

Application for Federal Assistance SF-424		
<b>* 1. Type of Submission:</b> <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		
<b>* 2. Type of Application:</b> <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision		
<b>* If Revision, select appropriate letter(s):</b> <input type="text"/> <b>* Other (Specify):</b> <input type="text"/>		
<b>* 3. Date Received:</b> <input type="text"/>		<b>4. Applicant Identifier:</b> <input type="text"/>
<b>5a. Federal Entity Identifier:</b> <input type="text"/>		<b>5b. Federal Award Identifier:</b> <input type="text"/>
<b>State Use Only:</b>		
<b>6. Date Received by State:</b> <input type="text"/>		<b>7. State Application Identifier:</b> <input type="text"/>
<b>8. APPLICANT INFORMATION:</b>		
<b>* a. Legal Name:</b> <input type="text" value="Contra Costa County"/>		
<b>* b. Employer/Taxpayer Identification Number (EIN/TIN):</b> <input type="text" value="94-6000509"/>		<b>* c. Organizational DUNS:</b> <input type="text" value="0794592990000"/>
<b>d. Address:</b>		
<b>* Street1:</b> <input type="text" value="30 Muir Road"/>		
<b>Street2:</b> <input type="text"/>		
<b>* City:</b> <input type="text" value="Martinez"/>		
<b>County/Parish:</b> <input type="text" value="Contra Costa County"/>		
<b>* State:</b> <input type="text" value="CA: California"/>		
<b>Province:</b> <input type="text"/>		
<b>* Country:</b> <input type="text" value="USA: UNITED STATES"/>		
<b>* Zip / Postal Code:</b> <input type="text" value="94553-4601"/>		
<b>e. Organizational Unit:</b>		
<b>Department Name:</b> <input type="text" value="Conservation and Development"/>		<b>Division Name:</b> <input type="text" value="Policy Planning"/>
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>		
<b>Prefix:</b> <input type="text" value="Mr."/> <b>* First Name:</b> <input type="text" value="Robert"/>		
<b>Middle Name:</b> <input type="text"/>		
<b>* Last Name:</b> <input type="text" value="Sarmiento"/>		
<b>Suffix:</b> <input type="text"/>		
<b>Title:</b> <input type="text" value="Planner II"/>		
<b>Organizational Affiliation:</b> <input type="text" value="Contra Costa County - Dept. of Conservation and Development"/>		
<b>* Telephone Number:</b> <input type="text" value="(925) 674-7822"/>		<b>Fax Number:</b> <input type="text"/>
<b>* Email:</b> <input type="text" value="Robert.Sarmiento@dcd.cccounty.us"/>		

## Application for Federal Assistance SF-424

### \* 9. Type of Applicant 1: Select Applicant Type:

B: County Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

### \* 10. Name of Federal Agency:

Economic Development Administration, U.S. Dept. of Commerce

### 11. Catalog of Federal Domestic Assistance Number:

11.303

CFDA Title:

Economic Development - Technical Assistance

### \* 12. Funding Opportunity Number:

EDA-HDQ-TA-HDQ-2016-2004759

\* Title:

FY 2016 - FY 2019 EDA Planning Program and Local Technical Assistance Programs

### 13. Competition Identification Number:

Title:

### 14. Areas Affected by Project (Cities, Counties, States, etc.):

Overview\_NW.jpg

Add Attachment

Delete Attachment

View Attachment

### \* 15. Descriptive Title of Applicant's Project:

Study to determine the feasibility of implementing a short-line railroad in Contra Costa County's Northern Waterfront.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

**Application for Federal Assistance SF-424****16. Congressional Districts Of:**

\* a. Applicant

11

\* b. Program/Project

Attach

Attach an additional list of Program/Project Congressional Districts if needed.

Congressional Districts.pdf

Add Attachment

Delete Attachment

View Attachment

**17. Proposed Project:**

\* a. Start Date:

\* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	60,000.00
* b. Applicant	15,000.00
* c. State	0.00
* d. Local	0.00
* e. Other	0.00
* f. Program Income	0.00
* g. TOTAL	75,000.00

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**☐ a. This application was made available to the State under the Executive Order 12372 Process for review on☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.☒ c. Program is not covered by E.O. 12372.**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes☒ No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

☒ \*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:

Mrs.

\* First Name:

Maureen

Middle Name:

\* Last Name:

Toms

Suffix:

\* Title:

Deputy Director

\* Telephone Number:

(925) 674-7878

Fax Number:

\* Email:

Maureen.Toms@dcd.cccounty.us

\* Signature of Authorized Representative:

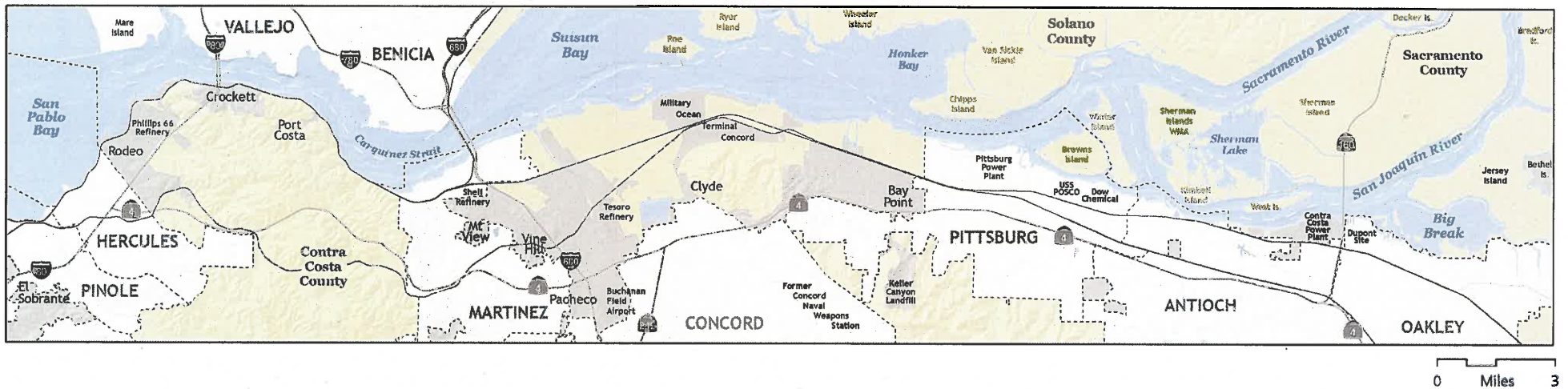
Maureen Toms

\* Date Signed:

02/06/2018

## Area Affected by Project

# Northern Waterfront



## **Congressional Districts within the Study Area**

**#5**

**#11**

**#9**

# BUDGET INFORMATION - Non-Construction Programs

OMB Number: 4040-0006  
Expiration Date: 01/31/2019

## SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. Economic Development - Technical Assistance	11.303	\$ 60,000.00	\$ 15,000.00	\$ 60,000.00	\$ 15,000.00	\$ 75,000.00
2.						
3.						
4.						
5. Totals		\$ 60,000.00	\$ 15,000.00	\$ 60,000.00	\$ 15,000.00	\$ 75,000.00

# SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1) Economic Development - Technical Assistance	(2)	(3)	(4)	
a. Personnel	\$ 0.00	\$	\$	\$	\$ 0.00
b. Fringe Benefits	0.00				0.00
c. Travel	0.00				0.00
d. Equipment	0.00				0.00
e. Supplies	0.00				0.00
f. Contractual	75,000.00				75,000.00
g. Construction	0.00				0.00
h. Other	0.00				0.00
i. Total Direct Charges (sum of 6a-6h)	75,000.00				\$ 75,000.00
j. Indirect Charges	0.00				\$ 0.00
k. TOTALS (sum of 6i and 6j)	\$ 75,000.00	\$	\$	\$	\$ 75,000.00
7. Program Income	\$ 0.00	\$	\$	\$	\$ 0.00

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Standard Form 424A (Rev. 7- 97)  
Prescribed by OMB (Circular A -102) Page 1A

SECTION C - NON-FEDERAL RESOURCES				
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8. Economic Development - Technical Assistance	\$ 15,000.00	\$ 0.00	\$ 0.00	\$ 15,000.00
9.				
10.				
11.				
12. TOTAL (sum of lines 8-11)	\$ 15,000.00	\$ 0.00	\$ 0.00	\$ 15,000.00

SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ 60,000.00	\$ 20,000.00	\$ 20,000.00	\$ 20,000.00	\$ 0.00
14. Non-Federal	\$ 15,000.00	\$ 5,000.00	\$ 5,000.00	\$ 5,000.00	\$ 0.00
15. TOTAL (sum of lines 13 and 14)	\$ 75,000.00	\$ 25,000.00	\$ 25,000.00	\$ 25,000.00	\$ 0.00

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT				
(a) Grant Program	FUTURE FUNDING PERIODS (YEARS)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16. Economic Development - Technical Assistance	\$ 60,000.00			
17.				
18.				
19.				
20. TOTAL (sum of lines 16 - 19)	\$ 60,000.00			

SECTION F - OTHER BUDGET INFORMATION	
21. Direct Charges:	22. Indirect Charges:
23. Remarks:	



## ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

<b>SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL</b> <div style="border: 1px solid black; padding: 2px;">Maureen Toms</div>	<b>TITLE</b> <div style="border: 1px solid black; padding: 2px;">Deputy Director</div>
<b>APPLICANT ORGANIZATION</b> <div style="border: 1px solid black; padding: 2px;">Contra Costa County</div>	<b>DATE SUBMITTED</b> <div style="border: 1px solid black; padding: 2px; text-align: center;">02/06/2018</div>



## ED-900 – General Application for EDA Programs

### A. Applicant Information

A.1. EDA Application Identifier (if available):

A.2. Please identify all applicants for this project:

	Name	SAM.gov CAGE Code	SAM.gov Registration Expiration Date	Fiscal Year End Date (mm/dd)
Lead Applicant	Contra Costa County			06/30
Co-Applicant 1				

### B. Project Information

#### B.1. Define and describe the region in which the investment (project) is located

Contra Costa County is located on the eastern side of San Francisco Bay. The County is home to more than one million residents, and was one of the original 27 counties established in California in 1850. Comprised of 19 cities and many established communities in the unincorporated area, it is the ninth most populous county in California.

Contra Costa County's Northern Waterfront has a long history as a maritime shipping and industrial center. With a front door onto San Pablo Bay, the Northern Waterfront extends 55 miles along the County's northern shoreline through the Carquinez Strait and Suisun Bay to the confluence of the Sacramento-San Joaquin Rivers, and encompasses a wide range of land uses, from industrial, commercial, residential, public, and recreational uses, to marinas, wharves, natural habitat, open space, and wildlife refuges. It is where the San Francisco Bay Trail ends and the California Delta Trail begins, where salt water from the Bay and freshwater from the Delta meet. Parks and open space account for 60% of the land use and industrial lands make-up approximately 20% of the Northern Waterfront's 63.86 square miles. The cities of Hercules, Martinez, Pittsburg, Antioch, Oakley, and north Concord, as well as the unincorporated communities of Rodeo, Crockett, Port Costa, and Bay Point, line the shores of the Northern Waterfront. The U.S. Army maintains and operates a large military marine terminal in the Northern Waterfront, with rail lines, piers, transfer facilities, and staging areas. Two major railroad companies, Union Pacific and Burlington Northern-Santa Fe, have Class I railroad facilities in the Northern Waterfront. State Highway 4 is the primary vehicular transportation facility that runs through the Northern Waterfront.

## **B.2. Describe and outline the scope of work for the proposed EDA investment**

The purpose of this study is to analyze the feasibility and economic benefits of establishing a short-line railroad in the Northern Waterfront. The railroad would more efficiently move freight traffic from warehouses, factories, and other industrial properties to Class I railroads, along with the short-line railroad's economic impact. The short-line railroad would be a component of the County's overall economic development strategy for the Northern Waterfront, known as the Northern Waterfront Economic Development Initiative. Details of the Northern Waterfront Economic Development Initiative can be found in the County's "Revitalizing Contra Costa's Northern Waterfront: How to be Competitive in the 21st Century Global Economy" Report, which was the basis for the area's Comprehensive Economic Development Strategy (CEDS). Consistent with the requirements of the CEDS, the study will include an analysis of the current regional economy and a determination of future project opportunities associated with the implementation of the short-line railroad.

The scope of work would include the following tasks:

- 1) Analysis of Existing Conditions
  - A) Existing transportation systems
  - B) Existing economic and industrial base
  - C) Existing land uses/right-of-way (ROW)
  - D) Engineering challenges
  - E) Environmental assessment and inventory
- 2) Market Analysis
  - A) Goods movement market in the Northern Waterfront (existing and future)
  - B) Potential short-line railroad users
  - C) Potential locations of the short-line railroad line, including opportunities and challenges
  - D) Potential land development opportunities along the short-line railroad line
- 3) Financial Analysis
  - A) Land acquisition and permitting costs
  - B) Capital costs
  - C) Operating costs
  - D) Revenue
  - E) Funding opportunities
- 4) Economic Impact
  - A) Job growth/retainment
  - B) Business income generated
  - C) Tax revenue
  - D) Effect on the local community
  - E) Future economic opportunities

### B.3. Economic development needs

B.3.a. Does the region in which the project will be located have a Comprehensive Economic Development Strategy (CEDS)?

☒ Yes If Yes, what is the source?

CEDS-equivalent for the area was approved by the Dept. of Commerce. ABAG is currently developing CEDS for the 9-county Bay Area.

☐ No If No, then please check one:

☐ B.3.a.i. An alternate strategic planning document that governs this investment is attached.

Add Attachment

Delete Attachment

View Attachment

☐ B.3.a.ii. This investment is to develop a "strategy grant" to develop, update or refine a CEDS.

B.3.b. Describe the economic conditions of your region and the needs that this project will address.

Manufacturing employment in Contra Costa County grew from a small base in the early 1900s to become the dominant employment sector by mid-century. In 1962, almost 40% of the County's workforce was employed in manufacturing. Today, less than 7% of the workforce is employed in the manufacturing sector. The Northern Waterfront has followed a similar pattern, as the county's manufacturing sector matured and the economy has transitioned from predominately manufacturing to increasingly more service-sector employment. Over the past decade, manufacturing employment in the Northern Waterfront declined by 21.5%, most of which occurred since the start of the Great Recession in late 2007.

