

HOUSING AUTHORITY OF CONTRA COSTA COUNTY

INVESTMENT REPORT : PORTFOLIO HOLDINGS BY TYPE

For Period Ending: 9/30/2016

Issuer	Investment Type	Amount Invested	Yield	Investment Date	Maturity Date	Estimated Value@ Maturity Date
Cantella & Company						
Prime Fund Capital Reserves (Cash)	Money Market	48,182.89	0.01%	ongoing	ongoing	48,182.89
American Express FSB	Certificate of Deposit	220,000.00	2.05%	8/14/14	8/14/19	242,562.36
Capital One Bank	Certificate of Deposit	120,000.00	2.00%	11/05/14	11/05/19	132,006.58
Capital One Bank	Certificate of Deposit	100,000.00	2.00%	11/05/14	11/05/19	110,005.48
Synchrony Bank	Certificate of Deposit	100,000.00	2.00%	3/20/15	3/20/20	110,010.96
Fannie Mae	Govt Agency	150,000.00	1.63%	3/13/15	1/21/20	161,853.60
Federal Home Loan Mtg Corp	Govt Agency	125,000.00	1.38%	5/08/15	5/01/20	133,570.21
Discover Bank	Certificate of Deposit	100,000.00	2.00%	5/13/15	5/13/20	110,010.96
Goldman Sachs Bank	Certificate of Deposit	149,000.00	2.35%	7/08/15	7/08/20	166,526.69
Capital One, NA	Certificate of Deposit	247,000.00	2.25%	7/22/15	7/22/20	274,817.95
Everbank	Certificate of Deposit	247,000.00	2.00%	7/30/15	7/30/20	271,727.07
CIT Bank	Certificate of Deposit	105,000.00	2.25%	7/30/15	7/30/20	116,825.45
CIT Bank	Certificate of Deposit	110,000.00	2.25%	7/30/15	7/30/20	122,388.56
Worlds Foremost Bank	Certificate of Deposit	100,000.00	2.40%	8/20/15	8/20/20	112,013.15
Discover Bank	Certificate of Deposit	135,000.00	2.35%	8/26/15	8/26/20	150,879.88
Fannie Mae	Govt Agency	100,000.00	1.50%	11/10/15	6/22/20	106,928.77
American Express Centurian	Certificate of Deposit	175,000.00	2.30%	11/25/15	11/25/20	195,147.05
Sallie Mae Bank	Certificate of Deposit	100,000.00	2.20%	12/09/15	12/09/20	111,012.05
Sallie Mae Bank	Certificate of Deposit	100,000.00	2.20%	12/09/15	12/09/20	111,012.05
Celtic Bank, UT	Certificate of Deposit	100,000.00	1.75%	12/24/15	12/24/20	108,759.59
Goldman Sachs Bank	Certificate of Deposit	100,000.00	2.30%	12/30/15	1/06/21	111,556.71
Fannie Mae	Govt Agency	105,000.00	1.50%	12/30/15	11/30/20	112,754.18
Commenity Capital Bank	Certificate of Deposit	100,000.00	1.90%	1/19/16	1/19/21	109,510.41
Federal Home Loan Bank	Govt Agency	210,000.00	1.88%	1/28/16	12/11/20	229,191.27
Commenity Capital Bank	Certificate of Deposit	100,000.00	1.60%	2/22/16	2/22/21	108,008.77
BMW-Bank of North America	Certificate of Deposit	97,000.00	1.70%	2/26/16	2/22/21	105,235.96
BMW-Bank of North America	Certificate of Deposit	150,000.00	1.70%	2/26/16	2/26/21	162,763.97
Farmer Mac	Govt Agency	100,000.00	1.92%	3/17/16	1/07/21	109,242.30
Private Bank & Trust	Certificate of Deposit	125,000.00	1.40%	5/26/16	5/26/21	133,754.79
HSBC Bank USA	Certificate of Deposit	100,000.00	1.70%	6/10/16	6/10/21	108,504.66
Wells Fargo Bank	Certificate of Deposit	100,000.00	1.75%	6/17/16	6/17/21	108,754.79
J P Morgan Chase	Certificate of Deposit	100,000.00	1.65%	8/16/16	8/16/21	108,254.52
Wells Fargo Bank	Certificate of Deposit	100,000.00	1.55%	8/17/16	8/17/21	107,754.25
Federal Home Loan Mtg Corp	Govt Agency	510,000.00	1.20%	8/17/16	8/12/21	540,532.93
Fannie Mae	Govt Agency	155,000.00	1.25%	9/22/16	8/17/21	164,501.71
GRAND TOTALS		4,783,182.89				5,216,562.52

