 0.00  | 731,459.67                   |
| 12/26/2018              | 94975P405                      | Direct                                     | -747,149.96                                    | -747,149.96                    | 0.00  | 0.00                         |
| 12/26/2018              | WELLS FRGO GOVERNMENT CL I MMF | 12/31/2018<br>2.50                         | -747,149.96<br>1.00                            | -747,149.96                    | 0.00  | 747,149.96                   |
| ---                     | 94975P405                      | Direct                                     | -15,842,238.73                                 | -15,842,238.73                 | 0.00  | 0.00                         |
| ---                     | WELLS FRGO GOVERNMENT CL I MMF | 12/31/2018<br>2.17                         | -15,842,238.73<br>1.00                         | -15,842,238.73                 | 0.00  | 15,842,238.73                |

## Summary

| Trade Date, Settle Date | Identifier, Description | Broker/Dealer, Final Maturity, Coupon Rate | Base Original Units, Base Current Units, Price | Purchased Cost, Base Principal | Base Net Total Realized Gain, Base Accrued Interest | Base Commission, Base Amount |
|-------------------------|-------------------------|--|--|--------------------------------|---|------------------------------|
| ---                     | ---                     | ---  | 1,739,037.66                                   | -300,962.34                    | 3.68  | 0.00                         |
| ---                     | ---                     | 03/03/2019<br>1.48                         | 1,078,033.05<br>---                            | 937,419.64                     | 30,272.01   | -967,691.65                  |

\* Grouped by: Transaction Type. \* Groups Sorted by: Transaction Type. \* Showing transactions with Trade Date within selected date range. \* Weighted by: Absolute Value of Base Principal. \* MMF transactions are expanded.

\* The Transaction Detail/Trading Activity reports provide our most up-to-date transactional details. As such, these reports are subject to change even after the other reports on the website have been locked down. While these reports can be useful tools in understanding recent activity, due to their dynamic nature we do not recommend using them for booking journal entries or reconciliation.

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# Transaction Detail

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

Base Currency: USD  
 01 October 2018 to 31 December 2018

\* Does not Lock Down.

## Receivable

| Entry Date, Settle Date  | Transaction Type   | Base Current Units | Identifier, Description                     | Coupon Rate | Final Maturity | Price | Base Amount |
|--------------------------|--------------------|--------------------|---|-------------|----------------|-------|-------------|
| 12/31/2018<br>12/31/2018 | Money Market Funds | 0.00               | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.50        | 12/31/2018     | ---   | 1,762.40    |
| 12/31/2018<br>12/31/2018 | Money Market Funds | 0.00               | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.50        | 12/31/2018     | ---   | 1,762.40    |

## Settled

| Entry Date, Settle Date  | Transaction Type  | Base Current Units | Identifier, Description                                   | Coupon Rate | Final Maturity | Price  | Base Amount |
|--------------------------|-------------------|--------------------|---|-------------|----------------|--------|-------------|
| 12/01/2018<br>12/01/2018 | Coupon            | 0.00               | 010831DL6<br>ALAMEDA CNTY CALIF JT PWRS AUTH<br>LEASE REV | 2.48        | 06/01/2019     | ---    | 6,212.50    |
| 10/15/2018<br>10/15/2018 | Coupon            | 0.00               | 02587AAJ3<br>AMXCA 171 A                                  | 1.93        | 02/18/2020     | ---    | 804.17      |
| 11/15/2018<br>11/15/2018 | Coupon            | 0.00               | 02587AAJ3<br>AMXCA 171 A                                  | 1.93        | 02/18/2020     | ---    | 804.17      |
| 12/15/2018<br>12/15/2018 | Coupon            | 0.00               | 02587AAJ3<br>AMXCA 171 A                                  | 1.93        | 02/18/2020     | ---    | 804.17      |
| 10/15/2018<br>10/15/2018 | Coupon            | 0.00               | 0258MODZ9<br>AMERICAN EXPRESS CREDIT CORP                 | 1.88        | 11/05/2018     | ---    | 5,796.88    |
| 10/15/2018<br>10/15/2018 | Call Redemption   | -700,000.00        | 0258MODZ9<br>AMERICAN EXPRESS CREDIT CORP                 | 1.88        | 11/05/2018     | 100.00 | 700,000.00  |
| 10/01/2018<br>10/03/2018 | Buy               | 700,000.00         | 02665WCL3<br>AMERICAN HONDA FINANCE CORP                  | 2.46        | 02/21/2020     | 100.10 | -702,647.37 |
| 11/21/2018<br>11/21/2018 | Coupon            | 0.00               | 02665WCL3<br>AMERICAN HONDA FINANCE CORP                  | 2.80        | 02/21/2020     | ---    | 4,305.44    |
| 11/28/2018<br>11/30/2018 | Buy               | 500,000.00         | 037833DH0<br>APPLE INC                                    | 1.80        | 11/13/2019     | 98.93  | -495,090.00 |
| 11/13/2018<br>11/15/2018 | Buy               | 250,000.00         | 05531FAS2<br>BB&T CORP                                    | 2.45        | 01/15/2020     | 99.24  | -250,134.17 |
| 10/20/2018<br>10/20/2018 | Coupon            | 0.00               | 055657AB6<br>BMWLT 171 A2                                 | 1.64        | 07/22/2019     | ---    | 47.69       |
| 10/20/2018<br>10/20/2018 | Principal Paydown | -15,725.20         | 055657AB6<br>BMWLT 171 A2                                 | 1.64        | 07/22/2019     | ---    | 15,725.20   |
| 11/20/2018<br>11/20/2018 | Coupon            | 0.00               | 055657AB6<br>BMWLT 171 A2                                 | 1.64        | 07/22/2019     | ---    | 26.20       |
| 11/20/2018<br>11/20/2018 | Principal Paydown | -15,562.08         | 055657AB6<br>BMWLT 171 A2                                 | 1.64        | 07/22/2019     | ---    | 15,562.08   |
| 12/20/2018<br>12/20/2018 | Coupon            | 0.00               | 055657AB6<br>BMWLT 171 A2                                 | 1.64        | 07/22/2019     | ---    | 4.93        |
| 12/20/2018<br>12/20/2018 | Principal Paydown | -3,605.03          | 055657AB6<br>BMWLT 171 A2                                 | 1.64        | 07/22/2019     | ---    | 3,605.03    |

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# Transaction Detail

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

Base Currency: USD  
 01 October 2018 to 31 December 2018

| Entry Date, Settle Date  | Transaction Type  | Base Current Units | Identifier, Description                   | Coupon Rate | Final Maturity | Price  | Base Amount |
|--------------------------|-------------------|--------------------|---|-------------|----------------|--------|-------------|
| 10/20/2018<br>10/20/2018 | Coupon            | 0.00               | 055657AC4<br>BMWLT 171 A3                 | 1.98        | 05/20/2020     | ---    | 660.00      |
| 11/20/2018<br>11/20/2018 | Coupon            | 0.00               | 055657AC4<br>BMWLT 171 A3                 | 1.98        | 05/20/2020     | ---    | 660.00      |
| 12/20/2018<br>12/20/2018 | Coupon            | 0.00               | 055657AC4<br>BMWLT 171 A3                 | 1.98        | 05/20/2020     | ---    | 660.00      |
| 12/20/2018<br>12/20/2018 | Principal Paydown | -25,633.74         | 055657AC4<br>BMWLT 171 A3                 | 1.98        | 05/20/2020     | ---    | 25,633.74   |
| 10/20/2018<br>10/20/2018 | Coupon            | 0.00               | 05584PAB3<br>BMWLT 172 A2A                | 1.80        | 02/20/2020     | ---    | 364.03      |
| 10/20/2018<br>10/20/2018 | Principal Paydown | -22,401.51         | 05584PAB3<br>BMWLT 172 A2A                | 1.80        | 02/20/2020     | ---    | 22,401.51   |
| 11/20/2018<br>11/20/2018 | Coupon            | 0.00               | 05584PAB3<br>BMWLT 172 A2A                | 1.80        | 02/20/2020     | ---    | 330.42      |
| 11/20/2018<br>11/20/2018 | Principal Paydown | -25,304.62         | 05584PAB3<br>BMWLT 172 A2A                | 1.80        | 02/20/2020     | ---    | 25,304.62   |
| 12/20/2018<br>12/20/2018 | Coupon            | 0.00               | 05584PAB3<br>BMWLT 172 A2A                | 1.80        | 02/20/2020     | ---    | 292.47      |
| 12/20/2018<br>12/20/2018 | Principal Paydown | -24,756.78         | 05584PAB3<br>BMWLT 172 A2A                | 1.80        | 02/20/2020     | ---    | 24,756.78   |
| 12/07/2018<br>12/07/2018 | Coupon            | 0.00               | 06050TME9<br>BANK OF AMERICA NA           | 2.05        | 12/07/2018     | ---    | 5,125.00    |
| 12/07/2018<br>12/07/2018 | Maturity          | -500,000.00        | 06050TME9<br>BANK OF AMERICA NA           | 2.05        | 12/07/2018     | 100.00 | 500,000.00  |
| 12/21/2018<br>12/26/2018 | Buy               | 740,000.00         | 06051GFE4<br>BANK OF AMERICA CORP         | 3.27        | 04/01/2019     | 100.19 | -747,149.96 |
| 11/15/2018<br>11/15/2018 | Coupon            | 0.00               | 06406HCU1<br>BANK OF NEW YORK MELLON CORP | 2.20        | 05/15/2019     | ---    | 2,750.00    |
| 11/10/2018<br>11/10/2018 | Coupon            | 0.00               | 07330NAL9<br>BRANCH BANKING AND TRUST CO  | 1.45        | 05/10/2019     | ---    | 3,262.50    |
| 12/10/2018<br>12/10/2018 | Coupon            | 0.00               | 09247XAE1<br>BLACKROCK INC                | 5.00        | 12/10/2019     | ---    | 17,500.00   |
| 11/21/2018<br>11/21/2018 | Buy               | 500,000.00         | 09702MNP4<br>The Boeing Company           | 0.00        | 01/23/2019     | 99.58  | -497,882.50 |
| 10/15/2018<br>10/15/2018 | Coupon            | 0.00               | 14041NFC0<br>COMET 161 A                  | 2.73        | 04/15/2019     | ---    | 1,217.27    |
| 11/15/2018<br>11/15/2018 | Coupon            | 0.00               | 14041NFC0<br>COMET 161 A                  | 2.76        | 04/15/2019     | ---    | 1,410.24    |
| 12/17/2018<br>12/17/2018 | Coupon            | 0.00               | 14041NFC0<br>COMET 161 A                  | 2.91        | 04/15/2019     | ---    | 1,470.13    |
| 10/15/2018<br>10/15/2018 | Coupon            | 0.00               | 14041NFE6<br>COMET 163 A                  | 1.34        | 06/17/2019     | ---    | 279.17      |
| 11/15/2018<br>11/15/2018 | Coupon            | 0.00               | 14041NFE6<br>COMET 163 A                  | 1.34        | 06/17/2019     | ---    | 279.17      |
| 12/15/2018<br>12/15/2018 | Coupon            | 0.00               | 14041NFE6<br>COMET 163 A                  | 1.34        | 06/17/2019     | ---    | 279.17      |

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# Transaction Detail

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

Base Currency: USD  
 01 October 2018 to 31 December 2018

| Entry Date, Settle Date  | Transaction Type | Base Current Units | Identifier, Description                                    | Coupon Rate | Final Maturity | Price  | Base Amount |
|--------------------------|------------------|--------------------|--|-------------|----------------|--------|-------------|
| 10/15/2018<br>10/15/2018 | Coupon           | 0.00               | 14041NFF3<br>COMET 164 A                                   | 1.33        | 08/15/2019     | ---    | 443.33      |
| 11/15/2018<br>11/15/2018 | Coupon           | 0.00               | 14041NFF3<br>COMET 164 A                                   | 1.33        | 08/15/2019     | ---    | 443.33      |
| 12/15/2018<br>12/15/2018 | Coupon           | 0.00               | 14041NFF3<br>COMET 164 A                                   | 1.33        | 08/15/2019     | ---    | 443.33      |
| 11/18/2018<br>11/18/2018 | Coupon           | 0.00               | 14912L6R7<br>CATERPILLAR FINANCIAL SERVICES<br>CORP        | 1.35        | 05/18/2019     | ---    | 4,725.00    |
| 10/15/2018<br>10/15/2018 | Coupon           | 0.00               | 161571FK5<br>CHAIT 124 A                                   | 1.58        | 08/15/2019     | ---    | 625.42      |
| 11/15/2018<br>11/15/2018 | Coupon           | 0.00               | 161571FK5<br>CHAIT 124 A                                   | 1.58        | 08/15/2019     | ---    | 625.42      |
| 12/15/2018<br>12/15/2018 | Coupon           | 0.00               | 161571FK5<br>CHAIT 124 A                                   | 1.58        | 08/15/2019     | ---    | 625.42      |
| 10/15/2018<br>10/15/2018 | Coupon           | 0.00               | 161571HC1<br>CHAIT 162 A                                   | 1.37        | 06/17/2019     | ---    | 342.50      |
| 11/15/2018<br>11/15/2018 | Coupon           | 0.00               | 161571HC1<br>CHAIT 162 A                                   | 1.37        | 06/17/2019     | ---    | 342.50      |
| 12/15/2018<br>12/15/2018 | Coupon           | 0.00               | 161571HC1<br>CHAIT 162 A                                   | 1.37        | 06/17/2019     | ---    | 342.50      |
| 11/01/2018<br>11/05/2018 | Buy              | 200,000.00         | 166764AR1<br>CHEVRON CORP                                  | 1.96        | 03/03/2020     | 98.66  | -197,985.46 |
| 11/16/2018<br>11/16/2018 | Coupon           | 0.00               | 166764BA7<br>CHEVRON CORP                                  | 1.79        | 11/16/2018     | ---    | 4,475.00    |
| 11/16/2018<br>11/16/2018 | Maturity         | -500,000.00        | 166764BA7<br>CHEVRON CORP                                  | 1.79        | 11/16/2018     | 100.00 | 500,000.00  |
| 11/19/2018<br>11/19/2018 | Coupon           | 0.00               | 17305EFW0<br>CCCIT 16A1 A1                                 | 1.75        | 11/19/2019     | ---    | 3,937.50    |
| 12/20/2018<br>12/20/2018 | Coupon           | 0.00               | 17325FAB4<br>CITIBANK NA                                   | 3.13        | 03/20/2019     | ---    | 5,076.09    |
| 10/03/2018<br>10/05/2018 | Buy              | 650,000.00         | 22546QAC1<br>CREDIT SUISSE FIRST BOSTON NEW<br>YORK BRANCH | 5.30        | 08/13/2019     | 102.08 | -668,470.11 |
| 10/17/2018<br>10/17/2018 | Coupon           | 0.00               | 24422ERR2<br>JOHN DEERE CAPITAL CORP                       | 2.25        | 04/17/2019     | ---    | 7,875.00    |
| 11/28/2018<br>11/28/2018 | Coupon           | 0.00               | 3130ABF92<br>FEDERAL HOME LOAN BANKS                       | 1.38        | 05/28/2019     | ---    | 6,875.00    |
| 10/30/2018<br>10/30/2018 | Coupon           | 0.00               | 3130ACLX0<br>FEDERAL HOME LOAN BANKS                       | 1.63        | 10/30/2019     | ---    | 4,062.50    |
| 10/23/2018<br>10/23/2018 | Buy              | 500,000.00         | 313312NG1<br>FEDERAL FARM CREDIT BANKS                     | 0.00        | 10/22/2019     | 97.34  | -486,703.89 |
| 11/13/2018<br>11/13/2018 | Buy              | 1,000,000.00       | 313312NS5<br>FEDERAL FARM CREDIT BANKS                     | 0.00        | 11/01/2019     | 97.37  | -973,721.11 |
| 12/14/2018<br>12/14/2018 | Buy              | 1,000,000.00       | 313312QL7<br>FEDERAL FARM CREDIT BANKS                     | 0.00        | 12/13/2019     | 97.30  | -973,003.33 |

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# Transaction Detail

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

Base Currency: USD  
 01 October 2018 to 31 December 2018

| Entry Date, Settle Date  | Transaction Type  | Base Current Units | Identifier, Description                             | Coupon Rate | Final Maturity | Price  | Base Amount  |
|--------------------------|-------------------|--------------------|---|-------------|----------------|--------|--------------|
| 12/18/2018<br>12/18/2018 | Buy               | 750,000.00         | 313384CY3<br>FEDERAL HOME LOAN BANKS                | 0.00        | 03/12/2019     | 99.44  | -745,817.50  |
| 11/20/2018<br>11/20/2018 | Buy               | 1,000,000.00       | 313384FV6<br>FEDERAL HOME LOAN BANKS                | 0.00        | 05/20/2019     | 98.77  | -987,681.94  |
| 12/06/2018<br>12/06/2018 | Buy               | 1,000,000.00       | 313384LA5<br>FEDERAL HOME LOAN BANKS                | 0.00        | 08/29/2019     | 98.06  | -980,641.11  |
| 12/04/2018<br>12/04/2018 | Maturity          | -1,000,000.00      | 313385R81<br>FEDERAL HOME LOAN BANKS                | 0.00        | 12/04/2018     | 100.00 | 1,000,000.00 |
| 10/04/2018<br>10/04/2018 | Maturity          | -1,000,000.00      | 313397J36<br>FEDERAL HOME LOAN MORTGAGE CORP        | 0.00        | 10/04/2018     | 100.00 | 1,000,000.00 |
| 11/06/2018<br>11/06/2018 | Maturity          | -1,000,000.00      | 313397N49<br>FEDERAL HOME LOAN MORTGAGE CORP        | 0.00        | 11/06/2018     | 100.00 | 1,000,000.00 |
| 11/20/2018<br>11/20/2018 | Maturity          | -1,000,000.00      | 313397Q20<br>FEDERAL HOME LOAN MORTGAGE CORP        | 0.00        | 11/20/2018     | 100.00 | 1,000,000.00 |
| 12/06/2018<br>12/06/2018 | Maturity          | -1,000,000.00      | 313397S28<br>FEDERAL HOME LOAN MORTGAGE CORP        | 0.00        | 12/06/2018     | 100.00 | 1,000,000.00 |
| 10/17/2018<br>10/18/2018 | Buy               | 500,000.00         | 3133EFLA3<br>FEDERAL FARM CREDIT BANKS FUNDING CORP | 1.24        | 10/22/2019     | 98.53  | -495,661.11  |
| 10/22/2018<br>10/22/2018 | Coupon            | 0.00               | 3133EFLA3<br>FEDERAL FARM CREDIT BANKS FUNDING CORP | 1.24        | 10/22/2019     | ---    | 3,100.00     |
| 12/21/2018<br>12/21/2018 | Coupon            | 0.00               | 3133EHZG1<br>FEDERAL FARM CREDIT BANKS FUNDING CORP | 1.30        | 12/21/2018     | ---    | 6,500.00     |
| 12/21/2018<br>12/21/2018 | Maturity          | -1,000,000.00      | 3133EHZG1<br>FEDERAL FARM CREDIT BANKS FUNDING CORP | 1.30        | 12/21/2018     | 100.00 | 1,000,000.00 |
| 10/15/2018<br>10/15/2018 | Coupon            | 0.00               | 31680GAD8<br>FITAT 151 A3                           | 1.42        | 03/16/2020     | ---    | 131.68       |
| 10/15/2018<br>10/15/2018 | Principal Paydown | -33,508.33         | 31680GAD8<br>FITAT 151 A3                           | 1.42        | 03/16/2020     | ---    | 33,508.32    |
| 11/15/2018<br>11/15/2018 | Coupon            | 0.00               | 31680GAD8<br>FITAT 151 A3                           | 1.42        | 03/16/2020     | ---    | 92.03        |
| 11/15/2018<br>11/15/2018 | Principal Paydown | -35,394.53         | 31680GAD8<br>FITAT 151 A3                           | 1.42        | 03/16/2020     | ---    | 35,394.53    |
| 12/15/2018<br>12/15/2018 | Coupon            | 0.00               | 31680GAD8<br>FITAT 151 A3                           | 1.42        | 03/16/2020     | ---    | 50.14        |
| 12/15/2018<br>12/15/2018 | Principal Paydown | -32,144.71         | 31680GAD8<br>FITAT 151 A3                           | 1.42        | 03/16/2020     | ---    | 32,144.71    |
| 11/11/2018<br>11/11/2018 | Coupon            | 0.00               | 369550BA5<br>GENERAL DYNAMICS CORP                  | 2.88        | 05/11/2020     | ---    | 3,593.75     |
| 10/05/2018<br>10/10/2018 | Buy               | 500,000.00         | 40428HPN6<br>HSBC USA INC (NEW)                     | 2.38        | 11/13/2019     | 99.31  | -501,380.97  |
| 11/13/2018<br>11/13/2018 | Coupon            | 0.00               | 40428HPN6<br>HSBC USA INC (NEW)                     | 2.38        | 11/13/2019     | ---    | 5,904.51     |

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# Transaction Detail

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

Base Currency: USD  
 01 October 2018 to 31 December 2018

| Entry Date, Settle Date  | Transaction Type  | Base Current Units | Identifier, Description   | Coupon Rate | Final Maturity | Price  | Base Amount  |
|--------------------------|-------------------|--------------------|---|-------------|----------------|--------|--------------|
| 10/18/2018<br>10/18/2018 | Coupon            | 0.00               | 438124AC3<br>HAROT 163 A3                                       | 1.16        | 05/18/2020     | ---    | 187.93       |
| 10/18/2018<br>10/18/2018 | Principal Paydown | -20,748.59         | 438124AC3<br>HAROT 163 A3                                       | 1.16        | 05/18/2020     | ---    | 20,748.59    |
| 11/18/2018<br>11/18/2018 | Coupon            | 0.00               | 438124AC3<br>HAROT 163 A3                                       | 1.16        | 05/18/2020     | ---    | 167.87       |
| 11/18/2018<br>11/18/2018 | Principal Paydown | -21,107.02         | 438124AC3<br>HAROT 163 A3                                       | 1.16        | 05/18/2020     | ---    | 21,107.02    |
| 12/18/2018<br>12/18/2018 | Coupon            | 0.00               | 438124AC3<br>HAROT 163 A3                                       | 1.16        | 05/18/2020     | ---    | 147.47       |
| 12/18/2018<br>12/18/2018 | Principal Paydown | -19,881.76         | 438124AC3<br>HAROT 163 A3                                       | 1.16        | 05/18/2020     | ---    | 19,881.76    |
| 10/15/2018<br>10/15/2018 | Coupon            | 0.00               | 45818WAV8<br>INTER-AMERICAN DEVELOPMENT BANK                    | 2.48        | 01/15/2019     | ---    | 3,005.00     |
| 10/15/2018<br>10/15/2018 | Coupon            | 0.00               | 4581XOCH9<br>INTER-AMERICAN DEVELOPMENT BANK                    | 1.75        | 10/15/2019     | ---    | 8,750.00     |
| 10/26/2018<br>10/26/2018 | Coupon            | 0.00               | 459058FC2<br>INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPM | 1.25        | 04/26/2019     | ---    | 5,000.00     |
| 11/27/2018<br>11/27/2018 | Coupon            | 0.00               | 45950VHE9<br>INTERNATIONAL FINANCE CORP                         | 1.25        | 11/27/2018     | ---    | 6,250.00     |
| 11/27/2018<br>11/27/2018 | Maturity          | -1,000,000.00      | 45950VHE9<br>INTERNATIONAL FINANCE CORP                         | 1.25        | 11/27/2018     | 100.00 | 1,000,000.00 |
| 10/15/2018<br>10/15/2018 | Coupon            | 0.00               | 47788CAB8<br>JDOT 2018 A2                                       | 2.42        | 10/15/2020     | ---    | 322.67       |
| 11/15/2018<br>11/15/2018 | Coupon            | 0.00               | 47788CAB8<br>JDOT 2018 A2                                       | 2.42        | 10/15/2020     | ---    | 322.67       |
| 11/15/2018<br>11/15/2018 | Principal Paydown | -5,093.90          | 47788CAB8<br>JDOT 2018 A2                                       | 2.42        | 10/15/2020     | ---    | 5,093.90     |
| 12/15/2018<br>12/15/2018 | Coupon            | 0.00               | 47788CAB8<br>JDOT 2018 A2                                       | 2.42        | 10/15/2020     | ---    | 312.39       |
| 12/15/2018<br>12/15/2018 | Principal Paydown | -14,045.44         | 47788CAB8<br>JDOT 2018 A2                                       | 2.42        | 10/15/2020     | ---    | 14,045.44    |
| 12/06/2018<br>12/06/2018 | Buy               | 800,000.00         | 48306BP66<br>Kaiser Foundation Hospitals                        | 0.00        | 02/06/2019     | 99.57  | -796,555.56  |
| 10/01/2018<br>10/01/2018 | Coupon            | 0.00               | 57636QAA2<br>MASTERCARD INC                                     | 2.00        | 04/01/2019     | ---    | 5,000.00     |
| 10/15/2018<br>10/15/2018 | Coupon            | 0.00               | 58769DAB6<br>MBALT 17A A2A                                      | 1.53        | 08/15/2019     | ---    | 107.79       |
| 10/15/2018<br>10/15/2018 | Principal Paydown | -20,396.83         | 58769DAB6<br>MBALT 17A A2A                                      | 1.53        | 08/15/2019     | ---    | 20,396.83    |
| 11/15/2018<br>11/15/2018 | Coupon            | 0.00               | 58769DAB6<br>MBALT 17A A2A                                      | 1.53        | 08/15/2019     | ---    | 81.78        |
| 11/15/2018<br>11/15/2018 | Principal Paydown | -24,888.23         | 58769DAB6<br>MBALT 17A A2A                                      | 1.53        | 08/15/2019     | ---    | 24,888.23    |

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# Transaction Detail

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

Base Currency: USD  
 01 October 2018 to 31 December 2018

| Entry Date,<br>Settle Date | Transaction Type  | Base Current Units | Identifier,<br>Description                            | Coupon<br>Rate | Final Maturity | Price  | Base Amount |
|----------------------------|-------------------|--------------------|---|----------------|----------------|--------|-------------|
| 12/15/2018<br>12/15/2018   | Coupon            | 0.00               | 58769DAB6<br>MBALT 17A A2A                            | 1.53           | 08/15/2019     | ---    | 50.05       |
| 12/15/2018<br>12/15/2018   | Principal Paydown | -26,245.91         | 58769DAB6<br>MBALT 17A A2A                            | 1.53           | 08/15/2019     | ---    | 26,245.91   |
| 10/15/2018<br>10/15/2018   | Coupon            | 0.00               | 58772RAB0<br>MBART 181 A2A                            | 2.71           | 04/15/2021     | ---    | 1,355.00    |
| 11/15/2018<br>11/15/2018   | Coupon            | 0.00               | 58772RAB0<br>MBART 181 A2A                            | 2.71           | 04/15/2021     | ---    | 1,355.00    |
| 12/15/2018<br>12/15/2018   | Coupon            | 0.00               | 58772RAB0<br>MBART 181 A2A                            | 2.71           | 04/15/2021     | ---    | 1,355.00    |
| 10/09/2018<br>10/09/2018   | Maturity          | -500,000.00        | 63763QK97<br>National Securities Clearing Corporation | 0.00           | 10/09/2018     | 100.00 | 500,000.00  |
| 10/09/2018<br>10/09/2018   | Buy               | 500,000.00         | 63763QKG1<br>National Securities Clearing Corporation | 0.00           | 10/16/2018     | 99.96  | -499,783.19 |
| 10/16/2018<br>10/16/2018   | Maturity          | -500,000.00        | 63763QKG1<br>National Securities Clearing Corporation | 0.00           | 10/16/2018     | 100.00 | 500,000.00  |
| 10/16/2018<br>10/16/2018   | Buy               | 500,000.00         | 63763QLG0<br>National Securities Clearing Corporation | 0.00           | 11/16/2018     | 99.81  | -499,052.78 |
| 11/16/2018<br>11/16/2018   | Maturity          | -500,000.00        | 63763QLG0<br>National Securities Clearing Corporation | 0.00           | 11/16/2018     | 100.00 | 500,000.00  |
| 11/16/2018<br>11/16/2018   | Buy               | 500,000.00         | 63763QN37<br>National Securities Clearing Corporation | 0.00           | 01/03/2019     | 99.68  | -498,413.33 |
| 10/15/2018<br>10/15/2018   | Coupon            | 0.00               | 65477XAD6<br>NALT 16B A3                              | 1.50           | 07/15/2019     | ---    | 179.20      |
| 10/15/2018<br>10/15/2018   | Principal Paydown | -50,753.68         | 65477XAD6<br>NALT 16B A3                              | 1.50           | 07/15/2019     | ---    | 50,753.68   |
| 11/15/2018<br>11/15/2018   | Coupon            | 0.00               | 65477XAD6<br>NALT 16B A3                              | 1.50           | 07/15/2019     | ---    | 115.75      |
| 11/15/2018<br>11/15/2018   | Principal Paydown | -54,898.85         | 65477XAD6<br>NALT 16B A3                              | 1.50           | 07/15/2019     | ---    | 54,898.85   |
| 12/15/2018<br>12/15/2018   | Coupon            | 0.00               | 65477XAD6<br>NALT 16B A3                              | 1.50           | 07/15/2019     | ---    | 47.13       |
| 12/15/2018<br>12/15/2018   | Principal Paydown | -37,704.87         | 65477XAD6<br>NALT 16B A3                              | 1.50           | 07/15/2019     | ---    | 37,704.87   |
| 10/15/2018<br>10/15/2018   | Coupon            | 0.00               | 65478GAD2<br>NAROT 17B A3                             | 1.75           | 10/15/2021     | ---    | 656.25      |
| 11/06/2018<br>11/08/2018   | Buy               | 300,000.00         | 65478GAD2<br>NAROT 17B A3                             | 1.75           | 10/15/2021     | 98.49  | -295,800.26 |
| 11/15/2018<br>11/15/2018   | Coupon            | 0.00               | 65478GAD2<br>NAROT 17B A3                             | 1.75           | 10/15/2021     | ---    | 1,093.75    |
| 12/15/2018<br>12/15/2018   | Coupon            | 0.00               | 65478GAD2<br>NAROT 17B A3                             | 1.75           | 10/15/2021     | ---    | 1,093.75    |
| 11/01/2018<br>11/01/2018   | Coupon            | 0.00               | 78607QAT2<br>SACRAMENTO CALIF SUBN WTR DIST<br>REV    | 2.71           | 11/01/2019     | ---    | 5,687.67    |

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# Transaction Detail

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

Base Currency: USD  
 01 October 2018 to 31 December 2018

| Entry Date, Settle Date  | Transaction Type  | Base Current Units | Identifier, Description  | Coupon Rate | Final Maturity | Price  | Base Amount  |
|--------------------------|-------------------|--------------------|--|-------------|----------------|--------|--------------|
| 11/01/2018<br>11/01/2018 | Coupon            | 0.00               | 79766DKL2<br>SAN FRANCISCO CALIF CITY & CNTY<br>ARPTS COMMN INTL A | 1.72        | 05/01/2019     | ---    | 6,453.75     |
| 10/22/2018<br>10/22/2018 | Maturity          | -1,000,000.00      | 88602UKN9<br>Thunder Bay Funding, LLC                              | 0.00        | 10/22/2018     | 100.00 | 1,000,000.00 |
| 10/22/2018<br>10/22/2018 | Buy               | 800,000.00         | 88602UN89<br>Thunder Bay Funding, LLC                              | 0.00        | 01/08/2019     | 99.47  | -795,736.00  |
| 10/15/2018<br>10/15/2018 | Coupon            | 0.00               | 89237WAD9<br>TAOT 16C A3   | 1.14        | 08/17/2020     | ---    | 218.66       |
| 10/15/2018<br>10/15/2018 | Principal Paydown | -20,998.61         | 89237WAD9<br>TAOT 16C A3   | 1.14        | 08/17/2020     | ---    | 20,998.61    |
| 11/15/2018<br>11/15/2018 | Coupon            | 0.00               | 89237WAD9<br>TAOT 16C A3   | 1.14        | 08/17/2020     | ---    | 198.72       |
| 11/15/2018<br>11/15/2018 | Principal Paydown | -22,346.43         | 89237WAD9<br>TAOT 16C A3   | 1.14        | 08/17/2020     | ---    | 22,346.43    |
| 12/15/2018<br>12/15/2018 | Coupon            | 0.00               | 89237WAD9<br>TAOT 16C A3   | 1.14        | 08/17/2020     | ---    | 177.49       |
| 12/15/2018<br>12/15/2018 | Principal Paydown | -19,969.36         | 89237WAD9<br>TAOT 16C A3   | 1.14        | 08/17/2020     | ---    | 19,969.36    |
| 10/15/2018<br>10/15/2018 | Coupon            | 0.00               | 90290AAB3<br>USAOT 171 A2  | 1.54        | 02/18/2020     | ---    | 112.02       |
| 10/15/2018<br>10/15/2018 | Principal Paydown | -22,147.15         | 90290AAB3<br>USAOT 171 A2  | 1.54        | 02/18/2020     | ---    | 22,147.15    |
| 11/15/2018<br>11/15/2018 | Coupon            | 0.00               | 90290AAB3<br>USAOT 171 A2  | 1.54        | 02/18/2020     | ---    | 83.60        |
| 11/15/2018<br>11/15/2018 | Principal Paydown | -24,145.19         | 90290AAB3<br>USAOT 171 A2  | 1.54        | 02/18/2020     | ---    | 24,145.19    |
| 12/15/2018<br>12/15/2018 | Coupon            | 0.00               | 90290AAB3<br>USAOT 171 A2  | 1.54        | 02/18/2020     | ---    | 52.61        |
| 12/15/2018<br>12/15/2018 | Principal Paydown | -21,596.29         | 90290AAB3<br>USAOT 171 A2  | 1.54        | 02/18/2020     | ---    | 21,596.29    |
| 10/17/2018<br>10/17/2018 | Coupon            | 0.00               | 90331HMK5<br>US BANK NA  | 2.57        | 01/17/2020     | ---    | 4,402.46     |
| 10/31/2018<br>10/31/2018 | Coupon            | 0.00               | 912828T83<br>UNITED STATES TREASURY                                | 0.75        | 10/31/2018     | ---    | 3,750.00     |
| 10/31/2018<br>10/31/2018 | Maturity          | -1,000,000.00      | 912828T83<br>UNITED STATES TREASURY                                | 0.75        | 10/31/2018     | 100.00 | 1,000,000.00 |
| 11/27/2018<br>11/28/2018 | Buy               | 1,000,000.00       | 912828U73<br>UNITED STATES TREASURY                                | 1.38        | 12/15/2019     | 98.58  | -992,017.59  |
| 12/15/2018<br>12/15/2018 | Coupon            | 0.00               | 912828U73<br>UNITED STATES TREASURY                                | 1.38        | 12/15/2019     | ---    | 6,875.00     |
| 10/02/2018<br>10/10/2018 | Buy               | 800,000.00         | 92348XAB1<br>VZOT 18A A1B  | 2.52        | 04/20/2023     | 100.00 | -800,000.00  |
| 12/20/2018<br>12/20/2018 | Coupon            | 0.00               | 92348XAB1<br>VZOT 18A A1B  | 2.71        | 04/20/2023     | ---    | 4,103.07     |