During this same time period, the number of manufacturing firms also declined. Between 2001 and 2011, approximately 45 establishments closed or moved out; almost all were small businesses with less than 50 employees. Today, the Northern Waterfront includes approximately 180 manufacturing firms spread across various manufacturing subsectors.

The unemployment rate is estimated to be higher than average in many of the Northern Waterfront communities. Four communities within the Northern Waterfront area are high-unemployed areas (areas with an unemployment rate 1% higher than the national average). The highest of these communities, Vine Hill, has an estimated unemployment rate of 8.6%- significantly higher than the countywide unemployment rate of 4.0%. Other communities with a high unemployment rate include Mountain View, Bay Point, and Pacheco (7.9%, 7.6%, and 6.1% respectively).

The Northern Waterfront includes areas with a significantly high poverty rate. Some census tracts in the Northern Waterfront have a poverty rate exceeding 30%--nearly double the national average of 15.5%. The unincorporated community of Bay Point has a community-wide poverty rate of 25.1%, which increases to 35.8% for children under the age of 18. Nearly all communities along the Northern Waterfront have areas with significant poverty rates. This is especially telling because countywide, the poverty rate is far below that national poverty rate (10.1%).

A short-line railroad would be a major component of an overall effort to promote economic development areas within the Northern Waterfront, as detailed in the "Revitalizing Contra Costa's Northern Waterfront: How to be

Competitive in the 21st Century Global Economy" Report, which was approved by the EDA as a CEDS-equivalent. The short-line railroad, which would connect factories and warehouses to the existing Class I railroads, would boost existing businesses in the Northern Waterfront and attract more businesses. A flourishing businesses community in the Northern Waterfront would bring in more income, tax revenue, and jobs. Economic development and revitalization would also come to the Northern Waterfront, leading to decreased unemployment and poverty rates. A number of studies on the economic impacts of short-line railroads have shown that the short-line railroads benefit the businesses who use them, as well as the region and even the state where they are located. In addition, a report by the Association of American Railroads on the economic impacts of rail describe how railroads increase the number of jobs and drive economic growth in a community.

#### **B.4. Applicant's capability**

Briefly describe the applicant's capability to administer, implement, and maintain the project.

Staff and organization's knowledge, experience, qualifications, and resources:

The Contra Costa County Department of Conservation and Development and partner departments have a long history of successfully implementing projects across many disciplines. The Department of Conservation and Development has been the lead in the Northern Waterfront Initiative described in this grant, an ongoing, multi-year project with numerous partners across the region. The Department of Conservation routinely administers grants from State and regional agencies for energy, transportation, solid waste, water, and related projects. Contra Costa County is a respected, responsible jurisdiction.

List of federally and/or non-federally funded assistance agreements:

The Contra Costa County, Department of Conservation and Development (Department) has extensive experience with federal grant programs. Its staff currently manages the Community Development Block Grant (CDBG), HOME Partnership and Investment Act (HOME) Program, and the Emergency Solutions Grant (ESG) Program. The purpose of these funds is to create viable communities through the provision of public services, expanding economic opportunities, rehabilitation or construction of public infrastructure and public facilities, and through the rehabilitation or construction of affordable housing. The total amount of these programs that the Department receives is approximately \$6.0 million on an annual basis. The Department has successfully met the goals and objectives of each of these programs each year and has successfully completed and submitted reports to the U.S. Department of Housing and Urban Development (HUD) in a timely manner. The Department's staff manages over 50 projects on an annual basis that receive CDBG, HOME, or ESG funds. The Department has been audited by HUD numerous times for its management of these programs and has not had any major programmatic findings.

In addition to the annual funds mentioned above, the Department has also received one-time federal funds under the American Recovery and Reinvestment Act (ARRA), which were funds from the CDBG-Recovery (CDBG-R)

Program and the Neighborhood Stabilization Program (NSP1 and NSP3). These funds were primarily to assist in the development or rehabilitation of infrastructure, public facilities, and affordable housing to benefit low-income residents and/or neighborhoods. The Department received approximately \$930,000 in CDBG-R funds and successfully completed 14 projects that rehabilitated infrastructure and public facilities throughout Contra Costa County. The Department also received two allocations of NSP funds: NSP1 (\$6,019,051) and NSP3 (\$1,871,294). NSP provided targeted emergency assistance to state and local governments to acquire and redevelop abandoned and foreclosed residential properties that might otherwise become sources of abandonment and blight within our communities. The Department successfully completed the objectives of the NSP program by acquiring abandoned and foreclosed residential properties that were rehabilitated and sold to low-income households.

The Department also hosts the East Contra Costa Habitat Conservancy, a joint exercise of powers authority formed by the Cities of Brentwood, Clayton, Oakley, and Pittsburg and Contra Costa County to implement the East Contra Costa County Habitat Conservation Plan / Natural Community Conservation Plan (HCP/NCCP or Plan). The HCP/NCCP provides a framework to protect natural resources in eastern Contra Costa County, while improving and streamlining the environmental permitting process for impacts on endangered species. The Conservancy has successfully accepted, managed, and reported on grants from the U.S. Fish and Wildlife Service and State agencies since its inception in 2000. These grants range in size from \$40,000 to \$6 million.

#### **B.5. List and describe the strategic partners and organizations to be engaged in this project**

Potential strategic partners for this study include the following:

- 1) cities located within the Northern Waterfront, including Hercules, Martinez, Concord, Pittsburg, Antioch, and Oakley;
- 2) businesses located in the Northern Waterfront that would benefit from the short-line railroad and businesses that would be interested in relocating to the Northern Waterfront if the short-line railroad is implemented;
- 3) Union Pacific and Burlington Northern-Santa Fe Railroads, which have Class I railroad facilities in the Northern Waterfront, which the proposed short-line railroad would connect to;
- 4) transportation and other regulatory agencies that would need to be engaged when implementing the short-line railroad; and
- 5) the communities living in proximity to the proposed short-line railroad, including neighborhood organizations.

#### **B.6. Describe the investment (project) impact and fit with EDA funding priorities**

Implementation of a potential short-line railroad would fit with a number of EDA funding priorities, as identified in this link- <https://www.eda.gov/about/investment-priorities.htm>:

- 1) Job Growth and Business Expansion in Manufacturing, Including Advanced Manufacturing

The Northern Waterfront has historically been a hub of manufacturing in Contra Costa County. A short-line railroad, which would more efficiently

move goods from warehouses or factories to the existing Class I railroads that run through the Northern Waterfront, would attract businesses and their jobs to the Northern Waterfront. Studies have shown that short-line railroads are a catalyst for economic development, including contributing to more jobs, greater economic output, and more tax revenue. The short-line railroad would be an important component of the Northern Waterfront Economic Development Initiative to revitalize the Northern Waterfront.

Advanced manufacturing is one of the target industries for revitalization of the Northern Waterfront. A new short-line railroad in the Northern Waterfront would encourage more growth for the existing advanced manufacturing firms and attract new advanced manufacturing firms. A report on advanced manufacturing by the Contra Costa Workforce Development Board noted that advanced manufacturing technologies can both revitalize existing manufacturing industries and support the development of new products in emerging industries. The report identified a number of advanced manufacturing firms in or within proximity to the Northern Waterfront. The "Revitalizing Contra Costa's Northern Waterfront: How to be Competitive in the 21st Century Global Economy" Report mentioned that employment in the advanced manufacturing sector has grown 8.5% from 2013 to 2016 and is expected to continue growing.