L.A.I.F. (Acct # 25-07-003)	Liquid Account	1,090,083.46	0.60%	ongoing	ongoing	1,090,083.46
De Anza Gardens, LP	Loan	1,000,000.00	3.00%			1,000,000.00
GRAND TOTALS		6,873,266.35				7,306,645.98

HOUSING AUTHORITY OF CONTRA COSTA COUNTY
INVESTMENT REPORT BY FUND
For Period Ending 9/30/2016

Issuer	Amount Invested	Amount Invested by Fund:				
		Public Housing	Management	Rental Rehabilitation	Housing Choice Voucher	Housing Voucher FSS Escrow
<u>Cantella & Company</u>						
Prime Fund Capital Reserves (Cash)	48,182.89	25,445.90	11,707.53	6,523.29	4,506.17	
American Express FSB	220,000.00		110,000.00		110,000.00	
Capital One Bank	120,000.00				120,000.00	
Capital One Bank	100,000.00		100,000.00			
Synchrony Bank	100,000.00				100,000.00	
Fannie Mae	150,000.00		150,000.00			
Federal Home Loan Mtg Corp	125,000.00				125,000.00	
Discover Bank	100,000.00		100,000.00			
Goldman Sachs Bank	149,000.00			149,000.00		
Capital One, NA	247,000.00	247,000.00				
Everbank	247,000.00	247,000.00				
CIT Bank	105,000.00	105,000.00				
CIT Bank	110,000.00		110,000.00			
Worlds Foremost Bank	100,000.00	100,000.00				
Discover Bank	135,000.00				135,000.00	
Fannie Mae	100,000.00				100,000.00	
American Express Centurian	175,000.00		175,000.00			
Sallie Mae Bank	100,000.00	100,000.00				
Sallie Mae Bank	100,000.00				100,000.00	
Celtic Bank, UT	100,000.00		100,000.00			
Goldman Sachs Bank	100,000.00				100,000.00	
Fannie Mae	105,000.00				105,000.00	
Commenity Capital Bank	100,000.00		100,000.00			
Federal Home Loan Bank	210,000.00				210,000.00	
Commenity Capital Bank	100,000.00		100,000.00			
BMW-Bank of North America	97,000.00	97,000.00				
BMW-Bank of North America	150,000.00	150,000.00				
Farmer Mac	100,000.00		100,000.00			
Private Bank & Trust	125,000.00				125,000.00	
HSBC Bank USA	100,000.00				100,000.00	
Wells Fargo Bank	100,000.00				100,000.00	
J P Morgan Chase	100,000.00				100,000.00	
Wells Fargo Bank	100,000.00				100,000.00	
Federal Home Loan Mtg Corp	510,000.00				510,000.00	
Fannie Mae	155,000.00				155,000.00	
GRAND TOTALS	4,783,182.89	1,071,445.90	1,156,707.53	155,523.29	2,399,506.17	-
L.A.I.F. (Acct # 25-07-003)	1,090,083.46	640,896.84	-	-	63,274.89	385,911.73
De Anza Gardens, LP	1,000,000.00				1,000,000.00	
GRAND TOTALS	6,873,266.35	1,712,342.74	1,156,707.53	155,523.29	3,462,781.06	385,911.73