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# Transaction Detail

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

Base Currency: USD  
 01 October 2018 to 31 December 2018

| Entry Date, Settle Date  | Transaction Type | Base Current Units | Identifier, Description                      | Coupon Rate | Final Maturity | Price  | Base Amount   |
|--------------------------|------------------|--------------------|--|-------------|----------------|--------|---------------|
| 10/31/2018<br>10/31/2018 | Maturity         | -750,000.00        | 92512MKX6<br>Versailles Commercial Paper LLC | 0.00        | 10/31/2018     | 100.00 | 750,000.00    |
| 10/31/2018<br>10/31/2018 | Buy              | 750,000.00         | 92512MM30<br>Versailles Commercial Paper LLC | 0.00        | 12/03/2018     | 99.78  | -748,329.38   |
| 12/03/2018<br>12/03/2018 | Maturity         | -750,000.00        | 92512MM30<br>Versailles Commercial Paper LLC | 0.00        | 12/03/2018     | 100.00 | 750,000.00    |
| 10/20/2018<br>10/20/2018 | Coupon           | 0.00               | 92868LAB7<br>VALET 181 A2A                   | 2.81        | 07/20/2021     | ---    | 1,756.25      |
| 11/20/2018<br>11/20/2018 | Coupon           | 0.00               | 92868LAB7<br>VALET 181 A2A                   | 2.81        | 07/20/2021     | ---    | 1,756.25      |
| 12/20/2018<br>12/20/2018 | Coupon           | 0.00               | 92868LAB7<br>VALET 181 A2A                   | 2.81        | 07/20/2021     | ---    | 1,756.25      |
| 12/23/2018<br>12/23/2018 | Coupon           | 0.00               | 931142EF6<br>WALMART INC                     | 2.41        | 06/23/2020     | ---    | 4,304.75      |
| 10/01/2018<br>10/01/2018 | Buy              | 1,009,866.88       | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF  | 2.03        | 12/31/2018     | 1.00   | -1,009,866.88 |
| 10/03/2018<br>10/03/2018 | Sell             | -702,647.37        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF  | 2.03        | 12/31/2018     | 1.00   | 702,647.37    |
| 10/04/2018<br>10/04/2018 | Buy              | 1,000,000.00       | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF  | 2.03        | 12/31/2018     | 1.00   | -1,000,000.00 |
| 10/05/2018<br>10/05/2018 | Sell             | -668,470.11        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF  | 2.03        | 12/31/2018     | 1.00   | 668,470.11    |
| 10/09/2018<br>10/09/2018 | Buy              | 500,000.00         | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF  | 2.03        | 12/31/2018     | 1.00   | -500,000.00   |
| 10/09/2018<br>10/09/2018 | Sell             | -499,783.19        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF  | 2.03        | 12/31/2018     | 1.00   | 499,783.19    |
| 10/10/2018<br>10/10/2018 | Sell             | -1,301,380.97      | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF  | 2.03        | 12/31/2018     | 1.00   | 1,301,380.97  |
| 10/15/2018<br>10/15/2018 | Buy              | 120,971.58         | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF  | 2.03        | 12/31/2018     | 1.00   | -120,971.58   |
| 10/15/2018<br>10/15/2018 | Buy              | 20,504.62          | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF  | 2.03        | 12/31/2018     | 1.00   | -20,504.62    |
| 10/16/2018<br>10/16/2018 | Buy              | 533,628.52         | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF  | 2.03        | 12/31/2018     | 1.00   | -533,628.52   |
| 10/16/2018<br>10/16/2018 | Buy              | 206,744.10         | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF  | 2.03        | 12/31/2018     | 1.00   | -206,744.10   |
| 10/16/2018<br>10/16/2018 | Sell             | -8,750.00          | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF  | 2.03        | 12/31/2018     | 1.00   | 8,750.00      |
| 10/17/2018<br>10/17/2018 | Buy              | 7,875.00           | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF  | 2.03        | 12/31/2018     | 1.00   | -7,875.00     |
| 10/17/2018<br>10/17/2018 | Buy              | 4,402.46           | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF  | 2.03        | 12/31/2018     | 1.00   | -4,402.46     |
| 10/18/2018<br>10/18/2018 | Sell             | -474,724.59        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF  | 2.03        | 12/31/2018     | 1.00   | 474,724.59    |
| 10/22/2018<br>10/22/2018 | Buy              | 38,538.44          | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF  | 2.03        | 12/31/2018     | 1.00   | -38,538.44    |

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# Transaction Detail

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

Base Currency: USD  
 01 October 2018 to 31 December 2018

| Entry Date, Settle Date  | Transaction Type   | Base Current Units | Identifier, Description                     | Coupon Rate | Final Maturity | Price | Base Amount   |
|--------------------------|--------------------|--------------------|---|-------------|----------------|-------|---------------|
| 10/22/2018<br>10/22/2018 | Sell               | -795,736.00        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.03        | 12/31/2018     | 1.00  | 795,736.00    |
| 10/22/2018<br>10/22/2018 | Buy                | 1,001,164.62       | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.03        | 12/31/2018     | 1.00  | -1,001,164.62 |
| 10/23/2018<br>10/23/2018 | Sell               | -486,703.89        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.03        | 12/31/2018     | 1.00  | 486,703.89    |
| 10/26/2018<br>10/26/2018 | Buy                | 5,000.00           | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.03        | 12/31/2018     | 1.00  | -5,000.00     |
| 10/26/2018<br>10/26/2018 | Sell               | -221,626.98        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.03        | 12/31/2018     | 1.00  | 221,626.98    |
| 10/30/2018<br>10/30/2018 | Buy                | 4,062.50           | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.03        | 12/31/2018     | 1.00  | -4,062.50     |
| 10/31/2018<br>10/31/2018 | Buy                | 1,753,750.00       | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.03        | 12/31/2018     | 1.00  | -1,753,750.00 |
| 10/31/2018<br>10/31/2018 | Sell               | -748,329.38        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.03        | 12/31/2018     | 1.00  | 748,329.38    |
| 10/31/2018<br>10/31/2018 | Money Market Funds | 0.00               | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.03        | 12/31/2018     | ---   | 1,160.13      |
| 11/01/2018<br>11/01/2018 | Buy                | 13,301.55          | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | -13,301.55    |
| 11/05/2018<br>11/05/2018 | Sell               | -197,985.46        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | 197,985.46    |
| 11/06/2018<br>11/06/2018 | Buy                | 1,000,000.00       | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | -1,000,000.00 |
| 11/07/2018<br>11/07/2018 | Sell               | -703,874.28        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | 703,874.28    |
| 11/08/2018<br>11/08/2018 | Buy                | 703,874.28         | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | -703,874.28   |
| 11/08/2018<br>11/08/2018 | Sell               | -295,800.26        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | 295,800.26    |
| 11/13/2018<br>11/13/2018 | Buy                | 5,904.51           | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | -5,904.51     |
| 11/13/2018<br>11/13/2018 | Buy                | 6,856.25           | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | -6,856.25     |
| 11/13/2018<br>11/13/2018 | Sell               | -973,721.11        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | 973,721.11    |
| 11/15/2018<br>11/15/2018 | Sell               | -73,990.59         | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | 73,990.59     |
| 11/15/2018<br>11/15/2018 | Buy                | 621.67             | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | -621.67       |
| 11/16/2018<br>11/16/2018 | Buy                | 1,004,475.00       | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | -1,004,475.00 |
| 11/16/2018<br>11/16/2018 | Sell               | -498,413.33        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | 498,413.33    |
| 11/19/2018<br>11/19/2018 | Buy                | 29,937.39          | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | -29,937.39    |

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# Transaction Detail

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

Base Currency: USD  
 01 October 2018 to 31 December 2018

| Entry Date, Settle Date  | Transaction Type   | Base Current Units | Identifier, Description                     | Coupon Rate | Final Maturity | Price | Base Amount   |
|--------------------------|--------------------|--------------------|---|-------------|----------------|-------|---------------|
| 11/20/2018<br>11/20/2018 | Buy                | 42,979.57          | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | -42,979.57    |
| 11/20/2018<br>11/20/2018 | Sell               | -987,681.94        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | 987,681.94    |
| 11/20/2018<br>11/20/2018 | Buy                | 996,299.96         | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | -996,299.96   |
| 11/21/2018<br>11/21/2018 | Sell               | -493,577.06        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | 493,577.06    |
| 11/27/2018<br>11/27/2018 | Buy                | 6,250.00           | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | -6,250.00     |
| 11/27/2018<br>11/27/2018 | Buy                | 1,000,000.00       | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | -1,000,000.00 |
| 11/28/2018<br>11/28/2018 | Sell               | -985,142.59        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | 985,142.59    |
| 11/30/2018<br>11/30/2018 | Sell               | -495,090.00        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | 1.00  | 495,090.00    |
| 11/30/2018<br>11/30/2018 | Money Market Funds | 0.00               | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.07        | 12/31/2018     | ---   | 1,697.10      |
| 12/03/2018<br>12/03/2018 | Buy                | 757,909.60         | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.50        | 12/31/2018     | 1.00  | -757,909.60   |
| 12/04/2018<br>12/04/2018 | Buy                | 1,000,000.00       | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.50        | 12/31/2018     | 1.00  | -1,000,000.00 |
| 12/06/2018<br>12/06/2018 | Buy                | 1,000,000.00       | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.50        | 12/31/2018     | 1.00  | -1,000,000.00 |
| 12/06/2018<br>12/06/2018 | Sell               | -980,641.11        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.50        | 12/31/2018     | 1.00  | 980,641.11    |
| 12/06/2018<br>12/06/2018 | Sell               | -796,555.56        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.50        | 12/31/2018     | 1.00  | 796,555.56    |
| 12/07/2018<br>12/07/2018 | Buy                | 505,125.00         | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.50        | 12/31/2018     | 1.00  | -505,125.00   |
| 12/10/2018<br>12/10/2018 | Buy                | 17,500.00          | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.50        | 12/31/2018     | 1.00  | -17,500.00    |
| 12/14/2018<br>12/14/2018 | Sell               | -973,003.33        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.50        | 12/31/2018     | 1.00  | 973,003.33    |
| 12/17/2018<br>12/17/2018 | Buy                | 2,717.22           | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.50        | 12/31/2018     | 1.00  | -2,717.22     |
| 12/17/2018<br>12/17/2018 | Buy                | 102,119.88         | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.50        | 12/31/2018     | 1.00  | -102,119.88   |
| 12/18/2018<br>12/18/2018 | Buy                | 66,519.17          | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.50        | 12/31/2018     | 1.00  | -66,519.17    |
| 12/18/2018<br>12/18/2018 | Sell               | -731,459.67        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.50        | 12/31/2018     | 1.00  | 731,459.67    |
| 12/20/2018<br>12/20/2018 | Buy                | 25,054.18          | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.50        | 12/31/2018     | 1.00  | -25,054.18    |
| 12/20/2018<br>12/20/2018 | Buy                | 4,103.07           | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.50        | 12/31/2018     | 1.00  | -4,103.07     |

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# Transaction Detail

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

Base Currency: USD  
 01 October 2018 to 31 December 2018

| Entry Date, Settle Date  | Transaction Type | Base Current Units | Identifier, Description                     | Coupon Rate | Final Maturity | Price | Base Amount   |
|--------------------------|------------------|--------------------|---|-------------|----------------|-------|---------------|
| 12/20/2018<br>12/20/2018 | Buy              | 32,414.62          | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.50        | 12/31/2018     | 1.00  | -32,414.62    |
| 12/21/2018<br>12/21/2018 | Buy              | 1,006,500.00       | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.50        | 12/31/2018     | 1.00  | -1,006,500.00 |
| 12/24/2018<br>12/24/2018 | Buy              | 4,304.75           | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.50        | 12/31/2018     | 1.00  | -4,304.75     |
| 12/26/2018<br>12/26/2018 | Sell             | -747,149.96        | 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF | 2.50        | 12/31/2018     | 1.00  | 747,149.96    |
| 10/22/2018<br>10/22/2018 | Management Fee   | 0.00               | CCYUSD<br>US DOLLAR                         | 0.00        | 12/31/2018     | ---   | -4,351.63     |
| 10/26/2018<br>10/26/2018 | Cash Transfer    | 0.00               | CCYUSD<br>US DOLLAR                         | 0.00        | 12/31/2018     | ---   | -221,626.98   |
| 11/20/2018<br>11/20/2018 | Management Fee   | 0.00               | CCYUSD<br>US DOLLAR                         | 0.00        | 12/31/2018     | ---   | -4,360.04     |
| 12/20/2018<br>12/20/2018 | Management Fee   | 0.00               | CCYUSD<br>US DOLLAR                         | 0.00        | 12/31/2018     | ---   | -4,316.50     |
| ---                      | ---              | 1,078,033.05       | ---   | ---         | 03/03/2019     | ---   | -1,004,866.90 |

## Summary

| Entry Date, Settle Date | Transaction Type | Base Current Units | Identifier, Description | Coupon Rate | Final Maturity | Price | Base Amount   |
|-------------------------|------------------|--------------------|-------------------------|-------------|----------------|-------|---------------|
| ---                     | ---              | 1,078,033.05       | ---                     | ---         | 03/03/2019     | ---   | -1,003,104.50 |

\* Grouped by: Status. \* Groups Sorted by: Status. \* Showing transactions with Entry Date within selected date range. \* Weighted by: Absolute Value of Base Principal. \* MMF transactions are expanded.

\* The Transaction Detail/Trading Activity reports provide our most up-to-date transactional details. As such, these reports are subject to change even after the other reports on the website have been locked down. While these reports can be useful tools in understanding recent activity, due to their dynamic nature we do not recommend using them for booking journal entries or reconciliation.

The information contained in this report represents estimated trade date investment calculations. Certain calculations may not be available for all time periods. Please refer to your custody statement for official portfolio holdings and transactions. Note that certain accounting methods may cause differences between this investment report and your custody statement.

# MMF Transaction Detail

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

Base Currency: USD  
 01 October 2018 to 31 December 2018

\* Does not Lock Down.

## Receivable

| Entry Date | Settle Date | Transaction Type   | Base Current Units | Identifier | Ticker | Description                    | Base Amount |
|------------|-------------|--------------------|--------------------|------------|--------|--------------------------------|-------------|
| 12/31/2018 | 12/31/2018  | Money Market Funds | 0.00               | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 1,762.40    |
| 12/31/2018 | 12/31/2018  | Money Market Funds | 0.00               | 94975P405  | GVI XX | WELLS FRGO GOVERNMENT CL I MMF | 1,762.40    |

## Settled

| Entry Date | Settle Date | Transaction Type   | Base Current Units | Identifier | Ticker | Description                    | Base Amount   |
|------------|-------------|--------------------|--------------------|------------|--------|--------------------------------|---------------|
| 10/01/2018 | 10/01/2018  | Buy                | 1,009,866.88       | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -1,009,866.88 |
| 10/03/2018 | 10/03/2018  | Sell               | -702,647.37        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 702,647.37    |
| 10/04/2018 | 10/04/2018  | Buy                | 1,000,000.00       | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -1,000,000.00 |
| 10/05/2018 | 10/05/2018  | Sell               | -668,470.11        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 668,470.11    |
| 10/09/2018 | 10/09/2018  | Buy                | 500,000.00         | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -500,000.00   |
| 10/09/2018 | 10/09/2018  | Sell               | -499,783.19        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 499,783.19    |
| 10/10/2018 | 10/10/2018  | Sell               | -1,301,380.97      | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 1,301,380.97  |
| 10/15/2018 | 10/15/2018  | Buy                | 120,971.58         | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -120,971.58   |
| 10/15/2018 | 10/15/2018  | Buy                | 20,504.62          | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -20,504.62    |
| 10/16/2018 | 10/16/2018  | Buy                | 533,628.52         | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -533,628.52   |
| 10/16/2018 | 10/16/2018  | Buy                | 206,744.10         | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -206,744.10   |
| 10/16/2018 | 10/16/2018  | Sell               | -8,750.00          | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 8,750.00      |
| 10/17/2018 | 10/17/2018  | Buy                | 7,875.00           | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -7,875.00     |
| 10/17/2018 | 10/17/2018  | Buy                | 4,402.46           | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -4,402.46     |
| 10/18/2018 | 10/18/2018  | Sell               | -474,724.59        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 474,724.59    |
| 10/22/2018 | 10/22/2018  | Buy                | 38,538.44          | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -38,538.44    |
| 10/22/2018 | 10/22/2018  | Sell               | -795,736.00        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 795,736.00    |
| 10/22/2018 | 10/22/2018  | Buy                | 1,001,164.62       | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -1,001,164.62 |
| 10/23/2018 | 10/23/2018  | Sell               | -486,703.89        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 486,703.89    |
| 10/26/2018 | 10/26/2018  | Buy                | 5,000.00           | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -5,000.00     |
| 10/26/2018 | 10/26/2018  | Sell               | -221,626.98        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 221,626.98    |
| 10/30/2018 | 10/30/2018  | Buy                | 4,062.50           | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -4,062.50     |
| 10/31/2018 | 10/31/2018  | Buy                | 1,753,750.00       | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -1,753,750.00 |
| 10/31/2018 | 10/31/2018  | Sell               | -748,329.38        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 748,329.38    |
| 10/31/2018 | 10/31/2018  | Money Market Funds | 0.00               | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 1,160.13      |
| 11/01/2018 | 11/01/2018  | Buy                | 13,301.55          | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -13,301.55    |
| 11/05/2018 | 11/05/2018  | Sell               | -197,985.46        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 197,985.46    |
| 11/06/2018 | 11/06/2018  | Buy                | 1,000,000.00       | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -1,000,000.00 |
| 11/07/2018 | 11/07/2018  | Sell               | -703,874.28        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 703,874.28    |
| 11/08/2018 | 11/08/2018  | Buy                | 703,874.28         | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -703,874.28   |
| 11/08/2018 | 11/08/2018  | Sell               | -295,800.26        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 295,800.26    |

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# MMF Transaction Detail

WC-Contra Costa County  
 Account: XXX235  
 Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index  
 Investment Strategy: Short Duration Fixed Income

Base Currency: USD  
 01 October 2018 to 31 December 2018

| Entry Date | Settle Date | Transaction Type   | Base Current Units | Identifier | Ticker | Description                    | Base Amount   |
|------------|-------------|--------------------|--------------------|------------|--------|--------------------------------|---------------|
| 11/13/2018 | 11/13/2018  | Buy                | 5,904.51           | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -5,904.51     |
| 11/13/2018 | 11/13/2018  | Buy                | 6,856.25           | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -6,856.25     |
| 11/13/2018 | 11/13/2018  | Sell               | -973,721.11        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 973,721.11    |
| 11/15/2018 | 11/15/2018  | Sell               | -73,990.59         | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 73,990.59     |
| 11/15/2018 | 11/15/2018  | Buy                | 621.67             | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -621.67       |
| 11/16/2018 | 11/16/2018  | Buy                | 1,004,475.00       | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -1,004,475.00 |
| 11/16/2018 | 11/16/2018  | Sell               | -498,413.33        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 498,413.33    |
| 11/19/2018 | 11/19/2018  | Buy                | 29,937.39          | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -29,937.39    |
| 11/20/2018 | 11/20/2018  | Buy                | 42,979.57          | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -42,979.57    |
| 11/20/2018 | 11/20/2018  | Sell               | -987,681.94        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 987,681.94    |
| 11/20/2018 | 11/20/2018  | Buy                | 996,299.96         | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -996,299.96   |
| 11/21/2018 | 11/21/2018  | Sell               | -493,577.06        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 493,577.06    |
| 11/27/2018 | 11/27/2018  | Buy                | 6,250.00           | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -6,250.00     |
| 11/27/2018 | 11/27/2018  | Buy                | 1,000,000.00       | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -1,000,000.00 |
| 11/28/2018 | 11/28/2018  | Sell               | -985,142.59        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 985,142.59    |
| 11/30/2018 | 11/30/2018  | Sell               | -495,090.00        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 495,090.00    |
| 11/30/2018 | 11/30/2018  | Money Market Funds | 0.00               | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 1,697.10      |
| 12/03/2018 | 12/03/2018  | Buy                | 757,909.60         | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -757,909.60   |
| 12/04/2018 | 12/04/2018  | Buy                | 1,000,000.00       | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -1,000,000.00 |
| 12/06/2018 | 12/06/2018  | Buy                | 1,000,000.00       | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -1,000,000.00 |
| 12/06/2018 | 12/06/2018  | Sell               | -980,641.11        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 980,641.11    |
| 12/06/2018 | 12/06/2018  | Sell               | -796,555.56        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 796,555.56    |
| 12/07/2018 | 12/07/2018  | Buy                | 505,125.00         | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -505,125.00   |
| 12/10/2018 | 12/10/2018  | Buy                | 17,500.00          | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -17,500.00    |
| 12/14/2018 | 12/14/2018  | Sell               | -973,003.33        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 973,003.33    |
| 12/17/2018 | 12/17/2018  | Buy                | 2,717.22           | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -2,717.22     |
| 12/17/2018 | 12/17/2018  | Buy                | 102,119.88         | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -102,119.88   |
| 12/18/2018 | 12/18/2018  | Buy                | 66,519.17          | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -66,519.17    |
| 12/18/2018 | 12/18/2018  | Sell               | -731,459.67        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 731,459.67    |
| 12/20/2018 | 12/20/2018  | Buy                | 25,054.18          | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -25,054.18    |
| 12/20/2018 | 12/20/2018  | Buy                | 4,103.07           | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -4,103.07     |
| 12/20/2018 | 12/20/2018  | Buy                | 32,414.62          | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -32,414.62    |
| 12/21/2018 | 12/21/2018  | Buy                | 1,006,500.00       | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -1,006,500.00 |
| 12/24/2018 | 12/24/2018  | Buy                | 4,304.75           | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | -4,304.75     |
| 12/26/2018 | 12/26/2018  | Sell               | -747,149.96        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 747,149.96    |
| ---        | ---         | ---                | -300,962.34        | 94975P405  | GVIXX  | WELLS FRGO GOVERNMENT CL I MMF | 303,819.57    |

## Summary

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# MMF Transaction Detail

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Entry Date | Settle Date | Transaction Type | Base Current Units | Identifier | Ticker | Description                    | Base Amount |
|------------|-------------|------------------|--------------------|------------|--------|--------------------------------|-------------|
| ---        | ---         | ---              | -300,962.34        | 94975P405  | GVI XX | WELLS FRGO GOVERNMENT CL I MMF | 305,581.97  |

\* Grouped by: Status. \* Groups Sorted by: Status. \* Filtered By: Security Type = "MMFUND". \* Showing transactions with Entry Date within selected date range. \* Weighted by: Absolute Value of Base Principal. \* MMF transactions are expanded.

\* The Transaction Detail/Trading Activity reports provide our most up-to-date transactional details. As such, these reports are subject to change even after the other reports on the website have been locked down. While these reports can be useful tools in understanding recent activity, due to their dynamic nature we do not recommend using them for booking journal entries or reconciliation.

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# Roll Forward

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

Base Currency: USD

01 October 2018 to 31 December 2018

| Identifier, Description   | Beginning Market Value + Accrued, Base Purchases | Base Sales, Base Maturities and Redemptions | Base Paydowns, Base Net Transferred Value | Base Amortization/ Accretion, Change In Accrued Balance | Net Realized Gain/ Loss, Base Change In Net Unrealized Gain/Loss | Base Change In Cash, Payables/Receivables, Ending Market Value + Accrued |
|---|--|---|---|---|--|--|
| 05584PAB3<br>BMWLT 172 A2A                                      | 241,948.14<br>0.00                               | 0.00<br>0.00                                | -72,462.91<br>0.00                        | 4.87<br>-39.85  | -0.01<br>448.07  | 0.00<br>169,898.31   |
| 45950VHE9<br>INTERNATIONAL FINANCE CORP                         | 1,002,565.56<br>0.00                             | 0.00<br>-1,000,000.00                       | 0.00<br>0.00                              | 441.61<br>-4,305.56                                     | 0.00<br>1,298.40   | 0.00<br>0.00   |
| 31680GAD8<br>FITAT 151 A3                                       | 111,182.66<br>0.00                               | 0.00<br>0.00                                | -101,047.56<br>0.00                       | 27.65<br>-63.77   | 0.00<br>129.61   | 0.00<br>10,228.58  |
| 47788CAB8<br>JDOT 2018 A2                                       | 159,920.17<br>0.00                               | 0.00<br>0.00                                | -19,139.34<br>0.00                        | 1.45<br>-20.59  | 0.00<br>-16.27   | 0.00<br>140,745.43   |
| 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF                     | 761,215.34<br>15,541,276.39                      | -15,842,238.73<br>0.00                      | 0.00<br>0.00                              | 0.00<br>0.00  | 0.00<br>0.00   | 0.00<br>460,253.00   |
| 09247XAE1<br>BLACKROCK INC                                      | 728,402.27<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | -3,752.76<br>-8,750.00                                  | 0.00<br>-1,304.74  | 0.00<br>714,594.77   |
| 69353RCH9<br>PNC BANK NA  | 752,057.25<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | -91.72<br>4,125.00                                      | 0.00<br>540.97   | 0.00<br>756,631.50   |
| 06051GFE4<br>BANK OF AMERICA CORP                               | 0.00<br>741,376.40                               | 0.00<br>0.00                                | 0.00<br>0.00                              | -86.03<br>6,176.37                                      | 0.00<br>-200.35  | 0.00<br>747,266.39   |
| 06406HCU1<br>BANK OF NEW YORK MELLON CORP                       | 251,417.78<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | -9.82<br>-1,375.00                                      | 0.00<br>91.07  | 0.00<br>250,124.03   |
| 3133EFC70<br>FEDERAL FARM CREDIT BANKS FUNDING CORP             | 747,742.00<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 521.86<br>2,100.00                                      | 0.00<br>1,421.39   | 0.00<br>751,785.25   |
| 07330NAL9<br>BRANCH BANKING AND TRUST CO                        | 449,442.08<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 1,362.91<br>-1,631.25                                   | 0.00<br>-860.26  | 0.00<br>448,313.47   |
| 912828U73<br>UNITED STATES TREASURY                             | 0.00<br>985,781.25                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 1,265.54<br>642.17                                      | 0.00<br>1,039.21   | 0.00<br>988,728.17   |
| 02587AAJ3<br>AMXCA 171 A  | 493,497.19<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 1,082.66<br>0.00  | 0.00<br>-64.46   | 0.00<br>494,515.39   |
| 9128282K5<br>UNITED STATES TREASURY                             | 992,433.58<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 316.29<br>3,437.50                                      | 0.00<br>2,730.71   | 0.00<br>998,918.08   |
| 797669XS2<br>SAN FRANCISCO CALIF BAY AREA RAPID TRAN DIST SALES | 750,440.63<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 0.00<br>3,770.63  | 0.00<br>1,080.00   | 0.00<br>755,291.25   |
| 4581XOCH9<br>INTER-AMERICAN DEVELOPMENT BANK                    | 997,862.44<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 2,319.90<br>-4,375.00                                   | 0.00<br>453.10   | 0.00<br>996,260.44   |
| 010831DL6<br>ALAMEDA CNTY CALIF JT PWRS AUTH LEASE REV          | 503,341.67<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 0.00<br>-3,106.25                                       | 0.00<br>-30.00   | 0.00<br>500,205.42   |
| 88602UKN9<br>Thunder Bay Funding, LLC                           | 998,532.00<br>0.00                               | 0.00<br>-1,000,000.00                       | 0.00<br>0.00                              | 1,236.67<br>0.00  | 0.00<br>231.33   | 0.00<br>0.00   |
| 369550BA5<br>GENERAL DYNAMICS CORP                              | 252,191.14<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 57.79<br>-1,796.88                                      | 0.00<br>717.96   | 0.00<br>251,170.01   |
| 313397J36<br>FEDERAL HOME LOAN MORTGAGE CORP                    | 999,824.00<br>0.00                               | 0.00<br>-1,000,000.00                       | 0.00<br>0.00                              | 159.17<br>0.00  | 0.00<br>16.83  | 0.00<br>0.00   |

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# Roll Forward

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Identifier, Description                                 | Beginning Market Value + Accrued, Base Purchases | Base Sales, Base Maturities and Redemptions | Base Paydowns, Base Net Transferred Value | Base Amortization/ Accretion, Change In Accrued Balance | Net Realized Gain/ Loss, Base Change In Net Unrealized Gain/Loss | Base Change In Cash, Payables/Receivables, Ending Market Value + Accrued |
|---|--|---|---|---|--|--|
| 313397Q20<br>FEDERAL HOME LOAN MORTGAGE CORP            | 997,014.00<br>0.00                               | 0.00<br>-1,000,000.00                       | 0.00<br>0.00                              | 2,777.78<br>0.00  | 0.00<br>208.22   | 0.00<br>0.00   |
| 313397N49<br>FEDERAL HOME LOAN MORTGAGE CORP            | 997,850.00<br>0.00                               | 0.00<br>-1,000,000.00                       | 0.00<br>0.00                              | 2,020.00<br>0.00  | 0.00<br>130.00   | 0.00<br>0.00   |
| 02665WCL3<br>AMERICAN HONDA FINANCE CORP                | 0.00<br>700,686.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | -122.02<br>2,228.88                                     | 0.00<br>-3,290.48  | 0.00<br>699,502.38   |
| 313384FV6<br>FEDERAL HOME LOAN BANKS                    | 0.00<br>987,681.94                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 2,858.33<br>0.00  | 0.00<br>67.73  | 0.00<br>990,608.00   |
| 313312QL7<br>FEDERAL FARM CREDIT BANKS                  | 0.00<br>973,003.33                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 1,335.00<br>0.00  | 0.00<br>1,223.67   | 0.00<br>975,562.00   |
| 313384CY3<br>FEDERAL HOME LOAN BANKS                    | 0.00<br>745,817.50                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 697.08<br>0.00  | 0.00<br>6.92   | 0.00<br>746,521.50   |
| CCYUSD<br>Cash  | 48.69<br>0.00                                    | 0.00<br>0.00                                | 0.00<br>0.00                              | 0.00<br>0.00  | 0.00<br>0.00   | -46.81<br>1.88   |
| CCYUSD<br>Receivable                                    | 1,004,866.88<br>0.00                             | 0.00<br>0.00                                | 0.00<br>0.00                              | 0.00<br>0.00  | 0.00<br>0.00   | -1,003,103.63<br>1,763.25  |
| 22546QAC1<br>CREDIT SUISSE FIRST BOSTON NEW YORK BRANCH | 0.00<br>663,494.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | -3,806.00<br>13,205.83                                  | 0.00<br>-1,502.55  | 0.00<br>671,391.28   |
| 166764AR1<br>CHEVRON CORP                               | 0.00<br>197,310.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 316.80<br>1,285.54                                      | 0.00<br>365.20   | 0.00<br>199,277.54   |
| 3133EFLA3<br>FEDERAL FARM CREDIT BANKS FUNDING CORP     | 0.00<br>492,630.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 1,497.97<br>1,188.33                                    | 0.00<br>190.03   | 0.00<br>495,506.33   |
| 3134G9Q75<br>FEDERAL HOME LOAN MORTGAGE CORP            | 495,850.97<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 1,395.51<br>1,562.50                                    | 0.00<br>-171.51  | 0.00<br>498,637.47   |
| 14041NFE6<br>COMET 163 A                                | 247,745.11<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 833.77<br>0.00  | 0.00<br>-354.69  | 0.00<br>248,224.19   |
| 14041NFF3<br>COMET 164 A                                | 395,117.16<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 1,402.44<br>0.00  | 0.00<br>-325.60  | 0.00<br>396,194.00   |
| 17325FAB4<br>CITIBANK NA                                | 751,533.84<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 0.00<br>169.41  | 0.00<br>-738.00  | 0.00<br>750,965.25   |
| 65478GAD2<br>NAROT 17B A3                               | 443,786.26<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 551.96<br>0.00  | 0.00<br>783.37   | 0.00<br>445,121.59   |
| 65478GAD2<br>NAROT 17B A3                               | 0.00<br>295,464.84                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 249.90<br>233.33  | 0.00<br>799.65   | 0.00<br>296,747.72   |
| 90331HMK5<br>US BANK NA                                 | 703,400.21<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 0.00<br>166.71  | 0.00<br>-1,717.10  | 0.00<br>701,849.82   |
| 313397S28<br>FEDERAL HOME LOAN MORTGAGE CORP            | 996,003.00<br>0.00                               | 0.00<br>-1,000,000.00                       | 0.00<br>0.00                              | 3,685.00<br>0.00  | 0.00<br>312.00   | 0.00<br>0.00   |
| 544351MK2<br>LOS ANGELES CALIF                          | 765,590.83<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | -2,672.09<br>7,500.00                                   | 0.00<br>-155.41  | 0.00<br>770,263.33   |
| 313384LA5<br>FEDERAL HOME LOAN BANKS                    | 0.00<br>980,641.11                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 1,892.22<br>0.00  | 0.00<br>869.67   | 0.00<br>983,403.00   |