## 2) Innovations in Science and Health Care; Technology Transfer

Science and health is another targeted industry in the Northern Waterfront revitalization effort. The Bay Area is currently a hub for prestigious universities, venture capital, entrepreneurship, and innovation, especially in science, technology, and health. The Northern Waterfront is a prime location for science and health businesses to locate because of its location within the Bay Area hub and its proximity to Class I railroad facilities and major ports. A number of science and health businesses are already located there. A short-line railroad would further enhance goods movement for science and health businesses in the Northern Waterfront.

## 3) Global Competitiveness

According to a joint UC Berkeley-Caltrans report, California is one of the leading states in the country for freight rail shipping. California also has a number of major ports that ship and receive goods from all over the world. The Bay Area is one of California's major centers for goods movement, with major ports and an extensive rail network. With two existing Class I rail facilities that can move goods throughout the state and country, a deep shipping channel, and a location close to major ports, the Northern Waterfront is an attractive area to locate businesses that want to move goods across the state and country and around the world. The short-line railroad would make the Northern Waterfront even more competitive in the local and global trade market and further strengthen its attractiveness to prospective businesses.

## 4) Underserved Communities

Many areas within the Northern Waterfront have a higher-than-average unemployment rate and poverty rate, some of which can be attributed to a declining manufacturing sector. A short-line railroad would require a



workforce to construct and subsequently operate it, which would increase job opportunities for the communities within the Northern Waterfront. In addition, the short-line railroad would attract businesses to the area, which would stimulate economic development and investment and bring in more jobs. This would reduce the local poverty and unemployment rates.

#### **B.7. Identify the proposed time schedule for the project**

If awarded the grant funding, the County would go through an RFP process to select a consultant to produce the feasibility study. Once the consultant is selected, the feasibility study is expected to take 6-9 months to complete.

#### **B.8. Economic impacts of the project**

##### **B.8.a. Please describe the economic impacts of the project:**

All economic development activities recommended in the Northern Waterfront Economic Development Initiative are estimated to generate 18,000 new jobs in the Northern Waterfront over 10-15 years.

The feasibility study will determine the economic impacts of the implementation of a proposed short-line railroad in the Northern Waterfront. The study will determine how many jobs the short-line railroad would create and help retain, along with how much private investment would be generated. It will also determine who the beneficiaries of the short-line railroad will be.

The proposed short-line railroad is expected to have a positive impact on the Northern Waterfront. It will more efficiently connect businesses and warehouses in the Northern Waterfront with the nearby Class I railroads. It will provide a goods movement boost to the existing businesses and attract new businesses to the area. As more businesses locate to the Northern Waterfront and economic output is increased, job growth will occur and more income and tax revenue will be generated. Economic revitalization will take place in the area, resulting in improved communities and lower poverty and unemployment rates.

A number of studies on the economic impacts of the implementation of a short-line railroad concluded that short-line railroads provide a tremendous economic benefit. They showed that implementation of a short-line railroad resulted in hundreds and sometimes even thousands of jobs created. The studies also showed an increase in revenue, taxes, and wages for businesses and their employees, sometimes totaling into the multi-millions of dollars. A couple of studies, one in Texas and one in Wisconsin, noted the economic development benefits of short-line railroads on the local community, which demonstrates that short-line railroads not only benefit the businesses that use them, but also the local community.

The California Department of Transportation recently released its draft 2018 California State Rail Plan. The plan recognized the potential Northern Waterfront short-line railroad as an example of a short-line railroad that would provide economic development benefits to the local area.

According to a Association of Bay Area Government report for Contra Costa County, East Contra Costa County, where the Northern Waterfront is located, has the most significant job-housing imbalance in the County. The cities

along the Northern Waterfront currently have a job-housing balance below the optimal ratio of 1.5, some of them significantly below. As other parts of the County and Bay Area continue to have more job growth than housing, the cities in the Northern Waterfront and Eastern Contra Costa County as a whole continues to produce more housing than jobs. This imbalance puts a greater strain on the transportation system in East Contra Costa County and contributes to reduced air quality and public health as many residents in the Northern Waterfront area commute out of the area to their jobs. The short-line railroad would attract more businesses and their associated jobs to the Northern Waterfront, reducing the job-housing imbalance, which in turn would reduce the strain on the local transportation system and improve local air quality and public health.

B.8.b. Please identify the total estimated jobs and private investment that is expected to be generated by this project:

Estimated Jobs Created	Estimated Jobs Retained	Estimated Private Investment

B.8.c. Please identify the source of Estimates above (check as many as apply):

- ☐ Letters from Beneficiaries of the Project  
☐ Input/Output Model (e.g. IMPLAN, REMI)  
☐ Comparison to Similar Projects  
☒ Other Method (specify below)

All economic development activities recommended in the Northern Waterfront Economic Development Initiative are estimated to generate 18,000 new jobs in the Northern Waterfront; however, the estimated number of jobs to be created and retained and the estimated private investment as a direct result of the implementation of a short-line railroad is currently unknown, but will be identified in the feasibility study.

#### B.9. Beneficiaries of the project

Beneficiary Name	NAICS Code	Estimated Jobs Created	Estimated Jobs Retained	Estimated Private Investment
Total				

#### B.10. Non-EDA funding for the project

B.10.a. Are all non-EDA funds committed to the project, available as needed, and not conditioned or encumbered in any way that would preclude their use consistent with the purpose of the project?

- ☒ Yes
 ☐ No (explain below)

B.10.b. Identify the source, nature and amount of all non-EDA funds.

Source	Amount	Date Available	Type	Restriction/Comments
Contra Costa County General Fund	\$75,000.00	05/09/2017	Cash	

B.10.c. Does the applicant plan to seek other federal financial assistance as part of or in connection with this project? If so, please describe the source, amount and any terms and conditions of the funding, and when the funding will be available for use by the applicant.

☐ Yes (explain below) ☒ No

B.10.d. Please attach documentation confirming non-EDA (matching or cost share) funding:

Documentation Confirming no

Add Attachment

Delete Attachment

View Attachment

#### B.11. Justification for sole source procurement

Will you contract work to complete part or all of this project?

☐ B.11.a. No

☒ B.11.b. Yes If yes, will contracts be awarded by competitive bid?

☒ B.11.b.i. Yes

☐ B.11.b.ii. No

If contracts will not be awarded by competitive bid, please provide a justification. A cost analysis will be necessary when adequate price competition is lacking, and for sole source procurements.

#### B.12. Equipment

Will any funds be used to purchase equipment?

☒ B.12.a. No

☐ B.12.b. Yes If yes, will project funding be used to install the equipment?

☐ Yes

☐ No

Please attach a list, including cost, description, purpose, and estimated useful life of any equipment that will be purchased as a part of this project.

Add Attachment

Delete Attachment

View Attachment

### C. Regional Eligibility

#### C.1. Region

Define the area/region that is the basis for the applicant's claim of eligibility. EDA will review and evaluate documentation submitted by the applicant to verify and determine eligibility.

Contra Costa County's Northern Waterfront Economic Development Initiative seeks to promote economic development along the entire Northern Waterfront area, from Hercules to the west to Oakley to the east. The specific portion of the Northern Waterfront area that is the basis for the applicant's claim of eligibility is between the City of Martinez to the west and the City of Oakley to the east, generally north of CA State Route 4.