HOUSING AUTHORITY OF CONTRA COSTA COUNTY

Report per CGC 53646 CURRENT MARKET VALUE

For Period Ending 9/30/2016

Issuer	Investment Type	Maturity Date	Amount Invested	Current Market Value (at 9/30/16)	Yield
Cantella & Company					
Prime Fund Capital Reserves (Cash)	Money Market	ongoing	48,182.89	48,182.89	0.01%
American Express FSB	Certificate of Deposit	8/14/2019	220,000.00	225,638.60	2.05%
Capital One Bank	Certificate of Deposit	11/05/2019	120,000.00	123,528.00	2.00%
Capital One Bank	Certificate of Deposit	11/05/2019	100,000.00	102,940.00	2.00%
Synchrony Bank	Certificate of Deposit	3/20/2020	100,000.00	102,721.00	2.00%
Fannie Mae	Govt Agency	1/21/2020	150,000.00	152,698.50	1.63%
Federal Home Loan Mtg Corp	Govt Agency	5/01/2020	125,000.00	126,356.25	1.38%
Discover Bank	Certificate of Deposit	5/13/2020	100,000.00	102,553.00	2.00%
Goldman Sachs Bank	Certificate of Deposit	7/08/2020	149,000.00	154,143.48	2.35%
Capital One, NA	Certificate of Deposit	7/22/2020	247,000.00	255,558.55	2.25%
Everbank	Certificate of Deposit	7/30/2020	247,000.00	255,612.89	2.00%
CiT Bank	Certificate of Deposit	7/30/2020	105,000.00	108,840.90	2.25%
CiT Bank	Certificate of Deposit	7/30/2020	110,000.00	114,023.80	2.25%
Worlds Foremost Bank	Certificate of Deposit	8/20/2020	100,000.00	103,855.00	2.40%
Discover Bank	Certificate of Deposit	8/26/2020	135,000.00	140,256.90	2.35%
Fannie Mae	Govt Agency	6/22/2020	100,000.00	101,530.00	1.50%
American Express Centurian	Certificate of Deposit	11/25/2020	175,000.00	181,091.75	2.30%
Sallie Mae Bank	Certificate of Deposit	12/09/2020	100,000.00	103,489.00	2.20%
Sallie Mae Bank	Certificate of Deposit	12/09/2020	100,000.00	103,489.00	2.20%
Celtic Bank, UT	Certificate of Deposit	12/24/2020	100,000.00	101,654.00	1.75%
Goldman Sachs Bank	Certificate of Deposit	1/06/2021	100,000.00	103,731.00	2.30%
Fannie Mae	Govt Agency	11/30/2020	105,000.00	106,413.30	1.50%
Commenity Capital Bank	Certificate of Deposit	1/19/2021	100,000.00	104,159.00	1.90%
Federal Home Loan Bank	Govt Agency	12/11/2020	210,000.00	215,596.50	1.88%
Commenity Capital Bank	Certificate of Deposit	2/22/2021	100,000.00	102,660.00	1.60%
BMW-Bank of North America	Certificate of Deposit	2/22/2021	97,000.00	99,357.10	1.70%
BMW-Bank of North America	Certificate of Deposit	2/26/2021	150,000.00	153,645.00	1.70%
Farmer Mac	Govt Agency	1/07/2021	100,000.00	102,249.00	1.92%
Private Bank & Trust	Certificate of Deposit	5/26/2021	125,000.00	125,953.75	1.40%
HSBC Bank USA	Certificate of Deposit	6/10/2021	100,000.00	100,660.00	1.70%
Wells Fargo Bank	Certificate of Deposit	6/17/2021	100,000.00	100,713.00	1.75%
J P Morgan Chase	Certificate of Deposit	8/16/2021	100,000.00	99,883.00	1.65%
Wells Fargo Bank	Certificate of Deposit	8/17/2021	100,000.00	144,825.55	1.55%
Federal Home Loan Mtg Corp	Govt Agency	8/12/2021	510,000.00	505,399.80	1.20%
Fannie Mae	Govt Agency	8/17/2021	155,000.00	154,579.95	1.25%
GRAND TOTALS			4,783,182.89	4,927,989.46	
L.A.I.F. (Acct # 25-07-003)	Liquid Account	ongoing	1,090,083.46	1,090,083.46	0.60%
De Anza Gardens, LP	Loan		1,000,000.00	1,000,000.00	3.00%
GRAND TOTALS			6,873,266.35	7,018,072.92	