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# Roll Forward

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Identifier, Description  | Beginning Market Value + Accrued, Base Purchases | Base Sales, Base Maturities and Redemptions | Base Paydowns, Base Net Transferred Value | Base Amortization/ Accretion, Change In Accrued Balance | Net Realized Gain/ Loss, Base Change In Net Unrealized Gain/Loss | Base Change In Cash, Payables/Receivables, Ending Market Value + Accrued |
|--|--|---|---|---|--|--|
| 24422ERR2<br>JOHN DEERE CAPITAL CORP                               | 705,399.10<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 397.05<br>-3,937.50                                     | 0.00<br>-194.05  | 0.00<br>701,664.60   |
| 89236TBBO<br>TOYOTA MOTOR CREDIT CORP                              | 189,652.55<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 166.69<br>992.25  | 0.00<br>-92.79   | 0.00<br>190,718.70   |
| 17305EFS9<br>CCCIT 14A6 A6   | 399,850.04<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 536.48<br>2,150.00                                      | 0.00<br>-218.04  | 0.00<br>402,318.48   |
| 084670BL1<br>BERKSHIRE HATHAWAY INC                                | 698,347.07<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 755.88<br>3,675.00                                      | 0.00<br>-563.38  | 0.00<br>702,214.57   |
| 05531FAS2<br>BB&T CORP   | 0.00<br>248,092.50                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 210.45<br>2,824.31                                      | 0.00<br>-47.70   | 0.00<br>251,079.56   |
| 14912L6R7<br>CATERPILLAR FINANCIAL SERVICES CORP                   | 697,768.05<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 2,094.63<br>-2,362.50                                   | 0.00<br>-526.63  | 0.00<br>696,973.55   |
| 161571HC1<br>CHAIT 162 A   | 297,320.04<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 603.92<br>0.00  | 0.00<br>2.77   | 0.00<br>297,926.73   |
| 912828T83<br>UNITED STATES TREASURY                                | 1,002,075.59<br>0.00                             | 0.00<br>-1,000,000.00                       | 0.00<br>0.00                              | 469.33<br>-3,138.59                                     | 0.00<br>593.67   | 0.00<br>0.00   |
| 3130AAE46<br>FEDERAL HOME LOAN BANKS                               | 999,641.17<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 2,164.32<br>3,125.00                                    | 0.00<br>296.68   | 0.00<br>1,005,227.17   |
| 3130ACLX0<br>FEDERAL HOME LOAN BANKS                               | 497,341.49<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 1,343.71<br>-2,031.25                                   | 0.00<br>470.79   | 0.00<br>497,124.74   |
| 79766DKL2<br>SAN FRANCISCO CALIF CITY & CNTY ARPTS<br>COMMN INTL A | 751,230.63<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 0.00<br>-3,226.88                                       | 0.00<br>1,755.00   | 0.00<br>749,758.75   |
| 037833DH0<br>APPLE INC   | 0.00<br>494,665.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 490.57<br>1,200.00                                      | 0.00<br>759.43   | 0.00<br>497,115.00   |
| 45818WAV8<br>INTER-AMERICAN DEVELOPMENT BANK                       | 502,550.41<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | -123.93<br>138.26                                       | 0.00<br>122.93   | 0.00<br>502,687.67   |
| 313312EK2<br>FEDERAL FARM CREDIT BANKS                             | 986,976.00<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 5,494.44<br>0.00  | 0.00<br>480.56   | 0.00<br>992,951.00   |
| 92868LAB7<br>VALET 181 A2A   | 749,998.81<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 0.76<br>0.00  | 0.00<br>-367.21  | 0.00<br>749,632.36   |
| 63763QN37<br>National Securities Clearing Corporation              | 0.00<br>498,413.33                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 1,520.56<br>0.00  | 0.00<br>-31.39   | 0.00<br>499,902.50   |
| 313385R81<br>FEDERAL HOME LOAN BANKS                               | 996,124.00<br>0.00                               | 0.00<br>-1,000,000.00                       | 0.00<br>0.00                              | 3,662.22<br>0.00  | 0.00<br>213.78   | 0.00<br>0.00   |
| 313312LVO<br>FEDERAL FARM CREDIT BANKS                             | 975,430.00<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 6,567.78<br>0.00  | 0.00<br>14.22  | 0.00<br>982,012.00   |
| 92348XAB1<br>VZOT 18A A1B  | 0.00<br>800,000.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 0.00<br>722.70  | 0.00<br>-523.36  | 0.00<br>800,199.34   |
| 313312NS5<br>FEDERAL FARM CREDIT BANKS                             | 0.00<br>973,721.11                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 3,647.78<br>0.00  | 0.00<br>1,253.11   | 0.00<br>978,622.00   |
| 161571FK5<br>CHAIT 124 A   | 470,448.32<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 1,279.39<br>0.00  | 0.00<br>-261.13  | 0.00<br>471,466.58   |

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# Roll Forward

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Identifier, Description  | Beginning Market Value + Accrued, Base Purchases | Base Sales, Base Maturities and Redemptions | Base Paydowns, Base Net Transferred Value | Base Amortization/ Accretion, Change In Accrued Balance | Net Realized Gain/ Loss, Base Change In Net Unrealized Gain/Loss | Base Change In Cash, Payables/Receivables, Ending Market Value + Accrued |
|--|--|---|---|---|--|--|
| 06406HCP2<br>BANK OF NEW YORK MELLON CORP                          | 601,950.20<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 531.93<br>3,150.00                                      | 0.00<br>1.47   | 0.00<br>605,633.60   |
| 637432MX0<br>NATIONAL RURAL UTILITIES COOP<br>FINANCE CORP         | 451,024.35<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 522.74<br>2,418.75                                      | 0.00<br>-356.24  | 0.00<br>453,609.60   |
| 30231GAD4<br>EXXON MOBIL CORP                                      | 473,749.54<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 676.80<br>2,160.06                                      | 0.00<br>82.73  | 0.00<br>476,669.12   |
| 57636QAA2<br>MASTERCARD INC  | 503,859.00<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 576.39<br>-2,500.00                                     | 0.00<br>-863.89  | 0.00<br>501,071.50   |
| 40428HPN6<br>HSBC USA INC (NEW)                                    | 0.00<br>496,565.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 714.55<br>1,583.33                                      | 0.00<br>-462.55  | 0.00<br>498,400.33   |
| 166764BA7<br>CHEVRON CORP  | 503,021.25<br>0.00                               | 0.00<br>-500,000.00                         | 0.00<br>0.00                              | 19.57<br>-3,356.25                                      | 0.00<br>315.43   | 0.00<br>0.00   |
| 06050TME9<br>BANK OF AMERICA NA                                    | 502,913.83<br>0.00                               | 0.00<br>-500,000.00                         | 0.00<br>0.00                              | -73.31<br>-3,245.83                                     | 0.00<br>405.31   | 0.00<br>0.00   |
| 46625HQU7<br>JPMORGAN CHASE & CO                                   | 697,762.45<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 7.53<br>3,237.50  | 0.00<br>541.97   | 0.00<br>701,549.45   |
| 459058FC2<br>INTERNATIONAL BANK FOR<br>RECONSTRUCTION AND DEVELOPM | 498,959.97<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 420.57<br>-1,562.50                                     | 0.00<br>1,185.43   | 0.00<br>499,003.47   |
| 459058FC2<br>INTERNATIONAL BANK FOR<br>RECONSTRUCTION AND DEVELOPM | 299,375.98<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 931.50<br>-937.50                                       | 0.00<br>32.10  | 0.00<br>299,402.08   |
| 14041NFC0<br>COMET 161 A   | 601,941.06<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | -546.60<br>117.65                                       | 0.00<br>-243.00  | 0.00<br>601,269.10   |
| 3134G92B2<br>FEDERAL HOME LOAN MORTGAGE CORP                       | 498,511.86<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 1,690.08<br>1,187.50                                    | 0.00<br>67.42  | 0.00<br>501,456.86   |
| 3134G92B2<br>FEDERAL HOME LOAN MORTGAGE CORP                       | 498,511.86<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 1,609.11<br>1,187.50                                    | 0.00<br>148.39   | 0.00<br>501,456.86   |
| 17305EFW0<br>CCCIT 16A1 A1   | 447,142.17<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 1,116.76<br>-1,968.75                                   | 0.00<br>-146.52  | 0.00<br>446,143.66   |
| 3130ABF92<br>FEDERAL HOME LOAN BANKS                               | 997,327.92<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 2,102.21<br>-3,437.50                                   | 0.00<br>391.79   | 0.00<br>996,384.42   |
| 3133EHZG1<br>FEDERAL FARM CREDIT BANKS FUNDING<br>CORP             | 1,001,409.11<br>0.00                             | 0.00<br>-1,000,000.00                       | 0.00<br>0.00                              | 119.72<br>-3,611.11                                     | 0.00<br>2,082.28   | 0.00<br>0.00   |
| 798170AB2<br>SUCCESSOR AGENCY TO THE<br>REDEVELOPMENT AGENCY OF TH | 149,716.00<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 74.91<br>786.75   | 0.00<br>121.59   | 0.00<br>150,699.25   |
| 78607QAT2<br>SACRAMENTO CALIF SUBN WTR DIST REV                    | 503,207.67<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 0.00<br>-2,297.67                                       | 0.00<br>425.00   | 0.00<br>501,335.00   |
| 931142EF6<br>WALMART INC   | 701,602.24<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 0.00<br>117.94  | 0.00<br>-2,998.80  | 0.00<br>698,721.37   |

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# Roll Forward

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

Base Currency: USD

01 October 2018 to 31 December 2018

| Identifier, Description                               | Beginning Market Value + Accrued, Base Purchases | Base Sales, Base Maturities and Redemptions | Base Paydowns, Base Net Transferred Value | Base Amortization/ Accretion, Change In Accrued Balance | Net Realized Gain/ Loss, Base Change In Net Unrealized Gain/Loss | Base Change In Cash, Payables/Receivables, Ending Market Value + Accrued |
|---|--|---|---|---|--|--|
| 63763QK97<br>National Securities Clearing Corporation | 499,668.47<br>0.00                               | 0.00<br>-500,000.00                         | 0.00<br>0.00                              | 244.44<br>0.00  | 0.00<br>87.09  | 0.00<br>0.00   |
| 92512MKX6<br>Versailles Commercial Paper LLC          | 748,473.06<br>0.00                               | 0.00<br>-750,000.00                         | 0.00<br>0.00                              | 1,456.25<br>0.00  | 0.00<br>70.69  | 0.00<br>0.00   |
| 58772RAB0<br>MBART 181 A2A                            | 600,319.47<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 2.95<br>0.00  | 0.00<br>-741.19  | 0.00<br>599,581.23   |
| 63763QKG1<br>National Securities Clearing Corporation | 0.00<br>499,783.19                               | 0.00<br>-500,000.00                         | 0.00<br>0.00                              | 216.81<br>0.00  | 0.00<br>0.00   | 0.00<br>0.00   |
| 63763QLG0<br>National Securities Clearing Corporation | 0.00<br>499,052.78                               | 0.00<br>-500,000.00                         | 0.00<br>0.00                              | 947.22<br>0.00  | 0.00<br>0.00   | 0.00<br>0.00   |
| 313396AT0<br>FEDERAL HOME LOAN MORTGAGE CORP          | 893,896.20<br>0.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 4,945.00<br>0.00  | 0.00<br>206.60   | 0.00<br>899,047.80   |
| 88602UN89<br>Thunder Bay Funding, LLC                 | 0.00<br>795,736.00                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 3,881.33<br>0.00  | 0.00<br>-58.93   | 0.00<br>799,558.40   |
| 313312NG1<br>FEDERAL FARM CREDIT BANKS                | 0.00<br>486,703.89                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 2,556.94<br>0.00  | 0.00<br>484.17   | 0.00<br>489,745.00   |
| 92512MM30<br>Versailles Commercial Paper LLC          | 0.00<br>748,329.38                               | 0.00<br>-750,000.00                         | 0.00<br>0.00                              | 1,670.62<br>0.00  | 0.00<br>0.00   | 0.00<br>0.00   |
| 09702MNP4<br>The Boeing Company                       | 0.00<br>497,882.50                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 1,378.06<br>0.00  | 0.00<br>-50.54   | 0.00<br>499,210.02   |
| 48306BP66<br>Kaiser Foundation Hospitals              | 0.00<br>796,555.56                               | 0.00<br>0.00                                | 0.00<br>0.00                              | 1,444.44<br>0.00  | 0.00<br>-280.03  | 0.00<br>797,719.98   |
| 438124AC3<br>HAROT 163 A3                             | 193,340.88<br>0.00                               | 0.00<br>0.00                                | -61,737.37<br>0.00                        | 383.24<br>-25.86  | 0.00<br>-0.77  | 0.00<br>131,960.12   |
| 65477XAD6<br>NALT 16B A3                              | 143,307.07<br>0.00                               | 0.00<br>0.00                                | -143,357.40<br>0.00                       | 33.13<br>-95.57   | 0.00<br>112.76   | 0.00<br>0.00   |
| 055657AB6<br>BMWLT 171 A2                             | 34,874.30<br>0.00                                | 0.00<br>0.00                                | -34,892.31<br>0.00                        | 0.30<br>-17.48  | 0.00<br>35.20  | 0.00<br>0.00   |
| 055657AC4<br>BMWLT 171 A3                             | 398,651.56<br>0.00                               | 0.00<br>0.00                                | -25,633.74<br>0.00                        | 635.79<br>-15.51  | 0.00<br>-448.81  | 0.00<br>373,189.29   |
| 58769DAB6<br>MBALT 17A A2A                            | 84,571.14<br>0.00                                | 0.00<br>0.00                                | -71,530.97<br>0.00                        | 0.01<br>-48.64  | 0.00<br>17.06  | 0.00<br>13,008.60  |
| 89237WAD9<br>TAOT 16C A3                              | 228,750.98<br>0.00                               | 0.00<br>0.00                                | -63,314.40<br>0.00                        | 236.92<br>-32.08  | 0.01<br>207.20   | 0.00<br>165,848.63   |
| 90290AAB3<br>USAOT 171 A2                             | 87,209.08<br>0.00                                | 0.00<br>0.00                                | -67,888.63<br>0.00                        | 0.43<br>-46.47  | 0.01<br>125.75   | 0.00<br>19,400.17  |
| 0258MODZ9<br>AMERICAN EXPRESS CREDIT CORP             | 705,185.72<br>0.00                               | 0.00<br>-700,000.00                         | 0.00<br>0.00                              | 2.44<br>-5,322.92                                       | 3.66<br>131.09   | 0.00<br>0.00   |
| ---   | 44,463,210.46                                    | -15,842,238.73                              | -661,004.63                               | 81,584.50   | 3.68   | -1,003,150.44  |
| ---   | 31,140,663.00                                    | -13,700,000.00                              | 0.00                                      | 9,884.20  | 8,188.21   | 44,497,140.25  |

The information contained in this report represents estimated trade date investment calculations. Certain calculations may not be available for all time periods. Please refer to your custody statement for official portfolio holdings and transactions. Note that certain accounting methods may cause differences between this investment report and your custody statement.

# Roll Forward

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

\* Weighted by: Ending Base Market Value + Accrued. \* Holdings Displayed by: Lot.

The information contained in this report represents estimated trade date investment calculations. Certain calculations may not be available for all time periods. Please refer to your custody statement for official portfolio holdings and transactions. Note that certain accounting methods may cause differences between this investment report and your custody statement.

# Shock Analysis

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Identifier, Description                                | Security Type | Base Market Value | Book Yield, Yield | Duration | -50 Basis Point Shock, -100 Basis Point Shock, -200 Basis Point Shock | 50 Basis Point Shock, 100 Basis Point Shock, 200 Basis Point Shock |
|--|---------------|-------------------|-------------------|----------|---|--|
| 010831DL6<br>ALAMEDA CNTY CALIF JT PWRS AUTH LEASE REV | MUNI          | 499,170.00        | 2.49<br>2.85      | 0.42     | 500,213.29<br>501,256.63<br>503,343.46                                | 498,126.76<br>497,083.57<br>494,997.34                             |
| 02587AAJ3<br>AMXCA 171 A                               | ABS           | 494,086.50        | 2.82<br>2.92      | 1.50     | 497,784.85<br>501,483.42<br>508,881.23                                | 490,388.37<br>486,690.47<br>479,295.33                             |
| 02665WCL3<br>AMERICAN HONDA FINANCE CORP               | CORP          | 697,273.50        | 2.76<br>3.46      | 0.14     | 697,765.09<br>698,256.69<br>699,239.95                                | 696,781.93<br>696,290.38<br>695,307.33                             |
| 037833DH0<br>APPLE INC                                 | CORP          | 495,915.00        | 2.94<br>2.75      | 0.86     | 498,045.03<br>500,175.21<br>504,436.01                                | 493,785.12<br>491,655.39<br>487,396.37                             |
| 05531FAS2<br>BB&T CORP                                 | CORP          | 248,255.25        | 3.12<br>3.18      | 1.01     | 249,505.21<br>250,755.16<br>253,255.01                                | 247,005.28<br>245,755.29<br>243,255.29                             |
| 055657AC4<br>BMWLT 171 A3                              | ABS           | 372,962.80        | 3.03<br>3.08      | 0.34     | 373,594.99<br>374,227.20<br>375,491.71                                | 372,330.64<br>371,698.51<br>370,434.34                             |
| 05584PAB3<br>BMWLT 172 A2A                             | ABS           | 169,804.69        | 1.82<br>2.84      | 0.23     | 170,002.51<br>170,200.35<br>170,596.05                                | 169,606.87<br>169,409.06<br>169,013.47                             |
| 06051GFE4<br>BANK OF AMERICA CORP                      | CORP          | 741,090.02        | 2.56<br>3.09      | 0.01     | 741,134.49<br>741,178.95<br>741,267.88                                | 741,045.55<br>741,001.09<br>740,912.16                             |
| 06406HCP2<br>BANK OF NEW YORK MELLON CORP              | CORP          | 599,823.60        | 2.45<br>2.74      | 0.05     | 599,964.56<br>600,105.52<br>600,387.43                                | 599,682.64<br>599,541.68<br>599,259.77                             |
| 06406HCU1<br>BANK OF NEW YORK MELLON CORP              | CORP          | 249,421.25        | 2.18<br>2.80      | 0.38     | 249,888.91<br>250,356.57<br>251,291.86                                | 248,953.58<br>248,485.91<br>247,550.54                             |
| 07330NAL9<br>BRANCH BANKING AND TRUST CO               | CORP          | 447,389.10        | 2.67<br>3.05      | 0.36     | 448,196.65<br>449,004.24<br>450,619.52                                | 446,581.58<br>445,774.09<br>444,159.22                             |
| 084670BL1<br>BERKSHIRE HATHAWAY INC                    | CORP          | 696,620.40        | 2.54<br>2.88      | 0.61     | 698,759.09<br>700,897.89<br>705,175.87                                | 694,481.84<br>692,343.39<br>688,066.88                             |
| 09247XAE1<br>BLACKROCK INC                             | CORP          | 712,553.10        | 2.81<br>3.06      | 0.92     | 715,845.21<br>719,137.55<br>725,722.93                                | 709,261.22<br>705,969.57<br>699,386.97                             |
| 09702MNP4<br>The Boeing Company                        | CP            | 499,210.02        | 2.44<br>2.60      | 0.07     | 499,379.75<br>499,549.48<br>499,888.94                                | 499,040.28<br>498,870.55<br>498,531.09                             |
| 14041NFC0<br>COMET 161 A                               | ABS           | 600,542.82        | 2.56<br>2.23      | 0.08     | 600,792.05<br>601,041.27<br>601,539.72                                | 600,293.59<br>600,044.37<br>599,545.92                             |
| 14041NFE6<br>COMET 163 A                               | ABS           | 248,075.30        | 2.69<br>2.73      | 0.86     | 249,139.56<br>250,203.84<br>252,332.47                                | 247,011.07<br>245,946.86<br>243,818.53                             |

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# Shock Analysis

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Identifier, Description                                 | Security Type | Base Market Value | Book Yield, Yield | Duration | -50 Basis Point Shock, -100 Basis Point Shock, -200 Basis Point Shock | 50 Basis Point Shock, 100 Basis Point Shock, 200 Basis Point Shock |
|---|---------------|-------------------|-------------------|----------|---|--|
| 14041NFF3<br>COMET 164 A                                | ABS           | 395,957.56        | 2.75<br>2.97      | 0.62     | 397,183.08<br>398,408.68<br>400,860.07                                | 394,732.11<br>393,506.72<br>391,056.16                             |
| 14912L6R7<br>CATERPILLAR FINANCIAL SERVICES CORP        | CORP          | 695,844.80        | 2.56<br>2.90      | 0.38     | 697,177.37<br>698,509.99<br>701,175.39                                | 694,512.28<br>693,179.82<br>690,515.05                             |
| 161571FK5<br>CHAIT 124 A                                | ABS           | 471,133.03        | 2.67<br>2.90      | 0.62     | 472,588.87<br>474,044.79<br>476,956.89                                | 469,677.27<br>468,221.59<br>465,310.48                             |
| 161571HC1<br>CHAIT 162 A                                | ABS           | 297,744.06        | 2.18<br>2.71      | 0.86     | 299,021.40<br>300,298.76<br>302,853.59                                | 296,466.75<br>295,189.48<br>292,635.01                             |
| 166764AR1<br>CHEVRON CORP                               | CORP          | 197,992.00        | 3.00<br>2.89      | 1.15     | 199,125.52<br>200,259.08<br>202,526.29                                | 196,858.51<br>195,725.06<br>193,458.26                             |
| 17305EFS9<br>CCCIT 14A6 A6                              | ABS           | 398,352.92        | 2.68<br>2.91      | 0.53     | 399,416.55<br>400,480.22<br>402,607.73                                | 397,289.34<br>396,225.81<br>394,098.91                             |
| 17305EFW0<br>CCCIT 16A1 A1                              | ABS           | 445,224.91        | 2.75<br>2.96      | 0.87     | 447,170.61<br>449,116.45<br>453,008.52                                | 443,279.35<br>441,333.92<br>437,443.45                             |
| 17325FAB4<br>CITIBANK NA                                | CORP          | 750,182.25        | 3.13<br>3.07      | 0.22     | 751,022.47<br>751,862.73<br>753,543.37                                | 749,342.06<br>748,501.92<br>746,821.73                             |
| 22546QAC1<br>CREDIT SUISSE FIRST BOSTON NEW YORK BRANCH | CORP          | 658,185.45        | 2.82<br>2.97      | 0.60     | 660,169.94<br>662,154.54<br>666,124.09                                | 656,201.08<br>654,216.82<br>650,248.65                             |
| 24422ERR2<br>JOHN DEERE CAPITAL CORP                    | CORP          | 698,427.10        | 2.48<br>2.98      | 0.30     | 699,467.77<br>700,508.48<br>702,590.00                                | 697,386.46<br>696,345.86<br>694,264.75                             |
| 30231GAD4<br>EXXON MOBIL CORP                           | CORP          | 474,125.05        | 2.39<br>2.67      | 0.21     | 474,625.26<br>475,125.50<br>476,126.05                                | 473,624.86<br>473,124.69<br>472,124.43                             |
| 3130AAE46<br>FEDERAL HOME LOAN BANKS                    | AGCY BOND     | 999,498.00        | 2.12<br>2.28      | 0.05     | 999,742.88<br>999,987.75<br>1,000,477.51                              | 999,253.12<br>999,008.25<br>998,518.49                             |
| 3130ABF92<br>FEDERAL HOME LOAN BANKS                    | AGCY BOND     | 995,124.00        | 2.22<br>2.54      | 0.41     | 997,169.03<br>999,214.16<br>1,003,304.72                              | 993,079.07<br>991,034.24<br>986,944.88                             |
| 3130ACLX0<br>FEDERAL HOME LOAN BANKS                    | AGCY BOND     | 495,748.00        | 2.71<br>2.66      | 0.83     | 497,802.94<br>499,858.02<br>503,968.59                                | 493,693.19<br>491,638.52<br>487,529.59                             |
| 313312EK2<br>FEDERAL FARM CREDIT BANKS                  | AGCY DISC     | 992,951.00        | 2.19<br>2.38      | 0.30     | 994,420.59<br>995,890.23<br>998,829.67                                | 991,481.46<br>990,011.96<br>987,073.13                             |
| 313312LV0<br>FEDERAL FARM CREDIT BANKS                  | AGCY DISC     | 982,012.00        | 2.62<br>2.51      | 0.71     | 985,498.25<br>988,984.73<br>995,958.34                                | 978,525.97<br>975,040.16<br>968,069.20                             |

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# Shock Analysis

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Identifier, Description                             | Security Type | Base Market Value | Book Yield, Yield | Duration | -50 Basis Point Shock, -100 Basis Point Shock, -200 Basis Point Shock | 50 Basis Point Shock, 100 Basis Point Shock, 200 Basis Point Shock |
|---|---------------|-------------------|-------------------|----------|---|--|
| 313312NG1<br>FEDERAL FARM CREDIT BANKS              | AGCY DISC     | 489,745.00        | 2.68<br>2.53      | 0.81     | 491,718.73<br>493,692.59<br>497,640.67                                | 487,771.39<br>485,797.90<br>481,851.29                             |
| 313312NS5<br>FEDERAL FARM CREDIT BANKS              | AGCY DISC     | 978,622.00        | 2.73<br>2.59      | 0.83     | 982,688.31<br>986,754.89<br>994,888.85                                | 974,555.96<br>970,490.19<br>962,359.46                             |
| 313312QL7<br>FEDERAL FARM CREDIT BANKS              | AGCY DISC     | 975,562.00        | 2.73<br>2.59      | 0.95     | 980,176.41<br>984,790.82<br>994,019.63                                | 970,947.59<br>966,333.18<br>957,104.37                             |
| 313384CY3<br>FEDERAL HOME LOAN BANKS                | AGCY DISC     | 746,521.50        | 2.41<br>2.34      | 0.20     | 747,279.23<br>748,036.98<br>749,552.53                                | 745,763.79<br>745,006.10<br>743,490.77                             |
| 313384FV6<br>FEDERAL HOME LOAN BANKS                | AGCY DISC     | 990,608.00        | 2.48<br>2.44      | 0.39     | 992,524.83<br>994,441.65<br>998,275.31                                | 988,691.17<br>986,774.35<br>982,940.69                             |
| 313384LA5<br>FEDERAL HOME LOAN BANKS                | AGCY DISC     | 983,403.00        | 2.66<br>2.51      | 0.67     | 986,697.40<br>989,991.80<br>996,580.60                                | 980,108.60<br>976,814.20<br>970,225.40                             |
| 313396AT0<br>FEDERAL HOME LOAN MORTGAGE CORP        | AGCY DISC     | 899,047.80        | 2.17<br>2.04      | 0.06     | 899,295.04<br>899,542.28<br>900,036.75                                | 898,800.56<br>898,553.32<br>898,058.85                             |
| 3133EFC70<br>FEDERAL FARM CREDIT BANKS FUNDING CORP | AGCY BOND     | 748,775.25        | 1.40<br>2.19      | 0.15     | 749,329.35<br>749,883.47<br>750,991.77                                | 748,221.17<br>747,667.10<br>746,559.03                             |
| 3133EFLA3<br>FEDERAL FARM CREDIT BANKS FUNDING CORP | AGCY BOND     | 494,318.00        | 2.73<br>2.64      | 0.80     | 496,302.75<br>498,287.62<br>502,257.74                                | 492,333.38<br>490,348.87<br>486,380.24                             |
| 3134G92B2<br>FEDERAL HOME LOAN MORTGAGE CORP        | AGCY BOND     | 998,929.00        | 2.28<br>2.24      | 0.09     | 999,368.54<br>999,808.11<br>1,000,687.31                              | 998,489.48<br>998,049.99<br>997,171.08                             |
| 3134G9Q75<br>FEDERAL HOME LOAN MORTGAGE CORP        | AGCY BOND     | 495,946.50        | 2.38<br>2.70      | 0.57     | 497,352.55<br>498,758.67<br>501,571.13                                | 494,540.53<br>493,134.63<br>490,323.06                             |
| 31680GAD8<br>FITAT 151 A3                           | ABS           | 10,222.12         | 1.51<br>2.83      | 0.05     | 10,224.53<br>10,226.93<br>10,231.73                                   | 10,219.72<br>10,217.32<br>10,212.52                                |
| 369550BA5<br>GENERAL DYNAMICS CORP                  | CORP          | 250,171.75        | 2.97<br>2.86      | 1.33     | 251,834.22<br>253,496.83<br>256,822.52                                | 248,509.43<br>246,847.27<br>243,523.39                             |
| 40428HPN6<br>HSBC USA INC (NEW)                     | CORP          | 496,817.00        | 3.02<br>3.12      | 0.86     | 498,943.45<br>501,070.05<br>505,323.70                                | 494,690.70<br>492,564.54<br>488,312.69                             |
| 438124AC3<br>HAROT 163 A3                           | ABS           | 131,904.55        | 2.04<br>3.27      | 0.27     | 132,085.26<br>132,265.98<br>132,627.44                                | 131,723.84<br>131,543.14<br>131,181.77                             |
| 45818WAV8<br>INTER-AMERICAN DEVELOPMENT BANK        | SOVEREIGN GOV | 500,005.00        | 2.42<br>2.35      | 0.05     | 500,122.50<br>500,240.00<br>500,475.00                                | 499,887.50<br>499,770.00<br>499,535.00                             |

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# Shock Analysis

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Identifier, Description   | Security Type | Base Market Value | Book Yield, Yield | Duration | -50 Basis Point Shock, -100 Basis Point Shock, -200 Basis Point Shock | 50 Basis Point Shock, 100 Basis Point Shock, 200 Basis Point Shock |
|---|---------------|-------------------|-------------------|----------|---|--|
| 4581X0CH9<br>INTER-AMERICAN DEVELOPMENT BANK                    | SOVEREIGN GOV | 992,566.00        | 2.69<br>2.68      | 0.78     | 996,447.06<br>1,000,328.36<br>1,008,091.72                            | 988,685.19<br>984,804.63<br>977,044.25                             |
| 459058FC2<br>INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPM | SOVEREIGN GOV | 796,600.00        | 1.93<br>2.54      | 0.32     | 797,890.52<br>799,181.10<br>801,762.45                                | 795,309.54<br>794,019.14<br>791,438.51                             |
| 46625HQU7<br>JPMORGAN CHASE & CO                                | CORP          | 697,988.20        | 1.85<br>3.07      | 0.23     | 698,790.90<br>699,593.64<br>701,199.22                                | 697,185.53<br>696,382.90<br>694,777.73                             |
| 47788CAB8<br>JDOT 2018 A2                                       | ABS           | 140,593.93        | 2.44<br>2.90      | 0.38     | 140,861.76<br>141,129.62<br>141,665.36                                | 140,326.10<br>140,058.29<br>139,522.71                             |
| 48306BP66<br>Kaiser Foundation Hospitals                        | CP            | 797,719.98        | 2.52<br>2.94      | 0.10     | 798,134.80<br>798,549.64<br>799,379.39                                | 797,305.17<br>796,890.39<br>796,060.88                             |
| 544351MK2<br>LOS ANGELES CALIF                                  | MUNI          | 756,180.00        | 2.55<br>2.72      | 0.66     | 758,656.57<br>761,133.28<br>766,087.17                                | 753,703.59<br>751,227.32<br>746,275.25                             |
| 57636QAA2<br>MASTERCARD INC                                     | CORP          | 498,571.50        | 2.46<br>3.10      | 0.25     | 499,204.70<br>499,837.92<br>501,104.44                                | 497,938.33<br>497,305.18<br>496,038.96                             |
| 58769DAB6<br>MBALT 17A A2A                                      | ABS           | 12,999.76         | 1.53<br>2.88      | 0.05     | 13,002.81<br>13,005.87<br>13,011.98                                   | 12,996.70<br>12,993.65<br>12,987.54                                |
| 58772RAB0<br>MBART 181 A2A                                      | ABS           | 598,858.56        | 2.73<br>3.04      | 0.56     | 600,529.43<br>602,200.40<br>605,542.66                                | 597,187.80<br>595,517.14<br>592,176.14                             |
| 637432MX0<br>NATIONAL RURAL UTILITIES COOP FINANCE CORP         | CORP          | 449,578.35        | 2.62<br>3.17      | 0.09     | 449,780.67<br>449,982.99<br>450,387.68                                | 449,376.05<br>449,173.75<br>448,769.20                             |
| 63763QN37<br>National Securities Clearing Corporation           | CP            | 499,902.50        | 2.40<br>2.84      | 0.01     | 499,937.49<br>499,972.49<br>500,042.47                                | 499,867.51<br>499,832.51<br>499,762.53                             |
| 65478GAD2<br>NAROT 17B A3                                       | ABS           | 741,285.97        | 2.75<br>2.96      | 0.96     | 744,855.41<br>748,425.11<br>755,565.37                                | 737,716.82<br>734,147.95<br>727,011.03                             |
| 69353RCH9<br>PNC BANK NA  | CORP          | 749,619.00        | 2.20<br>2.79      | 0.08     | 749,926.35<br>750,233.72<br>750,848.53                                | 749,311.67<br>749,004.35<br>748,389.77                             |
| 78607QAT2<br>SACRAMENTO CALIF SUBN WTR DIST REV                 | MUNI          | 499,075.00        | 2.71<br>2.91      | 0.82     | 501,133.75<br>503,192.64<br>507,310.84                                | 497,016.38<br>494,957.91<br>490,841.36                             |
| 797669XS2<br>SAN FRANCISCO CALIF BAY AREA RAPID TRAN DIST SALES | MUNI          | 747,750.00        | 2.01<br>2.59      | 0.49     | 749,600.73<br>751,451.55<br>755,153.47                                | 745,899.37<br>744,048.82<br>740,348.02                             |
| 79766DKL2<br>SAN FRANCISCO CALIF CITY & CNTY ARPTS COMMN INTL A | MUNI          | 747,607.50        | 1.72<br>2.64      | 0.34     | 748,859.77<br>750,112.10<br>752,616.92                                | 746,355.29<br>745,103.13<br>742,598.98                             |

The information contained in this report represents estimated trade date investment calculations. Certain calculations may not be available for all time periods. Please refer to your custody statement for official portfolio holdings and transactions. Note that certain accounting methods may cause differences between this investment report and your custody statement.