A 'StatsAmerica' analysis report of social and economic data for the area revealed the following data (area vs. nationwide):

- 1) A higher-than-average unemployment rate (13.3% vs. 8.3%)
- 2) Less than 80% of the national per capita income (79.85%)
- 3) A higher-than-average percentage of Hispanic population (42.1% vs. 17.1%)
- 4) A higher-than-average percentage of the population with less than a 9th grade education (11.5% vs 5.7%)
- 5) A higher-than-average percentage of the population in poverty (19.5% vs. 15.5%)

This area include the following census tracts:

- 01) 06013320001
- 02) 06013317000
- 03) 06013316000
- 04) 06013319000
- 05) 06013315000
- 06) 06013320004
- 07) 06013320003
- 08) 06013314200
- 09) 06013314103
- 10) 06013314104
- 11) 06013314102
- 12) 06013311000
- 13) 06013309000
- 14) 06013310000
- 15) 06013312000
- 16) 06013305000
- 17) 06013307202
- 18) 06013307102
- 19) 06013306003
- 20) 06013306002
- 21) 06013306004
- 22) 06013302006
- 23) 06013302005

## C.2. Source of data provided for regional eligibility determination

Check the box denoting what data source you used to establish eligibility:

- ☒ C.2.a. The most recent ACS data published by the U.S. Census Bureau.
- ☐ C.2.b. The most recent other federal data for the region in which the project is located (e.g., U.S. Census Bureau or the Bureaus of Economic Analysis, Labor Statistics, Indian Affairs, etc.).

- ☐ C.2.c. If no federal data are available, the most recent data available through the state government for the region in which the project is located.
- ☐ C.2.d. Other data to substantiate regional eligibility based on a "Special Need" as defined in 13 C.F.R. § 300.3.

Please attach a copy of the documentation used to support your claim of eligibility:

Tract-Based Distress Tool

Add Attachment

Delete Attachment

View Attachment

### C.3. Economic Distress

Check all that apply in establishing regional eligibility (see FFO for more details):

☒ C.3.A. Unemployment rate

☒ C.3.B. Per capita income

☐ C.3.C. Special need, including:

- ☐ Substantial out-migration or population loss;
- ☐ Underemployment; that is, employment of workers at less than full-time or at less skilled tasks than their training or abilities permit;
- ☐ Military base closure or realignment, defense contractor reductions-in-force, or U.S. Department of Energy defense-related funding reductions;
- ☐ Natural or other major disasters or emergencies;
- ☐ Extraordinary depletion of natural resources;
- ☐ Closing or restructuring of an industrial firm or loss of other major employer;
- ☐ Negative effects of changing trade patterns; or
- ☐ Other circumstances set forth in the applicable FFO (please explain below).

### C.4. Substantial direct benefit

If the project does not meet any of the criteria above, is it located in an Economic Development District (EDD), and will it provide substantial direct benefit to residents of an area within that EDD that does meet the distress criteria?

☐ Yes

☐ No

Which Economic Development District?

Please explain how the proposed project will provide a substantial direct benefit to this geographic area within the EDD.

## D. Budget and Staffing

To be completed by applicants for non-construction assistance only

### D.1. Budget justification

Contractual- \$75,000 will pay for a consultant to analyze the feasibility of a short-line railroad study, including existing conditions, market and financial details, and economic impact, and ultimately produce a final report detailing the results of the analysis.

### D.2. Indirect costs

N/A

### D.3. Key applicant staff

Amalia Cunningham, Economic Development Manager- Amalia Cunningham is the newly-hired Economic Development Manager for the County's Department of Conservation and Development. One of her primary duties is to manage the County's Northern Waterfront Economic Development Initiative, which aims to stimulate economic development in the Northern Waterfront, the area where the short-line railroad would be located.

Robert Sarmiento, Planner II (Transportation)- Robert Sarmiento has been a staff member of the County's Department of Conservation and Development's Transportation Planning Section for four years. He is involved with transportation-related issues and activities throughout the County, and is knowledgeable in general transportation planning principles.

## E. Administrative Requirements

### E.1. Civil rights

E.1.a. Does the applicant understand and agree to comply with all applicable civil rights requirements (see 13 C.F.R. § 302.20)?

☒ Yes

☐ No (explain below)

E.1.b. Do identified "Other Parties," businesses that will create and/or save fifteen or more jobs as a result of the EDA project, understand and agree to comply with all applicable civil rights requirements, including the requirement to provide signed assurances of compliance (ED-900B)?

☒ Not Applicable (No Other Parties Identified)

☐ Yes

☐ No (explain below)

### E.2. Lobbying certifications

Will you be able to comply with federal requirements regarding lobbying?

☒ Yes

☐ No (explain below)

**E.3. Compliance with Executive Order 12372, State Single Point of Contact (SPOC)**

Does the state in which the project will be located have a project review process that requires submission to a Single Point of Contact (SPOC)?

☒ E.3.a. No. Go to Question E.4

☐ E.3.b. Yes

If Yes, does this request for EDA investment assistance meet the SPOC process established by the state?

☐ E.3.b.i. No

☐ E.3.b.ii. Yes

Please explain why not

If Yes, were SPOC comments/clearance received?

☐ E.3.b.ii.a. Yes

Please attach the comments/clearance:

Add Attachment

Delete Attachment

View Attachment

☐ E.3.b.ii.b. No. The review period has expired and no comments were received.

☐ E.3.b.ii.c. No. Comments have been requested but the review period has not yet expired.

Please attach evidence of your request for comments:

Add Attachment

Delete Attachment

View Attachment

#### E.4. Single Audit Act Requirement

E.4.a. Does the applicant understand and agree to the requirements of subpart F of 2 C.F.R. part 200 regarding federal audits?

☒ Yes

☐ No

E.4.b. Is the applicant currently audited under the Single Audit Act?

☒ E.4.b.i. No

☐ E.4.b.ii. Yes, If yes:

E.4.b.ii.a. What is the date of the most recent audit?

E.4.b.ii.b. Was this audit submitted to the Federal Audit Clearinghouse?

☐ Yes

☐ No



## F. Requirements for Non-Governmental Applicants (Excluding Public Universities and Certain District Organizations)

As indicated below, non-governmental applicants (excluding public universities and district organizations) must also provide a copy of the following items, either using the Attachments form that is part of the application package downloaded from [www.Grants.gov](http://www.Grants.gov) or providing a hard copy.

F.1. Non-profit organizations must provide a current Certificate of Good Standing from the State in which they are incorporated.

[Add Attachment](#)[Delete Attachment](#)[View Attachment](#)

F.2. New non-profit organization applicants must provide their Articles of Incorporation and By-Laws. Non-profits with an active EDA grant must either provide a) a revised copy of their Articles of Incorporation or By-Laws if these have been amended or b) a statement certifying that there has been no change in the organization's Articles of Incorporation or By-Laws.

[Add Attachment](#)[Delete Attachment](#)[View Attachment](#)

F.3. Non-profit organizations must provide a resolution passed by a general purpose political subdivision of a State (e.g., local government entity) or a letter signed by an authorized representative of a local government acknowledging that the applicant is acting in cooperation with officials of the political subdivision. EDA may waive this requirement for certain projects of significant regional or national scope (see 13 CFR § 301.2(b)).