HOUSING AUTHORITY OF CONTRA COSTA COUNTY

Investment Interest Earnings Report

For Period Ending 9/30/2016

Issuer	Amount Invested	Interest Earned this Qtr	Interest Earned this Quarter by Fund				
			Public Housing	Management	Rental Rehabilitation	Housing Choice Voucher Fund	
			Unrestricted	Unrestricted	Unrestricted	Restricted	Unrestricted
<u>Cantella & Company</u>						0%	100%
Prime Fund Capital Reserves (Cash)	48,182.89	1.19	0.62	0.29	0.16	-	0.11
American Express FSB	220,000.00	1,112.05	-	556.03	-	-	556.03
Capital One Bank	120,000.00	591.78	-	-	-	-	591.78
Capital One Bank	100,000.00	493.15	-	493.15	-	-	-
Synchrony Bank	100,000.00	493.15	-	-	-	-	493.15
Fannie Mae	150,000.00	601.03	-	601.03	-	-	-
Federal Home Loan Mtg Corp	125,000.00	423.80	-	-	-	-	423.80
Discover Bank	100,000.00	493.15	-	493.15	-	-	-
Goldman Sachs Bank	149,000.00	863.38	-	-	863.38	-	-
Capital One, NA	247,000.00	1,370.34	1,370.34	-	-	-	-
Everbank	247,000.00	1,218.08	1,218.08	-	-	-	-
CIT Bank	105,000.00	582.53	582.53	-	-	-	-
CIT Bank	110,000.00	610.27	-	610.27	-	-	-
Worlds Foremost Bank	100,000.00	591.78	591.78	-	-	-	-
Discover Bank	135,000.00	782.26	-	-	-	-	782.26
Fannie Mae	100,000.00	369.86	-	-	-	-	369.86
American Express Centurian	175,000.00	992.47	-	992.47	-	-	-
Sallie Mae Bank	100,000.00	542.47	542.47	-	-	-	-
Sallie Mae Bank	100,000.00	542.47	-	-	-	-	542.47
Celtic Bank, UT	100,000.00	431.51	-	431.51	-	-	-
Goldman Sachs Bank	100,000.00	567.12	-	-	-	-	567.12
Fannie Mae	105,000.00	388.36	-	-	-	-	388.36
Community Capital Bank	100,000.00	468.49	-	468.49	-	-	-
Federal Home Loan Bank	210,000.00	970.89	-	-	-	-	970.89
Community Capital Bank	100,000.00	394.52	-	394.52	-	-	-
BMW-Bank of North America	97,000.00	406.60	406.60	-	-	-	-
BMW-Bank of North America	150,000.00	628.77	628.77	-	-	-	-
Farmer Mac	100,000.00	473.42	-	473.42	-	-	-
Private Bank & Trust	125,000.00	431.51	-	-	-	-	431.51
HSBC Bank USA	100,000.00	419.18	-	-	-	-	419.18
Wells Fargo Bank	100,000.00	431.51	-	-	-	-	431.51
J P Morgan Chase	100,000.00	203.42	-	-	-	-	203.42
Wells Fargo Bank	100,000.00	186.85	-	-	-	-	186.85
Federal Home Loan Mtg Corp	510,000.00	737.75	-	-	-	-	737.75
Fannie Mae	155,000.00	42.47	-	-	-	-	42.47
-	4,783,182.89	19,857.58	5,341.19	5,514.33	863.54	-	8,138.52

L A I F. (Acct # 25-07-003)	1,090,083.46	1,488.09	875.00			526.78	86.31
De Anza Gardens. LP	1,000,000.00	7,397.26					7,397.26
GRAND TOTALS	6,873,266.35	28,742.93	6,216.19	5,514.33	863.54	526.78	15,622.09