# Shock Analysis

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

| Identifier, Description   | Security Type | Base Market Value | Book Yield, Yield | Duration | -50 Basis Point Shock, -100 Basis Point Shock, -200 Basis Point Shock | 50 Basis Point Shock, 100 Basis Point Shock, 200 Basis Point Shock |
|---|---------------|-------------------|-------------------|----------|---|--|
| 798170AB2<br>SUCCESSOR AGENCY TO THE REDEVELOPMENT AGENCY OF TH | MUNI          | 149,388.00        | 2.30<br>2.77      | 0.58     | 149,819.74<br>150,251.51<br>151,115.10                                | 148,956.28<br>148,524.58<br>147,661.25                             |
| 88602UN89<br>Thunder Bay Funding, LLC                           | CP            | 799,558.40        | 2.48<br>2.75      | 0.03     | 799,666.34<br>799,774.28<br>799,990.16                                | 799,450.46<br>799,342.52<br>799,126.64                             |
| 89236TBB0<br>TOYOTA MOTOR CREDIT CORP                           | CORP          | 188,910.60        | 2.45<br>3.06      | 0.05     | 188,959.72<br>189,008.84<br>189,107.07                                | 188,861.49<br>188,812.37<br>188,714.14                             |
| 89237WAD9<br>TAOT 16C A3  | ABS           | 165,764.09        | 1.67<br>3.15      | 0.33     | 166,035.12<br>166,306.16<br>166,848.29                                | 165,493.07<br>165,222.07<br>164,680.09                             |
| 90290AAB3<br>USAOT 171 A2                                       | ABS           | 19,386.90         | 1.55<br>2.93      | 0.05     | 19,391.45<br>19,396.01<br>19,405.12                                   | 19,382.34<br>19,377.78<br>19,368.67                                |
| 90331HMK5<br>US BANK NA   | CORP          | 698,046.30        | 2.61<br>3.38      | 0.05     | 698,217.32<br>698,388.34<br>698,730.39                                | 697,875.28<br>697,704.26<br>697,362.21                             |
| 9128282K5<br>UNITED STATES TREASURY                             | US GOV        | 993,164.00        | 1.50<br>2.56      | 0.58     | 996,049.22<br>998,934.58<br>1,004,705.76                              | 990,278.93<br>987,394.02<br>981,624.63                             |
| 912828U73<br>UNITED STATES TREASURY                             | US GOV        | 988,086.00        | 2.76<br>2.63      | 0.95     | 992,769.70<br>997,453.75<br>1,006,822.88                              | 983,402.65<br>978,719.64<br>969,354.66                             |
| 92348XAB1<br>VZOT 18A A1B                                       | ABS           | 799,476.64        | 2.72<br>2.95      | -0.02    | 799,384.70<br>799,292.76<br>799,108.88                                | 799,568.58<br>799,660.52<br>799,844.40                             |
| 92868LAB7<br>VALET 181 A2A                                      | ABS           | 748,988.40        | 2.83<br>3.00      | 0.70     | 751,624.93<br>754,261.65<br>759,535.65                                | 746,352.05<br>743,715.90<br>738,444.14                             |
| 931142EF6<br>WALMART INC  | CORP          | 698,275.90        | 2.91<br>3.06      | 0.23     | 699,064.97<br>699,854.07<br>701,432.39                                | 697,486.87<br>696,697.87<br>695,119.97                             |
| 94975P405<br>WELLS FRGO GOVERNMENT CL I MMF                     | MMFUND        | 460,253.00        | 2.43<br>2.43      | 0.00     | 460,253.01<br>460,253.02<br>460,253.09                                | 460,253.01<br>460,253.02<br>460,253.09                             |
| CCYUSD<br>Cash  | CASH          | 1.88              | 0.00<br>0.00      | 0.00     | 1.88<br>1.88<br>1.88  | 1.88<br>1.88<br>1.88   |
| CCYUSD<br>Receivable  | CASH          | 1,763.25          | 0.00<br>0.00      | 0.00     | 1,763.25<br>1,763.25<br>1,763.25                                      | 1,763.25<br>1,763.25<br>1,763.25                                   |
| ---   | ---           | 44,349,253.55     | 2.48<br>2.74      | 0.45     | 44,448,170.92<br>44,547,093.30<br>44,744,953.10                       | 44,250,341.19<br>44,151,433.84<br>43,953,634.17                    |

The information contained in this report represents estimated trade date investment calculations. Certain calculations may not be available for all time periods. Please refer to your custody statement for official portfolio holdings and transactions. Note that certain accounting methods may cause differences between this investment report and your custody statement.



# Shock Analysis

Base Currency: USD

01 October 2018 to 31 December 2018

WC-Contra Costa County

Account: XXX235

Primary Benchmark: ICE BofAML US 6-Month Treasury Bill Index

Investment Strategy: Short Duration Fixed Income

\* Weighted by: Ending Base Market Value + Accrued, except Book Yield by Base Book Value + Accrued.

\*The shock analysis includes a yield floor of 0.

The information contained in this report represents estimated trade date investment calculations. Certain calculations may not be available for all time periods. Please refer to your custody statement for official portfolio holdings and transactions. Note that certain accounting methods may cause differences between this investment report and your custody statement.



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 Omaha, NE 68154-9150  
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 Fax: 402-963-9094  
 Phone: 833-CALTRUST (225-8787)

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**Investment Account Summary**

12/01/2018 through 12/31/2018

**SUMMARY OF INVESTMENTS**

| Fund   | Account Number     | Total Shares Owned | Net Asset Value per Share on Dec 31 (\$) | Value on Dec 31 (\$)  | Average Cost Amount (\$) | Cumulative Unrealized Gain/(Loss) (\$) |
|--|--------------------|--------------------|--|-----------------------|--------------------------|--|
| <b>CONTRA COSTA COUNTY</b>                     | <b>20100000410</b> |                    |  |                       |                          |  |
| CalTRUST Short Term Fund                       | 20100000410        | 4,549,470.070      | 10.00                                    | 45,494,700.70         | 45,503,949.25            | (9,248.55)                             |
| CalTRUST Liquidity Fund                        | 20100000410        | 85,007,249.290     | 1.00                                     | 85,007,249.29         | 85,007,249.29            | 0.00                                   |
| <b>Portfolios Total value as of 12/31/2018</b> |                    |                    |  | <b>130,501,949.99</b> |                          |  |

**DETAIL OF TRANSACTION ACTIVITY**

| Activity Description            | Activity Date | Amount (\$)                | Amount in Shares | Balance in Shares     | Price per Share (\$) | Balance (\$)                        | Average Cost Amt (\$) | Realized Gain/(Loss) (\$) |
|---------------------------------|---------------|----------------------------|------------------|-----------------------|----------------------|-------------------------------------|-----------------------|---------------------------|
| <b>CalTRUST Short Term Fund</b> |               | <b>CONTRA COSTA COUNTY</b> |                  |                       |                      | <b>Account Number: 201 00000410</b> |                       |                           |
| Beginning Balance               | 12/01/2018    |                            |                  | 5,549,470.070         | 10.00                | 55,494,700.70                       |                       |                           |
| Redemption                      | 12/21/2018    | 50,000,000.00              | 5,000,000.000    | 549,470.070           | 10.00                | 5,494,700.70                        | 50,084,158.79         | (84,158.79)               |
| Purchase                        | 12/26/2018    | 40,000,000.00              | 4,000,000.000    | 4,549,470.070         | 10.00                | 45,494,700.70                       | 0.00                  | 0.00                      |
| Accrual Income Div Cash         | 12/31/2018    | 91,848.13                  | 0.000            | 4,549,470.070         | 10.00                | 45,494,700.70                       | 0.00                  | 0.00                      |
| Unrealized Gain/(Loss)          |               |                            |                  |                       |                      | 0.00                                |                       |                           |
| <b>Closing Balance as of</b>    | <b>Dec 31</b> |                            |                  | <b>4,549,470.070</b>  | <b>10.00</b>         | <b>45,494,700.70</b>                |                       |                           |
| <b>CalTRUST Liquidity Fund</b>  |               | <b>CONTRA COSTA COUNTY</b> |                  |                       |                      | <b>Account Number: 201 00000410</b> |                       |                           |
| Beginning Balance               | 12/01/2018    |                            |                  | 85,007,249.290        | 1.00                 | 85,007,249.29                       |                       |                           |
| Accrual Income Div Cash         | 12/31/2018    | 173,252.91                 | 0.000            | 85,007,249.290        | 1.00                 | 85,007,249.29                       | 0.00                  | 0.00                      |
| Unrealized Gain/(Loss)          |               |                            |                  |                       |                      | 0.00                                |                       |                           |
| <b>Closing Balance as of</b>    | <b>Dec 31</b> |                            |                  | <b>85,007,249.290</b> | <b>1.00</b>          | <b>85,007,249.29</b>                |                       |                           |

Please note that this information should not be construed as tax advice and it is recommended that you consult with a tax professional regarding your account.



|                         | CalTRUST Short Term | LAIF     |                  | CalTRUST Short Term Net Total Return | CalTRUST Short Term Yield | LAIF Yield |
|-------------------------|---------------------|----------|------------------|--------------------------------------|---------------------------|------------|
| Market Value            | \$1,274,417,633.53  | N/A      | One Month        | 0.20%                                | 0.20%                     | 0.19%      |
| NAV per Share           | \$10.00             | N/A      | Three Month      | 0.50%                                | 0.59%                     | 0.56%      |
| 30 day SEC Yield        | 2.54%               | 2.29%    | Six Month        | 1.03%                                | 1.13%                     | 1.07%      |
| Period Net Total Return | 0.20%               | 0.19%    | One Year*        | 1.90%                                | 2.00%                     | 1.87%      |
| Effective Duration      | 0.38 yrs            | N/A      | Two Year*        | 1.45%                                | 1.56%                     | 1.43%      |
| Average Maturity        | 0.82 yrs            | 0.53 yrs | Three Year*      | 1.26%                                | 1.29%                     | 1.15%      |
| Weighted Average Life   | 0.76 yrs            | 0.53 yrs | Five Year*       | 0.89%                                | 0.95%                     | 0.80%      |
|                         |                     |          | Ten Year*        | 0.89%                                | 0.76%                     | 0.68%      |
|                         |                     |          | Since Inception* | 1.72%                                | 1.71%                     | 1.63%      |
|                         |                     |          | *Annualized      |                                      |                           |            |

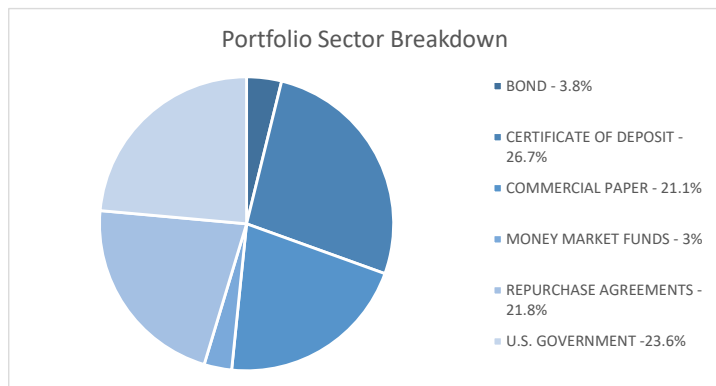
Portfolio Sector Breakdown

| Sector                  | Percentage |
|-------------------------|------------|
| BOND                    | 30.1%      |
| ASSET BACKED SECURITIES | 15.0%      |
| CERTIFICATE OF DEPOSIT  | 15.0%      |
| MONEY MARKET FUNDS      | 2.3%       |
| COMMERCIAL PAPER        | 2.9%       |
| AGENCY BONDS            | 1.6%       |

Rated AAF by S&P Global Ratings



|                           | CalTRUST Liquidity | BofAML 3-Month US Treasury Bill Index |                  | CalTRUST Liquidity Return                      | BofAML 3-Month US Treasury Bill Index |
|---------------------------|--------------------|---------------------------------------|------------------|--|---------------------------------------|
| Market Value              | \$275,569,049.13   | N/A                                   | One Month        | 0.21%  | 0.18%                                 |
| NAV per Share             | \$1.00             | N/A                                   | Three Month      | 0.59%  | 0.56%                                 |
| 30 Day SEC Yield          | 2.40%              | N/A                                   | Six Month        | 1.06%  | 1.06%                                 |
| Period Net Total Return   | 0.21%              | 0.18%                                 | One Year*        | NA   | NA                                    |
| Effective Duration        | 0.10 yrs           | 0.16 yrs                              | Two Year*        | NA   | NA                                    |
| Weighted Average Maturity | 35 days            | N/A                                   | Three Year*      | NA   | NA                                    |
| Weighted Average Life     | 64 days            | N/A                                   | Five Year*       | NA   | NA                                    |
|                           |                    |                                       | Ten Year*        | NA   | NA                                    |
|                           |                    |                                       | Since Inception* | 1.07%  | 1.08%                                 |
|                           |                    |                                       | *Annualized      |  |                                       |
|                           |                    |                                       |                  | CalTRUST Historical Liquidity 30 day SEC Yield |                                       |
|                           |                    |                                       | 12/18            | 2.40%  |                                       |
|                           |                    |                                       | 11/18            | 2.26%  |                                       |
|                           |                    |                                       | 10/18            | 2.14%  |                                       |
|                           |                    |                                       | 9/18             | 1.96%  |                                       |
|                           |                    |                                       | 8/18             | 1.94%  |                                       |
|                           |                    |                                       | 7/18             | 1.83%  |                                       |
|                           |                    |                                       |                  |  | Rated AAAm by S&P Global Ratings      |



**Account**

|                     |
|---------------------|
| Select              |
| Balance             |
| Activity            |
| General Information |
| Audit Documents     |
| Statements          |
| Bank Information    |

**Fund**

|                       |
|-----------------------|
| Yield and NAV History |
| Holdings              |
| Assets by Fund        |

**Transactions**

|                          |
|--------------------------|
| Online Purchase          |
| Online Redemption        |
| Online Transfer          |
| Transaction Request Form |
| Pending Trades           |

**User Maintenance**

|                 |
|-----------------|
| Change Password |
|-----------------|

**Account Number:** 2010000410  
**Account Name:** CONTRA COSTA COUNTY

Log Out

**Holdings**

Data

Fund :    
 As Of Date :

Options

Holdings

| CUSIP     | Description                    | Fitch Rating<br>12/31/2018 | Moody's Rating<br>12/31/2018 | Mat. Date  | Years | Shares        | Price         | Value           |                 |
|-----------|--------------------------------|----------------------------|------------------------------|------------|-------|---------------|---------------|-----------------|-----------------|
| 02007JAB3 | ALLYA 2018-3 A2                |                            | Aaa                          | 05/17/2021 | 2.4   | 4,900,000.00  | 99.8391       | \$4,892,117.86  |                 |
| 025816BV0 | AXP 0 05/17/21                 | A                          | A3                           | 05/17/2021 | 0.1   | 1,660,000.00  | 99.5499       | \$1,652,527.58  |                 |
| 02582JGN4 | AMXCA 2014-1 A                 | AAA                        | NR                           | 12/15/2021 | 0.0   | 5,395,000.00  | 100.0647      | \$5,398,488.41  |                 |
| 02665WBJ9 | HNDA 0 09/09/21                |                            | A2                           | 09/09/2021 | 0.2   | 6,500,000.00  | 99.8971       | \$6,493,308.58  |                 |
| 02665WBS9 | HNDA 0 07/20/20                |                            | A2                           | 07/20/2020 | 0.1   | 6,000,000.00  | 99.5711       | \$5,974,265.16  |                 |
| 03065HAB4 | AMCAR 2017-3<br>A2A            |                            | Aaa                          | 12/18/2020 | 2.0   | 1,462,977.30  | 99.6564       | \$1,457,949.78  |                 |
| 03065TAD4 | AMCAR 2016-4 A3                | AAA                        |                              | 07/08/2021 | 2.5   | 7,090,650.05  | 99.4801       | \$7,053,782.92  |                 |
| 03066HAB3 | AMCAR 2018-1<br>A2A            | AAA                        |                              | 07/19/2021 | 2.6   | 5,655,610.02  | 99.8256       | \$5,645,746.64  |                 |
| 05253JAT8 | ANZ 2 1/4<br>11/09/20          | AA-                        | Aa3                          | 11/09/2020 | 1.9   | 1,630,000.00  | 98.4238       | \$1,604,307.70  |                 |
| 05531FAT0 | BBT 0 01/15/20                 | A+                         | A2                           | 01/15/2020 | 0.0   | 2,459,000.00  | 100.1927      | \$2,463,739.08  |                 |
| 05574LXH6 | BNP 2.45<br>03/17/19           | A+                         | Aa3                          | 03/17/2019 | 0.2   | 3,000,000.00  | 99.8843       | \$2,996,527.80  |                 |
| 05586FEE3 | BNP FLOAT<br>04/18/19          |                            |                              | 04/18/2019 | 0.0   | 10,000,000.00 | 99.9430       | \$9,994,300.00  |                 |
| 06051GGN3 | BAC 0 07/21/21                 | A+                         | A3                           | 07/21/2021 | 0.1   | 12,500,000.00 | 99.5808       | \$12,447,593.88 |                 |
| 06367T4X5 | BMO 0 04/13/21                 | AA-                        | Aa2                          | 04/13/2021 | 0.0   | 10,000,000.00 | 99.4011       | \$9,940,106.00  |                 |
| 06367TYM6 | BMO 0 06/15/20                 | AA-                        | Aa2                          | 06/15/2020 | 0.2   | 4,000,000.00  | 99.8105       | \$3,992,421.76  |                 |
| 06370RLN7 | BANK OF<br>MONTREAL<br>CASH/CD |                            |                              | 02/01/2019 | 0.1   | 11,000,000.00 | 99.9937       | \$10,999,305.90 |                 |
| 06405LAA9 | BANK OF NEW<br>YORK MELLON     | AA                         | Aa2                          | 12/04/2020 | 0.2   | 8,000,000.00  | 99.8320       | \$7,986,560.00  |                 |
| 064159LH7 | BNS 0 04/20/21                 | AA-                        | Aa2                          | 04/20/2021 | 0.1   | 7,000,000.00  | 99.4408       | \$6,960,853.90  |                 |
| 06417GK98 | BANK OF NOVA<br>SCOTIA         |                            |                              | 02/15/2019 | 0.1   | 4,000,000.00  | 100.0000      | \$4,000,000.00  |                 |
| 06739FJK8 | BACR 0 01/11/21                | A+                         | A2                           | 01/11/2021 | 0.0   | 5,000,000.00  | 98.5490       | \$4,927,450.00  |                 |
| 06742TJK3 | BARCLAYS BANK<br>PLC NEW YOR   |                            |                              | 09/19/2019 | 0.7   | 10,000,000.00 | 99.9538       | \$9,995,383.80  |                 |
| 06744CFV8 | BACR 0 08/07/20                | A+                         | A2                           | 08/07/2020 | 0.1   | 5,000,000.00  | 99.7700       | \$4,988,500.80  |                 |
| 06744CFY2 | BACR 0 08/07/19                | A+                         | A2                           | 08/07/2019 | 0.1   | 4,304,000.00  | 99.9746       | \$4,302,908.08  |                 |
| 07330NAM7 | BBT 0 05/01/19                 | A+                         | A1                           | 05/01/2019 | 0.1   | 8,000,000.00  | 100.0470      | \$8,003,758.96  |                 |
| 07330NAP0 | BBT 0 01/15/20                 | A+                         | A1                           | 01/15/2020 | 0.0   | 2,000,000.00  | 99.9435       | \$1,998,870.56  |                 |
| 09248U700 | BLACKROCK LIQ<br>FDS FEDFUND   |                            |                              |            | 0     | 0.0           | 29,894,322.81 | 100.0000        | \$29,894,322.81 |
| 130179KN3 | CHAPMAN<br>UNIVERSITY          |                            | A2                           | 04/01/2019 | 0.2   | 2,000,000.00  | 99.6890       | \$1,993,780.00  |                 |

| CUSIP     | Description                     | Fitch<br>Rating<br>12/31/2018 | Moodys<br>12/31/2018 | Mat. Date  | Years | Shares        | Price    | Value           |
|-----------|---------------------------------|-------------------------------|----------------------|------------|-------|---------------|----------|-----------------|
| 13063A7G3 | CALIFORNIA ST                   | AA-                           | Aa3                  | 10/01/2019 | 0.8   | 2,900,000.00  | 102.5050 | \$2,972,645.00  |
| 13606BVN3 | CANADIAN<br>IMPERIAL BANK<br>OF |                               |                      | 04/16/2019 | 0.3   | 8,000,000.00  | 99.9383  | \$7,995,064.00  |
| 14314RAH5 | CARMX 2017-4<br>A2A             | AAA                           |                      | 04/15/2021 | 2.3   | 3,760,890.87  | 99.5774  | \$3,744,997.72  |
| 14314XAB5 | CARMX 2018-2 A2                 | AAA                           |                      | 08/16/2021 | 2.6   | 5,995,895.19  | 99.7806  | \$5,982,741.40  |
| 14913Q2P3 | CAT 0 09/07/21                  | A                             | A3                   | 09/07/2021 | 0.2   | 2,225,000.00  | 99.3191  | \$2,209,850.55  |
| 161571HC1 | CHAIT 2016-A2                   | AAA                           | NR                   | 06/15/2021 | 2.5   | 4,916,000.00  | 99.2480  | \$4,879,032.66  |
| 161571HJ6 | CHAIT 2017-A1 A                 | AAA                           | NR                   | 01/18/2022 | 0.0   | 6,000,000.00  | 100.0485 | \$6,002,910.60  |
| 161571HN7 | CHAIT 2018-A1 A1                | AAA                           |                      | 04/17/2023 | 0.0   | 8,230,000.00  | 99.6921  | \$8,204,661.48  |
| 172967KT7 | C 0 06/07/19                    | A                             | Baa1                 | 06/07/2019 | 0.2   | 2,000,000.00  | 100.2354 | \$2,004,707.06  |
| 17305EFW0 | CCCIT 2016-A1 A1                | AAA                           | Aaa                  | 11/19/2021 | 2.9   | 7,425,000.00  | 98.9389  | \$7,346,211.10  |
| 17305EGB5 | CCCIT 2017-A3 A3                | AAA                           | NR                   | 04/07/2022 | 3.3   | 5,875,000.00  | 98.7245  | \$5,800,066.73  |
| 17305EGK5 | CCCIT 2018-A1 A1                | AAA                           | Aaa                  | 01/20/2023 | 4.1   | 5,400,000.00  | 99.1936  | \$5,356,454.94  |
| 17325FAK4 | C 0 10/20/20                    | A+                            | A1                   | 10/20/2020 | 0.1   | 9,500,000.00  | 99.2940  | \$9,432,930.00  |
| 17325FAM0 | C 0 02/12/21                    | A+                            | A1                   | 02/12/2021 | 0.1   | 7,000,000.00  | 98.9396  | \$6,925,772.84  |
| 20271ENGO | CBAAU FLOAT<br>03/18/19         |                               |                      | 03/18/2019 | 0.2   | 8,500,000.00  | 100.0699 | \$8,505,941.50  |
| 21684B5J9 | RABOBK FLOAT<br>04/05/19        |                               |                      | 04/05/2019 | 0.0   | 8,000,000.00  | 100.0329 | \$8,002,633.20  |
| 21688AAP7 | RABOBK 0<br>04/26/21            |                               | Aa3                  | 04/26/2021 | 0.1   | 6,000,000.00  | 99.4990  | \$5,969,942.10  |
| 22546QAN7 | CS 2.3 05/28/19                 | A                             | A1                   | 05/28/2019 | 0.4   | 7,500,000.00  | 99.7040  | \$7,477,800.83  |
| 22549LLF0 | CS FLOAT<br>02/01/19            |                               |                      | 02/01/2019 | 0.0   | 12,000,000.00 | 99.9580  | \$11,994,960.00 |
| 24422ETY5 | DE 0 01/08/21                   | A                             | A2                   | 01/08/2021 | 0.0   | 1,355,000.00  | 99.4290  | \$1,347,262.90  |
| 24422EUF4 | DE 0 01/07/20                   | A                             | A2                   | 01/07/2020 | 0.0   | 10,000,000.00 | 99.9792  | \$9,997,924.80  |
| 254683BK0 | DCENT 2014-A4<br>A4             | AAA                           | Aaa                  | 12/15/2021 | 3.0   | 6,815,000.00  | 99.6272  | \$6,789,594.36  |
| 26209BAB5 | DRIVE 2018-4 A2A                |                               | Aaa                  | 10/15/2020 | 1.8   | 7,550,000.00  | 99.8928  | \$7,541,903.38  |
| 263534CM9 | DD 0 05/01/20                   | A                             | A3                   | 05/01/2020 | 0.1   | 5,800,000.00  | 100.0505 | \$5,802,931.73  |
| 29270CYM1 | ENERGY N W WA<br>ELEC REVENU    | AA                            | Aa1                  | 07/01/2019 | 0.5   | 7,510,000.00  | 99.7550  | \$7,491,600.50  |
| 3130AAM88 | FHLB 1 5/8<br>01/18/19          |                               | Aaa                  | 01/18/2019 | 0.0   | 20,000,000.00 | 99.9613  | \$19,992,260.00 |
| 34528FAB4 | FORDO 2018-A<br>A2A             | AAA                           |                      | 02/15/2021 | 2.1   | 6,407,519.01  | 99.8139  | \$6,395,591.41  |
| 369550BA5 | GD 2 7/8 05/11/20               | WD                            | A2                   | 05/11/2020 | 1.4   | 10,385,000.00 | 100.0687 | \$10,392,131.69 |
| 369550BF4 | GD 0 05/11/21                   | WD                            | A2                   | 05/11/2021 | 0.1   | 2,750,000.00  | 99.6615  | \$2,740,690.48  |
| 375558BB8 | GILD 2.55<br>09/01/20           |                               | A3                   | 09/01/2020 | 1.7   | 20,000,000.00 | 99.1912  | \$19,838,249.80 |
| 38141GVV3 | GS 0 04/25/19                   | A                             | A3                   | 04/25/2019 | 0.1   | 11,293,000.00 | 100.1664 | \$11,311,796.75 |
| 40434CAC9 | HSBC 2 1/4<br>06/23/19          | AA-                           | A2                   | 06/23/2019 | 0.5   | 2,500,000.00  | 99.6004  | \$2,490,010.05  |
| 419792WZ5 | HAWAII ST TXBL -<br>SER FU      | AA                            | Aa1                  | 01/01/2019 | 0.0   | 1,200,000.00  | 100.0000 | \$1,200,000.00  |
| 438124AD1 | HAROT 2016-3 A4                 |                               | Aaa                  | 11/18/2022 | 3.9   | 5,105,000.00  | 98.6396  | \$5,035,550.05  |
| 43814PAC4 | HAROT 2017-3 A3                 | AAA                           |                      | 09/20/2021 | 2.7   | 1,875,000.00  | 98.7754  | \$1,852,039.13  |
| 43814UAF6 | HAROT 2018-2 A2                 | AAA                           |                      | 12/18/2020 | 2.0   | 9,792,349.07  | 99.7710  | \$9,769,924.59  |
| 44932HAE3 | IBM 0 01/20/21                  | A                             | A1                   | 01/20/2021 | 0.1   | 3,000,000.00  | 99.2255  | \$2,976,766.05  |
| 459058GK3 | IBRD FLOAT<br>08/21/20          |                               | Aaa                  | 08/21/2020 | 0.0   | 11,000,000.00 | 99.9670  | \$10,996,369.78 |
| 45950VHC3 | IFC 0 01/09/19                  |                               | Aaa                  | 01/09/2019 | 0.0   | 10,000,000.00 | 100.0010 | \$10,000,100.00 |
| 46625HHL7 | JPM 6.3 04/23/19                | AA-                           | A2                   | 04/23/2019 | 0.3   | 8,000,000.00  | 100.9776 | \$8,078,206.64  |
| 46625HHS2 | JPM 4.4 07/22/20                | AA-                           | A2                   | 07/22/2020 | 1.6   | 10,000,000.00 | 101.8085 | \$10,180,847.90 |
| 46625HQV5 | JPM 0 03/22/19                  | AA-                           | A2                   | 03/22/2019 | 0.1   | 5,000,000.00  | 100.0410 | \$5,002,052.20  |

| CUSIP     | Description                     | Fitch<br>Rating<br>12/31/2018 | Moodys<br>12/31/2018 | Mat. Date   | Years | Shares        | Price    | Value           |
|-----------|---------------------------------|-------------------------------|----------------------|-------------|-------|---------------|----------|-----------------|
| 46647PAC0 | JPM 0 03/09/21                  | AA-                           | A2                   | 03/09/2021  | 0.2   | 5,000,000.00  | 99.5190  | \$4,975,951.25  |
| 46647PAT3 | JPM 0 06/18/22                  | AA-                           | A2                   | 06/18/2022  | 0.2   | 2,290,000.00  | 98.9010  | \$2,264,832.44  |
| 47788BAB0 | JOHN DEERE<br>OWNER TRUST       | AAA                           | Aaa                  | 04/15/2020  | 1.3   | 1,505,473.47  | 99.8090  | \$1,502,598.32  |
| 48125LRM6 | JPM 0 02/13/20                  | AA                            | Aa2                  | 02/13/2020  | 0.1   | 11,275,000.00 | 99.8676  | \$11,260,066.94 |
| 55279HAG5 | MTB 2 1/4<br>07/25/19           | A                             | A3                   | 07/25/2019  | 0.5   | 550,000.00    | 99.6474  | \$548,060.68    |
| 606822AX2 | MUFG 0 07/26/21                 | A                             | A1                   | 07/26/2021  | 0.1   | 3,000,000.00  | 99.6260  | \$2,988,778.80  |
| 60700A3L6 | MIZUHO BANK<br>LTD CD           |                               |                      | 03/14/2019  | 0.2   | 12,000,000.00 | 100.0210 | \$12,002,521.92 |
| 61746BDY9 | MS 0 02/01/19                   | A                             | A3                   | 02/01/2019  | 0.1   | 3,500,000.00  | 100.0802 | \$3,502,806.97  |
| 61746BEH5 | MS 0 02/14/20                   | A                             | A3                   | 02/14/2020  | 0.1   | 20,000,000.00 | 100.0026 | \$20,000,520.00 |
| 61747YDX0 | MS 0 01/27/20                   | A                             | A3                   | 01/27/2020  | 0.1   | 5,095,000.00  | 100.4108 | \$5,115,931.48  |
| 646139B53 | NEW JERSEY ST<br>TURNPIKE AU    | A                             | A2                   | 01/01/2019  | 0.0   | 12,500,000.00 | 100.0000 | \$12,500,000.00 |
| 65474VAM3 | NMOTR 2017-A A                  | AAA                           | Aaa                  | 04/15/2021  | 0.0   | 4,370,000.00  | 100.0328 | \$4,371,434.23  |
| 65474VAN1 | NMOTR 2017-B A                  | AAA                           | Aaa                  | 04/18/2022  | 0.0   | 10,260,000.00 | 99.9710  | \$10,257,026.65 |
| 65474VAP6 | NMOTR 2017-C A                  | AAA                           | Aaa                  | 10/17/2022  | 0.0   | 9,210,000.00  | 99.8608  | \$9,197,176.00  |
| 65478DAB3 | NAROT 2018-A<br>A2A             |                               | Aaa                  | 12/15/2020  | 2.0   | 5,182,197.02  | 99.7447  | \$5,168,967.39  |
| 65478GAB6 | NAROT 2017-B<br>A2A             | AAA                           | Aaa                  | 05/15/2020  | 1.4   | 5,712,076.72  | 99.7057  | \$5,695,264.36  |
| 65478VAD9 | NAROT 2016-B A3                 | AAA                           | Aaa                  | 01/15/2021  | 2.0   | 2,106,999.54  | 99.2006  | \$2,090,157.03  |
| 65590AUR5 | NDASS FLOAT<br>04/05/19         |                               |                      | 04/05/2019  | 0.0   | 8,000,000.00  | 100.0278 | \$8,002,227.12  |
| 68389XAG0 | ORCL 5 07/08/19                 | A                             | A1                   | 07/08/2019  | 0.5   | 3,000,000.00  | 101.0654 | \$3,031,960.71  |
| 69033MCC9 | OVERSEA<br>CHINESE BKG LTD      |                               |                      | 01/03/2019  | 0.0   | 11,000,000.00 | 99.9998  | \$10,999,972.72 |
| 69353RCH9 | PNC 2.2 01/28/19                | A+                            | A2                   | 01/28/2019  | 0.1   | 1,755,000.00  | 99.9492  | \$1,754,108.27  |
| 69353RFD5 | PNC 0 05/19/20                  | A+                            | A2                   | 05/19/2020  | 0.1   | 2,025,000.00  | 99.7854  | \$2,020,654.63  |
| 69353RFK9 | PNC 0 01/22/21                  | A+                            | A2                   | 01/22/2021  | 0.1   | 5,280,000.00  | 98.7904  | \$5,216,133.12  |
| 69371RP34 | PCAR 0 05/10/21                 |                               | A1                   | 05/10/2021  | 0.1   | 4,135,000.00  | 100.0279 | \$4,136,155.36  |
| 73358WT53 | PORT AUTH OF<br>NEW YORK & N    | AA-                           | Aa3                  | 09/15/2019  | 0.7   | 5,000,000.00  | 99.6850  | \$4,984,250.00  |
| 735389MT2 | PORT OF SEATTLE<br>WA REVENU    | AA                            | Aa2                  | 05/01/2036* | 0.3   | 6,000,000.00  | 101.3850 | \$6,083,100.00  |
| 78012KC62 | RY 2 1/8 03/02/20               | AA                            | Aa2                  | 03/02/2020  | 1.2   | 10,000,000.00 | 98.9682  | \$9,896,816.30  |
| 78012UBX2 | RY FLOAT<br>03/22/19            |                               |                      | 03/22/2019  | 0.2   | 8,000,000.00  | 100.0447 | \$8,003,574.96  |
| 80283LAQ6 | SANUK 0 11/03/20                | A                             | Aa3                  | 11/03/2020  | 0.1   | 8,340,000.00  | 99.2842  | \$8,280,301.36  |
| 80284YAD6 | SDART 2017-3 A3                 | AAA                           |                      | 06/15/2021  | 2.5   | 6,932,702.13  | 99.7774  | \$6,917,271.32  |
| 80285FAB0 | SDART 2018-2 A2A<br>MTGE        | AAA                           | Aaa                  | 10/15/2020  | 1.8   | 5,746,401.41  | 99.8896  | \$5,740,059.68  |
| 80285GAB8 | SDART 2018-3 A2A                |                               | Aaa                  | 03/15/2021  | 2.2   | 6,174,757.50  | 99.8607  | \$6,166,157.30  |
| 83369YW36 | SOCIETE<br>GENERALE NY          |                               |                      | 07/24/2019  | 0.6   | 12,000,000.00 | 100.0293 | \$12,003,521.28 |
| 85325TC86 | STANDARD<br>CHARTERED<br>BANK N |                               |                      | 04/17/2019  | 0.3   | 8,000,000.00  | 99.9338  | \$7,994,705.52  |
| 85325TS71 | STANLN FLOAT<br>04/24/19        |                               |                      | 04/24/2019  | 0.1   | 11,000,000.00 | 99.9995  | \$10,999,940.16 |
| 86563YWP4 | SUMIBK FLOAT<br>05/15/19        |                               |                      | 05/15/2019  | 0.1   | 3,000,000.00  | 100.1127 | \$3,003,380.19  |
| 86564FGY3 | SUMITR FLOAT<br>08/16/19        |                               |                      | 08/16/2019  | 0.1   | 7,000,000.00  | 99.9992  | \$6,999,941.55  |
| 86564FKJ1 | SUMITR FLOAT<br>04/05/19        |                               |                      | 04/05/2019  | 0.0   | 8,500,000.00  | 99.9949  | \$8,499,563.19  |
| 86958J2S7 |                                 |                               |                      | 12/19/2019  | 0.2   | 10,000,000.00 | 99.9996  | \$9,999,960.70  |