[Add Attachment](#)[Delete Attachment](#)[View Attachment](#)

F.4. If applying for a construction or RLF investment, an applicant must afford the appropriate general purpose governmental authority a minimum of 15 days to review and comment on the proposed project (13 CFR § 302.9(a)).

Will the applicant be able to provide these comments?

☐ Yes

☐ Not applicable, because the applicant is not applying for a construction or RLF grant

☐ Not applicable, because this requirement has been satisfied under an existing RLF plan

☐ No, for another reason (explain below)



Contra  
Costa  
County

To: Board of Supervisors

From: David Twa, County Administrator

Date: April 18, 2017

Subject: Recommended County and Special District Budgets FY 2017/18

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**RECOMMENDATION(S):**

1. OPEN and CONDUCT a public hearing to receive input on the FY 2017-18 Recommended Budget;
2. ACKNOWLEDGE that the State of California and residents throughout the State are only now beginning to make a slow recovery from the Great Recession;
3. ACKNOWLEDGE that significant economic issues continue to challenge the Board of Supervisors in its effort to finance services and programs which Contra Costa County residents need, or expect will be provided to them by the County, especially in times of economic recovery;
4. ACKNOWLEDGE that the employees of Contra Costa County have been negatively affected as a result of the requirement to balance the County's expenditures with available revenues in the past; however, current labor contracts include wage increases;
5. ACKNOWLEDGE that this situation is expected to continue to improve for County employees, and for our residents as we work to manage and cope with the outcomes of economic recovery;
6. DIRECT the County Administrator to continue to meet with the County's union representatives and employees to explain the size, scope and anticipated length of the County's fiscal challenges and to gain their input/suggestions;

---

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY  
ADMINISTRATOR

☐ RECOMMENDATION OF BOARD  
COMMITTEE

---

Action of Board On: **04/18/2017** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

**VOTE OF SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: April 18, 2017

Contact: Lisa Driscoll, County  
Finance Director, 335-1023

David J. Twa, County Administrator and Clerk of the  
Board of Supervisors

By: , Deputy

cc: Robert Campbell, County Auditor-Controller, All County Departments (via CAO)

7. DIRECT the County Administrator to continue to make this information readily available to the residents of the County;

## RECOMMENDATION(S): (CONT'D)

>

8. ACKNOWLEDGE that the Recommended Budget does not include any funding changes that may occur in the State of California's 2017-18 fiscal year budget or in the manner in which the Medi-Cal Waiver is implemented and these two events may have an unknown impact on the drawdown of federal funds for services and facilities;
9. RE-AFFIRM the Board of Supervisors' policy prohibiting the use of County General Purpose Revenue to back-fill State revenue cuts;
10. ACKNOWLEDGE that retirement expenses are expected to decrease in the short run, but are likely to increase in future years should market returns not meet or exceed the Assumed Rate of Return;
11. ACKNOWLEDGE that the Recommended Budget balances annual estimated expenditures with estimated revenues in FY 2017-18, and is both technically and structurally balanced;
12. ACKNOWLEDGE that the Recommended Budget includes a specific appropriation for contingency, and that the Board also maintains its ability to manage General Fund contingencies during the fiscal year by use of reserve funds set aside for that purpose;
13. ACKNOWLEDGE that any restoration of any recommended program reductions will require an equivalent reduction in funds from other County priorities in order to adhere to our balanced budget policy;
14. ACKNOWLEDGE that continuing to build the County's reserves, maintaining an improved credit rating, and maintenance of the County's physical assets remain a priority of the Board of Supervisors over the long term;
15. DIRECT the County Administrator to prepare for Board adoption on May 9, 2017, the FY 2017-18 County and Special District Budgets, as modified, to incorporate any changes directed by the Board during these public hearings; and
16. DIRECT the County Administrator to prepare for consideration by the Board of Supervisors on May 9, 2017, position additions and eliminations necessary to carry out Board action on the Recommended Budget.

## FISCAL IMPACT:

See attached FY 2017/18 Recommended Budget document, which includes the Budget Message and Overview. The document can also be found at this link:

<http://www.contracosta.ca.gov/DocumentCenter/View/45407>

## BACKGROUND:

Explanation of the reasons behind the County Administrator's recommendations is presented in the attached FY 2017/18 Recommended Budget. Material from departments invited to make a presentation is also attached: Health Services (oral only), Employment and Human Services, Sheriff-Coroner, District Attorney (will be available at the Hearing), and Probation.

## MEET AND CONFER/LAY-OFF RESOLUTIONS

For the last several years at this point in the budget cycle, County Departments, in cooperation with Labor Relations, have begun the meet and confer process with employee representatives regarding the impact of program reductions on the terms and conditions of employment for affected employees. As noted in the Recommended Budget, County lay-offs may occur as a consequence of the elimination of the collection unit in the Probation Department. Pending Final Budget adoption on May 9, Position Addition and Elimination lists may be presented to the Board for adoption on that day, with effective dates effective July 1, 2017.

### CONSEQUENCE OF NEGATIVE ACTION:

Adoption of the County budget may be delayed.

### CLERK'S ADDENDUM

*Speakers: Margaret Hanlon-Gradie, Contra Costa AFL-CIO; Rebecca Rozen, Hospital Council; Melvin Willis; Francisco Torres; Dora Mogana & Dora Alicia Magona Colado, ACCE; Emilia Bermudez; Dan Geiger, Human Services Alliance of Contra Costa; Ellen McDonnell, Public Defender Union; William White, United Way; K.C. Donovan, Public Defender union; Larry Sly, Food Bank; Harry Baker, resident of Pleasant Hill; Ana Gonzalez; Martha Montes; Edith Pastrano; Jennifer Huber; Kristi Laughlin, Contra Costa Immigrant Rights Alliance (handout attached); Paul Chavez, Centro legal De La Raza (handout attached); Kristi Laughlin, Contra Costa Immigrant Rights Alliance ; Bob Lane, Contra Costa Immigrant rights Alliance ; Paul Chavez, Centro Legal De La Raza; Ali Saidi, Contra Costa Immigrant Rights Alliance (handout attached); Judy Weatherly ; Blanca Retano; Patricia Aguiar; Gabriel Haaland; Gwen Watson, Social Justice Alliance; Tom Tamura, Seneca Family of Agencies; Isidoro Saravia; Melody Howe Weintraub, resident of Lafayette ; Zeleika Godinez, Ensuring Opportunity Campaign; Reverend Will McGarrey; Tiffany Straus, Early Childhood Mental Health Program & CMHP; Mariana Moore, Ensuring Opportunity Campaign; Rita Barouch, resident of Richmond; Alvaro Fuentes, Community Clinic Consortium; Diana Clark, Rapid Response (handout attached); Cornelio Perez; Norie Clarke, resident of Kensington (commentary attached);*

*Written commentary was provided by: Reverend Deborah Lee, Interfaith Movement 4 Human Integrity, CCC Immigrant Rights Alliance; Anthony Peterson, Contra Costa Immigration Alliance; Wendy Hersay, resident of Concord; Diana Clark, resident of Walnut Creek; Tom Alexander, Fred Finch Youth Center; Chris Broglio, resident of El Sobrante; Judy Ballinger, resident of El Cerrito; Jonce Grassi, resident of Richmond.*

***ADOPTED Recommendations No. 1-16 as presented;***

***The modifications to the proposed budget, as noted in Recommendation No. 15, to be presented to the Board on May 9, 2017 are as follows:***

***An additional \$250,000 will be provided to the Contra Costa Cares program (from contingency funds), contingent upon the program achieving an equal match from the hospitals;***

***Ayes: Gioia, Burgis, Glover Noes: Mitchoff Absent: Andersen Abstain: none***

***\$500,000 will be budgeted, a one-time only request (from reserves) for the Northern Waterfront Development Initiative***

***Ayes: Gioia, Burgis, Mitchoff, Glover Noes: None Absent: Andersen Abstain: None***

***\$400,000 to be budgeted (from contingency fund) for the Brentwood Proposal for East Contra Costa County Fire District for funding through the 2018/2019 fiscal year conditional upon keeping the Knightsen station open;***

***Ayes: Gioia, Burgis, Mitchoff, Glover   Noes: None  
Absent: Andersen   Abstain: none***

***DIRECTED The County Administrator meet with the Public Defender to review her budget request around both misdemeanor and felony cases, with data on both, and return to the Board with a recommendation;***

***Ayes: Gioia, Burgis, Mitchoff, Glover   Noes: None  
Absent: Andersen   Abstain: none***

***REFERRED to the Finance Committee the matter of funding of Rapid Response, a legal representation program to respond to immigration matters.***

## **ATTACHMENTS**

FY 2017-18 Recommended Budget

Budget Overview

EHSD Presentation

Sheriff Presentation

Probation Presentation



Fiscal Year 2017-2018  
Budget Hearings  
April 18, 2017



County of  
Contra Costa

# Budget Hearing Agenda

1. County Administrator Presentation
2. Department Head Presentations
  - Health Services Director, William Walker
  - Employment and Human Services Director, Kathy Gallagher
  - Sheriff, Undersheriff Mike Casten
  - District Attorney, Mark Peterson
  - Probation Chief, Todd Billeci
3. Open Public Hearing - Public Comment
4. Board Discussion/Action



# Issues for Further Consideration

## Northern Waterfront Development Initiative

- Supervisor Glover has requested one-time allocation of \$500,000 to the Northern Waterfront Development Initiative.
- The Funds would be used for:
  - Data Development and Analytics - \$335,000
    - Industrial parcel identification/analysis - \$125,000
    - Goods movement study - \$75,000
    - State Lands analysis - \$25,000
    - Assets/competitive advantages profile - \$85,000
    - Performance indicators development - \$25,000
  - Public Outreach - \$85,000
  - Strategic Action Plan - \$80,000

# Measuring Distress

## A TOOL FOR ECONOMIC DEVELOPMENT

A fast simple way to calculate whether a county, region or neighborhood may meet grant thresholds for unemployment and income. Just select county or tract and the tool will create regions or neighborhoods based on your choices.

**Note: The ability to draw tracts may take up to a minute to initialize. Please wait for the map to draw your tract after you make a selection.**

### Tract-Based Tool

[Switch to the county-based tool](#)

#### 1. Select geography using the map and/or the dropdowns

State:  County:

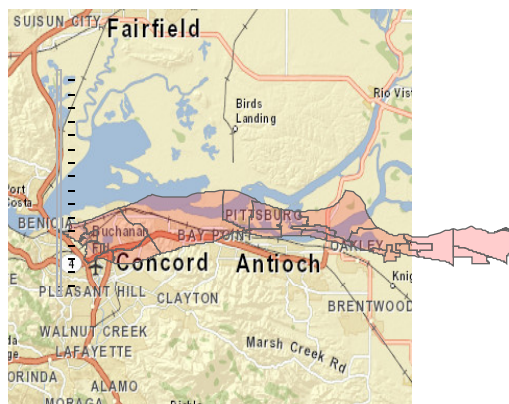
Tract Number:

Tract 06013320001  
Tract 06013317000  
Tract 06013316000  
Tract 06013319000  
Tract 06013315000  
Tract 06013320004  
Tract 06013320003  
Tract 06013314200  
Tract 06013314103  
Tract 06013314104  
Tract 06013314102  
Tract 06013314101  
Tract 06013314100

You may select  
up to 255 Tracts

Distress  
Report ▼

Social & Economic  
Context ▼



**You are in NAVIGATE Mode (Move Map, Zoom)**  
Click to switch to **SELECT Mode**

# DISTRESS CRITERIA STATISTICAL REPORT

Reference Date: All data is from Census ACS Estimates  
Region Consists of: 06013320001, 06013317000, 06013316000, 06013319000, 06013315000, 06013320004, 06013320003, 06013314200, 06013314103, 06013314104, 06013314102, 06013311000, 06013309000, 06013310000, 06013312000, 06013305000, 06013307202, 06013307102, 06013306003, 06013306002, 06013306004, 06013302006, 06013302005  
Report Date: 11/8/2017 2:33:58 PM

## Economic Distress Criteria—Primary Elements

	Region	U.S.	Threshold Calculations
2015 Unemployment Rate (5-Year ACS)	13.3	8.3	5
2015 Per Capita Money Income (5-Year ACS)	\$23,100	\$28,930	79.85%

## Economic Distress Criteria—Geographic Components

	Unemp. Rate 2015 ACS	Threshold Calculation	PCMI 2015 ACS	Threshold Calculation
Tract 3020.05 Contra Costa, CA	11.9	3.6	\$23,595	81.6
Tract 3020.06 Contra Costa, CA	10.6	2.3	\$28,247	97.6
Tract 3050.00 Contra Costa, CA	18.5	10.2	\$18,924	65.4
Tract 3060.02 Contra Costa, CA	12.8	4.5	\$26,847	92.8
Tract 3060.03 Contra Costa, CA	9.0	0.7	\$20,504	70.9
Tract 3060.04 Contra Costa, CA	16.8	8.5	\$22,810	78.8
Tract 3071.02 Contra Costa, CA	12.5	4.2	\$15,680	54.2
Tract 3072.02 Contra Costa, CA	21.0	12.7	\$13,860	47.9
Tract 3090.00 Contra Costa, CA	14.1	5.8	\$29,566	102.2
Tract 3100.00 Contra Costa, CA	12.1	3.8	\$15,595	53.9
Tract 3110.00 Contra Costa, CA	19.6	11.3	\$16,325	56.4
Tract 3120.00 Contra Costa, CA	16.4	8.1	\$15,608	54
Tract 3141.02 Contra Costa, CA	17.4	9.1	\$19,420	67.1
Tract 3141.03 Contra Costa, CA	21.9	13.6	\$14,047	48.6
Tract 3141.04 Contra Costa, CA	19.1	10.8	\$16,244	56.1
Tract 3142.00 Contra Costa, CA	10.9	2.6	\$17,010	58.8
Tract 3150.00 Contra Costa, CA	11.5	3.2	\$29,483	101.9
Tract 3160.00 Contra Costa, CA	11.5	3.2	\$26,124	90.3
Tract 3170.00 Contra Costa, CA	8.8	0.5	\$38,815	134.2
Tract 3190.00 Contra Costa, CA	8.3	0	\$36,448	126
Tract 3200.01 Contra Costa, CA	12.1	3.8	\$21,508	74.3
Tract 3200.03 Contra Costa, CA	4.4	-3.9	\$45,629	157.7
Tract 3200.04 Contra Costa, CA	5.0	-3.3	\$40,110	138.6

Sources: U.S. Bureau of Census, Labor Statistics, and Economic Analysis; Calculations generated by StatsAmerica.