\*The underlying security is defeased by U.S. Treasuries with a prerefunded maturity date of 5/1/19.

| CUSIP     | Description                     | Fitch<br>Rating<br>12/31/2018 | Moodys<br>12/31/2018 | Mat. Date  | Years | Shares         | Price    | Value            |
|-----------|---------------------------------|-------------------------------|----------------------|------------|-------|----------------|----------|------------------|
|           | SHBASS FLOAT<br>12/19/19        |                               |                      |            |       |                |          |                  |
| 86960BAS1 | SHBASS 0<br>09/08/20            | AA                            | Aa2                  | 09/08/2020 | 0.2   | 2,310,000.00   | 99.9303  | \$2,308,390.30   |
|           | TORONTO                         |                               |                      |            |       |                |          |                  |
| 89114MJW8 | DOMINION BK NY<br>B             |                               |                      | 05/21/2019 | 0.4   | 10,500,000.00  | 99.9858  | \$10,498,503.75  |
| 89190BAC2 | TAOT 2017-B A2B                 |                               | Aaa                  | 01/15/2020 | 0.0   | 287,545.96     | 99.9999  | \$287,545.67     |
| 89237KAD5 | TAOT 2016-A A3                  |                               | Aaa                  | 03/16/2020 | 1.2   | 568,794.07     | 99.6866  | \$567,011.58     |
| 89238KAD4 | TAOT 2017-D A3                  |                               | Aaa                  | 01/18/2022 | 3.1   | 10,905,000.00  | 98.6067  | \$10,753,065.00  |
| 89238TAC7 | TAOT 2018-B A2B                 |                               | Aaa                  | 03/15/2021 | 0.0   | 13,500,000.00  | 100.0127 | \$13,501,719.90  |
| 90261XHF2 | UBS 0 08/14/19                  | AA-                           | Aa3                  | 08/14/2019 | 0.1   | 15,000,000.00  | 100.2269 | \$15,034,035.00  |
| 90331HMK5 | USB 0 01/17/20                  | AA-                           | A1                   | 01/17/2020 | 0.0   | 5,000,000.00   | 99.7209  | \$4,986,043.15   |
| 90331HNU3 | USB 3.05<br>07/24/20            | AA-                           | A1                   | 07/24/2020 | 1.5   | 10,000,000.00  | 99.8816  | \$9,988,158.00   |
| 90333VZN3 | U.S. BANK<br>NATIONAL<br>ASSOCI |                               |                      | 07/23/2019 | 0.6   | 11,000,000.00  | 99.9674  | \$10,996,415.65  |
| 911312BH8 | UPS 0 04/01/21                  |                               | A1                   | 04/01/2021 | 0.0   | 8,415,000.00   | 99.5650  | \$8,378,394.75   |
| 912796QM4 | B 0 06/20/19                    | F1+                           |                      | 06/20/2019 | 0.5   | 14,500,000.00  | 98.8534  | \$14,333,740.39  |
| 912796QR3 | B 07/18/19                      | F1+                           |                      | 07/18/2019 | 0.5   | 105,000,000.00 | 98.6463  | \$103,578,624.45 |
| 912796QV4 | B 0 08/15/19                    | F1+                           |                      | 08/15/2019 | 0.6   | 30,000,000.00  | 98.4273  | \$29,528,203.20  |
| 912796RV3 | B 0 06/27/19                    | F1+                           |                      | 06/27/2019 | 0.5   | 40,000,000.00  | 98.8077  | \$39,523,088.80  |
| 912828K5  | US TREASURY N/B                 | AAA                           | Aaa                  | 07/31/2019 | 0.6   | 35,000,000.00  | 99.3164  | \$34,760,742.10  |
| 912828C24 | T 1 1/2 02/28/19                | AAA                           | Aaa                  | 02/28/2019 | 0.2   | 15,000,000.00  | 99.8569  | \$14,978,532.90  |
| 912828R85 | T 0 7/8 06/15/19                | AAA                           | Aaa                  | 06/15/2019 | 0.5   | 20,000,000.00  | 99.2695  | \$19,853,906.20  |
| 912828S43 | US TREASURY<br>BILL             | AAA                           | Aaa                  | 07/15/2019 | 0.5   | 24,000,000.00  | 99.0430  | \$23,770,312.56  |
| 912828SX9 | T 1 1/8 05/31/19                | AAA                           | Aaa                  | 05/31/2019 | 0.4   | 39,000,000.00  | 99.4375  | \$38,780,625.00  |
| 912828TC4 | T 1 06/30/19                    | AAA                           | Aaa                  | 06/30/2019 | 0.5   | 50,000,000.00  | 99.2500  | \$49,625,000.00  |
| 912828TH3 | T 0 7/8 07/31/19                | AAA                           | Aaa                  | 07/31/2019 | 0.6   | 13,000,000.00  | 99.0391  | \$12,875,078.06  |
| 91324PCM2 | UNH 2.7 07/15/20                | A-                            | A3                   | 07/15/2020 | 1.5   | 7,394,000.00   | 99.6426  | \$7,367,572.81   |
| 91324PDB5 | UNH 0 10/15/20                  | A-                            | A3                   | 10/15/2020 | 0.0   | 4,790,000.00   | 99.2302  | \$4,753,126.58   |
| 91476PQM3 | UNIVERSITY OF<br>OKLAHOMA/TH    | AA-                           |                      | 07/01/2019 | 0.5   | 1,500,000.00   | 99.2900  | \$1,489,350.00   |
| 931142EH2 | WMT 0 06/23/21                  | AA                            | Aa2                  | 06/23/2021 | 0.2   | 5,330,000.00   | 99.7030  | \$5,314,169.74   |
| 94974BFU9 | WFC 2 1/8<br>04/22/19           | A+                            | A2                   | 04/22/2019 | 0.3   | 1,850,000.00   | 99.7048  | \$1,844,538.13   |
| 94974BGN4 | WFC 0 07/22/20                  | A+                            | A2                   | 07/22/2020 | 0.1   | 1,150,000.00   | 100.1619 | \$1,151,861.91   |
| 94988J5N3 | WFC 2.6 01/15/21                | AA-                           | Aa2                  | 01/15/2021 | 2.0   | 6,105,000.00   | 98.7579  | \$6,029,170.77   |
| 94989RD70 | WFC FLOAT<br>04/05/19           |                               |                      | 04/05/2019 | 0.0   | 8,000,000.00   | 100.0405 | \$8,003,242.24   |
| 94989RF94 | WFC FLOAT<br>04/23/19           |                               |                      | 04/23/2019 | 0.1   | 7,800,000.00   | 100.0207 | \$7,801,615.30   |
| 94989RY69 | WFC FLOAT<br>04/15/19           |                               |                      | 04/15/2019 | 0.0   | 10,000,000.00  | 99.9884  | \$9,998,843.90   |
| 96130AAC2 | WESTPAC<br>BANKING<br>CORPORATI |                               |                      | 06/21/2019 | 0.5   | 11,500,000.00  | 99.9057  | \$11,489,149.98  |
| TRADEADJ  | TRADE<br>ADJUSTMENTS-<br>STOCK  |                               |                      |            | 0     | 0.00           | 0.0000   | \$0.00           |
| USD       | US DOLLARS                      |                               |                      |            | 0     | 0.00           | 1.0000   | \$0.00           |

If you have any questions regarding your account please contact Shareholder Services at: 833-CAL-TRUST (833-225-8787)



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- Change Password

**Account Number:** 20100000410  
**Account Name:** CONTRA COSTA COUNTY

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**Holdings**

Data

Fund :    
 As Of Date :

Options

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Holdings

| CUSIP     | Description                    | Fitch Rating<br>12/31/2018 | Moody's<br>12/31/2018 | Mat. Date  | Years | Shares       | Price    | Value          |
|-----------|--------------------------------|----------------------------|-----------------------|------------|-------|--------------|----------|----------------|
| 02665WCK5 | HNDA 0 06/24/19                |                            | A2                    | 06/24/2019 | 0.2   | 910,000.00   | 100.0000 | \$910,000.00   |
| 04821UN24 | ATLANTIC ASSET<br>SECURIT CP   |                            |                       | 01/02/2019 | 0.0   | 4,000,000.00 | 99.9933  | \$3,999,733.34 |
| 05253JAN1 | ANZ 1.6 07/15/19               | AA-                        | Aa3                   | 07/15/2019 | 0.5   | 2,500,000.00 | 99.2945  | \$2,482,363.25 |
| 05586FDA2 | BNP FLOAT<br>04/04/19          |                            |                       | 04/04/2019 | 0.0   | 2,500,000.00 | 100.0000 | \$2,500,000.00 |
| 06370RJA8 | BANK OF<br>MONTREAL            |                            |                       | 03/12/2019 | 0.0   | 3,000,000.00 | 100.0000 | \$3,000,000.00 |
| 06370RLN7 | BANK OF<br>MONTREAL<br>CASH/CD |                            |                       | 02/01/2019 | 0.1   | 1,500,000.00 | 100.0000 | \$1,500,000.00 |
| 06370RQX0 | BANK OF<br>MONTREAL<br>CHICAGO |                            |                       | 12/10/2019 | 0.0   | 2,000,000.00 | 100.0000 | \$2,000,000.00 |
| 06370RRR2 | BMO FLOAT<br>01/17/20          |                            |                       | 01/17/2020 | 0.0   | 1,500,000.00 | 100.0000 | \$1,500,000.00 |
| 06371EYK7 | BANK MONTREAL<br>CHC CD FLT    |                            |                       | 06/13/2019 | 0.0   | 300,000.00   | 99.9958  | \$299,987.38   |
| 06417G3B2 | BNS FLOAT<br>05/16/19          |                            |                       | 05/16/2019 | 0.0   | 2,000,000.00 | 100.0000 | \$2,000,000.00 |
| 06417G3C0 | BNS FLOAT<br>09/20/19          |                            |                       | 09/20/2019 | 0.2   | 3,000,000.00 | 100.0000 | \$3,000,000.00 |
| 06417GTS7 | BNS FLOAT<br>02/28/19          |                            |                       | 02/28/2019 | 0.2   | 1,150,000.00 | 100.0475 | \$1,150,545.73 |
| 06417GU97 | BANK NOVA<br>SCOTIA            |                            |                       | 03/12/2019 | 0.2   | 1,000,000.00 | 100.0059 | \$1,000,059.26 |
| 07644DGY8 | BEDFDG 0 08/02/19              |                            |                       | 08/02/2019 | 0.0   | 2,500,000.00 | 100.0000 | \$2,500,000.00 |
| 07646KDN7 | BEDFDG 0 06/19/19              |                            |                       | 06/19/2019 | 0.2   | 2,500,000.00 | 100.0000 | \$2,500,000.00 |
| 09248U700 | BLACKROCK LIQ<br>FDS FEDFUND   |                            |                       | 0          | 0.0   | 8,368,150.36 | 100.0000 | \$8,368,150.36 |
| 12619UNH0 | CRC FDG LLC 0%<br>CP           |                            |                       | 01/17/2019 | 0.0   | 2,451,000.00 | 99.8876  | \$2,448,243.99 |
| 13606BH58 | CM FLOAT<br>07/25/19           |                            |                       | 07/25/2019 | 0.1   | 600,000.00   | 100.0000 | \$600,000.00   |
| 13606BLU8 | CM FLOAT<br>02/04/19           |                            |                       | 02/04/2019 | 0.1   | 3,300,000.00 | 100.0148 | \$3,300,489.72 |
| 13606BZL3 | CM FLOAT<br>07/16/19           |                            |                       | 07/16/2019 | 0.0   | 800,000.00   | 100.0000 | \$800,000.00   |
| 15963URW8 | CHARIOT FDG LLC<br>0% CP       |                            |                       | 04/30/2019 | 0.3   | 2,500,000.00 | 99.0678  | \$2,476,695.83 |
| 15963UTB2 |                                |                            |                       | 06/11/2019 | 0.4   | 4,000,000.00 | 98.6673  | \$3,946,691.11 |

| CUSIP     | Description                     | Fitch<br>Rating<br>12/31/2018 | Moody's<br>12/31/2018 | Mat. Date  | Years | Shares       | Price    | Value          |
|-----------|---------------------------------|-------------------------------|-----------------------|------------|-------|--------------|----------|----------------|
|           | CHARIOT FDG LLC<br>0% CP        |                               |                       |            |       |              |          |                |
| 16115WPF8 | CHARTA LLC CP<br>02/15/2019     |                               |                       | 02/15/2019 | 0.1   | 3,000,000.00 | 99.6663  | \$2,989,987.50 |
| 16115WS65 | CHARTA LLC CP<br>02/15/2019     |                               |                       | 02/06/2019 | 0.1   | 1,850,000.00 | 99.3875  | \$1,838,669.57 |
| 17305TA36 | CITIBANK NA                     |                               |                       | 01/03/2019 | 0.0   | 1,500,000.00 | 100.0000 | \$1,500,000.00 |
| 19422GQ49 | COLLATERALIZED<br>COML PAPER    |                               |                       | 03/04/2019 | 0.2   | 2,000,000.00 | 99.5660  | \$1,991,320.00 |
| 20271ENG0 | CBAU FLOAT<br>03/18/19          |                               |                       | 03/18/2019 | 0.2   | 887,000.00   | 100.0289 | \$887,255.96   |
| 21684B4T8 | COOPERATIEVE<br>CENTRALERAIF    |                               |                       | 02/08/2019 | 0.0   | 845,000.00   | 99.9986  | \$844,988.19   |
| 21688AAA0 | RABOBK 2 1/4<br>01/14/19        | AA-                           | Aa3                   | 01/14/2019 | 0.0   | 3,000,000.00 | 99.9732  | \$2,999,196.37 |
| 22536UTL4 | CICFP FLOAT<br>05/08/19         |                               |                       | 05/08/2019 | 0.0   | 2,500,000.00 | 100.0000 | \$2,500,000.00 |
| 22845AFG0 | CROWN POINT<br>CAPITAL LLC      |                               |                       | 01/18/2019 | 0.0   | 1,500,000.00 | 100.0000 | \$1,500,000.00 |
| 22845AFM7 | CPSERA 2.72<br>02/07/19         |                               |                       | 02/07/2019 | 0.0   | 1,500,000.00 | 100.0000 | \$1,500,000.00 |
| 22845AFT2 | CPSERA 2.77<br>02/21/19         |                               |                       | 02/21/2019 | 0.1   | 2,000,000.00 | 100.0000 | \$2,000,000.00 |
| 44988KFR5 | ING (US) FUNDING<br>LLC         |                               |                       | 03/06/2019 | 0.0   | 800,000.00   | 100.0000 | \$800,000.00   |
| 44988KFW4 | ING (US) FUNDING<br>LLC         |                               |                       | 04/12/2019 | 0.0   | 500,000.00   | 100.0000 | \$500,000.00   |
| 44988KGN3 | ING (US) FUNDING<br>LLC         |                               |                       | 05/21/2019 | 0.1   | 3,000,000.00 | 100.0000 | \$3,000,000.00 |
| 4820P3SU8 | JUPITER<br>SECURITIZATION       |                               |                       | 05/28/2019 | 0.4   | 2,500,000.00 | 98.7954  | \$2,469,885.42 |
| 60683BNF6 | MUFG FLOAT<br>06/04/19          |                               |                       | 06/04/2019 | 0.0   | 2,600,000.00 | 100.0000 | \$2,600,000.00 |
| 60689GNW2 | MIZUHO CORP BK<br>0% CP         |                               |                       | 01/30/2019 | 0.1   | 1,300,000.00 | 99.8030  | \$1,297,439.54 |
| 60700AS62 | MIZUHO FLOAT<br>01/07/19        |                               |                       | 01/07/2019 | 0.0   | 1,500,000.00 | 100.0000 | \$1,500,000.00 |
| 60700AY99 | MIZUHO FLOAT<br>04/18/19        |                               |                       | 04/18/2019 | 0.0   | 6,000,000.00 | 99.9833  | \$5,998,998.63 |
| 63254AAQ1 | NAB 1 3/8 07/12/19              |                               | Aa3                   | 07/12/2019 | 0.5   | 2,000,000.00 | 99.1950  | \$1,983,899.26 |
| 63763QQT7 | NATL SEC<br>CLEARING CORP<br>CP |                               |                       | 03/27/2019 | 0.2   | 2,000,000.00 | 99.4097  | \$1,988,194.45 |
| 63763QTC1 | NATL SEC<br>CLEARING CORP<br>0% |                               |                       | 06/12/2019 | 0.4   | 3,000,000.00 | 98.6977  | \$2,960,930.85 |
| 65590ASH0 | NORDEA BANK AB<br>NEW YORK      |                               |                       | 02/08/2019 | 0.0   | 4,000,000.00 | 100.0040 | \$4,000,161.18 |
| 69033MBS5 | OCBCSP FLOAT<br>01/18/19        |                               |                       | 01/18/2019 | 0.0   | 1,500,000.00 | 99.9998  | \$1,499,996.73 |
| 76582CQ69 | RIDGEFIELD<br>FUNDING CO LLC    |                               |                       | 03/06/2019 | 0.2   | 4,630,000.00 | 99.4844  | \$4,606,129.78 |
| 76582CQJ1 | RIDGEFIELD<br>FUNDING COMPAN    |                               |                       | 03/18/2019 | 0.2   | 2,500,000.00 | 99.3836  | \$2,484,588.89 |
| 76582KS77 | RIDGEFIELD FDG<br>CO LLC        |                               |                       | 05/07/2019 | 0.3   | 2,000,000.00 | 98.9850  | \$1,979,700.00 |
| 76582KTB7 | RIDGEFIELD FDG<br>CO LLC IAM    |                               |                       | 06/11/2019 | 0.4   | 3,000,000.00 | 98.6583  | \$2,959,750.00 |
| 78012UFB6 | RY FLOAT 08/16/19               |                               |                       | 08/16/2019 | 0.0   | 700,000.00   | 100.0000 | \$700,000.00   |
| 83050FS77 | SKANDINAVISKA<br>ENSKILDA       |                               |                       | 05/03/2019 | 0.1   | 800,000.00   | 99.9979  | \$799,982.85   |

| CUSIP                   | Description                     | Fitch<br>Rating<br>12/31/2018 | Moody's<br>12/31/2018 | Mat. Date  | Years | Shares        | Price    | Value           |
|-------------------------|---------------------------------|-------------------------------|-----------------------|------------|-------|---------------|----------|-----------------|
| 83369YR24               | SOCIETE<br>GENERALE             |                               |                       | 02/08/2019 | 0.1   | 1,500,000.00  | 100.0000 | \$1,500,000.00  |
| 83369YX76               | SOCGEN FLOAT<br>06/12/19        |                               |                       | 06/12/2019 | 0.2   | 2,000,000.00  | 100.0000 | \$2,000,000.00  |
| 85325TQ24               | STANLN FLOAT<br>03/25/19        |                               |                       | 03/25/2019 | 0.2   | 2,000,000.00  | 100.0000 | \$2,000,000.00  |
| 86563YWP4               | SUMIBK FLOAT<br>05/15/19        |                               |                       | 05/15/2019 | 0.1   | 3,400,000.00  | 100.1030 | \$3,403,501.13  |
| 86564FGR8               | SUMITOMO MITSUI<br>TR BK LTD    |                               |                       | 02/08/2019 | 0.0   | 3,000,000.00  | 100.0003 | \$3,000,008.95  |
| 86564FKJ1               | SUMITR FLOAT<br>04/05/19        |                               |                       | 04/05/2019 | 0.0   | 1,000,000.00  | 100.0000 | \$1,000,000.00  |
| 86565BPF2               | SUMIBK FLOAT<br>04/18/19        |                               |                       | 04/18/2019 | 0.0   | 1,000,000.00  | 99.9993  | \$999,992.87    |
| 86565BQD6               | SUMITOMO MITSUI<br>BANKING C    |                               |                       | 03/04/2019 | 0.2   | 2,000,000.00  | 100.0000 | \$2,000,000.00  |
| 86958J2S7               | SHBASS FLOAT<br>12/19/19        |                               |                       | 12/19/2019 | 0.2   | 3,000,000.00  | 100.0000 | \$3,000,000.00  |
| 86958JF20               | SVENSKA<br>HANDELSBANKEN        |                               |                       | 05/07/2019 | 0.1   | 501,000.00    | 100.0057 | \$501,028.41    |
| 86958JPR4               | SVENSKA<br>HANDELSBANKEN<br>CD  |                               |                       | 06/07/2019 | 0.2   | 1,100,000.00  | 100.0422 | \$1,100,464.59  |
| 86958JX61               | SVENSKA<br>HANDELSBANKEN<br>AB  |                               |                       | 05/07/2019 | 0.0   | 1,500,000.00  | 100.0000 | \$1,500,000.00  |
| 86958JZW2               | SHBASS FLOAT<br>03/13/19        |                               |                       | 03/13/2019 | 0.2   | 500,000.00    | 100.0277 | \$500,138.32    |
| 87019VTQ0               | SWEDBANK (NEW<br>YORK)          |                               |                       | 05/21/2019 | 0.1   | 1,500,000.00  | 100.0000 | \$1,500,000.00  |
| 88602UT34               | THUNDER BAY FDG<br>LLC 0% CP    |                               |                       | 06/03/2019 | 0.4   | 3,500,000.00  | 98.7633  | \$3,456,713.75  |
| 89113X6Y5               | TORONTO-<br>DOMINION BANK       |                               |                       | 04/22/2019 | 0.3   | 500,000.00    | 100.0000 | \$500,000.00    |
| 89114MAF4               | TORONTO-<br>DOMINION BANK<br>CD |                               |                       | 08/07/2019 | 0.6   | 1,500,000.00  | 100.0000 | \$1,500,000.00  |
| 89114MBE6               | TORONTO<br>DOMINION BANK<br>NY  |                               |                       | 06/14/2019 | 0.5   | 2,000,000.00  | 100.0000 | \$2,000,000.00  |
| 89114MLL9               | TORONTO<br>DOMINION BANK<br>NY  |                               |                       | 06/09/2019 | 0.0   | 2,200,000.00  | 100.0000 | \$2,200,000.00  |
| 912796UG2               | TREASURY BILL<br>01/02/19       | F1+                           |                       | 01/02/2019 | 0.0   | 10,000,000.00 | 99.9936  | \$9,999,359.44  |
| 912796UJ6               | B 0 01/15/19                    | F1+                           |                       | 01/15/2019 | 0.0   | 40,000,000.00 | 99.9103  | \$39,964,106.53 |
| 912796UK3               | B 0 01/22/19                    | F1+                           |                       | 01/22/2019 | 0.1   | 15,000,000.00 | 99.8647  | \$14,979,700.00 |
| CITREP114<br>12/31/2018 | CGI 2.47 31-DEC-<br>2018        |                               |                       | 12/31/2018 | 0.0   | 0.00          | 0.0000   | \$0.00          |
| CITREP115<br>01/02/2019 | CGI 2.95 02-JAN-<br>2019        |                               |                       | 01/02/2019 | 0.0   | 20,000,000.00 | 100.0000 | \$20,000,000.00 |
| MERREP114<br>12/31/2018 | MER 2.47 31-DEC-<br>2018        |                               |                       | 12/31/2018 | 0.0   | 0.00          | 0.0000   | \$0.00          |
| MERREP115<br>01/02/2019 | MER 3.00 02-JAN-<br>2019        |                               |                       | 01/02/2019 | 0.0   | 20,000,000.00 | 100.0000 | \$20,000,000.00 |
| MIZREP114<br>12/31/2018 | MIZ 2.46 31-DEC-<br>2018        |                               |                       | 12/31/2018 | 0.0   | 0.00          | 0.0000   | \$0.00          |
| MIZREP115<br>01/02/2019 | MIZ 2.95 02-JAN-<br>2019        |                               |                       | 01/02/2019 | 0.0   | 20,000,000.00 | 100.0000 | \$20,000,000.00 |
| USD                     | US DOLLARS                      |                               |                       | 0          | 0.0   | 0.00          | 1.0000   | \$0.00          |

## **SECTION III**

### **APPENDIX**

#### **B. INVESTMENT PORTFOLIO DETAIL – MANAGED BY OUTSIDE CONTRACTED PARTIES**

##### **B. 6. EAST BAY REGIONAL COMMUNICATIONS SYSTEM AUTHORITY (EBRCS)**

**EBRCS TRANSACTIONS\***

For the Quarter Ending

**December 31, 2018****FY 2018-2019**

| <b>FUND</b>   | <b>BALANCE @</b> | <b>TJ/Date</b> | <b>TJ/Date</b> | <b>TJ/Date</b> | <b>TJ/Date</b> | <b>TJ/Date</b> | <b>TJ/Date</b> | <b>BALANCE @</b> |
|---------------|------------------|----------------|----------------|----------------|----------------|----------------|----------------|------------------|
| <b>NUMBER</b> | <b>09/30/18</b>  |                |                |                |                |                |                | <b>12/31/18</b>  |
| 100300        | 1,769,666.58     |                |                |                |                |                |                | 1,769,666.58     |
| <b>TOTALS</b> | 1,769,666.58     | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 0.00           | 1,769,666.58     |
|               |                  |                |                |                |                |                |                |                  |
|               |                  |                |                |                |                |                |                |                  |

\* East Bay Regional Communications System Authority

# EXHIBITS

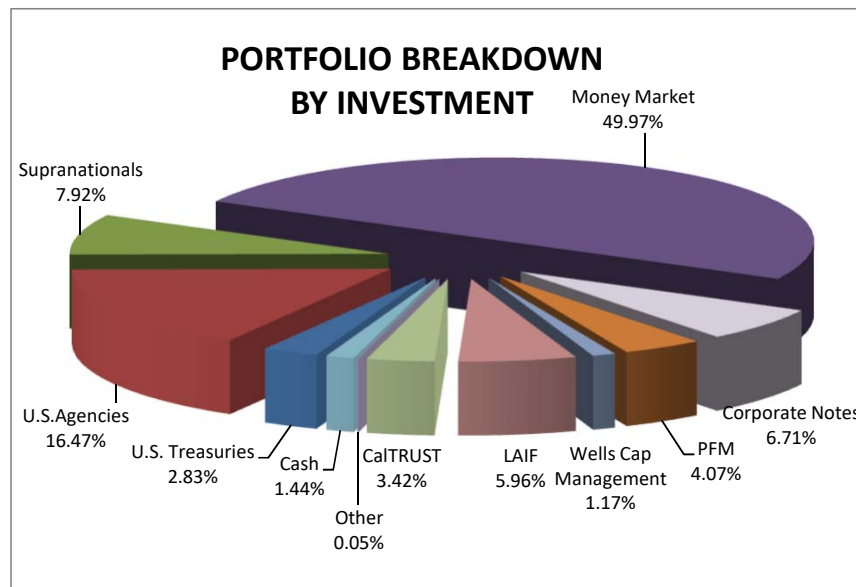
# CONTRA COSTA COUNTY Portfolio Summary Report *AS OF DECEMBER 31, 2018*

### Portfolio Characteristics

|                                   |                    |
|-----------------------------------|--------------------|
| Par                               | \$3,818,124,976.16 |
| Cost                              | \$3,801,309,776.69 |
| Market Value                      | \$3,802,582,237.96 |
| Weighted Yield to Maturity        | 2.45%              |
| Weighted Average Days to Maturity | 190                |
| Weighted Duration                 | 0.50 yr            |

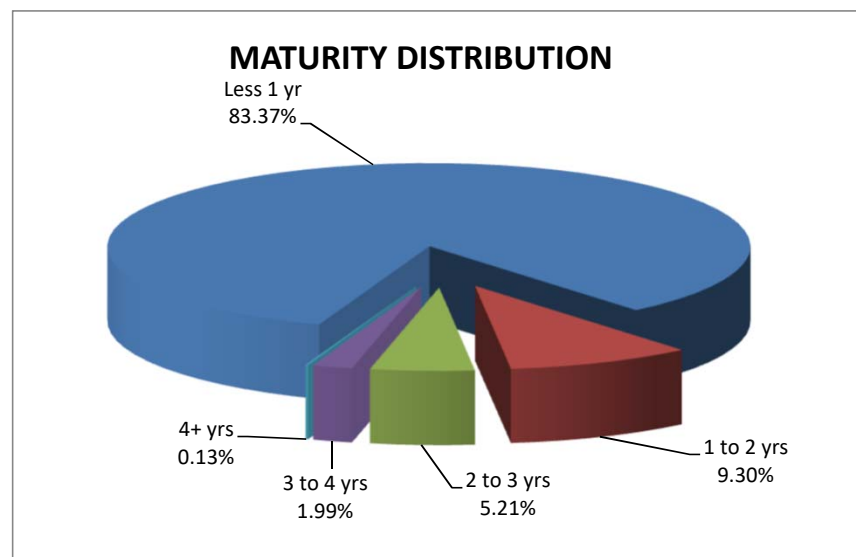
### Portfolio Breakdown by Investment

| Investments          | Par Value          | Percent of Total |
|----------------------|--------------------|------------------|
| U.S. Treasuries      | \$108,130,000.00   | 2.83%            |
| U.S.Agencies         | 628,849,000.00     | 16.47%           |
| Supranationals       | 302,479,000.00     | 7.92%            |
| Money Market         | 1,907,793,397.22   | 49.97%           |
| Corporate Notes      | 256,023,000.00     | 6.71%            |
| PFM                  | 155,417,644.68     | 4.07%            |
| Wells Cap Management | 44,568,630.10      | 1.17%            |
| LAIF                 | 227,748,174.98     | 5.96%            |
| CalTRUST             | 130,501,949.99     | 3.42%            |
| Other                | 1,769,666.58       | 0.05%            |
| Cash                 | 54,844,512.61      | 1.44%            |
| TOTAL*               | \$3,818,124,976.16 | 100.00% **       |



### Maturity Distribution

| Time       | Par Value          | Percent of Total |
|------------|--------------------|------------------|
| Less 1 yr  | \$3,183,180,058.66 | 83.37%           |
| 1 to 2 yrs | 355,108,127.97     | 9.30%            |
| 2 to 3 yrs | 198,975,122.95     | 5.21%            |
| 3 to 4 yrs | 75,817,000.00      | 1.99%            |
| 4+ yrs     | 5,044,666.58       | 0.13%            |
| TOTAL*     | \$3,818,124,976.16 | 100.00% **       |



\* Does not include the Futuris Public Entity Trust of the Contra Costa Community College District Retirement Board of Authority

\*\* May or may not total to 100% due to rounding

**CONTRA COSTA COUNTY INVESTMENT POOL  
PERFORMANCE SUMMARY  
AS OF DECEMBER 31, 2018**

|  | <u>PAR</u><br>( <u>\$</u> ) | <sup>3</sup> <u>PERCENT OF</u><br><u>PORTFOLIO</u><br>( <u>%</u> ) | <u>YTM</u><br>( <u>%</u> ) | <u>WEIGHTED</u><br><u>AVERAGE DAYS TO</u><br><u>MATURITY AT</u><br><u>END-OF-QUARTER</u><br>( <u>day</u> ) | <u>DURATION</u><br>( <u>year</u> ) |              |
|--|-----------------------------|--|----------------------------|--|------------------------------------|--------------|
| <b>A. Investments Managed by Treasurer's Office<sup>1</sup></b>  | \$3,203,274,397.22          | 83.94%   | 2.4912%                    | 200  | 0.54                               | <sup>2</sup> |
| <b>B. Investments Managed by Outside Contractors<sup>3</sup></b> |                             |  |                            |  |                                    |              |
| 1. PFM   | \$155,417,644.68            | 4.07%  | 1.8892%                    | 481  | 1.13                               | <sup>2</sup> |
| 2. Local Agency Investment Fund                                  | \$227,748,174.98            | 5.97%  | 2.2100%                    | 1  | N/A                                |              |
| 3. Wells Capital Management                                      | \$44,568,630.10             | 1.17%  | 2.7400%                    | 248  | 0.45                               | <sup>4</sup> |
| 4. CalTRUST Short-Term Fund                                      | \$45,494,700.70             | 1.19%  | 2.5400%                    | <sup>5</sup> 1   | N/A                                |              |
| 5. CalTRUST Liquidity Fund                                       | \$85,007,249.29             | 2.23%  | 2.4000%                    | <sup>5</sup> 0   | 0.00                               |              |
| <b>C. Cash</b>   | \$54,844,512.61             | 1.44%  | 2.25%                      | <sup>6</sup> 0   | 0.00                               |              |

<sup>3</sup>Yield to Maturity on Portfolio at End-of-Quarter = 2.45%  
<sup>3</sup>Weighted Average Days to Maturity on Portfolio at End-of-Quarter = 190  
<sup>3</sup> Weighted Duration (yr) at End-of-Quarter = 0.50

1. Excludes the funds managed by PFM.  
2. Data is provided by FIS.  
3. Excludes: Section B.6.a (EBRCS Bond) of the Investment Pool summary report and Futuris Public Entity Trust.  
4. Data provided by Wells Capital Management.  
5. 30 day SEC Yield.  
6. Wells Fargo Bank Average Earnings Credit Rate on Investable Balance for the quarter ending in Dec 2018.  
LAIF and CalTRUST Short Term Fund are subject to a one day call of principal provision. CalTRUST Liquidity Fund provides a same day liquidity provision.