StatsAmerica is a service of the [Indiana Business Research Center](#) at Indiana University's Kelley School of Business. This initiative is funded in part by the U.S. Commerce Department's [Economic Development Administration](#).



## ED-900A - Additional EDA Assurances for Construction Or Non-Construction Investments

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For **ALL** investments: As a duly authorized representative of the applicant, I further certify that the applicant:

1. Understands that attorneys' or consultants' fees, whether direct or indirect, expended for securing or obtaining EDA investment assistance are not eligible costs. See 13 C.F.R. § 302.10(a).
2. Understands that conflicts of interest or appearances of conflicts of interest are prohibited and may jeopardize this application or result in the forfeiture of investment funds. A conflict of interest occurs, for example, where a representative, official, employee, architect, attorney, engineer, or inspector of the applicant, or a representative or official of the federal, State or local government, has a direct or indirect financial interest in the acquisition or furnishing of any materials, equipment, or services to or in connection with the project. See 13 C.F.R. § 302.17.
3. Will comply with the reporting requirements under the Government Performance and Results Act (GPRA) of 1993 and the GPRA Modernization Act of 2010 (GPRAMA) for measuring and reporting project performance.

For **CONSTRUCTION** investments: As a duly authorized representative of the applicant, I further certify that the applicant:

1. Will operate and maintain the facility in accordance with at least the minimum standards as may be required or prescribed by applicable federal, State and local agencies for the maintenance and operation of such facilities.
2. Will require the facility to be designed to comply with the Americans with Disabilities Act of 1990 (ADA) (42 U.S.C. 12101 et seq.), the Architectural Barriers Act of 1968 (42 U.S.C. 4151 et seq.) and the Accessibility Guidelines for Buildings and Facilities regulations, as amended (36 C.F.R. part 1191), and will be responsible for conducting inspections to insure compliance with these requirements.
3. For the two-year period beginning on the date EDA investment assistance is awarded, will refrain from employing, offering any office or employment to, or retaining for professional services any person who, on the date on which the investment assistance is awarded or within the one-year (1) period ending on that date, served as an officer, attorney, agent or employee of the Department of Commerce and occupied a position or engaged in activities that EDA determines involved discretion with respect to the award of investment assistance under PWEDA. See section 606 of PWEDA and 13 C.F.R. §302.10(b).
4. Will have no facilities under ownership, lease or supervision to be utilized in this project that are listed or under consideration for listing on EPA's List of Violating Facilities.
5. Will comply with Executive Order 12699, "Seismic Safety of Federal and Federally Assisted or Regulated New Building Construction," which imposes requirements that federally-assisted facilities be designed and constructed in accordance with the most current local building codes determined by the awarding agency or by the Interagency Committee for Seismic Safety in Construction (ICSSC) and the most recent edition of the American National Standards Institute Standards A58, Minimum Design Loads for Buildings and Other Structures.

6. Will observe and comply with federal procurement rules, as set forth in 2 C.F.R. part 200, as applicable, for award of any contracts for architectural engineering, grant administration services, or construction financed with EDA investment assistance

For **NON-CONSTRUCTION** investments: As a duly authorized representative of the applicant, I further certify that the applicant:

1. Will comply with applicable regulations regarding indirect cost rates, if indirect costs are included in the application.
2. Will comply with the requirement that this investment assistance will not provide a proprietary benefit to a private individual, for-profit corporation, or other commercial entity.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

Maureen Toms

TITLE

Deputy Director

APPLICANT ORGANIZATION

Contra Costa County

DATE SUBMITTED

02/06/2018

Applicants should also review the instructions for certification included in the regulations before completing this form. Signature on this form provides for compliance with certification requirements under 15 CFR Part 28, 'New Restrictions on Lobbying.' The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Commerce determines to award the covered transaction, grant, or cooperative agreement.

**LOBBYING**

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 15 CFR Part 28, for persons entering into a grant, cooperative agreement or contract over \$100,000 or a loan or loan guarantee over \$150,000 as defined at 15 CFR Part 28, Sections 28.105 and 28.110, the applicant certifies that to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, 'Disclosure Form to Report Lobbying,' in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure occurring on or before October 23, 1996, and of not less than \$11,000 and not more than \$110,000 for each such failure occurring after October 23, 1996.

**Statement for Loan Guarantees and Loan Insurance**

The undersigned states, to the best of his or her knowledge and belief, that:

In any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, 'Disclosure Form to Report Lobbying,' in accordance with its instructions.

Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure occurring on or before October 23, 1996, and of not less than \$11,000 and not more than \$110,000 for each such failure occurring after October 23, 1996.

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above applicable certification.

**\* NAME OF APPLICANT**

Contra Costa County

**\* AWARD NUMBER****\* PROJECT NAME**

Short-Line Railroad Study to Stimulate Economic Development

**Prefix:**

Mrs.

**\* First Name:**

Maureen

**Middle Name:****\* Last Name:**

Toms

**Suffix:**

\* Title: Deputy Director

**\* SIGNATURE:**

Maureen Toms

**\* DATE:**

02/06/2018

# DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C.1352

Approved by OMB  
4040-0013

<b>1. * Type of Federal Action:</b> <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. * Status of Federal Action:</b> <input checked="" type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. * Report Type:</b> <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change
<b>4. Name and Address of Reporting Entity:</b> <input checked="" type="checkbox"/> Prime <input type="checkbox"/> SubAwardee * Name: Contra Costa County * Street 1: 30 Muir Road    Street 2: * City: Martinez    State: CA: California    Zip: 94553 Congressional District, if known: 11th		
<b>5. If Reporting Entity in No.4 is Subawardee, Enter Name and Address of Prime:</b>     		
<b>6. * Federal Department/Agency:</b> Econ Dev Administration-Dept of Commerce		<b>7. * Federal Program Name/Description:</b> Economic Development - Technical Assistance  CFDA Number, if applicable: 11.303
<b>8. Federal Action Number, if known:</b> EDA-HDQ-TA-HDQ-2016-2004759		<b>9. Award Amount, if known:</b> \$ 
<b>10. a. Name and Address of Lobbying Registrant:</b> Prefix: Mr.    * First Name: Paul    Middle Name: * Last Name: Schlesinger    Suffix: * Street 1: 2111 Wilson Boulevard    Street 2: 8th Floor * City: Arlington    State: VA: Virginia    Zip: 22201		
<b>b. Individual Performing Services</b> (including address if different from No. 10a) Prefix:    * First Name:    Middle Name: * Last Name:    Suffix: * Street 1:    Street 2: * City:    State:    Zip:		
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when the transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.  * Signature: Maureen Toms * Name: Prefix: Mrs.    * First Name: Maureen    Middle Name: * Last Name: Toms    Suffix: Title: Deputy Director    Telephone No.: (925) 674-7878    Date: 02/06/2018		
<b>Federal Use Only:</b>		Authorized for Local Reproduction Standard Form - LLL (Rev. 7-97)