## CONTRA COSTA COUNTY INVESTMENT POOL

| TYPE   | As of December 31, 2018   | As of September 30, 2018  | CHANGE IN VALUE         |                |
|--|---------------------------|---------------------------|-------------------------|----------------|
|  | PAR VALUE                 | PAR VALUE                 | FROM PREV. QTR.         | % CHANGE       |
| <b>A. Investments Managed by Treasurer's Office</b>  |                           |                           |                         |                |
| 1. U.S. Treasuries (STRIPS, Bills, Notes)            | \$108,130,000.00          | \$48,130,000.00           | \$60,000,000.00         | 124.66%        |
| 2. U.S. Agencies                                     |                           |                           |                         |                |
| Federal Home Loan Banks                              | 248,060,000.00            | 257,215,000.00            | (9,155,000.00)          | -3.56%         |
| Federal National Mortgage Association                | 59,135,000.00             | 65,145,000.00             | (6,010,000.00)          | -9.23%         |
| Federal Farm Credit Banks                            | 168,622,000.00            | 195,622,000.00            | (27,000,000.00)         | -13.80%        |
| Federal Home Loan Mortgage Corporation               | 153,032,000.00            | 139,206,000.00            | 13,826,000.00           | 9.93%          |
| Municipal Bonds                                      | 0.00                      | 390,000.00                | (390,000.00)            | -100.00%       |
| Subtotal   | 628,849,000.00            | 657,578,000.00            | (28,729,000.00)         | -4.37%         |
| 3. Supranationals                                    | 302,479,000.00            | 221,323,000.00            | 81,156,000.00           | 26.83%         |
| 4. Money Market Instruments                          |                           |                           |                         |                |
| Commercial Paper                                     | 1,027,150,000.00          | 652,267,000.00            | 374,883,000.00          | 57.47%         |
| Negotiable Certificates of Deposit                   | 880,640,000.00            | 491,640,000.00            | 389,000,000.00          | 79.12%         |
| Medium Term Certificates of Deposit                  | 0.00                      | 0.00                      | 0.00                    | 0.00%          |
| Money Market Accounts                                | 0.00                      | 565,318.32                | (565,318.32)            | -100.00%       |
| Time Deposit   | 3,397.22                  | 3,397.22                  | 0.00                    | 0.00%          |
| Subtotal   | 1,907,793,397.22          | 1,144,475,715.54          | 763,317,681.68          | 66.70%         |
| 5. Corporate Notes                                   | 256,023,000.00            | 194,789,000.00            | 61,234,000.00           | 31.44%         |
| <b>TOTAL (Section A)</b>                             | <b>3,203,274,397.22</b>   | <b>2,266,295,715.54</b>   | <b>936,978,681.68</b>   | <b>41.34%</b>  |
| <b>B. Investments Managed by Outside Contractors</b> |                           |                           |                         |                |
| 1. PFM   | 155,417,644.68            | 158,404,456.78            | (2,986,812.10)          | -1.89%         |
| 2. Local Agency Investment Fund                      | 227,748,174.98            | 203,613,002.79            | 24,135,172.19           | 11.85%         |
| 3. Wells Capital Management                          | 44,568,630.10             | 44,493,747.50             | 74,882.60               | 0.17%          |
| 4. CalTRUST (Short Term Fund)                        | 45,494,700.70             | 55,550,195.40             | (10,055,494.70)         | -18.10%        |
| 5. CalTRUST (Liquidity Fund)                         | 85,007,249.29             | 55,007,249.29             | 30,000,000.00           | 54.54%         |
| 6. Other   |                           |                           |                         |                |
| a. EBRCS Bond  | 1,769,666.58              | 1,769,666.58              | 0.00                    | 0.00%          |
| <b>TOTAL (Section B)</b>                             | <b>560,006,066.33</b>     | <b>518,838,318.34</b>     | <b>41,167,747.99</b>    | <b>7.93%</b>   |
| <b>C. Cash</b>                                       | <b>54,844,512.61</b>      | <b>123,712,191.53</b>     | <b>(68,867,678.92)</b>  | <b>-55.67%</b> |
| <b>* GRAND TOTAL (FOR A , B , &amp; C)</b>           | <b>\$3,818,124,976.16</b> | <b>\$2,908,846,225.41</b> | <b>\$909,278,750.75</b> | <b>31.26%</b>  |

\* Excludes the Futuris Public Entity Trust of the Contra Costa Community College District Retirement Board of Authority

CONTRA COSTA INVESTMENT POOL

Exhibit IV

INVESTMENTS MANAGED BY TREASURER'S OFFICE

QUARTERLY COUPON RATES, YIELD TO MATURITY

| Fiscal Year |                   | Quarter Ending |          |         |         |
|-------------|-------------------|----------------|----------|---------|---------|
|             |                   | September      | December | March   | June    |
| 2018/2019   | Coupon Rate       | 2.0195%        | 2.4143%  |         |         |
|             | Yield to Maturity | 2.0983%        | 2.4912%  |         |         |
| 2017/18     | Coupon Rate       | 1.3142%        | 1.3991%  | 1.6907% | 1.9356% |
|             | Yield to Maturity | 1.3307%        | 1.4333%  | 1.7091% | 1.9758% |
| 2016/17     | Coupon Rate       | 1.0063%        | 1.0436%  | 1.1392% | 1.2330% |
|             | Yield to Maturity | 0.9760%        | 1.0418%  | 1.1420% | 1.2552% |
| 2015/16     | Coupon Rate       | 0.6433%        | 0.7270%  | 0.8556% | 0.9341% |
|             | Yield to Maturity | 0.5859%        | 0.6955%  | 0.8251% | 0.9043% |
| 2014/15     | Coupon Rate       | 0.5437%        | 0.4624%  | 0.4912% | 0.5309% |
|             | Yield to Maturity | 0.4605%        | 0.4185%  | 0.4379% | 0.4894% |
| 2013/14     | Coupon Rate       | 0.6331%        | 0.4843%  | 0.4686% | 0.4802% |
|             | Yield to Maturity | 0.4645%        | 0.3709%  | 0.3680% | 0.3877% |
| 2012/13     | Coupon Rate       | 0.8304%        | 0.5568%  | 0.5829% | 0.5838% |
|             | Yield to Maturity | 0.6012%        | 0.3947%  | 0.4243% | 0.4229% |
| 2011/12     | Coupon Rate       | 0.8769%        | 0.8385%  | 0.8122% | 0.7426% |
|             | Yield to Maturity | 0.6842%        | 0.6658%  | 0.6739% | 0.6130% |
| 2010/11     | Coupon Rate       | 0.9802%        | 0.7132%  | 0.7326% | 0.6982% |
|             | Yield to Maturity | 0.7494%        | 0.5866%  | 0.6133% | 0.5612% |
| 2009/10     | Coupon Rate       | 1.2464%        | 0.8931%  | 0.8610% | 0.8212% |
|             | Yield to Maturity | 1.1095%        | 0.7840%  | 0.7373% | 0.6993% |
| 2008/09     | Coupon Rate       | 4.4019%        | 1.7623%  | 1.2918% | 1.2418% |
|             | Yield to Maturity | 4.2819%        | 1.6574%  | 1.1808% | 1.1231% |

Source: All data is calculated by Sungard.  
Excludes funds managed by PFM beginning 9/2018

CONTRA COSTA INVESTMENT POOL

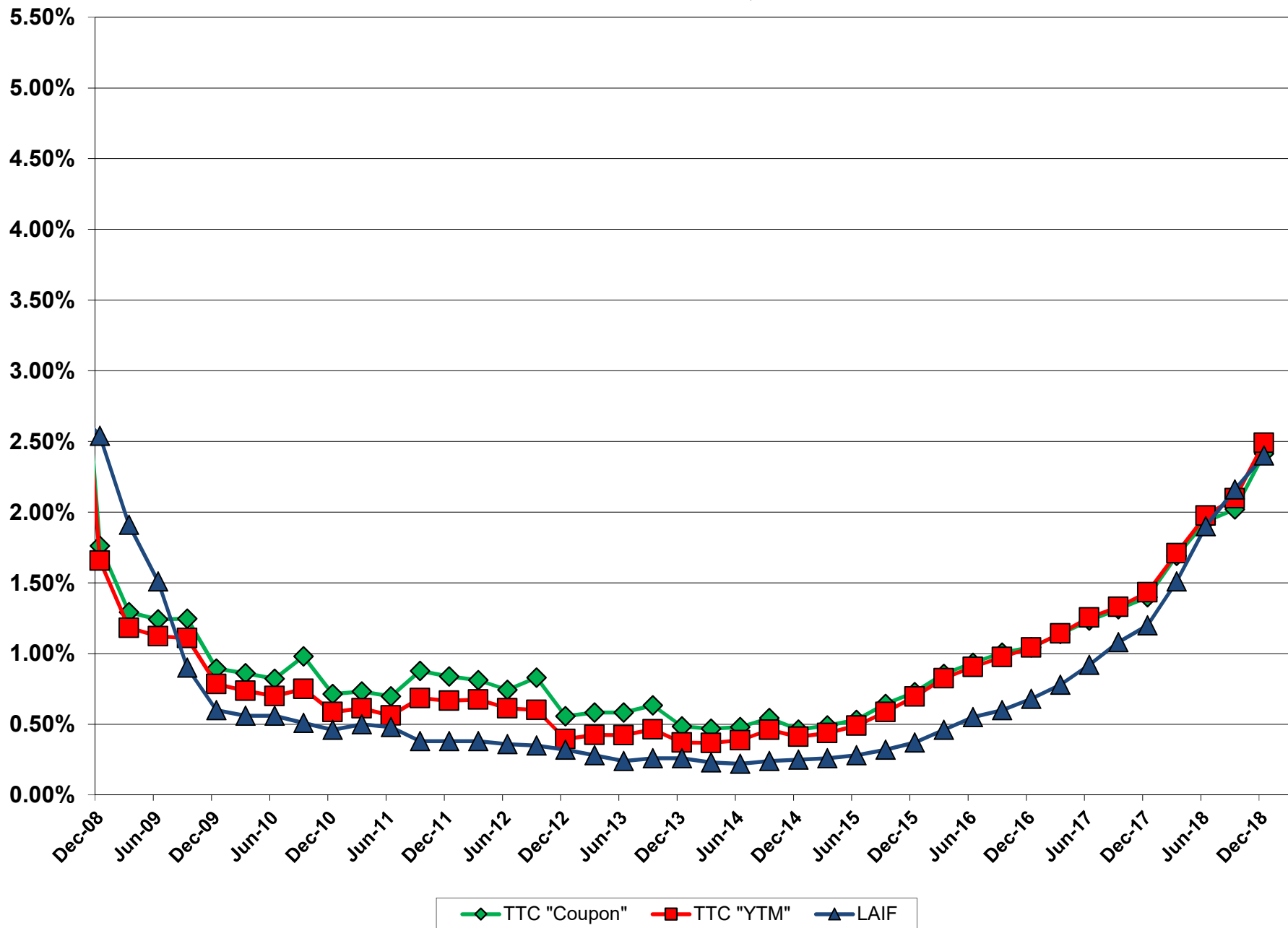
Exhibit IV (a)

INVESTMENTS MANAGED BY OUTSIDE CONTRACTOR

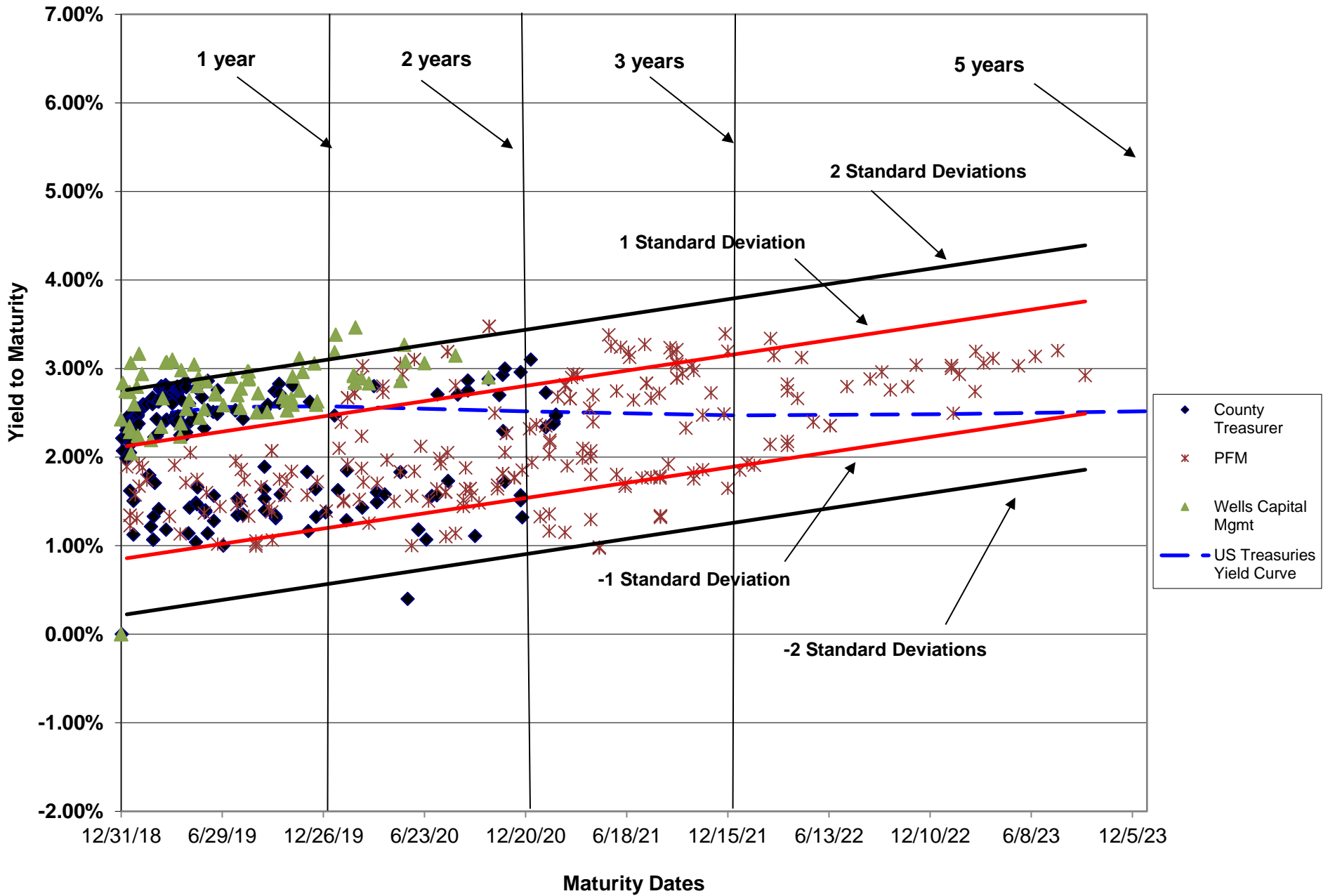
LAIF QUARTERLY APPORTIONMENT RATES

| Fiscal Year |                    | Quarter Ending |          |       |       |
|-------------|--------------------|----------------|----------|-------|-------|
|             |                    | September      | December | March | June  |
| 2018/19     | Apportionment Rate | 2.16%          | 2.40%    |       |       |
| 2017/18     | Apportionment Rate | 1.08%          | 1.20%    | 1.51% | 1.90% |
| 2016/17     | Apportionment Rate | 0.60%          | 0.68%    | 0.78% | 0.92% |
| 2015/16     | Apportionment Rate | 0.32%          | 0.37%    | 0.46% | 0.55% |
| 2014/15     | Apportionment Rate | 0.24%          | 0.25%    | 0.26% | 0.28% |
| 2013/14     | Apportionment Rate | 0.26%          | 0.26%    | 0.23% | 0.22% |
| 2012/13     | Apportionment Rate | 0.35%          | 0.32%    | 0.28% | 0.24% |
| 2011/12     | Apportionment Rate | 0.38%          | 0.38%    | 0.38% | 0.36% |
| 2010/11     | Apportionment Rate | 0.51%          | 0.46%    | 0.50% | 0.48% |
| 2009/10     | Apportionment Rate | 0.90%          | 0.60%    | 0.56% | 0.56% |
| 2008/09     | Apportionment Rate | 2.77%          | 2.54%    | 1.91% | 1.51% |

## Contra Costa County Investment Pool as of December 31, 2018



### Risk Assessment as of December 31, 2018



## Risk Assessment

(Securities Greater Than or Less Than Two Standard Deviations)

As of December 31, 2018

| <u>CUSIP</u> | <u>DESCRIPTION</u>                       | <u>PAR</u> | <u>COST</u> | <u>MARKET</u> | <u>COUPON (%)</u> | <u>MATURITY DATE</u> | <u>YTM (%)</u> |
|--------------|--|------------|-------------|---------------|-------------------|----------------------|----------------|
| 63763QN37    | National Securities Clearing Corporation | 500,000.00 | 498,413.33  | 499,902.50    | 0.00              | 1/3/2019             | 2.84%          |
| 88602UN89    | Thunder Bay Funding, LLC                 | 800,000.00 | 795,736.00  | 799,558.40    | 0.00              | 1/8/2019             | 2.74%          |
| 30231GAD4    | EXXON MOBIL CORP                         | 475,000.00 | 472,962.25  | 476,669.12    | 1.82              | 3/15/2019            | 2.67%          |
| 17325FAB4    | CITIBANK NA                              | 750,000.00 | 750,000.00  | 750,965.25    | 3.13              | 3/20/2019            | 3.07%          |
| 24422ERR2    | JOHN DEERE CAPITAL CORP                  | 700,000.00 | 698,278.00  | 701,664.60    | 2.25              | 4/17/2019            | 2.98%          |
| 459058FC2    | IBRD                                     | 500,000.00 | 497,440.00  | 499,003.47    | 1.25              | 4/26/2019            | 2.55%          |
| 79766DKL2    | SF CITY & CNTY ARPTS COMMN INTL A        | 750,000.00 | 750,000.00  | 749,758.75    | 1.72              | 5/1/2019             | 2.64%          |
| 07330NAL9    | BRANCH BANKING AND TRUST CO              | 450,000.00 | 445,333.50  | 448,313.48    | 1.45              | 5/10/2019            | 3.05%          |
| 40428HPN6    | HSBC USA INC (NEW)                       | 500,000.00 | 496,565.00  | 498,400.33    | 2.38              | 11/13/2019           | 3.12%          |
| 05531FAS2    | BB&T CORP                                | 250,000.00 | 248,092.50  | 251,079.56    | 2.45              | 1/15/2020            | 3.18%          |
| 90331HNK5    | US BANK NA                               | 700,000.00 | 700,000.00  | 701,849.82    | 2.57              | 1/17/2020            | 3.38%          |
| 02665WCL3    | AMERICAN HONDA FINANCE CORP              | 700,000.00 | 700,686.00  | 699,502.38    | 2.80              | 2/21/2020            | 3.47%          |
| 86565BPC9    | CCCSIG YCD SUMITOMO                      | 750,000.00 | 748,980.00  | 748,980.00    | 3.39              | 10/16/2020           | 3.48%          |
| 86565BPC9    | CCCCD YCD SUMITOMO B                     | 110,000.00 | 109,850.40  | 109,850.40    | 3.39              | 10/16/2020           | 3.48%          |
| 121101042    | CCCCD BERTA KAMM                         | 3,397.22   | 3,397.22    | 3,397.22      | 0.40              | 5/24/2020            | 0.04%          |

## CONTRA COSTA COUNTY INVESTMENT POOL

**AVERAGE INFORMATION**  
**October 1, 2018 through December 31, 2018**

|  | <b>AVERAGE DAILY<br/>BALANCE<br/>(PAR)</b> | <b>PERCENT OF<br/>PORTFOLIO</b> | <b>AVERAGE<br/>YTM</b>                | <b>AVERAGE DAYS<br/>TO MATURITY<br/>AS A PERCENT<br/>OF PORTFOLIO</b> | <b>AVERAGE DAYS TO<br/>MATURITY FOR<br/>THE QUARTER</b> |
|--|--|---------------------------------|---------------------------------------|---|---|
| <b>A. Investments Managed by Treasurer's Office<sup>1</sup></b>  | \$2,637,804,696.53                         | 81.19%                          | 2.3193%                               | 170.50  | 210   |
| <b>B. Investments Managed by Outside Contractors<sup>2</sup></b> |  |                                 |                                       |   |   |
| 1. PFM   | \$155,940,573.18                           | 4.80%                           | 1.8230%                               | 23.84   | 497   |
| 2. Local Agency Investment Fund <sup>3</sup>                     | \$198,813,331.50                           | 6.12%                           | 2.2143%                               | 0.06  | 1   |
| 3. Wells Capital Management                                      | \$44,440,516.37                            | 1.37%                           | 2.6833%                               | 3.51  | 257   |
| 4. CalTRUST Short Term Fund <sup>3</sup>                         | \$54,885,919.27                            | 1.69%                           | 2.4733%                               | 0.02  | 1   |
| 5. CalTRUST Liquidity Fund <sup>4</sup>                          | \$68,896,138.18                            | 2.12%                           | 2.2667%                               | 0.00  | 0   |
| <b>C. Cash<sup>5</sup></b>                                       | \$88,087,018.87                            | 2.71%                           | 0.4364%                               | 0.00  | 0   |
| <b>Total</b>   | <u>\$3,248,868,193.90</u>                  | 100.00%                         |                                       |   |   |
|  |  |                                 | * Weighted Average YTM of Portfolio = | <u>2.24%</u>  | <u>198</u>  |

## Notes:

1. Excludes the funds managed by PFM.
2. Excludes: Section B.6.a (EBRCS Bond) of the Investment Pool Summary and Futuris Public Entity Trust.
3. LAIF and CalTRUST Short Term Fund are subject to a one day call of principal provision
4. CalTRUST Liquidity Fund provides a same day liquidity provision.
5. The average of Investable Balances and the average of Earnings Allowance Rates of all three banks, WFB, BofA, and Mechanics.

**CONTRA COSTA COUNTY INVESTMENT POOL  
SUMMARY OF POOL RATES AND BENCHMARKS  
AS OF DECEMBER 31, 2018**

| <b><u>Pool Rates:</u></b>                 | <u>YTM as of<br/>12/31/18</u>               | <u>Quarterly<br/>Ave.</u>                | <u>Average<br/>Days to<br/>Maturity</u> |            |
|---|---|--|---|------------|
| Total County Portfolio (w/ Cash)          | 2.45%                                       | 2.24%                                    | 216                                     | *1         |
| Investments Managed by Treasurer's Office | 2.49%                                       | 2.32%                                    | 210                                     |            |
| PFM                                       | 1.89%                                       | 1.82%                                    | 497                                     |            |
| Wells Capital Management                  | 2.74%                                       | 2.68%                                    | 257                                     |            |
| CalTRUST Short Term Fund                  | 2.54%                                       | 2.47%                                    | 320                                     | *2         |
| CalTRUST Liquidity Fund                   | 2.40%                                       | 2.27%                                    | 43                                      | *3         |
|   | <u>Quarterly<br/>Apportionment<br/>Rate</u> | <u>PMIA Ave.<br/>Effective<br/>Yield</u> |   |            |
| Local Agency Investment Fund (LAIF)       | 2.40%                                       | 2.21%                                    | 192                                     | *2         |
|   |   | 10/1/18 - 12/31/18                       |   |            |
| <b><u>Benchmarks:</u></b>                 | <u>12/31/18</u>                             | <u>High</u>                              | <u>Ave.</u>                             | <u>Low</u> |
| Federal Fund Rates Index                  | 2.4000%                                     | 2.4000%                                  | 2.2037%                                 | 2.1600%    |
| Six Month Treasury Bill                   | 2.3988%                                     | 2.4088%                                  | 2.3740%                                 | 2.3359%    |
| Six Month LIBOR                           | 2.8756%                                     | 2.9079%                                  | 2.8080%                                 | 2.6063%    |
| Vanguard Prime Money Mkt Fund             | 0.5700%                                     |  |   |            |

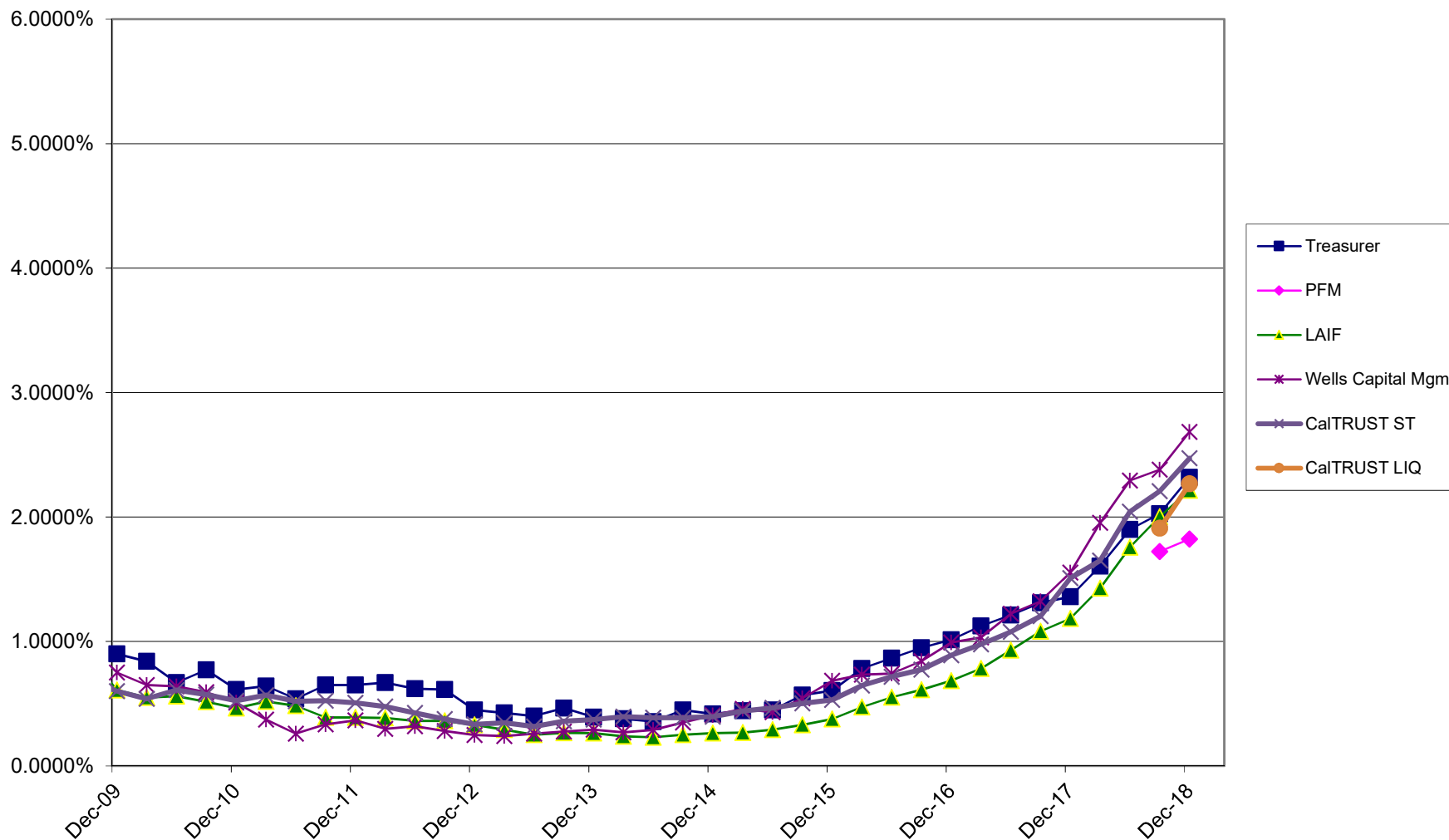
\*1. Cash is included in the calculation.

\*2. Average days to maturity with a one day call of principal provision.

\*3. Average days to maturity with a same day liquidity provision.



### Contra Costa County Investment Pool Average Quarterly YTM as of December 31, 2018



**CONTRA COSTA COUNTY  
TREASURER'S INVESTMENT PORTFOLIO  
STRUCTURED SECURITIES  
December 31, 2018**

Exhibit VI

| <u>Description</u>   | <u>CUSIP</u> | <u>Maturity<br/>Date</u> | <u>Coupon<br/>Rate</u> | <u>Par<br/>(\$)</u> | <u>Market<br/>(\$)</u> | <u>Cost<br/>(\$)</u> | <u>Provisions</u>                       | <u>Fund #</u> |
|----------------------|--------------|--------------------------|------------------------|---------------------|------------------------|----------------------|---|---------------|
| CCCCD CORP BB&T CALL | 05531FAQ6    | 2/1/2019                 | 2.25%                  | 1,960,000.00        | 1,958,804.40           | 1,980,325.20         | Call anytime on and after 1/2/19        | 7944          |
| CCCCD CORP CISCO SYS | 17275RAE2    | 2/15/2019                | 4.95%                  | 1,795,000.00        | 1,798,805.40           | 1,983,187.80         | Make-whole call +30 bps                 | 7944          |
| GOV FFCB CALLABLE NO | 3133EFYS0    | 2/22/2019                | 1.15%                  | 10,000,000.00       | 9,984,100.00           | 9,980,400.00         | Call on and anytime after 2/22/17       | 8177          |
| CORP EXXON MOBIL COR | 30231GAP7    | 3/1/2019                 | 1.71%                  | 3,500,000.00        | 3,493,770.00           | 3,500,000.00         | Make-whole call +12.5 bps               | 8177          |
| CCCCD CORP US BANCOR | 91159HHH6    | 4/25/2019                | 2.20%                  | 1,950,000.00        | 1,945,729.50           | 1,982,935.50         | Call on and anytime after 3/25/19       | 7944          |
| CORP CHEVRON         | 166764BH2    | 5/16/2019                | 1.56%                  | 5,000,000.00        | 4,973,000.00           | 4,986,000.00         | Make-whole call +12.5 bps               | 8177          |
| CCCSIG CORP GOLDMAN  | 38141GWP5    | 7/23/2019                | 1.95%                  | 315,000.00          | 312,902.10             | 314,962.20           | Make-whole call +10 bps                 | 6911          |
| CCCSIG CORP BERKSHIR | 084664CK5    | 8/15/2019                | 1.30%                  | 375,000.00          | 371,062.50             | 374,636.25           | Make-whole call +10 bps                 | 6911          |
| CCCSIG CORP IBM CRED | 44932HAA1    | 9/6/2019                 | 1.63%                  | 700,000.00          | 693,203.00             | 699,468.00           | Make-whole call +7 bps                  | 6911          |
| CCCSIG CORP CISCO SY | 17275RBG6    | 9/20/2019                | 1.40%                  | 795,000.00          | 787,868.85             | 794,117.55           | Make-whole call +10 bps                 | 6911          |
| CCCCD CORP PFIZER IN | 717081DL4    | 5/15/2019                | 2.10%                  | 1,960,000.00        | 1,954,864.80           | 1,984,068.80         | Make-whole call +7 bps                  | 7944          |
| CCCSIG CORP WALMART  | 931142DY6    | 10/9/2019                | 1.75%                  | 350,000.00          | 347,543.00             | 349,993.00           | Make-whole call +5 bps                  | 6911          |
| CCCSIG CORP HONEYWEL | 438516BQ8    | 10/30/2019               | 1.80%                  | 240,000.00          | 237,648.00             | 239,812.80           | Make-whole call +5 bps                  | 6911          |
| CCCSIG CORP PFIZER   | 717081EB5    | 12/15/2019               | 1.70%                  | 845,000.00          | 836,845.75             | 844,391.60           | Make-whole call +10 bps                 | 6911          |
| CCCSIG CORP JPMORGAN | 46625HKA7    | 1/23/2020                | 2.25%                  | 400,000.00          | 396,016.00             | 401,508.00           | Call on and anytime after 12/23/19      | 6911          |
| CORP MICROSOFT CORP  | 594918BV5    | 2/6/2020                 | 1.85%                  | 10,000,000.00       | 9,927,400.00           | 10,000,000.00        | Make-whole call +10 bps                 | 8177          |
| CCCSIG CORP APPLE IN | 037833CK4    | 2/7/2020                 | 1.90%                  | 600,000.00          | 594,792.00             | 599,706.00           | Make-whole call +7.5 bps                | 6911          |
| CCCSIG CORP CHEVRON  | 166764BP4    | 3/3/2020                 | 1.99%                  | 1,075,000.00        | 1,065,432.50           | 1,078,569.00         | Make-whole call +10 bps                 | 6911          |
| CCCCD CORP AMERICAN  | 0258M0EE5    | 3/3/2020                 | 2.20%                  | 170,000.00          | 168,172.50             | 169,823.20           | Call on and anytime after 2/1/20        | 7903          |
| CCCSIG CORP EXXON MO | 30231GAG7    | 3/6/2020                 | 1.91%                  | 400,000.00          | 396,404.00             | 401,916.00           | Make-whole call +5 bps                  | 6911          |
| CORP APPLE INC       | 037833CS7    | 5/11/2020                | 1.80%                  | 10,000,000.00       | 9,874,000.00           | 9,991,300.00         | Make-whole call +10 bps                 | 8177          |
| CCCSIG CORP APPLE IN | 037833CS7    | 5/11/2020                | 1.80%                  | 530,000.00          | 523,322.00             | 529,459.40           | Make-whole call +10 bps                 | 6911          |
| CCCSIG CORP WALT DIS | 25468PDU7    | 6/5/2020                 | 1.80%                  | 850,000.00          | 837,539.00             | 849,014.00           | Make-whole call +6 bps                  | 6911          |
| CCCSIG CORP AMERI HO | 02665WBT7    | 7/20/2020                | 1.95%                  | 505,000.00          | 497,015.95             | 504,489.95           | Make-whole call +10 bps                 | 6911          |
| CCCSIG CORP CATERPIL | 14913Q2A6    | 9/4/2020                 | 1.85%                  | 635,000.00          | 622,636.55             | 634,466.60           | Make-whole call +10 bps                 | 6911          |
| CCCSIG CORP VISA INC | 92826CAB8    | 12/14/2020               | 2.20%                  | 210,000.00          | 207,719.40             | 212,310.00           | Make-whole call +10 bps                 | 6911          |
| CCCCD CORP GOLDMAN S | 38141GWWG5   | 12/27/2020               | 2.60%                  | 225,000.00          | 220,788.00             | 227,045.25           | Make-whole call +20 bps                 | 7903          |
| CCCSIG CORP BB&T MTN | 05531FAZ6    | 2/1/2021                 | 2.15%                  | 215,000.00          | 210,476.40             | 214,901.10           | Call on and anytime after 1/1/2021      | 6911          |
| CCCCD CORP BB T MTN  | 05531FAZ6    | 2/1/2021                 | 2.15%                  | 110,000.00          | 107,685.60             | 109,864.70           | Call on and anytime after 1/1/2021      | 7903          |
| CCCSIG CORP UNITED P | 911312BP0    | 4/1/2021                 | 2.05%                  | 475,000.00          | 466,701.75             | 474,249.50           | Make-whole call +10 bps                 | 6911          |
| CCCCD CORP BANK OF N | 06406FAA1    | 4/15/2021                | 2.50%                  | 125,000.00          | 123,461.25             | 126,846.25           | Call on and anytime after 3/15/21       | 7903          |
| CCCSIG CORP PEPISCO  | 713448DX3    | 4/15/2021                | 2.00%                  | 375,000.00          | 367,530.00             | 374,925.00           | Make-whole call +10 bps                 | 6911          |
| CCCCD CORP PEPISCO I | 713448DX3    | 4/15/2021                | 2.00%                  | 70,000.00           | 68,605.60              | 69,986.00            | Make-whole call +10 bps                 | 7903          |
| CCCSIG ABS CARMAX 20 | 14314RAH5    | 4/15/2021                | 1.80%                  | 137,722.77          | 137,712.66             | 137,712.66           | 10% collateral call                     | 6911          |
| CCCCD CORP BANK OF A | 06051GFW4    | 4/19/2021                | 2.63%                  | 110,000.00          | 108,504.00             | 110,823.90           | Make-whole call +25 bps                 | 7903          |
| CORP MICROSOFT CORP  | 594918BP8    | 8/8/2021                 | 1.55%                  | 8,549,000.00        | 8,305,097.03           | 8,389,048.21         | Make-whole call +10 bps                 | 8177          |
| CCCSIG CORP BOFA CAL | 06051GGS2    | 10/1/2021                | 2.33%                  | 545,000.00          | 534,361.60             | 545,000.00           | Make-whole call +15 bps                 | 6911          |
| CCCSIG ABS JOHN DEER | 47788BAD6    | 10/15/2021               | 1.82%                  | 225,000.00          | 224,983.53             | 224,983.53           | 10% collateral call                     | 6911          |
| CCCSIG ABS NAROT 201 | 65478GAD2    | 10/15/2021               | 1.75%                  | 500,000.00          | 499,972.05             | 499,972.05           | 5% collateral call                      | 6911          |
| CCCCD CORP CITIGRP I | 172967LC3    | 12/8/2021                | 2.90%                  | 250,000.00          | 245,957.50             | 254,137.50           | Make-whole call +20 bps                 | 7903          |
| CCCSIG ABS TAOT 2017 | 89238KAD4    | 1/18/2022                | 1.93%                  | 225,000.00          | 224,979.26             | 224,979.26           | 5% collateral call                      | 6911          |
| GOV FHLMC NOTES      | 3134GBW81    | 11/22/2022               | 2.35%                  | 10,000,000.00       | 9,867,700.00           | 10,000,000.00        | Quarterly; First 2/22/18; Last 11/22/20 | 8177          |
| CCCSIG ABS CCCIT 201 | 17305EGK5    | 1/20/2023                | 2.49%                  | 350,000.00          | 349,951.56             | 349,951.56           | 5% cleanup call                         | 6911          |
| CCCCD ABS ALLYA 2018 | 02007MAE0    | 6/15/2022                | 2.35%                  | 110,000.00          | 109,987.28             | 109,987.28           | 10% collateral call                     | 7903          |
| CCCSIG ABS ALLYA 201 | 02007MAE0    | 6/15/2022                | 2.35%                  | 265,000.00          | 264,969.37             | 264,969.37           | 10% collateral call                     | 6911          |
| CCCCD ABS TAOT 2018  | 89238BAD4    | 5/16/2022                | 2.35%                  | 100,000.00          | 99,998.85              | 99,998.85            | 5% collateral call                      | 8177          |
| CORP JOHNSON & JOHNS | 478160CH5    | 11/10/2020               | 1.95%                  | 16,850,000.00       | 16,603,147.50          | 16,695,991.00        | Make-whole call +7.5bps                 | 8177          |
| CCCSIG BANK OF NY ME | 06406HDD8    | 8/17/2020                | 2.60%                  | 500,000.00          | 496,710.00             | 497,545.00           | Callable on and anytime after 7/17/20   | 6911          |

**CONTRA COSTA COUNTY  
TREASURER'S INVESTMENT PORTFOLIO  
STRUCTURED SECURITIES  
December 31, 2018**

Exhibit VI

| <u>Description</u>   | <u>CUSIP</u> | <u>Maturity Date</u> | <u>Coupon Rate</u> | <u>Par (\$)</u>       | <u>Market (\$)</u>    | <u>Cost (\$)</u>      | <u>Provisions</u>                     | <u>Fund #</u> |
|----------------------|--------------|----------------------|--------------------|-----------------------|-----------------------|-----------------------|---------------------------------------|---------------|
| CCCCD CORP BB&T CALL | 05531FAQ6    | 2/1/2019             | 2.25%              | 1,960,000.00          | 1,958,804.40          | 1,980,325.20          | Call anytime on and after 1/2/19      | 7944          |
| CCCSIG JDOT 2018 COR | 47788CAC6    | 4/18/2022            | 2.66%              | 190,000.00            | 189,986.34            | 189,986.34            | 10% collateral call                   | 6911          |
| CCCCD CORP CICS0 SYS | 17275RBD3    | 2/28/2021            | 2.20%              | 130,000.00            | 128,277.50            | 127,758.80            | Make-whole call +15bps                | 7903          |
| CCCSIG CORP UNILEVER | 904764AZ0    | 3/22/2021            | 2.75%              | 650,000.00            | 646,873.50            | 646,678.50            | Make-whole call +10bps                | 6911          |
| CCCCD ABS ALLYA 2018 | 02007JAC1    | 1/17/2023            | 3.00%              | 110,000.00            | 109,992.48            | 109,992.48            | 10% collateral call                   | 7903          |
| CCCSIG CORP AMERICAN | 025816BU2    | 5/17/2021            | 3.38%              | 785,000.00            | 786,248.15            | 784,866.55            | Callable on and anytime after 4/17/21 | 6911          |
| CORP APPLE INC       | 037833BS8    | 2/23/2021            | 2.25%              | 20,000,000.00         | 19,765,600.00         | 19,679,400.00         | Make-whole call +15 bps               | 8801          |
| CCCSIG CORP BBT CORP | 05531FBD4    | 9/3/2021             | 3.20%              | 150,000.00            | 149,853.00            | 149,821.50            | Callable on and anytime after 8/3/21  | 6911          |
| CCCCD MBS FHMS K721  | 3137BM6P6    | 8/25/2022            | 3.09%              | 100,000.00            | 100,851.56            | 100,851.56            | 1% cleanup call                       | 6911          |
| CCCSIG ABS FORDO 201 | 34528FAD0    | 11/15/2022           | 3.03%              | 225,000.00            | 224,963.62            | 224,963.62            | 10% collateral call                   | 6911          |
| CCCSIG CORP GENERAL  | 369550BA5    | 5/11/2020            | 2.88%              | 460,000.00            | 460,317.40            | 458,371.60            | Make-whole call +10 bps               | 6911          |
| CCCSIG CORP HERSHEY  | 427866AY4    | 5/15/2020            | 2.90%              | 350,000.00            | 349,814.50            | 349,769.00            | Make-whole call +10 bps               | 6911          |
| CCCCD CORP HOME DEPO | 437076AZ5    | 4/1/2023             | 2.70%              | 100,000.00            | 98,966.00             | 98,100.00             | Make-whole call +15 bps               | 7903          |
| CCCSIG ABS HART 2018 | 44891KAD7    | 7/15/2022            | 2.79%              | 130,000.00            | 129,980.42            | 129,980.42            | 5% collateral call                    | 6911          |
| MICROSOFT CORP       | 594918BA1    | 2/12/2022            | 2.38%              | 10,000,000.00         | 9,878,800.00          | 9,748,700.00          | Make-whole call +10 bps               | 8177          |
| MICROSOFT CORP       | 594918BG8    | 11/3/2020            | 2.00%              | 8,000,000.00          | 7,910,880.00          | 7,865,520.00          | Make-whole call +7.5 bps              | 8177          |
| CORP WALMART INC     | 931142DY6    | 10/9/2019            | 1.75%              | 15,000,000.00         | 14,894,700.00         | 14,835,345.00         | Make-whole call +5 bps                | 8177          |
| CORP WALMART INC     | 931142DY6    | 10/9/2019            | 1.75%              | 5,000,000.00          | 4,964,900.00          | 4,945,460.00          | Make-whole call +5 bps                | 8177          |
| CCCSIG CORP WALMART  | 931142EJ8    | 6/23/2021            | 3.13%              | 595,000.00            | 599,230.45            | 594,970.25            | Make-whole call +10 bps               | 6911          |
| CCCSIG ABS CARMX 201 | 14313FAD1    | 6/15/2023            | 3.13%              | 200,000.00            | 199,972.74            | 199,972.74            | 10% collateral call                   | 6911          |
| CCCSIG ABS MBART 201 | 58772RAD6    | 1/17/2023            | 3.03%              | 410,000.00            | 409,984.26            | 409,984.26            | 5% collateral call                    | 6911          |
| CCCCD ABS NAROT 201  | 65479GAD1    | 3/15/2023            | 3.06%              | 65,000.00             | 64,997.89             | 64,997.89             | 5% collateral call                    | 7903          |
| CCCCD ABS MBART 2018 | 58772RAD6    | 1/17/2023            | 3.03%              | 70,000.00             | 69,997.31             | 69,997.31             | 5% collateral call                    | 7903          |
| CORP JOHNSON AND JOH | 478160CD4    | 3/3/2022             | 2.25%              | 10,284,000.00         | 10,031,733.48         | 10,083,719.10         | Make-whole call +7.5 bps              | 8177          |
| CCCSIG CORP CATERPIL | 14913Q2N8    | 9/7/2021             | 3.15%              | 100,000.00            | 100,264.00            | 99,923.00             | Make-whole call +10 bps               | 6911          |
| CCCSIG CORP UNILEVER | 904764BF3    | 3/7/2022             | 3.00%              | 100,000.00            | 99,264.00             | 99,516.00             | Make-whole call +10 bps               | 6911          |
| CCCSIG CORP PFIZER I | 717081EM1    | 9/15/2021            | 3.00%              | 250,000.00            | 251,492.50            | 249,662.50            | Make-whole call +5 bps                | 6911          |
| CORP JOHNSON AND JOH | 478160CD4    | 3/3/2022             | 2.25%              | 10,000,000.00         | 9,754,700.00          | 9,799,000.00          | Make-whole call +7.5 bps              | 8177          |
| CCCSIG CORP 3M COMPA | 88579YBA8    | 9/14/2021            | 3.00%              | 185,000.00            | 186,494.80            | 184,620.75            | Make-whole call +5 bps                | 6911          |
| CORP APPLE INC       | 037833BS8    | 2/23/2021            | 2.25%              | 10,000,000.00         | 9,882,800.00          | 9,847,195.00          | Make-whole call +15 bps               | 8177          |
| CCCSIG ABS FORDL 201 | 34531LAD2    | 12/15/2021           | 3.19%              | 240,000.00            | 239,979.72            | 239,979.72            | 5% deal call                          | 6911          |
| CCCSIG ABS BMWLT 201 | 05586CAC8    | 7/20/2021            | 3.26%              | 150,000.00            | 149,979.15            | 149,979.15            | 5% deal call                          | 6911          |
| CCCSIG ABS MBALT 201 | 58769LAC6    | 9/15/2021            | 3.21%              | 500,000.00            | 499,988.80            | 499,988.80            | 5% deal call                          | 6911          |
| CCCSIG CORP AMERICAN | 02665WCP4    | 12/10/2021           | 3.38%              | 300,000.00            | 301,473.00            | 299,856.00            | Make-whole call                       | 6911          |
| CCCSIG CORP HOME DEP | 437076BV3    | 3/1/2022             | 3.25%              | 225,000.00            | 227,335.50            | 224,383.50            | Make-whole call +10 bps               | 6911          |
| CCCSIG MBS FHMS KP05 | 3137FKK39    | 7/25/2023            | 3.20%              | 225,000.00            | 224,999.33            | 225,319.63            | 1% cleanup call                       | 6911          |
| CORP APPLE INC       | 037833AR1    | 5/6/2021             | 2.85%              | 15,000,000.00         | 15,018,300.00         | 14,938,365.00         | Make-whole call +10 bps               | 8177          |
| CORP EXXON MOBIL COR | 30231GAV4    | 3/1/2021             | 2.22%              | 12,640,000.00         | 12,457,984.00         | 12,405,392.36         | Make-whole call +15 bps               | 8177          |
| CORP ORACLE CORP     | 68389XAX3    | 10/8/2019            | 2.25%              | 20,000,000.00         | 19,905,600.00         | 19,922,910.00         | Make-whole call +10 bps               | 8177          |
| CORP WALMART INC     | 931142DY6    | 10/9/2019            | 1.75%              | 20,000,000.00         | 19,859,600.00         | 19,810,100.00         | Make-whole call +5 bps                | 8177          |
|                      |              |                      |                    | <b>258,390,722.77</b> | <b>255,773,053.39</b> | <b>256,255,177.15</b> |                                       |               |

Notes:

6911 - Contra Costa County School Insurance Group managed by PFM  
7903, 7943, 7944 - Contra Costa Community College District managed by PFM  
8177 - County Pool managed by the Treasurer's Office

**CONTRA COSTA COUNTY  
WELLS CAP MANAGEMENT  
STRUCTURED SECURITIES  
December 31, 2018**

| <u>DESCRIPTION</u>                                 | <u>CUSIP</u> | <u>MATURITY DATE</u> | <u>PAR</u><br>(\$)     | <u>MARKET</u><br>(\$)  | <u>COST</u><br>(\$)    | <u>PROVISIONS</u>                             |
|--|--------------|----------------------|------------------------|------------------------|------------------------|---|
| AMXCA 171 A  | 02587AAJ3    | 2/18/2020            | 500,000.00             | 494,515.39             | 492,539.06             | 5% collateral call                            |
| AMERICAN HONDA FINANCE CORP                        | 02665WCL3    | 2/21/2020            | 700,000.00             | 699,502.38             | 700,686.00             | Float quarterly: LIBOR +15bps                 |
| APPLE INC  | 037833DH0    | 11/13/2019           | 500,000.00             | 497,115.00             | 494,665.00             | Make-whole call +5bps                         |
| BANK OF NEW YORK MELLON CORP                       | 06406HCU1    | 5/15/2019            | 250,000.00             | 250,124.03             | 250,047.50             | Callable on and anytime after 4/15/19         |
| BANK OF NEW YORK MELLON CORP                       | 06406HCP2    | 1/15/2019            | 600,000.00             | 605,633.60             | 599,046.00             | Callable on and anytime after 12/15/18        |
| BERKSHIRE HATHAWAY INC                             | 084670BL1    | 8/14/2019            | 700,000.00             | 702,214.57             | 696,311.00             | Make-whole call +10bps                        |
| BLACKROCK INC                                      | 09247XAE1    | 12/10/2019           | 700,000.00             | 714,594.77             | 722,435.00             | Make-whole call +25bps                        |
| BB&T CORP  | 05531FAS2    | 1/15/2020            | 250,000.00             | 251,079.56             | 248,092.50             | Callable on and anytime after 12/15/19        |
| BMWLT 171 A3                                       | 055657AC4    | 5/20/2020            | 374,366.26             | 373,189.29             | 372,055.72             | 5% deal call                                  |
| BMWLT 172 A2A                                      | 05584PAB3    | 2/20/2020            | 170,221.13             | 169,898.31             | 170,206.26             | 5% deal call                                  |
| BANK OF AMERICA CORP                               | 06051GFE4    | 4/1/2019             | 740,000.00             | 747,266.39             | 741,376.40             | Float quarterly: LIBOR +87bps                 |
| BRANCH BANKING AND TRUST CO                        | 07330NAL9    | 5/10/2019            | 450,000.00             | 448,313.48             | 445,333.50             | Callable on and anytime after 4/10/19         |
| CATERPILLAR FINANCIAL SERVICES CORP                | 14912L6R7    | 5/18/2019            | 700,000.00             | 696,973.55             | 690,984.00             | Make-whole call +10bps                        |
| CHEVRON CORP                                       | 166764AR1    | 3/3/2020             | 200,000.00             | 199,277.54             | 197,310.00             | Make-whole call +7.5bps                       |
| CCCIT 14A6 A6                                      | 17305EFS9    | 7/15/2019            | 400,000.00             | 402,318.48             | 397,562.50             | 5% collateral call                            |
| CCCIT 16A1 A1                                      | 17305EFW0    | 11/19/2019           | 450,000.00             | 446,143.67             | 443,056.64             | 5% collateral call                            |
| CHAIT 124 A  | 161571FK5    | 8/15/2019            | 475,000.00             | 471,466.58             | 468,283.20             | 10% collateral call                           |
| CHAIT 162 A  | 161571HC1    | 6/17/2019            | 300,000.00             | 297,926.73             | 296,671.88             | 10% clean up call                             |
| CITIBANK NA  | 17325FAB4    | 3/20/2019            | 750,000.00             | 750,965.25             | 750,000.00             | Float quarterly: LIBOR +34bps                 |
| COMET 161 A  | 14041NFC0    | 4/15/2019            | 600,000.00             | 601,269.10             | 603,398.44             | Float monthly: LIBOR +45bps; 5% clean up call |
| COMET 163 A  | 14041NFE6    | 6/17/2019            | 250,000.00             | 248,224.19             | 246,474.61             | 5% clean up call                              |
| EXXON MOBIL CORP                                   | 30231GAD4    | 3/15/2019            | 475,000.00             | 476,669.12             | 472,962.25             | Make-whole call +5bps                         |
| FEDERAL FARM CREDIT BANKS FUNDING CORP             | 3133EFC70    | 2/22/2019            | 750,000.00             | 751,785.25             | 746,857.50             | Callable on and anytime after 2/22/17         |
| FEDERAL HOME LOAN BANKS                            | 3130ACLX0    | 10/30/2019           | 500,000.00             | 497,124.74             | 494,187.00             | One time call on 10/30/18                     |
| FEDERAL HOME LOAN MORTGAGE CORP                    | 3134G92B2    | 1/30/2019            | 500,000.00             | 501,456.86             | 494,966.50             | Callable on and anytime after 7/30/18         |
| FEDERAL HOME LOAN MORTGAGE CORP                    | 3134G92B2    | 1/30/2019            | 500,000.00             | 501,456.86             | 494,966.50             | Callable on and anytime after 7/30/18         |
| FEDERAL HOME LOAN MORTGAGE CORP                    | 3134G9Q75    | 7/26/2019            | 500,000.00             | 498,637.47             | 493,250.00             | Quarterly; First 10/26/16, Last 4/26/19       |
| FITAT 151 A3                                       | 31680GAD8    | 3/16/2020            | 10,228.54              | 10,228.58              | 10,218.15              | 10% collateral call                           |
| GENERAL DYNAMICS CORP                              | 369550BA5    | 5/11/2020            | 250,000.00             | 251,170.01             | 249,620.00             | Make-whole call +10bps                        |
| HAROT 163 A3                                       | 438124AC3    | 5/18/2020            | 132,669.74             | 131,960.12             | 131,830.19             | 10% collateral call                           |
| JDOT 2018 A2                                       | 47788CAB8    | 10/15/2020           | 140,860.66             | 140,745.43             | 140,854.51             | 10% collateral call                           |
| JPMORGAN CHASE & CO                                | 46625HQU7    | 3/22/2019            | 700,000.00             | 701,549.45             | 699,958.00             | Callable on and anytime after 2/22/19         |
| MASTERCARD INC                                     | 57636QAA2    | 4/1/2019             | 500,000.00             | 501,071.50             | 497,920.00             | Make-whole call +10bps                        |
| MBALT 17A A2A                                      | 58769DAB6    | 8/15/2019            | 13,007.54              | 13,008.60              | 13,007.53              | 5% collateral call                            |
| NAROT 17B A3                                       | 65478GAD2    | 10/15/2021           | 450,000.00             | 445,121.59             | 442,212.89             | 5% collateral call                            |
| NATIONAL RURAL UTILITIES COOP FINANCE CORP         | 637432MX0    | 2/1/2019             | 450,000.00             | 453,609.60             | 448,204.50             | Make-whole call +10bps                        |
| PNC BANK NA  | 69353RCH9    | 1/28/2019            | 750,000.00             | 756,631.50             | 750,345.00             | Callable on and anytime after 12/29/18        |
| SAN FRANCISCO CALIF BAY AREA RAPID TRAN DIST SALES | 797669XS2    | 7/1/2019             | 750,000.00             | 755,291.25             | 750,000.00             | Make-whole call +5bps                         |
| SAN FRANCISCO CALIF CITY & CNTY ARPTS COMMN INTL A | 79766DKL2    | 5/1/2019             | 750,000.00             | 749,758.75             | 750,000.00             | Make-whole call                               |
| TAOT 16C A3  | 89237WAD9    | 8/17/2020            | 166,859.14             | 165,848.63             | 166,155.20             | 5% collateral call                            |
| US BANK NA   | 90331HNK5    | 1/17/2020            | 700,000.00             | 701,849.82             | 700,000.00             | Float quarterly: LIBOR +12.5bps               |
| USAOT 171 A2                                       | 90290AAB3    | 2/18/2020            | 19,398.96              | 19,400.17              | 19,398.60              | 10% collateral call                           |
| VZOT 18A A1B                                       | 92348XAB1    | 4/20/2023            | 800,000.00             | 800,199.34             | 800,000.00             | 10% collateral call                           |
|  |              |                      | <u>\$19,867,611.97</u> | <u>\$19,892,586.49</u> | <u>\$19,793,449.52</u> |   |

**CONTRA COSTA COUNTY  
CALTRUST SHORT TERM FUND  
STRUCTURED SECURITIES  
December 31, 2018**

Exhibit VI (b)

| <u>DESCRIPTION</u>         | <u>CUSIP</u> | <u>MATURITY DATE</u> | <u>PAR</u><br>(\$) | <u>MARKET</u><br>(\$) | <u>PROVISIONS</u>  |
|----------------------------|--------------|----------------------|--------------------|-----------------------|--|
| ALLYA 2018-3 A2            | 02007JAB3    | 5/17/2021            | 4,900,000.00       | 4,892,117.86          | 10% collateral call  |
| AXP 0 05/17/21             | 025816BV0    | 5/17/2021            | 1,660,000.00       | 1,652,527.58          | Float quarterly: LIBOR +52.5 bps                             |
| AMXCA 2014-1 A             | 02582JGN4    | 12/15/2021           | 5,395,000.00       | 5,398,488.41          | Float monthly: LIBOR +37 bps; 5% clean up call               |
| HNDA 0 09/09/21            | 02665WBJ9    | 9/9/2021             | 6,500,000.00       | 6,493,308.58          | Float quarterly: LIBOR +61 bps                               |
| HNDA 0 07/20/20            | 02665WBS9    | 7/20/2020            | 6,000,000.00       | 5,974,265.16          | Float quarterly: LIBOR +27 bps                               |
| AMCAR 2017-3 A2A           | 03065HAB4    | 12/18/2020           | 1,462,977.30       | 1,457,949.78          | 10% collateral call  |
| AMCAR 2016-4 A3            | 03065TAD4    | 7/8/2021             | 7,090,650.05       | 7,053,782.92          | 10% collateral call  |
| AMCAR 2018-1 A2A           | 03066HAB3    | 7/19/2021            | 5,655,610.02       | 5,645,746.64          | 10% collateral call  |
| BBT 0 01/15/20             | 05531FAT0    | 1/15/2020            | 2,459,000.00       | 2,463,739.08          | Float quarterly: LIBOR +71.5 bps; Call on and after 12/15/19 |
| BNP FLOAT 04/18/19         | 05586FEE3    | 4/18/2019            | 10,000,000.00      | 9,994,300.00          | Float quarterly: LIBOR +15 bps                               |
| BAC 0 07/21/21             | 06051GGN3    | 7/21/2021            | 12,500,000.00      | 12,447,593.88         | Float quarterly: LIBOR +66 bps; Call on 7/21/20 only         |
| BMO 0 04/13/21             | 06367T4X5    | 4/13/2021            | 10,000,000.00      | 9,940,106.00          | Float quarterly: LIBOR +46 bps                               |
| BMO 0 06/15/20             | 06367TYM6    | 6/15/2020            | 4,000,000.00       | 3,992,421.76          | Float quarterly: LIBOR +44 bps                               |
| BANK OF NEW YORK MELLON    | 06405LAA9    | 12/4/2020            | 8,000,000.00       | 7,986,560.00          | Float quarterly: LIBOR +30 bps                               |
| BNS 0 04/20/21             | 064159LH7    | 4/20/2021            | 7,000,000.00       | 6,960,853.90          | Float quarterly: LIBOR +44 bps                               |
| BACR 0 01/11/21            | 06739FJK8    | 1/11/2021            | 5,000,000.00       | 4,927,450.00          | Float quarterly: LIBOR +46 bps; call on and after 12/11/20   |
| BACR 0 08/07/20            | 06744CFV8    | 8/7/2020             | 5,000,000.00       | 4,988,500.80          | Float quarterly: LIBOR +65 bps                               |
| BACR 0 08/07/19            | 06744CFY2    | 8/7/2019             | 4,304,000.00       | 4,302,908.08          | Float quarterly: LIBOR +55bps                                |
| BBT 0 05/01/19             | 07330NAM7    | 5/1/2019             | 8,000,000.00       | 8,003,758.96          | Float quarterly: LIBOR +53 bps; Call on and after 4/10/19    |
| BBT 0 01/15/20             | 07330NAP0    | 1/15/2020            | 2,000,000.00       | 1,998,870.56          | Float quarterly: LIBOR +45 bps                               |
| CHAPMAN UNIVERSITY         | 130179KN3    | 4/1/2019             | 2,000,000.00       | 1,993,780.00          | Make-whole call +25bps                                       |
| CALIFORNIA ST              | 13063A7G3    | 10/1/2019            | 2,900,000.00       | 2,972,645.00          | Make-whole call +45 bps                                      |
| CARMX 2017-4 A2A           | 14314RAH5    | 4/15/2021            | 3,760,890.87       | 3,744,997.72          | 10% collateral call  |
| CARMX 2018-2 A2            | 14314XAB5    | 8/16/2021            | 5,995,895.19       | 5,982,741.40          | 10% collateral call  |
| CAT 0 09/07/21             | 14913Q2P3    | 9/7/2021             | 2,225,000.00       | 2,209,850.55          | Float quarterly: LIBOR +28 bps                               |
| CHAIT 2016-A2              | 161571HC1    | 6/15/2021            | 4,916,000.00       | 4,879,032.66          | 10% collateral call  |
| CHAIT 2017-A1 A            | 161571HJ6    | 1/18/2022            | 6,000,000.00       | 6,002,910.60          | Float monthly: LIBOR +30 bps; 10% clean up call              |
| CHAIT 2018-A1 A1           | 161571HN7    | 4/17/2023            | 8,230,000.00       | 8,204,661.48          | 10% collateral call  |
| C 0 06/07/19               | 172967KT7    | 6/7/2019             | 2,000,000.00       | 2,004,707.06          | Float quarterly: LIBOR +93 bps                               |
| CCCIT 2016-A1 A1           | 17305EFW0    | 11/19/2021           | 7,425,000.00       | 7,346,211.10          | 5% collateral call   |
| CCCIT 2017-A3 A3           | 17305EGB5    | 4/7/2022             | 5,875,000.00       | 5,800,066.73          | 5% collateral call   |
| CCCIT 2018-A1 A1           | 17305EGK5    | 1/20/2023            | 5,400,000.00       | 5,356,454.94          | 5% clean up call   |
| C 0 10/20/20               | 17325FAK4    | 10/20/2020           | 9,500,000.00       | 9,432,930.00          | Float quarterly: LIBOR +30bps                                |
| C 0 02/12/21               | 17325FAM0    | 2/12/2021            | 7,000,000.00       | 6,925,772.84          | Float quarterly: LIBOR +35 bps; call on and after 1/12/21    |
| CBAAU FLOAT 03/18/19       | 20271ENG0    | 3/18/2019            | 8,500,000.00       | 8,505,941.50          | Float quarterly: LIBOR +20 bps                               |
| RABOBK FLOAT 04/05/19      | 21684B5J9    | 4/5/2019             | 8,000,000.00       | 8,002,633.20          | Float quarterly: LIBOR +20 bps                               |
| RABOBK 0 04/26/21          | 21688AAP7    | 4/26/2021            | 6,000,000.00       | 5,969,942.10          | Float quarterly: LIBOR +43 bps                               |
| CS FLOAT 02/01/19          | 22549LLF0    | 2/1/2019             | 12,000,000.00      | 11,994,960.00         | Float monthly: LIBOR +35 bps                                 |
| DE 0 01/08/21              | 24422ETY5    | 1/8/2021             | 1,355,000.00       | 1,347,262.90          | Float quarterly: LIBOR +16 bps                               |
| DE 0 01/07/20              | 24422EUF4    | 1/7/2020             | 10,000,000.00      | 9,997,924.80          | Float quarterly: LIBOR +18 bps                               |
| DCENT 2014-A4 A4           | 254683BK0    | 12/15/2021           | 6,815,000.00       | 6,789,594.36          | 5% collateral call   |
| DRIVE 2018-4 A2A           | 26209BAB5    | 10/15/2020           | 7,550,000.00       | 7,541,903.38          | 10% collateral call  |
| DD 0 05/01/20              | 263534CM9    | 5/1/2020             | 5,800,000.00       | 5,802,931.73          | Float quarterly: LIBOR +53 bps                               |
| ENERGY N W WA ELEC REVENUE | 29270CYM1    | 7/1/2019             | 7,510,000.00       | 7,491,600.50          | Make-whole call +20 bps                                      |
| FORDO 2018-A A2A           | 34528FAB4    | 2/15/2021            | 6,407,519.01       | 6,395,591.41          | 10% collateral call  |

**CONTRA COSTA COUNTY  
CALTRUST SHORT TERM FUND  
STRUCTURED SECURITIES  
December 31, 2018**

Exhibit VI (b)

| <u>DESCRIPTION</u>         | <u>CUSIP</u> | <u>MATURITY DATE</u> | <u>PAR</u><br>(\$) | <u>MARKET</u><br>(\$) | <u>PROVISIONS</u>   |
|----------------------------|--------------|----------------------|--------------------|-----------------------|---|
| GD 2 7/8 05/11/20          | 369550BA5    | 5/11/2020            | 10,385,000.00      | 10,392,131.69         | Make-whole call +10 bps                                     |
| GD 0 05/11/21              | 369550BF4    | 5/11/2021            | 2,750,000.00       | 2,740,690.48          | Float quarterly: LIBOR +38 bps                              |
| GILD 2.55 09/01/20         | 375558BB8    | 9/1/2020             | 20,000,000.00      | 19,838,249.80         | Make-whole call +15 bps                                     |
| GS 0 04/25/19              | 38141GUV3    | 4/25/2019            | 11,293,000.00      | 11,311,796.75         | Float quarterly: LIBOR +104 bps                             |
| HAWAII ST TXBL - SER FU    | 419792WZ5    | 1/1/2019             | 1,200,000.00       | 1,200,000.00          | Make-whole call +10 bps                                     |
| HAROT 2016-3 A4            | 438124AD1    | 11/18/2022           | 5,105,000.00       | 5,035,550.05          | 10% collateral call   |
| HAROT 2017-3 A3            | 43814PAC4    | 9/20/2021            | 1,875,000.00       | 1,852,039.13          | 10% collateral call   |
| HAROT 2018-2 A2            | 43814UAF6    | 12/18/2020           | 9,792,349.07       | 9,769,924.59          | 10% collateral call   |
| IBM 0 01/20/21             | 44932HAE3    | 1/20/2021            | 3,000,000.00       | 2,976,766.05          | Float quarterly: LIBOR +26 bps                              |
| IBRD FLOAT 08/21/20        | 459058GK3    | 8/21/2020            | 11,000,000.00      | 10,996,369.78         | Float daily: SOFR +22 bps                                   |
| IFC 0 01/09/19             | 45950VHC3    | 1/9/2019             | 10,000,000.00      | 10,000,100.00         | Float quarterly: LIBOR +6 bps                               |
| JPM 0 03/22/19             | 46625HQV5    | 3/22/2019            | 5,000,000.00       | 5,002,052.20          | Float quarterly: LIBOR +84 bps                              |
| JPM 0 03/09/21             | 46647PAC0    | 3/9/2021             | 5,000,000.00       | 4,975,951.25          | Float quarterly: LIBOR +55 bps                              |
| JPM 0 06/18/22             | 46647PAT3    | 6/18/2022            | 2,290,000.00       | 2,264,832.44          | Float quarterly: LIBOR +61 bps                              |
| JOHN DEERE OWNER TRUST     | 47788BAB0    | 4/15/2020            | 1,505,473.47       | 1,502,598.32          | 10% collateral call   |
| JPM 0 02/13/20             | 48125LRM6    | 2/13/2020            | 11,275,000.00      | 11,260,066.94         | Float quarterly: LIBOR +25 bps; call on 2/13/19 and 1/13/20 |
| MTB 2 1/4 07/25/19         | 55279HAG5    | 7/25/2019            | 550,000.00         | 548,060.68            | Call on and anytime after 6/25/19                           |
| MUGF 0 07/26/21            | 606822AX2    | 7/26/2021            | 3,000,000.00       | 2,988,778.80          | Float quarterly: LIBOR +65 bps                              |
| MS 0 02/01/19              | 61746BDY9    | 2/1/2019             | 3,500,000.00       | 3,502,806.97          | Float quarterly: LIBOR +137.5 bps                           |
| MS 0 02/14/20              | 61746BEH5    | 2/14/2020            | 20,000,000.00      | 20,000,520.00         | Float quarterly: LIBOR +80 bps                              |
| MS 0 01/27/20              | 61747YDX0    | 1/27/2020            | 5,095,000.00       | 5,115,931.48          | Float quarterly: LIBOR +114 bps                             |
| NMOTR 2017-A A             | 65474VAM3    | 4/15/2021            | 4,370,000.00       | 4,371,434.23          | Float monthly: LIBOR +31 bps; 10% clean up call             |
| NMOTR 2017-B A             | 65474VAN1    | 4/18/2022            | 10,260,000.00      | 10,257,026.65         | Float monthly: LIBOR +43 bps; 10% clean up call             |
| NMOTR 2017-C A             | 65474VAP6    | 10/17/2022           | 9,210,000.00       | 9,197,176.00          | Float monthly: LIBOR +32 bps; 10% clean up call             |
| NAROT 2018-A A2A           | 65478DAB3    | 12/15/2020           | 5,182,197.02       | 5,168,967.39          | 5% collateral call  |
| NAROT 2016-B A3            | 65478VAD9    | 1/15/2021            | 2,106,999.54       | 2,090,157.03          | 5% collateral call  |
| NDASS FLOAT 04/05/19       | 65590AUR5    | 4/5/2019             | 8,000,000.00       | 8,002,227.12          | Float quarterly: LIBOR +20 bps                              |
| ORCL 5 07/08/19            | 68389XAG0    | 7/8/2019             | 3,000,000.00       | 3,031,960.71          | Make-whole call +25 bps                                     |
| PNC 2.2 01/28/19           | 69353RCH9    | 1/28/2019            | 1,755,000.00       | 1,754,108.27          | Callable on and anytime after 12/29/18                      |
| PNC 0 05/19/20             | 69353RFD5    | 5/19/2020            | 2,025,000.00       | 2,020,654.63          | Float quarterly: LIBOR +36 bps                              |
| PNC 0 01/22/21             | 69353RFK9    | 1/22/2021            | 5,280,000.00       | 5,216,133.12          | Float quarterly: LIBOR +25 bps                              |
| PCAR 0 05/10/21            | 69371RP34    | 5/10/2021            | 4,135,000.00       | 4,136,155.36          | Float quarterly: LIBOR +26 bps                              |
| PORT AUTH OF NEW YORK & N  | 73358WTS3    | 9/15/2019            | 5,000,000.00       | 4,984,250.00          | Make-whole call +10 bps                                     |
| PORT OF SEATTLE WA REVENU* | 735389MT2    | 5/1/2036             | 6,000,000.00       | 6,083,100.00          | Make-whole call +30 bps; Call on and anytime after 5/1/19   |
| RY FLOAT 03/22/19          | 78012UBX2    | 3/22/2019            | 8,000,000.00       | 8,003,574.96          | Float quarterly: LIBOR +28 bps                              |
| SANUK 0 11/03/20           | 80283LAQ6    | 11/3/2020            | 8,340,000.00       | 8,280,301.36          | Float quarterly: LIBOR +30bps                               |
| SDART 2017-3 A3            | 80284YAD6    | 6/15/2021            | 6,932,702.13       | 6,917,271.32          | 10% collateral call   |
| SDART 2018-2 A2A MTGE      | 80285FAB0    | 10/15/2020           | 5,746,401.41       | 5,740,059.68          | 10% collateral call   |
| SDART 2018-3 A2A           | 80285GAB8    | 3/15/2021            | 6,174,757.50       | 6,166,157.30          | 10% collateral call   |
| STANLN FLOAT 04/24/19      | 85325TS71    | 4/24/2019            | 11,000,000.00      | 10,999,940.16         | Float quarterly: LIBOR +23 bps                              |
| SUMIBK FLOAT 05/15/19      | 86563YWP4    | 5/15/2019            | 3,000,000.00       | 3,003,380.19          | Float quarterly: LIBOR +46 bps                              |
| SUMITR FLOAT 08/16/19      | 86564FGY3    | 8/16/2019            | 7,000,000.00       | 6,999,941.55          | Float quarterly: LIBOR +20 bps                              |

**CONTRA COSTA COUNTY  
CALTRUST SHORT TERM FUND  
STRUCTURED SECURITIES  
December 31, 2018**

| <u>DESCRIPTION</u>        | <u>CUSIP</u> | <u>MATURITY DATE</u> | <u>PAR</u><br>(\$)    | <u>MARKET</u><br>(\$) | <u>PROVISIONS</u>                     |
|---------------------------|--------------|----------------------|-----------------------|-----------------------|---------------------------------------|
| SUMITR FLOAT 04/05/19     | 86564FKJ1    | 4/5/2019             | 8,500,000.00          | 8,499,563.19          | Float quarterly: LIBOR +10 bps        |
| SHBASS FLOAT 12/19/19     | 86958J2S7    | 12/19/2019           | 10,000,000.00         | 9,999,960.70          | Float quarterly: LIBOR +21 bps        |
| SHBASS 0 09/08/20         | 86960BAS1    | 9/8/2020             | 2,310,000.00          | 2,308,390.30          | Float quarterly: LIBOR +36 bps        |
| TORONTO DOMINION BK N Y B | 89114MJW8    | 5/21/2019            | 10,500,000.00         | 10,498,503.75         | One time call: 2/22/19                |
| TAOT 2017-B A2B           | 89190BAC2    | 1/15/2020            | 287,545.96            | 287,545.67            | 5% collateral call                    |
| TAOT 2016-A A3            | 89237KAD5    | 3/16/2020            | 568,794.07            | 567,011.58            | 5% collateral call                    |
| TAOT 2017-D A3            | 89238KAD4    | 1/18/2022            | 10,905,000.00         | 10,753,065.00         | 5% collateral call                    |
| TAOT 2018-B A2B           | 89238TAC7    | 3/15/2021            | 13,500,000.00         | 13,501,719.90         | 5% collateral call                    |
| UBS 0 08/14/19            | 90261XHF2    | 8/14/2019            | 15,000,000.00         | 15,034,035.00         | Float quarterly: LIBOR +64 bps        |
| USB 0 01/17/20            | 90331HNK5    | 1/17/2020            | 5,000,000.00          | 4,986,043.15          | Float quarterly: LIBOR +12.5 bps      |
| USB 3.05 07/24/20         | 90331HNU3    | 7/24/2020            | 10,000,000.00         | 9,988,158.00          | Callable on and anytime after 6/24/20 |
| UPS 0 04/01/21            | 911312BH8    | 4/1/2021             | 8,415,000.00          | 8,378,394.75          | Float quarterly: LIBOR +15bps         |
| UNH 2.7 07/15/20          | 91324PCM2    | 7/15/2020            | 7,394,000.00          | 7,367,572.81          | Make-whole call +15 bps               |
| UNH 0 10/15/20            | 91324PDB5    | 10/15/2020           | 4,790,000.00          | 4,753,126.58          | Float quarterly: LIBOR +7 bps         |
| WMT 0 06/23/21            | 931142EH2    | 6/23/2021            | 5,330,000.00          | 5,314,169.74          | Float quarterly: LIBOR +23 bps        |
| WFC 0 07/22/20            | 94974BGN4    | 7/22/2020            | 1,150,000.00          | 1,151,861.91          | Float quarterly: LIBOR +88 bps        |
| WFC FLOAT 04/05/19        | 94989RD70    | 4/5/2019             | 8,000,000.00          | 8,003,242.24          | Float quarterly: LIBOR +25 bps        |
| WFC FLOAT 04/23/19        | 94989RF94    | 4/23/2019            | 7,800,000.00          | 7,801,615.30          | Float quarterly: LIBOR +21 bps        |
| WFC FLOAT 04/15/19        | 94989RY69    | 4/15/2019            | 10,000,000.00         | 9,998,843.90          | Float quarterly: LIBOR +10 bps        |
|                           |              |                      | <u>675,676,762.61</u> | <u>674,164,315.81</u> |                                       |

Contra Costa County invests approximately \$45.5 million in the CalTRUST Short Term Fund which had assets of \$1.27 billion as of 12/31/18. The above data represents the information at the Short Term Fund level, not at the Contra Costa County account level.

\*The underlying security is defeased by US treasuries with a prerefunded maturity date of 5/1/19.

**CONTRA COSTA COUNTY  
CALTRUST LIQUIDITY FUND  
STRUCTURED SECURITIES  
December 31, 2018**

Exhibit VI (c)

| <u>DESCRIPTION</u>        | <u>CUSIP</u> | <u>MATURITY DATE</u> | <u>PAR</u><br>(\$)   | <u>MARKET</u><br>(\$) | <u>PROVISIONS</u>                |
|---------------------------|--------------|----------------------|----------------------|-----------------------|----------------------------------|
| HNDA 0 06/24/19           | 02665WCK5    | 6/24/2019            | 910,000.00           | 910,000.00            | Float Quarterly: LIBOR +5bps     |
| BNP FLOAT 04/04/19        | 05586FDA2    | 4/4/2019             | 2,500,000.00         | 2,500,000.00          | Float Quarterly: LIBOR +8bps     |
| BANK OF MONTREAL          | 06370RJA8    | 3/12/2019            | 3,000,000.00         | 3,000,000.00          | Float Monthly: LIBOR +15bps      |
| BANK OF MONTREAL CHICAGO  | 06370RQX0    | 12/10/2019           | 2,000,000.00         | 2,000,000.00          | Float Monthly: LIBOR +40bps      |
| BMO FLOAT 01/17/20        | 06370RRR2    | 1/17/2020            | 1,500,000.00         | 1,500,000.00          | Float Monthly: LIBOR +42bps      |
| BANK MONTREAL CHC CD FLT  | 06371EYK7    | 6/13/2019            | 300,000.00           | 299,987.38            | Float Monthly: LIBOR +30bps      |
| BNS FLOAT 05/16/19        | 06417G3B2    | 5/16/2019            | 2,000,000.00         | 2,000,000.00          | Float O/N: SOFR +43bps           |
| BNS FLOAT 09/20/19        | 06417G3C0    | 9/20/2019            | 3,000,000.00         | 3,000,000.00          | Float Quarterly: LIBOR +20bps    |
| BNS FLOAT 02/28/19        | 06417GTS7    | 2/28/2019            | 1,150,000.00         | 1,150,545.73          | Float Quarterly: LIBOR +38bps    |
| BANK NOVA SCOTIA          | 06417GU97    | 3/12/2019            | 1,000,000.00         | 1,000,059.26          | Float Quarterly: LIBOR +8bps     |
| BEDFDG 0 08/02/19         | 07644DGY8    | 8/2/2019             | 2,500,000.00         | 2,500,000.00          | Float Monthly: LIBOR +34bps      |
| BEDFDG 0 06/19/19         | 07646KDN7    | 6/19/2019            | 2,500,000.00         | 2,500,000.00          | Float Quarterly: LIBOR +14bps    |
| CM FLOAT 07/25/19         | 13606BH58    | 7/25/2019            | 600,000.00           | 600,000.00            | Float Monthly: LIBOR +30bps      |
| CM FLOAT 02/04/19         | 13606BLU8    | 2/4/2019             | 3,300,000.00         | 3,300,489.72          | Float Quarterly: LIBOR +23bps    |
| CM FLOAT 07/16/19         | 13606BZL3    | 7/16/2019            | 800,000.00           | 800,000.00            | Float Monthly: LIBOR +31bps      |
| CBAAU FLOAT 03/18/19      | 20271ENG0    | 3/18/2019            | 887,000.00           | 887,255.96            | Float Quarterly: LIBOR +20bps    |
| COOPERATIVE CENTRALERAIF  | 21684B4T8    | 2/8/2019             | 845,000.00           | 844,988.19            | Float Monthly: LIBOR +23bps      |
| CICFP FLOAT 05/08/19      | 22536UTL4    | 5/8/2019             | 2,500,000.00         | 2,500,000.00          | Float Monthly: LIBOR +27bps      |
| CROWN POINT CAPITAL LLC   | 22845AFG0    | 1/18/2019            | 1,500,000.00         | 1,500,000.00          | Callable from 12/14/18 - 1/16/19 |
| CPSERA 2.72 02/07/19      | 22845AFM7    | 2/7/2019             | 1,500,000.00         | 1,500,000.00          | Callable from 1/13/19 - 2/6/19   |
| CPSERA 2.77 02/21/19      | 22845AFT2    | 2/21/2019            | 2,000,000.00         | 2,000,000.00          | Callable from 1/17/19-2/19/19    |
| ING (US) FUNDING LLC      | 44988KGN3    | 5/21/2019            | 3,000,000.00         | 3,000,000.00          | Float Monthly: LIBOR +31bps      |
| ING (US) FUNDING LLC      | 44988KFR5    | 3/6/2019             | 800,000.00           | 800,000.00            | Float Monthly: LIBOR +27bps      |
| ING (US) FUNDING LLC      | 44988KFW4    | 4/12/2019            | 500,000.00           | 500,000.00            | Float Quarterly: LIBOR +10bps    |
| MUFG FLOAT 06/04/19       | 60683BNF6    | 6/4/2019             | 2,600,000.00         | 2,600,000.00          | Float Monthly: LIBOR +32bps      |
| MIZUHO FLOAT 04/18/19     | 60700AY99    | 4/18/2019            | 6,000,000.00         | 5,998,998.63          | Float Quarterly: LIBOR +15bps    |
| MIZUHO FLOAT 01/07/19     | 60700AS62    | 1/7/2019             | 1,500,000.00         | 1,500,000.00          | Float Monthly: LIBOR +16bps      |
| NORDEA BANK AB NEW YORK   | 65590ASH0    | 2/8/2019             | 4,000,000.00         | 4,000,161.18          | Float Monthly: LIBOR +22bps      |
| OCBCSP FLOAT 01/18/19     | 69033MBS5    | 1/18/2019            | 1,500,000.00         | 1,499,996.73          | Float Monthly: LIBOR +14bps      |
| RY FLOAT 08/16/19         | 78012UFB6    | 8/16/2019            | 700,000.00           | 700,000.00            | Float Monthly: LIBOR +31bps      |
| SKANDINAVISKA ENSKILDA    | 83050FS77    | 5/3/2019             | 800,000.00           | 799,982.85            | Float Quarterly: LIBOR +8bps     |
| SOCGEN FLOAT 06/12/19     | 83369YX76    | 6/12/2019            | 2,000,000.00         | 2,000,000.00          | Float Quarterly: LIBOR +20bps    |
| STANLN FLOAT 03/25/19     | 85325TQ24    | 3/25/2019            | 2,000,000.00         | 2,000,000.00          | Float Quarterly: LIBOR +10bps    |
| SUMIBK FLOAT 05/15/19     | 86563YWP4    | 5/15/2019            | 3,400,000.00         | 3,403,501.13          | Float Quarterly: LIBOR +46bps    |
| SUMITOMO MITSUI TR BK LTD | 86564FGR8    | 2/8/2019             | 3,000,000.00         | 3,000,008.95          | Float Monthly: LIBOR +18bps      |
| SUMITR FLOAT 04/05/19     | 86564FKJ1    | 4/5/2019             | 1,000,000.00         | 1,000,000.00          | Float Quarterly: LIBOR +10bps    |
| SUMIBK FLOAT 04/18/19     | 86565BPF2    | 4/18/2019            | 1,000,000.00         | 999,992.87            | Float Quarterly: LIBOR +15bps    |
| SHBASS FLOAT 12/19/19     | 86958J2S7    | 12/19/2019           | 3,000,000.00         | 3,000,000.00          | Float Quarterly: LIBOR +21bps    |
| SVENSKA HANDELSBANKEN     | 86958JF20    | 5/7/2019             | 501,000.00           | 501,028.41            | Float Quarterly: LIBOR +10bps    |
| SVENSKA HANDELSBANKEN CD  | 86958JPR4    | 6/7/2019             | 1,100,000.00         | 1,100,464.59          | Float Quarterly: LIBOR +28bps    |
| SHBASS FLOAT 03/13/19     | 86958JZW2    | 3/13/2019            | 500,000.00           | 500,138.32            | Float Quarterly: LIBOR +20bps    |
| SWEDBANK (NEW YORK)       | 87019VTQ0    | 5/21/2019            | 1,500,000.00         | 1,500,000.00          | Float Monthly: LIBOR +26bps      |
| TORONTO DOMINION BANK NY  | 89114MLL9    | 6/9/2019             | 2,200,000.00         | 2,200,000.00          | Float Monthly: LIBOR +30bps      |
|                           |              |                      | <b>78,893,000.00</b> | <b>78,897,599.90</b>  |                                  |

Contra Costa County invests approximately \$85.0 million in the CalTRUST Liquidity Fund which had assets of \$276.0 million as of 12/31/18. The above data represents the information at the Liquidity Fund level, not at the Contra Costa County account level.





## PAR VALUES MATURING BY DATE AND TYPE

### Maturities in Millions of Dollars

| ITEM           | 1 day to 30 days | 31 days to 60 days | 61 days to 90 days | 91 days to 120 days | 121 days to 150 days | 151 days to 180 days | 181 days to 210 days | 211 days to 270 days | 271 days to 1 year | 1 year to 2 years | 2 years to 3 years | 3 years to 4 years | 4 years to 5 year/out |
|----------------|------------------|--------------------|--------------------|---------------------|----------------------|----------------------|----------------------|----------------------|--------------------|-------------------|--------------------|--------------------|-----------------------|
| TREASURY       | \$ 1,500         | \$ 6,500           | \$ 3,850           | \$ 1,650            | \$ 3,000             | \$ 3,750             | \$ 2,500             | \$ 3,850             | \$ 5,900           | \$ 9,750          | \$ 700             |                    |                       |
| REPO           |                  |                    |                    |                     |                      |                      |                      |                      |                    |                   |                    |                    |                       |
| TDs            | \$ 1,418         | \$ 936             | \$ 830             | \$ 564              | \$ 481               | \$ 419               |                      |                      |                    |                   |                    |                    |                       |
| AGENCY         | \$ 2,383         | \$ 2,425           | \$ 1,250           | \$ 1,150            | \$ 2,300             | \$ 1,150             | \$ 1,500             | \$ 635               | \$ 677             | \$ 2,233          | \$ 748             |                    |                       |
| CP             | \$ 2,450         | \$ 1,350           | \$ 600             | \$ 500              | \$ 200               | \$ 450               | \$ 100               | \$ 150               |                    |                   |                    |                    |                       |
| CDs + BNs      | \$ 4,600         | \$ 3,100           | \$ 1,750           | \$ 1,550            | \$ 950               | \$ 625               | \$ 100               | \$ 400               | \$ 1,200           |                   |                    |                    |                       |
| CORP BND       |                  |                    |                    |                     |                      |                      |                      |                      |                    |                   |                    |                    |                       |
| <b>TOTAL</b>   |                  |                    |                    |                     |                      |                      |                      |                      |                    |                   |                    |                    |                       |
| \$ 84,125      | \$ 12,351        | \$ 14,311          | \$ 8,280           | \$ 5,414            | \$ 6,931             | \$ 6,394             | \$ 4,200             | \$ 5,035             | \$ 7,777           | \$ 11,983         | \$ 1,448           | \$ -               | \$ -                  |
| <b>PERCENT</b> | <b>14.7%</b>     | <b>17.0%</b>       | <b>9.8%</b>        | <b>6.4%</b>         | <b>8.2%</b>          | <b>7.6%</b>          | <b>5.0%</b>          | <b>6.0%</b>          | <b>9.2%</b>        | <b>14.2%</b>      | <b>1.7%</b>        | <b>0.0%</b>        | <b>0.0%</b>           |

Notes:

1. SBA Floating Rate Securities are represented at coupon change date.
2. Mortgages are represented at current book value.
3. Figures are rounded to the nearest million.
4. Does not include AB55 and General Fund loans.



**State of California**  
**Pooled Money Investment Account**  
**Market Valuation**  
**12/31/2018**

| Description                    | Carrying Cost Plus          |                             | Fair Value                  | Accrued Interest         |
|--------------------------------|-----------------------------|-----------------------------|-----------------------------|--------------------------|
|                                | Accrued Interest            | Purch. Amortized Cost       |                             |                          |
| 1* United States Treasury:     |                             |                             |                             |                          |
| Bills                          | \$ 16,494,774,781.04        | \$ 16,650,272,651.45        | \$ 16,646,254,000.00        | NA                       |
| Notes                          | \$ 26,084,822,813.35        | \$ 26,080,601,689.42        | \$ 26,027,720,500.00        | \$ 95,204,336.00         |
| 1* Federal Agency:             |                             |                             |                             |                          |
| SBA                            | \$ 733,319,305.60           | \$ 733,319,305.60           | \$ 725,337,181.24           | \$ 1,533,321.99          |
| MBS-REMICs                     | \$ 25,252,637.32            | \$ 25,252,637.32            | \$ 25,738,470.69            | \$ 117,951.22            |
| Debentures                     | \$ 2,067,679,296.33         | \$ 2,067,564,886.61         | \$ 2,060,749,900.00         | \$ 9,201,096.20          |
| Debentures FR                  | \$ -                        | \$ -                        | \$ -                        | \$ -                     |
| Debentures CL                  | \$ 100,000,000.00           | \$ 100,000,000.00           | \$ 99,761,500.00            | \$ 594,375.00            |
| Discount Notes                 | \$ 11,645,482,250.14        | \$ 11,720,182,916.69        | \$ 11,717,455,500.00        | NA                       |
| 1* Supranational Debentures    | \$ 489,261,978.98           | \$ 489,261,978.98           | \$ 489,012,600.00           | \$ 2,258,474.00          |
| 1* Supranational Debentures FR | \$ 150,312,991.24           | \$ 150,312,991.24           | \$ 150,570,884.05           | \$ 793,633.61            |
| 2* CDs and YCDs FR             | \$ 525,000,000.00           | \$ 525,000,000.00           | \$ 525,074,250.00           | \$ 1,941,077.42          |
| 2* Bank Notes                  | \$ 850,000,000.00           | \$ 850,000,000.00           | \$ 849,554,819.14           | \$ 8,304,430.54          |
| 2* CDs and YCDs                | \$ 12,900,000,000.00        | \$ 12,900,000,000.00        | \$ 12,895,987,828.73        | \$ 77,810,611.13         |
| 2* Commercial Paper            | \$ 5,751,563,722.24         | \$ 5,773,027,569.44         | \$ 5,772,338,388.88         | NA                       |
| 1* Corporate:                  |                             |                             |                             |                          |
| Bonds FR                       | \$ -                        | \$ -                        | \$ -                        | \$ -                     |
| Bonds                          | \$ -                        | \$ -                        | \$ -                        | \$ -                     |
| 1* Repurchase Agreements       | \$ -                        | \$ -                        | \$ -                        | \$ -                     |
| 1* Reverse Repurchase          | \$ -                        | \$ -                        | \$ -                        | \$ -                     |
| Time Deposits                  | \$ 4,647,740,000.00         | \$ 4,647,740,000.00         | \$ 4,647,740,000.00         | NA                       |
| AB 55 & GF Loans               | \$ 797,898,000.00           | \$ 797,898,000.00           | \$ 797,898,000.00           | NA                       |
| <b>TOTAL</b>                   | <b>\$ 83,263,107,776.24</b> | <b>\$ 83,510,434,626.75</b> | <b>\$ 83,431,193,822.73</b> | <b>\$ 197,759,307.11</b> |

Fair Value Including Accrued Interest \$ 83,628,953,129.84

\* Governmental Accounting Standards Board (GASB) Statement #72

Repurchase Agreements, Time Deposits, AB 55 & General Fund loans, and Reverse Repurchase agreements are carried at portfolio book value (carrying cost).

The value of each participating dollar equals the fair value divided by the amortized cost (**0.999051127**).  
As an example: if an agency has an account balance of \$20,000,000.00, then the agency would report its participation in the LAIF valued at **\$19,981,022.54** or \$20,000,000.00 x **0.999051127**.

\*All investments are in U.S. Dollars according to State of California.



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# DISCLOSURE STATEMENT

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## Portfolio Holdings: Structured Notes and Asset-Backed Securities

The Treasury Investment Division has received a number of inquiries concerning our various portfolio holdings. Questions involving structured notes, derivative products\*, and asset-backed securities are the most notable.

In an effort to clarify the information provided in our monthly statements, we would like to share with you our investment positions in structured notes and asset-backed securities.

Following are the State of California Treasurer's holdings in each category as of December 31, 2018:

\* ***The Pooled Money Investment Account Portfolio has not invested in, nor will it invest in, Derivative Products as defined in FASB 133.***

1. Structured Notes

Structured notes are debt securities (other than asset-backed securities) whose cash flow characteristics (coupon rate, redemption amount, or stated maturity) depend upon one or more indices and/or that have embedded forwards or options. They are issued by corporations and by government-sponsored enterprises such as the Federal National Mortgage Association and the Federal Home Loan Bank System or an international agency such as the World Bank.

Securities Accountability

Structured Notes

|                                     |                    |
|-------------------------------------|--------------------|
| a. Callable Agency                  | \$ 100.000 million |
| b. LIBOR Agency Floater             | \$ 150.000 million |
| c. 3 month LIBOR Corporate Floater  | \$ 0.000 million   |
| d. 3 month LIBOR Bank Floater       | \$ 525.000 million |
| e. 2 year CMT Corporate Floater     | \$ 0.000 million   |
| f. 3 month T-Bill Agency Floater    | \$ 0.000 million   |
| g. 3 month T-Bill Corporate Floater | \$ 0.000 million   |

U.S. \$775.000 million As of: 12/31/18

2. Asset-Backed Securities

Asset-backed securities entitle the purchaser to receive a share of the cash flows from a pool of assets such as principal and interest repayments from a pool of mortgages (such as CMOs), small business loans, or credit card receivables (such as ABCP).

Asset-Backed Securities

|  |                          |
|--|--------------------------|
| a. Small Business Administration Pools     | \$ 733.319 million       |
| b. Agency MBS-REMIC'S                      | <u>\$ 25.253 million</u> |
| (Medium term sub-total)                    | \$ 758.572 million       |
| c. Commercial Paper (Short term sub-total) | \$ 694.850 million       |

U.S. \$1,453.422 million As of: 12/31/18

Total Portfolio As of: 12/31/18 \$83,263,107,776.24

Structured notes and Medium-term Asset-backed securities as a percent of portfolio: 1.84%

Short-term Asset-Backed Commercial Paper (ABCP) as a percent of portfolio: 0.83% \*

Total Medium-term and Short-term Structured notes and Asset-backed securities  
as a percent of portfolio: 2.67%

***\* ABCP purchased by the Pooled Money Investment Account (PMIA) does not include Structured Investment Vehicles (SIVs) nor do any of the approved ABCP programs include SIVs as underlying assets.***

## Portfolio Stress Test<sup>1</sup> as of December 31, 2018

|              |                  |                          |         |
|--------------|------------------|--------------------------|---------|
| Par Value    | 3,203,274,397.22 | Book Price               | 99.473  |
| Book Value   | 3,186,390,476.30 | Average Days to Maturity | 200     |
| Market Value | 3,189,017,758.45 | Coupon                   | 2.4143% |
| Market Price | 99.555           | YTM                      | 2.4912% |

| Yield Change | Market Value     | Market Price | Gain/Loss <sup>2</sup> |
|--------------|------------------|--------------|------------------------|
| (bps)        | (\$)             | (\$)         | (bps)                  |
| -50          | 3,197,613,367.03 | 99.823       | 26.93                  |
| -25          | 3,193,305,480.42 | 99.689       | 13.47                  |
| -10          | 3,190,730,437.12 | 99.608       | 5.33                   |
| 0            | 3,189,017,758.43 | 99.555       | 0.00                   |
| 10           | 3,187,308,282.01 | 99.502       | -5.32                  |
| 25           | 3,184,750,050.74 | 99.422       | -13.35                 |
| 50           | 3,180,502,208.43 | 99.289       | -26.71                 |

Note: Stress Testing is a form of testing that is used to determine the stability of a given system or entity. It reveals how well a portfolio is positioned in the event the forecasts prove true. The stress test conducted on the portfolio managed by the Treasurer's Office identifies the sensitivity of our portfolio to the change in interest rate. The test result shows if the yield were to go down by 50 bps, the market value of the portfolio would increase by 26.93 bps. If the yield were to go up by 50 bps, the market value of the portfolio would decrease by 26.71 bps.

1. The stress test is conducted on the portfolio managed by the Treasurer's Office. Portfolios managed by external investment managers are excluded. All data is provided by FIS.
2. Gain/Loss is calculated based on the market value/price.



**Contra  
Costa  
County**

To: Board of Supervisors  
From: David Twa, County Administrator  
Date: March 12, 2019

Subject: Delegation of authority to the Executive Director of the Contra Costa County Mayors' Conference City Selection Committee to act as secretary

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**RECOMMENDATION(S):**

ACKNOWLEDGE, RATIFY and APPROVE the delegation of authority to the Executive Director of the Contra Costa County Mayors' Conference to act as permanent secretary and recording officer of the City Selection Committee under Government Code section 50270, et seq., and carry out all other functions of the Clerk to the Selection Committee.

**FISCAL IMPACT:**

No fiscal impact.

**BACKGROUND:**

For administrative convenience, the function of permanent secretary and recording officer of the Mayors' Conference and City Selection Committee, which include noticing meetings and the preparation of minutes, has been performed by the Mayors' Conference for many years. The Contra Costa County Mayors' Conference has its own web site where information about their meetings are posted.

<http://www.ccmayors.org/> The purpose of this action is to acknowledge this longstanding practice and formalize the transfer of these ministerial functions to the Executive Director of Mayors' Conference, who currently handles these matters.

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APPROVE

OTHER

RECOMMENDATION OF CNTY ADMINISTRATOR

RECOMMENDATION OF BOARD COMMITTEE

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Action of Board On: **03/12/2019**  APPROVED AS RECOMMENDED  OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 12, 2019

Contact: Jami Napier, (925)  
335-1908

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: