

CALENDAR FOR THE BOARD OF SUPERVISORS
CONTRA COSTA COUNTY
AND FOR SPECIAL DISTRICTS, AGENCIES, AND AUTHORITIES GOVERNED BY THE BOARD
**BOARD CHAMBERS ROOM 107, ADMINISTRATION BUILDING, 651 PINE STREET
MARTINEZ, CALIFORNIA 94553-1229**

JOHN GIOIA, CHAIR, 1ST DISTRICT
CANDACE ANDERSEN, VICE CHAIR, 2ND DISTRICT
MARY N. PIEPHO, 3RD DISTRICT
KAREN MITCHOFF, 4TH DISTRICT
FEDERAL D. GLOVER, 5TH DISTRICT

DAVID J. TWA, CLERK OF THE BOARD AND COUNTY ADMINISTRATOR, (925) 335-1900

PERSONS WHO WISH TO ADDRESS THE BOARD DURING PUBLIC COMMENT OR WITH RESPECT TO AN ITEM THAT IS ON THE AGENDA, WILL BE LIMITED TO THREE (3) MINUTES.

The Board Chair may reduce the amount of time allotted per speaker at the beginning of each item or public comment period depending on the number of speakers and the business of the day.
Your patience is appreciated.

A lunch break or closed session may be called at the discretion of the Board Chair.

Staff reports related to open session items on the agenda are also accessible on line at www.co.contra-costa.ca.us.

AGENDA
September 22, 2015

9:00 A.M. Convene, Call to Order and Opening Ceremonies

Inspirational Thought- "It is health that is real wealth and not pieces of gold and silver."
Mahatma Gandhi

CONSIDER CONSENT ITEMS (Items listed as C.1 through C.74 on the following agenda) – Items are subject to removal from Consent Calendar by request of any Supervisor or on request for discussion by a member of the public. **Items removed from the Consent Calendar will be considered with the Discussion Items.**

PRESENTATIONS (5 Minutes Each)

PR.1 PRESENTATION recognizing September 2015 as Suicide Prevention Month.
(Supervisor Piepho)

DISCUSSION ITEMS

D. 1 CONSIDER Consent Items previously removed.

D. 2 PUBLIC COMMENT (3 Minutes/Speaker)

- D.3** Continued HEARING to consider approval of new and modified conditions of approval for the Keller Canyon Landfill land use permit, MAKE related California Environmental Quality Act findings, and DIRECT Department of Conservation and Development staff to schedule the next permit review before the County Planning Commission in one year. (Deidra Dingman, Conservation and Development)
- D.4** CONSIDER approving and authorizing the Health Services Director to establish a Contra Costa CARES program in cooperation with the Contra Costa Community Clinic Consortium and local hospitals for the purpose of providing primary health care services to adults not covered by the Affordable Care Act, and allocating \$500,000 from the County General Fund for community clinic services contingent on final approval by local hospitals of their contribution of \$500,000 to this program. (100% County General Fund – SB90 funds) (William Walker, M.D., Health Services Director)
- D.5** CONSIDER approving and authorizing the Health Services Director, or designee, to execute a contract with Medical Solutions, Inc. (dba Nurse Bridge Consultants), in an amount not to exceed \$3,700,000, for the provision of replacement registered nurses, for the period from September 22, 2015 through December 31, 2015. (William Walker, M.D., Health Services Director)
- D.6** CONSIDER accepting the reports from the Health Services Department on the Planning and Integration Team for Community Health, the Health In All Policies, and the Built Environment Program, as recommended by the Family and Human Services Committee. (William Walker, M.D., Health Services Director)
- D.7** HEARING to consider the adoption of Resolution of Necessity No. 2015/342 for acquisition by eminent domain of real property required for the West Antioch Creek Channel Improvements Project, Antioch area. (Olivia Reynolds, Public Works Department)

D. 8 CONSIDER reports of Board members.

Closed Session

A. CONFERENCE WITH LABOR NEGOTIATORS

1. Agency Negotiators: David Twa and Bruce Heid.

Employee Organizations: Contra Costa County Employees' Assn., Local No. 1; Am. Fed., State, County, & Mun. Empl., Locals 512 and 2700; Calif. Nurses Assn.; Service Empl. Int'l Union, Local 1021; District Attorney's Investigators Assn.; Deputy Sheriffs Assn.; United Prof. Firefighters, Local 1230; Physicians' & Dentists' Org. of Contra Costa; Western Council of Engineers; United Chief Officers Assn.; Service Empl. Int'l Union United Health Care Workers West; Contra Costa County Defenders Assn.; Probation Peace Officers Assn. of Contra Costa County; Contra Costa County Deputy District Attorneys' Assn.; and Prof. & Tech. Engineers,

Local 21, AFL-CIO.

2. Agency Negotiators: David Twa.

Unrepresented Employees: All unrepresented employees.

B. CONFERENCE WITH LEGAL COUNSEL--EXISTING LITIGATION (Gov. Code, § 54956.9(d)(1))

1. Mitchell Katz v. County of Contra Costa, et al., USDC Northern District Case No. C11-05771 CRB

2. Hasan Arda Aksu v. County of Contra Costa, et al., USDC Northern District Case No. C12-4268 CRB

3. Lane Bauldry v. County of Contra Costa, et al., USDC Northern District Case No. C12-3943 CRB

4. Ivette Santaella v. County of Contra Costa, et al.; Contra Costa County Superior Court Case No. C15-00646

5. County of Contra Costa v. Oscar A. Salazar, et al., Contra Costa County Superior Court Case No. C14-01719

C. CONFERENCE WITH REAL PROPERTY NEGOTIATORS

Property: 1700 Oak Park Blvd., Pleasant Hill

Agency Negotiator: Karen Laws, Principal Real Property Agent

Negotiating Parties: Contra Costa County and Pleasant Hill Recreation & Park District

Under negotiation: Price and payment terms

Property: 1750 Oak Park Blvd., Pleasant Hill

Agency Negotiator: Karen Laws, Principal Real Property Agent

Negotiating Parties: Contra Costa County and City of Pleasant Hill

Under negotiation: Price and payment terms

Property: Six-acre parcel in the 400 – 500 block of Beatrice Road, Pleasant Hill

Agency Negotiator: Karen Laws, Principal Real Property Agent

Negotiating Parties: Contra Costa County, Contra Costa County Flood Control & Water Conservation District, City of Pleasant Hill and individual property owners

Under negotiation: Price and payment terms

Adjourn in Memory of

Dr. Joel Fort

San Francisco Psychiatrist and El Cerrito Resident

CONSENT ITEMS

Road and Transportation

- C. 1** APPROVE and AUTHORIZE the Chair of the Board of Supervisors to execute, on behalf of the County, the Real Property Services Agreement, to provide right of way services to the City of Berkeley effective September 22, 2015 until terminated by either party. (100% City of Berkeley Funds)
- C. 2** ADOPT Traffic Resolution No. 2015/4430 to prohibit parking at all times on a portion of the north and south sides of Cervato Drive, west of the center line of Danville Boulevard, as recommended by the Public Works Director, Alamo area. (No fiscal impact)

Engineering Services

- C. 3** ADOPT Resolution No. 2015/346 approving the second extension of the Subdivision Agreement for subdivision SD04-08918, for a project being developed by Thomas DeNova, LLC, as recommended by the Public Works Director, Bay Point area. (No fiscal impact)
- C. 4** ADOPT Resolution No. 2015/319 approving the third extension of the Subdivision Agreement for subdivision SD05-09037, for a project being developed by Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation, as recommended by the Public Works Director, Danville area. (No fiscal impact)
- C. 5** ADOPT Resolution No. 2015/303 approving the fourth extension of the Subdivision Agreement for minor subdivision MS02-00002, for a project being developed by Lafayette Partners, LLC, as recommended by the Public Works Director, El Sobrante area. (No fiscal impact)
- C. 6** ADOPT Resolution No. 2015/345 approving the third extension of the Subdivision Agreement (Right-of-Way Landscaping) for subdivision SD08-09246, for a project being developed by Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation, as recommended by the Public Works Director, San Ramon (Dougherty Valley) area. (No fiscal impact)
- C. 7** ADOPT Resolution No. 2015/320 approving the third extension of the Road Improvement Agreement for RA11-01247, for a project being developed by Shapell Homes, a Division of Shapell Industries, Inc., a Delaware corporation, as recommended by the Public Works Director, Danville area. (No fiscal impact)

- C. 8** ADOPT Resolution No. 2015/321 approving the second extension of the Road Improvement Agreement (Right-of-Way Landscaping) for RA10-01246, for a project being developed by Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation, as recommended by the Public Works Director, San Ramon (Dougherty Valley) area. (No fiscal impact)
- C. 9** ADOPT Resolution No. 2015/304 approving the third extension of the Subdivision Agreement (Right-of-Way Landscaping) for subdivision SD05-09037, for a project being developed by Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation, as recommended by the Public Works Director, Danville area. (No fiscal impact)
- C. 10** ADOPT Resolution No. 2015/348 approving the Final Map and Subdivision Agreement for subdivision SD15-09314, for a project being developed by Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation, as recommended by the Public Works Director, Danville area. (No fiscal impact)
- C. 11** ADOPT Resolution No. 2015/340 approving the third extension of the Subdivision Agreement for subdivision SD03-08791, for a project being developed by ADP Freedom 7, LLC, as recommended by the Public Works Director, El Sobrante area. (No fiscal impact)
- C. 12** ADOPT Resolution No. 2015/344 approving the fourth extension of the Subdivision Agreement for minor subdivision MS04-00013, for a project being developed by Ifeoma Adams and Ramonia Hall, as recommended by the Public Works Director, El Sobrante area. (No fiscal impact)

Claims, Collections & Litigation

- C. 13** DENY claims filed by Lisa Guidone, Darryl Wendell Jones, and Khalid Muwakkili.

Statutory Actions

- C. 14** ACCEPT Board members' meeting reports for August 2015.

Honors & Proclamations

- C. 15** ADOPT Resolution No. 2015/347 congratulating the East Bay Economic Development Alliance for its 25 years of service to the East Bay region, as recommended by Supervisor Andersen.

- C. 16** ADOPT Resolution No. 2015/361 honoring Meals on Wheels of Contra Costa, Inc., on its 25th Anniversary, as recommended by Supervisor Gioia and Supervisor Mitchoff.
- C. 17** ADOPT Resolution No. 2015/362 recognizing September 2015 as Suicide Prevention Awareness Month in Contra Costa County, as recommended by Supervisor Piepho.

Appointments & Resignations

- C. 18** APPOINT James Ryan to the District IV-B seat on the Alcohol and Other Drugs Advisory Board, as recommended by Supervisor Mitchoff.
- C. 19** APPOINT Aaron Winer to the Business 1 Alternate seat on the Hazardous Materials Commission, as recommended by the Internal Operations Committee.

Appropriation Adjustments

- C. 20** Public Defender's Office (0243): APPROVE Appropriation and Revenue Adjustment No. 5000 authorizing new revenue in the amount of \$6,000 from other public agencies, and appropriating it for reimbursement of travel expenses for subject matter training experts in Public Defender's Office. (No fiscal impact) (Consider with C.72)
- C. 21** Park Dedication fund (813600)/Public Works Department (7770): AUTHORIZE the Auditor-Controller to transfer \$123,000 from Park Dedication Funds to the Contra Costa County Public Works Department; and APPROVE Appropriation and Revenue Adjustment No. 5002 authorizing new revenue in the amount of \$123,000 in the Public Works Department (7770) from Park Dedication Funds and appropriating it to finance various improvements to Lefty Gomez Park located at 470 Parker Avenue in Rodeo, as recommended by the Conservation and Development Director. (100% Park Dedication Trust) (Consider with C.51)

Personnel Actions

- C. 22** ADOPT Position Adjustment Resolution No. 21654 to add twenty-nine Eligibility Worker I (represented) positions in the Employment and Human Services Department. (45% Federal, 45% State and 10% County)
- C. 23** ADOPT Position Adjustment Resolution No. 21718 to add eight Clerk–Senior Level (represented) positions and one Clerk – Specialist Level (represented) position in the Employment and Human Services Department. (46% Federal, 44% State, 10% County)

- C. 24** ADOPT Position Adjustment Resolution No. 21739 to ADD one full-time Senior Hydrographer (represented) position and to CANCEL one full-time Hydrographer (represented) position in the Public Works Department. (100% Flood Control)
- C. 25** ADOPT Position Adjustment Resolution No. 21745 to cancel one Account Clerk Supervisor position (represented), one Clerk-Senior Level position (represented), and one Clerk-Specialist Level position (represented); and add one Administrative Analyst position (represented) and one Community Health Worker II position (represented) in the Health Services Department. (75% State, 25% General Fund)
- C. 26** ADOPT Position Adjustment Resolution No. 21751 to add one Clerk-Specialist Level position (represented) in the Health Services Department. (67% Local, 6% State, 27% County)
- C. 27** ADOPT Position Adjustment Resolution No. 21746 to add one Medical Social Worker II position (represented) in the Health Services Department. (100% Ryan White CARE Act)
- C. 28** ADOPT Position Adjustment Resolution No. 21748 to decrease the hours of one Deputy District Attorney-Basic Level (represented) from full-time to part-time in the District Attorney's office. (Cost savings)
- C. 29** ADOPT Resolution No. 2015/358 granting informal recognition to Teamsters, Local 856, as recommended by the Employee Relations Officer.
- C. 30** Acting solely in its capacity as the governing board of the Contra Costa County In-Home Supportive Services Public Authority, ADOPT Resolution No. 2015/357, recognizing SEIU Local 2015 as the successor employee organization to SEIU United Healthcare Workers West, Local 2005, as recommended by the County Administrator.

Leases

- C. 31** APPROVE and AUTHORIZE the Public Works Director, or designee, to execute a lease with The Holmgren Partnership for a five-year term beginning September 1, 2015 and ending August 31, 2020, for use of office and warehouse space located at 2366 Stanwell Circle, Concord, at a rent of \$21,355 per month, as requested by the Public Works and Agriculture Departments. (100% General Fund)

Grants & Contracts

APPROVE and AUTHORIZE execution of agreements between the County and the following agencies for receipt of fund and/or services:

- C. 32** APPROVE and AUTHORIZE the Employment & Human Services Department Director, or designee, to execute a contract amendment with the California Department of Education, to increase the payment limit by \$360,383 to a new limit of \$3,858,343 for childcare and development programs (CalWORKS Stage 2) with no change to the term July 1, 2013 through June 30, 2014. (No County match)
- C. 33** APPROVE and AUTHORIZE the County Librarian, or designee, to apply for and accept a grant in the amount of \$4,000 from Pacific Library Partnership, 50 Fund and Bay Area Super Bowl 50 Host Committee, to provide literacy materials and services at the Pittsburg and Bay Point Libraries for the period September 1 through December 31, 2015. (No County match)
- C. 34** ADOPT Resolution No. 2015/352 approving and authorizing the Sheriff-Coroner, or designee, to apply for and accept a California Department of Boating and Waterways Surrendered and Abandoned Vessel Exchange grant in the amount of \$300,000 for the abatement of abandoned vessels and the vessel turn-in program on County waterways, commencing when the grant is fully executed through the end of the grant funding availability. (90% State, 10% County match)
- C. 35** APPROVE and AUTHORIZE the County Librarian, or designee, to apply for and accept a grant in the amount of \$3,564 from Keller Canyon Mitigation Fund to provide materials and services at the Antioch Library for the period July 1, 2015 through June 30, 2016. (No County match)
- C. 36** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract from the U. S. Department of Health and Human Services in an amount not to exceed a total of \$6,250,000 at the rate of \$1,250,000 per year of this five (5) year agreement, for the County's Teenage Pregnancy Prevention Initiative Program, for the period July 1, 2015 through June 30, 2020. (No County match)
- C. 37** ADOPT Resolution No. 2015/354 authorizing the Sheriff-Coroner, or designee, to apply for and accept the California Office of Emergency Services 2015 Emergency Management Performance Grant in an amount up to \$425,000 to develop and maintain the level of capability to prepare for, mitigate, respond to, and recover from emergencies and disasters for the period beginning July 1, 2015 through the end of grant funding availability. (100% Federal)
- C. 38** ADOPT Resolution No. 2015/355 authorizing the Sheriff-Coroner, or designee, to apply for and to accept State Homeland Security Grant Program funds in an initial amount of \$1,182,087 from the California Governor's Office of Emergency Services, make required grant assurances and authorize specified Sheriff's Office officials to act on behalf of the County to obtain funding for various homeland security projects throughout the County for the period of September 1, 2015 through the end of grant fund availability. (100% State)

- C. 39** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with the City of Martinez, including modified indemnification language, to pay County an amount not to exceed \$11,016 to provide congregate meal services for County's Senior Nutrition Program for the period July 1, 2015 through June 30, 2016, with a three-month automatic extension through September 30, 2016 in an amount not to exceed \$2,754. (No County match)
- C. 40** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with the City of Richmond, including modified indemnification language, for its Recreation and Parks Department to provide congregate meal services for County's Senior Nutrition Program for the period July 1, 2015 through June 30, 2016, with a three-month automatic extension through September 30, 2016. (No County match)
- C. 41** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract, including modified indemnification language, with Pleasant Hill Recreation & Park District, to pay County an amount not to exceed \$25,870 to provide congregate meal services for County's Senior Nutrition Program for the period of July 1, 2015 through June 30, 2016, with a three-month automatic extension through September 30, 2016 in an amount not to exceed \$5,041. (No County match)
- C. 42** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract, including modified indemnification language, with the City of San Ramon, to pay County an amount not to exceed \$10,000 to provide home-delivered and congregate meal services for County's Senior Nutrition Program for the period of July 1, 2015 through June 30, 2016, with a three-month automatic extension through September 30, 2016 in an amount not to exceed \$2,500. (No County match)
- C. 43** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with the San Francisco Foundation, to pay the County an amount not to exceed \$25,000 for the County's Public Health Community Wellness and Prevention Program, San Francisco Foundation San Pablo Parks Program, for the period May 1, 2015 through April 30, 2016. (No County match)
- C. 44** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract amendment with the California Department of Public Health, effective July 1, 2015, to make technical adjustments to the fiscal year 2015/2016 grant budget with no change in the original amount of \$4,214,916 payable to County, for continuation of the Public Health Emergency Preparedness, Hospital Preparedness Program (HPP) & Comprehensive Program, and no change in the term of July 1, 2015 through June 30, 2017. (No County match)

- C. 45** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with the California Department of Public Health, Tobacco Control Program, in an amount payable to the County not to exceed \$990,000 for the County's Public Health "Achieving Tobacco Related Health Equity project, for the period July 1, 2015 through June 30, 2020. (No County match)
- C. 46** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with Golden Rain Foundation of Walnut Creek, to pay County an amount not to exceed \$16,162 to provide congregate meal services for County's Senior Nutrition Program for the period July 1, 2015 through June 30, 2016, with a three-month automatic extension through September 30, 2016 in an amount not to exceed \$4,036. (No County match)

APPROVE and AUTHORIZE execution of agreement between the County and the following parties as noted for the purchase of equipment and/or services:

- C. 47** APPROVE and AUTHORIZE the Chief Information Officer, Department of Information Technology, or designee, to execute a contract amendment with Motorola, Inc., to extend the term through May 31, 2018 and increase the payment limit by \$385,684 to a new payment limit of 4,579,061, to provide, install, and optimize the three-channel expansion of the Contra Costa East Cell of the East Bay Regional Communications System Network. (100% Emergency Services Homeland Security Grant funds)
- C. 48** APPROVE and AUTHORIZE the Employment and Human Services Director, or designee, to execute a contract with ACF Technologies, Inc., in an amount not to exceed \$1,539,071 for the development and implementation of an appointment scheduling system, for the period October 1, 2015 through September 30, 2016. (10% County, 45% State, 45% Federal)
- C. 49** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with Kimberly Loda, M.D., in an amount not to exceed \$218,400 to provide outpatient psychiatric care to mentally ill adults in West County for the period October 1, 2015 through September 30, 2016. (100% Mental Health Realignment)
- C. 50** APPROVE and AUTHORIZE the Chief Information Officer, Department of Information Technology, or designee, to execute a contract amendment with CherryRoad Technologies, Inc., effective July 1, 2015, to increase the payment limit by \$150,000 to a new payment limit of \$1,350,000 to provide a hosted test environment for the PeopleSoft software system upgrade. (100% PeopleSoft Project/Dept User fees)

- C. 51** AWARD and AUTHORIZE the Public Works Director, or designee, to execute a construction contract with Thompson Builders Corporation in the amount of \$443,469 for the Lefty Gomez Park Improvements, Concession and Picnic Areas Project at 470 Parker Avenue, Rodeo area. (60% Measure WW Grant Funds, 40% Park Dedication Funds) (Consider with C.21)
- C. 52** APPROVE and AUTHORIZE the Purchasing Agent to execute, on behalf of the Sheriff-Coroner, a purchase order with Sysco Food Services in an amount not to exceed \$300,000 to provide poultry products and related items for use in the preparation of inmate meals at all County adult detention facilities for the period October 1, 2015 through September 30, 2017. (100% General Fund)
- C. 53** APPROVE and AUTHORIZE the Purchasing Agent to execute, on behalf of the Sheriff-Coroner, a purchase order with Sysco Food Services in an amount not to exceed \$2,500,000 to provide non-poultry food and all non-food related products, items, equipment and supplies as needed in County adult detention facilities for the period October 1, 2015 through September 30, 2017. (100% General Fund)
- C. 54** APPROVE and AUTHORIZE the Purchasing Agent or designee to execute, on behalf of the Chief Information Officer, a purchase order with CDW-G in an amount not to exceed \$224,750 for computer anti-virus software licensing and support for the period September 27, 2015 through September 26, 2018. (100% User Fees)
- C. 55** APPROVE and AUTHORIZE the Purchasing Agent to execute, on behalf of the Sheriff-Coroner, a purchase order with Supply Works in an amount not to exceed \$300,000 for miscellaneous janitorial supplies and services, as needed, within the three County's detention facilities for the period October 1, 2015 through September 30, 2017. (100% General Fund)
- C. 56** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract with RHD Healthcare Consulting, Inc., in an amount not to exceed \$214,650 to provide consultation and technical assistance to the Director on compliance with federal and State billing mandates and HIPAA privacy issues, for the period October 1, 2015 through September 30, 2016. (100% Hospital Enterprise Fund I)
- C. 57** APPROVE and AUTHORIZE the Purchasing Agent to execute, on behalf of the Health Services Director, a purchase order with Nexus IS, Inc., in an amount not to exceed \$419,889 for pure storage flash arrays and three years of premium maintenance support for the period September 30, 2015 through September 29, 2018. (100% Hospital Enterprise Fund I)

- C. 58** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a contract, including modified indemnification language, with the City of Hercules to provide congregate meal services for County's Senior Nutrition Program for the period July 1, 2015 through June 30, 2016, with a three-month automatic extension through September 30, 2016. (No County match)
- C. 59** APPROVE and AUTHORIZE the Conservation and Development Director, or designee, to execute a contract with JK2 & Associates in the amount not to exceed \$50,000 to provide consulting services on real property negotiations for the period September 1, 2015 through December 31, 2016. (75% Developer Reimbursement, 25% Housing Successor funds estimated)
- C. 60** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a Memorandum of Understanding with the City of Pittsburg for its Police Department, including mutual indemnification, to develop, implement, and operate the Mental Health Evaluation Team (MHET) Program, commencing on May 1, 2015 and effective for the life of the Program or until terminated by the parties. (Non-financial agreement)
- C. 61** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a Memorandum of Understanding with the City of Richmond for its Police Department, including mutual indemnification, to develop, implement, and operate the Mental Health Evaluation Team (MHET) Program, commencing on May 1, 2015 and effective for the life of the Program or until terminated by the parties. (Non-financial agreement)
- C. 62** APPROVE and AUTHORIZE the Health Services Director, or designee, to execute a Memorandum of Understanding with the City of Concord for its Police Department, including mutual indemnification, to to develop, implement, and operate the Mental Health Evaluation Team (MHET) Program, commencing on May 1, 2015 and effective for the life of the Program or until terminated by the parties. (Non-financial agreement)

Other Actions

- C. 63** APPROVE and AUTHORIZE payments to Theresa Kailikole, D.P.M. (in the amount of \$6,300), Community Health for Asian Americans (in the amount of \$22,982), and Health Care Interpreter Network (in the amount of \$45,000), for services requested and provided in excess of contract limits, during the period March through May, 2015. (Hospital Enterprise Fund I and Federal Substance Abuse Primary Treatment Block Grant Youth Set-Aside)

- C. 64** APPROVE and AUTHORIZE the Auditor-Controller to establish new fund number 115400, "Obscene Matter-Minors" as required by California Penal Code, within Agency 22, for the deposit of fines collected on violations of offenses relating to obscene matter involving minors, in which the violation is committed on or via government property or equipment, as recommended by the County Administrator.
- C. 65** APPROVE the design and bid documents, including plans and specifications, and related actions under the California Environmental Quality Act for the Security Renovations of West County Detention Facility, 5555 Giant Highway, Richmond Project; and AUTHORIZE the Public Works Director, or designee, to solicit bids to be received on or about October 29, 2015, and to issue bid addenda, as needed, for clarification of the contract bid documents. (46% AB109, 40% Facilities Life-Cycle Improvement Program Funds, and 14% Sheriff Plant Acquisition General Fund)
- C. 66** APPROVE and AUTHORIZE submission of the FY 2014/15 Consolidated Annual Performance and Evaluation Report to the U.S. Department of Housing and Urban Development for specific federal programs, as recommended by the Conservation and Development Director. (100% Federal funds)
- C. 67** ACCEPT the fiscal year 2014/15 Keller Canyon Mitigation Fund Year-End Report as recommended by the Conservation and Development Director. (No impact to the General Fund)
- C. 68** DECLARE as surplus and AUTHORIZE the Purchasing Agent, or designee, to dispose of fully depreciated vehicles and equipment no longer needed for public use, as recommended by the Public Works Director, Countywide. (No fiscal impact)
- C. 69** APPROVE and AUTHORIZE the Public Works Director, or designee, to execute a second amendment to the Joint Occupancy Agreement with the Judicial Council of California, at the George D. Carroll Courthouse, located at 100 37th Street, Richmond, to adjust the percentage of occupancy based on the recent move by the Veteran Services Office. (Cost savings)
- C. 70** APPROVE and AUTHORIZE the Employment and Human Services Director, or designee, to issue a Request for Proposals for Child Welfare Mandatory Court Ordered Drug Testing services in an amount not to exceed \$360,000 for the period July 1, 2016 through June 30, 2017. (30% County, 70% State)
- C. 71** ACCEPT the Treasurer's Quarterly Investment Report as of June 30, 2015, as recommended by the County Treasurer-Tax Collector.

- C. 72** APPROVE and AUTHORIZE the Public Defender, or designee, to be the fiscal agent and act as co-sponsor of the DNA Forensic Evidence professional training event for state and federal public defense attorneys on October 29-30, 2015 at the University of California, Berkeley. (No fiscal impact) (Consider with C.20)
- C. 73** APPROVE plan to transition the terms of office of the Public Member seats on the Integrated Pest Management (IPM) Advisory Committee from two years to four years and to stagger term expirations, and modifications to the IPM Advisory Committee Bylaws as needed to accord with the seat term transition and to update old references, as recommended by the Internal Operations Committee.

Successor Agency to the Contra Costa County Redevelopment Agency

- C. 74** Acting as the Governing Board to the Successor Agency of the Contra Costa County Redevelopment Agency, ADOPT Resolution No. 2015/356 approving an administrative budget and the Recognized Obligation Payment Schedule for the period January 1, 2016 through June 30, 2016 (ROPS 15-16B), and ADOPT related California Environmental Quality Act findings, as recommended by the Conservation and Development Director. (100% Redevelopment Property Tax Trust Fund)

GENERAL INFORMATION

The Board meets in all its capacities pursuant to Ordinance Code Section 24-2.402, including as the Housing Authority and the Successor Agency to the Redevelopment Agency. Persons who wish to address the Board should complete the form provided for that purpose and furnish a copy of any written statement to the Clerk.

Any disclosable public records related to an open session item on a regular meeting agenda and distributed by the Clerk of the Board to a majority of the members of the Board of Supervisors less than 96 hours prior to that meeting are available for public inspection at 651 Pine Street, First Floor, Room 106, Martinez, CA 94553, during normal business hours.

All matters listed under CONSENT ITEMS are considered by the Board to be routine and will be enacted by one motion. There will be no separate discussion of these items unless requested by a member of the Board or a member of the public prior to the time the Board votes on the motion to adopt.

Persons who wish to speak on matters set for PUBLIC HEARINGS will be heard when the Chair calls for comments from those persons who are in support thereof or in opposition thereto. After persons have spoken, the hearing is closed and the matter is subject to discussion and action by the Board. Comments on matters listed on the agenda or otherwise within the purview of the Board of Supervisors can be submitted to the office of the Clerk of the Board via mail: Board of Supervisors, 651 Pine Street Room 106, Martinez, CA 94553; by fax: 925-335-1913.

The County will provide reasonable accommodations for persons with disabilities planning to attend Board meetings who contact the Clerk of the Board at least 24 hours before the meeting, at

(925) 335-1900; TDD (925) 335-1915. An assistive listening device is available from the Clerk, Room 106.

Copies of recordings of all or portions of a Board meeting may be purchased from the Clerk of the Board. Please telephone the Office of the Clerk of the Board, (925) 335-1900, to make the necessary arrangements.

Forms are available to anyone desiring to submit an inspirational thought nomination for inclusion on the Board Agenda. Forms may be obtained at the Office of the County Administrator or Office of the Clerk of the Board, 651 Pine Street, Martinez, California.

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www.co.contra-costa.ca.us

STANDING COMMITTEES

The **Airport Committee** (Karen Mitchoff and Supervisor Mary N. Piepho) meets quarterly on the second Monday of the month at 10:30 a.m. at Director of Airports Office, 550 Sally Ride Drive, Concord.

The **Family and Human Services Committee** (Supervisors Federal D. Glover and Candace Andersen) meets on the second Monday of the month at 10:30 a.m. in Room 101, County Administration Building, 651 Pine Street, Martinez.

The **Finance Committee** (Supervisors Mary N. Piepho and Federal D. Glover) meets on the first Monday of the month at 10:30 a.m. in Room 101, County Administration Building, 651 Pine Street, Martinez.

The **Hiring Outreach Oversight Committee** (Supervisors Federal D. Glover and Karen Mitchoff) meets on the first Thursday of the month at 1:00 p.m. in Room 101, County Administration Building, 651 Pine Street, Martinez.

The **Internal Operations Committee** (Supervisors Karen Mitchoff and John Gioia) meets on the second Monday of the month at 2:30 p.m. in Room 101, County Administration Building, 651 Pine Street, Martinez.

The **Legislation Committee** (Supervisors Karen Mitchoff and Federal D. Glover) meets on the first Thursday of the month at 10:30 a.m. in Room 101, County Administration Building, 651 Pine Street, Martinez.

The **Public Protection Committee** (Supervisors John Gioia and Federal D. Glover) meets on the second Monday of the month at 1:00 p.m. in Room 101, County Administration Building, 651 Pine Street, Martinez.

The **Transportation, Water & Infrastructure Committee** (Supervisors Candace Andersen and Mary N. Piepho) meets on the first Monday of the month at 1:00 p.m. in Room 101, County Administration Building, 651 Pine Street, Martinez.

Airports Committee	December 14, 2015	10:30 a.m.	See above
Family & Human Services Committee	October 12, 2015	10:30 a.m.	See above
Finance Committee	October 5, 2015	10:30 a.m.	See above
Hiring Outreach Oversight Committee	October 1, 2015	1:00 p.m.	See above
Internal Operations Committee	October 12, 2015	2:30 p.m.	See above
Legislation Committee	October 1, 2015	10:30 a.m.	See above
Public Protection Committee	October 12, 2015	1:00 p.m.	See above
Transportation, Water & Infrastructure Committee	October 5, 2015	1:00 p.m.	See above

AGENDA DEADLINE: Thursday, 12 noon, 12 days before the Tuesday Board meetings.

Glossary of Acronyms, Abbreviations, and other Terms (in alphabetical order):

Contra Costa County has a policy of making limited use of acronyms, abbreviations, and industry-specific language in its Board of Supervisors meetings and written materials. Following is a list of commonly used language that may appear in oral presentations and written materials associated with Board meetings:

AB Assembly Bill

ABAG Association of Bay Area Governments

ACA Assembly Constitutional Amendment

ADA Americans with Disabilities Act of 1990

AFSCME American Federation of State County and Municipal Employees

AICP American Institute of Certified Planners

AIDS Acquired Immunodeficiency Syndrome

ALUC Airport Land Use Commission

AOD Alcohol and Other Drugs

ARRA American Recovery & Reinvestment Act of 2009

BAAQMD Bay Area Air Quality Management District

BART Bay Area Rapid Transit District
BayRICS Bay Area Regional Interoperable Communications System
BCDC Bay Conservation & Development Commission
BGO Better Government Ordinance
BOS Board of Supervisors
CALTRANS California Department of Transportation
CalWIN California Works Information Network
CalWORKS California Work Opportunity and Responsibility to Kids
CAER Community Awareness Emergency Response
CAO County Administrative Officer or Office
CCCPCD (ConFire) Contra Costa County Fire Protection District
CCHP Contra Costa Health Plan
CCTA Contra Costa Transportation Authority
CCRMC Contra Costa Regional Medical Center
CCWD Contra Costa Water District
CDBG Community Development Block Grant
CFDA Catalog of Federal Domestic Assistance
CEQA California Environmental Quality Act
CIO Chief Information Officer
COLA Cost of living adjustment
ConFire (CCCPCD) Contra Costa County Fire Protection District
CPA Certified Public Accountant
CPI Consumer Price Index
CSA County Service Area
CSAC California State Association of Counties
CTC California Transportation Commission
dba doing business as
DSRIP Delivery System Reform Incentive Program
EBMUD East Bay Municipal Utility District
ECCPCD East Contra Costa Fire Protection District
EIR Environmental Impact Report
EIS Environmental Impact Statement
EMCC Emergency Medical Care Committee
EMS Emergency Medical Services
EPSDT Early State Periodic Screening, Diagnosis and Treatment Program (Mental Health)
et al. et alii (and others)
FAA Federal Aviation Administration
FEMA Federal Emergency Management Agency
F&HS Family and Human Services Committee
First 5 First Five Children and Families Commission (Proposition 10)
FTE Full Time Equivalent
FY Fiscal Year
GHAD Geologic Hazard Abatement District
GIS Geographic Information System
HCD (State Dept of) Housing & Community Development
HHS (State Dept of) Health and Human Services
HIPAA Health Insurance Portability and Accountability Act
HIV Human Immunodeficiency Syndrome

HOME Federal block grant to State and local governments designed exclusively to create affordable housing for low-income households
HOPWA Housing Opportunities for Persons with AIDS Program
HOV High Occupancy Vehicle
HR Human Resources
HUD United States Department of Housing and Urban Development
IHSS In-Home Supportive Services
Inc. Incorporated
IOC Internal Operations Committee
ISO Industrial Safety Ordinance
JPA Joint (exercise of) Powers Authority or Agreement
Lamorinda Lafayette-Moraga-Orinda Area
LAFCo Local Agency Formation Commission
LLC Limited Liability Company
LLP Limited Liability Partnership
Local 1 Public Employees Union Local 1
LVN Licensed Vocational Nurse
MAC Municipal Advisory Council
MBE Minority Business Enterprise
M.D. Medical Doctor
M.F.T. Marriage and Family Therapist
MIS Management Information System
MOE Maintenance of Effort
MOU Memorandum of Understanding
MTC Metropolitan Transportation Commission
NACo National Association of Counties
NEPA National Environmental Policy Act
OB-GYN Obstetrics and Gynecology
O.D. Doctor of Optometry
OES-EOC Office of Emergency Services-Emergency Operations Center
OPEB Other Post Employment Benefits
OSHA Occupational Safety and Health Administration
PARS Public Agencies Retirement Services
PEPRA Public Employees Pension Reform Act
Psy.D. Doctor of Psychology
RDA Redevelopment Agency
RFI Request For Information
RFP Request For Proposal
RFQ Request For Qualifications
RN Registered Nurse
SB Senate Bill
SBE Small Business Enterprise
SEIU Service Employees International Union
SUASI Super Urban Area Security Initiative
SWAT Southwest Area Transportation Committee
TRANSPAC Transportation Partnership & Cooperation (Central)
TRANSPLAN Transportation Planning Committee (East County)
TRE or **TTE** Trustee

TWIC Transportation, Water and Infrastructure Committee

UASI Urban Area Security Initiative

VA Department of Veterans Affairs

vs. versus (against)

WAN Wide Area Network

WBE Women Business Enterprise

WCCTAC West Contra Costa Transportation Advisory Committee



Contra
Costa
County

To: Board of Supervisors
From: John Kopchik, Director, Conservation & Development Department
Date: September 22, 2015

Subject: Permit Review to Consider New and Modified Conditions of Approval for Keller Canyon Landfill's Land Use Permit

RECOMMENDATION(S):

1. RECEIVE additional testimony on proposed new and modified conditions of approval for the Keller Canyon Landfill land use permit, and CLOSE the public hearing.
2. CONSIDER and SELECT Option A or B as the Selected Version of the new and modified conditions of approval for the Keller Canyon Landfill land use permit.

Option A: Proposed conditions which restrict direct haul of construction and demolition wastes that would be recovered at in-County Transfer Stations, as originally presented to the Board of Supervisors on December 16, 2014, as recommended by the County Planning Commission (Exhibit A), or

Option B: Proposed conditions that differ from Option A by allowing continued acceptance of direct haul loads of construction and demolition wastes subject to on-site material recovery requirements (Exhibit B).

3. ACCEPT the resolution on the Permit Review hearing conducted by the County Planning Commission, presented in Exhibit C.
4. FIND that the Selected Version of the proposed new and modified land use permit conditions are exempt from the California Environmental Quality Act (CEQA) under CEQA Guidelines Section 15061(b)(3), as the new and modified permit conditions will not have any significant effects on the environment, and DIRECT Department of Conservation and Development staff to file a Notice of Exemption.

☒ APPROVE

☐ OTHER

☐ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: David Brockbank (925)
674-7794

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

RECOMMENDATION(S): (CONT'D)

>

5. APPROVE the Selected Version of the new and modified conditions of approval for the Keller Canyon Landfill land use permit, County File #LP89-2020.

6. DIRECT Department of Conservation and Development staff to schedule the next Keller Canyon Landfill permit review hearing before the County Planning Commission in October 2016.

FISCAL IMPACT:

The land use permit (LUP) review itself has no impact to the County General Fund. Keller Canyon Landfill Company is responsible for providing reimbursement for the County's staff costs associated with the permit review process. Approval of the Option B version of the new and modified conditions is not expected to have a fiscal impact, however approval of Option A is expected to result in a decrease in County Fee revenue, including a potential \$124,645 - \$185,056 decrease in annual General Fund revenue derived from the Keller Franchise Surcharge (for details about estimated decreases in County Fees, see Table 1, Exhibit I).

BACKGROUND:

The Board of Supervisors originally heard this item on December 16, 2014. Following a lengthy discussion at the meeting in December 2014, the Board unanimously approved modified conditions of approval number 20.3, 25.4, and 31.5. These three conditions were modified from the original land use permit (County File #LP89-2020), and pertained to material used for daily cover at the landfill. Those conditions have been removed from both versions of the new and modified Conditions of Approval (Options A and B). The Board was not prepared to make a decision on the remaining recommendations without considering additional information presented to the Board. Therefore, the permit review was continued to March 3, 2015 as an open public hearing, while the Board directed staff to research and report back five directives described below. In response to Board direction, staff prepared a detailed report on the Keller Canyon Landfill Permit Review for the March 3, 2015 meeting. The report presented two versions of Keller Canyon Landfill's (KCL) land use permit (LUP) conditions of approval as options for the Board to consider. That report also included staff's responses to five directives the Board requested staff to research and report back at the following meeting. On March 3, 2015 the open public hearing was continued to March 31, 2015, and then again to July 21, 2015, at the request of the District V Supervisor. At that meeting, Department of Conservation and Development (DCD) staff recommended that the Board of Supervisors (Board) continue the item once more to September 22, 2015 so that the District V Supervisor could participate in the decision making process.

This report serves as an update to the March 3, 2015 Board Order. This report includes some additional information regarding both versions of the new and modified conditions of approval (Options A and B) following the sections regarding the Board's five directives. A new "Updates to Previous Discussion Topics" section has been added to the end of this report with brief updates regarding two related matters which occurred since the March 3, 2015 Board meeting.

Directive 1: Provide a list of local cities that do not have a Construction and Demolition (C&D) ordinance.

Staff primarily relied on city websites or staff to verify current ordinance status, however in one case information was obtained from the applicable solid waste authority. The following alphabetic lists identify the 13 Contra Costa County cities that have adopted a local C&D ordinance and the six cities that have not. It is worthwhile to note that local C&D ordinances have become less significant in recent years and in some cases even inconsequential due to the expanded statewide requirements known as CalGreen. All California jurisdictions (city or unincorporated County) are subject to the C&D debris recovery requirements contained within the State's 2013 California Green Building Standards Code (CalGreen), unless they already have a local ordinance with more stringent requirements.

Agencies currently enforcing building codes for the applicable building occupancy types are also responsible for applicable enforcement of CalGreen requirements. The County's C&D ordinance, which had applied to covered

projects (over 5,000 square feet) in the unincorporated area, is generally less stringent and has, in effect, been preempted by the statewide standards in CalGreen. Most of the C&D ordinances adopted by local cities are triggered if projects either exceed a specified square footage or cost (dollar value), rather than being applicable to all occupiable buildings as is the case with CalGreen.

<u>Adopted local C&D ordinance</u>	<u>No local C&D ordinance</u>
Antioch	El Cerrito
Brentwood	Hercules
Clayton	Pinole
Concord	Pittsburg
Danville	Richmond
Lafayette	San Pablo
Martinez	
Moraga	
Oakley	
Orinda	
Pleasant Hill	
San Ramon	
Walnut Creek	

CalGreen requires that at least 50% by weight of debris from applicable construction or demolition project job sites be recycled, reused, or otherwise diverted from landfill disposal. This requirement applies to demolition projects and new buildings being constructed, including additions or alterations of buildings where the changes increase the building's conditioned (habitable) area, volume or size. CalGreen requires submission of debris recovery plans when building permit applications are filed, and verifiable post-project documentation prior to final inspection to demonstrate that at least 50% of the non-hazardous C&D debris generated on the job site are salvaged for reuse, recycled, or otherwise diverted.

Directive 2: Assess a potential change to the Local Enforcement Agency proposed by the City of Pittsburg.

In response to the Board's request, the Pittsburg City Manager submitted a letter on January 9, 2015 (Exhibit D), regarding a potential change to the Local Enforcement Agency (LEA). Currently, KCL is regulated under the authority of Contra Costa Environmental Health (CCEH) as the County LEA. The City of Pittsburg proposed that the Pittsburg LEA assume responsibility for solid waste inspection and enforcement at Keller Canyon Landfill. Under the City's proposal, there would be a transitional period where the Pittsburg LEA would work with the County LEA on matters related to the landfill.

CCEH reviewed the proposal and applicable legal requirements. The laws and regulations that govern the designation and certification of local enforcement agencies do not authorize Pittsburg's LEA to take on these duties because the landfill is located in an unincorporated area.

Under the Public Resources Code (PRC), only the Board of Supervisors may designate an enforcement agency to enforce solid waste laws in unincorporated areas. In a city, only the city council may designate an enforcement agency to enforce solid waste laws in that city (PRC §§ 43202 & 43203). Under the California Code of Regulations (CCR), the Board of Supervisors may designate one local agency to be the County's enforcement agency. After the designated agency has been certified by the California Department of Resources Recycling and Recovery (CalRecycle), then that certified agency is the only LEA in the unincorporated area of the County. Similarly, a city may designate one local agency to act as the enforcement agency for that incorporated jurisdiction (CCR Title 14, §§ 18050 & 18070).

Based on these laws, only one enforcement agency can be designated within the unincorporated area of Contra Costa County. Under these laws, the Pittsburg LEA cannot be designated by the City of Pittsburg as the enforcement agency for the Keller Canyon Landfill because the landfill is located in an unincorporated area, which is the County's jurisdiction.

CCEH has served as the LEA in the unincorporated area since 1992. In addition, 18 of the County's cities have designated CCEH as their LEA. CCEH staff has reviewed the proposal as submitted by the City of Pittsburg. In its capacity as the County LEA, CCEH has indicated it is opposed to Pittsburg's proposal and remains committed to fulfilling its solid waste enforcement duties in Contra Costa County.

Directive 3: Amount of waste currently being direct hauled to Keller Canyon Landfill that could be recovered and would therefore have to go through a transfer station as a result of the new / modified conditions of approval and possible decrease in associated fees paid to the County.

This Directive is only relevant to the Option A version of the new and modified LUP conditions. Staff has collected and analyzed all available data to offer the best estimates, which were derived from a combination of variables, some of which had to be based on assumptions. Staff did not have to rely on assumptions for three of these variables because this data is included in reports submitted by the operator: actual tons of material hauled directly to KCL, actual jurisdiction of origin for each load of material, and actual material categories (waste stream). All of the following variables rely on assumptions, which have the potential to significantly alter the outcome depending on what is assumed:

1. Question: Where would the C&D material go if not hauled directly to KCL?

Assumption: Total amount originating in each jurisdiction within Contra Costa County would go to the nearest in-County transfer station. Total amount originating outside of Contra Costa County would not be transported to any in-County transfer station or landfill.

2. Question: How much C&D material would be diverted at in-County transfer stations?

Assumption: Average of 91% diversion for the Recycling Center & Transfer Station in Pittsburg, according to the facility operator, and an average of 50% diversion for both the Contra Costa Transfer & Recovery Station in Martinez area and the Golden Bear Transfer Station in North Richmond, according to the certified facility list published by the Central Contra Costa Solid Waste Authority (RecycleSmart).

3. Question: What gate rate would be paid by customers for C&D loads?

Assumption: All tons would be subject to the current standard C&D gate rate of \$83.00 per ton. Actual rates paid vary significantly (and are generally lower), but no data is available relating tons of disposal to specific rates, as further explained below.

Tonnage amounts fluctuate seasonally and from year to year based on a wide range of factors, such as the economy, which seems to have a direct impact on waste generation (more disposal when the economy is doing well). Staff-calculated estimated tons diverted and County Fee impacts are based upon actual reported tonnage by origin in 2014.

Some of the County fees are paid based on tonnage amounts, however, the highest fee paid to the County is the Surcharge required in the Landfill Franchise Agreement, which is 25% of the gate revenue (this varies based on actual rates paid by customers during any given period). The landfill sets its own rates, which can vary based on waste stream and customer. Since DCD staff does not know the actual rates charged for each load, we estimated Surcharge impact using a flat gate rate since the landfill operator indicated that most customers pay KCL's standard C&D gate rate. It is worth noting that this conservative assumption potentially over-estimates the potential fee impact noted as the Franchise Surcharge since estimates are based on a gate rate that, in some cases, may be substantially higher than the actual rate paid by some customers.

The majority of loads hauled directly to KCL for disposal contain contaminated soils, wastewater biosolids/byproducts, street sweepings, and various other special wastes. These special wastes are legally classified as Class II because they are contaminated but fall below the hazardous waste thresholds. These Class II wastes are not suited for handling at transfer stations. Therefore, the restrictions on direct haul are focused on C&D loads that can be readily identified as being potentially recyclable at local transfer stations.

POTENTIAL INCREASE IN DIVERSION: Staff's estimates are summarized below. Most of the direct-hauled C&D material has generally come from jurisdictions within Contra Costa County, however, 17% originated outside the County. The majority of this out-of-County C&D material came from Berkeley and Oakland (10% of total, 59% of out-of-County). See the table in Exhibit I for more details about the waste diversion estimates summarized below.

OPTION A - Staff's estimates do not assume any of the C&D originating outside the County would be diverted because the amount of speculation required makes any diversion assumptions quite arbitrary. The worst case scenario assumption would be that all the loads of C&D material previously direct hauled to KCL would bypass in-County transfer stations and instead be disposed of at out-of-County landfills. If only those loads originating within the County ended up going to the local transfer stations nearest each jurisdiction (69% diversion) and the out-of-County loads were disposed of in other non-County landfills (0% diversion), it would result in a total combined diversion rate of 57%, as compared to current conditions where the diversion is 0%.

OPTION B - If C&D loads continued being direct hauled to KCL and the proposed on-site material recovery operation were to be established on-site in compliance with the recommended conditions, the landfill operator would need to achieve and maintain an average diversion rate of 50% (or better) for direct hauled C&D materials, compared to current 0% diversion.

POTENTIAL REDUCTION IN COUNTY FEES: Potential estimated reductions in County fees paid at KCL vary quite a bit depending on where the previously direct hauled C&D loads end up. See the table in Exhibit I for additional context related to the potential fee reduction estimates summarized below.

OPTION A - Staff's estimates assume that most of the C&D loads would end up in one of the in-County transfer stations. However, increased in-County transfer station utilization does not include any of the C&D originating outside the County, consistent with the diversion scenario discussed above. Staff developed the estimates reflected in Table 1, Exhibit I, based on the amount of in-County disposal expected to result from the increased in-County transfer station utilization to process in-County C&D materials previously direct hauled to KCL.

Based on actual 2014 tonnage, County Fee revenue that would be generated at KCL as a result of C&D material disposal is estimated to be reduced from \$266,699 to \$89,725 if no longer direct hauled to KCL. Staff estimates that KCL would generate approximately \$69,703 in County Fees based on the amount of C&D material that in-County Transfer Stations are projected to transfer to KCL for disposal after diverting recoverable materials. An additional amount of about \$20,022 in County Fees is expected to be derived from the increased County Fees collected at in-County Transfer Stations. Collectively, these estimated changes in County Fees payable at KCL and in-County Transfer Stations are estimated to result in a net reduction of about \$176,973 per year in County Fee revenue.

OPTION B - If C&D loads continued being direct-hauled to KCL and the proposed on-site C&D material recovery operation were to be established on-site, the landfill operator would continue paying all County fees on total C&D tonnage. Since County fees would be paid on tons disposed and diverted, there would be no projected reduction in County fees. Based on the current standard C&D gate rate charged at KCL and the annual tons in 2014, the estimated average annual County fee revenue that would be generated if these C&D loads continued going directly to KCL would be approximately \$266,699.

Directive 4: Obtain the information needed from Republic in order to estimate the time frame necessary to complete permitting and the CEQA process that would allow on-site processing of C&D at KCL.

The landfill operator provided DCD staff with an outline (Exhibit E) describing key characteristics of the on-site C&D processing operation initially proposed in the letter dated December 16, 2014 and submitted to the Board by Scott Gordon, attorney for KCL (Exhibit F). The site and operational changes proposed to recover some of the C&D material that would otherwise be disposed of in the landfill are relatively minor in nature. The proposal does not include any new buildings or stationary sorting or grinding equipment. The landfill operator has indicated that the proposed changes could be implemented within three to six months of securing the required approvals.

Changes proposed at KCL in order to implement on-site recovery of C&D material include:

- an all-weather pad would be installed to facilitate off-loading and sorting of certain material contained within incoming loads of C&D debris direct hauled to the landfill;
- mixed C&D loads would be stored temporarily on the all-weather pad until a sufficient quantity of material is stockpiled to warrant sorting;
- C&D material would be sorted manually with the aid of existing equipment (e.g. excavator, backhoe, etc.) when needed in order to recover materials to be diverted;
- recovered C&D materials would be segregated by type and consolidated into designated storage bunkers (walled-off areas on the pad) or bins (large containers that can be readily transported) awaiting removal for beneficial reuse on-site or transport off-site; and
- most recovered material would remain in storage bunkers/bins until they needed to be removed and utilized on-site for beneficial reuse purposes, however recovered wood material would be stored in bunkers/bins until needing to be transported off-site for use at biomass facility(ies).

These proposed changes would not require an LUP amendment. These can all be reviewed and approved by DCD administratively if submitted in the form of a revised Material Recovery Program pursuant to LUP condition 31.4. The existing condition language requires the landfill operator to "prepare and implement a program for recovering recyclable materials from loads brought directly to the landfill."

CEQA does not require environmental review for proposed activities that are allowed within the parameters of existing permit conditions. Land owners are entitled to establish and conduct operations in a manner consistent with any applicable permit requirements imposed by the approving authority after considering the project's potential environmental impacts identified as a result of any applicable CEQA review.

No further CEQA review is required in order to authorize implementation of this type of proposed on-site recovery operation under KCL's LUP because material recovery is already provided for within the existing LUP conditions approved when the project's original Environmental Impact Report (EIR) was certified in 1990. Therefore, the only process necessary prior to initiating the proposed on-site recovery activity in order to satisfy requirements of the LUP will be the administrative review and approval of a Material Recovery Program (detailed project description).

However, the proposed on-site recovery activity can only be implemented if approved through the permitting process administered by CCEH in conjunction with CalRecycle. CCEH provided the attached overview summarizing the process for revising KCL's Solid Waste Facility Permit (SWFP), including the length of time for each step to be taken upon receipt of a completed permit application (Exhibit G).

Exhibit G shows that the maximum time to meet mandated deadlines to revise a SWFP is 150 days (five months), once a formal permit application is submitted to CCEH, in its capacity as the LEA. Operators often submit a draft application to CCEH for an informal review. The length of time this informal process takes depends on the complexity of the project, completeness of the draft package, how much time the applicant takes to make any requested changes, and the nature of the feedback from CalRecycle. If CCEH determines that additional CEQA review is needed, then this may add to the length of the informal review period. Staff believes the maximum time for revising the SWFP and completing any additional CEQA review if necessary, and obtaining the concurrence of CalRecycle to a revised SWFP is 10-13 months.

Directive 5: Identify CEQA implications associated with approving staff's recommended new and modified conditions.

In order to adequately respond to this directive from the Board, staff needs to address the two separate scenarios or sets of conditions presented as Options A and B. Thus, staff needs to identify the CEQA implications of approving Option A, which is essentially the new and modified conditions reviewed and approved by the County Planning Commission (CPC). Staff also needs to identify the CEQA implications associated with approving Option B, which incorporates the landfill operator's proposal to establish on-site processing to recover direct hauled C&D materials, involving further edits to the new and modified conditions of approval. It should be noted that the additional edits proposed by staff were prompted in part by the landfill operator's proposed modifications

to the Eligible Vehicles and Direct Haul Procedures conditions (8.1 and 8.6), which were presented in Scott Gordon's letter to the Board on December 16, 2015 (see Exhibit F).

OPTION A - Staff maintains that approval of the new and modified conditions presented to the Board in December last year (Option A) is exempt from CEQA, as recommended. The vast majority of conditions proposed for modification provided more clarification language and would not have additional impacts on the environment. Having re-examined the proposed new and modified conditions related to Direct Haul Procedures, staff continues to be of the opinion that this would not create a new project or have new adverse impacts on the environment.

OPTION B - This version of new and modified conditions was originally developed containing revisions prompted by the requested changes submitted by the landfill operator (Option B), and staff also examined the CEQA implications related to that option. In reviewing the original, certified EIR for the landfill, staff closely examined the original project assumptions to better assess the potential impacts these new and modified conditions might have on the environment. KCL's existing LUP states that C&D hauling trucks are eligible as long as they contain materials originating within the County (this geographic restriction was, in effect, nullified in the 1990s as a result of court decisions). The original EIR addressed impacts for daily truck trips up to a maximum of 340, which is well above the current number of truck trips reported at the landfill. KCL's SWFP includes a limitation on daily truck trips that also ensures that operations stay within the parameters established in the EIR. The EIR identifies C&D trucks and material among the types of vehicles and waste that could go directly to KCL, which helps explain the basis for that truck type being included in the Eligible Vehicles condition (8.1). Additionally, actions taken by the Board in 1992-93 identified certain waste load characteristics that warranted direct haul, including heavy or powdery materials, which would apply to certain C&D waste loads. Therefore, the revised new and modified conditions for Eligible Vehicles, Direct Haul procedures and Materials Recovery Program would not require additional CEQA analysis or create a new project with significant environmental impacts.

Options A and B presented herein for the Board's consideration have been slightly modified since March 3, 2015. As currently proposed, neither version of the new and modified conditions (Exhibits A and B) would require further CEQA analysis, or have potentially significant impacts on the environment in accordance with CEQA Guidelines. This final determination is reflected in staff's fourth recommendation that the Board of Supervisors find this permit review process and the Selected Version of the conditions of approval exempt from CEQA.

TWO VERSIONS OF PROPOSED NEW AND MODIFIED LUP CONDITIONS OF APPROVAL - OPTIONS A AND B

There are two separate sets of new and modified conditions of approval that staff is presenting as options for the Board to consider. Both versions of the new and modified Conditions of Approval (Options A and B) have incorporated the removal of conditions 20.3, 25.4 and 31.5, as approved by the Board on December 16, 2014. Since the March 3, 2015 meeting, staff slightly modified the following proposed conditions:

- Options A & B – Material Recovery condition (31.4) was revised to ensure adequate tracking and reporting of materials diverted at the landfill.
- Option B - Eligible Vehicles and Direct Haul Procedures conditions (8.1 and 8.6) now specify updated effective dates and deadlines.
- Option A - Direct Haul (8.5) condition now describes the annual process required to confirm what materials would be recovered if delivered to in-County Transfer Stations; Notification Program (11.4) condition now requires KCL to periodically remind its customers about eligible wastes for direct haul to the landfill; Wood Chipping (31.6) condition specifies what counts as diversion based on State law (all consistent with Option B).

Staff recommends the Board select either Option A or Option B as the preferred set of conditions (Selected Version). The primary differences expected to result from selecting Option A or B involve the amount of C&D material to be potentially disposed versus diverted, amount of fees paid to the County, and the amount of trips coming and going to/from KCL and in-County transfer stations as explained below. Notwithstanding the below discussion, neither option is expected to have a significant impact on the environment because the net number of truck trips that will occur under either option will not exceed what was addressed in the original EIR. This

limitation has been imposed as a requirement within KCL's SWFP.

Option A is essentially the conditions presented at the December 16, 2014 Board meeting, and is the version that was reviewed and recommended for approval by the CPC (with the exception of revisions previously described and some minor housekeeping edits deemed necessary in the intervening time period). Approval of this option would require that KCL stop accepting the vast majority of loads of C&D material currently being direct-hauled to the landfill since they contain various material types that would be diverted if first processed at a local transfer station. Roughly 17% of the C&D material direct-hauled to KCL originates in other counties. It is extremely unlikely that all of the out-of-County C&D material currently being direct-hauled to KCL would end up at one of the in-County transfer stations. Selecting this Option is likely to provide for a higher level of waste diversion in comparison to Option B, however, staff is unable to reliably quantify the degree of difference as it would require too much speculation about the potential out-of-County diversion. Staff has provided potential diversion estimates under Directive 3 above. That section also contains estimated potential reductions in County fees paid at KCL, as well as associated increases in County fees paid at local transfer stations based on the diversion levels expected to result from projected in-County Transfer Station utilization.

If approving Option A, staff suggests that the Board consider authorizing a grace period (delay effective date or defer compliance enforcement for specified period) rather than imposing/enforcing this direct haul limitation immediately, in order to allow adequate time to raise customer awareness in advance of the change taking effect to avoid negative unintended consequences, including illegal dumping. Staff and the landfill operator will also need time to prepare, review and approve the documentation needed to implement the Direct Haul screening procedures. Selecting Option A is expected to reduce direct haul truck trips going to KCL, however it would also increase the amount of incoming transfer truck trips at KCL as well as added truck trips going to and from local transfer stations and out-of-County facilities. The increase in truck trips coming from local transfer stations would include waste to be disposed of as well as possibly some of the recovered materials that KCL can use beneficially on-site. Increases in truck trips does not necessarily result in increased emissions because it depends on the distances involved (more trips would result in less emissions if traveling shorter distances which together add up to less total miles traveled). Additional details regarding direct haul of C&D material received at KCL can be found in Exhibit I.

Option B contains revised versions of three conditions (8.1, 8.6 and 31.4) while the remainder of the conditions mirror what is presented in Option A. Changes to these three conditions were prompted by KCL's proposal to establish on-site material recovery at KCL. The changes include revisions intended to serve as alternatives to the landfill operator's requested edits presented to the Board on December 16, 2014. Approval of this option would allow KCL to continue accepting the same direct haul loads without requiring that they first go through a transfer station, as long as the landfill operator moves forward with the on-site material recovery permitting and implementation within the time frame prescribed in the revised conditions.

KCL would be allowed to continue disposing of all mixed C&D loads direct hauled to KCL until October 1, 2016. Thereafter, rather than continuing to dispose of all loads of mixed C&D material, the landfill operator would have to begin recovering at least 50% of incoming C&D materials on-site (unless delayed by third party legal challenge) consistent with a revised Material Recovery Program to be approved by DCD. If the required on-site material recovery is not in place by October 1, 2016, KCL would have to cease acceptance of most, if not all, direct hauled C&D loads, similar to Option A, until such time as on-site material recovery has been permitted and put into operation at KCL. Selecting this Option provides for payment of all existing County fees whether or not the material is diverted as a result of on-site material recovery, thereby avoiding any anticipated decrease in County fees associated with Option A (the estimated reduction in fees is speculative as explained previously under Directive 3).

Selecting Option B is not expected to increase the number of incoming truck trips currently going to KCL or local transfer stations, however the number of outgoing truck trips are projected to increase slightly (4-10 per month) due to transport of C&D materials recovered that would not be used on-site compared to existing conditions. Option B is expected to generate a smaller increase in outgoing truck trips at KCL than would be the case for outgoing truck trips leaving the transfer station as a result of approving Option A, since transfer stations would not retain any of the recovered materials on-site for beneficial reuse. Additional details regarding direct haul of C&D

received at KCL can be found in Exhibit I.

UPDATES TO PREVIOUS DISCUSSION TOPICS

1. Staff has attached the Board Order from the March 31, 2015 Board meeting as Exhibit J. This is staff's entire report and associated exhibits regarding the KCL Mitigation Fund and Surcharge fees that the Board requested DCD staff to prepare and attach to the KCL permit review Board Order.
2. In the December 16, 2014 Board Order, one of staff's discussion topics related to landfill's use of unchipped green waste as alternative daily cover (ADC). Concerns about green waste being used as ADC at KCL were initially raised during Board meetings in February and March of 2014. In January of 2015, CCEH acting as the County LEA, approved a proposed Report of Disposal Site Information (RDSI) Amendment, which described in more detail KCL's existing practice of using unchipped green waste as ADC. KCL's green waste ADC practice was originally approved by the County LEA in 1999 through a demonstration project. On February 13, 2015, Evan Edgar and Monica White (the Petitioners), represented by Dana Dean, attorney at law, appealed the County LEA's approval of KCL's RDSI Amendment. This Petition triggered an independent panel hearing process pursuant to Section 44307 of the PRC. The County LEA convened the County's Independent Hearing Panel (IHP) to consider the appeal at a public hearing conducted on March 13, 2015, which was continued to March 19, 2015. The IHP took testimony and reviewed evidence presented by the Petitioners, the County LEA, and the landfill operator (KCL). The IHP's decision was limited to events that occurred in the 30 days prior to the date the Petition was filed. The IHP determined the County LEA had acted in accordance with applicable state Title 14 regulations in approving the RDSI amendment documenting the operating protocols associated with the use of unchipped green waste as ADC.

The Petitioners then appealed the IHP's decision to CalRecycle. Like the IHP decision, CalRecycle's decision focused on events that occurred in the same 30 day period. Additionally, the decision was limited to the RDSI Amendment and not allegations pertaining to non-compliance by the landfill operator. CalRecycle's decision notes that their agency is concerned about food waste being mixed with green waste ADC, but also noted that no evidence was presented to show that this practice is authorized or occurring at KCL. CalRecycle considered the evidence and arguments presented, and upheld the IHP decision, finding that the LEA did not err in approving the RDSI Amendment describing KCL's existing operational practices associated with the acceptance and use of green waste as ADC. Furthermore, CalRecycle upheld the IHP's determination that there are no specific requirements that CalRecycle concur with the 1999 demonstration project in writing. Exhibit K is CalRecycle's August 10, 2015 formal decision upholding the determination that the County LEA did not fail to act as required by law or regulation.

CONSEQUENCE OF NEGATIVE ACTION:

If the Board does not approve any of the recommended new and modified conditions of approval for the KCL land use permit, the existing conditions of approval would remain in effect and continue to govern the use of the landfill site.

CHILDREN'S IMPACT STATEMENT:

Not Applicable.

ATTACHMENTS

Exhibit A: Option A, New and Modified Conditions

Exhibit B: Option B, New and Modified Conditions

Exhibit C: CPC Resolution

Exhibit D: January 9, 2015 Letter from City of Pittsburg

Exhibit E: Draft C&D Processing Proposal

Exhibit F: December 16, 2014 Letter from Scott Gordon

Exhibit G: January 28, 2015 Letter from Environmental Health

Exhibit H: Condition of Approval Compliance Table (Revised after Permit Review before CPC)

Exhibit I - Direct Haul C&D Statistics&Assumptions

Exhibit J: March 31, 2015 KCL Mitigation Fund Board Order

Exhibit K: August 10, 2015 CalRecycle Appeal Decision

OPTION A**PROPOSED NEW OR MODIFIED CONDITIONS
FOR 2014/15 PERMIT REVIEW****LAND USE PERMIT 2020-89
CONDITIONS OF APPROVAL
KELLER CANYON LANDFILL**

*This document only contains the Conditions of Approval proposed to be either added or modified. **

NEW Conditions are shown in **shaded text** for ease of identification.

MODIFIED Conditions are shown in underline/strikeout formatting to discern what changes are being proposed.

Underline = Language proposed to be added

~~Strikeout~~ = Language proposed to be eliminated.

* **GLOBAL TEXT EDITS** to the all Conditions of Approval to update and correct regulatory references and public agency names, like those noted below, are proposed to be applied to all applicable Conditions if approved as recommended.

- Community Development (CDD) → Conservation and Development (DCD)
- Riverview Fire Protection District → applicable Fire Protection District
- Health Services Department → Contra Costa Environmental Health
[except those Conditions intended to refer to the Hazardous Materials
Division]

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2.3 Assignment of Responsibility.

- (a) The Board may assign the responsibility of administering specific Conditions of Approval or provisions of this LUP, such as State Minimum Standards, to County Departments or other units of government.
- (b) The Board may suspend the implementation of conditions or provisions of this LUP where such conditions or provisions are inconsistent with the terms of a contract or agreement entered into between the Board and the operator or other units of government, or by the terms of a joint powers agreement where the County is a member of the joint powers agency. This would not alleviate the need to comply with the public approval process required when amending this LUP. Any Board approved suspension would automatically be nullified at such time as the contract or agreement no longer includes terms inconsistent with the specified condition.
- (c) For the purposes of Condition 2.3(b), the Franchise Agreement between the County and the Landfill Owner as amended in November 1994, is an eligible contract.
- (d) If no contract or agreement is in force, as referred to in Condition 2.3(b), the County retains authority to implement this LUP and all of its Conditions.

- 3.1 Compliance Objective. The Landfill developer and operator shall at all times comply with the requirements of laws and permits applicable to the facility. This condition is not intended to grant authority or assign responsibility to the County for the independent enforcement of regulatory and permitting requirements that fall within the primary jurisdiction of other agencies (see Condition 11.11).
- 3.3 State Minimum Standards. The operation and maintenance of this facility shall at all times comply with Minimum Standards for Solid Waste Handling and Disposal (California ~~Administrative Code~~ of Regulations, Title 14 and Title 27, Division 7, Chapter 3).
- 3.4 Land Use Permits. The Landfill developer and operator shall at all times comply with the provisions and requirements of this Land Use Permit. A violation of any of these conditions ~~is~~ may be cause for revocation of the Land Use Permit pursuant to County Code Section 418-4.020 following reasonable written notice. Alternatively, the County has the option of issuing formal notices and assessing penalties pursuant to Section 4.19 of the

PROPOSED MODIFICATIONS TO THE KELLER CANYON LANDFILL LAND USE PERMIT CONDITIONS OF APPROVAL

- Landfill Franchise Agreement or County Code Chapter 14-6, Civil Enforcement.
- 3.5 Solid Waste Facilities Permit. The Landfill operator shall conform with all provisions and requirements of the Landfill's Solid Waste Facilities Permit, and any related directives of which is based on the guidelines of the California Department of Resources Recycling and Recovery (CalRecycle) or Contra Costa Environmental Health, as the Local Enforcement Agency for CalRecycle Integrated Waste Management Board.
- 3.6 Subchapter 15 Class II Landfill Requirements. The Landfill operator shall at all times comply with the Class II waste disposal facility provisions and requirements of Article 3, of Subchapter 15, of Chapter 3 of Title 23 and Title 27 of the California Administrative Code of Regulations ("Subchapter 15") for a Class II waste disposal facility.
- 3.9 Notice Coordination. Copies (or originals) of The Landfill operator shall notify the Department of Conservation and Development (DCD) in writing at the time any# reports is submitted to other agencies concerning the design, operation, and maintenance of the Landfill facility, shall be sent by mail or hand delivery, and eCopies shall be made available or mailed to DCD offices at 30 Muir Road in Martinez, the Community Development Department upon request, 651 Pine Street, 4th Floor North Wing, Martinez, CA 94553-0095.
- 5.1 Area of Origin. The area of origin of all waste hauling vehicles admitted to the landfill, shall be Contra Costa County. The Landfill operator shall not refuse to receive eligible wastes or cover materials which originate in Contra Costa County provided such wastes or materials are delivered to the facility in accordance with these Conditions of Approval and the landfill's Solid Waste Facilities Permit, and provided that appropriate disposal the required governmental fees are paid. Rate setting requirements are specified in the Landfill Franchise Agreement and Section 12 of this Land Use Permit.
- 5.2 Out-of-County Wastes. INVALIDATED BY LEGISLATURE
The Landfill operator shall not receive wastes from outside Contra Costa County unless such imports of waste are specifically approved by the Board of Supervisors. This condition shall not apply to wastes which may be temporarily received under the Emergency Use provisions of Condition 6.5 or the Reciprocal Capacity Agreement of Condition 5.4.
- 5.5 Pre-Requisite Curbside Recycling Program. The Landfill shall not admit for disposal of waste loads from communities which do not have in operation an eligible curbside recycling, or equivalent, programs as determined by the Department of Conservation and Development. An eligible program shall

PROPOSED MODIFICATIONS TO THE KELLER CANYON LANDFILL LAND USE PERMIT CONDITIONS OF APPROVAL

~~recover a range of recyclable materials approved by the Board of Supervisors. Board of Supervisors approval may be interpreted as a consistent~~ey ~~with a curbside recycling program operating pursuant to a Board of Supervisors-approved franchise agreement. The Board of Supervisors~~has the discretion to identify additional factors to be considered when determining the eligibility. The Board retains the authority to approve of a community's programs previously deemed to be ineligible by the Department of Conservation and Development.

- 6.1 Eligible Wastes. The Landfill operator shall allow only wastes eligible for disposal in a Class II facility, as defined by the Regional Water Quality Control Board to be admitted to the landfill. The wastes admitted to the landfill shall also be consistent with the Solid Waste Facilities Permit (07-AA-0032), administered by ~~the Contra Costa Environmental~~Health Services Department, and consistent with the 1990 Environmental Impact Report and Board of Supervisors' policies and approvals (including the Board of Supervisors conditional authorization in 1992-93 to accept special wastes and limited direct haul – see Conditions 8.5 through 8.7) and these conditions of approval. To the extent allowed by law, the Board of Supervisors may direct the Landfill operator not to accept wastes that do not meet State and County policies and regulations.
- 6.2 Designated Wastes. The Landfill operator shall allow only those designated wastes (as defined ~~in~~by ~~Section 20210522 of Article 2 of Subchapter 15, of Title 2327, of the California Administrative Code of Regulations and Section 13173 of the California Water Code~~) approved for this facility by the San Francisco Regional Water Quality Control Board, and shall be consistent with the Solid Waste Facilities Permit to be admitted for disposal waste types allowed for disposal pursuant to Condition 6.1. The Board of Supervisors may designate special rates for this waste to the extent allowed pursuant to the terms of the Landfill Franchise Agreement.
- 6.5 Emergency Use. If the service area of the Landfill is determined to be a sub-area of the County, the County Department of Conservation and Development or Contra Costa Environmental ~~Health Services Department~~ may allow legal waste originating in areas of Contra Costa County, other than those stipulated in Section 5, to have access to the landfill for periods up to 180 days on an emergency basis. The department(s) may grant one extension for no longer than 180 days. The Board of Supervisors may allow the emergency use of the landfill to continue for any time period deemed necessary up to two years.
- 6.6 Hazardous Waste Screening and Management. See Section 19.

- 6.7 Area of Origin Restrictions. See ~~Condition~~ Section 5.
- 7.2 Load Covering. The Landfill operator shall spot check all incoming waste-hauling vehicles for proper covering or containerization consistent with the requirements of Section 418-2.008(a) of the County Code. The operator shall ~~not admit~~ identify any waste loads which are susceptible to littering or leakage because of the lack of covering, inadequate covering, or disrepair of screens, covers or containers. Customers delivering any such waste loads shall be required to provide evidence that corrective actions have been taken to effectively cover and contain waste loads (e.g. waste adequately secured with covers and containers in good repair) in order to be eligible to deliver waste loads in the future. Landfill operator shall track and report applicable details about the occurrences and corrective actions taken to the County Department of Conservation and Development annually.
- 8.1 Eligible Vehicles. The Landfill operator shall admit only the following refuse transport vehicles:
- a) Transfer station trucks (vans) ~~from a transfer station located in Contra Costa County~~. Transfer stations shall have a Waste Management Program, which includes hazardous waste screening and resource recovery operations. Program may be subject to the ~~approved by~~ the Board of Supervisors if deemed necessary for consistency with the Countywide Integrated Waste Management Plan.
 - b) Demolition and construction material trucks hauling debris that is would not be recycled or otherwise diverted from disposal if processed at a local Transfer Station ~~originating in Contra Costa County~~. There are ~~If the Board of Supervisors has established~~ waste reduction requirements goals that apply to such wastes generated by for the ~~businesses and industries generating such wastes, therefore the operator shall assist the County to help ensure generators shall~~ compliance ~~with such requirements or goals through implementation and compliance with Conditions 8.5 – 8.7.~~
 - c) Incinerated sewage sludge-hauling trucks originating at utilities ~~located in Contra Costa County, or other utilities serving Contra Costa County.~~
 - d) Sewage and water treatment plant sludge and other byproduct ~~trucks originating in Contra Costa County,~~ with loads complying with San Francisco Regional Water Quality Control Boards solids-to-liquid requirements.
 - e) Trucks hauling Designated Wastes approved for this landfill by the Regional Water Quality Control Board. ~~The wastes shall originate in~~

~~Contra Costa County.~~

- f) Other specialized waste transport trucks, hauling wastes ~~originating in Contra Costa County and~~ identified in the Landfill's Solid Waste Facilities Permit which cannot be feasibly processed to increase diversion through a Transfer Station.

8.5 Direct Haul. Only wastes in the prescribed vehicles which would not be recycled or otherwise diverted from disposal if processed through a local transfer station may be considered for direct haul pursuant to the Procedures specified in Condition 8.6. At least once per year, the Landfill operator shall submit an updated list of waste and material types recovered prior to transfer for disposal at the landfill if contained in loads delivered to any of the local transfer stations open to the public. The annual list shall be subject to the review and approval of the Department of Conservation and Development and is intended to be used when screening direct haul eligibility pursuant to Condition 8.6(g). Loads containing materials that will be used as cover or otherwise beneficially reused on-site and treated as diversion under the Integrated Waste Management Act may be direct hauled without going through a transfer station.

8.6 Direct Haul Procedures. Direct haul process and materials shall be consistent with the Solid Waste Facility Permit (No. 07-AA-0032), this LUP, and applicable policies adopted by the Board of Supervisors including those identified in 8.6(k) below. The operator shall ensure new customers receive information consistent with i) and j) prior to gaining access to the site. The operator shall conduct screening procedures specified in a) through h) prior to allowing customers to direct haul waste/material loads to the landfill. Operator shall provide written confirmation that eligibility has been demonstrated consistent with these procedures prior to loads being accepted for disposal. Operator shall summarize results of direct haul eligibility screening completed each quarter in the direct haul reports required under Condition 8.7.

- a. Name of company and physical location at which the waste or material was generated.
- b. Complete description of waste including chemical analysis and solids-to-liquid ratio when appropriate.
- c. Description of originator's in-house waste inspection program(s) to ensure screening for hazardous and/or toxic materials or originator's written confirmation that their practices comply with uniform waste inspection program prepared by the Landfill operator.

PROPOSED MODIFICATIONS TO THE KELLER CANYON LANDFILL LAND USE PERMIT CONDITIONS OF APPROVAL

- d. Description of volume and expected frequency of waste to be hauled and a description of the specialized waste transport vehicle(s) to be utilized.
- e. Description of the waste originator's in-house waste reduction and recycling program(s) or originator's written confirmation that their practices comply with a uniform waste reduction and recycling plan to be prepared by Landfill operator and approved by the Department of Conservation and Development.
- f. Originator's or transporter's affirmation to adhere to County imposed haul route and peak hour hauling restrictions.
- g. ~~Annual written confirmation by the Landfill operator that the waste or material is not on the approved annual list described in condition 8.5, to be reviewed and approved by the Department of Conservation and Development that the waste(s) to be disposed is(are) not currently accepted for recycling (or otherwise diverted from disposal) at transfer stations within Contra Costa County, and~~
- h. Written waste eligibility determination from Keller Canyon Landfill Company based on a) through g) above.
- i. Requirements of Keller Canyon Landfill Company describing contract for landfill use, rules and regulations of the landfill (e.g. on-site speed limit), prescribed haul route, load inspection program, driver training program, and any other such information as required.
- j. Requirements for proper load covering or containerization and consequences for non-compliance specified in Condition 7.2.
- k. Any other information required by the Director of Conservation and Development, or by the actions of the Board on August 11, 1992, October 27, 1992, November 24, 1992, August 17, 1993 and December 14, 1993.

8.7 Direct Haul Reports. The Landfill operator shall submit quarterly direct haul reports to the Department of Conservation and Development. The quarterly reports shall contain details about all direct haul loads, including the date accepted, customer (company) name, waste type, tonnage, location and jurisdiction of waste/material origin (city and county) and end use (disposal, cover or other on-site beneficial reuse). Summarized results of all direct haul eligibility screening conducted during each period shall be

submitted in conjunction with the quarterly waste origin reports. The quarterly reports shall also identify the total tonnage of municipal solid waste (Class III waste) received that quarter, total tonnage of Class II wastes received that quarter, and the percentage of total waste received which is characterized as Class II. If determined necessary by DCD, additional reporting information or more frequent reporting may be required in the future.

8.8 Emergency Direct Haul. In the event that a natural disaster or other emergency prevents the timely processing of wastes through a transfer station before disposal at the landfill, such waste or loads may be considered for direct haul. The landfill operator shall submit a written request to the County Department of Conservation and Development when circumstances or conditions warrant, or may warrant, emergency direct haul to the landfill. The landfill operator shall not proceed with emergency direct haul until written approval has been provided by the Director of the Department of Conservation and Development. The landfill operator shall submit an incident report describing the basis for emergency direct haul and the contingency actions taken.

9.1 Hours of Operation. The Landfill operator shall not open the landfill to receive waste loads before 7:00 a.m. or after ~~7:30~~7:00 p.m. Refuse shall be covered by 7:30 p.m. at which time working lights shall be extinguished. Entry and security lights shall be dimmed at 7:30 p.m. Other hours of operation, within those parameters, may be specified by the County Health Services Department or in the Landfill's Solid Waste Facilities Permit. Special loads may be received at other times in accordance with procedures established by ~~the County Contra Costa Environmental Health Services Department~~or the Department of Conservation & Development.

The Director of ~~Community Conservation and~~ Development may administratively shorten or extend the hours of operations prescribed above after consultation with the Landfill operator, ~~the County Health Services Department~~Contra Costa Environmental Health, and the ~~Local Advisory Committee~~City of Pittsburg, after holding a public hearing to obtain the comments of other interested parties. To shorten the hours of operation, the Director of ~~Community Conservation and~~ Development shall find that the changes are needed to mitigate substantial noise, traffic, or similar impacts arising from the operation of the Landfill which were not known when this Land Use Permit was adopted. To extend the hours of operation, the Director of ~~Community Conservation and~~ Development shall find that longer hours will not cause traffic, noise, glare, or similar impacts of Landfill operations to substantially increase in the vicinity of the Landfill. Exceptions to this limitation may be granted in response to natural disasters or other

PROPOSED MODIFICATIONS TO THE KELLER CANYON LANDFILL LAND USE PERMIT CONDITIONS OF APPROVAL

- emergencies if deemed warranted by the Director of Conservation and Development if required to address any applicable officially declared disaster.
- 9.2 Operating Days. The landfill shall remain open for operation six days a week except on Holidays. It shall close on Sundays. Exceptions to this limitation may be granted in response to natural disasters or other emergencies if deemed warranted by the Director of Conservation and Development.
- 9.3 Maximum Daily Tonnage. The landfill may accept for disposal a maximum of 3,500 tons of refuse per day ~~through the year 2005~~. The Board of Supervisors shall review and revise, if necessary, the maximum allowable tonnages per day, ~~prior to the year 2005~~. If the Board establishes sub-County service areas, maximum tonnages for each landfill ~~shall~~ may be prorated to reflect their service areas. The Board may increase the maximum daily tonnages, if necessary, to reflect Reciprocal Capacity Agreements or emergency measures. Exceptions to this limitation may be granted in response to natural disasters or other emergencies if deemed warranted by the Director of Conservation and Development. The Landfill operator shall submit quarterly reports to the Department of Conservation and Development solely showing daily waste tonnage accepted for disposal.
- 10.1 Volume Estimation. The Landfill operator shall prepare reports annually estimating the remaining landfill site life (years) and capacity (cubic yards and tons). Reports shall be submitted to the Department of Conservation and Development by March 1st of each year. ~~submit topographic maps of the landfill and a report of capacity absorption and fill rates to the Community Development Department every two years on the anniversary date of the landfill's opening.~~ The Landfill operator shall also submit an initial topographic map prior to receiving wastes.
- 10.3 Waste Characterization. The Landfill operator shall participate with transfer station operators serving the landfill in a tracking and reporting program to characterize incoming wastes by generator (customer) name, type, amount, and originating community and perform detailed load inspections on vehicles according to a program specified by the Department of County Community Conservation and Development ~~Department and County Health Services Department~~. Reports shall be submitted to the County Department of Conservation and Development on a quarterly basis on or before the landfill reporting deadlines specified in the Disposal Reporting regulations (Title 14).
- 11.1 Permit Review. The Landfill operator shall submit reports to the Department of Conservation and Development summarizing the compliance status for

these Land Use Permit Conditions of Approval annually unless otherwise specified by the Director of Conservation and Development. The Board of Supervisors will hold annual public hearings to review the Conditions of Approval for this Land Use Permit for three years beginning one year after the commencement of operations of the Landfill. The Board may refer proposed changes to the Land Use Permit to the County Planning Commission for processing. Thereafter, the County Planning Commission shall hold public hearings on the Land Use Permit at three-year intervals. As a result of a review and public hearing, the County Planning Commission may recommend to the Board of Supervisors new or modified conditions to improve the public health, safety, and welfare or in response to court decisions or regulatory changes. Nothing in this condition shall preclude the Landfill owner from applying for amendments to the Land Use Permit at any time or preclude the County from addressing emergency situations or new requirements imposed by State or Federal legislation or the courts.

- 11.2 Local Advisory Committee. The ~~Community~~ Department of Conservation and Development ~~Department~~ shall organize, and the Landfill developer shall participate in a local advisory committee, consisting of elected representatives of local residents and neighborhood associations, to comment and advise on the development of the landfill and its operations. The Board of Supervisors may sanction the Local Advisory Committee as an official County committee. The committee shall be established as soon as reasonably possible after the Board of Supervisors' approval of this Land Use Permit, if such approval is forthcoming. Meetings shall be initiated following the approval of a Land Use Permit and shall be held at least quarterly through the first two years of landfill operation. Subsequently, meetings may be held annually, but with the provision for meetings on call by the chair or the written request of 3 or more members unless otherwise specified by the County Board of Supervisors. The County Health Services Department shall be notified at least 10 days in advance of all meetings. Subjects for consideration at meetings will include, but shall not be limited to safety and emergency procedures, landfill fill-related traffic problems, screening of visual impacts and problems of litter, odor, and noise control. Meeting agenda also may include discussion of reports on the landfill construction, operation and maintenance. The Landfill operator shall provide reasonable access to the landfill arranged through the Community Conservation and Development Department. A surcharge on the tipping fee may be used to fund the advisory group's operations.
- 11.3 Insurance and/or Bonding. The applicant shall provide the insurance and bonds specified by the units of government having approval authority over the project. The applicant/operator is obligated to comply with additional County specified insurance and bonding requirements pursuant to Article 12

PROPOSED MODIFICATIONS TO THE KELLER CANYON LANDFILL LAND USE PERMIT CONDITIONS OF APPROVAL

- of the First Amended Landfill Franchise Agreement. Subjects ~~will~~may include, ~~but not be limited to,~~ continuity of landfill operation, non-compliance, emergency measures, construction performance, landscaping and closure.
- 11.4 Notification Program. The Landfill operator shall prepare and implement a program to notify potential ~~users of the landfill customers and periodically remind existing customers~~ of the landfill's opening and closing times, and the conditions of its use, including waste reduction and recycling requirements, load covering requirements, site access regulations, truck maintenance to conserve fuel and a detailed list of prohibited hazardous wastes and alternative disposal options. Customers shall also be notified and periodically reminded of waste acceptance eligibility criteria so that refuse loads containing materials on the list approved annually pursuant to Condition 8.5 are not being brought directly to the landfill. The program should be prepared in conjunction with the operator(s) of the transfer station(s) serving the landfill consistent with the Board of Supervisors' policies on direct haul (see Conditions 8.5 through 8.7). It shall be approved by the County Department of Community Conservation and Development ~~Department.~~
- 11.6 ~~Compliance Implementation~~ and Mitigation Monitoring Program. The Landfill operator shall ~~provide a fund to support the~~ County ~~staff~~ Department of Conservation and Development's program for monitoring of compliance with these Conditions of Approval and the Environmental Impact Report's mitigation monitoring programs, ~~as designed and implemented by the County Community Development and Health Services Departments.~~
- 11.10 Conditions Requiring Franchise. Conditions of Approval ~~Nos. 4.2, Operative Date,~~ and 13.4, Franchise Agreement Requirement, require a franchise or agreement to be established by this County. All of ~~these Conditions of Approval~~ the terms of said franchise or agreement shall be subordinate to ~~the terms of said franchise or agreement~~ these Conditions of Approval, and ~~these~~ the ~~terms of said franchise or agreement~~ Conditions of Approval shall control in the case of any conflict unless otherwise provided for pursuant to Condition 2.3. There shall be no need to amend these Conditions of Approval or the franchise in the event of such a conflict.
- 11.11 Regulations Enforced by Other Agencies. Several of these Conditions of Approval relate, paraphrase or summarize laws and regulations which are imposed and enforced by other governmental agencies which have jurisdiction over particular aspects of this project. It is this Board's intent in adopting these Conditions of Approval to provide the applicant and the public with an overview of the scope of regulation applicable to this project and to provide this County with the authority to exercise enforcement power

if deemed necessary in response to violations of such laws and regulations enforced by other agencies ~~are violated~~. Unless specifically stated in the Conditions of Approval, however, it is not this Board's intent to establish rules or regulations which are stricter than the laws or regulations which are applied to this project by the other agencies with jurisdiction over aspects of this project. If another agency primarily responsible for some aspect of this project finds that any action or inaction is in compliance with, or violates, any such law or regulation, that finding shall be conclusive. If these Conditions of Approval require some approval by any other agency and that agency declines to approve or disapprove the subject matter, such approval shall be deemed to have been given for purposes of these Conditions of Approval.

11.12 Required Expenditures. This Board does not intend, by requiring the applicant to fund various measures, to make any decision regarding whether or not, or how, any expenditures incurred may be recovered through the rate structure or otherwise by the applicant. Any such decision by this Board shall be reserved for its consideration in the franchise or agreement. No inference regarding this issue is to be drawn from this Board's use of any particular terminology in these Conditions of Approval.

11.13 Designation of Authority. In any instance where a Condition of Approval provides that this Board will decide or act upon a certain matter, this Board may delegate the initial decision making or action with respect to that matter to the Director of ~~Community Conservation and~~ Development or such other designee as this Board determines to be appropriate, provided that there shall be a right of appeal to this Board from any decision to the Director of ~~Community Conservation and~~ Development or other designee.

12.1 Rate Approval.

a) The Board of Supervisors shall may at its discretion review and approve all rates charged by the landfill operator at the landfill to the extent allowed by the terms of the applicable Franchise Agreement. The rates established by the Board will be not only shall be the maximum rates but also minimum rates.

b) The landfill operator shall at all times maintain on file with the County, a current schedule of Base Rates and Gate Rates charged to each customers as required in Section 6.6 of the Landfill Franchise Agreement.

a)c) As provided for in Condition 2.3, where there is an inconsistency between the requirement(s) of this or any other rate setting Condition in Section 12 and the terms of the Landfill Franchise Agreement which granted the operator sole discretion over setting the base gate rate

charged to customers, the terms of the Landfill Franchise Agreement shall supersede the applicable language in Condition 12.21(a) and 12.2 - 12.6 until such inconsistency no longer exists pursuant to Condition 2.3(d).

- 12.2 Rate Review. ~~The If the~~ Board of Supervisors ~~shall elects to~~ review and approve rates, it should be done —annually in accordance with ~~an approved~~the rate ~~application-review~~ procedure established by the County. More frequent review of rates may occur if requested by the landfill operator and if the Board determines that changing circumstances warrant such review. The Board may also review rates more frequently if the Board determines that it is in the public interest to do so pursuant to the terms of the Franchise Agreement for the landfill.
- 12.3 Form and Content of Rate Review Application. The landfill operator shall submit its rate application in a form and content as specified by the County. The Landfill operator shall provide any relevant rate and cost information requested by the County. Such application may require the landfill operator to submit the application on forms and/or using computer software provided by or specified by the County. The County shall have the right to inspect and audit all records of the landfill operators which support its rate review application.
- 13.1 Franchise Compliance and Agreement. The ~~Permittee~~ Landfill operator shall be subject to the terms and conditions of any franchise or agreement established by the Board of Supervisors. A draft franchise or agreement shall be submitted with or before the Final Development and Improvements Plan.
- 13.2 Assignment. The landfill operator and the landfill owners shall not assign or subcontract the franchise or agreement, any part of the franchise or agreement or any obligation of the franchise or agreement without written prior consent of the Board of Supervisors. Unless otherwise specified in the franchise agreement, The the term "assignment" shall include any dissolution, merger, consolidation or reorganization of the landfill's ownership or the sale or other transfer of the controlling percentage of the owner's stock in the landfill or the sale of 51% of the value of the assets of the landfill's owners.
- 14.2 Regulatory Agency Approvals. Subsequent to the approval of this Land Use Permit, the Landfill Developer shall obtain approvals from the regulatory agencies having jurisdiction over the project, and obtain their detailed requirements for building, serving, and operating the Landfill. The approvals shall include, but are not limited to:

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- a) Waste Discharge Requirements from the Regional Water Quality Control Board.
- b) Authority to Construct (and Authority to Operate Requirements) from the Bay Area Air Quality Management District.
- c) Wetland Modification Permit from the Army Corps of Engineers.
- d) Streambed Alteration Agreement from the State Department of Fish and Game.

The Landfill developer shall notify the ~~Community Development~~ Department of Conservation and Development if proposed or adopted permit conditions or requirements of other regulatory agencies do not appear to be consistent with this Land Use Permit or the Landfill's Environmental Impact Report. The Landfill operator shall submit to the County copies of all new and modified permits or entitlements at the time each is issued or approved by the applicable regulatory agency.

- 16.2 Seismic Design. The Landfill, its drainage features and operating components (lifts, berms, liners, sediment pond, leachate and gas collection systems and major stockpiles) shall be designed to withstand ~~the Maximum Credible Earthquake (MCE) and a 0.65g acceleration rate~~ earthquakes as specified in applicable regulations. The Landfill developer shall utilize a MCE (design earthquake) specified by the ~~County Community Development Department and the~~ San Francisco Regional Water Quality Control Board. The Landfill developer shall provide substantiation in the Final Development and Improvements Plan that the Landfill design will withstand the MCE.
- 16.4 Geotechnical Inspector. The Landfill operator shall ~~contract with the County, or through the County, for~~ fund the costs of an independent geotechnical consultant, who shall be selected by and be responsible to the County. The ~~consultant Inspector~~ shall inspect ~~regularly~~ the installation and condition of liners, leachate control facilities and other installations, identified by the County, as they are installed and periodically thereafter as directed by the County. This provision shall remain in force over the life of the landfill.
- 16.6 Slope Monitoring. The Landfill operator shall install and maintain slope monitoring stakes on landslides and sensitive slopes which could affect an operating Landfill. The monitoring program shall be approved by the County ~~Community Development~~ Department of Conservation and Development.
- 16.8 ~~Post-Earthquake~~ Emergency Landslide and Earthquake Program. The Landfill operator shall prepare and implement an emergency program for inspecting the Landfill facility, dealing with failures and providing for uninterrupted refuse handling for implementation following a substantial

landslide and/or earthquake. The program shall be subject to the approval of the County ~~Community Development~~ Department of Conservation and Development, and the County Contra Costa Environmental Health Services Department and the Regional Water Quality Control Board.

17.6 Downstream Well Monitoring. The groundwater monitoring program shall include selected wells down gradient from the site. The wells shall be subject to approval by the San Francisco Regional Water Quality Control Board ~~and the County Health Services Department.~~ The Landfill operator shall sample and analyze water from these wells on a quarterly basis as required by the Regional Water Quality Control Board. The location of these wells shall be identified on the Development and Improvements Plan.

17.11 Water Balance Calculations. The Landfill operator shall provide water balance calculations, when requested by the ~~County Health Services Department~~ Regional Water Quality Control Board or other applicable regulatory agency, to evaluate intermediate stages of Landfill operation to ensure the maintenance of a proper solids-to-liquid ratio.

17.17 Working Face. The Landfill operator shall maintain a maximum daily working face of 3 acres or less in order to minimize surface water infiltration to the refuse, as well as to control dust and erosion, prevent vector proliferation, and minimize visual impacts. Exceptions to this limitation may be granted in response to natural disasters or other emergencies if deemed to be warranted by the Director of Conservation and Development.

18.2 Surface Drainage System. The Landfill operator shall install and maintain a Landfill surface drainage system which shall be designed to meet State Class II standards. It shall accommodate a 1,000-year, 24-hour design storm, as specified by the County Public Works Department and the San Francisco Regional Water Quality Control Board (SFRWQCB). The drainage system shall convey surface water around the active fill area without contacting the working face or any solid waste. The surface drainage system shall be approved by the SFRWQCB and the County ~~Community Development~~ Department of Conservation and Development and included in the Development and Improvements Plan. Surface flow shall be evaluated further with groundwater levels and precipitation factors prior to construction, and findings incorporated into the final landfill design in order to lessen impacts to surface water flow. Flow rates and groundwater levels shall be monitored through the life of the landfill. If loss of surface flow is determined to have unforeseen impacts, a like amount of water shall be provided.

18.4 Surface Water Management and Sediment Control Plan. The Landfill

developer shall prepare and implement a ~~-Surface Water Management and Sediment Control Plan~~, which shall be subject to the approval of the County ~~Community Development~~ Department of Conservation and Development. The plan shall include a Stability Analysis of proposed cut and fill slopes, and shall prevent substantial erosion on slopes on the project site and reduce the amounts of water-borne materials from reaching surface waters. It shall include the components listed below, and it shall be included in the Final Improvements and Development Plan.

- (a) Primary Grading. The Landfill developer shall perform primary grading for the project's fill modules, cover, roads, paved areas, building sites, and the construction of site slopes during the April through October low rainfall season.
- (b) Temporary Flow Restriction. If grading must be done during rainy periods, or if erosion is occurring on previously graded areas, the Landfill developer shall take corrective actions, which may include the installation of ground cloth or the placement of hay bales.
- (c) Ground Cover. The Landfill developer shall plant ground over on graded areas which are not to be developed within 90 days. The ground cover shall be consistent with the Landscaping Plan.
- (d) Ditch/Swale Liners. The Landfill developer shall line any ditches and swales for conveying surface runoff across sanitary Landfill areas to limit water infiltration. Drainage-ways across other areas shall be lined or planted to limit erosion.
- (e) Sedimentation Ponds. The Landfill developer shall install and maintain a sedimentation pond system prior to other landfill development to hold and process drainage from the Landfill property which shall be designed to withstand the 1,000-year, 24-hour design storm and Maximum Credible Earthquake event. The Landfill developer shall develop a program for monitoring storage volumes in the sedimentation ponds and releasing water depending on expected rainfall. Flow rates for downstream discharge shall not exceed the 25-year, 24-hour design storm. The program shall include a preventive maintenance program which shall include a program for clearing of sedimentation ponds and maintenance of perimeter ditches and vegetative cover. The owner shall submit documentation to the Department of Conservation and Development documentation to demonstrate that basin maintenance (e.g. dredging) has been completed as needed or required prior to the start of the rainy season (October 15th). The program shall be subject to approval from the County ~~Community Department of Conservation and Development, Contra Costa Environmental Health Services, and Public Works~~

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Departments, and the San Francisco Regional Water Quality Control Board. The efficacy of the Landfill surface water control system in reducing downstream flooding shall be addressed in the annual and triennial reviews required by Condition 11.1.

- f) Runoff Conveyance. Erosion to ditches or gullies used to convey runoff shall be corrected by use of appropriate measures such as energy dissipators or rip rap.
- g) Equalization Basin. Water in contact with the working face area of the landfill shall be discharged into an equalization basin, monitored, and treated if necessary.

19.4 ~~Transfer Station Hazardous Waste~~ Pre-screening. The ~~Household Hazardous Waste Program shall include pre-screening at transfer stations for identification and separation of hazardous materials. In addition,~~ landfill entrance load screening procedures and a manual load check program during unloading operations shall be included in the load screening program required under Condition 7.1. Landfill ~~employees~~operators shall be instructed to investigate suspicious containers for hazardous materials during bulldozing and other activities. Any hazardous materials found shall be set aside for proper collection and disposal.

19.5 Regulatory Agency Approvals. The collection and storage of toxic and hazardous wastes pursuant to this section shall be subject to County Health Services Department's Hazardous Materials Division, State Department of Health Services, and other regulatory agency approvals.

20.2 Odor Containment. The Landfill operator shall operate the Landfill in a manner that prevents odors from being detected off-site, pursuant to Regulations 7-101 and 7-102 of the Bay Area Air Quality Management District. If odors are reported to ~~the Contra Costa Environmental~~Health Services Department, or reports are relayed from the Bay Area Air Quality Management District, the Department of Conservation and Development or Contra Costa Environmental~~the Health Services Department~~ may require additional physical improvements or management practices as necessary to alleviate the problem. Contra Costa Environmental~~The Health Services Department~~ shall have the authority to cease disposal at a particular area of the Landfill, to control odors. A small daily working face (3 acres or less) shall be maintained. The leachate treatment system shall be enclosed and properly maintained to control odors from leachate. The landfill gas collection system and flare shall utilize BACT to reduce landfill gas as a source of toxics and odor.

The Landfill operator shall implement Best Management Practices of the

industry to minimize odors from operations and emissions from equipment. If the operator is contacted about odors being detected off-site in surrounding areas, the date, time and description of the odor complaints shall be logged and investigated promptly to expedite implementation of any necessary corrective action by a the landfill operator. The Landfill operator shall contact Contra Costa Environmental Health or the Bay Area Air Quality Management District at minimum of once per year to obtain any information possible about odor complaints received by each agency. Any odor complaints received by the Landfill operator, Contra Costa Environmental Health or the Bay Area Air Quality Management District shall be included in the annual Activities Report required under the Landfill's Franchise Agreement unless otherwise specified by the Director of Conservation and Development. The landfill operator shall provide a means for receiving after hours odor complaints. Complaints shall be promptly investigated (after hours investigations required if/when multiple after hours complaints received on the same day or on multiple consecutive days) to identify whether the source of the odor is on the landfill site, in which case the problem should be corrected in a timely manner. A response to the person lodging the complaint shall be made within 48 hours and copied to the Department of Conservation and Development, detailing the problem and remedial action taken.

- 20.4 Odoriferous Loads. The Landfill operator shall identify potentially~~cover extremely~~ odoriferous loads prior to acceptance and make any arrangements needed to ensure that disposal of odoriferous loads is managed to avoid off-site detection, which may involve covering such incoming loads immediately.
- 20.7 Air Flow Monitoring. The Landfill operator shall monitor air flow on the site upon commencement of operations and shall provide background meteorological conditions including wind direction, wind velocity, ~~on-site air flows,~~ and temperature. After the Landfill is in operation, data shall be used to correlate odor, dust, or litter management with meteorological conditions. Air flow monitoring reports shall be submitted or made available to the Contra Costa Environmental Health ~~unty Health Services and the Department of Conservation and Community Development Departments~~ upon request.
- 20.8 Contingency Program. Prior to the start of filling operations, Landfill operator shall prepare a "bad days" contingency program for managing the Landfill during periods of unusual wind speeds or directions, rainfall or drought or other atypical situations. It shall apply specific site monitoring information. The Landfill operator shall consider the comments of the City of Pittsburg local advisory committee and consult with the Bay Area Air Quality

Management District and the Regional Water Quality Control Board. The program shall be approved by the County Department of Conservation and Development and Contra Costa Environmental Health Services Department, and it may be revised from time to time. See Condition 25.4.

- 20.9 Revegetation. The Landfill operator shall revegetate completed Landfill areas ~~immediately~~. Revegetation shall be in ~~be~~ accordance with the Development and Improvements Plan and shall be consistent with ~~the County policy on landscaping and State and local~~ water conservation landscaping requirements. Intermediate and final cover areas shall be ~~reseeded with native grasses~~ reseeded immediately. Excavations shall be ~~reseeded with native grasses~~ reseeded or filled immediately. Operating areas which will not be used for fill or construction for 90 days or longer shall be planted for dust and erosion control and for aesthetic purposes. Landfill operator shall provide the County Conservation and Development Department with written notice and documentation (e.g. photographs) of any inactive unvegetated areas of disturbance not being reseeded immediately whether due to on-site activity associated with the landfill (construction or operations) or naturally occurring (landslides, etc.). The Director of Conservation and Development may require that revegetation notices be submitted more frequently and/or on a fixed schedule.
- 20.17 Landfill Gas Testing. The Landfill operator shall test Landfill gas for its toxic composition and for toxic constituents. The testing program shall be subject to the approvals of the Bay Area Air Quality Management District ~~and, the Contra Costa Environmental Health Services and the Community Department of Conservation and Development Departments~~. The Landfill operator shall provide the results to the County ~~Community Development Department~~ of Conservation and Development and Contra Costa Environmental Health Services Departments on a bi-annual ~~quarterly~~ basis unless a more frequent interval is specified in the Solid Waste Facilities Permit.
- 20.19 Cell Re-Opening. Previously ~~covered-closed~~ cells shall not be reopened without permission from Contra Costa Environmental Health Services Department. The Department of Conservation and Development shall be notified of any occurrence that potentially necessitates that one or more cells be re-opened.
- 20.20 Fissure Repair. The Landfill operator shall inspect the Landfill daily. Surface cracks, fissures, eroded areas, or inadequately covered areas on the Landfill may require repairs within 24 hours. The Department of Conservation and Development shall be notified in writing at the time the operator identifies any substantial surface cracks or fissures requiring

repairs beyond the placement and compaction of additional clean soil. Photo of the crack should accompany the written notice which describes he expected cause and corrective action plans and repair schedule. This activity shall be included in the employee training program.

20.23 Speed Limits. The Landfill operator shall enforce speed limits set by the ~~Contra Costa Environmental Health~~County Health Services Department on internal site roads. The Landfill operator shall install appropriate signs and speed control devices. The maximum internal on-site speed limit shall be 20 mph unless otherwise specified by Contra Costa Environmental Health.

21.2 Noise Monitoring Program. The Landfill operator shall prepare and implement a noise monitoring and abatement program, which shall be approved by the County ~~Community Development~~Department of Conservation and Development and ~~Contra Costa Environmental Health Services Departments.~~ The program shall monitor noise levels at sensitive receptor locations, one West of Bailey Road and South of West Leland Road, one near Bailey north of West Leland, and another in the Jacqueline Drive area south of West Leland Road. The Director of ~~Community Conservation and~~ Development may specify other monitoring locations. Noise monitoring reports shall be submitted to the County Conservation and Development Department on a quarterly basis unless otherwise specified by the Director of Conservation and Development. If the monitoring noise levels at the Landfill boundary line or other monitored location exceed 60 dBA during daylight hours, or 50 ~~dB~~dBA during the evening or at night, the County may require the operator to institute additional noise reduction measures to bring noise emanating from the Landfill to the forementioned levels or less.

22.2 Landscape Plan. The Landfill developer shall prepare and implement a site Landscaping Plan. The plan shall enhance the site's visual values as open space and its functional values as wildlife habitat. It shall minimize the visual impacts of the landfill operations and appurtenant facilities through revegetation and landscape screening. The plan shall show the plant species, size, and locations to be used to blend in with the existing natural vegetation. Natural, drought tolerant species shall be used, in accordance with ~~State and local County Policy on Wwater C~~conservation L~~andscaping~~ requirements. A landscape maintenance program shall be part of the plan. A Weed Monitoring and Control Program shall be included, containing a listing of noxious weeds, a monitoring program, and abatement measure options. A Landscape Plan shall be included in the Development and Improvements Plan. The Landscape Plan shall assure no visual impact on the Cities of Concord and Clayton consistent with the Environmental Impact Report.

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- 24.6 Mosquito Control. The Landfill operator shall grade areas within the Landfill property to prevent ponding of water which could harbor mosquitos (except for sedimentation ponds and riparian habitat areas). Sedimentation ponds shall be stocked with mosquito fish unless otherwise specified by the Mosquito & Vector Control District. If a mosquito problem persists, ~~the Contra Costa Environmental unty~~ Health ~~Services Department~~ may require the preparation and implementation of additional mosquito control measures, such as spraying of non-toxic larval suppressant.
- 25.1 Litter Control Objective. The Landfill operator shall manage the facility in a manner which confines litter to the working face of the Landfill, which prevents litter from accumulating on another parts of the site, and which prevents litter from being blown off the site.
- 25.2 Load Covering. The Landfill operator shall implement a program ~~to requiring landfill users (customers) to securely containerize their load to avoid littering and~~ exclude uncovered loads from arriving at the Landfill consistent with the requirements of Section 418-2.008 of the County Code. The program shall be subject to the approval of the County Department of Conservation and Development or Contra Costa Environmental Health ~~Services Department~~. See also Condition 7.2.
- 25.7 On-Site Litter Policing. The Landfill operator shall remove litter from the litter fences and planting screens at least once each day. On-site roads, including 500 feet of Bailey Road south of the site entrance, shall be policed at least daily. ~~The Contra Costa Environmental unty~~ Health ~~Services Department~~ may require more frequent policing to control the accumulation of litter.
- 25.8 Off-Site Litter Policing. The Landfill operator shall provide weekly (or more frequent) litter clean-up along Bailey Road from ~~West Leland Road~~ Highway 4 to at least 500 feet south of the site entrance ~~during the Landfill's first year of operations~~. Based on experience, the County Department of Conservation and Development or Contra Costa Environmental Health ~~Services Department~~ may modify frequency of clean-up and/or area of coverage. If wind-blown litter from the landfill reaches other properties, the Director of Environmental Health ~~Services~~ or the Director of Conservation and Development may require the Landfill operator to remove the litter and the Director(s) may require the operator to institute additional measures to prevent recurrence of the problem.
- 25.11 Public access. Public access to the landfill shall be prohibited unless such access is provided for special events, such as tours, open house functions

or wetland field trips for local schools.

- 26.9 Gas Migration Monitoring. The Landfill operator shall prepare and implement a gas migration monitoring program to detect underground gas migration. Landfill buildings and paved areas within 1,000 feet of the Landfill disposal area shall be monitored unless otherwise specified in state regulations. The monitoring program shall be approved by Contra Costa Environmental Health~~the County Health Services Department~~.
- 27.3 Security Staffing. The Landfill operator shall staff the Landfill 24 hours per day. Private security services may be retained when the site is not open to patrol and/or aid with investigating after hours odor complaints (see Condition 20.2) as needed.
- 29.2 Access Route. Access to the landfill facility shall be via State Highway 4, and Bailey Road unless alternate routes are approved by the County Department of Conservation and Development on an interim basis. No waste-hauling traffic shall be allowed entrance to the landfill from Bailey Road south of the site. The Landfill operator shall specify use of the prescribed route in all user contracts and shall notify non-contract users of the requirement. At the request of the Board of Supervisors, the Landfill Operator shall reimburse the County for the cost of enforcement of this Condition on the access route. The Board of Supervisors may also request the Landfill operator to reimburse the City of Concord for an access control police inspection stop on Bailey Road should it become necessary to enforce this access route condition.
- 29.9 Peak Period Traffic Management. The Landfill operator shall prepare a study, in conjunction with the local transfer station(s) serving the landfill, for managing transfer vehicle traffic to reduce peak period conflicts with traffic on Highway 4. The study shall address the restricted departure periods from the Landfill identified in the Environmental Impact Report (6:30 - 8:30 a.m. and 3:30 - 6:30 p.m.) and shall identify any changes to the conditions of approval needed to implement a peak-period traffic reduction program. The study shall be approved by the County Public Works and Conservation and Community Development Departments and shall be provided ~~to the County Community Development Department~~ with the Development and Improvements Plan. The Director of Community Conservation and Development has imposed the ~~shall specify~~ peak period traffic restrictions identified in a) and b) below. The Director of Conservation and Development may specify any additional peak period traffic restrictions deemed to be warranted. The Landfill operator shall comply with such restrictions, and shall require compliance in contracts with Landfill users.

- a) The A.M. peak period departure from the landfill shall commence at 7:10 a.m.
- b) A three minute interval shall be maintained between waste hauling vehicles en route to Highway 4 westbound during the period of 7:10 a.m. and 8:30 a.m.
- c) Waste hauling vehicles en route to eastbound Highway 4 (the uncongested "reverse commute" direction) may be released without restriction

30.0 Site Services and Utilities Objective. The Landfill developer shall design, develop and manage the facility in such a manner that services and utilities adequately meet the landfills requirements, while ensuring the protection of site employees, area residents, and the surrounding environment.

- 31.4 Materials Recovery. The Landfill operator shall prepare and implement a Material Recovery pProgram for recovering recyclable materials (e.g. construction and demolition debris) from refuse loads brought directly to the landfill. The Program shall describe in detail all existing and proposed on-site recovery activities and the associated percent of waste diversion for each, including materials diverted for use as cover, on-site beneficial reuse as well as transported off-site (e.g. biomass facilities). The Program shall include proposed on-site recovery activities intended to handle source separated loads and comingled loads to be sorted on-site to increase diversion, if applicable. The operator shall coordinate the material recovery program with the operator(s) of a local transfer station(s) serving the Landfill. The pProgram shall be consistent with the Countywide Integrated Waste Management Plan. The landfill operator shall record and report the weight of all material(s) recovered through the Material Recovery Program. Each type of recovered material being diverted must be weighed for reporting purposes. Materials accepted for beneficial reuse or ADC, which are subsequently deemed unsuitable and must therefore be disposed of, shall be weighted and reclassified for the purposes of reporting and fee calculation. Incoming quantities required to be tracked and reported by waste type and jurisdiction of origin, pursuant to Conditions 8.7 and 10.3 must accurately differentiate between the tons disposed, beneficially used on-site or sent off-site. Quarterly disposal reports must also accurately reflect the destination and tonnage of each type of recovered material sent off-site, if applicable. and The Program shall be subject to the approval of the County Community Development Department of Conservation and Development.

- 31.6 Wood Chipping. The Landfill operator shall establish a program to

encourage landscape services and construction/demolition ~~material-debris~~ haulers to segregate wood material for chipping and diversion from landfill disposal. -The program may occur off-site, however unless and until there is on-site recovery (landfill waste diversion as pursuant defined to in the Integrated Waste Management Act) the Landfill operator shall direct these customers to deliver loads of landscaping and construction/demolition debris to facility(ies) that recover and chip wood material. The program shall be submitted for review and approved by the County Community Development—Department of Conservation and Development and implemented on an ongoing basis following approval, and shall be placed in operation within six months of the landfill's opening.

31.9 County Resource Recovery Management Program.

- a) When directed by the County, the Landfill operator shall impose a tonnage surcharge adequate to support a County Resource Recovery Management Program ~~consisting of the Office of Resource Recovery Management and its program~~. The cost of the program to be supported by the surcharge shall not exceed \$100,000 at 1987 levels. If other solid waste disposal facilities are subject to this or a similar condition, the County may pro-rate the cost of the program among them according to a formula approved by the Board of Supervisors.
- b) As provided for in Condition 2.3, where there is an inconsistency between this condition and the terms of the Landfill Franchise Agreement which effectively suspended the collection of this Resource Recovery Management Program Fee, the terms of the Landfill Franchise Agreement shall supersede Condition 31.9 (a) until such inconsistency no longer exists pursuant to Condition 2.3(d).

32.2 Exemption. The Landfill developer may request, in writing, and the Director of ~~Community Conservation and~~ Development may grant, exemptions to Condition 32.1 for specific times for cause. An example is the placing of concrete.

32.6 Dust Suppression. The developer shall sprinkle or chemically treat graded areas, borrow sites, stock piles, and temporary pavements to control dust, as determined necessary by Contra Costa Environmental Health the County Health Services Department and the Bay Area Air Quality Management District.

33.2 Funding of Closure and Postclosure Maintenance Plan. The Landfill operator shall submit to the Board of Supervisors and California

~~Department of Resources Recycling and Recovery (CalRecycle) Integrated Waste Management Board~~ evidence of financial ability to provide for the cost of closure and postclosure maintenance in an amount not less than the estimated cost of closure and 15 years of postclosure maintenance as contained in the submitted closure and postclosure maintenance plan unless otherwise required by the State. Evidence of financial ability shall be in the form of a trust fund approved by the Board of Supervisors in which funds will be deposited on an annual basis in amounts sufficient to meet closure and postclosure costs when needed ~~unless~~ is identified as acceptable to the Board of Supervisors. The Board of Supervisors determined that the State required financial guarantees approved and periodically reviewed by ~~and the California Integrated Waste Management Board~~ CalRecycle are equivalent and therefore adequate to satisfy this condition. The Landfill operator shall maintain a trust fund balance that equals or exceeds the requirements of state law or regulation notwithstanding, however, the trust fund balance shall be at least equal to the then current closure and postclosure cost estimate at such time the landfill has reached one-half of its permitted capacity. The Trust Fund balance requirement shall be appropriately adjusted if the landfill is closed in stages under Condition 33.4.

35.4 Resource Recovery Program Fee.

a) The Landfill developer or operator shall pay to the County of Contra Costa a resource recovery program fee of \$200,000 annually, beginning July 1, 1990. The developer or operator shall deposit the monies in a segregated account established by the County. The extent of the fee shall be subject to reconsideration when a franchise or agreement is established for the Landfill. The resource recovery program fee from its inception shall be a pass-through business cost for the purpose of rate setting. The fee shall be adjusted annually to reflect the current Consumer Price Index.

a)b) As provided for under Condition 2.3, where there is an inconsistency between this condition and the terms of the Landfill Franchise Agreement which effectively suspended this Resource Recovery Program Fee, the terms of the Landfill Franchise Agreement shall supersede Condition 35.4 (a) until such inconsistency no longer exists pursuant to Condition 2.3(d).

35.5 Violation of Prescribed Haul Route. Upon a receiving a written determination ~~from~~ the County that a user of the Landfill has violated Condition ~~of Approval Section 29.2~~ by using a prohibited access route, ~~and upon a written direction by the County~~, the Landfill operator shall impose on

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that user the sanction that is directed by the County. Such sanction may include a surcharge on the tipping fee, prohibition against accepting waste from that user for a designated period of time, revocation of County refuse-hauling license, or other sanction directed by the County. A system for reporting alleged violation and for monitoring enforcement data shall be established by the County and implemented by the Landfill operator.

History of Revisions

7/23/1990 – Original Land Use Permit Approval

11/1/1994 – Amendment 1 Approval (added COA 35.8)

6/25/2002 – Amendment 2 Approval (added Section 36 “Landfill Gas Power Plant” – LP012115)

12/16/2014 – Approval of Modified Conditions (COAs 20.3, 25.4 & 31.5)

9/22/2015 – Proposed New and Modified Conditions for 2014/15 Permit Review

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OPTION B

PROPOSED NEW OR MODIFIED CONDITIONS FOR 2014/15 PERMIT REVIEW

LAND USE PERMIT 2020-89 CONDITIONS OF APPROVAL KELLER CANYON LANDFILL

*This document only contains the Conditions of Approval proposed to be either added or modified. **

NEW Conditions are shown in **shaded text** for ease of identification.

MODIFIED Conditions are shown in underline/strikeout formatting to discern what changes are being proposed.

Underline = Language proposed to be added

~~Strikeout~~ = Language proposed to be eliminated.

* **GLOBAL TEXT EDITS** to the all Conditions of Approval to update and correct regulatory references and public agency names, like those noted below, are proposed to be applied to all applicable Conditions if approved as recommended.

- Community Development (CDD) → Conservation and Development (DCD)
- Riverview Fire Protection District → applicable Fire Protection District
- Health Services Department → Contra Costa Environmental Health
[except those Conditions intended to refer to the Hazardous Materials
Division]

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2.3 Assignment of Responsibility.

- (a) The Board may assign the responsibility of administering specific Conditions of Approval or provisions of this LUP, such as State Minimum Standards, to County Departments or other units of government.
- (b) The Board may suspend the implementation of conditions or provisions of this LUP where such conditions or provisions are inconsistent with the terms of a contract or agreement entered into between the Board and the operator or other units of government, or by the terms of a joint powers agreement where the County is a member of the joint powers agency. This would not alleviate the need to comply with the public approval process required when amending this LUP. Any Board approved suspension would automatically be nullified at such time as the contract or agreement no longer includes terms inconsistent with the specified condition.
- (c) For the purposes of Condition 2.3(b), the Franchise Agreement between the County and the Landfill Owner as amended in November 1994, is an eligible contract.
- (d) If no contract or agreement is in force, as referred to in Condition 2.3(b), the County retains authority to implement this LUP and all of its Conditions.

3.1 Compliance Objective. The Landfill developer and operator shall at all times comply with the requirements of laws and permits applicable to the facility. This condition is not intended to grant authority or assign responsibility to the County for the independent enforcement of regulatory and permitting requirements that fall within the primary jurisdiction of other agencies (see Condition 11.11).

3.3 State Minimum Standards. The operation and maintenance of this facility shall at all times comply with Minimum Standards for Solid Waste Handling and Disposal (California ~~Administrative Code~~ of Regulations, Title 14 and Title 27, Division 7, Chapter 3).

3.4 Land Use Permits. The Landfill developer and operator shall at all times comply with the provisions and requirements of this Land Use Permit. A violation of any of these conditions ~~is~~ may be cause for revocation of the Land Use Permit pursuant to County Code Section 418-4.020 following reasonable written notice. Alternatively, the County has the option of issuing formal notices and assessing penalties pursuant to Section 4.19 of the

PROPOSED MODIFICATIONS TO THE KELLER CANYON LANDFILL LAND USE PERMIT CONDITIONS OF APPROVAL

- Landfill Franchise Agreement or County Code Chapter 14-6, Civil Enforcement.
- 3.5 Solid Waste Facilities Permit. The Landfill operator shall conform with all provisions and requirements of the Landfill's Solid Waste Facilities Permit, and any related directives of which is based on the guidelines of the California Department of Resources Recycling and Recovery (CalRecycle) or Contra Costa Environmental Health, as the Local Enforcement Agency for CalRecycle Integrated Waste Management Board.
- 3.6 ~~Subchapter 15~~ Class II Landfill Requirements. The Landfill operator shall at all times comply with the Class II waste disposal facility provisions and requirements of Article 3, of SubChapter 15, of Chapter 3 of Title 23 and Title 27 of the California ~~Administrative Code~~ of Regulations ~~("Subchapter 15") for a Class II waste disposal facility.~~
- 3.9 Notice Coordination. ~~Copies (or originals) of~~ The Landfill operator shall notify the Department of Conservation and Development (DCD) in writing at the time any# reports is submitted to other agencies concerning the design, operation, and maintenance of the Landfill ~~facility, shall be sent by mail or hand-delivery, and e~~ Copies shall be made available or mailed to DCD offices at 30 Muir Road in Martinez the Community Development Department upon request, 651 Pine Street, 4th Floor North Wing, Martinez, CA 94553-0095.
- 5.1 Area of Origin. ~~The area of origin of all waste hauling vehicles admitted to the landfill, shall be Contra Costa County.~~ The Landfill operator shall not refuse to receive eligible wastes or cover materials which originate in Contra Costa County provided such wastes or materials are delivered to the facility in accordance with these Conditions of Approval and the landfill's Solid Waste Facilities Permit, and provided that ~~appropriate disposal~~ the required governmental fees are paid. Rate setting requirements are specified in the Landfill Franchise Agreement and Section 12 of this Land Use Permit.
- 5.2 Out-of-County Wastes. INVALIDATED BY LEGISLATURE
~~The Landfill operator shall not receive wastes from outside Contra Costa County unless such imports of waste are specifically approved by the Board of Supervisors. This condition shall not apply to wastes which may be temporarily received under the Emergency Use provisions of Condition 6.5 or the Reciprocal Capacity Agreement of Condition 5.4.~~
- 5.5 Pre-Requisite Curbside Recycling Program. The Landfill shall not admit for disposal ~~of~~ waste loads from communities which do not have in operation ~~an eligible~~ curbside recycling, or equivalent, programs as determined by the

Department of Conservation and Development. An eligible program shall recover a range of recyclable materials approved by the Board of Supervisors. Board of Supervisors approval may be interpreted as a consistent ~~ey~~ with a curbside recycling program operating pursuant to a Board of Supervisors-approved franchise agreement.. The Board of Supervisors has the discretion to identify additional factors to be considered when determining the eligibility. The Board retains the authority to approve of a community's programs previously deemed to be ineligible by the Department of Conservation and Development.

- 6.1 Eligible Wastes. The Landfill operator shall allow only wastes eligible for disposal in a Class II facility, as defined by the Regional Water Quality Control Board to be admitted to the landfill. The wastes admitted to the landfill shall also be consistent with the Solid Waste Facilities Permit (07-AA-0032), administered by ~~the Contra Costa Environmental~~ Health Services Department, and consistent with the 1990 Environmental Impact Report and Board of Supervisors' policies and approvals (including the Board of Supervisors conditional authorization in 1992-93 to accept special wastes and limited direct haul – see Conditions 8.5 through 8.7) and these conditions of approval. To the extent allowed by law, the Board of Supervisors may direct the Landfill operator not to accept wastes that do not meet State and County policies and regulations.
- 6.2 Designated Wastes. The Landfill operator shall allow only those designated wastes (as defined inby ~~Section 20210522 of Article 2 of Subchapter 15,~~ of Title ~~2327,~~ of the California ~~Administrative Code of Regulations and Section 13173 of the California Water Code~~) approved for this facility by the San Francisco Regional Water Quality Control Board, and shall be consistent with the Solid Waste Facilities Permit to be admitted for disposal waste types allowed for disposal pursuant to Condition 6.1. The Board of Supervisors may designate special rates for this waste to the extent allowed pursuant to the terms of the Landfill Franchise Agreement.
- 6.5 Emergency Use. If the service area of the Landfill is determined to be a sub-area of the County, the County Department of Conservation and Development or Contra Costa Environmental ~~Health Services Department~~ may allow legal waste originating in areas of Contra Costa County, other than those stipulated in Section 5, to have access to the landfill for periods up to 180 days on an emergency basis. The department~~(s)~~ may grant one extension for no longer than 180 days. The Board of Supervisors may allow the emergency use of the landfill to continue for any time period deemed necessary up to two years.
- 6.6 Hazardous Waste Screening and Management. See Section 19.

- 6.7 Area of Origin Restrictions. See ~~Condition-Section~~ 5.
- 7.2 Load Covering. The Landfill operator shall spot check all incoming waste-hauling vehicles for proper covering or containerization consistent with the requirements of Section 418-2.008(a) of the County Code. The operator shall ~~not admit~~ identify any waste loads which are susceptible to littering or leakage because of the lack of covering, inadequate covering, or disrepair of screens, covers or containers. Customers delivering any such waste loads shall be required to provide evidence that corrective actions have been taken to effectively cover and contain waste loads (e.g. waste adequately secured with covers and containers in good repair) in order to be eligible to deliver waste loads in the future. Landfill operator shall track and report applicable details about the occurrences and corrective actions taken to the County Department of Conservation and Development annually.
- 8.1 Eligible Vehicles. The Landfill operator shall admit only the following refuse transport vehicles:
- a) Transfer station trucks (vans) ~~from a transfer station located in Contra Costa County~~. Transfer stations shall have a Waste Management Program, which includes hazardous waste screening and resource recovery operations. Program may be subject to the approved by of the Board of Supervisors if deemed necessary for consistency with the Countywide Integrated Waste Management Plan.
 - b) Demolition and construction material trucks hauling debris that is would not be recycled or otherwise diverted from disposal if processed at a local Transfer Station or on-site consistent with the approved Materials Recovery Program (Condition 31.4) originating in Contra Costa County. There are If the Board of Supervisors has established waste reduction requirements goalsthat apply to such wastes generated byfor the businesses and industries generating such wastes, therefore the operator shall assist the County to help ensure generators shall compliancey with such requirements or goals through implementation and compliance with Conditions 8.5 – 8.7.
 - c) Incinerated sewage sludge-hauling trucks originating at utilities ~~located in Contra Costa County, or other utilities serving Contra Costa County.~~
 - d) Sewage and water treatment plant sludge and other byproduct trucks ~~originating in Contra Costa County,~~ with loads complying with San Francisco Regional Water Quality Control Boards solids-to-liquid requirements.

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- e) Trucks hauling Designated Wastes approved for this landfill by the Regional Water Quality Control Board. ~~The wastes shall originate in Contra Costa County.~~
- f) Other specialized waste transport trucks, hauling wastes ~~originating in Contra Costa County and~~ identified in the Landfill's Solid Waste Facilities Permit which cannot be feasibly processed to increase diversion through a Transfer Station.
- g) The provisions set forth in subsections (b) and (f) above shall become effective on October 1, 2016 or upon the commencement of on-site sorting to recover materials from mixed waste loads as provided for in Condition 31.4, whichever is sooner ("Implementation Date"). In order to continue accepting direct haul loads in specified vehicle types prior to and until the Implementation Date, the Landfill operator shall submit a revised Material Recovery Program (Condition 31.4) and a completed permit application to County Environmental Health for on-site sorting and recovery no later than October 31, 2015. The forgoing notwithstanding, in the event of a legal challenge to the County's approval of this modified Condition 8.1 and/or to the approval of a Material Recovery Program, the Implementation Date shall be the later of date of the resolution of the legal challenges including exhaustion of all appeals to final judgment, or March 16, 2016.

8.5 Direct Haul. Only wastes in the prescribed vehicles which would not be recycled or otherwise diverted from disposal if processed through a local transfer station may be considered for direct haul pursuant to the Procedures specified in Condition 8.6. At least once per year, the Landfill operator shall submit an updated list of waste and material types recovered prior to transfer for disposal at the landfill if contained in loads delivered to any of the local transfer stations open to the public. The annual list shall be subject to the review and approval of the Department of Conservation and Development and is intended to be used when screening direct haul eligibility pursuant to Condition 8.6(g). Loads containing materials that will be used as cover or otherwise beneficially reused on-site and treated as diversion under the Integrated Waste Management Act may be direct hauled without going through a transfer station.

8.6 Direct Haul Procedures. Direct haul process and materials shall be consistent with the Solid Waste Facility Permit (No. 07-AA-0032), this LUP, and applicable policies adopted by the Board of Supervisors including those identified in 8.6(k) below. The operator shall ensure new customers receive information consistent with i) and j) prior to gaining

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access to the site. The operator shall conduct screening procedures specified in a) through h) prior to allowing customers to direct haul waste/material loads to the landfill. Operator shall provide written confirmation that eligibility has been demonstrated consistent with these procedures prior to loads being accepted for disposal. Operator shall summarize results of direct haul eligibility screening completed each quarter in the direct haul reports required under Condition 8.7.

- a. Name of company and physical location at which the waste or material was generated.
- b. Complete description of waste including chemical analysis and solids-to-liquid ratio when appropriate.
- c. Description of originator's in-house waste inspection program(s) to ensure screening for hazardous and/or toxic materials or originator's written confirmation that their practices comply with uniform waste inspection program prepared by the Landfill operator.
- d. Description of volume and expected frequency of waste to be hauled and a description of the specialized waste transport vehicle(s) to be utilized.
- e. Description of the waste originator's in-house waste reduction and recycling program(s) or originator's written confirmation that their practices comply with a uniform waste reduction and recycling plan to be prepared by Landfill operator and approved by the Department of Conservation and Development.
- f. Originator's or transporter's affirmation to adhere to County imposed haul route and peak hour hauling restrictions.
- g. ~~Annual written confirmation by the Landfill operator, to be reviewed and approved by the Department of Conservation and Development that the waste(s) to be disposed is(are) not currently accepted for recycling (or otherwise diverted from disposal) at transfer stations within Contra Costa County. Effective on October 1, 2016 or upon the commencement of on-site sorting to recover materials from mixed waste loads as provided for in Condition 31.4, whichever is sooner ("Implementation Date"), the Landfill operator shall prior to acceptance verify that Class III waste loads do not contain recyclable materials on the approved annual listing described in Condition 8.5 unless being accepted for on-site diversion or recovery pursuant to the Material Recovery Program (Condition 31.4). The forgoing notwithstanding, in~~

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the event of a legal challenge to the County's approval of this modified Condition 8.6 and/or to the approval of a Material Recovery Program, the Implementation Date shall be the later of date of the resolution of the legal challenges including exhaustion of all appeals to final judgment, or October 1, 2016.

- h. Written waste eligibility determination from Keller Canyon Landfill Company based on a) through g) above.
- i. Requirements of Keller Canyon Landfill Company describing contract for landfill use, rules and regulations of the landfill (e.g. on-site speed limit), prescribed haul route, load inspection program, driver training program, and any other such information as required.
- j. Requirements for proper load covering or containerization and consequences for non-compliance specified in Condition 7.2.
- k. Any other information required by the Director of Conservation and Development, or by the actions of the Board on August 11, 1992, October 27, 1992, November 24, 1992, August 17, 1993, and December 14, 1993.

8.7 Direct Haul Reports. The Landfill operator shall submit quarterly direct haul reports to the Department of Conservation and Development. The quarterly reports shall contain details about all direct haul loads, including the date accepted, customer (company) name, waste type, tonnage, location and jurisdiction of waste/material origin (city and county) and end use (disposal, cover or other on-site beneficial reuse). Summarized results of all direct haul eligibility screening conducted during each period shall be submitted in conjunction with the quarterly waste origin reports. The quarterly reports shall also identify the total tonnage of municipal solid waste (Class III waste) received that quarter, total tonnage of Class II wastes received that quarter, and the percentage of total waste received which is characterized as Class II. If determined necessary by DCD, additional reporting information or more frequent reporting may be required in the future.

8.8 Emergency Direct Haul. In the event that a natural disaster or other emergency prevents the timely processing of wastes through a transfer station before disposal at the landfill, such waste or loads may be considered for direct haul. The landfill operator shall submit a written request to the County Department of Conservation and Development when circumstances or conditions warrant, or may warrant, emergency direct haul to the landfill. The landfill operator shall not proceed with emergency direct

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haul until written approval has been provided by the Director of the Department of Conservation and Development. The landfill operator shall submit an incident report describing the basis for emergency direct haul and the contingency actions taken.

- 9.1 Hours of Operation. The Landfill operator shall not open the landfill to receive waste loads before 7:00 a.m. or after ~~7:30~~7:00 p.m. Refuse shall be covered by 7:30 p.m. at which time working lights shall be extinguished. Entry and security lights shall be dimmed at 7:30 p.m. Other hours of operation, within those parameters, may be specified by the County Health Services Department or in the Landfill's Solid Waste Facilities Permit. Special loads may be received at other times in accordance with procedures established by ~~the County Contra Costa Environmental Health Services Department~~ or the Department of Conservation & Development.

The Director of ~~Community Conservation and~~ Development may administratively shorten or extend the hours of operations prescribed above after consultation with the Landfill operator, ~~the County Health Services Department~~Contra Costa Environmental Health, and the ~~Local Advisory Committee~~City of Pittsburg, after holding a public hearing to obtain the comments of other interested parties. To shorten the hours of operation, the Director of ~~Community Conservation and~~ Development shall find that the changes are needed to mitigate substantial noise, traffic, or similar impacts arising from the operation of the Landfill which were not known when this Land Use Permit was adopted. To extend the hours of operation, the Director of ~~Community Conservation and~~ Development shall find that longer hours will not cause traffic, noise, glare, or similar impacts of Landfill operations to substantially increase in the vicinity of the Landfill. Exceptions to this limitation may be granted in response to natural disasters or other emergencies if deemed warranted by the Director of Conservation and Development if required to address any applicable officially declared disaster.

- 9.2 Operating Days. The landfill shall remain open for operation six days a week except on Holidays. It shall close on Sundays. Exceptions to this limitation may be granted in response to natural disasters or other emergencies if deemed warranted by the Director of Conservation and Development.
- 9.3 Maximum Daily Tonnage. The landfill may accept for disposal a maximum of 3,500 tons of refuse per day ~~through the year 2005~~. The Board of Supervisors shall review and revise, if necessary, the maximum allowable tonnages per day, ~~prior to the year 2005~~. If the Board establishes sub-County service areas, maximum tonnages for each landfill ~~shall~~ may be

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prorated to reflect their service areas. The Board may increase the maximum daily tonnages, if necessary, to reflect Reciprocal Capacity Agreements or emergency measures. Exceptions to this limitation may be granted in response to natural disasters or other emergencies if deemed warranted by the Director of Conservation and Development. The Landfill operator shall submit quarterly reports to the Department of Conservation and Development solely showing daily waste tonnage accepted for disposal.

- 10.1 Volume Estimation. The Landfill operator shall prepare reports annually estimating the remaining landfill site life (years) and capacity (cubic yards and tons). Reports shall be submitted to the Department of Conservation and Development by March 1st of each year.~~submit topographic maps of the landfill and a report of capacity absorption and fill rates to the Community Development Department every two years on the anniversary date of the landfill's opening.~~ The Landfill operator shall also submit an initial topographic map prior to receiving wastes.
- 10.3 Waste Characterization. The Landfill operator shall participate with transfer station operators serving the landfill in a tracking and reporting program to characterize incoming wastes by generator (customer) name, type, amount, and originating community and perform detailed load inspections on vehicles according to a program specified by the Department of County Community Conservation and Development~~Department and County Health Services Department~~. Reports shall be submitted to the County Department of Conservation and Development on a quarterly basis on or before the landfill reporting deadlines specified in the Disposal Reporting regulations (Title 14).
- 11.1 Permit Review. The Landfill operator shall submit reports to the Department of Conservation and Development summarizing the compliance status for these Land Use Permit Conditions of Approval annually unless otherwise specified by the Director of Conservation and Development. The Board of Supervisors will hold annual public hearings to review the Conditions of Approval for this Land Use Permit for three years beginning one year after the commencement of operations of the Landfill. The Board may refer proposed changes to the Land Use Permit to the County Planning Commission for processing. Thereafter, the County Planning Commission shall hold public hearings on the Land Use Permit at three-year intervals. As a result of a review and public hearing, the County Planning Commission may recommend to the Board of Supervisors new or modified conditions to improve the public health, safety, and welfare or in response to court decisions or regulatory changes. Nothing in this condition shall preclude the Landfill owner from applying for amendments to the Land Use Permit at any time or preclude the County from addressing emergency situations or new requirements imposed by State or Federal legislation or the courts.

- 11.2 Local Advisory Committee. The ~~Community-Department of Conservation and~~ Development ~~Department~~ shall organize, and the Landfill developer shall participate in a local advisory committee, consisting of elected representatives of local residents and neighborhood associations, to comment and advise on the development of the landfill and its operations. The Board of Supervisors may sanction the Local Advisory Committee as an official County committee. The committee shall be established as soon as reasonably possible after the Board of Supervisors' approval of this Land Use Permit, if such approval is forthcoming. Meetings shall be initiated following the approval of a Land Use Permit and shall be held at least quarterly through the first two years of landfill operation. Subsequently, meetings may be held annually, but with the provision for meetings on call by the chair or the written request of 3 or more members unless otherwise specified by the County Board of Supervisors. The County Health Services Department shall be notified at least 10 days in advance of all meetings. Subjects for consideration at meetings will include, but shall not be limited to safety and emergency procedures, landfill fill-related traffic problems, screening of visual impacts and problems of litter, odor, and noise control. Meeting agenda also may include discussion of reports on the landfill construction, operation and maintenance. The Landfill operator shall provide reasonable access to the landfill arranged through the ~~Community Conservation and~~ Development Department. A surcharge on the tipping fee may be used to fund the advisory group's operations.
- 11.3 Insurance and/or Bonding. The applicant shall provide the insurance and bonds specified by the units of government having approval authority over the project. The applicant/operator is obligated to comply with additional County specified insurance and bonding requirements pursuant to Article 12 of the First Amended Landfill Franchise Agreement. Subjects ~~will~~ may include, ~~but not be limited to~~, continuity of landfill operation, non-compliance, emergency measures, construction performance, landscaping and closure.
- 11.4 Notification Program. The Landfill operator shall prepare and implement a program to notify potential ~~users of the landfill~~ customers and periodically remind existing customers of ~~the Landfill's~~ its opening and closing times, and the conditions of its use, including waste reduction and recycling requirements, load covering requirements, site access regulations, truck maintenance to conserve fuel and a detailed list of prohibited hazardous wastes and alternative disposal options. Customers shall also be notified and periodically reminded of waste acceptance eligibility criteria so that refuse loads containing materials on the list approved annually pursuant to Condition 8.5 are not being brought directly to the landfill. The program should be prepared in conjunction with the operator(s) of the transfer

- station(s) serving the landfill consistent with the Board of Supervisors' policies on direct haul (see Conditions 8.5 through 8.7). It shall be approved by the County Department of Community Conservation and Development Department.
- 11.6 Compliance Implementation and Mitigation Monitoring Program. The Landfill operator shall ~~provide a fund to support the~~ County ~~staff Department of Conservation and Development's program for~~ monitoring of compliance with these Conditions of Approval and the Environmental Impact Report's mitigation monitoring programs, ~~as designed and implemented by the County Community Development and Health Services Departments~~.
- 11.10 Conditions Requiring Franchise. Conditions of Approval ~~Nos. 4.2, Operative Date, and 13.4, Franchise Agreement Requirement~~, require a franchise or agreement to be established by this County. All of ~~these Conditions of Approval~~ the terms of said franchise or agreement shall be subordinate to ~~the terms of said franchise or agreement~~ these Conditions of Approval, and ~~these terms of said franchise or agreement~~ Conditions of Approval shall control in the case of any conflict unless otherwise provided for pursuant to Condition 2.3. There shall be no need to amend these Conditions of Approval or the franchise in the event of such a conflict.
- 11.11 Regulations Enforced by Other Agencies. Several of these Conditions of Approval relate, paraphrase or summarize laws and regulations which are imposed and enforced by other governmental agencies which have jurisdiction over particular aspects of this project. It is this Board's intent in adopting these Conditions of Approval to provide the applicant and the public with an overview of the scope of regulation applicable to this project and to provide this County with the authority to exercise enforcement power if deemed necessary in response to violations of such laws and regulations enforced by other agencies ~~are violated~~. Unless specifically stated in the Conditions of Approval, however, it is not this Board's intent to establish rules or regulations which are stricter than the laws or regulations which are applied to this project by the other agencies with jurisdiction over aspects of this project. If another agency primarily responsible for some aspect of this project finds that any action or inaction is in compliance with, or violates, any such law or regulation, that finding shall be conclusive. If these Conditions of Approval require some approval by any other agency and that agency declines to approve or disapprove the subject matter, such approval shall be deemed to have been given for purposes of these Conditions of Approval.
- 11.12 Required Expenditures. This Board does not intend, by requiring the applicant to fund various measures, to make any decision regarding whether or not, or how, any expenditures incurred may be recovered through the rate

structure or otherwise by the applicant. Any such decision by this Board shall be reserved for its consideration in the franchise or agreement. No inference regarding this issue is to be drawn from this Board's use of any particular terminology in these Conditions of Approval.

11.13 Designation of Authority. In any instance where a Condition of Approval provides that this Board will decide or act upon a certain matter, this Board may delegate the initial decision making or action with respect to that matter to the Director of Community Conservation and Development or such other designee as this Board determines to be appropriate, provided that there shall be a right of appeal to this Board from any decision to the Director of Community Conservation and Development or other designee.

12.1 Rate Approval.

a) The Board of Supervisors shall may at its discretion review and approve all rates charged by the landfill operator at the landfill to the extent allowed by the terms of the applicable Franchise Agreement. The rates established by the Board will be not only shall be the maximum rates but also minimum rates.

b) The landfill operator shall at all times maintain on file with the County, a current schedule of Base Rates and Gate Rates charged to each customers as required in Section 6.6 of the Landfill Franchise Agreement.

a)c) As provided for in Condition 2.3, where there is an inconsistency between the requirement(s) of this or any other rate setting Condition in Section 12 and the terms of the Landfill Franchise Agreement which granted the operator sole discretion over setting the base gate rate charged to customers, the terms of the Landfill Franchise Agreement shall supersede the applicable language in Condition 12.21(a) and 12.2 - 12.6 until such inconsistency no longer exists pursuant to Condition 2.3(d).

12.2 Rate Review. The If the Board of Supervisors shall elects to review and approve rates, it should be done—annually in accordance with an approvedthe rate application-review procedure established by the County. More frequent review of rates may occur if requested by the landfill operator and if the Board determines that changing circumstances warrant such review. The Board may also review rates more frequently if the Board determines that it is in the public interest to do so pursuant to the terms of the Franchise Agreement for the landfill.

12.3 Form and Content of Rate Review Application. The landfill operator shall

submit its rate application in a form and content as specified by the County. The Landfill operator shall provide any relevant rate and cost information requested by the County. Such application may require the landfill operator to submit the application on forms and/or using computer software provided by or specified by the County. The County shall have the right to inspect and audit all records of the landfill operators which support its rate review application.

13.1 Franchise Compliance and Agreement. The ~~Permittee~~-Landfill operator shall be subject to the terms and conditions of any franchise or agreement established by the Board of Supervisors. A draft franchise or agreement shall be submitted with or before the Final Development and Improvements Plan.

13.2 Assignment. The landfill operator and the landfill owners shall not assign or subcontract the franchise or agreement, any part of the franchise or agreement or any obligation of the franchise or agreement without written prior consent of the Board of Supervisors. Unless otherwise specified in the franchise agreement, The-the term "assignment" shall include any dissolution, merger, consolidation or reorganization of the landfill's ownership or the sale or other transfer of the controlling percentage of the owner's stock in the landfill or the sale of 51% of the value of the assets of the landfill's owners.

14.2 Regulatory Agency Approvals. Subsequent to the approval of this Land Use Permit, the Landfill Developer shall obtain approvals from the regulatory agencies having jurisdiction over the project, and obtain their detailed requirements for building, serving, and operating the Landfill. The approvals shall include, but are not limited to:

- a) Waste Discharge Requirements from the Regional Water Quality Control Board.
- b) Authority to Construct (and Authority to Operate Requirements) from the Bay Area Air Quality Management District.
- c) Wetland Modification Permit from the Army Corps of Engineers.
- d) Streambed Alteration Agreement from the State Department of Fish and Game.

The Landfill developer shall notify the ~~Community Development~~ Department of Conservation and Development if proposed or adopted permit conditions or requirements of other regulatory agencies do not appear to be consistent with this Land Use Permit or the Landfill's Environmental Impact Report. The Landfill operator shall submit to the County copies of all new and modified permits or entitlements at the time each is issued or approved by the

applicable regulatory agency.

- 16.2 Seismic Design. The Landfill, its drainage features and operating components (lifts, berms, liners, sediment pond, leachate and gas collection systems and major stockpiles) shall be designed to withstand ~~the Maximum Credible Earthquake (MCE) and a 0.65g acceleration rate~~earthquakes as specified in applicable regulations. The Landfill developer shall utilize a MCE (design earthquake) specified by the ~~County Community Development Department and the~~ San Francisco Regional Water Quality Control Board. The Landfill developer shall provide substantiation in the Final Development and Improvements Plan that the Landfill design will withstand the MCE.
- 16.4 Geotechnical Inspector. The Landfill operator shall ~~contract with the County, or through the County, to fund the costs of~~ an independent geotechnical consultant, who shall be selected by and be responsible to the County. The ~~consultant-Inspector~~ shall inspect ~~regularly~~regularly the installation and condition of liners, leachate control facilities and other installations, identified by the County, as they are installed and periodically thereafter as directed by the County. This provision shall remain in force over the life of the landfill.
- 16.6 Slope Monitoring. The Landfill operator shall install and maintain slope monitoring stakes on landslides and sensitive slopes which could affect an operating Landfill. The monitoring program shall be approved by the County ~~Community Development Department~~of Conservation and Development.
- 16.8 ~~Post-Earthquake~~Emergency Landslide and Earthquake Program. The Landfill operator shall prepare and implement an emergency program for inspecting the Landfill facility, dealing with failures and providing for uninterrupted refuse handling for implementation following a ~~substantial landslide and/or~~ earthquake. The program shall be subject to the approval of the County ~~Community Development Department~~of Conservation and Development, and the County Contra Costa Environmental Health Services Department and the Regional Water Quality Control Board.
- 17.6 Downstream Well Monitoring. The groundwater monitoring program shall include selected wells down gradient from the site. The wells shall be subject to approval by the San Francisco Regional Water Quality Control Board ~~and the County Health Services Department.~~ The Landfill operator shall sample and analyze water from these wells ~~on a quarterly basis~~as required by the Regional Water Quality Control Board. The location of these wells shall be identified on the Development and Improvements Plan.
- 17.11 Water Balance Calculations. The Landfill operator shall provide water balance calculations, when requested by the ~~County Health Services~~

~~Department~~Regional Water Quality Control Board or other applicable regulatory agency, to evaluate intermediate stages of Landfill operation to ensure the maintenance of a proper solids-to-liquid ratio.

17.17 Working Face. The Landfill operator shall maintain a maximum daily working face of 3 acres or less in order to minimize surface water infiltration to the refuse, as well as to control dust and erosion, prevent vector proliferation, and minimize visual impacts. Exceptions to this limitation may be granted in response to natural disasters or other emergencies if deemed to be warranted by the Director of Conservation and Development.

18.2 Surface Drainage System. The Landfill operator shall install and maintain a Landfill surface drainage system which shall be designed to meet State Class II standards. It shall accommodate a 1,000-year, 24-hour design storm, as specified by the County Public Works Department and the San Francisco Regional Water Quality Control Board (SFRWQCB). The drainage system shall convey surface water around the active fill area without contacting the working face or any solid waste. The surface drainage system shall be approved by the SFRWQCB and the County ~~Community Development~~ Department of Conservation and Development and included in the Development and Improvements Plan. Surface flow shall be evaluated further with groundwater levels and precipitation factors prior to construction, and findings incorporated into the final landfill design in order to lessen impacts to surface water flow. Flow rates and groundwater levels shall be monitored through the life of the landfill. If loss of surface flow is determined to have unforeseen impacts, a like amount of water shall be provided.

18.4 Surface Water Management and Sediment Control Plan. The Landfill developer shall prepare and implement a ~~-Surface Water Management and Sediment Control Plan~~, which shall be subject to the approval of the County ~~Community Development~~ Department of Conservation and Development. The plan shall include a Stability Analysis of proposed cut and fill slopes, and shall prevent substantial erosion on slopes on the project site and reduce the amounts of water-borne materials from reaching surface waters. It shall include the components listed below, and it shall be included in the Final Improvements and Development Plan.

- (a) Primary Grading. The Landfill developer shall perform primary grading for the project's fill modules, cover, roads, paved areas, building sites, and the construction of site slopes during the April through October low rainfall season.
- (b) Temporary Flow Restriction. If grading must be done during rainy periods, or if erosion is occurring on previously graded areas, the

PROPOSED MODIFICATIONS TO THE KELLER CANYON LANDFILL LAND USE PERMIT CONDITIONS OF APPROVAL

Landfill developer shall take corrective actions, which may include the installation of ground cloth or the placement of hay bales.

- (c) Ground Cover. The Landfill developer shall plant ground cover on graded areas which are not to be developed within 90 days. The ground cover shall be consistent with the Landscaping Plan.
- (d) Ditch/Swale Liners. The Landfill developer shall line any ditches and swales for conveying surface runoff across sanitary Landfill areas to limit water infiltration. Drainage-ways across other areas shall be lined or planted to limit erosion.
- (e) Sedimentation Ponds. The Landfill developer shall install and maintain a sedimentation pond system prior to other landfill development to hold and process drainage from the Landfill property which shall be designed to withstand the 1,000-year, 24-hour design storm and Maximum Credible Earthquake event. The Landfill developer shall develop a program for monitoring storage volumes in the sedimentation ponds and releasing water depending on expected rainfall. Flow rates for downstream discharge shall not exceed the 25-year, 24-hour design storm. The program shall include a preventive maintenance program which shall include a program for clearing of sedimentation ponds and maintenance of perimeter ditches and vegetative cover. The owner shall submit documentation to the Department of Conservation and Development documentation to demonstrate that basin maintenance (e.g. dredging) has been completed as needed or required prior to the start of the rainy season (October 15th). The program shall be subject to approval from the County Community Department of Conservation and Development, Contra Costa Environmental Health Services, and Public Works Departments, and the San Francisco Regional Water Quality Control Board. The efficacy of the Landfill surface water control system in reducing downstream flooding shall be addressed in the annual and triennial reviews required by Condition 11.1.
- (f) Runoff Conveyance. Erosion to ditches or gullies used to convey runoff shall be corrected by use of appropriate measures such as energy dissipators or rip rap.
- (g) Equalization Basin. Water in contact with the working face area of the landfill shall be discharged into an equalization basin, monitored, and treated if necessary.

- 19.4 Transfer Station Hazardous Waste Pre-screening. The Household Hazardous Waste Program shall include pre-screening at transfer stations for identification and separation of hazardous materials. In addition, landfill

PROPOSED MODIFICATIONS TO THE KELLER CANYON LANDFILL LAND USE PERMIT CONDITIONS OF APPROVAL

entrance load screening procedures and a manual load check program during unloading operations shall be included in the load screening program required under Condition 7.1. Landfill ~~employees~~operators shall be instructed to investigate suspicious containers for hazardous materials during bulldozing and other activities. Any hazardous materials found shall be set aside for proper collection and disposal.

19.5 Regulatory Agency Approvals. The collection and storage of toxic and hazardous wastes pursuant to this section shall be subject to County Health Services Department's Hazardous Materials Division, State Department of Health Services, and other regulatory agency approvals.

20.2 Odor Containment. The Landfill operator shall operate the Landfill in a manner that prevents odors from being detected off-site, pursuant to Regulations 7-101 and 7-102 of the Bay Area Air Quality Management District. If odors are reported to ~~the Contra Costa Environmental~~Health Services Department, or reports are relayed from the Bay Area Air Quality Management District, the Department of Conservation and Development or Contra Costa Environmental~~the Health Services Department~~ may require additional physical improvements or management practices as necessary to alleviate the problem. Contra Costa Environmental~~The Health Services Department~~ shall have the authority to cease disposal at a particular area of the Landfill, to control odors. A small daily working face (3 acres or less) shall be maintained. The leachate treatment system shall be enclosed and properly maintained to control odors from leachate. The landfill gas collection system and flare shall utilize BACT to reduce landfill gas as a source of toxics and odor.

The Landfill operator shall implement Best Management Practices of the industry to minimize odors from operations and emissions from equipment. If the operator is contacted about odors being detected off-site in surrounding areas, the date, time and description of the odor complaints shall be logged and investigated promptly to expedite implementation of any necessary corrective action by a the landfill operator. The Landfill operator shall contact Contra Costa Environmental Health or the Bay Area Air Quality Management District at minimum of once per year to obtain any information possible about odor complaints received by each agency. Any odor complaints received by the Landfill operator, Contra Costa Environmental Health or the Bay Area Air Quality Management District shall be included in the annual Activities Report required under the Landfill's Franchise Agreement unless otherwise specified by the Director of Conservation and Development. The landfill operator shall provide a means for receiving after hours odor complaints. Complaints shall be promptly investigated (after hours investigations

PROPOSED MODIFICATIONS TO THE KELLER CANYON LANDFILL LAND USE PERMIT CONDITIONS OF APPROVAL

- required if/when multiple after hours complaints received on the same day or on multiple consecutive days) -to identify whether.~~—T~~ the source of the odor is on the landfill site, in which case the problem should be corrected in a timely manner. A response to the person lodging the complaint shall be made within 48 hours and copied to the Department of Conservation and Development, detailing the problem and remedial action taken.
- 20.4 Odoriferous Loads. The Landfill operator shall identify potentially~~ever~~ extremely odoriferous loads prior to acceptance and make any arrangements needed to ensure that disposal of odoriferous loads is managed to avoid off-site detection, which may involve covering such incoming loads immediately.
- 20.7 Air Flow Monitoring. The Landfill operator shall monitor air flow on the site upon commencement of operations and shall provide background meteorological conditions including wind direction, wind velocity, ~~on-site air flows,~~ and temperature. After the Landfill is in operation, data shall be used to correlate odor, dust, or litter management with meteorological conditions. Air flow monitoring reports shall be submitted or made available to the Contra Costa Environmental Health ~~unty Health Services~~ and the Department of Conservation and ~~Community Development~~ ~~Departments~~ upon request.
- 20.8 Contingency Program. Prior to the start of filling operations, Landfill operator shall prepare a "bad days" contingency program for managing the Landfill during periods of unusual wind speeds or directions, rainfall or drought or other atypical situations. It shall apply specific site monitoring information. The Landfill operator shall consider the comments of the City of Pittsburg local advisory committee and consult with the Bay Area Air Quality Management District and the Regional Water Quality Control Board. The program shall be approved by the County Department of Conservation and Development and Contra Costa Environmental ~~Health Services Department,~~ and it may be revised from time to time. See Condition 25.4.
- 20.9 Revegetation. The Landfill operator shall revegetate completed Landfill areas ~~immediately.~~ Revegetation shall be in ~~be~~ accordance with the Development and Improvements Plan and shall be consistent with ~~the County policy on landscaping and~~ State and local water conservation landscaping requirements. Intermediate and final cover areas shall be reseeded with native grasses~~vegetated~~ immediately. Excavations shall be reseeded with native grasses~~vegetated~~ or filled immediately. Operating areas which will not be used for fill or construction for 90 days or longer shall be planted for dust and erosion control and for aesthetic purposes. Landfill operator shall provide the County Conservation and Development

PROPOSED MODIFICATIONS TO THE KELLER CANYON LANDFILL LAND USE PERMIT CONDITIONS OF APPROVAL

Department with written notice and documentation (e.g. photographs) of any inactive unvegetated areas of disturbance not being reseeded immediately whether due to on-site activity associated with the landfill (construction or operations) or naturally occurring (landslides, etc.). The Director of Conservation and Development may require that revegetation notices be submitted more frequently and/or on a fixed schedule.

20.17 Landfill Gas Testing. The Landfill operator shall test Landfill gas for its toxic composition and for toxic constituents. The testing program shall be subject to the approvals of the Bay Area Air Quality Management District ~~and, the Contra Costa Environmental Health~~ County Health Services and ~~the Community Development~~ Department of Conservation and Development. The Landfill operator shall provide the results to the County ~~Community Development~~ Department of Conservation and Development and ~~Contra Costa Environmental Health Services~~ Departments on a bi-annual quarterly basis unless a more frequent interval is specified in the Solid Waste Facilities Permit.

20.19 Cell Re-Opening. Previously ~~covered-closed~~ cells shall not be reopened without permission from ~~Contra Costa Environmental~~ the County Health Services Department. The Department of Conservation and Development shall be notified of any occurrence that potentially necessitates that one or more cells be re-opened.

20.20 Fissure Repair. The Landfill operator shall inspect the Landfill daily. Surface cracks, fissures, eroded areas, or inadequately covered areas on the Landfill may require repairs within 24 hours. The Department of Conservation and Development shall be notified in writing at the time the operator identifies any substantial surface cracks or fissures requiring repairs beyond the placement and compaction of additional clean soil. Photo of the crack should accompany the written notice which describes he expected cause and corrective action plans and repair schedule. This activity shall be included in the employee training program.

20.23 Speed Limits. The Landfill operator shall enforce speed limits set by the ~~Contra Costa Environmental Health~~ County Health Services Department on internal site roads. The Landfill operator shall install appropriate signs and speed control devices. The maximum internal on-site speed limit shall be 20 mph unless otherwise specified by Contra Costa Environmental Health.

21.2 Noise Monitoring Program. The Landfill operator shall prepare and implement a noise monitoring and abatement program, which shall be approved by the County ~~Community Development~~ Department of Conservation and Development and Contra Costa Environmental Health

~~Services Departments~~. The program shall monitor noise levels at sensitive receptor locations, one West of Bailey Road and South of West Leland Road, one near Bailey north of West Leland, and another in the Jacqueline Drive area south of West Leland Road. The Director of ~~Community Conservation and~~ Development may specify other monitoring locations. Noise monitoring reports shall be submitted to the County Conservation and Development Department on a quarterly basis unless otherwise specified by the Director of Conservation and Development. If the monitoring noise levels at the Landfill boundary line or other monitored location exceed 60 dBA during daylight hours, or 50 ~~dBbDA~~ during the evening or at night, the County may require the operator to institute additional noise reduction measures to bring noise emanating from the Landfill to the forementioned levels or less.

- 22.2 Landscape Plan. The Landfill developer shall prepare and implement a site Landscaping Plan. The plan shall enhance the site's visual values as open space and its functional values as wildlife habitat. It shall minimize the visual impacts of the landfill operations and appurtenant facilities through revegetation and landscape screening. The plan shall show the plant species, size, and locations to be used to blend in with the existing natural vegetation. Natural, drought tolerant species shall be used, in accordance with State and local County Policy on Wwater Cconservation Llandscaping requirements. A landscape maintenance program shall be part of the plan. A Weed Monitoring and Control Program shall be included, containing a listing of noxious weeds, a monitoring program, and abatement measure options. A Landscape Plan shall be included in the Development and Improvements Plan. The Landscape Plan shall assure no visual impact on the Cities of Concord and Clayton consistent with the Environmental Impact Report.
- 24.6 Mosquito Control. The Landfill operator shall grade areas within the Landfill property to prevent ponding of water which could harbor mosquitos (except for sedimentation ponds and riparian habitat areas). Sedimentation ponds shall be stocked with mosquito fish unless otherwise specified by the Mosquito & Vector Control District. If a mosquito problem persists, ~~the Contra Costa Environmental unty-Health Services-Department~~ may require the preparation and implementation of additional mosquito control measures, such as spraying of non-toxic larval suppressant.
- 25.1 Litter Control Objective. The Landfill operator shall manage the facility in a manner which confines litter to the working face of the Landfill, which prevents litter from accumulating on ~~an~~ other parts of the site, and which prevents litter from being blown off the site.

PROPOSED MODIFICATIONS TO THE KELLER CANYON LANDFILL LAND USE PERMIT CONDITIONS OF APPROVAL

- 25.2 Load Covering. The Landfill operator shall implement a program ~~to requiring landfill users (customers) to securely containerize their load to avoid littering and~~ exclude uncovered loads from arriving at the Landfill ~~consistent with the requirements of Section 418-2.008 of the County Code.~~ The program shall be subject to the approval of the County Department of Conservation and Development and Contra Costa Environmental Health Services Department. See also Condition 7.2.
- 25.7 On-Site Litter Policing. The Landfill operator shall remove litter from the litter fences and planting screens at least once each day. On-site roads, including 500 feet of Bailey Road south of the site entrance, shall be policed at least daily. ~~The Contra Costa Environmental unty~~ Health Services Department may require more frequent policing to control the accumulation of litter.
- 25.8 Off-Site Litter Policing. The Landfill operator shall provide weekly (or more frequent) litter clean-up along Bailey Road from ~~West Leland Road~~ Highway 4 to at least 500 feet south of the site entrance ~~during the Landfill's first year of operations.~~ Based on experience, the County Department of Conservation and Development or Contra Costa Environmental Health Services Department may modify frequency of clean-up and/or area of coverage. If wind-blown litter from the landfill reaches other properties, the Director of Environmental Health Services or the Director of Conservation and Development may require the Landfill operator to remove the litter and the Director(s) may require the operator to institute additional measures to prevent recurrence of the problem.
- 25.11 Public access. Public access to the landfill shall be prohibited unless such access is provided for special events, such as tours, open house functions or wetland field trips for local schools.
- 26.9 Gas Migration Monitoring. The Landfill operator shall prepare and implement a gas migration monitoring program to detect underground gas migration. Landfill buildings and paved areas within 1,000 feet of the Landfill disposal area shall be monitored unless otherwise specified in state regulations. The monitoring program shall be approved by Contra Costa Environmental Health ~~the County Health Services Department.~~
- 27.3 Security Staffing. The Landfill operator shall staff the Landfill 24 hours per day. Private security services may be retained when the site is not open to patrol and/or aid with investigating after hours odor complaints (see Condition 20.2) as needed.
- 29.2 Access Route. Access to the landfill facility shall be via State Highway 4,

and Bailey Road unless alternate routes are approved by the County Department of Conservation and Development on an interim basis. No waste-hauling traffic shall be allowed entrance to the landfill from Bailey Road south of the site. The Landfill operator shall specify use of the prescribed route in all user contracts and shall notify non-contract users of the requirement. At the request of the Board of Supervisors, the Landfill Operator shall reimburse the County for the cost of enforcement of this Condition on the access route. The Board of Supervisors may also request the Landfill operator to reimburse the City of Concord for an access control police inspection stop on Bailey Road should it become necessary to enforce this access route condition.

- 29.9 Peak Period Traffic Management. The Landfill operator shall prepare a study, in conjunction with the local transfer station(s) serving the landfill, for managing transfer vehicle traffic to reduce peak period conflicts with traffic on Highway 4. The study shall address the restricted departure periods from the Landfill identified in the Environmental Impact Report (6:30 - 8:30 a.m. and 3:30 - 6:30 p.m.) and shall identify any changes to the conditions of approval needed to implement a peak-period traffic reduction program. The study shall be approved by the County Public Works and Conservation and Community Development Departments and shall be provided ~~to the County Community Development Department~~ with the Development and Improvements Plan. The Director of Community—Conservation and Development has imposed the shall specify peak period traffic restrictions identified in a) and b) below. The Director of Conservation and Development may specify any additional peak period traffic restrictions deemed to be warranted. The Landfill operator shall comply with such restrictions, and shall require compliance in contracts with Landfill users.

a) The A.M. peak period departure from the landfill shall commence at 7:10 a.m.

b) A three minute interval shall be maintained between waste hauling vehicles en route to Highway 4 westbound during the period of 7:10 a.m. and 8:30 a.m.

c) Waste hauling vehicles en route to eastbound Highway 4 (the uncongested “reverse commute” direction) may be released without restriction

- 30.0 Site Services and Utilities Objective. The Landfill developer shall design, develop and manage the facility in such a manner that services and utilities adequately meet the landfills requirements, while ensuring the protection of site employees, area residents, and the surrounding environment.

- 31.4 Materials Recovery. The Landfill operator shall prepare and implement a Materials Recovery pProgram for recovering recyclable materials from refuse loads brought directly to the landfill. The Program shall describe in detail all existing and proposed on-site recovery activities and the associated percent of waste diversion for each, including materials diverted for use as cover, on-site beneficial reuse as well as transported off-site (e.g. biomass facilities). The Program shall include proposed on-site recovery activities intended to handle source separated loads and comingled loads to be sorted on-site to increase diversion. On-site recovery activities for direct haul loads of Class III waste loads containing recyclables shall be designed and operated to ensure that diversion levels are not less than 50%. The Landfill operator shall pay all County fees and surcharges on materials recovered on-site in the same manner as if material was disposed. The operator shall coordinate the material recovery program with the operator(s) of a transfer station(s) serving the Landfill. —The pProgram shall be consistent with Conditions 8.5 and 8.6 the and shall only include on-site direct haul recovery activities that will divert no less than an average of 50% for consistency with the Countywide Integrated Waste Management Plan. The landfill operator shall record and report the weight of all material(s) recovered through the Material Recovery Program. Each type of recovered material must be weighed to demonstrate how much of the processed direct haul materials are being diverted on-site and off-site. Materials accepted for beneficial reuse or ADC, which are subsequently deemed unsuitable and must therefore be disposed of, shall be weighted and reclassified for the purposes of reporting and fee calculation. Incoming quantities required to be tracked and reported by waste type and jurisdiction of origin, pursuant to Conditions 8.7 and 10.3, must accurately differentiate between the tons disposed, beneficially used on-site or sent off-site. Quarterly disposal reports must also accurately reflect the destination and tonnage of each type of recovered material sent off-site. The Program and shall be subject to the approval of the County Community Development Department of Conservation and Development.
- 31.6 Wood Chipping. The Landfill operator shall establish a program to encourage landscape services and construction/demolition material debris haulers to segregate wood material for chipping and diversion from landfill disposal. -The program may occur off-site, however unless and until there is on-site recovery (waste diversion as defined in the Integrated Waste Management Act) the Landfill operator shall direct these customers to deliver loads of landscaping and construction/demolition debris to facility(ies) that recover and chip wood material. The program shall be submitted for review and approved by the County Community Development Department of Conservation and Development and implemented on an ongoing basis following approval. and shall be placed in operation within six months of the

~~landfill's opening.~~

31.9 County Resource Recovery Management Program.

- a) When directed by the County, the Landfill operator shall impose a tonnage surcharge adequate to support a County Resource Recovery Management Program ~~consisting of the Office of Resource Recovery Management and its program.~~ The cost of the program to be supported by the surcharge shall not exceed \$100,000 at 1987 levels. If other solid waste disposal facilities are subject to this or a similar condition, the County may pro-rate the cost of the program among them according to a formula approved by the Board of Supervisors.
- b) As provided for in Condition 2.3, where there is an inconsistency between this condition and the terms of the Landfill Franchise Agreement which effectively suspended the collection of this Resource Recovery Management Program Fee, the terms of the Landfill Franchise Agreement shall supersede Condition 31.9 (a) until such inconsistency no longer exists pursuant to Condition 2.3(d).

32.2 Exemption. The Landfill developer may request, in writing, and the Director of ~~Community Conservation and~~ Development may grant, exemptions to Condition 32.1 for specific times for cause. An example is the placing of concrete.

32.6 Dust Suppression. The developer shall sprinkle or chemically treat graded areas, borrow sites, stock piles, and temporary pavements to control dust, as determined necessary by Contra Costa Environmental Health the County Health Services Department and the Bay Area Air Quality Management District.

33.2 Funding of Closure and Postclosure Maintenance Plan. The Landfill operator shall submit to the Board of Supervisors ~~and~~ California Department of Resources Recycling and Recovery (CalRecycle) Integrated Waste Management Board evidence of financial ability to provide for the cost of closure and postclosure maintenance in an amount not less than the estimated cost of closure and 15 years of postclosure maintenance as contained in the submitted closure and postclosure maintenance plan unless otherwise required by the State. Evidence of financial ability shall be in the form of a trust fund approved by the Board of Supervisors in which funds will be deposited on an annual basis in amounts sufficient to meet closure and postclosure costs when needed ~~unless~~ an equivalent financial arrangement is identified as acceptable to the Board of Supervisors. The Board of

Supervisors determined that the State required financial guarantees approved and periodically reviewed by ~~and the California Integrated Waste Management Board~~ CalRecycle are equivalent and therefore adequate to satisfy this condition. The Landfill operator shall maintain a trust fund balance that equals or exceeds the requirements of state law or regulation notwithstanding, however, the trust fund balance shall be at least equal to the then current closure and postclosure cost estimate at such time the landfill has reached one-half of its permitted capacity. The Trust Fund balance requirement shall be appropriately adjusted if the landfill is closed in stages under Condition 33.4.

35.4 Resource Recovery Program Fee.

a) The Landfill developer or operator shall pay to the County of Contra Costa a resource recovery program fee of \$200,000 annually, beginning July 1, 1990. The developer or operator shall deposit the monies in a segregated account established by the County. The extent of the fee shall be subject to reconsideration when a franchise or agreement is established for the Landfill. The resource recovery program fee from its inception shall be a pass-through business cost for the purpose of rate setting. The fee shall be adjusted annually to reflect the current Consumer Price Index.

a)b) As provided for under Condition 2.3, where there is an inconsistency between this condition and the terms of the Landfill Franchise Agreement which effectively suspended this Resource Recovery Program Fee, the terms of the Landfill Franchise Agreement shall supersede Condition 35.4 (a) until such inconsistency no longer exists pursuant to Condition 2.3(d).

35.5 Violation of Prescribed Haul Route. Upon a receiving a written determination from~~of~~ the County that a user of the Landfill has violated Condition ~~of Approval Section 29.2~~ by using a prohibited access route, ~~and upon a written direction by the County,~~ the Landfill operator shall impose on that user the sanction that is directed by the County. Such sanction may include a surcharge on the tipping fee, prohibition against accepting waste from that user for a designated period of time, revocation of County refuse-hauling license, or other sanction directed by the County. A system for reporting alleged violation and for monitoring enforcement data shall be established by the County and implemented by the Landfill operator.

PROPOSED MODIFICATIONS TO THE KELLER CANYON LANDFILL LAND USE PERMIT CONDITIONS OF APPROVAL

History of Revisions

7/23/1990 – Original Land Use Permit Approval

11/1/1994 – Amendment 1 Approval (added COA 35.8)

6/25/2002 – Amendment 2 Approval (added Section 36 “Landfill Gas Power Plant” – LP012115)

12/16/2014 – Approval of Modified Conditions (COAs 20.3, 25.4 & 31.5)

9/22/2015 – Proposed New and Modified Conditions for 2014/15 Permit Review

M:\Keller\Board Order 9-22-2015\COAs\KCL-LUPCOA_New-Modified_Opt B_BoS Version_Rev.docx

EXHIBIT C

RESOLUTION NO. 14-2014

RESOLUTION OF THE COUNTY PLANNING COMMISSION OF THE COUNTY OF CONTRA COSTA, STATE OF CALIFORNIA, PERMIT REVIEW HEARING RECOMMENDING ADDITIONS AND MODIFICATIONS TO THE CONDITIONS OF APPROVAL FOR THE KELLER CANYON LANDFILL LAND USE PERMIT, COUNTY FILE #LP89-2020 AS AMENDED, IN THE PITTSBURG AREA OF SAID COUNTY.

WHEREAS, in 1990, the Board of Supervisors approved County File #LP89-2020 to allow the construction and operation of Keller Canyon Landfill, which included condition of approval #11.1 that allows for the addition or modification of the conditions through permit review hearings; and

WHEREAS, in 1995, the Board of Supervisors approved a Board Order that outlined the criteria to add or modify the conditions of approval that would improve public health, safety, and welfare. Additional modifications to the conditions of approval may also be recommended to address inconsistencies resulting from court decisions or regulatory changes made since the permit was approved in July 1990; and

WHEREAS, on February 25, 2014, the Contra Costa County Board of Supervisors directed the Department of Conservation and Development ("DCD") staff to initiate the permit review process in accordance with the conditions of approval for County File #LP89-2020 as amended; and

WHEREAS, after notice was lawfully given, a public hearing was scheduled before the County Planning Commission ("Commission") on October 28, 2014, whereat all persons interested therein might appear and be heard; and

WHEREAS, on October 28, 2014, the County Planning Commission opened the public hearing and continued this matter to November 18, 2014, to allow staff to address the additional correspondence received after the Better Government Ordinance deadline; and

WHEREAS, on Tuesday, November 18, 2014, the County Planning Commission having fully reviewed, considered, and evaluated all the testimony and evidence submitted in this matter closed the public hearing.

NOW, THEREFORE BE IT RESOLVED, that the County Planning Commission takes the following actions:

- 1) CONSIDER the information presented in the October 28, 2014 and November 18, 2014, staff reports and the associated Exhibits as adequate to satisfy the 1995 Permit Review Criteria for KCL's Land Use Permit, County File #LP98-2020; and
- 2) RECOMMEND the Board of Supervisors approve the proposed new and modified conditions of approval for Keller Canyon Landfill's land use permit (see Attachment B), and;
- 3) RECOMMEND the Board of Supervisors direct DCD staff to schedule the next permit review hearing in one-year.

These actions were the subject of a motion approved by the County Planning Commission on Tuesday, November 18, 2014, by the following vote:

AYES: Commissioners – Steele, Terrell, Stewart, Swenson, Wright and Snyder

NOES: Commissioners – None


ABSENT: Commissioners – Clark

ABSTAIN: Commissioners – None

BE IT FURTHER RESOLVED that the Secretary of the County Planning Commission shall respectively sign and attest the certified copy of this resolution and deliver the same to the Board of Supervisors all in accordance with the Planning Laws of the State of California.

Don Snyder,
Chair of the County Planning Commission,
County of Contra Costa, State of California

ATTEST:



Aruna Bhat, Secretary
County Planning Commission
County of Contra Costa
State of California



City of Pittsburg

65 Civic Avenue • Pittsburg, California 94565

January 9, 2015

The Honorable John Gioia, Chair
Contra Costa County
Board of Supervisors
651 Pine Street
Martinez, CA 94553

Subject: Proposal for City of Pittsburg as Local Enforcement Agency Designation for Keller Canyon Landfill

Chair Gioia and Board of Supervisors:

Pursuant to the Board of Supervisor's request on December 16, 2014, the City of Pittsburg is providing a proposal for solid waste inspections and enforcement for Keller Canyon Landfill. The proposal will provide a brief background of the Pittsburg Local Enforcement Agency (Pittsburg LEA), reasoning for the designation of the Pittsburg LEA for Keller Canyon Landfill, and potential resource savings for the County.

The City of Pittsburg was formally designated a Local Enforcement Agency by the California Integrated Waste Management Board (presently known as CalRecycle) on December 15, 1993. The City has all the proper certifications by CalRecycle to inspect Keller Canyon Landfill and is in good standing with the State with commendable evaluations. In addition, Pittsburg currently employs three qualified staff members with a combined 85 years of experience.

The Pittsburg LEA is typically the first agency approached in the local community when issues arise concerning Keller Canyon Landfill. Designation of the Pittsburg LEA over Keller Canyon Landfill would eliminate the additional step by residents contacting the County LEA. Being in closer proximity to the Keller Canyon Landfill will enable a more efficient handling of community issues. The designation will also provide a local contact in the community that is the most impacted due to the landfill residing in Pittsburg's sphere of influence. The Keller Canyon Landfill will have a long legacy for Pittsburg and local oversight will ensure its short-term and long-term influences are safe for the local community most impacted.

The Pittsburg LEA has experienced staff available immediately to begin solid waste inspections and enforcement. Enforcement activities will comply with State regulations and permit requirements. This proposal will allow for a transitional period of three years from the County LEA to the Pittsburg LEA and will be reflected in a resource friendly package to the County. During the transitional period, joint inspections by the Pittsburg LEA and County LEA will occur to ensure a smooth transition for Keller Canyon Landfill as well as to provide transparency during the process. Throughout the term of Pittsburg acting as Keller Canyon's LEA, Pittsburg will submit an annual report to the County.

Based on all present conditions, the following fees would apply from the present Keller Canyon generated LEA fees for the designation of the Pittsburg LEA for the Keller Canyon Landfill:

Year	Fees
1 st year	\$ 2,200.00 per month
2 nd year	\$ 2,350.00 per month
3 rd year	\$ 2,500.00 per month
4 th year and beyond	To be determined.

Additional services will be billed on a time and material basis.

In recognition of the expenses the City will invest to take on the additional duties and responsibilities of the LEA designation, if Contra Costa County retracts the Pittsburg LEA designation during the transitional period, the Pittsburg LEA will be paid a "wind-down fee" equivalent to fifty percent of the Keller Canyon generated LEA fees collected for that three-year period. This payment would offset investment costs incurred by the City during the start-up, management, wind-down period, and afterward, including but not limited to expenses for outreach to the community, staff transition, equipment, and implementation of necessary organizational changes.

This proposal will require approval by the Contra Costa County Board of Supervisors, the City of Pittsburg City Council, and CalRecycle before it can proceed. We anticipate that the proposal will be incorporated into a negotiated Memorandum of Understanding that is fair and reasonable to all parties.

The Pittsburg LEA appreciates the opportunity to provide this proposal and looks forward to future discussions. Please feel free to call me at (925) 252-4850 if you have questions on the above information.

Sincerely,



Joe Sbranti
City Manager

cc: Contra Costa County Board of Supervisors
City of Pittsburg City Council
David Twa, County Administrator
Garrett Evans, Assistant City Manager

C&D Processing at Keller Canyon Landfill – Preliminary Draft

1) Background and Introduction

- a) In compliance with Land Use Permit 2020-89, Condition 8.1b, construction and demolition (C&D) material has been coming into Keller Canyon Landfill (KCL) for over 20-years.
- b) The material is from a variety of sources including independent haulers using self-dumping trailers or rolloff trucks to contractors with end-dumps or debris boxes.
- c) The understanding is that the majority of this material has already undergone some form of generator or job location source separation and material specific source reduction prior to arriving at Keller. This understanding is supported by information obtained by market area representatives, and involves principally three concepts;
 - i) Construction economics dictate that recoverable materials be removed prior to disposal because they either have monetary value (e.g. metal) or can be processed or managed at a much lower cost than what is associated with disposal (e.g. clean wood)
 - ii) Many communities where generators are located (including the County) have mandatory C&D recycling ordinances or other recycling incentives
 - iii) Visual observations made by trained landfill personnel on existing inbound materials

2) Purpose and Need

- a) As stated, customers have been bringing their C&D to KCL for over 20 years. It is a convenient, cost-effective location to manage this fraction of materials from jobsites/projects they are associated with and an important resource for local businesses.
- b) By adding on-site sorting and processing capabilities, KCL will increase diversion for the County and continue to provide a valuable service to the community.

3) Benefits/Impact on County TS processing Capacity

- a) If much of the material has already been presorted or segregated, there is little benefit to sending it through a transfer station. In fact, it unnecessarily adds costs, traffic, carbon footprint and greenhouse gas impacts.
- b) Since material has been coming to KCL for so long, additional processing and diversion at KCL will have little to no impact on the operation of local transfer stations. This

project will maintain the status quo, except for additional processing and diversion at KCL.

4) Timeframe/Schedule

- a) Once approved, the operation could be implemented fairly quickly; probably within 3 to 6-months, depending on final project parameters and seasonal weather conditions.

5) Processing Hours/Days

- a) Processing would be limited to normal business days (M-F) and hours (8 a.m. – 4 p.m.)
- b) Depending on volumes, processing would likely only be required 3-5 days per week for 4-6 hours per day
- c) Flexibility may be required in the event that additional processing capacity is needed for larger jobs, but in no case will it occur outside of normal business days and hours.

6) Waste Types and Volumes/day

- a) Waste types are expected to be similar to what is received now, which includes pre-processed and unprocessed C&D from a variety of sources including residential, commercial and industrial.
- b) Waste volumes are anticipated to be similar to what is received now; 400-1500 tons per month, depending on seasonality, economic conditions, and other market factors.

7) Operational Changes

- a) Processes
 - i) C&D loads received that the site for processing will be directed to the Processing Area, as shown in Figure 1, where they will be offloaded onto an all-weather sorting pad.
 - ii) The material will be stored until a sufficient quantity of material has been received to warrant sorting and segregation. Material will normally be sorted within 5 business-days of receipt, and in no case more than 15 business-days.
 - iii) Sorting will occur using a ground sort method with both manual and equipment aided processes
 - (1) Once the material has been received and offloaded onto the sorting pad, manual and equipment aided sorting will begin. Larger pieces will be removed and placed in nearby bunkers, bins or piles. Equipment and laborers will spread the material out as needed to facilitate further sorting.
 - iv) Material placed in bunkers, bins or piles will be stored until sufficient quantities are accumulated:

- (1) Materials such as clean wood and metal will be stored in open air piles until sufficient quantities are accumulated for outhaul.
 - (a) Clean wood will be transported out by a third party to a biofuel location, similar to how it is currently handled at other RSG locations.
 - (b) Metal will be sent to Sims or Schnitzer steel (or similar service provider) for further recycling.
 - (2) Other materials such as concrete, asphalt, dirt and related fines will be stockpiled and beneficially used on-site for pad, road construction and cover (daily or intermediate) as needed.
- v) Residual materials not sorted for diversion will be transferred to the working face for disposal.
- vi) All material movements will be tracked and recorded as appropriate.
- b) Equipment
 - i) Much of the sorting will be done manually using on-site labor. Necessary equipment will be used as needed to safely move and manage the material and stockpiles.
 - ii) Existing equipment that may be used includes the following;
 - (1) Excavator/backhoe/wheel loader/skid steer
 - (2) Rolloff bins, trucks and an end-dump
- c) Buildings/Site Improvements
 - i) No additional buildings will be needed.
 - ii) Site improvements include construction of an all-weather tipping and sorting pad as well as bunkers delineated by K-Rails or similar concrete blocks. Stockpiles will also be used to store bulk materials such as concrete and dirt that may be removed from the C&D loads. These piles will be similar to existing onsite piles of these types of materials.
 - iii) The operational area will be graded to optimize drainage. Signs will be placed as needed to direct the sorting, moving, transport and storage of material.

8) Diversion Methods

- a) As described above, diversion will be largely accomplished through a combination of manual and equipment-aided sorting. Experience shows that significant diversion can be accomplished in this manner.

9) Diversion Percentage

- a) A minimum 50% diversion is expected.
- b) Tracking of aggregate amounts diverted during a given month will occur as materials are sorted and sent offsite, beneficially used on site or transported for disposal.

- c) Diversion and disposal reporting will be performed in accordance with the LUP and SWFP conditions

10) Vehicle trips

- a) No significant additional vehicle trips are anticipated, as the intent is to continue to service customers that already bring this material to KCL. Some additional outbound loads will be required to accommodate diverted material. This may amount to 10-40 loads per month (or an increase of less than 1% over existing permitted levels).

11) Personnel/Staffing Requirements

- a) Sorting will be done on a part-time basis and staffed accordingly. Existing onsite workforce will be used and additional temporary recycling workers will be brought in as needed to facilitate the required sorting operations.
- b) An onsite KCL operator and driver will also be used to run equipment and vehicles as needed.

12) Permitting and Compliance

- a) Conditions will need to be addressed, modified or approved as needed in the following permits;
 - i) LUP COA; County DCD
 - ii) SWFP/RDSI; LEA & CalRecycle

13) Maps/Locations

- a) Processing locations are under consideration, but will likely occur either up top on the top deck area or in the area adjacent to the landfill on the east side or south side of the fill placement area.

LAW OFFICES OF
SCOTT W. GORDON
A PROFESSIONAL CORPORATION
1990 NORTH CALIFORNIA BLVD. SUITE 620
WALNUT CREEK, CALIFORNIA 94596

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215 W. 7TH STREET, NO. 509
LOS ANGELES, CALIFORNIA 90014

ENVIRONMENTAL, LAND USE
PUBLIC AGENCY PRACTICE

REPLY TO: WALNUT CREEK

925-295-3133
FAX: 925-295-3132

December 16, 2014

Via Hand Delivery December 16, 2014

The Hon. Karen Mitchoff, Chair
and Members of the Board
Contra Costa County Board of Supervisors
651 Pine Street
Martinez, CA 94553

Re: Agenda Item D. 7; Permit review to consider new and modified conditions
of approval for Keller Canyon Landfill's Land Use Permit

Dear Chair Mitchoff and Members of the Board:

This undersigned serves as counsel for Keller Canyon Landfill Company, Inc. ("KCLC"), the permittee in the pending proceeding for County review and update of the Land Use Permit for the Keller Canyon Landfill. I am writing to address one of the suggested modifications forwarded to you by Mr. Kopchik, Interim Director of the Conservation & Development Department ("CDD") following the County Planning Commission's review completed on November 18, 2014.

Specifically, KCLC believes changes can and should be made to the proposed language for LUP conditions 8.1, 8.5 and 8.6 included in the CDD staff mark up of proposed permit conditions for Recommendation No. 3 in your Board Order, dealing with eligible vehicles and the guidelines for direct haul of materials to the landfill facility. KCLC's suggested text revisions are included as Attachment A to this letter, with suggested changes noted in the blue underlined and highlighted text.

The brief background and need for the modification is as follows. For the past 20 years of the landfill's operation pursuant to the LUP, the site has been receiving construction and demolition debris type waste loads directly brought to the landfill, in accordance with the direct haul guidelines. This is waste material that in the landfill's experience has largely been pre-processed by generators prior to delivery to the landfill. However, in the course of the CDD staff and Planning Commission review of the LUP as directed by the permit and your Board, certain

competitor companies – using lawyers, consultants and surrogate entities with names like the California Compost Coalition and Organics Waste Solutions, LLC -- have aggressively lobbied staff and the Planning Commission for changes to conditions 8.1, 8.5 and 8.6, urging the staff and Commission to adopt the revised condition language embodied in Recommendation No. 3, and even urging the Commission to have gone further in attempting to force waste materials that have been received at the landfill via direct haul to be diverted to other facilities, including of course facilities owned by competitors. The lawyers and businesses are strangers to the Keller permit who inappropriately seek to use this review proceeding to further their business interests at the expense of Keller Canyon Landfill and the County.

The KCLC language proposal before you is a slight modification to the current draft CDD permit update language that would allow the landfill site itself to undertake additional processing of this waste material, subject of course to compliance with environmental review requirements of CEQA¹ and the permitting process. The language stipulates that Keller Canyon will apply for permits by April 1, 2015 to perform additional processing at the landfill site for materials it currently receives and has taken over the past 20 years. This is not a new waste stream and would not change the inbound traffic into the facility. By doing supplemental on site processing, the landfill will be taking the extra step to capture excess materials suitable for diversion prior to disposal, while maintaining the status quo allowing the facility to continue operations without loss of these waste streams and materials to economically motivated competitors. The landfill would implement the new CDD language for conditions 8.1, 8.5 and 8.6 once permits were obtained to implement additional processing. Until then, the current practice, reflecting the status quo, would remain in place. This approach is in our judgment fair, given that implementing the CDD language could disrupt the inbound waste streams and result in a loss of facility revenues and franchise fees to the County as a result. It seems patently unfair and a brazen step that business competitors -- companies who have not made the kind of investment of the magnitude required to establish a state of the art solid waste management facility like Keller Canyon -- should be able to use another facility's permit review process to implement what amounts to a flow control measure to take away business in order to reap economic benefits for their own businesses.

The Keller Canyon facility has been extensively regulated by the County and other agencies for the past 20 years, and the LUP permit requirements have been interpreted and administered by the County over the same period authorizing the direct haul of demolition and debris materials. The landfill pays franchise fees to the County for receipt of this material. Fairness in the administration of the LUP counsels in favor of our suggested approach. Everyone wins in this situation: traffic is not altered and redistributed to other facilities with unknown consequences; Keller Canyon rightfully retains business revenues it has earned in the marketplace; the facility achieves additional diversion; and the stability of revenues means that

¹ California Environmental Quality Act, Pub. Res. Code section 21000 *et seq.*

The Hon. Karen Mitchoff, Chair
and Members of the Board
Contra Costa County Board of Supervisors
December 16, 2014
Page 3

that County's franchise fees on the facility's receipt of this waste material continue, benefitting the County.

We respectfully request that the Board approve the proposed new and modified conditions of approval for the Keller Canyon Landfill Land Use Permit (LUP), County File #LP89-2020, reflected in Exhibit B, but substituting the specific modifications to conditions 8.1, 8.5 and 8.6 set forth in attachment A to this letter.

Thank you for your consideration of these matters.

Very truly yours,



Scott W. Gordon

Encls.

SWG:cg

cc: Mike Caprio, Northern California Area President
Rick King, KCLC General Manager
Tim Argenti, General Manager
Ms. Deidra Dingman, CCC Solid Waste Programs Manager

Requested Changes in Highlighted and Underlined Blue Text:

8.1 Eligible Vehicles. The Landfill operator shall admit only the following refuse transport vehicles:

- a) Transfer station trucks (vans). Transfer stations shall have a Waste Management Program, which includes hazardous waste screening and resource recovery operations, which may be subject to the approval of the Board of Supervisors if deemed necessary for consistency with the Countywide Integrated Waste Management Plan.
- b) Demolition and construction material trucks hauling debris that would not be recycled or otherwise diverted from disposal and is not processed onsite or at a local Transfer Station. There are waste reduction requirements that apply to such wastes generated by businesses and industries, therefore the operator shall assist the County to help ensure compliance with such requirements or goals through implementation and compliance with Conditions 8.5 – 8.7.
- c) Incinerated sewage sludge-hauling trucks originating at utilities.
- d) Sewage and water treatment plant sludge and other byproduct trucks with loads complying with San Francisco Regional Water Quality Control Boards solids-to-liquid requirements.
- e) Trucks hauling Designated Wastes approved for this landfill by the Regional Water Quality Control Board.
- f) Other specialized waste transport trucks, hauling wastes identified in the Landfill's Solid Waste Facilities Permit which cannot be feasibly processed onsite or through a local Transfer Station.
- g) The provisions set forth in subsections (b) and (f) above shall become effective on January 1, 2016 or upon Landfill Operator's receipt of all necessary permits and approvals for onsite processing of waste materials, whichever is later ("Implementation Date"). Consideration and issuance of permits and approvals is subject to compliance with CEQA and applicable laws. Prior to and until the Implementation Date, Landfill Operator's current practice and procedures for Eligible Vehicles shall remain in effect. Landfill Operator shall apply for such permits and approvals by April 1, 2015.

8.5 Direct Haul. Only wastes in the prescribed vehicles which is not recycled or otherwise diverted from disposal if processed onsite or through a local transfer station may be considered for direct haul pursuant to the Procedures specified in Condition 8.6. Loads containing materials that will be used as cover or otherwise beneficially reused on-site and treated as diversion under the Integrated Waste Management Act may be direct hauled without going through a transfer station.

8.6 Direct Haul Procedures. Direct haul process and materials shall be consistent with the Solid Waste Facility Permit (No. 07-AA-0032), this LUP, and applicable policies adopted by the Board of Supervisors including those identified in 8.6(k) below. The operator shall ensure new customers receive information consistent with i) and j) prior to gaining access to the site. The operator shall conduct screening procedures specified in a) through h) prior to allowing customers to direct haul waste/material loads for disposal at the landfill. Operator shall provide written confirmation that eligibility has been demonstrated consistent with these procedures prior to loads being accepted for disposal. Operator shall summarize results of direct haul eligibility screening completed each quarter in the direct haul reports required under Condition 8.7. These requirements shall not apply to loads being received for onsite processing. These provisions shall become effective on January 1, 2016 or upon Landfill Operator's receipt of all necessary permits and approvals for onsite processing of waste materials, whichever is later ("Implementation Date"). Consideration and issuance of permits and approvals is subject to compliance with CEQA and applicable laws. Prior to and until the Implementation Date, Landfill Operator's existing and past practice and procedures for Direct Haul waste materials shall remain in effect. Landfill Operator shall apply for such permits and approvals by April 1, 2015.

WILLIAM B. WALKER, M.D.
HEALTH SERVICES DIRECTOR

RANDALL L. SAWYER
CHIEF ENVIRONMENTAL HEALTH & HAZMAT OFFICER

MARILYN C. UNDERWOOD, PH.D. REHS
DIRECTOR OF ENVIRONMENTAL HEALTH



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2015 FEB -2 P 4: 51

Date: January 28, 2015

To: Deidra Dingman, Department of Conservation and Development

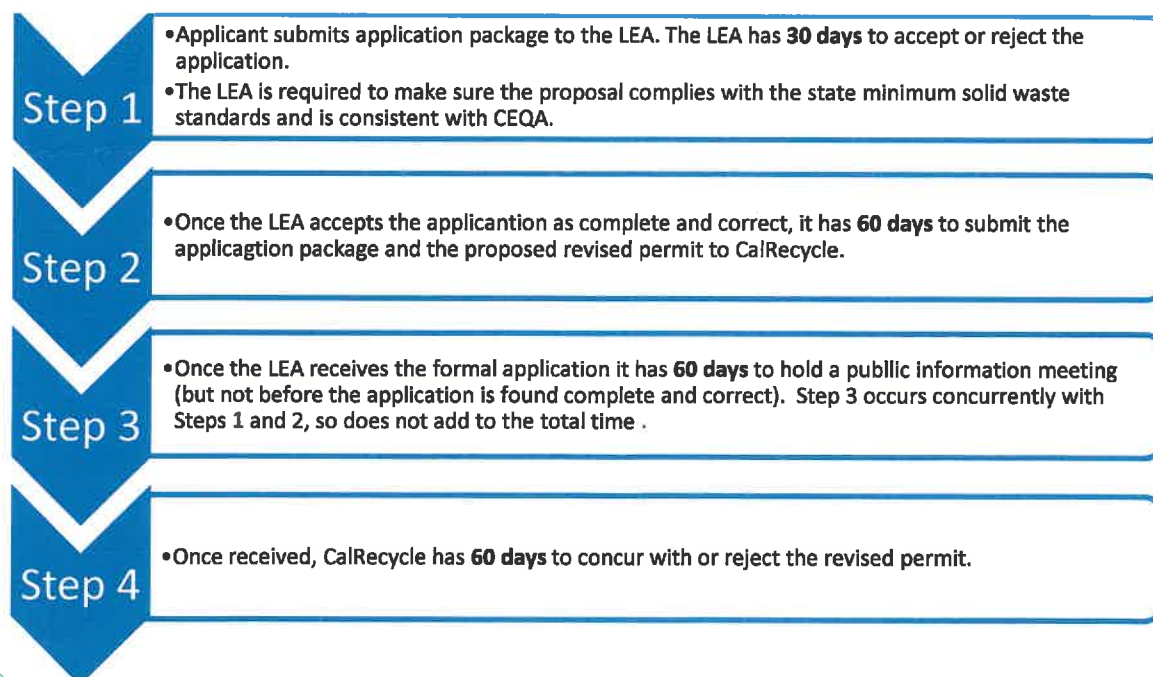
From: Joe Doser 

Subject: Process for Revising a Solid Waste Permit

To revise a solid waste permit an operator submits an application to the Local Enforcement Agency (LEA). Once a permit is submitted, both the LEA and CalRecycle are required to meet mandated deadlines as described below.

Due to the complexity of these projects, many operators submit a draft application package to the LEA who then shares this with CalRecycle. This informal review by both agencies helps the applicant provide a formal submittal that has the best chance of approval. The length of time this informal process takes will depend on the complexity of the project, motivation of the applicant, completeness of the draft package, and how much time the applicant takes to make any requested changes. During this informal process, CalRecycle also provides feedback.

The lead agency for CEQA is often the Department of Conservation and Development. The CEQA process is typically completed prior to the formal submission of a permit application to the LEA. During the CEQA process, the LEA will review and comment on the CEQA document with the goal that it can find the project in conformance with CEQA as described in the chart below.



Deidra Dingman
January 28, 2015
Page 2 of 2

The maximum time to complete Step 1 through Step 4 is 150 days. The informal review can reduce this time, since the agencies are already familiar with the project, done the bulk of the review, and the LEA has prepared the proposed revised permit.

JD:tf

cc: Marilyn C. Underwood, Ph.D., Director of Environmental Health
Eric Fung, Environmental Health Specialist II

Status Types

Completed	requirements were satisfied and no further action or monitoring expected. Brief remarks are provided in the adjoining Comments column.
Completed. On-Going	initial required action or submittal was completed and continuing landfill operations must be conducted in conformance with applicable submittal/requirements on an on-going basis.
Completed. Updated As Needed	submittal requirement was satisfied, but submittal addresses on-going or future activities and may be updated if/when warranted based on operating experience or requirements imposed by regulations or another permit/regulatory agency.
Further Review Required	compliance status has not yet been confirmed pending completion of further review and/or coordination with other regulatory agencies.
In Compliance. On-Going	compliance with general requirements of conditions involving facility design standards, daily operations, or scheduled monitoring.
Informational	primarily informational and does not require specific actions.
In-process	applicable compliance efforts are underway but not yet completed.
No Longer Applicable	determined to be unenforceable or infeasible subsequent to issuance of the KCL LUP in 1990. Examples include conditions superseded by regulations; conditions that no longer apply due to U.S. Supreme Court decisions. Explanation is provided in the adjoining Comments column.
Not in Compliance	further action is required by the operator to fully satisfy requirement(s), includes any that were only partially satisfied but not enough to be deemed substantially in compliance.
Not Yet Required	no action is required of the Landfill owner/operator until such time that circumstances or events occur that are specified in the condition of approval (e.g. upon request by the County). Explanation is provided in the adjoining Comments column.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
1.1	Short Title. The Keller Canyon Landfill project is henceforth referred to in this document as the Landfill.		Informational	Condition Acknowledged.
2.1	Ultimate Responsibility. The conditions of approval identify the Land-fill developer as the party responsible for implementing conditions involving construction and improvements, and the Landfill operator for implementing conditions involving maintenance and management. Regardless of these identifications, the Land-fill owner shall be responsible for complying with all conditions.		Informational	Condition Acknowledged.
2.2	Transfer of Ownership. The Land Use Permit for the Landfill shall run with the land; however, a new owner shall be responsible for notifying the County Community Development Department of any change in ownership. A change in ownership shall be interpreted to mean the acquisition of 5 percent or more of the value of the Landfill site covered by this Land Use Permit. (It is noted that other permits may not necessarily run with the land.)		Completed. On-Going	The First Amended Landfill Franchise Agreement contains assignment requirements pertinent to a change in ownership. The Keller Canyon Landfill Company (KCLC) was owned by Browning Ferris Industries (BFI) at the time the Landfill began operations in 1992. In 1999, BFI sold/transferred KCLC and other local assets to Allied Waste Industries. In 2008, Allied Waste Industries merged with Republic Services, Inc.
3.1	Compliance Objective. The Landfill developer and operator shall at all times comply with the requirements of laws and permits applicable to the facility.		In Compliance. On-Going	Operation has generally been conducted in compliance with all local, State, and federal laws and regulations. The Department of Conservation and Development (DCD) is not aware of any violations that were not corrected in a timely manner. The Landfill operator and any Vendors/operators subcontracted to work at KCL is required to comply with this LUP and all other permits with regulatory authority over landfill operations. See Condition 14.2 for a listing of approved permits.
3.2	Design Standard. The Landfill developer shall design the Landfill facility to meet the requirements of the San Francisco Regional Water Quality Control Board for a Class II waste disposal facility.		In Compliance. On-Going	KCL is a Class II waste disposal facility that has been classified by the Regional Water Quality Control Board (RWQCB) according to the provisions of Title 27, Environmental Protection--Division 2, Solid Waste Chapter 3. Criteria for All Waste Management Units, Facilities, and Disposal Sites . Keller Canyon Landfill's design, construction, and operation comply with all State Minimum Standards for solid waste handling and disposal specified in Title 27 of the California Code of Regulations (CCR). The design for each phase of the landfill is subject to RWQCB review and approval prior to construction being authorized by DCD.
3.3	State Minimum Standards. The operation and maintenance of this facility shall at all times comply with Minimum Standards for Solid Waste Handling and Disposal (California Administrative Code, Title 14, Division 7, Chapter 3).		In Compliance. On-Going	In 1997, California Code of Regulations (CCR) Title 14 and 23 for the Solid Waste Facilities Permit, were modified into CCR Title 27. KCL complies with all Minimum Standards for Solid Waste Handling and Disposal. The LEA has local enforcement authority over KCL and conducts monthly inspections of the landfill site to ensure compliance with the Minimum Standards for Solid Waste Handling and Disposal. See Condition 3.10.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
3.4	Land Use Permits. The Landfill developer and operator shall at all times comply with the provisions and requirements of this Land Use Permit. A violation of any of these conditions is cause for revocation of the Land Use Permit.		In Compliance. On-Going	Landfill owner/operator is in compliance with the vast majority of LUP conditions of approval currently in effect. This table reflects DCD staff's assessment of landfill operator's current status of compliance for each LUP Condition of Approval.
3.5	Solid Waste Facilities Permit. The Landfill operator shall conform with all provisions and requirements of the Landfill's Solid Waste Facilities Permit, which is based on the guidelines of the California Integrated Waste Management Board.		In Compliance. On-Going	The landfill operator submitted a Report of Disposal Site Information (RDSI) and formal application for a Solid Waste Facility Permit (SWFP) on 1/3/1992 (see letter from S. Gordon (KCLC) to C. Nicholson (HSD), dated 1/3/1992. SWFP 07-AA-0032 was issued April 29, 1992, and is reviewed every five years, The last revision was on December 14, 2009. The LEA conducts monthly inspections. The last violation noted in the inspection reports completed by the LEA was for “Reporting and Control of Excessive Gas Concentrations” in July 2011. Also see Condition 3.10.
3.6	Subchapter 15. The Landfill operator shall at all times comply with the provisions and requirements of Subchapter 15 of Chapter 3 of Title 23 of the California Administrative Code ("Subchapter 15") for a Class II waste disposal facility.		In Compliance. On-Going	In 1997, California Code of Regulations (CCR) Title 14 and 23 for the Solid Waste Facilities Permit, were modified into CCR Title 27. Title 27 allows for preparation of a <i>Joint Technical Document (JTD)</i> to include the Report of Disposal Site Information (RDSI), Report on Waste Discharge, Preliminary Closure Plan, and Postclosure Maintenance Plan. These documents formerly were submitted separately.
3.7	Other Regulatory Agencies' Requirements. The Landfill operator shall at all times comply with the provisions and requirements of other regulatory agencies having jurisdiction over the facility.		In Compliance. On-Going	The landfill operator has generally been in compliance with all facility permits. There is no history or evidence of on-going non-compliance with other permits of other agencies with regulatory jurisdiction over landfill design and operations.
3.8	Utilities, Service Districts, and Government Agencies' Requirements. The Landfill developer or operator shall at all times comply with the regulations and requirements of utilities, districts, or agencies which have jurisdiction over the installation of improvements or provide services to the landfill.		In Compliance. On-Going	Operator is in compliance with all facility permits. There is no history or evidence of non-compliance with other permits of other agencies with regulatory jurisdiction over landfill design and operations.
3.9	Notice Coordination. Copies (or originals) of all reports to other agencies concerning the design, operation, and maintenance of the Landfill facility shall be sent by mail or hand-delivery, to the Community Development Department, 651 Pine Street, 4th Floor North Wing, Martinez, CA 94553-0095.		In Compliance. On-Going	Original compliance with this condition was approved by CDD on 10/15/1991. See Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991. Copies of all reports concerning landfill design, construction, operation, and maintenance that are submitted to other agencies are available upon request. Reports submitted to some agencies are available on-line (e.g. RWQCB – Geotracker). All of the reports submitted to agencies in 2013 have been sent to DCD at the following address: Contra Costa County- Department of Conservation & Development -30 Muir Road, Martinez, CA 94553

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
3.10	Monitoring and Inspection. All monitoring reports and results of inspection or analysis shall be made available to the County Health Services and Community Development Departments. Any indication of an emergency or other serious problem relating to public health and safety shall be reported at once.		In Compliance. On-Going	<p>The landfill operator coordinates with both CCEH and DCD in reporting any potential problems relating to public health and safety. All monitoring and inspection reports are on-file at the Landfill owner/operator's office and available for review by interested agencies during normal business hours. Inspection reports produced by County Environmental Health and CalRecycle are posted and available on-line.</p> <p>Contra Costa Environmental Health operates as the solid waste Local Enforcement Agency (LEA) for the California Department of Resources Recycling and Recovery (CalRecycle) with the primary responsibility to ensure correct operation and closure of solid waste facilities in the State of California, including the proper storage and transportation of solid wastes. The LEA enforces SWFP #07-AA-0032 for Keller Canyon Landfill. Also see comments in condition of approval 14.2.</p>
3.11	Master Chart. The Landfill operator will maintain for reference a master chart showing schedules and results of preparation, operation, monitoring and reporting in all major phases of the facility.		Completed. On-Going	<p>An initial Master Chart was approved by CDD in 1991. An update of the original development plan for the landfill prepared in 1991 was necessitated by changes that occurred from landfill operations and site development. A Conceptual Master Plan for the landfill was submitted to CDD in July 2002. The Master Plan was comprehensive and addressed important aspects of landfill design and operation. The Master Plan also demonstrated that the original permitted air space volume of 75 million cubic yards was maintained after landslide repairs and other site development.</p> <p>Landfill development sequencing for the remaining lifespan of the landfill was prepared consisting of eight (8) groupings of phases. The phases within the first two groupings, collectively known as Phases 1 and 2 respectively, had all been completed by 2002. Currently Phase 3 is being implemented. A revised development plan for the remaining undeveloped portions of the landfill was presented in Figure 8 of the Master Plan. The revised plan accounts for mitigation of landslides, stability of containment systems and waste mass, and other design and operations parameters required to meet regulatory requirements.</p> <p>Over time, a Master Chart as described in this condition has been superseded by modern computerized project management systems. Similar information is already conveyed in periodic reports on landfill activities and site development that are submitted to the County or regional/state agencies (copies available for review during normal business hours).</p> <p>See Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991.</p>

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
4.1	Validity Period. The Landfill developer shall install pre-requisite improvements and open the Landfill for receiving refuse within three years of the final approval of the project's Solid Waste Facilities Permit. This validity period shall be tolled while any appeal filed by parties other than the Landfill developer is pending. The Landfill developer may request from the Director of Community Development one or more one-year extensions of the Land Use Permit. If the Land Use Permit is not implemented within the specified time, it shall become null and void. The Director of Community Development may allow each one-year extension if the Director finds that there are changed circumstances which warrant the consideration of changes to the Conditions of Approval.		Completed	<p>The Contra Costa County Board of Supervisors approved LUP 2020-89 on July 24, 1990.</p> <p>The Solid Waste Facility Permit was issued on April 29, 1992. KCL became operational on May 7, 1992, within the specified time period of this condition.</p>
4.2	Operative Date. This Land Use Permit is valid upon approval by the Board of Supervisors. However, it shall not become operative until and unless the permittee (landfill owner, etc.) first obtains and the Board of Supervisors grants a franchise to or approves an agreement with permittee (see Section 13, Franchise Agreements).		Completed	<p>The original Franchise Agreement was issued on December 4, 1990. The Franchise Agreement was amended on September 13, 1994 as the First Amended Landfill Franchise Agreement. Amendment No. 1 to the First Amended Franchise Agreement was executed on November 1, 1994. Amendment No. 2 to the First Amended Franchise Agreement was executed on February 27, 1996.</p>
5.1	Area of origin. The area of origin of all waste hauling vehicles admitted to the landfill, shall be Contra Costa County. The Landfill operator shall not refuse to receive eligible wastes which originate in Contra Costa County provided such wastes are delivered to the facility in accordance with these Conditions of Approval and the landfill's Solid Waste Facilities Permit, and provided that appropriate disposal fees are paid		No Longer Applicable	<p>Judicial and legislative decisions have since overturned restrictions on disposal in local landfills to protect from too early filling. Waste origin restrictions were also nullified through the First Amended Franchise Agreement in 1994. The Board of Supervisors authorized KCL to accept certain special handling wastes (non-hazardous and non-toxic) originating outside of Contra Costa County pursuant to guidelines for directg haul originally approved by the Board on 10/27/1992. See report to the Board of Supervisors dated December 7, 1993 and approved on December 14, 1993.</p>
5.2	Out-of-County Wastes. The Landfill operator shall not receive wastes from outside Contra Costa County unless such imports of waste are specifically approved by the Board of Supervisors. This condition shall not apply to wastes which may be temporarily received under the Emergency Use provisions of Condition 6.5 or the Reciprocal Capacity Agreement of Condition 5.4.		No Longer Applicable	<p>The prohibition on import of waste from outside the County was rendered No Longer Applicable by U.S. Supreme Court decisions in June 1992 that reinforced that solid waste is a business commodity subject to federal commerce clause protection.[2]</p>
5.3	Sub-County Service Area. If there is more than one Class II or Class III landfills operating in Contra Costa County, the Board of Supervisors may establish sub-County service areas for each on a temporary or long-term basis. If the Board has established a sub-County service area for the Landfill, the operator shall not accept waste for disposal from outside such area.		Not Yet Required	<p>Sub-County service areas have not been established.</p>

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
5.4	Reciprocal Capacity Agreement. The Landfill operator shall receive waste from outside Contra Costa County if in accordance with the terms and conditions of a Reciprocal Capacity Agreement entered into by Contra Costa County with another county. Waste shall be received upon reasonable notice to the Landfill operator and the Board of Supervisors and direction by the Board to the Landfill operator as to the terms and conditions under which the waste will be received. The Board may specify disposal charges which are applicable only to the waste received under the Reciprocal Capacity Agreement.		Not Yet Required	Reciprocal Capacity Agreements with other jurisdictions have not been established..
5.5	Pre-Requisite Curbside Recycling Program. The Landfill shall not admit or dispose of waste loads from communities which do not have in operation a curbside recycling, or equivalent, program approved by the Board of Supervisors. Board of Supervisors approval may be interpreted as a consistency with a Board of Supervisors-approved Countywide Integrated Waste Management Plan. The Board of Supervisors may determine the eligibility of a community's program.		Further Review Required	<p>The landfill operator accepts waste loads from communities that have commercial and curbside recycling programs certified by CalRecycle. A recent review by the landfill operator of waste accepted by origin in year 2013 revealed that of 22 jurisdictions, all except Trinity County (0.22 ton of waste) had certifications in the CalRecycle database for commercial recyclers and collection companies.</p> <p>Further research and review is required in order to document the adequacy of the recycling programs from out-of-county for all areas waste is currently being accepted from (2013 listing attached). Need to institute a pre-acceptance procedure to ensure verification of curbside recycling occurs prior to loads being accepted from new area</p>
6.1	Eligible Wastes. The Landfill operator shall allow only wastes eligible for disposal in a Class II facility, as defined by the Regional Water Quality Control Board to be admitted to the land-fill. The wastes admitted to the landfill shall also be consistent with the Solid Waste Facilities Permit, administered by the County Health Services Department, and consistent with the Board of Supervisors' policies and these conditions of approval. To the extent allowed by law, the Board of Supervisors may direct the Landfill operator not to accept wastes that do not meet State and County policies and regulations.		In Compliance. On-Going	<p>The Landfill operator has unknowingly disposed of ineligible wastes on a few occurrences due to misrepresentation by the applicable generators(see Condition 6.4). Signage is posted at the gate and scale house outlining all prohibited material.</p> <p>Any instances where ineligible wastes were identified after acceptance were addressed in a timely manner as required by the applicable regulatory authorities.</p>
6.2	Designated Wastes. The Landfill operator shall allow only those designated wastes (as defined by Section 2522 of Article 2 of Subchapter 15, of Title 23, of the California Administrative Code) approved for this facility by the San Francisco Regional Water Quality Control Board, and shall be consistent with the Solid Waste Facilities Permit to be admitted for disposal. The Board of Supervisors may designate special rates for this waste.		In Compliance. On-Going	Facility is in compliance with regulations in Title 27 of the CCR and conditions related designated wastes. On December 14, 1993, the Board of Supervisors authorized KCL to accept certain designated wastes (special handling wastes, that are non-hazardous and non-toxic) originating outside of Contra Costa County, subject to specific conditions related to volume limitations, rates, waste inspection, and laboratory testing among others. See Recommendation from Val Alexeeff, GMEDA Director, to the Board of Supervisors dated December 7, 1993. The Board approved as recommended on December 14, 1993. Many of these designated wastes have since been incorporated into the SWFP as described in Condition 6.1 Eligible Wastes above.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
6.3	Infectious Wastes. The Landfill operator shall accept only those infectious wastes identified in, and disposed of in accordance with the Solid Waste Facilities Permit.		In Compliance. On-Going	All potentially infectious waste are disposed of in accordance with Section 14 Prohibitions of the SWFP.
6.4	Ineligible Wastes. The Landfill operator shall not allow the following wastes to be disposed at the landfill: a) Hazardous or toxic wastes. b) Radioactive wastes. c) Liquid wastes, other than utility sludges meeting Regional Water Quality Control Board requirements. d) Other ineligible wastes specified in the Solid Waste Facilities permit administered by the County Health Services Department.		In Compliance. On-Going	All incoming loads are routinely screened in accordance with the most recent Load Check Program (updated in September 2013) and Condition 17j (Hazardous Waste Screening) of the SWFP. See Condition 6.1 & 7.1. Three incidents of potential ineligible waste acceptance were recorded at Keller Canyon Landfill which are summarized below. In all three cases, regulatory agencies were contacted following identification to bring them up to speed. 1) Air Products in 10/1997 - aluminum nickel oxide reformer catalyst, resulted in issuance of a Notice of Violation; 2) Ultramar, Inc. Refinery in 8/2001 - lead support rings, resulted from errors made by generator and landfill so Notice of Violation issued. Waste was excavated and taken to a Class I and subsequent testing found material was well below the federal limit; 3) Berkeley Unified School District in 8/2008 - contaminated soil, due to errors made by generator, completed excavated and taken to Class I and subsequent testing proved the material was not hazardous.
6.5	Emergency Use. If the service area of the Landfill is determined to be a sub-area of the County, the County Health Services Department may allow legal waste originating in areas of Contra Costa County, other than those stipulated in Section 5, to have access to the landfill for periods up to 180 days on an emergency basis. The department may grant one extension for no longer than 180 days. The Board of Supervisors may allow the emergency use of the landfill to continue for a period up to two years.		Not yet required	Sub-County service areas have not been established.
6.6	Hazardous Waste Screening and Management. See Condition 19.		See Section 19	This condition cross-references to another LUP condition.
6.7	Area of Origin Restrictions. See Condition 5.		See Section 5	This condition cross-references to another LUP condition.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
7.1	Eligible Vehicles and Loads. The Landfill operator shall screen loads to limit to the extent practicable the intake of ineligible waste. Prior to receiving waste, the Landfill operator shall prepare in writing a program for identifying eligible vehicles and screening loads at the Landfill entrance, random sampling and inspection for ineligible wastes, and checking loads at the Land-fill disposal area. The Load Inspection program shall include inspection for hazardous wastes and procedures for their handling and off-site disposal consistent with the Contra Costa County Hazardous Waste Management Plan. The program shall be subject to the approval of the County Health Services Department and the County Community Development Department.	B-53	In Compliance. On-Going	<p>The original Load Check Program was approved in 1992 by the Health Services Department and CIWMB as part of the RDSI pursuant to issuance of the SWFP. The current Load Check Program was updated in September 2013, [DCD has not reviewed/approved this version it needs to be submitted to DCD and LEA right away] and contains policies and procedures for screening and checking of all incoming loads. Condition 17j (Hazardous Waste Screening) of the SWFP specifies procedures for hazardous waste inspection. Landfill personnel are trained in the implementation of identifying non-eligible vehicles and ineligible waste. Ineligible hazardous waste materials are not accepted at the landfill per conditions in this LUP and the SWFP.</p> <p>The load checking program was developed to conform with the requirements of 27 CCR, Section 20870, and the WDRs [Wastes and Their Classification (Part 11)] for KCL. The load checking program is designed to identify and remove hazardous and prohibited wastes from the municipal waste stream coming to the landfill. Incoming wastes are identified based on visual inspection, questioning by staff, physical assessment, and waste characterization analysis. If an incoming load is suspected of containing ineligible waste, based on the visual inspection, the hauler will be questioned by staff about the contents of the load. If there is still a question about the acceptability of the waste, the hauler will not be permitted to unload the waste.</p> <p>See Health Services Department Memo from C. Nicholson to C. Zahn (CDD) dated 3/10/1992.</p> <p>See Condition 19.4.</p>
7.2	Load Covering. The Landfill operator shall spot check all incoming waste-hauling vehicles for proper covering or containerization. The operator shall not admit waste loads which are susceptible to littering or leakage because of the lack of covering, inadequate covering, or disrepair of screens or containers.		In Compliance. On-Going	<p>Every incoming load is inspected to ensure all waste-hauling vehicles are covered; waste loads are screened for excessive littering and inadequate covering. Transfer vehicles with inadequate screens or containers are repaired as they are identified. Republic’s trucks should have phone number for others to call if litter is observed so corrective actions are taken in a timely manner (like “How’s my driving”) Also see Condition 25.3.</p> <p>On May 14, 1991, the Board of Supervisors amended County Ordinance Code 91-26 (Requirements for Vehicles Transporting Refuse) to require all waste-carrying vehicles transporting refuse to solid waste facilities in the County to have their loads covered. On September 9, 1991 the Board of Supervisors approved additional recommendations that included but was not limited to requiring CDD to include off-site litter policing in new permits for waste disposal and processing facilities; and directed County staff to work with the Sheriff's Department and California Highway Patrol to assure enforcement of covered load and anti-littering requirements.</p>

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
8.1	<p>Eligible Vehicles. The Landfill operator shall admit only the following refuse transport vehicles:</p> <p>a) Transfer station trucks (vans) from a transfer station located in Contra Costa County. Transfer stations shall have a Waste Management Program, which includes hazardous waste screening and resource recovery operations, approved by the Board of Supervisors.</p> <p>b) Demolition and construction material trucks originating in Contra Costa County. If the Board of Supervisors has established waste reduction goals for the businesses and industries generating such wastes, the generators shall comply with such goals.</p> <p>c) Incinerated sewage sludge-hauling trucks originating at utilities located in Contra Costa County, or other utilities serving Contra Costa County.</p> <p>d) Sewage and water treatment plant sludge trucks originating in Contra Costa County, with loads complying with San Francisco Regional Water Quality Control Boards solids-to-liquid requirements.</p> <p>e) Trucks hauling Designated Wastes approved for this landfill by the Regional Water Quality Control Board. The wastes shall originate in Contra Costa County.</p> <p>f) Other specialized waste transport trucks, hauling wastes originating in Contra Costa County and identified in the Landfill's Solid Waste Facilities Permit.</p>	B-41	In Compliance. On-Going	Only eligible vehicles, as outlined in this condition and/or the operating permits, are allowed admittance into the Keller Canyon Landfill. In-county waste origin requirements of this condition are unenforceable as a result of U.S. Supreme Court decisions in June 1992 (see also the First Amended Franchise Agreement in 1994). See Condition 5.1.
8.2	Service Area Restriction. See Section 5.		See Section 5	This condition cross-references to another LUP condition.
8.3	Emergency Exemption. See Section 6.5.		See 6.5	This condition cross-references to another LUP condition.
8.4	Reciprocal Use Exemption. See Condition 5.4		See 5.4	This condition cross-references to another LUP condition.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
9.1	<p>Hours of Operation. The Landfill operator shall not open the landfill to receive waste loads before 7:00 a.m. or after 7:30 p.m. Refuse shall be covered by 7:30 p.m. at which time working lights shall be extinguished. Entry and security lights shall be dimmed at 7:30 p.m. Other hours of operation, within those parameters, may be specified by the County Health Services Department in the Landfill's Solid Waste Facilities Permit. Special loads may be received at other times in accordance with procedures established by the County Health Services Department.</p> <p>The Director of Community Development may administratively shorten or extend the hours of operations prescribed above after consultation with the Landfill operator, the County Health Services Department, and the Local Advisory Committee, after holding a public hearing to obtain the comments of other interested parties. To shorten the hours of operation, the Director of Community Development shall find that the changes are needed to mitigate substantial noise, traffic, or similar impacts arising from the operation of the Landfill which were not known when this Land Use Permit was adopted. To extend the hours of operation, the Director of Community Development shall find that longer hours will not cause traffic, noise, glare, or similar impacts of Landfill operations to substantially increase in the vicinity of the Landfill.</p>		In Compliance. On-Going	Hours of operation and control of on-site lighting are complied with as specified in this condition. There have been no shortening of hours due to unforeseen impacts arising after the LUP was issued. No waste is accepted after 7:00 P.M. The required daily cover is completed by 7:30 P.M. All stationary lights are extinguished by 7:30 P.M.
9.2	<p>Operating Days. The landfill shall remain open for operation six days a week. It shall close on Sundays.</p>		In Compliance. On-Going	The facility is open six days a week and closed on Sundays as specified in this LUP condition; Specification 5b. of the SWFP; and Condition #17309 part 1 of the Major Facility Review permit issued by the BAAQMD.
9.3	<p>Maximum Daily Tonnage. The landfill may accept for disposal a maximum of 3,500 tons of refuse per day through the year 2005. The Board of Supervisors shall review and revise, if necessary, the maximum allowable tonnages per day, prior to the year 2005. If the Board establishes sub-County service areas, maximum tonnages for each landfill shall be prorated to reflect their service areas. The Board may increase the maximum daily tonnages, if necessary, to reflect Reciprocal Capacity Agreements or emergency measures.</p>		In Compliance. On-Going	KCL is in compliance with the maximum daily tonnage limit of 3,500 specified in this LUP condition; Section 5c and Condition 17m of the SWFP; and Condition #17309 part 2(a) of the Major Facility Review permit issued by the BAAQMD. Tonnage records are submitted to County DCD and CCEH and are available for review. Sub-County services areas have not been established.
9.4	<p>Minimum Buffer Zone. The Landfill developer shall reserve a minimum buffer of 2,000 feet from the closest place of permanent waste placement to the closest existing residence on Jacqueline Drive.</p>		In Compliance. On-Going	The Minimum Buffer Zone of 2,000 feet was established and is maintained per this condition.

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9.5	Special Buffer Area. No residential housing shall be permitted at any time in the special buffer area. See Condition 23.2.		In Compliance. On-Going	The Special Buffer Area continues to be under Williamson Act contract (Contra Costa County, 2008) and is zoned A-4, Agricultural Preserve. No residential housing has been constructed in the Special Buffer Area. Application submitted for oil and gas well permit to drill a well in the Special Buffer Area (094-360-010) in June 2003.
9.6	Dedication of Special Buffer Area. At the time of the submission of the landfill's Development and Improvement Plan, pursuant to Government Code Section 7050, the landfill owner shall offer to dedicate the fee title of the land within the Special Buffer Area to the County of Contra Costa for recordation. The County may accept the fee title and complete the dedication subsequent to the opening of the landfill for the disposal of waste. In making the offer of dedication the Landfill owner may reserve the rights to carry out mitigation programs required by these Land Use Permit Conditions of Approval, and as may be further detailed in implementation plans required to be prepared by these Conditions within the Special Buffer Area. The Landfill operator may perform grading and make installations, such as drainage ditches within the Special Buffer Area related to the landfill facility, provided that the grading and installations are consistent with the approved final Development and Improvements Plan and do not impair the capability of the Area to accommodate agricultural grazing and provide habitat mitigation consistent with these Conditions of Approval. Similarly, the Landfill operator shall be allowed to carry out closure and post-closure activities related to the landfill or the Special Buffer Area provided that such activities are consistent with a County-approved closure plan and with the uses of the land allowed by these Conditions of Approval. The County may require the Landfill operator to maintain the Special Buffer Area, subsequent to dedication, at the operator's expense. Maintenance shall include security, weed control, erosion control and the provision of fire trails.		Completed	The landfill operator submitted a letter to the County Board of Supervisors with an Offer to Dedicate on October 23, 1991. The County Board of Supervisors formally accepted the dedication offer in the form of a Board Order "Acceptance of Development Rights for Special Buffer Area, Keller Canyon Landfill" recorded on November 19, 1996. No waste disposal has occurred in the Special Buffer Area. Limited site development has occurred consistent with Attachment B Dedication of Development Rights of the Board Order and Report of Disposal Site Information and Final Development and Improvements Plan. This site development has not impaired the Special Buffer Area's capability to accommodate grazing or habitat mitigation. The Dedication of the Special Buffer Area reserves the rights of the landfill owner to carry out mitigation programs required by LUP conditions and/or other permits. Offer letter substantiated by CDD on 10/23/1991. See Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991.
10.1	Volume Estimation. The Landfill operator shall submit topographic maps of the landfill and a report of capacity absorption and fill rates to the Community Development Department every two years on the anniversary date of the landfill's opening. The Landfill operator shall also submit an initial topographic map prior to receiving wastes.		In Compliance. On-Going	Topographic maps, color aerial photos, and capacity absorption are completed annually and are available for review by interested agencies during normal business hours. Aerial surveys are required under Condition 17r of the SWFP which is enforced LEA. DCD receives landfill capacity and estimated volume of waste in place on an annual basis which is used to satisfy an annual reporting requirement enforced by the State.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
10.2	Scales. The Landfill developer shall install certified scale(s) at the landfill to weigh incoming and outgoing trucks. A weighing program, subject to approval by the County Department of Health Services and Director of Weights and Measures, shall be implemented to monitor incoming wastes.		In Compliance. On-Going	Certified scales were installed prior to commencement of landfill operations See County Certificate of Inspection dated 4/23/1992. The weighing program was approved by the Health Services Department prior to perations (see Memo from C. Nicholson to C. Zahn, CDD dated 3/10/1992).
10.3	Waste Characterization. The Landfill operator shall participate with transfer station operators serving the landfill in a program to characterize incoming wastes by type, amount, and originating community and perform detailed load inspections on vehicles according to a program specified by the County Community Development Department and County Health Services Department. Reports shall be submitted to the County on a quarterly basis.		In Compliance. On-Going	The facility coordinates with transfer station operators on waste characterization as required by the County and the State’s Disposal Reporting System regulations. Waste characterization reports are prepared quarterly and submitted to DCD as required under this condition. A new Condition 8.7 is proposed to be added which addresses reporting for waste not processed through transfer stations.
11.1	Permit Review. The Board of Supervisors will hold annual public hearings to review the Conditions of Approval for this Land Use Permit for three years beginning one year after the commencement of operations of the Landfill. The Board may refer proposed changes to the Land Use Permit to the County Planning Commission for processing. Thereafter, the County Planning Commission shall hold public hearings on the Land Use Permit at three-year intervals. As a result of a review and public hearing, the County Planning Commission may recommend to the Board of Supervisors new or modified conditions to improve the public health, safety, and welfare. Nothing in this condition shall preclude the Landfill owner from applying for amendments to the Land Use Permit at any time or preclude the County from addressing emergency situations or new requirements imposed by State or Federal legislation or the courts.		In process	In June 1995, the County Board of Supervisors approved Permit Review Criteria for Keller’s Land Use Permit. Review of the County Land Use Permit LP89-2020 is currently underway and expected to be the subject of a hearing before the County Planning Commission in October 2014. under progress. Operator applied for LUP Amendment in 2008 which is in process. . DCD is currently reviewing the ubsequent EIR

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
11.2	Local Advisory Committee. The Community Development Department shall organize, and the Landfill developer shall participate in a local advisory committee, consisting of elected representatives of local residents and neighborhood associations, to comment and advise on the development of the landfill and its operations. The Board of Supervisors may sanction the Local Advisory Committee as an official County committee. The committee shall be established as soon as reasonably possible after the Board of Supervisors' approval of this Land Use Permit, if such approval is forthcoming. Meetings shall be initiated following the approval of a Land Use Permit and shall be held at least quarterly through the first two years of landfill operation. Subsequently, meetings may be held annually, but with the provision for meetings on call by the chair or the written request of 3 or more members. The County Health Services Department shall be notified at least 10 days in advance of all meetings. Subjects for consideration at meetings will include, but shall not be limited to safety and emergency procedures, landfill fill-related traffic problems, screening of visual impacts and problems of litter, odor, and noise control. Meeting agenda also may include discussion of reports on the landfill construction, operation and maintenance. The Landfill operator shall provide reasonable access to the landfill arranged through the Community Development Department. A surcharge on the tipping fee may be used to fund the advisory group's operations.		Completed	Currently inactive due to action taken by the County Board of Supervisors on 1/25/1995. The last meeting took place on February 28, 1995. Landfill personnel periodically conduct site tours of the facility for the local community and make presentations to the Bay Point Municipal Advisory Council upon request.
11.3	Insurance and/or Bonding. The applicant shall provide the insurance and bonds specified by the units of government having approval authority over the project. Subjects will include, but not be limited to, continuity of landfill operation, non-compliance, emergency measures, construction performance, landscaping and closure.		In Compliance. On-Going	<p>The filing was substantiated by CDD on 10/25/1991. See Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991. Insurance requirements are specified in Article 12 of the First Amended Landfill Franchise Agreement approved by the County in 1994. See also Condition 25.10.</p> <p>The only agency that required a bond at project approval was the Regional Water Quality Control Board. A Mitigation Bond of \$500,000 (Bond No. 98370) was filed by KCLC with the Regional Board. Landfill facility Insurances and bonds are updated annually according to inflation rates set by CalRecycle. Bonds are updated for closure, post-closure, and corrective action.</p>

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
11.4	Notification Program. The Landfill operator shall prepare and implement a program to notify potential users of the landfill of its opening and closing times, and the conditions of its use, including waste reduction and recycling requirements, site access regulations, and a detailed list of prohibited hazardous wastes and alternative disposal options. The program should be prepared in conjunction with the operator(s) of the transfer station(s) serving the landfill. It shall be approved by the County Community Development Department.		Completed. On-Going	Notification requirements of this condition are included in sales, customer service, and special waste service agreement business contracts with users and potential users of the landfill. Signage of operating hours and conditions, conditions of use, and other requirements are posted at the facility entrance. Additionally, the back of every ticket issued to customers at the gate specifies unacceptable waste, and the actions that can be taken by the landfill operator at its sole discretion in the event a customer attempts to deliver unacceptable waste. The applicable waste reduction and recycling requirements can be found in Condition 5.5 and proposed new Condition 8.6.
11.5	Development Coordinator. The Landfill owner shall provide a fund to support a County Landfill Development Coordinator, if the County establishes the position, through the period of construction and landfill operations. The Coordinator shall be a staff member or a consultant. The owner shall make quarterly advance payments. The Landfill developer and operator shall provide such information as the Development Coordinator may require to review plans and installations under the purview of the County, except that any requirements for additional studies shall be subject to the approval of the County's Director of Community Development.		In Compliance. On-Going	The Board of Supervisors approved initial consultant contract with Brown & Caldwell for Development Coordinator on September 3, 1992 for Phase 1B; & Geotechnical Coordinator (COA 16.4).The landfill operator reimburses the County for staff costs to review landfill plans, installations, and operations related to this LUP pursuant to Condition 11.6.
11.6	Compliance and Mitigation Monitoring Program. The Landfill operator shall provide a fund to support County staff monitoring of compliance with Conditions of Approval and mitigation monitoring programs, as designed and implemented by the County Community Development and Health Services Departments.		In Compliance. On-Going	Landfill owner provides funds to support County staff of the DCD and CCEH as required by this condition of approval.
11.7	Pre-Annexation Notification. If the Landfill owner decides to request annexation of the Landfill to a city, the owner shall notify the Board of Supervisors at least 180 days in advance of filing any application for such annexation. The Board may require the Landfill owner to consult with it or County staff to determine how solid waste management programs specified in these Conditions of Approval would be carried out subsequent to annexation. In no case shall the annexation relieve the Landfill operator of the financial responsibilities, including payment to the County of mitigation fees, specified in these Conditions.		Not Yet Required	Landfill owner has not requested annexation of the landfill property to a city.
11.8	Fee and Surcharge Identification. The Landfill operator (permittee) shall not identify the costs of public agency (County, etc.) fees, charges, or surcharges on bills and receipts issued to landfill users without first obtaining the specific written approval of the County.		In Compliance. On-Going	This Landfill owner is in compliance with this condition. No costs of public agency (County, etc.) fees are identified on customer invoices.

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11.9	Interpretation of Conditions. The Community Development Department Director is authorized to interpret these Conditions in the event that any clarification is needed.		Informational	Condition Acknowledged.
11.10	Conditions of Approval Nos. 4.2 and 13.4 require a franchise or agreement to be established by this County. All of these Conditions of Approval shall be subordinate to the terms of said franchise or agreement, and the terms of said franchise or agreement shall control in the case of any conflict. There shall be no need to amend these Conditions of Approval in the event of such a conflict.		Completed	Original Franchise Agreement was issued on December 4, 1990. The Franchise Agreement was amended on September 13,1994 as the First Amended Landfill Franchise Agreement. Amendment No. 1 to the First Amended Franchise Agreement was executed on November 1, 1994. Amendment No. 2 to the First Amended Franchise Agreement was executed on February 27, 1996.
11.11	Several of these Conditions of Approval relate, paraphrase or summarize laws and regulations which are imposed and enforced by other governmental agencies which have jurisdiction over particular aspects of this project. It is this Board's intent in adopting these Conditions of Approval to provide the applicant and the public with an overview of the scope of regulation applicable to this project and to provide this County with enforcement power if such laws and regulations enforced by other agencies are violated. Unless specifically stated in the Conditions of Approval, however, it is not this Board's intent to establish rules or regulations which are stricter than the laws or regulations which are applied to this project by the other agencies with jurisdiction over aspects of this project. If another agency primarily responsible for some aspect of this project finds that any action or inaction is in compliance with, or violates, any such law or regulation, that finding shall be conclusive. If these Conditions of Approval require some approval by any other agency and that agency declines to approve or disapprove the subject matter, such approval shall be deemed to have been given for purposes of these Conditions of Approval.		Informational	Condition Acknowledged. The Department of Conservation and Development is not aware of any approval Keller has sought which was denied by a regulatory agency.
11.12	This Board does not intend, by requiring the applicant to fund various measures, to make any decision regarding whether or not, or how, any expenditures incurred may be recovered through the rate structure or otherwise by the applicant. Any such decision by this Board shall be reserved for its consideration in the franchise or agreement. No inference regarding this issue is to be drawn from this Board's use of any particular terminology in these Conditions of Approval.		Informational	Condition Acknowledged.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
11.13	In any instance where a Condition of Approval provides that this Board will decide or act upon a certain matter, this Board may delegate the initial decision making or action with respect to that matter to the Director of Community Development or such other designee as this Board determines to be appropriate, provided that there shall be a right of appeal to this Board from any decision to the Director of Community Development or other designee.		Informational	Condition Acknowledged.
12.1	Rate Approval. The Board of Supervisors shall approve all rates charged by the landfill operator at the landfill. The rates established by the Board will be not only maximum rates but also minimum rates.		No Longer Applicable	Applicable conditions and provisions of rate review and approval are implemented through the Franchise Agreement. See Condition 11.10.
12.2	Rate Review. The Board of Supervisors shall review and approve rates annually in accordance with an approved rate application procedure. More frequent review of rates may occur if requested by the landfill operator and if the Board determines that changing circumstances warrant such review. The Board may also review rates more frequently if the Board determines that it is in the public interest to do so.		No Longer Applicable	Applicable conditions and provisions of rate review and approval are implemented through the Franchise Agreement. See Condition 11.10.
12.3	Form and Content of Rate Review Application. The landfill operator shall submit its rate application in a form and content as specified by the County. Such application may require the landfill operator to submit the application on forms and/or using computer software provided by or specified by the County. The County shall have the right to inspect and audit all records of the landfill operators which support its rate review application.		No Longer Applicable	Applicable conditions and provisions of rate review and approval are implemented through the Franchise Agreement. See Condition 11.10.
12.4	Rate Application Guidelines. The rate application shall be designed to ensure reconciliation of rates with audited company financial statements; detailed year-to-year cost comparisons; documented guidelines for allowable expense categories, accounting methodologies, allowable management costs and other cost elements; unit usage and unit cost data on major expense items; calculation and reporting of company productivity statistics by cost category; and full documentation of assumptions and source materials. The rate application process shall also provide for comparative rate surveys with other similar operations.		No Longer Applicable	Applicable conditions and provisions of rate review and approval are implemented through the Franchise Agreement. See Condition 11.10.

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12.5	Financial Statement. The landfill operator shall maintain full and complete accounting records in conformity with generally accepted accounting principals applied on a consistent basis. A financial statement for the proceeding fiscal year, in such form and providing such information as the Board may require, shall be submitted with each rate review application. The financial statement shall be prepared and certified by a Certified Public Accountant currently licensed to practice in the State of California. The County, through a Certified Public Accountant appointed by the County for that purpose, shall at all reasonable times have the right to inspect and audit the records of the landfill operator that supports the financial statements. The County reserves the right to determine which records are relevant.		No Longer Applicable	Applicable conditions and provisions of rate review and approval are implemented through the Franchise Agreement. See Condition 11.10.
12.6	Scope of Rates. The Board of Supervisors may require that the landfill operator include in its rates collection for purposes other than disposal including but not limited to, charges for funding of inspections, charges relating to origin of waste such as out-of-county waste, franchise or agreement fees, closure and postclosure maintenance of other landfills, solid waste management programs such as general litter pick-up, abandoned vehicle removal, solid waste planning, and any other conditions of approval.		No Longer Applicable	Applicable conditions and provisions of rate review and approval are implemented through the Franchise Agreement. See Condition 11.10.
13.1	Franchise Compliance and Agreement. The Permittee-Landfill operator shall be subject to the terms and conditions of any franchise or agreement established by the Board of Supervisors. A draft franchise or agreement shall be submitted with or before the Final Development and Improvements Plan.		Completed. On-Going	The original Franchise Agreement was issued on December 4, 1990. The Franchise Agreement was amended on September 13,1994 as the First Amended Landfill Franchise Agreement. Amendment No. 1 to the First Amended Franchise Agreement was executed on November 1, 1994. Amendment No. 2 to the First Amended Franchise Agreement was executed on February 27, 1996.
13.2	Assignment. The landfill operator and the landfill owners shall not assign or subcontract the franchise or agreement, any part of the franchise or agreement or any obligation of the franchise or agreement without written prior consent of the Board of Supervisors. The term "assignment" shall include any dissolution, merger, consolidation or reorganization of the landfill's ownership or the sale or other transfer of the controlling percentage of the owner's stock in the landfill or the sale of 51% of the value of the assets of the landfill's owners.		Completed. On-Going	Condition Acknowledged.
13.3	Contents. The franchise or agreement may contain such provisions as the Board deems necessary, including but not limited to complete indemnification of the County, liability insurance by type and amount, performance bond by type and amount, rights of the County to acquire ownership of the landfill, funding for mitigation and reimbursement of County costs, funding for closure or post-closure costs, franchise or agreement fee fees) rate review and approval procedure and determination of and consequences of breaches of the franchise.		Completed. On-Going	The original Franchise Agreement was issued on December 4, 1990. The Franchise Agreement was amended on September 13,1994 as the First Amended Landfill Franchise Agreement. Amendment No. 1 to the First Amended Franchise Agreement was executed on November 1, 1994. Amendment No. 2 to the First Amended Franchise Agreement was executed on February 27, 1996.

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13.4	Requirement. Permittee shall not establish, operate or carry on the business of a solid waste facility pursuant to this permit unless and until it has been first granted a franchise (or entered an agreement with the Board of Supervisors).		Completed. On-Going	The original Franchise Agreement was issued on December 4, 1990. The Franchise Agreement was amended on September 13,1994 as the First Amended Landfill Franchise Agreement. Amendment No. 1 to the First Amended Franchise Agreement was executed on November 1, 1994. Amendment No. 2 to the First Amended Franchise Agreement was executed on February 27, 1996.
13.5	County Discretion. Notwithstanding any other provision of this Permit, Permittee acknowledges that the County's discretion to grant or deny one or more said exclusive, non-exclusive or otherwise franchises or similar agreements is not limited or abridged in any manner by this Permit; and that this Permit does not require the approval of any such franchise or agreement. County reserves the right as part of the negotiation and entry of any such franchise or agreement to enter a public-private partnership with the Permittee for the project and/or to pursue the rights of the County to acquire ownership of the Landfill.		Completed. On-Going	Condition Acknowledged.
14.1	Initial Development and Improvements Plan. The Initial Development and Improvements Plan approved by this Land Use Permit, and modified by these Conditions of Approval, shall consist of the following schematic plans included in the applicant's January 31, 1989 entitlement application, the Keller Canyon Landfill Comprehensive Project Description (February 1989) and addendum (December 1989), and the 3-volume Site Characterization Report (September 1989). a) Grading/Excavation Plans with fill limits for each phase. b) Layout for Groundwater Collection System. c) Liner System Cross-section and Installation Sequence. d) Leachate Collection System Layout Plan. e) Gas Collection Layout Plans for each phase. f) Surface Water Drainage Plan. g) Facilities Site Plan for Operations and Maintenance. h) Leachate, Landfill, Gas and Water Storage Facility. i) Landfill Access Road Plans Profiles, Typical Section. j) Bailey Road Plan and Typical Section. k) Landscape Facilities Site Plan for Operations and Maintenance. l) Landscape Plan for Leachate, Landfill Gas and Water Storage Facilities. m) Landscape Plan.		Completed. On-Going	All plan elements outlined in this condition of approval for the Initial Development and Improvements Plan were submitted and subsequently completed in final form in the Final Development and Improvements Plan (FDIP).

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14.2	<p>Regulatory Agency Approvals. Subsequent to the approval of this Land Use Permit, the Landfill Developer shall obtain approvals from the regulatory agencies having jurisdiction over the project, and obtain their detailed requirements for building, serving, and operating the Landfill. The approvals shall include, but are not limited to:</p> <p>a) Waste Discharge Requirements from the Regional Water Quality Control Board. b) Authority to Construct (and Authority to Operate Requirements) from the Bay Area Air Quality Management District. c) Wetland Modification Permit from the Army Corps of Engineers. d) Streambed Alteration Agreement from the State Department of Fish and Game.</p> <p>The Landfill developer shall notify the Community Development Department if proposed or adopted conditions or requirements of regulatory agencies do not appear to be consistent with this Land Use Permit or the Landfill's Environmental Impact Report.</p>		Completed. Updated As Needed	<p>The permits and approvals involved compliance with prevailing State and federal regulations and design standards for a Class II sanitary landfill and represent implementation of many mitigation measures specified in the 1990 Final EIR. All other project approvals were obtained and updated as follows:</p> <p>* Solid Waste Facility Permit (SWFP) #07-AA-0032, issued April 29, 1992 by the County Health Services Department, with concurrence from the former California Integrated Waste Management Board, now the California Department of Resources Recycling and Recovery (CalRecycle). Last reviewed and modified in 2009;</p> <p>* Waste Discharge Requirements (WDRs) Orders No. 91-052, 97-060, 98-081, 00-091, 01-240, R2-2003-0063, R2-2004-0080, issued 3/20/91 by the California Regional Water Quality Control Board, San Francisco Bay Region (RWQCB). Last amended 2004;</p> <p>* Title V Permit Major Facility Review Plant No. A4618 last issued June 12, 2014 by the Bay Area Air Quality Management District (BAAQMD);* Authority to Construct/Permit to Operate Plant No. 4618 reviewed annually by the BAAQMD;</p> <p>* National Pollution Discharge Elimination System Permit #2-07S006887 issued September 17,1992 by the U.S. Environmental Protection Agency;</p> <p>* Nation-Wide Permit No. 26t, Section 404 of the Clean Water Act, issued June 14, 1991 by the U.S. Army Corps of Engineers;</p> <p>* Conditional Certification under the Clean Water Act, Section 401, issued October 3, 1991 by the California Regional Water Quality Control Board, San Francisco Bay Region;* Streambed Alteration Agreement No. 1461-90 by the California Department of Fish and Game was signed by the Warden on 8/4/1991 and executed by the Operator on October 18, 1991. Lawlor Creek (No. 1461-90); Sedimentation basin, drainages, culverts (No. 1462-90); and wetlands construction (No. 1463-90).</p> <p>* Industrial Waste Discharge Permit #292150-S last issued 2008 by the Delta-Diablo Sanitation District;</p> <p>* Cancellation of the Land Conservation Act (Williamson Act) Contract #6-71 on July 24, 1990 and amended on October 15, 1991 by Contra Costa County.</p> <p>* Report of Disposal Site Information (RDSI), 1992, pursuant to SWFP 07-AA-0032, as amended.</p> <p>See Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991.</p>

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
14.3	Improvements Requirements. Subsequent to the approval of this Land Use Permit, the Landfill developer shall obtain approvals from the agencies, utilities, and parties having jurisdiction or control over the on-site and off-site improvements required by this Land Use Permit or by agencies having regulatory jurisdiction over the project. The Landfill developer shall notify the Community Development Department if proposed or adopted Conditions or requirements do not appear to be consistent with this Land Use Permit or the Landfill's Environmental Impact Report.		Completed. Updated As Needed	All approvals were obtained from jurisdictional agencies as described above in Condition 14.2. The Department of Conservation and Development has not received any notice from the Landfill stating that the land use conditions appear to be inconsistent with the LUP or Environmental Impact Report.
15.1	Final Development and Improvements Plan. Subsequent to the approval of the Land Use Permit but prior to the commencement of any construction, the Landfill developer shall submit a Development and Improvements Plan to the Community Development Department and obtain the approval of the Director of Community Development. The Development and Improvements Plan shall be consistent with the project approved by the Land Use Permit, but prepared to a level of detail appropriate for the review of the engineering and construction of the project's on-site and off-site improvements. It shall be internally consistent with the project's Environmental Impact Report findings, these Conditions of Approval, regulatory agencies and others having discretionary approvals over the project, and the Solid Waste Facilities Permit issued by the County Health Services Department. The Community Development Department will coordinate the review of the plan by the Health Services Department, the Public Works Department, and other appropriate units of government. The Landfill developer shall comply with all provisions of the final Developments and Improvements Plan. The Development and Improvements Plan shall include: a) Site Development Plan, as described in the following sections b) A Surface Water Management and Sediment Control Plan, (Section 18). c) An Agricultural and Habitat Enhancement Plan, (Section 23) d) A Waste Reduction and Resource Recovery Program, (Section 31) e) A Landscape (screening) Plan, (Section 22) f) A Landfill Gas Management/Air Quality Monitoring/Odor Control Plan, Section 20) g) A Leachate Management Plan, (Section 17) h) A Site Services and Utilities Plan (Section 30). Traffic/Circulation Plan, (Section 29). i) A		Completed. Updated As Needed	A copy of the FDIP is kept at the KCL and County DCD offices and is available for review during normal business hours. Some of the documents listed below have been updated by other permits in effect at KCL. The FDIP was formally submitted on July 23, 1991 to CDD. The FDIP served as the primary basis for facility review. CDD coordinated reviews of the FDIP with other County department. The landfill operator updated elements of the FDIP as directed by CDD. * Landfill (Site) Development Plan included in FDIP, Section 3 * Surface Water Management and Sediment Control Plan included in FDIP, Section 4 * Agricultural and Habitat Enhancement Plan included in FDIP, Section 5 * Waste Reduction and Resource Recovery Plan included in FDIP, Section 6 * Landscape (Screening) Plan included in FDIP, Section 7 * Landfill Gas Management/Air Quality Monitoring/Odor Control Plan included in FDIP, Section 8 * Leachate Management Plan included in FDIP, Section 9 * Site Services and Utilities Plan included in FDIP, Section 10 * Traffic and Circulation Plan included in FDIP, Section 11

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15.2	In approving the Development and Improvements Plan, the Community Development Department Director may allow the Landfill developer to phase construction of landfill modules and other features, except where timing is specified in these conditions. The submittal of the Development and Improvements Plan components may reflect this phasing.		In Compliance. On-Going	The Community Development Department authorized initial phased construction of landfill facilities and modules in 1991. See Community Development Department Memo from C. Zahn to the Board of Supervisors dated 10/25/1991.
16.1	Landfill Slopes Objective. Landfill slopes shall be engineered to provide static and dynamic (seismic) stability under design criteria for Class II Landfills.		See Conditions 16.2 - 16.12	All engineering design related to landfill slopes meet design criteria for Class II landfills and are approved by the RWQCB. The facility is in compliance with measures incorporated into the WDRs (RWQCB Order 01-040) [(e.g. Specification B.8, (Maintenance of Disposal Area slopes), B.14 (Reports prepared by registered engineers and geologists). Letter of authorization from the RWQCB was substantiated by CDD on 10/23/1991.
16.2	Seismic Design. The Landfill, its drainage features and operating components (lifts, berms, liners, sediment pond, leachate and gas collection systems and major stockpiles) shall be designed to withstand the Maximum Credible Earthquake (MCE) and a 0.65g acceleration rate. The Landfill developer shall utilize a MCE (design earthquake) specified by the County Community Development Department and the San Francisco Regional Water Quality Control Board. The Landfill developer shall provide substantiation in the Final Development and Improvements Plan that the Landfill design will withstand the MCE.	B-7, 8, 15, 23	In Compliance. On-Going	The facility is in compliance with seismic design criteria and other measures incorporated into the WDRs (RWQCB Order 01-040) [(e.g. Specification B.5 (engineered structures to withstand maximum credible earthquake (MCE), Provision C.5 (submit proposal for slope and seismic analysis) and (slope and seismic analysis for new construction). WDRs are monitored by the RWQCB. See Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991.
16.3	Landslide Study. The Landfill developer shall employ a licensed geotechnical consultant to conduct a supplementary study of landslides and slope stability in areas of the site affected by Landfill and improvements grading. The study shall be performed by a licensed geotechnical professional. The study shall be subject to the approval of the County and the San Francisco Regional Water Quality Control Board. The Landfill developer shall incorporate the results of the study into the site grading program and the designs of overlying structures, which shall be included in the Development and Improvements Plan.	B-11, 12	Completed. On-Going	The original preliminary Landslide Study is included in the FDIP, Appendix G. The facility is in compliance with the WDRs Section 19.and Title 27 requirements, including Section 21750(f)(5)(A) requiring the discharger to provide slope stability analyses prior to constructing, ensuring the integrity of the waste management unit under both static and dynamic conditions throughout the unit's life. A certified study by a licensed geotechnical professional was substantiated by CDD on 10/25/1991. See Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
16.4	Geotechnical Inspector. The Landfill operator shall contract with the County, or through the County, for an independent geotechnical consultant, who shall be selected by and be responsible to the County. The consultant shall inspect regularly the installation and condition of liners, leachate control facilities and other installations, identified by the County, as they are installed. This provision shall remain in force over the life of the landfill.	B-11, 24	In Compliance. On-Going	Design reports for all phases of site development are submitted to the County for review and approval. The operator was asked to provide funding for the County to contract with a geotechnical consulting firm to assist with the review of design reports for at least the first five years of phased landfill construction. Professional geotechnical consultants are involved in all phases of site development as required by WDRs Specification B.14 (Reports prepared by registered engineers and geologists). Liner installation is routinely inspected during construction of each new landfill disposal phase in accordance with requirements of this LUP and the WDRs monitored and enforced by the RWQCB. The County may elect to retain geotechnical expertise in the future at the operator’s expense pursuant to this Condition.
16.5	Landfill Design Stability. The Landfill developer shall provide a static and dynamic stability analysis of the final engineering design of the Landfill and its appurtenant improvements. The stability analysis method and the resulting analysis shall be approved by the County Community Development Department and the San Francisco Regional Water Quality Control Board and included in the Final Development and Improvements Plan.	B-23	Completed. On-Going	<p>An early study of landfill design stability is included in FDIP, Section 3.3. In 2002, analyses were performed to evaluate the static and seismic stability of the proposed base grades, the final fill grades and the proposed cover system under five cases involving different locations proximate to landslides, and under different final fill grades and cover parameters. The presentation and discussion of these analyses is organized in an internal report by GeoSyntec, 2002.</p> <p>In general, stability was evaluated using industry standard methods of slope stability analysis. In areas of the site where insufficient data is available to utilize limit equilibrium methods, the results of kinematic evaluations previously performed at the site was used to evaluate stability. The analyses were based on historical data for the site and are for planning purposes only. In all cases, the analyses should be reviewed and revised, as applicable, during preparation of final design and construction documents.</p> <p>All KCL design documents must comply with the WDRs Section 19. Title 27 Requirements, which requires that any future developments must comply with Section 21750(f)(5)(A), requiring the discharger to provide slope stability analyses, ensuring the integrity of the waste management unit under both static and dynamic conditions throughout the unit's life.</p> <p>See Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991.</p>

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
16.6	Slope Monitoring. The Landfill operator shall install slope monitoring stakes on landslides and sensitive slopes which could affect an operating Landfill. The monitoring program shall be approved by the County Community Development Department.	B-11, 12	Completed. On-Going	Included in the original Landslide Study in the FDIP, Appendix G. Slope monitoring is conducted consistently to identify potential problems. The facility is in compliance with measures incorporated into the WDRs (RWQCB Order 01-040) [(e.g. Specification B.8, (Maintenance of Disposal Area slopes), B.14 (Reports prepared by registered engineers and geologists), Provision C.5 See Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991
16.7	Settlement Program. The Landfill developer shall implement a program to prevent fill settlement and an inspection program to detect and correct settlement problems. The developer shall compact the refuse and cover materials to maximum strength and design and maintain the necessary slope gradient to ensure proper surface water drainage. A network of settlement platforms shall be installed to monitor fill settlement at critical points. The station specifications and locations shall be included in the Improvements and Development Plan. The Settlement program shall be subject to the approval of the County Community Development Department and the San Francisco Regional Water Quality Control Board.		Completed. On-Going	Included in FDIP, Section 3.4. Landfill settlement is also addressed in the Draft JTD. Compaction of waste and cover is performed in compliance with State requirements and Condition 17k(c) of the SWFP. The facility is in compliance with seismic design criteria and other measures incorporated into the WDRs (RWQCB Order 01-040) [(e.g. Specification B.5 (engineered structures to withstand maximum credible earthquake (MCE), Provision C.5 (submit proposal for slope and seismic analysis) and (slope and seismic analysis for new construction). WDRs are monitored by the RWQCB. See Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991.
16.8	Post-Earthquake Program. The Landfill operator shall prepare and implement an emergency program for inspecting the Landfill facility, dealing with failures and providing refuse handling for implementation following a substantial earthquake. The program shall be subject to the approval of the County Community Development Department and the County Health Services Department		Completed. On-Going	A Post-Earthquake Program is included in RDSI, JTD, 1998, Appendix Z, and was submitted to the RWQCB in compliance with Provision C.3 of the WDRs in Order No. 91-052. See Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991.
16.9	Settlement Pond Embankment Design. The Landfill developer shall design the settlement pond to control foundation seepage through the means of a filter or other materials.	B-10	Completed	Settlement pond embankment was designed per requirements of the WDRs and approved by the RWQCB. Also see Community Development Department Letter from H. Bragdon to the Board of Supervisors dated 12/13/1991; and Building Inspection memo from S. Thung to C. Zahn dated 1/21/1992. The facility is in compliance with measures incorporated into the WDRs (RWQCB Order 01-040) [e.g. Prohibition A.5 (Detailed construction plans of containment structures), C.18 (Notification of containment facility failure). WDRs are monitored by the RWQCB.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
16.10	Settlement Pond(s) Monitoring Program. The Landfill operator shall prepare and implement a failure prevention and warning system, including daily monitoring and visual inspection, for the sedimentation ponds. The program shall be approved by the County Community Development Department and shall be included in the Development and Improvements Plan.	B-6, 9, 16	In Compliance. On-Going	Included in FDIP, Section 3.5. Sedimentation ponds and stormwater discharge points are inspected at a minimum on a weekly basis. Daily inspections have proved unnecessary due to the static nature of the facilities particularly during the non-rainy season. The facility is in compliance with measures incorporated into the WDRs (RWQCB Order 01-040) [e.g. Prohibition A.5 (Detailed construction plans of containment structures), C.18 (Notification of containment facility failure). WDRs are <u>monitored by the RWQCB.</u>
16.11	Stockpile Stability. Commencing with the onset of stockpiling, the Landfill operator shall continually analyze daily cover material stockpiles for stability to determine allowable heights and/or slopes. The results shall be available to the County Community Development Department and the County Health Services Department on demand.		In Compliance. On-Going	Stockpiled cover materials are analyzed daily for stability as standard operating procedure and Condition 17k(a) of the SWFP. Results of stockpile stability analyses are available to the Department of Conservation and Development and the LEA upon request.
16.12	Unstable Areas. Areas with landslide potential to affect land-fill operations shall be stabilized through excavation or other methods such as compacting or the construction of retaining walls. Grading operations shall be performed in a manner which shall not destabilize slopes.	B-12	In Compliance. On-Going	Potential landslide areas have been identified. Stabilization methods are to be determined in the field. Significant landslide events occurred at the landfill site in 1996 and 1998. All corrective actions were reviewed and approved by the RWQCB and are the subject of RWQCB Order 01-040 Title 27 Requirements Items 16, 17, and 18. Measures are incorporated into the facility's WDRs (RWQCB Order 01-040) [(e.g. Specification B.8, (Maintenance of Disposal Area slopes), B.14 (Reports prepared by registered engineers and geologists), Provision C.5
17.1	Groundwater Protection Objective. The Landfill shall not impair the beneficial uses of groundwater on the Landfill site or in its vicinity. The design and monitoring of the Landfill shall be based upon the assumption of the existence of high permeability interconnecting cracks and fissures in the underlying strata allowing the potential of <u>groundwater transmission.</u>		See Conditions 17.2 - 17.6	Implementation of conditions of approval in LUP Section 17. Groundwater Protection related to landfill site design and monitoring has maintained beneficial uses of groundwater at the landfill site or surrounding vicinity.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
17.2	Landfill Liner. The Landfill developer shall install a engineered liner system, including a clay liner and a high-density polyethylene liner, which meets State Class II Landfill standards. The liner shall be approved by the San Francisco Bay Regional Water Quality Control Board and its specifications and design shall be included in the Development and Improvements Plan. The liner shall be designed to withstand the Maximum Credible Earthquake as specified by the Regional Water quality Control Board. See Section 16.	B-27, 28, 51, 52	In Compliance. On-Going	<p>Subtitle D requires operators of municipal solid waste landfills to conform to the design criteria under 40 CFR, Section 258.40. These criteria require the construction of a composite liner system (or engineered alternative) in new waste management units, lateral expansions, or areas that contain no refuse within a previously permitted waste management unit.</p> <p>The KCL base liner system is designed in accordance with 27 CCR, Section 20330 and WDR 01-040 Specification B.13 requirements for a Class II liner. The base liner components generally consist of (from bottom to top): Prepared subgrade; A 12-inch underdrain granular layer; A non-woven geotextile filter; A 24-inch thick low-permeability soil layer (maximum permeability of 1 x 10⁻⁷ cm/sec); A 80-mil high density polyethylene (HDPE) liner (double textured); A non-woven geotextile cushion layer; A 12-inch dendritic LCRS gravel layer; A non-woven geotextile filter; and A 12-inch thick operations (protective cover soil) layer.</p> <p>Upon approval by the RWQCB, the 12-inch underdrain granular layer may be deleted in those areas where such an underdrain is not necessary as an engineered alternative, pursuant to 27 CCR Section 20080(b), to the prescribed 5-foot separation between wastes and groundwater required by 27 CCR Section 2040(c). Also, upon approval of the RWQCB a synthetic drainage layer may be substituted as an engineered to the 1-foot thick dendritic LCRS layer.</p> <p>A system of swales and pipes collects leachate from the LCRS layer, and conveys it via gravity flow to the leachate storage tanks located northeast of the disposal area. The slope liner system consists of (from bottom to top): Prepared subgrade; A double-sided underdrain geocomposite (as needed, according to groundwater separation requirements); A 24-inch thick low permeability soil (maximum permeability of 1 x 10⁻⁷ cm/sec); A 80 mil HDPE liner (double textured);</p>

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17.3	Leachate Collection System. The Landfill developer shall install a leachate collection system which shall meet State Class II standards. The leachate collection system shall be approved by the San Francisco Bay Regional Water Quality Control Board, and its specifications and design shall be included in the Development and Improvements Plan. Leachate shall be contained by a double liner system consisting of a two-foot thick layer of clay overlain by a synthetic membrane liner. Enclosed storage tank design for leachate treatment shall meet hazardous waste storage requirements, which includes a double liner system with perimeter berms. An emergency connector shall be installed between the pre- and post-treatment tanks in the event of an overflow situation. A tanker truck shall be readily available for emergency purposes. Measures shall be taken to limit leachate formation, such as prompt covering of waste and provision of surface water drainage away from landfill areas.	B-27, 28, 51, 52	In Compliance. On-Going	<p>The facility is in compliance with measures incorporated into the WDRs (RWQCB Order 01-040) [e.g. Prohibition A.9 (leachate discharges), Specification B.4 (LCRS design), B.17 (Leachate sump seismic design), Provisions C.1 (Compliance with Specifications and Provisions of Order), C.3 Groundwater monitoring. WDRs are monitored and enforced by the RWQCB.</p> <p>The Leachate Collection and Removal System (LCRS) was designed, constructed, and is operated in accordance with RWQCB requirements. The leachate collection system was designed to handle twice the maximum daily leachate generation rate from the facility. Leachate collected in the LCRS flows through the drainage layer to pipes and subsequently into two 66,000 gallon leachate storage tanks located adjacent to the landfill gas flare station. The storage tanks are located inside a reinforced concrete secondary containment area set below surrounding grades. The leachate is disposed by re-injection into the waste mass in accordance with RWQCB requirements. The level of leachate in the two tanks are observed and recorded daily. During dry months (April to October), leachate is withdrawn when the tank liquid level is observed at approximately 4-feet or 16,500-gallons. Due to the potential increase in the volume of leachate from winter rains, the tank levels are kept as low as feasible in the wet months.</p> <p>See WDRs Specifications 4,9,13, 17, and 18; and Provision 4. See Community Development Department letter from C. Zahn to B. Olney (KCLC) dated 3/12/1992, which authorized installation of leachate tanks.</p>
17.4	Surface Drainage System. Water collected in the underdrain system beneath the landfill shall be monitored on a regular basis specified by the San Francisco Regional Water Quality Control Board. If contaminated, this water shall be treated as leachate. See Section 18.2.		In Compliance. On-Going	<p>The surface drainage system is monitored in accordance with RWQCB WDR detection monitoring requirements (Monitoring Programs 36. Surface Water) and the facility Self-Monitoring Program Parts A and B. Annual monitoring reports are filed with the RWQCB and are available for review at the landfill office during normal business hours. The facility is in compliance with measures incorporated into the WDRs (RWQCB Order 01-040) [e.g. Specification B.3 (Surface Drainage)].</p> <p>The most recent 2014 First Semi-Annual Report and 2013 Annual Water Quality Monitoring Report prepared by the Landfill operator provides results of underdrain monitoring. The presence of volatile organic compounds (VOCs) was originally investigated in a letter to the RWQCB dated May 6, 1999. The investigation revealed that contamination in the underdrain, and also in gas from the underdrain pipe, was characterized by chlorofluorocarbon compounds (CFCs) that were different from VOCs detected in leachate or gas from the landfill. The study concluded that the source of the VOCs probably did not result from a release from the landfill. Since that investigation, KCLC continues to perform monthly sampling of the underdrain and indicate an apparent decrease in total VOC concentrations. Decreases may be related to the expansion and start up of the landfill gas collection system at the landfill.</p>

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
17.5	Groundwater Monitoring. The Landfill developer shall install a groundwater monitoring system and implement a monitoring program, as required by the San Francisco Bay Regional Water Quality Control Board. The monitoring stations' specifications, locations, and their frequency of monitoring shall be included in the Development and Improvements Plan. The proposed monitoring program shall be subject to review by the County Health Services Department and the County Community Development Department.	B-18, 26, 31	In Compliance. On-Going	<p>The original groundwater monitoring program is included in FDIP, Section 9.3, and Appendix A. All monitoring of groundwater is performed in accordance with the RWQCB WDR requirements under Monitoring Programs 34. Groundwater and 35. Leachate. The facility is in compliance with measures for groundwater monitoring into the WDRs (RWQCB Order 01-040). Also see Section C, Provisions, and California Environmental Quality Act section of Order, Items 38 through 40).</p> <p>The groundwater monitoring network at the existing KCL has been designed to provide early detection of a release from wastes to groundwater. The monitoring systems currently installed were designed and certified by a registered (geologist or civil) engineer. The boring logs were prepared under the direction of a registered geologist or civil engineer and have been submitted to the RWQCB.</p> <p>At the KCL, the groundwater monitoring network currently includes 21 groundwater wells. The landfill's detection monitoring program includes sampling of 12 of those wells and the surface spring SFC-0 located downgradient from the landfill. KCL also performs semi-annual monitoring of the blanket drain underlying the toe berm, and monthly detection monitoring of the discharge from the underdrain underlying the entire landfill.</p> <p>In addition to the above, annual background monitoring is conducted at nine wells and one spring in areas upgradient or cross-gradient of the landfill; Monthly water level monitoring occurs at 15 wells to record the rate of recovery after sampling activities have been completed (the water levels in four deep wells in the toe berm area are also measured to monitor the upward gradient in this area); Monthly flow monitoring is conducted at three springs and at the drain discharge points; and water level monitoring in nine wells and eight piezometers is performed quarterly.</p>
17.6	Downstream Well Monitoring. The groundwater monitoring program shall include selected wells down gradient from the site. The wells shall be subject to approval by the San Francisco Regional Water Quality Control Board and the County Health Services Department. The Landfill operator shall sample and analyze water from these wells on a quarterly basis. The location of these wells shall be identified on the Development and Improvements Plan.	B-28	In Compliance. On-Going	<p>A downstream well monitoring program is included in FDIP, Section 9.4, and Appendix A. Also see WDRs Self-Monitoring Program.</p> <p>The locations and design of wells were approved by CCEH and the RWQCB. All monitoring of groundwater is performed in accordance with the RWQCB requirements and the WDRs.</p> <p>Facility is in compliance with measures for groundwater monitoring incorporated into the WDRs (RWQCB Order 01-040). See Section C, Provisions, and California Environmental Quality Act section of Order, Items 38 through 40). Also see Condition 17.5 above.</p>

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
17.7	Baseline Water Characterization. The Landfill developer shall conduct a groundwater characterization study for at least a one-year period following the approval of the Land Use Permit. The procedures for the study shall be specified by the San Francisco Bay Water Quality Control Board and the County Health Services Department.		Completed	Included in FDIP, Section 9.3, and FDIP Appendix A Waste Discharge Requirements and 401 Certification, Baseline characterization was performed in accordance with the WDRs Self-Monitoring Program Section 4C. Monitoring reports were filed with the RWQCB. There is no record of HSD approval of a baseline characterization report; however, in other conditions requiring RWQCB approval, HSD deferred to the RWQCB approval and took no further action.
17.8	Liquid Waste Disposal. The Landfill operator shall comply with the requirements of the Regional Water Quality Control Board for disposal of de-watered sewage and other utilities' sludges in the Landfill to prevent excess liquid concentrations. The Landfill operator shall not accept other liquid wastes.		In Compliance. On-Going	The landfill facility is in compliance with RWQCB and SWFP requirements for handling and disposal of sludge material. The discharge of liquid or semi-solid waste to the landfill (i.e. waste containing less than 50% solids by weight), other than dewatered sewage or water treatment sludge as described in Section 20220(c) of Title 27, is prohibited.
17.9	Drainage Grading. The Landfill developer shall grade completed fill areas to convey surface run-off to ditches at the fill perimeter to limit infiltration into the Landfill. The grading specifications shall be included in the Development and Improvements Plan.	B-13, 14	In Compliance. On-Going	All grading and fill operations are consistent with plans and specifications included in FDIP, Section 9.5. Facility is in compliance with measures incorporated into the WDRs (RWQCB Order 01-040) [e.g. Specification B.3 (Surface Drainage)].

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
17.10	Leachate Management. The Landfill operator may reapply leachate removed from the leachate collection sumps to the Landfill for absorption by solid waste, or arrange for its transportation (pretreated if necessary) to an appropriate treatment and disposal facility. If leachate is returned to the fill area, it shall be injected under the Landfill's cover rather than applied over its surface. The return of leachate to the Landfill shall be subject to the solids-to-liquids ratio restrictions defined by the San Francisco Bay Regional Water Quality Control Board and the County Health Services Department. If leachate is transported to an off-site disposal/treatment facility, it shall be pretreated on-site to meet all requirements of such facility before transport. If leachate build up becomes a problem, the County Health Services Department may require additional remedial measures, such as the placement of more soil cover, or the installment of a low-permeabiity earthen or synthetic cover. The Leachate Management Program shall be included as part of the Site Design Plan.	B-54, 60	In Compliance. On-Going	<p>The Leachate Management Plan is included in the FDIP, Sections 9.1 through 9.8. A Leachate Collection Tank Log records daily measured levels, gallons in tanks 1 and 2, truck loads and gallons out. Leachate is sampled and analyzed quarterly. Leachate is disposed by re-injection into the waste mass in accordance with RWQCB requirements. The level of leachate in two leachate storage tanks are observed and recorded daily. During dry months (April to October), leachate is withdrawn when the tank liquid level is observed at approximately 4-feet or 16,500-gallons. Due to the potential increase in the volume of leachate from winter rains, the tank levels are kept as low as feasible in the wet months.</p> <p>Leachate monitoring and sampling is completed at the existing KCL in compliance with WDR Order No. 01-040. Future phases at the KCL will be constructed with an integrated LCRS that will be monitored as part of the facility's existing WDRs. Quarterly and annual reports of the monitoring results are submitted to the LEA, DTSC, and RWQCB. Leachate samples obtained are analyzed for the constituents and parameters listed in the site's WDRs. The quantity of leachate removed is measured and reported to the RWQCB in gallons per month. Leachate quality also is monitored based on quarterly sampling and analysis for the parameters listed in Appendix II of 40 CFR Part 258. Leachate monitoring results are included in the semi-annual Self Monitoring Reports for the landfill. The LCRS is tested annually to demonstrate operation in conformance with the WDRs. The results of these tests are reported to the RWQCB and include comparison with earlier tests made under comparable conditions.</p> <p>The facility is in compliance with measures incorporated into the WDRs (RWQCB Order 01-040) [e.g. Prohibition A.9 (leachate discharges), Specification B.4 (LCRS design), B.17 (Leachate sump seismic design), Provisions C.1 (Compliance with Specifications and Provisions of Order), C.3 Groundwater monitoring. WDRs are monitored and enforced by the RWQCB.</p>
17.11	Water Balance Calculations. The Landfill operator shall provide water balance calculations, when requested by the County Health Services Department, to evaluate intermediate stages of Landfill operation to ensure the maintenance of a proper solids-to-liquid ratio.		Not Yet Required	Water balance data will be provided upon request by DCD and/or CCEH.

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17.12	Leachate Holding Tanks. Holding tanks for leachate shall be tested to ensure chemical compatibility to prevent chemical degradation of said tanks. The Landfill developer shall submit test results to the Regional Water Quality Control Board and the County Health Services Department, prior to the submission of the Development and Improvements Plan.		In Compliance. On-Going	Tests completed July 22, 1991. The original design and manufacture of leachate holding tanks were in accordance with RWQCB requirements. In See Health Services Department memo from M. Schott to C. Zahn (CDD) dated 10/13/1991. Schott stated approval recommended from RWQCB and that HSD had no further action and deferred to the RWQCB approval. Landfill operator is in compliance with WDR Monitoring Program Item 35. Leachate is sampled and analyzed quarterly and reports are submitted to the RWQCB. See Conditions 17.5 and 17.10 above.
17.13	On-Site Water Supply Wells. The Landfill developer shall con-struct the proposed on-site water supply wells after a hydro-geologic investigation has determined flow direction and relationship between water bearing strata if any. Water supply wells shall utilize separate water bearing strata, and shall be sealed to prevent communication between shallow and deep ground water. The locations and characteristics of water supply wells shall be described in the Development and Improvements Plan, and shall be subject to County Health Services Department and San Francisco Regional Water Quality Control Board approval. Pump tests shall be provided for on-site wells located within 500 feet of any domestic well to evaluate interference between wells.		Completed. On-Going	An on-site water supply well was constructed in accordance with County CCEH and RWQCB requirements. Well design plan is included in FDIP, Section 10.2. Water for operations at the KCL is supplied by a well approximately 1,000 feet north of the maintenance shop and water storage tank. The well meets the pumping capacity requirements of this condition. Permits to Construct issued by HSD on December 12, 1992 and April 15, 1993.
17.14	Off-Site Water Well Contamination. If the water quality of nearby domestic water supplies is impaired by Landfill leachate, the Landfill operator shall take immediate remedial action that is acceptable to the County Health Services Department and the San Francisco Regional Water Quality Control Board. The source of contamination shall be identified and immediately repaired. Remedial measures shall include but are not limited to extraction wells and slurry walls. The Landfill operator may be required to replace the impaired water supply.		Not Yet Required	Condition Acknowledged. The locations of groundwater wells within a mile of the existing KCL have been mapped and available information for the wells has been collected. Nearby domestic water supplies have not been impaired by landfill leachate. No remedial action has been required of the Landfill owner/operator.
17.15	Liner Installation Inspection. See Condition 16.4.		See 16.4	This condition cross-references to another LUP condition.
17.16	Secondary Containment. The Landfill developer shall construct a secondary containment system capable of containing 1.5 times the volume of each leachate-holding tank.		Completed	The storage tanks are located inside a reinforced concrete secondary containment area set below surrounding grades. The containment area meets the requirements of this condition. See Community Development Department letter from C. Zahn to B. Olney (KCLC) dated 2/13/1992, which authorized construction of the leachate storage tank foundations for both leachate tanks (capacity of 64,000 gallons each) and a concrete secondary containment with a capacity of 100,000 gallons (150% of the primary leachate tank) under Building Permit MI 176258.

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17.17	Working Face. The Landfill operator shall maintain a maximum daily working face of 3 acres or less in order to minimize surface water infiltration to the refuse, as well as to control dust and erosion, prevent vector proliferation, and minimize visual impacts.		In Compliance. On-Going	Standard operating procedures limit the maximum daily working face to fewer than 3 acres as specified in this condition, and a maximum of 1 acre as specified in Condition 17h of the SWFP.
18.1	Surface Water Protection Objective. The Landfill shall not impair the beneficial uses of water bodies in the vicinity of the Landfill site.		See Conditions 18.2 - 18.5	The original Surface Water Management and Sediment Control Plan was included in FDIP, Sections 4.1 through 4.3. Requirements for surface water protection are also defined in the facility WDRs Prohibitions 8(a), and Specifications B.3, B.7, and B.9.
18.2	Surface Drainage System. The Landfill operator shall install a Landfill surface drainage system which shall be designed to meet State Class II standards. It shall accommodate a 1,000-year, 24-hour design storm, as specified by the County Public Works Department and the San Francisco Regional Water Quality Control Board (SFRWQCB). The drainage system shall convey surface water around the active fill area without contacting the working face or any solid waste. The surface drainage system shall be approved by the SFRWQCB and the County Community Development Department and included in the Development and Improvements Plan. Surface flow shall be evaluated further with groundwater levels and precipitation factors prior to construction, and findings incorporated into the final landfill design in order to lessen impacts to surface water flow. Flow rates and groundwater levels shall be monitored through the life of the landfill. If loss of surface flow is determined to have unforeseen impacts, a like amount of water shall be provided.	B-13, 14	In Compliance. On-Going	<p>Included in FDIP, Section 4.1. Also see WDRs Finding 18, Spec 2-3, Drawings 29-31. The surface drainage system was designed, constructed, and is maintained in accordance with this condition and requirements of WDR Specification B.3, which requires that surface drainage from tributary areas, and internal site drainage from surface and subsurface sources, shall not contact or percolate through wastes during disposal operations or during the life of the site. Surface drainage from tributary areas, and on-site drainage from surface sources, are collected using surface drainage ditches, and/or other conveyance and collection methods.</p> <p>The design criteria for drainage control devices are based on 27 CCR, Section 20365. The various drainage control system features (e.g., ditches, oversize drains, inlets, earthfill berms, sedimentation basin, and storm drains) located at the KCL have been designed to control surface water run-off from a 1,000-year, 24-hour rain storm event.</p> <p>Facility is in compliance with measures incorporated into the WDRs (RWQCB Order 01-040) [e.g. Specification B.2 (Washout and Erosion of Wastes), and B.3 (Management of Surface Drainage), and Provision C.3 (Preparation of facilities prior to rainy season)]. Measures incorporated into NPDES Industrial Discharge permit. WDRs and NPDES are monitored by the RWQCB.</p> <p>Letter of authorization from the RWQCB was substantiated by CDD on 10/23/1991. See Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991. See correspondence from CDD to the District II Supervisor dated 4/22/1992 explaining how landfill design would help control flows into the City's storm drain.</p>
18.3	Creek Protection. The landfill shall be designed so leachate and other contaminated water does not flow into Lawlor Creek. See Section 23.3.		In Compliance. On-Going	<p>Landfill site design in the FDIP, the original RWQCB for construction of a Class II landfill, and on-going design reviews by regulatory agencies ensure that neither leachate nor contaminated water flows into Lawlor Creek. The Extent of Waste Placement where waste is disposed is located hundreds of feet away from Lawlor Creek.</p> <p>See RWQCB letter from L. Kolb to B. Olney dated 10/23/1991.</p>

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
18.4	<p>Surface Water Management and Sediment Control Plan. The Landfill developer shall prepare and implement a Surface Water Management and Sediment Control Plan, which shall be subject to the approval of the County Community Development Department. The plan shall include a Stability Analysis of proposed cut and fill slopes, and shall prevent substantial erosion on slopes on the project site and reduce the amounts of water-borne materials from reaching surface waters. It shall include the components listed below, and it shall be included in the Final Improvements and Development Plan.</p> <p>(a) Primary Grading. The Landfill developer shall perform primary grading for the project's fill modules, cover, roads, paved areas, building sites, and the construction of site slopes during the April through October low rainfall season.</p> <p>(b) Temporary Flow Restriction. If grading must be done during rainy periods, or if erosion is occurring on previously graded areas, the Landfill developer shall take corrective actions, which may include the installation of ground cloth or the placement of hay bales.</p> <p>(c) Ground Cover. The Landfill developer shall plant ground over on graded areas which are not to be developed within 90 days. The ground cover shall be consistent with the Landscaping Plan.</p> <p>(d) Ditch/Swale Liners. The Landfill developer shall line any ditches and swales for conveying surface runoff across sanitary Landfill areas to limit water infiltration. Drainageways across other areas shall be lined or planted to limit erosion.</p>	B-15, 17, 20, 29	Completed. On-Going	<p>The original Surface Water Management and Sediment Control Plan i included in FDIP, Section 4.2 and Appendix I, The RWQCB approved the design for Keller Canyon, (See RWQCB letter from L. Kolb to B. Olney dated 10/23/1991). Plan elements have been updated as needed to meet requirements of landfill construction and prevailing regulations.</p> <p>All requirements in this condition for design and construction of the landfill were met prior to, or if approved by the County, subsequent to landfill opening. Monitoring of surface water management and sediment control is performed in accordance with RWQCB requirements, and Condition 17k(b) of the SWFP.</p> <p>Facility is in compliance with measures incorporated into the WDRs (RWQCB Order 01-040) [e.g. Specification B.2 (Washout and Erosion of Wastes), and B.3 (Management of Surface Drainage), B.7 Final grading promoting lateral runoff and Provision C.3 (Preparation of facilities prior to rainy season). Measures are also incorporated into NPDES Industrial Discharge permit. WDRs and NPDES are monitored and enforced by the RWQCB.</p> <p>To minimize onsite erosion, on-going erosion control measures are used during landfill operations to minimize soil loss. Typical measures include, but are not e limited to, the use of drainage ditches, channels and culverts, temporary diversion dikes, straw bale barriers, temporary and permanent seeding, and sediment basins. A storm water pollution prevention plan (SWPPP) is implemented in accordance with NPDES requirements utilizing Best Management Practices (BMPs) outlined in the SWPPP. The SWPPP is available for review at KCL. Future SWPPPs will be updated to reflect changes to the BMPs as the site is developed.</p>

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
	<p>(e) Sedimentation Ponds. The Landfill developer shall install a sedimentation pond system prior to other landfill development to hold and process drainage from the Landfill property which shall be designed to withstand the 1,000-year, 24-hour design storm and Maximum Credible Earthquake event. The Landfill developer shall develop a program for monitoring storage volumes in the sedimentation ponds and releasing water depending on expected rainfall. Flow rates for down-stream discharge shall not exceed the 25-year, 24-hour design storm. The program shall include a preventive maintenance program which shall include a program for clearing of sedimentation ponds and maintenance of perimeter ditches and vegetative cover. The program shall be subject to approval from the County Community Development, Health Services, and Public Works Departments, and the San Francisco Regional Water Quality Control Board. The efficacy of the Landfill surface water control system in reducing downstream flooding shall be addressed in the annual and triennial reviews required by Condition 11.1.</p> <p>(f) Runoff Conveyance. Erosion to ditches or gullies used to convey runoff shall be corrected by use of appropriate measures such as energy dissipators or rip rap.</p> <p>(g) Equalization Basin. Water in contact with the working face area of the landfill shall be discharged into an equaliza-tion basin, monitored, and treated if necessary.</p>			<p>KCL conducts regular inspection and maintenance of the erosion control systems to maintain functionality in compliance with requirements of 27 CCR, Section 20365(c)(3)(A) and (B). See Condition 18.2. After each major storm and annually, all on-site drainage facilities are inspected by landfill personnel. Required maintenance is performed so that the drainage channels and detention basins function as required by the WDRs.</p> <p>See Health Services Department Memo, C. Nicholson to C. Zahn (CDD), dated 10/24/1991. See also Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, as updated through 10/25/1991.</p>
18.5	<p>Monitoring. The Landfill developer shall prepare and implement a surface water monitoring program to check for possible contamination of off-site surface water drainage facilities. Baseline water quality shall be determined prior to project implementation. Sedimentation pond outflow shall be monitored. The monitoring program shall be subject to approval of the County Health Services Department, the County Community Development Department, and the Regional Water Quality Control Board.</p>	B-30	Completed. On-Going	<p>Included in FDIP, Section 4.3. Also see WDRs Self-Monitoring Program. No events of contamination of off-site surface water drainage facilities have occurred. Monitoring of surface water is performed in accordance with the WDRs Item 36. Surface Water. Stormwater discharges from the site are monitored at five locations, during two major storm events, as required by the State Board's General Permit for Stormwater Discharges Associated with Industrial Activities and the Discharge Monitoring Program in Order 01-040.</p> <p>The facility is in compliance with measures incorporated into the WDRs (RWQCB Order 01-040) [e.g. Specification B.2 (Washout and Erosion of Wastes), and B.3 (Management of Surface Drainage), B.7 Final grading promoting lateral runoff] and Provision C.3 (Preparation of facilities prior to rainy season)]. Measures are also incorporated into NPDES Industrial Discharge permit. WDRs and NPDES are monitored and enforced by the RWQCB.</p> <p>See Health Services Department Memo, C. Nicholson to C. Zahn (CDD), dated 10/24/1991, and Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991.</p>

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
19.1	Hazardous Waste Ineligible. See Section 6.4.		See 6.4	This condition cross-references to another LUP condition.
19.2	Load Inspection. See Condition 7.1		See 7.1	This condition cross-references to another LUP condition.
19.3	Household Hazardous Waste Program. The Landfill operator shall develop a household hazardous waste collection and management program for the service area which is consistent with the County Hazardous Waste Management Plan and with the County Integrated Solid Waste Management Plan. The program shall be subject to the approval of the County Health Services and Community Development Department. The household hazardous waste shall be managed in accordance with the "Waste Minimization Hierarchy" identified in the County Hazardous Waste Management Plan. The operator is encouraged to develop the program in cooperation with other waste management facilities and collection services. The proposed program, along with a schedule of proposed costs and funding sources, shall be submitted to the County departments no later than 6 months prior to the opening of the landfill. The program shall include mechanisms for removing household hazardous waste from the waste stream which arrives at the facility. If the household hazardous waste program (or a version of it) is approved by the County Board of Supervisors, the Landfill operator shall implement it. The Landfill household hazardous waste program shall include a public information and education program approved by the County Health Services Department/County Hazardous Materials Commission for notifying facility users and households in its service area of what constitutes hazardous waste and how such wastes are to be disposed of. The household hazardous waste program shall be amended if required by the County Board of Supervisors in their review of the Land Use Permit.		Not Yet Required	<p>This condition preceded the approval of the County’s Household Hazardous Waste (HHW) Element of the Countywide Integrated Waste Management Plan. There was a substantial change in public policy with respect to management of this portion of the waste stream shortly after this LUP was approved. CDD advised the Board of Supervisors that this policy change effectively put this COA 19.3 "on hold." (see memo from H. Bragdon to the Board of Supervisors dated 4/28/1992).</p> <p>By early 1992, County HSD had taken the lead role in implementing a countywide mobile collection program. Several years later wastewater agencies developed and began operating permanent drop-off facilities for HHW to serve those living in Central (Central Contra Costa Sanitary District) and East County (Delta Diablo Sanitation District). Soon thereafter a permanent drop-off facility was built in North Richmond to serve West County.</p> <p>The countywide household hazardous waste program includes three permanent HHW facilities serving households in their respective areas to provide free and convenient option to properly manage HHW effectively removing it from the waste stream before it reaches the landfill.</p> <p>Since the installation of the sedimentation basin, there have been no occurrences of downstream flooding. The basin has been designed to withstand a 1000-year storm event. Additionally, the surface water management and sediment control systems function as designed. There have been no occurrences of system failure</p> <p>The Countywide Integrated Waste Management Plan approved in 1993 does not call for an HHW program at Keller Canyon Landfill, however such a program could be reactivated if conditions change.</p>
19.4	Transfer Station Pre-screening. The Household Hazardous Waste Program shall include pre-screening at transfer stations for identification and separation of hazardous materials. In addition, landfill entrance load screening procedures and a manual check program during unloading operations shall be included. Landfill operators shall be instructed to investigate suspicious containers for hazardous materials during bulldozing and other activities. Any hazardous materials found shall be set aside for proper collection and disposal.		In Compliance. On-Going	<p>A Load Check program and hazardous waste pre-screening are in effect at Contra Costa Transfer and Recovery Station and other transfer stations that dispose of waste at Keller Canyon Landfill. (See Condition 7.1)</p> <p>Condition Acknowledged. Procedures related to storage of toxic or hazardous waste are also addressed in SWFP 07-AA-0032, Section 17j.</p>

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
19.5	Regulatory Agency Approvals. The collection and storage of toxic and hazardous wastes pursuant to this section shall be subject to County Health Services Department, State Department of Health Services, and other regulatory agency approvals.		In Compliance. On-Going	Landfill owner/operator compliance with conditions of approval in LUP Section 20. The landfill facility is in compliance with all permits issued by the BAAQMD. Title V Permit Major Facility Review Plant No. A4618 last issued June 12, 2014; and the Authority to Construct/Permit to Operate Plant No. A4618. The Authority to Construct/Permit to Operate is reviewed annually by the BAAQMD.
20.1	Prevention of Air Quality Deterioration. The Landfill operator shall manage the facility in a manner that does not result in the significant deterioration of air quality in the vicinity of the site or in the Bay Area. The condition shall be interpreted as a requirement that the Landfill comply with terms of the Authority to Construct Permit to Operate permits issued by the Bay Area Air Quality Management District.		In Compliance. On-Going	The Landfill Gas Management/Air Quality Monitoring/Odor Control Plan is included in the FDIP, Sections 8.1 through 8.10. The original Authority to Construct is Appendix B of the FDIP. The landfill facility is in compliance with protocols for complaint logging and communications, and procedures for identifying, evaluating, and mitigating off-site odors when they are confirmed. Site personnel routinely patrol the area including local neighborhoods for any indication of odors. Findings and results from the surveys are <u>documented daily</u> .
20.2	Odor Containment. The Landfill operator shall operate the Land-fill in a manner that prevents odors from being detected off-site, pursuant to Regulations 7-101 and 7-102 of the Bay Area Air Quality Management District. If odors are reported to the County Health Services Department, or reports are relayed from the Bay Area Air Quality Management District, the Health Services Department may require additional physical improvements or management practices as necessary to alleviate the problem. The Health Services Department shall have the authority to cease disposal at a particular area of the Landfill, to control odors. A small daily working face (3 acres or less) shall be maintained. The leachate treatment system shall be enclosed to control odors from leachate. The landfill gas collection system and flare shall utilize BACT to reduce landfill gas as a source of toxics and odor. If odors are detected in surrounding areas, complaints shall be logged by a landfill operator. The source of the odor shall be identified and corrected. A response to the person lodging the complaint shall be made within 48 hours, detailing the problem and remedial action taken.	B-22, 32, 36, 37, 67	In Compliance. On-Going	Keller Canyon goes to great lengths to minimize odor impacts on the surrounding community, including use of Best Available LFG Control Technology, maintaining a small working face (typically less than 1 acre in size) and enclosing the leachate system among other. Moreover, Keller Canyon landfill staff investigates any odor complaints that come to their attention and keep a log of all such complaints. Site leadership makes their cell phone numbers available and encourage citizens to contact them with any concerns. To date, only one odor complaint was confirmed by the BAAQMD in the last six years (on October 31, 2011), although the odor was described by the BAAQMD inspector as “mild and faint”. No nuisance odor violations have been issued by the BAAQMD to Keller Canyon Landfill during the life of the site. An odor impact minimization plan (OIMP) is in effect. An Odor Complaint Program is also in effect per Condition 17k(g) of the SWFP. Odor complaints and associated responses/corrective actions are logged in the facility's Complaint Log. Standard forms record the date of the complaint, name of the individual filing the complaint (if available), weather conditions, name of the landfill complaint investigator, the alleged locations of odors, and the results of complaint verification by either landfill personnel or personnel from the LEA or BAAQMD. Incidents are also recorded in a Log of Special Occurrences pursuant to provisions of Title 27 CCR 20510 (c) per Condition 17o of the SWFP. Follow up actions by the landfill are also documented in the annual Activities Report. A gas collection and control system (GCCS) is in operation along with an extensive leachate management program. The working face is limited to 1 acre in size per Condition 17h of the SWFP. Also see Condition 20.11.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
20.3	Cover Frequency. The Landfill operator shall cover newly disposed refuse with compacted soil cover meeting the requirements of the State of California (currently, a minimum of 6 inches of daily cover). All working faces of the Landfill shall be covered by the end of the working day. Intermediate cover, meeting the requirements of the State (currently a minimum of 12 inches) shall be applied over each layer of cells ("lift"). The frequency of cover shall increase in order to control odor, litter or birds, if necessary, or if required by the Landfill's Solid Waste Facilities Permit.	B-25, 36	In Compliance. On-Going	<p>All requirements for approved daily cover materials and practices are complied with as standard operating procedure. Soil cover frequency is in accordance with requirements of LUP Condition 20.3, procedures of the SWFP, and Condition #17309 part 3(a) through 3(d) of the Major Facility Review permit issued by the BAAQMD. The Working Face is limited to 1.0 acre under Condition 17h of the SWFP. Also see Condition 20.2, 24.4 Bird Control, and Section 25 Litter Control.</p> <p>CCEH issued approval for KCLC to conduct a one year demonstration project for use of Alternative Daily Cover (ADC) – See Contra Costa Environmental Health letter from A. Enriquez to N. Christensen (KCLC) dated 4/23/1999, Results of the 1999-2000 demonstration project were summarized in a report prepared by CCEH and transmitted to KCLC on 6/28/2000. CCEH issued a CEQA Notice of Exemption on 7/11/2002 and approved use of ADC using geosynthetic blankets and green material. ADC was incorporated into the facility RDSI.</p> <p>The landfill’s use of ADC and beneficial reuse has provided a means to increase diversion on-site for green waste and certain construction and demolition(C & D) wastes. There has only been one violation issued related to the handling of green waste accepted for use as ADC. A violation was issued by CalRecycle in 2007. The violation was based on a misunderstanding about the green waste material CalRecycle staff observed on-site. Material in a stockpile was mistakenly characterized as ADC by CalRecycle staff. The County LEA has since resolved this issue.</p>
20.4	Odoriferous Loads. The Landfill operator shall cover extremely odoriferous incoming loads immediately.		In Compliance. On-Going	Immediate covering of odoriferous loads is standard operating procedure in accordance with requirements of this LUP, the SWFP, and BAAQMD Major Facility Review permit Condition #16462 regulating the handling, use, and storage of yard and green waste stock piles. Air District requires that certain details about the green waste stockpiles be included in each semi-annual report (available on the BAAQMD website).
20.5	Dust Suppressants. The Landfill operator shall apply water or proven environmentally safe dust suppressants at least twice daily to working faces of the landfill, unpaved access roads, storage pile disturbances and construction areas as determined to be necessary by the County Health Services Department. The Health Services Department may require sprinklering more frequently for control of particulates.	B-34	In Compliance. On-Going	The facility is in compliance with dust suppression measures implemented per this LUP condition, Condition 17k(d) of the SWFP, and Condition #16462, parts 8(a) through 8(d), 9, 10, 13, 15, and 16(j) through 16(l) of the Major Facility Review permit issued by BAAQMD.
20.6	Area of Operations. See Conditions 17.17 and 22.10.	B-37	See 17.17 & 22.10	This condition cross-references to another LUP condition.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
20.7	Air Flow Monitoring. The Landfill operator shall monitor air flow on the site upon commencement of operations and shall provide background meteorological conditions including wind direction, wind velocity, on-site air flows, and temperature. After the Landfill is in operation, data shall be used to correlate odor, dust, or litter management with meteorological conditions. Air flow monitoring reports shall be submitted to the County Health Services and Community Development Departments.		In Compliance. On-Going	An expanded weather monitoring station was installed May 1997 that monitors and records all meteorological conditions specified in this LUP condition. The weather monitoring station was relocated from the sandstone bluffs north of the leachate tanks to a location at the scale house. The basis for relocation of the station was acknowledged in the letter from D. Dingman, CDD to E. Horton, KCLC dated 4/21/1997. Data are used to manage daily landfill operations. CCEH letter dated 12/8/1995 acknowledges that their office and DCD agreed that KCL would not be required to submit actual monitoring reports unless determined necessary in the future. Data is available for review by regulatory agencies upon request and periodically checked by HSD during their routine inspections. Air flow monitoring was conducted early in landfill operational life to optimize operations with meteorological conditions.
20.8	Contingency Program. Prior to the start of filling operations, Landfill operator shall prepare a "bad days" contingency program for managing the Landfill during periods of unusual wind speeds or directions, rainfall or drought or other atypical situations. It shall apply specific site monitoring information. The Land-fill operator shall consider the comments of the local advisory committee and consult with the Bay Area Air Quality Management District and the Regional Water Quality Control Board. The program shall be approved by the County Health Services Department, and it may be revised from time to time.	B-37	Completed. On-Going	<p>The landfill operator has established procedures for dealing with inclement weather with the potential to hamper normal operations. Rain and/or high winds may require adjustment of on-site waste handling and disposal procedures. During prolonged heavy rains, operations are moved to a tipping area (wet weather area) which has been surfaced with asphalt grindings to provide all weather access to allow for continuous refuse disposal operations during inclement weather. Stockpiles of soil material are maintained near the designated wet weather alternative tipping area to provide an adequate supply of cover material. Normal traffic and vehicle access to the wet weather area is provided by paved and/or a combination of tightly compacted soil and asphalt grindings.</p> <p>For high wind conditions, the unloading area is typically reduced in size and, whenever possible, placed in a portion of the landing that affords protection from the wind. Additional equipment may be utilized to expedite the spreading and compacting of the refuse as soon as it is unloaded. Cover operations may also be implemented prior to the end of the working day to reduce the area of exposed refuse on the working face. In addition, portable litter fencing is in-place and is used downwind around the unloading areas.</p>
20.9	Revegetation. The Landfill operator shall revegetate completed Landfill areas immediately. Revegetation shall in be accordance with the Development and Improvements Plan and shall be consistent with the County policy on landscaping and water conservation. Intermediate and final cover areas shall be revegetated immediately. Excavations shall be revegetated or filled immediately. Operating areas which will not be used for fill or construction for 90 days or longer shall be planted for dust and erosion control and for aesthetic purposes.	B-37	In Compliance. On-Going	Included in FDIP, Sections 7.1 and 8.1 and Appendix E. Revegetation measures of graded areas are in compliance with Condition #17309 Part 14 of the Major Facility Review permit issued by BAAQMD, and is standard operating procedure when such areas are not expected to be used for fill or construction within 90 days or longer.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
20.10	Tree and Shrub Planting. The Landfill developer shall plant trees and shrubs downwind of the Landfill to aid in trapping dust. The planting plan shall be included in the Landscaping plan component of the Development and Improvements Plan.	B-34	Completed	The tree and shrub planting plan is included in FDIP, Section 8.2, Landscape Plan drawings LP-1, LP-2, and LP-3. Tree and shrub planting species and locations were approved in the Landscaping Plan. See COA 22.2
20.11	Gas Control and Collection. The Landfill operator shall install a Landfill gas control collection system in accordance with the regulations of the Bay Area Air Quality Management District. The system shall have the capacity to operate in an active mode, using a mechanical vacuum, to withdraw gas from the Landfill. The system shall be operated in an active mode as soon as practical. The gas control and collection system shall be installed concurrently with the placement of wastes in the Landfill and shall be ready for operation when gas is produced. The gas collection and related recovery system shall utilize BACT and shall be subject to the approval of the Bay Air Quality Manage-ment District and County Community Development Department and it shall be included in the Development and Improvements Plan.	B-32, 49	Completed. On-Going	Included in FDIP, Section 8.3. Gas control and collection requirements are contained in BAAQMD Major Facility Review permit Condition #17309 Parts 18 through 30. Facility components for gas control and collection (Flares 1 and 2) are permitted abatement devices A-1 and A-2, and active gas collection is source S-1. The landfill gas control system did not have to become operational until one million cubic yards of refuse had been placed in the landfill. KCL manages a complex landfill gas (LFG) collection system consisting of vertical extraction wells, headers, and sub-headers. The LFG collection system is under vacuum which draws the landfill gas to a central point currently consisting of a flare station, a blower building, and a landfill gas-to-energy facility (LFGTE). The LFG collection system, including additional vertical collection wells and flares, will be expanded as the landfill is developed to provide ongoing control within the performance criteria established and mandated by the BAAQMD and State and federal regulations.
20.12	Landfill Gas Processing. The Landfill developer shall install a flaring mechanism, in accordance with Bay Area Air Quality Management District guidelines/regulations, to combust collected landfill gas. The flare shall be of the nonilluminous type. Best Available Control Technology (BACT) shall be used, as defined and approved by the Bay Area Air Quality Management District. The flare shall be installed with staged combustion, operated under fuel-rich conditions, and be designed with flue gas recirculation.	B-33	Completed. On-Going	The original design for the flare system was included in FDIP, Section 8.4 and Appendix D. See Community Development Department letter from C. Zahn (CDD) to S. Gordon, dated 10/24/1995. Two flares are in operation that are subject to performance standards and testing requirements in Condition #17309 Parts 20 through 30 of the Major Facility Review permit issued by the BAAQMD. There are two enclosed flares constructed in the Landfill Gas Management and Landfill Gas to Energy Facilities area. The first flare was installed at KCL in 1995. Flare #2 was installed in 2007 to act as both a backup unit and to provide additional capacity as LFG production increases at the site. Both flares are 40-ft. high insulated steel tubes equipped to control combustion of the LFG to destroy methane and other. Both flares are founded on a reinforced concrete slabs and are designed to withstand conservative seismic and wind loads. In 2007, a new control system was installed that integrates control of both flares to a single system, allowing either one or both flares to operate, depending on LFG destruction needs. This new control system also interfaces with the LFGTE plant to ensure that consistent LFG extraction and destruction is maintained. See Condition 20.13.

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20.13	Methane Recovery. The Landfill operator shall install a methane recovery system simultaneously with the construction of the gas collection system, preferably utilizing the Landfill gas to produce energy when the Landfill has developed enough gas to justify recovery. When required by the County Community Development Department, the Landfill operator shall conduct a study to determine how methane could be recovered from the gas and used for fuel or as a commodity.	B-32, 49	Completed. On-Going	<p>An early plan for methane recovery was included in the FDIP, Section 8.5.</p> <p>A development application to permit a 3.8 mega-watt (MW) LFGTE power plant at KCL was filed by Energy Developments/Bio Energy in 2001. An Initial Study/Mitigated Negative Declaration was approved and LUP LP012115 ultimately was issued in June 2002. The plant was not constructed by Energy Developments/Bio Energy.</p> <p>In 2006, Ameresco, Inc. submitted a development proposal for a power plant (also with a capacity of up to 3.8 MW) to the County. The project was approved. The LFGTE power plant was dedicated in October 2009 under LUP 012115. The plant is owned and operated by Ameresco Keller Canyon L.L.C. See Section 36 of this LUP.</p> <p>The LFGTE plant was constructed adjacent to the existing flare station described in Condition 20.12 above. Ameresco has secured a power purchasing agreement to sell the power generated at KCL. See Section 36 Landfill Gas Power Plant of this LUP.</p>
20.14	Gas Monitoring. The Landfill developer shall install gas migration detection probes and wells along the boundary of the Land-fill footprint, near on-site buildings, and in other locations specified by the Bay Area Air Quality Management District or the County Health Services Department to monitor for subsurface and surface gas migration. The gas monitoring stations shall be described in the Development and Improvements Plan approved by the County Community Development Department. If gas migration is found, the Landfill operator shall notify the County and take remedial actions. Training of employees for detection of gas migration shall be included in the employee training program.	B-32, 49	In Compliance. On-Going	<p>Plans for the initial gas monitoring system are included in FDIP, Section 8.6. The monitoring and control of gas emissions via integrated and instantaneous surface emissions monitoring is conducted in accordance with the BAAQMD Rule 34 compliance plan requirements for the KCL. Perimeter probe results from collected monitoring data are compiled into a report. Gas monitoring data is submitted by KCL to the LEA and BAAQMD.</p> <p>As of September 20, 2007 regulations for Gas Monitoring and Control at Active and Closed Disposal Sites became effective. KCL submitted a Landfill Gas Monitoring Migration Monitoring Plan as required by the new regulations in September 2008. The Landfill Gas Migration Monitoring Plan was revised in response to LEA comments and subsequently approved by The LEA on August 3, 2009.</p> <p>Perimeter and surface landfill gas at KCL are monitored in accordance with CFR 258.23 (Subtitle D) and the BAAQMD Regulation 8, Rule 34. Perimeter and surface landfill gas monitoring are conducted on a quarterly basis. Perimeter landfill gas monitoring at the KCL have shown that there is no landfill gas migration off-site. The perimeter landfill gas monitoring probes were designed and spaced according to 27 CCR and BAAQMD requirements, and are in conformance with the criteria set forth in Subtitle D.</p> <p>On-site structures at are monitored monthly, in accordance with 27 CCR, Section 20931, for detection of potential landfill gas migrating into building structures. The upper detection limit is 1.25 percent methane by volume.</p>

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
20.15	Lateral Gas Barriers. The Landfill developer shall install a gas barrier or gas collection area on side slopes of the Landfill to prevent lateral gas migration through the sides of the Landfill. The barrier or gas collection area shall be approved by the Bay Area Air Quality Management District and shall be included in the Development and Improvements Plan.	B-32, 49	Completed. On-Going	KCL operates a gas collection on or near the slopes in general, and has installed horizontal collectors at the perimeter of the lining system when the perimeter probes are activated. This portion of the collection system is located only in the northeast corner of the lined area, approximately where the toe berm meets original ground just down hill from the east side liner area. “Barriers” as described in this condition are not in place at Keller. Barriers have not proven effective without significant collection infrastructure to ensure gas does not get diverted and causes a release in a different location. KCL has installed collection systems as needed to maintain compliance with CCR Title 27 (Subsurface) and BAAQMD/USEPA (Near-Surface) emissions requirements. Given the large buffer areas surrounding the landfill operations area, the best approach is to install and maintain a long-term collection system near a trouble area located within the waste mass, to “pull back” the gas.
20.16	Settlement Protection. The Landfill developer shall use flexible piping and lightweight backfill for the Landfill gas collection system to ensure that settlement of the fill will not affect operation of the system.		Completed. On-Going	All materials and construction techniques approved by the BAAQMD are utilized to minimize potential settlement of fill. KCL has installed collection systems as needed to maintain compliance with CCR Title 27 (Subsurface) and BAAQMD/USEPA (Near-Surface) emissions requirements. Annual source tests are performed per Condition #17309 parts 30 and 31 of the Major Facility Review permit. Reports are filed with the BAAQMD and are available to interested agencies. The LFGTE power plant source testing is contained in its separate permit with the BAAQMD.
20.17	Landfill Gas Testing. The Landfill operator shall test Landfill gas for its toxic composition and for toxic constituents. The testing program shall be subject to the approvals of the Bay Area Air Quality Management District and the County Health Services and Community Development Departments. The Landfill operator shall provide the results to the County Community Development Department and Health Services Departments on a quarterly basis unless a more frequent interval is specified in the Solid Waste Facilities Permit.	B-32, 49	In Compliance. On-Going	KCL conducts a source test at each flare once every year. Source test reports are submitted to the BAAQMD Compliance and Enforcement Division and the Source Test Section within 60 days of the test date. Each annual source test is required to determine specific parameters and constituents of landfill gas as specified in the Major Facility Review permit. KCL also conducts characterization of the landfill gas concurrent with the annual source test required by the above. Landfill gas sample(s) are analyzed for concentrations of carbon dioxide (CO2), nitrogen (N2), oxygen (O2), methane (CH4), and total non-methane organic compounds (NMOC) in addition to organic and sulfur compounds specified in Part 31 of the permit with BAAQMD. Test reports are submitted to the BAAQMD Compliance and Enforcement Division and the Source Test Section within 60 days of the test date.
20.18	Leachate Disposal. See Condition 17.10.		See 17.10	This condition cross-references to another LUP condition.
20.19	Cell Re-Opening. Previously covered cells shall not be reopened without permission from the County Health Services Department.		In Compliance. On-Going	The facility is in compliance with this condition. Re-opening of cells is reviewed on case-by-case basis and only on approval by CCEH.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
20.20	Fissure Repair. The Landfill operator shall inspect the Landfill daily. Surface cracks, fissures, eroded areas, or inadequately covered areas on the Landfill may require repairs within 24 hours. This activity shall be included in the employee training program.		In Compliance. On-Going	Employees are trained in inspections for fissures and approved repair measures. Staff routinely inspects the Landfill’s surface for fissures. Fissures are repaired as they are discovered. When found, fissures are filled with soil and thoroughly compacted.
20.21	Permanent Road Paving. The Landfill developer shall pave and maintain permanent access roads to control dust. A road used for one year or longer shall be considered to be a permanent road. Road construction shall be described in the Development and Improvements Plan.	B-34	In Compliance. On-Going	Plans for permanent road paving are included in FDIP, Sections 8.8 and 8.9, Drawings 10 and 11. All roads designated for permanent paving have been completed and are maintained per this LUP and Condition #17309 Part 4 of the Major Facility Review permit issued by the BAAQMD.
20.22	Temporary Road Paving. The Landfill developer shall pave and maintain temporary road with gravel or crushed aggregate. Temporary roads shall be wetted or chemically treated when necessary to control dust. Road construction shall be described in the Development and Improvements Plan.	B-34	In Compliance. On-Going	Plans for temporary paving are included in the FDIP, Section 8.9. Major Facility Review permit Condition #17309 Part 5(a) through 5(d) identifies five temporary roadway segments comprising haul roads to the Working Face and a secondary fire access road. Temporary paving material consists of a minimum of 12 inches of compacted gravel or crushed asphalt. The facility is in compliance with dust control measures of Major Facility Review permit Condition #17309 Parts 8(a) through 8(d), Part 9, Part 10, and Part 13 pertaining to control measures to be implemented depending on type of road, landfill vehicle traffic, and weather.
20.23	Speed Limits. The Landfill operator shall enforce speed limits set by the County Health Services Department on internal site roads. The Landfill operator shall install appropriate signs and speed control devices. The maximum internal on-site speed limit shall be 20 mph.		In Compliance. On-Going	Posted speed limit for paved roads is 15 mph; speeds on unpaved roads and fire roads are limited to 10 mph and 25 mph, respectively, per Condition #17309 Part 6 of the Major Facility Review permit. Signs are installed and speed limits are enforced.
20.24	Equipment Maintenance. The Landfill operator shall maintain Landfill equipment in optimum working order to ensure that vehicle emissions are controlled and equipment shall be fitted with spark arrestors so potential for causing fires is minimized. Equipment shall not be left idling when not in use. Maintenance records shall be kept on all pieces of Landfill equipment. The records are subject to review by the County Health Services Department. Equipment shall be stored, serviced, and repaired in a maintenance area designated in the Development and Improvements Plan and approved by the County Community Development Department.	B-35, 64 (65)	In Compliance. On-Going	Equipment maintenance is performed according to manufacturer specifications and at required intervals. Maintenance records are maintained by the operator and available for review by County agencies. All equipment maintenance operations are completed at the landfill. The equipment maintenance facility includes a 6,000 sq. ft. building that contains all equipment spare parts and material storage units for the site equipment maintenance operations (e.g., storage bins and cabinets, waste oil tanks, fuel tanks, water tanks). A maintenance yard is used for scheduled maintenance of heavy equipment including daily routine, minor, and major repairs. All equipment (including stationary equipment) are maintained, tested, monitored, and inspected on a regular basis to ensure that they are functioning and readily available.
21.1	Noise Control Objective. The Landfill operator shall manage the facility in a manner that minimizes noise impacts to area residents.		See Conditions 21.2 - 21.8	Compliance with conditions of approval in LUP Section 21. Noise Control has minimized noise impacts to surrounding residential areas. DCD is not aware of any violations involving noise generated by the landfill.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
21.2	Noise Monitoring Program. The Landfill operator shall prepare and implement a noise monitoring and abatement program, which shall be approved by the County Community Development Department and Health Services Departments. The program shall monitor noise levels at sensitive receptor locations, one West of Bailey Road and South of West Leland Road, one near Bailey north of West Leland, and another in the Jacqueline Drive area south of West Leland Road. The Director of Community Development may specify other monitoring locations. If the monitoring noise levels at the Landfill boundary line or other monitored location exceed 60 dBA during daylight hours, or 50 bDA during the evening or at night, the County may require the operator to institute additional noise reduction measures to bring noise emanating from the Landfill to the forementioned levels or less.	B-67	In Compliance. On-Going	Noise monitoring is performed monthly and reports are prepared quarterly per the requirements of this condition. No off-site noise impacts have been consistently received since the landfill opened in 1992. Noise monitoring have demonstrated that ambient noise levels during periods the landfill is in operation are below the thresholds established in this condition at the landfill boundary line and other monitored locations. There is no history of consistent off-site noise complaints. See Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991. Also see Health Services Department Memo from C. Nicholson to C. Zahn (CDD) dated 3/10/1992.
21.3	Toe Berm. See Condition 22.3.		See 22.3	This condition cross-references to another LUP condition.
21.4	Mitigation/Lift-Level Berms. See Condition 22.4		See 22.4	This condition cross-references to another LUP condition.
21.5	Construction Hours. See Condition 32.1.		See 32.1	This condition cross-references to another LUP condition.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
21.6	Truck Noise Suppression. The Landfill operator shall require transfer trucks and other waste hauling vehicles using the facility to be equipped with factory approved noise suppression equipment, including engine compartment insulation. The Landfill operator shall request the California Highway Patrol actively enforce muffler and vehicle noise standards as required in the California Vehicle Code if, for any reason, noise from heavy trucks becomes a source of complaints in the project area, whether project-related or not. Transfer trucks and other waste hauling vehicles with faulty mufflers shall be denied access to the landfill after one warning by a landfill operator at the landfill entrance.		In Compliance. On-Going	<p>Waste-hauling trucks to KCL are equipped with noise suppression features that are standard to the industry. The landfill operator's transfer trucks are subject to inspection and maintenance as part of the operator's equipment maintenance program. Maintenance is performed according to manufacturer specifications and at required intervals. Faulty mufflers would be replaced as they are identified.</p> <p>No truck noise complaints have been consistently received at the landfill office since the late 1990s. In response to concerns about noise resulting from trucks traveling over speed bumps near the landfill entrance, the landfill operator voluntarily removed the speed bumps. Since that time no complaints of truck noise have been received at the landfill office.</p> <p>The CHP periodically sets up a mobile inspection station outside of the landfill entrance to ensure compliance with vehicle safety and equipment requirements. The landfill operator has not had cause to request enforcement by the CHP.</p> <p>New procedures have been put in place at the Landfill if a truck is determined to have a faulty muffler (or mufflers) by landfill staff at the scale house or working face, the landfill staff shall record the truck tractor license number and date of determination. The operator of the subject truck may be issued a warning to repair the muffler (or mufflers) at the discretion of landfill staff, depending to the degree that muffler performance is believed to be degraded. The truck operator shall be allowed up to 21 days from the date of determination to effect repairs The truck operator shall be required to provide documentation of repair to the landfill scale house operator to avoid being denied future access to the landfill.</p>
21.7	Landfill Vehicles. The Landfill operator shall provide Landfill equipment with the best available noise suppressing equipment to minimize sound generation.	B-56	In Compliance. On-Going	Landfill equipment are equipped with best available noise suppressing equipment as supplied by the manufacturer.
21.8	Gas Flare Muffling. If flaring is used to dispose of Landfill gas, the flares shall be contained in noise and glare-reducing housing. The housing shall be subject to the approval of the County Health Services and Community Development Departments and the Bay Area Air Quality Management District.	B-56	Completed. On-Going	Design of the gas flares was approved by the BAAQMD prior to operation, and are operated in accordance with BAAQMD Title V requirements.
22.1	Visual Quality Objective. The Landfill developer shall construct and operate the facility in such a manner that the high visual value of the surrounding area is maintained.		See Conditions 22.2 - 22.14	Compliance with conditions of approval in LUP Section 22. Visual Quality has maintained the high visual value of the surrounding area. Landfill site development occurs only in approved areas as defined in the Report of Disposal Site Information, the FDIP, and landfill phase design and construction documents approved by the RWQCB.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
22.2	Landscape Plan. The Landfill developer shall prepare and imple-ment a site Landscaping Plan. The plan shall enhance the site's visual values as open space and its functional values as wildlife habitat. It shall minimize the visual impacts of the landfill operations and appurtenant facilities through revegetation and landscape screening. The plan shall show the plant species, size, and locations to be used to blend in with the existing natural vegetation. Natural, drought tolerant species shall be used, in accordance with County Policy on Water Conservation Landscaping. A landscape maintenance program shall be part of the plan. A Weed Monitoring and Control Program shall be included, containing a listing of noxious weeds, a monitoring program, and abatement measure options. A Landscape Plan shall be included in the Development and Improvements Plan. The Landscape Plan shall assure no visual impact on the Cities of Concord and Clayton consistent with the Environmental Impact Report.	A-1	Completed. On-Going	<p>The Landscape (Screening) Plan that included all required elements of this condition is included in the FDIP, Sections 7.1 through 7.3 and Drawings LP-1, LP-2, and LP-3. The Landscape Plan was endorsed by the LAC. Installation of landscaping was phased. The Weed Monitoring and Control Program was included in the Range Management Plan in Condition 23.2 of this LUP. Also see Weed Control Program in Condition 23.5.</p> <p>See County Letter of acceptance dated 11/2/1992. Also see Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991.</p>
22.3	Toe Berm. The Landfill developer shall install the first phase of the toe berm prior to other landfill construction and development of the Landfill. Other sections of the toe berm shall be installed in stages (see condition 32.4). The toe berm shall be contoured to blend with existing topography. It shall be designed to screen the landfill access road. It shall be revegetated immediately with native grasses and other vegetation to blend in with the surrounding area.	A-1, A-5	Completed	<p>Toe berm design is included in FDIP, Sections 3.1 and Drawings 14 & 23. See Community Development Department letter from C. Zahn to T. Cox (KCLC) which authorized construction of the toe berm. Also see Community Development Department letter from C. Zahn to T. Cox (KCLC) dated 12/30/1992 which authorized contouring of the toe berm. Also see Community Development Department memo from H. Bragdon to the Board of Supervisors dated 3/5/1992 which confirmed that the toe berm was constructed properly and keyed to the sub-surface.</p> <p>The toe berm slope stability analyses approval from the RWQCB and revegetation plan were substantiated by CDD on 10/23/1991. See Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991.</p>
22.4	Mitigation Berms. The Landfill developer shall install landscaped mitigation berms (lift-level peripheral berms) at the face of each lift in areas visible off the Landfill site, before beginning refuse disposal on the lift. The berms shall be landscaped to blend with existing terrain. Specific heights for the initial toe berm and each of its phases shall be established in the Final Development and Improvements Plan (Condition 15.1).	A-3	Completed. On-Going	<p>The initial toe berm was constructed prior to other landfill construction. Design reports for all phases of site development take into consideration the potential for visual impacts, and are submitted to the County and the RWQCB for review and approval. Lift-level peripheral berms that may be visible from off-site are revegetated accordingly.</p>
22.5	Lawlor Creek Corridor Plan. See Condition 23.3		See 23.3	This condition cross-references to another LUP condition.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
22.6	Entrance Screening. The Landfill developer shall install landscaping at the entrance of the landfill to screen the entrance facilities from Bailey Road users. Olive trees shall not be included as part of the entrance landscape plan.		Completed	Design approved by the County subsequent to initial FDIP approval in 1991. All landscaping at the landfill entrance was installed. See Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991. Also see Community Development Department letter from C. Zahn to T. Cox (KCLC) dated 11/2/1992 which authorized installation of entry streetscape landscaping.
22.7	Jacqueline Drive Terminus. The north terminus of Jacqueline Drive shall be landscaped, with native species, to shield near-views of the toe berm. Planting of the terminus area shall begin as soon as practicable. The outside access road berm shall be a minimum of 15 feet high to shield transfer truck traffic and noise from nearby residences.	A-4, B-47	Completed	Completed at the "south" terminus of Jacqueline Drive. Included in landscape design plans approved by the County in 1993 and 1994 after initial FDIP approval in 1991. The landfill operator submitted a revised landscape plan for the Jacqueline Drive terminus on 8/30/1993 to account for a lack of water service previously requested from the City of Pittsburg and subsequently revised the plan in coordination with the County. See Plan B Landscaping Plan dated 12/28/1993. See Community Development Department letter from C. Zahn to T. Cox (KCLC) dated May 6, 1994 which authorized installation of Plan B landscaping.
22.8	Auxiliary Facilities Screening. The landscaping plan shall provide for the screening of auxiliary areas, such as the administrative buildings, parking lots, maintenance facilities, and screening of facilities shall occur during the first year of development. Enhancement of Lawlor Creek shall occur during the first year, to aid in screening facilities from Bailey Road users.		Completed	Design approved by the County subsequent to initial FDIP approval in 1991. All required landscape screening of facilities has been installed and is regularly maintained. See Community Development Department letters from C. Zahn to T. Cox (KCLC) dated 7/30/1992 and 9/23/1992 which authorized installation of landscaping at the administration building, and scale house and maintenance building, respectively. Lawlor Creek corridor was improved in 1998 as part of the Lawlor Creek Restoration Plan.
22.9	Architectural Treatment. Plans for buildings and other structures shall include architectural sections showing design and materials to be used. Buildings shall be designed to blend into the rural agricultural setting.		Completed	Architectural plans for buildings and other structures were included in the FDIP, Section 7.2. See Community Development Department letter dated 2/21/1992 from C. Zahn to B. Olney (KCLC) which authorized construction of auxiliary facilities as follows: -- Administration building: Building Permit CO 175997 -- Maintenance building: Building Permit IN 175909 -- Scale House: Building Permit MI 175450 & MI 175970
22.10	Area of Operations. Except during construction of modules and other major installations, the Landfill operator shall limit unvegetated working areas of the landfill, including the daily working face, to 25 acres for appearance and to control dust and erosion. The restriction shall not apply to grading for foundations, cover, site roads, berms and other construction, providing these are carried out expeditiously.		In Compliance. On-Going	Limiting unvegetated working areas to the greatest extent possible is standard operating procedure.
22.11	Interim Revegetation. Interim revegetation shall be required on all areas that will be inactive for more than 90 days. Revegetation shall include native grasses, shrubs and trees to lend more variety and natural appearance to the finished landfill.		In Compliance. On-Going	Interim revegetation of areas that will be inactive for more than 90 days is standard operating procedure. Also see Condition 20.9.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
22.12	Water Tank Screening. The Landfill developer shall provide landscaping to screen the facility's water tanks. Where possible, the landscaping shall be installed prior to the installation of the tank. Consideration shall be given to subsurface or partially buried tanks, and to painting the structures with earthtone colors.		Completed	The water tank location was selected because it is not visible from off-site locations. Landscape screening of the water tank was not required due to natural topography and camouflage paint.
22.13	Final Cover. Final cover shall be contoured and landscaped to blend with existing topography.		Not Yet Required	Final cover has not yet been implemented and will be performed in accordance with this LUP condition, and the approved Preliminary Closure and Post-Closure Maintenance Plan.
22.14	Lighting. The Landfill developer shall design and locate the lighting system to reduce glare and reduce impact to area residents. Focused directional security and operational lighting shall be installed. Operation lighting on the working face shall be turned off by 7:30 p.m. Security and entrance lighting shall be dimmed at 7:30 p.m.		Completed	All on-site and security lighting has been installed and is directed to avoid glare. Operation of lighting systems is performed per this condition. Also see LUP Condition 9.1.
23.1	Biotics Protection Objectives. a) The Landfill developer shall construct and operate the facility in such a manner that ensures, through protection and enhancement measures, that there is no net loss of significant habitat, wetland, woodland, or agricultural production. b) The Landfill developer shall provide at least twice the amount of mitigation wetland for significant wetland lost to the project (2-to-1 mitigation). A minimum of six acres of mitigation wetland shall be provided. Wetland loss shall be mitigated through the enhancement of stock ponds and sedimentation basins, or the creation of new wetlands.	B-57	In Compliance. On-Going	Four mitigation wetlands were created in 1992 in accordance with federal and state resource agencies. Total wetlands area of 8.57 acres, exceeded the 6.0-acre minimum for this Condition (USACOE permit minimum = 7.21 acres). A Biological Condition Compliance Review was conducted in 2003 which concluded that the 8.29 of mitigation wetlands in place at Keller did not warrant any wetland remediation (0.28 acres no longer met USACOE jurisdictional wetlands criteria). An additional 6.0 acres of Lawlor Corridor were enhanced through plantings of California native species and other riparian enhancements. These wetlands and enhanced areas continue to function as designed. See Community Development Department letter from C. Zahn to B. Olney (KCLC) dated 2/5/1992, which authorized construction of mitigation wetlands in the east Special Buffer Area in compliance with COA 23.1 and USACE Section 404 Nation-wide Permit No. 26. Streambed Alteration Agreement No. 1463-90 for wetlands construction was issued by the California Department of Fish and Game on 10/18/1991. The Final Wetland Mitigation and Monitoring Plan was substantiated by CDD on 10/23/1991.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
23.2	Range Management Plan. The Landfill operator shall design and develop a Range Management Plan in order to provide for continued grazing on portions of the site. The Special Buffer Area shall remain as Agricultural Preserve, and development rights shall be conveyed to the County. The buffer area and other site range-lands of the Primary Project Area not exempted for habitat protection and not in active landfill use shall be enhanced as grassland/oak woodland, and shall provide grazing for at least 270 head of cattle, approximately the same number of cattle which presently graze on the site. Stock watering ponds shall be enhanced through planting of trees and shrubs. Grazing shall be restricted for a 1 to 2 year period in order for grasses to get reestablished. It shall provide for adequate grazing range, and for native tree species such as oaks to be planted for animal protection and to replace trees removed during landfill construction, while controlling soil erosion. The plan shall be prepared in consultation with the Contra Costa County Resource Conservation District and the Agricultural Extension Service. It shall be coordinated with the Landscape Plan, the Habitat Preservation Plan, and the Erosion and Sediment Control Plan developed for the landfill facility. It shall be subject to the approval of the County Community Development Department and it shall be included in the Improvements and Development Plan.	B-3, 57	In Compliance. On-Going	Included in FDIP, Section 5.1 Range Management Plan and Drawing RM-1, approved by the Community Development Department. The buffer area is maintained within the guidelines of this condition for grazing, habitat preservation and fire protection. The Special Buffer Area continues to be under Williamson Act contract (Contra Costa County, 2008) and is zoned A-4, Agricultural Preserve.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
23.3	Lawlor Creek Corridor Restoration Plan. Enhancement of this riparian area shall replace habitat lost by the rerouting and covering of a portion of the unnamed drainageway within the waste placement area. This plan shall provide replacement for habitat lost to landfill construction. The Corridor Plan shall also provide screening of the landfill entrance and service facilities from Bailey Road. Livestock fencing shall be constructed around the perimeter of approximately 35 acres to exclude cattle from the riparian and oak woodland areas. Litter shall be removed from the creek and corridor, and fencing shall be established along Bailey Road to prevent unlawful disposal of trash. Riparian species of trees such as Willows, Fremont cottonwood, sycamore and other oak species, California Bay Laurel and shrubs shall be planted. The access road crossing of Lawlor Creek shall be designed and constructed in a manner that would be compatible with the aesthetics of the corridor and habitat enhancement. Installation of horizontal drainage pipes into hillsides may be provided to tap groundwater sources to improve creek flow conditions. A monitoring and maintenance program shall be established to insure wildlife habitat values are protected. Rock dams, overhangs, splash pools and erosion control structures shall be included in the corridor plan design. The detailed restoration plan shall be developed for Lawlor Creek in coordination with the County, the California Department of Fish and Game, U.S. Fish and Wildlife Service, local Audubon and California Native Plant Society representatives and other environmental organizations. A streambed alteration agreement shall be obtained if determined to be necessary by the CDF&G. A wetland modification permit shall be obtained from the Army Corps of Engineers if necessary. Implementation of the Restoration Plan shall take place during the initial development phase of the Landfill.	B-58	Completed	<p>Streambed Alteration Agreement No. 1461-90 for Lawlor Creek was issued by the California Department of Fish and Game on 10/18/1991. A Restoration and Enhancement Plan was prepared in accordance with requirements of the County, U.S. Army Corps of Engineers, and California Department of Fish and Game, and implemented in 1997 and 1998.</p> <p>General plans are included in FDIP, Section 5.2, Drawing LC-1 and Appendix C, approved by the Community Development Department.</p> <p>Final Section 404 Monitoring Report Submitted August 26, 1998. Also See LUP conditions 22.8 and 23.1.</p>
23.4	Sandstone Outcrop Area. Livestock fencing shall be constructed around the perimeter of the 72-acre sandstone area at the front of the Landfill to exclude cattle and preserve upland habitat area. Landfill personnel and construction operators shall be alerted regarding the protected area. Native trees such as Oak and California Buckeye shall be planted along the perimeter of this area. The adjoining equalization basin and toe berm shall be constructed to avoid damage to the protected area.		Completed	<p>Sandstone outcroppings in this area have been protected by exclusionary livestock fencing. Siting and construction of landfill facilities in adjoining areas were implemented without damage to the sandstone outcrop area.</p>

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
23.5	Weed Control Program. The landfill operator shall submit a weed control program to control introduced weedy species on the Land-fill property as part of the Range Management Plan. The program is subject to approval by the County Community Development Department. The weed control program shall include a list of noxious weeds, periodic monitoring of these species, and a weed control and removal program.	B-57	In Compliance. On-Going	Weed control is performed in accordance with this condition, the Range Management Plan, and County Weed Abatement.
23.6	Phased Construction. The Landfill operator shall construct and operate the Landfill in phases in order to reduce the acute impact to vegetation and wildlife habitat. Mature trees should be removed only as needed, not more than one year in advance of module development. Black walnut and other heritage tree cuttings shall be taken with the direction of a research organization such as the University of California's botanical garden.		In Compliance. On-Going	Phased construction has been implemented since the landfill opening in 1992. No Black Walnut or heritage trees or high quality wildlife habitat exist within the approved area of disturbance for landfill facilities or operations.
23.7	Vegetation Protection. The Landfill developer shall employ dust suppression measures to prevent damage from dust loading on vegetation. Periodic watering of vegetation adjacent to the fill working area shall be developed as part of the Range Management Plan.		In Compliance. On-Going	Dust suppression measures are implemented in accordance with this LUP condition, Condition 17k(d) of the SWFP, and requirements of Condition #17309 in the Major Facility Review permit issued by BAAQMD. Also see Condition 20.5.
23.8	Wildlife Exclusion and Vector Control. The Landfill operator shall construct fences around the working area of the site, limit the size of the working face, and cover refuse at least daily in order to exclude wildlife and control vectors at the working area of the site.		In Compliance. On-Going	Wildlife exclusion and vector control are implemented per the requirements of this condition and the SWFP regulating landfill operation. Fences in the working area for wildlife exclusion have not proven necessary as determined by the LEA. The working face size is limited to less than 3 acres by Condition 17.17 of this LUP, and 1 acre by Condition 17h in the SWFP.

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23.9	<p>Supplemental Wildlife Surveys. The Landfill developer shall conduct additional surveys to establish the presence or indicate the absence of the following species at the landfill site.</p> <p>a) San Joaquin Pocket Mouse. The survey shall be conducted according to USFWS recommendations. If found, the developer shall follow USFWS guidelines regarding appropriate mitigation procedures.</p> <p>b) The California Tiger Salamander and the Alameda Whipsnake. The salamander study shall take place during the rainy season. If salamanders are found to exist in the unnamed creek, they shall be trapped and released to the Lawlor Creek area. If the Alameda Whipsnake is encountered, then facilities such as the equalization basin, and the access road shall be relocated further from the outcrop area. The outcrop reserve shall be expanded to include the easternmost outcrops. Consideration shall be taken in siting facilities and any activities north of access road. Lighting shall be shielded and shall illuminate only paved areas in this vicinity.</p>	B-62, 63	Completed. Updated As Needed	<p>Supplemental wildlife surveys were conducted in 2003. No special status wildlife species were observed in the primary project area of the landfill during site visits. Based on existing habitat conditions, there is a moderate to high potential of occurrence for three special status wildlife species to occur on or adjacent to the primary project area: 1) San Joaquin pocket mouse, 2) California horned lark, and 3) loggerhead shrike. Two additional species, the California tiger salamander and the California red-legged frog, occur in adjacent areas and could disperse through the project area. Habitat assessments for these species were conducted in accordance with state and federal guidelines. The habitat assessments concluded that surveys were not warranted based on the probability the species may occur in the primary project area of the landfill, as follows: California red-legged frog = low probability, California tiger salamander = low probability,</p> <p>Earlier supplemental survey data submitted to the U.S. Fish and Wildlife Service were substantiated by CDD on 10/15/1991. See Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991.</p>
24.1	<p>Bird and Vector Control Objective. The Landfill operator shall manage the facility in such a manner that prevents and controls the attraction and/or generation of birds and vectors at the site.</p>		See Conditions 24.2 - 24.7	Compliance with these conditions of approval in LUP Section 24. Bird and Vector Control prevents and controls attraction and/or generation of birds and vectors at the landfill operations area.
24.2	<p>Soil Cover Frequency. See Condition 20.3.</p>		See 20.3	This condition cross-references to another LUP condition.
24.3	<p>Working Face. See Condition 17.17</p>		See 17.17	This condition cross-references to another LUP condition.
24.4	<p>Bird Control. If birds become a problem at the Landfill in the judgement of the County Health Services Department, the Landfill operator shall institute a contingency bird control program. Such a program may consist of monofilament or wire lines suspended in the air at appropriate intervals over and around the active disposal area. The Landfill operator shall retain a biologist during the initial period of operation to (1) assess the effectiveness of the monofilament line for bird control and (2) assess the effect of the line on avian predator species. If necessary, additional corrective measures shall be taken at that time. Such measures may include a reduction in the size of the working face of the landfill, the use of nets over the working face, or the use of a habitat manipulation and modification program.</p>	B-50, 59	In Compliance. On-Going	Implementation of LUP COA 24.4 and 24.5 is routinely coordinated with the SWFP Section 17k(f). The Bird Control Plan for Keller Canyon Landfill was updated on January 14, 2014. The plan includes elements on bird species identification, bird control methods, and specific bird control measures. The control program involves dispersal in conjunction with whistlers, crackershells, and distress calls played over a loudspeaker attached to a vehicle. Monthly on-site inspections by the LEA have not indicated problems with control of birds and vectors.

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24.5	Rodent Control. If waste compaction does not eliminate live rodents from the Landfill footprint, or if rodents (other than small numbers of field mice, etc.) occupy facility landscaping or agricultural areas, the operator shall work with the local enforcement agency to identify the reasons for the presence of rodents and make appropriate changes in operational procedures. If an eradication program is necessary, the use of alternative rodent control programs such as sustained live trapping using nonpoisonous baits, and natural biological control shall be considered. Anti-coagulants shall be administered by a pest management professional in a manner which minimizes exposure to avian predators. Class 1 pesticides shall not be used.	B-50	In Compliance. On-Going	See 24.4 above.
24.6	Mosquito Control. The Landfill operator shall grade areas within the Landfill property to prevent ponding of water which could harbor mosquitos (except for sedimentation ponds and riparian habitat areas). Sedimentation ponds shall be stocked with mosquito fish. If a mosquito problem persists, the County Health Services Department may require the preparation and implementation of additional mosquito control measures, such as spraying of nontoxic larval suppressant.	B-50	In Compliance. On-Going	See 24.4 above. The landfill operator works closely with the County Mosquito Abatement District to ensure approved controls and methods are used to control mosquitos. If required, stocking of sedimentation ponds with mosquito fish would be implemented as directed by the County Mosquito Abatement District. Typically there is insufficient standing water in the sedimentation basin to support mosquito fish.
24.7	Fly Control. The Landfill operator shall limit the size of the working face and shall cover refuse daily in order to prevent fly proliferation. If an eradication program is necessary, the use of a pest-control specialist shall be considered and a plan implemented pursuant to approval by the County Health Services Department.	B-50	In Compliance. On-Going	Fly control is achieved by limiting the size of the working face per LUP Condition 17.17 and Condition 17h of the SWFP. Cover practices and materials are in accordance with requirements of both permits. Also see Condition 24.4.
25.1	Litter Control Objective. The Landfill operator shall manage the facility in a manner which confines litter to the working face of the Landfill, which prevents litter from accumulating another parts of the site, and which prevents litter from being blown off the site.		See Conditions 25.2 - 25,11	The site is in compliance. Litter control is conducted during all hours of operation as specified in Section 25 of this LUP and condition 17k(e) of the SWFP.
25.2	Load Covering. The Landfill operator shall implement a program to exclude uncovered loads from arriving at the Landfill. The program shall be subject to the approval of the County Health Services Department.	B-39	In Compliance. On-Going	See Conditions 7.1 and 7.2 for load covering requirements.
25.3	Load Cover Enforcement. If routine enforcement of load cover requirements is not effective, the Landfill operator shall offer to contract with the Sheriff's Department to enforce regulations requiring the covering of trucks and trailers.		Not Yet Required	Every incoming load is inspected to ensure all waste-hauling vehicles are covered. Waste loads are screened for excessive littering and inadequate covering. All transfer trucks are required to have tarps covering their loads. In addition, all transfer trucks owned by the landfill operator have stickers on the trailer containing an (800) number that allows citizens to contact the operator if litter is observed falling from transfer trucks. The Landfill owner/operator has had no cause to request load cover enforcement from the County Sheriff's Department.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
25.4	Contingency Litter Control. Under windy conditions, the Landfill operator shall cover the refuse with soil as often as necessary to control blowing litter. Other options shall be considered as necessary, including the alignment of unloading areas away from the prevailing wind direction, increasing the number of compactors, decreasing the active face size, and reducing the number of vehicles tipping at one time. The County Health Services Department shall have the authority to enforce this requirement. See Section 20.8..		In Compliance. On-Going	<p>Portable litter fences are provided as necessary around the unloading and receiving areas to prevent litter from migrating off-site. A permanent litter fence has been constructed at the east edge of the landfill operations area. In the event that litter is generated by extremely high winds, the Landfill owner/operator deploys emergency crews to collect on and off-site litter blown beyond the normal containment areas.</p> <p>Contingency litter control was implemented on two significant litter problems that occurred in June 1997 and April 2009:</p> <p><u>1. June 1997:</u> A Notice of Violation from the LEA was issued for a significant off-site litter in June 1997. The problem occurred due to high winds in combination with the location of the working face. Litter (plastic supermarket bags) became airborne and were carried to areas beyond the landfill property boundary. The landfill operator updated the facility Litter Control and Prevention Plan (LCCP) as a result of the incident. The LCCP was approved by the LEA in April 1998 with concurrence from CDD.</p> <p><u>2. April 27 and 28, 2009:</u> A significant litter problem occurred due to a change in wind patterns and gusts in excess of 45 mph during unloading operations. Through the course of April 27th, litter accumulation exceeded the available workforce's rate of removal. Attempts to secure additional clean up crew were unsuccessful and litter was carried to areas beyond the landfill property boundary. On and off-site clean up operations were implemented on April 28th and completed by April 30th.</p> <p>In both cases, the landfill operator worked with DCD and the LEA in implementation of corrective actions. As a result of the litter problem, the landfill operator submitted a refined Litter Incident and Response Plan to the LEA (see KCLC letter from R. King to E. Fung (CCEH) dated 5/14/2009.</p> <p>Litter incidents are recorded by DCD and also in the Log of Special Occurrences maintained by KCL. Also see Condition 20.2. The Department of Conservation and Development is not aware of any complaints related to litter that have not been addressed in a timely manner by the landfill operator.</p>
25.5	Portable Litter Fences. The Landfill operator shall install portable fencing near the working face of the Landfill to intercept windblown debris.	B-39	In Compliance. On-Going	<p>Portable litter fences were initially approved as submitted in the Solid Waste Facility Permit, subject to continued inspection by the County HSD (see memo from C. Nicholson, HSD to C. Zahn, CDD dated 3/10/1992).</p> <p>Portable litter fences are provided as necessary around the unloading and receiving areas to prevent litter from migrating off-site per this LUP condition and condition 17k(e) of the SWFP.</p>

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
25.6	Permanent Litter Fence. The Landfill operator shall install a permanent fence of wire around the current fill area of the Landfill. The location shall be subject to the approval of the County Health Services Department.	B-39	Completed. On-Going	The permanent litter fence was initially approved as submitted in the SWFP, subject to continued inspection by the County HSD (see memo from C. Nicholson, HSD to C. Zahn, CDD dated 3/10/1992). The permanent litter fence was installed prior to commencement of landfill operations in 1992.
25.7	On-Site Litter Policing. The Landfill operator shall remove litter from the litter fences and planting screens at least once each day. On-site roads shall be policed at least daily. The County Health Services Department may require more frequent policing to control the accumulation of litter.	B-39	In Compliance. On-Going	Keller Canyon Landfill has an extensive litter control program in place. The landfill operator enforces the covered load program at the scale house by reminding any untarped customers (which are rare) of the requirement. Daily on-site litter removal is performed in accordance with this LUP condition, the Litter Management Plan, and the SWFP Condition 17k(e). Monthly inspections by the LEA have indicated an acceptable level of litter control. Extensive onsite controls are in place to ensure litter is controlled within the site boundaries.
25.8	Off-Site Litter Policing. The Landfill operator shall provide weekly (or more frequent) litter clean-up from West Leland Road to at least 500 feet south of the site entrance during the Landfill's first year of operations. Based on experience, the County Health Services Department may modify frequency of clean-up and/or area of coverage. If windblown litter from the landfill reaches other properties, the Director of Health Services may require the Landfill operator to remove the litter and the Director may require the operator to institute additional measures to prevent recurrence of the problem.	B-39	In Compliance. On-Going	Off-site litter removal is performed from West Leland Road to at least 500 feet south of the landfill entrance in accordance with this LUP condition and the facility Litter Control and Prevention Plan. In addition, Keller Canyon Landfill's litter control program includes voluntary pick up of litter in areas between Highway 4 and W. Leland Road. Landfill personnel regularly pick up litter in the vacant lot by the bus stop across from the shopping center on Bailey Road. In addition, the landfill operator participates in the Adopt-a-Highway program for the area from the San Marco exit to Bailey Road, including the off-ramp at Bailey Road. This program was previously suspended at the direction of CalTrans during Hwy 4 construction, but was recently re-instated at the request of Keller Canyon Landfill. The landfill operator also enforces the covered load program at the scale house by reminding customers with untarped loads (which are rare) of the requirement.
25.9	Littering Signs. The Landfill operator shall post signs, as determined necessary by the County Public Works Department, along access roads to the Landfill noting littering and illegal dumping laws. The Landfill operator shall post signs at the Landfill entrance noting the hours when the Landfill is open. The operator should periodically publish these laws and operating hours in mailings to Landfill clientele.	B-39	Not Yet Required	A sign specifying landfill operating hours is posted at the site entrance. Off-site signage was deemed unnecessary by the County Public Works Department (PWD) . (See memo from J. Causey, PWD to C. Zahn, CDD dated 2/26/1992.) The County staffs and maintains a countywide illegal dumping hotline and the phone number is: 1-800-NO-DUMPING or (1-800-663-8674) With over 22 years of continuous operation in which public self-haul is prohibited, landfill clientele are familiar with facility operating hours as opposed to potential conflicts that may occur from self-haul customers who arrive at the facility after hours. There have been no instances of illegal dumping for example, resulting from a customer who arrived at the facility after hours.
25.10	Clean-Up Bond. The Landfill developer shall deposit a surety bond for \$10,000 payable to the County to use for clean-up in the event of emergency or disputed littering or spills.		In Compliance. On-Going	The initial Performance Bond (# 571-10-31) in the amount of \$10,000 went into effect on 5/7/1992. It was issued by Safeco Insurance as a surety for Contra Costa County in the event it was needed to clean-up litter or spills not remedied by the Operator. The initial Bond listed BFI which was the parent company that owned the landfill at the time. Since that time the parent company has changed more than once and the Bond had also been renewed. The landfill operator secured a new bond in the name of Republic Services, the current parent company which is on file with County DCD.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
25.11	Public access. Public access to the landfill shall be prohibited.		In Compliance. On-Going	Signage prohibiting public access to the landfill is posted at the landfill entrance and enforced on-site.
26.1	Safety Objective. The Landfill operator shall manage the facility in a manner which does not impair the safety of persons living in its vicinity, Landfill users, or Landfill employees.		See Conditions 26.2 - 26.11	Implementation of conditions of approval in this LUP Section 26. Public Safety has maintained a high degree of safety for landfill users, employees, and the surrounding area.
26.2	Emergency Plan. The Landfill operator shall prepare an emergency plan specified by the Solid Waste Facilities Permit and approved by the County Health Services Department. The emergency plan shall include the following: (a) A fire and explosion component. (b) A seismic component. (c) A hazardous waste spills and contamination containment component. (d) An evacuation component.		Completed. On-Going	The Emergency Plan was originally approved by County HSD as submitted in the RDSI in 1992 (See memo from C. Nicholson, HSD to C. Zahn, CDD dated 3/10/1992).The current Emergency Plan is contained in the updated RDSI which was approved in conjunction with the Solid Waste Facility Permit by HSD and CalRecycle.
26.3	Employee Safety Equipment. The Landfill operator shall provide or require employees to provide safety equipment, such as safety glasses, hard hats, safety shoes, gloves, coveralls, and noise reducers as required by state and federal safety agencies and the County Health Services Department.		In Compliance. On-Going	Safety equipment is provided to landfill personnel as necessary in compliance with 27 CCR, 21600(b)(5)(F). Equipment includes: boots, hard hats, reflective vests, ear and eye protection, filtration masks and self-contained breathing apparatuses. All personal protective equipment (PPE) used by KCL employees in the course of their work must be used and maintained in a sanitary and reliable condition, whenever it is required, based on hazard assessments of district job/tasks. A hazard assessment using the PPE matrix included in the Personal Protective Equipment Program included in Appendix E must be performed for each distinct job/task to determine if hazards are present which require use of PPE. In Fire extinguishers are located in onsite buildings, operating equipment and maintenance and support vehicles.
26.4	Employee Training. The Landfill operator shall develop and implement training and subsequent refresher training programs covering accident prevention, safety, emergencies and contingencies ("bad-day" scenarios), gas detection, identification of hazardous materials and ground fissures, first aid, and instruction in the use of equipment. The programs shall be subject to the approval of the County Health Services Department.		In Compliance. On-Going	The training program was originally approved by County HSD as submitted in the RDSI in 1992 (see memo from C. Nicholson, HSD to C. Zahn, CDD dated 3/10/1992). All employee safety training is performed in accordance with this LUP condition and State requirements. Training for operations personnel is provided in health and safety, hazardous waste identification, handling and storage procedures, environmental control systems management and proper waste handling and disposal procedures. Training provides site personnel with a thorough understanding of operator responsibilities to ensure that landfill operations are conducted under safe working conditions to minimize potential public health and safety problems, and to maintain a high degree of compliance with all applicable solid waste handling and disposal regulations.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
26.5	First Aid Equipment. The Landfill operator shall provide and maintain supplies located in easily accessible areas. The first aid supplies shall be consistent with the Occupational Safety and Health Administration requirements and subject to the approval of the County Health Services Department.		In Compliance. On-Going	All employee first aid equipment is provided in accordance with this LUP condition, and State and federal requirements, and subject to monthly inspections by the LEA.
26.6	Emergency Communications. The Landfill operator shall provide radio phones or telephones for employee use to call for medical and other emergency assistance. Phone numbers to use for outside emergency assistance shall be clearly posted on the Landfill and in other work areas. The communications system shall be subject to the approval of the County Health Services Department.		In Compliance. On-Going	The emergency communications system was originally approved by County HSD as submitted in the 1992 RDSI (see memo from C. Nicholson, HSD to C. Zahn, CDD dated 3/10/1992). All emergency communications equipment and phone numbers are in accordance with this LUP condition and State requirements, and subject to monthly inspections by the LEA.. In accordance with 27 CCR, Section 20615, the LEA, local health agency have been notified in writing of the names, address, and telephone number of the operator. A list of names of the site personnel for KCL to contact in the event of an emergency, along with the emergency procedures, are posted in the site office and operations trailer.
26.7	Emergency Eye Baths and Showers. The Landfill operator shall provide facilities for emergency eye baths and emergency showers. The facilities shall be subject to the approval of the County Health Services Department.		In Compliance. On-Going	All emergency eye baths and showers are in accordance with this LUP condition and State requirements, and subject to monthly inspections by the LEA.
26.8	Equipment Maintenance. The Landfill operator shall prepare and implement an equipment maintenance program which shall be approved by the County Health Services Department prior to the commencement of operations. The program shall address transfer vehicles and other refuse-conveying vehicles stored on the site as well as the station's refuse-moving vehicles and mechanical equipment. Vehicles and equipment shall be regularly cleaned to reduce the risk of fires.		In Compliance. On-Going	The equipment maintenance program was originally approved by County HSD as submitted in the 1992 RDSI (see memo from C. Nicholson, HSD to C. Zahn, CDD dated 3/10/1992). Equipment maintenance is performed according to manufacturer specifications and at required intervals. Maintenance records are maintained by the operator and available for review by County agencies. See Health Services Department Memo from C. Nicholson to C. Zahn (CDD) dated 3/10/1992.
26.9	Gas Migration Monitoring. The Landfill operator shall prepare and implement a gas migration monitoring program to detect underground gas migration. Landfill buildings and paved areas within 1,000 feet of the Landfill shall be monitored. The monitoring program shall be approved by the County Health Services Department.		Completed. On-Going	The gas migration monitoring program was originally approved by County HSD as submitted in the 1992 RDSI, subject to continued inspection (see memo from C. Nicholson, HSD to C. Zahn, CDD dated 3/10/1992). Landfill Gas Migration Monitoring is performed per this condition and requirements of permits with the BAAQMD
26.10	Refuse Cover. See Condition 20.3.		See 20.3	This condition cross-references to another LUP condition.
26.11	Load Inspection. See Condition 7.1.		See 7.1	This condition cross-references to another LUP condition.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
27.1	Security Objective. The Landfill operator shall manage the facility in a manner which prevents unauthorized persons from having access to the working areas of the Landfill both during and after operating hours.		See Conditions 27.2 - 27.4	Site security at the site includes: a locked entrance gate, fencing along the entire property boundary and the manned fee booth/scale area. Access to the site is monitored by the Fee Station Attendants at the scale house when the facility is open for business. A fence around the entire facility controls unauthorized access to the site. The LFGTE facility and flare station are fenced off and locked during non-business hours while the sedimentation basin is also fenced off along the edge of the perimeter or access road. At all other times, the entrance gate is securely locked. Security lighting is provided at the entrance gate, the administrative building, the scale house area, the maintenance facilities, and the utilities areas. KCL can provide additional site security measures, as deemed necessary.
27.2	Security Fencing. The Landfill developer shall install a security fence around the perimeter of the site with lockable gated entrances and exits. The fence shall be located to minimize its visual impacts. It shall be included in the Development and Improvements Plan.		Completed	<p>The Security Fencing plan is included in FDIP Section 10.10. Approved by the Riverview Fire Protection District on 10/22/1991. County HSD deferred to the Fire District’s approval (see memo from C. Nicholson, HSD to C. Zahn, CDD, dated 10/24/1991. See memo from V. Conklin, CDD to C. Zahn, CDD dated 10/15/1991, and as updated through 10/25/1991.</p> <p>Security fencing was installed prior to the landfill opening. The initial portion of fencing was authorized in a Community Development Department letter from C. Zahn to B. Olney (KCLC) dated 2/6/1992; installation of the remainder of perimeter fencing was authorized by CDD in a letter from C. Zahn to B. Olney, KCLC dated 7/24/1992. Fencing is maintained or repaired as the need arises.</p>
27.3	Security Staffing. The Landfill operator shall staff the Land-fill 24 hours per day. Private security services may be retained when the site is not open.		In Compliance. On-Going	Private security services patrol the site when the landfill is not open, from landfill closure until 6:00 A.M. and all day on Sunday. The level of security is managed to ensure landfill assets are protected. On-site patrols include all landfill buildings, facilities such as the scalehouse, flare system, water tank, and leachate tanks, and major equipment. Security breaches have been very rare at the site. No buildings or facilities have experienced forced entry or burglaries. The last incident involved vandalized windows on a piece of earthmoving equipment in 2008.
27.4	Security Lighting. The Landfill developer shall install and operate adequate lights at the entrance area to the Landfill. The lighting shall be provided in a manner which minimizes glare to nearby residents and road users. The security lighting shall be covered in the Development and Improvements Plan.		In Compliance. On-Going	The Security lighting plan is included in the FDIP, Section 10.11. Lighting was installed and is maintained per the requirements of this condition and the FDIP. Security lighting is operated in compliance with LUP Condition 9.1.
28.1	Cultural Resource Preservation Objective. The Landfill developer shall construct the facility in such a manner that preserves important archaeological or historic sites.		See Conditions 28.2 - 28.3	Implementation of conditions in LUP Section 28. Cultural Resources has preserved known cultural resources.
28.2	Employee Access. Employee access to the buffer area, the Lawlor Creek area, or the sandstone outcrop area shall be limited to duties associated with landfill maintenance. Artifact collection or vandalism in these areas shall be strictly prohibited.		In Compliance. On-Going	There are no regular landfill disposal operations in the Special Buffer Area or Lawlor Creek corridor. Public access is prohibited. Employee access to these areas is limited.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
28.3	Archaeology. The Landfill operator shall cease work in the immediate area if buried human remains or archaeological features (e.g., petroglyphs) are uncovered during construction or operation. Work in the immediate area shall cease until a qualified archaeologist is consulted and approves resumption of work. Should human remains which may be of Native American origin be encountered during the project, the County Coroner's Office shall be contracted pursuant to the procedures set forth in the Health and Safety Code. The County Community Development Department shall also be notified.		In Compliance. On-Going	To-date, no buried human remains or archaeological resources have been discovered during site development or landfill operations.
29.1	Traffic Objective. The Landfill operator shall manage the facility in such a manner that provides safe, efficient transport of solid waste, while minimizing impacts to County residents.		See Conditions 29.2 - 29.10	Implementation of conditions of approval in LUP Section 29. Transportation and Circulation is meeting the objectives of safety, efficient transport, and minimizing traffic impacts to area residents. The Traffic and Circulation Plan is included in the FDIP, Sections 11.1 through 11.7.
29.2	Access Route. Access to the landfill facility shall be via State Highway 4, and Bailey Road. No waste-hauling traffic shall be allowed entrance to the landfill from Bailey Road south of the site. The Landfill operator shall specify use of the prescribed route in all user contracts and shall notify non-contract users of the requirement. At the request of the Board of Supervisors, the Landfill Operator shall reimburse the County for the cost of enforcement of this Condition on the access route. The Board of Supervisors may also request the Landfill operator to reimburse the City of Concord for an access control police inspection stop on Bailey Road should it become necessary to enforce this access route condition.	B-47	In Compliance. On-Going	The vehicle access route to/from the landfill is strictly enforced by the Landfill operator. The prescribed route is specified in all user contracts. Non-contract users are informed of the prescribed access route. No additional enforcement from City of Pittsburg or City of Concord law enforcement has been required.
29.3	Landfill Access Road. The Landfill developer shall install a paved, two-lane access road between Bailey Road and the edge of the current working lift of the landfill. A facility parking lot, a bridge across Lawlor Creek, a 12-foot turn-around lane, and parking/turn-off lanes shall be provided. the traffic lanes shall be built to a suitable Traffic Index (between 10.0 and 10.5). The roadway shall be constructed of all-weather driving surfaces of not less than 20 feet of unobstructed width, and not less than 13'-6" of vertical clearance, to all landfill areas within the site. The road shall not exceed 20% grade, shall have a minimum centerline turning radius of 30 feet, and must be capable of supporting the imposed loads of fire apparatus (20 tons). The access road shall be operational when the landfill opens. All costs shall be borne by the Landfill developer. The design and specifications of the roadway shall be approved by the County Public Works and Community Development Department in consultation with the Riverview Fire Protection District.	B-43, 44	Completed	Landfill Access Road design information is included in the FDIP, Section 11.1, Drawings 8-13. Approval for completion of on-site roads was issued by CDD in a letter from H. Bragdon to B. Olney, KCLC dated 12/13/1991. A memo from C. Nicholson, HSD to C. Zahn, CDD dated 12/12/1991 concurred that all paved roads met design specifications and requirements Title 14 CCR. Authorization to construct Bailey Road improvements; and landfill entrance and intersection design was issued by CDD in a letter from C. Zahn to B. Olney, KCLC dated 12/20/1991. Final Construction Quality Assurance (CQA) for Phase 1A completed July 29, 1992. The landfill access road was designed and constructed in accordance with standards of the County Department of Public Works.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
29.4	Landfill Entrance. The Landfill developer shall construct the Bailey Road entrance to the site in a manner that provides safe access into the landfill. This improvement shall include the following for proper sight distance and intersection design: a separate left turn lane at least 150 feet in length and an acceleration lane, at least 1200 feet in length, leading north on Bailey Road, away from the site. The landfill developer shall also become responsible for a traffic signal at a later date, if warranted. The County Public Works Department shall approve the design of the entrance and estimate its cost. All costs shall be borne by the Landfill developer.	B-43	Completed	Landfill Entrance design is included in FDIP, Section 11.2. The landfill entrance was designed and constructed in accordance with standards of the County Department of Public Works.
29.5	Bailey Road, Pittsburg city limits to the Landfill Entrance. The Landfill developer shall reconstruct the sections of Bailey Road between the city limits and the landfill entrance. The reconstructed roadway shall provide the sight distance, and roadway geometrics (including shoulder widening) specified by the County Public Works Department for truck use. This shall include two twelve-foot lanes with eight-foot shoulders. These improvements shall be in place prior to commencement of landfill operations. The Landfill developer shall upgrade the pavement capacity to reflect a 20-year life (an estimated Traffic Index of 10.0 - 10.5) along Bailey Road between the Pittsburg city limits and the landfill entrance. The County Public Works Department shall approve the design of the roadway and pavement reconstruction and estimate its cost. All costs shall be borne by the Landfill developer.	B-43	Completed	<p>Design plans for reconstruction of Bailey Road is included in FDIP, Section 11.3, Drawings 4 through 7. The section of Bailey Road as defined in this condition was designed and constructed in accordance with standards of the County Public Works Department.</p> <p>On 10/20/1992 the Board of Supervisors authorized a Joint Exercise of Powers Agreement (JEPA) between the County and the City of Pittsburg for the purpose of constructing certain road improvements to Bailey Road within the City's jurisdictional boundaries from just south of West Leland Road to just north of Maylard Street. The JEPA became effective on 3/18/1993. The County Public Works Department had oversight over the project designs, construction, and conducted final inspections in consultation with the City.</p> <p>A Memorandum of Understanding (MOU) was also established on 10/1/1992 between the County and the Keller Canyon Landfill Company. By order of the Board of Supervisors dated 10/20/1992, the County approved the Keller Canyon Landfill Company and the disbursement of monies from the Transportation System Impact Fee account in an amount not to exceed \$300,000.</p>

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
29.6	Bailey Road Pavement Study. The Landfill developer shall conduct a study of the Bailey Road roadway from the Pittsburg city limits to the Highway 4 interchange to determine the improvements necessary to reconstruct the right hand (outside) traffic lanes of the road to a 20-year pavement standard to be an estimated Traffic Index of 10.0 to 10.5. The County Public Works Department, in consultation with the City of Pittsburg, shall estimate the costs of the improvements, estimate the longevity of the existing roadway under increased traffic conditions, and determine a per-ton refuse disposal surcharge adequate to fund the improvements when reconstruction is necessary. The Landfill operator shall impose the surcharge and pay it quarterly into a segregated account established by the County. The design of the improvements, their costs, the surcharge and its disbursement shall be approved by the Board of Supervisors.	B-42	Completed	The initial pavement study is included in the FDIP, Section 11.4 and Appendix F. A subsequent comprehensive pavement study was completed by the County Department of Public Works in 2009 prior to the rehabilitation of Bailey Road between the Highway 4 interchange and West Leland Road.
29.7	Road Maintenance. Subsequent to the funding of the above traffic lane upgrading improvements, the landfill operator shall impose a surcharge for the maintenance of Bailey Road between the Highway 4 interchange and the Landfill intersection. The surcharge shall be estimated by the County Public Works Department in consultation with the City of Pittsburg and shall be based on the landfill's proportionate share of traffic on the road corridor adjusted for vehicle weight and number of axles. The fees shall be paid quarterly into a segregated account established by the County. The design of the improvement, its cost, the surcharge and disbursements from the segregated account shall be approved by the Board of Supervisors.	B-42	In Compliance. On-Going	On July 7, 2010, the County Board of Supervisors approved the establishment of a \$0.32 per ton fee ("Bailey Road Improvement Disposal Surcharge"), pursuant to Condition of Approval 29.6, of the Keller Canyon Landfill Land Use Permit 2020-89. On the same day the Board approved a \$0.68 per ton fee for Bailey Road Maintenance Disposal Surcharge, pursuant to Condition 29.7. This fee will be in effect between January 1, 2011 and December 31, 2015 and will be reduced to \$0.27 on January 1, 2016.
29.8	Highway 4/Bailey Road interchange. The Landfill developer shall participate in an improvements district, benefit area, or other cooperative arrangement with the County, the City of Pittsburg, and Caltrans which may be created to improve the Highway 4/Bailey Road Interchange. The developer shall be required to pay an amount for the improvements and maintenance proportionate to the traffic generated by the landfill, adjusted for truck use. If necessary, the developer shall advance the money for the inter-change design and improvements. The County Public Works Department shall approve the design of the interchange and estimate its cost. The fees shall be paid quarterly into a segregated account established by the County. The design of the improvements, their costs, the surcharge, and disbursements from the segregated account shall be approved by the Board of Supervisors.	B-45	Completed	Originally included in FDIP, Section 11.5. An improvement district, benefit area, or other cooperative arrangement requiring the landfill developer participation was not established for the improvement of the Highway 4/Bailey Road Interchange. CalTrans constructed the Highway 4/Bailey Road interchange improvements in September 1992, which included the section of Bailey Road from the Highway 4 interchange south to Maylard Street.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
29.9	Peak Period Traffic Management. The Landfill operator shall prepare a study, in conjunction with the transfer station serving the landfill, for managing transfer vehicle traffic to reduce peak period conflicts with traffic on Highway 4. The study shall address the restricted departure periods from the Landfill identified in the Environmental Impact Report (6:30 - 8:30 a.m. and 3:30 - 6:30 p.m.) and shall identify any changes to the conditions of approval needed to implement a peak-period traffic reduction program. The study shall be approved by the County Public Works and Community Development Department and shall be provided to the County Community Development Department with the Development and Improvements Plan. The Director of Community Development shall specify peak period traffic restrictions. The Landfill operator shall comply with such restrictions, and shall require compliance in contracts with Landfill users.	B-21, 40, 48	Completed	Included in FDIP, Section 11.6 and Appendix H. The peak period traffic management plan has been modified over time to reflect local traffic conditions. Conditional approval was issued in August 1996 to modify A.M. and P.M. peak period departure times (see Community Development Department letter from C. Zahn to S. Gordon (KCLC) dated 8/14/1996). A five (5) minute interval for departing trucks was approved for the periods of 7:30 A.M. to 8:30 P.M. and 3:30 P.M. to 6:30 P.M. Departure restrictions between 6:30 A.M. and 7:30 A.M. remained in effect. The revised intervals were subject to modification if dictated by traffic flow or a 25% increase in daily tonnage. Conditional Approval was issued by CDD in March 2000 to adjust departure time from 7:30 A.M. to 7:10 A.M.; and in April 2000 to reduce the interval of departing trucks in the A.M. peak period from 5 minutes to 3 minutes. See Community Development Department letter from D. Dingman to N. Christensen (KCLC) dated 4/27/2000. Modification of departure patterns improved efficiency of peak period truck traffic.
29.10	Bicycle and Pedestrian Improvements. The Landfill developer shall incorporate into the Transportation and Circulation Plan a bicycle and pedestrian path system along Bailey Road in the vicinity of the landfill.	B-5, 46	Not Yet Required	Plans for bicycle and pedestrian improvements are included in FDIP, Section 11.7, Figure 11-1. Staff reported to the Board of Supervisors on April 28, 1992 indicating that installation bike and pedestrian path between the City limits and the landfill entrance was determined to have limited functionality.
30	Objective. The Landfill developer shall design, develop and manage the facility in such a manner that services and utilities adequately meet the landfills requirements, while ensuring the protection of site employees, area residents, and the surrounding environment.		See Conditions 30.1 - 30.21	Implementation of conditions of approval in LUP Section 30. Site Services & Utilities is expected to address the objectives of safety, efficient transport, and minimizing traffic impacts to area residents. The Site Services & Utilities Plan is included in the FDIP, Sections 10.1 - 10.11.
30.1	Final Site Services and Utilities Plan. The landfill developer shall prepare and submit a final Site Services and Utilities Plan, and obtain the approval of the County Community Development Department prior to beginning construction. The Site Services and Utilities Plan shall be included in the Development and Improvements Plan. The final Site Services and Utilities Plan shall include: a) A water service component. (see Condition 30.2) b) A fire protection component. (see Condition 30.5)		See Conditions 30.2 - 30.21	Implementation of conditions of approval in LUP Section 30. Site Utilities Plan meets landfill requirements and protects the surrounding environment. The Site Services and Utilities Plan is included in the FDIP, Sections 10.1 through 10.11, approved by the Community Development Department on 10/23/1991. Also RFPD letter from K. McCarthy to V. Conklin (CDD) dated 10/22/1991 in which RFPD approved compliance with Section 30 of the LUP.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
30.2	Water Service Component. The Landfill developer shall prepare and implement a Water Service Component, covering available water resources, estimated total water needs and supplies, landfill construction and operation, landscaping, fire protection, employee hygiene, and human consumption water needs, and water supply sources. Potable water shall be provided for hygiene and consumption. Potable water may be trucked onto the Landfill.		Completed	Included in FDIP, Section 10.1. Additonal research and negotiations occurred following initial submittal in the FDIP in July 2014, documentation including numerous letters between the operator and City of Pittsburg are on file.
30.3	On-site Water Wells. The Landfill operator shall install wells for water supply with a minimum pumping capacity of 1,000 gallons per minute, or must have on-site storage which produces this capacity. The County Community Development Department and the County Department of Health Services shall be furnished pumping test information which shall be submitted with the Development and Improvement Plan.		Completed	Also see Health Services Department Memo from C. Nicholson to C. Zahn (CDD) dated 3/10/1992, and Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991.
30.4	Public Water Supply Option. The Landfill operator may substitute water service from a public water supply system for the use of wells, if arrangements can be made with the Contra Costa Water District and, where applicable with the cities of Concord or Pittsburg. The water supply may be potable or non-potable. Annexation to the Contra Costa Water District probably would be required, as well as an approval for annexation from the Local Agency Formation Commission, and would have to be obtained prior to the submission of the Water Service Plan as part of the Final Development and Improvements Plan. The size and placement at the water supply line shall be included in the Water Service Plan and shall be subject to the approval of the County Health Services and Community Development Departments.		Not Yet Required	The option of a public water supply was not employed. The landfill Operator investigated the feasibility of this option in coordination with County agencies. A public water supply option was not feasible at the time of landfill construction due to design and infrastructure constraints. All on-site water needs are currently met with groundwater supplies. The Condition should be retained to allow for evaluation of future public water supply alternatives if necessary.
30.5	Fire Protection Component. The Landfill operator shall develop and implement a Fire Protection Component meeting the requirements of the Riverview Fire Protection District to contain and extinguish fires originating on the landfill property and off-site fires caused by Landfill operations. It shall include training for all employees. The program shall be subject to the approval of the County Health Services Department.		Completed. Updated As Needed	<p>The Fire Protection Component is included in FDIP, Section 10.3. The Riverview Fire Protection District (RFPD) indicated that the fire protection component had been adequately addressed and therefore authorized preliminary construction in a letter dated 10/21/1991. The Site Plan and Fire Protection Component were approved by RFPD. See RFPD letter from K. McCarthy to C. Nicholson (HSD) and C. Zahn (CDD) dated 3/4/1992. Final inspection of the entire fire protection system determined all requirements were met. Last inspection was on January 31, 2014.</p> <p>See Health Services Department Memo, C. Nicholson to C. Zahn (CDD), dated 10/24/1991 and Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991.</p>

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
30.6	Fire District Programs. The Landfill developer shall participate in the Riverview Fire Protection Districts Benefit Assessment Program and the New Development Fees program.		In Compliance. On-going	The landfill operator participated in the New Development Fees program when applicable fees are assessed and paid at the time Building Permits were issued. A review of recent Property Tax Bills confirmed that the Fire District’s Benefit Assessment program has yet to be established,
30.7	Construction Timing. Access roads and water supply systems shall be installed and in service prior to any combustible construction and/or related landfill activity. No construction, excavation, or grading work shall be started on this landfill facility until a plan for water supply system has been submitted to and approved by the County Health Services Department and the County Community Development Department. Water may be transported onto the site during construction activities, but trucked water shall not be used for ongoing landfill activities.		Completed	Plans for access roads and water supply systems were approved prior to landfill operations. On-site water was to be supplied by wells. See Condition 30.3. Trucked water is used on paved and unpaved roads in accordance with dust suppression requirements of this LUP and in accordance with requirements of the BAAQMD Permit to Operate. See Condition 20.5. See Health Services Department Memo, C. Nicholson to C. Zahn (CDD), dated 10/24/1991 and Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991.
30.8	On-Site Water Storage. The Landfill developer shall provide an adequate and reliable water supply for fire protection which shall include on-site storage. The storage tank(s) shall have a useable capacity of not less than 240,000 gallons of water and shall be capable of delivering a continuous flow of 1,000 gallons per minute.		Completed	Plans used for conservation were dated 1/16/1992. Storage capacity is approximately 366,000 gallons and is capable of delivering a continuous flow of 1,000 gallons per minute in accordance with this LUP condition. See Department of Community Development letter from C. Zahn to B. Olney dated 3/12/1992 which authorized installation of the water tank (Building Permit BP MI 176099); and letter dated 3/24/1992 which authorized installation of the pump house (Building Permit MI 176416). See Health Services Department Memo, C. Nicholson to C. Zahn (CDD), dated 10/24/1991, and Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991.
30.9	Fire Fighting Water Main. If the Landfill developer exercises the alternative of utilizing public water supply, an above-ground main of sufficient size and quantity shall be provided, which when connected to the respective storage tank, shall be capable of supplying the required portable monitor (see Condition 30.11) with a minimum fire flow of 1,000 GPM delivered to the working face of any open cell in the landfill operation.		Completed	The alternative of utilizing a public water supply was not implemented. The existing fire fighting system meets the minimum fire flow requirements of 1,000 GPM and was approved by County Riverview Fire Protection District.
30.1	Fire Cover. The Landfill operator shall store a supply of soil nearby the working face to be used for fire suppressant. The adequacy of the cover stockpile shall be determined by the County Health Services Department in cooperation with the Riverview Fire Protection District.		Completed	Storage of soil near the working face for potential use as a fire suppressant is standard operating procedure.
30.11	Fire Fighting Appliance. The Landfill operator shall provide a minimum of one (1) approved portable master-stream firefighting appliance (monitor) located within fifty (50) feet of each work-ing face of any open cell in the landfill.		In Compliance. On-Going	A portable master-stream firefighting appliance is located near the working face as standard operating procedure in accordance with this LUP condition.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
30.12	Fire Breaks. The Landfill developer shall provide and maintain firebreaks as follows: a) A minimum 100-foot firebreak around the perimeter of each landfill disposal area, b) A minimum 60--foot firebreak around the perimeter of the entire site and around any buildings or similar structures. The firebreaks shall be placed to minimize any adverse visual effects. Their locations shall be subject to the approval of the Riverview Fire Protection District. The firebreaks shall be included in the Development and Improvements Plan.		In Compliance. On-Going	Fire breaks are maintained on a seasonal basis as standard operating procedure in accordance with requirements of the Riverview Fire Protection District. See Health Services Department Memo, C. Nicholson to C. Zahn (CDD), dated 10/24/1991, and Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991.
30.13	Fire Extinguishers. The Landfill operator shall provide landfill equipment with fire extinguishers large enough to fight small fires on the equipment or on the landfill. The extinguishers and their distribution shall be subject to the approval of the County Health Services Department and the Riverview Fire Protection District.		In Compliance. On-Going	Fire extinguishers are located in onsite buildings, operating equipment and maintenance and support vehicles. See Condition 30.5. See Health Services Department Memo, C. Nicholson to C. Zahn (CDD), dated 10/24/1991, and Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991.
30.14	Use of Reclaimed Water for Landscaping. The Landfill Developer shall make every effort to use treated waste water from a district sewage treatment plant for landscape maintenance. The Landfill Developer shall report on this matter to the Community Development Department.		In Compliance. On-Going	Use of treated wastewater for landscaping was determined in December 1992 to be infeasible. In a letter from the landfill operator dated 9/24/1992, information was requested on availability and feasibility of treated waste water to meet an annual demand of approximately 1,050,000 gallons per year. Letters were sent to the Delta Diablo Sanitation District (DDSD) and Central Contra Costa Sanitary District (CCCSD). In December 1992, the landfill operator submitted a status report to the Community Development Department stating the annual water demand would have to be trucked from either DDSD or CCCSD for use at the landfill site. Neither the transportation/distribution system nor transport capacity existed to deliver the annual demand for water. The landfill operator stated that construction of a pipeline from DDSD to KCL would cost in the millions of dollars for development of a system, exclusive of right-of-way acquisition costs. The system would require necessary governmental and private approvals that were beyond the scope of the condition of approval. The landfill operator expressed a willingness to explore the option for use of reclaimed waste water should a pipeline delivery system become operational sometime in the future. See KCLC letter T. Cox (KCLC) to V. Conklin (CDD) dated 12/1/1992.
30.15	Equipment and Cleaning. See Condition 20.24.		See 20.24	This condition cross-references another LUP condition.
30.16	Smoldering Loads. The Landfill operator shall check incoming loads and direct vehicles hauling smoking or burning trash to a designated place apart from the current fill area. The loads shall be dumped immediately and the fire extinguished before the waste is incorporated into the fill.		In Compliance. On-Going	The Load Check Program requires checking of all incoming vehicles hauling smoldering loads. Gate operator would notify site foreman at the working face of the need for special handling per this condition. Also see LUP Condition 7.1.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
30.17	Emergency Equipment Access. The Landfill operator shall designate access points for local fire protection agency access to all parts of the landfill and routes. The access points shall be included in the Development and Improvements Plan and shall be subject to the approval of the Riverview Fire Protection Dis-trict.		In Compliance. On-Going	Designated emergency access points have been approved by RFPD. Approval by RFPD substantiated by CDD on 10/23/1991. See Health Services Department Memo, C. Nicholson to C. Zahn (CDD), dated 10/24/1991, and Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991.
30.18	Smoking Prohibitions. The Landfill operator shall prohibit smoking on the landfill except in designated areas. In no event shall smoking be allowed near the working face of the landfill and the fuel storage area. Signs shall be clearly posted and enforced.		In Compliance. On-Going	On-site signage is installed that prohibits smoking in all landfill operations areas.
30.19	Toilets. The Landfill operator shall provide portable chemical toilets near the active disposal area for use of workers and drivers. Their placement and maintenance shall be subject to the approval of the County Health Services Department.		In Compliance. On-Going	Included in FDIP, Section 10.9. Placement of chemical toilets in safe and convenient locations is standard operating procedure within the disposal operations area and ancillary facilities. Sanitary facilities are provided for employees and visitors at the landfill administrative building. Locker, rest room, and shower facilities are provided for employees in the maintenance building. Bottled drinking water is provided at the administrative building and maintenance building.
30.20	On-site Septic System. Septic systems shall be designed to County Department of Health Service Standards, and State Health and Safety Code requirements. The Landfill developer shall pay for any treatment plant fees.		In compliance. On-Going	Septic systems were designed, approved, and constructed per County and State standards.
30.21	Sewer Line. In the event that the Landfill developer elects to connect to a sewer line, the developer shall pay for any capacity studies required, and any resultant equipment and/or facilities.		Completed	Landfill developer elected to construct an on-site septic system. Connection to a sewer line has not been determined to be necessary.
31.1	Waste Reduction and Resource Recovery Objective. The Landfill operator shall manage the facility in such a manner that complies with the State's waste management hierarchy of source reduction, recycling and composting, and environmentally safe transformation and land disposal; and that is consistent with the Countywide Integrated Waste Management Plan.		See Conditions 31.2 - 31.10	The Landfill operator manages the facility consistent with prevailing requirements of CalRecycle, the Countywide Integrated Waste Management Plan, and other permits. The Waste Reduction and Resource Recovery Program is included in the FDIP, Sections 6.1 through 6.5. Condition 17i (Waste Reduction and Resource Recovery) of the SWFP further requires that wastes transported by transfer vehicles should originate from transfer stations where waste reduction and recovery activities take place.
31.2	1990-1995 Resource Recovery Program. The Landfill Operator shall participate with the transfer station(s) operators(s), route collection companies and direct haulers in designing and implementing a resource recovery and recycling program for the service area which is consistent with the goal of diverting 25 percent of all solid waste generated in the County from landfill facilities by January 1, 1995.		Completed	The Resource Recovery Program for 1990-1995 is included in FDIP, Section 6.1. No substantive resource recovery activiteis were conducted at Keller.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
31.3	1996-2000 Resource Recovery Program. Prior to 1995, the Landfill operator shall prepare and submit for review and approval by the County Community Development Department a resource recovery and recycling program for the service area covering the period from 1996-2000. This shall be consistent with the County-wide Integrated Waste Management Plan's goal of diverting a total of 50 percent of all solid waste generated in the County from landfill facilities by January 1, 2000.		Completed	The Resource Recovery Program for 1996-2000 is included in FDIP, Section 6.2. Initiated on-site resource recovery activities authorized by regulatory changes allowing waste derived cover materials and beneficial reuse materials to be treated as diversion.
31.4	Materials Recovery. The Landfill operator shall prepare and implement a program for recovering recyclable materials from refuse loads brought directly to the landfill. The operator shall coordinate the material recovery program with the operator(s) of a transfer station(s) serving the Landfill. The program shall be consistent with the Countywide Integrated Waste Management Plan and shall be subject to the approval of the County Community Development Department.		Not In Compliance	The initial Materials Recovery program was included in FDIP, Section 6.3. However, the Countywide Integrated Waste Management Plan was not approved by the State until 1993. Updated program submitted to County DCD in October 2014 which is currently under review to determine consistency with current Countywide goals and requirements.
31.5	Composting Project. The Landfill operator shall develop and implement a pilot program for composting organic material at the landfill site. The program may occur off-site, and shall be approved by the County Health Services and Community Development Department. The compost shall be used for landfill landscaping, cover material or other approved uses. The purpose of the pilot project shall be to determine the feasibility of large-scale on--site composting. The composting operations shall meet the State Department of Health Services' regulations on land application, if applicable. The pilot project shall be in operation within six months of the opening of the Landfill. Its results shall be considered at the second Land Use Permit review.		Not In Compliance	<p>A concept for a composting operation is included in FDIP, Section 6.4. The Pilot project was not implemented within 6 months of the landfill opening due to lack of market feasibility at the time of landfill construction and additional permitting requirements. Some compostable green waste has been diverted as Alternative Daily Cover since authorized to do so by CCEH starting with their 12-month Demonstration Project in April 1999.</p> <p>The majority of wood waste and material for composting is processed at the Contra Costa Transfer and Recovery station, where regular wood chipping occurs and greenwaste is transloaded for approved off-site uses. An off-site program has been formally proposed to County DCD in October 2014 involving use of the composting facility in operation at Republic Services' site in west Contra Costa County and the City of Richmond (Bulk Material Processing Center approved under County LUP 2054-92, and in accordance with the Standardized Composting Permit under that facility's SWFP 07-AA-0044).</p>

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
31.6	Wood Chipping. The Landfill operator shall establish a program to encourage landscape services and construction/demolition material haulers to segregate wood material for chipping. The program shall be approved by the County Community Development Department and shall be placed in operation within six months of the landfill's opening.		Not in compliance	A wood chipping concept is included in the FDIP, Section 6.5. A wood chipping program was not placed in operation within six months of the landfill's opening. DCD issued Conditional Approval for implementation of a wood chipping operationon August 21, 2003 based on consistency with the LUP 2020-89 Condition of Approval 31.6 Waste Reduction and Resource Recovery – Wood Chipping. The wood chipping operation was never implemented on-site. The majority of wood waste is processed at the Contra Costa Transfer and Recovery station, where regular wood chipping occurs. The operator submitted a revised program proposal to the County DCD in October 2014 which is currently under review.
31.7	Methane Recovery. The Landfill operator shall explore the use of methane in landfill gas collected for air pollution reduction as a fuel commodity. The operator shall report findings to the Community Development Department at the time of the landfill's periodic reviews. If there is an economic use found for recovered methane, and if the County subsequently includes the use in its Integrated Waste Management Plan, the Landfill operator shall implement a methane recovery program.		Completed	A landfill gas power plant was determined by the landfill operator to be feasible in August 1998. The LFGTE power plant became operational in 2009 and is addressed in Section 36. Landfill Gas Plant of this LUP. See Condition 20.13.
31.8	Equipment Maintenance. The Landfill operator shall maintain motorized landfill equipment to assure maximum fuel efficiency.		In Compliance. On-Going	Equipment maintenance is performed according to manufacturer specifications and at required intervals. Maintenance records are maintained by the operator and available for review by County agencies. See LUP Condition 20.24
31.9	County Resource Recovery Management Program. When directed by the County, the Landfill operator shall impose a tonnage sur-charge adequate to support a County Resource Recovery Management Program consisting of the Office of Resource Recovery Management and its program. The cost of the program to be supported by the surcharge shall not exceed \$100,000 at 1987 levels. If other solid waste disposal facilities are subject to this or a similar condition, the County may pro-rate the cost of the program among them according to a formula approved by the Board of Supervisors.		In Compliance. On-Going	In 1994, the Board of Supervisors approved the First Amended Landfill Franchise Agreement which included a provision specifying that the Franchise Surcharge to be paid by the operator would cover the cost of all current County programs (required or authorized by the Use Permit or the Agreement) except the LEA and AB939 Fees.
31.10	Fund Recovery. The Landfill owner may recover funds provided to the County in advance of the opening of the Landfill through subsequent rate adjustments or surcharges approved by the County. The County may pro-rate the cost of the program among other waste disposal facilities it approves which are subject to similar conditions.		No Longer Applicable	Condition Acknowledged.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
32.1	Hours of Construction. The Landfill developer shall restrict outdoor construction activities to the period from 8:00 a.m. to 6:00 p.m. Monday through Saturday.		In Compliance. On-Going	Outdoor construction activities are restricted to the hours and days specified in this LUP condition and Section 5b of the SWFP.
32.2	Exemption. The Landfill developer may request, in writing, and the Director of Community Development may grant, exemptions to Condition 32.1 for specific times for cause. An example is the placing of concrete.		In Compliance. On-Going	During certain phases of the landfill's development, the developer has been granted exemptions pursuant to this Condition when circumstances warranted in response to written requests that were received by County DCD.
32.3	Access Roads. Before commencing landfilling operations, the Landfill developer shall install and pave the site access road from Bailey Road to the Phase I excavation area (see Initial Facilities Site Plan drawing of the Initial Development and Improvements Plan, Condition 16.1). This installation shall include the new bridge over Lawlor Creek and the turnaround lane. An area which can be used by the California Highway Patrol for vehicle inspection/weighing shall also be constructed.		Completed	Included in FDIP, Section 11.1, Drawings 8-13, approved by the Community Development Department on 10/25/1991.
32.4	Phasing Plan. The Landfill developer shall design a Phasing Plan setting forth a schedule of construction activities and projects, with detailed information provided on sensitive installations such as the landfill liner and the leachate collection and gas management systems. Sensitive installation projects shall be subject to inspection by the Geotechnical Inspector (Condition 23.6). The necessary installations of the Surface Drainage System (Condition 18.2) and Soil Erosion and Control Plan (Condition 18.4) shall be in place before major excavations commence in order to ensure controlled surface water runoff. Sediment in the sedimentation pond shall be monitored to control quality of runoff. Construction activities shall be timed to coincide with the dry season and low surface water flows.		Completed	Included in FDIP, Section 3.1 (Drawings 25-38) The Phasing Plan was approved by CDD on 10/25/1991. See Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991.
32.5	Unstable areas. Areas determined to be unstable by the Stability Analysis performed for the landfill (condition 18.4) shall be excavated or retaining walls installed under the supervision of a Certified Engineering Geologist or a Registered Geotechnical Engineer.		Completed. Updated As Needed	Evaluation of unstable areas is an on-going activity at KCL. Each design phase considers use of buttresses and other practices required for cell stability. All design and construction quality assurance documents are submitted to and approved by the RWQCB. Also see LUP Condition 16.12.
32.6	Dust Suppression. The developer shall sprinkle or chemically treat graded areas, borrow sites, stock piles, and temporary pavements to control dust, as determined necessary by the County Health Services Department.	B-34	In Compliance. On-Going	Dust suppression is performed in accordance with this LUP condition and LUP Condition 20.5, Condition 17k(d) of the SWFP, and requirements of Condition #17309 of the Major Facility Review permit issued by BAAQMD. See Health Services Department Memo from C. Nicholson to C. Zahn (CDD) dated 3/10/1992.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
33.1	Submittal of Plan. The Landfill operator shall submit to the San Francisco Regional Water Quality Control Board, the Integrated Waste Management Board, and the County Health Services Department a plan for the closure and the postclosure maintenance of the landfill as required by State law, but no later than upon appli-cation for a Solid Waste Facilities Permit. A copy of the closure and postclosure maintenance plan shall be submitted to the County Community Development Department.		In Compliance. On-Going	Initial plans submitted September 30, 1994; Approved by RWQCB on November 28, 1994 (See RWQCB Letter from R. McMurtry to S. Mann (CIWMB) dated 11/28/1994). A Preliminary Closure and Post-Closure Maintenance Plan have been submitted pursuant to Title 27 CCR 21780. See Health Services Department Memo from C. Nicholson to C. Zahn (CDD) dated 3/10/1992.
33.2	Funding of Closure and Postclosure Maintenance Plan. The Land-fill operator shall submit to the Board of Supervisors and California Integrated Waste Management Board evidence of financial ability to provide for the cost of closure and postclosure maintenance in an amount not less than the estimated cost of closure and 15 years of postclosure maintenance as contained in the submitted closure and postclosure maintenance plan. Evidence of financial ability shall be in the form of a trust fund approved by the Board of Supervisors in which funds will be deposited on an annual basis in amounts sufficient to meet closure and postclosure costs when needed or an equivalent financial arrangement acceptable to the Board of Supervisors and the California Integrated Waste Management Board. The Landfill operator shall maintain a trust fund balance that equals or exceeds the requirements of state law or regulation notwithstanding, however, the trust fund balance shall be at least equal to the then current closure and postclosure cost estimate at such time the landfill has reached one-half of its permitted capacity. The Trust Fund balance requirement shall be appropriately adjusted if the land-fill is closed in stages under Condition 33.4.		Completed. Updated As Needed	KCLC provided evidence of financial ability to SFRWQCB & HSD in a letter and supporting documentation dated October 24, 1991. Landfill facility bonds for closure, post-closure, and corrective action are updated annually according to inflation rates set by CalRecycle. CalRecycle issues letters after reviewing bonds submitted to confirm if they adequately satisfy regulatory requirements. These details are noted in the annual Activities Reports now regularly being submitted by the operator, along with copies of the surety bonds submitted to CalRecycle.. See Health Services Department Memo, C. Nicholson to C. Zahn (CDD), dated 10/24/1991, and Community Development Department Memo, V. Conklin to C. Zahn, dated 10/15/1991, and as updated through 10/25/1991.
33.3	Revision to Plan and Cost Estimates. Should State law or regulation regarding the closure and postclosures maintenance plan or funding of the plan change at any time, the owner of the landfill shall submit any required changes to the closure and postclosure maintenance plan and/or evidence of financial ability to the Board at the same time as submittal to the applicable state or regional agency.		Completed. Updated As Needed	CCR Title 27 now requires that landfill operators update financial assurances (bonds) for closure and post-closure annually, according to inflation rates set by CalRecycle. Copies are included as attachments to the annual Activities Report submitted to the County.
33.4	Staged Closure of the Landfill. The landfill owner or operator shall close the landfill in stages if compatible with the filling sequence and the overall closure plan.		Not Yet Required	Condition acknowledged.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
33.5	Use of Landfill Following Closure. After active landfill operations have ceased, the site shall be utilized for grazing purposes. The Board may require the owner of the landfill to deed all develop-ment rights for the landfill site to the County to ensure fulfillment of this condition.		Not Yet Required	Landfill lifespan is projected to continue for an additional 30 to 35 years or more depending on operating assumptions.
33.6	Postclosure Maintenance. The Landfill operator shall institute a postclosure maintenance program to ensure that containment and monitoring facilities retain their integrity. If damaged areas are found, the operator shall notify the County and take remedial actions to prevent odor and landfill gas problems.	B-1	Not Yet Required	The Preliminary Closure and Post-Closure Maintenance Plans are presented in Draft JTD, Volume 1, and were prepared in accordance with 27 CCR, Sections 21769(b) and 21790.
34.1	Storage Requirement. The Landfill operator shall provide a minimum 10-acre area on the landfill site for the storage of abandoned vehicles awaiting salvaging, if required by the Board of Supervisors. The storage site operator shall accept only vehicles directed to the site by a law enforcement agency operating in Contra Costa County, which shall be responsible for the vehicle until its title is conveyed to a salvager. The site would provide storage only; operations of disposing, salvaging, and security of abandoned vehicles shall not be the responsibili-ty of the operator. The site may be subject to further planning and development approvals, and would be subject to the California Environmental Quality Act. The storage of abandoned vehicles shall be subject to conditions set by the County Health Services Department, and may be subject to the approvals of regulatory agencies having jurisdiction.		Not Yet Required	Implementation of this condition is not yet required due to a lack of demand. The County Sheriff’s Department coordinates storage of abandoned vehicles at other facilities prior to final demolition and transport. Operator does not propose to store abandoned vehicles at the landfill without authorization from the County.
34.2	Off-site Storage Option. The Landfill operator may establish the abandoned vehicle storage area at another location, which shall be subject to the approval of the County Community Development Department.		Not Yet Required	Implementation of this condition is not yet required. No requests have been received from the County Sheriff's Department for the Operator to establish an off-site abandoned vehicle storage area.
35.1	Transportation System Impact Fee: The Landfill operator shall pay to the County of Contra Costa a Transportation Impact Fee of \$2.00 per ton of waste received at the Landfill to mitigate the general impacts of the Landfill-generated traffic on the County's road system. The operator shall deposit the fee monies quarterly in a segregated account established by the County. The fee shall be considered to be a pass-through business cost for the purposes of rate setting. The fee shall be adjusted annually to reflect the current Consumer Price Index.		See 35.8	<p>The Board of Supervisors approved Amendment 1 to Land Use Permit 2020-89 on November 1, 1994 which stayed the operation of this Condition as long as the <u>new</u> Condition 35.8 remains in full force and operation.</p> <p>Mitigation fees have been paid to the County since the landfill opened on May 7, 1992.</p>

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
35.2	Open Space and Agricultural Preservation Fee. The Landfill operator shall pay to the County of Contra Costa an Open Space and Agricultural Preservation Fee of \$2.00 per ton on solid wastes received at the Landfill to mitigate the general impacts of the Landfill on open space, existing and proposed recreational facilities, and agriculture. The operator shall deposit the fee monies quarterly in a segregated account established by the County. The fee shall be considered to be a pass-through business cost for the purposes of rate setting. The fee shall be adjusted annually to reflect the current Consumer Price Index.		See 35.8	The Board of Supervisors approved Amendment 1 to Land Use Permit 2020-89 on November 1, 1994 which stayed the operation of this Condition as long as the <u>new</u> Condition 35.8 remains in full force and operation. Mitigation fees have been paid to the County since the landfill opened on May 7, 1992.
35.3	Property Value Compensation Program. The Landfill operator shall provide funding for the preparation of a property value compensation program study when requested by the County of Contra Costa. The study will address the means of determining the extent of property value losses or reductions attributable to Landfill impacts, such as aesthetics, noise, traffic, or pollution, and the means of compensating property owners for said losses or reductions. When a compensation program is adopted by the Board of Supervisors, the Landfill developer shall fund it in the manner specified by the Board. If the Board of Supervisors determines that progress on the implementation of a compensation program is not proceeding in a timely manner, the Board may require the use of a facilitator and/or an arbitrator. The fee shall be considered to be a pass-through business cost for the purposes of rate setting.	B-67	Completed	The County issued payments totaling approximately \$476,400 to property owners near the Keller Canyon Landfill as part of the Keller Canyon Landfill Property Valuation Mitigation Claim Process adopted by the Board of Supervisors. The Board approved the program in 1997 after several studies and multiple hearings related to LUP condition of approval 35.3.
35.4	Resource Recovery Program Fee. The Landfill developer or operator shall pay to the County of Contra Costa a resource recovery program fee of \$200,000 annually, beginning July 1, 1990. The developer or operator shall deposit the monies in a segregated account established by the County. The extent of the fee shall be subject to reconsideration when a franchise or agreement is established for the Landfill. The resource recovery program fee from its inception shall be a pass-through business cost for the purpose of rate setting. The fee shall be adjusted annually to reflect the current Consumer Price Index.		No Longer Applicable	In 1994, the Board of Supervisors approved the First Amended Landfill Franchise Agreement which included a provision specifying that the Franchise Surcharge to be paid by the operator would cover the cost of all current County programs (required or authorized by the Use Permit or the Agreement) except the LEA and AB939 Fees.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
35.5	Violation of Prescribed Haul Route. Upon a determination of the County that a user of the Landfill has violated Condition of Approval Section 29 by using a prohibited access route, and upon a written direction by the County, the Landfill operator shall impose on that user the sanction that is directed by the County. Such sanction may include a surcharge on the tipping fee, prohibition against accepting waste from that user for a designated period of time, revocation of County refuse-hauling license, or other sanction directed by the County. A system for reporting alleged violation and for monitoring enforcement data shall be established by the County and Landfill operator.		In Compliance. On-Going	The facility is in compliance with this condition. No sanctions have been imposed for violation of the prescribed haul route.
35.6	Direct Property Acquisition Study. The Landfill operator shall study the appropriateness of direct acquisition of properties immediately adjacent to the project, and shall fund any acquisition program ordered by the Board of Supervisors. The study shall be consistent with the Environmental Impact Report and shall be completed prior to the issuance of a franchise agreement.		Not Yet Required	Condition Acknowledged. No acquisition program has been ordered by the Board of Supervisors.
35.7	Adjoining Sites. This permit authorizes the use of the Keller Canyon Landfill site only for its specified waste disposal uses as set forth in these Conditions of Approval, and for no other uses. In particular, during the effective and operative periods of this Permit, the Keller Canyon site covered by this Permit shall not be used to provide access to, or to accommodate in any way the use of, any adjoining property for landfill purposes, unless the County has approved the use of such adjoining property for landfill purposes.		Informational	Condition Acknowledged. KCL site has not been proposed or used to provide access to or accommodate use of adjoining property for landfill purposes.
35.8	Mitigation Fee. The Landfill operator shall pay to the County of Contra Costa a fee, the amount of which may be set by the Board of Supervisors by a Board Order from time to time, which amount shall not be less than \$3.00 per ton and shall not be more than \$4.00 per ton, on solid waste received at the Landfill. The fee shall be used as directed by the Board in its sole discretion: 1) to mitigate general impacts of the Landfill-generated traffic on the County's road system, 2) to mitigate the general impacts of the Landfill on open space, existing and proposed recreational facilities, and agriculture, or 3) to mitigate any general impacts of the Landfill upon the surrounding community.Conditions 35.1 and 35.2 of Land Use Permit 2020-89 are hereby stayed in their operation as long as Condition 35.8 remains in full force and operation. Should Condition 35.8 (or any portion of it) for any reason be set aside or stayed in its operation, then Conditions 35.1 and 35.2 shall be in full force and operation.		In Compliance. On-Going	This Condition was added by Amendment 1 to Land Use Permit 2020-89 which the Board of Supervisors approved on November 1, 1994. A fee of \$3.00 per ton has been collected since landfill opening, of which \$1.75 per ton is allocated to the County, and \$1.25 per ton is allocated to the City of Pittsburg. The County Board of Supervisors awards grants from the Keller Canyon Landfill Mitigation Fund to County agencies and community-based organizations in the Bay Point and Pittsburg communities. Grants totaling \$1,292, 081 were awarded in the 2013-2014 fiscal year.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
36.1	Power Plant Design. The design of the Landfill Gas Power Plant project as approved is generally shown on the plans submitted to the Community Development Department on October 16, 2001.		Informational	Condition Acknowledged.
36.2	Ultimate Responsibility. These conditions of approval identify the Landfill Gas Power Plant operator as the party primarily responsible for implementing conditions involving the design, construction, improvements, maintenance and management of the power plant. However, ultimate responsibility for compliance with these conditions lies with the owner of the landfill.		Informational	Condition Acknowledged. Landfill Gas Power Plant (building and equipment) is owned and operated by Ameresco Keller Canyon L.L.C.
36.3	Keller Canyon Landfill Land Use Permit. The construction and operation of the Landfill Gas Power Plant is also subject to all other conditions in Land Use Permit 2020-89 for the Keller Canyon Landfill, as appropriate.		Informational	Condition Acknowledged.
36.4	Violation/Revocation. The Landfill Gas Power Plant owner and operator shall at all times comply with the provisions and requirements of these Conditions of Approval. A repeated violation of any of these Conditions as a result of the construction or operation of the Power Plant is cause for revocation of the Land Use Permit for the power plant.		In Compliance. On-Going	There have been no violations of conditions of approval in Section 36. Landfill Gas Power Plant
36.5	System Safety. Risk of fire (from gas, oil, or electrical sources) shall be controlled through the use of flame sensors, ultraviolet (UV) radiation and methane detectors, and fire extinguishers. These components shall be installed at a minimum, in the power modules, exhaust and cooling packages, and other locations as required by Code.		In Compliance. On-Going	Facility Safety System was designed and installed in accordance with this LUP condition and other requirements of the County Building Inspection Department and Riverview Fire Protection District.
36.6	Equipment and System Monitoring. Instrumentation shall be provided for all power plant equipment and systems which provide for a fully automated monitoring and warning system. This will include an automated switch to combustion flare if necessary. Additionally, routine monitoring of the gas extraction system and power plant facility shall be performed during normal business hours by at least one on-site operator.		Completed. On-Going	Equipment and System Monitoring was designed and installed in accordance with this LUP condition and other requirements of the County Building Inspection Department and County Fire Protection District.
36.7	Engines. Power plant facilities shall use lean burn internal combustion engines to meet BAAQMD regulations for oxides of nitrogen (NOx), carbon monoxide (CO) and volatile organic compounds (VOC).		Completed. On-Going	Engines meeting the requirements of this condition were installed in accordance with requirements of the BAAQMD.
36.8	Hazardous Materials. Landfill Gas Power Plant operator shall prepare and submit a Hazardous Materials Business Plan for the Power Plant in compliance with requirements of the Hazardous Materials Division of Contra Costa County’s Health Services Department.		Completed. On-Going	Ameresco Keller Canyon LLC submitted the Hazardous Materials Business Plan to the County DCD and HSD- Hazardous Materials Division in October 2009.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
36.9	Emergency Response. Landfill Gas Power Plant operator shall submit a facility specific Emergency Response Plan and then implement and update as needed, said Plan.		Completed. On-Going	Ameresco Keller Canyon LLC submitted the Emergency Response Plan to the County DCD and Contra Costa Environmental Health in October 2009.
36.10	Notification of Plant Upset or Accidental Release. Landfill Gas Power Plant operator shall notify the Community Development Department immediately of any plant upset or accidental leakage or release of landfill gas. A written report of the cause of any plant upset and the corrective measures taken by the facility operator, shall be provided to the Community Development Department within 72 hours after resolving an emergency.		Not Yet Required	Condition Acknowledged. To date there have been no incidents of plant upset or accidental leakage or release of landfill gas.
36.11	Stormwater Pollution Prevention Plan (SWPPP). Landfill Gas Power Plant operator shall implement the Keller Canyon SWPPP (prepared in 1996 and as may be amended from time to time), for water resources protection measures in case of spill of coolant, oil, or other lubricant.		In Compliance. On-Going	The landfill operator complies with the current SWPPP for the site. Any stormwater from the landfill gas power plant is included in the site drainage system in accordance with the WDRs and SWPPP. The landfill gas power plant processes do not use or discharge water, and a SWPPP specifically for the landfill gas power plant does not exist. For addressing potential spills of operating fluids, the landfill gas power plant operator submits annual plans and reports to Contra Costa Health Services in compliance with the California Environmental Reporting System (CERS). The plant's CERS ID for CCEH's California Accidental Release Prevention Program (CUPA) is 10479961. See AMERESCO (Keller Canyon, LLC) Industrial Storm Water Pollution Prevention Plan dated October 2009.
36.12	Facility Design. Power Plant facilities shall be painted Bronze Olive or other suitable color as approved by the Community Development Department. Power Plant operator shall install a perimeter security fence to enclose the power plant.		Completed	Building color was approved by the County and a perimeter fence was constructed.
36.13	Power Plant Landscape Plan. A Landscape Plan for the Power Plant site shall be submitted subject to the approval of the Community Development Department. The location and types of landscaping proposed along the security fence shall be specified.		Further Review Required	Landscaping Plan initially submitted in 2006 and again along with other plans/drawings submitted in order to have the Building Permit(s) issued in 2007. The view of the proposed power plant and the other landfill environmental management facilities from neighboring residences is obstructed by topography.
36.14	Construction. Upon completion of construction, all construction materials, including packaging materials, worker facilities, and debris will be removed from the site. Additionally during construction all excess materials shall be removed periodically, as needed.		Completed	Construction materials were removed from the site upon completion of construction per this condition.
36.15	Material Recycling. Whenever feasible, all oils, lubricants, and coolant shall be recycled rather than disposed. Prior to issuance of a building permit, the applicant shall submit a Debris Recovery Plan. Upon completion of construction, the applicant shall submit a Debris Recovery Report.		Completed	A Debris Recovery Plan was submitted to the Community Development Department on January 11, 2007 and approved by the department on January 12, 2007 (CDD Letter from L. Thompson to Ameresco). All oils, lubricants, and coolants are recycled. Final inspection hold was released at the time the Debris Recovery Report was submitted, however staff has been unable to locate hardcopy documentation.

Condition	Condition Description	Implements 1990 FEIR Mitigation Measure	Compliance Status	Comments
36.16	Implementation & Compliance Monitoring. The operator shall provide payment for costs associated with the Community Development Department’s monitoring of implementation and compliance with these Conditions of Approval.		In Compliance. On-Going	Landfill gas power plant operator has issued any payments required for DCD monitoring costs.
36.17	Surcharge. A surcharge, if established by the County Board of Supervisors, shall be paid to the County, by the operator, related to the sale of landfill gas or the sale of electricity produced by burning said gas.		In Compliance. On-Going	The County Board of Supervisors established a 1.5% surcharge at the time the Landfill Gas Power Plant was approved which began operation in 2009. This 1.5% surcharge of gross revenue is for the period of year one through ten of the project operations and raised to two percent 2% of gross revenue during year eleven through the life of the project. Landfill gas power plant operator has routinely issued payment as required by this condition.

EXHIBIT I – DIRECT HAUL C&D STATISTICS & ASSUMPTIONS

**Table 1. ESTIMATED ANNUAL COUNTY FEES FROM KCL AND IN-COUNTY
TRANSFER STATIONS FOR C & D CURRENTLY BEING DIRECT HAULED**

Surcharge/Fee Type	Purpose	Gross Reduction in County Fees from KCL for C&D accepted via direct haul	Additional County Fees from KCL for disposal of residual from In-County Transfer Stations ³	Net Reduction in County Fees Collected from KCL ¹	Additional County Fees from In-County Transfer Stations for C&D ²	Net Reduction in County Fees if KCL ceases acceptance of direct haul C&D
Franchise Surcharge <i>(varies based on gate rates)</i>	General Fund	-\$185,056.07	\$48,365.64	-\$136,690.43	\$12,045.18	-\$124,645.25
Mitigation Fee <i>(\$1.75/ton)</i>	Host Community	-\$26,458.18	\$6,915.02	-\$19,543.16		-\$19,543.16
Mitigation Fee <i>(\$1.25/ton)</i>	City	-\$18,898.70	\$4,939.30	-\$13,959.40		-\$13,959.40
LEA Fee <i>(\$1.25/ton)</i>	Monitoring & Enforcement	-\$18,898.70	\$4,939.30	-\$13,959.40	\$4,297.60	-\$9,661.80
AB939 Fee <i>(\$0.15/ton)</i>	Countywide Recycling Hotline & Reporting	-\$2,267.84	\$592.72	-\$1,675.13	\$515.71	-\$1,159.42
Bailey Road Improvement Disposal Surcharge <i>(\$0.32/ton)</i>	Reimbursement for Road Improvements	-\$4,838.07	\$1,264.46	-\$3,573.61		-\$3,573.61
Bailey Road Maintenance Disposal Surcharge <i>(\$0.68/ton, changes to \$0.46/ton on 1/1/2016)</i>	Future Road Maintenance (County/City)	-\$10,280.89	\$2,686.98	-\$7,593.91		-\$7,593.91
Joint Mitigation Fee - City/County <i>(\$3.57/ton)</i>	Host Community	\$0.00	\$0.00	\$0.00	\$3,163.50	\$3,163.50
TOTAL POTENTIAL CHANGES IN ANNUAL COUNTY FEES		-\$266,698.45	\$69,703.42	-\$196,995.04	\$20,021.99	-\$176,973.04

¹ Assumes loss of all out-of-County C & D disposal at Keller (approx 17%)

² Assumes in-County C & D would go to nearest TS (of which only 83% subject to County TS Fees)

³ Assumes KCL fees would be paid on the 31% residual left after net diversion of 69%
(calculated residual for each TS based on their diversion rate: 50% for Mtz, NR & 91% for Pitts)

Table 2. Construction & Demolition Materials Currently Direct Hauled to KCL

Origin of actual incoming loads (2014)	Tons		Incoming Loads (Truck Trips)	
	#	%	#	%
In-County	12,580	83%	2,618	89%
West County	1,772	12%	214	7%
Central County	5,104	34%	1,228	42%
East County	5,704	38%	1,176	40%
Out-of County	2,539	17%	335	11%
Alameda County	1,665	11%	183	6%
Solano County	338	2%	70	2%
San Joaquin County	7.25	0%	2	0%
Non-adjointing Counties	529	3%	80	3%
Totals	15,119	100%	2,953	100%

Table 3. Incoming Trucks/Loads Per Day (2014)

Type of Trucks/Loads	Average Daily Truck Trips	
Disposal - Transfer	88	53%
Disposal - Direct Haul Other	18	11%
Disposal - Direct Haul C & D	10	6%
Cover - ADC	20	12%
Beneficial Reuse	6	4%
Cover - Soil	24	14%

Table 4. In-County Transfer Station Utilization for C & D Currently Direct Hauled to KCL

Public In-County Transfer Stations	Incoming Tons		Incoming Loads		Diversion (Outgoing)		Disposal (In-County to KCL, Out-of-county to non-CCC LFs)	
	#	%	#	%	Tons	% of Incoming	Tons	Transfer Trips
In-County	12,580	83%	2,618	89%	8,629	69%	3,951	188
Richmond TS	1,772	12%	214	7%	886	50%	886	42
Martinez TS	5,104	34%	1,228	42%	2,552	50%	2,552	122
Pittsburg TS	5,704	38%	1,176	40%	5,191	91%	513	24
Out-of-County	2,539	17%	335	11%	0	0%	2,539	n/a
Totals	15,119	100%	2,953	100%	8,629	57%	6,490	188

M:\Keller\Board Order 9-22-2015\Exhibits\Exhibit I_Direct Haul C&D Stats.docx



**Contra
Costa
County**

To: Board of Supervisors
From: John Kopchik, Director, Conservation & Development Department
Date: March 31, 2015

Subject: Keller Canyon Landfill Surcharges and Mitigation Fees

RECOMMENDATION(S):

ACCEPT report regarding the Keller Canyon Landfill Surcharge and Mitigation Fee information requested by the Board of Supervisors.

FISCAL IMPACT:

There is no fiscal impact to the General Fund for preparing or accepting this report on the Keller Canyon Landfill (KCL) Surcharges and Mitigation Fees. If the Board were to change how KCL Franchise Surcharge revenue was to be allocated or spent in the future, those changes could impact the General Fund.

BACKGROUND:

At the March 3, 2015 Board of Supervisor's meeting (agenda item D.7), the Public Works' Stormwater Manager reported on the County's Trash Reduction Plan. As part of that report, several unincorporated County areas were presented as having higher percentages of trash pollution entering the storm drain system. Bay Point was one of these locations to be highlighted as having trash polluting problems.

As a result of the discussion between staff and members of the Board, the question was raised whether there was a connection between the location of Keller Canyon Landfill (KCL) on Bailey Road south of Highway 4 and the heavy trash pollution in Bay Point. It is worthwhile to note that four of the five communities or areas shown in the Stormwater Manager's presentation are actually former Redevelopment Areas. Trash pollution is a symptom of blight. Although the Keller Canyon Landfill is located in the near vicinity of Bay Point and there are solid waste

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY
ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **03/31/2015** ☒ APPROVED AS
RECOMMENDED

☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

AYE: Candace Andersen, District II
Supervisor
Mary N. Piepho, District III
Supervisor
Karen Mitchoff, District IV
Supervisor

ABSENT: John Gioia, District I Supervisor
Federal D. Glover, District V
Supervisor

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: March 31, 2015

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: June McHuen, Deputy

Contact: David Brockbank, (925)
674-7794

cc:

BACKGROUND: (CONT'D)

>

transfer stations located within the North Richmond area, the trash pollution is a common factor across the other former Redevelopment Areas. There are litter containment and off-site litter removal requirements included in the Land Use Permit conditions of approval for the Keller Canyon Landfill as well as the transfer stations located in North Richmond and unincorporated Martinez.

Board members raised questions concerning the surcharge/fees generated by the landfill for the County. As a result of this discussion, the Board requested that Department of Conservation & Development (DCD) staff prepare a report to answer five questions about the KCL surcharge/fees. This Board Order is staff's response to those questions, which also incorporates some background on the KCL surcharge/fees.

The attached Table 1 provides a breakdown of the different surcharges/fees, a general purpose for those fees and the administering agency or County department. Surcharges/fees have been established by the Board, some apply to all solid waste facilities and others are project-specific requirements from the Land Use Permit conditions of approval or the Landfill Franchise Agreement.

- Two of these County fee requirements (AB939 Fee & LEA Fee) were established prior to the 1990 approval of the Keller Canyon Landfill and apply to multiple landfills and transfer stations in the County.
- The County's Franchise Surcharge was established by the County and agreed to by the operator pursuant to the 1994 Landfill Franchise Agreement. The most significant source of County fee revenue collected from KCL is the amount derived from the Franchise Surcharge (currently set at 25% of the base gate rate).
- The Land Use Permit Conditions of Approval require payment of the Mitigation Fee and Bailey Road Surcharges shown in Table 1. The \$3.00 per ton Mitigation Fee is listed as two separate fees, \$1.75 is used for the County's Landfill Mitigation Fund and \$1.25 is paid to the City of Pittsburg pursuant to Board action in 1996. The Mitigation Fee is required to be paid out of the County Franchise Surcharge, however the remaining fees are collected/paid separately.

Further details regarding the purpose and use of individual fees/surcharges can be found in the associated backup documentation attached as exhibits to this report (see Table 1 to determine which Exhibit applies to each fee/surcharge). The only fees/surcharges which the Board of Supervisors has the discretion to use to help fund litter/trash reduction activities are the Mitigation Fee and the Franchise Surcharge. DCD staff did not gather information related to the amounts collected to date and any reserves that may exist for any of the other fees/surcharges since they were all established and must be used for purposes other than litter/trash reduction.

1. How are the funds used?

MITIGATION FEES: The KCL Mitigation Fund process was established in August 1992, and the first allocation of funds occurred in November 1992. For the first few years of operation beginning in May 1992, the Operator was obligated to pay three separate Mitigation Fees, each of which was set at \$2.00 per ton. In November 1994, the Board of Supervisors approved Amendment 1 to the KCL land use permit (County File #LP89-2020) and also amended the Franchise Agreement for the purpose of changing requirements related to fees and rate regulation. The following condition of approval (#35.8) was added:

35.8 Mitigation Fee. *The landfill operator shall pay the County of Contra Costa a fee, the amount of which may be set by the Board of Supervisors by a Board Order from time to time, which amount shall not be less than \$3.00 per ton and shall not be more than \$4.00 per ton, on solid waste received at the landfill. The fee shall be used as directed by the Board in its sole discretion: 1) to mitigate general impacts of the landfill-generated traffic on the County's road system, 2) to mitigate the general impacts of the landfill on open space, existing and proposed recreational facilities, and agriculture, or 3) to mitigate any general impacts of the landfill upon the surrounding community.*

The Board has not changed the Mitigation Fee amount since setting it at \$3.00 per ton in November 1994. Any increase to the Mitigation Fee would not result in a net change in revenue received by the County because the increased amount would have to be deducted from the Franchise Surcharge.

In July of 1996, the Board of Supervisors agreed to allocate \$1.25 per ton of the \$3.00 per ton Mitigation Fund to the City of Pittsburg (Exhibit D). The Board of Supervisors has sole discretion over this funding and therefore has the right to reallocate some or all of the City's share of the Mitigation Fees.

The attached Exhibit B shows the KCL Mitigation Fund Allocation Plan for fiscal year 2014-2015, which was approved by the Board on August 12, 2014. The Allocation Plan pertains to the County's share of the Mitigation Fees. Under this plan, \$35,000 was allocated for an East County Beautification Program (#62), which includes roadside cleanup of litter, trash and other waste materials.

FRANCHISE SURCHARGE: The Board of Supervisors approves the annual allocation of revenue expected to be derived from the Franchise Surcharge as a part of the County's Budget for each fiscal year. Exhibit A is a memorandum from the County Finance Director which outlines the distribution of the Landfill Surcharge Revenues for the Fiscal Year (FY) 2014-2015. Among the allocation amounts in Exhibit A is \$75,000 for Building Inspection, which is used to help fund enhanced code enforcement services in the Bay Point area.

2. What is the intended use of the funds?

MITIGATION FEES: Amendment 1 to the KCL land use permit (1994) was also intended to provide flexibility in the use of Mitigation Fee funds, specifying that the fee shall be used by the Board in its sole discretion. Unless or until the Board of Supervisors decides otherwise, the intended use of a portion of the Mitigation Fee funds (\$1.25 per ton) is direct payment to the City of Pittsburg pursuant to the action taken by the Board of Supervisors in 1996 (Exhibit D).

For the revenue derived from the County's share of the Mitigation Fee (\$1.75 per ton), the current program/project/service categories considered for funding include: youth services, code enforcement, public safety, community beautification, and community services.

On May 24, 2011 the Board approved new policies governing the implementation and administration of the County's KCL Mitigation Fund ("KCL Mitigation Fund Policy"). The adopted policies for the Mitigation Fund have been provided as Exhibit C in this report. These policies are broken into three main categories; I) Keller Canyon Mitigation Fund Review Committee, II) the Mitigation Fund Allocation Process, and III) Disbursement of Mitigation Funds. These policies identify the parameters governing the allocation and use of the Mitigation Fund (Exhibit C) and the intended use of the Fund is provided in the Allocation Plan (Exhibit B).

FRANCHISE SURCHARGE: The Landfill Franchise Agreement allows the Board of Supervisors to have the sole discretion to decide how the County's Franchise Surcharge revenue is used (with the exception of the portion required to be treated as the Mitigation Fee). The County Administrator's Office makes recommendations to the Board of Supervisors through the annual budget process for the use of the surcharge funds. The Board of Supervisors is expected to decide the intended use of the 2015-16 Franchise Surcharge revenue in April, as a part of the County's overall budget.

3. How much funds are there?

MITIGATION FEES: Table 2 reflects the amount of actual Mitigation Fee revenue (\$1.75 per ton for the County & \$1.25 per ton for the City of Pittsburg) collected to date for FY 2014-15. The County's share of the projected Mitigation Fee revenue for FY 2014-2015 is \$1,100,000.

Keller Canyon Mitigation Fund Policy II.G states in part:

“...when estimating the amount of KCL Mitigation Funds that are to be made available for projects in future fiscal years, staff shall analyze the revenue trend lines from previous years and the KCL Mitigation Fund Review Committee shall only allocate 80 percent of the anticipated revenue for the upcoming year.”

This protocol will reduce the likelihood that expenses will be greater than actual revenue received during the year.

FRANCHISE SURCHARGE: Table 2 reflects the amount of actual Franchise Surcharge revenue (remainder after deducting the Mitigation Fee) collected to date for FY 2014-15. The County's projected Franchise Surcharge revenue for FY 2014-2015 is \$1,900,000.

4. Where are the funds?

MITIGATION FEES: The portion of the Mitigation Fee funds retained by the County are located in a separate internal County account, under Organization #1581. The portion the Mitigation Fee funds allocated to the City of Pittsburg are paid to the City quarterly.

FRANCHISE SURCHARGE: The Landfill Franchise Surcharge is deposited into either Account #9122 (Franchise-Keller Landfill Surcharge) or Budget Unit #0005 (General County Revenues).

5. Is there a reserve currently?

MITIGATION FEES: Mitigation Fee funds retained by the County that are not fully used each fiscal year remain in the account for Organization #1581 and are included in recommended funding allocations for the following year. The allocation amounts approved by the Board for 2014-15 included \$404,656 of the FY 2013/14 total fund balance (\$450,600). In accordance with Policy II.G, less 20 percent of \$1,100,000 is \$220,000. Any Mitigation Fee revenue over expenses in one year may be added to the amount made available in the following year.

FRANCHISE SURCHARGE: Any Franchise Surcharge revenue in excess of the FY 2014-15 funding allocation amounts for the individual budget units shown in the County Administrator's Office memorandum dated August 21, 2014 (Exhibit A) are to be deposited into the General County Revenues fund. Exhibit H shows that the estimated balance at the end of FY 2013-14 was zero (any excess is held in the General Fund account).

CONSEQUENCE OF NEGATIVE ACTION:

None.

CHILDREN'S IMPACT STATEMENT:

Not applicable.

ATTACHMENTS

Tables 1 & 2- Keller Canyon Landfill Franchise Surcharge & Mit. Fee Revenue

Exhibit A - Distribution of FY 2014/15 KCL Surcharge Revenue

Exhibit B - FY 2014/15 KCMF Allocation Plan

Exhibit C - KCMF Policy

Exhibit D - Allocation of Keller Mitigation Fees to City of Pittsburg

Exhibit E - Establishment of LEA Fee

Exhibit F - Establishment of AB939 Fee

Exhibit G - Bailey Road Surcharge Board Order

Exhibit H: Special Revenue Factsheet for KCL Surcharge/Mitigation Fee

Table 1
COUNTY FEES/SURCHARGES COLLECTED AT KELLER CANYON LANDFILL

Surcharge/Fee Type	Purpose	Administering Agency/Department	Authorizing Documents	Exhibit
Franchise Surcharge ¹ (varies based on gate rates)	Allocated for Various Purposes in County Budget (including but not limited to General Fund, County Recycling Center & Code Enforcement)	Various Departments	FY 14-15 CAO Memo 8/21/2014	A
Mitigation Fee (\$1.75/ton)	Host Community	Keller Canyon Mitigation Fund Review Committee	FY 14-15 Board Report 8/12/2014 2011 KCMF Policy	B C
Mitigation Fee (\$1.25/ton)	Host Mitigation Fee	City of Pittsburg	Board Report July 16, 1996	D
LEA Fee (\$1.25/ton)	Monitoring & Enforcement	Contra Costa Environmental Health	Board Order December 20, 1988	E
AB939 Fee (\$0.15/ton)	Countywide Recycling Hotline & Reporting	Department of Conservation & Development	Board Order November 14, 1989	F
Bailey Road Improvement Disposal Surcharge (\$0.32/ton)	Reimbursement for City/County Road Improvements	Keller Canyon Landfill (reimbursement)	Board Report July 27, 2010	G
Bailey Road Maintenance Disposal Surcharge (\$0.68/ton)	Future Road Maintenance (County/City)	County Public Works Department (City of Pittsburg JEPA)		

¹ See attached Table 2 for the amount received to date for FY 2014-15. Total received in FY 2013-14 was \$1,914,945.68

Table 2
KELLER CANYON LANDFILL FRANCHISE SURCHARGE & MITIGATION FEE REVENUE

2014-15	Tons Disposed	County Franchise Surcharge (with Mitigation Fee)	Surcharge (Average Per Ton Equivalent with Mitigation Fee)	County Franchise Surcharge (Remainder after deducting Mitigation Fee)	Surcharge (Average Per Ton Equivalent without Mitigation Fee)	Mitigation Fee - City (\$1.25/ton)	Mitigation Fee - County (\$1.75/ton)
July	65,255.22	\$ 373,392.99	\$ 5.72	\$ 177,627.33	\$ 2.72	\$ 81,569.03	\$ 114,196.64
August	64,459.60	\$ 370,358.71	\$ 5.75	\$ 176,979.91	\$ 2.75	\$ 80,574.50	\$ 112,804.30
September	64,128.47	\$ 375,039.00	\$ 5.85	\$ 182,653.59	\$ 2.85	\$ 80,160.59	\$ 112,224.82
October	73,650.07	\$ 399,439.32	\$ 5.42	\$ 178,489.11	\$ 2.42	\$ 92,062.59	\$ 128,887.62
November	61,168.55	\$ 357,603.32	\$ 5.85	\$ 174,097.67	\$ 2.85	\$ 76,460.69	\$ 107,044.96
December	62,886.04	\$ 357,220.92	\$ 5.68	\$ 168,562.80	\$ 2.68	\$ 78,607.55	\$ 110,050.57
January	72,888.84	\$ 425,310.41	\$ 5.84	\$ 206,643.89	\$ 2.84	\$ 91,111.05	\$ 127,555.47
February	58,618.91	\$ 335,009.82	\$ 5.71	\$ 159,153.09	\$ 2.71	\$ 73,273.84	\$ 102,853.09
March		\$ -		\$ -		\$ -	\$ -
April		\$ -		\$ -		\$ -	\$ -
May		\$ -		\$ -		\$ -	\$ -
June		\$ -		\$ -		\$ -	\$ -
TOTALS	523,055.70	\$ 2,993,374.49		\$ 1,424,207.39		\$ 653,819.84	\$ 915,617.47
Amount Allocated by Budget Unit (see FY 2014-15 CAO Memo)				\$ 459,420.00			\$ 1,100,000.00
Remainder to General County Revenues ²				\$ 805,634.30			


² Any amounts received in excess of the FY 2014-15 funding allocations for individual budget units shown in the County Administrator's Office memorandum dated August 21, 2014 (Exhibit A) are General Funds to be deposited into the General County Revenues fund. Exhibit H is a Special Revenues factsheet prepared for FY 2013-14 with general background information about the Franchise Surcharge and Mitigation Fee.

County of Contra Costa
OFFICE OF THE COUNTY ADMINISTRATOR
MEMORANDUM

DATE: August 21, 2014

TO: Jennifer Webber, Chief Accountant

FROM: Lisa Driscoll
County Finance Director

BY:  Laura Strobel, Principal Analyst

**SUBJECT: DISTRIBUTION OF LANDFILL AND TRANSFER STATION
SURCHARGE REVENUES FOR FY 2014-15**

2014 AUG 21 PM 1:03

Each year, we advise your office on the revenue distribution from Awin Management, Inc. as a result of the operations at the Keller Canyon Landfill and the Contra Costa Transfer Station.


KELLER REVENUE DISTRIBUTION

Keller revenues have been deposited in Trust Fund 8109, account 0181080190100. As you know, \$1.25 per ton is distributed to the City of Pittsburg as a result of Board action in 1997. Revenues, which exceed the maximum amount of Board allocation for revenue account **9125**, should be deposited in the **Keller Canyon Mitigation Fund Balance** designation.

With regard to the Keller surcharge monies, funds may be distributed to the following budget units, in the following amounts:

Franchise--Keller Landfill Surcharge (9122)

Building Inspection	2671	\$75,000
EHSD	5223	\$159,000
County Administrator	1220	\$210,420
County Administrator	1200	<u>\$15,000</u>
	Total	\$459,420

Laura  8/25/14
 Zora —
 Please handle.
 JW 8/22/14

CONTRA COSTA TRANSFER STATION REVENUE DISTRIBUTION

A separate check is submitted to the County from the Contra Costa Transfer Station and posted to Trust Fund 8109, account 95000000. These monies and future deposits need to be distributed in the following amounts, to the following budget units:

Franchise--Transfer Station Surcharge (9126)

Building Inspection	2671	\$50,000
Public Wks/General Services	4730	\$292,546
Health Services	5941	\$70,000
General Revenues	0005	<u>\$620,000</u>
	Total	\$1,032,546

Any revenues exceeding the maximum amounts for accounts **9122 and 9126** should be deposited in **General County Revenues, budget unit 0005**.

Thank you for your assistance.



Contra
Costa
County

To: Board of Supervisors
From: John Kopchik, Interim Director, Conservation & Development Department
Date: August 12, 2014

Subject: FY 2014/15 Keller Canyon Mitigation Fund (KCMF) Proposed Allocation Plan

RECOMMENDATION(S):

1. **APPROVE** the FY 2014/15 Keller Canyon Mitigation Fund (KCMF) allocation plan in the amount of \$1,284,656 for specified projects as recommended by the KCMF Review Committee (Exhibit A); and
2. **AUTHORIZE** the Department of Conservation and Development (DCD) Interim Director, or designee, to enter into contracts with the nonprofit and public agencies as specified in Exhibit A for the period July 1, 2014 through June 30, 2015.

FISCAL IMPACT:

No General Funds - 100% Keller Canyon Landfill Mitigation Funds. The FY 2014/15 KCMF allocation plan is consistent with the KCMF policies adopted by the Board of Supervisors on May 24, 2011 including: (1) the projected FY 2014/15 revenue amount is based on the trend over the last several years; (2) staff has considered any anticipated changes in the amount of waste expected to be deposited at the Keller landfill site during the year; (3) the projected revenue amount has been reduced by 20 percent to reduce the likelihood that proposed expenditures will exceed actual revenue. The amount proposed to be allocated includes \$404,656 of the FY 2013/14 total fund balance (\$450,600), which is also consistent with KCMF policies (Policy IIG).



APPROVE



OTHER



RECOMMENDATION OF CNTY



RECOMMENDATION OF BOARD

ADMINISTRATOR

COMMITTEE

Action of Board On: **08/12/2014**



APPROVED AS
RECOMMENDED



OTHER

Clerks Notes:

VOTE OF SUPERVISORS

AYE: John Gioia, District I Supervisor
Candace Andersen, District II Supervisor
Mary N. Piepho, District III Supervisor
Karen Mitchoff, District IV Supervisor
Federal D. Glover, District V Supervisor

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: August 12, 2014

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: Chris Heck, Deputy

Contact: Bob Calkins, (925)
674-7877

cc:

BACKGROUND:

In 1990, the Board of Supervisors (Board) approved the Keller Canyon Landfill land use permit and franchise agreement which included the establishment of three fees to mitigate the following impacts of the landfill: traffic generated on the County's road system, the impacts of the landfill on open space, existing and proposed recreational facilities, and agricultural land, and general impacts of the landfill upon the surrounding community.

The Keller Canyon Mitigation Fund (KCMF) process was established in August 1992, and the first allocation of funds occurred in November 1992. In 1994 the Board amended the land use permit and the franchise agreement to combine the above mitigation fees, as well as to provide additional flexibility in the use of funds, specifying that the fee shall be used by the Board in its sole discretion. The current program/project/service categories considered for funding include: youth services, code enforcement, public safety, community beautification, and community services.

On May 24, 2011 the Board approved new policies governing the implementation and administration of the KCMF. The FY 2014/15 allocation process is the fourth year that the new policies governed the process. Listed below are the applicable policies and a description of how they were applied during the FY 2014/15 allocation process:

1. Policy IA: The composition of the KCMF Review Committee shall include the following individuals: District V Supervisor, District V Chief of Staff (or other person assigned by the Supervisor), a representative of the Bay Point Municipal Advisory Committee (MAC) appointed by the Bay Point MAC, a Principal (or other senior school official) from a school located in the Bay Point area, and a representative from the Bay Point Chamber of Commerce. The representatives from the MAC, Bay Point area school, and Chamber of Commerce shall be appointed to minimum two year terms.

Action: The KCMF Review Committee was comprised of the District V Supervisor, Federal D. Glover; Chief of Staff, David E. Fraser; Bay Point Municipal Advisory Committee Member, Vicki Zumwalt; and Bay Point Chamber of Commerce Representative, Shah Khurram. As was the case since the inception of the KCMF Committee, recruitment of the school representative was unsuccessful. The Committee is considering other options to fill this seat and is expected to return to the Board with specific recommendations.

2. Policy ID: The KCMF Review Committee's funding recommendations shall be guided by the KCMF Target Area Map that establishes "Primary" and "Secondary" target areas for the use of KCMF funds. 100 percent of KCMF funds shall be used for programs/projects/services directly serving those within the "Primary" and "Secondary" target areas. In addition, no less than 70 percent of the KCMF funds shall be used to fund programs/projects/services directly serving those in the "Primary" target area.

Action: The KCMF Review Committee's proposed FY 2014/15 funding recommendations result in 89 percent of the funds being used for programs/projects/services that will directly serve those residents in the "Primary" target area.

3. Policy IE: Funding recommendations from the KCMF Review Committee shall be presented at a Board of Supervisors regularly scheduled meeting. The Board Order will list all of the applicants, the amount of funding requested, the amount recommended, and a short description of the proposed program/project and the proposed outputs and/or outcomes.

Action: See Exhibits A and B.

4. Policy IIA: To increase the public's knowledge on how, where, and when to apply for KCMF funding, the funding timeline, request for proposal (KCMF application), and other applicable materials shall be placed on the District V and County websites. The websites shall also allow organizations and interested persons to add their contact information to ensure notification on matters related to KCMF.

Action: The Supervisor's office and DCD staff engaged in a comprehensive outreach effort to notify the public of

the availability of funds. In addition to posting the Request for Proposals (RFP) and application on the County's website, emails announcing the RFP were also sent to DCD's email notification list used for its CDBG program, and to previous KCMF applicants. In addition, a "Bidders Conference" was held on April 24, 2014 to inform potential applicants of the application requirements, process, and timeline. KCMF applications were due on May 23, 2014. A total of 114 applications and requests for funding were submitted by the deadline. The KCMF Review Committee met on five separate occasions to review the applications, interview applicants, and develop final funding recommendations.

5. Policy IIC: To ensure the tax exempt status of a nonprofit agency requesting funds is valid and in good standing, KCMF applicants shall submit their current non-profit status determination letter from the IRS, a copy of their most recent tax return, and the printout from the California Business Portal (<http://kepler.sos.ca.gov/>).

Action: Any application that did not contain the requisite documents, including the printout from the California Business Portal, was deemed to be incomplete and therefore not accepted.

6. Policy IIF: Any deficit in the KCMF fund after the end of each fiscal year shall be eliminated by allocating the necessary amount from next year's projected revenue.

Action: Not applicable. The KCMF had a FY 2013/14 fund balance in excess of \$449,000.

7. Policy IIG: When estimating the amount of KCMF funds to be made available for projects in future fiscal years, staff shall analyze the revenue trend lines from previous years and the KCMF Review Committee shall only allocate 80 percent of the anticipated revenue for the upcoming year. This protocol will reduce the likelihood that expenses will be greater than actual revenue received during the year. Any revenue over expenses in one year may be added to the amount made available in the following year.

Action: Based on the current information available, staff is using the same revenue estimate as used last year - \$1,100,000 even though the total revenue received in FY 2013/14 was approximately \$100,000 over the estimate. The below table shows how the amount available to allocate in FY 2014/15 was determined:

FY 2013/14 Revenue/Expenses	
FY 2013/14 Actual Revenue	\$1,233,438
FY 2012/13 Fund Balance	<u>417,561</u>
Total Available (a)	\$1,650,999
FY 2013/14 Actual Expenses	\$1,166,766
FY 2013/14 Accrued Expenses	<u>33,623</u>
Total Expenses (b)	\$1,200,399
FY 2013/14 Fund Balance (a-b)	\$450,600
FY 2014/15 Projected Revenue/Expenses	
FY 2014/15 Projected Revenue	\$1,100,000
Less 20% (per KCMF Policy II.G)	<u>(220,000)</u>
Subtotal	\$880,000
FY 2013/14 Fund Balance	<u>450,600</u>
Total Available to Allocate (c)	\$1,330,600
FY 2014/15 Proposed Allocation (d)	\$1,284,656
FY 2014/15 Unallocated Funds (c-d)	\$45,944

The KCMF Review Committee has recommended not allocating all of the FY 2013/14 fund balance at this time, and is holding \$45,944 in reserves. If during the year, one or more of the funded agencies is in need of additional funds to complete and approved program/project or a new program/project emerges, the Committee will reconvene to consider any request(s) for additional funding up to the amount held in reserve and return to the Board with any funding recommendation(s).

CONSEQUENCE OF NEGATIVE ACTION:

Not approving the recommended allocations will result in delays in implementing important projects/programs that directly benefit the residents of the KCMF target areas.

CHILDREN'S IMPACT STATEMENT:

The recommended projects/programs support at least one of the five community outcomes established in the Children's Report Card.

ATTACHMENTS

Exhibit A - Proposed FY 2014/15 KCMF Allocation Plan

Exhibit B - Summary of FY 2014/15 Applications/Funding Recommendations

FISCAL YEAR 2014/15 KCMF ALLOCATION PLAN

<u>Agency</u>	<u>Amount</u>
1. <u>African American Health Empowerment Collaborative – Health Empowerment Expo</u> Conduct a one-day health expo targeting East County African American residents. The expo will provide evidence-based activities related to chronic disease prevention and self-care management to empower residents to make healthy lifestyle choices as a way to improve individual and family health outcomes.	\$6,000
2. <u>All Star Cheer Reaction – All Star Cheer Program</u> Provide a cheer program designed to keep youth (ages 5-18) active during the more vulnerable months of summer as well as to keep them motivated when school begins to be a good student and actively participate in healthy lifestyles throughout the year. A total of 65 youth will participate in the cheer program..	\$8,000
3. <u>Ambrose Recreation & Park District – Fun Starts at 60</u> Provide social and holiday activities during CC Café's lunch program as a way to encourage attendance and participation. Daily attendance at the CC Café's lunch program is expected to increase by 20-30 percent. A minimum of 200 seniors are expected to participate.	\$4,900
4. <u>Ambrose Recreation & Park District – Bay Point Youth Sports Program</u> Provide low cost sports programs (soccer, flag football, basketball, etc) each lasting six weeks per program cycle to youth (ages 6-8). It is expected that at least 240 youth will participate in the program.	\$3,300
5. <u>Ambrose Recreation & Park District – Bay Point Holiday Celebrations</u> In partnership with the other community-based organizations conduct least three celebratory events (Halloween, Christmas, and Easter) during the year that promote family and community. A total of over 500 residents are expected to participate in the three events.	\$4,500
6. <u>Ambrose Recreation & Park District – Ambrose Teen Center</u> Operate the Teen Center by providing teens (ages 12-19) the opportunity to participate in structured programs and activities in a safe and controlled environment.	\$5,000
7. <u>Antioch Music Foundation – Percussion Discussion</u> Sponsor the Percussion Discussion concert that chronicles the history of music. The performance is expected to be attended by over 1,500 3 rd graders.	\$1,000
8. <u>Antioch Unified School District – Wolverine After School Library Program</u> Provide expanded library hours (three days a week until 5:30 PM) at the Deer Valley High School Library in order to increase access to library resources and programming, technology and improve student achievement. This program will	\$3,000

Exhibit A

provide contact with additional professional staff and provide onsite access to the Contra Costa Library resources.

9. Arts and Culture Foundation – Art4Schools \$2,500
Partner with the Antioch Unified School District by providing curriculum based art classes and multi-cultural assemblies at four elementary schools during the year. Classes and programs take place throughout the school year and ends with an exhibit at the Lynn House Gallery.
10. Bay Point Community Foundation – Science Week \$2,000
Provide a “Science Week” at the Ambrose Community Center for school age youth during their spring break. Approximately 100 youth are expected to participate in the program.
11. Bay Point Garden Club – BP Spring Derby Memorial, Parade & Festival \$3,000
Sponsor the Spring Derby event that draws participation from families, youth, seniors, local organizations, civic groups and businesses together to celebrate spring and the Bay Point community.
12. Brighter Beginnings – Teen Family Support Program \$2,500
Provide a child abuse/neglect prevention and early intervention program for pregnant and parenting teens including young fathers. Funding will used to provide staffing, space, childcare, transportation and food for family strengthening community events. A total of 80 teens will be provided services during the year.
13. Center for Human Development – Four Corners \$6,500
Provide violence and gang prevention therapy group sessions and individual support to high-risk students attending Hillview Junior High in Pittsburg. Support to families is also offered. A total of 15-25 students are expected to be provided services during the year.
14. Center for Human Development – 12th Annual Unity in Community \$5,500
Sponsor the Eleventh Annual “Unity in Community” event in June, 2015. This event will: 1) cultivate resident leadership, 2) foster relationships between residents and service providers, 3) offer community resources, 4) celebrate diversity of people and cultures, and, 5) promote civic pride.
15. Child Abuse Prevention Council of CC – Nurturing Parenting Connection \$4,000
Operate a 15-week home based educational program for mothers/families of newborns by providing parental support, education and child abuse prevention to 10 families in Bay Point and Pittsburg.
16. City of Pittsburg – Everyday is a Special Day \$3,500

Exhibit A

Partner with Kiwanis and Pittsburg Unified School District to provide special needs students with programs and recreational activities during the school year. Approximately 100 students will be provided services during the year.

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| 17. <u>City of Pittsburg Recreation – Community is Key</u> | \$5,000 |
| Publish and mail the Senior Center Newsletter three times a year to provide seniors who do not have access to a computer with critical information regarding County and City services that enhance their quality of life. | |
| 18. <u>City of Pittsburg Recreation – Fun, Food & Fellowship</u> | \$3,500 |
| Partner with the C.C. Café to provide seniors with an opportunity for socialization, physical activity, tournaments, and good nutrition. Seven dances and four fun tournaments will be provided to help improve seniors' quality of life. | |
| 19. <u>City of Pittsburg Police Dept.- Rapid Deployment Shelter</u> | \$10,000 |
| Purchase a "Rapid Development Shelter" to help Emergency Response Teams (police, fire, etc.) protect members of the community when they are most vulnerable. The shelter can be used as a first aid location, centralized command center or temporary shelter for displaced residents due to a fire, earthquake, etc.. | |
| 20. <u>Community Violence Solutions – Violence Prevention Project</u> | \$5,000 |
| Provide a school-based violence prevention program aimed at increasing personal safety and preventing bullying of elementary (1 st , 2 nd , 3 rd and 5 th grades) school children. | |
| 21. <u>CC Interfaith Transitional Housing – Services to Low Income Children/Families</u> | \$10,000 |
| Provide case management, parent support, and youth enrichment services to 123 low-income and at-risk households living in affordable housing in two housing developments in Bay Point and Pittsburg. | |
| 22. <u>CC Youth Council – Read to Live</u> | \$10,000 |
| Provide tutoring in reading and spelling to improve the academic skills of 13-18 years old learning-disabled, incarcerated boys. Services will be provided to 35-40 persons per year. | |
| 23. <u>Craft Community Care Center dba STS Academy – World Art and Music Festival</u> | \$10,000 |
| Bring music and art from around the world to all Bay Point and Pittsburg elementary school students and their families to help cultivate understanding, acceptance and appreciation of the various cultures. | |
| 24. <u>Craft Community Care Center – 1-2-3 Tutoring Program</u> | \$10,000 |
| Provide a tutoring program to 1 st , 2 nd , and 3 rd graders attending Highlands Elementary School in Pittsburg and who are normally shut out of normal after school tutoring and intervention programs because of lack of transportation and other issues. A total 20 students will receive tutoring services during the year. | |

Exhibit A

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| 25. <u>East County Midnight Basketball League – Summer 2014</u> | \$10,000 |
| Operate the program to assist at-risk youth and young adults between the ages of 16-28 with obtaining help in the following areas: employment, education, health and life skills. | |
| 26. <u>El Campanil Theatre Preservation Foundation – School Field Trips Subsidy Program</u> | \$5,000 |
| Provide field trips to the theatre for approximately 1,500 elementary school children so they can watch a live stage production. | |
| 27. <u>First Baptist Head Start – Alumni Program</u> | \$10,000 |
| Provide approximately 140 at-risk youth (grades 6 th -10 th); the opportunity to learn valuable job skills, and develop positive work ethics during the summer months by volunteering at the Head Start facility. | |
| 28. <u>Give Always to Others – Youth Education Program</u> | \$300 |
| Provide learning challenged students with information through seminars and workshops to inform them of the various opportunities available to them related to attending college or securing employment. | |
| 29. <u>Grace Bible Fellowship of Antioch – Summer Camp Program</u> | \$5,000 |
| Provide a summer camp program to a diverse group of school children so they can participate in activities ranging from math, English, sports, community service, computers, and cooking classes all in a positive environment conducive to student growth. Approximately 100 students will participate in the program. | |
| 30. <u>Greater Faith Food Pantry – Food Pantry Project</u> | \$4,000 |
| Operate a food pantry program that provides healthy and nutritious food packages to approximately 150 low income families twice per week. | |
| 31. <u>Habitat for Humanity – Beautification of Bel Air Elementary School</u> | \$5,000 |
| In coordination with the School administrators and parents, paint and organize the school's library, and install privacy slats in the fence adjacent to the Bel Air trail. | |
| 32. <u>Jewish Family and Children Services – Multicultural Senior Health Program</u> | \$5,000 |
| Operate the Multicultural Senior Health Program, including the Senior Appreciation Day Health Resource Fair, and provide ongoing health education groups for underserved, low-income Latino seniors in Bay Point and Pittsburg. | |
| 33. <u>Loaves and Fishes of Contra Costa – Nourishing the Lives Program</u> | \$10,000 |
| Operate its dining rooms serving Bay Point, Pittsburg, and Antioch residents by providing free meals to lower income families to decrease the incidence of hunger, malnutrition, obesity and diabetes in adults and children. | |
| 34. <u>Los Medanos College – “RENT” at the California Theatre</u> | \$5,000 |
| In partnership with the City of Pittsburg, produce eight productions of the | |

Exhibit A

- musical "Rent" at the theatre. The overall goal of the project is to create a community experience of educational, artistic and social awareness which will bring a cultural consciousness and economic vitality to the area.
35. Los Medanos College Foundation – Community Facility Fee Support \$10,000
Provide low or no-cost facility rentals to at least eight community organizations during the year.
 36. Meals on Wheels/Senior Outreach Services – Nutrition/Social Support for Seniors \$5,000
Operate the C.C. Cafe in Bay Point that provides healthy food and socialization to seniors to help them to remain in their homes. It is expected that approximately 200 senior will be served during the year.
 37. Meals on Wheels/Senior Outreach Services – Pittsburg Senior Center \$10,000
Operate the C.C. Cafe in Pittsburg that provides healthy food and socialization to seniors to help them to remain in their homes. It is expected that approximately 320 senior will be served during the year.
 38. Meals on Wheels of Contra Costa, Inc. – Meals for Homebound Seniors \$5,000
Provide daily meals to nutritionally at-risk homebound seniors in East County.
 39. Mount Diablo Unified School District – Riverview Middle School \$8,000
In collaboration with Habitat for Humanity and the Contra Costa County Library MDUSD will revitalize the library by repainting the exterior and interior of the building, installing new furniture, and installing new signage.
 40. National Society of Black Engineers – Saturday STEM Program \$5,000
Provide tutoring and mentoring to students in science, technology, engineering, math and robotics (STEM). Students in grades 3-5 participate in Kid Zone Academic competition, and students in grades K-12 participate in robotics. Approximately 350 students will participate in the program.
 41. Open Opportunities, Inc. – Future Build Pre-apprentice Training Program \$10,000
Operate the Future Build project that provides 22 low income young adults between the ages of 17 to 30 with a life changing experience that leads to re-engagement in the workforce and post-secondary educational opportunities. Pre-apprenticeship training will be married to practical applications in coordination with Habitat East Bay, Rebuilding Together, Local Unions and Jr. Colleges.
 42. Opportunity Junction – Job Training and Placement Program \$5,000
Provide the Job Training and Placement Program to low-income East County residents helping them become self-sufficient through a comprehensive program of computer training, life skills, paid experience, career skills, mental health, and long-term follow-up. A total of 42 participants are expected to be served during the year.

Exhibit A

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| 43. <u>Opportunity Junction – Career Development Services at SparkPoint</u>
Provide individualized career development services to residents who visit SparkPoint Contra Costa in Bay Point. Support, job coaching and training will be provided to 80 low-income job seekers seeking to overcome barriers to employment, enter the workforce, or retain employment. | \$5,000 |
| 44. <u>Pacific Community Services, Inc. – Housing Counseling Program</u>
Provide individual HUD certified housing counseling services to approximately 110 households to prevent the loss of housing and to counter habitability, fair housing and other housing issues. | \$10,000 |
| 45. <u>Pacific Community Services, Inc. – California Theatre Organ Restoration (3rd Year)</u>
Restore and reinstall the 1928 Robert Morton Theatre Organ originally built for the historic California Theatre in Pittsburg. This year the pipe ranks, wind system, and the electronics and controls will be rebuilt. | \$5,000 |
| 46. <u>People Who Care Children Assoc. – After-School Green Jobs Youth Training</u>
Provide job training to 12 at-risk youth in several “green technologies.” Training in LEED lighting, and solar battery powered mini off grid electric systems for multiple uses, model green off grid homes, decks, and other hands on projects. | \$10,000 |
| 47. <u>Pittsburg Arts and Community Foundation, Inc. – Learning Advantage Project</u>
Provide tutoring to 25 students between the ages of 6-18 who are experiencing skill development problems with reading and math. | \$8,000 |
| 48. <u>Pittsburg Arts and Community Foundation, Inc. – Library Signage Project</u>
Purchase 116 signs in English and Spanish for the Pittsburg Library to help visitors find books and other material available for their use. | \$3,000 |
| 49. <u>Pittsburg Community Emergency Services, Inc. – PICES (Feeding the Hungry)</u>
Operate the food pantry program to help feed the hungry in Pittsburg and Bay Point. The organization is run entirely by volunteers and relies on donations to pay for operational expenses and food. Approximately 5,000 people per year are provided food. | \$5,000 |
| 50. <u>Pittsburg Unified School District – Academic Achievers Association</u>
Sponsor the Academic Achievers program by holding a quarterly ceremony and luncheon to encourage and recognize students who obtain a GPA or 3.0 or above. | \$3,000 |
| 51. <u>Pittsburg Unified School District – MLK Science Project</u>
Engage students at the Martin Luther King Jr. Junior High School by helping them Transition to the Common Core Curriculum and Next Generation Science Standards by establishing a lab/project based science program that teaches through discovery, problem solving and real life application. | \$5,000 |

Exhibit A

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| 52. <u>Presidio Village Senior Housing – Presidio Village Activity Fund</u> | \$500 |
| Provide a variety of activities for seniors living at the Presidio Village Senior Housing development in Pittsburg. | |
| 53. <u>Rehab Services of Northern CA – Scholarships</u> | \$5,000 |
| Provide financial scholarships to low-income individuals so they participate in the Bedford Center adult day care program. Scholarships to three individuals will be provided. | |
| 54. <u>Rotary Club of Pittsburg Foundation – Encourage Love of Learning</u> | \$8,000 |
| Provide dictionaries to approximately 1,000 third grade students to encourage a love of learning and literacy. | |
| 55. <u>Soroptimist International of Pittsburg – Kidz on Target</u> | \$3,500 |
| Provide approximately 100 children that have been referred by the Contra Costa County Social Services Department with a shopping spree to purchase school clothes, and provide the kids with a back pack with school supplies and a book to take home. | |
| 56. <u>Soroptimist International of Pittsburg – Reading Project</u> | \$2,000 |
| Teach the residents of Pittsburg/Bay Point youth and adults the benefits of reading by providing books and a hands-on literacy programs to foster their interest in reading and self-improvement. | |
| 57. <u>St. Vincent de Paul – Family Resource Center</u> | \$10,000 |
| Operate the Family Resource Center that provides meals, a food pantry, free medical clinic and other “safety net” services to low income persons. | |
| 58. <u>Stoneman Village – Dinner Meal Program</u> | \$10,000 |
| Help subsidize the provision of one nutritious meal five days a week to low-income, elderly and handicapped individuals who reside at Stoneman Village and Stoneman Village II in Pittsburg. | |
| 59. <u>The Network of Care - Feed Families of Hospitalized Children</u> | \$1,656 |
| Provide free meals to families of children who are hospitalized at Children’s Hospital Oakland, UCSF Children’s Hospital, Kaiser Walnut Creek, and California Pacific Medical Center. | |
| 60. <u>Youth Intervention Network</u> | \$10,000 |
| The program will engage youth in Antioch, ages 10-18, who because they are truant and/or academically disengaged are likely to commit or become victims of violence without the appropriate interventions. | |
| 61. <u>CCC Department of Conservation and Development – District V Code Enforcement</u> | \$90,000 |

Exhibit A

Address complaints of junkyards, illegal dumping, abandoned properties, illegal land-use, substandard/unsafe buildings, illegal/unsafe mobile homes, construction without permits and abandoned vehicles.

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| 62. <u>CCC General Services Department – East County Beautification Program</u>
Provide community beautification through roadside cleanup of litter, trash, appliances, tires, abandoned vehicles and other waste materials, as well as landscape maintenance in unincorporated areas of Bay Point in District V. | \$35,000 |
| 63. <u>CCC County Counsel Office – Code Development and Compliance Attorney</u>
Provide legal support to the Board of Supervisors, Department of Conservation and Development, and other staff responsible for the effective and timely development, adoption, implementation, and enforcement of zoning, building, housing, and other ordinances. | \$95,000 |
| 64. <u>CCC Health Services Department – Calli House Youth Shelter</u>
Provide shelter and reunification services to runaway and homeless youth ages 14-21 in Contra Costa County. The funds will be used to support 20 homeless youth from Primary and Secondary Target areas of District V. | \$10,000 |
| 65. <u>Contra Costa Health Services – Healthy and Livable Pittsburg Project</u>
Engage at least 40 residents in the Healthy and Livable Pittsburg project by increasing knowledge of the social and determinants of health, food systems and the build environment. Participants will be able to describe the root causes of health inequities and what kinds of policies might reduce health inequities and improve the overall health of their community. | \$4,000 |
| 66. <u>CCC EHSD Service Integration Program – Bay Point Works Community Career Center</u>
Operate Bay Point Works - a neighborhood-based program that strives to advance the economic well-being of unemployed, underemployed and “working poor” Bay Point residents, while providing them with significant and meaningful opportunities to be an active force in revitalizing their community. | \$7,500 |
| 67. <u>CCC Library – Adventure Read (Bay Point Library)</u>
The library will provide a community read for children, which will foster a culture of readers and early literacy, by distributing a highly popular children’s book throughout the community and support children and parents with book-related programs. | \$5,000 |
| 68. <u>CCC Library – Adventure Read (Pittsburg Library)</u>
The library will provide a community read for children, which will foster a culture of readers and early literacy, by distributing a highly popular children’s book throughout the community and support children and parents with book-related programs. | \$5,000 |
| 69. <u>CCC Sheriff’s Office – Resident Deputy</u> | \$175,000 |

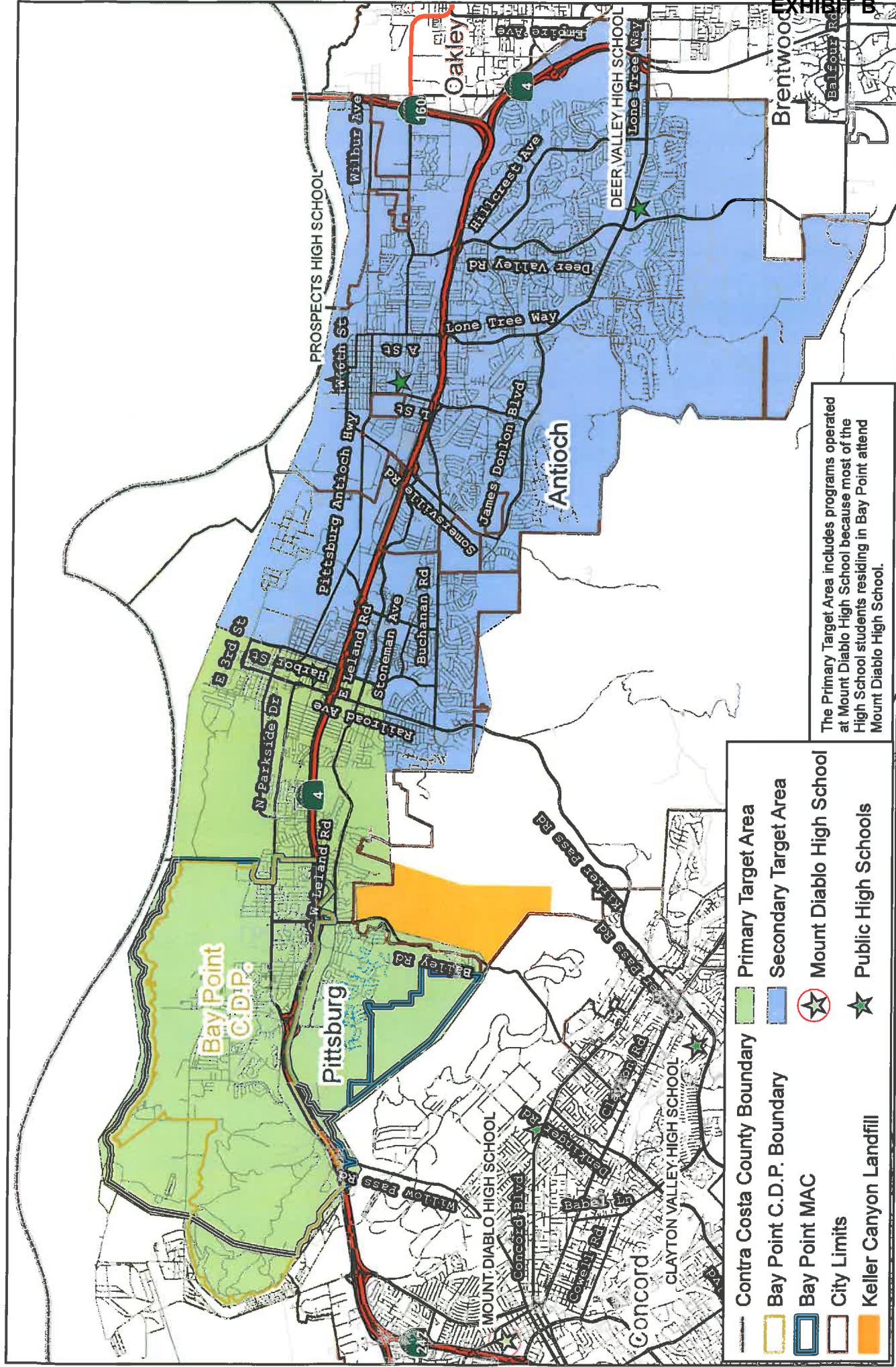
Exhibit A

- Provide enhanced law enforcement services to Bay Point to enhance the ability to significantly improve residents' quality of life.
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| 70. <u>CCC Sheriff's Office – School Resource Officer</u> | \$175,000 |
| Provide one uniformed officer within the Mt. Diablo School District in Bay Point to establish a safe school environment and promote the positive development of Bay Point youth. | |
| 71. <u>CCC Sheriff's Office – BP Annual Holiday Dinner and Toy Give Away</u> | \$8,000 |
| Assist in financing the Office of the Sheriff's the annual Bay Point Christmas Dinner and Toy Drive. The event is free to Bay Point residents and targets local youth. | |
| 72. <u>CCC Sheriff's Office – BP Bike Safety Rodeo</u> | \$3,500 |
| Assist in financing the Office of the Sheriff's the annual Bay Point Christmas Dinner and Toy Drive. The event is free to Bay Point residents and targets local youth. | |
| 73. <u>CCC Workforce Development Board – Northern Waterfront Business Initiative</u> | \$35,000 |
| Design and implement a process to enhance business recruitment efforts into industrial land along the northern waterfront in Bay Point, Pittsburg, and Antioch. At least four businesses will be recruited during the year. | |
| 74. <u>CCC Department of Conservation and Development – KCMF Administration</u> | \$50,000 |
| Assist District V staff in managing and administering the FY 2013/14 Keller Canyon Mitigation Fund program. | |
| 75. <u>District V Staff – KCMF Target Area Staff Services</u> | \$100,000 |
| Funding will support the growing needs of constituents in District V through the revision of staff focused on the KCMF target areas | |
| 76. <u>District V (via CCC Public Works Dept.) – Bay Point Crossing Guard Program</u> | \$66,000 |
| Provide State approved school crossing guards at 10 Bay Point intersections. | |
| 77. <u>Bay Point MAC – Municipal Advisory Council Operations</u> | \$2,000 |
| Funding will support the advisory council's activities throughout the year. The MAC provides advice and recommendations to the Contra Costa County Board of Supervisors on planning issues and services provided in Bay Point. | |
| 78. <u>District V Events - Peace In The Streets/Youth Summit/Non-Profit Development – Seminars/Relay for Life</u> | \$50,000 |
| Funding will support several community events including: Peace in the Streets, Youth Summit, Small Business Workshops, Grant writing seminars and participation in Relay For Life. These events provide opportunities to enhance and educate residents in the KCMF target areas. | |

Exhibit A

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| 79. <u>District V Interns – Internships Providing Assistance with KCMF</u>
Funding will provide a stipend for summer and winter internships at the District V office. | \$15,000 |
| 80. <u>KCMF Review Committee/Keller Reception – Networking Event for KCMF Funded Agencies</u>
Funding will support the annual reception for service providers who receive funding from the Keller Canon Mitigation Fund. Participants share with attendees the scope and nature of the services they provide and collaborate on service expansion. The allocation includes the cost of food, rental and other charges related to the reception. | \$3,000 |
| TOTAL | \$1,284,656 |

Keller Canyon Landfill Target Area Draft Map



CONTRA COSTA COUNTY
Department of Conservation and Development
Keller Canyon Mitigation Fund Policies

Adopted by the Board of Supervisors on May 24, 2011

I. KELLER CANYON MITIGATION FUND REVIEW COMMITTEE

- A. The composition of the KCMF Review Committee shall include the following individuals: District V Supervisor, District V Chief of Staff (or other person assigned by the Supervisor), a representative of the Bay Point Municipal Advisory Committee (MAC) appointed by the Bay Point MAC, a Principal (or other senior school official) from a school located in the Bay Point area, and a representative from the Bay Point Chamber of Commerce. The representatives from the MAC, Bay Point area school, and Chamber of Commerce shall be appointed to minimum two year terms.
- B. KCMF Review Committee members shall be subject to the Political Reform Act and Government Code section 1090. On a case by case basis, individual KCMF Review Committee members, depending on the individual circumstances, may be required to recuse themselves from participating in the discussion and consideration of a particular application for KCMF funding in compliance with the Political Reform Act and Government Code section 1090. Because each situation can be different, each KCMF Review Committee member shall be encouraged to consult with County Counsel to determine how the Political Reform Act and Government Code section 1090 may apply to them as they consider KCMF applications, and make funding recommendations to the Board of Supervisors. KCMF Review Committee members shall receive training on the Political Reform Act and Government Code section 1090 on a yearly basis. In addition, the KCMF Review Committee is subject to County Resolution 2011/55 making family members of the Board of Supervisors ineligible for appointment to the Committee.
- C. The KCMF Review Committee shall be responsible for reviewing and updating, if necessary, the KCMF application materials and rating/evaluation criteria to ensure they are consistent with the conditions of approval for the Keller Canyon Landfill, as amended.
- D. The KCMF Review Committee's funding recommendations shall be guided by the KCMF Target Area Map (Exhibit 1a) that establishes "Primary" and "Secondary" target areas for the use of KCMF funds. 100 percent of KCMF funds shall be used for programs/projects/services directly serving those within the "Primary" and "Secondary" target areas. In addition, no less than 70 percent of the KCMF funds shall be used to fund programs/projects/services directly serving those in the "Primary" target area.

- E. Funding recommendations from the KCMF Review Committee shall be presented at a Board of Supervisors regularly scheduled meeting. The Board Order (BO) will list all of the applicants, the amount of funding requested, the amount recommended, and a short description of the proposed program/project and the proposed outputs and/or outcomes.

II. KELLER CANYON MITIGATION FUND ALLOCATION PROCESS

- A. To increase the public's knowledge on how, where, and when to apply for KCMF funding, the funding timeline, request for proposal (KCMF application), and other applicable materials shall be placed on the District V and County websites. The websites shall also allow organizations and interested persons to add their contact information to ensure notification on matters related to KCMF.
- B. The current list of interested organizations wishing to be notified about the opportunity to apply for KCMF funding shall be reviewed and updated annually to ensure the broadest outreach as possible.
- C. To ensure the tax exempt status of a nonprofit agency requesting funds is valid and in good standing, KCMF applicants shall submit their current non-profit status determination letter from the IRS, a copy of their most recent tax return, and the printout from the California Business Portal (<http://kepler.sos.ca.gov/>).
- D. KCMF Board Orders shall include detailed line items that identify the agencies who applied for funding, the amount of funds requested, the amount recommended, and a complete description of the proposed program/project to be funded. An approved copy of all Board Orders shall be provided to the Auditor-Controller for their files.
- E. By September 30 of each year, the KCMF Review Committee shall prepare a report to the Board of Supervisors on the use of KCMF funds in the previous fiscal year. At a minimum, this report shall describe the revenue received during the year, the amount allocated and spent by each grantee, and the outcome(s) achieved for each funded activity.
- F. Any deficit in the KCMF fund after the end of each fiscal year shall be eliminated by allocating the necessary amount from next year's projected revenue.
- G. When estimating the amount of KCMF funds to be made available for projects in future fiscal years, staff shall analyze the revenue trend lines from previous years and the KCMF Review Committee shall only allocate 80 percent of the anticipated revenue for the upcoming year. This protocol will reduce the likelihood that expenses will be greater than actual revenue received during the year. Any revenue over expenses in one year may be added to the amount made available in the following year.

III. DISBURSEMENT OF KELLER CANYON MITIGATION FUNDS

- A. KCMF funded agencies that receive other County/State/City funds and who are typically paid on a cost reimbursement basis for the programs they operate shall receive their KCMF allocation on a cost reimbursement basis.
- B. Funded agencies that can demonstrate that providing KCMF funding on a cost reimbursement basis will create a financial hardship and be detrimental to the operation of the KCMF funded program shall be eligible to receive up to ½ of the grant amount after the KCMF contract is executed. The remaining amount of the grant will be disbursed after the agency has submitted information documenting how the initial disbursement was spent. At the conclusion of the program, the agency shall be required to submit information documenting how the second disbursement was spent, and provide information documenting program outputs and outcomes.
- C. Each Demand for payment forwarded to the Auditor-Controller will include the name of the agency, the KCMF item agenda number, and the item number of the approved program/project. (For example: New Connections, C.49, Item #45).

D.4

**THE BOARD OF SUPERVISORS OF
CONTRA COSTA COUNTY, CALIFORNIA**

Adopted this Order on July 16, 1996, by the following vote:

AYES:

NOES: (See below for vote)

ABSENT:

ABSTAIN:

SUBJECT: Keller Canyon Landfill Mitigation And Property Valuation

The Board considered the recommendations presented by Phil Batchelor, County Administrator, and Val Alexeeff, Director, Growth Management and Economic Development Agency, regarding the Keller Canyon Mitigation Fees and property valuation as set forth in the report to the Board, copy attached and included as a part of this document.

The following persons spoke:

Nancy Parent, 64 E.4th Street, Pittsburg;

Butch Hansen, member, Chamber of Commerce, 298 Heron Drive, Pittsburg;

Renee' Goldstein, representing Gary Yancey, Contra Costa County District Attorney's office;

Ben Johnson, American Legion, 1120 Laurel, Pittsburg;

Kathy Smith, General Services and John Cullen, Social Services;

Frank Aiello, 1734 Bridgeview, Pittsburg;

Mary Erbez, 163 Redondo Drive, Pittsburg;

George Delacruz, member, Bay Point Municipal Advisory Council, 3569 Sharon Court, Bay Point;

A.B. McNabney, Delta Environment Science Center and Mt. Diablo Audubon Society, 1161 Leisure Town #7, Walnut Creek;

Janess Hanson, Sierra Club member, 431 Levee Road, Bay Point;

Cyndee Nieves, Family Stress Center, 2086 Commerce Avenue, Concord;

Stephen Barbata, Delta Environment Science Center, 86 Orchard Estates Drive, Walnut Creek;

Patti Lambert, Ambrose Recreation and Park District, 3105 Willow Pass Road, Bay Point;

Lance Dow, 2232 Concord Drive, Pittsburg;

Joe Canciamilla, Mayor, City of Pittsburg, 2020 Railroad Avenue, Pittsburg;

Ted Radke, East Bay Regional Park District, 834 Carquinez, Martinez;

Rosemary Cameron, East Bay Regional Park District, 2950 Peralta Oaks Court,
Oakland;

Jeff Kolin, City Manager, City of Pittsburg, 2020 Railroad Avenue, Pittsburg;

Michael Woods, City Attorney, City of Pittsburg, 2020 Railroad Avenue, Pittsburg;

Henry Clark, General Manager, Local #1, 5034 Blum Road, Martinez.

All persons desiring to speak having been heard, the Board took the following actions:

1. RESOLVED to committ \$1.25 per ton for the City of Pittsburg's Host Mitigation Fees relative to the Keller Canyon Landfill beginning January 1,1997;
2. DIRECTED that the Reserve Funds be used for the City of Pittsburg's Mitigation Fees from January 1, 1997 to July 1, 1997;
3. DIRECTED that to comply with the Countywide Solid Waste Plan, the City of Pittsburg's permit portion of the transfer station be completed within 35 days;
4. DIRECTED that within 35 days negotiations begin for a good neighbor agreement between the County, Browning Ferris Industries and the City of Pittsburg to include resolving issues dealing with air quality, the limitation and types of waste acceptable at the Keller Canyon Landfill, and the valuation issue, and that the subject be revisited in November 1996;
5. DIRECTED the funds (in Item 15 of Exhibit A) in the County Mitigation Program be utilized as Bay Point's Host Mitigation Fees;
6. DIRECTED that the Finance Committee and the East Bay Regional Park District Liaison jointly review future programs and areas of service delivery utilization, with a report to the Board of Supervisors on August 13, 1996.

PASSED by the Board on July 16, 1996, by the following vote:

AYES: Supervisors Rogers, DeSaulnier, Torlakson and Smith

NOES: Supervisor Bishop

ABSENT/ABSTAIN: None

THE BOARD FURTHER ORDERED that the Finance Committee and Supervisor Torlakson meet with the City of Pittsburg and Browning Ferris Industries for further discussion; and continued to September 1996 the valuation issues, with a possible report to the Board on August 13, 1996.

PASSED by the Board on July 16, 1996, by the following vote:

AYES: Supervisors Rogers, Bishop, DeSaulnier, Torlakson and Smith

NOES: None

ABSENT/ABSTAIN: None

I hereby certify that this is a true and correct copy of
an action taken and entered on the minutes of the
Board of Supervisors on the date shown.

ATTESTED: July 16, 1996
PHIL BATCHELOR, Clerk of the Board
of Supervisors and County Administrator

By 
Barbara S. Grant, Deputy Clerk

c.c. Supervisors
County Administrator
Director, GMEDA
County Counsel
Community Development
City of Pittsburg

THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA

Adopted this Order on December 20, 1988, by the following vote:

AYES: Supervisors Powers, Fahden, Torlakson and Schroder
 NOES: None
 ABSENT: Supervisor McPeak
 ABSTAIN: None

SUBJECT: FEES FOR SOLID WASTE ENFORCEMENT)))	RESOLUTION NO. 88/783 (Govt. Code Sec. 66796.20)
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The Contra Costa County Board of Supervisors RESOLVES that:

Pursuant to Government Code Section 66796.20, this Board, as the governing body of the Contra Costa County Health Services Department, the local enforcement agency for solid waste standards (Government Code Section 66796), hereby prescribes and establishes a solid waste tonnage fee, to be imposed on each operator (Government Code section 66714.7). Effective January 1, 1989 said fee shall be twenty five (25) cents per ton on all operators. Said fee shall be assessed at the disposal sites and transfer stations (including interim transfer stations) operating in Contra Costa County. The tonnage used to determine the tonnage fee shall be based upon the tonnage of solid wastes reported by the site operators to the appropriate Regional Water Quality Control Board or the County. If the operator does not use a disposal site or transfer station in Contra Costa County, the tonnage fee shall be assessed to the solid waste collection company. The method of measurement of the tonnage fee assessed to the solid waste collection company shall be acceptable to the County Community Development and Health Services Departments. The fees shall be paid on a quarterly basis to the County of Contra Costa. If fees are assessed at transfer stations, no fee for those wastes shall be assessed at the disposal sites.

Upon the fees from this resolution becoming effective, Resolution No. 86/203 is superseded.

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: DEC 20 1988
 PHIL BATCHELOR, Clerk of the Board
 of Supervisors and County Administrator

By A Joseph, Deputy

cc: Distribution via Community Development
 Environmental Control Div.

7.4
R.52K

THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA

Adopted this Order on November 14, 1989, by the following vote:

AYES: Supervisors Powers, Fahden, Schroder, McPeak and Torlakson
 NOES: None
 ABSENT: None
 ABSTAIN: None

SUBJECT:

FEES FOR SOLID WASTE)	RESOLUTION NO. 89/738
MANAGEMENT PLANNING)	(Pub. Resources Code,
(Stats. 1989, ch. 1095))	SS 41900 et seq.)

The Contra Costa County Board of Supervisors RESOLVES that:

1. This Board is responsible for preparing, adopting and implementing a countywide integrated waste management plan, and a countywide siting element pursuant to new Public Resources Code chapters 4 (\$ 41700 et seq.) and 5 (\$ 41750 et seq.)
2. Pursuant to Public Resources Code section 41901, the County may impose fees in amounts sufficient to pay the costs of preparing, adopting, and implementing an integrated waste management plan.
3. Pursuant to Public Resources Code section 41901, a fee of \$.95 per ton is hereby imposed on all solid waste operators, as defined in Public Resources Code section 40160, operating in Contra Costa County, including those operators operating solely within an incorporated city. Said fee shall be assessed at the disposal sites and transfer stations (including interim transfer stations) owned and operated by Acme Fill Corporation; Contra Costa Solid Waste, Inc. and G.B.F. Company; and Richmond Sanitary Service (hereinafter collectively referred to as "site operators"). The tonnage used to determine the tonnage fee shall be based upon the tonnage of solid wastes reported by the site operators to the appropriate Regional Water Quality Control Board or the County. If the operator does not use a disposal site or transfer station in Contra Costa County, the tonnage fee shall be assessed upon the solid waste collection company. The method of measurement of the tonnage fee assessed upon the solid waste collection company shall be acceptable to the Community Development Department. The fees shall be paid on a monthly basis to the County of Contra Costa (care of the Community Development Department), and shall be due on the last day of the month. If fees are assessed at transfer stations, no fee for those wastes is to be assessed at the disposal sites.
4. Pursuant to Public Resources Code section 41901 (Stats. 1989, ch. 1095), this resolution becomes effective and operative on January 1, 1990.

Orig. Dept.: Community Development
 cc: County Administrator
 Auditor-Controller
 Acme Fill Corp. (via CDD)
 Richmond Sanitary Service (via CDD)
 Contra Costa Solid Waste, Inc. (via CDD)
 County Counsel (LTF)

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: November 14, 1989
 PHIL BATCHELOR, Clerk of the Board
 of Supervisors and County Administrator

By A. Joseph Deputy

RESOLUTION NO. 89/738

PDF

Return

D. 1

To: Board of Supervisors**From: Catherine Kutsuris, Conservation
& Development Director****Date: July 27, 2010****Contra
Costa
County****Subject: SURCHARGE TO BE IMPOSED AT KELLER CANYON LANDFILL FOR BAILEY ROAD
RECONSTRUCTION & MAINTENANCE PURSUANT TO LAND USE PERMIT CONDITIONS OF
APPROVAL****RECOMMENDATION(S):**

1. **APPROVE** the establishment of a \$0.32 per ton fee ("Bailey Road Improvement Disposal Surcharge"), pursuant to Condition of Approval 29.6 of the Keller Canyon Landfill Land Use Permit 2020-89 (Exhibit A), to be imposed for waste disposed at the Keller Canyon Landfill on or after January 1, 2011, but only until such time as a total of \$1,193,000 is collected;
2. **APPROVE** the establishment of a per ton fee ("Bailey Road Maintenance Disposal Surcharge"), pursuant to Condition of Approval 29.7 of the Keller Canyon Landfill Land Use Permit 2020-89 (Exhibit A), the fee amount of \$0.68 shall remain in effect for waste disposed at the Keller Canyon Landfill between January 1, 2011 and December 31, 2015, at which time one component of this fee would sunset resulting in a one-time fee reduction of \$0.27 on January 1, 2016; and

☒ APPROVE☐ OTHER☒ RECOMMENDATION OF CNTY ADMINISTRATOR☐ RECOMMENDATION OF BOARD COMMITTEE**Action of Board On: 07/27/2010**☒ APPROVED AS RECOMMENDED☐ OTHER**Clerks Notes:**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: July 27, 2010**David J. Twa,****BY: June McHuen, Deputy****VOTE OF SUPERVISORS**

AYE: John Gioia, District I Supervisor
Gayle B. Uilkema, District II Supervisor
Mary N. Piepho, District III Supervisor
Susan A. Bonilla, District IV Supervisor
Federal D. Glover, District V Supervisor

Contact: Deidra Dingman (925) 335-1224**cc:** Billilee Blanagan Telma Moriera Adelina Huerta

RECOMMENDATION(S): (CONT'D)

3. **AUTHORIZE** the Director of Conservation and Development, or designee, to administratively adjust the Bailey Road Maintenance Disposal Surcharge every five years, based on the percentage change in the Engineering News Record (ENR) Construction Cost Index (CCI) for the San Francisco Bay Area for the 60-month period ending with the June index of the most recent calendar year, initial adjustment on January 1, 2016 to be applied to the base fee of \$0.41 per ton.

FISCAL IMPACT:

No impact to the County's General Fund. 100% tonnage fees.

BACKGROUND:

Land Use Permit (LUP) #2020-89 was approved by the County Board of Supervisors in July 1990 allowing the development of the Keller Canyon Landfill (KCL), which opened in May 1992. KCL is operated by the Keller Canyon Landfill Company (KCLC) which is a subsidiary of Republic Services Inc.

Bailey Road serves as the designated access route for waste hauling truck traffic between Highway 4 and the entrance to Keller Canyon Landfill. The City of Pittsburg and unincorporated Contra Costa County boundaries are located along Bailey Road in various segments as shown on the attached Mitigation Fee Map (Exhibit B). Some segments of Bailey Road are entirely within and maintained by the County, while other parts are located within and maintained by the City of Pittsburg.

LUP 2020-89 includes multiple conditions of approval establishing the extent of the KCL's financial responsibility for improving and maintaining a segment of Bailey Road (Exhibit A). The Public Works Department conducted a Pavement Study in 2006 along with ongoing coordination with the City of Pittsburg and KCLC to determine the cost for the improvements and necessary maintenance of Bailey Road.

Contra Costa County initiated a multi-year discussion with the City of Pittsburg regarding the process used to determine KCL's proportionate financial responsibility and recommended disposal surcharges (fees) to cover costs for improvement and maintenance of segments of Bailey Road. Staff is recommending the Board consider establishment of both Bailey Road Disposal Surcharges at this time. Following is a summary of both the Bailey Road Disposal Surcharges the County is expected to establish and Keller Canyon Landfill Company would then impose pursuant to the Land Use Permit Conditions of Approval.

**Bailey Road Improvement Disposal Surcharge
(\$0.32 per ton disposed until a total of \$1,193,000 has been collected)**

Condition of Approval 29.6 requires that the Landfill developer conduct a Pavement Study of the Bailey Road roadway segment from the Pittsburg city limits to the Highway 4 interchange to determine the improvements necessary to reconstruct the right hand (outside) traffic lanes. A pavement study conducted in 2006 identified the necessary improvements for the reconstruction of Bailey Road within unincorporated Contra Costa County. Based on the engineer's estimate, the expected cost of these improvements is \$1,193,000 (Exhibit C).

The City of Pittsburg is currently in the process of developing and finalizing the improvement plans, specifications, and cost estimates for the Bailey Road Reconstruction project within the limits shown in Exhibit B. KCLC is expected to submit to the County a lump sum payment of \$1,193,000 to satisfy their financial responsibility pursuant to Condition of Approval 29.6 upon the County's establishment of the per ton disposal surcharge. The imposition of this \$0.32 per ton fee shall take effect on January 1, 2011 and remain in effect until such time as a total of \$1,193,000 is collected.

The amount of tonnage disposed fluctuates both seasonally and year-to-year based upon changes in a number of socioeconomic factors (shifts in the total numbers of: occupied households per income level, persons per household, operating businesses, construction projects, jobs/employment and sales) which are difficult to predict or estimate with any degree of certainty. The average actual reported disposal tonnage between 2003 and 2009 equates to an average of about 2,600 tons per day, which is roughly equivalent to the disposal tonnage actually received in 2009. However, the average daily disposal tonnage per year during that period varied from a high of 2,756.57 tons per day in 2006 to a low of 2,482.25

tons per day in 2008. Future actual disposal tonnage may be substantially different than current or past years, considering that Keller Canyon Landfill is currently permitted to accept up to 3,500 tons per day for disposal and has applied to amend their Land Use Permit to increase their daily disposal limit to 4,900 tons per day. These disposal tonnage fluctuations basically make it impossible for staff to recommend a reliable sunset date.

Therefore, staff anticipates working closely with KCLC to ensure timely submission and monitoring of monthly reports showing the actual disposal tonnage and amount of per ton Bailey Road Improvement Disposal Surcharge funding collected. KCLC will be directed to include this information in the monthly tonnage and fee worksheets submitted to the Department of Conservation and Development. To monitor progress and maintain a record of the total collected, the reported amounts of tonnage and Disposal Surcharge funding collected per month will routinely be compiled by staff which will be useful tool in identifying anticipated sunset date, when appropriate.

A Funding Agreement will be executed, when authorized under a separate Board agenda item, to transfer the funding received from KCLC for the Bailey Road Reconstruction Project to the City of Pittsburg.

Bailey Road Maintenance Disposal Surcharge (\$0.68 per ton disposed for the first five years and adjusted every five years thereafter)

Condition of Approval 29.7 requires the landfill operator to impose a surcharge, when established, for the maintenance of Bailey Road between the Highway 4 interchange and the landfill entrance. The extent of this maintenance responsibility is depicted on the attached Mitigation Fee Map (Exhibit B).

The current pavement condition varies along this segment of Bailey Road. Based on the 2006 pavement study, traffic counts, and calculations of the Equivalent Single-Axle Load (ESAL), Keller Canyon's responsibility to maintain the Bailey Road segment over the operating life of the landfill is expected to be addressed through the establishment of a Maintenance Disposal Surcharge with two separate per ton components that would be imposed simultaneously. The total Maintenance Disposal Surcharge recommended for the initial five year period ending on December 31, 2015 is \$0.68 per ton.

The primary component of the recommended Maintenance Disposal Surcharge would remain in effect while the landfill is in operation and would initially be set at \$0.41 per ton (Exhibit D) and then adjusted administratively every five years with preliminary adjustment to occur on January 1, 2016. To address the increase in maintenance costs overtime, this fee amount would be adjusted on January 1st of every five year interval based on the percentage change in the Engineering News Record (ENR) Construction Cost Index (CCI) for the San Francisco Bay Area. Staff expects to calculate the percent change to be applied to the Maintenance Surcharge using the 60-month period ending with the June index of the calendar year.

An additional supplemental component of the Maintenance Surcharge is proposed for the segments along Bailey Road that are not currently part of the City of Pittsburg's reconstruction project. This covers two segments: the segment between the Highway 4 westbound on-ramp and eastbound off-ramp and the segment between West Leland Road and the landfill entrance (Exhibit B).

The two segments described above were reconstructed by various parties (Caltrans, City of Pittsburg, and Landfill) in the early 1990s. In 2004, County road maintenance crews performed a double chip seal and slurry seal on both of these segments. As part of the Bailey Road Pavement Study in 2006, pavement deflection tests were performed by the County's Materials and Testing Lab. The Pavement Study did not indicate a structural deficit in these segments at that time, but did identify that reconstruction was needed on Bailey Road from the south on/off-ramp to West Leland Road. Thus, reconstruction of this segment is being performed by the City of Pittsburg.

The maintenance treatments proposed (slurry seal, microsurfacing, cape seal, and nova chip) as part of the road maintenance surcharge do not provide any structural integrity to the pavement section. They merely protect the pavement from water infiltration and provide a wearing surface. The landfill's Land Use Permit establishes that Bailey Road be constructed to a 20-year pavement design life. Since the majority of Bailey Road was constructed in the early 1990s, it would require reconstruction in the early 2010s. The road maintenance surcharge proposed assumes pavement reconstruction would occur at 20-year intervals and maintenance treatments at five year intervals. While the section between the south on/off-ramp to West Leland Road will be reconstructed in 2011, full monies for reconstructing the other segments would not be available until 20 years after the road maintenance surcharge would be established. If we assume

the road maintenance surcharge is established next year in 2011, full monies for reconstruction would not be available until 2031. This poses a problem for the two segments in question since they were last reconstructed in the early 1990s.

In order to extend the pavement design life of the structural section for another 20 years, a two-inch overlay is proposed to occur in five years. The two-inch depth is an industry standard for one lift of asphalt concrete and would require a geosynthetic pavement fabric to seal the pavement from moisture. In order to fund this overlay in five years, a supplemental component of this Maintenance Surcharge is recommended in the amount of \$0.27 per ton. The supplemental component of the Maintenance Surcharge would be added to the primary component, however would sunset after five years.

The per ton Maintenance Surcharge established by the Board is expected to be imposed by KCLC effective January 1, 2011 and remain in effect throughout the operating life of the landfill. Maintenance Surcharge revenue collected will be deposited into interest bearing trust funds established pursuant to Section 913-8.002 of the Contra Costa County Ordinance Code. The interest accrued on the amount collected shall continue to accumulate in the trust account and shall be expended for administration, design and construction of the improvements, or to reimburse the County for the cost of constructing the improvements, pursuant to Section 913-8.006 of the County Ordinance Code.

The terms of the agreement for the transfer of a portion of the maintenance surcharge due to the City of Pittsburg will be finalized by a separate Board action and the execution of a separate Funding Agreement.

CONSEQUENCE OF NEGATIVE ACTION:

Keller Canyon Landfill is required to collect the per ton disposal surcharges established by the County, so if no per ton disposal surcharges are established there is no means to satisfy the requirements of Condition 29.6 and 29.7 of the landfill's Land Use Permit. Additionally, no funding could be collected to help fund Bailey Road improvements and maintenance and therefore the County and City would have to bear the entire cost of pavement reconstruction and maintenance.

Special Revenue: Keller Canyon Landfill Surcharge and Mitigation Fees

Authority to Collect:	1992 Board Order and 1994 Franchise Agreement, as amended; 1994 Land Use Permit amendment
Source of Funds:	<p>Keller Canyon Landfill Company (Republic Services). Revenue received monthly and allocated on a fiscal year basis. Current surcharge amount established by the County in 1994. This 25% surcharge is calculated based on base rate revenue received by Keller Canyon Landfill. Surcharge payments include the \$3 per ton Mitigation Fee, \$1.75 per ton is for the District V administered Landfill Mitigation Fund and \$1.25 per ton is for City of Pittsburg Mitigation fund (1996 Board Order). Balance of 25% Surcharge is deposited in the County General Fund and allocated to various County Departments. See binder tab # 3 for 25% Surcharge applied to waste transferred through the Contra Costa Transfer & Recovery Station.</p> <p>In 1992, the County adopted a \$43.05 interim gate rate for the Keller Canyon Landfill. <i>Note: Host Community Mitigation Fee was not originally required by the conditions of approval of the land use permit.</i></p>
Purpose of Funds at Genesis:	<p>The surcharge was general purpose revenue and has been allocated for County department operations.</p> <p>The original 1992 Board Order specified that the mitigation funds can be used for a list of items; item #5 broadly specified 'Other program and services to the citizens of Contra Costa County'. The Board later designated that the funds should be used to mitigate effects of landfill site by funding community-based organizations and County Departments for programs in the following areas:</p> <ul style="list-style-type: none"> a. Youth Services b. Code Enforcement c. Community Beautification d. Public Safety e. Community Services <p>The 1994 amendment to the Land Use Permit specified that "the fee shall be used as directed by the Board in its sole discretion: 1) to mitigate general impacts of the Landfill-generated traffic on the County's road system, 2) to mitigate the general impacts of the Landfill on open space, existing and proposed recreational facilities, and agriculture, or 3) to mitigate any general impacts of the Landfill upon the surrounding community."</p>

Level of Board Discretion Over Use of Funds:	The Board has complete discretion over the surcharge funds and broad discretion over use of the mitigation funds within or to benefit the community surrounding the landfill.
Method of Disbursement:	<p>In May 2011, the Board of Supervisors approved new policies governing the administration of the Keller Canyon Mitigation Fund (KCMF) including requiring the establishment of the KCMF Review Committee who makes funding recommendations for the use of the mitigation funds. The Committee's recommendations are considered by the Board of Supervisors on a yearly basis (typically in August). The Department of Conservation and Development provides staff support to the KCMF Review Committee.</p> <p>The County Administrator's Office makes recommendations to the Board of Supervisors through the annual budget process for the use of the surcharge funds.</p>
Current Estimated Balance:	<p>\$ 0 surcharge (these are General Fund dollars any excess falls into 0005 balance at year end)</p> <p>\$ 450,600 mitigation</p>
Annual Estimated Revenue:	<p>\$ 1,915,000 (surcharge)</p> <p>\$ 1,233,000(mitigation fee \$1.75/ton)</p> <p>\$ 1,456,000 (General purpose revenue: 0005:9122)</p>

1 BEFORE THE
2 DEPARTMENT OF RESOURCES RECYCLING AND RECOVERY
3

4 In the Matter of:) APPEAL OF CONTRA COSTA COUNTY
5 Evan Edgar and Monica White,) LOCAL ENFORCEMENT AGENCY
6 **Petitioners,**) HEARING PANEL DECISION ON
7) KELLER CANYON LANDFILL, ISSUED
8 Contra Costa County Local Enforcement) MARCH 25, 2015
9 Agency,)
10 **Respondent,**)
11 Keller Canyon Landfill Company, Inc.,)
12 **Real Party in Interest.**)
13

DECISION

Public Resources Code Sections 44307,
45030 et seq.

- 14 1. This matter came before the Department based upon an appeal filed pursuant to Public
15 Resources Code (PRC) section 45030. Petitioners, Evan Edgar and Monica White, were
16 represented by Dana Dean, attorney at law. The Contra Costa County Local Enforcement
17 Agency (LEA), was represented by Linda Wilcox, attorney at law. Real Party in Interest,
18 Keller Canyon Landfill Company, Inc. (Keller Canyon), was represented by Scott W.
19 Gordon, attorney at law. As the appeal was filed prior to the appointment the
20 Department's new Director, and due to the volume of the administrative record and the
21 written arguments of the parties, the new Director delegated the authority to render a
22 decision on the appeal to me to avoid undue delay. However, the Director was consulted
23 with and reviewed this decision prior to it being finalized.
24
25 2. Petitioners appealed a decision by the Contra Costa County Independent Hearing Panel
26 (Hearing Panel) that they did not show that the LEA failed to act as required by law or
27

1 regulation when the LEA approved the Report of Disposal Site Information (RDSI)
2 Amendment and CEQA Notice of Exemption (NOE) for the Keller Canyon Landfill. I
3 determined that Petitioners' appeal raised one or more substantial issues and accepted the
4 appeal. I also decided, pursuant to PRC section 45031(c), that I would review this matter
5 based upon the record before the Hearing Panel and on written arguments submitted by
6 the parties. The written record and arguments were submitted by June 15, 2015. Rebuttal
7 arguments were submitted by June 29, 2015.
8

- 9 3. Having considered the arguments of legal counsel and the documents submitted by the
10 parties, and for good cause appearing, I have made the following determinations:
11

12 **Relevant Statutes**

- 13 4. PRC section 44307, under which this matter was filed provides, in part, that:

14 ...The enforcement agency shall also hold a hearing upon a petition to the
15 enforcement agency from any person requesting the enforcement agency
16 to review an alleged failure of the agency to act as required by this part,
17 Part 5 (commencing with Section 45000), or Part 6 (commencing with
18 Section 45030) or a regulation adopted by the department pursuant to this
19 part, Part 5(commencing with Section 45000), or Part 6 (commencing with
20 Section 45030). A hearing shall be held in accordance with the procedures
21 specified in Section 44310.

- 22 5. PRC section 44310 provides, in part, that:

23 ... (a) (1) The hearing shall be initiated by the filing of a written request for
24 a hearing with a statement of the issues.

25 (A) If the hearing request is made by the person subject to the action, the
26 request shall be made within 15 days from the date that person is notified,
27 in writing, of the enforcement agency's intent to act in the manner
specified.

(B) If the hearing request is made by a person alleging that the
enforcement agency failed to act as required by law or regulation pursuant
to Section 44307, the person shall file a request for a hearing within 30
days from the date the person discovered or reasonably should have
discovered, the facts on which the allegation is based.

Hearing Panel Decision

6. The request for hearing was filed by Petitioners on February 13, 2015, alleging that the LEA failed to act as required by law or regulation under PRC section 44307 when it approved Keller Canyon's RDSI Amendment. Specifically, Petitioners alleged that when approving the RDSI Amendment the LEA relied on outdated requirements for alternative daily cover (ADC) use and demonstration projects; failed to comply with current law and its own performance standards, duties, and responsibilities for green waste used as ADC; failed to comply with performance standards and its duties and responsibilities as an enforcement agency; and failed to properly apply the criteria that determines CEQA exemption and whether the proposed changes should have instead required a modification or revision of Keller Canyon's solid waste facility permit.
7. The Hearing Panel heard this matter on March 13, 2015, and March 19, 2015. At the hearing, the Hearing Panel heard testimony from the parties and received evidence regarding the ADC practices at Keller Canyon. The Hearing Panel determined that its decision was limited to events that occurred in the 30 days prior to Petitioners' request for a hearing, which included the LEA's approval of the RDSI Amendment and the adoption of the CEQA NOE.
8. Based on the evidence presented, the Hearing Panel found that the LEA had acted properly when it approved the RDSI Amendment and the CEQA NOE. The Hearing Panel found that evidence related to past alleged improper ADC practices at Keller Canyon were time-barred and had no bearing on the content of the RDSI Amendment. Because the Hearing Panel found that the RDSI Amendment met the requirements of law

1 and regulation and could not have a significant impact on the environment, the Hearing
2 Panel determined that the LEA had not failed to act as required by law or regulation.

3 **Petitioner's Argument**

4 9. In their appeal, Petitioners argue that the LEA failed to act as required by law because the
5 RDSI Amendment fails to address the issue that some jurisdictions allow food waste to
6 be comingled with the green material hauled to Keller Canyon for use as ADC. They
7 argue that food waste is not allowed in the green material used for ADC, and the fact that
8 food waste is permitted to be comingled with some of the green material being taken to
9 Keller Canyon results in food waste being improperly included in ADC.

10
11 10. Petitioners also argue that the LEA's approval of the RDSI Amendment was improper
12 because the RDSI Amendment fails to preclude food waste from inclusion in green
13 material used as ADC. Further, Petitioners allege that approval of the RDSI Amendment
14 was improper because the Amendment does not adequately address the green material
15 processing methods actually being employed at Keller Canyon.

16
17 11. Petitioners argue that the LEA has failed to act as required by law generally because it
18 has allowed Keller Canyon to utilize ADC practices that CalRecycle has not concurred
19 with and because the green material practices at Keller Canyon are inconsistent with state
20 minimum standards.

21
22 12. Finally, Petitioners allege that the RDSI amendment should not have been given a
23 categorical exemption under CEQA.

24 **LEA's Argument**

25 13. The LEA argues that Petitioners' appeal does not raise any substantial issues entitling it
26 to an appeal to CalRecycle. The LEA asks CalRecycle to reconsider its determination that
27 Petitioners' appeal raised substantial issues and CalRecycle's decision to grant an appeal.

1 The LEA supports its argument by arguing that because neither the LEA nor CalRecycle
2 may compel Keller Canyon to submit an application to amend its RDSI, it is not possible
3 for the Petitioners to be granted the relief they request. Additionally, the LEA argues that
4 the CEQA challenge is not reviewable by CalRecycle, the claims regarding the 1999
5 Demonstration Project are time-barred, and the issues raised by Petitioners are frivolous.
6
7 14. The LEA goes on to argue that if CalRecycle determines that there are substantial issues
8 to support the appeal, the LEA acted properly when it approved the RDSI Amendment
9 because the Amendment met the requirements of law and regulation. Specifically, the
10 approval was proper because the RDSI Amendment is consistent with state minimum
11 standards for ADC, it contains a processing method for removing contaminants,
12 including food waste, from green material used as ADC that meets the requirements of
13 law, and CalRecycle did concur with the 1999 Demonstration Project.
14

15 **Real Party in Interest's Argument**

16 15. Real Party in Interest, Keller Canyon, moves to dismiss Petitioners' appeal arguing that
17 Petitioners' claims are time-barred. Keller Canyon argues that Petitioners had to have
18 brought their claim by March 27, 2014, in order for it to have been timely because
19 Petitioners have made the same or similar claims as those alleged in their petition since at
20 least February 25, 2014.
21

22 **DISCUSSION**

23 16. After reviewing the record below and the parties' arguments, I agree with the Hearing
24 Panel's determination on the issues raised in the original petition. I will address each of
25 the Hearing Panel's determinations in turn below after first addressing arguments by the
26
27

1 LEA and Keller Canyon against the underlying merits of Petitioners' appeal to
2 CalRecycle.

3 **Whether Appeal Fails to Raise Substantial Issues/Motion to Dismiss**

4 17. The LEA and Real Party in Interest, Keller Canyon, have both claimed that the
5 Petitioners' appeal to CalRecycle does not raise substantial issues and should not be
6 granted review. Keller Canyon also asserts that all of Petitioners' claims are time-barred
7 and therefore moves for dismissal.
8

9 18. I find that while not every issue raised by Petitioners is necessarily relevant to their
10 challenge to the LEA's approval of the RDSI Amendment, given that some of the
11 allegations raised by the Petitioners require a review of the record in order to make a
12 determination, the question of whether the LEA properly granted the Amendment
13 warrants review. I therefore uphold my previous decision that the appeal raises
14 substantial issues warranting review by CalRecycle.
15

16 19. Secondly, while Keller Canyon is correct that some of Petitioners' claims are time-
17 barred, I disagree that the entirety of Petitioners' underlying petition is time-barred. I
18 agree with the Hearing Panel that any allegation related to an act or omission by the LEA
19 prior to January 14, 2015 is time-barred and rule accordingly below. However, as the
20 Hearing Panel properly determined, allegations related to the LEA's approval of the
21 RDSI Amendment do fall within the statutory time frame. These allegations are therefore
22 addressed in detail below.
23

24 **Inspection and Enforcement Actions**

25 20. The Hearing Panel determined that any of Petitioners' allegations related to enforcement
26 or inspection actions or omissions by the LEA prior to January 14, 2015, were time-
27

1 barred because they occurred prior to the 30-day time limitation for appeals specified in
2 PRC section 44310(a)(1)(B). I agree and uphold the Hearing Panel's determination.

- 3 21. The statutory limitation at issue herein is explicit: "...the person **shall file a request for a**
4 **hearing within 30 days** from the date the person discovered or reasonably should have
5 discovered, the facts on which the allegation is based." (PRC § 44310(a)(1)(B), emphasis
6 added). As such, any allegation related to actions or inactions by the LEA that were
7 known or should have been known to the Petitioners prior to January 14, 2015, were
8 properly excluded.
9

10 **Spreading ADC on Active Face before Taking out Contaminants**

- 11 22. The Hearing Panel next determined that Petitioners did not show by a preponderance of
12 the evidence that the LEA failed to act as required by law or regulation with regard to
13 Petitioners' allegation that the RDSI Amendment should have addressed an isolated
14 incident where Keller Canyon employees were observed removing contaminants from
15 ADC after it had been spread on the active face of the landfill. I agree and uphold the
16 Hearing Panel's determination.
17
18 23. Petitioners allege that there is a current practice at Keller Canyon of removing
19 contaminants from ADC after the ADC has been applied to the active face of the landfill.
20 (See, e.g., Petitioners' Request for Hearing at 8; Petitioners' Notice of Appeal at 9;
21 Petitioners' Opening Brief at 16 and 23.) However, the RDSI Amendment describes the
22 process for removing contaminants as follows: "In accordance with Section 20690(a)(11)
23 [of Title 27 of the California Code of Regulations (CCR)], KCL staff spread and sort the
24 material to remove contaminants and oversized materials.... After the material has been
25 determined to meet the ADC performance requirements, it will be spread over the
26 compacted working face towards the end of the operational day." (Record at 0052-0053.)
27 This provision in the RDSI Amendment meets the standard laid out in section Title 27 of

1 the CCR, section 20690(b)(3)(B), which requires green material used for ADC be
2 processed prior to being applied to the active face.

3 24. It appears that the entire support for Petitioners' argument is the November 19, 2014,
4 inspection report in which an inspector describes Keller Canyon employees removing
5 contaminants from the ADC after it had been applied to the active face. As stated in that
6 report, such an activity is inconsistent with ADC protocols. As the RDSI Amendment is
7 written, such practices continue to be inconsistent. The RDSI Amendment need not
8 describe every possible action that would be a violation of its procedures. Here, the RDSI
9 Amendment describes a procedure for processing ADC that meets the state minimum
10 standards. Any past or future violation of this procedure is outside the scope of this
11 appeal.

12 25. It also bears noting, since so much of the Petitioner's arguments relate to food waste
13 being commingled with green waste, that nothing in that inspection report, either
14 narrative or pictures, indicate any food waste commingled in the green waste ADC. The
15 contaminants being removed that were mentioned were "plastics, papers, children's toys,
16 cardboard, bottles, etc." and then goes on to reiterate the operator's description that "food
17 waste is not allowed ... for ADC use." In fact, the inspection report explicitly states "**No**
18 **food waste was observed in the ADC.**" (emphasis added)

19 **Alternative Processing**

20 26. Next, the Hearing Panel determined that Petitioners were unable to show by a
21 preponderance of the evidence that the LEA failed to act as required by law or regulation
22 with regard to Petitioners' allegation that the alternative processing method for ADC used
23 at Keller Canyon was not approved. I agree and uphold the Hearing Panel's
24 determination.
25

26 27. Petitioners do little in their appeal to challenge the Hearing Panel's determination on this
27 issue. In their opening argument, they state that unprocessed green material is not an

1 approved cover material for ADC and that alternative processing must undergo site
2 specific demonstration projects. (See, e.g., Petitioners' Opening Argument at 17.)
3 Presumably, Petitioners are alleging that the processing method in the RDSI Amendment
4 either improperly allows for the use of unprocessed green material as ADC or that it
5 includes an unapproved alternative processing method for green material used as ADC.
6 Either allegation fails here.
7

8 28. Title 27 of the CCR, section 20690(b)(3)(A) defines processed green material and states
9 that it "shall be ground, shredded, screened, source separated for grain size, or **otherwise**
10 **processed.**" (emphasis added) The regulation doesn't detail what processing methods fall
11 into the "otherwise processed" category, but I agree with the LEA that the processing
12 method described in the RDSI Amendment qualifies.
13

14 29. According to the language in the RDSI Amendment, all green material that comes to
15 Keller Canyon for use as ADC "[r]egardless of the material source" goes through a
16 manual processing procedure to ensure that it meets ADC performance requirements.
17 (Record at 0052 and paragraph 23 above.) No convincing evidence has been presented to
18 show that this processing method does not produce ADC that meets ADC performance
19 requirements.
20

21 30. Accordingly, the Hearing Panel properly determined that the LEA did not fail to act as
22 required by law or regulation when it approved the RDSI Amendment with its processing
23 methods for green material used as ADC.
24

25 **ADC that Contains Food Material as Green Waste**

26 31. The Hearing Panel determined that there was no evidence that Keller Canyon applies
27 green material mixed with food waste and therefore found that Petitioners failed to show

1 that the LEA had failed to act as required by law or regulation with respect to this issue. I
2 agree and uphold the Hearing Panel's determination.

3 32. Petitioners claim that the green material used as ADC at Keller Canyon improperly
4 contains food waste. However, the evidence in the record does not support this assertion.
5 (See paragraph 25 above). It should also be noted that CalRecycle staff has inspected this
6 site seven times in the past 7 years and has never documented food waste being included
7 in the green waste ADC. Further, Petitioners' challenge is to the LEA's approval of the
8 RDSI Amendment, and the RDSI Amendment does not state that food material is
9 included in the green material used as ADC.
10

11 33. Accordingly, the Hearing Panel correctly found that the LEA properly approved the
12 RDSI Amendment because the Amendment does not improperly allow food waste to be
13 mixed with green material used as ADC.
14

15 **RDSI Amendment Should Exclude Food Material from Green Material as Referenced in**
16 **the California Code of Regulations, Title 14, Section 17852(a)(21)**

17 34. Petitioners claim that the Hearing Panel's decision is in error because the RDSI
18 Amendment did not exclude the presence of food waste in green material used as ADC.
19 The Hearing Panel found that Petitioners had failed to show by a preponderance of the
20 evidence that the LEA failed to act as required by law or regulation with regard to this
21 issue. I agree and uphold the Hearing Panel's determination.
22

23 35. There is much discussion in the record and in the arguments presented to me about the
24 definitions of "green material" and "food material" as they relate to ADC. However, as I
25 will describe below, such evidence and arguments are irrelevant to the sufficiency of the
26
27

1 RDSI Amendment. Moreover, the RDSI Amendment does not propose using green waste
2 commingled with food waste.¹

3 36. Under Title 27 of the CCR, section 21665(c), the standard for an LEA's approval of an
4 RDSI Amendment is whether the following conditions are met:

- 5
6 (1) the EA finds that the proposed change is consistent with all applicable
7 certified and/or adopted CEQA documents in that no subsequent EIR or
8 Negative Declaration or supplemental EIR is warranted pursuant to Title
9 14, Chapter 3, Article 11, §§15162 or 15163, or if the EA finds the change
10 being requested is exempt from the requirements of CEQA pursuant to
11 Title 14, Chapter 3, Article 5, §§15060 and 15061;
12 (2) the EA has deemed the proposed change acceptable and consistent
13 with, but not limited to, State minimum standards pursuant to Chapter 3 of
14 this subdivision or applicable minimum standards in Title 14
15 (commencing with §17200), and including financial assurances and
16 operating liability criteria pursuant to Chapter 6 of this subdivision if
17 applicable; and
18 (3) the EA finds the changes do not conflict with the terms and conditions
19 in the current solid waste facilities permit.

20 37. The requirements for the contents of an RDSI regarding ADC are stated in Title 27 of the
21 CCR at section 21600(b)(6)(B):

22 (B) Alternative Daily Cover and Beneficial Reuse--Describe alternative
23 daily cover and beneficial reuse waste types, processing methods,
24 alternative processing or grain size specifications if applicable, operations
25 methods, and applicable engineering, or other standard practices that will
26 be used to ensure compliance with §§20690 and 20695. Estimate the range
27 in tons of these materials that are anticipated to be used, based on waste
types, applicable cover to waste volume ratios, applicable density
conversion factors, engineering specifications, methods to minimize
contamination, or other pertinent information. Materials accepted at the
landfill to be used as alternative daily cover or for beneficial reuse shall be
weighed upon receipt at landfills which have scales but need not be
weighed again prior to placement at the landfill. Appropriate conversion
factors for specific materials based on industry standards are acceptable
for tracking materials received at landfills which do not have scales.

¹ As there does seem to be confusion as to applicable regulatory requirements, and notwithstanding any prior interpretations by the Department, the definitions of "food material" and "green material" in 14 CCR § 17852(a)(20) and (21) apply to compostable materials handling operations and facilities. For purposes of ADC requirements, the parameters of green material are described in 27 CCR § 20690(b)(3)(A).

1 38. As the aforementioned sections demonstrate, there is no requirement for an RDSI

2 Amendment to restate prohibitions that may already be contained in statute or regulation,
3 whether they relate to food material or green material or otherwise. Furthermore, there is
4 no regulatory requirement for an RDSI Amendment to list every possible type of
5 contaminant that will be removed from processed green material. In fact, the description
6 of green material in the RDSI is essentially a direct quote of the definition contained in
7 the regulations.²
8

9
10 39. Petitioners have presented no evidence to demonstrate that the RDSI Amendment was
11 deficient in meeting the aforementioned regulatory conditions for RDSI approval by the
12 LEA. To the extent that the operator may or may not be complying with particular
13 regulatory requirements, that is an enforcement matter for the LEA and is outside the
14 scope of this appeal as it does not relate to the sufficiency of the RDSI Amendment itself.
15

16 **CalRecycle Never Concurred in Writing with the 1999 Demonstration Project**

17 40. The Hearing Panel determined that the issue of CalRecycle concurrence with the 1999
18 Demonstration Project is irrelevant because the project was approved by the LEA prior to
19 January 14, 2015, and is therefore time-barred. The Hearing Panel went on to determine
20 that, in any event, there are no specific requirements that CalRecycle concur in writing
21 and CalRecycle implicitly concurred because it was aware of and relied on the LEA's
22 approval of the 1999 Demonstration Project. I agree and uphold the Hearing Panel's
23 determination.
24

25
26
27 ² "Green material includes, but is not limited to, yard trimmings, untreated wood wastes, paper products, and natural fiber products. Green material does not include treated wood waste (TWW), mixed demolition or mixed construction debris, manure and plant waste from the food processing industry, alone or blended with soil." With the exception of the acronym "TWW," this is a direct quote from Title 27 CCR section 20690(b)(3)(A).

1 41. Because the 1999 Demonstration Project and its subsequent findings were approved by
2 the LEA and known to the Petitioners well before January 14, 2015, any challenge to the
3 LEA's actions in this regard are time-barred.

4 **Revised or Modified Solid Waste Facilities Permit**

5
6 42. The Hearing Panel determined that Petitioners failed to show that the RDSI Amendment
7 required a modification or revision to the Keller Canyon solid waste facility permit
8 because the changes in the RDSI Amendment meet the requirements of 27 CCR section
9 21665(c)(1)-(3). I agree and uphold the Hearing Panel's determination.

10 43. As discussed above, Title 27 of the CCR, section 21665(c) allows an LEA to approve a
11 proposed change in a RDSI as an amendment without revising or modifying the solid
12 waste facilities permit if the amendment meets the following criteria: (1) the LEA finds
13 that the proposed change is consistent with existing CEQA or is exempt from CEQA; (2)
14 the LEA determines that the proposed change is acceptable and consistent with state
15 minimum standards pursuant to Chapter 3 of subdivision 1 of Title 27 or applicable
16 minimum standards in Title 14; and (3) the LEA finds that the proposed change does not
17 conflict with the terms and conditions in the current solid waste facilities permit.

18
19 Petitioners only challenge the first two of these requirements.

20
21 44. Petitioners allege that the categorical exemption under CEQA was improper, but, as
22 discussed below, CEQA review is beyond the scope of a PRC section 44307 hearing.

23 45. Petitioners also allege that the proposed change is not acceptable and is inconsistent with
24 applicable state minimum standards for the following reasons:

- 25 a. Failure to process green material for use as ADC prior to applying the material to
26 the active face of the landfill;
27

- b. Food waste is not an approved ADC material;
 - c. Food waste has not undergone a site specific demonstration project to determine its suitability as ADC;
 - d. The presence of food waste within green material used as ADC violates section 20690(a)(2) of Title 27 because it attracts vectors, causes odors, promotes scavenging, and presents a threat to human health and the environment; and
 - e. The presence of food waste within green material used as ADC is prohibited.
- (Petitioners' Opening Brief at 23.)

46. All of Petitioners' alleged deficiencies with regard to applicable state minimum standards fail for the reasons laid out in previous sections of this decision. As discussed above, the RDSI Amendment is at issue here, and the RDSI Amendment does not allow any of the above-listed practices. It does not allow green material used for ADC to be spread on the active face of the landfill until it has been processed and all contaminants removed. It does not state that food waste is an approved ADC material. As such, the fact that food waste has not undergone a site specific demonstration project to determine its suitability as ADC is irrelevant. And, because the RDSI Amendment does not specify that food waste is included in the green material being used as ADC, the alleged violation of section 20960(a)(2) of Title 27 is unfounded. This issue is also irrelevant to the RDSI Amendment because the Amendment does not state that food waste is being included in green material ADC.

47. Accordingly, I agree with the Hearing Panel that the LEA did not err when it approved the RDSI Amendment without requiring a revision or modification to Keller Canyon's solid waste facility permit.

CEQA Categorical Exemption

48. Finally, the Hearing Panel determined that the categorical exemption under CEQA for the RDSI Amendment was proper because the RDSI Amendment describes existing operations and does not cause a significant impact on the environment. I uphold the Hearing Panel's determination that Petitioners fail on this allegation; however I do so on a different basis.

49. The CEQA allegation fails on its face because CEQA is not a law or regulation challengeable under PRC section 44307. Under PRC section 44307, Petitioners must assert an allegation that the LEA failed to act as required by the laws listed in PRC section 44307, which are Part 4 (commencing with section 43000), Part 5 (commencing with section 45000), or Part 6 (commencing with section 45030) of Division 30 of the Public Resources Code or any regulations promulgated pursuant to those parts. CEQA is not within these code sections.

CLARIFICATIONS

50. As noted above, I found that this appeal raised substantial issues. Given the findings above, I believe it is important to clarify what that does and does not mean. Statute does not provide a definition of "substantial issue" for these appeals. As such, it has been the practice of this Department (formerly the Board) to accept appeals that alleged issues which could potentially be addressed in an appeal if the facts alleged were true. The only appeals that were rejected were those which did not contain a valid appeal on their face. For example, the Board rejected one appeal as not raising substantial issues because the remedy sought (to include inter-county hauling fees in a Solid Waste Facilities Permit) was not something that the Board had the authority to require. The finding that a

1 substantial issue has been raised in an appeal does not mean that the Petitioners had a
2 valid case, or a good case. I wanted to clarify this so that my finding of a substantial issue
3 is not mischaracterized.

4 51. In addition, the Department has been and will continue to be concerned about food waste
5 being mixed with green waste ADC, and this Decision should in no way be interpreted to
6 indicate otherwise. This Decision simply means that there was no evidence presented to
7 show that this practice is authorized or occurring at Keller Canyon Landfill.
8

9
10 **ORDER**

11 Based upon the foregoing, I hereby uphold the LEA's determination that the LEA did not fail to
12 act as required by law or regulation.
13

14
15 This Decision shall be effective upon service.
16

17
18 Dated: 8/10/15

19
20 
21 Elliot Block, Chief Counsel
22 Department of Resources Recycling and Recovery (CalRecycle)
23
24
25
26
27

DECLARATION OF SERVICE BY MAIL

Case Name: **IN THE MATTER OF: APPEAL OF CONTRA COSTA COUNTY LOCAL ENFORCEMENT AGENCY
HEARING PANEL DECISION ON KELLER CANYON LANDFILL, ISSUED MARCH 25, 2015**

I declare:

I am employed in the Legal Office of the California Department of Resources Recycling and Recovery, which is the office of a member of the California State Bar under which member's direction this service is made. My business address is California Department of Resources Recycling and Resources, 1001 I Street, MS 24B, Sacramento, CA 95814. I am 18 years of age or older and not a party to this matter.

On August 10, 2015, I served the attached **DECISION** by placing it in a postpaid, envelope, addressed to the parties hereinafter named, at the place and address stated below, which is the last known address, and by depositing said envelope and contents in the United States Mail at Sacramento, California.

Dana Dean
Law Offices of Dana Dean
283 East H Street
Benicia, CA 94510

Linda Wilcox
Deputy County Counsel
County of Contra Costa
651 Pine Street, 9th Floor
Martinez, CA 94553

Scott W. Gordon
Law Offices of Scott W. Gordon
1990 North California Blvd., Suite 620
Walnut Creek, CA 94596

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on this 10th day of August, 2015, at Sacramento, California.


Declarant



Contra
Costa
County

To: Board of Supervisors
From: William Walker, M.D., Health Services Director
Date: September 22, 2015
Subject: Contra Costa CARES

RECOMMENDATION(S):

1. APPROVE and AUTHORIZE the Health Services Director to establish a Contra Costa CARES program for the purpose of providing primary healthcare services to adults not covered by the Affordable Care Act.
2. APPROVE and AUTHORIZE the Health Services Director or designee to execute a contract with Contra Costa Community Clinic Consortium (Consortium) to accept funds in an amount up to \$500,000 for the purpose of disbursing payment to community clinics providing primary healthcare to enrollees in the Contra Costa CARES program on a per-participant, per-month basis contingent on final approval by local hospitals of their contribution of \$500,000 to this program.
3. APPROVE and AUTHORIZE the Health Services Director to allocate up to \$500,000 in County funding to disperse to participating community clinics on a per-participant, per-month basis for primary care services provided under the Contra Costa CARES program contingent on final approval by local hospitals of their contribution of \$500,000 to this program.
4. AUTHORIZE Contra Costa Health Plan to become the fiscal agent, manage enrollments, and track utilization for the Contra Costa CARES Program.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: William Walker, M.D.
(925) 957-5410

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

FISCAL IMPACT:

100% County General Fund in the amount of \$500,000. Proceeds from the State's one-time payment of the County's State Mandated Funding claim (SB 90) will be utilized.

BACKGROUND:

Passage of the Affordable Care Act (ACA) increased access to health coverage for most low-income adults and children living in Contra Costa County. An estimated 19,000 adults living in Contra Costa are ineligible for Covered California or full-scope Medi-Cal. Contra Costa CARES will provide comprehensive primary health care coverage and medical homes to approximately 3,000 low income, uninsured adults residing in Contra Costa who do not qualify for full-scope Medi-Cal or Covered California. The program will take full advantage of PRUCOL (Permanent Residence Under Color of Law) and other state coverage programs. Eligible participants must apply for state programs such as FamilyPACT, Every Woman Counts, and Breast Cancer Early Detection Program.

The program will cover uninsured adults 19 years of age or older living in Contra Costa who are ineligible for full-scope Medi-Cal or Covered California and whose household gross income does not exceed 138% of the Federal Poverty Level (Household of 1 individual = \$16,105; Family of 4 = \$32,913 annual income).

The program will cover primary care services including basic laboratory and radiology services. Specialty care, dental, vision, emergency care and hospitalization are not covered benefits of the program.

Participating primary care providers are La Clínica de la Raza, LifeLong Health Care, Brighter Beginnings, and Axis Health Care. Providers will receive on a capitated basis \$28.00 per-participant, per-month for a total annual cost of \$336.00. The program anticipates enrolling up to 3,000 individuals. Contra Costa Health Plan will be the fiscal agent and manage the program by issuing membership cards to participants, educate new enrollees about the benefits of the program and the use of their medical home, provide nurse advice services and link enrollment information electronically between primary care providers and hospital emergency room staff.

The proposed Contra Costa CARES program was developed through an extensive planning process representing many local stakeholders over the past year with leadership provided by Contra Costa Health Services, Hospital Council of Northern & Central California and the Contra Costa Community Clinic Consortium including representatives from Kaiser Permanente, Sutter-Delta Medical Center, John Muir Health, La Clínica de la Raza, LifeLong Medical Care, Brighter Beginnings, and Planned Parenthood. The planning process was funded by grants from the Blue Shield of California Foundation and John Muir/Mt. Diablo Community Health Fund.

Local hospital representatives have recommended to their leadership and Boards that they contribute cumulative funding of \$500,000 to establish Contra Costa CARES. The Boards of Directors of local hospitals will be taking action on this recommendation in the near future. There is strong support among the business community (support letter from East Bay Leadership Forum representing Contra Costa businesses and industry), labor community (support letter from Contra Costa Central Labor Council), and numerous community based and faith organizations (support letters attached to this Board Order).

CONSEQUENCE OF NEGATIVE ACTION:

Low income, uninsured adults residing in Contra Costa County who do not qualify for full-scope Medi-Cal or Covered California will not have access to primary, preventative care services and may utilize more expensive hospital emergency departments for their care.

The uninsured have lower screening rates for preventable health conditions and experience poor health outcomes for chronic diseases such as diabetes, hypertension and other conditions. Lack of access to care puts residents at higher risk of serious health problems and premature death.

Infectious diseases such as influenza, measles and tuberculosis may not be detected early before affecting populations living and working in the County. Preventative and primary care are significantly less expensive to administer than it is to treat severe chronic illness and diseases. Having access to primary care not only benefits patients, there is cost-savings to the County's public health, hospital emergency departments, and the general public.

ATTACHMENTS

Contra Costa CARES Program Description

Letter of Support_East Bay Leadership Council

Other Letters of Support for Contra Costa Cares Program #1

Other Letters of Support for Contra Costa Cares Program #2

CONTRA COSTA CARES

A New Program For Uninsured Contra Costa Residents

Contra Costa CARES is a new organized system of care to provide comprehensive primary health care coverage and medical homes to low income, uninsured adults residing in Contra Costa who do not qualify for full-scope Medi-Cal or Covered California. The program will take full advantage of PRUCOL and other state funded programs. It is anticipated that Contra Costa CARES will provide medical homes to an estimated 3,000 uninsured residents living in Contra Costa County out of an approximate 19,000 uninsured individuals who might qualify for this program.

Contra Costa CARES has been developed through an extensive planning process representing many local stakeholders over the last year with leadership provided by Contra Costa Health Services Agency, Hospital Council of Northern & Central California and the Contra Costa Community Clinic Consortium. The Contra Costa Health Services Agency Director along with representatives from Kaiser Permanente Community Benefits, Sutter-Delta Medical Center Community Benefits, John Muir Health Community Benefits and La Clinica de la Raza, LifeLong Medical Care and Planned Parenthood were very active in the discussions. The planning process was funded by grants from the Blue Shield of California Foundation and John Muir/Mt. Diablo Community Health Fund.

ELIGIBILITY CRITERIA:

- Uninsured adults 19 years of age or older living in Contra Costa County
- Not eligible for full-scope Medi-Cal or Covered California
- Household gross income does not exceed 138% of the Federal Poverty Level (Household of 1 individual = \$16,105; Family of 4 = \$32,913 annual income).

PROVIDER NETWORK:

Participating community health centers listed below will be designated as the medical homes and provide primary care services to the participants:

- La Clinica de la Raza with sites in Pittsburg, Oakley and Monument Corridor
- LifeLong Medical Care with sites in Richmond and San Pablo
- Axis Health with sites in Pleasanton and Livermore to serve south county residents
- Brighter Beginnings with sites in Antioch and soon in Richmond.

Planned Parenthood of Northern California with sites in Antioch, Central Richmond, Hilltop Richmond, Pittsburg, San Ramon, El Cerrito, Walnut Creek and Concord will provide limited primary care services for selected primary care conditions. Planned Parenthood will refer clients for more extensive services and complicated conditions to other participating comprehensive primary care providers in the CARES network.

EASY ACCESS AND ON-SITE ENROLLMENT:

The community health center sites that are participating as primary care providers and medical homes for these individuals have committed to providing easy access to services as a result of participation. Enrollment will be done at the participating community health center sites at the time of care and enrollment will continue for up to one year.

CONTRA COSTA CARES

A New Program For Uninsured Contra Costa Residents

continued

PRIMARY CARE SERVICES WILL INCLUDE:

- Assignment of a medical home at one of the participating community health centers
- Physician services and medical office visits at the community health centers
- Integrated mental health services for patients with mild and moderate conditions
- Nurse advice line and member services through Contra Costa Health Plan
- Health evaluations, as well as diagnosis and treatment services
- Adult immunizations
- Basic laboratory services related to primary care
- Basic radiology (x-ray) services at locations contracted with community health centers
- Health education and chronic disease management services
- Pharmacy services and medications provided at certain pharmacies affiliated with the community health centers' 340B program and Patient Assistance Programs.

The program will include primary care services only. Specialty care, dental, vision, emergency care and hospitalization are not covered benefits of the program. Participants needing emergency care or hospitalization may go to any hospital. Most hospitals will screen participants for all eligible programs, including Medi-Cal, charity care and other health insurance and coverage programs, and make referrals to participating community clinics for enrollment in CARES as appropriate.

Certain specialty care services will be provided pro bono by local hospital systems where programs currently exist including Contra Costa Health Services, and by Operation Access.

Mental health services to participants with serious mental illness will be provided by Contra Costa Health Services.

Care and services provided by state coverage programs are not provided under this program and eligible participants must apply for these state programs such as FamilyPACT, Every Woman Counts, and Breast Cancer Early Detection Program.

Program Impact: Contra Costa CARES will measure its impact on the following indicators over a two year period:

- number of individuals enrolled in primary care and with a designated medical home;
- reduction in unnecessary or inappropriate emergency room utilization for enrollees;
- effective hospital transitions to a primary care medical home; and
- other quality measures already in place for Medi-Cal and Covered California.

Program Administrator: The Contra Costa Health Plan (CCHP) will be the fiscal agent and manage the program at no additional charge to the program. CCHP issue membership cards to participants so that they can easily be identified for services, educate new enrollees about the benefits of the program and the use of their medical home, provide nurse advice services and link information electronically between the primary care providers and hospital emergency rooms.

Provider Payments: Primary care providers will be paid on a capitated basis estimated at \$28.00/per participant/per month for a total annual cost of \$336.00/per year per participant to cover primary care services as well as basic laboratory and radiology services. The program anticipates enrolling up to 3,000 individuals for an annual cost in its first year of less than \$1,000,000. Planned Parenthood will be paid on a fee-for-service basis for their limited primary care services. Because participants will have incomes at or below 138% of federal poverty level, no co-payments will be charged for primary care services. There is a co-payment for 340B pharmacy services.

Start Date: The program is anticipated to begin by **NOVEMBER 2015**, pending funding availability

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Emerald HPC International, LLC

President & CEO

Kristin B. Connelly

August 17, 2015

Supervisor John Gioia
Contra Costa County Board of Supervisors
11780 San Pablo
El Cerrito, CA 94530

Dear Supervisor Gioia:

On behalf of the East Bay Leadership Council, I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a health coverage program for residents regardless of their immigration status – Contra Costa CARES.

The East Bay Leadership Council is a private sector, public policy organization that advocates on issues affecting economic vitality and quality of life. Our membership includes leaders from business, industry, education, local government, labor and the nonprofit community.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, an estimated 19,000 individuals will be explicitly ineligible for health coverage due to their immigration status.

People without health care coverage generally wait to seek care until they are seriously ill. In many cases, health problems that could have been avoided with preventative care or treated early for little cost become significant and costly health issues. The impacts of this include increased demand on emergency services and increased costs to our healthcare system. This is not an efficient way of delivering care and creates an unnecessary burden that can be solved by expanding access to healthcare.

The Access to Care Stakeholder Group, made up of public and private health system stakeholders, has been tasked with identifying strategies to support healthcare access for the remaining uninsured. The group has developed a plan for a program – Contra Costa CARES - to meet the health needs of the remaining uninsured. We understand that the current proposal is to fund a one year pilot program, and that future funding will be dependent on the outcome of the 1115 Waiver request. We also encourage the County to carefully analyze the outcomes of the pilot program and take this analysis into account as it considers funding for future years.

Contra Costa County has the opportunity to join other California counties in implementing a model of health coverage for the remaining uninsured and finishing the job the ACA started. Let's build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to healthcare for all.

We urge you to support the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Kristin Connelly
President & CEO



April 28, 2015

The Honorable John Gioia
Contra Costa County Board Supervisor
651 Pine St.
Martinez, CA 94553

Dear Supervisor Gioia,

On behalf of California Family Health Council (CFHC), I am writing to urge your support for expanded health care resources in the upcoming County budget to support a comprehensive health coverage program for Contra Costa County residents regardless of their immigration status.

CFHC champions access to quality sexual and reproductive health care for all. CFHC administers the federal Title X family planning program in California - the largest Title X system in the nation. Title X is the only dedicated source of federal funding for family planning services for low-income and uninsured individuals who may otherwise lack access to health care. More than one million women, men and teens in California receive sexual and reproductive health care each year through CFHC's diverse Title X provider network. Over 75% of Title X patients in California remain uninsured and live at or below 100% of the federal poverty level. There are two organizations receiving Title X funds in Contra Costa County that together deliver care to over 40,000 people across 9 service sites. By investing \$338,155 to support the delivery of family planning services, nearly \$1.4 million is saved in public costs. CFHC also coordinates the Bay Area Women + Health Care Reform Coalition to ensure that women's health is protected and expanded as the region and state's health care system continues to evolve.

Although the Affordable Care Act expanded health coverage to thousands of Contra Costa County residents, the UC Berkeley Labor Center estimates between 80,000 and 98,000 County residents will remain uninsured this year. Of this population, approximately 19,000 are ineligible for health coverage due to their immigration status.

The Access to Care Stakeholder Group, comprised of public and private health system stakeholders, has developed a plan for a program – Contra Costa CARES – to meet the health needs of those left out of coverage options. Contra Costa County has the opportunity to join other California counties in implementing a model of health coverage for the remaining uninsured and finishing the job the ACA started. Together we can build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to health care for all.

We urge you to support the expansion of health care services for the remaining uninsured, regardless of immigration status, in the forthcoming county budget.

Sincerely,

Amy Moy, Vice President of Public Affairs

Southern California
3600 Wilshire Boulevard #600
Los Angeles, CA 90010
213.386.5614

cfhc.org

Northern California
2650 9th Street #110
Berkeley, CA 94710
510.436.0412

shaping the future
of sexual + reproductive health.™

OFFICE OF THE CITY COUNCIL

Jael Myrick, COUNCILMEMBER



Members of the Board of Supervisors Contra Costa County
651 Pine Street
Martinez, CA 94553

Subject: Contra Costa CARES -- SUPPORT

Dear Chair Gioia and Supervisors,

I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a health coverage program for residents regardless of their immigration status – Contra Costa CARES.

As Vice-Mayor of the City of Richmond I have thousands of constituents who are undocumented but provide great value to our community. Moreover, many of these individuals interact with the broader population on a daily basis and if they have no access to health care, they could unintentionally spread disease to others. It is not only morally necessary that health care be available to residents regardless of immigration status, from a public health perspective it is the only practical course of action.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, an estimated 19,000 individuals will be explicitly ineligible for health coverage due to their immigration status.

People without health care coverage generally wait to seek care until they are seriously ill. In many cases, health problems that could have been avoided with preventative care or treated early for little cost become significant and costly health issues. The impacts of this include increased demand on emergency services and increased costs to our healthcare system. This is not an efficient way of delivering care and creates an unnecessary burden that can be solved by expanding access to healthcare. Excluding groups from health coverage based on circumstances like lacking immigration status does not reflect the collective values of Contra Costa County.

Currently, the Access to Care Stakeholder Group, made up of public and private health system stakeholders, have been tasked with identifying strategies to support healthcare access for the remaining uninsured. The group has developed a plan for a program – Contra Costa CARES - to meet the health needs of the remaining uninsured.

Contra Costa County has the opportunity to join other California counties in implementing a model of health coverage for the remaining uninsured and finishing the job the ACA started. Let's build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to healthcare for all.

I urge you to support the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Jael Myrick
Vice-Mayor, City of Richmond



April 29, 2015

John Gioia
District I Supervisor
Contra Costa County

Dear Supervisor Gioia,

On behalf of Alliance of Californians for Community Empowerment (ACCE) I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

Alliance of Californians for Community Empowerment (ACCE) is a multi-racial, democratic, non-profit community organization building power in low to moderate income neighborhoods to stand and fight for social, economic, and racial justice.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, between 80,000 and 98,000 residents are estimated to remain uninsured this year. While these figures will decline to approximately 67,000 and 85,000 in 2019, it is estimated that 19,000 of the remaining insured will be explicitly ineligible for health coverage due to their immigration status.

People without health care coverage generally wait to seek care until they are seriously ill. In many cases, health problems that could have been avoided with preventative care or treated early for little cost become significant and costly health issues. The impacts of this include increased demand on emergency services and increased costs to our healthcare system. This creates an unnecessary burden that can be solved by expanding access to healthcare. Excluding groups from health coverage based on circumstances like lacking immigration status doesn't make sense nor does it reflect the collective values of Contra Costa County.

Currently, the Access to Care Stakeholder Group, made up of public and private health system stakeholders, have been tasked with identifying strategies to support healthcare access for the remaining uninsured. The group has developed a plan for a program – Contra Costa CARES - to meet the health needs of the remaining uninsured.

Contra Costa County has the opportunity to join other California counties in implementing a model of health coverage for the remaining uninsured and finishing the job the ACA started. Let's build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to healthcare for all.

We urge you to support the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

David Sharples
Contra Costa County ACCE

Rev. Keith Archuleta
1883 Mt. Conness Way; Antioch, CA 94531
Phone: 925-584-5944 • Fax: 925.755.9293
E-Mail: Keith@emeraldhpc.com

September 9, 2015

Members of the Board of Supervisors
Contra Costa County
315 Pine Street
Martinez, CA 94553

Subject: Contra Costa CARES -- SUPPORT

Dear Honorable Supervisors:

As a minister and business owner, I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status – Contra Costa CARES.

This support is in collaboration with the Multi-faith ACTION Coalition, which is made up of congregations and members of faith organizations who have joined together to be voices for those living in poverty in Contra Costa County.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, an estimated 19,000 individuals will be explicitly ineligible for health coverage due to their immigration status.

People without health care coverage generally wait to seek care until they are seriously ill. In many cases, health problems that could have been avoided with preventative care or treated early for little cost become significant and costly health issues. The impacts of this include increased demand on emergency services and increased costs to our healthcare system. This is not an efficient way of delivering care and creates an unnecessary burden that can be solved by expanding access to healthcare.

The Access to Care Stakeholder Group, made up of public and private health system stakeholders, has been tasked with identifying strategies to support healthcare access for the remaining uninsured. The group has developed a plan for a program – Contra Costa CARES - to meet the health needs of the remaining uninsured.

I understand that the current proposal is to fund a one year pilot program, and that future funding will be dependent on the outcome of the 1115 Waiver request. We also encourage the County to carefully analyze the outcomes of the pilot program and take this analysis into account as it considers funding for future years.

I believe that access to quality and safe health care services is a basic human right. To that end, I am in strong support of the work of the Access to Care Stakeholder Group and these key facts that have led to my support for the Contra Costa CARES plan:

- It is more humane to provide access to care before health issues escalate and result in emergency room visits, threats to the public health and at the worst death.
- Early healthcare is less expensive and often avoids increased demand on emergency services and increased costs to our healthcare system.
- Excluding groups from health coverage based on circumstances such as immigration status doesn't make sense nor does it reflect the collective values of Contra Costa County.

Contra Costa County has the opportunity to join other California counties in implementing a model of health coverage for the remaining uninsured and finishing the job that ACA started.

Let's build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to healthcare for all.

I urge you to support Contra Costa CARES, the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Sincerely,



Keith Archuleta

Minister of Community Development
Antioch Christian Center

Founder/President
Emerald HPC International, LLC

Members of the Board of Supervisors
Contra Costa County
315 Pine Street
Martinez, CA 94553

Dear Honorable Supervisors:

I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

This support is in collaboration with the Multi-faith ACTION Coalition, which is made up of congregations and members of faith organizations who have joined together to be voices for those living in poverty in Contra Costa County.

I believe that access to quality and safe health care services is a **basic human right**. To that end, I am in strong support of the work of the Access to Care Stakeholder Group and their plan called, Contra Costa CARES.

A couple of key facts have led to my support for the Contra Costa CARES plan.

- It is more humane to provide access to care before health issues escalate and result in emergency room visits, threats to the public health and at the worst, death.
- Early healthcare is less expensive and often avoids increased demand on emergency services and increased costs to our healthcare system.
- Excluding groups from health coverage based on circumstances like lacking immigration status doesn't make sense nor does it reflect the collective values of Contra Costa County.

I urge you to support Contra Costa CARES, the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Name Carol Alexander
Title Pastor of Technology
Complete Address 5221 Central Avenue
Richmond CA 94804

June 27, 2015

Members of the Board of Supervisors
Contra Costa County
315 Pine Street
Martinez, CA 94553

Dear Honorable Supervisors:

I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

This support is in collaboration with the Multi-faith ACTION Coalition, which is made up of congregations and members of faith organizations who have joined together to be voices for those living in poverty in Contra Costa County.

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- Excluding groups from health coverage based on circumstances like lacking immigration status doesn't make sense for public health nor does it reflect the collective values of Contra Costa County.

I urge you to support Contra Costa CARES, the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

*Dr. Connie Frazier, MSW, LCSW
Ruth Community Outreach Ministries, Inc.
~~412~~ 2001 Villa Drive, #101, Bay Point, CA 94565*

Members of the Board of Supervisors
Contra Costa County
315 Pine Street
Martinez, CA 94553

Dear Honorable Supervisors:

I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

This support is in collaboration with the Multi-faith ACTION Coalition, which is made up of congregations and members of faith organizations who have joined together to be voices for those living in poverty in Contra Costa County.

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I urge you to support Contra Costa CARES, the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Name Jim Becker
Title First Congregational Church / Richmond Committee
Complete Address 1906 Parsons Lane
Antioch, CA 94509

Members of the Board of Supervisors
Contra Costa County
315 Pine Street
Martinez, CA 94553

Dear Honorable Supervisors:

I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

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I urge you to support Contra Costa CARES, the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Name Rev. Will McGarvey
Title Exec. Dir. ICCC
Complete Address 1543 Sunnyvale Ave.
Walnut Creek, CA 94597

Members of the Board of Supervisors
Contra Costa County
315 Pine Street
Martinez, CA 94553

Dear Honorable Supervisors:

I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

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I urge you to support Contra Costa CARES, the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Name ROBERTO GARFETZ
Title CO-SENIOR RABBI
Complete Address TEMPLE ISRAEL
345 RISA RD, LAFAYETTE, CA 94549

Members of the Board of Supervisors
Contra Costa County
315 Pine Street
Martinez, CA 94553

Dear Honorable Supervisors:

I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

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I urge you to support Contra Costa CARES, the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Name Charlie Reid

Title Associate Pastor Emeritus, Grace Presbyterian, Walnut Creek

Complete Address 54 Liahona Ct
Pleasant Hill, CA 94523

Members of the Board of Supervisors
Contra Costa County
315 Pine Street
Martinez, CA 94553

Dear Honorable Supervisors:

I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

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I urge you to support Contra Costa CARES, the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Name Denay L. Perkins
Title Sr. Pastor
Complete Address First Baptist Church
204 Odessa Ave., Pittsburg CA 94565

Members of the Board of Supervisors
Contra Costa County
315 Pine Street
Martinez, CA 94553

Dear Honorable Supervisors:

I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

This support is in collaboration with the Multi-faith ACTION Coalition, which is made up of congregations and members of faith organizations who have joined together to be voices for those living in poverty in Contra Costa County.

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I urge you to support Contra Costa CARES, the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Name Barbara Barkley
Title Rev. Dr. - Pastor of Clayton Valley Pres. Church
Complete Address 1578 Kirker Pass Rd
Clayton, Ca 94517

Members of the Board of Supervisors
Contra Costa County
315 Pine Street
Martinez, CA 94553

Dear Honorable Supervisors:

I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

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I urge you to support Contra Costa CARES, the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Name Tania Alexander
Title Church Administrator
Complete Address 5221 Central Ave ; #100
Richmond CA 94804

Members of the Board of Supervisors
Contra Costa County
315 Pine Street
Martinez, CA 94553

Dear Honorable Supervisors:

I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

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I urge you to support Contra Costa CARES, the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Name Ranwa Hammamy
Title Interim Minister
Complete Address 55 Eckley Lane
Walnut Creek, CA 94596

Members of the Board of Supervisors
Contra Costa County
315 Pine Street
Martinez, CA 94553

Dear Honorable Supervisors:

I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

This support is in collaboration with the Multi-faith ACTION Coalition, which is made up of congregations and members of faith organizations who have joined together to be voices for those living in poverty in Contra Costa County.

I believe that access to quality and safe health care services is a **basic human right**. To that end, I am in strong support of the work of the Access to Care Stakeholder Group and their plan called, Contra Costa CARES.

A couple of key facts have led to my support for the Contra Costa CARES plan.

- It is more humane to provide access to care before health issues escalate and result in emergency room visits, threats to the public health and at the worst, death.
- Early healthcare is less expensive and often avoids increased demand on emergency services and increased costs to our healthcare system.
- Excluding groups from health coverage based on circumstances like lacking immigration status doesn't make sense nor does it reflect the collective values of Contra Costa County.

I urge you to support Contra Costa CARES, the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Name Carolyn Krantz
Title Adult Education Provider
Complete Address 2909 Bluebonnet
Antioch Ca 94531

August 26, 2015

Members of the Board of Supervisors
Contra Costa County
315 Pine Street
Martinez, CA 94553

Dear Honorable Supervisors:

I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

This support is in collaboration with the Multi-faith ACTION Coalition, which is made up of congregations and members of faith organizations who have joined together to be voices for those living in poverty in Contra Costa County.

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- Excluding groups from health coverage based on circumstances like lacking immigration status doesn't make sense nor does it reflect the collective values of Contra Costa County.

PLUS - exclusion makes a path for spread of communicable diseases

I urge you to support Contra Costa CARES, the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Name Laura G. Sánchez

Title Member, San Ramon Valley United Methodist Church and

Complete Address 2101 Golden Rain Rd. #6

Walnut Creek, CA 94595

Multi-Faith ACTION Coalition
Homeless + Shelter Task Force

Members of the Board of Supervisors
Contra Costa County
315 Pine Street
Martinez, CA 94553

Dear Honorable Supervisors:

I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

This support is in collaboration with the Multi-faith ACTION Coalition, which is made up of congregations and members of faith organizations who have joined together to be voices for those living in poverty in Contra Costa County.

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I urge you to support Contra Costa CARES, the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Name Barbara E. Johnson
Title MULTIFAITH ACTION COALITION
Complete Address 1106 CHILTERN DR
WALNUT CREEK, CA 94596

April 15, 2015

Members of the Board of Supervisors
Contra Costa County
315 Pine Street
Martinez, CA 94553



*We are neighbors and communities
taking initiatives for our neighbors*

c/o Richmond Community Foundation
1014 Florida Ave., #200
Richmond, CA 94804

Dear Honorable Supervisors:

On behalf of the Multi-faith ACTION Coalition, we are writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

As you probably recall from last year's budget considerations, our Coalition is made up of congregations and members of faith organizations who have joined together to be voices for those living in poverty in Contra Costa County. *We are a Multi-faith coalition of Contra Costa County residents working together to address the root causes of poverty through advocacy and public policy change. Our shared values and our experiences as faith groups providing food, shelter and other services make us strong voices for those in need.*

We have as one of our mission statements a belief that access to quality and safe health care services is a basic human right. Our intent is to work with providers of care, elected representatives, government officials and members of the community to realize this mission. To that end, we are in strong support of the work of the Access to Care Stakeholder Group and their plan called, Contra Costa CARES.

Our mission and yours (as stated in the County's budget for 2015-16) are very similar.

The mission of Contra Costa Health Services is to care for and improve the health of all people in Contra Costa County, **with special attention to those who are most vulnerable to health problems (emphasis added in bold).** For low-income and uninsured residents of Contra Costa, CCHS is the safety net, providing medical services not available to them elsewhere.

A couple of key facts have led to our support for the Contra Costa CARES plan.

- It is more humane to provide access to care before health issues escalate and result in emergency room visits, threats to the public health and at the worst, death.
- Early healthcare is less expensive and often avoids increased demand on emergency services and increased costs to our healthcare system.
- Excluding groups from health coverage based on circumstances like lacking immigration status doesn't make sense nor does it reflect the collective values of Contra Costa County.

We urge you to support Contra Costa CARES, the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

A handwritten signature in dark ink, appearing to read "Jasmine Tarkoff".

Jasmine Tarkoff
Co-Convener

A handwritten signature in dark ink, appearing to read "Will McGarvey".

Rev. Will McGarvey
Co-Convener



April 27th, 2015

John Gioia
District 1 Supervisor
Contra Costa County
11780 San Pablo Avenue, Suite D
El Cerrito, CA 94530

Candace Andersen
District 2 Supervisor
Contra Costa County
309 Diablo Road
Danville, CA 94526

Mary Piepho
District 3 Supervisor
Contra Costa County
3361 Walnut Boulevard, Suite 140
Brentwood, CA 94513

Karen Mitchoff
District 4 Supervisor
Contra Costa County
2151 Salvio Street, Suite R
Concord, CA 94520

Federal D. Glover
District 5 Supervisor
Contra Costa County
315 East Leland Road
Pittsburg, CA 94565

Dear Supervisors,

On behalf of United Way of the Bay Area, I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

United Way of the Bay Area works to connect low-income communities with the services and supports they need to lift themselves out of poverty and achieve economic success. Access to high quality and affordable health care is an essential part of this mix, allowing low-income families to pursue happy, healthy, and productive lives.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, between 80,000 and 98,000 residents are estimated to remain uninsured this year. While these figures will decline to approximately 67,000 and 85,000 in 2019, it is estimated that 19,000 of the remaining uninsured will be explicitly ineligible for health coverage due to their immigration status.

People without health care coverage generally wait to seek care until they are seriously ill. In many cases, health problems that could have been avoided with preventative care or treated early for little cost become significant and costly health issues. The impacts of this include increased demand on emergency services and increased costs to our healthcare system. This creates an unnecessary burden that can be solved by expanding access to healthcare. Excluding groups from health coverage based on circumstances like lacking immigration status doesn't make sense nor does it reflect the collective values of Contra Costa County.

Currently, the Access to Care Stakeholder Group, made up of public and private health system stakeholders, have been tasked with identifying strategies to support healthcare access for the remaining uninsured. The group has developed a plan for a program – Contra Costa CARES - to meet the health needs of the remaining uninsured.

Contra Costa County has the opportunity to join other California counties in implementing a model of health coverage for the remaining uninsured and finishing the job the ACA started. Let's build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to healthcare for all.

We urge you to support the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Anne Wilson, Chief Executive Officer



April 24, 2015

Supervisor John Gioia, Chair
Board of Supervisors
Contra Costa County
1780 San Pablo Ave. Suite D
El Cerrito, CA 94530

SUBJECT: Contra Costa CARES – SUPPORT

Dear Supervisor Gioia:

On behalf of the Community Clinic Consortium, I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

Dedicated to promoting and improving the health and quality of life for all Contra Costa and Solano County residents, the Community Clinic Consortium provides representation and support to 26 non-profit community health center sites and their patients in both counties. The Consortium works at the county, state and federal government levels to advocate for funding and policy changes that help health centers meet the diverse health care and social service needs of almost 200,000 patients annually. Additionally, the Consortium supports the involvement of communities in developing a responsive health care system and articulating and advancing the role of community health centers.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, between 80,000 and 98,000 residents are estimated to remain uninsured this year. While these figures will decline to approximately 67,000 and 85,000 in 2019, it is estimated that 19,000 of the remaining insured will be explicitly ineligible for health coverage due to their immigration status.

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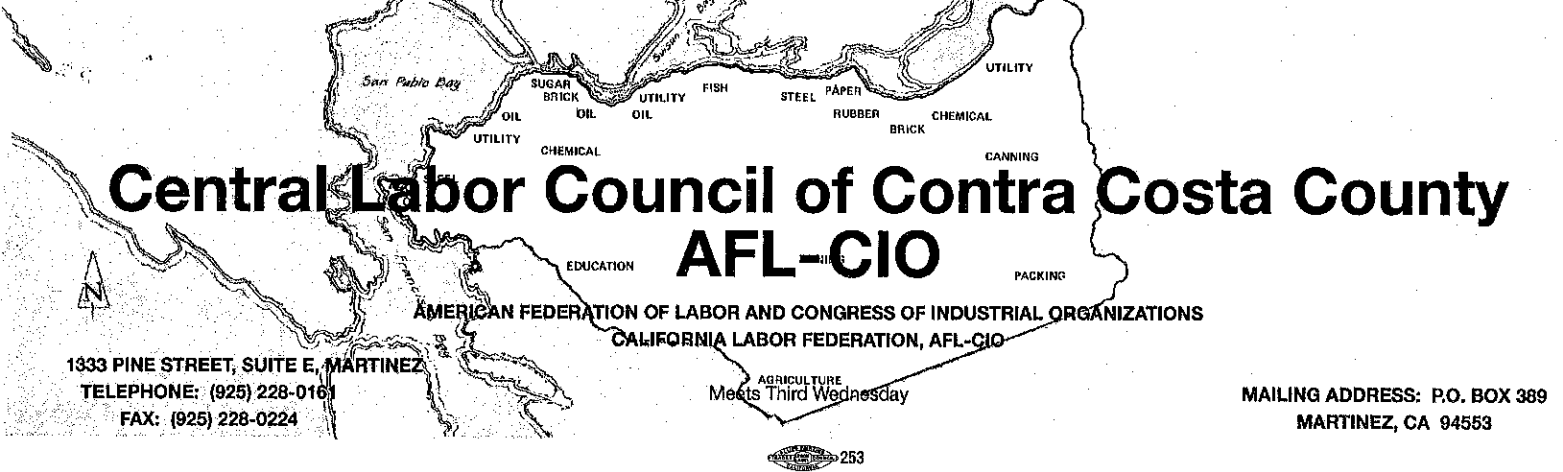
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Contra Costa County has the opportunity to join other California counties in implementing a model of health coverage for the remaining uninsured and finishing the job the ACA started. Let's build a healthier, stronger and more prosperous Contra Costa County by ensuring access to healthcare for all.

We urge you to support the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Alvaro Fuentes
Executive Director



May 7, 2015

John Gioia, Chair
Contra Costa County Board of Supervisors
651 Pine Street
Martinez, California 94553

Dear Chairman Gioia:

On behalf of the Contra Costa AFL-CIO Labor Council, I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

The Contra Costa AFL-CIO Labor Council represents 65 affiliated unions and 80,000 working families.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, between 80,000 and 98,000 residents are estimated to remain uninsured this year. While these figures will decline to approximately 67,000 and 85,000 in 2019, it is estimated that 19,000 of the remaining insured will be explicitly ineligible for health coverage due to their immigration status.

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Contra Costa County has the opportunity to join other California counties in implementing a model of health coverage for the remaining uninsured and finishing the job the ACA started. Let's build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to healthcare for all.

We urge you to support the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

A handwritten signature in black ink, appearing to read 'MH', followed by a long horizontal flourish.

Margaret Hanlon-Gradie
Executive Director
Contra Costa AFL-CIO Labor Council

A handwritten signature in black ink, appearing to read 'SO', followed by a long horizontal flourish.

Steve Older
President
Contra Costa AFL-CIO Labor Council

April 29, 2015

John Gioia
District I Supervisor
Contra Costa County

Dear Supervisor Gioia,

On behalf of California Partnership I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

California Partnership is a statewide coalition of community-based groups, organizing and advocating for the programs and policies that reduce and end poverty.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, between 80,000 and 98,000 residents are estimated to remain uninsured this year. While these figures will decline to approximately 67,000 and 85,000 in 2019, it is estimated that 19,000 of the remaining insured will be explicitly ineligible for health coverage due to their immigration status.

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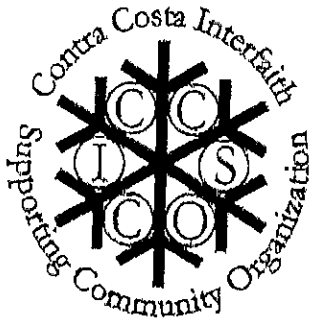
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Contra Costa County has the opportunity to join other California counties in implementing a model of health coverage for the remaining uninsured and finishing the job the ACA started. Let's build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to healthcare for all.

We urge you to support the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Pete Woiwode
California Partnership



April 29, 2015

John Gioia
District I Supervisor
Contra Costa County

Dear Supervisor Gioia,

On behalf of CCISCO (Contra Costa Interfaith Supporting Community Organization) I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

CCISCO helps everyday people win extraordinary victories by providing a vehicle for them to speak, act and engage in the public arena. CCISCO is committed to building civic engagement and increasing public participation by those most affected by injustice in Contra Costa County. We are a multi-racial, multi-generational, interfaith federation of 20 member institutions representing 38,000 families across our region.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, between 80,000 and 98,000 residents are estimated to remain uninsured this year. While these figures will decline to approximately 67,000 and 85,000 in 2019, it is estimated that 19,000 of the remaining insured will be explicitly ineligible for health coverage due to their immigration status.

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Currently, the Access to Care Stakeholder Group, made up of public and private health system stakeholders, have been tasked with identifying strategies to support healthcare access for the remaining uninsured. The group has developed a plan for a program – Contra Costa CARES - to meet the health needs of the remaining uninsured.

Contra Costa County has the opportunity to join other California counties in implementing a model of health coverage for the remaining uninsured and finishing the job the ACA started. Let's build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to healthcare for all.

We urge you to support the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Cristina Hernandez
Contra Costa Interfaith Supporting Community Organization



MONUMENT IMPACT

Together, building a stronger community

1760 Clayton Road
Concord, CA 94520
Phone: (925) 682-8248
Fax: (925) 222-3623

May 5, 2015

Members of the Board of Supervisors Contra Costa County
315 Pine Street
Martinez, CA 94553

Subject: Contra Costa CARES -- SUPPORT

Dear Supervisors:

On behalf of Monument Impact, I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

Monument Impact is a non-profit organization that works with low income community members to offer opportunities to improve their quality of life. This opportunity for expanded healthcare resources will further our goals of developing a healthy and safe community. Monument Impact actively engages with the Monument Community in Concord to provide training and tools, in order for people to become economically self-sufficient, healthy and safe, civically engaged, connected to each other, and committed to lifelong learning.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, between 80,000 and 98,000 residents are estimated to remain uninsured this year. While these figures will decline to approximately 67,000 and 85,000 in 2019, it is estimated that 19,000 of the remaining insured will be explicitly ineligible for health coverage due to their immigration status.

Almost every day we see how people without health care coverage often wait to seek care until they are seriously ill. In many cases, health problems that could have been avoided with preventative care or treated for little cost become significant and costly health issues. The impacts of this include increased demand on emergency services and increased costs to our healthcare system. This creates an unnecessary burden that can be solved by expanding access to healthcare. Excluding groups from health coverage based on circumstances like lacking immigration status doesn't make sense, nor does it reflect the collective values of the County.

The Access to Care Stakeholder Group, made up of public and private health system stakeholders, have been tasked with identifying strategies to support healthcare access for the remaining uninsured. The group has developed a plan for a program – Contra Costa CARES – to meet the health needs of the remaining uninsured.

Contra Costa County has the opportunity to join other California counties in implementing a model of health coverage for the remaining uninsured and finishing the job the ACA started. Let's build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to healthcare for all.

We urge you to support the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Mike Van Hofwegen

Executive Director



CHILD ABUSE
PREVENTION
COUNCIL of
CONTRA COSTA COUNTY

May 5, 2015

Members of the Board of Supervisors Contra Costa County
315 Pine Street
Martinez, CA 94553

Subject: Contra Costa CARES – SUPPORT

Dear Supervisor

On behalf of Child Abuse Prevention Counsel, I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

At Child Abuse Prevention Council of Contra Costa County, our mission is to "prevent the abuse and neglect of our nation's children" and includes all forms of abuse and neglect, whether physical, sexual, educational, or emotional or peer-peer abuse.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, between 80,000 and 98,000 residents are estimated to remain uninsured this year. While these figures will decline to approximately 67,000 and 85,000 in 2019, it is estimated that 19,000 of the remaining insured will be explicitly ineligible for health coverage due to their immigration status.

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Currently, the Access to Care Stakeholder Group, made up of public and private health system stakeholders, have been tasked with identifying strategies to support healthcare access for the remaining uninsured. The group has developed a plan for a program – Contra Costa CARES – to meet the health needs of the remaining uninsured.

Contra Costa County has the opportunity to join other California counties in implementing a model of health coverage for the remaining uninsured and finishing the job the ACA started. Let's build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to healthcare for all.

We urge you to support the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,


Carol Carrillo

Executive Director, MSW
Child Abuse Prevention
Council of Contra Costa
925-798-0546

STATE CAPITOL
P.O. BOX 942849
SACRAMENTO, CA 94249-0015
(916) 319-2015
FAX (916) 319-2116
DISTRICT OFFICE
1515 CLAY STREET, SUITE 2201
OAKLAND, CA 94612
(510) 286-1400
FAX (510) 286-1408

Assembly California Legislature



TONY THURMOND
ASSEMBLY MEMBER, FIFTEENTH DISTRICT

COMMITTEES
BUDGET
EDUCATION
HEALTH
HUMAN SERVICES
LABOR AND EMPLOYMENT

SUB COMMITTEES
CHAIR: BUDGET SUBCOMMITTEE NO. 1
ON HEALTH AND HUMAN SERVICES
BUDGET SUBCOMMITTEE NO. 8 ON
BUDGET PROCESS OVERSIGHT AND
PROGRAM EVALUATION

May 5, 2015

Members of the Board of Supervisors Contra Costa County
315 Pine Street
Martinez, CA 94553

Dear President John Gioia:

I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, between 80,000 and 98,000 residents are estimated to remain uninsured this year. While these figures will decline to approximately 67,000 and 85,000 in 2019, it is estimated that 19,000 of the remaining insured will be explicitly ineligible for health coverage due to their immigration status.

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Currently, the Access to Care Stakeholder Group, made up of public and private health system stakeholders, have been tasked with identifying strategies to support healthcare access for the remaining uninsured. The group has developed a plan for a program – Contra Costa CARES - to meet the health needs of the remaining uninsured.

Contra Costa County has the opportunity to jointly participate with other California counties and local hospitals in implementing a model of health coverage for the remaining uninsured and finishing the job the ACA started. Let's build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to healthcare for all.

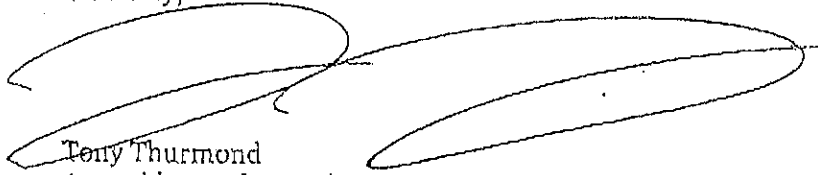


Printed on Recycled Paper

I urge you to support the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Sincerely,



Tony Thurmond
Assemblymember, 15th District



Loni Hancock SENATOR, NINTH DISTRICT

April 27, 2015

Contra Costa County Board of Supervisors
Chair John Gioia
Vice-Chair Candace Andersen
Supervisors Federal Glover, Karen Mitchoff, and Mary Piepho
651 Pine St., Room 107
Martinez, CA 94553

RE: Contra Costa CARES – Health Access to the Uninsured

Dear Chair and Members of the Board:

Of the many challenges our counties face, one of the most important is the access to health coverage and care, something that Contra Costa County has worked very hard to address over the years. As you know, the expansion of programs under the Patient Protection and Affordable Care Act has assisted many residents in receiving the care they need, but unfortunately many others remain uninsured and lack access to care.

The Contra Costa County Access to Care Stakeholder Group, made up of public and private health system stakeholders, has developed a plan for a program – Contra Costa CARES. This new organized system of care would provide comprehensive primary health care coverage and medical homes to low income, uninsured adults who do not currently qualify for full-scope Medical or Covered California.

I am writing to respectfully encourage you to consider the recommendations made by the Access to Care Stakeholder Group which has identified strategies to support healthcare access for the remaining uninsured residents in Contra Costa County.

Contra Costa County has the opportunity to join other California counties in implementing a model of health coverage for the remaining uninsured. Additionally, this is a great opportunity to support the expansion of health care services for the remaining uninsured regardless of immigration status. As you move forward in your budget conversations, I kindly request that you consider the recommendations outlined in the Contra Costa CARES program.

Thank you for all you do and for your consideration of all aspects of this important program. Please do not hesitate to contact me through my District Office if I may be of any assistance.

Sincerely,

LONI HANCOCK
Senator

lh/ic



April 27, 2015

Members of the Board of Supervisors
Contra Costa County
315 Pine Street
Martinez, CA 94553

SUBJECT: Contra Costa CARES – SUPPORT

Dear Supervisor:

On behalf of California Primary Care Association, I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

CPCA represents over 1100 nonprofit community clinics and health centers (CCHC) in California that provide comprehensive quality health care services to low-income, uninsured, and underserved Californians. CPCA members include Federally Qualified Health Centers (FQHC), as well as other licensed community and free clinics throughout the state. One in seven Californians are served by CCHCs, a patient base of approximately 5.6 million patients annually, and of those, 3.8 million Californians are seen at FQHCs.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, between 80,000 and 98,000 residents are estimated to remain uninsured this year. While these figures will decline to approximately 67,000 and 85,000 in 2019, it is estimated that 19,000 of the remaining insured will be explicitly ineligible for health coverage due to their immigration status.

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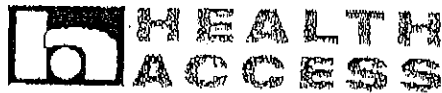
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We urge you to support the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

A handwritten signature in black ink, reading "Carmela Castellano Garcia". The signature is fluid and cursive, with the first name "Carmela" being the most prominent.

Carmela Castellano Garcia, Esq.
President and CEO



C A L I F O R N I A

BOARD OF DIRECTORS

NANCY "NAN" BRASHER
CA Alliance for Retired Americans

ADRIENNE BAUSLEY
CA Black Women's Health Project

KATHY KO CHIN
Asian & Pacific Islander
American Health Forum

LORI EASTERLING
CA Teachers Association

STEWART FERRY
National MS Society-
MS California Action Network

ROMA GUY
CA Women's Agenda

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Children Now

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Alliance of Californians
for Community Empowerment

JOSHUA PECHTALT
CA Federation of Teachers

WILLIE PELOTE
AFSCME

ART PULASKI
CA Labor Federation

EMILY RUSCH
CALPIRG

THOMAS SAENZ
Mexican American
Legal Defense & Education Fund

CARY SANDERS
CA Pan-Ethnic Health Network

REV. RICK SCHLOSSER
CA Council of Churches

RESHMA SHAMASUNDER
CA Immigrant Policy Center

JOAN PIRKLE SMITH
Americans for Democratic Action

HORACE WILLIAMS
CA Black Health Network

JON YOUNGDAHL
SF III State Council

ANTHONY WRIGHT
Executive Director

ORGANIZATION USED
FOR IDENTIFICATION PURPOSES

Date: Tuesday, April 28, 2015

John Gioia

District I Board of Supervisor
Contra Costa County
11780 San Pablo Avenue
Suite D
El Cerrito, CA 94530

Re: Contra Costa Cares: Support

Dear Supervisor Gioia,

On behalf of Health Access California, I am writing to urge support of the proposed Contra Costa Cares initiative, a new program that would expand health care to all uninsured residents regardless of their immigration status.

Health Access California is a statewide consumer health advocacy coalition. Our mission is to obtain quality, affordable and accessible health coverage for all Californians.

Since the passage of the Patient Protection and Affordable Care Act (ACA) in 2010, our coalition has actively engaged in the implementation of health reform here in California. Because of the ACA, over four million Californians have gained access to health coverage and new essential benefits. While we in California should be proud of this drastic reduction of our uninsured population, we remain deeply concerned that current policy prohibits many undocumented Californians from accessing the new coverage options under the ACA.

Last fall 2014, Health Access California conducted a survey of California county health departments, which focused on eligibility and enrollment for county programs. One of our key findings was that the 2014 implementation of the Affordable Care Act, namely, the launch of Covered California and the Medi-Cal expansion, significantly reduced the number of Californians on county indigent care programs. Given this reduction, we recommended that counties needed to re-orient their programs to helping the remaining uninsured that is ineligible for Covered California or Medi-Cal.

According to a recent study from the UC Berkeley Labor Center, between 80,000 to 98,000 Contra Costa residents will remain uninsured this year. These figures will decline as more Contra Costa residents enroll into Covered California or Medi-Cal. However, an estimated 19,000 of the remaining insured will be ineligible for health coverage due to their immigration status.

As cited in our report, Contra Costa was one of three counties, along with Sacramento and Yolo that made tough cuts to eliminate care for undocumented residents in 2009 during the economic crisis. Now that we are past that crisis, Supervisors in those other counties are also looking to revisit that decision, especially with the new opportunities and possibilities under the ACA. Given our survey of the state, we believe Contra Costa

SACRAMENTO: 1127 11th Street, Suite 234, Sacramento, CA 95814 PH: 916.497.0923, FAX: 916.497.0921
OAKLAND: 1330 Broadway, Suite 811, Oakland, CA 94612 PH: 510.873.8787, FAX: 510.873.8780
LOS ANGELES: 1930 Wilshire Blvd., Suite 916, Los Angeles, CA 90057 PH: 213.413.3587, FAX: 213.413.8631
www.health-access.org

could be joined by at least one and possibly several other counties in extending health care to all their indigent residents this year.

We appreciate the work by the Access to Care Stakeholder Group, and the public and private health providers who participated, in coming up with the Contra Costa Cares proposal. Having worked and analyzed indigent care programs and proposals in other counties, from Los Angeles to Alameda, we understand the fiscal and program issues and constraints. The Contra Costa Cares proposal is an important step forward that we support, we also urge the Board to take another look at both enrollment estimates and financing and see if there is any way for the program to include specialty care as well—and if not this year, then to include it in future years.

A recent UCLA Center for Health Policy Research report states that undocumented Californians comprise 9% of our state's workforce. They are our farmer workers, small business owners, day laborers, among many other blue and white-collar workers. Undocumented Contra Costa residents are an integral part of our labor force and community—they need to be included in our health system as well. It does not reflect our values, improve public health, advance economic security, or embody common good to deny health access to thousands of undocumented Contra Costa residents.

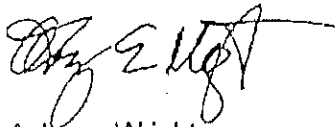
We urge you to support and prioritize the Contra Costa Cares program in this year's budget.

Thank you for your considerations.

Sincerely,



Rose Augustine
Northern California Regional Organizer



Anthony Wright
Executive Director

April 17, 2015

John Goia
District One Supervisor
Contra Costa County
11780 San Pablo Avenue, Suite D
El Cerrito, CA 94530

Dear Supervisor Goia:

On behalf of SEIU Local 1021, I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

Local 1021 represents over 55,000 members in Northern California, and over 2,000 in Contra Costa County, including several bargaining units of Contra Costa County workers. We represent primarily public sector workers, along with some employees of non-profit organizations. Local 1021 partners with community groups to support campaigns for Minimum Wage, Fast Food workers issues, healthcare issues, immigration rights issues, and other campaigns.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, between 80,000 and 98,000 residents are estimated to remain uninsured this year. While these figures will decline to approximately 67,000 and 85,000 in 2019, it is estimated that 19,000 of the remaining insured will be explicitly ineligible for health coverage due to their immigration status.

People without health care coverage generally wait to seek care until they are seriously ill. In many cases, health problems that could have been avoided with preventative care or treated early for little cost become significant and costly health issues. The impacts of this include increased demand on emergency services and increased costs to our healthcare system. This creates an unnecessary burden that can be solved by expanding access to healthcare. Excluding groups from health coverage based on circumstances like lacking immigration status doesn't make sense nor does it reflect the collective values of Contra Costa County.

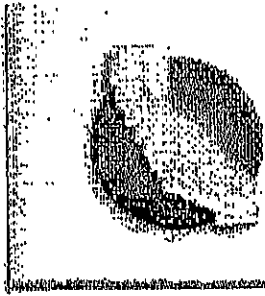
Currently, the Access to Care Stakeholder Group, made up of public and private health system stakeholders, have been tasked with identifying strategies to support healthcare access for the remaining uninsured. The group has developed a plan for a program – Contra Costa CARES - to meet the health needs of the remaining uninsured.

Contra Costa County has the opportunity to join other California counties in implementing a model of health coverage for the remaining uninsured and finishing the job the ACA started. Let's build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to healthcare for all.

We urge you to support the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Pete Albert
Co-Chair, East Bay COPE Committee
SEIU Local 1021



Health Care for All - California

SINGLE-PAYER UNIVERSAL HEALTH CARE

Health Care for All - Contra Costa County

April 19, 2015

Hon. John Gioia
District I Supervisor
Contra Costa County
651 Pine St.
Martinez, CA 94553

Dear Supervisor Gioia:

On behalf of Health Care for All - Contra Costa County, I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

Health Care for All - Contra Costa County, is a chapter of Health Care for All - California, a statewide non-partisan organization of volunteers. Health Care for All - California (HCA) is dedicated to achieving a universal health care system through single-payer public financing. Our goal is that all California residents will have access to comprehensive, high quality health care.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, between 80,000 and 98,000 residents are estimated to remain uninsured this year. While these figures will decline to approximately 67,000 and 85,000 in 2019, it is estimated that 19,000 of the remaining insured will be explicitly ineligible for health coverage due to their immigration status.

People without health care coverage generally wait to seek care until they are seriously ill. In many cases, health problems that could have been avoided with preventative care or treated early for little cost become significant and costly health issues. The impacts of this include increased demand on emergency services and increased costs to our healthcare system. This creates an unnecessary burden that can be solved by expanding access to healthcare. Excluding groups from health coverage based on circumstances like lacking immigration status doesn't make sense nor does it reflect the collective values of Contra Costa County.

Currently, the Access to Care Stakeholder Group, made up of public and private health system stakeholders, have been tasked with identifying strategies to support healthcare access for the remaining uninsured. The group has developed a plan for a program - Contra Costa CARES - to meet the health needs of the remaining uninsured.

Contra Costa County has the opportunity to join other California counties in implementing a model of health coverage for the remaining uninsured and finishing the job the ACA started. Let's build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to healthcare for all.

We urge you to support the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Marian Shostrom
Janet Thomas
Co-Chairs, HCA-CCC



CPEHN

California Pan-Ethnic Health Network

April 21, 2015

Members of the Board of Supervisors
Contra Costa County
315 Pine Street
Martinez, CA 94553

Dear Board of Supervisors:

On behalf of the California Pan-Ethnic Health Network (CPEHN), I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

CPEHN is a statewide multicultural health advocacy organization working to improve the health of communities of color in California. CPEHN's mission is to improve access to health care and eliminate health inequities by advocating for public policies and sufficient resources to address the health needs of our communities.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, between 80,000 and 98,000 residents are estimated to remain uninsured this year. While these figures will decline to approximately 67,000 and 85,000 in 2019, it is estimated that 19,000 of the remaining insured will be explicitly ineligible for health coverage due to their immigration status.

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Currently, the Access to Care Stakeholder Group, made up of public and private health system stakeholders, have been tasked with identifying strategies to support health care access for the remaining uninsured. The group has developed a plan for a program – Contra Costa CARES - to meet the health needs of the remaining uninsured.

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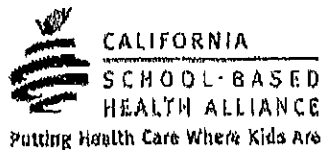
Contra Costa County has the opportunity to join other California counties in implementing a model of health coverage for the remaining uninsured and finishing the job the ACA started. Let's build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to health care for all.

We urge you to support the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

A handwritten signature in black ink, appearing to read "Caroline Sanders", with a stylized flourish at the end.

Caroline B. Sanders
Director of Policy Analysis and the Having Our Say Coalition



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Student Medical Services

Executive Director
Serona Clayton, PhD

April 2, 2015

Supervisor John Gioia, Chair
Board of Supervisors
Contra Costa County
11780 San Pablo Ave., Suite D
El Cerrito, CA 94530

Dear Supervisor Gioia,

On behalf of the California School-Based Health Alliance, I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

The California School-Based Health Alliance is the statewide organization leading the movement to put health care where kids are – in school. The mission of the organization is to improve the health and academic success of children and youth by advancing health services in schools. To that end, we work closely with schools and school-based health centers in West Contra Costa Unified School District and we see the enormous benefits that health care access plays for children and youth.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, between 80,000 and 98,000 residents are estimated to remain uninsured this year. While these figures will decline to approximately 67,000 and 85,000 in 2019, it is estimated that 19,000 of the remaining insured will be explicitly ineligible for health coverage due to their immigration status.

People without health care coverage generally wait to seek care until they are seriously ill. In many cases, health problems that could have been avoided with preventative care or treated early for little cost, become significant and costly health issues. The impacts of this include lost productivity, increased demand on emergency services, and increased costs to our healthcare system. This creates an unnecessary burden that can be solved by expanding access to healthcare. The ailments that a person without access to care faces are ones we all face and these groups are just as much part of our community as anyone else. Thus, excluding groups from health coverage plans based on circumstances like lacking immigration status doesn't make sense nor does it

reflect the collective values of Contra Costa County. Communities live, work and play together as one community.

Currently, the Access to Care Stakeholder Group, made up of public and private health system stakeholders, have been tasked with identifying strategies to support healthcare access for the remaining uninsured. Stakeholders engaged in this process include Contra Costa Health Plan, Contra Costa Health Services, Employment and Human Services Department, John Muir Health, Kaiser Permanente and community health centers in Contra Costa County. The group is currently exploring options and program designs to meet the needs of the remaining uninsured.

Contra Costa County has the opportunity to lead California counties in developing and implementing a model of healthcare coverage for the remaining uninsured and finishing the job the ACA started. Let's build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to healthcare for all.

For these reasons, we urge you to support the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Sincerely,



Serena Clayton, Ph.D.
Executive Director
California School-Based Health Alliance

CC: Supervisor Candace Andersen
Supervisor Mary Piepho
Supervisor Karen Mitchoff
Supervisor Federal Glover

May 6, 2015

Members of the Board of Supervisors Contra Costa County
315 Pine Street
Martinez, CA 94553

Subject: Contra Costa CARES -- SUPPORT

Dear Supervisor John M. Gioia,

On behalf of California Immigrant Policy Center, I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

The California Immigrant Policy Center is a non-partisan, non-profit statewide organization founded in 1996. Our statewide organization helps to develop and support pro-immigrant public policy that is aimed at improving the quality of life for all Californians.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, between 80,000 and 98,000 residents are estimated to remain uninsured this year. While these figures will decline to approximately 67,000 and 85,000 in 2019, it is estimated that 19,000 of the remaining insured will be explicitly ineligible for health coverage due to their immigration status.

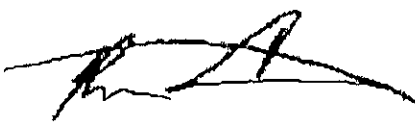
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Currently, the Access to Care Stakeholder Group, made up of public and private health system stakeholders, have been tasked with identifying strategies to support healthcare access for the remaining uninsured. The group has developed a plan for a program – Contra Costa CARES - to meet the health needs of the remaining uninsured.

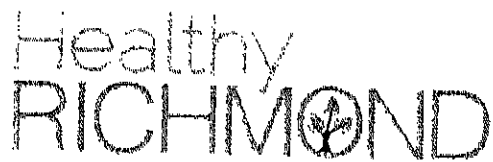
Contra Costa County has the opportunity to join other California counties in implementing a model of health coverage for the remaining uninsured and finishing the job the ACA started. Let's build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to healthcare for all.

We urge you to support the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,



Ronald Coleman
Government Affairs Manager



May 6th, 2015

Members of the Board of Supervisors Contra Costa County
315 Pine Street
Martinez, CA 94553

Subject: Contra Costa CARES -- SUPPORT

Dear Supervisors Gioia, Peipho, Anderson, and Mitchoff,

On behalf of the Healthy Richmond Executive Committee, I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

Healthy Richmond is committed to supporting the Richmond community in improving health equity and access for residents. The Access to Quality Healthcare action team strives to create partnerships that ensure that those who are eligible for Medi-Cal or Covered CA are enrolled, and advocate for those who remain uninsured by connecting them to a health home. Together, we have hosted several enrollment workshops, town hall meetings, health fairs, and supported ongoing advocacy and outreach to create a health safety net serving all Contra Costa residents. Given that many residents move between the cities within the county, a program that serves all of Contra Costa is important.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, between 80,000 and 98,000 residents are estimated to remain uninsured this year. While these figures will decline to approximately 67,000 and 85,000 in 2019, it is estimated that 19,000 of the remaining insured will be explicitly ineligible for health coverage due to their immigration status.

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Currently, the Access to Care Stakeholder Group, made up of public and private health system stakeholders, have been tasked with identifying strategies to support healthcare access for the remaining uninsured. The group has developed a plan for a program – Contra Costa CARES - to meet the health needs of the remaining uninsured.

Contra Costa County has the opportunity to join other California counties in implementing a model of health coverage for the remaining uninsured and finishing the job the ACA started. Let's build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to healthcare for all.

We urge you to support the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Healthy Richmond Executive Committee

Planned Parenthood Northern California

May 20, 2015

Members of the Board of Supervisors Contra Costa County
651 Pine Street
Martinez, CA 94553**Subject: Contra Costa CARES -- SUPPORT**

Dear Supervisor:

On behalf of Planned Parenthood Northern California, I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, between 80,000 and 98,000 residents are estimated to remain uninsured this year. While these figures will decline to approximately 67,000 and 85,000 in 2019, it is estimated that 19,000 of the remaining insured will be explicitly ineligible for health coverage due to their immigration status.

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Currently, the Access to Care Stakeholder Group, made up of public and private health system stakeholders, have been tasked with identifying strategies to support healthcare access for the remaining uninsured. The group has developed a plan for a program – Contra Costa CARES - to meet the health needs of the remaining uninsured.

Contra Costa County has the opportunity to join other California counties in implementing a

Regional Administrative Offices: CONCORD: 2185 Pacheco St., Concord, CA 94520 (925) 676-0505 || EL CERRITO: 731 El Cerrito Plaza El Cerrito, CA 94530
SAN FRANCISCO: 1650 Valencia St, San Francisco, CA 94110-5013 (415) 821-1984 || MARIN: 2 H Street, San Rafael 94901
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www.ppnorcal.org

Planned Parenthood Northern California

model of health coverage for the remaining uninsured and finishing the job the ACA started. Let's build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to healthcare for all.

We urge you to support the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Heather Saunders Estes
President and CEO
Planned Parenthood Northern California

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Serving Counties: Butte, Contra Costa, Colusa, Del Norte, Glenn, Humboldt, Lake, Lassen, Marin, Mendocino, Modoc, Napa, Plumas, San Francisco, Shasta, Siskiyou, Solano, Sonoma, Tehama & Trinity



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EXECUTIVE DIRECTOR
Marileana Hincapié

February 20, 2015

Board of Supervisors
Contra Costa County
County Administration Building
651 Pine Street, Room 107
Martinez, CA 94553

Dear Members of the Board of Supervisors:

I am writing on behalf of the National Immigration Law Center (NILC), to urge your support for the implementation of a comprehensive program of health coverage for low-income uninsured county residents, regardless of their immigration status.

NILC works to protect and promote the rights of low income immigrants and their family members. We engage in policy analysis, litigation, education and advocacy in pursuit of a society in which all people — regardless of race, gender, immigration or economic status — are treated family and humanely.

Despite the significant reductions in the number of uninsured California residents brought about by the federal Patient Protection and Affordable Care Act (ACA), a substantial number of Contra Costa County residents remain uninsured. According to estimates from the UC Berkeley Labor Center, between 67,000 and 85,000 county residents will remain uninsured in 2019, many because they are excluded from full-scope Medi-Cal and Covered California plans because of their immigration status.

People without insurance normally don't get routine primary or preventive care, and delay treatment when they have a known condition. As a result, even when they do receive care, they are diagnosed with later-stage disorders, often require more expensive treatment and have higher mortality rates. People living without insurance lose time from work due to preventable or treatable conditions. Their families, which frequently include citizens, live with the constant stress of knowing that they are one illness or accident away from financial devastation. Excluding members of the community from eligibility for health coverage because of their immigration status harms families and communities.

LOS ANGELES (Headquarters)
3436 Wilshire Blvd., Suite 2650
Los Angeles, CA 90010
213 639-3900
213 639-3911 fax

WASHINGTON, DC
1121 14th Street, NW, Suite 200
Washington, DC 20005
202 216-0261
202 216-0266 fax

www.nilc.org

Currently, the Access to Care Stakeholder Group, made up of public and private health system stakeholders, have been tasked with identifying strategies to support healthcare access for the remaining uninsured. Stakeholders engaged in this process include Contra Costa Health Plan, Contra Costa Health Services, Employment and Human Services Department, John Muir Health, Kaiser Permanente and community health centers in Contra Costa County. The group is currently exploring options and program designs to meet the needs of the remaining uninsured.

Contra Costa County has the opportunity to lead California counties in developing and implementing a model of healthcare coverage for the remaining uninsured and finishing the job the ACA started. Let's build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to healthcare for all.

For these reasons, we urge you to support the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you for your consideration.

Yours truly,



Gabrielle Lessard

Health Policy Attorney

Further The Work

Strengthening Nonprofits and Their Partners



May 11, 2015

John Gloia
Supervisor – District 1
11780 San Pablo Avenue
El Cerrito CA 94530
Subject: Contra Costa CARES -- SUPPORT

Dear Supervisor Gloia:

On behalf of Further The Work, I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, between 80,000 and 98,000 residents are estimated to remain uninsured this year. While these figures will decline to approximately 67,000 and 85,000 in 2019, it is estimated that 19,000 of the remaining insured will be explicitly ineligible for health coverage due to their immigration status.

People without health care coverage generally wait to seek care until they are seriously ill. In many cases, health problems that could have been avoided with preventative care or treated early for little cost become significant and costly health issues. The impacts of this include increased demand on emergency services and increased costs to our healthcare system. This creates an unnecessary burden that can be solved by expanding access to healthcare. Excluding groups from health coverage based on circumstances like lacking immigration status doesn't make sense nor does it reflect the collective values of Contra Costa County.

Currently, the Access to Care Stakeholder Group, made up of public and private health system stakeholders, has been tasked with identifying strategies to support healthcare access for the remaining uninsured. The group has developed a plan for a program – Contra Costa CARES – to meet the health needs of the remaining uninsured.

Contra Costa County has the opportunity to join other California counties in implementing a model of health coverage for the remaining uninsured and finishing the job the ACA started. Let's build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to healthcare for all.

We urge you to support the expansion of health care services for the remaining uninsured, regardless of immigration status, in the forthcoming county budget.

Thank you,

Rebecca Brown, President

855 Meadow View Drive
Richmond, CA 94806
510 243-0122 tel
510 243-0132 fax
FurtherTheWork.com



April 29, 2015

John Gioia
District I Supervisor
Contra Costa County

Dear Supervisor Gioia,

On behalf of Asian Pacific Environmental Network I am writing to urge your support for expanded healthcare resources in the upcoming budget to support the implementation of a comprehensive health coverage program for residents regardless of their immigration status.

APEN brings together a collective voice to develop an alternative agenda for environmental, social and economic justice. Through building an organized movement, we strive to bring fundamental changes to economic and social institutions that will prioritize public good over profits and promote the right of every person to a decent, safe, affordable quality of life, and the right to participate in decisions affecting our lives. APEN holds this vision of environmental justice for all people. Our work focuses on Asian and Pacific Islander communities.

Despite the enormous contributions of the federal Patient Protection and Affordable Care Act (ACA) in expanding access to health coverage, there remains a significant population of Contra Costa County residents who still lack access to care and remain uninsured. According to the UC Berkeley Labor Center, between 80,000 and 98,000 residents are estimated to remain uninsured this year. While these figures will decline to approximately 67,000 and 85,000 in 2019, it is estimated that 19,000 of the remaining insured will be explicitly ineligible for health coverage due to their immigration status.

People without health care coverage generally wait to seek care until they are seriously ill. In many cases, health problems that could have been avoided with preventative care or treated early for little cost become significant and costly health issues. The impacts of this include increased demand on emergency services and increased costs to our healthcare system. This creates an unnecessary burden that can be solved by expanding access to healthcare. Excluding groups from health coverage based on circumstances like lacking immigration status doesn't make sense nor does it reflect the collective values of Contra Costa County.

Currently, the Access to Care Stakeholder Group, made up of public and private health system stakeholders, have been tasked with identifying strategies to support healthcare access for the remaining uninsured. The group has developed a plan for a program – Contra Costa CARES - to meet the health needs of the remaining uninsured.

Contra Costa County has the opportunity to join other California counties in implementing a model of health coverage for the remaining uninsured and finishing the job the ACA started. Let's build a healthier, stronger, and more prosperous Contra Costa County by ensuring access to healthcare for all.

We urge you to support the expansion of health care services for the remaining uninsured regardless of immigration status in the forthcoming county budget.

Thank you,

Sandy Sactum
Asian Pacific Environmental Network

**Contra
Costa
County**



To: Board of Supervisors
From: William Walker, M.D., Health Services Director
Date: September 22, 2015

Subject: Contract #26-618-1 with Medical Solutions, Inc. (dba Nurse Bridge Consultants)

RECOMMENDATION(S):

Approve and authorize the Health Services Director, or designee, to execute Contract #26-618-1 with Medical Solutions Inc. (dba Nurse Bridge Consultants), a corporation, in an amount not to exceed \$3,700,000, for the provision of replacement registered nurses at Contra Costa Regional Medical Center and Health Centers, for the period from September 22, 2015 through December 31, 2015.

FISCAL IMPACT:

This Contract will be funded 100% Enterprise I funds. Upon submission of a written job order, the terms of the contract will provide for (1) a preparation fee to begin the recruitment and selection process; (2) a fulfillment order to secure the recruited staff and schedule them on stand-by status to travel to and from the medical or health centers; and (3) a pre-paid charge for nurse labor. Each payment in the process is non-refundable. The actual dollar amount of the contract is dependent upon the skill level and number of nurses recruited. The County is optimistic that the contract services will not have to be triggered. However, if it becomes necessary to execute a job order, the range of expense is projected to be between \$2.7 million and \$3.7 million. While the County remains optimistic that an agreement

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Patrick Godley 925
957-5410

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: J Pigg , N Rios

FISCAL IMPACT: (CONT'D)

can be reached with CNA, if CNA calls for a strike, and the County needs to use the services authorized by this contract, the costs incurred under this contract would be offset by the wage savings associated with CNA members' labor action.

BACKGROUND:

Under Contract #26-618-1, Medical Solutions, Inc. (dba Nurse Bridge Consultants) will provide the County with qualified staff nurses to work temporarily at Contra Costa Regional Medical Center and Health Centers in the event of labor actions. The Memorandum of Understanding between Contra Costa County and California Nurses Association (CNA) expired on July 31, 2014. Bargaining began in May 2014. A strike authorization vote was recently taken by CNA members. The County believes that a mutually beneficial agreement with CNA can be achieved, and will continue working towards such a resolution. However, because a work stoppage would severely impair the delivery of health care to the residents of this County, the Health Services Director needs to be prepared to maintain critical public services in the event of a strike, including the protection of those who are seriously ill and require immediate medical attention.

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, patients at Contra Costa Regional Medical and Contra Costa Health Centers would have longer wait times and possibly go untreated.

CHILDREN'S IMPACT STATEMENT:

Not Applicable.



Contra
Costa
County

To: Board of Supervisors

From:

Date: September 22, 2015

Subject: Report on the PITCH, Health in All Policies, and the Built Environment Program

RECOMMENDATION(S):

ACCEPT the reports from the Health Services Department on the Planning and Integration Team for Community Health (PITCH), the Health In All Policies, and the Built Environment Program.

FISCAL IMPACT:

No fiscal impact - report only.

BACKGROUND:

The Board of Supervisors referred consideration of the Built Environment Program and Health in All Policies to the Family and Human Services Committee on May 12, 2015. On July 20, 2015 the Family and Human Services Committee received a report from the Health Services Department on this topic, including an update on the Planning and Integration Team for Community Health (PITCH).

Attached are the two reports provided to the Committee. The first one is regarding the Planning and Integration Team for Community Health (PITCH). The second report is regarding the Health In All Policies and the Built Environment Program. Staff from the Department of Conservation and Development and Public Works Department were on hand to address questions related to their involvement in PITCH.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Tracey Rattray,
925-313-6835

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

The Family and Human Services Committee requested that staff from the Health Services provide the report to the Board of Supervisors.

CONSEQUENCE OF NEGATIVE ACTION:

The Board and the public may not receive current information regarding the programs.

CHILDREN'S IMPACT STATEMENT:

Not applicable.



**Contra
Costa
County**

To: Board of Supervisors
From: Julia R. Bueren, Public Works Director/Chief Engineer
Date: September 22, 2015

Subject: Resolution of Necessity Hearing for the West Antioch Creek Channel Improvements Project, Antioch Area

RECOMMENDATION(S):

OPEN the public hearing and ask if any notified property owners wish to be heard as the four items specified in Section B below, CLOSE public hearing.

Upon completion and closing of the hearing, MAKE the findings and determinations listed under Section B below and ADOPT the attached Resolution of Necessity (No.: 2015/342) to acquire the required property by eminent domain. Project No.: 7579-6D8399

FISCAL IMPACT:

In eminent domain actions the judgment will be the price paid for the property, and may include court costs which are regarded as a roughly calculable expense of property acquisition. Costs of acquisition in this case are 100% reimbursable from Drainage Area 55 funds.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Olivia D. Reynolds,
(925) 313-2306

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Real Estate, Auditor-Controller, County Counsel (Certified copy)

BACKGROUND:

A. Proposed Project

The Contra Costa County Flood Control and Water Conservation District (District) in cooperation with the City of Antioch (City), will complete the West Antioch Creek Channel Improvements Project (Project), to improve the flood water conveyance capacity of West Antioch Creek. The Project will be located within the District's jurisdictional boundaries and the City of Antioch's city limits in eastern Contra Costa County.

West Antioch Creek has been a source of repeated, localized flooding between West 4th Street and West 10th Street, in Antioch. Currently within the Project area, the West Antioch Creek channel, as it flows south to north, transitions from structural plate steel arch culverts under West 10th Street, to a concrete-lined ditch covered by wooden planking under a parking lot at 1400 West 10th Street, to an open concrete-lined ditch adjacent to a carport associated with a neighboring apartment building, to an earthen channel that extends to 4th Street, in Antioch. From 4th Street, a concrete-lined segment extends approximately 550 feet before transitioning to an earthen channel that continues north beyond the BNSF railroad trestle that crosses West Antioch Creek.

The Project is intended to reduce flooding in the area by improving the West Antioch Creek channel to meet a 25-year level of protection. Phase One of the Project will consist of capacity improvements to West Antioch Creek, between West 4th Street and West 10th Street, in Antioch, and will entail the removal of the existing undersized steel arch culverts under West 10th Street. Those culverts will be replaced with four precise concrete box culverts. The 400-foot concrete-lined channel downstream (north) of those culverts will be replaced with approximately 640 linear feet of realigned earthen channel. The channel may require dewatering prior to sediment removal. Phase One activities will affect a 0.23-acre portion of concrete-lined channel and a 0.019-acre in-stream wetland. Future phases of the Project will include improvements to West Antioch Creek, beginning at the north end of the Phase One Project area, and continuing north to 200 feet north of the BNSF railroad trestle that crosses the creek.

On March 10, 2015, this Board APPROVED the proposed project and ADOPTED the Mitigated Negative Declaration pertaining to this Project. SCH#: 2014042078.

Under an agreement with the City concerning this Project, the District is responsible for acquiring all property interests required to complete the Project. To complete Phase One of the Project, the District must acquire various property interests in eight (8) parcels within the Project area. The property interests required for Phase One of the Project include fee title to certain parcels, and temporary construction easements, permanent access easements, a floating easement and a temporary access easement within other parcels. The property interests to be acquired for Phase One of the Project are more particularly described in Appendix "A".

The District, through the Real Estate Division of the Public Works Department, has made an offer of just compensation to the owner or owners of each property interest required for Phase One of this Project. Each offer was based on an appraisal of the fair market value of said property interest.

Negotiations to acquire the property interests identified in Appendix "A" have not been successful, and construction of Phase One of the Project is scheduled to begin in the spring of 2016. In order to proceed with the Project, it is necessary for the District to exercise its power of eminent domain to acquire the property interests described in Appendix "A".

Pursuant to Section 1245.235 of the Code of Civil Procedure, notice was given to all the persons listed on the attached Exhibit "A" whose names and addresses appear on the last equalized County Assessment Roll. This notice consisted of sending to them, by first-class mail and certified mail on August 31, 2015, a Notice of Intention, which notified the owners that a hearing to consider adopting a resolution of necessity will be held on September 22, 2015, at 9:00 a.m., in the Board's Chambers, at which time they may appear to be heard on the matters referred to in the notice.

B. B. Scope of Hearing Per C.C.P. Section 1245.235

1. Public Interest and Necessity require the proposed project.

West Antioch Creek has been a source of repeated, localized flooding between West 4th Street and West 10th Street, in Antioch. The Project is intended to result in this segment of West Antioch Creek meeting a 25-year level of flood protection, reduce the future risk of flooding in the surrounding community, and protect persons living, and properties located, adjacent to the creek. If the Project is not implemented, residents will continue to experience localized flooding in this area, which could result in significant losses.

C. 2. The project is planned and located in the manner that will be most compatible with the greatest public good and the least private injury.

During the planning and environmental review phases of the Project, several conveyance alternatives were evaluated. The conveyance alternative that was selected achieved the required channel flow necessary to provide a 25-year level of flood protection and to alleviate the repeated and localized flooding within the Project area. The other conveyance alternatives not selected would have resulted in greater impact to both residential and commercial properties located within and adjacent to the Project area.

D. 3. The properties sought to be acquired are necessary for the project.

The properties sought for the Project are necessary for the construction of the West Antioch Channel Improvements Project, as planned. All efforts have been made to reduce physical and operational impacts to adjacent properties both during and after construction. The Project cannot be constructed as planned without the acquisition of these property interests.

E. 4. The offer of compensation required by Section 7267.2 of the Government Code has been made to owner or owners of record.

The District, through the Real Estate Division of the Public Works Department, has made an offer of just compensation to the owner or owners of record of each of the property interests required for this Project. Each offer was based on an appraisal of the fair market value of said property interests. In this case, efforts were made to acquire the required property interests through negotiated purchase and sale instead of condemnation. Attempts to negotiate a settlement involved discussions, and in some cases meetings, with the property owners of record and/or their representatives. However, negotiations were not successful, requiring the District to proceed with the adoption of this Resolution of Necessity in order to proceed with the Project, as planned.

CONSEQUENCE OF NEGATIVE ACTION:

The District will be unable to acquire the property rights necessary for the Project.

CHILDREN'S IMPACT STATEMENT:

Not applicable

ATTACHMENTS

Resolution No. 2015/342

Appendix "A"

THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA
and for Special Districts, Agencies and Authorities Governed by the Board

Adopted this Resolution on 09/22/2015 by the following vote:

AYE: ☐
NO: ☐
ABSENT: ☐
ABSTAIN: ☐
RECUSE: ☐



Resolution No. 2015/342

The Board of Supervisors of Contra Costa County, California, acting in its capacity as the governing body of the Contra Costa County Flood Control and Water Conservation District (District), by vote of four-fifths or more of its members, **RESOLVES** that: Pursuant to Section 5 of the Contra Costa County Flood Control and Water Conservation District Act (West's Water Code Appendix, Section 6375 and Deering's Water Code, Uncodified Acts, Act 1656, Section 5), the District intends to construct the West Antioch Creek Channel Improvements Project (Project), a flood control channel project and public improvement, in the Antioch area, and, in connection therewith, acquire interests in certain real property. The properties to be acquired consist of eight (8) parcels that are generally located in the Antioch area. The properties are more particularly described in Appendix "A", attached hereto and incorporated herein by this reference.

On August 31, 2015, notices of the District's intention to adopt a resolution of necessity for acquisition by eminent domain of the real property interests described in Appendix "A" were sent to persons whose names appear on the last equalized County Assessment Roll as owners of said property interests. The notices specified September 22, 2015, at 9:00 a.m., in the Board of Supervisors Chambers in the Administration Building, 651 Pine Street, Martinez, California, as the date, time, and place for the hearing thereon. The hearing was held on that date and at that time and place, and all interested parties were given an opportunity to be heard. Based upon the evidence presented to it, this Board finds, determines, and hereby declares the following: 1. The public interest and necessity require the proposed project; and 2. The proposed project is planned or located in the manner that will be most compatible with the greatest public good and the least private injury; and 3. The properties described herein are necessary for the proposed project; and 4. The offer required by Section 7267.2 of the Government Code was made to the owner or owners of record. 5. Insofar as any of the properties described in this resolution have heretofore been dedicated to a public use, the acquisition and use of such properties by the District for the purposes identified herein is for a more necessary public use than the use to which the properties have already been appropriated, or is for a compatible public use. This determination and finding is made, and this resolution is adopted, pursuant to Code of Civil Procedure Sections 1240.510 and 1240.610. 6. On March 10, 2015, this Board APPROVED the proposed Project and ADOPTED the Mitigated Negative Declaration pertaining to this Project that was published on September 25, 2015 SCH # 20140420078.

The County Counsel of Contra Costa County, on behalf of this District, is hereby **AUTHORIZED** and **EMPOWERED**: To acquire in the District's name, by condemnation, the titles, easements and rights of way hereinafter described in and to said real property or interests therein, in accordance with the provisions for eminent domain in the Code of Civil Procedure and the Constitution of California: Parcel 8 is to be acquired in fee title; Parcel 9, 15 and 16 are to be acquired as permanent access easements; Parcels 22, 23 and 24 are to be acquired as temporary construction easements for the period between January 1, 2016 and October 31, 2017; Parcel 10 is to be acquired as a temporary access easement for the period between January 1, 2016 and October 31, 2017. To prepare and prosecute in the District's name such proceedings in the proper court as are necessary for such acquisition; and To deposit the probable amount of compensation based on an appraisal, and to apply to said court for an order permitting the District to take immediate possession and use said real properties for said public uses and purposes. **PASSED** and **ADOPTED** on , by the following vote: **AYES:** **NOES:** **ABSENT:** **I HEREBY CERTIFY** that the foregoing resolution was duly and regularly introduced, passed and adopted by the vote of four-fifths or more of the Board of Supervisors of Contra Costa County, California, at a meeting of said Board on the date indicated. Dated: _____

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

David J. Twa, County Administrator and Clerk of the Board of Supervisors

Contact: Olivia D. Reynolds, (925) 313-2306

By: , Deputy

cc: Real Estate, Auditor-Controller, County Counsel (Certified copy)

APPENDIX "A"

LANDS OF EAMES - APN 074-130-060

All that property situated in the City of Antioch, County of Contra Costa, State of California described as follows: Being a portion of those certain parcels of land described in Grant Deed to Al and Nina Eames recorded March 5, 1971 in Book 6331 Official Records at Page 161 and Joint Treasury Deed to Al and Nina Eames recorded November 25, 1959 in Book 3750 Official Records at Page 434, both in Contra Costa County Records, consisting of four (4) parcels, more particularly described as follows:

PARCEL 8- FEE PARCEL

BEGINNING at the northeast corner of said parcel of land (3750 OR 434), said northeast corner also being a point on the west line of 'O' Street (60.00 feet wide); thence, along the exterior boundary of said parcels (3750 OR 434 and 6331 OR 161) the following four (4) courses: 1) South 00°47'06" West, 227.96 feet, 2) along a tangent curve to the right having a radius of 20.00 feet through a central angle of 88°15'04", an arc distance of 30.81 feet, 3) South 89°02'10" West, 85.82 feet and 4) along a tangent curve to the right having a radius of 900.00 feet through a central angle of 00°47'52", an arc distance of 12.53 feet; thence, leaving said exterior boundary, North 01°13'14" East, 191.81 feet; thence along a tangent curve to the left having a radius of 31.00 feet through a central angle of 41°13'04", an arc distance of 22.30 feet; thence, North 39°59'50" West, 108.40 feet; thence, South 56°08'02" West, 15.02 feet; thence, North 72°01'44" West, 30.58 feet to the westerly line of Parcel Three as said parcel is described in said Grant Deed (6331 OR 161) thence, along the exterior boundary of said Parcel Three the following five (5) courses: 1) North 26°18'36" East, 14.00 feet, 2) North 63°37'34" West, 33.31 feet, 3) North 16°00'36" East, 149.53 feet, 4) South 89°27'24" East, 70.90 feet and 5) South 00°47'06" West, 215.54 feet to the southeast corner of said Parcel Three, said corner also being a point on the north line of said parcel of land (3750 OR 434); thence, along said north line, South 89°11'24" East, 149.99 feet to said **POINT of BEGINNING..**

Containing 46,832 square feet or 1.08 acres, more or less.

PARCEL 9- PERMANENT ACCESS EASEMENT

A Permanent Access Easement for purposes of ingress and egress, on, over and across the following described parcel of land:

COMMENCING at the northeast corner of said parcel of land (3750 OR 434), said northeast corner also being a point on the west line of 'O' Street (60.00 feet wide); thence, along the exterior boundary of said parcels (3750 OR 434 and 6331 OR 161) the following four (4) courses: 1) South 00°47'06" West, 227.96 feet, 2) along a tangent curve to the right having a radius of 20.00 feet through a central angle of 88°15'04", an arc distance of 30.81 feet, 3) South 89°02'10" West, 85.82 feet and 4) along a tangent curve to the right having a radius of 900.00 feet through a central angle

of 00°47'52", an arc distance of 12.53 feet to the **POINT of BEGINNING** for this description: thence, continuing along said exterior and said 900 foot radius curve, through a central angle of 00°53'29", an arc distance of 14.00 feet; thence, leaving said exterior boundary, North 01°13'14" East, 190.62 feet; thence along a tangent curve to the left having a radius of 17.00 feet through a central angle of 41°13'04", an arc distance of 12.23 feet; thence, North 39°59'50" West, 111.07 feet; thence, North 56°08'02" East, 15.02 feet; thence, South 39°59'50" East, 108.40 feet; thence, along a tangent curve to the right having a radius of 31.00 feet through a central angle of 41°13'04", an arc distance of 22.30 feet; thence, South 01°13'14" West, 191.81 feet to said **POINT of BEGINNING..**

Containing 4,566 square feet or 0.10 acres, more or less.

PARCEL 22- TEMPORARY CONSTRUCTION EASEMENT expires October 31,2017

A Temporary Construction Easement of duration twenty-two (22) months, between January 1, 2016 and October 31, 2017, to be used for access and construction activities in, on, over and across the following described parcel of land:

BEGINNING at the most southwesterly corner of said parcel of land (6331 OR 161), thence, along the westerly line of said parcel, North 26°18'36" East, 48.64 feet: thence, leaving said westerly line, South 72°01'44" East, 30.58 feet; thence, South 39°59'50" East, 46.08 feet, to the southerly line of said parcel; thence, North 89°11'24" West, 80.27 feet to the **POINT of BEGINNING.**

Containing 2,136 square feet or 0.05 acres, more or less.

PARCEL 24-TEMPORARY CONSTRUCTION EASEMENT expires October 31, 2017

A Temporary Construction Easement of duration twenty-two (22) months, between January 1, 2016 and October 31, 2017, to be used for construction activities including but not limited to: overhang removal, building repair, fence installation, channel construction, pavement repairs and restoration in, on, over and across the following described land:

COMMENCING at the northeast corner of said parcel of land (3750 OR 434), said northeast corner also being a point on the west line of 'O' Street (60.00 feet wide); thence, along the exterior boundary of said parcels (3750 OR 434 and 6331 OR 161) the following four (4) courses: 1) South 00°47'06" West, 227.96 feet, 2) along a tangent curve to the right having a radius of 20.00 feet through a central angle of 88°15'04", an arc distance of 30.81 feet, 3) South 89°02'10" West, 85.82 feet and 4) along a tangent curve to the right having a radius of 900.00 feet through a central angle of 00°47'52", an arc distance of 12.53 feet to the **POINT of BEGINNING** for this description: thence, continuing along said exterior and said 900 foot radius curve, through a central angle of 00°53'29", an arc distance of 14.00 feet; thence, leaving said exterior boundary, North 01°13'14" East, 190.62 feet; thence along a tangent curve to the left having a radius of 17.00 feet through a central angle of 41°13'04", an arc distance of 12.23 feet; thence, North 39°59'50" West, 111.07 feet; thence, North 56°08'02" East, 15.02 feet; thence, South 39°59'50" East, 108.40 feet; thence,

along a tangent curve to the right having a radius of 31.00 feet through a central angle of 41°13'04", an arc distance of 22.30 feet; thence, South 01°13'14" West, 191.81 feet to said **POINT of BEGINNING..**

Containing 4,566 square feet or 0.10 acres, more or less.

LANDS OF EAMES - APN 074-130-060, -061

PARCEL 10-TEMPORARY ACCESS EASEMENT expires October 31, 2017

A Temporary Access Easement of duration twenty-two (22) months, between January 1, 2016 and October 31, 2017, to be used for ingress and egress in, on, over and across the following described parcel of land:

All that property situated in the City of Antioch, County of Contra Costa, State of California described as follows: Being a portion of four parcels of land: Parcels One, Two and Three as described in the Grant Deed to Al and Nina Eames recorded March 5, 1971 in Book 6331 Official Records at Page 161, and that certain parcel of land described in the Joint Treasury Deed to Al and Nina Eames recorded November 25, 1959 in Book 3750 Official Records at Page 434 (hereinafter described as 'Parcel A'), both in Contra Costa County Records, more particularly described as follows:

BEGINNING at the southeast corner of Parcel Two (6331 OR 161), said southeast corner also being a point on the northerly line of 10th Street; thence, along said northerly line, along a non-tangent curve to the right having a radius of 900.00 feet, from which the center bears North 07°08'12" East, through a central angle of 00°08'39", an arc distance of 2.27 feet; thence, leaving said northerly line, North 01°11'13" East, 140.69 feet; thence crossing the northerly line of said Parcel Two, crossing said Parcel A into said Parcel Three, North 25°41'07" East, 122.18 feet; thence, crossing the southerly line of said Parcel Three into Parcel A, South 39°59'50" East, 16.46 feet; thence, across said Parcel A, across the northwesterly corner of said Parcel One and into said Parcel Two, South 25°41'07" West, 112.15 feet; thence, crossing the easterly line of said Parcel Two into and to the southerly line of said Parcel A, South 01°11'13" West, 138.90 feet; thence, along said southerly line (also the northerly line of 10th Street), along a non-tangent curve to the right having a radius of 900.00 feet, from which the center bears North 06°19'17" East, through a central angle of 00°48'55", an arc distance of 12.81 feet to said **POINT of BEGINNING..**

Containing 2,251 square feet or 0.05 acres, (APN 074-130-060)

Containing 1,604 square feet or 0.04 acres, (APN 074-130-061)

Containing 3,855 square feet or 0.09 acres, more or less, total Temporary Access Easement Area.

LANDS OF CHANCHAL, LLC- APN 074-130-077-6

All that property situated in the City of Antioch, County of Contra Costa, State of California described as follows: Being a portion of that certain parcel of land described in the Grant Deed to CHANCHAL, LLC, recorded July 2, 2002 as Document No. 2002-0232793 in Contra Costa County Records, more particularly described as follows:

PARCEL 23, TEMPORARY CONSTRUCTION EASEMENT expires October 31, 2017

A Temporary Construction Easement of duration twenty-two (22) months, between January 1, 2016 and October 31, 2017, to be used for access to construction activities being performed on the adjacent parcel (APN 074-130-060) in, on, over and across the following described parcel of land:

A strip of land, ten (10) feet in width, the easterly line of which is more particularly described as follows:

BEGINNING at the southwesterly terminus of that certain course listed in above said Grant Deed as "S. 25°33'50" W. 62.44 feet", thence, along the easterly line of said parcel the following three (3) courses: 1) North 26°18'36" East, 62.64 feet, 2) North 63°37'34" West, 33.31 feet, and 3) North 16°00'36" East, 17.41 feet to the northerly line of said parcel and the **POINT of TERMINUS**.

Containing 1,126 square feet or 0.03 acres, more or less.

The westerly line of above said strip is to be lengthened so as to terminate in the northerly line of said parcel (D.N. 2002-0232793)

LANDS OF HALL & LOADS, INC.- APN 074-040-025

A Permanent Access Easement to be used for the ingress and egress on, over and across the following described parcel of land:

All that property situated in the City of Antioch, County of Contra Costa, State of California described as follows: Being all of that certain parcel of land described as Parcel Two and a portion of that certain parcel described as Parcel One, both in the Grant Deed to Hall & Loads, Inc., a California Corporation, recorded August 14, 2015 as Document No. 2015-0169749 in Contra Costa County Records, more particularly described as follows:

PARCEL 15- PERMANENT ACCESS EASEMENT

BEGINNING at the southwest corner of said Parcel Two (DN 2015-0169749); thence, along westerly line of said parcel, North 00°49'48" East, 488.52 feet; thence, leaving said westerly line, North 44°10'12" West, 122.79 feet to the southwesterly line of Parcel Four as said Parcel Four is described in above said document (DN 2015-0169749); thence, along said southwesterly line,

along a non-tangent curve to the left, having radius 411.20 feet, from which the center bears North 22°03'13" East, through a central angle of 12°32'48", an arc distance of 90.04 feet to the northwest corner of said Parcel Two; thence along the exterior boundary of said parcel, the following three (3) courses: 1) South 89°10'12" East, 30.00 feet, 2) South 00°49'48" West, 552.18 feet and 3) North 89°07'45" West, 30.00 feet to the **POINT of BEGINNING**.

Containing 19,180 square feet or 0.44 acres, more or less.

LANDS OF HALL & LOADS, INC.- APN 074-040-036

All that property situated in the City of Antioch, County of Contra Costa, State of California described as follows: Being a portion of that certain parcel of land described as Parcel Four in the Grant Deed to Hall & Loads, Inc., a California Corporation, recorded August 14, 2015 as Document No. 2015-0169749 in Contra Costa County Records, more particularly described as follows:

PARCEL 16- PERMANENT ACCESS EASEMENT

A Permanent Access Easement for purposes of ingress and egress, in, on, over and across the following described parcel of land:

A strip of land, the westerly and southwesterly line of which is more particularly described as follows:

COMMENCING at the southeasterly corner of said parcel of land (DN 2015-0169749); thence, along southwesterly line of said parcel, along a non-tangent curve to the right, having radius 411.20 feet, from which the center bears North 09°30'25" East, through a central angle of 4°15'24", an arc distance of 30.55 feet to the **POINT OF BEGINNING**; thence, leaving said southwesterly line, North 00°49'48" East, 170.01 feet; thence, North 37°54'46" West, 77.82 feet; thence, North 42°53'38" West, 82.91 feet; thence, North 48°31'27" West, 111.53 feet; thence, North 67°10'21" West, 206.07 feet to said southwesterly line of Parcel Four and the **POINT OF TERMINUS**.

Containing 20,874 square feet or 0.48 acres, more or less.

Bearings used in the above description are based upon the California Coordinate System of 1983 (CCS83), Epoch 2010.00, Zone 3. Multiply grid distances shown above by 1.000056 to obtain ground distances.

This real property description has been prepared at Mark Thomas & Company, Inc., by me, or under my direction, in conformance with the Professional Land Surveyors Act.



Joel Garcia, LS 5285

License expires 12-31-15



Date





**Contra
Costa
County**

To: Board of Supervisors
From: Julia R. Bueren, Public Works Director/Chief Engineer
Date: September 22, 2015

Subject: Contract with City of Berkeley for Real Estate Services

RECOMMENDATION(S):

APPROVE and AUTHORIZE the Chair of the Board of Supervisors to execute, on behalf of the County, the Real Property Services Agreement (Agreement), to provide right of way services to the City of Berkeley (City), effective September 22, 2015, until terminated by either party.

FISCAL IMPACT:

100% City of Berkeley funding.

BACKGROUND:

The City requires a variety of right of way services, but has no right of way staff and desires to contract with the County for these services. The Real Estate staff will perform various right of way services, including but not limited to, appraisal and appraisal review; negotiations; relocation; land rights document preparation and right of way acquisition; leasing and disposal of real property; arrange for and maintain necessary property insurance; contract administration; manage all property and asset management functions; and supervision of independent contractors providing such services; and any related work as required.

CONSEQUENCE OF NEGATIVE ACTION:

The City will not be able to contract for the County's right of way services.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Carmen Pina-Sandoval,
925-313-2012

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

ATTACHMENTS

Real Property Services Agreement

REAL PROPERTY SERVICES AGREEMENT

1. **Effective Date and Parties.** Effective _____, the COUNTY OF CONTRA COSTA, a political subdivision of the State of California ("County"), and the CITY OF BERKELEY, a Charter City organized and existing under the laws of the State of California ("City"), hereby mutually promise and agree as follows:
2. **Purpose.** The City has no right of way staff and desires to contract with the County for various real estate services.
3. **Services by County.** County will provide City the following services, as directed by City: appraisal and appraisal review; negotiations; land rights document preparation and right of way acquisition; relocation; management of all leasing and disposal of real property; arrange for and maintain necessary property insurance; contract administration; manage all property and asset management functions; supervision of independent contractors providing such services; and related work as required (all of which constitute "Services").

The County warrants that it will perform the Services in accordance with accepted professional standards and procedures.

4. **Payment for Services.** City shall reimburse County, at the hourly charge out rates in Appendix "A", attached hereto, for all time that County real property agents perform Services for City under this Agreement. City shall reimburse County for costs of experts and contractors retained by County in the performance of the Services. City shall reimburse County for all other expenses County incurs in the performance of the Services, including but not limited to management of leases, all acquisition settlements and any other costs of acquisition such as title fees, recording fees, and escrow costs that the County actually incurs to perform the Services. Payments by City shall be made within 30 days of billing by County.
5. **Indemnification.** County shall defend, indemnify, and hold harmless the City, its officers and employees for any claims, liabilities, damage, injury, or death of or to any person, or the property of any person, including attorney's and expert fees, (collectively, "Liabilities") that arise out of the willful misconduct or the negligent acts, errors, or omissions of the County, its officers, employees, agents, and volunteers, in performing any of its or their obligations under this Agreement. Notwithstanding anything to the contrary, County shall not be obligated to indemnify City, its officers and employees for any portion of Liabilities that arise out of City's, or its officers' or employees' willful misconduct or negligent acts, errors, or omissions.

City shall defend, indemnify, and hold harmless County its officers and employees for any Liabilities that arise out of the willful misconduct or the negligent acts, errors, or omissions of the City, its officers, employees, agents, and volunteers, in

performing any of its or their obligations under this Agreement. Notwithstanding anything to the contrary, City shall not be obligated to indemnify County, its officers and employees for any portion of Liabilities that arise out of County's, or its officers' or employees' willful misconduct or negligent acts, errors, or omissions.

6. **Independent Status.** Nothing herein shall be construed to imply that any County employee providing services hereunder is a City employee.
7. **Term.** The term of this agreement shall commence on the effective date hereof and shall end upon termination by either party upon 30 days written notice. The rights and obligations of Paragraph 5 "Indemnification" shall survive any such termination. Within 30 days after the termination of this Agreement, City shall pay the County for all unpaid charges and costs for Services the County provides, and for all expenses that it incurs during the performance of those Services, under this Agreement through the termination of the Agreement.
8. **Notices.** Any notice required to be given to County and City hereunder will be sufficient if delivered in writing as designated below, or to such other addresses as County and City may respectively designate by written notice to the other:

To County: Contra Costa County Public Works Department
c/o Real Estate Division
255 Glacier Drive
Martinez, CA 94553
(925) 313-2220

To City: City Manager
City of Berkeley
2180 Milvia Street
Berkeley, CA 94704

Notice given by personal delivery shall be deemed complete upon delivery. Notice given by Overnight Carrier shall be deemed complete on the day after it is postmarked. Notice given by U.S. Mail shall be deemed complete on the third day after it is postmarked.

9. **Entire Agreement.** This Agreement contains the entire agreement between the County and the City and supersedes any and all other prior agreements and all negotiations leading up to the execution of this Agreement, whether oral or in writing, between the County and City. The County and City acknowledge that no representations, inducements, promises, or statements, oral or otherwise, have been made by either of them or by anyone acting on behalf of them that are not embodied or incorporated by reference herein, and further agree that no other covenant, representation, inducement, promise, or statement not set forth in this Agreement shall be valid or binding.
10. **Amendments and Modifications.** This Agreement may not be modified or amended except in writing approved by the County and City.

11. **Governing Law.** This Agreement shall be governed by and construed in accordance with California law.
12. **Counterparts.** This Agreement may be executed in one or more counterparts, each of which shall be deemed an original.
13. **Severability.** If any term or provision of this Agreement shall, to any extent, be held invalid or unenforceable, the remainder of this Agreement shall not be affected.
14. **No Third-Party Beneficiaries.** Nothing in this Agreement creates, nor shall it be interpreted to create, any third-party beneficiaries.

COUNTY OF CONTRA COSTA

CITY OF BERKELEY

By _____
Chair, Board of Supervisors

Signed by:

ATTEST: _____
David Twa, Clerk of the Board of
Supervisors and County Administrator

Dee Williams-Ridley
Interim City Manager

By _____
Julia R. Bueren
Public Works Director

Countersigned by:

City Auditor

RECOMMENDED FOR APPROVAL:

Attested by:

By _____
Karen A. Laws
Principal Real Property Agent

City Clerk

APPROVED AS TO FORM:
SHARON L. ANDERSON, COUNTY COUNSEL

APPROVED AS TO FORM:
City Attorney

By _____
Deputy County Counsel

By _____

APPENDIX "A"
Pay Rate Schedule

City shall reimburse the COUNTY for labor costs as detailed below:

1. COUNTY direct labor rates shall include the base salary wages paid to personnel plus fringe benefits. Total labor rate shall include direct labor rate multiplied by County's overhead rate. The total labor rate shall not exceed the range of labor rates by classification, included as Attachment 1.
2. COUNTY's overhead rate shall be a summation of division and department overhead rates. Appropriate overhead rates for COUNTY shall be developed based on procedure previously approved as described below:

In general, the division overhead rates are determined by identifying each division's total expenditures after adjustments less any non-labor generated revenue. The division expenditures are then divided by the productive hours for the division establishing the overhead rate needed to cover the remaining net cost of division expenditures. The department overhead rates are determined similar to the division rate by dividing the total department expenditures by the total billable hours. Each year overhead rates will be evaluated and adjusted to reflect the actual costs from the previous fiscal year.

3. COUNTY may adjust both labor rates and overhead rates periodically due to contracted salary increases and overhead rate changes. COUNTY shall submit any changes to rates to the City prior to invoicing.

ATTACHMENT 1

REAL PROPERTY AGENT

HOURLY CHARGE OUT RATE

Hourly Rate

Principal Real Property Agent	\$150/hr to \$220/hr
Supervising Real Property Agent	\$125/hr to \$180/hr
Senior Real Property Agent	\$112/hr to \$165/hr
Assistant Real Property Agent	\$ 86/hr to \$125/hr
Senior Real Property Technical Assistant	\$ 81/hr to \$120/hr
Real Property Technical Assistant	\$ 70/hr to \$105/hr



Contra
Costa
County

To: Board of Supervisors

From: Julia R. Bueren, Public Works Director/Chief Engineer

Date: September 22, 2015

Subject: Prohibit parking at all times on a portion of the north and south sides of Cervato Drive (Road No. 4237M), Alamo area.

RECOMMENDATION(S):

ADOPT Traffic Resolution No. 2015/4430 to prohibit parking at all times on the south side of Cervato Drive (Road No. 4237M), beginning at a point 400 feet west of the center line of Danville Boulevard (Road No. 5301A) and extending westerly a distance of 47 feet; and to prohibit parking at all times on the north side of Cervato Drive (Road No. 4237M), beginning at a point 400 feet west of the center line of Danville Boulevard (Road No. 5301A) and extending westerly a distance of 53 feet, Alamo area

FISCAL IMPACT:

No fiscal impact.

BACKGROUND:

Upon request from a resident, Traffic Section staff conducted an investigation of sight lines at the intersection of the Iron Horse Trail at

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Monish Sen
925-313-2187

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

Cervato Drive. It was determined that restricted parking in the immediate vicinity of the Trail would improve sight lines. This limited parking prohibition should improve sight lines at the trail while continuing to provide other parking opportunities for residents, trail users, and the general public outside the restricted areas.

CONSEQUENCE OF NEGATIVE ACTION:

Vehicles may continue to park at this location and limit local authorities' parking enforcement abilities based on the California Vehicle Code.

ATTACHMENTS

Traffic Resolution 2015/4430

THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA

Adopted this Traffic Resolution on September 22, 2015 by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

TRAFFIC RESOLUTION NO. 2015/4430
Supervisory District II

SUBJECT: Prohibit parking at all times on a portion of the north and south sides of Cervato Drive (Road No. 4237M), Alamo area.

The Contra Costa County Board of Supervisors RESOLVES that:

Based on the recommendations by the County Public Works Department's Transportation Engineering Division and pursuant to County Ordinance Code Sections 46-2.002 – 46-2.012, the following traffic regulation is established:

Pursuant to Section 22507 of the California Vehicle Code, parking is hereby declared to be prohibited at all times on the south side of Cervato Drive (Road No. 4237M), beginning at a point 400 feet west of the center line of Danville Boulevard (Road No. 5301A) and extending westerly a distance of 47 feet; and to prohibit parking at all times on the north side of Cervato Drive (Road No. 4237M), beginning at a point 400 feet west of the center line of Danville Boulevard (Road No. 5301A), and extending westerly a distance of 53 feet, Alamo area.

MO:

Orig. Dept.: Public Works (Traffic)
Contact: Monish Sen (925-313-2187)

c: California Highway Patrol
Sheriff's Department

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: _____
DAVID TWA, Clerk of the Board of Supervisors and
County Administrator

By _____,
Deputy

TRAFFIC RESOLUTION NO. 2015/4430



Contra
Costa
County

To: Board of Supervisors
From: Julia R. Bueren, Public Works Director/Chief Engineer
Date: September 22, 2015

Subject: Approving the second extension of the Subdivision Agreement for subdivision SD04-08918, Bay Point area.

RECOMMENDATION(S):

ADOPT Resolution No. 2015/346 approving the second extension of the Subdivision Agreement for subdivision SD04-08918, for a project being developed by Thomas DeNova, LLC, as recommended by the Public Works Director, Bay Point area. (District V)

FISCAL IMPACT:

No fiscal impact.

BACKGROUND:

The terminal date of the Subdivision Agreement needs to be extended. The developer has not completed the required improvements and has requested more time. (Approximately 70% of the work has been completed to date.) By granting an extension, the County will give the developer more time to complete the improvements and keeps the bond current.

CONSEQUENCE OF NEGATIVE ACTION:

The terminal date of the Subdivision Agreement will not be extended and the developer will be in default of the agreement, requiring the County to take legal action against the developer and surety to get the improvements installed, or revert the development to acreage.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☒ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Jocelyn A. B. LaRocque,
925-313-2315

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: J. A.B. LaRocque - Engineering Services , Engineering Services Originator: K. Dahl, Design/Construction, Current Planning - DCD, t - July 19, 2016, Thomas DeNova, LLC 3100 Oak Rd, #140 WC 94597, Developers Surety & Indemnity, 1655 Grant St 6th Fl, Cncd 94520 J. Daly

ATTACHMENTS

Resolution No.

2015/346

Second Extension

Recorded at the request of: **BOARD OF SUPERVISORS**

Return To: **PUBLIC WORKS DEPARTMENT, ENGINEERING SERVICES**

**THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA
and for Special Districts, Agencies and Authorities Governed by the Board**

Adopted this Resolution on 09/22/2015 by the following vote:

AYE: ☐

NO: ☐

ABSENT: ☐

ABSTAIN: ☐

RECUSE: ☐

Resolution No. 2015/346

IN THE MATTER OF approving the second extension of the Subdivision Agreement for subdivision SD04-08918, for a project being developed by Thomas DeNova, LLC, as recommended by the Public Works Director, Bay Point area. (District V)

WHEREAS, the Public Works Director having recommended that she be authorized to execute the second agreement extension, which extends the Subdivision Agreement between Thomas DeNova, LLC, and the County for construction of certain improvements in subdivision SD04-08918, Bay Point area, through September 19, 2016;

APPROXIMATE PERCENTAGE OF WORK COMPLETE: 70% ANTICIPATED DATE OF COMPLETION: 2021

BOND NO.: 720962S

DATE: August 18, 2006

REASON FOR EXTENSION: Work to commence upon market improvements.

NOW, THEREFORE, BE IT RESOLVED that the recommendation of the Public Works Director is APPROVED.

Contact: **Jocelyn A. B. LaRocque, 925-313-2315**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: J. A.B. LaRocque - Engineering Services , Engineering Services Originator: K. Dahl, Design/Construction, Current Planning - DCD, t - July 19, 2016, Thomas DeNova, LLC 3100 Oak Rd, #140 WC 94597, Developers Surety & Indemnity, 1655 Grant St 6th Fl, Cncd 94520 J. Daly

CONTRA COSTA COUNTY
SUBDIVISION AGREEMENT EXTENSION

Development Number: SD04-08918
Developer: Thomas/DeNova, LLC
Original Agreement Date: September 19, 2006
Second Extension New Termination Date: September 19, 2016

Improvement Security

SURETY : Developers Surety and Indemnity Company

BOND No. 720962 S

Date: August 18, 2006

Security Type

Security Amount

Cash:

\$ 11,699.00 (1% cash, \$1,000 Min.)


SURETY BOND:

\$ 415,000.00 (Performance)

\$ 584,950.00 (Labor & Material)

The Developer and the Surety desire this Agreement to be extended through the above date; and Contra Costa County and said Surety hereby agree thereto and acknowledge same.

Dated: September 22, 2015

Dated: August 17, 2015


FOR CONTRA COSTA COUNTY
Julia R. Bueren, Public Works Director

Developer's Signature(s)

Steven P. Thomas

Printed

By: _____

Developer's Signature(s)

RECOMMENDED FOR APPROVAL:

Printed

By: 
(Engineering Services Division)

Address

(NOTE: Developer's, Surety's and Financial Institution's Signatures must be Notarized.)

Surety or Financial Institution

Address

FORM APPROVED: Victor J. Westman, County Counsel

After Approval Return to Clerk of the Board

Attorney in Facts Signature

Printed

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

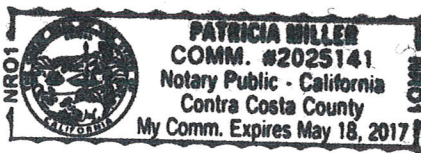
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)
 County of Contra Costa)
 On 8/17/2015 before me, Patricia Miller, Notary Public,
 Date Here Insert Name and Title of the Officer
 personally appeared Steven P. Thomas
 Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature Patricia Miller
 Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: Subdivision Agree Exten Document Date: 8/17/2015
 Number of Pages: 1 Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☒ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: _____
 Signer Is Representing: _____

Signer's Name: _____
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: _____
 Signer Is Representing: _____

CONTRA COSTA COUNTY
SUBDIVISION AGREEMENT EXTENSION

Development Number: SD04-08918

Developer: Thomas/DeNova, LLC

Original Agreement Date: September 19, 2006

Second Extension New Termination Date: September 19, 2016

Improvement Security

SURETY : Developers Surety and Indemnity Company

BOND No. 720962 S

Date: August 18, 2006

Security Type

Security Amount

Cash:

\$ 11,699.00 (1% cash, \$1,000 Min.)


SURETY BOND:

\$ 415,000.00 (Performance)

\$ 584,950.00 (Labor & Material)

The Developer and the Surety desire this Agreement to be extended through the above date; and Contra Costa County and said Surety hereby agree thereto and acknowledge same.

Dated: _____

Dated: August 17, 2015


FOR CONTRA COSTA COUNTY
Julia R. Bueren, Public Works Director

Developer's Signature(s)
Steven P. Thomas
Printed

By: _____

Developer's Signature(s)

RECOMMENDED FOR APPROVAL:

Printed

By: _____
(Engineering Services Division)

Address


(NOTE: Developer's, Surety's and Financial Institution's Signatures must be Notarized.)

Developers Surety and Indemnity Company
Surety or Financial Institution

1655 Grant Street, 6th Floor, Concord, CA 94520
Address

FORM APPROVED: Victor J. Westman, County Counsel

After Approval Return to Clerk of the Board


Attorney in Facts Signature

John J. Daley, Attorney-in-Fact

Printed

**POWER OF ATTORNEY FOR
DEVELOPERS SURETY AND INDEMNITY COMPANY
INDEMNITY COMPANY OF CALIFORNIA
PO Box 19725, IRVINE, CA 92623 (949) 263-3300**

KNOW ALL BY THESE PRESENTS that except as expressly limited, DEVELOPERS SURETY AND INDEMNITY COMPANY and INDEMNITY COMPANY OF CALIFORNIA, do each hereby make, constitute and appoint:

John J. Daley, Kenneth J. Goodwin, Linda Byas-Barnett, jointly or severally

as their true and lawful Attorney(s)-in-Fact, to make, execute, deliver and acknowledge, for and on behalf of said corporations, as sureties, bonds, undertakings and contracts of suretyship giving and granting unto said Attorney(s)-in-Fact full power and authority to do and to perform every act necessary, requisite or proper to be done in connection therewith as each of said corporations could do, but reserving to each of said corporations full power of substitution and revocation, and all of the acts of said Attorney(s)-in-Fact, pursuant to these presents, are hereby ratified and confirmed.

This Power of Attorney is granted and is signed by facsimile under and by authority of the following resolutions adopted by the respective Boards of Directors of DEVELOPERS SURETY AND INDEMNITY COMPANY and INDEMNITY COMPANY OF CALIFORNIA, effective as of January 1st, 2008.

RESOLVED, that a combination of any two of the Chairman of the Board, the President, Executive Vice-President, Senior Vice-President or any Vice President of the corporations be, and that each of them hereby is, authorized to execute this Power of Attorney, qualifying the attorney(s) named in the Power of Attorney to execute, on behalf of the corporations, bonds, undertakings and contracts of suretyship; and that the Secretary or any Assistant Secretary of either of the corporations be, and each of them hereby is, authorized to attest the execution of any such Power of Attorney;

RESOLVED, FURTHER, that the signatures of such officers may be affixed to any such Power of Attorney or to any certificate relating thereto by facsimile, and any such Power of Attorney or certificate bearing such facsimile signatures shall be valid and binding upon the corporations when so affixed and in the future with respect to any bond, undertaking or contract of suretyship to which it is attached.

IN WITNESS WHEREOF, DEVELOPERS SURETY AND INDEMNITY COMPANY and INDEMNITY COMPANY OF CALIFORNIA have severally caused these presents to be signed by their respective officers and attested by their respective Secretary or Assistant Secretary this January 29, 2015.

By: *Daniel Young*
Daniel Young, Senior Vice-President

By: *Mark Lansdon*
Mark Lansdon, Vice-President



A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of Orange

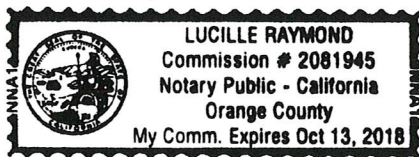
On January 29, 2015 before me, Lucille Raymond, Notary Public
Date Here Insert Name and Title of the Officer
personally appeared Daniel Young and Mark Lansdon
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature *Lucille Raymond*
Lucille Raymond, Notary Public



Place Notary Seal Above

CERTIFICATE

The undersigned, as Secretary or Assistant Secretary of DEVELOPERS SURETY AND INDEMNITY COMPANY or INDEMNITY COMPANY OF CALIFORNIA, does hereby certify that the foregoing Power of Attorney remains in full force and has not been revoked and, furthermore, that the provisions of the resolutions of the respective Boards of Directors of said corporations set forth in the Power of Attorney are in force as of the date of this Certificate.

This Certificate is executed in the City of Irvine, California, this 17th day of August, 2015

By: *Cassie J. Berrisford*
Cassie J. Berrisford, Assistant Secretary

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

A Notary Public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California }

County of Contra Costa

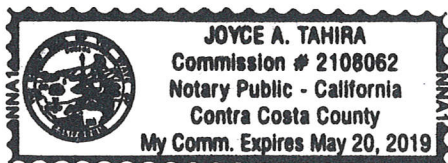
On August 17, 2015 before me, Joyce A. Tahira, Notary Public
Date Here Insert Name and Title of the Officer

personally appeared John J. Daley
Name(s) or Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Place Notary Seal Above

Signature  signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document

Description of Attached Document

Title or Type of Document Bond Number: 720962 S

Document Date: August 17, 2015 Number of Pages: One(01)

Signer(s) Other Than Named Above! N/A

Capacity(ies) Claimed by Signer(s)

Signer's Name: John J. Daley

☐ Individual

☐ Corporate Officer —Title(s): _____

☐ Partner ☐ Limited ☐ General

☒ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

Other: _____

Signer Is Representing: _____

Developers Surety and

Indemnity Company

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer's Name: _____

☐ Individual

☐ Corporate Officer —Title(s): _____

☐ Partner ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here



**Contra
Costa
County**

To: Board of Supervisors
From: Julia R. Bueren, Public Works Director/Chief Engineer
Date: September 22, 2015

Subject: Approve the third extension of the Subdivision Agreement for subdivision SD05-09037, Danville area.

RECOMMENDATION(S):

ADOPT Resolution No. 2015/319 approving the third extension of the Subdivision Agreement for subdivision SD05-09037, for a project being developed by Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation, as recommended by the Public Works Director, Danville area. (District III)

FISCAL IMPACT:

No fiscal impact.

BACKGROUND:

The terminal date of the Subdivision Agreement needs to be extended. The development is in the warranty period and the bond needs to be kept up to date.

CONSEQUENCE OF NEGATIVE ACTION:

The terminal date of the Subdivision Agreement will not be extended and the developer will be in default of the agreement, requiring the County to take legal action against the developer and surety to get the improvement installed, or revert the development to acreage.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☒ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Jocelyn A.B. LaRocque,
925-313-2315

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

ATTACHMENTS

Resolution No.

2015/319

Third Extension

Recorded at the request of: **BOARD OF SUPERVISORS**

Return To: **PUBLIC WORKS DEPT. - ENGINEERING SERVICES**

**THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA
and for Special Districts, Agencies and Authorities Governed by the Board**

Adopted this Resolution on 09/22/2015 by the following vote:

AYE: ☐

NO: ☐

ABSENT: ☐

ABSTAIN: ☐

RECUSE: ☐

Resolution No. 2015/319

IN THE MATTER OF approving the third extension of the Subdivision Agreement for subdivision SD05-09037, for a project being developed by Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation, as recommended by the Public Works Director, Danville area. (District III)

WHEREAS the Public Works Director having recommended that she be authorized to execute the third agreement extension which extends the Subdivision Agreement between Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation and the County for construction of certain improvements in subdivision SD05-09037, Danville area, through September 20, 2016;

- APPROXIMATE PERCENTAGE OF WORK COMPLETE: 100%
- ANTICIPATED DATE OF COMPLETION: September 20, 2016
- BOND NO.: 929 532 270 Date: August 23, 2011
- REASON FOR EXTENSION: Improvements are in warranty.

NOW, THEREFORE, BE IT RESOLVED that the recommendation of the Public Works Director is APPROVED.

Contact: **Jocelyn A.B. LaRocque, 925-313-2315**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: J. A.B. LaRocque - Engineering Services , Engineering Services Originator: K. Dahl, Design/Construction Division, Current Planning - DCD, Continental Insur. Co. 100 Matsonford Rd Radnor PA 19087 Attn: D. Dunnigan, Shapell Homes, 6800 Koll Center Pkwy #320 Pleasanton, CA 94566, T - June 20, 2016

CONTRA COSTA COUNTY
SUBDIVISION AGREEMENT EXTENSION

Development Number: SD05-09037 (Civil)

Developer: Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation

Original Agreement Date: September 20, 2011

Third Extension New Termination Date: September 20, 2016

Improvement Security

SURETY : The Continental Insurance Company

BOND No. 929 532 270

Date: August 23, 2011

Security Type

Security Amount

Cash:

\$ 42,900.00 (1% cash, \$1,000 Min.)

SURETY BOND:

\$ 761,500.00 (Performance)

\$ 2,564,000.00 (Labor & Material)

The Developer and the Surety desire this Agreement to be extended through the above date; and Contra Costa County and said Surety hereby agree thereto and acknowledge same.

Dated: _____

Dated: JULY 14, 2015

FOR CONTRA COSTA COUNTY
Julia R. Bueren, Public Works Director

Developer's Signature(s)

By: _____

Printed

Developer's Signature(s)

RECOMMENDED FOR APPROVAL:

By: _____
(Engineering Services Division)

Printed

Address

THE CONTINENTAL INSURANCE COMPANY

Surety or Financial Institution

100 MATSONFORD ROAD, SUITE 200, RADNOR, PA 19087

Address

(NOTE: Developer's, Surety's and Financial Institution's Signatures must be Notarized.)

FORM APPROVED: Victor J. Westman, County Counsel

After Approval Return to Clerk of the Board

Attorney in Facts Signature

DANIEL P. DUNIGAN, ATTORNEY-IN-FACT

Printed

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

Civil Code § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy or validity of that document.

State of PENNSYLVANIA

County of CHESTER



On JULY 14, 2015 before me, ARLENE OSTROFF, Notary Public
Date Name and Title of Notary

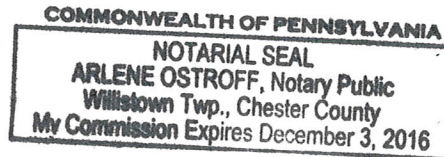
personally appeared DANIEL P. DUNIGAN
Name and or Names of Signer(s)

Who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Witness my hand and official seal.

Signature *Arlene Ostroff*
Notary Public Signature



Place Notary Public Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to the persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document _____

Document Date _____ Number of Pages: _____

Signer's Name: _____

- ☐ Individual
- ☐ Corporate Officer – Title(s): _____
- ☐ Partner - ☐ Limited ☐ General
- ☐ Guardian or Conservator
- ☒ Attorney-in-Fact
- ☐ Trustee
- ☐ Other: _____

Signer is representing _____

THE CONTINENTAL INSURANCE COMPANY

**RIGHT THUMBPRINT
OF SIGNER**
Top of thumb

- ☐ Individual
- ☐ Corporate Officer – Title(s): _____
- ☐ Partner - ☐ Limited ☐ General
- ☐ Guardian or Conservator
- ☐ Attorney-in-Fact
- ☐ Trustee
- ☐ Other: _____

Signer is representing _____

**RIGHT THUMBPRINT
OF SIGNER**
Top of thumb

POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That The Continental Insurance Company, a Pennsylvania insurance company, is a duly organized and existing insurance company having its principal office in the City of Chicago, and State of Illinois, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

**Daniel P Dunigan, Richard J Decker, Joseph W Kolok, Jr, Brian C Block, James L Hahn, William F Simkiss,
Individually**

of Paoli, PA, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -


and to bind them thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the insurance company and all the acts of said Attorney, pursuant to the authority hereby given is hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law and Resolutions, printed on the reverse hereof, duly adopted, as indicated, by the Board of Directors of the insurance company.

In Witness Whereof, The Continental Insurance Company has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 16th day of June, 2015.

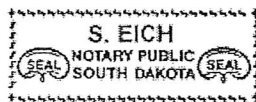


The Continental Insurance Company

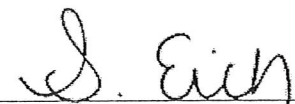

Paul T. Bruflat Vice President

State of South Dakota, County of Minnehaha, ss:

On this 16th day of June, 2015, before me personally came Paul T. Bruflat to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is a Vice President of The Continental Insurance Company, a Pennsylvania insurance company, described in and which executed the above instrument; that he knows the seal of said insurance company; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said insurance company and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said insurance company.



My Commission Expires February 12, 2021


S. Eich Notary Public

CERTIFICATE

I, D. Bult, Assistant Secretary of The Continental Insurance Company, a Pennsylvania insurance company, do hereby certify that the Power of Attorney herein above set forth is still in force, and further certify that the By-Law and Resolution of the Board of Directors of the insurance company printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said insurance company this 14TH day of JULY, 2015.



The Continental Insurance Company


D. Bult Assistant Secretary

THE CONTINENTAL INSURANCE COMPANY
Radnor, Pennsylvania
Statement of Net Admitted Assets and Liabilities
December 31, 2014

ASSETS

Bonds	\$ 1,349,822,576
Stocks	166,740,793
Cash, cash equivalents, and short-term investments	217,772,322
Amounts recoverable from reinsurers	136,016,120
Net deferred tax asset	85,644,520
Premiums and considerations	18,049,028
Other assets	39,337,814
Total Assets	<u>\$ 1,995,334,145</u>

LIABILITIES AND SURPLUS

Losses	\$ 851,065,352
Loss adjustment expense	35,957,892
Ceded reinsurance premiums payable (net of ceding commissions)	24,580,363
Funds held by company under reinsurance treaties	356,117,935
Provision for reinsurance	76,000,000
Other liabilities	<u>(785,728,501)</u>
Total Liabilities	\$ 557,993,041

Surplus Account:

Capital paid up	\$ 53,566,360
Gross paid in and contributed surplus	1,423,436,994
Special Surplus	100,090,172
Unassigned funds	<u>(139,752,422)</u>
Surplus as regards policyholders	\$ 1,437,341,104
Total Liabilities and Capital	<u>\$ 1,995,334,145</u>

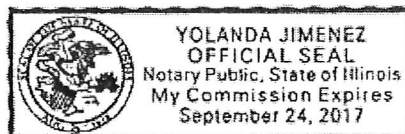
I, Peter Locy, Assistant Vice President of The Continental Insurance Company hereby certify that the above is an accurate representation of the financial statement of the Company dated December 31, 2014, as filed with the various Insurance Departments and is a true and correct statement of the condition of The Continental Insurance Company as of that date.

The Continental Insurance Company

By *Peter Locy*
Assistant Vice President

Subscribed and sworn to me this 19th day of March, 2015.

My commission expires:



Yolanda Jimenez
Notary Public

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of Alameda)

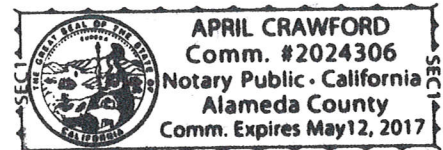
On July 21st, 2015 before me, April Crawford, Notary Public-----
(insert name and title of the officer)

personally appeared Robert D. Moore and Richard M. Nelson, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) are subscribed to the within instrument and acknowledged to me that they executed the same in their authorized capacity(ies), and that by their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature April Crawford (Seal)





Contra
Costa
County

To: Board of Supervisors
From: Julia R. Bueren, Public Works Director/Chief Engineer
Date: September 22, 2015

Subject: Approving the fourth extension of the Subdivision Agreement for MS02-00002, El Sobrante area. (District I)

RECOMMENDATION(S):

ADOPT Resolution No. 2015/303 approving the fourth extension of the Subdivision Agreement for minor subdivision MS02-00002, for a project being developed by Lafayette Partners, LLC, as recommended by the Public Works Director, El Sobrante area. (District I)

FISCAL IMPACT:

No fiscal impact.

BACKGROUND:

The terminal date of the Subdivision Agreement needs to be extended. The developer has not completed the required improvements and has requested more time. (Approximately 0% of the work has been completed to date.) By granting an extension, the County will give the developer more time to complete his improvements and keeps the bond current.

CONSEQUENCE OF NEGATIVE ACTION:

The terminal date of the Subdivision Agreement will not be extended and the developer will be in default of the agreement, requiring the County to take legal action against the developer and surety to get the improvements installed, or revert the development to acreage.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☒ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Jocelyn A.B. LaRocque,
925-313-2315

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

ATTACHMENTS

Resolution No.

2015/303

Fourth Extension

Recorded at the request of: **BOARD OF SUPERVISORS**

Return To: **PUBLIC WORKS DEPARTMENT, ENGINEERING SERVICES**

**THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA
and for Special Districts, Agencies and Authorities Governed by the Board**

Adopted this Resolution on 09/22/2015 by the following vote:

AYE: ☐

NO: ☐

ABSENT: ☐

ABSTAIN: ☐

RECUSE: ☐

Resolution No. 2015/303

IN THE MATTER OF approving the fourth extension of the Subdivision Agreement for minor subdivision MS02-00002, for a project being developed by Lafayette Partners, LLC, as recommended by the Public Works Director, El Sobrante area. (District I)

WHEREAS the Public Works Director having recommended that she be authorized to execute the fourth agreement extension which extends the Subdivision Agreement between Lafayette Partners, LLC, and the County for construction of certain improvements in minor subdivision MS02-00002, El Sobrante area, through April 8, 2016;

- APPROXIMATE PERCENTAGE OF WORK COMPLETE: 0% • ANTICIPATED DATE OF COMPLETION: 2016
- BOND NO.: 727481S Date: March 14, 2008
- REASON FOR EXTENSION: Economic hardship

NOW, THEREFORE, BE IT RESOLVED that the recommendation of the Public Works Director is APPROVED.

Contact: Jocelyn A.B. LaRocque, 925-313-2315

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: **September 22, 2015**

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Engineering Services Originator: K. Dahl, Design/Construction, Current Planning - DCD, T - February 8, 2016, Lafayette Partners, LLC 1021 Brown Av, Lafayette, 94549, Developers Surety & Indemnity, 17771 Cowan, Suite 100, Irvine, CA 92614

CONTRA COSTA COUNTY
SUBDIVISION AGREEMENT EXTENSION

Development Number: MS02-0002

Developer: Lafayette Partners, LLC

Original Agreement Date: April 8, 2008

Fourth Extension New Termination Date: April 8, 2016

Improvement Security

SURETY : Developers Surety & Indemnity Co.

BOND No. 727481 S

Date: March 14, 2008

Security Type

Security Amount

Cash:

\$ 1,300.00 (1% cash, \$1,000 Min.)

SURETY BOND:

\$ 130,600.00 (Performance)

\$ 65,300.00 (Labor & Material)

The Developer and the Surety desire this Agreement to be extended through the above date; and Contra Costa County and said Surety hereby agree thereto and acknowledge same.

Dated: September 15, 2015

Dated: July 24, 2015

FOR CONTRA COSTA COUNTY
Julia R. Bueren, Public Works Director

Developer's Signature(s)

ERIC A. HUMANN

By: _____

Printed

Developer's Signature(s)

IZZAT NASHASHIBI

RECOMMENDED FOR APPROVAL:

By: [Signature]
(Engineering Services Division)

Printed

1091 BROWN AVE., LAFAYETTE CA 94549

Address

DEVELOPERS SURETY AND INDEMNITY COMPANY

Surety or Financial Institution

17771 COWAN STE-100 IRVINE, CA 92614

Address

(NOTE: Developer's, Surety's and Financial Institution's Signatures must be Notarized.)

FORM APPROVED: Victor J. Westman, County Counsel

After Approval Return to Clerk of the Board

Attorney in Facts Signature

CASSIE J. BERRISFORD

Printed

**POWER OF ATTORNEY FOR
DEVELOPERS SURETY AND INDEMNITY COMPANY
INDEMNITY COMPANY OF CALIFORNIA**
PO Box 19725, IRVINE, CA 92623 (949) 263-3300

KNOW ALL BY THESE PRESENTS that except as expressly limited, DEVELOPERS SURETY AND INDEMNITY COMPANY and INDEMNITY COMPANY OF CALIFORNIA, do each hereby make, constitute and appoint:

Yvonne M. Dean, Pauline M. McLean, Mark J. Lansdon, James E. Mary, Daniel Young, Cassie J. Berrisford, Robert Fix, jointly or severally

as their true and lawful Attorney(s)-in-Fact, to make, execute, deliver and acknowledge, for and on behalf of said corporations, as sureties, bonds, undertakings and contracts of suretyship giving and granting unto said Attorney(s)-in-Fact full power and authority to do and to perform every act necessary, requisite or proper to be done in connection therewith as each of said corporations could do, but reserving to each of said corporations full power of substitution and revocation, and all of the acts of said Attorney(s)-in-Fact, pursuant to these presents, are hereby ratified and confirmed.

This Power of Attorney is granted and is signed by facsimile under and by authority of the following resolutions adopted by the respective Boards of Directors of DEVELOPERS SURETY AND INDEMNITY COMPANY and INDEMNITY COMPANY OF CALIFORNIA, effective as of January 1st, 2008.

RESOLVED, that a combination of any two of the Chairman of the Board, the President, Executive Vice-President, Senior Vice-President or any Vice President of the corporations be, and that each of them hereby is, authorized to execute this Power of Attorney, qualifying the attorney(s) named in the Power of Attorney to execute, on behalf of the corporations, bonds, undertakings and contracts of suretyship; and that the Secretary or any Assistant Secretary of either of the corporations be, and each of them hereby is, authorized to attest the execution of any such Power of Attorney;

RESOLVED, FURTHER, that the signatures of such officers may be affixed to any such Power of Attorney or to any certificate relating thereto by facsimile, and any such Power of Attorney or certificate bearing such facsimile signatures shall be valid and binding upon the corporations when so affixed and in the future with respect to any bond, undertaking or contract of suretyship to which it is attached.

IN WITNESS WHEREOF, DEVELOPERS SURETY AND INDEMNITY COMPANY and INDEMNITY COMPANY OF CALIFORNIA have severally caused these presents to be signed by their respective officers and attested by their respective Secretary or Assistant Secretary this December 1, 2014.

By: *Daniel Young*
Daniel Young, Senior Vice-President

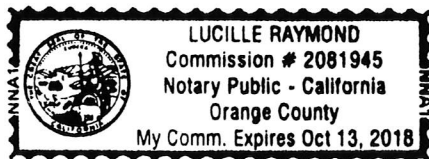
By: *Mark J. Lansdon*
Mark J. Lansdon, Vice-President

State of California
County of Orange



On December 1, 2014 before me, Lucille Raymond, Notary Public
Date Here Insert Name and Title of the Officer

personally appeared Daniel Young and Mark J. Lansdon
Name(s) of Signer(s)



Place Notary Seal Above

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature *Lucille Raymond*
Lucille Raymond, Notary Public

CERTIFICATE

The undersigned, as Secretary or Assistant Secretary of DEVELOPERS SURETY AND INDEMNITY COMPANY or INDEMNITY COMPANY OF CALIFORNIA, does hereby certify that the foregoing Power of Attorney remains in full force and has not been revoked and, furthermore, that the provisions of the resolutions of the respective Boards of Directors of said corporations set forth in the Power of Attorney are in force as of the date of this Certificate.

This Certificate is executed in the City of Irvine, California, this 24th day of July, 2015

By: *Cassie J. Berrisford*
Cassie J. Berrisford, Assistant Secretary

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

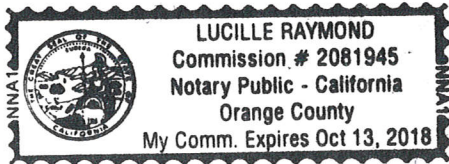
STATE OF CALIFORNIA

County of Orange

}

On 7/24/15 before me, Lucille Raymond, Notary Public,
Date Insert Name of Notary exactly as it appears on the official seal

personally appeared Cassie J. Berrisford
Name(s) of Signer(s)



Place Notary Seal Above

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Witness my hand and official seal.

Signature Lucille Raymond
Signature of Notary Public Lucille Raymond

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of the form to another document.

Description of Attached Document

Title or Type of Document: _____

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner ☐ Limited ☐ General
☒ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

RIGHT THUMBPRINT
OF SIGNER

Top of thumb here

Signer is Representing:

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

RIGHT THUMBPRINT
OF SIGNER

Top of thumb here

Signer is Representing:

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

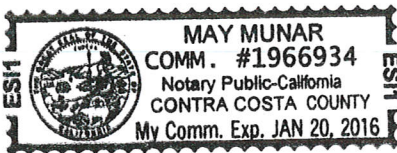
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)
 County of CONTRA COSTA)
 On 7/27/15 before me, MAY MUNAR, "NOTARY PUBLIC",
 Date Here Insert Name and Title of the Officer
 personally appeared IZZAT NASHASHI and ERIC A. HUMANN
 Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature [Signature]
 Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: SUBDIVISION AGREEMENT EXTENSION Document Date: _____
 Number of Pages: 1 Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: _____
 Signer Is Representing: _____

Signer's Name: _____
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: _____
 Signer Is Representing: _____



Contra
Costa
County

To: Board of Supervisors

From: Julia R. Bueren, Public Works Director/Chief Engineer

Date: September 22, 2015

Subject: Approve the third extension of the Subdivision Agreement (Right-of-Way Landscaping) for subdivision SD08-09246, San Ramon (Dougherty Valley) area.

RECOMMENDATION(S):

ADOPT Resolution No. 2015/345 approving the third extension of the Subdivision Agreement (Right-of-Way Landscaping) for subdivision SD08-09246, for a project being developed by Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation, as recommended by the Public Works Director, San Ramon (Dougherty Valley) area. (District II)

FISCAL IMPACT:

No fiscal impact.

BACKGROUND:

The terminal date of the Subdivision Agreement needs to be extended. The developer has not completed the required improvements and has requested more time (Approximately 85% of the work has been completed to date.) By granting an extension, the County will give the developer more time to complete improvements and keeps the bond current.

CONSEQUENCE OF NEGATIVE ACTION:

The terminal date of the Subdivision Agreement (Right-of-Way Landscaping) will not be extended and the developer will be in default of the agreement, requiring the County to take legal action against the developer and surety to get the improvements installed, or revert the development to acreage.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☒ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Jocelyn A. B. LaRocque,
925-313-2315

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

ATTACHMENTS

Resolution No.

2015/345

Third Extension

Recorded at the request of: **BOARD OF SUPERVISORS**

Return To: **PUBLIC WORKS DEPARTMENT ENGINEERING SERVICES DIVISION**

**THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA
and for Special Districts, Agencies and Authorities Governed by the Board**

Adopted this Resolution on 09/22/2015 by the following vote:

AYE: ☐

NO: ☐

ABSENT: ☐

ABSTAIN: ☐

RECUSE: ☐

Resolution No. 2015/345

IN THE MATTER OF approving the third extension of the Subdivision Agreement (Right-of-Way Landscaping) for subdivision SD08-09246, for a project being developed by Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation, as recommended by the Public Works Director, San Ramon (Dougherty Valley) area. (District II)

WHEREAS the Public Works Director having recommended that she be authorized to execute the third agreement extension which extends the subdivision agreement between Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation and the County for construction of certain improvements in subdivision SD08-09246, San Ramon (Dougherty Valley) area, through August 9, 2016;

APPROXIMATE PERCENTAGE OF WORK COMPLETE: 85% ANTICIPATED DATE OF COMPLETION: August 2016

BOND NO.: 929 525 433

DATE: May 17, 2011

REASON FOR EXTENSION: Developer must keep the surety bond current through the warranty period.

NOW, THEREFORE, BE IT RESOLVED that the recommendation of the Public Works Director is APPROVED.

Contact: **Jocelyn A. B. LaRocque, 925-313-2315**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Design/Construction, Current Planning - DCD, T - June 9, 2016, Shapell Homes, 6800 Koll Center Pkwy #320 Pleasanton, CA 94566, City of San Ramon - C. Low, Continental Insurance 4150 Drinkwater Blvd Ste 410 Scottsdale 85251

CONTRA COSTA COUNTY

SUBDIVISION AGREEMENT (RIGHT-OF-WAY LANDSCAPING) EXTENSION

Development Number: SD08-09246 (R.O.W.L.)

Developer: Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation

Original Agreement Date: August 9, 2011

Third Extension New Termination Date: August 9, 2016

Improvement Security

SURETY : The Continental Insurance Company

BOND No. 929 525 433

Date: May 17, 2011

Security Type

Security Amount

Cash:

\$ 5,000.00 (1% cash, \$1,000 Min.)

SURETY BOND:

\$ 461,000.00 (Performance)

\$ 233,000.00 (Labor & Material)

The Developer and the Surety desire this Agreement to be extended through the above date; and Contra Costa County and said Surety hereby agree thereto and acknowledge same.

Dated: September 13, 2015

Dated: JUNE 25, 2015

FOR CONTRA COSTA COUNTY
Julia R. Bueren, Public Works Director

Developer's Signature(s)

TOPIC CHAWHAN

By: _____

Printed

Developer's Signature(s)

Robert P. Moone

RECOMMENDED FOR APPROVAL:

By: _____

(Engineering Services Division)

Printed 6800 Koll Center Parkway Suite 320
Pleasanton, CA 94566

Address

THE CONTINENTAL INSURANCE COMPANY

Surety or Financial Institution

100 MATSONFORD ROAD, SUITE 200, RADNOR, PA 19087

Address

(NOTE: Developer's, Surety's and Financial Institution's Signatures must be Notarized.)

FORM APPROVED: Victor J. Westman, County Counsel

After Approval Return to Clerk of the Board

Attorney in Facts Signature

JOSEPH W. KOLOK, JR.

Printed

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

Civil Code § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy or validity of that document.

State of PENNSYLVANIA

County of CHESTER

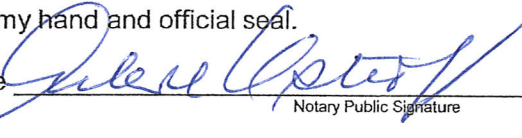
On JUNE 25, 2015 before me, ARLENE OSTROFF, Notary Public

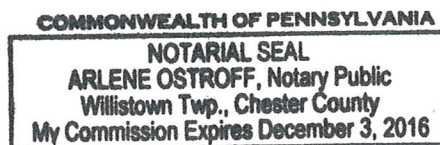
personally appeared JOSEPH W. KOLOK, JR.

Who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Witness my hand and official seal.

Signature 
Notary Public Signature



Place Notary Public Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to the persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

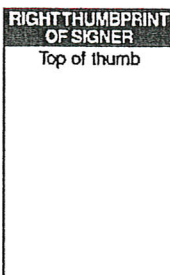
Title or Type of Document _____

Document Date _____ Number of Pages: _____

Signer's Name: _____

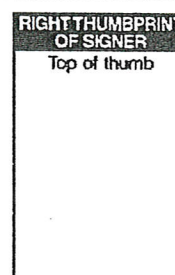
- ☐ Individual
- ☐ Corporate Officer – Title(s): _____
- ☐ Partner - ☐ Limited ☐ General
- ☐ Guardian or Conservator
- ☒ Attorney-in-Fact
- ☐ Trustee
- ☐ Other: _____

Signer is representing _____
THE CONTINENTAL INSURANCE COMPANY



- ☐ Individual
- ☐ Corporate Officer – Title(s): _____
- ☐ Partner - ☐ Limited ☐ General
- ☐ Guardian or Conservator
- ☐ Attorney-in-Fact
- ☐ Trustee
- ☐ Other: _____

Signer is representing _____



POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That The Continental Insurance Company, a Pennsylvania insurance company, is a duly organized and existing insurance company having its principal office in the City of Chicago, and State of Illinois, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

Daniel P Dunigan, Richard J Decker, Joseph W Kolok, Jr, Brian C Block, James L Hahn, William F Simkiss, Individually

of Paoli, PA, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -


and to bind them thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the insurance company and all the acts of said Attorney, pursuant to the authority hereby given is hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law and Resolutions, printed on the reverse hereof, duly adopted, as indicated, by the Board of Directors of the insurance company.

In Witness Whereof, The Continental Insurance Company has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 16th day of June, 2015.

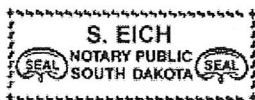


The Continental Insurance Company

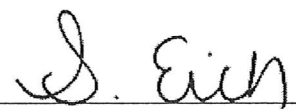

Paul T. Bruflat Vice President

State of South Dakota, County of Minnehaha, ss:

On this 16th day of June, 2015, before me personally came Paul T. Bruflat to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is a Vice President of The Continental Insurance Company, a Pennsylvania insurance company, described in and which executed the above instrument; that he knows the seal of said insurance company; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said insurance company and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said insurance company.



My Commission Expires February 12, 2021


S. Eich Notary Public

CERTIFICATE

I, D. Bult, Assistant Secretary of The Continental Insurance Company, a Pennsylvania insurance company, do hereby certify that the Power of Attorney herein above set forth is still in force, and further certify that the By-Law and Resolution of the Board of Directors of the insurance company printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said insurance company this 25TH day of JUNE, 2015.



The Continental Insurance Company


D. Bult Assistant Secretary

THE CONTINENTAL INSURANCE COMPANY
Radnor, Pennsylvania
Statement of Net Admitted Assets and Liabilities
December 31, 2014

ASSETS

Bonds	\$ 1,349,822,576
Stocks	166,740,793
Cash, cash equivalents, and short-term investments	217,772,322
Amounts recoverable from reinsurers	136,016,120
Net deferred tax asset	85,644,520
Premiums and considerations	18,049,028
Other assets	39,337,814
Total Assets	<u>\$ 1,995,334,145</u>

LIABILITIES AND SURPLUS

Losses	\$ 851,065,352
Loss adjustment expense	35,957,892
Ceded reinsurance premiums payable (net of ceding commissions)	24,580,363
Funds held by company under reinsurance treaties	356,117,935
Provision for reinsurance	76,000,000
Other liabilities	<u>(785,728,501)</u>
Total Liabilities	\$ 557,993,041

Surplus Account:

Capital paid up	\$ 53,566,360
Gross paid in and contributed surplus	1,423,436,994
Special Surplus	100,090,172
Unassigned funds	<u>(139,752,422)</u>
Surplus as regards policyholders	<u>\$ 1,437,341,104</u>
Total Liabilities and Capital	<u>\$ 1,995,334,145</u>

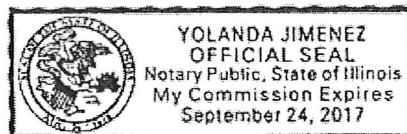
I, Peter Locy, Assistant Vice President of The Continental Insurance Company hereby certify that the above is an accurate representation of the financial statement of the Company dated December 31, 2014, as filed with the various Insurance Departments and is a true and correct statement of the condition of The Continental Insurance Company as of that date.

The Continental Insurance Company

By *P. Locy*
Assistant Vice President

Subscribed and sworn to me this 19th day of March, 2015.

My commission expires:



Yolanda Jimenez
Notary Public

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of Alameda)

On July 8, 2015 before me, April Crawford, Notary Public-----

(insert name and title of the officer)

personally appeared Robert D. Moore and Todd Callahan, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) are subscribed to the within instrument and acknowledged to me that they executed the same in their authorized capacity(ies), and that by their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

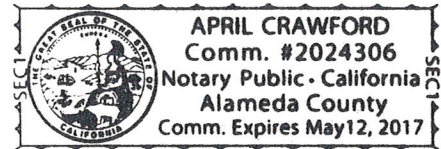
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

April Crawford

(Seal)





**Contra
Costa
County**

To: Board of Supervisors
From: Julia R. Bueren, Public Works Director/Chief Engineer
Date: September 22, 2015

Subject: Approving the third extension of the Road Improvement Agreement for RA11-01247, Danville area.

RECOMMENDATION(S):

ADOPT Resolution No. 2015/320 approving the third extension of the Road Improvement Agreement for RA11-01247 (cross-reference SD05-09036), for a project being developed by Shapell Homes, a Division of Shapell Industries, Inc., a Delaware corporation, as recommended by the Public Works Director, Danville area. (District III)

FISCAL IMPACT:

No fiscal impact.

BACKGROUND:

The terminal date of the Road Improvement Agreement needs to be extended. The development is in the warranty period and the bond needs to be kept up to date.

CONSEQUENCE OF NEGATIVE ACTION:

The terminal date of the Road Improvement Agreement will not be extended and the developer will be in default of the agreement, requiring the County to take legal action against the developer and surety to get the improvements installed, or revert the development to acreage.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☒ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Jocelyn A. B. LaRocque,
925-313-2315

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

ATTACHMENTS

Resolution No.

2015/320

Third Extension

Recorded at the request of: **BOARD OF SUPERVISORS**

Return To: **PUBLIC WORKS DEPARTMENT, ENGINEERING SERVICES DIVISION**

**THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA
and for Special Districts, Agencies and Authorities Governed by the Board**

Adopted this Resolution on 09/22/2015 by the following vote:

AYE: ☐

NO: ☐

ABSENT: ☐

ABSTAIN: ☐

RECUSE: ☐

Resolution No. 2015/320

IN THE MATTER OF approving the third extension of the Road Improvement Agreement for RA11-01247 (cross-reference SD05-09036), for a project being developed by Shapell Homes, a Division of Shapell Industries, Inc., a Delaware corporation, as recommended by the Public Works Director, Danville area. (District III)

WHEREAS The Public Works Director having recommended that she be authorized to execute the third agreement extension which extends the Road Improvement Agreement between Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation and the County for construction of certain improvements in RA11-01247 (cross-reference SD05-09036), Danville area, through July 26, 2016;

- APPROXIMATE PERCENTAGE OF WORK COMPLETE: 100%
- ANTICIPATED DATE OF COMPLETION: July 2016.
- BOND NO.: 929 525 436
- DATE: June 13, 2011
- REASON FOR EXTENSION: Improvements are in the warranty period.

NOW, THEREFORE, LET IT BE RESOLVED that the recommendation of the Public Works Director is APPROVED.

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: **September 22, 2015**

Contact: Jocelyn A. B. LaRocque, 925-313-2315

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Design/Construction, J. A.B. LaRocque - Engineering Services , Engineering Services Originator: K. Dahl, Current Planning - DCD, T – June 23, 2016, Shapell Homes, , 6800 Koll Center Pkwy., Ste. 320, Pleasanton, CA 94566,, , Continental Insur. Co. 100 Matsonford Rd Radnor PA 19087 Attn: D. Dunnigan

CONTRA COSTA COUNTY
ROAD IMPROVEMENT AGREEMENT EXTENSION

Development Number: RA11-01247

Developer: Shapell Homes, Inc., a Division of Shapell Industries, a Delaware Corporation

Original Agreement Date: July 26, 2011

Third Extension New Termination Date: July 26, 2015

Improvement Security

SURETY: The Continental Insurance Company

BOND No. 929 525 436

Date: June 13, 2011

Security Type

Security Amount

Cash:

\$ 4,800.00 (1% cash, \$1,000 Min.)

SURETY BOND:

\$ 71,500.00 (Performance)

\$ 240,500.00 (Labor & Material)

The Developer and the Surety desire this Agreement to be extended through the above date; and Contra Costa County and said Surety hereby agree thereto and acknowledge same.

Dated: _____

Dated: JULY 14, 2015

FOR CONTRA COSTA COUNTY
Julia R. Bueren, Public Works Director

Developer's Signature(s)

R. M. Nelson
RICHARD M. NELSON

By: _____

Printed _____

Developer's Signature(s)

Robert B. Moore
Robert B. Moore

RECOMMENDED FOR APPROVAL:

Printed _____

By: _____
(Engineering Services Division)

Address _____

THE CONTINENTAL INSURANCE COMPANY

Surety or Financial Institution

100 MATSONFORD ROAD, SUITE 200, RADNOR, PA 19087

Address _____

(NOTE: Developer's, Surety's and Financial Institution's Signatures must be Notarized.)

FORM APPROVED: Victor J. Westman, County Counsel

After Approval Return to Clerk of the Board

Attorney in Facts Signature

DANIEL P. DUNIGAN, ATTORNEY-IN-FACT

Printed _____

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

Civil Code § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy or validity of that document.

State of PENNSYLVANIA

County of CHESTER

On JULY 14, 2015

Date

before me, ARLENE OSTROFF, Notary Public

Name and Title of Notary

personally appeared

DANIEL P. DUNIGAN

Name and or Names of Signer(s)

Who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

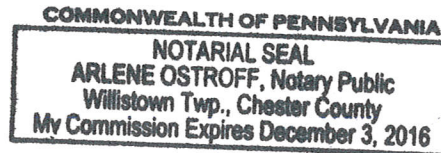
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Witness my hand and official seal.

Signature

Arlene Ostroff
Notary Public Signature

Place Notary Public Seal Above



OPTIONAL

Though the information below is not required by law, it may prove valuable to the persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document _____

Document Date _____

Number of Pages: _____

Signer's Name: _____

- ☐ Individual
- ☐ Corporate Officer – Title(s): _____
- ☐ Partner - ☐ Limited ☐ General
- ☐ Guardian or Conservator
- ☒ Attorney-in-Fact
- ☐ Trustee
- ☐ Other: _____

Signer is representing _____

THE CONTINENTAL INSURANCE COMPANY

RIGHT THUMBPRINT
OF SIGNER
Top of thumb

- ☐ Individual
- ☐ Corporate Officer – Title(s): _____
- ☐ Partner - ☐ Limited ☐ General
- ☐ Guardian or Conservator
- ☐ Attorney-in-Fact
- ☐ Trustee
- ☐ Other: _____

Signer is representing _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb

POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That The Continental Insurance Company, a Pennsylvania insurance company, is a duly organized and existing insurance company having its principal office in the City of Chicago, and State of Illinois, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

**Daniel P Dunigan, Richard J Decker, Joseph W Kolok, Jr, Brian C Block, James L Hahn, William F Simkiss,
Individually**

of Paoli, PA, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -

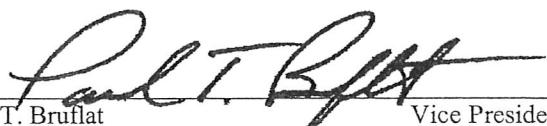
and to bind them thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the insurance company and all the acts of said Attorney, pursuant to the authority hereby given is hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law and Resolutions, printed on the reverse hereof, duly adopted, as indicated, by the Board of Directors of the insurance company.

In Witness Whereof, The Continental Insurance Company has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 16th day of June, 2015.

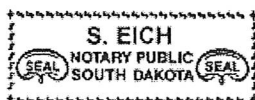


The Continental Insurance Company

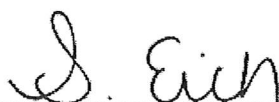

Paul T. Bruflat Vice President

State of South Dakota, County of Minnehaha, ss:

On this 16th day of June, 2015, before me personally came Paul T. Bruflat to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is a Vice President of The Continental Insurance Company, a Pennsylvania insurance company, described in and which executed the above instrument; that he knows the seal of said insurance company; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said insurance company and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said insurance company.



My Commission Expires February 12, 2021


S. Eich Notary Public

CERTIFICATE

I, D. Bult, Assistant Secretary of The Continental Insurance Company, a Pennsylvania insurance company, do hereby certify that the Power of Attorney herein above set forth is still in force, and further certify that the By-Law and Resolution of the Board of Directors of the insurance company printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said insurance company this 14TH day of JULY, 2015.



The Continental Insurance Company


D. Bult Assistant Secretary

THE CONTINENTAL INSURANCE COMPANY
Radnor, Pennsylvania
Statement of Net Admitted Assets and Liabilities
December 31, 2014

ASSETS

Bonds	\$ 1,349,822,576
Stocks	166,740,793
Cash, cash equivalents, and short-term investments	217,772,322
Amounts recoverable from reinsurers	136,016,120
Net deferred tax asset	85,644,520
Premiums and considerations	18,049,028
Other assets	39,337,814
Total Assets	<u>\$ 1,995,334,145</u>

LIABILITIES AND SURPLUS

Losses	\$ 851,065,352
Loss adjustment expense	35,957,892
Ceded reinsurance premiums payable (net of ceding commissions)	24,580,363
Funds held by company under reinsurance treaties	356,117,935
Provision for reinsurance	76,000,000
Other liabilities	<u>(785,728,501)</u>
Total Liabilities	\$ 557,993,041

Surplus Account:

Capital paid up	\$ 53,566,360
Gross paid in and contributed surplus	1,423,436,994
Special Surplus	100,090,172
Unassigned funds	<u>(139,752,422)</u>
Surplus as regards policyholders	\$ 1,437,341,104
Total Liabilities and Capital	<u>\$ 1,995,334,145</u>

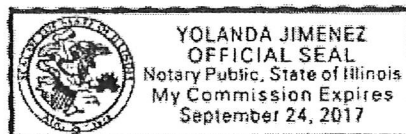
I, Peter Locy, Assistant Vice President of The Continental Insurance Company hereby certify that the above is an accurate representation of the financial statement of the Company dated December 31, 2014, as filed with the various Insurance Departments and is a true and correct statement of the condition of The Continental Insurance Company as of that date.

The Continental Insurance Company

By Peter Locy
Assistant Vice President

Subscribed and sworn to me this 19th day of March, 2015.

My commission expires:



Yolanda Jimenez
Notary Public

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of Alameda)

On July 21st, 2015 before me, April Crawford, Notary Public-----
(insert name and title of the officer)

personally appeared Robert D. Moore and Richard M. Nelson, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) are subscribed to the within instrument and acknowledged to me that they executed the same in their authorized capacity(ies), and that by their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

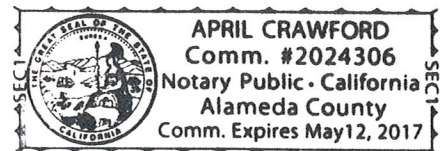
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

April Crawford

(Seal)





Contra
Costa
County

To: Board of Supervisors

From: Julia R. Bueren, Public Works Director/Chief Engineer

Date: September 22, 2015

Subject: Approving the second extension of the Subdivision Agreement (Right-of-Way Landscaping) for RA10-01246 San Ramon (Dougherty Valley) area.

RECOMMENDATION(S):

ADOPT Resolution No. 2015/321 approving the second extension of the Subdivision Agreement (Right-of-Way Landscaping) for Road Improvement Agreement RA10-01246 (cross-reference SD05-08969), for a project being developed by Shapell Homes, a Division of Shapell Industries, Inc., a Delaware corporation, as recommended by the Public Works Director, San Ramon (Dougherty Valley) area. (District II)

FISCAL IMPACT:

No fiscal impact.

BACKGROUND:

The terminal date of the Subdivision Agreement (Right-of-Way Landscaping) needs to be extended. The development is in the warranty period and the bond needs to be kept up-to-date.

CONSEQUENCE OF NEGATIVE ACTION:

The terminal date of the Subdivision Agreement (Right-of-Way Landscaping) will not be extended and the developer will be in default of the agreement, requiring the County to take legal action against the developer and surety to get the improvements installed, or revert the development to acreage.

☒ APPROVE

☐ OTHER

☐ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☒ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Jocelyn A.B. LaRocque,
925-313-2315

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: J. A.B. LaRocque - Engineering Services , Engineering Services Originator: K. Dahl, Design/Construction, Current Planning - DCD, T - July 25, 2016, Shapell Homes, 6800 Koll Center Pkwy., Ste. 320, Pleasanton, CA 94566, Continental Insur. Co. 100 Matsonford Rd Radnor PA 19087 Attn: D. Dunnigan

ATTACHMENTS

Resolution No.

2015/321

Second Extension

Recorded at the request of: **BOARD OF SUPERVISORS**

Return To: **PUBLIC WORKS DEPARTMENT, ENGINEERING SERVICES DIVISION**

**THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA
and for Special Districts, Agencies and Authorities Governed by the Board**

Adopted this Resolution on 09/22/2015 by the following vote:

AYE: ☐

NO: ☐

ABSENT: ☐

ABSTAIN: ☐

RECUSE: ☐

Resolution No. 2015/321

IN THE MATTER OF approving the second extension of the Subdivision Agreement (Right-of-Way Landscaping) for Road Improvement Agreement RA10-01246 (cross-reference SD05-08969), for a project being developed by Shapell Homes, a Division of Shapell Industries, Inc., a Delaware corporation, as recommended by the Public Works Director, San Ramon (Dougherty Valley) area. (District II)

WHEREAS The Public Works Director having recommended that she be authorized to execute the second agreement extension which extends the Subdivision Agreement (Right-of-Way Landscaping) between Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation and the County for construction of certain improvements for Road Improvement Agreement RA10-01246 (cross-reference SD05-08969), San Ramon (Dougherty Valley) area, through September 25, 2016;

APPROXIMATE PERCENTAGE OF WORK COMPLETE: 100% ANTICIPATED DATE OF COMPLETION: Complete.

BOND NO.: 929 553 673

DATE: July 9, 2012

REASON FOR EXTENSION: Improvements are in the warranty period.

NOW, THEREFORE, LET IT BE RESOLVED that the recommendation of the Public Works Director is APPROVED.

Contact: **Jocelyn A.B. LaRocque, 925-313-2315**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: J. A.B. LaRocque - Engineering Services , Engineering Services Originator: K. Dahl, Design/Construction, Current Planning - DCD, T - July 25, 2016, Shapell Homes, 6800 Koll Center Pkwy., Ste. 320, Pleasanton, CA 94566, Continental Insur. Co. 100 Matsonford Rd Radnor PA 19087 Attn: D. Dunnigan

CONTRA COSTA COUNTY

ROAD IMPROVEMENT AGREEMENT (RIGHT-OF-WAY LANDSCAPING) EXTENSION

Development Number: RA10-01246 (R.O.W.L.)

Developer: Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation

Original Agreement Date: September 25, 2012

Second Extension New Termination Date: September 25, 2016

Improvement Security

SURETY: The Continental Insurance Company

BOND No. 929 553 673

Date: July 9, 2012

Security Type

Security Amount

Cash:

\$ 3,100.00 (1% cash, \$1,000 Min.)

SURETY BOND:

\$ 46,185.00 (Performance)

\$ 155,500.00 (Labor & Material)

The Developer and the {Surety} desire this Agreement to be extended through the above date; and Contra Costa County and said {Surety} hereby agree thereto and acknowledge same.

Dated: _____

Dated: JULY 14, 2015

FOR CONTRA COSTA COUNTY
Julia R. Bueren, Public Works Director

Developer's Signature(s)

Printed

Developer's Signature(s)

Printed

By: _____

RECOMMENDED FOR APPROVAL:

By: _____
(Engineering Services Division)

Address

THE CONTINENTAL INSURANCE COMPANY

Surety or Financial Institution

100 MATSONFORD ROAD, SUITE 200, RADNOR, PA 19087

Address

(NOTE: Developer's, Surety's and Financial Institution's Signatures must be Notarized.)

Attorney in Facts Signature

DANIEL P. DUNIGAN, ATTORNEY-IN-FACT

Printed

FORM APPROVED: Victor J. Westman, County Counsel

After Approval Return to Clerk of the Board

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

Civil Code § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy or validity of that document.

State of PENNSYLVANIA

County of CHESTER

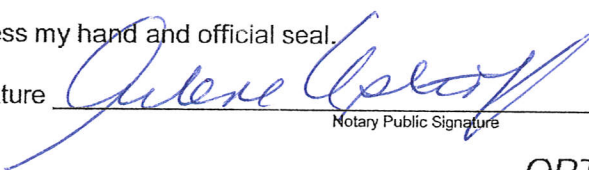
On JULY 14, 2015 before me, ARLENE OSTROFF, Notary Public
Date Name and Title of Notary

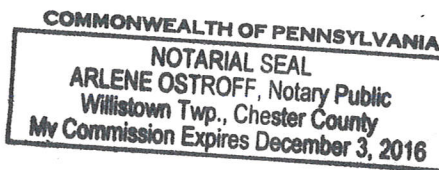
personally appeared DANIEL P. DUNIGAN
Name and or Names of Signer(s)

Who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Witness my hand and official seal.

Signature 
Notary Public Signature



Place Notary Public Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to the persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document _____

Document Date _____ Number of Pages: _____

Signer's Name: _____

- ☐ Individual
- ☐ Corporate Officer – Title(s): _____
- ☐ Partner - ☐ Limited ☐ General
- ☐ Guardian or Conservator
- ☒ Attorney-in-Fact
- ☐ Trustee
- ☐ Other: _____

Signer is representing _____

THE CONTINENTAL INSURANCE COMPANY

RIGHT THUMBPRINT
OF SIGNER
Top of thumb

- ☐ Individual
- ☐ Corporate Officer – Title(s): _____
- ☐ Partner - ☐ Limited ☐ General
- ☐ Guardian or Conservator
- ☐ Attorney-in-Fact
- ☐ Trustee
- ☐ Other: _____

Signer is representing _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb

POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That The Continental Insurance Company, a Pennsylvania insurance company, is a duly organized and existing insurance company having its principal office in the City of Chicago, and State of Illinois, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

**Daniel P Dunigan, Richard J Decker, Joseph W Kolok, Jr, Brian C Block, James L Hahn, William F Simkiss,
Individually**

of Paoli, PA, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -

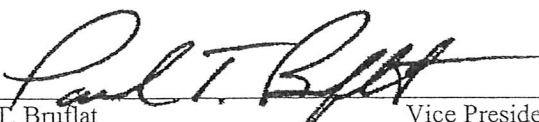
and to bind them thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the insurance company and all the acts of said Attorney, pursuant to the authority hereby given is hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law and Resolutions, printed on the reverse hereof, duly adopted, as indicated, by the Board of Directors of the insurance company.

In Witness Whereof, The Continental Insurance Company has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 16th day of June, 2015.

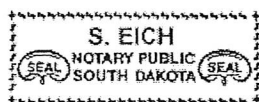


The Continental Insurance Company

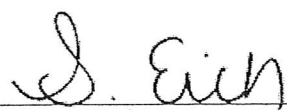

Paul T. Bruflat Vice President

State of South Dakota, County of Minnehaha, ss:

On this 16th day of June, 2015, before me personally came Paul T. Bruflat to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is a Vice President of The Continental Insurance Company, a Pennsylvania insurance company, described in and which executed the above instrument; that he knows the seal of said insurance company; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said insurance company and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said insurance company.



My Commission Expires February 12, 2021


S. Eich Notary Public

CERTIFICATE

I, D. Bult, Assistant Secretary of The Continental Insurance Company, a Pennsylvania insurance company, do hereby certify that the Power of Attorney herein above set forth is still in force, and further certify that the By-Law and Resolution of the Board of Directors of the insurance company printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said insurance company this 14TH day of JULY, 2015.



The Continental Insurance Company


D. Bult Assistant Secretary

THE CONTINENTAL INSURANCE COMPANY
Radnor, Pennsylvania
Statement of Net Admitted Assets and Liabilities
December 31, 2014

ASSETS

Bonds	\$ 1,349,822,576
Stocks	166,740,793
Cash, cash equivalents, and short-term investments	217,772,322
Amounts recoverable from reinsurers	136,016,120
Net deferred tax asset	85,644,520
Premiums and considerations	18,049,028
Other assets	39,337,814
Total Assets	<u>\$ 1,995,334,145</u>

LIABILITIES AND SURPLUS

Losses	\$ 851,065,352
Loss adjustment expense	35,957,892
Ceded reinsurance premiums payable (net of ceding commissions)	24,580,363
Funds held by company under reinsurance treaties	356,117,935
Provision for reinsurance	76,000,000
Other liabilities	<u>(785,728,501)</u>
Total Liabilities	\$ 557,993,041

Surplus Account:

Capital paid up	\$ 53,566,360
Gross paid in and contributed surplus	1,423,436,994
Special Surplus	100,090,172
Unassigned funds	<u>(139,752,422)</u>
Surplus as regards policyholders	\$ 1,437,341,104
Total Liabilities and Capital	<u>\$ 1,995,334,145</u>

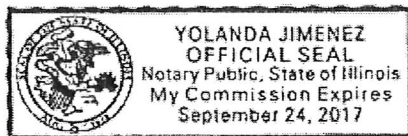
I, Peter Locy, Assistant Vice President of The Continental Insurance Company hereby certify that the above is an accurate representation of the financial statement of the Company dated December 31, 2014, as filed with the various Insurance Departments and is a true and correct statement of the condition of The Continental Insurance Company as of that date.

The Continental Insurance Company

By Peter Locy
Assistant Vice President

Subscribed and sworn to me this 19th day of March, 2015.

My commission expires:



Yolanda Jimenez
Notary Public

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of Alameda)

On July 21st, 2015 before me, April Crawford, Notary Public-----
(insert name and title of the officer)

personally appeared Robert D. Moore and Richard M. Nelson, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) are subscribed to the within instrument and acknowledged to me that they executed the same in their authorized capacity(ies), and that by their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

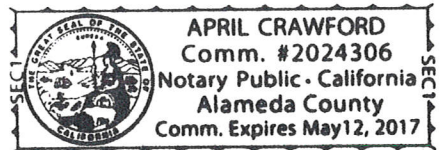
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

April Crawford

(Seal)





Contra
Costa
County

To: Board of Supervisors

From: Julia R. Bueren, Public Works Director/Chief Engineer

Date: September 22, 2015

Subject: Approve the third extension of the Subdivision Agreement (Right-of-Way Landscaping) for subdivision SD05-09037, Danville area.

RECOMMENDATION(S):

ADOPT Resolution No. 2015/304 approving the third extension of the Subdivision Agreement (Right-of-Way Landscaping) for subdivision SD05-09037, for a project being developed by Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation, as recommended by the Public Works Director, Danville area. (District III)

FISCAL IMPACT:

No fiscal impact.

BACKGROUND:

The terminal date of the Subdivision Agreement (Right-of-Way Landscaping) needs to be extended. The developer has not completed the required improvements and has requested more time. (Approximately 25% of the work has been completed to date.) By granting an extension, the County will give the developer more time to complete the improvements and keeps the bond current.

CONSEQUENCE OF NEGATIVE ACTION:

The terminal date of the Subdivision Agreement (Right-of-Way Landscaping) will not be extended and the developer will be in default of the agreement, requiring the County to take legal action against the developer and surety to get the improvements installed, or revert the development to acreage.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☒ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Jocelyn A.B. LaRocque,
925-313-2315

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

ATTACHMENTS

Resolution No.

2015/304

Third Extension

Recorded at the request of: **BOARD OF SUPERVISORS**

Return To: **PUBLIC WORKS DEPARTMENT, ENGINEERING SERVICES DIVISION**

**THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA
and for Special Districts, Agencies and Authorities Governed by the Board**

Adopted this Resolution on 09/22/2015 by the following vote:

AYE: ☐

NO: ☐

ABSENT: ☐

ABSTAIN: ☐

RECUSE: ☐

Resolution No. 2015/304

IN THE MATTER OF approving the third extension of the Subdivision Agreement (Right-of-Way Landscaping) for subdivision SD005-09037, for a project being developed by Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation, as recommended by the Public Works Director, Danville area. (District III)

WHEREAS, the Public Works Director having recommended that she be authorized to execute the third agreement extension which extends the Subdivision Agreement (Right-of-Way Landscaping) between Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation and the County for construction of certain improvements in subdivision SD05-09037, Danville area, through September 20, 2016;

- APPROXIMATE PERCENTAGE OF WORK COMPLETE: 25%
- ANTICIPATED DATE OF COMPLETION: September 2018
- BOND NO.: 929 524 597
- Date: March 23, 2011
- REASON FOR EXTENSION: Parkway landscaping cannot be completed until houses are constructed.

NOW, THEREFORE, BE IT RESOLVED that the recommendation of the Public Works Director is APPROVED.

Contact: Jocelyn A.B. LaRocque, 925-313-2315

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: J. A.B. LaRocque - Engineering Services , Engineering Services Originator, K. Dahl, Design/Construction, Dept. of Development & Conservation - Planning, Continental Insur. Co. 100 Matsonford Rd Radnor PA 19087 Attn: D. Dunnigan, T - July 20, 2016, Shapell Homes, 6800 Koll Center Pkwy #320 Pleasanton, CA 94566

CONTRA COSTA COUNTY

SUBDIVISION AGREEMENT (RIGHT-OF-WAY LANDSCAPING) EXTENSION

Development Number: SD05-09037 R.O.W.L.

Developer: Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation

Original Agreement Date: September 20, 2011

Third Extension New Termination Date: September 20, 2016

Improvement Security

SURETY : The Continental Insurance Company

BOND No. 929 524 597

Date: March 23, 2011

Security Type

Security Amount

Cash:

\$ 8,000.00 (1% cash, \$1,000 Min.)

SURETY BOND:

\$ 760,000.00 (Performance)

\$ 384,000.00 (Labor & Material)

The Developer and the Surety desire this Agreement to be extended through the above date; and Contra Costa County and said Surety hereby agree thereto and acknowledge same.

Dated: September 22, 2015

Dated: JULY 14, 2015

FOR CONTRA COSTA COUNTY
Julia R. Bueren, Public Works Director

Richard M. Nelson
Developer's Signature(s)

Richard M. Nelson

By: _____

Printed Robert H. Moore

Developer's Signature(s)

Robert H. Moore

RECOMMENDED FOR APPROVAL:

By: Julia R. Bueren
(Engineering Services Division)

Printed 6800 Kull Center Parkway Suite 320

Pleasanton CA 94566

Address

THE CONTINENTAL INSURANCE COMPANY

Surety or Financial Institution

100 MATSONFORD ROAD, SUITE 200, RADNOR, PA 19087

Address

Daniel P. Dunigan

Attorney in Facts Signature

DANIEL P. DUNIGAN, ATTORNEY-IN-FACT

Printed

(NOTE: Developer's, Surety's and Financial Institution's Signatures must be Notarized.)

FORM APPROVED: Victor J. Westman, County Counsel

After Approval Return to Clerk of the Board

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

Civil Code § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy or validity of that document.

State of PENNSYLVANIA

County of CHESTER

On JULY 14, 2015

Date

before me, ARLENE OSTROFF, Notary Public

Name and Title of Notary

personally appeared

DANIEL P. DUNIGAN

Name and or Names of Signer(s)

Who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Witness my hand and official seal.

Signature

[Handwritten Signature]

Notary Public Signature

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL

ARLENE OSTROFF, Notary Public

Willistown Twp., Chester County

My Commission Expires December 3, 2016

Place Notary Public Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to the persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document _____

Document Date _____

Number of Pages: _____

Signer's Name: _____

- ☐ Individual
- ☐ Corporate Officer – Title(s): _____
- ☐ Partner - ☐ Limited ☐ General
- ☐ Guardian or Conservator
- ☒ Attorney-in-Fact
- ☐ Trustee
- ☐ Other: _____

Signer is representing _____

THE CONTINENTAL INSURANCE COMPANY

RIGHT THUMBPRINT
OF SIGNER
Top of thumb

- ☐ Individual
- ☐ Corporate Officer – Title(s): _____
- ☐ Partner - ☐ Limited ☐ General
- ☐ Guardian or Conservator
- ☐ Attorney-in-Fact
- ☐ Trustee
- ☐ Other: _____

Signer is representing _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb

POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That The Continental Insurance Company, a Pennsylvania insurance company, is a duly organized and existing insurance company having its principal office in the City of Chicago, and State of Illinois, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

**Daniel P Dunigan, Richard J Decker, Joseph W Kolok, Jr, Brian C Block, James L Hahn, William F Simkiss,
Individually**

of Paoli, PA, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -

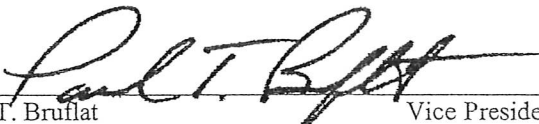
and to bind them thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the insurance company and all the acts of said Attorney, pursuant to the authority hereby given is hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law and Resolutions, printed on the reverse hereof, duly adopted, as indicated, by the Board of Directors of the insurance company.

In Witness Whereof, The Continental Insurance Company has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 16th day of June, 2015.

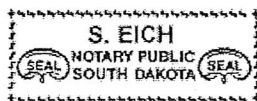


The Continental Insurance Company

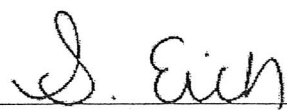

Paul T. Bruflat Vice President

State of South Dakota, County of Minnehaha, ss:

On this 16th day of June, 2015, before me personally came Paul T. Bruflat to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is a Vice President of The Continental Insurance Company, a Pennsylvania insurance company, described in and which executed the above instrument; that he knows the seal of said insurance company; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said insurance company and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said insurance company.



My Commission Expires February 12, 2021


S. Eich Notary Public

CERTIFICATE

I, D. Bult, Assistant Secretary of The Continental Insurance Company, a Pennsylvania insurance company, do hereby certify that the Power of Attorney herein above set forth is still in force, and further certify that the By-Law and Resolution of the Board of Directors of the insurance company printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said insurance company this 14TH day of JULY, 2015.



The Continental Insurance Company


D. Bult Assistant Secretary

THE CONTINENTAL INSURANCE COMPANY
Radnor, Pennsylvania
Statement of Net Admitted Assets and Liabilities
December 31, 2014

ASSETS

Bonds	\$ 1,349,822,576
Stocks	166,740,793
Cash, cash equivalents, and short-term investments	217,772,322
Amounts recoverable from reinsurers	136,016,120
Net deferred tax asset	85,644,520
Premiums and considerations	18,049,028
Other assets	39,337,814
Total Assets	<u>\$ 1,995,334,145</u>

LIABILITIES AND SURPLUS

Losses	\$ 851,065,352
Loss adjustment expense	35,957,892
Ceded reinsurance premiums payable (net of ceding commissions)	24,580,363
Funds held by company under reinsurance treaties	356,117,935
Provision for reinsurance	76,000,000
Other liabilities	<u>(785,728,501)</u>
Total Liabilities	\$ 557,993,041

Surplus Account:

Capital paid up	\$ 53,566,360
Gross paid in and contributed surplus	1,423,436,994
Special Surplus	100,090,172
Unassigned funds	<u>(139,752,422)</u>
Surplus as regards policyholders	<u>\$ 1,437,341,104</u>
Total Liabilities and Capital	<u>\$ 1,995,334,145</u>

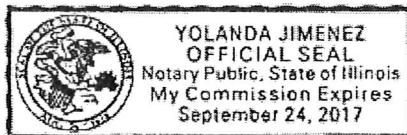
I, Peter Locy, Assistant Vice President of The Continental Insurance Company hereby certify that the above is an accurate representation of the financial statement of the Company dated December 31, 2014, as filed with the various Insurance Departments and is a true and correct statement of the condition of The Continental Insurance Company as of that date.

The Continental Insurance Company

By *Peter Locy*
Assistant Vice President

Subscribed and sworn to me this 19th day of March, 2015.

My commission expires:



Yolanda Jimenez
Notary Public

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of Alameda)

On July 21st, 2015 before me, April Crawford, Notary Public-----
(insert name and title of the officer)

personally appeared Robert D. Moore and Richard M. Nelson, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) are subscribed to the within instrument and acknowledged to me that they executed the same in their authorized capacity(ies), and that by their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

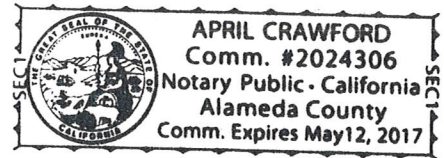
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

April Crawford

(Seal)





**Contra
Costa
County**

To: Board of Supervisors
From: Julia R. Bueren, Public Works Director/Chief Engineer
Date: September 22, 2015

Subject: Approving the Final Map and Subdivision Agreement for subdivision SD15-09314, Danville area.

RECOMMENDATION(S):

ADOPT Resolution No. 2015/348 approving the Final Map and Subdivision Agreement for subdivision SD15-09314, for a project being developed by Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation, as recommended by the Public Works Director, Danville area. (District III)

FISCAL IMPACT:

No fiscal impact.

BACKGROUND:

The Public Works Department has reviewed the conditions of approval for subdivision SD15-09314 and has determined that all conditions of approval for the Final Map approval have been satisfied.

CONSEQUENCE OF NEGATIVE ACTION:

The Final Map and the Subdivision Agreement will not be approved and recorded.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Jocelyn A. B. LaRocque,
925-313-2315

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Engineering Services Originator: J. Hernandez, Design/Construction, Current Planning - DCD, T - July 22, 2017, Shapell Industries Inc. c/o Toll Brothers, Inc. 250 Gibraltar Rd Horsham PA19004, Western Surety Company, 100 Matsonford Rd Suite 200 Radnor, PA 19087, Attn: W., RLI Insurance Co., 101 Bradford Rd Ste 200, Wexford, PA 15090 Attn: Bond Dept., First American Title Company, 6683 Owens Dr. Pleasanton, CA 94588, Attn: B Salas

ATTACHMENTS

Resolution No. 2015/348

Final Map

Subdivision Agreement

Improvement Security
Bond

Tax Letter

Bond Against Taxes

Recorded at the request of: BOARD OF SUPERVISORS

Return To: PUBLIC WORKS DEPARTMENT, ENGINEERING SERVICES DIVISION

**THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA
and for Special Districts, Agencies and Authorities Governed by the Board**

Adopted this Resolution on 09/22/2015 by the following vote:

AYE: ☐

NO: ☐

ABSENT: ☐

ABSTAIN: ☐

RECUSE: ☐

Resolution No. 2015/348

IN THE MATTER OF approving the Final Map and Subdivision Agreement for subdivision SD15-09314, for a project being developed by Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation, as recommended by the Public Works Director, Danville area. (District III)

WHEREAS the following documents were presented for Board approval this date:

1. Map The final map of subdivision SD15-09314, a property located in the Danville area, Supervisorial District III, said map having been certified by the proper officials.
2. Subdivision Agreement A Subdivision Agreement with Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation, principal, whereby said principal agrees to complete all improvements as required in said subdivision agreement within 2 years from the date of said agreement. Accompanying said subdivision agreement is security guaranteeing completion of said improvements as follows:
 - A. Cash Bond Performance amount: \$16,000
Auditor's Deposit Permit No. 1346353 Date: July 16, 2015
Submitted by: Toll Brother Inc.
 - B. Surety Bond
Bond Company: Western Surety Company
Bond Number: 58727553 Date: August 11, 2015
Performance Amount: \$1,521,000
Labor & Materials Amount: \$ 768,500
Principal: Shapell Industries Inc., a Delaware Corporation

3. Tax Letter

Letter from the County Tax collector stating that there are no unpaid County taxes heretofore levied on the property included in

said map and that the 2014-2015 tax lien has been paid in full and the 2015-2016 tax lien, which became a lien on the first day of January, 2015, is estimated to be \$201,610.00, with security guaranteeing payment of said tax lien as follows:

A. Tax Surety Posted under Tract 9314 Bond Company: RLI Insurance Company

Bond Number: #CMS0282906

Date: August 12, 2015

Amount: \$ 201,610

Principal: Shapell Industries Inc., a Delaware Corporation

NOW, THEREFORE, BE IT RESOLVED:

1. That said subdivision, together with the provisions for its design and improvement, is DETERMINED to be consistent with the County's general and specific plans.
2. That said Final Map is APPROVED and this Board does hereby REJECT on behalf of the public any streets, paths, or easements shown thereon as dedicated to public use.
3. That said subdivision agreement is also APPROVED.

Contact: Jocelyn A. B. LaRocque, 925-313-2315

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Engineering Services Originator: J. Hernandez, Design/Construction, Current Planning - DCD, T - July 22, 2017, Shapell Industries Inc. c/o Toll Brothers, Inc. 250 Gibraltar Rd Horsham PA19004, Western Surety Company, 100 Matsonford Rd Suite 200 Radnor, PA 19087, Attn: W., RLI Insurance Co., 101 Bradford Rd Ste 200, Wexford, PA 15090 Attn: Bond Dept., First American Title Company, 6683 Owens Dr. Pleasanton, CA 94588, Attn: B Salas

OWNER'S STATEMENT

THE UNDERSIGNED, BEING THE PARTIES HAVING A RECORD TITLE INTEREST IN THE LANDS DELINEATED AND EMBRACED WITHIN THE SUBDIVISION BOUNDARY OF THIS MAP, ENTITLED "SUBDIVISION 9314, ALAMO CREEK, CONTRA COSTA COUNTY, CALIFORNIA", DO HEREBY CONSENT TO THE MAKING AND RECORDATION OF THE SAME, AND DO HEREBY DECLARE THAT THE REAL PROPERTY DESCRIBED BELOW IS DEDICATED IN FULL FOR PUBLIC PURPOSES: THOSE PORTIONS OF SAID LANDS DESIGNATED WITHIN THE SUBDIVISION BOUNDARY OF THIS MAP AS: DRYSDALE STREET, KERRY HILL STREET, GRISTSTONE STREET, DAMARA COURT, AND CORRIEAL STREET.

THE REAL PROPERTY DESCRIBED BELOW IS DEDICATED AS AN EASEMENT FOR PUBLIC PURPOSES: THE AREAS DESIGNATED AS "PUBLIC UTILITY EASEMENT" OR "PUE" ARE FOR PUBLIC UTILITY PURPOSES INCLUDING POLES, WIRES, CONDUITS, STORM DRAINS, FLOOD AND SURFACE WATER DRAINAGE, WATER LINES, GAS LINES, ELECTRIC, TELEPHONE AND CABLE TELEVISION UTILITIES, INCLUDING THE RIGHTS OF INGRESS, EGRESS, CONSTRUCTION, RECONSTRUCTION, ACCESS FOR MAINTENANCE OF WORKS, IMPROVEMENTS AND STRUCTURES, AND THE CLEARING OF OBSTRUCTIONS AND VEGETATION.

THE REAL PROPERTY DESCRIBED BELOW IS DEDICATED AS AN EASEMENT FOR PUBLIC PURPOSES: THE AREAS DESIGNATED AS "SIGHT DISTANCE EASEMENT" OR "SDE" ARE FOR DEDICATION TO THE COUNTY OF CONTRA COSTA OR ITS DESIGNEE OR SUCCESSOR AS A NON-EXCLUSIVE SURFACE EASEMENT FOR SIGHT DISTANCE PURPOSES INCLUDING THE CLEARING OF OBSTRUCTIONS AND VEGETATION FOR THE PURPOSE OF MAINTAINING THE AREA SUCH THAT NO STRUCTURES OR VEGETATION ARE HIGHER THAN 2 FEET ABOVE THE TOP OF CURB.

THE REAL PROPERTY DESCRIBED AS "LANDSCAPE MAINTENANCE EASEMENT" OR "LME" ARE HEREBY DEDICATED TO THE COUNTY OF CONTRA COSTA OR ITS DESIGNEE OR SUCCESSOR FOR LANDSCAPE MAINTENANCE PURPOSES.

THE UNDERSIGNED HEREBY RELINQUISH TO THE PUBLIC FOREVER ALL ABUTTERS RIGHTS OF ACCESS FOR PEDESTRIAN AND VEHICLE INGRESS AND EGRESS ACROSS THE LOT LINES SHOWN THUSLY: |||||.

THE REAL PROPERTY DESIGNATED AS "PARCEL A" IS HEREBY RETAINED BY THE OWNER FOR DEDICATION TO THE GEOLOGIC HAZARD ABATEMENT DISTRICT "GHAD" 90-01.

THE REAL PROPERTY DESIGNATED AS PARCEL "B" IS HEREBY RETAINED BY THE OWNER FOR DEDICATION TO THE HOMEOWNERS' ASSOCIATION BY SEPARATE INSTRUMENT.

THIS MAP SHOWS ALL EASEMENTS ON THE PREMISES, OR OF RECORD:

SHAPELL INDUSTRIES, INC., A DELAWARE CORPORATION

DATE: 6/29/15 BY: Paul D. Nelson TITLE: Division President

DATE: 6/29/15 BY: P.D. Nelson TITLE: Division President

SUBDIVISION 9314
ALAMO CREEK

BEING A SUBDIVISION OF PARCEL C AS SHOWN
ON THE MAP OF SUBDIVISION 9036, ALAMO CREEK,
FILED IN BOOK 518 OF MAPS AT PAGES 45-54
CONTRA COSTA COUNTY RECORDS

CONTRA COSTA COUNTY
CALIFORNIA

RUGGERI-JENSEN-AZAR
CIVIL ENGINEERS, PLANNERS-SURVEYORS
PLEASANTON, CALIFORNIA
MARCH 2015

OWNER'S ACKNOWLEDGEMENT

STATE OF CALIFORNIA Alameda
COUNTY OF SAN JOAQUIN

ON June 29th 2015 2015, BEFORE ME, April Crawford
A NOTARY PUBLIC IN AND FOR SAID COUNTY AND STATE, PERSONALLY APPEARED
Robert D. Nelson AND Richard M. Nelson
WHO PROVED TO ME ON THE BASIS OF SATISFACTORY EVIDENCE TO BE THE
PERSON(S) WHOSE NAME(S) IS/ ARE SUBSCRIBED TO THE WITHIN INSTRUMENT
AND ACKNOWLEDGED TO ME THAT ~~HE/SHE/~~ THEY EXECUTED THE SAME IN ~~HIS/~~
~~HER/~~ THEIR AUTHORIZED CAPACITY(IES), AND THAT BY ~~HIS/HER/~~ THEIR
SIGNATURE(S) ON THE INSTRUMENT THE PERSON(S), OR THE ENTITY ON BEHALF
OF WHICH THE PERSON(S) ACTED, EXECUTED THE INSTRUMENT.

I CERTIFY UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF
CALIFORNIA THAT THE FOREGOING PARAGRAPH IS TRUE AND CORRECT.

WITNESS MY HAND,

SIGNATURE: April Crawford
PRINT NAME: April Crawford
MY COMMISSION NUMBER: 20243000
MY COMMISSION EXPIRES: May 12th 2017
PRINCIPAL COUNTY OF BUSINESS: Alameda

A NOTARY PUBLIC OR OTHER OFFICER COMPLETING THIS CERTIFICATE
VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE
DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE
TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

RECORDER'S STATEMENT
FILED THIS _____ DAY OF _____, 2015, AT _____ M. IN
BOOK _____ OF MAPS AT PAGES _____ AT THE REQUEST OF
FIRST AMERICAN TITLE COMPANY.

DOCUMENT NO. _____

JOSEPH E. CANCEMI
COUNTY RECORDER

BY: DEPUTY COUNTY RECORDER

SUBDIVISION 9314
ALAMO CREEK

BEING A SUBDIVISION OF PARCEL C AS SHOWN
ON THE MAP OF SUBDIVISION 9036, ALAMO CREEK,
FILED IN BOOK 518 OF MAPS AT PAGES 45-54
CONTRA COSTA COUNTY RECORDS

CONTRA COSTA COUNTY
CALIFORNIA

RUGGERI-JENSEN-AZAR
CIVIL ENGINEERS, PLANNERS, SURVEYORS
PLEASANTON, CALIFORNIA
MARCH 2015

ENGINEER'S STATEMENT

THIS MAP WAS PREPARED BY ME OR UNDER MY DIRECTION AND IS BASED
UPON A FIELD SURVEY IN CONFORMANCE WITH THE REQUIREMENTS OF THE
SUBDIVISION MAP ACT AND LOCAL ORDINANCE AT THE REQUEST OF SHAPELLE
INDUSTRIES, INC. IN JANUARY AND JULY 2013, AND IS TRUE AND COMPLETE
AS SHOWN. I HEREBY STATE THAT THIS FINAL MAP SUBSTANTIALLY
CONFORMS TO THE CONDITIONALLY APPROVED TENTATIVE MAP. I HEREBY
STATE THAT THE MONUMENTS ARE OF THE CHARACTER AND OCCUPY THE
POSITIONS AS INDICATED, OR THAT THEY WILL BE SET IN THE POSITIONS
INDICATED BEFORE DECEMBER 2016. THE MONUMENTS ARE OR WILL BE
SUFFICIENT TO ENABLE THE SURVEY TO BE RETRACED.

Piero P. Ruggeri 3/26/15 DATE
PIERO P. RUGGERI, R.C.E. No. 25281



COUNTY SURVEYOR'S STATEMENT

THIS MAP WAS EXAMINED BY ME AND IS SUBSTANTIALLY THE SAME AS IT
APPEARED ON THE TENTATIVE MAP, AND ANY APPROVED ALTERATIONS
THEREOF. ALL PROVISIONS OF THE SUBDIVISION MAP ACT AND OF ANY LOCAL
ORDINANCES APPLICABLE AT THE TIME OF APPROVAL OF THE TENTATIVE MAP
HAVE BEEN COMPLIED WITH. I AM SATISFIED THAT THE MAP IS TECHNICALLY
CORRECT.

JAMES A. STEIN, P.L.S. 6571
COUNTY SURVEYOR
DATE

PLANNING COMMISSION'S STATEMENT

I HEREBY STATE THAT THE PLANNING COMMISSION OF THE COUNTY OF CONTRA
COSTA, STATE OF CALIFORNIA, HAS APPROVED THE TENTATIVE MAP OF THIS
SUBDIVISION UPON WHICH THIS FINAL MAP IS BASED.

ARUNA BHAT
DEPUTY DIRECTOR
DEPARTMENT OF CONSERVATION AND DEVELOPMENT
COMMUNITY DEVELOPMENT DIVISION

BY: *Aruna Bhat* DATE: 5/4/2015

BUILDING INSPECTION STATEMENT

A GEOTECHNICAL INVESTIGATION REPORT, PREPARED BY ENGE INCORPORATED,
DATED JULY 25, 1999, REPORT NO. 4063-W100, HAS BEEN RECEIVED AND
APPROVED. THE REPORT IS ON FILE IN THE BUILDING INSPECTION DIVISION,
CONTRA COSTA COUNTY.

JASON CRABO
DEPUTY DIRECTOR
DEPARTMENT OF CONSERVATION AND DEVELOPMENT
BUILDING INSPECTION DIVISION

BY: *Jason Crabo* DATE: 6/22/2015

CLERK OF THE BOARD OF SUPERVISORS CERTIFICATE

STATE OF CALIFORNIA)
COUNTY OF CONTRA COSTA) SS.

I, DAVID TWA, CLERK OF THE BOARD OF SUPERVISORS AND COUNTY
ADMINISTRATOR OF THE COUNTY OF CONTRA COSTA, STATE OF CALIFORNIA,
DO HEREBY CERTIFY THAT THE ABOVE AND FOREGOING MAP ENTITLED
"SUBDIVISION 9314, ALAMO CREEK", CONTRA COSTA COUNTY, CALIFORNIA,
WAS PRESENTED TO SAID BOARD OF SUPERVISORS, AS PROVIDED BY LAW,
AT A REGULAR MEETING HELD ON THE _____ DAY OF _____
THEREUPON BY RESOLUTION DULY PASSED AND ADOPTED AT SAID MEETING,
APPROVE SAID MAP AND DID REJECT ON BEHALF OF THE PUBLIC ALL OF THE
STREETS, ROADS, AVENUES, PARCELS OR EASEMENTS SHOWN THEREON AS
DEDICATED TO PUBLIC USE.

I FURTHER CERTIFY THAT ALL TAX LIENS HAVE BEEN SATISFIED AND THAT ALL
BONDS AS REQUIRED BY LAW TO ACCOMPANY THE WITHIN MAP HAVE BEEN
APPROVED BY THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, AND
FILED IN MY OFFICE.

IN WITNESS WHEREOF, I HAVE HEREUNTO SET MY HAND THIS _____ DAY OF _____, 2015.

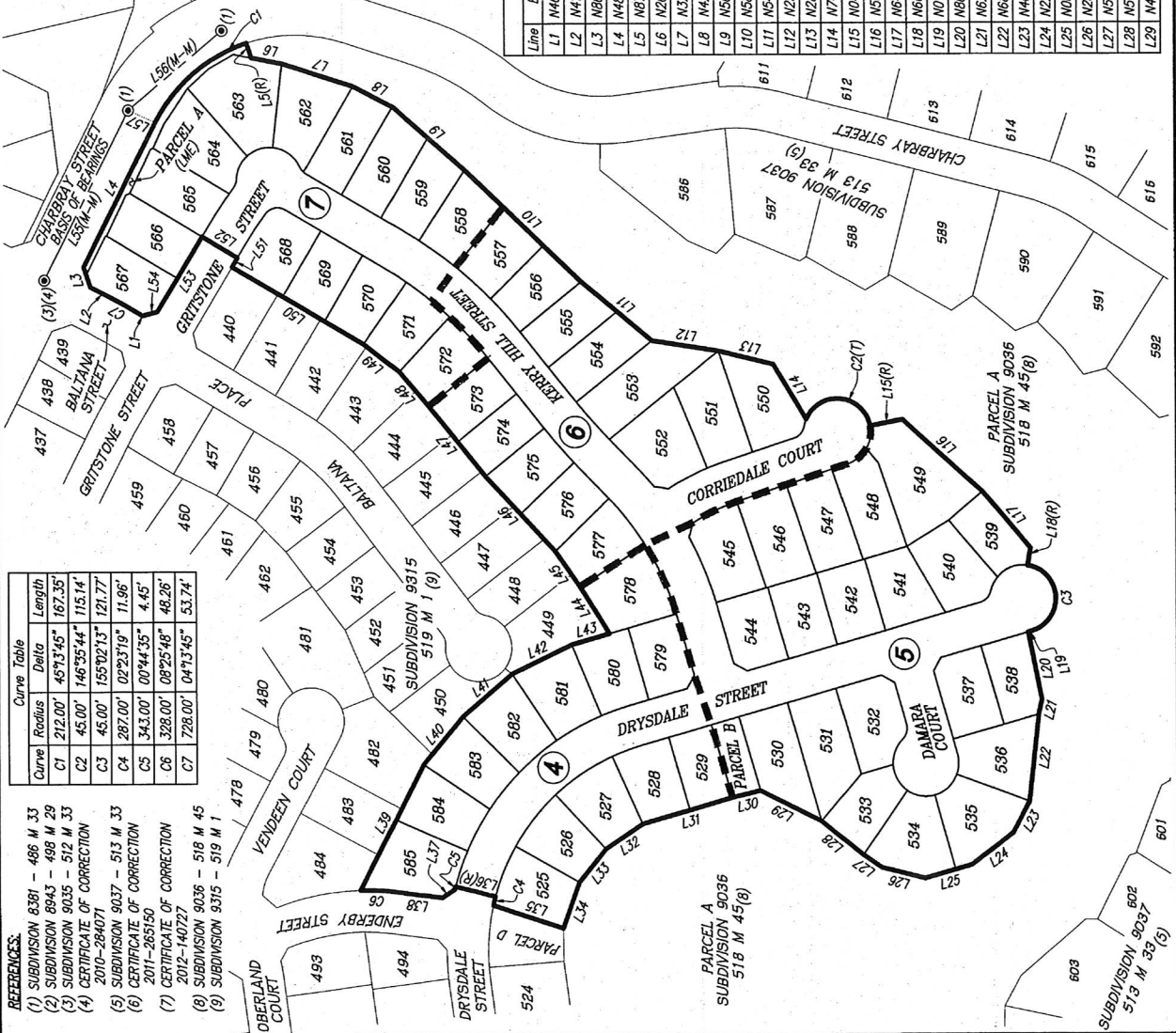
DAVID TWA
CLERK OF THE BOARD OF SUPERVISORS
AND COUNTY ADMINISTRATOR

BY: _____
DEPUTY CLERK

REFERENCES:

- (1) SUBDIVISION 8381 - 486 M 33
- (2) SUBDIVISION 8943 - 498 M 29
- (3) SUBDIVISION 9035 - 512 M 33
- (4) CERTIFICATE OF CORRECTION 2010-284071
- (5) SUBDIVISION 9037 - 513 M 33
- (6) CERTIFICATE OF CORRECTION 2011-265150
- (7) CERTIFICATE OF CORRECTION 2012-140727
- (8) SUBDIVISION 9036 - 518 M 45
- (9) SUBDIVISION 9315 - 519 M 1

Curve	Radius	Delta	Length
C1	212.00'	45°13'45"	167.35'
C2	45.00'	146°35'44"	115.14'
C3	45.00'	150°21'13"	121.77'
C4	287.00'	02°23'19"	11.96'
C5	343.00'	00°44'35"	4.45'
C6	328.00'	08°25'48"	48.26'
C7	728.00'	04°13'45"	53.74'



NOTES:

1. ALL MONUMENT TIE LINES ARE AT RIGHT ANGLES TO THE MONUMENT LINE UNLESS OTHERWISE NOTED.

BASIS OF BEARINGS:

THE LINE BETWEEN TWO FOUND MONUMENTS IN CHARBRAY STREET AS SHOWN ON SUBDIVISION 9036, FILED DECEMBER 20, 2013 IN BOOK 518 OF MAPS AT PAGE 45, OFFICIAL RECORDS OF CONTRA COSTA COUNTY, TAKEN AS N48°07'00"W, CALIFORNIA COORDINATE SYSTEM ZONE III, (CCS83) IS THE BASIS OF BEARINGS FOR THIS MAP. DISTANCES SHOWN HEREON ARE GROUND DISTANCES. MULTIPLY BY 0.99992954 TO OBTAIN GRID DISTANCES.

LEGEND

- SUBDIVISION BOUNDARY
- LOT LINE
- EXISTING EASEMENT AS NOTED
- NEW EASEMENT AS NOTED
- MONUMENT LINE
- MONUMENT TIE LINE
- EXISTING LOT LINE
- FOUND STANDARD STREET MONUMENT STAMPED PER REFERENCE AS NOTED
- SET STANDARD STREET MONUMENT STAMPED RCE 25281
- LANDSCAPE MAINTENANCE EASEMENT
- PUE
- PUBLIC UTILITY EASEMENT
- SIDE
- SE
- SIGHT DISTANCE EASEMENT
- SQ.FT.
- WALL EASEMENT
- MONUMENT TO MONUMENT (M-M)
- RADIAL BEARING (R)
- TOTAL
- EXISTING
- RECORD REFERENCE
- EXISTING RELINQUISHMENT OF ABUTTER'S RIGHTS PER SUBDIVISION 9036 (518 M 45)
- RELINQUISHMENT OF ABUTTER'S RIGHTS
- SHEET COVERAGE
- SHEET NUMBER

SUBDIVISION 9314 ALAMO CREEK

BEING A SUBDIVISION OF PARCEL C AS SHOWN ON THE MAP OF SUBDIVISION 9036, ALAMO CREEK, FILED IN BOOK 518 OF MAPS AT PAGES 45-54 CONTRA COSTA COUNTY RECORDS

CONTRA COSTA COUNTY
CALIFORNIA

RUGGERI-JENSEN-AZAR
CIVIL ENGINEERS, PLANNERS, SURVEYORS
PLEASANTON, CALIFORNIA
MARCH 2015

SCALE: 1" = 100'

JOB NO. 115008

APN: 206-030-086-6

SHEET 3 OF 7 SHEETS

Line	Bearing	Distance
L1	N46°08'45"E	0.57'
L2	N41°53'00"E	26.78'
L3	N86°53'00"E	28.28'
L4	N48°07'00"W	217.47'
L5	N87°06'45"E	21.18'
L6	N28°02'42"E	41.95'
L7	N32°41'32"E	101.17'
L8	N42°41'43"E	60.07'
L9	N56°26'54"E	128.05'
L10	N58°44'56"E	218.40'
L11	N54°47'54"E	123.96'
L12	N23°56'41"E	90.74'
L13	N28°43'53"E	76.23'
L14	N74°21'40"E	85.86'
L15	N04°24'27"E	44.77'
L16	N56°48'18"E	145.42'
L17	N64°33'05"E	100.00'
L18	N66°02'13"W	23.73'
L19	N01°00'00"W	5.45'
L20	N66°22'55"W	92.06'
L21	N62°21'52"W	20.71'
L22	N68°39'19"W	117.51'
L23	N46°49'13"W	47.33'
L24	N22°16'28"W	71.00'
L25	N00°38'17"W	65.56'
L26	N28°55'04"E	61.35'
L27	N60°01'39"E	29.97'
L28	N54°06'59"E	71.74'
L29	N41°30'12"E	92.08'

Line	Bearing	Distance
L30	N07°12'50"E	38.63'
L31	N07°00'00"W	126.36'
L32	N16°51'04"W	60.07'
L33	N36°03'39"W	57.46'
L34	N55°29'15"W	65.43'
L35	N33°14'15"E	100.07'
L36	N29°55'36"E	56.00'
L37	N18°52'21"W	19.65'
L38	N22°19'42"E	62.30'
L39	N48°03'30"W	188.07'
L40	N35°24'24"W	82.93'
L41	N25°09'59"W	87.86'
L42	N10°51'48"W	89.89'
L43	N01°00'00"W	53.16'
L44	N73°03'49"E	74.65'
L45	N70°11'56"E	57.80'
L46	N61°52'16"E	138.27'
L47	N66°21'10"E	121.44'
L48	N64°24'12"E	61.27'
L49	N52°53'28"E	60.35'
L50	N45°26'08"E	206.53'
L51	N43°53'15"W	14.35'
L52	N46°06'45"E	56.00'
L53	N43°53'15"W	117.62'
L54	N00°55'23"E	20.55'
L55	N48°07'00"W	255.60'
L56	N25°01'34"W	165.42'
L57	N39°12'00"E	40.04'

NOTES:

1. ALL MONUMENT TIE LINES ARE AT RIGHT ANGLES TO THE MONUMENT LINE UNLESS OTHERWISE NOTED.

REFERENCES:

- (1) SUBDIVISION 8381 - 486 M 33
- (2) SUBDIVISION 8943 - 488 M 29
- (3) SUBDIVISION 9035 - 512 M 33
- (4) CERTIFICATE OF CORRECTION 2010-284071
- (5) SUBDIVISION 9037 - 513 M 33
- (6) CERTIFICATE OF CORRECTION 2011-265160
- (7) CERTIFICATE OF CORRECTION 2012-140727
- (8) SUBDIVISION 9036 - 518 M 45
- (9) SUBDIVISION 9315 - 519 M 1

BASIS OF BEARINGS

THE LINE BETWEEN TWO FOUND MONUMENTS IN CHARRRAY STREET AS SHOWN ON SUBDIVISION 9036, FILED DECEMBER 20, 2013 IN BOOK 518 OF MAPS AT PAGE 45, OFFICIAL RECORDS OF CONTRA COSTA COUNTY, TAKEN AS N48°07'00"W, CALIFORNIA COORDINATE SYSTEM ZONE III, (CCS83) IS THE BASIS OF BEARINGS FOR THIS MAP. DISTANCES SHOWN HEREON ARE GROUND DISTANCES. MULTIPLY BY 0.99992954 TO OBTAIN GRID DISTANCES.

LEGEND

- SUBDIVISION BOUNDARY
- LOT LINE
- EXISTING EASEMENT AS NOTED
- NEW EASEMENT AS NOTED
- MONUMENT TIE LINE
- EXISTING LOT LINE
- FOUND STANDARD STREET MONUMENT
- STAMPED PER REFERENCE AS NOTED
- SET STANDARD STREET MONUMENT
- STAMPED RCE 25281
- LANDSCAPE MAINTENANCE EASEMENT
- PUBLIC UTILITY EASEMENT
- STORM DRAIN EASEMENT
- SIGHT DISTANCE EASEMENT
- SQUARE FEET
- WE WALL EASEMENT
- MONUMENT TO MONUMENT
- RADIAL BEARING
- TOTAL
- EXISTING
- EX
- RECORD REFERENCE
- (1)
- EXISTING RELINQUISHMENT OF ABUTTER'S RIGHTS
- PER SUBDIVISION 9036 (518 M 45)
- RELINQUISHMENT OF ABUTTER'S RIGHTS

**SUBDIVISION 9314
ALAMO CREEK**

BEING A SUBDIVISION OF PARCEL C AS SHOWN ON THE MAP OF SUBDIVISION 9036, ALAMO CREEK, FILED IN BOOK 518 OF MAPS AT PAGES 45-54, CONTRA COSTA COUNTY RECORDS

CONTRA COSTA COUNTY
CALIFORNIA

RUGGERI-JENSEN-AZAR
CIVIL ENGINEERS, PLANNERS, SURVEYORS
PLEASANTON, CALIFORNIA
MARCH 2015

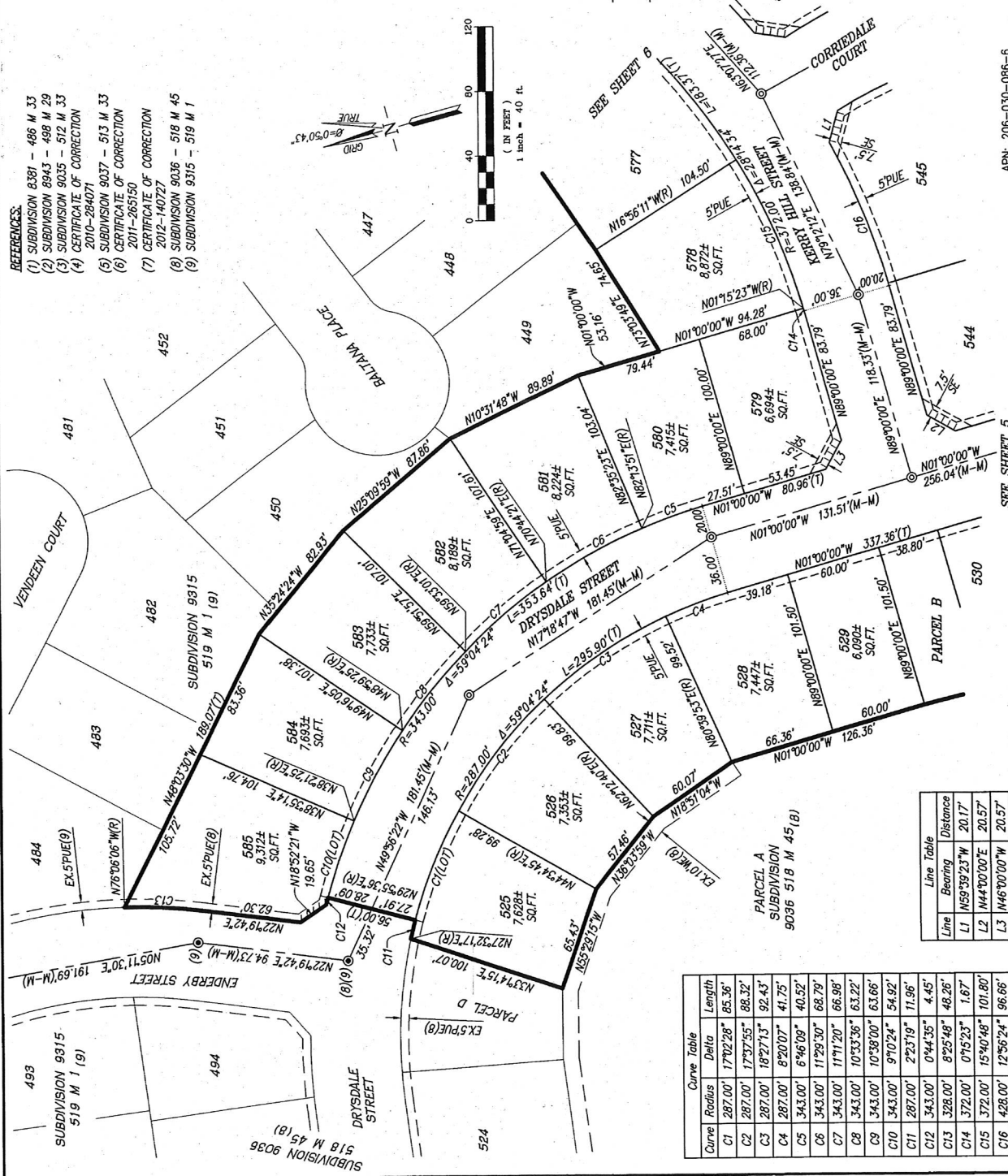
SCALE: 1" = 40'

JOB NO. 115008

APN: 206-030-086-6

SEE SHEET 5

SHEET 4 OF 7 SHEETS



Curve Table

Curve	Radius	Delta	Length
C1	287.00'	17°02'28"	85.36'
C2	287.00'	17°37'55"	86.32'
C3	287.00'	18°27'13"	92.43'
C4	287.00'	8°20'07"	41.75'
C5	343.00'	6°46'09"	40.52'
C6	343.00'	11°29'30"	68.79'
C7	343.00'	11°11'20"	66.96'
C8	343.00'	10°33'36"	63.22'
C9	343.00'	10°38'00"	63.66'
C10	343.00'	9°10'24"	54.92'
C11	287.00'	22°37'19"	11.96'
C12	343.00'	0°44'35"	4.45'
C13	328.00'	8°25'48"	48.26'
C14	372.00'	0°15'23"	1.67'
C15	372.00'	15°40'48"	101.80'
C16	428.00'	12°56'24"	96.66'

Line Table

Line	Bearing	Distance
L1	N59°39'23"W	20.17'
L2	N44°00'00"E	20.57'
L3	N46°00'00"W	20.57'

NOTES:
1. ALL MONUMENT TIE LINES ARE AT RIGHT ANGLES TO THE MONUMENT LINE UNLESS OTHERWISE NOTED.

BASIS OF BEARINGS

THE LINE BETWEEN TWO FOUND MONUMENTS IN CHARRBAY STREET AS SHOWN ON SUBDIVISION 9036, FILED DECEMBER 20, 2013 IN BOOK 518 OF MAPS AT PAGE 45, OFFICIAL RECORDS OF CONTRA COSTA COUNTY, TAKEN AS N48°07'00"W, CALIFORNIA COORDINATE SYSTEM ZONE III, (CCS83) IS THE BASIS OF BEARINGS FOR THIS MAP. DISTANCES SHOWN HEREON ARE GROUND DISTANCES. MULTIPLY BY 0.99992954 TO OBTAIN GRID DISTANCES.

Line	Bearing	Distance
L1	N44°00'00"E	20.57
L2	N46°00'00"W	20.57
L3	N44°00'00"E	20.57
L4	N59°23'23"W	20.17

LEGEND

---	SUBDIVISION BOUNDARY
---	LOT LINE
---	EXISTING EASEMENT AS NOTED
---	NEW EASEMENT AS NOTED
---	MONUMENT LINE
---	EXISTING LOT LINE
---	FOUND STANDARD STREET MONUMENT
---	STAMPED PER REFERENCE AS NOTED
---	STAMPED RCE 25281
---	LANDSCAPE MAINTENANCE EASEMENT
---	PUBLIC UTILITY EASEMENT
---	STORM DRAIN EASEMENT
---	SIGHT DISTANCE EASEMENT
---	SQ.FT.
---	WE WALL EASEMENT
---	MONUMENT TO MONUMENT
---	RADIAL BEARING
---	TOTAL
---	EXISTING
---	RECORD REFERENCE
---	EXISTING RELINQUISHMENT OF ABUTTER'S RIGHTS
---	PER SUBDIVISION 9036 (518 M 45)
---	RELINQUISHMENT OF ABUTTER'S RIGHTS

SUBDIVISION 9314 ALAMO CREEK

BEING A SUBDIVISION OF PARCEL C AS SHOWN ON THE MAP OF SUBDIVISION 9036, ALAMO CREEK, FILED IN BOOK 518 OF MAPS AT PAGES 45-54 CONTRA COSTA COUNTY RECORDS

CONTRA COSTA COUNTY

CALIFORNIA

RUGGERI-JENSEN-AZAR

CIVIL ENGINEERS, PLANNERS, SURVEYORS

PLEASANTON, CALIFORNIA

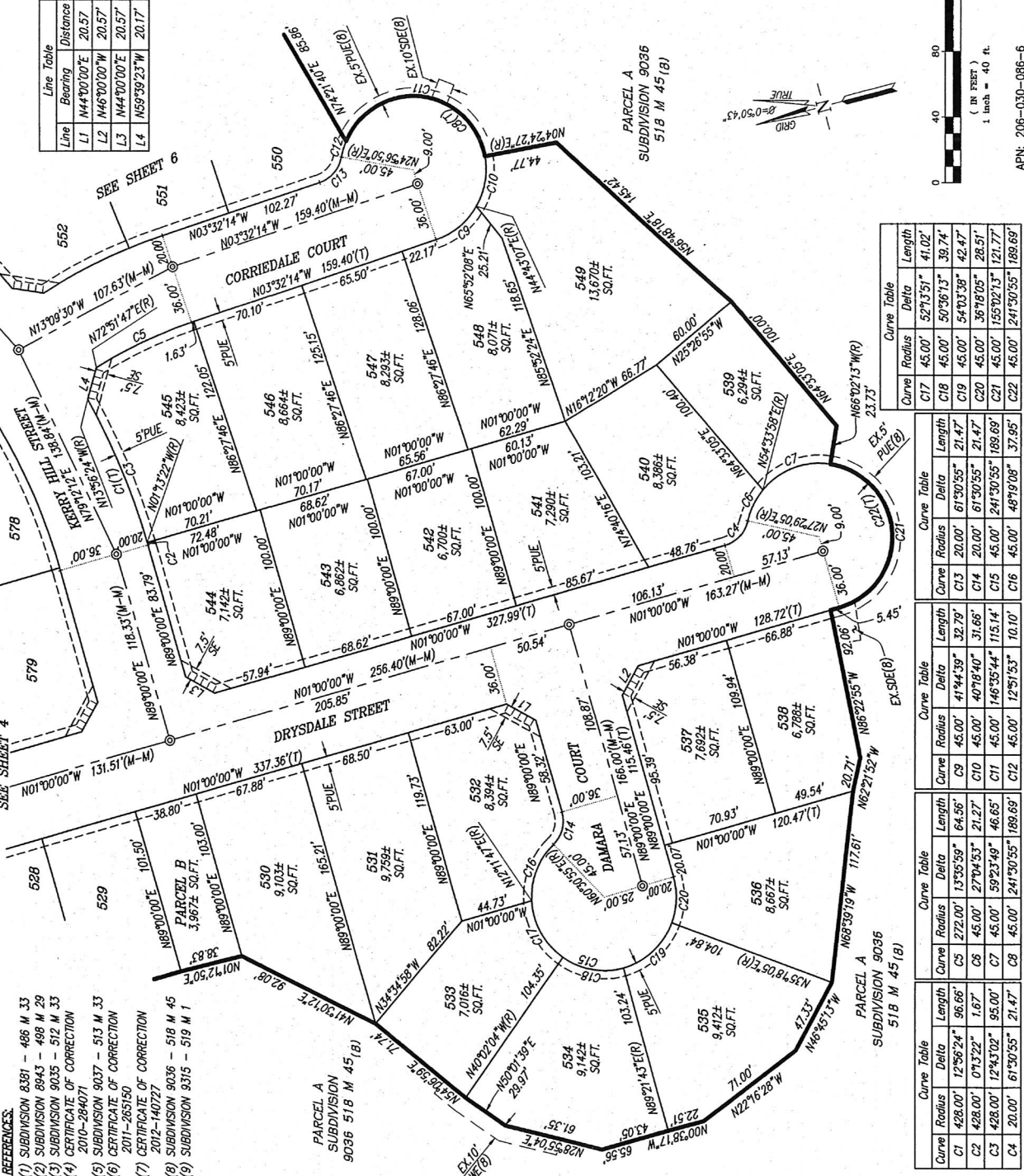
MARCH 2015

SCALE: 1" = 40'

JOB NO. 115008

APN: 206-030-086-5

SHEET 5 OF 7 SHEETS



REFERENCES:

- (1) SUBDIVISION 8381 - 486 M 33
- (2) SUBDIVISION 8943 - 486 M 29
- (3) SUBDIVISION 9035 - 512 M 33
- (4) CERTIFICATE OF CORRECTION 2010-284071
- (5) SUBDIVISION 9037 - 513 M 33
- (6) CERTIFICATE OF CORRECTION 2011-265150
- (7) CERTIFICATE OF CORRECTION 2012-140727
- (8) SUBDIVISION 9036 - 518 M 45
- (9) SUBDIVISION 9315 - 519 M 1

Curve	Radius	Delta	Length
C17	45.00'	52°13'51"	41.02'
C18	45.00'	50°36'13"	38.74'
C19	45.00'	54°03'38"	42.47'
C20	45.00'	36°18'05"	28.51'
C21	45.00'	155°02'13"	121.77'
C22	45.00'	241°30'55"	188.69'

Curve	Radius	Delta	Length
C1	20.00'	61°30'55"	21.47'
C2	20.00'	61°30'55"	21.47'
C3	20.00'	61°30'55"	21.47'
C4	20.00'	61°30'55"	21.47'
C5	272.00'	13°35'59"	64.56'
C6	45.00'	27°04'53"	21.27'
C7	45.00'	59°23'49"	46.65'
C8	45.00'	241°30'55"	188.69'
C9	45.00'	41°44'39"	32.79'
C10	45.00'	40°18'40"	31.66'
C11	45.00'	146°35'44"	115.14'
C12	45.00'	12°51'53"	10.10'

NOTES:

1. ALL MONUMENT TIE LINES ARE AT RIGHT ANGLES TO THE MONUMENT LINE UNLESS OTHERWISE NOTED.

BASIS OF BEARINGS:

THE LINE BETWEEN TWO FOUND MONUMENTS IN CHARRRAY STREET AS SHOWN ON SUBDIVISION 9036, FILED DECEMBER 20, 2013 IN BOOK 518 OF MAPS AT PAGE 45, OFFICIAL RECORDS OF CONTRA COSTA COUNTY, TAKEN AS N48°07'00"W, CALIFORNIA COORDINATE SYSTEM ZONE III, (CCS83) IS THE BASIS OF BEARINGS FOR THIS MAP. DISTANCES SHOWN HEREON ARE GROUND DISTANCES, MULTIPLY BY 0.99992954 TO OBTAIN GRID DISTANCES.

LEGEND

	SUBDIVISION BOUNDARY
	LOT LINE
	EXISTING EASEMENT AS NOTED
	NEW EASEMENT AS NOTED
	MONUMENT LINE
	EXISTING LOT LINE
	FOUND STANDARD STREET MONUMENT
	STAMPED PER REFERENCE AS NOTED
	STAMPED RCE 25281
	LANDSCAPE MAINTENANCE EASEMENT
	PUBLIC UTILITY EASEMENT
	SIDE STORM DRAIN EASEMENT
	SIGHT DISTANCE EASEMENT
	SQ.FT. SQUARE FEET
	WE WALL EASEMENT
	MONUMENT TO MONUMENT
	(M-M) RADIAL BEARING
	(R) TOTAL
	(T) EXISTING
	EX RECORD REFERENCE
	(I) EXISTING RELINQUISHMENT OF ABUTTER'S RIGHTS
	PER SUBDIVISION 9036 (518 M 45)
	RELINQUISHMENT OF ABUTTER'S RIGHTS

**SUBDIVISION 9314
ALAMO CREEK**

BEING A SUBDIVISION OF PARCEL C AS SHOWN ON THE MAP OF SUBDIVISION 9036, ALAMO CREEK, FILED IN BOOK 518 OF MAPS AT PAGES 45-54 CONTRA COSTA COUNTY RECORDS

CONTRA COSTA COUNTY
CALIFORNIA

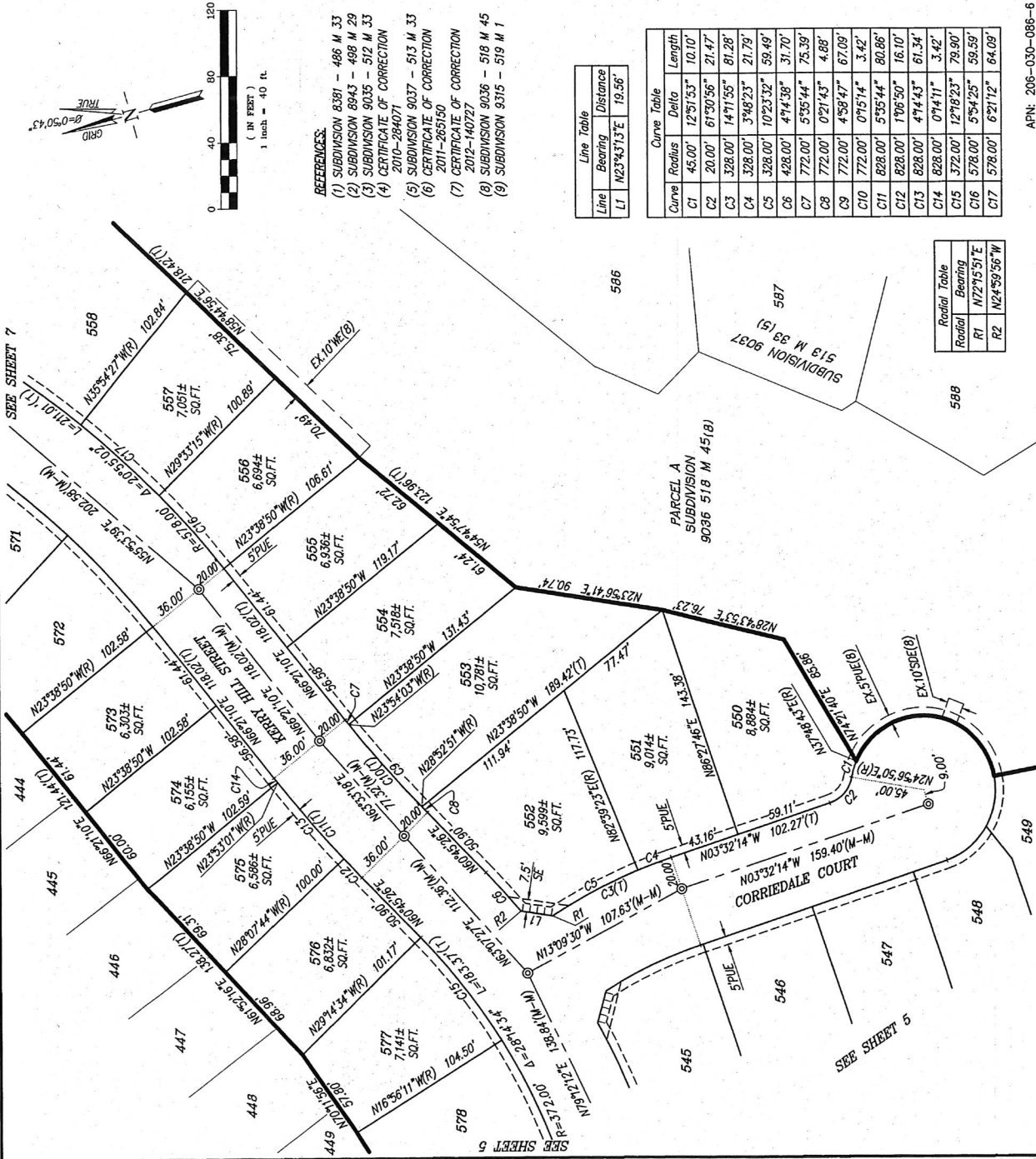
RUGGERI-JENSEN-AZAR
CIVIL ENGINEERS, PLANNERS, SURVEYORS
PLEASANTON, CALIFORNIA
MARCH 2015

SCALE: 1" = 40'

JOB NO. 115009

APN: 206-030-086-6

SHEET 6 OF 7 SHEETS



REFERENCES:

- (1) SUBDIVISION 8381 - 486 M 33
- (2) SUBDIVISION 8943 - 498 M 29
- (3) SUBDIVISION 9035 - 512 M 33
- (4) CERTIFICATE OF CORRECTION 2010-284071
- (5) SUBDIVISION 9037 - 513 M 33
- (6) CERTIFICATE OF CORRECTION 2011-269150
- (7) CERTIFICATE OF CORRECTION 2012-140727
- (8) SUBDIVISION 9036 - 518 M 45
- (9) SUBDIVISION 9315 - 519 M 1

Line	Bearing	Distance
L1	N23°43'13"E	19.56"

Curve	Radius	Delta	Length
C1	45.00'	125°53'	10.10'
C2	20.00'	61°30'56"	21.47'
C3	328.00'	141°55'	81.28'
C4	328.00'	3°48'23"	21.79'
C5	328.00'	10°23'32"	59.49'
C6	428.00'	4°14'38"	31.70'
C7	772.00'	5°55'44"	75.39'
C8	772.00'	0°21'43"	4.88'
C9	772.00'	4°58'47"	67.09'
C10	772.00'	0°15'14"	3.42'
C11	828.00'	5°55'44"	80.66'
C12	828.00'	1°06'50"	16.10'
C13	828.00'	4°14'43"	61.34'
C14	828.00'	0°14'11"	3.42'
C15	372.00'	12°16'23"	79.90'
C16	578.00'	5°54'25"	59.59'
C17	578.00'	6°21'12"	64.09'

Radial	Bearing
R1	N72°15'51"E
R2	N24°59'56"W

NOTES:

1. ALL MONUMENT TIE LINES ARE AT RIGHT ANGLES TO THE MONUMENT LINE UNLESS OTHERWISE NOTED.

BASIS OF BEARINGS

THE LINE BETWEEN TWO FOUND MONUMENTS IN CHARBRAY STREET AS SHOWN ON SUBDIVISION 9036, FILED DECEMBER 20, 2013 IN BOOK 518 OF MAPS AT PAGE 45, OFFICIAL RECORDS OF CONTRA COSTA COUNTY, TAKEN AS N48°07'00"W, CALIFORNIA COORDINATE SYSTEM ZONE III, (CCS83) IS THE BASIS OF BEARINGS FOR THIS MAP. DISTANCES SHOWN HEREON ARE GROUND DISTANCES. MULTIPLY BY 0.99992954 TO OBTAIN GRID DISTANCES.

LEGEND

—	SUBDIVISION BOUNDARY
—	LOT LINE
---	EXISTING EASEMENT AS NOTED
---	NEW EASEMENT AS NOTED
---	MONUMENT TIE LINE
---	EXISTING LOT LINE
●	FOUND STANDARD STREET MONUMENT
○	SET STANDARD STREET MONUMENT
○	STAMPED RCE 25281
○	LANDSCAPE MAINTENANCE EASEMENT
○	PUBLIC UTILITY EASEMENT
○	STORM DRAIN EASEMENT
○	SIGHT DISTANCE EASEMENT
○	SQUARE FEET
○	WALL EASEMENT
○	MONUMENT TO MONUMENT
○	RADIAL BEARING
○	TOTAL
○	EXISTING
○	RECORD REFERENCE
○	EXISTING RELINQUISHMENT OF ABUTTER'S RIGHTS
○	PER SUBDIVISION 9036 (518 M 45)
○	RELINQUISHMENT OF ABUTTER'S RIGHTS

SUBDIVISION 9314
ALAMO CREEK

BEING A SUBDIVISION OF PARCEL C AS SHOWN ON THE MAP OF SUBDIVISION 9036, ALAMO CREEK, FILED IN BOOK 518 OF MAPS AT PAGES 45-54, CONTRA COSTA COUNTY RECORDS

CONTRA COSTA COUNTY
CALIFORNIA

RUGGERI-JENSEN-AZAR
CIVIL ENGINEERS, PLANNERS, SURVEYORS
PLEASANTON, CALIFORNIA
MARCH 2015

SCALE: 1"= 40'

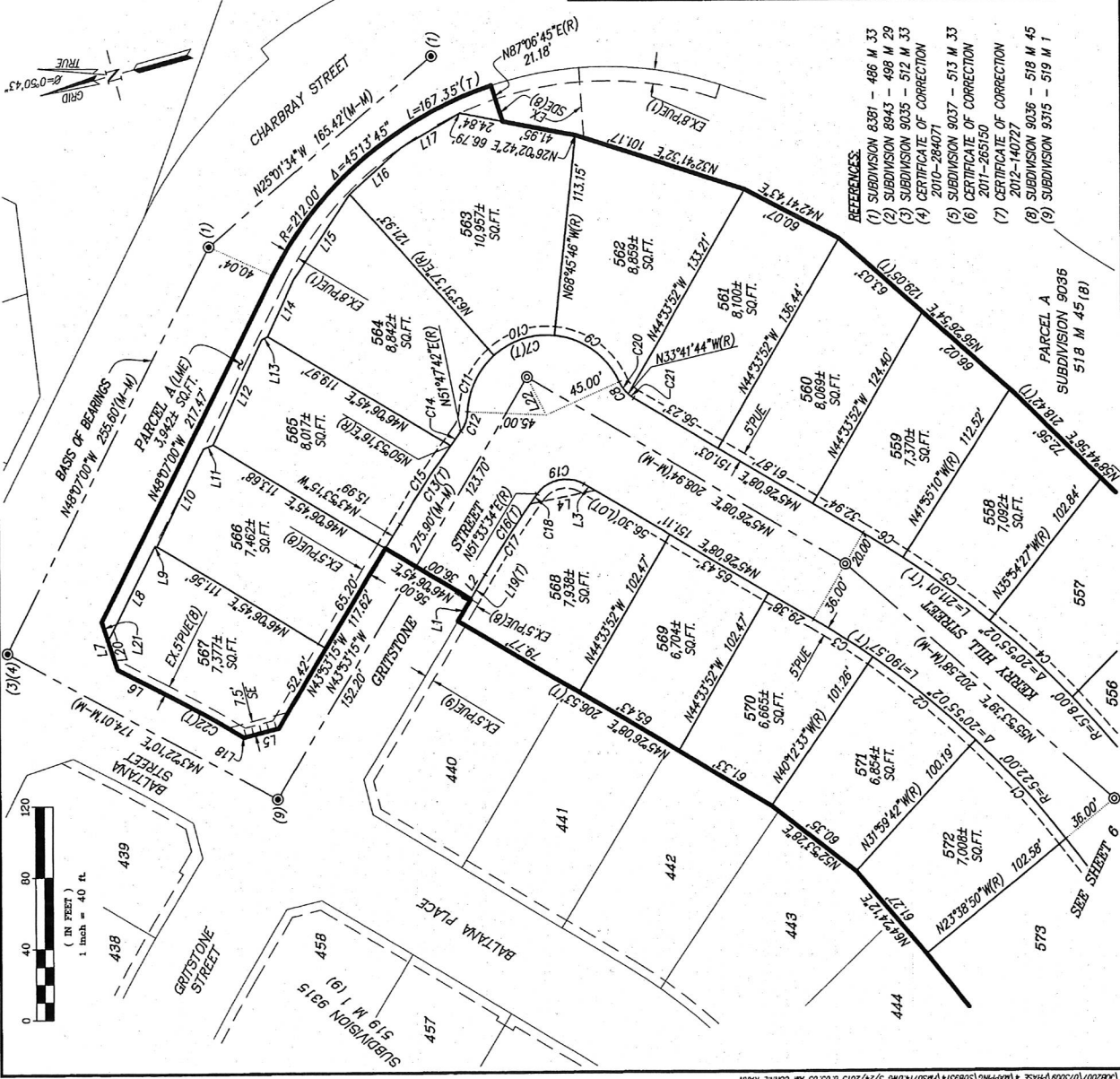
JOB NO. 115008

APN: 206-030-086-6

SHEET 7 OF 7 SHEETS

Line	Bearing	Distance
L1	N45°33'15"W	14.35'
L2	N45°33'15"W	15.99'
L3	N45°26'08"E	7.32'
L4	N02°46'23"E	37.35'
L5	N09°55'23"E	20.55'
L6	N41°53'00"E	26.78'
L7	N08°53'00"E	28.28'
L8	N48°07'00"W	58.53'
L9	N08°53'21"W	1.83'
L10	N49°12'42"W	60.72'
L11	N07°18'47"W	5.90'
L12	N49°19'18"W	65.36'
L13	N10°56'39"W	3.82'
L14	N49°55'40"W	35.30'
L15	N41°44'23"W	57.59'
L16	N27°55'09"W	38.68'
L17	N14°25'19"W	38.53'
L18	N46°06'45"E	0.57'
L19	N43°33'15"W	30.34'
L20	N86°53'00"E	16.97'
L21	N85°53'00"E	11.31'
L22	N78°05'53"E	24.09'

Curve	Radius	Delta	Length
C1	522.00'	8°20'52"	76.05'
C2	522.00'	8°25'1"	74.84'
C3	522.00'	4°21'19"	39.68'
C4	578.00'	6°21'12"	64.09'
C5	578.00'	6°00'43"	60.65'
C6	578.00'	2°58'42"	26.68'
C7	45.00'	152°58'09"	120.14'
C8	20.00'	35°22'28"	12.35'
C9	45.00'	59°34'22"	46.79'
C10	45.00'	4°42'37"	37.47'
C11	45.00'	45°41'10"	35.68'
C12	20.00'	33°57'15"	11.85'
C13	628.00'	5°40'57"	62.28'
C14	628.00'	0°54'26"	9.94'
C15	628.00'	4°46'31"	52.34'
C16	572.00'	5°26'49"	54.38'
C17	572.00'	4°44'45"	47.38'
C18	572.00'	0°42'04"	7.00'
C19	20.00'	83°52'34"	29.28'
C20	20.00'	24°30'20"	8.56'
C21	20.00'	10°52'08"	3.79'
C22	728.00'	4°13'45"	53.74'



REFERENCES:

- (1) SUBDIVISION 8391 - 486 M 33
- (2) SUBDIVISION 8843 - 486 M 29
- (3) SUBDIVISION 9035 - 512 M 33
- (4) CERTIFICATE OF CORRECTION 2010-284071
- (5) SUBDIVISION 9037 - 513 M 33
- (6) CERTIFICATE OF CORRECTION 2011-261550
- (7) CERTIFICATE OF CORRECTION 2012-140777
- (8) SUBDIVISION 9038 - 518 M 45
- (9) SUBDIVISION 9315 - 519 M 1

SUBDIVISION AGREEMENT
(Gov. Code, §§ 66462 and 66463)

Subdivision: SD 9314
Subdivider: Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation

Effective Date: _____
Completion Period: 2 Years

THESE SIGNATURES ATTEST TO THE PARTIES' AGREEMENT HERETO:

CONTRA COSTA COUNTY

Julia R. Bueren, Public Works Director

By: _____

RECOMMENDED FOR APPROVAL:

By: _____
Engineering Services Division

FORM APPROVED: Silvano B. Marchesi, County Counsel

SUBDIVIDER Shapell Homes, a Division of Shapell Industries, Inc., a Delaware Corporation

Print Name Bob Moore
Print Title Division President/Regional Manager

Print Name: Richard Nelson
Print Title: Division President

[Note: If Subdivider is a corporation, two officers must sign. The first must be the chairman of the board, president or any vice president; the second must be the secretary, assistant secretary, chief financial officer or any assistant treasurer. (Corp. Code, § 313; Civ. Code, § 1190.) If Subdivider is a limited liability company, Subdivider shall sign in the manner required of corporations, or by two managers, or by one manager, pursuant to the articles of organization (see Corp. Code, §§ 17151, 17154, 17157.) If Subdivider is a partnership, any authorized partner may sign. Signatures by Subdivider must be notarized.]

1. PARTIES & DATE. Effective on the above date, the County of Contra Costa, California (hereinafter "County"), and the above-mentioned Subdivider mutually promise and agree as follows concerning this Subdivision:

2. IMPROVEMENTS. Subdivider agrees to install certain road improvements (both public and private), drainage improvements, signs, street lights, fire hydrants, landscaping and such other improvements (including appurtenant equipment) as required in the improvement plans for this Subdivision as reviewed and on file with the Contra Costa County Public Works Department, as required by the Conditions of Approval for this Subdivision, and in conformance with the Contra Costa County Ordinance Code, including future amendments thereto (hereinafter "Ordinance Code").

Subdivider shall complete said improvements (hereinafter "Work") within the above completion period from date hereof, as required by the California Subdivision Map Act (Gov. Code, §§ 66410 et. seq.) in a good workmanlike manner, in accordance with accepted construction practices and in a manner equal or superior to the requirements of the Ordinance Code and rulings made thereunder; and where there is a conflict among the improvement plans, the Conditions of Approval and the Ordinance Code, the stricter requirements shall govern.

3. IMPROVEMENTS SECURITY. Upon executing this Agreement, the Subdivider shall, pursuant to Gov. Code § 66499 and the County Ordinance Code, provide as security to the County:

A. For Performance and Guarantee: \$ 16,000 cash, plus additional security, in the amount of \$ 1,521,000, which together total one hundred percent (100%) of the estimated cost of the Work. Such additional security is presented in the form of:

_____ Cash, certified check or cashier's check.
_____ ☒ Acceptable corporate surety bond.
_____ Acceptable irrevocable letter of credit.

With this security, Subdivider guarantees performance under this Agreement and maintenance of the Work for one year after its completion and acceptance against any defective workmanship or materials or any unsatisfactory performance.

B. For Payment: Security in the amount: \$ 768,500, which is fifty percent (50%) of the estimated cost of the Work. Such security is presented in the form of:

_____ Cash, certified check, or cashier's check
_____ ☒ Acceptable corporate surety bond.
_____ Acceptable irrevocable letter of credit.

With this security, Subdivider guarantees payment to the contractor, to its subcontractors and to persons renting equipment or furnishing labor or materials to them or to the Subdivider.

Upon acceptance of the Work as complete by the Board of Supervisors and upon request of Subdivider, the amounts held as security may be reduced in accordance with Sections 94-4.406 and 94-4.408 of the Ordinance Code.

4. GUARANTEE AND WARRANTY OF WORK. Subdivider guarantees that the Work shall be free from defects in material or workmanship and shall perform satisfactorily for a period of one (1) year from and after the Board of Supervisors accepts the Work as complete in accordance with Article 96-4.6, "Acceptance," of the Ordinance Code. Subdivider agrees to correct, repair, or replace, at Subdivider's expense, any defects in said Work.

The guarantee period does not apply to road improvements for private roads that are not to be accepted into the County road system.

5. PLANT ESTABLISHMENT WORK. Subdivider agrees to perform plant establishment work for landscaping installed under this Agreement. Said plant establishment work shall consist of adequately watering plants, replacing unsuitable plants, doing weed, rodent and other pest control and other work determined by the Public Works Department to be necessary to ensure establishment of plants. Said plant establishment work shall be performed for a period of one (1) year from and after the Board of Supervisors accepts the Work as complete.

6. IMPROVEMENT PLAN WARRANTY. Subdivider warrants the improvement plans for the Work are adequate to accomplish the Work as promised in Section 2 and as required by the Conditions of Approval for the Subdivision. If, at any time before the Board of Supervisors accepts the Work as complete or during the one year guarantee period, said improvement plans prove to be inadequate in any respect, Subdivider shall make whatever changes are necessary to accomplish the Work as promised.

7. NO WAIVER BY COUNTY. Inspection of the Work and/or materials, or approval of the Work and/or materials or statement by any officer, agent or employee of the County indicating the Work or any part thereof complies with the requirements of this Agreement, or acceptance of the whole or any part of said Work and/or materials, or payments therefor, or any combination or all of these acts, shall not relieve the Subdivider of its obligation to fulfill this Agreement as prescribed; nor shall the County be thereby stopped from bringing any action for damages arising from the failure to comply with any of the terms and conditions hereof.

8. INDEMNITY. Subdivider shall defend, hold harmless and indemnify the indemnitees from the liabilities as defined in this section:

A. The indemnitees benefitted and protected by this promise are the County and its special districts, elective and appointive boards, commissions, officers, agents and employees.

B. The liabilities protected against are any liability or claim for damage of any kind allegedly suffered, incurred or threatened because of actions defined below, and including personal injury, death, property damage, inverse condemnation, or any combination of these, and regardless of whether or not such liability, claim or damage was unforeseeable at any time before County reviewed said improvement plans or accepted the Work as complete, and including the defense of any suit(s), action(s), or other proceeding(s) concerning said liabilities and claims.

C. The actions causing liability are any act or omission (negligent or non-negligent) in connection with the matters covered by this Agreement and attributable to Subdivider, contractor, subcontractor, or any officer, agent, or employee of one or more of them.

D. Non-Conditions. The promise and agreement in this section are not conditioned or dependent on whether or not any indemnitee has prepared, supplied, or approved any plan(s) or specification(s) in connection with this Work or Subdivision, or has insurance or other indemnification covering any of these matters, or that the alleged damage resulted partly from any negligent or willful misconduct of any indemnitee.

9. COSTS. Subdivider shall pay, when due, all the costs of the Work, including but not limited to the costs of relocations of existing utilities required thereby; inspections; material checks and tests; and other costs incurred by County staff arising from or related to the Work, and prior to acceptance of the Work as complete or expiration of any applicable warranty periods, whichever is later.

10. SURVEYS. Subdivider shall set and establish survey monuments in accordance with the filed map and to the satisfaction of the County Road Commissioner-Surveyor before acceptance of the Work as complete by the Board of Supervisors.

11. NON-PERFORMANCE AND COSTS. If Subdivider fails to complete the Work within the time specified in this Agreement, and subsequent extensions, or fails to maintain the Work, County may proceed to complete and/or maintain the Work by contract or otherwise and Subdivider agrees to pay all costs and charges incurred by County (including, but not limited to, engineering, inspection, surveys, contract, overhead, etc.) immediately upon demand.

Once action is taken by County to complete or maintain the Work, Subdivider agrees to pay all costs incurred by County, even if Subdivider subsequently completes the Work.

Should County sue to compel performance under this Agreement or to recover costs incurred in completing or maintaining the Work, Subdivider agrees to pay all attorney's fees, staff costs and all other expenses of litigation incurred by County in connection therewith, even if Subdivider subsequently proceeds to complete the Work.

12. INCORPORATION/ANNEXATION. If, before the Board of Supervisors accepts the Work as complete, the Subdivision is included in territory incorporated as a city or is annexed to an existing city, except as provided in this paragraph, County's rights under this Agreement and/or any deposit, bond, or letter of credit securing said rights shall be transferred to the new or annexing city. Such city shall have all the rights of a third party beneficiary against Subdivider, who shall fulfill all the terms of this Agreement as though Subdivider had contracted with the city originally. The provisions of paragraph 8 (Indemnity) shall continue to apply in favor of the indemnitees listed in paragraph 8.A. upon any such incorporation or annexation.

13. RECORD MAP. In consideration hereof, County shall allow Subdivider to file and record the final map or parcel map for said Subdivision.

14. RIGHT OF ENTRY. Subdivider hereby consents to entry onto the Subdivision property, and onto any other property over which Subdivider has land rights and upon which any portion of the Work is to be installed pursuant to the improvement plans, by County and its forces, including contractors, for the purpose of inspection, and, in the event of non-performance of this Agreement by Subdivider, completion and/or maintenance of the Work.

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of Alameda)

On July 23st, 2015 before me, April Crawford, Notary Public-----
(insert name and title of the officer)

personally appeared Robert D. Moore and Richard M. Nelson, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) are subscribed to the within instrument and acknowledged to me that they executed the same in their authorized capacity(ies), and that by their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

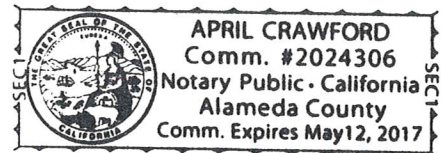
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

April Crawford

(Seal)



Subdivision: 9314 Alamo Creek
Bond No.: 58727559
Premium: \$12,168.00

**IMPROVEMENT SECURITY BOND
FOR SUBDIVISION AGREEMENT**
(Performance, Guarantee, and Payment)
(California Government Code §§ 66499 - 66499.10)

1. **RECITAL OF SUBDIVISION AGREEMENT:** The Principal has executed an agreement with the County to install and pay for street, drainage, and other improvements in Subdivision 9314 Alamo Creek _____, as specified in the Subdivision Agreement, and to complete said work within the time specified for completion in the Subdivision Agreement, all in accordance with State and local laws and rulings thereunder in order to satisfy conditions for filing of the Final Map or Parcel Map for said Subdivision.

2. **OBLIGATION:** Shapell Industries, Inc., a Delaware Corporation _____ as Principal, and Western Surety Company _____, a corporation organized existing under the laws of the State of _____ SD _____, and authorized to transact surety business in California, as Surety, hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors, and assigns to the County of Contra Costa, California to pay it:
 - A. **Performance and Guarantee:** One Million Five Hundred Twenty One Thousand Dollars and 00/100 _____ (\$1,521,000.00 _____) for itself or any city assignee under the above County Subdivision Agreement, plus

 - B. **Payment:** Seven Hundred Sixty Eight Thousand Five Hundred Dollars and 00/100 _____ Dollars (\$768,500.00 _____) to secure the claims to which reference is made in Title XV (commencing with Section 3082) of Part 4 of Division III of the Civil Code of the State of California.

3. **CONDITION:**
 - A. The Condition of this obligation as to Section 2.(A) above is such that if the above bonded Principal, his or its heirs, executors, administrators, successors or assigns, shall in all things stand to and abide by, and well and truly keep and perform the covenants, conditions and provisions in the said agreement and any alteration thereof made as therein provided, on is or its part, to be kept and performed at the time and in the manner therein specified, and in all respects according to their true intent and meaning, and shall indemnify and save harmless the County of Contra Costa (or city assignee), its officers, agents and employees, as therein stipulated, then this obligation shall become null and void; otherwise it shall be and remain in full force and effect.

As part of the obligation secured hereby and in addition to the face amount specified therefore, there shall be included costs and reasonable expenses and fees, including reasonable attorney's fees, incurred by County (or city assignee) in successfully enforcing such obligation, all to be taxed as costs and included in any judgement rendered.

- B. The condition of this obligation as to Section 2.(B) above is such that said Principal and the undersigned as corporate surety are held firmly bound unto the County of Contra Costa and all contractors, subcontractors, laborers, material men and other persons employed in the performance of the aforesaid agreement and referred to in the aforesaid Civil Code for materials furnished or labor thereon of any kind, or for amounts due under the Unemployment Insurance Act with respect to such work or labor, that said surety will pay the same in an amount not exceeding the amount herein above set forth, and also in case suit is brought upon this bond, will pay, in addition to the fact amount thereof, costs and reasonable expenses and fees, including reasonable attorney's fees, incurred by County (or city assignee) in successfully enforcing such obligation, to be awarded and fixed by the court, and to be taxed as costs and to be included in the judgement therein rendered.

It is hereby expressly stipulated and agreed that this bond shall inure to the benefit of any and all persons, companies and corporations entitled to file claims under Title 15 (commencing with Section 3082) of Part 4 of Division 3 of the Civil Code, so as to give a right of action to them or their assigns in any suit brought upon this bond.

Should the condition of this bond be fully performed then this obligation shall become null and void, otherwise it shall be and remain in full force and effect.

- C. No alteration of said subdivision agreement or any plan or specification of said work agreed to by the Principal and the County shall relieve any Surety from liability on this bond; and consent is hereby given to make such alteration without further notice to or consent by Surety; and the Surety hereby waives the provisions of California Civil Code Section 2819, and holds itself bound without regard to and independently of any action against Principal whenever taken.

SIGNED AND SEALED on August 11, 2015

PRINCIPAL: Shapell Industries, Inc., a Delaware Corporation

Address: 250 Gibraltar Road

City: Horsham Zip: 19044

By: [Signature]

Print Name: Robert D. Moore

Title: Div. President

SURETY: Western Surety Company

Address: 100 Matsonford Road, Suite 200

City: Radnor Zip: 19087

By: [Signature]

Print Name: William F. Simkiss

Title: Attorney-in-Fact

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

Civil Code § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy or validity of that document.

State of PA

County of Chester

On August 11, 2015 before me, Arlene Ostroff, Notary Public
Date Name and Title of Notary

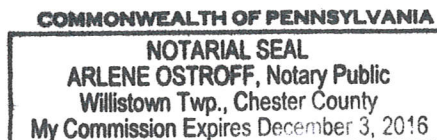
personally appeared William F. Simkiss
Name and or Names of Signer(s)

Who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Witness my hand and official seal.

Signature Arlene Ostroff
Notary Public Signature



Place Notary Public Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to the persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document _____

Document Date _____ Number of Pages: _____

Signer's Name: _____

- ☐ Individual
- ☐ Corporate Officer – Title(s): _____
- ☐ Partner - ☐ Limited ☐ General
- ☐ Guardian or Conservator
- ☒ Attorney-in-Fact
- ☐ Trustee
- ☐ Other: _____

Signer is representing _____
 Western Surety Company

RIGHT THUMBPRINT
OF SIGNER
Top of thumb

- ☐ Individual
- ☐ Corporate Officer – Title(s): _____
- ☐ Partner - ☐ Limited ☐ General
- ☐ Guardian or Conservator
- ☐ Attorney-in-Fact
- ☐ Trustee
- ☐ Other: _____

Signer is representing _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb

Western Surety Company

POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

**William F Simkiss, Richard J Decker, Daniel P Dunigan, Joseph W Kolok Jr, Brian C Block,
James L Hahn, Individually**

of Paoli, PA, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law printed on the reverse hereof, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 15th day of June, 2015.



WESTERN SURETY COMPANY

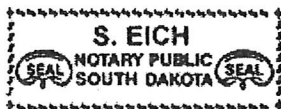
Paul T. Bruflat, Vice President

State of South Dakota }
County of Minnehaha } ss

On this 15th day of June, 2015, before me personally came Paul T. Bruflat, to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is the Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires

February 12, 2021



S. Eich, Notary Public

CERTIFICATE

I, L. Nelson, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Law of the corporation printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this 11TH day of AUGUST, 2015.



WESTERN SURETY COMPANY

L. Nelson, Assistant Secretary

WESTERN SURETY COMPANY
Sioux Falls, South Dakota
Statement of Net Admitted Assets and Liabilities
December 31, 2014

ASSETS

Bonds	\$ 1,824,951,414
Stocks	23,975,582
Cash, cash equivalents, and short-term investments	51,536,164
Investment income due and accrued	22,267,675
Premiums and considerations	41,696,249
Amounts recoverable from reinsurers	(11,221,508)
Federal and foreign income taxes recoverable	7,401,709
Net deferred tax asset	20,261,713
Receivable from parent, subsidiaries, and affiliates	17,380,167
Other assets	3,799
Total Assets	<u>\$ 1,998,252,964</u>

LIABILITIES AND SURPLUS

Losses	\$ 302,997,505
Reinsurance payable on paid losses and loss adjustment expenses	(15,267,712)
Loss adjustment expense	64,134,995
Contingent and other commissions payable	6,099,306
Unearned premiums	259,011,845
Advance premiums	5,321,610
Payable to parent, subsidiaries and affiliates	107,843
Other liabilities	7,821,458
Total Liabilities	<u>\$ 630,226,850</u>

Surplus Account:

Capital paid up	\$ 4,000,000
Gross paid in and contributed surplus	280,071,837
Unassigned funds	<u>1,083,954,277</u>
Surplus as regards policyholders	<u>\$ 1,368,026,114</u>
Total Liabilities and Capital	<u>\$ 1,998,252,964</u>

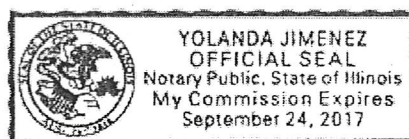
I, Peter Locy, Assistant Vice President of Western Surety Company hereby certify that the above is an accurate representation of the financial statement of the Company dated December 31, 2014, as filed with the various Insurance Departments and is a true and correct statement of the condition of Western Surety Company as of that date.

Western Surety Company

By Peter Locy
Assistant Vice President

Subscribed and sworn to me this 19th day of March, 2015.

My commission expires:



Yolanda Jimenez
Notary Public

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of Alameda)

On August 14, 2015 before me, April Crawford, Notary Public-----
(insert name and title of the officer)

personally appeared Robert D. Moore, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

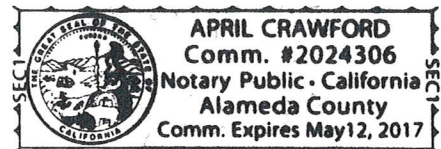
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

April Crawford

(Seal)



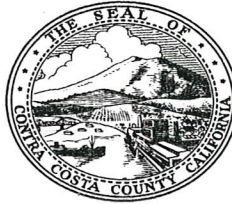
Tax Collector's Office
625 Court Street
Finance Building, Room 100
P. O. Box 631
Martinez, California 94553-0063
(925) 957-5280
(925) 957-2898 (FAX)

Contra Costa County

Russell V. Watts
County Treasurer-Tax Collector

Brice B. Bins
Chief Deputy Treasurer-Tax Collector

Corrie Gideon
Tax Operations Supervisor



Date: 8/6/2015

IF THIS TRACT IS NOT FILED PRIOR TO THE DATE TAXES ARE OPEN FOR COLLECTION (R&T CODE 2608) **THIS LETTER IS VOID.**

This will certify that I have examined the map of the proposed subdivision entitled:

<u>Tract / MS #</u>	<u>City</u>	<u>T.R.A.</u>
9314	DANVILLE	66412
Parcel #:	206-030-091-6	

and have determined from the official tax records that there are no unpaid County taxes heretofore levied on the property included in the map.

The 2014-2015 tax lien has been paid in full. Our estimate of the 2015-2016 tax lien, which became a lien on the first day of January, 2015 is

\$201,610.00

This tract is subject to a 1915 Act Bond. If subject to a 1915 Act Bond, the original principal to calculate a segregation is

The amount calculated is void 45 days from the date of this letter, unless this letter is accompanied with security approved by the Contra Costa County Tax Collector
Subdivision bond must be presented to the County Tax Collector for review and approval of adequacy of security prior to filing with the Clerk of the Board of Supervisors.

RUSSELL V. WATTS,
Treasurer-Tax Collector

By: Corrie Gideon

PRINCIPAL

Shapell Industries, Incorporated
100 North Milpitas Boulevard
Milpitas, CA 95035
Attn: Dave Suico
408-946-1550

TITLE COMPANY

First American Title Co.
6683 Owens Drive
Pleasanton, CA 94588
Attn: Bernadette Salas
Phone: 925-738-4043

Order Number: 0192-4352367

SURETY

Name: RLI Insurance Company
Address: 101 Bradford Road Suite 200
Wexford, PA 15090
Phone #: 724-934-1240

BOND #CMS0282906

Tax year 2015/ 2016

BOND AGAINST TAXES

KNOW ALL MEN BY THESE PRESENTS:

THAT, **Shapell Industries, Inc., a Delaware Corporation** as principal and (Surety) **RLI Insurance Company**, a corporation organized and existing under the laws of the State of Illinois, and authorized to transact surety business in California as surety are held and firmly bound unto the County of **Contra Costa**, State of California, in the penal sum of **Two Hundred One Thousand Six Hundred Ten and 00/100** Dollars (\$201,610.00), to be paid to said County of **Contra Costa**, for the payment of which will and truly be made, we and each of us bind ourselves, our heirs, executors, administrators and successors, jointly and severally, firmly by these presents.

Sealed with our Seals and dated this 12 TH day of AUGUST, 2015.

The conditions of the above obligation is such that WHEREAS, the above bounded principal is about to file a map entitled **Subdivision Map 9314** and covering a subdivision of a tract of land in said County of **Contra Costa** and there are certain liens for taxes and special assessments collected as taxes, against the said tract of land covered by said map, which taxes and special assessments collected as taxes, are not as yet due or payable.

NOW, THEREFORE, if the said **Principal**, shall pay all of the taxes and special assessments collected as taxes which are a lien against said tract of land covered by said map, at the time of the filing of said map of said tract, then this obligation shall be void and of no effect, otherwise it shall remain in full force and effect.

Shapell Industries, Incorporated, a Delaware Corporation

RLI INSURANCE COMPANY

By: [Signature]
Name Robert D. Moore
Title Div. President

[Signature]
William F. Sinkiss, Attorney-in-Fact

Principal

Surety

DATE: August 18, 2015
BOND REVIEWED AND APPROVED
CONTRA COSTA COUNTY
TREASURER - TAX COLLECTOR
BY: [Signature]

STATE OF _____)SS
COUNTY OF _____)

On _____, before me, _____, Notary
Public, personally appeared _____, who
proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the
within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized
capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of
with the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is
true and correct.

WITNESS my hand and official seal.

Signature

My Commission Expires: _____

This area for official notarial seal

Notary Name: _____

Notary Phone: _____

Notary Registration Number: _____

County of Principal Place of Business: _____

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

Civil Code § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy or validity of that document.

State of PENNSYLVANIA
County of CHESTER

On AUGUST 12, 2015 before me, ARLENE OSTROFF, Notary Public

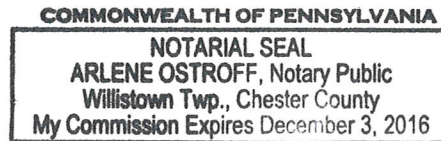
personally appeared WILLIAM F. SIMKISS

Who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Witness my hand and official seal.

Signature [Signature]



Place Notary Public Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to the persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

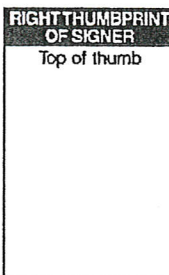
Title or Type of Document

Document Date Number of Pages:

Signer's Name:

- Individual
- Corporate Officer – Title(s):
- Partner - Limited General
- Guardian or Conservator
- Attorney-in-Fact
- Trustee
- Other:

Signer is representing RLI INSURANCE COMPANY



- Individual
- Corporate Officer – Title(s):
- Partner - Limited General
- Guardian or Conservator
- Attorney-in-Fact
- Trustee
- Other:

Signer is representing





RLI Insurance Company
P.O. Box 3967 Peoria IL 61612-3967
Phone: 309-692-1000 Fax: 309-683-1610

RLI Insurance Company

December 31, 2014

Admitted Assets

Investments:

Fixed maturities	\$ 578,763,554
Equity securities	917,648,978
Short-term investments	10,259,714
Real estate	22,187,806
Properties held to produce income	0
Cash on hand and on deposit	19,674,128
Other invested assets	10,686,345
Receivables for securities	764,088
Agents' balances	103,623,266
Investment income due and accrued	5,788,863
Funds held	4,000
Reinsurance recoverable on paid losses	10,302,704
Federal income taxes receivable	0
Net deferred tax asset	0
Guarantee funds receivable or on deposit	88,398
Electronic data processing equipment, net of depreciation	1,179,933
Receivable from affiliates	21,465,694
Other admitted assets	4,544,437

Total Admitted Assets \$ 1,706,981,908

State of Illinois

County of Peoria

Liabilities and Surplus

Liabilities:

Reserve for unpaid losses and loss adjustment expenses	\$ 458,619,890
Unearned premiums	215,181,323
Accrued expenses	60,283,644
Funds held	764,068
Advance premiums	5,609,431
Amounts withheld	59,014,706
Dividends declared and unpaid	13,599
Ceded reinsurance premium payable	27,664,360
Payable for securities	5,272,496
Statutory penalties	1,016,799
Current federal & foreign income taxes	612,972
Federal income tax payable	15,764,547
Borrowed money and accrued interest	1,288
Drafts outstanding	0
Payable to affiliate	4,983,685
Other liabilities	2,882,254
Total Liabilities	\$ 857,685,062

Surplus:

Common stock	\$ 10,000,375
Additional paid-in capital	242,451,084
Unassigned surplus	596,845,387

Total Surplus \$ 849,296,846

Total Liabilities and Surplus \$ 1,706,981,908

The undersigned, being duly sworn, says: That he is the President of **RLI Insurance Company**; that said Company is a corporation duly organized, in the State of Illinois, and licensed and engaged in business in the State of CA and has duly complied with all the requirements of the laws of said State applicable of said Company and is duly qualified to act as Surety under such laws; that said Company has also complied with and is duly qualified to act as Surety under the Act of Congress approved July 1947, 6U.S.C sec. 6-13; and that to the best of his knowledge and belief the above statement is a full, true, and correct statement of the financial condition of the said Company on the 31st day of December 2014.

Attest:



{ Corporate
Seal
Affixed }

Michael J. Stone President

Cynthia S. Dohm Assistant Secretary

Sworn to before me this 3rd day of March, 2015.



{ Notarial
Seal
Affixed }

Jacqueline M. Bockler Notary Public, State of Illinois



RLI Surety
9025 N. Lindbergh Dr. | Peoria, IL 61615
Phone: (800)645-2402 | Fax: (309)689-2036
www.rlicorp.com

POWER OF ATTORNEY

RLI Insurance Company

Know All Men by These Presents:

That this Power of Attorney is not valid or in effect unless attached to the bond which it authorizes executed, but may be detached by the approving officer if desired.

That **RLI Insurance Company**, an Illinois corporation, does hereby make, constitute and appoint:

William F. Simkiss, Richard J. Decker, Daniel P. Dunigan, James L. Hahn, Brian C. Block, Joseph W. Kolok Jr., jointly or severally

in the City of Paoli, State of Pennsylvania its true and lawful Agent and Attorney in Fact, with full power and authority hereby conferred, to sign, execute, acknowledge and deliver for and on its behalf as Surety, the following described bond.

Any and all bonds provided the bond penalty does not exceed Twenty Five Million Dollars (\$25,000,000.00).

The acknowledgment and execution of such bond by the said Attorney in Fact shall be as binding upon this Company as if such bond had been executed and acknowledged by the regularly elected officers of this Company.

The **RLI Insurance Company** further certifies that the following is a true and exact copy of the Resolution adopted by the Board of Directors of **RLI Insurance Company**, and now in force to-wit:

"All bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation shall be executed in the corporate name of the Company by the President, Secretary, any Assistant Secretary, Treasurer, or any Vice President, or by such other officers as the Board of Directors may authorize. The President, any Vice President, Secretary, any Assistant Secretary, or the Treasurer may appoint Attorneys in Fact or Agents who shall have authority to issue bonds, policies or undertakings in the name of the Company. The corporate seal is not necessary for the validity of any bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation. The signature of any such officer and the corporate seal may be printed by facsimile."

IN WITNESS WHEREOF, the **RLI Insurance Company** has caused these presents to be executed by its Vice President with its corporate seal affixed this 8th day of October, 2014.



RLI Insurance Company

By: Roy C. Die Vice President

State of Illinois }
County of Peoria } SS

CERTIFICATE

On this 8th day of October, 2014, before me, a Notary Public, personally appeared Roy C. Die, who being by me duly sworn, acknowledged that he signed the above Power of Attorney as the aforesaid officer of the **RLI Insurance Company** and acknowledged said instrument to be the voluntary act and deed of said corporation.

I, the undersigned officer of **RLI Insurance Company**, a stock corporation of the State of Illinois, do hereby certify that the attached Power of Attorney is in full force and effect and is irrevocable; and furthermore, that the Resolution of the Company as set forth in the Power of Attorney, is now in force. In testimony whereof, I have hereunto set my hand and the seal of the **RLI Insurance Company** this 12TH day of AUGUST, 2015.

By: Jacqueline M. Bockler
Jacqueline M. Bockler Notary Public



RLI Insurance Company

By: Roy C. Die Vice President

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of Alameda)

On August 14, 2015 before me, April Crawford, Notary Public-----
(insert name and title of the officer)

personally appeared Robert D. Moore, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

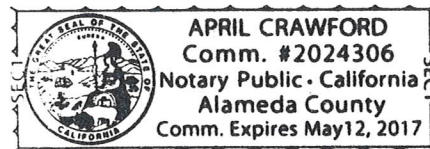
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

April Crawford

(Seal)





**Contra
Costa
County**

To: Board of Supervisors
From: Julia R. Bueren, Public Works Director/Chief Engineer
Date: September 22, 2015

Subject: Approve the third extension of the Subdivision Agreement for subdivision SD03-08791, El Sobrante area.

RECOMMENDATION(S):

ADOPT Resolution No. 2015/340 approving the third extension of the Subdivision Agreement for subdivision SD03-08791, for a project being developed by ADP Freedom 7, LLC, as recommended by the Public Works Director, El Sobrante area. (District I)

FISCAL IMPACT:

No fiscal impact.

BACKGROUND:

The terminal date of the Subdivision Agreement needs to be extended. The developer has not completed the required improvements and has requested more time. (Approximately 75% of the work has been completed to date.) By granting an extension, the County will give the developer more time to complete the improvements and keeps the bond current.

CONSEQUENCE OF NEGATIVE ACTION:

The terminal date of the Subdivision Agreement will not be extended and the developer will be in default of the agreement, requiring the County to take legal action against the developer and surety to get the improvements installed, or revert the development to acreage.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☒ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Jocelyn A. B. LaRocque,
925-313-2315

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

ATTACHMENTS

Resolution No.

2015/340

Third Extension

Recorded at the request of: **BOARD OF SUPERVISORS**

Return To: **PUBLIC WORKS DEPARTMENT, ENGINEERING SERVICES DIVISION**

THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA

and for Special Districts, Agencies and Authorities Governed by the Board

Adopted this Resolution on 09/22/2015 by the following vote:

AYE: ☐

NO: ☐

ABSENT: ☐

ABSTAIN: ☐

RECUSE: ☐

Resolution No. 2015/340

IN THE MATTER OF approving the third extension of the Subdivision Agreement for subdivision SD03-08791, for a project being developed by ADP Freedom 7, LLC, as recommended by the Public Works Director, El Sobrante area. (District I)

WHEREAS, the Public Works Director having recommended that she be authorized to execute the third agreement extension which extends the Subdivision Agreement between ADP Freedom 7, LLC and the County for construction of certain improvements in subdivision SD03-08791, El Sobrante area, through August 10, 2016;

APPROXIMATE PERCENTAGE OF WORK COMPLETE: 75% ANTICIPATED DATE OF COMPLETION: July 2017

BOND NO.: 41202046

DATE: July 16, 2010

REASON FOR EXTENSION: The improvements have not been completed because of the economy.

NOW, THEREFORE, BE IT RESOLVED that the recommendation of the Public Works Director is APPROVED.

Contact: **Jocelyn A. B. LaRocque, 925-313-2315**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: J. A.B. LaRocque - Engineering Services , Engineering Services Originator: K. Dahl, Design/Construction, Current Planning - DCD, T - 07-10-16, ADP Freedom 7, LLC 12647 Alcost Blv Ste 470 SR 94583 S Menard, Platte River Insur. 1212 N. California Blvd Ste 300 WC 94596-3572

CONTRA COSTA COUNTY
SUBDIVISION AGREEMENT EXTENSION

Development Number: SD03-08791

Developer: ADP Freedom 7, LLC

Original Agreement Date: August 10, 2010

Third Extension New Termination Date: August 10, 2016

Improvement Security

SURETY : Platte River Insurance Company

BOND No. 41202046

Date: July 16, 2010

Security Type

Security Amount

Cash:

\$ 2,575.00 (1% cash, \$1,000 Min.)

SURETY BOND:

\$ 39,00.00 (Performance)

\$ 128,750.00 (Labor & Material)

The Developer and the Surety desire this Agreement to be extended through the above date; and Contra Costa County and said Surety hereby agree thereto and acknowledge same.

Dated: _____

Dated: 8/5/2015

FOR CONTRA COSTA COUNTY
Julia R. Bueren, Public Works Director

Developer's Signature(s)

Printed

By: _____

Developer's Signature(s)

Printed

RECOMMENDED FOR APPROVAL:

By: Julia R. Bueren
(Engineering Services Division)

Address

Platte River Insurance Company

Surety or Financial Institution

2121 North California Blvd Suite 300 Walnut Creek, CA 94596

Address

(NOTE: Developer's, Surety's and Financial Institution's Signatures must be Notarized.)

FORM APPROVED: Victor J. Westman, County Counsel

After Approval Return to Clerk of the Board

Attorney in Fact's Signature

Jason Jenkins, Attorney-In-Fact

Printed

PLATTE RIVER INSURANCE COMPANY
POWER OF ATTORNEY

41330968

KNOW ALL MEN BY THESE PRESENTS, That the PLATTE RIVER INSURANCE COMPANY, a corporation of the State of Nebraska, having its principal offices in the City of Middleton, Wisconsin, does make, constitute and appoint

CHRIS ELEY; JASON JENKINS

its true and lawful Attorney(s)-in-fact, to make, execute, seal and deliver for and on its behalf, as surety, and as its act and deed, any and all bonds, undertakings and contracts of suretyship, provided that no bond or undertaking or contract of suretyship executed under this authority shall exceed in amount the sum of

ALL WRITTEN INSTRUMENTS IN ANAMOUNT NOT TO EXCEED: \$20,000,000.00

This Power of Attorney is granted and is signed and sealed by facsimile under and by the authority of the following Resolution adopted by the Board of Directors of PLATTE RIVER INSURANCE COMPANY at a meeting duly called and held on the 8th day of January, 2002.

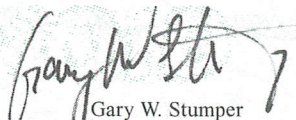
"RESOLVED, that the President, and Vice-President, the Secretary or Treasurer, acting individually or otherwise, be and they hereby are granted the power and authorization to appoint by a Power of Attorney for the purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, one or more vice-presidents, assistant secretaries and attorney(s)-in-fact, each appointee to have the powers and duties usual to such offices to the business of the company; the signature of such officers and the seal of the Corporation may be affixed to such power of attorney or to any certificate relating thereto by facsimile, and any such power of attorney or certificate bearing such facsimile signatures or facsimile seal shall be valid and binding upon the Corporation in the future with respect to any bond or undertaking or other writing obligatory in the nature thereof to which it is attached. Any such appointment may be revoked, for cause, or without cause, by any of said officers, at any time."

In connection with obligations in favor of the Florida Department of Transportation only, it is agreed that the power and authority hereby given to the Attorney-in-Fact includes any and all consents for the release of retained percentages and/or final estimates on engineering and construction contracts required by the State of Florida Department of Transportation. It is fully understood that consenting to the State of Florida Department of Transportation making payment of the final estimate to the Contractor and/or its assignee, shall not relieve this surety company of any of its obligations under its bond.

In connection with obligations in favor of the Kentucky Department of Highways only, it is agreed that the power and authority hereby given to the Attorney-in-Fact cannot be modified or revoked unless prior written personal notice of such intent has been given to the Commissioner - Department of Highways of the Commonwealth of Kentucky at least thirty (30) days prior to the modification or revocation.


IN WITNESS WHEREOF, the PLATTE RIVER INSURANCE COMPANY has caused these presents to be signed by its officer undersigned and its corporate seal to be hereto affixed duly attested, this 8th day of February, 2015.

Attest:


Gary W. Stumper
President
Surety & Fidelity Operations



PLATTE RIVER INSURANCE COMPANY



Stephen J. Stills
CEO & President

STATE OF WISCONSIN } S.S.:
COUNTY OF DANE

On the 8th day of February, 2015 before me personally came Stephen J. Stills, to me known, who being by me duly sworn, did depose and say: that he resides in the County of New York, State of New York; that he is President of PLATTE RIVER INSURANCE COMPANY, the corporation described herein and which executed the above instrument; that he knows the seal of the said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation and that he signed his name thereto by like order.



CERTIFICATE


Daniel W. Krueger
Notary Public, Dane Co., WI
My Commission Is Permanent

STATE OF WISCONSIN } S.S.:
COUNTY OF DANE

I, the undersigned, duly elected to the office stated below, now the incumbent in PLATTE RIVER INSURANCE COMPANY, a Nebraska Corporation, authorized to make this certificate, DO HEREBY CERTIFY that the foregoing attached Power of Attorney remains in full force and has not been revoked; and furthermore, that the Resolution of the Board of Directors, set forth in the Power of Attorney is now in force.

Signed and sealed at the City of Middleton, State of Wisconsin this 5 day of August, 2015.




Antonio Celii
Secretary

THIS DOCUMENT IS NOT VALID UNLESS PRINTED ON GREEN SHADED BACKGROUND WITH A RED SERIAL NUMBER IN THE UPPER RIGHT HAND CORNER. IF YOU HAVE ANY QUESTIONS CONCERNING THE AUTHENTICITY OF THIS DOCUMENT CALL, 800-475-4450. PR-POA (Rev. 02-2015)

ACKNOWLEDGMENT

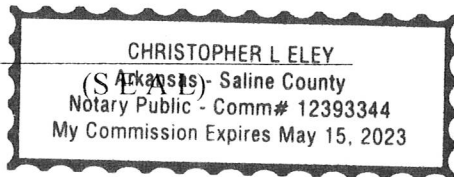
STATE OF ARKANSAS)
)ss.
COUNTY OF Saline)

BE IT REMEMBERED, on this day, personally appeared before me,
John DeLia, known to me to be the person whose name is subscribed to
the foregoing as attorney-in-fact, and acknowledged that he executed the same for the
purposes therein contained.

WITNESS my hand and official seal this 5 day of August, 2015.

Christopher L Eley
Notary Public

My Commission Expires: May 15, 2023



CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)

County of CONTRA COSTA)

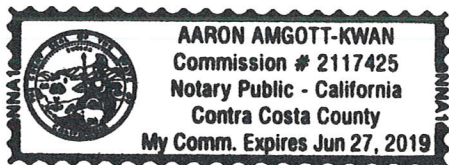
On AUGUST 6, 2015 before me, AARON AMGOTT-KWAN,
Date Here Insert Name and Title of the Officer

personally appeared SCOTT D. CLARK
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature [Signature]
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: _____ Document Date: _____
Number of Pages: _____ Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: _____
Signer Is Representing: _____

Signer's Name: _____
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: _____
Signer Is Representing: _____



Contra
Costa
County

To: Board of Supervisors
From: Julia R. Bueren, Public Works Director/Chief Engineer
Date: September 22, 2015

Subject: Approving the fourth extension of the Subdivision Agreement for subdivision MS04-00013, El Sobrante area.

RECOMMENDATION(S):

ADOPT Resolution No. 2015/344 approving the fourth extension of the Subdivision Agreement for subdivision MS04-00013, for a project being developed by Ifeoma Adams and Ramonia Hall, as recommended by the Public Works Director, El Sobrante area. (District I)

FISCAL IMPACT:

No fiscal impact.

BACKGROUND:

The Subdivision Agreement needs to be renewed as the improvements are not completed. (Approximately 0% of the work has been completed to date.) The developer has requested additional time to complete improvements. Granting an extension gives the developer an additional year to complete the improvements and keeps the bonding current.

CONSEQUENCE OF NEGATIVE ACTION:

The Subdivision Agreement will not be renewed if the extension is not granted; the developer cannot complete the improvements; the bonds cannot be kept current; and, the County may need to take legal action to complete the improvements, or revert the property to acreage.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☒ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Jocelyn A. B. LaRocque,
925-313-2315

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

ATTACHMENTS

Resolution No.

2015/344

Fourth Extension

Recorded at the request of: **BOARD OF SUPERVISORS**

Return To: **PUBLIC WORKS DEPARTMENT, ENGINEERING SERVICES**

**THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA
and for Special Districts, Agencies and Authorities Governed by the Board**

Adopted this Resolution on 09/22/2015 by the following vote:

AYE: ☐

NO: ☐

ABSENT: ☐

ABSTAIN: ☐

RECUSE: ☐

Resolution No. 2015/344

IN THE MATTER OF approving the fourth extension of the Subdivision Agreement for subdivision MS04-00013, for a project being developed by Ifeoma Adams and Ramonia Hall, as recommended by the Public Works Director, El Sobrante area. (District I)

WHEREAS the Public Works Director having recommended that she be authorized to execute the fourth agreement extension which extends the Subdivision Agreement between Ifeoma Adams and Ramonia Hall and the County for construction of certain improvements in subdivision MS04-00013, El Sobrante area, through August 7, 2016;

APPROXIMATE PERCENTAGE OF WORK COMPLETE: 0% ANTICIPATED DATE OF COMPLETION: August 7, 2016

BOND NO.: 4360640

DATE: April 30, 2007

REASON FOR EXTENSION: Economic conditions made construction unfeasible.

NOW, THEREFORE, BE IT RESOLVED that the recommendation of the Public Works Director is APPROVED.

Contact: **Jocelyn A. B. LaRocque, 925-313-2315**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Engineering Services Originator: K. Dahl, Design/Construction, Current Planning - DCD, T - June 7, 2016, Ifeoma Adams & Ramonia Hall 187 Maritime Terrace Hercules, CA 94547, SureTec Insurance Co., 3033 5th Avenue, Suite 300, San Diego, CA 92103

CONTRA COSTA COUNTY
SUBDIVISION AGREEMENT EXTENSION

Development Number: Minor Subdivision 04-00013

Developer: Ifeoma Adams and Ramonia Hall

Original Agreement Date: August 7, 2002

Fourth Extension New Termination Date: August 7, 2016

Improvement Security

Surety: SureTec Insurance Company

Bond No. (Date): 4360640 (April 30, 2007)

Security Type and Amount

Cash: \$ 1,000.00 (1% cash, \$1,000 Min.)

Bond: \$ 29,900.00 (Performance)

\$ 14,950.00 (Labor & Material)

The Developer and the Surety desire this Agreement to be extended through the above date; and Contra Costa County and said Surety hereby agree thereto and acknowledge same.

Dated: _____

FOR CONTRA COSTA COUNTY
Julia R. Bueren, Public Works Director

By: _____

RECOMMENDED FOR APPROVAL:

By: _____
(Engineering Services Division)

(NOTE: Developer's, Surety's and Financial Institution's Signatures must be Notarized.)

FORM APPROVED: Victor J. Westman, County Counsel

After Approval Return to Clerk of the Board

Dated: 5/21/2015

Ifeoma Adams
Developer's Signature(s)

Printed
IFEOMA ADAMS

Developer's Signature(s)

Printed
RAMONIA HALL

Address

Surety or Financial Institution

Address

Attorney in Facts Signature

Printed

CALIFORNIA ALL-PURPOSE CERTIFICATE OF ACKNOWLEDGMENT

State of California

County of Alameda

On 05/21/2015 before me, Jennifer Louise Jackson-Brown, Notary Public
(Here insert name and title of the officer)

personally appeared Ifeoma Adams and Ramonica Hall

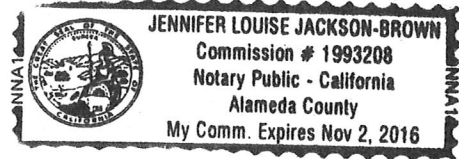
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Jennifer Louise Jackson-Brown
Signature of Notary Public

(Notary Seal)



ADDITIONAL OPTIONAL INFORMATION

DESCRIPTION OF THE ATTACHED DOCUMENT

SubDivision Agreement
(Title or description of attached document)

Extension
(Title or description of attached document continued)

Number of Pages 1 Document Date 05/21/2015

(Additional information)

CAPACITY CLAIMED BY THE SIGNER

- ☒ Individual (s)
☐ Corporate Officer

(Title)

- ☐ Partner(s)
☐ Attorney-in-Fact
☐ Trustee(s)
☐ Other _____

INSTRUCTIONS FOR COMPLETING THIS FORM

Any acknowledgment completed in California must contain verbiage exactly as appears above in the notary section or a separate acknowledgment form must be properly completed and attached to that document. The only exception is if a document is to be recorded outside of California. In such instances, any alternative acknowledgment verbiage as may be printed on such a document so long as the verbiage does not require the notary to do something that is illegal for a notary in California (i.e. certifying the authorized capacity of the signer). Please check the document carefully for proper notarial wording and attach this form if required.

- State and County information must be the State and County where the document signer(s) personally appeared before the notary public for acknowledgment.
- Date of notarization must be the date that the signer(s) personally appeared which must also be the same date the acknowledgment is completed.
- The notary public must print his or her name as it appears within his or her commission followed by a comma and then your title (notary public).
- Print the name(s) of document signer(s) who personally appear at the time of notarization.
- Indicate the correct singular or plural forms by crossing off incorrect forms (i.e. he/she/they, is /are) or circling the correct forms. Failure to correctly indicate this information may lead to rejection of document recording.
- The notary seal impression must be clear and photographically reproducible. Impression must not cover text or lines. If seal impression smudges, re-seal if a sufficient area permits, otherwise complete a different acknowledgment form.
- Signature of the notary public must match the signature on file with the office of the county clerk.
 - ❖ Additional information is not required but could help to ensure this acknowledgment is not misused or attached to a different document.
 - ❖ Indicate title or type of attached document, number of pages and date.
 - ❖ Indicate the capacity claimed by the signer. If the claimed capacity is a corporate officer, indicate the title (i.e. CEO, CFO, Secretary).
- Securely attach this document to the signed document

CONTRA COSTA COUNTY
SUBDIVISION AGREEMENT EXTENSION

Development Number: Minor Subdivision 04-00013

Developer: Ifeoma Adams and Ramona Hall

Original Agreement Date: August 7, 2002

Fourth Extension New Termination Date: August 7, 2016

Improvement Security

Surety: SureTec Insurance Company

Bond No. (Date): 4360640 (April 30, 2007)

Security Type and Amount

Cash: \$ 1,000.00 (1% cash, \$1,000 Min.)

Bond: \$ 29,900.00 (Performance)

\$ 14,950.00 (Labor & Material)

The Developer and the Surety desire this Agreement to be extended through the above date; and Contra Costa County and said Surety hereby agree thereto and acknowledge same.

Dated: May 21, 2015

Dated: May 21, 2015

FOR CONTRA COSTA COUNTY
Julia R. Bueren, Public Works Director

Developer's Signature(s)

Ifeoma Adams

Printed

By: _____

Developer's Signature(s)

Ramona Hall

Printed

RECOMMENDED FOR APPROVAL:

By: _____

(Engineering Services Division)

Address

SureTec Insurance Company

Surety or Financial Institution

3033 5th Ave San Diego, CA 92103

Address

Attorney in Facts Signature

James R. Olsen

Printed

(NOTE: Developer's, Surety's and Financial Institution's Signatures must be Notarized.)

FORM APPROVED: Victor J. Wurtman, County Counsel

After Approval Return to Clerk of the Board

SureTec Insurance Company

LIMITED POWER OF ATTORNEY

Know All Men by These Presents, That SURETEC INSURANCE COMPANY (the "Company"), a corporation duly organized and existing under the laws of the State of Texas, and having its principal office in Houston, Harris County, Texas, does by these presents make, constitute and appoint

James R. Olsen

its true and lawful Attorney-in-fact, with full power and authority hereby conferred in its name, place and stead, to execute, acknowledge and deliver any and all bonds, recognizances, undertakings or other instruments or contracts of suretyship to include waivers to the conditions of contracts and consents of surety for:

Five Million and 00/100 Dollars (\$5,000,000.00)

and to bind the Company thereby as fully and to the same extent as if such bond were signed by the President, sealed with the corporate seal of the Company and duly attested by its Secretary, hereby ratifying and confirming all that the said Attorney-in-Fact may do in the premises. Said appointment shall continue in force until 10/31/2016 and is made under and by authority of the following resolutions of the Board of Directors of the SureTec Insurance Company:

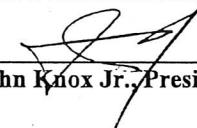
Be it Resolved, that the President, any Vice-President, any Assistant Vice-President, any Secretary or any Assistant Secretary shall be and is hereby vested with full power and authority to appoint any one or more suitable persons as Attorney(s)-in-Fact to represent and act for and on behalf of the Company subject to the following provisions:

Attorney-in-Fact may be given full power and authority for and in the name of and of behalf of the Company, to execute, acknowledge and deliver, any and all bonds, recognizances, contracts, agreements or indemnity and other conditional or obligatory undertakings and any and all notices and documents canceling or terminating the Company's liability thereunder, and any such instruments so executed by any such Attorney-in-Fact shall be binding upon the Company as if signed by the President and sealed and effected by the Corporate Secretary.

Be it Resolved, that the signature of any authorized officer and seal of the Company heretofore or hereafter affixed to any power of attorney or any certificate relating thereto by facsimile, and any power of attorney or certificate bearing facsimile signature or facsimile seal shall be valid and binding upon the Company with respect to any bond or undertaking to which it is attached. (Adopted at a meeting held on 20th of April, 1999.)

In Witness Whereof, SURETEC INSURANCE COMPANY has caused these presents to be signed by its President, and its corporate seal to be hereto affixed this 21st day of March, A.D. 2013.

SURETEC INSURANCE COMPANY

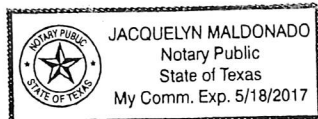
By: 
John Knox Jr., President

State of Texas
County of Harris

SS:



On this 21st day of March, A.D. 2013 before me personally came John Knox Jr., to me known, who, being by me duly sworn, did depose and say, that he resides in Houston, Texas, that he is President of SURETEC INSURANCE COMPANY, the company described in and which executed the above instrument; that he knows the seal of said Company; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said Company; and that he signed his name thereto by like order.

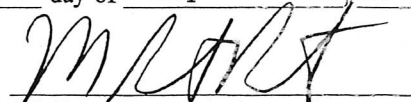




Jacquelyn Maldonado, Notary Public
My commission expires May 18, 2017

I, M. Brent Beaty, Assistant Secretary of SURETEC INSURANCE COMPANY, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney, executed by said Company, which is still in full force and effect; and furthermore, the resolutions of the Board of Directors, set out in the Power of Attorney are in full force and effect.

Given under my hand and the seal of said Company at Houston, Texas this 21st day of May, 2015, A.D.


M. Brent Beaty, Assistant Secretary

Any instrument issued in excess of the penalty stated above is totally void and without any validity.
For verification of the authority of this power you may call (713) 812-0800 any business day between 8:00 am and 5:00 pm CST.

California All-Purpose Certificate of Acknowledgment

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of Los Angeles

S.S.

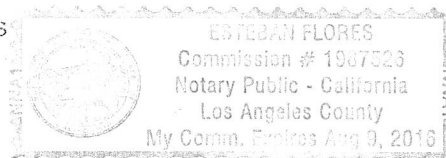
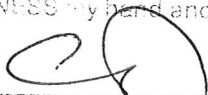
On 05/21/2015 before me, Esteban Flores, Notary Public

personally appeared James R. Olsen

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



OPTIONAL INFORMATION

Description of Attached Document

The preceding Certificate of Acknowledgment is attached to a document titled/for the purpose of Subdivision Agreement Extension Bond No. 4360640

containing 3 pages, and dated 05/21/2015

The signer(s) capacity or authority is/are as:

- ☐ Individual(s)
- ☐ Attorney-in-fact
- ☐ Corporate Officer(s)
- ☐ Guardian/Conservator
- ☐ Partner - Limited/General
- ☐ Trustee(s)
- ☐ Other

representing: SureTec Insurance Company

Method of Signer Identification

Proved to me on the basis of satisfactory evidence:
form(s) of identification credible witness(es)

Notarial event is detailed in notary journal on:

Page # Entry #

Notary contact:

Other

Additional Signer Signer(s) Thumbprints(s)



Contra
Costa
County

To: Board of Supervisors
From: David Twa, County Administrator
Date: September 22, 2015

Subject: claims

RECOMMENDATION(S):

DENY claims filed by Lisa Guidone, Darryl Wendell Jones, and Khalid Muwakkili.

FISCAL IMPACT:

NONE.

BACKGROUND:

*



APPROVE



OTHER



RECOMMENDATION OF CNTY ADMINISTRATOR



RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Joellen Balbas
925-335-1906

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:



Contra
Costa
County

To: Board of Supervisors
From: David Twa, County Administrator
Date: September 22, 2015

Subject: ACCEPT Board Members meeting reports for August 2015

RECOMMENDATION(S):

ACCEPT Board members meeting reports for August 2015.

FISCAL IMPACT:

None.

BACKGROUND:

Government Code section 53232.3(d) requires that members of legislative bodies report on meetings attended for which there has been expense reimbursement (mileage, meals, lodging ex cetera). The attached reports were submitted by the Board of Supervisors members in satisfaction of this requirement. District V had no activity for the month of August 2015. Supervisor Glover has nothing to report for the month of August.

CONSEQUENCE OF NEGATIVE ACTION:

The Board of Supervisors will not be in compliance with Government Code 53232.3(d).

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☒ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Joellen Balbas
925.335.1906

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

ATTACHMENTS

District II August 2015 Report

District IV August 2015
Report

District III August 2015

Supervisor Candace Andersen – Monthly Meeting Report *August 2015*

Date	Meeting	Location
3	Ad Hoc TEP	Walnut Creek
3	680 Corridor meeting	Walnut Creek
3	SWAT	Lafayette
4	O & S	Danville
4	National Night Out	Danville
12	CCCERA	Concord
12	LAFCO	Martinez
12	Leadership San Ramon Valley	Danville
13	East Bay EDA	Oakland
13	Mental Health Commission	Concord
14	Co Admin	Martinez
17	Alamo Liaison	Danville
17	Central County AOB	Concord
17	VetCon	Concord
18	Board of Supervisors	Martinez
19	Transportation Roadshow	Oakland
19	CCTA	Walnut Creek
20	CCCTA	Concord
20	Alzheimers Event	Walnut Creek
21	TEP Ad Hoc	Walnut Creek
23	CAPA Graduation	Danville
24	PH Bart JPA Meeting	Concord
25	Board of Supervisors	Martinez
25-28	CCCERA Conference	Pepperdine Univ
31	Lafayette Liaison Meeting	Lafayette

Supervisor Karen Mitchoff
August 2015

DATE	MEETING NAME	LOCATION	PURPOSE
8/5/2015	ABAG Regional Planning Committee	Oakland	Decisions on agenda items
8/18/2015	Board of Supervisors Meeting	Martinez	Decisions on agenda items
8/19/2015	Delta Science Center Tour	Oakley	Water Advocacy
8/24/2015	Pleasant Hill BART Leasing Authority JPA	Walnut Creek	Decisions on agenda items
8/25/2015	Board of Supervisors Meeting	Martinez	Decisions on agenda items
8/26/2015	CCCSWA Special Board Meeting	Walnut Creek	Decisions on agenda items

Supervisor Mary Nejedly Piepho - August 2015 AB1234 Report
(Government Code Section 53232.3(d) requires that members of legislative bodies report on meetings attended for which there has been expense reimbursement (mileage, meals, lodging, etc)).

Date	Meeting Name	Location	Purpose
18-Aug	Board of Supervisors Meeting	Martinez	Business Meeting
18-Aug	Housing Authority Meeting	Martinez	Business Meeting
18-Aug	Contra Costa County Fire Protection District Meeting	Martinez	Business Meeting
18-Aug	Meeting with Contra Costa Fire Protection District, Chief Carmen	Martinez	Business Meeting
19-Aug	Delta Congressional Staff Tour	Sacramento	Business Meeting
20-Aug	Meeting with Appellants for the Diablo MX Ranch	Brentwood	Business Meeting
20-Aug	Meeting with Applicants for the Diablo MX Ranch	Brentwood	Business Meeting
20-Aug	Phone Meeting with Ironhouse Sanitary District Board President, David Contreras	Brentwood	Business Meeting
24-Aug	East Contra Costa County Habitat Conservancy Meeting	Oakley	Business Meeting
25-Aug	Board of Supervisors Meeting	Martinez	Business Meeting
25-Aug	Meeting with County Administrator, David Twa	Martinez	Business Meeting
25-Aug	Meeting with County Staff	Martinez	Business Meeting
26-Aug	Phone Meeting with Appellants Attorney for Diablo MX Ranch	Brentwood	Business Meeting
26-Aug	Phone Meeting with Supervisor Karen Mitchoff	Brentwood	Business Meeting
27-Aug	* Delta Stewardship Council Meeting	Sacramento	Business Meeting
27-Aug	California State Senator Steve Glazer's Swearing-In Ceremony	Danville	Community Outreach
28-Aug	* Delta Stewardship Council Meeting	Sacramento	Business Meeting

* Reimbursement may come from an agency other than Contra Costa County



Contra
Costa
County

To: Board of Supervisors
From: Candace Andersen, District II Supervisor
Date: September 22, 2015

Subject: Honoring the East Bay Economic Development Alliance (East Bay EDA) on their 25th Anniversary

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Gayle Israel
925-957-8860

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:



Contra
Costa
County

To: Board of Supervisors
From: John Gioia, District I Supervisor
Date: September 22, 2015

Subject: Honoring Meals on Wheels of Contra Costa, Inc. on its 25th Anniversary

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

David J. Twa, County Administrator and Clerk of the Board of Supervisors

Contact: Kate Rauch
510-231-8691

By: , Deputy

cc:

ATTACHMENTS

Resolution No.
2015/361

*The Board of Supervisors of
Contra Costa County, California*

In the matter of:

Resolution No. 2015/361

Honoring Meals on Wheels Contra Costa, Inc. on its 25th Anniversary.

Whereas, Meals on Wheels of Contra Costa, Inc., a nonprofit corporation, was founded in 1990 by two County managers, A.Paul Krantz and Robert M. Sessler, who used their own resources to address the lack of government funding to provide Meals on Wheels services to everyone who needed it in Contra Costa County; and

Whereas, prior to 1990, the countywide Meals on Wheels program typically had daily waiting lists of 50 to 150 homebound elders, leaving large service gaps; and

Whereas, Meals on Wheels Contra Costa is a coalition of organizations and agencies helping to ensure that all seniors in Contra Costa have access to ample nutritional food through the County Senior Nutrition Program; and

Whereas, Meals on Wheels Contra Costa is the only agency in the County raising funds to provide the meals delivered to elders served by the County's Meals on Wheels Program; and

Whereas, senior citizens aged 80 and older are the fastest growing population segment in Contra Costa County. Many are homebound and unable to obtain nutritious daily meals to maintain their health and remain independent in the comfort and security of their own homes; and

Whereas, the average Meals on Wheels participant in Contra Costa County is 80 years old, lives alone or with a co-dependent spouse, and has one or more chronic health conditions that prevents them from preparing or shopping for nutritious daily meals. Most live below the Bay Area basic low income standards and many must choose among paying for food, medicines, rent or utilities with their meager resources; and

Whereas, for 25 years, Meals on Wheels of Contra Costa, Inc. has raised more than \$13 million from generous individuals and charitable organizations and subsidized 4.6 million nutritious daily meals plus delivery costs. Meals on Wheels of Contra Costa currently provides 40% of the total annual funding of the countywide Meals on Wheels Program.

Now, Therefore, Be It Resolved that the Board of Supervisors of Contra Costa County do hereby congratulate Meals on Wheels of Contra Costa, Inc., on its 25 years of critically-important service to the residents of Contra Costa County and its instrumental role in meeting the nutritional needs of at-risk seniors by bridging the resources gap to ensure that all seniors in need of Meals on Wheels services in Contra Costa County have access to the service.

JOHN GIOIA

Chair,
District I Supervisor

CANDACE ANDERSEN

District II Supervisor

MARY N. PIEPHO

District III Supervisor

KAREN MITCHOFF

District IV Supervisor

FEDERAL D. GLOVER

District V Supervisor

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

David J. Twa,

By: _____, Deputy



Contra
Costa
County

To: Board of Supervisors
From: Mary N. Piepho, District III Supervisor
Date: September 22, 2015

Subject: SEPTEMBER 2014 AS SUICIDE PREVENTION AWARENESS MONTH

RECOMMENDATION(S):

ADOPT Resolution No. 2015/362 recognizing September 2015 as Suicide Prevention Awareness Month in Contra Costa County.

FISCAL IMPACT:

none

BACKGROUND:

None

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: LEA CASTLEBERRY
(925) 252-4500

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

ATTACHMENTS

Resolution No.
2015/362

THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA
and for Special Districts, Agencies and Authorities Governed by the Board

Adopted this Resolution on 09/22/2015 by the following vote:

AYE: ☐
NO: ☐
ABSENT: ☐
ABSTAIN: ☐
RECUSE: ☐



Resolution No. 2015/362

IN THE MATTER OF: RECOGNIZING SEPTEMBER 2015 AS SUICIDE PREVENTION AWARENESS MONTH, IN CONTRA COSTA COUNTY.

WHEREAS, the Contra Costa County Board of Supervisors recognizes September 2015 as Suicide Prevention Awareness Month, shedding light on those suffering with depression or other mental behavioral health issues; and

WHEREAS, suicide is a human tragedy that transcends socioeconomic status, age, gender and ethnicity; and

WHEREAS, suicide is the tenth leading cause of all deaths in the United States and the third leading cause of death among individuals between the ages of 15 and 24 and takes the lives of nearly 30,000 Americans every year; and

WHEREAS, suicide has an everlasting impact on the survivors who are left to process the loss of their loved ones and who are at the increased risk of suicide themselves; and

WHEREAS, suicide prevention efforts should be developed and encouraged to the maximum extent possible; and

WHEREAS, suicide is preventable through increased knowledge about warning signs, timely intervention, and by limited access to lethal means for those at risk; and

WHEREAS, Contra Costa County calls to action the Health Services Department, Public Officials, Private Health Providers and Hospitals, Community-Based Organizations, Faith-Based Organizations, and individuals within our communities to educate and take action to address this devastating public health issue; and

WHEREAS, in September 2013, the Contra Costa County Board of Supervisors adopted a Suicide Prevention Strategic plan providing a roadmap for communities to reduce suicide through education, increasing awareness of knowing the warning signs, and implementing trainings to equip people with tools needed to help others who consider suicide; and

NOW, THEREFORE, BE IT RESOLVED that the Contra Costa County Board of Supervisors hereby recognizes September 2015 as Suicide Prevention Awareness Month and encourages citizens to join in this important observance.

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

**Contact: LEA CASTLEBERRY (925)
252-4500**

ATTESTED: September 22, 2015

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:



Contra
Costa
County

To: Board of Supervisors
From: Karen Mitchoff, District IV Supervisor
Date: September 22, 2015

Subject: Appoint James Ryan to the District IV-B Seat on the Alcohol and Other Drugs Advisory Board

RECOMMENDATION(S):

APPOINT the following individual to the District IV–B Seat on the Alcohol and Other Drugs Advisory Board to a term expiring June 30, 2018:

James Ryan
3729 Clayton Road
Concord, CA 94521

FISCAL IMPACT:

None.

BACKGROUND:

Alcohol and Other Drugs Advisory Board was created in 1992 by the Board of Supervisors. Their Mission is to assess family and community needs regarding treatment and prevention of alcohol and drug abuse problems, and to report the findings and recommendation to Health Services Department, the Board of Supervisors and the community that we serve.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Dominic Aliano,
(925)521-7100

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:



Contra
Costa
County

To:

From: INTERNAL OPERATIONS COMMITTEE

Date: September 22, 2015

Subject: NOMINATION TO THE HAZARDOUS MATERIALS COMMISSION

RECOMMENDATION(S):

APPOINT Aaron Winer to the Business 1 Alternate seat on the Hazardous Materials Commission to complete the unexpired term ending on December 31, 2018.

BACKGROUND:

In 2013, IOC reviewed Board Resolution Nos. 2011/497 and 2011/498, which stipulate that applicants for At Large/Non Agency-Specific seats on specified bodies are to be interviewed by a Board Committee. The IOC made a determination that it would conduct interviews for At Large seats on the following bodies: Retirement Board, Fire Advisory Commission, Integrated Pest Management Advisory Committee, Planning Commission, Treasury Oversight Board, Airport Land Use Commission, Aviation Advisory Committee and the Fish & Wildlife Committee; and that screening and nomination fill At Large seats on all other eligible bodies would be delegated each body or a subcommittee thereof.

The Business 1 Alternate seat on the Hazardous Materials Commission was vacated by the Board of Supervisors on August 18, 2015 due to the resignation of Paul Seffrood. The Council of Industries has nominated Aaron Winer to replace Mr. Seffrood for the remainder of the seat term (see attached letter from the Council of Industries).

Attached is transmittal letter from the Commission along with Mr. Winer's application. The Internal Operations Committee considered and approved Mr. Winer's nomination on September 14.

☐ APPROVE

☒ OTHER

☐ RECOMMENDATION OF CNTY ADMINISTRATOR

☒ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Michael Kent (925)
313-6712

, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Hazardous Materials Manager, IOC Staff

ATTACHMENTS

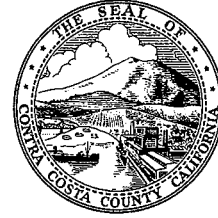
HMC Nomination Letter

Candidate Application_Aaron

Winer_HMC

Council of Industries Nomination Letter

CONTRA COSTA COUNTY **HAZARDOUS MATERIALS COMMISSION**



September 3, 2015

MEMO

To: Internal Operations Committee

From: Michael Kent, Executive Assistant to the Hazardous Materials Commission *MK*

Re: Appointment Recommendation to the Hazardous Materials Commission

The Hazardous Materials Commission was established in 1986 to advise the Board, County Staff and the mayor's council members, and staffs of the cities within the County, on issues related to the development, approval and administration of the County Hazardous Waste Management Plan. Specifically, the Board charged the Commission with drafting a Hazardous Materials Storage and Transportation Plan and Ordinance, coordinating the implementation of the Hazardous Materials Release Response Plan and inventory program, and to analyze and develop recommendations regarding hazards materials issues with consideration to broad public input, and report back to the Board on Board referrals.

The bylaws of the Commission provide that the Business Seat #1 Alternate be nominated by the Council of Industries, screened by the Internal Operations Committee and appointed by the Board of Supervisors. This seat was vacated due to resignation on August 18, 2015 (Board Order Attached). The Council of Industries has nominated Aaron Winer to fill this seat. Their letter of nomination and Mr. Winer's application are attached. The term of this seat expires on December 31, 2018.

Members: George Smith, Chair, Rick Alcaraz, Don Bristol, Matthew Buell, Henry Clark, Lara DeLaney, Frank Gordon, Fred Glueck, Steven Linsley, Jim Payne, Ralph Sattler, Leslie Stewart, Don Tatzin

597 Center Ave., Suite 200 Martinez, CA 94553 (925) 313-6712



Contra
Costa
County

For Office Use Only
Date Received:

For Reviewers Use Only:
Accepted Rejected

BOARDS, COMMITTEES, AND COMMISSIONS APPLICATION

MAIL OR DELIVER TO:

Contra Costa County
CLERK OF THE BOARD
651 Pine Street, Rm. 106
Martinez, California 94553-1292
PLEASE TYPE OR PRINT IN INK
(Each Position Requires a Separate Application)

BOARD, COMMITTEE OR COMMISSION NAME AND SEAT TITLE YOU ARE APPLYING FOR:

County Hazardous Materials Commission

Alternate

PRINT EXACT NAME OF BOARD, COMMITTEE, OR COMMISSION

PRINT EXACT SEAT NAME (if applicable)

1. Name: Winer Aaron Joel
(Last Name) (First Name) (Middle Name)
2. Address: [REDACTED] Benicia CA 94510
(No.) (Street) (Apt.) (City) (State) (Zip Code)
3. Phones: [REDACTED]
(Home No.) (Work No.) (Cell No.)
4. Email Address: aaron.winer@veolia.com

5. EDUCATION: Check appropriate box if you possess one of the following:

High School Diploma ☐ G.E.D. Certificate ☐ California High School Proficiency Certificate ☐

Give Highest Grade or Educational Level Achieved: Master's Degree

	Names of colleges / universities attended	Course of Study / Major	Degree Awarded	Units Completed		Degree Type	Date Degree Awarded
				Semester	Quarter		
A)	Johnson State College	Environmental Studies	Yes No <input checked="" type="checkbox"/> <input type="checkbox"/>	128		BA	May 1984
B)	University of Southern California	Master of Public Administration	Yes No <input checked="" type="checkbox"/> <input type="checkbox"/>		32	MPA	Aug 2006
C)			Yes No <input type="checkbox"/> <input type="checkbox"/>				
D)	Other schools / training completed:	Course Studied	Hours Completed		Certificate Awarded:		
	UC Santa Cruz Extension	Environmental Safety and Health Management	All		Yes No <input checked="" type="checkbox"/> <input type="checkbox"/>		

6. PLEASE FILL OUT THE FOLLOWING SECTION COMPLETELY. List experience that relates to the qualifications needed to serve on the local appointive body. Begin with your most recent experience. A resume or other supporting documentation may be attached but it may not be used as a substitute for completing this section.

<p>A) Dates (Month, Day, Year)</p> <p>From <u>June 2011</u> To <u>Present</u></p> <p>Total: Yrs. <u>4</u> Mos. <u>2</u></p> <p>Hrs. per week <u>40+</u> . Volunteer <input type="checkbox"/></p>	<p>Title</p> <p>Project Manager III</p> <p>Employer's Name and Address</p> <p>Veolia Water 601 Canal Blvd Richmond, CA 94804</p>	<p>Duties Performed</p> <p>Oversee all aspects of 16 MGD wastewater treatment plant operation and maintenance, collections system O&M and laboratory activities</p> <ul style="list-style-type: none"> • Responsible for all permit and regulatory compliance • Coordinate with Capital Projects division during construction • Develop and maintain client relations • Responsible for contract compliance
<p>B) Dates (Month, Day, Year)</p> <p>From <u>Jan 2010</u> To <u>June 2011</u></p> <p>Total: Yrs. <u>1</u> Mos. <u>5</u></p> <p>Hrs. per week <u>40+</u> . Volunteer <input type="checkbox"/></p>	<p>Title</p> <p>District Manager</p> <p>Employer's Name and Address</p> <p>Veolia Water 2300 Contra Costa Blvd, Suite 350 Pleasant Hill, CA 94523</p>	<p>Duties Performed</p> <p>First tier manager above project level responsible for client, corporate financial, compliance, safety aspects and technical support for 8 projects.</p>
<p>C) Dates (Month, Day, Year)</p> <p>From <u>May 2008</u> To <u>Jan 2010</u></p> <p>Total: Yrs. <u>1</u> Mos. <u>7</u></p> <p>Hrs. per week <u>40+</u> . Volunteer <input type="checkbox"/></p>	<p>Title</p> <p>Project Manager</p> <p>Employer's Name and Address</p> <p>Veolia Water 18800 Christopher Way Lathrop, CA 95330</p>	<p>Duties Performed</p> <p>Oversee operations, management and maintenance activities and process control to ensure completion of operational objectives at two small treatment plants and compliance with waste discharge requirements</p> <ul style="list-style-type: none"> • Develop and maintain client relations • Develop safety and operational SOPs, staff schedules, standby procedures • Perform employee evaluations
<p>D) Dates (Month, Day, Year)</p> <p>From <u>June 2007</u> To <u>May 2008</u></p> <p>Total: Yrs. <u></u> Mos. <u>11</u></p> <p>Hrs. per week <u>40+</u> . Volunteer <input type="checkbox"/></p>	<p>Title</p> <p>Assistant Plant Manager</p> <p>Employer's Name and Address</p> <p>Veolia Water 601 Canal Blvd Richmond, CA 94804</p>	<p>Duties Performed</p> <ul style="list-style-type: none"> • Oversee daily operations and process control, coordinate with maintenance and lab to ensure operational objectives complete • Develop safety and operational SOPs, staff schedules, standby procedures • Perform employee evaluations • Develop and implement on-site recycling programs • Review and provide training for opera

7. How did you learn about this vacancy?

☐ CCC Homepage ☐ Walk-In ☐ Newspaper Advertisement ☐ District Supervisor ☒ Other Council of Industries

8. Do you have a Familial or Financial Relationship with a member of the Board of Supervisors? (Please see Board Resolution no. 2011/55, attached): No ☒ Yes ☐

If Yes, please identify the nature of the relationship:

9. Do you have any financial relationships with the County such as grants, contracts, or other economic relations? No ☒ Yes ☐

If Yes, please identify the nature of the relationship:

I CERTIFY that the statements made by me in this application are true, complete, and correct to the best of my knowledge and belief, and are made in good faith. I acknowledge and understand that all information in this application is publically accessible. I understand and agree that misstatements / omissions of material fact may cause forfeiture of my rights to serve on a Board, Committee, or Commission in Contra Costa County.

Sig

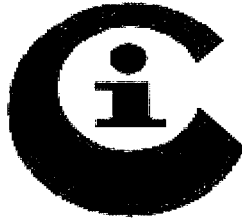
Date:

8/3/15

Important Information

1. This application is a public document and is subject to the California Public Records Act (CA Gov. Code §6250-6270).
2. Send the completed paper application to the Office of the Clerk of the Board at **651 Pine Street, Room 106, Martinez, CA 94553**.
3. A résumé or other relevant information may be submitted with this application.
4. All members are required to take the following training: 1) The Brown Act, 2) The Better Government Ordinance, and 3) Ethics Training.
5. Members of boards, commissions, and committees may be required to: 1) file a Statement of Economic Interest Form also known as a Form 700, and 2) complete the State Ethics Training Course as required by AB 1234.
6. Advisory body meetings may be held in various locations and some locations may not be accessible by public transportation.
7. Meeting dates and times are subject to change and may occur up to two days per month.
8. Some boards, committees, or commissions may assign members to subcommittees or work groups which may require an additional commitment of time.

THIS FORM IS A PUBLIC DOCUMENT



THE COUNCIL OF INDUSTRIES

P.O. BOX 70088

Pt. Richmond, CA 94807

(510)215-9325 office (510)260-4820 cell (510)215-9029 fax

www.councilofindustries.org

July 30, 2015

Michael Kent
Contra Costa Health Services
Hazardous Materials Ombudsman
597 Center Ave., Suite 100
Martinez, CA 94553

RE: Nomination of MR. AARON WINER to the Hazardous Materials
Commission, Business Seat #1, as Alternate to Mr. Fred Glueck

On behalf of the Council of Industries I would like to request that Mr. Aaron Winer of Veolia Water be nominated to the Contra Costa County Hazardous Materials Commission Business Seat #1 as an Alternate to Mr. Fred Glueck, in representation of the business membership at the Council of Industries.

Please consider our request for Mr. Winer's nomination for the Alternate position..

Should you have any questions, contact the undersigned

Regards,

Katrinka Ruk
Executive Director
kpruk@sbcglobal.net



Contra
Costa
County

To: Board of Supervisors
From: Robin Lipetzky, Public Defender
Date: September 22, 2015

Subject: Appropriation and Revenue Adjustment No. 5000

RECOMMENDATION(S):

APPROVE Appropriations and Revenue Adjustment No. 5000 authorizing new revenue in the amount of \$6,000 from other public agencies, and appropriating it for reimbursement of travel expenses for subject matter training experts in the Public Defender's Office.

FISCAL IMPACT:

No fiscal impact.

BACKGROUND:

The Public Defender is co-sponsoring a two-day training program for public agency defense attorneys designed to provide familiarity with DNA forensic analysis and report interpretation. This in-service training event will be available to the Deputy Public Defender's attorneys having current cases involving DNA evidence and defense attorneys from other public agencies throughout California. Continuing Legal Education (CLE) credit required for State Licensing will be awarded to the program participants, at the completion of the training.

This type

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Richard Loomis,
335-8093

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

of cooperative training event is an infrequent activity for the Office of the Public Defender and is not included in its annual Budget. The estimated revenue from registration fees is anticipated to cover the event expenses in full.

Today's action authorizes the revenue and expenditure appropriations for this activity.

CONSEQUENCE OF NEGATIVE ACTION:

With an increase in the sophistication and complexity of DNA evidence introduced into criminal prosecutions, it is essential that defense attorneys have a substantial understanding of DNA forensic science to effectively represent Public Defender clients. This type of training is essential to meeting the core Mission of the Office of the Public Defender. If this action is not approved, the Public Defender would not be able to co-host this event.

CHILDREN'S IMPACT STATEMENT:

None.

ATTACHMENTS

Appropriation Adjustment No. 5000

**CONTRA COSTA COUNTY
ESTIMATED REVENUE ADJUSTMENT/
ALLOCATION ADJUSTMENT
TC/24**

AUDITOR-CONTROLLER USE ONLY:	
FINAL APPROVAL NEEDED BY:	
<input checked="checked" type="checkbox"/>	BOARD OF SUPERVISORS
<input type="checkbox"/>	COUNTY ADMINISTRATOR
<input type="checkbox"/>	AUDITOR-CONTROLLER

ACCOUNT CODING		DEPARTMENT: 0243 - Public Defender		
ORGANIZATION	REVENUE ACCOUNT	REVENUE ACCOUNT DESCRIPTION	INCREASE	<DECREASE>
2909	9960	Miscellaneous	6,000.00	
TOTALS			6,000.00	0.00

APPROVED

AUDITOR-CONTROLLER

By: [Signature] Date 9/2/15

COUNTY ADMINISTRATOR

By: [Signature] Date 9/15/15

BOARD OF SUPERVISORS

YES:

NO:

By: _____ Date _____

EXPLANATION OF REQUEST

Appropriating new revenue from other public agencies for unanticipated professional education training event (DNA Forensics), co-sponsored by the Public Defender.

PREPARED BY: Richard Loomis
TITLE: Administrative Services Assistant - II
DATE: 08/26/2015

REVENUE ADJ.
JOURNAL NO.

RAOO 5000



CONTRA COSTA COUNTY
APPROPRIATION ADJUSTMENT/
ALLOCATION ADJUSTMENT
T/C-27

AUDITOR-CONTROLLER USE ONLY:

FINAL APPROVAL NEEDED BY:

- ☒ BOARD OF SUPERVISORS
☐ COUNTY ADMINISTRATOR
☐ AUDITOR-CONTROLLER

ACCOUNT CODING		DEPARTMENT: 0243 - Public Defender		
ORGANIZATION	EXPENDITURE SUB-ACCOUNT	EXPENDITURE ACCOUNT DESCRIPTION	<DECREASE>	INCREASE
2909	2328	Administrative Service		6,000.00
			0.00	6,000.00

<p style="text-align: center;">APPROVED</p> <p>AUDITOR – CONTROLLER By: <u></u> Date <u>9/2/15</u></p> <p>COUNTY ADMINISTRATOR By: <u></u> Date <u>9/15/15</u></p> <p>BOARD OF SUPERVISORS YES: NO:</p> <p>By: _____ Date _____</p>	<p>EXPLANATION OF REQUEST</p> <p>Appropriating new expenditure for reimbursement of travel expenses for subject matter training experts instructing at an unanticipated professional education training event (DNA Forensics), co-sponsored by the Public Defender.</p> <p>PREPARED BY: <u>Richard Loomis</u> TITLE: <u>Administrative Services Assistant - II</u> DATE: <u>8/26/2015</u></p> <p style="text-align: right;">APPROPRIATION <u>APOO 5000</u> ADJ. JOURNAL NO.</p>
--	--



**Contra
Costa
County**

To: Board of Supervisors
From: John Kopchik, Director, Conservation & Development Department
Date: September 22, 2015

Subject: Allocate Park Dedication Funds to Public Works to Complete Lefty Gomez Park Improvement, Rodeo

RECOMMENDATION(S):

1. AUTHORIZE the Auditor-Controller to transfer \$123,000 from the following Park Dedication Fund accounts: 8136 24920 3580, 8136 24920 3560, 8136 2492 35601, 8136 2492 35602, and 8136 PI920 3580 to the Contra Costa County Public Works Department; and
2. APPROVE Appropriation and Revenue Adjustment No. 5002 authorizing new revenue in the amount of \$123,000 in Park Dedication Funds, appropriating it to the Public Works Department to finance various improvements to Lefty Gomez Park located at 470 Parker Avenue in Rodeo.

FISCAL IMPACT:

No General Funds are involved. \$123,000 in Park Dedication funds that have accumulated in the Rodeo census tracts will be used to help finance the community outreach, planning, design, and installation of improvements at Lefty Gomez Park. The requested \$123,000 is in addition to a prior allocation of \$150,000 in Park Dedication Funds and \$456,305 of Measure WW funds. If approved, the balance of Park Dedication Funds in the Rodeo census tracts will be approximately \$573.

BACKGROUND:

In June 2013, the East Bay Regional Park District approved the County's application for \$456,305 in Measure WW funds to finance a number of improvements at Lefty Gomez Park located at 470 Parker Avenue in Rodeo (County Service Area (CSA) R-10).

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☒ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Kristine Solseng
(925)674-7809

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

>

The total project budget is \$729,305 with the following funding sources:

- \$456,305 in Measure WW fund
- \$150,000 in Park Dedication Funds (Approved April 1, 2014)
- \$123,000 in Park Dedication Funds (current request)

Planning and design of the improvements have recently been completed and include the following:

- installing seating in the ball field walkway area impacted by tree roots,
- adding a drinking fountain in the ball field concession area,
- installing new barbecues and picnic tables to accommodate large groups of 20-50 people,
- installing a new ground surface in the picnic area so that the area is accessible,
- adding shade trees bordering the picnic area,
- constructing a concession building with extra storage, a restroom and announcer's stand,
- repairing the entrance area to the ball field;
- restoring/replacing bleachers,
- painting the existing outdoor structures in the ball field area,
- completing infrastructure upgrades to meet County Health Department requirements, and
- installing additional trash cans in the picnic and concession areas.

Construction bids were opened on August 27, 2015. The estimate for the bid was \$274,000. Two bids were submitted (\$443,469 and \$574,069). Based on the lowest bid and accounting for funds already identified and secured, this project needs \$123,000 of additional funding. Construction is anticipated to start in September and complete in spring of 2016.

Countywide Landscaping Zone 38, CSA R-10, and Rodeo Baseball Association funds currently finance maintenance costs at Lefty Gomez Park and these funds will continue to be available to maintain the improvements as constructed as part of this project.

On September 14, 2015, the CSA R-10 Citizen's Advisory Committee was given an update regarding the bid costs and the need for additional Park Dedication Funds to complete the project. It is the policy of the County to use park dedication funds to meet local park needs. This action is consistent with that policy and staff recommends approval of the request for funds. The project will significantly enhance the quality of Lefty Gomez Park and provide improved recreational opportunities for Rodeo residents for many years.

Staff will continue to look for additional funding sources for this project. If successful, staff plans to utilize other funding sources first and thus reduce the amount of Park Dedication funds needed for this project.

CONSEQUENCE OF NEGATIVE ACTION:

Not providing the requested funds could result in the project not being built or a reduced scope of work and delayed timeframe.

CHILDREN'S IMPACT STATEMENT:

The proposed project support the following community outcomes established in the Children's Report Card: Communities that are Safe and Provide a High Quality of Life for Children and Families.

ATTACHMENTS

APOO 5002 Park Dedication_Lefty Gomez Ball Field

CONTRA COSTA COUNTY
ESTIMATED REVENUE ADJUSTMENT/
ALLOCATION ADJUSTMENT
T/C 24

AUDITOR-CONTROLLER USE ONLY

FINAL APPROVAL NEEDED BY:

- ☒ BOARD OF SUPERVISORS
☐ COUNTY ADMINISTRATOR
☐ AUDITOR-CONTROLLER

ACCOUNT CODING		DEPARTMENT : Public Works Department			
ORGANIZATION	REVENUE ACCOUNT	REVENUE ACCOUNT DESCRIPTION	INCREASE		<DECREASE>
7770	9975	<u>CSA R-10 RODEO - FUND 276000</u>			
		MISC NON-TAXABLE REVENUE	123,000	00	
TOTALS			123,000	00	

APPROVED

AUDITOR-CONTROLLER:

BY: [Signature] DATE 9/14/15

COUNTY ADMINISTRATOR:

BY: [Signature] DATE 9/15/15

BOARD OF SUPERVISORS:

YES:

NO:

BY: _____ DATE _____

EXPLANATION OF REQUEST:

Appropriate new revenue transferred from Park Dedication Funds to cover expenditures for improvements to Lefty Gomez Park located at 470 Parker Avenue in Rodeo.

[Signature] Supervising Accountant
SIGNATURE TITLE DATE 9-3-15

REVENUE ADJ. RAOO 5002
JOURNAL NO.

AUDITOR-CONTROLLER
2015 SEP -8 PM 2:45

CONTRA COSTA COUNTY
APPROPRIATION ADJUSTMENT /
ALLOCATION ADJUSTMENT
T/C 27

AUDITOR-CONTROLLER USE ONLY

FINAL APPROVAL NEEDED BY:

- ☒ BOARD OF SUPERVISORS
☐ COUNTY ADMINISTRATOR
☐ AUDITOR-CONTROLLER

ACCOUNT CODING		DEPARTMENT : Public Works Department		
ORGANIZATION	EXPENDITURE SUB-ACCOUNT	EXPENDITURE ACCOUNT DESCRIPTION	<DECREASE>	INCREASE
7770	4700	CSA R-10 RODEO - FUND 276000 R-10-LEFTY GOMEZ-IMPRV FIELD		123,000 00
TOTALS				123,000 00

APPROVED

AUDITOR-CONTROLLER:

BY: [Signature] DATE 9/14/15

COUNTY ADMINISTRATOR:

BY: [Signature] DATE 9/15/15

BOARD OF SUPERVISORS:

YES:

NO:

EXPLANATION OF REQUEST:

Appropriate new revenue transferred from Park Dedication Funds to cover expenditures for improvements to Lefty Gomez Park located at 4700 Parker Avenue in Rodeo.

AUDITOR-CONTROLLER
2015 SEP -8 PM 2:48

[Signature] Supervising Accountant
SIGNATURE TITLE DATE 9-3-15

APPROPRIATION APOO 5002

ADJ. JOURNAL NO.

BY: _____ DATE _____



**Contra
Costa
County**

To: Board of Supervisors
From: Kathy Gallagher, Employment & Human Services Director
Date: September 22, 2015

Subject: Add 29 Full time Eligibility Worker I positions in Workforce Services

RECOMMENDATION(S):

Adopt Position Adjustment Resolution No. 21654 to add twenty-nine (29) full time Eligibility Worker I (XHWA) (represented) positions at Salary Plan and Grade 255 0948 (\$2,845 -\$3,458) in the Workforce Services Bureau of the Employment and Human Services Department.

FISCAL IMPACT:

Upon approval of this position the net county cost will increase by approximately \$134,288 during the current fiscal year with an annual salary and benefit expense of approximately \$1,790,504. These positions will be funded 45% Federal revenue, 45% State revenue, and 10% County Cost. The annual pension cost is approximately \$923,447.

BACKGROUND:

Additional Eligibility Worker I positions will allow for newly trained Eligibility Worker employees, who have completed training, to move from the designated training unit positions to vacant permanently funded Eligibility Worker I positions. Permanently funded Eligibility Worker I positions will allow employees to be assigned to caseloads throughout the department, and to be flexibly promoted to the Eligibility Worker II level. These positions are needed to ensure the Department meets its legal obligation to provide assistance to families of CalWORKS, CalFresh and Medi-Cal programs

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Anne Crisp (925)
313-1527

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: EHSD, Human Resources, Otilia Parra

CONSEQUENCE OF NEGATIVE ACTION:

If the 29 Eligibility Worker I positions are not added the Department will have insufficient positions to move Eligibility Worker Training Unit graduates from training only designated positions to permanent positions numbers. This will jeopardize the Department's ability to flex the graduates and result in them not being paid at the appropriate level. This may impact our ability to sustain the Workforce Services (WFS) eligibility staff levels resulting in negative impacts on the families we serve.

CHILDREN'S IMPACT STATEMENT:

Eligibility Workers are responsible for determining ongoing eligibility for MediCal, CalFresh, and CalWORKS assistance and services that aid the entire family to become economically self-sufficient. Children are adversely impacted when they do not have access to health care and services.

ATTACHMENTS

P300 No. 21654 EHSD

POSITION ADJUSTMENT REQUEST

NO. 21654
DATE 4/8/2015

Department Employment and Human Services Dept Department No./
Budget Unit No. 504 Org No. 5452 Agency No. A19
Action Requested: Add 29 Eligibility Worker I (XHWA) full time positions for CalWorks, MediCal, CalFresh in Workforce Services Bureau of the Employment and Human Services Department.

Proposed Effective Date: 9/22/2015

Classification Questionnaire attached: Yes ☐ No ☒ / Cost is within Department's budget: Yes ☒ No ☐

Total One-Time Costs (non-salary) associated with request: \$0.00

Estimated total cost adjustment (salary / benefits / one time):

Total annual cost \$1,790,504.00 Net County Cost \$179,050.00

Total this FY \$1,342,878.00 N.C.C. this FY \$134,287.00

SOURCE OF FUNDING TO OFFSET ADJUSTMENT 45% Federal, 45% State, 10% County

Department must initiate necessary adjustment and submit to CAO.
Use additional sheet for further explanations or comments.

Anne Crisp 313-1527

(for) Department Head

REVIEWED BY CAO AND RELEASED TO HUMAN RESOURCES DEPARTMENT

Kevin J. Corrigan

9/3/15

Deputy County Administrator

Date

HUMAN RESOURCES DEPARTMENT RECOMMENDATIONS

DATE 9/1/2015

Add twenty-nine (29) Eligibility Worker I (XHWA) (represented) positions at Salary Plan and Grade 255 0948 (\$2,845 -\$3,458)

Amend Resolution 71/17 establishing positions and resolutions allocating classes to the Basic / Exempt salary schedule.

Effective: ☒ Day following Board Action.

☐ ____ (Date)

Lisa Lopez

9/16/2015

(for) Director of Human Resources

Date

COUNTY ADMINISTRATOR RECOMMENDATION:

DATE

9/17/2015

☒ Approve Recommendation of Director of Human Resources

☐ Disapprove Recommendation of Director of Human Resources

☐ Other: _____

Enid Mendoza

(for) County Administrator

BOARD OF SUPERVISORS ACTION:

Adjustment is APPROVED ☐ DISAPPROVED ☐

David J. Twa, Clerk of the Board of Supervisors
and County Administrator

DATE _____

BY _____

APPROVAL OF THIS ADJUSTMENT CONSTITUTES A PERSONNEL / SALARY RESOLUTION AMENDMENT

POSITION ADJUSTMENT ACTION TO BE COMPLETED BY HUMAN RESOURCES DEPARTMENT FOLLOWING BOARD ACTION

Adjust class(es) / position(s) as follows:

REQUEST FOR PROJECT POSITIONS

Department _____

Date 9/17/2015

No. xxxxxx

1. Project Positions Requested:
2. Explain Specific Duties of Position(s)
3. Name / Purpose of Project and Funding Source (do not use acronyms i.e. SB40 Project or SDSS Funds)
4. Duration of the Project: Start Date _____ End Date _____
Is funding for a specified period of time (i.e. 2 years) or on a year-to-year basis? Please explain.
5. Project Annual Cost
 - a. Salary & Benefits Costs: _____
 - b. Support Costs: _____
(services, supplies, equipment, etc.)
 - c. Less revenue or expenditure: _____
 - d. Net cost to General or other fund: _____
6. Briefly explain the consequences of not filling the project position(s) in terms of:
 - a. potential future costs
 - b. legal implications
 - c. financial implications
 - d. political implications
 - e. organizational implications
7. Briefly describe the alternative approaches to delivering the services which you have considered. Indicate why these alternatives were not chosen.
8. Departments requesting new project positions must submit an updated cost benefit analysis of each project position at the halfway point of the project duration. This report is to be submitted to the Human Resources Department, which will forward the report to the Board of Supervisors. Indicate the date that your cost / benefit analysis will be submitted
9. How will the project position(s) be filled?
 - ☐ a. Competitive examination(s)
 - ☐ b. Existing employment list(s) Which one(s)? _____
 - ☐ c. Direct appointment of:
 - ☐ 1. Merit System employee who will be placed on leave from current job
 - ☐ 2. Non-County employee

Provide a justification if filling position(s) by C1 or C2

USE ADDITIONAL PAPER IF NECESSARY



**Contra
Costa
County**

To: Board of Supervisors
From: Kathy Gallagher, Employment & Human Services Director
Date: September 22, 2015

Subject: Add 8 Clerk-Senior Level positions and 1 Clerk - Specialist Level position for Children & Family Services

RECOMMENDATION(S):

ADOPT Position Adjustment Resolution No. 21718 to add eight (8) Clerk - Senior Level (JWXC) (represented) full-time positions at Salary Plan and Grade 3RX 1033 (\$3,087-\$3,943) and one (1) Clerk – Specialist Level (JWXD) (represented) full-time position at Salary Plan and Grade 3RX 1156 (\$3,487 - \$4,453) in the Children & Family Services Bureau of the Employment and Human Services Department

FISCAL IMPACT:

Upon approval, these positions will have an annual cost of \$462,269, with a net County cost of \$46,227, and an annual pension cost of \$103,738. The position is funded 46% Federal revenue, 44% State revenue, and 10% County revenue.

BACKGROUND:

Children & Family Services has recently added and filled 18 Social Casework Specialist positions and filled another 11 vacant Social Casework Specialist positions in an effort to meet State and Federal mandates. In order for the Bureau to meet these mandates, it is also essential to add the corresponding Clerk – Senior Level positions to provide clerical and administrative support. Responsibilities include initial intake, entry of court orders and placement

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Cheryl Morse (925)
313-1558

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: EHSD, Human Resources, Otilia Parra

BACKGROUND: (CONT'D)

information, reports to the state and court, searches for absent parents, and other clerical duties as assigned. Additionally, one Clerk – Specialist Level is required to complete more complex tasks and to direct, train, assign, and review the work of the Clerks – Senior Level. Furthermore, the California Law Enforcement Telecommunication System (CLETS) has become a high priority for Employment and Human Services Department and one (1) of the new Clerk – Senior Level positions will be assigned to assist the Administrative Bureau in supporting CLETS review of Children & Family Services inquiries. The purpose of the program is to ensure that emergency placements for children are safe by searching the criminal records of potential placement providers.

CONSEQUENCE OF NEGATIVE ACTION:

Failure to add these positions will impact the department's ability to effectively and efficiently provide services to families as required by Federal and State mandates. Inadequate clerical support will create workloads that are difficult to manage and will put the department at risk of not providing mandated services.

CHILDREN'S IMPACT STATEMENT:

The work performed by these Clerks is essential to ensuring accurate and timely processing of critical information required to enable the families to receive services and assistance in order to maintain safe, stable and nurturing placements for our dependent children.

ATTACHMENTS

P300 #21718 EHSD

POSITION ADJUSTMENT REQUEST

NO. 21718
DATE 8/6/2015

Department Employment and Human Services

Department No./

Budget Unit No. 0502 Org No. 5220 Agency No. A19

Action Requested: Add eight (8) full-time Clerk - Senior Level (JWXC) positions and one Clerk - Specialist Level (JWXD) position in Children & Family Services Bureau

Proposed Effective Date: 9/15/2015

Classification Questionnaire attached: Yes ☐ No ☒ / Cost is within Department's budget: Yes ☒ No ☐

Total One-Time Costs (non-salary) associated with request: \$0.00

Estimated total cost adjustment (salary / benefits / one time):

Total annual cost \$554,723.00

Net County Cost \$55,472.00

Total this FY \$462,269.00

N.C.C. this FY \$46,227.00

SOURCE OF FUNDING TO OFFSET ADJUSTMENT 46% Federal and 44% State and 10% County funds

Department must initiate necessary adjustment and submit to CAO.
Use additional sheet for further explanations or comments.

Cheryl Morse (925) 313-1558

(for) Department Head

REVIEWED BY CAO AND RELEASED TO HUMAN RESOURCES DEPARTMENT

Kevin J. Corrigan

8/7/2015

Deputy County Administrator

Date

HUMAN RESOURCES DEPARTMENT RECOMMENDATIONS

DATE 8/12/2013

Add eight (8) Clerk - Senior Level (JWXC) (represented) full-time positions at Salary Plan and Grade 3RX 1033 (\$3087-\$3943) and one (1) Clerk - Specialist Level (JWXD) (represented) full-time position at Salary Plan and Grade 3RX 1156 (\$3487 - \$4453) in the Children & Family Services Bureau of the Employment and Human Services Department

Amend Resolution 71/17 establishing positions and resolutions allocating classes to the Basic / Exempt salary schedule.

Effective: ☒ Day following Board Action.

☐ _____ (Date)

Lisa Lopez

9/1/2015

(for) Director of Human Resources

Date

COUNTY ADMINISTRATOR RECOMMENDATION:

DATE

9/14/2015

☒ Approve Recommendation of Director of Human Resources

☐ Disapprove Recommendation of Director of Human Resources

☐ Other: _____

Enid Mendoza

(for) County Administrator

BOARD OF SUPERVISORS ACTION:

Adjustment is APPROVED ☐ DISAPPROVED ☐

David J. Twa, Clerk of the Board of Supervisors
and County Administrator

DATE _____

BY _____

APPROVAL OF THIS ADJUSTMENT CONSTITUTES A PERSONNEL / SALARY RESOLUTION AMENDMENT

POSITION ADJUSTMENT ACTION TO BE COMPLETED BY HUMAN RESOURCES DEPARTMENT FOLLOWING BOARD ACTION

Adjust class(es) / position(s) as follows:

REQUEST FOR PROJECT POSITIONS

Department _____

Date 9/13/2015

No. xxxxxx

1. Project Positions Requested:
2. Explain Specific Duties of Position(s)
3. Name / Purpose of Project and Funding Source (do not use acronyms i.e. SB40 Project or SDSS Funds)
4. Duration of the Project: Start Date _____ End Date _____
Is funding for a specified period of time (i.e. 2 years) or on a year-to-year basis? Please explain.
5. Project Annual Cost
 - a. Salary & Benefits Costs: _____
 - b. Support Costs: _____
(services, supplies, equipment, etc.)
 - c. Less revenue or expenditure: _____
 - d. Net cost to General or other fund: _____
6. Briefly explain the consequences of not filling the project position(s) in terms of:
 - a. potential future costs
 - b. legal implications
 - c. financial implications
 - d. political implications
 - e. organizational implications
7. Briefly describe the alternative approaches to delivering the services which you have considered. Indicate why these alternatives were not chosen.
8. Departments requesting new project positions must submit an updated cost benefit analysis of each project position at the halfway point of the project duration. This report is to be submitted to the Human Resources Department, which will forward the report to the Board of Supervisors. Indicate the date that your cost / benefit analysis will be submitted
9. How will the project position(s) be filled?
 - ☐ a. Competitive examination(s)
 - ☐ b. Existing employment list(s) Which one(s)? _____
 - ☐ c. Direct appointment of:
 - ☐ 1. Merit System employee who will be placed on leave from current job
 - ☐ 2. Non-County employee

Provide a justification if filling position(s) by C1 or C2

USE ADDITIONAL PAPER IF NECESSARY



**Contra
Costa
County**

To: Board of Supervisors

From: Julia R. Bueren, Public Works Director/Chief Engineer

Date: September 22, 2015

Subject: ADD one Senior Hydrographer Position and CANCEL one Hydrographer Position in the Public Works Department

RECOMMENDATION(S):

ADOPT Position Adjustment Resolution No. 21739 to ADD one (1) full-time Senior Hydrographer (N9SD), (represented) position at salary plan and grade level KL5 1634 (\$5,612 - \$6,822) and CANCEL one (1) full-time Hydrographer (N9SC), vacant position No. 12197 (represented) at salary plan and grade level KL5 1480 (\$4,818 - \$5,857) in the Public Works Department.

FISCAL IMPACT:

Upon approval, this action has an annual cost of approximately \$11,577.72, including approximately \$3,242 in pension costs. The additional costs will be offset by Flood Control District Ad Valorem Tax Revenue, Grants, and Zone Funds. (100% - Billed as Charges to Specific Work Orders)

BACKGROUND:

Upon retirement of the previous incumbent, an assessment of this position was conducted. The analysis determined that a Senior Hydrographer is the appropriate class as the duties of this position requires an individual to handle the information identified above and make independent decisions. The individual will also be responsible for training and assisting staff in the application of hydrologic models. Each of these duties and responsibilities are higher level functions that should be performed by a Senior Hydrographer.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Julia R. Bueren (925)
313-2201

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: James Hicks

BACKGROUND: (CONT'D)

The Flood Control (FC) District currently operates twenty-nine (29) rain gages and four (4) stage gages. The FC District anticipates installing ten (10) more stream gages this year. All of these gages are or will be connected to remote data collection units that allow transmission of data via Supervisory Control and Data Acquisition (SCADA) radio or cell phone. The SCADA network connects to a centralized computer at the FC District's office via the County microwave system. The data is stored, backed up, and regularly uploaded to the California Data Exchange Center (CDEC) for use by the National Weather Service and public. The FC District recently purchased an upgrade that added greater flexibility in communicating and transferring data. This new system opens additional opportunities for flood emergency communication that can provide better, timelier data for decision makers during flood or flood control channel related emergencies.

CONSEQUENCE OF NEGATIVE ACTION:

If this action is not approved, the Department will not have the appropriate level of staff to perform work associated with this classification.

ATTACHMENTS

P300 No.21739

POSITION ADJUSTMENT REQUEST

NO. 12739DATE 9/1/15

Department No./

Department Public WorksBudget Unit No. 0650 Org No. 4542 Agency No. 65

Action Requested: ADD one (1) full-time Sr. Hydrographer (N9SD), at salary level KL5 1634 (\$5,612.56 - \$6,682.10) and to cancel one (1) full time Hydrographer (N9SC) (#12197), at salary level KL5 1480 (\$4,818.80 - \$5,857.29).

Proposed Effective Date: 9/1/15Classification Questionnaire attached: Yes ☐ No ☒ / Cost is within Department's budget: Yes ☒ No ☐Total One-Time Costs (non-salary) associated with request: 0

Estimated total cost adjustment (salary / benefits / one time):

Total annual cost \$11,577.72

Net County Cost 0Total this FY \$ 8,683.29N.C.C. this FY 0

SOURCE OF FUNDING TO OFFSET ADJUSTMENT The position of Hydrographer is fully funded and budgeted for 2015/2016; funding comes from Flood Control fees and grants, and will not affect the General Fund.

Department must initiate necessary adjustment and submit to CAO.

Use additional sheet for further explanations or comments.

Julia R. Bueren

(for) Department Head

REVIEWED BY CAO AND RELEASED TO HUMAN RESOURCES DEPARTMENT

L.Driscoll

9/1/15

Deputy County Administrator

Date

HUMAN RESOURCES DEPARTMENT RECOMMENDATIONS

DATE _____

ADD one (1) full-time Senior Hydrographer (N9SD), (represented) position at salary plan and grade level KL5 1634 (\$5,612 - \$6,822);
CANCEL one (1) full-time Hydrographer (N9SC), vacant position No. 12197 (represented) at salary plan and grade level KL5 1480 (\$4,818 - \$5,857) in the Public Works Department.

Amend Resolution 71/17 establishing positions and resolutions allocating classes to the Basic / Exempt salary schedule.

Effective: ☒ Day following Board Action.☐ _____(Date)

(for) Director of Human Resources

Date

COUNTY ADMINISTRATOR RECOMMENDATION:

DATE _____

☐ Approve Recommendation of Director of Human Resources☐ Disapprove Recommendation of Director of Human Resources☐ Other: _____

(for) County Administrator

BOARD OF SUPERVISORS ACTION:

Adjustment is APPROVED ☐ DISAPPROVED ☐David J. Twa, Clerk of the Board of Supervisors
and County Administrator

DATE _____

BY _____

APPROVAL OF THIS ADJUSTMENT CONSTITUTES A PERSONNEL / SALARY RESOLUTION AMENDMENT

POSITION ADJUSTMENT ACTION TO BE COMPLETED BY HUMAN RESOURCES DEPARTMENT FOLLOWING BOARD ACTION

Adjust class(es) / position(s) as follows:

REQUEST FOR PROJECT POSITIONS

Department _____

Date 9/8/2015

No. xxxxxx

1. Project Positions Requested:
2. Explain Specific Duties of Position(s)
3. Name / Purpose of Project and Funding Source (do not use acronyms i.e. SB40 Project or SDSS Funds)
4. Duration of the Project: Start Date _____ End Date _____
Is funding for a specified period of time (i.e. 2 years) or on a year-to-year basis? Please explain.
5. Project Annual Cost
 - a. Salary & Benefits Costs: _____
 - b. Support Costs: _____
(services, supplies, equipment, etc.)
 - c. Less revenue or expenditure: _____
 - d. Net cost to General or other fund: _____
6. Briefly explain the consequences of not filling the project position(s) in terms of:
 - a. potential future costs
 - b. legal implications
 - c. financial implications
 - d. political implications
 - e. organizational implications
7. Briefly describe the alternative approaches to delivering the services which you have considered. Indicate why these alternatives were not chosen.
8. Departments requesting new project positions must submit an updated cost benefit analysis of each project position at the halfway point of the project duration. This report is to be submitted to the Human Resources Department, which will forward the report to the Board of Supervisors. Indicate the date that your cost / benefit analysis will be submitted
9. How will the project position(s) be filled?
 - ☐ a. Competitive examination(s)
 - ☐ b. Existing employment list(s) Which one(s)? _____
 - ☐ c. Direct appointment of:
 - ☐ 1. Merit System employee who will be placed on leave from current job
 - ☐ 2. Non-County employee

Provide a justification if filling position(s) by C1 or C2

USE ADDITIONAL PAPER IF NECESSARY



Contra
Costa
County

To: Board of Supervisors
From: William Walker, M.D., Health Services
Date: September 22, 2015

Subject: Add and Cancel positions in the Health Services Department

RECOMMENDATION(S):

ADOPT Position Adjustment Resolution No. 21745 to cancel one (1) Account Clerk Supervisor (JDHD) position #7139 at salary level K6X 1340 (\$4,184-\$5,343), one (1) Clerk-Senior Level (JWXC) position #9552 at salary level 3RX 1033 (\$3,087-\$3,943), one (1) Clerk-Specialist Level (JWXD) position #9747 at salary level 3RX-1156 (\$3,487-\$4,453); and add one (1) Administrative Analyst position (APWA) at salary level ZB5 1277 (\$3,941-\$4,791) and add one (1) Community Health Worker II (VKVB) at salary level QT5 1043 (\$3,126-\$3,800) in the Health Services Department. (All represented)

FISCAL IMPACT:

Upon approval, this action has an annual cost of approximately \$179,848, which includes approximately \$36,596 in pension costs. However, savings of approximately \$104,982 will be realized due to the cancellation of three full-time positions and will be used to offset the total cost. The cost will be funded by 75% State WIC funding and 25% General Fund.

BACKGROUND:

The three positions to be canceled are vacant due to resignations and

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Arlene J. Lozada (925)
957-5269

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

a retirement. It was determined that adding an Administrative Analyst and a Community Health Worker II will better serve the current needs of the Women, Infants & Children (WIC) Program. This will also help the operational efficiencies connected to the current WIC Program reorganization.

CONSEQUENCE OF NEGATIVE ACTION:

If this action is not approved, program operational needs will not be achieved due to inappropriate staffing levels.

CHILDREN'S IMPACT STATEMENT:

Not applicable.

ATTACHMENTS

P300 No. 21745 HSD P.Health

POSITION ADJUSTMENT REQUEST

NO. 21745DATE 9/1/15

Department No./

Department Health Services- Public HealthBudget Unit No. 0450 Org No. 5828 Agency No. A18

Action Requested: Cancel one (1) Account Clerk Supervisor (JDHD) position #7139, one (1) Clerk-Senior Level (JWXC) position #9552, and one (1) Clerk-Specialist Level (JWXD) position #9747; and add one (1) Administrative Analyst (APWA) position and one Community Health Worker II (VKVB) position in the Health Services Department.

Proposed Effective Date: 9/23/2015Classification Questionnaire attached: Yes ☐ No ☒ / Cost is within Department's budget: Yes ☒ No ☐Total One-Time Costs (non-salary) associated with request: \$0.00

Estimated total cost adjustment (salary / benefits / one time):

Total annual cost \$179,848.00

Net County Cost _____

Total this FY \$0.00

N.C.C. this FY _____

SOURCE OF FUNDING TO OFFSET ADJUSTMENT 75% State WIC Funding and 25% General Fund

Department must initiate necessary adjustment and submit to CAO.

Use additional sheet for further explanations or comments.

Arlene J. Lozada

(for) Department Head

REVIEWED BY CAO AND RELEASED TO HUMAN RESOURCES DEPARTMENT

Enid Mendoza

9/14/2015

Deputy County Administrator

Date

HUMAN RESOURCES DEPARTMENT RECOMMENDATIONS

DATE _____

Exempt from Human Resources review under a delegated authority,

Amend Resolution 71/17 establishing positions and resolutions allocating classes to the Basic / Exempt salary schedule.

Effective: ☒ Day following Board Action.☐ _____(Date)

(for) Director of Human Resources

Date

COUNTY ADMINISTRATOR RECOMMENDATION:

DATE 9/14/2015☐ Approve Recommendation of Director of Human Resources☐ Disapprove Recommendation of Director of Human Resources☒ Other: Approve as requested by Department.

Enid Mendoza

(for) County Administrator

BOARD OF SUPERVISORS ACTION:

Adjustment is APPROVED ☐ DISAPPROVED ☐David J. Twa, Clerk of the Board of Supervisors
and County Administrator

DATE _____

BY _____

APPROVAL OF THIS ADJUSTMENT CONSTITUTES A PERSONNEL / SALARY RESOLUTION AMENDMENT

POSITION ADJUSTMENT ACTION TO BE COMPLETED BY HUMAN RESOURCES DEPARTMENT FOLLOWING BOARD ACTION

Adjust class(es) / position(s) as follows:

REQUEST FOR PROJECT POSITIONS

Department _____

Date 9/13/2015

No. xxxxxx

1. Project Positions Requested:
2. Explain Specific Duties of Position(s)
3. Name / Purpose of Project and Funding Source (do not use acronyms i.e. SB40 Project or SDSS Funds)
4. Duration of the Project: Start Date _____ End Date _____
Is funding for a specified period of time (i.e. 2 years) or on a year-to-year basis? Please explain.
5. Project Annual Cost
 - a. Salary & Benefits Costs: _____
 - b. Support Costs: _____
(services, supplies, equipment, etc.)
 - c. Less revenue or expenditure: _____
 - d. Net cost to General or other fund: _____
6. Briefly explain the consequences of not filling the project position(s) in terms of:
 - a. potential future costs
 - b. legal implications
 - c. financial implications
 - d. political implications
 - e. organizational implications
7. Briefly describe the alternative approaches to delivering the services which you have considered. Indicate why these alternatives were not chosen.
8. Departments requesting new project positions must submit an updated cost benefit analysis of each project position at the halfway point of the project duration. This report is to be submitted to the Human Resources Department, which will forward the report to the Board of Supervisors. Indicate the date that your cost / benefit analysis will be submitted
9. How will the project position(s) be filled?
 - ☐ a. Competitive examination(s)
 - ☐ b. Existing employment list(s) Which one(s)? _____
 - ☐ c. Direct appointment of:
 - ☐ 1. Merit System employee who will be placed on leave from current job
 - ☐ 2. Non-County employee

Provide a justification if filling position(s) by C1 or C2

USE ADDITIONAL PAPER IF NECESSARY



Contra
Costa
County

To: Board of Supervisors
From: William Walker, M.D., Health Services
Date: September 22, 2015

Subject: Add one full-time Clerk-Specialist Level position in the Health Services Department

RECOMMENDATION(S):

ADOPT Position Adjustment Resolution No. 21751 to add one (1) Clerk-Specialist Level position (JW XD) (represented) at salary level 3RX 1156 (\$3,488-\$4,453) in the Health Services Department.

FISCAL IMPACT:

Upon approval, this action has an annual cost of approximately \$92,712, which includes approximately \$18,971 in pension costs. The increased costs will mostly be funded by increased fee revenues from the issuance of birth and death certificates. Funds from the Medical Marijuana Program will also be available if needed. (67% Local, 6% State, 27% County)

BACKGROUND:

The Clerk-Specialist Level position handles specialized administrative or data services assignments where work is of a highly technical nature and performed with a great degree of independence. In addition, the incumbent acts as a lead personnel over a work group. Although the Clerk-Specialist Level will be expected to regularly utilize clerical skills and procedures

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Arlene J. Lozada (925)
957-5240

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

to accomplish assigned tasks, an essential element of this position is that of directly relieving a manager of administrative detail and decisions by virtue of an acquired in-depth knowledge and understanding of a wide variety of interrelated policies, procedures, and regulations.

The Vital Registration Unit is automating their processes by transitioning to a new scanning and software storing program. The Unit is also anticipating in starting a new online ordering system for ordering certified copies of birth and death certificates which will be a huge benefit for funeral homes as well as the general public, bringing revenue for the County. With the increase in population and changes in providing service, increase in workload is generally expected. Currently, the Vital Registration Unit has two Senior Level Clerks and one Experienced Level Clerk. Upon approval of this Board Resolution, the newly created Clerk-Specialist Level would act as a lead over the subordinate clerks and will be assigned more specialized clerical duties of the unit. Adding the Clerk-Specialist Level position is therefore deemed appropriate.

CONSEQUENCE OF NEGATIVE ACTION:

If this action is not approved, operational efficiency will not be achieved due to staffing shortage.

CHILDREN'S IMPACT STATEMENT:

Not applicable.

ATTACHMENTS

P300 No. 21751

POSITION ADJUSTMENT REQUEST

NO. 21751
DATE 9/3/2015

Department Health Services-Public Health Department No./
Budget Unit No. 0450 Org No. 5762 Agency No. A18
Action Requested: Add one (1) Clerk-Specialist Level position (JWXD) in the Health Services Department.

Proposed Effective Date: 9/23/2015

Classification Questionnaire attached: Yes ☐ No ☒ / Cost is within Department's budget: Yes ☐ No ☒

Total One-Time Costs (non-salary) associated with request: \$0.00

Estimated total cost adjustment (salary / benefits / one time):

Total annual cost \$92,712.68

Net County Cost 25,032.24

Total this FY \$69,534.51

N.C.C. this FY 18,774.32

SOURCE OF FUNDING TO OFFSET ADJUSTMENT 67% Local, 27% General Fund, 6% State

Department must initiate necessary adjustment and submit to CAO.
Use additional sheet for further explanations or comments.

Arlene J. Lozada

(for) Department Head

REVIEWED BY CAO AND RELEASED TO HUMAN RESOURCES DEPARTMENT

Deputy County Administrator

Date

HUMAN RESOURCES DEPARTMENT RECOMMENDATIONS

DATE _____

Exempt from Human Resources review under a delegated authority.

Amend Resolution 71/17 establishing positions and resolutions allocating classes to the Basic / Exempt salary schedule.

Effective: ☒ Day following Board Action.

☐ _____(Date)

(for) Director of Human Resources

Date

COUNTY ADMINISTRATOR RECOMMENDATION:

DATE 9/15/2015

☐ Approve Recommendation of Director of Human Resources

☐ Disapprove Recommendation of Director of Human Resources

☒ Other: Approve as requested by Department.

Enid Mendoza

(for) County Administrator

BOARD OF SUPERVISORS ACTION:

Adjustment is APPROVED ☐ DISAPPROVED ☐

David J. Twa, Clerk of the Board of Supervisors
and County Administrator

DATE _____

BY _____

APPROVAL OF THIS ADJUSTMENT CONSTITUTES A PERSONNEL / SALARY RESOLUTION AMENDMENT

POSITION ADJUSTMENT ACTION TO BE COMPLETED BY HUMAN RESOURCES DEPARTMENT FOLLOWING BOARD ACTION

Adjust class(es) / position(s) as follows:

REQUEST FOR PROJECT POSITIONS

Department _____

Date 9/15/2015

No. xxxxxx

1. Project Positions Requested:
2. Explain Specific Duties of Position(s)
3. Name / Purpose of Project and Funding Source (do not use acronyms i.e. SB40 Project or SDSS Funds)
4. Duration of the Project: Start Date _____ End Date _____
Is funding for a specified period of time (i.e. 2 years) or on a year-to-year basis? Please explain.
5. Project Annual Cost
 - a. Salary & Benefits Costs: _____
 - b. Support Costs: _____
(services, supplies, equipment, etc.)
 - c. Less revenue or expenditure: _____
 - d. Net cost to General or other fund: _____
6. Briefly explain the consequences of not filling the project position(s) in terms of:
 - a. potential future costs
 - b. legal implications
 - c. financial implications
 - d. political implications
 - e. organizational implications
7. Briefly describe the alternative approaches to delivering the services which you have considered. Indicate why these alternatives were not chosen.
8. Departments requesting new project positions must submit an updated cost benefit analysis of each project position at the halfway point of the project duration. This report is to be submitted to the Human Resources Department, which will forward the report to the Board of Supervisors. Indicate the date that your cost / benefit analysis will be submitted
9. How will the project position(s) be filled?
 - ☐ a. Competitive examination(s)
 - ☐ b. Existing employment list(s) Which one(s)? _____
 - ☐ c. Direct appointment of:
 - ☐ 1. Merit System employee who will be placed on leave from current job
 - ☐ 2. Non-County employee

Provide a justification if filling position(s) by C1 or C2

USE ADDITIONAL PAPER IF NECESSARY



Contra
Costa
County

To: Board of Supervisors
From: William Walker, M.D., Health Services
Date: September 22, 2015

Subject: Add one Medical Social Worker II position in the Health Services Department

RECOMMENDATION(S):

ADOPT Position Adjustment Resolution No. 21746 to add one (1) Medical Social Worker II position (X4VH) (represented) at salary plan and grade 255 1590 (\$5,373-\$6,531) in the Health Services Department.

FISCAL IMPACT:

Upon approval, this action will result in an annual cost of approximately \$129,365, which includes approximately \$27,823 in pension costs. The cost will be funded by Ryan White CARE Act funds.

BACKGROUND:

Medical Social Workers are a core component of the HIV Service delivery system in the HIV/AIDS and STD Program. Medical Social Workers staff the CCRMC Positive Health Clinics. Last fiscal year, they were able to manage a combined caseload of roughly 950 individuals. When not staffing Positive Health Clinics, Medical Social Workers are enrolling individuals in health care coverage, assisting them to access AIDS Drug Assistance Program medication assistance, helping to identify and return to care individuals who have not accessed care as requested by their clinical provider, gathering partner notification information,

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Arlene J. Lozada (925)
957-5269

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

accessing other community resources, and supporting family members as they navigate the health care delivery system. Currently the Program has only seven Medical Social Workers and to continue serving a large number of clients, one additional Medical Social Worker is needed.

CONSEQUENCE OF NEGATIVE ACTION:

If this action is not approved, service delivery systems will be impacted due to staffing shortage.

CHILDREN'S IMPACT STATEMENT:

Not applicable.

ATTACHMENTS

P300 No. 21746 HSD

POSITION ADJUSTMENT REQUEST

NO. 21746
DATE 9/3/2015

Department Health Services

Department No./

Budget Unit No. 0450 Org No. 5838 Agency No. A18

Action Requested: Add one (1) one Medical Social Worker II position (X4VH) in the Health Services Department.

Proposed Effective Date: 9/23/2015

Classification Questionnaire attached: Yes ☐ No ☒ / Cost is within Department's budget: Yes ☐ No ☒

Total One-Time Costs (non-salary) associated with request: \$0.00

Estimated total cost adjustment (salary / benefits / one time):

Total annual cost \$129,365.60

Net County Cost \$0.00

Total this FY \$97,024.00

N.C.C. this FY \$0.00

SOURCE OF FUNDING TO OFFSET ADJUSTMENT Ryan White CARE Act Funds.

Department must initiate necessary adjustment and submit to CAO.

Use additional sheet for further explanations or comments.

Arlene J. Lozada

(for) Department Head

REVIEWED BY CAO AND RELEASED TO HUMAN RESOURCES DEPARTMENT

Enid Mendoza

9/14/2015

Deputy County Administrator

Date

HUMAN RESOURCES DEPARTMENT RECOMMENDATIONS

DATE _____

Exempt from Human Resources review under a delegated authority.

Amend Resolution 71/17 establishing positions and resolutions allocating classes to the Basic / Exempt salary schedule.

Effective: ☒ Day following Board Action.

☐ _____(Date)

(for) Director of Human Resources

Date

COUNTY ADMINISTRATOR RECOMMENDATION:

DATE

9/14/2015

☐ Approve Recommendation of Director of Human Resources

☐ Disapprove Recommendation of Director of Human Resources

☒ Other: Approve as requested by Department.

Enid Mendoza

(for) County Administrator

BOARD OF SUPERVISORS ACTION:

Adjustment is APPROVED ☐ DISAPPROVED ☐

David J. Twa, Clerk of the Board of Supervisors
and County Administrator

DATE _____

BY _____

APPROVAL OF THIS ADJUSTMENT CONSTITUTES A PERSONNEL / SALARY RESOLUTION AMENDMENT

POSITION ADJUSTMENT ACTION TO BE COMPLETED BY HUMAN RESOURCES DEPARTMENT FOLLOWING BOARD ACTION

Adjust class(es) / position(s) as follows:

REQUEST FOR PROJECT POSITIONS

Department _____

Date 9/13/2015

No. xxxxxx

1. Project Positions Requested:
2. Explain Specific Duties of Position(s)
3. Name / Purpose of Project and Funding Source (do not use acronyms i.e. SB40 Project or SDSS Funds)
4. Duration of the Project: Start Date _____ End Date _____
Is funding for a specified period of time (i.e. 2 years) or on a year-to-year basis? Please explain.
5. Project Annual Cost
 - a. Salary & Benefits Costs: _____
 - b. Support Costs: _____
(services, supplies, equipment, etc.)
 - c. Less revenue or expenditure: _____
 - d. Net cost to General or other fund: _____
6. Briefly explain the consequences of not filling the project position(s) in terms of:
 - a. potential future costs
 - b. legal implications
 - c. financial implications
 - d. political implications
 - e. organizational implications
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 - ☐ a. Competitive examination(s)
 - ☐ b. Existing employment list(s) Which one(s)? _____
 - ☐ c. Direct appointment of:
 - ☐ 1. Merit System employee who will be placed on leave from current job
 - ☐ 2. Non-County employee

Provide a justification if filling position(s) by C1 or C2

USE ADDITIONAL PAPER IF NECESSARY



Contra
Costa
County

To: Board of Supervisors
From: Mark Peterson, District Attorney
Date: September 22, 2015

Subject: Reduce the hours of one Deputy District Attorney - Basic position from 40/40 to 20/40

RECOMMENDATION(S):

ADOPT Position Adjustment Resolution No. 21748 to decrease the hours of one (1) Deputy District Attorney - Basic Level (2KTF) (represented) position # 13492 from full-time (40/40) to part-time (20/40) in the District Attorney's office.

FISCAL IMPACT:

This action will result in an annual cost savings of approximately \$89,533, of which \$21,999 is attributable to employer pension cost savings.

BACKGROUND:

The District Attorney's office is requesting this position adjustment to accommodate an employee request to reduce hours to 50%.

CONSEQUENCE OF NEGATIVE ACTION:

The department will be unable to accommodate the employee's request.

CHILDREN'S IMPACT STATEMENT:

No impact.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Cherie Mathisen
925-957-2234

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Cherie Mathisen, Tanya Williams, James Hicks, Cheryl Koch

ATTACHMENTS

P300 No. 21748

POSITION ADJUSTMENT REQUEST

NO. 21748
DATE 9/22/2015

Department District Attorney

Department No./

Budget Unit No. 0242 Org No. 2805 Agency No. 42

Action Requested: Reduce the hours of one (1) Deputy District Attorney - Basic Level (2KTF) (represented) position # 13492 from full-time (40/40) to part-time (20/40) in the District Attorney's office.

Proposed Effective Date: 10/5/2015

Classification Questionnaire attached: Yes ☐ No ☒ / Cost is within Department's budget: Yes ☒ No ☐

Total One-Time Costs (non-salary) associated with request: \$0.00

Estimated total cost adjustment (salary / benefits / one time):

Total annual cost (\$89,533.00)

Net County Cost (\$89,533.00)

Total this FY (\$22,383.00)

N.C.C. this FY (\$22,383.00)

SOURCE OF FUNDING TO OFFSET ADJUSTMENT Cost Savings

Department must initiate necessary adjustment and submit to CAO.
Use additional sheet for further explanations or comments.

Cherie Mathisen

(for) Department Head

REVIEWED BY CAO AND RELEASED TO HUMAN RESOURCES DEPARTMENT

Timothy M. Ewell

9/14/2015

Deputy County Administrator

Date

HUMAN RESOURCES DEPARTMENT RECOMMENDATIONS

DATE 9/15/2015

Decrease the hours of one (1) Deputy District Attorney - Basic Level (2KTF) (represented) position # 13492 from full-time (40/40) to part-time (20/40)

Amend Resolution 71/17 establishing positions and resolutions allocating classes to the Basic / Exempt salary schedule.

Effective: ☐ Day following Board Action.

☒ 10/19/2015(Date)

Gladys Scott Reid

9/15/2015

(for) Director of Human Resources

Date

COUNTY ADMINISTRATOR RECOMMENDATION:

DATE

9/16/2015

☒ Approve Recommendation of Director of Human Resources

☐ Disapprove Recommendation of Director of Human Resources

☐ Other: _____

Timothy M. Ewell

(for) County Administrator

BOARD OF SUPERVISORS ACTION:

Adjustment is APPROVED ☐ DISAPPROVED ☐

David J. Twa, Clerk of the Board of Supervisors
and County Administrator

DATE _____

BY _____

APPROVAL OF THIS ADJUSTMENT CONSTITUTES A PERSONNEL / SALARY RESOLUTION AMENDMENT

POSITION ADJUSTMENT ACTION TO BE COMPLETED BY HUMAN RESOURCES DEPARTMENT FOLLOWING BOARD ACTION

Adjust class(es) / position(s) as follows:

REQUEST FOR PROJECT POSITIONS

Department _____

Date 9/16/2015

No. xxxxxx

1. Project Positions Requested:
2. Explain Specific Duties of Position(s)
3. Name / Purpose of Project and Funding Source (do not use acronyms i.e. SB40 Project or SDSS Funds)
4. Duration of the Project: Start Date _____ End Date _____
Is funding for a specified period of time (i.e. 2 years) or on a year-to-year basis? Please explain.
5. Project Annual Cost
 - a. Salary & Benefits Costs: _____
 - b. Support Costs: _____
(services, supplies, equipment, etc.)
 - c. Less revenue or expenditure: _____
 - d. Net cost to General or other fund: _____
6. Briefly explain the consequences of not filling the project position(s) in terms of:
 - a. potential future costs
 - b. legal implications
 - c. financial implications
 - d. political implications
 - e. organizational implications
7. Briefly describe the alternative approaches to delivering the services which you have considered. Indicate why these alternatives were not chosen.
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9. How will the project position(s) be filled?
 - ☐ a. Competitive examination(s)
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 - ☐ c. Direct appointment of:
 - ☐ 1. Merit System employee who will be placed on leave from current job
 - ☐ 2. Non-County employee

Provide a justification if filling position(s) by C1 or C2

USE ADDITIONAL PAPER IF NECESSARY



Contra Costa County

To: Board of Supervisors
From: David Twa, County Administrator
Date: September 22, 2015

Subject: Informal Recognition for Teamsters, Local 856

RECOMMENDATION(S):

ADOPT Resolution No. 2015/358 granting informal recognition to Teamsters, Local 856, as recommended by the Employee Relations Officer.

FISCAL IMPACT:

There is no cost associated with this action.

BACKGROUND:

Teamsters, Local 856 has submitted a written request for informal recognition from Contra Costa County. The Employee Relations Officer has reviewed the request and determined it to be in conformance with the requirements of the County's Employer-Employee Relations Resolution No. 81/1165, Chapter 34-10- Informal Recognition Procedure and has recommended approval.

CONSEQUENCE OF NEGATIVE ACTION:

Delay in recognition of Teamsters, Local 856.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Glynis Hughes, (925)
335-1784

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Lisa Lopez, Assistant HR Director, Harjit Nahal, Assistant Auditor-Controller, Lisa Driscoll, County Finance Director, Robert Campbell, Auditor Controller

ATTACHMENTS

Resolution No. 2015/358

Request for Informal
Recognition

THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA
and for Special Districts, Agencies and Authorities Governed by the Board

Adopted this Resolution on 09/22/2015 by the following vote:

AYE: ☐
NO: ☐
ABSENT: ☐
ABSTAIN: ☐
RECUSE: ☐



Resolution No. 2015/358

In the Matter of: Informal Recognition for Teamsters, Local 856

WHEREAS Teamsters, Local 856 has submitted a written request for informal recognition from Contra Costa County; and

WHEREAS the Employee Relations Officer has reviewed the request and determined it to be in conformance with the requirements of the County's Employer-Employee Relations Resolution No. 81/1165, Chapter 34-10- Informal Recognition Procedure and has recommended approval;

NOW, THEREFORE, the Contra Costa County Board of Supervisors, in its capacity as governing Board of the County of Contra Costa and all districts of which it is ex-officio governing Board, **RESOLVES THAT** Teamsters, Local 856 is hereby granted informal recognition.

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

Contact: Glynis Hughes, (925) 335-1784

ATTESTED: September 22, 2015

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Lisa Lopez, Assistant HR Director, Harjit Nahal, Assistant Auditor-Controller, Lisa Driscoll, County Finance Director, Robert Campbell, Auditor Controller

ROBERT BONSALE
GEOFFREY PILLER
CATHERINE E. AROSTEGUI
JOHN C. PROVOST
ANDREW H. BAKER
SHEILA K. SEXTON
MATTHEW MORBELLO
DALE L. BRODSKY
TEAGUE P. PATERSON
COSTA KERESTENZIS
MARGARET A. GEDDES
PETER M. MCENTEE
SUSAN K. GAREA
SARAH SANDFORD-SMITH
CHRISTOPHER HAMMER
DALISAI NISPEROS
STEPHANIE PLATENKAMP
SARAH KANTER

BEESON, TAYER & BODINE
ATTORNEYS AT LAW
A PROFESSIONAL CORPORATION
ROSS HOUSE, SUITE 200
483 NINTH STREET
OAKLAND, CALIFORNIA 94607-4051
(510) 625-9700
FAX (510) 625-8275



September 11, 2015

SACRAMENTO OFFICE
520 CAPITOL MALL
SUITE 300
SACRAMENTO, CA 95814-4714
(916) 325-2100
FAX (916) 325-2120

DONALD S. TAYER
(1932-2001)

WWW.BEESONTAYER.COM

OF COUNSEL
DUANE B. BEESON
NEIL BODINE

sgarea@beesontayer.com

*Sent by Certified Mail - Return Receipt Requested
Tracking No. 70092820000129102579*

David Twa
County Administrator
651 Pine Street, 10th Floor
Martinez, CA 94553

Re: Teamsters Local 856 Request for Informal Recognition

Dear Board of Supervisors:

By this letter, Teamsters Local 856 hereby requests informal recognition pursuant to Section 340-10.002 (Ord. 70-17 § 1). In conformance with Section 34-10.004, Teamsters Local 856 submits the following information.

- (1) FREIGHT CHECKERS, CLERICAL EMPLOYEES AND HELPERS, LOCAL NO. 856, International Brotherhood of Teamsters ("Teamsters Local 856")
453 San Mateo Ave.
San Bruno, CA 94066
(650) 635-0111
- (2) Teamsters Local 856 is affiliated with the Joint Council No. 7, 250 Executive Park Blvd., Suite 3100, San Francisco, CA, 94131, and the International Brotherhood of Teamsters, 25 Louisiana Ave. NW, Washington D.C. 20001.
- (3) Officers:
Peter Finn, Secretary-Treasurer
Mike Lagomarsino, President
Rudy Gonzalez, Vice President
Paola Gleeson, Recording Secretary
Mark Gabriel, Trustee
Judy Rodrigues, Trustee
Lisa Chin, Trustee
- (4) Enclosed is a confidential proof of employee approval in accordance with Section 34-4.038.

- (5) One of the primary purposes of Teamsters Local 856 is representation of employees in their employer-employee relations and seeks to represent employees of Contra Costa County in employer-employee relations with the county.
- (6) Enclosed please find current copies of the constitution of the International Brotherhood of Teamsters and the bylaws of Teamsters Local 856.
- (7) Teamsters Local 856 designates the following two persons, to whom notice sent by regular United States mail, will constitute notice:

Peter Finn, Secretary-Treasurer, Teamsters Local 856
453 San Mateo Ave.
San Bruno, CA 94066

Rudy Gonzalez, Vice President, Teamsters Local 856
453 San Mateo Ave.
San Bruno, CA 94066
- (8) Teamsters Local 856 has no restriction on membership based on race, color, creed, national origin, sex, age or physical impairment.

Please contact the undersigned if there are any questions or concerns regarding this request. Thank you in advance for your prompt attention to this matter.

Very truly yours,



Susan K. Garea

SKG/tg
Enclosures

cc: Sharon L. Anderson, County Counsel (mail)
Glynis Hughes, Labor Relations Specialist (email)
John M. Gioia, District I Supervisor (mail)
Candace Anderson, District II Supervisor (mail)
Mary N. Piepho, District III Supervisor (mail)
Karen Mitchoff, District IV Supervisor (mail)
Federal D. Glover, District V Supervisor (mail)
Rudy Gonzalez, Teamsters Local 856 (email)



Contra
Costa
County

To: Board of Supervisors
From: David Twa, County Administrator
Date: September 22, 2015

Subject: Recognition of SEIU Local 2015 as the Successor to SEIU United Healthcare Workers West, Local 2005

RECOMMENDATION(S):

Acting solely in its capacity as the governing board of the Contra Costa County In-Home Supportive Services Public Authority, ADOPT Resolution NO. 2015/357, recognizing SEIU Local 2015 as the successor employee organization to SEIU United Healthcare Workers West, Local 2005.

FISCAL IMPACT:

None.

BACKGROUND:

SEIU United Healthcare Workers West (UHW), Local 2005 is a local affiliate of the Service Employees International Union (SEIU) and is the recognized employee organization for the In-Home Supportive Services Provider Unit. Recently SEIU reorganized the jurisdiction of its local affiliates in California to consolidate representation of most of its long term care workers in California into one affiliate, namely SEIU Local 2015.

Effective September 1, 2015, the in-home supportive services providers in Contra Costa County who were represented by SEIU UHW, Local 2005 became represented by SEIU Local 2015.

CONSEQUENCE OF NEGATIVE ACTION:

Delay in recognizing SEIU Local 2015 as the successor employee organization to SEIU United Healthcare Workers West, Local 2005.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Lisa Driscoll, County Finance
Director (925) 335-1023

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Lisa Lopez, Assistant Director of Human Resources, Glynis Hughes, Principal Labor Relations Analyst



**Contra
Costa
County**

To: Board of Supervisors
From: Julia R. Bueren, Public Works Director/Chief Engineer
Date: September 22, 2015

Subject: 2366 Stanwell Circle, Concord – Lease for the Public Works and Agriculture Departments

RECOMMENDATION(S):

APPROVE and AUTHORIZE the Public Works Director, or designee, to execute a lease agreement and to exercise any options to extend the lease term on behalf of the County, with The Holmgren Partnership, for a five-term beginning September 1, 2015, and ending August 31, 2020, for approximately 21,355 square feet of office and warehouse space located at 2366 Stanwell Circle, Concord, at a rent of \$21,355 per month, as requested by the Public Works and Agriculture Departments, under terms and conditions set forth in the Lease. (WLP836).

FISCAL IMPACT:

100% General Fund

BACKGROUND:

Both the Public Works

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Dave Silva, (925)
313-2132

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Auditor

BACKGROUND: (CONT'D)

Department and the Agriculture Department use this facility as office and warehouse space for their respective operations. Both departments have been at this location for a considerable amount of time. In addition to the building, this lease provides a considerable amount of parking area for both operations. The lease will obligate the County to pay rent of approximately \$1,281,300 over the five-year lease term. The first year's rent was anticipated and budgeted in both the Public Works and Agriculture Departments' approved 2014/15 budgets.

CONSEQUENCE OF NEGATIVE ACTION:

Not authorizing this lease for the Public Works and Agriculture Departments occupancy of this office and warehouse space would require finding another suitable location for both departments at additional expense.

CHILDREN'S IMPACT STATEMENT:

Not applicable.

ATTACHMENTS

Lease

LEASE

Public Works Department – Print and Mail Division
Agriculture Department
2366 Stanwell Circle
Concord, California

This lease is dated September 22, 2015, and is between the HOLMGREN PARTNERSHIP, a California general partnership (“**Lessor**”), and the COUNTY OF CONTRA COSTA, a political subdivision of the State of California (“**County**”).

Recitals

- A. Lessor is the owner of (i) that certain property located at 2366 Stanwell Circle, Concord, California (the “**Property**”), and (ii) a parcel of land adjacent to the Property with Assessor’s Parcel Number (APN) 112-252-022 (the “**Adjacent Lot**”) (together, the Property and the Adjacent Lot are the “**Premises**”). The Premises is more particularly described in Exhibit A.
- B. Lessor and County are parties to a lease dated June 24, 2003, under which the County is leasing the Premises from Lessor (the “**Original Lease**”). Upon execution of this lease, the Original Lease is being terminated.
- C. The Property has been improved with a warehouse and office building that is approximately 21,355 square feet in size (the “**Building**”) and asphalt parking lots. The Adjacent Lot has been improved with an asphalt parking lot and a perimeter fence with a motorized gate.
- D. County has improved the Premises by repaving the asphalt parking lot and adding an additional motorized gate to the parking area on the west side of the building (the “**Tenant Improvements**”). As partial consideration for this lease, Lessor has agreed to reimburse County Fifty-Two Thousand Two Hundred Forty-Eight Dollars (\$52,248) (the “**Lessor’s Share**”), which is one-half the cost for the Tenant Improvements.
- E. Lessor and County are parties to a lease dated September 25, 2001, under which the County is leasing certain real property from Lessor that is located at 2355 Stanwell Circle, Concord, California (the “**Health Clinic Lease**”). The real property leased to the County pursuant to the Health Clinic Lease includes a parcel of land that is improved with a parking lot, as more particularly described in Exhibit B (the “**Parking Lot**”). As partial consideration for this lease, Lessor is granting County the right to lease the Parking Lot from Lessor if the Health Clinic Lease expires or terminates during the term of this lease.

The parties therefore agree as follows:

Agreement

1. Lease of Premises. In consideration of the rents and subject to the terms herein set forth, Lessor hereby leases to County and County hereby leases from Lessor, the Premises.
2. Term. The “**Term**” of this lease is comprised of an Initial Term and, at County’s election, Renewal Terms, each as defined below.
 - a. Initial Term. The “**Initial Term**” is five years, commencing on September 1, 2015 (the “**Commencement Date**”) and ending August 31, 2020.
 - b. Renewal Terms. County has one option to renew this lease for a term of five years (the “**Renewal Term**”) upon all the terms and conditions set forth herein.
 - i. County will provide Lessor with written notice of its election to renew the Lease thirty days prior to the end of the Term. However, if County fails to provide such notice, its right to renew the Lease will not expire until fifteen working days after County’s receipt of Lessor’s written demand that County exercise or forfeit the option to renew.
 - ii. Upon the commencement of the Renewal Term, all references to the Term of this lease will be deemed to mean the Term as extended pursuant to this Section.
3. Rent. County shall pay rent to Lessor monthly in advance. Subject to Section 5 (Cost Rebate) below, rent is payable on the tenth day of each month during the Initial Term and, if applicable, the Renewal Term, in the amounts set forth below:
 - a. Initial Term. Twenty-One Thousand Three Hundred Fifty-Five Dollars (\$21,355.00) per month.
 - b. Renewal Term. Twenty-Three Thousand Nine Hundred Fifteen Dollars (\$23,915.00) per month.

Rent for any fractional month will be prorated and computed on a daily basis with each day’s rent equal to one-thirtieth (1/30) of the monthly rent.

Payments are to be mailed to: The Holmgren Partnership, c/o Lynne Holmgren-Law, 90 Bayview Drive, San Rafael, CA 94901.
4. Additional Rent. In addition to the rent set forth above, County shall pay Lessor the amounts set forth below (collectively, “**Additional Rent**”). Lessor shall invoice County for any Additional Rent due within ninety days after the end of each calendar year during the Term. County shall pay the amount so invoiced within thirty days of receipt of the invoice. County has the right, exercisable upon reasonable prior written notice to Lessor,

to inspect Lessor's books and records relating to the amounts charged to County as Additional Rent. County shall cause any such inspection to occur within ninety days of receipt of the annual invoice. County may not withhold payment of the invoice until after the completion of such inspection.

- a. Proportionate Share. For purposes of this Lease, "**Proportionate Share**" means the ratio, expressed as a percentage, of the square feet of the Premises to the total square footage of the Building. As of the date of this Lease, the parties estimate that County's Proportionate Share of the Building is 100%.
- b. Real Property Taxes. County's Proportionate Share of the Real Property Tax Expense, as defined below.

"**Real Property Tax Expense**" means the amount of Real Property Taxes, as defined below, paid or incurred by Lessor in any calendar year (or portion thereof).

"**Real Property Taxes**" means and includes all taxes, assessments (amortized over the longest period available to Lessor) levied or assessed upon the Building and the real property upon which it is situated, any state or local business taxes or fees measured by or assessed upon gross rentals or receipts, and other governmental charges, general and special, including, without limitation, assessments for public improvements or benefits, that are, during the Term of this Lease, assessed, levied, and imposed by any governmental authority upon the Building. Real Property Taxes do not include any late fees or penalties, any municipal, county, state or federal net income, estate, succession, inheritance, sales, use or franchise taxes of Lessor or documentary transfer taxes, or tax increases of any kind in connection with the transfer, sale or change in ownership of all or part of the Building.

- c. Insurance. County's Proportionate Share of the Insurance Expense, as defined below.

"**Insurance Expense**" means the amount of Insurance, as defined below, actually paid or incurred by Lessor in any calendar year (or portion thereof).

"**Insurance**" means the All Risk Property Insurance maintained by Lessor covering the Building for all perils included within the classification of fire, extended coverage, vandalism, and malicious mischief, but excluding earthquake and flood insurance, for an amount equal to full replacement cost; liability and other insurance that Lessor reasonably deems necessary on the Premises or that may be required by Lessor's mortgagee.

- d. Maintenance and Repairs. County's Proportionate Share of the CAM Charges, as defined below.

"**CAM Charges**" means common area maintenance charges and includes (i) all actual costs and expenses incurred by Lessor to operate and maintain those areas within the Building, including the Building's entrances, walkways, sidewalks,

lavatories, drives, parking facilities, and other areas that are not leased or held for lease but are within or contiguous to or serving the Building and are necessary or desirable for County's full use and enjoyment of the Premises (the "**Common Area**"), to repair Common Area facilities when reasonably required, to clean and remove trash from the Common Area and to provide security services to the Common Area, (ii) all actual costs and expenses incurred by Lessor to maintain and repair all common areas, parking lots, sidewalks, driveways, all landscaped areas, and other areas that are used in common by the tenants or occupants of the Building, and (iii) an administrative fee for services rendered by a third party manager that is equal to no more than ten percent of the total CAM Charges, excluding administrative expenses, taxes, and insurance premiums.

Notwithstanding any provision of this Lease to the contrary, Lessor and County acknowledge and agree that the following items are excluded from CAM Charges or other Additional Rent to be reimbursed or paid by County:

- i. Payments on any loans or ground leases affecting the Building.
 - ii. Depreciation of any Building or any major systems of Building service equipment.
 - iii. All costs and expenses associated with leasing to other tenants, including tenant improvements allowances, attorneys' fees, brokerage commissions, and architectural fees, if any.
 - iv. Any cost incurred in complying with hazardous materials laws.
 - v. Capital taxes, income taxes, corporate taxes, corporation capital taxes, excise taxes, profits taxes or other taxes personal to the Lessor.
5. Cost Rebate: As partial consideration for this lease, within thirty (30) days after the execution of this lease by both parties, Lessor shall either (i) reimburse the Lessor's Share to County, or (ii) advise County in writing that County may offset rent due under this lease until County has been fully reimbursed the Lessor's Share. If Lessor fails to reimburse the Lessor's Share or give such notice to County within the time period allowed, County may offset rent due under this lease until County has been fully reimbursed the Lessor's Share. If requested by Lessor in writing, County will provide Lessor with documentation evidencing the cost of the Tenant Improvements.
6. Use. County may use the Premises for the purpose of conducting various functions of County and any other purpose permitted by law.
7. Obligation to Pay Utilities. County shall pay for all gas, electric, water, sewer and refuse collection services provided to the Premises.

8. Maintenance and Repairs.
- a. Roof and Exterior of Building. County shall keep the roof and exterior of the Building in good order, condition, and repair, including the exterior doors and their fixtures, closers and hinges, exterior windows, glass and glazing, and all locks and key systems used in the Building.
 - b. Interior of Building. County shall keep and maintain the interior of the Building in good order, condition and repair. The County may install and maintain an alarm system if deemed necessary by County.
 - c. Utilities. County shall repair and maintain the electrical, lighting, water and plumbing systems in good order, condition and repair.
 - d. HVAC. County shall maintain and repair the heating, ventilating, and air-conditioning (HVAC) systems.
 - e. Parking; Exterior Lighting; Landscaping. County shall maintain the parking lot and exterior lighting system, and landscaping, in good order, condition and repair.
9. Services by Lessor. If County determines that the Premises are in need of maintenance, construction, remodeling or similar service that is beyond Lessor's responsibilities under this lease, at County's request, Lessor shall perform such service at County's expense. In performing the service, Lessor shall consult with County and use either licensed insured contractors or employees of Lessor. Lessor shall obtain County's prior written approval of the scope, terms, and cost of any contracts. County may, by giving Lessor thirty (30) days prior written notice, change the level of service, terminate any or all service, or require that a service be performed by a different contractor.
10. Structural Integrity. Notwithstanding anything to the contrary in this lease, Lessor is responsible for the structural integrity of the Building, including, but not limited to, structural damage to the foundation and roof, and termite damage.
11. Quiet Enjoyment. Provided County is in compliance with the material terms of this lease, Lessor shall warrant and defend County in the quiet enjoyment and possession of the Premises during the Term.
12. Subordination, Non-Disturbance and Attornment. If at any time Lessor has a loan that is secured by a lien of a mortgage or deed of trust encumbering the Building, Lessor shall cause the lender(s) holding such lien to execute and deliver to County a Subordination, Non-Disturbance and Attornment Agreement that is in substantial conformity with Exhibit C hereto.
13. Assignment and Sublease. County has the right to assign this lease or sublease the Premises or any part thereof at any time during the Term. Upon the assignment of the lease by County, the County will have no further obligation under the lease.

14. Alterations; Fixtures and Signs. County may (i) make any lawful and proper minor alterations to the Premises and (ii) attach fixtures and signs ("**County Fixtures**") in or upon the Premises. Any County Fixtures will remain the property of County and may be removed from the Premises by County at any time during the Term. County is responsible for the cost of all alterations and County Fixtures. All alterations and County Fixtures are subject to Lessor's approval and must comply with existing code requirements.
15. Insurance.
- a. County Liability Coverage. Throughout the Term, County shall maintain in full force and effect, at its sole expense, a general self-insurance program covering bodily injury (including death), personal injury, and property damage, including loss of use. County shall provide Lessor with a letter of self-insurance affirming the existence of the aforementioned self-insurance program.
- b. Self-Insurance Exclusion. County's self-insurance does not provide coverage for (i) areas to be maintained by Lessor under this lease, or (ii) negligence, willful misconduct, or other intentional act, error or omission of Lessor, its officers, agents, or employees.
16. Waiver of Subrogation. County and Lessor each hereby waives any and all rights of recovery against the other, and against the officers, employees, agents and representatives of the other, for loss of or damage to the property of the waiving party or the property of others under its control, to the extent such loss or damage is covered by proceeds received under any insurance policy carried by Lessor or County and in force at the time of such loss or damage. Tenant and Lessor shall, upon obtaining the policies of insurance required hereunder, give notice to the insurance carrier or carriers that the foregoing mutual waiver of subrogation is contained in this lease.
17. Surrender of Premises. On the last day of the Term, or sooner termination of this lease, County shall peaceably and quietly leave and surrender to Lessor the Premises, along with appurtenances and fixtures at the Premises (except County Fixtures), all in good condition, ordinary wear and tear, damage by casualty, condemnation, acts of God and Lessor's failure to make repairs required of Lessor excepted. County is not responsible for painting or for repairing or replacing any floor coverings in the Premises upon the expiration or earlier termination of this lease.
18. Waste, Nuisance. County may not commit, or suffer to be committed, any waste upon the Premises.
19. Inspection. Lessor, or its proper representative or contractor, may enter the Premises by prior appointment between the hours of 9:00 a.m. and 4:30 p.m., Monday through Friday, holidays excepted, to determine that (i) the Premises is being reasonably cared for, (ii) no waste is being made and that all actions affecting the Premises are done in the manner

best calculated to preserve the Premises, and (iii) County is in compliance with the terms and conditions of this lease.

20. Perilous Conditions. If the County's Public Works Director becomes aware of a perilous condition on the Premises that, in his or her opinion, substantially and significantly threatens the health and safety of County employees and/or invitees (a "**Perilous Condition**"), the Public Works Director, or his or her designee, will immediately notify Lessor of such Perilous Condition and Lessor shall use best efforts to immediately eliminate the Perilous Condition.

Lessor shall immediately address any condition reasonably constituting an emergency, whether Lessor learns of the condition through County or otherwise.

If Lessor fails to address a Perilous Condition within twenty-four (24) hours after County's notice or to immediately address an emergency situation, County may attempt to resolve the Perilous Condition or emergency situation. Lessor shall reimburse County for any costs incurred by County in addressing the Perilous Condition or emergency situation promptly upon receipt of County's invoice.

21. Destruction. If damage occurs that causes a partial destruction of the Premises during the Term from any cause and repairs can be made within sixty days from the date of the damage under the applicable laws and regulations of governmental authorities, Lessor shall repair the damage promptly. Such partial destruction will not void this lease, except that County will be entitled to a proportionate reduction in rent while such repairs are being made. The proportionate reduction in rent will be calculated by multiplying the amount of rent by a fraction, the numerator of which is the number of square feet in the Building that are unusable by County and the denominator of which is the total number of square feet in the Building.

If repairs cannot be made in sixty days, County will have the option to terminate the lease or request that Lessor make the repairs within a reasonable time, in which case, Lessor will make the repairs and rent will be proportionately reduced as provided in the previous paragraph.

This lease will terminate in the event of a total destruction of the Building.

22. Hazardous Material. Lessor warrants to County that Lessor does not have any knowledge of the presence of Hazardous Material (as defined below) or contamination of the Building or Premises in violation of environmental laws. Lessor shall defend, save, protect and hold County harmless from any loss arising out of the presence of any Hazardous Material on the Premises that was not brought to the Premises by or at the request of County, its agents, contractors, invitees or employees. Lessor acknowledges and agrees that County has no obligation to clean up or remediate, or contribute to the cost of clean up or remediation, of any Hazardous Material unless such Hazardous Material is released, discharged or spilled on or about the Premises by County or any of

its agents, employees, contractors, invitees or other representatives. The obligations of this Section shall survive the expiration or earlier termination of this lease.

“Hazardous Material” means any substance, material or waste, including lead based paint, asbestos and petroleum (including crude oil or any fraction thereof), that is or becomes designated as a hazardous substance, hazardous waste, hazardous material, toxic substance, or toxic material under any federal, state or local law, regulation, or ordinance.

23. Indemnification.

- a. County. County shall defend, indemnify and hold Lessor harmless from County’s share of any and all claims, costs and liability for any damage, injury or death of or to any person or the property of any person, including attorneys’ fees, caused by the willful misconduct or the negligent acts, errors, or omissions of County, its officers, agents or employees in using the Premises pursuant to this lease, or the County’s performance under this lease, except to the extent caused or contributed to by (i) the structural, mechanical, or other failure of buildings owned or maintained by Lessor, and/or (ii) the negligent acts, errors, or omissions of Lessor, its officers, agents, or employees.
- b. Lessor. Lessor shall defend, indemnify and hold County harmless from Lessor’s share of any and all claims, costs and liability for any damage, injury or death of or to any person or the property of any person, including attorneys’ fees, caused by the willful misconduct or the negligent acts, errors or omissions of Lessor, its officers, agents, employees, with respect to the Premises, or Lessor’s performance under this lease, or the Lessor’s performance, delivery or supervision of services at the Premises, or by the structural, mechanical or other failure of buildings owned or maintained by Lessor, except to the extent caused or contributed to by the negligent acts, errors, or omissions of County, its officers, agents, or employees.

24. Default.

The occurrence of any of the following events is a default under this lease:

- a. County.
 - i. County’s failure to pay Rent within ten business days after receipt of a written notice of failure (a “**Notice**”) from Lessor to County; provided, however, that County will have additional time if its failure to pay rent is due to circumstances beyond its reasonable control, including, without limitation, failure of the County’s Board of Supervisors to adopt a budget. In no event may such additional time exceed seventy-five days from receipt of a Notice.
 - ii. County’s failure to comply with any other material term or provision of this lease if such failure is not remedied within thirty days after receipt of a Notice from Lessor to County specifying the nature of the breach in reasonably

sufficient detail; provided, however, if such default cannot reasonably be remedied within such thirty day period, then a default will not be deemed to occur until the occurrence of County's failure to comply within the period of time that may be reasonably required to remedy the default, up to an aggregate of ninety days, provided County commences curing such default within thirty days and thereafter diligently proceeds to cure such default.

- b. Lessor. Lessor's failure to perform any obligation under this lease if such failure is not remedied within thirty days after receipt of a Notice from County to Lessor specifying the nature of the breach in reasonably sufficient detail; provided, however, if such breach cannot reasonably be remedied within such thirty day period, then a default will not be deemed to occur until the occurrence of Lessor's failure to perform within the period of time that may be reasonably required to remedy the breach, up to an aggregate of ninety days, provided Lessor commences curing such breach within thirty days and thereafter diligently proceeds to cure such breach.

25. Remedies.

- a. Lessor. Upon the occurrence of a default by County, Lessor may, after giving County written notice of the default, and in accordance with due process of law, reenter and repossess the Premises and remove all persons and property from the Premises.
- b. County. Upon the occurrence of a default by Lessor, County may (i) terminate this lease by giving written notice to Lessor and quit the Premises without further cost or obligation to County or (ii) proceed to repair or correct the failure and, at County's option, either deduct the cost thereof from rent due to Lessor, or invoice Lessor for the cost of repair, which invoice Lessor shall pay promptly upon receipt.

26. Right of First Refusal. If during the Term of this lease, Lessor desires to sell all or any portion of the Premises or the Parking Lot, Lessor shall first offer to sell such property to County. If County refuses to buy such property, Lessor may then offer the property for sale to others at no less than those terms and conditions offered to County. In addition, if Lessor receives an unsolicited third-party offer, and Lessor desires to sell all or any portion of the Premises or the Parking Lot, Lessor shall first offer to sell such property to County on the same terms proposed by the third party. If County refuses the offer, Lessor may offer to sell such property to that third-party, provided, however, County's right of first refusal remains in effect for all subsequent third-party offers throughout the Term of the Lease.

27. Right to Lease Parking Lot. As partial consideration for this lease, if the Health Center Lease expires or is terminated during the term of this lease, County has the exclusive right to lease the Parking Lot from Lessor. Upon the expiration or termination of the Health Center Lease, County shall notify Lessor, in writing, whether County intends to lease the Parking Lot from Lessor. The rent to be paid for the lease of the Parking Lot will be a reasonable rate, as determined by the Lessor and County in good faith.

28. Notices. Any notice required or permitted under this lease shall be in writing and sent by overnight delivery service or registered or certified mail, postage prepaid and directed as follows:

To Lessor: The Holmgren Partnership
 c/o Lynne Holmgren-Law
 90 Bayview Drive
 San Rafael, CA 94901

To County: Contra Costa County
 Public Works Department
 Attn: Principal Real Property Agent
 255 Glacier Drive
 Martinez, CA 94553

Either party may at any time designate in writing a substitute address for that set forth above and thereafter notices are to be directed to such substituted address. If sent in accordance with this Section, all notices will be deemed effective (i) the next business day, if sent by overnight courier, or (ii) three days after being deposited in the United States Postal system.

29. Successors and Assigns. This lease binds and inures to the benefit of the heirs, successors, and assigns of the parties hereto.
30. Holding Over. Any holding over after the Term of this lease is a tenancy from month to month and is subject to the terms of this lease.
31. Time is of the Essence. In fulfilling all terms and conditions of this lease, time is of the essence.
32. Governing Law. The laws of the State of California govern all matters arising out of this lease.
33. Severability. In the event that any provision herein contained is held to be invalid or unenforceable in any respect, the validity and enforceability of the remaining provisions of this lease will not in any way be affected or impaired.
34. Recording. The parties shall execute and record a Memorandum of Lease in substantial conformity with Exhibit D, in lieu of recording the entire lease. Upon the expiration or earlier termination of this lease, County shall execute a Memorandum of Lease Termination or Quitclaim Deed discharging any recording made pursuant to this Section.

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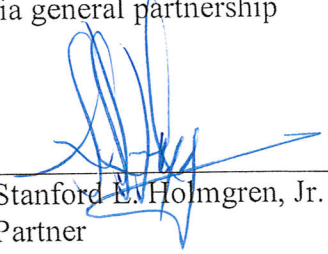
35. Entire Agreement; Construction; Modification. Neither party has relied on any promise or representation not contained in this lease. All previous conversations, negotiations, and understandings are of no further force or effect. This lease is not to be construed as if it had been prepared by one of the parties, but rather as if both parties have prepared it. This lease may be modified only by a writing signed by both parties.

The parties are executing this lease on the date set forth in the introductory paragraph.

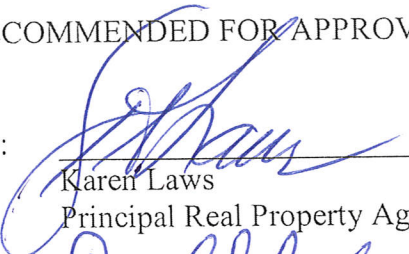
COUNTY OF CONTRA COSTA, a
political subdivision of the State of
California

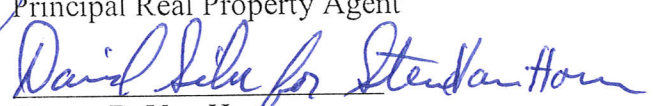
THE HOLMGREN PARTNERSHIP, a
California general partnership

By: _____
Julia R. Bueren
Director of Public Works

By: 
Stanford E. Holmgren, Jr.
Partner

RECOMMENDED FOR APPROVAL:

By: 
Karen Laws
Principal Real Property Agent

By: 
Steven B. Van Horn
Senior Real Property Agent

APPROVED AS TO FORM
SHARON L. ANDERSON, COUNTY COUNSEL

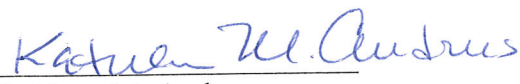
By: 
Kathleen M. Andrus
Deputy County Counsel

EXHIBIT “A”

LEGAL DESCRIPTION

2366 Stanwell Circle, Concord, California

Parcel 1: Lot 13, as said lot is shown on the map of Subdivision 3564, filed August 10, 1966, in Book 112 of Maps, Pages 29 to 31 inclusive, in the Office of the County Recorder of Contra Costa County.

Parcel 2: Parcel B, as said parcel is shown on the map of Resubdivision Lots 14 and 15, Subdivision 3564, filed December 18, 1968, in Book 6 of parcel Maps, Page 30, in the Office of the County Recorder of Contra Costa County.

APN 112-252-022

Parcel A as said parcel is shown on parcel map, Resubdivision lots 14 and 15, Subdivision 3564, filed December 18, 1968, in Book 6 of parcel maps, Page 30, in the office of the County Recorder of Contra Costa County.

1970-1-6 P.M. 30-12-18-68
2-3 P.M. 23 4/23/68

PARKING LOT APN 112-252-006

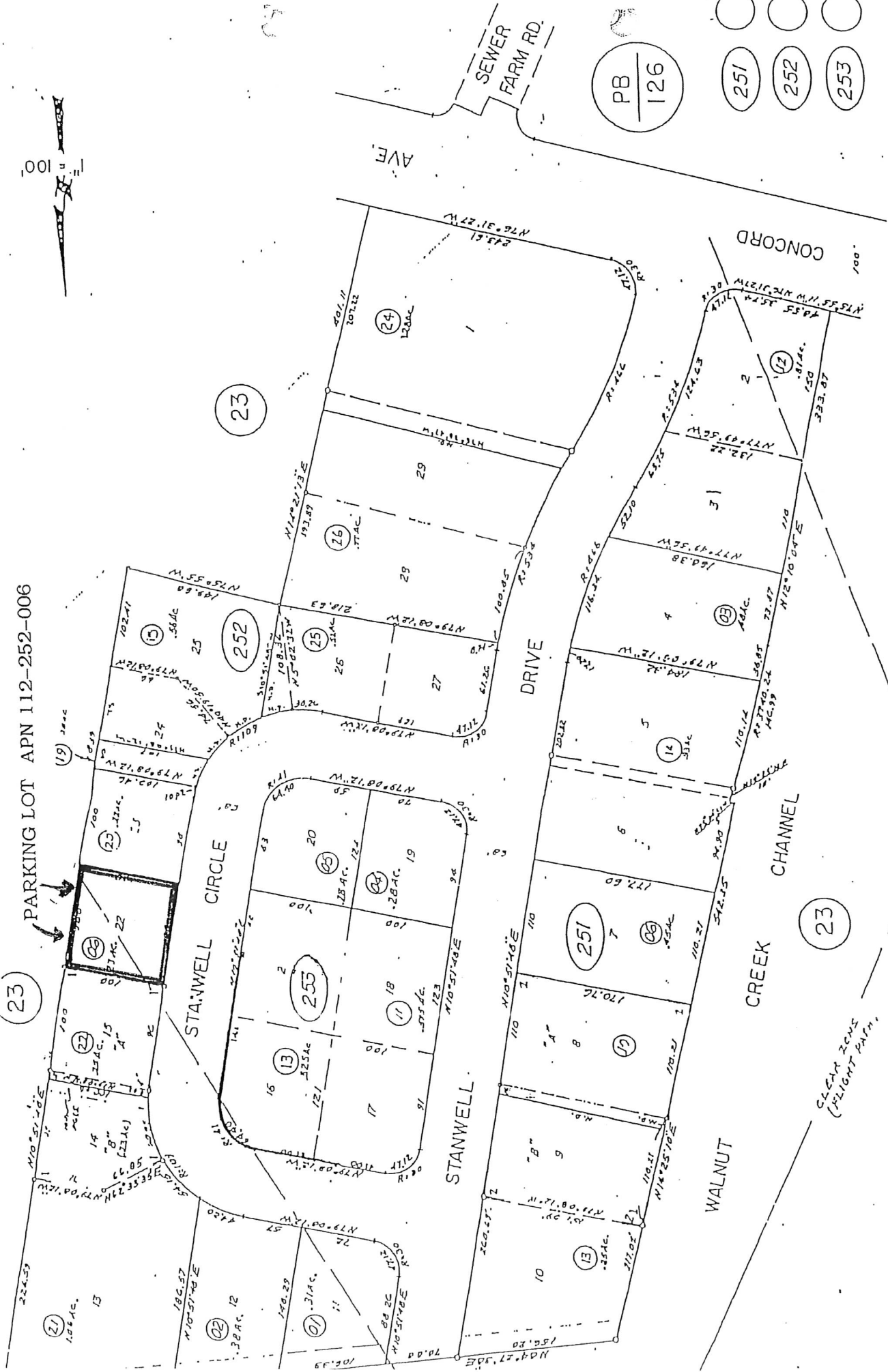


EXHIBIT B

EXHIBIT C

Recorded at the request of:
Contra Costa County

Return to:
Contra Costa County
Public Works Department
255 Glacier Drive
Martinez, CA 94553

Assessor's Parcel Nos. 112-252-021 and 112-252-022

Subordination, Non-Disturbance and Attornment Agreement

This agreement is dated _____, and is between the County of Contra Costa, a political subdivision of the State of California (the "**Tenant**"), _____, a _____, its successors and assigns (the "**Lender**"), having its principal place of business at _____.

Recitals

- A. Pursuant to a lease dated _____, 2015, (the "**Lease**") between the Tenant and the Holmgren Partnership (the "**Landlord**"), Landlord is leasing to the Tenant the building located at 2366 Stanwell Circle, California and an adjacent parking lot (APN 112-252-022) more fully described in Exhibit A attached hereto and made a part hereof (the "**Property**").
- B. Lender has previously made a loan (the "**Loan**") to Landlord that is secured, in part, by the lien of a mortgage or deed of trust executed and delivered by Landlord to Lender encumbering the Property (the "**Mortgage**") and an assignment of all leases of and rents from the Property.
- C. This agreement is being executed by the parties in accordance with the requirements of Section 12 – Subordination, Non-Disturbance and Attornment of the Lease.

NOW, THEREFORE, in consideration of the covenants contained herein and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the parties agree as follows:

- 1. Tenant hereby represents, acknowledges and agrees as follows:
 - 1. The term of the Lease commences on September 1, 2015 and will terminate on August 31, 2020.

- (b) The current monthly rent payment under the Lease is set forth in Section 3 – Rent of the Lease. No advance rents have been prepaid.
- (c) The improvements described in the Lease have not been completed or accepted by Tenant.
- (d) Tenant has not sublet any portion of the leased Premises or assigned any of its rights under the Lease.
- (e) Upon its execution, the Lease will be in full force and effect.
- (f) All rent payments will be paid as provided under the Lease until Tenant has been otherwise notified by Lender or its successors and assigns.
- (g) If Lender provides Tenant with Lender's address for notification purposes, Tenant will deliver to Lender a copy of all notices Tenant delivers to or receives from Landlord.
- (h) Tenant will not look to Lender or its successors or assigns for the return of the security deposit, if any, under the Lease, except to the extent that such funds are delivered to Lender.

2. The Lease and all terms thereof are subject and subordinate to the Mortgage, and to all amendments, modifications, replacements and extensions thereof, to the full extent of the principal, interest, fees, expenses and all other amounts secured thereby.

3. If Lender elects to foreclose the Mortgage, Lender will not join Tenant in summary or foreclosure proceedings unless required by applicable law (and then only to the extent so required) as long as Tenant has not amended the Lease without Lender's prior written consent and is not in default under the Lease.

4. In the event that Lender succeeds to the interest of Landlord under the Lease and there exists no default by Tenant under the Lease and Tenant has not amended the Lease without Lender's prior written consent, Lender agrees not to disturb or otherwise interfere with Tenant's possession of the leased Premises for the unexpired term of the Lease, provided that Lender is not:

- (a) Liable for any act or omission of Landlord or any prior landlord under the Lease;
- (b) Subject to any offsets or defenses that Tenant might have against Landlord or any prior landlord;
- (c) Bound by any rent or additional rent that Tenant might have paid

for more than the current month to Landlord;

- (d) Bound by any amendment or modification of the Lease made without Lender's prior written consent; or
- (e) Liable for any security deposit Tenant might have paid to Landlord, except to the extent Lender has actually received said security deposit.

5. Upon Lender's succeeding to Landlord's interest under the Lease, Tenant covenants and agrees to attorn to Lender or a purchaser at a foreclosure or trustee's sale, to recognize such successor landlord as Tenant's landlord under the Lease, and to be bound by and perform all of the obligations and conditions imposed on Tenant by the Lease. If requested by Lender or any subsequent owner, Tenant shall execute a new lease with Lender, for a term equal to the remaining term of the Lease and otherwise containing the same provisions and covenants of the Lease.

6. This agreement binds and inures to the benefit of the respective heirs, personal representatives, successors and assigns of the parties hereto.

This agreement may be modified only in a writing duly executed by both parties.

The parties are signing this agreement as of the date set forth in the introductory clause.

COUNTY

COUNTY OF CONTRA COSTA, a
political subdivision of the State of
California

By _____
Julia R. Bueren
Director of Public Works

LENDER

Name of Lender., a

By _____
Name
Title

By _____
Name
Title

[Attach Notary Forms]

Exhibit D

Recorded at the request of:
Contra Costa County

Return to:
Contra Costa County
General Services Department
2355 Glacier Drive
Martinez, CA 94553

Assessor's Parcel No. 112-252-022

MEMORANDUM OF LEASE

This Memorandum of Lease is dated _____, 2015, and is between the Holgren Partnership, a California general partnership (Landlord), and the County of Contra Costa, a political subdivision of the State of California (Tenant).

On September 22, 2015, Landlord and Tenant entered into a written lease (Lease) by which Tenant agreed to lease a portion of the building commonly known as 2366 Stanwell Circle, Concord, California from Landlord. The portion of the building being leased consists of approximately 21,355 square feet of floor space, and asphalt parking lots. The legal description of the real property where the building is located is set forth in Exhibit A, which is attached to this memorandum.

The Lease is for a period of five years and commenced on September 1, 2015 and continues to August 31, 2020. Tenant has an option to extend the Lease for an additional five years on certain conditions in the Lease.

This Memorandum of Lease does not constitute the Lease and is only an abbreviated form containing a summary of only a few of the terms. In the event that there is any inconsistency between this Memorandum of Lease and the Lease, the terms of the Lease prevail over the terms of this Memorandum of Lease.

The Holmgren Partnership, a California
general partnership

Dated _____

By _____
Stanford L. Holmgren, Jr.
Partner

County of Contra Costa, a political subdivision
of the State of California

Dated _____

By _____
Julia R. Bueren
Director of Public Works

STATE OF ^{Colorado} CALIFORNIA)
COUNTY OF ^{San Miguel} ~~CONTRA COSTA~~)

On September 4, 2015, before me, Carol Korn, Notary Public, personally appeared, Stanford L. Holmgren, Jr. who proved to me on the basis of satisfactory evidence to be the person(s) whose name is subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity, and that by his/~~her/their~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

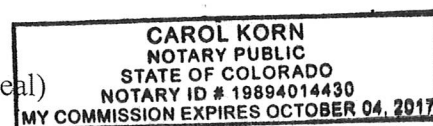
I certify UNDER PENALTY OF PERJURY under the laws of the State of ^{Colorado} ~~California~~ that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature



(seal)



STATE OF CALIFORNIA)
COUNTY OF CONTRA COSTA)

On _____, 201_, before me, _____, Notary Public, personally appeared, _____ who proved to me on the basis of satisfactory evidence to be the person(s) whose name is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity, and that by his/her/their signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify UNDER PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

(seal)



Contra
Costa
County

To: Board of Supervisors
From: Kathy Gallagher, Employment & Human Services Director
Date: September 22, 2015

Subject: 2013/14 Calif. Dept. of Educ. CalWORKS Stage 2 childcare revenue contract, amendment 6

RECOMMENDATION(S):

APPROVE and AUTHORIZE the Employment & Human Services Department Director, or designee, to execute contract amendment from California Department of Education, to increase the payment limit by \$360,383 to a new limit of \$3,858,343 for childcare and development programs (CalWORKS Stage 2) with no change to the term July 1, 2013 through June 30, 2014.

FISCAL IMPACT:

100% State funding
No County match
State: C2AP-3009 / Amend 6
County: 29-213-23c

BACKGROUND:

The Board approved receipt of funds on June 25, 2013 from California Department of Education (CDE) for the 2013-14 funding allocation for alternative payment / CalWORKS Stage 2 childcare services. This State program provides funding to reimburse a portion of the childcare costs incurred by CalWORKS Stage 2 participants

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☒ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: CSB (925)
681-6304

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Jagjit Bhambra, Haydee Ilan, Cassandra Youngblood

BACKGROUND: (CONT'D)

through their participation in the CalWORKS program. The State routinely amends these contracts throughout the program year as more funding becomes available and school calendars are set. The board accepted additional funding (amendment #1) from CDE on October 15, 2013. Further additional funding (amendment #2) was also accepted by the board on March 11, 2014. On April 22, 2014, the Board approved another amendment #3 to change the days of operation from 251 to 250 days. Amendment #4 was approved by the Board on June 3, 2014 to accept additional funding. Amendment #5 was approved by the Board on January 13, 2015 to add funds to the contract to allow the County to seek further reimbursement for 2013-14 operation. This is an allowable action according to CDE guidelines, Item 6110-194-001 of the Budget Act of 2013 for CalWORKS Amendment Authority. The additional funding was due to the over earning of the 2013-14 contract. The State augments the Maximum Reimbursable Amount (MRA) provided that there is available funding. Contractors receive notification from the State of the augmentation amount, followed by a contract amendment to increase the MRA.

The department external auditors submitted audited statements in November 2014 which was the basis for the final determination of the contract amount. On August 29, 2015, the Department received contract amendment #6 from the State, increasing the 2013-14 funding allocation to \$3,858,343. If not approved, the Department will not receive the additional funds.

CONSEQUENCE OF NEGATIVE ACTION:

If not approved, County will not be able to seek reimbursement for CalWORKS Stage 2 childcare program costs.

CHILDREN'S IMPACT STATEMENT:

The Employment & Human Services Department Community Services Bureau supports three of Contra Costa County's community outcomes - Outcome 1: "Children Ready for and Succeeding in School," Outcome 3: "Families that are Economically Self-sufficient," and, Outcome 4: "Families that are Safe, Stable, and Nurturing." These outcomes are achieved by offering comprehensive services, including high quality early childhood education, nutrition, and health services to low-income children throughout Contra Costa County.

ATTACHMENTS

CDE Stage 2 contract

**CALIFORNIA DEPARTMENT OF EDUCATION**

1430 N Street

Sacramento, CA 95814-5901

F.Y. 13 - 14**Amendment 05****LOCAL AGREEMENT FOR CHILD DEVELOPMENT SERVICES**

Augmentation

DATE: June 30, 2014**CONTRACT NUMBER:** C2AP-3009**PROGRAM TYPE:** ALTERNATIVEPAYMENT-STAGE 2**PROJECT NUMBER:** 07-2207-00-3**CONTRACTOR'S NAME:** CONTRA COSTA COUNTY EMPLOYMENT & HUMAN SERVICES DEPARTMENT

This agreement with the State of California dated July 01, 2013 designated as number C2AP-3009 and Amendment #01 (Increase) and Amendment #02 (Increase) and Amendment #03 (MDO Change) and Amendment #04 (Augmentation) shall be amended in the following particulars but no others:

The Maximum Reimbursable Amount (MRA) payable pursuant to the provisions of this agreement shall be amended by deleting reference to \$3,349,349.00 and inserting \$3,497,960.00 in place thereof.

SERVICE REQUIREMENTS

Minimum Days of Operation (MDO) Requirement shall be 250. (No change)

EXCEPT AS AMENDED HEREIN all terms and conditions of the original agreement shall remain unchanged and in full force and effect

STATE OF CALIFORNIA		CONTRACTOR			
BY (AUTHORIZED SIGNATURE)		BY (AUTHORIZED SIGNATURE)			
PRINTED NAME OF PERSON SIGNING Sueshil Chandra, Manager		PRINTED NAME AND TITLE OF PERSON SIGNING			
TITLE Contracts, Purchasing and Conference Services		ADDRESS			
AMOUNT ENCUMBERED BY THIS DOCUMENT \$ 148,611	PROGRAM/CATEGORY (CODE AND TITLE) Child Development Programs		FUND TITLE		Department of General Services use only
PRIOR AMOUNT ENCUMBERED FOR THIS CONTRACT \$ 3,349,349	(OPTIONAL USE) See Attached				
TOTAL AMOUNT ENCUMBERED TO DATE \$ 3,497,960	ITEM See Attached	CHAPTER	STATUTE	FISCAL YEAR	
OBJECT OF EXPENDITURE (CODE AND TITLE) 702					
Thereby certify upon my own personal knowledge that budgeted funds are available for the period and purpose of the expenditure stated above.					
SIGNATURE OF ACCOUNTING OFFICER See Attached		T.B.A. NO.		B.R. NO.	
		DATE			

CONTRACTOR'S NAME: CONTRA COSTA COUNTY EMPLOYMENT & HUMAN SERVICES DEPARTMENT

CONTRACT NUMBER: C2AP-3009

Amendment 05

AMOUNT ENCUMBERED BY THIS DOCUMENT \$ 0	PROGRAM/CATEGORY (CODE AND TITLE) Child Development Programs	FUND TITLE Federal
PRIOR AMOUNT ENCUMBERED \$ 261,954	(OPTIONAL USE)0656 FC# 93.575 13880-2207	PC# 000324
TOTAL AMOUNT ENCUMBERED TO DATE \$ 261,954	ITEM 30.10.020.11 6110-194-0890	CHAPTER 20 STATUTE 2013 FISCAL YEAR 2013-2014
	OBJECT OF EXPENDITURE (CODE AND TITLE) 702 SACS: Res-5061 Rev-8290	

AMOUNT ENCUMBERED BY THIS DOCUMENT \$ 148,611	PROGRAM/CATEGORY (CODE AND TITLE) Child Development Programs	FUND TITLE General
PRIOR AMOUNT ENCUMBERED \$ 3,087,395	(OPTIONAL USE)0656 23367-2207	
TOTAL AMOUNT ENCUMBERED TO DATE \$ 3,236,006	ITEM 30.10.020.011 6110-194-0001	CHAPTER 20 STATUTE 2013 FISCAL YEAR 2013-2014
	OBJECT OF EXPENDITURE (CODE AND TITLE) 702 SACS: Res-6041 Rev-8590	

I hereby certify upon my own personal knowledge that budgeted funds are available for the period and purpose of the expenditure stated above.	T.B.A. NO.	B.R. NO.
SIGNATURE OF ACCOUNTING OFFICER	DATE	



Contra
Costa
County

To: Board of Supervisors
From: Jessica Hudson, County Librarian
Date: September 22, 2015

Subject: Apply for and accept a grant for the Pittsburg and Bay Point Libraries

RECOMMENDATION(S):

APPROVE and AUTHORIZE the County Librarian, or designee, to apply for and accept a grant in the amount of \$4,000 from Pacific Library Partnership, 50 Fund and Bay Area Super Bowl 50 Host Committee, to provide literacy materials and services at the Pittsburg and Bay Point Libraries for the period September 1 through December 31, 2015.

FISCAL IMPACT:

No Library Fund match.

BACKGROUND:

The 50 Fund is the legacy fund of the San Francisco Bay Area Super Bowl 50 Host Committee. This grant is offered in cooperation with the Pacific Library Partnership, of which Contra Costa County Library is a member. Receipt of The Bay Area Early Literacy Initiative Grant will positively impact the communities of Pittsburg and Bay Point by providing low-income families with free resources and programs to support early literacy and increase awareness of library resources. In addition, this grant will benefit participating Pittsburg High School students by providing them an opportunity to serve their community as role models in reaching their educational goals.

CONSEQUENCE OF NEGATIVE ACTION:

Though the Library will continue to partner with the Housing Authority Centers, without the grant, the library will not be able to provide them with resources to help them in their mission to provide free books for all children who receive services at their centers.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

**VOTE OF
SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

David J. Twa, County Administrator and Clerk of the Board of Supervisors

Contact:
925-927-3290

By: , Deputy

cc:

CHILDREN'S IMPACT STATEMENT:

The services and programs that will be developed by this grant opportunity will meet the community outcome of helping children become ready for and succeeding in school.



**Contra
Costa
County**

To: Board of Supervisors
From: David O. Livingston, Sheriff-Coroner
Date: September 22, 2015

Subject: California Department of Boating and Waterways Surrendered and Abandoned Vessel Exchange Grant

RECOMMENDATION(S):

ADOPT Resolution No. 2015/352 approving and authorizing the Sheriff-Coroner, or designee, to apply for and accept a California Department of Boating and Waterways Surrendered and Abandoned Vessel Exchange grant in the amount of \$300,000 for the abatement of abandoned vessels and the vessel turn in program on County waterways commencing when the grant is fully executed through the end of the grant funding availability.

FISCAL IMPACT:

\$300,000; 90% State, 10% County match (Budgeted).

BACKGROUND:

The California Department of Boating and Waterways (DBW) is prepared to award a Surrendered and Abandoned Vessel Exchange grant to the Office of the Sheriff to assist the Sheriff's Marine Patrol with the removal of abandoned vessels and water hazards. The funding provided by this grant will enable the Marine Patrol unit to remove abandoned vessels and identify hazards to vessel navigation in a continued effort to protect life and property on the waterways with Contra Costa County.

Resolution No. 2015/352 supersedes Resolution No. 2015/120 and increases the grant award amount by \$100,000, from \$200,000 to \$300,000.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☒ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Mary Jane Robb,
925-335-1557

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

CONSEQUENCE OF NEGATIVE ACTION:

Negative action on this request will result in the loss of state funding designed to significantly increase the safety and security of persons and property on the waterways within Contra Costa County.

CHILDREN'S IMPACT STATEMENT:

No impact.

ATTACHMENTS

Resolution No. 2015/352

THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA
and for Special Districts, Agencies and Authorities Governed by the Board

Adopted this Resolution on 09/22/2015 by the following vote:

AYE: ☐

NO: ☐

ABSENT: ☐

ABSTAIN: ☐

RECUSE: ☐



Resolution No. 2015/352

IN THE MATTER OF: Applying for and Accepting California Department of Boating and Waterways Surrendered and Abandoned Vessel Exchange Grant.

WHEREAS the County of Contra Costa is seeking funds available through the California Department of Boating and Waterways.

NOW, THEREFORE, BE IT RESOLVED that the Board of Supervisors:

1. Authorizes the Sheriff-Coroner, or designee, to execute for and on behalf of the County of Contra Costa, a public entity established under the laws of the State of California, and actions necessary for the purpose of obtaining State financial assistance provided by The California Department of Boating and Waterways.
2. This Resolution supersedes Resolution No. 2015/120 in full.

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

David J. Twa, County Administrator and Clerk of the Board of Supervisors

Contact: Mary Jane Robb, 925-335-1557

By: , Deputy

cc:



Contra
Costa
County

To: Board of Supervisors
From: Jessica Hudson, County Librarian
Date: September 22, 2015

Subject: Keller Canyon Mitigation Fund Grant to Library

RECOMMENDATION(S):

APPROVE and AUTHORIZE the County Librarian, or designee, to apply for and accept a grant in the amount of \$3,564 from Keller Canyon Mitigation Fund to provide materials and services at the Antioch Library for the period July 1, 2015 through June 30, 2016.

FISCAL IMPACT:

No Library Fund match.

BACKGROUND:

The Keller Canyon Mitigation Fund is overseen by Supervisor Federal Glover's office. It is a fund that is distributed annually to nonprofits and public agencies generally to support the following categories: youth services, code enforcement, public safety, community beautification, and community services. All nonprofits and public agencies receiving funds must support residents in the primary or secondary impact areas identified by Supervisor Glover's office (primarily Bay Point, Pittsburg, and Antioch). The Antioch Library will use grant funding to purchase 2,000 copies of the early reader title, *Biscuit in the Garden*, for distribution citywide at three Antioch elementary schools and five community locations, with the purpose of encouraging K-1st grade students to read with their families and develop literacy skills. In addition, the grant will fund three programs to enhance engagement with the book.

CONSEQUENCE OF NEGATIVE ACTION:

If the money is not received the Kids Read! Antioch 2016 program will be conducted in a greatly reduced manner than in the past.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

**VOTE OF
SUPERVISORS**

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

David J. Twa, County Administrator and Clerk of the Board of Supervisors

Contact:
925-927-3290

By: , Deputy

cc:

CHILDREN'S IMPACT STATEMENT:

This grant will meet the children's impact statement #1 - Children Ready for and Succeeding in School. By providing books to young children through its Kids Read Program, the library will help K-1st grade students to develop their literacy skills and thereby increase their chances of success in school and life.



**Contra
Costa
County**

To: Board of Supervisors
From: William Walker, M.D., Health Services Director
Date: September 22, 2015

Subject: Grant Award #28-822-1 from the U. S. Department of Health and Human Services

RECOMMENDATION(S):

Approve and authorize the Health Services Director, or his designee, to accept on behalf of the County, Notice of Grant Award #28-822-1 (#1 TP1AH000096-01-00) from the U. S. Department of Health and Human Services, in an amount not to exceed a total of \$6,250,000 at the rate of \$1,250,000 per year of this five (5) year agreement, for the County's Teenage Pregnancy Prevention Initiative Program, for the period from July 1, 2015 through June 30, 2020.

FISCAL IMPACT:

Acceptance of this grant award will result in a total of \$1,250,000 annually, not to exceed a total of \$6,250,000 during this five (5) year agreement ending June 30, 2020, for the Teenage Pregnancy Prevention (TPP) Initiative Program. No County funds are required.

BACKGROUND:

Contra Costa County Health Services is the lead agency for the Teenage Pregnancy Prevention (TPP) Initiative Program for Contra Costa County. This TPP Initiative will build a solid partnership between West Contra Unified School District and Pittsburg Unified School District

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: DANIEL PEDDYCORD
(925) 313-6712

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: T Scott, N Rios

BACKGROUND: (CONT'D)

to provide strategic direction and oversight of the Initiative. These two school districts were selected, based on data that reflects a highest rate for teen births and Sexually Transmitted Diseases in those geographic areas.

The goal of the TPP Initiative Program is to reduce teen birth rates among youths ages 15-19 in middle, junior high, and high school. The funds for this program will provide two evidence-based teen pregnancy prevention programs in 12 middle, junior high, and high schools in the cities of San Pablo, Richmond, and Pittsburg through June 30, 2020.

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, the County will not receive funding to implement the program or provide necessary prevention to Middle School, Junior High, and High School students through the Teenage Pregnancy Prevention Initiative Program.

CHILDREN'S IMPACT STATEMENT:

This program supports the following Board of Supervisors' community outcomes: "Children Ready For and Succeeding in School"; "Families that are Safe, Stable, and Nurturing"; and "Communities that are Safe and Provide a High Quality of Life for Children and Families". Expected program outcomes include an increase the awareness of teen age pregnancy and to target younger youth with prevention messages.



Contra
Costa
County

To: Board of Supervisors
From: David O. Livingston, Sheriff-Coroner
Date: September 22, 2015

Subject: Applying for and Accepting the 2015 Emergency Management Performance Grant

RECOMMENDATION(S):

ADOPT Resolution No. 2015/354 authorizing the Sheriff-Coroner, or designee, to apply for and accept the California Office of Emergency Services 2015 Emergency Management Performance Grant in an amount up to \$425,000 to develop and maintain the level of capability to prepare for, mitigate, respond to, and recover from emergencies and disasters for the period beginning July 1, 2015 through the end of grant funding availability.

FISCAL IMPACT:

\$425,000; 100% Federal with the State as the fiscal agent.

BACKGROUND:

The Operational Area of Contra Costa County has received Emergency Management Performance Grant (EMPG) funds from the California Office of Emergency Services (CalOES) for several years. The continuation of this program is critical to maintaining the quality and quantity of emergency management programs provided within the County. This funding will allow for enhanced coordination and communication among the disciplines within the Operational Area to maximize protective actions, emergency preparedness, and the effective response to emergencies and disasters.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☒ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Jody Sicheneder,
925-335-1549

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

CONSEQUENCE OF NEGATIVE ACTION:

The Sheriff's Office will not be authorized to apply for and accept the grant funding.

CHILDREN'S IMPACT STATEMENT:

No impact.

ATTACHMENTS

Resolution No. 2015/354

THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA
and for Special Districts, Agencies and Authorities Governed by the Board

Adopted this Resolution on 09/22/2015 by the following vote:

AYE: ☐

NO: ☐

ABSENT: ☐

ABSTAIN: ☐

RECUSE: ☐



Resolution No. 2015/354

Applying for and Accepting the 2015 Emergency Management Performance Grant.

WHEREAS, The County of Contra Costa is seeking funds available through the Emergency Management Performance Grant program administered by the California Emergency Management Agency:

NOW, THEREFORE, BE IT RESOLVED that the Board of Supervisors authorizes the Sheriff-Coroner, the Undersheriff or the Sheriff's Chief of Management Services, to execute for and on behalf of the County of Contra Costa, a public entity established under the laws of the State of California, any actions necessary for the purpose of obtaining Federal financial assistance provided by the U. S. Department of of Homeland Security and sub-granted through the State of California

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

Contact: Jody Sicheneder, 925-335-1549

ATTESTED: September 22, 2015

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:



Contra
Costa
County

To: Board of Supervisors
From: David O. Livingston, Sheriff-Coroner
Date: September 22, 2015

Subject: APPLICATION FOR FY 2015 STATE HOMELAND SECURITY GRANT PROGRAM FUNDS

RECOMMENDATION(S):

ADOPT Resolution No. 2015/355 authorizing the Sheriff-Coroner, or designee, to apply for and to accept State Homeland Security Grant Program funds in an initial amount of \$1,182,087 from the California Governor's Office of Emergency Services, make required grant assurances and authorize specified Sheriff's Office officials to act on behalf of the County to obtain funding for various homeland security projects throughout the County for the period of September 1, 2015 through the end of grant fund availability.

FISCAL IMPACT:

100% State funded.

BACKGROUND:

The California Governor's Office of Emergency Services (Cal OES) is responsible for designing and implementing homeland security initiatives and ensuring that the state is ready to protect lives and property by effectively preparing for, preventing, responding to, and recovering from all threats, crimes, hazards, and emergencies. To help fulfill this mission, Cal OES administers a pass-through program of federal

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☒ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Jody Scheneder,
335-1549

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

homeland security grant funds to local public agencies through California.

This program is critical to maintaining the quality and quantity of homeland security initiative programs provided within the County. The funding will allow for enhanced coordination and communication among the disciplines to maximize protective actions, emergency preparedness, and the effective response to emergencies and disasters. The total grant program allocation provided to the County by the U.S. Department of Homeland Security and sub-granted through the State of California is \$1,182,087.

The grant application requires the County to adopt a resolution (attached) appointing an authorized agent to act on behalf of the Board of Supervisors by executing any actions necessary for each application and sub-grant. The application also requires the applicants to make certain grant assurances prescribing requirements to which the County will be held accountable.

CONSEQUENCE OF NEGATIVE ACTION:

The Office of the Sheriff would not be able to apply for and accept this grant funding.

CHILDREN'S IMPACT STATEMENT:

No impact.

ATTACHMENTS

Resolution No. 2015/355

THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA
and for Special Districts, Agencies and Authorities Governed by the Board

Adopted this Resolution on 09/22/2015 by the following vote:

AYE: ☐
NO: ☐
ABSENT: ☐
ABSTAIN: ☐
RECUSE: ☐



Resolution No. 2015/355

IN THE MATTER OF: Applying for and Accepting 2014 State Homeland Security Grant Program funds.

WHEREAS the County of Contra Costa is seeking funds available through the California Homeland Security Grant Program administered by the California Governor's Office of Emergency Services;

NOW, THEREFORE, BE IT RESOLVED that the Board of Supervisors: Authorizes the Sheriff-Coroner or the Undersheriff or the Sheriff's Chief of Management Services, to execute for and on behalf of the County of Contra Costa, a public entity established under the laws of the State of California, any actions necessary for the purpose of obtaining Federal financial assistance provided by the U.S. Department of Homeland Security and sub-granted through the State of California.

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

Contact: Jody Scheneder, 335-1549

ATTESTED: September 22, 2015

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:



**Contra
Costa
County**

To: Board of Supervisors
From: William Walker, M.D., Health Services Director
Date: September 22, 2015

Subject: Novation Contract #28-556-20 with the City of Martinez

RECOMMENDATION(S):

Approve and authorize the Health Services Director, or his designee, to execute, on behalf of the County, Novation Contract #28-556-20, including modified indemnification language, with the City of Martinez, a government agency, to pay County an amount not to exceed \$11,016, to provide congregate meal services for County's Senior Nutrition Program, for the period from July 1, 2015 through June 30, 2016. This Contract includes a three-month automatic extension through September 30, 2016, in an amount not to exceed \$2,754.

FISCAL IMPACT:

Agency will pay County the voluntary contributions it receives from participating seniors, after it has paid its authorized expenses. No County funds are required.

BACKGROUND:

This Contract meets the social needs of County's population by providing an average of 30 congregate meals per day, five days per week for senior citizens at the Martinez Senior Citizens Center.

On August 5, 2014, the Board of Supervisors approved Novation Contract #28-556-19 with the

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Dan Peddycord, RN
(925) 313-6712

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: K Thomas , N Rios

BACKGROUND: (CONT'D)

City of Martinez, for the period from July 1, 2014 through June 30, 2015, which included a three-month automatic extension through September 30, 2015, for the provision of congregate meal services for County's Senior Nutrition Program.

Approval of Novation Contract #28-556-20 replaces the automatic extension under the prior Contract and allows Agency to continue providing services through June 30, 2016, including modifications to County's standard indemnification clause and the General Conditions.

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, senior citizens who depend on County's Senior Nutrition Program will not receive meals at Contractor's facility.

CHILDREN'S IMPACT STATEMENT:

Not Applicable



**Contra
Costa
County**

To: Board of Supervisors
From: William Walker, M.D., Health Services Director
Date: September 22, 2015

Subject: Novation Contract #28-541-22 with the City of Richmond for its Recreation and Parks Department

RECOMMENDATION(S):

Approve and authorize the Health Services Director, or his designee, to execute, on behalf of the County, Novation Contract #28-541-22 with the City of Richmond, including modified indemnification language, for its Recreation and Parks Department, a political subdivision of the State of California, to provide congregate meal services for County's Senior Nutrition Program, for the period from July 1, 2015 through June 30, 2016. This Contract includes a three-month automatic extension through September 30, 2016.

FISCAL IMPACT:

Agency will pay County the voluntary contributions it receives from participating seniors, after it has paid its authorized expenses. No County funds are required.

BACKGROUND:

This Contract meets the social needs of County's population by providing an average of fifty-five (55) congregate meals per day, five days per week for senior citizens

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

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COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Dan Peddycord, RN
(925) 313-6712

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: K Thomas , N Rios

BACKGROUND: (CONT'D)

at its Congregate Senior Nutrition site in Richmond.

On October 21, 2014, the Board of Supervisors approved Novation Contract #28-541-21 with the City of Richmond, for its Recreation and Parks Department, for the period from July 1, 2014 through June 30, 2015, which included a three-month automatic extension through September 30, 2015, for the provision of congregate meal services for County's Senior Nutrition Program.

Approval of Novation Contract #28-541-22 replaces the automatic extension under the prior Contract and allows Agency to continue providing services through June 30, 2016. This Contract includes modifications to County's standard General Conditions and agrees to hold each party harmless from any claims arising out of the performance of this Contract.

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, senior citizens who depend on County's Senior Nutrition Program will not receive meals at Contractor's facility.

CHILDREN'S IMPACT STATEMENT:

Not Applicable



**Contra
Costa
County**

To: Board of Supervisors
From: William Walker, M.D., Health Services Director
Date: September 22, 2015

Subject: Novation Contract #28-501-24 with Pleasant Hill Recreation and Park District

RECOMMENDATION(S):

Approve and authorize the Health Services Director, or his designee, to execute, on behalf of the County, Novation Contract #28-501-24, including modified indemnification language, with Pleasant Hill Recreation and Park District, a public agency, to pay County an amount not to exceed \$25,870, to provide congregate meal services for County's Senior Nutrition Program, for the period of July 1, 2015 through June 30, 2016. This Contract includes a three-month automatic extension through September 30, 2016, in an amount not to exceed \$5,041.

FISCAL IMPACT:

Agency will pay County the net project income received from participants, after adjustment for allowable costs. No County match required.

BACKGROUND:

This Contract meets the social needs of County's population by providing an average of 35 congregate meals per day, five days per week for senior citizens at the Pleasant Hill Senior Center.

On

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Dan Peddycord, RN
313-6712

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: K THOMAS, N RIOS

BACKGROUND: (CONT'D)

July 29, 2014, the Board of Supervisors approved Novation Contract #28-501-23 with Pleasant Hill Recreation & Park District, for the period from July 1, 2014 through June 30, 2015, which included a three-month automatic extension through September 30, 2015 for the provision of congregate meal services for County's Senior Nutrition Program.

Approval of Novation Contract #28-501-24 replaces the automatic extension under the prior Contract and allows Agency to continue providing services through June 30, 2016, including modifications to County's standard indemnification clause and the General Conditions.

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, senior citizens who depend on County's Senior Nutrition Program will not receive meals at Contractor's facility.

CHILDREN'S IMPACT STATEMENT:

Not Applicable



**Contra
Costa
County**

To: Board of Supervisors
From: William Walker, M.D., Health Services Director
Date: September 22, 2015

Subject: Novation Contract #28-530-22 with the City of San Ramon

RECOMMENDATION(S):

Approve and authorize the Health Services Director, or his designee, to execute, on behalf of the County, Novation Contract #28-530-22, including modified indemnification language, with the City of San Ramon, a government agency, in an amount not to exceed \$10,000, to provide home-delivered and congregate meal services for County's Senior Nutrition Program, for the period of July 1, 2015 through June 30, 2016. This Contract includes a includes a three-month automatic extension through September 30, 2016, in an amount not to exceed \$2,500.

FISCAL IMPACT:

Agency will pay County the voluntary contributions it receives from participating seniors, after it has paid its authorized expenses. No County funds required.

BACKGROUND:

This Contract meets the social needs of County's population by providing an average of 25 congregate meals per day, four days per week for senior citizens at the San

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Dan Peddycord, RN
(925) 313-6712

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: K Thomas , N Rios

BACKGROUND: (CONT'D)

Ramon Senior Center, and 15 home delivered meals per day to senior citizens in the San Ramon area.

On August 12, 2014, the Board of Supervisors approved Novation Contract #28-530-21 with the City of San Ramon, for the period from July 1, 2014 through June 30, 2015, which included a three-month automatic extension through September 30, 2015, for the provision of home-delivered and congregate meal services for County's Senior Nutrition Program.

Approval of Novation Contract #28-530-22 replaces the automatic extension under the prior Contract and allows the Agency to continue providing services through June 30, 2016, including modifications to County's standard indemnification clause and the General Conditions.

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, County's senior citizens who depend on County's Senior Nutrition Program will not receive meals at Contractor's facility.

CHILDREN'S IMPACT STATEMENT:

Not Applicable



Contra
Costa
County

To: Board of Supervisors
From: William Walker, M.D., Health Services Director
Date: September 22, 2015

Subject: Grant Award #28-880 from San Francisco Foundation

RECOMMENDATION(S):

Approve and authorize the Health Services Director, or designee, to accept on behalf of the County, Grant Award #28-880 (#102685) from the San Francisco Foundation, to pay the County an amount not to exceed \$25,000, for the County's Public Health, Community Wellness and Prevention Program, San Francisco Foundation San Pablo Parks Program, for the period of May 1, 2015 through April 30, 2016.

FISCAL IMPACT:

Acceptance of this Grant Award will result in an amount not to exceed \$25,000 from the San Francisco Foundation, for the County's Public Health, San Francisco Foundation San Pablo Parks Program. No County match required.

BACKGROUND:

The San Francisco Foundation San Pablo Parks Program will work in collaboration with the County's Community Wellness Prevention Program to support the development of community health workers in low income neighborhoods in San Pablo, by providing training and assistance to the residents to work on changes in policy and to the environment, particularly around increasing park space to be accessible for all children.

☒ APPROVE

☐ OTHER

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COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Daniel Peddycord,
925-313-6712

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: J Pigg, N Rios

BACKGROUND: (CONT'D)

Approval of Grant Award #28-880 will provide funding to support the County's Public Health, Community Wellness and Prevention Program, San Francisco Foundation San Pablo Parks Program, for the period from May 1, 2015 through April 30, 2016.

CONSEQUENCE OF NEGATIVE ACTION:

If this award is not approved, the County will not receive funds to support the San Francisco Foundation San Pablo Parks Program.

CHILDREN'S IMPACT STATEMENT:

Not applicable.



Contra
Costa
County

To: Board of Supervisors
From: William Walker, M.D., Health Services Director
Date: September 22, 2015

Subject: Amendment Agreement #28-700-22 with the California Department of Public Health

RECOMMENDATION(S):

Approve and authorize the Health Services Director, or designee, to execute, on behalf of the County, Amendment Agreement #28-700-22 with the California Department of Public Health, effective July 1, 2015, to make technical adjustments to the fiscal year 2015/2016 grant budget with no change in the original amount payable \$4,214,916 payable to County, for the Public Health Emergency Preparedness, Hospital Preparedness Program (HPP) & Comprehensive Program, and no change in the term of July 1, 2015 through June 30, 2017.

FISCAL IMPACT:

Approval of this Amendment Agreement will result in an increase in the budget amounts for fiscal year 2015/2016 for the Public Health Emergency Preparedness (PHEP), State General Funds, Cities Readiness Initiative Funds, and Hospital Preparedness Program funds to a Fiscal year total of \$1,390,873, from the California Department of Public Health through June 30, 2017. No County match required.

BACKGROUND:

The California Department of Public Health has agreed to fund multiple Public Health Emergency Preparedness activities including, but not limited to, the Centers for Disease

☒ APPROVE

☐ OTHER

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COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Daniel Peddycord,
925-313-6712

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: J Pigg, N Rios

BACKGROUND: (CONT'D)

Control (CDC) preparedness activities, the Cities Readiness Initiative (CRI) activities, State General Fund Pandemic Influenza preparedness and Hospital Emergency Preparedness Program activities, for the County's Public Health Emergency Preparedness Response Program. Contra Costa Health Services (CCHS) will utilize these funds to respond to any disease outbreaks, including Pandemic Influenza (Pan Flu) in Contra Costa County. On December 16, 2014, the Board of Supervisors approved Agreement #28-700-20 (as amended by Amendment Agreement #28-700-21) with the California Department of Public Health for the provision of maintaining County's Public Health Emergency Preparedness Response Program for the period July 1, 2014 through June 30, 2017. Approval of this Amendment Agreement #28-700-20 will allow County to receive continuous funding for the Public Health Emergency Response Program, through June 30, 2017.

CONSEQUENCE OF NEGATIVE ACTION:

If this amendment agreement is not approved, County will not be able to continue to develop and test all hazards health emergency preparedness activities and hospital preparedness in response to any disease outbreaks in Contra Costa County.

CHILDREN'S IMPACT STATEMENT:

NOT APPLICABLE



**Contra
Costa
County**

To: Board of Supervisors
From: William Walker, M.D., Health Services Director
Date: September 22, 2015

Subject: Grant Award #28-879-1 from the California Department of Public Health Tobacco Control Program

RECOMMENDATION(S):

Approve and authorize the Health Services Director, or designee, to execute on behalf of the County, Grant Award # 15-10230, (County #28-879) with the California Department of Public Health, Tobacco Control Program, payable to County in an amount not to exceed \$990,000, for the County's Public Health "Achieving Tobacco Related Health Equity" project, for the period of July 1, 2015 through June 30, 2020.

FISCAL IMPACT:

Approval of this grant will result in an amount of up to \$990,000 in funding, from the California Department of Public Health, Tobacco Control Program. No County match required.

BACKGROUND:

On July 21, 2015, the Board of Supervisors approved Submission of Application of funding #28-879 to the California Department of Public Health, Tobacco Control Program for the period from July 1, 2015 through June 30, 2020.

While 87% percent of Contra Costans are non-smokers, smoking related diseases cost over \$228 million in excess healthcare in the County, and many are exposed to second hand smoke.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Daniel Peddycord,
925-313-6712

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: J Pigg, N Rios

BACKGROUND: (CONT'D)

Specific populations continue to be targeted by the Tobacco Industry, and these populations are disproportionately affected by tobacco-related health disparities. The County's Community Wellness and Prevention Program will work with other agencies in the County that are interested in tobacco prevention capacity building. The goal of the project will be to reduce pro-tobacco influences in the communities of Richmond, Concord and San Pablo; and to reduce residents' exposure to second hand smoke.

CONSEQUENCE OF NEGATIVE ACTION:

If this grant is not approved, County will not receive the necessary funding to support the County's Public Health "Achieving Tobacco Related Health Equity Project.

CHILDREN'S IMPACT STATEMENT:

Not applicable



**Contra
Costa
County**

To: Board of Supervisors
From: William Walker, M.D., Health Services Director
Date: September 22, 2015

Subject: Novation Contract #28-644-14 with Golden Rain Foundation of Walnut Creek

RECOMMENDATION(S):

Approve and authorize the Health Services Director, or designee, to execute, on behalf of the County, Novation Contract #28-644-14 with Golden Rain Foundation of Walnut Creek, a non-profit corporation, to pay County an amount not to exceed \$16,142, to provide congregate meal services for County's Senior Nutrition Program, for the period of July 1, 2015 through June 30, 2016. This Contract includes a three-month automatic extension through September 30, 2016, in an amount not to exceed \$4,036.

FISCAL IMPACT:

Contractor will pay County the net project income received from participants. No County funds required.

BACKGROUND:

On August 12, 2014, the Board of Supervisors approved Novation Contract #28-644-13 with Golden Rain Foundation of Walnut Creek, for the period from July 1, 2014 through June 30, 2015, which included a three-month automatic extension through September 30, 2015, for the provision of congregate meal services for County's Senior Nutrition Program.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Dan Peddycord, RN
(925) 313-6712

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: K Thomas , N Rios

BACKGROUND: (CONT'D)

Approval of Novation Contract #28-644-14 replaces the automatic extension under the prior Contract and allows the Agency to continue providing services through June 30, 2016, including modifications to County's standard indemnification clause and the General Conditions.

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, County's senior citizens will not receive Senior Nutrition Program meals, from this Agency.

CHILDREN'S IMPACT STATEMENT:

Not Applicable



Contra Costa County

To: Board of Supervisors
From: Ed Woo, Chief Information Officer
Date: September 22, 2015

Subject: Purchase order with Motorola for Contra Costa East Cell Channel Expansion

RECOMMENDATION(S):

APPROVE and AUTHORIZE the Chief Information Officer, or designee, to execute (1) the Second Amendment to Communications Systems Agreement with Motorola, Inc., to extend the term of the agreement through May 31, 2018, and (2) Change Order No. 9 to the agreement to increase the payment limit by \$385,684 to a new payment limit of 4,579,061, to provide, install, and optimize the three-channel expansion of the Contra Costa East Cell of the East Bay Regional Communications System Network.

FISCAL IMPACT:

\$397,333 is being funded by FY14 grant funds awarded to the Contra Costa County Office of the Sheriff by the California Office of Emergency Services Homeland Security Grant Program (FY14 SHSGP Project E - EBRCS Cell Expansion) Org# 3623; \$385,684 of which is being utilized to provide, install and optimize the three-channel expansion of the Contra Costa East Cell.

BACKGROUND:

On May 20, 2009, the County entered into a Communications Systems Agreement with Motorola, Inc., for the purchase and installation of the Motorola P25 radio hardware. On May 20, 2012, Amendment No. 1 was executed to extend the term through May 31, 2015. The parties have agreed to further extend the term of the agreement through May 31, 2018, and to execute Change Order No. 9 to the agreement, which provides for purchase and installation of East Cell Channel Expansion equipment for the East Bay Regional Communications System Authority (EBRCSA) including the equipment, software and services required to add three channels to the sites located at Kregor Peak, Los

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☒ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Ed Woo (925)
383-2688

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

Vaqueros and Shadybrook.

In accordance with Administrative Bulletin No 611.0, County Departments are required to obtain Board approval for single item purchases over \$100,000. The County Administrator's Office has reviewed this request and recommends approval.

CONSEQUENCE OF NEGATIVE ACTION:

If the contract extension and Change Order No. 9 are not approved, the County will lose funds awarded from the California Office of Emergency Services to expand the EBRCSA Contra Costa County East Cell from seven to ten channels that support communication between emergency response agencies, and the communications expansion equipment will not be installed.



**Contra
Costa
County**

To: Board of Supervisors
 From: Kathy Gallagher, Employment & Human Services Director
 Date: September 22, 2015
 Subject: ACF Technologies, Inc., Appointment Scheduling System

RECOMMENDATION(S):

APPROVE and AUTHORIZE the Employment and Human Services Director, or designee, to execute a contract with ACF Technologies, Inc. for the development and implementation of an appointment scheduling system in an amount not to exceed \$1,539,071 for the period October 1, 2015 through September 30, 2016.

FISCAL IMPACT:

\$1,539,071: Administrative Overhead, 10% County, 45% State, 45% federal.

BACKGROUND:

The implementation of Health Care Reform created a significant increase in the number of customers served by the Employment and Human Services Department (EHSD) and a corresponding increase in traffic in service locations. A review of department opportunities for customer service and workload improvement identified appointment scheduling as a needed technology. EHSD is seeking to improve its current processes of appointment scheduling in a manner that is consistent with the department's workflow and eligibility determination processes and to enable the department to provide a higher degree of customer service while operating more efficiently.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Elaine Burres, (925)
313-1717

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

CONSEQUENCE OF NEGATIVE ACTION:

Employment and Human Services would be unable to develop and implement an improved customer service oriented appointment scheduling system.

CHILDREN'S IMPACT STATEMENT:

Not applicable.



Contra
Costa
County

To: Board of Supervisors
From: William Walker, M.D., Health Services Director
Date: September 22, 2015

Subject: Contract #24-704-2 with Kimberly Loda, M.D.

RECOMMENDATION(S):

Approve and authorize the Health Services Director, or designee, to execute, on behalf of the County, Contract #24-704-2 with Kimberly Loda, M.D., an individual, in an amount not to exceed \$218,400, to provide outpatient psychiatric care to mentally ill adults in West County for the period from October 1, 2015 through September 30, 2016.

FISCAL IMPACT:

This Contract is funded 100% Mental Health Realignment. (No rate increase)

BACKGROUND:

For a number of years the County has contracted with Medical, Dental, and Mental Health Specialists to provide specialized medical services. On October 7, 2014, the Board of Supervisors approved Contract #24-704-1 with Kimberly Loda, M.D. for the provision of outpatient psychiatric care to mentally ill adults in West County through September 30, 2015. Approval of Contract #24-704-2 will allow the Contractor to continue to provide outpatient psychiatric care through September 30, 2016.

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, County's clients will not have access to Contractor's psychiatric care.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Cynthia Belon,
925-957-5201

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: K Cyr, N Rios

CHILDREN'S IMPACT STATEMENT:

Not Applicable.



Contra
Costa
County

To: Board of Supervisors
From: Ed Woo, Chief Information Officer
Date: September 22, 2015

Subject: Amendment to Contract with CherryRoad Technologies for a Fit/Gap Analysis

RECOMMENDATION(S):

APPROVE and AUTHORIZE the Chief Information Officer, or his designee, to execute a contract amendment with CherryRoad Technologies, Inc., effective July 1, 2015, to increase the payment limit by \$150,000 to a new payment limit of \$1,350,000 to provide a hosted test environment for the PeopleSoft software system upgrade.

FISCAL IMPACT:

The \$150,000 increase is budgeted under Org #1695 FY 2014-2015 and FY 2015-2016, supported through countywide inter-departmental charges to all departments.

BACKGROUND:

The PeopleSoft Human Capital Management (HCM) system is currently used to process the county's payroll, in addition to maintaining Human Resources and Employee Benefits. The contract with CherryRoad provides that the Contractor will perform a fit/gap analysis, infrastructure assessment and initial upgrade tasks to upgrade PeopleSoft HCM version 8.8 to version 9.2. The purpose of this contract amendment is for the Contractor to host nine application test environments, which will avoid the County incurring the costs to purchase additional hardware and software licenses, which will total \$173,850 if Contractor does not provide the hosted test environments. The cost for Contractor providing the hosted test environments will be \$150,000 for the FY 15/16.

The Contractor will manage all technology requirements related to the hosted test environment, including physical infrastructure, security, network, communications infrastructure, hardware, operating system and database in their facilities.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Sheryl Webster
925-313-1281

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

CONSEQUENCE OF NEGATIVE ACTION:

If the contract amendment is not approved, the upgrade to the county's HCM system will be delayed. In addition, the cost to the County to provide the test environment would be \$173,850.



**Contra
Costa
County**

To: Board of Supervisors

From: Julia R. Bueren, Public Works Director/Chief Engineer

Date: September 22, 2015

Subject: AWARD Construction Contract for the Lefty Gomez Park Improvements, Concession and Picnic Areas at 470 Parker Avenue, Rodeo area. (Project 7770-6X5182)

RECOMMENDATION(S):

- (1) APPROVE the design, plans, and specifications for the above project.
- (2) DETERMINE that the bid submitted by Thompson Builders Corporation ("Thompson Builders") complied with the requirements of the County's Outreach Program for this project, as provided in the project specifications, and FURTHER DETERMINE that Thompson Builders submitted the lowest responsive and responsible bid for this project.
- (3) AWARD the construction contract for the above project to Thompson Builders in the amount of \$443,469 (Base Bid) and DIRECT that the Public Works Director, or designee, prepare the contract.
- (4) DIRECT that Thompson Builders shall submit two good and sufficient security bonds (performance and payment bonds) in the amount of \$443,469 each.
- (5) ORDER that, after the contractor has signed the contract and returned it, together with the bonds, evidence of insurance, and other required documents, and the Public Works Director has reviewed and found them to be sufficient, the Public Works Director, or designee, is authorized to sign the contract for this Board.
- (6) ORDER that, in accordance with the project specifications and/or upon signature of the contract by the Public Works Director, or designee, any bid bonds posted by the bidders are to be exonerated and any checks or cash submitted for security shall be returned.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Jason Chen
925-313-3299

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: PW Accounting, PW CPM Division Manager, PW CPM Project Manager, PW CPM Clerical, Auditor's Office, County Counsel's Office, County Administrator's Office, County Administrator's Office

(7) AUTHORIZE the Public Works Director, or designee, to sign any escrow agreements prepared for this project to permit the direct payment of retentions into escrow or the substitution of securities for moneys withheld by the County to ensure performance under the contract, pursuant to Public Contract Code Section 22300.

(8) AUTHORIZE the Public Works Director, or designee, to order changes or additions to the work pursuant to Public Contract Code Section 20142.

(9) DELEGATE, pursuant to Public Contract Code Section 4114, to the Public Works Director, or designee, the Board's functions under Public Contract Code Sections 4107 and 4110.

(10) DECLARE that, should the award of the contract to Thompson Builders be invalidated for any reason, the Board would not in any event have awarded the contract to any other bidder, but instead would have exercised its discretion to reject all of the bids received. Nothing in this Board Order shall prevent the Board from re-awarding the contract to another bidder in cases where the successful bidder establishes a mistake, refuses to sign the contract, or fails to furnish required bonds or insurance (see Public Contract Code Sections 5100-5107).

FISCAL IMPACT:

60% Measure WW Grant Funds; 40% Park Dedication Funds.

BACKGROUND:

Voter-approved East Bay Regional Park District Measure WW allocated grant funds to local parks for improvements. Special Districts staff met with the Rodeo Municipal Advisory Committee and CSA R-10 Advisory Committee and the John Swett Unified School District to develop the proposed improvements at Lefty Gomez Park. The improvements include installing seating in the ballfield walkway area impacted by tree roots, adding drinking fountains, installing large group BBQs and picnic tables, providing new ground surface to improve accessibility, construction of a concession booth that includes a restroom, announcer's stand, kitchen and extra storage, repair of ballfield entry gate, replacement of bleachers, installing trash cans in picnic and concession areas, and storm drain, water and sewer improvements.

Plans and specifications for the project have been prepared for the Public Works Department by Stantec Architects, Inc. and filed with the Clerk of the Board by the Public Works Director. Bids were received and opened by the Public Works Department on August 27, 2015, and bids were as follows:

BIDDER TOTAL	BASE BID
Thompson Builders Corporation	\$443,469.00
Water Rock Construction	\$574,069.00

All the base bids received were more than the Architect's Estimate of \$274,000. Staff has determined that the information received by the Architect from the suppliers for key components of the work, was not accurate to the work required. Lesser quality products than what is required were quoted by the supplier during the project estimate stage. Additionally, given the current construction and bidding environment, we have determined by consensus of other agencies, bids for similar projects are ranging from 20% to 70% higher than the estimates.

Staff has evaluated the low bid submitted by Thompson Builders and their good faith effort documentation. Thompson Builders bid is responsive and their good faith effort documentation is in compliance with the County's Outreach Program. The Public Works Director recommends the Board award the construction contract, including the Base Bid work to Thompson Builders, the lowest responsive and responsible bidder, in the amount of \$443,469.00.

The general prevailing rates of wages, which shall be the minimum rates paid on this project, are on file with the Clerk of the Board, and copies are available to any party upon request.

CONSEQUENCE OF NEGATIVE ACTION:

If the construction contract is not awarded, the project will not move forward and the concession and picnic areas will continue to deteriorate.

CHILDREN'S IMPACT STATEMENT:



Contra
Costa
County

To: Board of Supervisors
From: David O. Livingston, Sheriff-Coroner
Date: September 22, 2015

Subject: Purchase Order - Sysco Food Services

RECOMMENDATION(S):

APPROVE and AUTHORIZE the Purchasing Agent to execute, on behalf of the Sheriff-Coroner, a purchase order with Sysco Food Services in an amount not to exceed \$300,000 to provide poultry products and related items for use in the preparation of inmate meals at all County adult detention facilities for the period October 1, 2015 through September 30, 2017.

FISCAL IMPACT:

\$300,000 maximum. 100% County General Fund; Budgeted in fiscal year 2015-16.

BACKGROUND:

Sysco is the only Northern California food services provider specializing in providing food products to detention facilities in bulk quantities. Their product pricing is through MedAssets contracts, in which the County has membership. The County benefits significantly by using Sysco in the form of financial incentives on both bulk purchases and manufacturer's rebates resulting in increased purchasing power to the department. This purchase order is one of two purchase orders listed for Board consideration today with Sysco for food related items. This purchase order allows the Sheriff's Custody Services Bureau to purchase poultry products and related items for use in preparing inmate meals. Separate purchase orders allow the Office of the Sheriff to track expenditures for particular food items.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☒ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Liz Arbuckle,
925-335-1529

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Liz Arbuckle, Heike Anderson, Tim Ewell

CONSEQUENCE OF NEGATIVE ACTION:

The Sheriff's Office will not have access to poultry meal items for use in inmate meals.

CHILDREN'S IMPACT STATEMENT:

No impact.



Contra
Costa
County

To: Board of Supervisors
From: David O. Livingston, Sheriff-Coroner
Date: September 22, 2015

Subject: Purchase Order - Sysco Food Services

RECOMMENDATION(S):

APPROVE and AUTHORIZE the Purchasing Agent to execute, on behalf of the Sheriff-Coroner, a purchase order with Sysco Food Services in an amount not to exceed \$2,500,000 to provide non-poultry food and all non-food related products, items, equipment and supplies as needed in County adult detention facilities for the period October 1, 2015 through September 30, 2017.

FISCAL IMPACT:

\$2,500,000 maximum. 100% County General Fund; Budgeted in fiscal year 2015/16.

BACKGROUND:

Sysco is the only Northern California food services provider specializing in providing food products to detention facilities in bulk quantities. Their product pricing is through MedAssets contracts, in which the County has membership. The County benefits significantly by using Sysco in the form of financial incentives on both bulk purchases and manufacturer's rebates resulting in increased purchasing power to the department. This purchase order is one of two purchase orders listed for Board consideration today with Sysco for food related items. This purchase

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☒ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Liz Arbuckle,
925-335-1529

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Liz Arbuckle, Heike Anderson, Tim Ewell

BACKGROUND: (CONT'D)

order allows the Sheriff's Custody Services Bureau to purchase non-poultry food products and all non-food related products, items, equipment and supplies for use in preparing inmate meals. Separate purchase orders allow the Office of the Sheriff to track expenditures for particular food items.

CONSEQUENCE OF NEGATIVE ACTION:

The Sheriff's Office will not have access to certain food items and equipment required for adult detention facilities within the County.

CHILDREN'S IMPACT STATEMENT:

No impact.



Contra
Costa
County

To: Board of Supervisors
From: Ed Woo, Chief Information Officer
Date: September 22, 2015

Subject: Sophos Complete Antivirus and Data Protection

RECOMMENDATION(S):

APPROVE and AUTHORIZE the Purchasing Agent or designee to execute, on behalf of the Chief Information Officer, a purchase order with CDW-G in an amount not to exceed \$224,750 for computer anti-virus software licensing and support for the period September 27, 2015 through September 26, 2018.

FISCAL IMPACT:

\$224,750 (100% User Fees); the entire cost is charged to the receiving departments through DoIT's billing process.

BACKGROUND:

The Department of Information Technology (DoIT) has standardized anti-virus software protection for county-wide computer systems. DCW-G offers discounted pricing under National IPA Technology Solutions contract number 130733. Sophos Complete Security Suite subscription is installed on servers, desktop computers, and laptops throughout the County to help ensure continuity in support and protection. In accordance with Administrative Bulletin No 611.0, County Departments are required to obtain Board approval for single item purchases over \$100,000. The County's Administrator's Office has reviewed this request and recommends approval.

CONSEQUENCE OF NEGATIVE ACTION:

Various servers, desktop computers, laptops and other electronic devices throughout the County may be susceptible to virus and malware.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Ed Woo (925)
383-2688

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy






cc:

ATTACHMENTS

Sophos renewal

QUOTE #	QUOTE DATE	QUOTE REFERENCE	CUSTOMER #	GRAND TOTAL
GKJP740	8/14/2015	SOPHOS 3Y	1904347	\$224,750.00

QUOTE DETAILS

ITEM	QTY	CDW#	UNIT PRICE	EXT. PRICE
 <u>Sophos EndUser Protection Web, Mail and Encryption - subscription license r</u> Mfg. Part#: EGEM3GTAA UNSPSC: 43233205 1st Payment of \$74,916.67 Due 30 days from Ship Date for line item 1 and 2 Electronic distribution - NO MEDIA Contract: MARKET	6500	3802176	\$9.16	\$59,540.00
 <u>SOPHOS PLATINUM SUPPORT</u> Mfg. Part#: PLAT Contract: MARKET	1	3112279	\$15,376.67	\$15,376.67
 <u>Sophos EndUser Protection Web, Mail and Encryption - subscription license r</u> Mfg. Part#: EGEM3GTAA UNSPSC: 43233205 2nd Payment of \$74,916.67 Due 12 months from Ship Date for line item 3 and 4 Electronic distribution - NO MEDIA Contract: MARKET	6500	3802176	\$9.16	\$59,540.00
 <u>SOPHOS PLATINUM SUPPORT</u> Mfg. Part#: PLAT Contract: MARKET	1	3112279	\$15,376.67	\$15,376.67
 <u>Sophos EndUser Protection Web, Mail and Encryption - subscription license r</u> Mfg. Part#: EGEM3GTAA UNSPSC: 43233205 3rd Payment of \$74,916.67 Due 24 months from Ship Date for line item 5 and 6 Electronic distribution - NO MEDIA Contract: MARKET	6500	3802176	\$9.16	\$59,540.00
 <u>SOPHOS PLATINUM SUPPORT</u> Mfg. Part#: PLAT Contract: MARKET	1	3112279	\$15,376.66	\$15,376.66

SHIPPING DETAILS

Shipping Address:

CONTRA COSTA COUNTY
DATA PROCESSING
30 DOUGLAS DR
ACCTS PAYABLE
MADTINEZ CA 94552-4069

SUBTOTAL

\$224,750.00

SHIPPING

\$0.00

GRAND TOTAL

\$224,750.00

CONVERT QUOTE TO ORDER

From: Maja Zbrozek-Smialek <majasmi@cdwg.com>
Sent: Friday, August 14, 2015 2:00 PM
To: Arlene Serrano
Cc: 'OJ Cherry'; Tu Le; Warren Pun
Subject: RE: Contra Costa County Sophos licensing renewal
Attachments: GKJP740.pdf

Hi Arlene,

Please see the attached quote for the 3y Sophos renewal with the flexible annual payment option program as mentioned earlier.

Payment terms are as follows:

Total Purchase Price \$224,750.00 per quote GKJP740

- *1st Payment of \$74,916.67 Due 30 days from Ship Date*
- *2nd Payment of \$74,916.67 Due 12 months from Ship Date*
- *3rd Payment of \$74,916.67 Due 24 months from Ship Date*

Please let me know if you have any questions.

[View in a browser](#)

QUOTE CONFIRMATION



DEAR ED WOO,

Thank you for considering CDW•G for your computing needs. The details of your quote are below. [Click here](#) to convert your quote to an order.

CONVERT QUOTE TO ORDER

CRITERIA FOR
SOLE SOURCE / BRAND PROCUREMENT

“SOLE SOURCE JUSTIFICATION FORM”

Please address by specific reference each question listed below (1-5) in your justification.
Failure to respond to any of the questions may result in the rejection of your request.
(When answering questions use separate sheets of paper as needed)

1. Why was the particular product and / or vendor selected?
It provides the best overall coverage across multiple computer operating systems.

2. What are the unique performance factors of the selected product / service?
(Provide detailed specifications and descriptions)

It supports cross platform

3. Why are these specific factors required?

We support mixed platforms

4. What other products / services have been examined and rejected?

McAfee and ForeFront

5. Why are other sources providing like goods or services unacceptable?
(Full explanation needed)

The desired product needs to support multiple platforms so that it can be managed centrally

I HEREBY CERTIFY THAT:

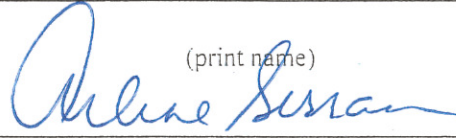
1. I am an approved County department representative. I understand the County's requirements for competitive bidding, as well as the criteria for justification for sole source.
2. I have gathered the required technical information and have made a good faith effort to review comparable and / or equal equipment / product / services. (Copies are attached)

I certify to the best of my knowledge the validity of the information contained herein.

Department / Division / Office name Information Technology

Department representative name Arlene Serrano

Department representative signature


(print name)
(sign name)

Date: 9/9/15

GSD PURCHASING DIVISION USE ONLY:

Buyer: _____ Purchasing Agent / Designee: _____

Approved: _____ Not Approved: _____ Date: _____

Comments:



**Contra
Costa
County**

To: Board of Supervisors
From: David O. Livingston, Office of the Sheriff
Date: September 22, 2015

Subject: Purchase Order - Supplyworks

RECOMMENDATION(S):

APPROVE and AUTHORIZE the Purchasing Agent to execute, on behalf of the Sheriff-Coroner, a purchase order with Supply Works in an amount not to exceed \$300,000 for miscellaneous janitorial supplies and services as needed within the three County's detention facilities for the period October 1, 2015 through September 30, 2017.

FISCAL IMPACT:

100% General Fund; Budgeted

BACKGROUND:

Supply Works (formerly Clean Source) provides custodial products, items and supplies as needed for the County detention facilities. They also repair and service janitorial equipment that is proprietary to the detention facilities. The detention facilities purchase janitorial supplies for over 2,000 inmates. Items include over 100 cases a week of toilet paper, paper towels, hand soap & other cleaning chemicals and supplies.

This is a Public Works-select vendor per SIPC (Schools of Illinois Public Cooperative) Master Agreement. A 3-year contract ensures uninterrupted service to support the custodial needs of the detention facilities.

CHILDREN'S IMPACT STATEMENT:

No impact.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☒ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Liz Arbuckle,
925-335-1529

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Liz Arbuckle, Heike Anderson, Tim Ewell



Contra
Costa
County

To: Board of Supervisors
From: William Walker, M.D., Health Services Director
Date: September 22, 2015

Subject: Contract #23-398-10 with RHD Healthcare Consulting, Inc.

RECOMMENDATION(S):

Approve and authorize the Health Services Director or his designee, to execute, on behalf of the County, Contract #23-398-10 with RHD Healthcare Consulting, Inc., a corporation, in an amount not to exceed \$214,650 for the provision of consultation and technical assistance to the Director on compliance with Federal and State billing mandates and HIPAA privacy issues, for the period from October 1, 2015 through September 30, 2016.

FISCAL IMPACT:

Funding for this Contract is included in the Health Services Department's Hospital Enterprise Fund I. (Rate Increase)

BACKGROUND:

On September 9, 2014, the Board of Supervisors approved Contract #23-398-9 with RHD Healthcare Consulting, Inc., to provide consultation and technical assistance to the Health Services Director on compliance of Medicare and Medi-Cal rules and regulations covering reimbursement for patient services, including guidelines related to fraud and abuse, and to ensure compliance with HIPAA privacy regulations, for the period from October 1, 2014 through September 30, 2015.

Approval of Contract #23-398-10 will

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: William Walker, M.D.
(925) 957-5410

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: T Scott, N Rios

BACKGROUND: (CONT'D)

allow the Contractor to continue to provide services through September 30, 2016.

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved the County will not be able to continue compliance of Medicare and Medi-Cal rules and regulations covering reimbursement for patient services.

CHILDREN'S IMPACT STATEMENT:

Not applicable.



Contra
Costa
County

To: Board of Supervisors
From: William Walker, M.D., Health Services Director
Date: September 22, 2015

Subject: Nexus IS Inc. for Pure Storage Purchase Order

RECOMMENDATION(S):

APPROVE and AUTHORIZE the Purchasing Agent, on behalf of the Health Services Department, to execute a Purchase Order to Nexus IS Inc. in an amount not to exceed \$419,889 for Pure Storage Flash Arrays and 3 year premium maintenance support for the period September 30, 2015 through September 29, 2018.

FISCAL IMPACT:

100% Funding is included in the Hospital Enterprise Fund I Budget.

BACKGROUND:

The Health Services Department's Information Technology Unit currently owns Pure Storage arrays, which stores ccLink electronic health record (EHR) data. The additional disc storage will expand the amount of online medical record data available to providers and provide a faster storage system.

CONSEQUENCE OF NEGATIVE ACTION:

If additional disc storage is not provided, it will directly affect the retention of ccLink patient data.

CHILDREN'S IMPACT STATEMENT:

Not applicable.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: David Runt, (925)
335-8700

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: T Scott, Renee Nunez, N Rios



Contra
Costa
County

To: Board of Supervisors
From: William Walker, M.D., Health Services Director
Date: September 22, 2015

Subject: Novation Contract #28-705-13 with the City of Hercules

RECOMMENDATION(S):

Approve and authorize the Health Services Director, or his designee, to execute, on behalf of the County, Novation Contract #28-705-13, including modified indemnification language, with the City of Hercules, a government agency, to provide congregate meal services for County's Senior Nutrition Program, for the period from July 1, 2015 through June 30, 2016. This Contract includes a three-month automatic extension through September 30, 2016.

FISCAL IMPACT:

Agency will pay County the voluntary contributions it receives from participating seniors, after it has paid its authorized expenses. No County funds required.

BACKGROUND:

This Contract meets the social needs of County's population by providing an average of 35 congregate meals per day, five days per week for senior citizens at the Pleasant Hill Senior Center.

On August

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Dan Peddycord, RN
(925) 313-6712

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: K Thomas, N Rios

BACKGROUND: (CONT'D)

5, 2014, the Board of Supervisors approved Novation Contract #28-705-13 with the City of Hercules, for the period from July 1, 2014 through June 30, 2015, which included a three-month automatic extension through September 30, 2015, for the provision of providing congregate meal services for County's Senior Nutrition Program.

Approval of Novation Contract #28-705-13 replaces the automatic extension under the prior Contract and allows the Agency to continue providing services through June 30, 2016, including modifications to County's standard indemnification clause and the General Conditions.

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, County senior citizens who depend on County's Senior Nutrition Program will not receive meals at Contractor's facility.

CHILDREN'S IMPACT STATEMENT:

Not Applicable



Contra
Costa
County

To: Board of Supervisors
From: John Kopchik, Director, Conservation & Development Department
Date: September 22, 2015

Subject: Contract with JK2 & Associates (contract #49621)

RECOMMENDATION(S):

APPROVE and AUTHORIZE the Conservation and Development Director, or designee, to execute a contract with JK2 & Associates (the "Contractor") in an amount not to exceed \$50,000 to provide consulting services on real property negotiations, for the period September 1, 2015 through December 31, 2016.

FISCAL IMPACT:

No impact to the County General Fund. Costs will be covered by developer reimbursement or Housing Successor funds.

BACKGROUND:

The County and BART are members of the Pleasant Hill BART Station Leasing Authority, a joint powers agency (the "Authority"). The Authority is negotiating the private development of that portion of the Pleasant Hill Transit Village known as Block C. The Contractor will work with County staff and the County Counsel's Office to (i) negotiate the final terms of an amendment to an existing Disposition and Development Agreement, (ii) negotiate a lease between BART and the Authority, and (iii) negotiate a sublease between the Authority and the developer. The Contractor will also provide support to the Housing Successor Agency's property disposition program and other related tasks as directed by County staff.

CONSEQUENCE OF NEGATIVE ACTION:

If this contract is not approved, there may be a delay in the development of the Contra Costa Transit Village and a setback for the implementation of the Housing Successor Agency's property disposition program.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Maureen Toms
674-7878

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

ATTACHMENTS



**Contra
Costa
County**

To: Board of Supervisors
From: William Walker, M.D., Health Services Director
Date: September 22, 2015

Subject: Memorandum of Understanding #74-492 with the City of Pittsburg for its Police Department

RECOMMENDATION(S):

Approve and authorize the Health Services Director, or his designee, to execute, on behalf of the County, the Memorandum of Understanding (MOU) #74-492 between the County's Behavioral Health Division and the City of Pittsburg for its Police Department, a government Agency, to conduct the Mental Health Evaluation Team (MHET) Program, commencing on May 1, 2015 and effective for the life of the Program or until terminated by the parties. The MOU memorializes a partnership between the County and the City of Pittsburg to develop and implement an outreach program designed for a specific population of adult Contra Costa County residents who have serious and persistent mental illness.

FISCAL IMPACT:

None. This is a non financial agreement.

BACKGROUND:

The County's Behavior Health Services Division (BHSD) recommends partnering with law enforcement in East, Central, and West Contra Costa County to develop the Mental Health Evaluation Team (MHET) Program. The MHET Program will make welfare checks, provide case management and linkage of mental health services. MHET's target population is the adult Contra Costa

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Cynthia Belon, (925)
957-2501

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: J Pigg, N Rios

BACKGROUND: (CONT'D)

County resident who has been identified as having a serious and persistent mental illness, and in addition is described by at least one of the following: has experienced a recent psychiatric crisis; has been placed on one or more Welfare & Institutions Code section 5150 holds, has a history of violence, has access to firearms, or has been the subject of multiple calls for service with local law enforcement. The MHET Program's objectives include reducing the number of preventable visits to Psychiatric Emergency Services, facilitating access to BHSD's system of care, increasing effective use of available outpatient mental health services, reducing the number of violent encounters between police officers and the mentally ill, providing support, referrals and safety planning to family members and providing a safer, better quality of life for the community.

This Agreement includes mutual indemnification.

CONSEQUENCE OF NEGATIVE ACTION:

If the Health Services Director is not authorized to enter the MOU, the County's Behavioral Health Division will be unable to participate in the MHET Program and therefore unable to provide the level of targeted assistance contemplated for the specific population described above.

CHILDREN'S IMPACT STATEMENT:

Not applicable.



**Contra
Costa
County**

To: Board of Supervisors
From: William Walker, M.D., Health Services Director
Date: September 22, 2015

Subject: Memorandum of Understanding #74-493 with the City of Richmond for its Police Department

RECOMMENDATION(S):

Approve and authorize the Health Services Director, or designee, to execute, on behalf of the County, the Memorandum of Understanding (MOU) #74-493 between the County's Behavioral Health Division and the City of Richmond for its Police Department, a government Agency, to conduct the Mental Health Evaluation Team (MHET) Program, commencing on May 1, 2015 and effective for the life of the Program or until terminated by the parties. The MOU memorializes a partnership between the County and the City of Richmond to develop and implement an outreach program designed for a specific population of adult Contra Costa County residents who have serious and persistent mental illness.

FISCAL IMPACT:

None. This is a non financial agreement.

BACKGROUND:

The County's Behavior Health Services Division (BHSD) recommends partnering with law enforcement in East, Central, and West Contra Costa County to develop the Mental Health Evaluation Team (MHET) Program. The MHET Program will make welfare checks, provide case management and linkage of mental health services. MHET's target population is the adult Contra Costa

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Cynthia Belon, (925)
957-5201

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: J Pigg, N Rios

BACKGROUND: (CONT'D)

County resident who has been identified as having a serious and persistent mental illness, and in addition is described by at least one of the following: has experienced a recent psychiatric crisis; has been placed on one or more Welfare & Institutions Code section 5150 holds, has a history of violence, has access to firearms, or has been the subject of multiple calls for service with local law enforcement. The MHET Program's objectives include reducing the number of preventable visits to Psychiatric Emergency Services, facilitating access to BHSD's system of care, increasing effective use of available outpatient mental health services, reducing the number of violent encounters between police officers and the mentally ill, providing support, referrals and safety planning to family members and providing a safer, better quality of life for the community.

This Agreement includes mutual indemnification.

CONSEQUENCE OF NEGATIVE ACTION:

If the Health Services Director is not authorized to enter the MOU, the County's Behavioral Health Division will be unable to participate in the MHET Program and therefore unable to provide the level of targeted assistance contemplated for the specific population described above.

CHILDREN'S IMPACT STATEMENT:

Not applicable.



**Contra
Costa
County**

To: Board of Supervisors
From: William Walker, M.D., Health Services Director
Date: September 22, 2015

Subject: Memorandum of Understanding #74-494 with The City of Concord for its Police Department

RECOMMENDATION(S):

Approve and authorize the Health Services Director, or designee, to execute, on behalf of the County, the Memorandum of Understanding (MOU) #74-494 between the County's Behavioral Health Division and the City of Concord for its Police Department, a government agency, to conduct the Mental Health Evaluation Team (MHET) Program, commencing on May 1, 2015 and effective for the life of the Program or until terminated by the parties. The MOU memorializes a partnership between the County and the City of Concord to develop and implement an outreach program designed for a specific population of adult Contra Costa County residents who have serious and persistent mental illness.

FISCAL IMPACT:

None. This is a non financial agreement.

BACKGROUND:

The County's Behavior Health Services Division (BHSD) recommends partnering with law enforcement in East, Central, and West Contra Costa County to develop the Mental Health Evaluation Team (MHET) Program. The MHET Program will make welfare checks, provide case management and linkage of mental health services. MHET's target population is the adult Contra Costa

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Cynthia Belon, (925)
957-5201

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Jpigg, N Rios

BACKGROUND: (CONT'D)

County resident who has been identified as having a serious and persistent mental illness, and in addition is described by at least one of the following: has experienced a recent psychiatric crisis; has been placed on one or more Welfare & Institutions Code section 5150 holds, has a history of violence, has access to firearms, or has been the subject of multiple calls for service with local law enforcement. The MHET Program's objectives include reducing the number of preventable visits to Psychiatric Emergency Services, facilitating access to BHSD's system of care, increasing effective use of available outpatient mental health services, reducing the number of violent encounters between police officers and the mentally ill, providing support, referrals and safety planning to family members and providing a safer, better quality of life for the community.

This Agreement includes mutual indemnification.

CONSEQUENCE OF NEGATIVE ACTION:

If the Health Services Director is not authorized to enter the MOU, the County's Behavioral Health Division will be unable to participate in the MHET Program and therefore unable to provide the level of targeted assistance contemplated for the specific population described above.

CHILDREN'S IMPACT STATEMENT:

Not applicable.



**Contra
Costa
County**

To: Board of Supervisors
From: William Walker, M.D., Health Services Director
Date: September 22, 2015

Subject: Payments for Services Provided

RECOMMENDATION(S):

APPROVE and AUTHORIZE the Auditor-Controller, or designee, to pay the following amounts to providers for services rendered to the Health Services Department, as recommended by the Health Services Director.

Theresa Kailikole, D.P.M, for the provision of podiatry care at Contra Costa Regional Medical Center and Contra Costa Health Centers (CCRMC) during the month of May 2015, in the amount of \$6,300 (100% Hospital Enterprise Fund I);

Community Health for Asian Americans, a non-profit corporation, for the provision of alcohol and drug abuse prevention and treatment services in West Contra Costa County, during the period of May 1, 2015 through June 30, 2015, in the amount of \$21,424 (100% Federal Substance Abuse Primary Treatment Block Grant Youth Set-Aside); and

Health Care Interpreter Network, a non-profit corporation, for the provision of consulting and technical assistance on the Health Care Interpreter Network System, including qualified foreign language interpretation services via video conferencing, during the period of April 1, 2015 through June 30, 2015, in the amount of \$45,000 (100% Hospital Enterprise Fund I).

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: J.Pigg (925)
957-5500

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: T Scott, N Rios, Auditor-Controller

FISCAL IMPACT:

Upon approval, a total of \$51,300 will be paid to providers from Hospital Enterprise Fund I and \$21,424 will be paid from Federal Substance Abuse Primary Treatment Block Grant Youth Set-Aside monies.

BACKGROUND:

Theresa Kailikole, D.P.M., provided podiatry care to patients at CCRMC pursuant to Contract #26-924-15 (as amended by Contract Amendment #26-924-16) for the period from June 1, 2013 through May 31, 2015. Services were requested and provided beyond the payment limit of the contract. At the end of the contract period, charges of \$298,300 had been incurred, of which \$292,000 had been paid pursuant to the contract limits. The additional services were provided in May of 2015. Dr. Kailikole has not been paid for the additional services provided above the contract limit, which amount to \$6,300.

Community Health for Asian Americans provided alcohol and drug abuse prevention and treatment services in West Contra Costa County pursuant to Contract #74-054-18 for the period January 1, 2015 through June 30, 2015. Services were requested and provided beyond the payment limit of the contract. At the end of the contract period, charges of \$56,204 had been incurred, of which \$34,780 had been paid pursuant to the contract limits. The additional services were provided in May of 2015. Community Health for Asian Americans has not been paid for the additional services provided above the contract limits, which amount to \$21,424.

Health Care Interpreter Network provided consulting and technical assistance on the Health Care Interpreter Network System, including qualified foreign language interpretation services via video conferencing, pursuant to Contract #23-457-6 (as amended by Contract Amendments #23-457-7 and #23-457-8) during the period July 1, 2013 through June 30, 2015. Services were requested and provided beyond the payment limit of the contract. At the end of the contract period, charges of \$218,086 had been incurred, of which \$173,086 had been paid pursuant to the contract limits. The additional services were provided in April of 2015. The service provider has not been paid for the additional services provided above the contract limit, which amount to \$45,000.

All of the excess services were rendered before the Department was aware that the contract limits had been reached. Theresa Kailikole, a Podiatrist, works at Podiatric clinics at CCRMC and Health Centers. Her contract expired on May 31, 2015. However, the number of cases assigned to Dr. Kailikole exceeded Department caseload projections. Dr. Kailikole, billed \$6,300 more than the contract annual payment limit for the last month of the contract period. With regard to the contract with Health Care Interpreter's Network, additional funds are needed to pay the last quarter invoice, dated July 19, 2015, for purchase of services from Health Care Interpreter Network during the last half of 2014/2015 fiscal year as utilization of the Health Care Interpreter Network unexpectedly exceeded projections and contract limits. Also, because invoicing takes place following the close of the quarter, this shortfall was not noticed in a timely manner. In order to prevent this from happening again, the Division will put into place measures to closely monitor expenses as they occur. Community Health for Asian Americans Contract #74-054 expired on June 30, 2015. On August 12, 2015, Alcohol and Other Drugs Services was notified by the contractor that the demand for treatment and prevention services was higher than anticipated and the payment limit had been exhausted effective as of May 1, 2015.

Because the contract limits have been reached, the Department cannot pay these providers under the contracts for the additional services they rendered. The providers are nonetheless entitled to payment for the reasonable value of their services under the equitable relief theory of quantum meruit. That theory provides that where a person has been asked to provide services without a valid contract, and the provider does so to the benefit of the recipient, the provider is entitled to recover the reasonable value of those services. Because the Health Services Department requested the additional services and the contractors provided the services in good faith, with the full expectation and understanding that they would receive payment for those services, the contractors have a right to claim the reasonable value of the services they provided above the contract limits. As such, the Department recommends that the Board authorize the Auditor-Controller to issue a one-time payment to each contractor in the amounts listed above.

The Department will make every effort to ensure that this situation does not occur in the future. The Health Services Finance Division plans to begin monitoring physician workloads. The Behavioral Health Services Division will put measures into place to track expenses as they occur. Alcohol and Other Drug Services will make every effort to

monitor Contractors services closely in the future.

CONSEQUENCE OF NEGATIVE ACTION:

The service providers will not be paid for services rendered to the Health Services Department.

CHILDREN'S IMPACT STATEMENT:

Not applicable.



Contra
Costa
County

To: Board of Supervisors
From: David Twa, County Administrator
Date: September 22, 2015

Subject: ESTABLISH NEW FUND TO ACCOUNT FOR FINES COLLECTED ON CONVICTIONS RELATED TO
OBSCENE MATTER INVOLVING MINORS

RECOMMENDATION(S):

APPROVE and AUTHORIZE the Auditor-Controller to establish new fund number 115400, "Obscene Matter-Minors" as required by California Penal Code, within Agency 22, for the deposit of fines collected on violations of offenses relating to obscene matter involving minors, in which the violation is committed on or via government property or equipment.

FISCAL IMPACT:

Since AB 20, Statutes of 2013, went into effect, a total of \$100 has been collected and distributed by the Superior Court to the County pursuant to [Penal Code section 311.12](#). The statute does not require this fund to be interest-bearing, therefore, all interest earnings will be credited to the County General Fund.

BACKGROUND:

AB 20, Statutes of 2013, provides that every person who is convicted of an offense that involves the production, use, possession, control, advertising, transportation, or distribution of matter or image that depicts a person under 18 years of age personally engaging in or simulating sexual conduct, as defined in subdivision (d) of Section 311.4, in which the violation is committed on, or via, a government-owned computer or via a government-owned computer network, shall, in addition to any imprisonment or fine imposed for the commission of the underlying offense, be punished by a fine not exceeding two thousand dollars (\$2,000), unless the court determines that the defendant does not have the ability to pay. The bill provides that revenue from any fines collected would be transferred for deposit into a county fund established for that purpose and allocated as follows:

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Julie DiMaggio Enea
925.335.1077

, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: Auditor-Controller, County Finance Director, CAO-Justice, CAO-Originator

BACKGROUND: (CONT'D)

- (1) One-third for sexual assault investigator training .
- (2) One-third for public agencies and nonprofit corporations that provide shelter, counseling, or other direct services for victims of human trafficking .
- (3) One-third for multi-disciplinary teams.

Approval of this Board Order will provide the necessary direction to the County Auditor's Office to establish the fund as required.

CONSEQUENCE OF NEGATIVE ACTION:

If the County does not establish the new fund, it will not be in compliance with State law with respect to accounting for collections pursuant to Penal Code section 311.12.

CHILDREN'S IMPACT STATEMENT:

This action supports the following children's outcome: Communities that are Safe and Provide a High Quality of Life for Children and Families.



**Contra
Costa
County**

To: Board of Supervisors

From: Julia R. Bueren, Public Works Director/Chief Engineer

Date: September 22, 2015

Subject: APPROVE and AUTHORIZE Advertisement for Security Renovations of West County Detention Facility, 5555 Giant Highway, Richmond (WH103Z)

RECOMMENDATION(S):

(1) APPROVE the design and bid documents, including the plans and specifications, for the Security Renovations of West County Detention Facility, 5555 Giant Highway, Richmond project.

(2) DETERMINE that the project is a California Environmental Quality Act (CEQA) Class 1a Categorical Exemption pursuant to Article 19, Section 15301(a) of the CEQA Guidelines; DIRECT the Director of Conservation and Development, or designee, to promptly file a Notice of Exemption with the County Clerk; and AUTHORIZE the Public Works Director, or designee, to arrange for payment of the \$50 handling fee to the County Clerk for filing the Notice of Exemption and the \$25 handling fee to the Department of Conservation and Development for processing costs.

(3) AUTHORIZE the Public Works Director, or designee, to solicit bids to be received on or about October 29, 2015, and issue bid addenda, as needed, for clarification of the bid documents, provided the involved changes do not significantly increase the construction cost estimate.

(4) DIRECT the Clerk of the Board to publish, at least 14 calendar days before the bid opening date, the Notice to Contractors in accordance with Public Contract Code Section 22037, inviting bids for this project.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Ramesh Kanzaria, (925)
313-2000

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc: PW Accounting, PW CPM Division Manager, PW CPM Project Manager, PW CPM Clerical, Auditor's Office, County Counsel's Office, County Administrator's Office, County Administrator's Office

RECOMMENDATION(S): (CONT'D)

(5) DIRECT the Public Works Director, or designee, to send notices by email or fax and by U.S. Mail to the construction trade journals specified in Public Contract Code Section 22036 at least 15 calendar days before the bid opening.

FISCAL IMPACT:

Funding for this project is provided by AB109 (46.5%), Facilities Life-Cycle Investment Program Funds (39.5%), and the Sheriff Plant Acquisition General Fund (14%).

BACKGROUND:

The West County Detention Facility is located at 5555 Giant Highway, Richmond. The facility was opened in 1991 and is operated as a direct supervision, medium-security jail. It is intended to operate as a coeducation, program-oriented adult facility. It has five housing units, visitation area, along with other support buildings.

The main purpose of this project is to address ongoing facility repair, maintenance, and improving operational functions by renovating the facility interior and installing security upgrades. The lack of cell door monitoring is a safety concern compared to present day facilities which have monitoring through the lock hardware. The lack of monitoring does not comply with current industry standards for detention facilities. The security electronics, cell locks, and camera system installed in this facility are reaching the end of their useful lives and must be replaced. On-going maintenance of the systems is increasing and consuming an excessive amount of resources. The analog Closed Circuit TV (CCTV) system has too few cameras and does not provide sufficient coverage of inmate areas. The Project consists of: 1) removing and replacing interior walls, installing electrical upgrades, and painting the visitation area and detention rooms; 2) removing and replacing detention room locks, installing new conductors in the electronics room, installing Programmable Logic Controllers (PLC's) and Touchscreen Control Stations in Central Control and Housing Units; 3) replacing the CCTV system with an Internet Protocol (IP) camera system and video management system ; and 4) new Infrared Perimeter Intrusion Detection (IPID) beam system at the base of the fence that surrounds the entire facility.

Plans and specifications for the project have been prepared for the Public Works Department by DLR Group, Inc. The construction cost estimate is \$4 million and the general prevailing wage rates are on file with the Clerk of the Board of Supervisors and will be the minimum rates paid on this project.

CONSEQUENCE OF NEGATIVE ACTION:

If the project is not approved, the West County Detention Facility will continue to deteriorate resulting in more costly repairs in the future.



**Contra
Costa
County**

To: Board of Supervisors
From: John Kopchik, Director, Conservation & Development Department
Date: September 22, 2015

Subject: FY 2014/15 Consolidated Annual Performance and Evaluation Report for the U.S. Department of Housing

RECOMMENDATION(S):

APPROVE and AUTHORIZE submission of the County's FY 2014/15 Consolidated Annual Performance and Evaluation Report (CAPER) to the U.S. Department of Housing and Urban Development (HUD) for the following federal programs: Community Development Block Grant (CDBG), HOME Investment Partnerships Act (HOME), Emergency Solutions Grant (ESG), Housing Opportunities for Persons with AIDS (HOPWA), and Neighborhood Stabilization Program (NSP), as recommended by the Director of the Department of Conservation and Development.

FISCAL IMPACT:

No General Fund impact (100% federal funds). The funds are provided to Contra Costa County from the U.S. Department of Housing and Urban Development.

CFDA Nos.: CDBG - 14.218; HOME - 14.239; ESG - 14.231; HOPWA - 14.241; NSP - 14.218

BACKGROUND:

Consolidated Annual Performance and Evaluation Report (CAPER): The Housing and Community Development Act of 1974, as amended, requires all CDBG and HOME entitlement jurisdictions to prepare and submit a CAPER (Attachment A) to the U.S. Department of Housing and Urban Development (HUD) by September 30 of each year. The CAPER provides the County and interested stakeholders with an opportunity to evaluate the progress in carrying out priorities and objectives contained in the County's five-year Consolidated Plan and annual Action Plan.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☒ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Kara Douglas, (925)
674-7880

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

>

HUD uses the CAPER to evaluate whether: (1) the County has carried out projects/programs as described in its Consolidated Plan and annual Action Plan; (2) the CDBG, HOME, ESG, NSP, and HOPWA assisted activities are eligible and meet a national objective, and if expenditures meet certain statutory requirements; and (3) the County has demonstrated a continuing capacity to carry out its HUD funded programs. The basic elements of the CAPER are the following: summary of resources and expenditures, programmatic accomplishments, status of actions taken during the year to implement objectives contained in the Consolidated Plan, and evaluation of progress made during the year in addressing identified priority needs and objectives.

Highlights of the CAPER include the following:

- The County received \$5.7 million in FY 2014/15 CDBG, HOME, HOPWA and ESG funds for use in accomplishing housing and non-housing community development objectives.
- The County expended \$5.8 million on approved projects and programs (expenditures were for projects funded in previous and current years).
- The County continued to meet HUD's requirements related to spending CDBG and HOME funds in a timely manner.
- For each dollar spent in County federal funds for projects that were completed during the year, \$4.16 was leveraged from other federal, State, local, and private resources.
- Nearly 24,500 lower income persons/families were served through public service programs.
- 25 lower income people were placed in jobs through Economic Development programs.
- 169 businesses were assisted through Economic Development programs.
- 6 Infrastructure/Public Facilities projects were completed.
- 45 affordable rental housing units for families were constructed or rehabilitated.
- 115 affordable rental housing units for seniors were constructed.
- 14 owner occupied homes were rehabilitated.
- 39 homeowners received minor home improvement grants.
- 10 homes where people with asthma reside were assisted with household assessment and remediation.
- 101 homeowners received foreclosure prevention counseling.
- 527 low income renters received financial assistance to prevent them from becoming homeless or to quickly regain housing following an episode of being homeless.

CONSEQUENCE OF NEGATIVE ACTION:

Not approving the CAPER would mean the County would not be able to submit it to HUD on time and therefore jeopardize future funding.

CHILDREN'S IMPACT STATEMENT:

Many of the project/programs funded with CDBG, HOME, ESG, HOPWA, and NSP funds support one or more of the five community outcomes established in the Children's Report Card.

ATTACHMENTS

FY 2014/15 CAPER

CONTRA COSTA COUNTY FY2014/15 CAPER

(Consolidated Annual Performance & Evaluation Report)



**Community Development Block Grant
HOME Investment Partnerships Act
Emergency Solutions Grants
Housing Opportunities for Persons with AIDS
Neighborhood Stabilization Program**

September 22, 2015

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Section I. Introduction

Access to safe, sanitary and affordable housing, living wage jobs, essential social services, and viable communities are important factors in determining the prosperity and livability of a community. Contra Costa County, on behalf of the Urban County¹ has participated in the Community Development Block Grant (CDBG) program since its inception in 1975. The primary purpose of the CDBG program is the development of viable urban communities by providing decent housing, a suitable living environment, and expanded economic opportunities for persons of extremely-low, very-low and low-income².

In June of 1993, Contra Costa County as the Urban County representative and the Cities of Antioch, Concord, Pittsburg, and Walnut Creek joined together to form a Consortium for purposes of participation in the HOME program³. The purpose of the HOME Investment Partnerships Act (HOME) program is to expand the supply of decent, safe, sanitary, and affordable housing for extremely-low, very-low and low-income families and households.

The County also receives Emergency Solutions Grants (ESG) funds to improve and expand the supply of shelters for the homeless, and to provide homeless prevention activities and support services. ESG funds must benefit Urban County homeless populations.

Housing Opportunities for Persons with AIDS (HOPWA) funds are allocated to the County through the City of Oakland and may be used throughout the County for the development of housing affordable to and occupied by low-income persons with HIV/AIDS.

Participation by the County in these four programs has increased affordable rental and homeownership opportunities, and expanded the availability of employment, recreation, homeless services, health services, childcare, and other social services in Contra Costa.

In addition, the County received Neighborhood Stabilization Program (NSP) funds through the Housing and Economic Recovery Act of 2008 and the Dodd-Frank Act of 2010. NSP activities and accomplishments are also included in the County's FY 2014/15 CAPER.

In compliance with federal regulations, in April 2010 the County and the Consortium members adopted the FY 2010 - 2015 Contra Costa Consolidated Plan ("Five-Year Plan" or "Consolidated Plan"). Based on an assessment of community needs, the Consolidated Plan identifies affordable housing and community development goals, objectives and strategies to be implemented by Consortium members over the five-year planning period. The County's annual Action Plan identifies specific programs and projects to be implemented by the County in the current program year. These projects are consistent with and designed to achieve the goals and objectives identified in the Five-Year Plan.

As required by 24 CFR 91.52, the Contra Costa County Consolidated Annual Performance and Evaluation Report (CAPER) for FY 2014/15 provides an analysis of the progress the County has made

¹ The County's eligible area, known as the Urban County, is the entire County, except for the cities of Antioch, Concord, Pittsburg, Richmond, and Walnut Creek which operate independent CDBG programs.

² This report uses the following definitions:

- Low-income households are defined as households with incomes at or below 80 percent of the Area Median Income (AMI) for the Oakland PMSA as adjusted for household size and defined on an annual basis by the U.S. Department of Housing and Urban Development (HUD).
- Very-low income households are defined as households with incomes at or below 50 percent of AMI.
- Extremely-low income households are defined as households with incomes at or below 30 percent of AMI.

³ The City of Richmond is an independent jurisdiction for purposes of participation in the CDBG and HOME Programs.

in the fourth year of the Five-Year Consolidated Plan, and the FY 2014/15 Action Plan for the CDBG, HOME, ESG, HOPWA⁴, and NSP programs.

Section II. Financial Summary Report

A. Total Resources Available for Achieving Consolidated Plan Objectives in FY 2014/15

During FY 2014/15, County projects received over \$118 million in resources and matching funds for use in accomplishing housing and non-housing Community Development objectives identified in the Consolidated Plan. Table A provides detail on the sources of these funds.

Table A — Summary of Resources

	Federal	State/Local	Private	Total Resources
CDBG	\$			\$
HOME				
Program Income CDBG / HOME / NSP / HOPWA (a)				
HOPWA				
Emergency Solutions Grant				
Hearth Act (McKinney)				
Capital Fund Program (Co. Housing Authority)				
Section 8 Housing Choice				
Housing Successor Agency Funds (b)				
City Successor Agency Funds				
MCC Program		8,471,571		
Ryan White Funds				
FEMA				
EHAP – State				
LIHTCs				
Bond Funds (Tax Exempt, CalHFA)				
County General Fund (Homeless Shelters)				
Other Federal, State/Local, Private funds				
TOTALS	\$	\$	\$	\$

(a) Program income is reinvested into other CDBG, HOME, NSP, and HOPWA eligible activities including Program Administration.

(b) Redevelopment agencies were eliminated February 1, 2012. There are no new funds available in FY 2014/15 but there may be limited housing assets available in the future through the Successor Agencies.

⁴ Contra Costa County administers HOPWA funds under a Funding Agreement with the City of Oakland. A more detailed HOPWA annual report for Contra Costa County activities is provided to the City of Oakland for inclusion in its CAPER that is available to interested parties on request.

B. Total Revenues and Expenditures in FY 2014/15 — CDBG, HOME, ESG, HOPWA, and NSP

Table B — Revenues and Expenditures

Program	FY 2014/15 Allocation	FY 2014/15 Program Income (a)	Unexpended Prior Year Balance	Movement of Funds Between Categories/ Adjustments	Total Revenues Available	FY 2014/15 Expenditures	End of Year Unexpended Balance
CDBG							
Housing	\$1,342,444	\$825,423	\$2,254,089	\$(67,362)	\$4,354,594	\$1,179,536	\$3,175,058
Economic Development	\$416,723	\$10,856	\$60,142	\$(98,943)	\$388,778	\$362,061	\$26,717
Infrastructure/ Public Facilities	\$116,087	\$0	\$367,551	\$130,149	\$613,787	\$281,882	\$331,905
Public Service	\$506,021	\$0	\$22,502	\$36,222	\$564,745	\$542,716	\$22,029
Planning/Admin	\$595,319	\$209,070	\$0	\$(66)	\$804,323	\$804,323	\$0
Total CDBG	\$2,976,594	\$1,045,349	\$2,704,284	\$0	\$6,726,227	\$3,170,518	\$3,555,709
HOME							
Projects	\$1,751,917	\$171,248	\$2,357,895		\$4,281,060	\$1,573,009	\$2,708,051
Administration	\$194,657	\$19,028	\$126,683		\$340,368	\$317,516	\$22,851
Total HOME	\$1,946,574	\$190,275	\$2,484,578		\$4,621,427	\$1,890,525	\$2,730,902
ESG							
Projects	\$218,892	\$0	\$0		\$218,892	\$214,666	\$4,226
Administration	\$17,747	\$0	\$82		\$17,829	\$13,092	\$4,737
Total ESG	\$236,639		\$82		\$236,721	\$227,758	\$8,963
HOPWA	\$533,761	\$0	\$1,886,016		\$2,419,777	\$547,806	\$1,871,971
NSP 1							
Projects	\$0	\$	\$1,841,038		\$1,841,038	\$214,178	\$1,626,860
Administration	\$0	\$	\$28,976		\$28,976	\$16,148	\$12,828
Total NSP 1	\$0	\$13,873	\$1,870,014		\$1,883,887	\$230,325	\$1,639,689
Grand Total	\$5,693,568	\$	\$8,944,974(b)	\$0	\$15,888,039	\$6,066,933	\$9,807,233

(a) For the program income detail, refer to Attachment A.3 of the CDBG Financial Summary Report (Appendix A).

(b) \$____ million of the unexpended balance is committed to projects not yet completed. Unallocated housing funds from the line of credit, recaptured funds from project allocations, and a portion of program income (80% for CDBG, not including the revolving loan fund income, and 90% for HOME) are combined and named the Housing Development Assistance Fund (HDAF). HDAF allocations are made available to affordable housing projects that develop during the program year.

C. Leveraging of Resources of FY 2014/15 Completed Projects

In accordance with federal regulations and County policy, matching funds are required for projects that receive County CDBG, HOME and ESG program funds. CDBG funded projects are required to provide matching funds depending on the type of project sponsor or subrecipient. Projects implemented by nonprofit agencies are required to provide a minimum 10 percent match, public agencies are required to provide a minimum 25 percent match, and for-profit entities are required to provide a 100 percent match. Projects receiving ESG funds are required to provide a 100 percent match. Matching funds for CDBG and ESG projects typically include other federal, state or local public and private funds. The HOME program requires a 25 percent match from non-federal sources. While HOPWA funds do not require a match, the County considers leveraging of additional resources in the allocation of HOPWA funds. Table C details the funds leveraged for each project completed during FY 2014/15.

Table C — Leveraging of FY 2014/15 Completed Projects

Housing

Project ID			CDBG/NSP/ HOME/HOPWA Funds	Other Federal Funds	State/Local Funds	Private Resources	Other Funds Total	Project/ Program Total	CDBG/NSP/ HOME/HOPWA Funds Leveraged
12	44	HSG	4,775,000	0	6,000,000	11,726,158	17,726,158	\$22,501,158	\$3.71
12	43	HSG	4,090,000	0	2,050,000	17,180,474	19,230,474	\$23,320,474	\$4.70
13	49	HSG	1,550,000	0		4,510,270	4,510,270	\$6,060,270	\$2.91
11	53	HSG	320,000	0	920,000	1,511,617	2,431,617	\$2,751,617	\$7.60
14	52	HSG	100,868	0		10,087	10,087	\$110,955	\$0.10
14	51	HSG	31,496	0		3,150	3,150	\$34,645	\$0.10
13	51	HSG	75,000	0	60,000	396,043	456,043	\$531,043	\$6.08
14	50	HSG	655,229	0			0	\$655,229	\$0.00
11	NSP	NSP3	1,662,211	0	560,000	150,000	710,000	\$2,372,211	\$0.43
Housing			\$13,259,804	\$0	\$9,590,000	\$35,487,798	\$45,077,798	\$58,337,603	\$3.40

ESG

Project ID			ESG Funds	Other Federal Funds	State/ Local Funds	Private Resources	Other Funds Total	Project/ Program Total	ESG Funds Leveraged
14	56	ESG	84,025	204,254	1,594,121	0	1,798,375	1,882,400	\$21.40
14	57	ESG	10,000	229,861	215,808	0	445,668	455,668	\$44.57
14	58	ESG	6,505	14,400	0	160,775	175,175	181,680	\$26.93
14	59	ESG	31,000	15,000	402,552	19,810	175,175	468,362	\$5.65
14	60	ESG	10,000	63,255	0	288,127	351,382	361,382	\$35.14
14	61	ESG	73,136	35,558	52,947	320,901	409,406	482,542	\$5.60
ESG			\$214,666	\$562,328	\$2,265,428	\$789,613	\$3,355,182	\$3,832,035	\$15.63

Public Service

Project ID	County CDBG Funds	Other Federal Funds	State/Local Funds	Private Resources	Other Funds Total	Project/Program Total	CDBG Funds Leveraged
14 1 PS	55,000	173,895	19,930	0	193,825	248,825	3.52
14 2 PS	22,000	0	210,812	0	210,812	232,812	9.58
14 3 PS	5,000	3,000	0	120,000	123,000	128,000	24.60
14 4 PS	29,995	19,918	0	146,000	165,918	195,913	5.53
14 5 PS	15,000	10,000	359,525	68,000	437,525	452,525	29.17
14 6 PS	31,500	0	124,205	1,122,336	1,246,541	1,278,041	39.57
14 7 PS	10,000	8,750	14,930	229,647	253,327	263,327	25.33
14 8 PS	27,229	54,250	0	45,016	99,266	126,495	3.65
14 9 PS	25,000	20,000	0	134,350	154,350	179,350	6.17
14 10 PS	13,000	0	0	136,565	136,565	149,565	10.50
14 11 PS	10,000	0	9,118		9,118	19,118	0.91
14 12 PS	10,000	0	377,879	42,171	420,050	430,050	42.00
14 13 PS	10,000	0	36,512	0	36,512	46,512	3.65
14 14 PS	10,000	0	19,423	151,258	170,681	180,681	17.07
14 15 PS	10,000	174,720	22,145	6,000	202,865	212,865	20.29
14 16 PS	15,000	0	0	187,541	187,541	202,541	12.50
14 17 PS	10,000	0	0	21,088	21,088	31,088	2.11
14 18 PS	7,500	0	8,500	31,500	40,000	47,500	5.33
14 19 PS	9,996	254,208	2,535,003	70,000	2,859,211	2,869,207	286.03
14 20 PS	9,000	0	92,200	0	92,200	101,200	10.24
14 21 PS	14,960	0	139,451	91,005	230,456	245,416	15.40
14 22 PS	14,613	0	14,000	60,970	74,970	89,583	5.13
14 23 PS	18,000	0	91,490	553,105	644,596	662,596	35.81
14 24 PS	10,000	0	70,751	230,209	300,960	310,960	30.10
14 25 PS	9,442	212,667	22,457	25,000	260,124	269,566	27.55
14 26 PS	10,000	0	6,473	1,694	8,166	18,166	0.82
14 27 PS		Not Applicable - CDBG Administration Expense					
14 28 PS	10,000	0	124,704	0	124,704	134,704	12.47
14 29 PS	18,000	0	28,643	0	28,643	46,643	1.59
14 30 PS	62,056	243,823	1,542,090	0	1,785,913	1,847,969	28.78
14 31 PS	15,000	0	0	355,400	355,400	370,400	23.69
14 32 PS	26,675	35,558	26,272	320,901	382,732	409,407	14.35
Public Service	\$543,966	\$1,210,789	\$5,896,514	\$4,149,755	\$11,257,057	\$11,801,023	\$20.69

Economic Development

Project ID	County CDBG Funds	Other Federal Funds	State/Local Funds	Private Resources	Other Funds Total	Project/ Program Total	CDBG Funds Leveraged
14 33 ED	70,000	0	160,856	0	160,856	230,856	2.30
14 34 ED	100,000	22,033	90,000	482,657	594,690	694,690	5.95
14 35 ED	45,000	0	0	118,544	118,544	163,544	2.63
14 36 ED	84,201	0	0	104,401	104,401	188,602	1.24
14 37 ED	56,284	0	14,985	0	14,985	71,269	0.27
Economic Development	\$355,484	\$22,033	\$265,842	\$705,602	\$993,476	\$1,348,961	\$2.79

Infrastructure/ Public Facilities

Project ID	County CDBG Funds	Other Federal Funds	State/Local Funds	Private Resources	Other Funds Total	Project/ Program Total	CDBG Funds Leveraged
13 37 IPF	50,000	0	24,125	0	24,125	74,125	\$0.48
13 38 IPF	65,624	0	259,766	0	259,766	325,390	\$3.96
13 46A IPF	70,470	0	27,554	0	27,554	98,024	\$0.39
14 38 IPF	54,000	0	0	3,115	3,115	57,115	\$0.06
14 44 IPF	21,062	0	0	2,340	2,340	23,402	\$0.11
14 45 IPF	20,489	0	0	2,276	2,276	22,765	\$0.11
Infrastructure/ Public Facilities	\$281,645	\$ -	\$311,445	\$7,731	\$319,176	\$600,821	\$1.13

Total - All Programs	\$14,655,565	\$1,795,150	\$18,329,228	\$41,140,499	\$61,002,690	\$75,920,442	\$4.16
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Section III. CDBG Narrative

A. Non-Housing Community Development

A.1. *Priority/goal assessment*

Non-housing Community Development activities are those that provide for a safe and livable environment as identified by the community. The County is committed to allocating funds that serve the needs of the lowest income and most disadvantaged residents. The County funds Community Development activities under four different categories: Public Service (CD-1 through CD-4), Fair Housing (CD-5), Economic Development (CD-6), Infrastructure/Public Facilities (CD-7), and Homeless Services (H-1 and H-2).

The Contra Costa Consolidated Plan identifies the following priorities for non-housing Community Development needs:

- CD-1 General Public Services: Ensure that opportunities and services are provided to improve the quality of life and independence for lower-income persons, and ensure access to programs that promote prevention and early intervention related to a variety of social concerns such as hunger, substance abuse, and other issues.
- CD-2 Seniors: Enhance the quality of life of senior citizens and frail elderly, and enable them to maintain independence.
- CD-3 Youth: Increase opportunities for children/youth to be healthy, succeed in school and prepare for productive adulthood.
- CD-4 Non-homeless Special Needs: Ensure that opportunities and services are provided to improve the quality of life and independence for persons with special needs such as disabled persons, victims of domestic violence, abused children, persons with HIV/AIDS, illiterate adults, and migrant farm workers.
- CD-5 Fair Housing: Continue to promote fair housing activities and affirmatively further fair housing.
- CD-6 Economic Development: Reduce the number of persons with incomes below the poverty level, expand economic opportunities for very-low and low-income residents, and increase the viability of neighborhood commercial areas.
- CD-7 Infrastructure/Public Facilities: Maintain quality public facilities and adequate infrastructure, and ensure access for the mobility impaired by addressing physical access barriers to public facilities.
- CD-8 Administration/Planning: Support development of viable urban communities through extending and strengthening partnerships among all levels of government and the private sector, and administer federal grant programs in a fiscally prudent manner.

- H-1 Homeless Services: Assist the homeless and those at risk of becoming homeless by providing emergency, transitional, and permanent affordable housing with appropriate supportive services.
- H-2 Homeless Services: Reduce incidence of homelessness and assist in alleviating the needs of the homeless.

A.2. Accomplishments

Appendix E (Completed and Ongoing Projects) describes the accomplishments achieved in the categories of Public Service, Fair Housing, Economic Development, Infrastructure/Public Facilities and, Homeless Services. The County ensures that the limited clientele national objective requirement is met for those activities that are not targeted to one of the presumed benefit populations. Public Service agencies, whose clients are not a presumed benefit population, are required to collect income documentation and/or certification from its clients to determine their eligibility. The agencies are required to keep these records for five years after the program/project is completed.

The following three tables (Tables D, E and F) summarize the accomplishments of Economic Development, Infrastructure/Public Facilities and Public Service categories.

Table D — Summary of Community Development Accomplishments — Economic Development

Priority Need Category – Economic Development	Actual Number of Businesses Assisted	Actual Number of Persons Assisted with Jobs	Actual Number of Extremely-Low Income Persons Assisted with Jobs	Actual Number of Very-Low Income Persons Assisted with Jobs	Actual Number of Low-Income Persons Assisted with Jobs
Micro-Enterprises	99				
Other Businesses					
Technical Assistance	90	39			
Other (job training/placement)		25	16	5	4

Table E — Summary of Community Development Accomplishments — Infrastructure and Public Facilities

Priority Need Category: Public Facilities	Actual Number of Projects Assisted*	Actual Number of Projects Completed	
		Funded in FY 2014/15	Funded in previous years
Senior Centers	1		
Handicapped Centers			
Homeless Centers			1
Youth Centers			
Neighborhood Facilities	2	1	
Child Care Centers	2	2	
Parks and/or Recreation Facilities	1		1
Health Facilities			
Parking Facilities			
Abused/Neglected Facilities			
AIDS Facilities			
Other Public Facilities			
Public Improvements			
Solid Waste Improvements			
Flood Drain Improvements	1		
Water Improvements			
Street Improvements	1		1
Sidewalk Improvements (Curb Ramps)			
Sewer Improvements			
Asbestos Removal			
Other Infrastructure Improvements			
Bike Lane			
Other – ADA Transition Plan			
Totals			

* Includes all projects approved for funding in FY 2014/15.

Table F — Summary of Community Development Accomplishments — Public Service

Priority Need Category— Public Service	Actual Number of Persons/Households Served
Senior Services: CD-2	1,010
Homeless Services: H-1	7,053
Youth Services:CD-3	1,597
Fair Housing Investigation (categorized as Admin. expense): CD-5	44
Tenant Landlord Counseling: CD-1	465
General Public Services: CD-1	13,326
Non Homeless Special Needs: CD-4	1,000
Total Served	24,495

B. Affordable Housing

See Section IV HOME and CDBG Housing Narratives for discussion of affordable housing, including the Neighborhood Stabilization Program.

C. Changes to the Program

The County made the following Substantial Amendments to its programs:

Church Lane Apartments: Resources for Community Development (RCD) was awarded \$455,000 in CDBG funds for the rehabilitation of the Church Lane apartments in San Pablo. An award of \$99,000 in HOME funds was made conditioned on execution of a memorandum of agreement with HUD.

D. Economic Stimulus Programs

Neighborhood Stabilization Program

The County has received two allocations of Neighborhood Stabilization Program funds: NSP1 (\$6,019,051) and NSP3 (\$1,871,294). NSP provides targeted emergency assistance to state and local governments to acquire and redevelop abandoned and foreclosed residential properties that might otherwise become sources of abandonment and blight within our communities. Appendix E includes NSP accomplishments.

E. Consolidated Plan Implementation/Certifications

As indicated in the discussion on leveraging in Section II.C on Page 4, the County diligently pursued resources identified in the annual Action Plan and Consolidated Plan.

During FY 2014/15, the County supported efforts by local jurisdictions and nonprofit organizations to obtain additional federal resources for affordable housing development and programs and projects to assist the County's homeless populations by working with applicants to ensure consistency with the

currently approved Contra Costa Consolidated Plan and providing the required certifications. The County worked with the Housing Authority of the County of Contra Costa to ensure that its Five-Year and Annual PHA Plans are consistent with the Consolidated Plan.

In addition staff works with other County departments to coordinate efforts in providing comprehensive services and programs that assist in implementation of the Consolidated Plan goals.

F. Displacement Narrative

Priority in the allocation of federal funds is given to projects that do not involve permanent relocation. However, projects involving relocation may be funded if required to: eliminate unsafe or hazardous housing conditions; reverse conditions of neighborhood decline and stimulate revitalization of a specified area; and/or to accomplish high priority affordable housing projects. As specified in project legal documents, the County and project sponsors must adhere to the requirements of the Uniform Relocation Act (URA) in projects involving permanent or temporary relocation. In addition, wherever feasible, households and organizations will be offered the opportunity to remain in the project upon completion.

The County has developed procedures for monitoring relocation activities to ensure full compliance with the URA. The procedures include: a pre-funding interview with a review of project sponsor capacity for carrying out relocation activities (e.g., contracting with a qualified consultant, in-house staff experience); a mandatory developer/subrecipient meeting that covers relocation requirements and procedures for reporting; and submission of relocation reports and copies of proper noticing throughout the relocation activities.

No households or businesses were displaced in the last fiscal year.

G. Neighborhood Revitalization — North Richmond Neighborhood Revitalization Strategy Area Accomplishments

The North Richmond Neighborhood Revitalization Strategy Area (NRSA) was approved by HUD in August 1996. The purpose of the NRSA is to create opportunities for economic self-sufficiency through jobs and housing, viable neighborhood commercial areas, childcare, transportation, and safe streets. The County's intent has been to take a collaborative approach to making these opportunities a reality by working proactively with residents, the North Richmond Municipal Advisory Council, the County Redevelopment Agency (Note: the County's Redevelopment Agency was eliminated on 2/1/12), Employment and Human Services, Health and Sheriff Departments, and community based organizations and businesses.

The majority of projects originally identified in the original NRSA have been completed and an update to the Plan is being contemplated. If an update is prepared, it is expected to include an assessment of current conditions, establish new benchmarks and identify short-term and long-term goals to be achieved.

CDBG Funded Projects/Programs

The following programs, originally identified in the NRSA, continue to operate and provide services to the community of North Richmond. Both CDBG supported programs and non-CDBG supported programs are listed.

- North Richmond Multi-Cultural Family and Senior Center (14-08-PS) continues to receive CDBG funding. The Center is host to many community meetings and events and is home to a number of programs providing education and social services to seniors and other special needs populations. CDBG funds are provided for operation and staffing of the Center that serves over 200 people per year.
- The Verde School Service Learning project (14-22-PS) sponsored by Urban Tilth uses a hands-on approach to learning that integrates traditional curriculum requirements with real-world situations. A total of 475 students participated in this program during the school year.
- Road to Success: Family Day Care Development Project (14-32-ED) sponsored by the Contra Costa Child Care Council, provides opportunities for low-income women to develop in-home day care centers in North Richmond and Bay Point. A total of 99 low-income residents opened or retained a family daycare business during the year.
- The Strengthening Neighborhood Economies Commercial Corridor project (14-35-ED), sponsored by the West Contra County Business Development Center (WCC-BDC), seeks to improve the success of small businesses and micro-enterprises in West County through business assistance and community building activities. This year, 60 aspiring, start-up and established microenterprises/small businesses were assisted. With the WCC-BDC's help 21 start-up businesses were assisted, and 39 new jobs were created in the area.
- Heritage Point Apartments: Community Housing Development Corporation of North Richmond (CHDC) continues to seek full funding for this 42 unit apartment project. CHDC with support from the Housing Successor Agency, submitted a concept proposal for the first round on State Affordable Housing and Sustainable Communities funds. Unfortunately, CHDC was not asked to submit a full application. CHDC will apply again in 2016.
- The Neighborhood Preservation Program operated by the County Building Inspection Division of the Department of Conservation and Development, provides low and zero interest loans to owner occupants for home rehabilitation. Since approval of the NRSA, 20 units owned and occupied by very-low and low-income households in North Richmond have been rehabilitated through this program.

Education

The County Service Integration Program works closely with parents, the principal and staff of Verde Elementary School to improve student attendance and participation. As a result, absenteeism has been reduced and monthly Average Daily Attendance (ADA) numbers have increased.

Miscellaneous

The following programs, though not specific to North Richmond, are available to North Richmond residents and businesses. All projects are ongoing and funded through the CDBG and HOME programs.

- Housing Development Assistance Fund – HOME and CDBG funds are available for the purpose of funding eligible housing projects during the program year.
- Community Energy Services Corporation is implementing the Healthy Homes program (14-51-HSG) which provides education and home improvements to remove asthma triggers from

homes. Since the implementation of the program in 2010, 22 households in North Richmond have been assisted.

- Community Energy Services Corporation is implementing the Home Repair program (14-52-HSG) which provides free home safety repairs to low income home owners. Since the implementation of the program in 2013, 2 households in North Richmond have been assisted.

California Redevelopment Agencies were eliminated on February 1, 2012. Therefore, there were limited activities undertaken in North Richmond during FY 2014/15:

- The Successor to the former Redevelopment Agency transferred all housing assets to the Housing Successor (the County), including numerous land assemblages in unincorporated Contra Costa County. These sites are to be developed as housing. The Successor Agency also obtained approval to transfer unspent housing bond proceeds to the Housing Successor to be used for affordable housing projects.
- Certain projects that were underway prior to the dissolution of Redevelopment were considered enforceable obligations and will continue. These obligations included a predevelopment loan with Community Housing Development Corporation of North Richmond for the development of Heritage Point, North Richmond.
- The Successor Agency continues to receive funding for three agency assistance agreements for affordable housing projects in the Pleasant Hill/Contra Costa Centre BART Station area.

H. Five-year Assessment

The priorities and strategies established for non-housing Community Development activities in the Consolidated Plan are intended to improve the livability and viability of our communities. Activities considered for funding must meet priorities for economic development, infrastructure and public facility improvements, the needs of the homeless, seniors, youth, families and special needs populations, and underserved communities. The practice of the County is to serve a minimum of 75 percent extremely-low and very-low income persons in the Public Service category. Likewise, job training and placement programs specifically target extremely low- and very-low income persons. Table G details the progress the County has made in meeting its five-year goals for non-housing Community Development projects.

Table G — Five-year Assessment of Non-Housing Projects

CONSOLIDATED PLAN PRIORITIES	Five-year Goal	Annual Goal	Completed FY 2010/11	Completed FY 2011/12	Completed FY 2012/13	Completed FY 2013/14	Completed FY 2014/15	Completed Toward Five-year Goal
CD-1 General Public Services: Ensure that opportunities and services are provided to improve the quality of life and independence for lower-income persons, and ensure access to programs that promote prevention and early intervention related to a variety of social concerns such as hunger, substance abuse, and other issues.	25,000	5,000	15,674	12,219	11,709	14,845	13,791	68,238
CD-2 Seniors: Enhance the quality of life of senior citizens and frail elderly, and enable them to maintain independence.	4,500	900	1,093	973	1,043	1,027	1,010	5,146
CD-3 Youth: Increase opportunities for children/youth to be healthy, succeed in school and prepare for productive adulthood.	12,200	2,440	1,870	1,746	1,896	1,623	1,597	8,732
CD-4 Non-homeless Special Needs: Ensure that opportunities and services are provided to improve the quality of life and independence for persons with special needs such as disabled persons, victims of domestic violence, abused children, persons with HIV/AIDS, illiterate adults, and migrant farm workers.	2,237	447	1,110	1,136	1,004	1,007	1,000	5,257
CD-5 Fair Housing: Continue to promote fair housing activities and affirmatively further fair housing.	1,000	200	41	44	41	45	44	215
CD-6 Economic Development: Reduce the number of persons with incomes below the poverty level, expand economic opportunities for very-low and low-income residents, and increase the viability of neighborhood commercial areas.	1,445	289	223	236	228	298	214	1,199
CD - 7 Infrastructure/Public Facilities: Maintain quality public facilities and adequate infrastructure, and ensure access for the mobility impaired by addressing physical access barriers to public facilities.	15	3	5	4	4	6	6	25
H-1 Homeless Services: Assist the homeless and those at risk of becoming homeless by providing emergency, transitional, and permanent affordable housing with appropriate supportive services. *	4,330	866	1,343	1,251	1,071	465	7,053	11,183
H-2 Homeless Services: Reduce incidence of homelessness and assist in alleviating the needs of the homeless. **	16,710	3,342	12,208	4,852	419	5,908	611	19,151

* The goals/accomplishments reported within the H-1 Consolidated Plan Priority reflect the goals/accomplishments of ESG projects.

** FY 2014/15 reflects a change in the performance goal for the Contra Costa Crisis Center. In the past, County CDBG has funded the Homeless Hotline and those clients have been included in this total. The County is now funding the Crisis Center's 211, line which provides information and referral services to all low income residents.

Section IV. HOME and CDBG Housing Narratives

The Contra Costa Consortium Five-Year Plan establishes the following priorities for affordable housing programs and projects:

- AH-1: Expand housing opportunities for extremely low-income, very low-income, low-income, and moderate-income households through an increase in the supply of decent, safe, and affordable rental housing and rental assistance.
- AH-2: Increase homeownership opportunities.
- AH-3: Maintain and preserve the existing affordable housing stock.
- AH-4: Reduce the number and impact of home foreclosures.
- AH-5: Increase the supply of appropriate and supportive housing for special needs populations.
- AH-6: Preserve existing special needs housing.
- AH-7: Adapt or modify existing housing to meet the needs of special needs populations.
- AH-8: Improve access to services for those in special needs housing.
- H-1: Assist the homeless and those at risk of becoming homeless by providing emergency, transitional, and permanent affordable housing with appropriate supportive services.

A. Allocation of Funds

During FY 2014/15, the County allocated CDBG, HOME, HOPWA, ESG, and NSP funds in a manner consistent with the above objectives and strategies. These projects are summarized under the appropriate strategy/need in Appendix E.

B. Match Contributions

The HOME program incurred a match liability of \$393,252 in FY 2014/15, and realized a total match of \$4,081,683. There is a carry-over match from previous years of nearly \$40 million. Sources of match include the net present value of below market interest rate loans, and the net present value of property tax waivers.

See Appendix C for HOME match report, HUD-40107-A.

C. MBE/WBE Analysis

A minority and women owned business enterprise (MBE/WBE) outreach program was adopted by the Board of Supervisors as a component of the Consortium HOME Program and the County's Affordable Housing Program. Consortium and County MBE/WBE policies are discussed at the Countywide HOME information meetings and at individual meetings with developers awarded HOME funds. In addition, requirements concerning minority and women-owned business participation in Consortium-funded HOME projects have been incorporated into all HOME project agreement and loan documents. Subrecipients must advertise business and contracting opportunities in a manner that would provide maximum notification to minority and women-owned business enterprises. Further, Subrecipients are encouraged to utilize certification lists maintained by local public agencies.

As indicated in the HUD-40107 form (see Appendix C), projects completed during FY 2014/15 include:

- A total of four contract and 118 subcontracts.
- 23 subcontracts were with a women-owned firm in the amount of \$5,515,795.
- 21 subcontracts were with minority-owned businesses in the amount of \$6,263,588.

The Department of Conservation and Development (DCD) will continue to work with project sponsors to improve the participation of minority and women-owned business in housing developments.

D. On-site Inspections

Federal regulations require the County to perform on-site inspections of a reasonable sample of rental housing units assisted with HOME funds in order to ensure continued compliance with State and local housing quality standards and code requirements. Historically, Projects with 26 or more HOME-assisted units must be inspected annually; projects with 5 to 25 units, every two years; and projects with up to 4 units, every three years. Each year, DCD staff inspects 10 to 15 percent of the HOME-assisted units for each monitored project. Copies of the inspection reports are maintained at the DCD offices.

During FY 2014/15, the County performed on-site inspections of 104 HOME-assisted units. West County (32 HOME-assisted units) were monitored in July 2014 and (22 HOME-assisted units) were monitored in June 2015. Central County (49 HOME-assisted units) were monitored in October 2014. Staff has found fewer units to monitor at a given time less burdensome to complete, rather than completing all of the annual inspections at the same time during the year. Table H summarizes onsite inspections conducted or scheduled over the current reporting period.

Table H — On-site Inspections

Project Name, Location	Number of units inspected	Number of units passed	Number of units failed
HOME Urban County Projects			
Pinole Grove, Pinole	4	4	0
HOME Consortium Projects			
ABC Apartments, El Sobrante	4	4	0
Acalanes Court, Walnut Creek	2	2	0
Alvaraz Court, Pinole	2	2	0
Alves Lane, Bay Point	1	1	0
Bella Monte, Bay Point	3	3	0
Belle Terre, Lafayette	3	3	0
Camara Circle, Concord	3	3	0
Carquinez Vista Manor, Crockett	2	2	0
Casa Adobe, San Pablo (CDBG)	2	2	0
Church Lane, San Pablo*	2	1	1
Coggins Square, Walnut Creek	5	5	0
Community Heritage, N. Richmond	3	3	0
Giant Road, San Pablo	6	6	0
Grayson Creek, Pleasant Hill	5	5	0
Idaho Apartments, El Cerrito (CDBG/HOPWA)	2	2	0
Lakeside, Concord	9	9	0
Lillie Mae Jones Plaza (HOPWA)	1	1	0
Montego Place, Walnut Creek	3	3	0
Riley Court, Concord (HOME/HOPWA)	5	5	0
Rodeo Gateway Senior, Rodeo	4	4	0
Rumrill Place, San Pablo	2	2	0
Samara Terrace, Hercules	4	4	0
STAND! Shelter, Confidential	1	1	0
The Arbors, Hercules	2	2	0
The Landings, Pittsburg	1	1	0
Tice Oaks, Walnut Creek	2	2	0
Valley Vista, San Ramon	8	8	0
Victoria Green, Hercules	7	7	0
Villa Vasconcellos, Walnut Creek	6	6	0
Totals	104	103	1

*Church Lane Apartments is in the process of putting together the financing for a substantial rehabilitation at the project. \$455,000 in CDBG funds have been awarded to the project to help with the rehabilitation. Rehabilitation should commence late 2015.

Concurrent with the on-site inspections, DCD staff inspects tenant files to ensure the management company complies with HOME program and County requirements. The review includes confirming proper income certification, correct rent and utility allowance calculations, and appropriate tenant lease provisions. The County also reviews the annual project audit, and operating budget.

The County has a licensing agreement with U.S. Communities for their FOCUS program. FOCUS provides web-based reporting program. HUD's income and rent limits are imbedded in the program. The program allows for immediate feedback to asset managers on whether or not the unit is in compliance.

E. Affirmative Marketing

Please see Section VII.C, Fair Housing and Appendix D for discussion on affirmative marketing.

F. Actions to Address the Housing Needs of Special Needs Populations

County actions to address housing needs of Contra Costa's special needs populations through the development of affordable housing are summarized in Appendix E, Housing Table (*Priority H-7*).

All new residential construction projects funded with County resources are required to include units accessible to disabled populations. A minimum of five percent of all units built and all common areas must be accessible to mobility impaired persons and an additional two percent must be accessible to vision and/or hearing impaired persons. The Affordable Housing Program Manager is responsible for compliance with Section 504.

G. Slow Moving Projects

During the current program year, Contra Costa made limited progress toward meeting its affordable housing goals. However, there are several developments nearing completion. In measuring the County's accomplishments, it must be noted that the majority of funded housing projects are complex, involving new construction or acquisition and rehabilitation of multifamily housing and requiring multiple funding sources. In general, these projects require two to five years from initial development planning to completion and occupancy. In order to facilitate the ability of the project sponsor to obtain additional funding from other sources (e.g., LIHTCs, State programs, and FHLB Affordable Housing Program), the County often commits resources relatively early in the process. Therefore, the number of units funded and completed with current resources is often less than the number funded and in development.

The housing table in Appendix E includes projects that have been designated as delayed (or slow-moving). Slow moving projects include the following:

Heritage Point, North Richmond (10-60-HSG): Community Housing Development Corp. (CHDC) was awarded HOME funds as a CHDO for technical assistance in determining the feasibility of the Heritage Point development. Using HOME and former redevelopment agency funds, CHDC obtained a General Plan amendment and planning entitlements. CHDC is now seeking State Affordable Housing and Sustainable Communities funds as the primary source of construction financing.

Tabora Gardens, Antioch (11-51-HSG, 13-47-HSG, 14-46-HSG): Satellite Affordable Housing Associates (SAHA) has an award HOME and NSP funds (which have not been committed). SAHA received an allocation of veteran's housing funds. SAHA was unsuccessful with three applications for low income

housing tax credits and will now seek four percent low income housing tax credits and tax-exempt bond financing.

H. Displacement

See the displacement discussion under Section III.E.

I. Accomplishments

Appendix E contains a summary of FY 2014/15 completed and ongoing housing projects. The summary includes the race/ethnicity of those served and the number of Section 215 units.

J. Program Changes

K. Five-year Assessment

See Table I for Five-year assessment of CDBG/HOME/HOPWA Housing activities.

Table I — Five-Year Affordable Housing Goals and Accomplishments

	Five-year Goal	Completed FY 10/11 (a)	Completed FY 11/12(a)	Completed FY 12/13 (a)	Completed FY 13/14 (a)	Completed FY 14/15 (a)	Completed Toward Five-year Goal	Projects Funded/ Underway	Total Completed/ Underway
Rental Housing Units (b)	825	0	330	79	53	160	622	308	930
Affordable at 0 to 30% AMI	90	0	21	6	16	31	74	63	137
Affordable at 31 to 50% AMI	355	0	182	39	36	94	351	227	578
Affordable at 51 to 80% AMI	380	0	127	34	1	35	197	18	215
Homeowner Units	290	52	77	68	94	98	389	23	412
Affordable at 0 to 30% AMI	35	0	3	14	22	33	72	0	72
Affordable at 31 to 50% AMI	120	36	23	17	31	33	140	11	151
Affordable at 51 to 80% AMI	135	16	51	37	41	32	177	12	189
Homeless (b)	250	0	0	0	12	49	61	0	61
Individuals	100	0	0	0	12	49	61	0	61
Families	150	0	0	0	0	0	0	0	0
Non-Homeless Special Needs (b)	385	0	126	77	27	125	355	0	476
Elderly	210	0	105	70	0	66	241	84	325
Frail Elderly	100	0	0	0	12	49	61	0	61
Severe Mental Illness	25	0	0	0	0	0	0	10	10
Physical Disability	20	0	16	4	3	7	30	0	30
Developmental Disability	5	0	0	3	0	0	3	10	13
Alcohol/Drug Abuse	0	0	0	0	12	0	12	0	12
HIV/AIDS	25	0	5	0	0	3	8	17	25
Victims of Domestic Violence	0	0	0	0	0	0	0	0	0
Total Section 215	675	0	142	74	47	150	413	210	623
215 Renter	625	0	129	69	47	142	387	187	574
215 Owner	50	0	13	5	0	8	26	23	49
(a) Includes completed projects funded with CDBG, HOME, HOPWA, NSP, bonds, MCCs and inclusionary housing.									
(b) May include duplicate units in other categories.									

Section V. HOPWA Narrative—HIV/AIDS

County Conservation and Development and Health Services Departments staff coordinate periodically to identify and address the housing and housing-related service needs of low-income persons with HIV/AIDS in Contra Costa. Activities during FY 2014/15 include provision of technical assistance to current and potential developers of affordable housing and related services for persons with HIV/AIDS.

The County HIV/AIDS Program allocated \$1,553,866 in FY 2014/15 Ryan White Treatment Modernization Act funds for the following services which address the needs of low-income persons with HIV/AIDS in Contra Costa: \$984,942 for medical case management, \$8,000 for emergency financial assistance, and \$560,924 for other support services such as food, mental health, substance abuse, respite care, transportation, legal services, early intervention services, outreach, health education and risk reduction groups, and oral care.

In addition Contra Costa apportions approximately 25 percent of its HOPWA allocation to the Contra Costa Health Services Department (HSD) to provide housing advocacy services to persons with HIV/AIDS. The balance is used for acquisition/rehab and new construction of affordable housing for persons with HIV/AIDS. All funds are awarded to sub recipients on a competitive application basis. During FY 2014/15, HSD allocated \$55,000 to Contra Costa Interfaith Housing and \$48,000 to Greater Richmond Interfaith Housing in HOPWA funds to provide housing advocacy and assistance services, including client intake, housing needs assessment, assistance with locating affordable housing, assistance with housing-related benefit applications, development and implementation of client housing plans, emergency housing assistance funds, follow-up to ensure receipt of benefits and housing, and referral to other services. An additional \$20,516 award was allocated to the AIDS Program to provide HIV+ clients with Short Term Rental Mortgage Utility (STRMU) assistance to help cover their short term shallow subsidies, eviction prevention expenses, utility payments and limited mortgage or rental payments. It also includes permanent housing placement services such as security deposits and short term motel assistance while waiting for permanent stable housing.

Section VI. ESG Narrative

Evaluation of Progress Toward Meeting Specific Homeless Objectives

Contra Costa County receives an annual allocation of federal Emergency Solutions Grant (ESG) and Community Development Block Grant (CDBG) funds. In FY 2014/15, the County allocated \$264,045 in ESG funding and \$148,561 in CDBG funding to address the County's homeless objectives. Proposed projects addressing homelessness were evaluated based on several criteria including (1) meeting the following Consolidated Plan objectives: CD-4 - Reduce the incidence of homelessness and assist in alleviating the needs of the homeless, and H-1 - Assist the homeless and those at risk of becoming homeless by providing emergency, transitional, and permanent affordable housing with appropriate supportive services, and (2) their consistency with *Ending Homelessness in Ten Years: A County-Wide Plan for the Communities of Contra Costa County*. The plan identifies priorities and specific actions to alleviate homeless needs in Contra Costa and is being updated in 2014 through a community process. Most of the current ESG Subrecipients also receive CDBG funds from the County and one or more entitlement cities.

The County has made great progress in its goal to end homelessness. The current Consolidated Plan (FY 2010/15) sets a five year goal of providing services to 21,040 homeless residents. As of June 30, 2014, 27,517 people have received services that help alleviate the needs of the homeless and reduce the incidence of homelessness.

On January 30, 2013, the local Continuum of Care (CoC) conducted a biannual census of sheltered and unsheltered people experiencing homelessness. The count found an 11% decrease in the total homeless population from the last count in 2011. The number of unsheltered homeless persons declined by 140 - from 1,490 to 1,350.

(1) Outreach to Homeless Persons

Coordinated Intake and Assessment: A subcommittee of the County CoC is currently working on developing a coordinated intake and assessment for Contra Costa County that will be in compliance with the new CoC and ESG Program Rules and will improve the accessibility of housing and services for persons experiencing homelessness throughout the County. In 2014, the subcommittee completed a County-wide system-mapping exercise to identify natural points of entry into the system. The subcommittee also engaged in a comparative analysis of national assessment tools, and identified the VI-SPDAT (Vulnerability Index – Service Prioritization Assistance Tool) as the best evidence-based tool to allow the community to match, upon intake, the individual needs of the consumer to the proper type and level of available services based upon level of acuity. The subcommittee is now planning two pilots to test the tool and further develop the referral process design.

Outreach Services: The County provides CDBG funds for homeless outreach teams through Anka Behavioral Health's Hope Plus program. The teams are augmented by interns from nursing and social services programs at California State University, East Bay. The teams seek out individuals on the street and in encampments and provide clients with access to food and shelter, and to integrated health, mental health and substance abuse services. Assessments are done in the field to provide clients with referrals to safety net services. Anka staff work closely with law enforcement and other local entities, providing intervention services to advise the homeless of available options and to help them avoid incarceration. The program recently received a donated van that is used to transport homeless clients to medical appointments and to appointments to apply for benefits and services.

The work of the Hope Plus team is complemented by the outreach teams of the Bay Area Rescue Mission, Central County Homeless Outreach and the local VA Homeless Outreach Social Worker, who provide additional outreach services to homeless encampments in East and Central Contra Costa County.

Contra Costa 211: CDBG funds are provided to the Contra Costa Crisis Center for the 211 line. The line provides a single point of contact for homeless individuals seeking services throughout the County. Phone counselors complete an intake assessment to determine the needs of each caller then provide referrals to a variety of community programs including shelter, food pantries and mental health services. Contra Costa Crisis Center has been participating in the Coordinated Intake and Assessment subcommittee and will be a key partner in piloting and implementation.

Health Care for the Homeless: In addition to providing direct medical care, testing and immunization services, the County-funded Health Care for the Homeless Program uses its mobile healthcare van for

outreach. The bilingual Healthcare for the Homeless Team assesses client's needs, provides social support, and links clients to appropriate services and programs, including mental health and substance abuse programs, Medi-Cal, the County's Basic Adult Care program, and the Covered California healthcare insurance exchange.

Multi-Service Centers: Anka Behavioral Health provides services at three multi-service centers (MSC), each located in a specific region of the County (West, Central and East). The MSC's are drop in centers designed to offer basic survival needs as well as case management, life skills, referral and linkage, employment assistance, assistance accessing healthcare and mainstream resources, alcohol and other drug counseling and treatment, and crisis intervention. The centers serve as a place of respite from the larger community and provide a sense of belonging and connection to those within the homeless community. The MSC also serves families who are experiencing homelessness, educating them about their rights to services that enable their children to continue their education and connecting them to a variety of services focused on serving families.

Project Homeless Connect: Project Homeless Connect is a one-day, one-stop event that provides a wide range of services, including outreach, healthcare, social services and housing resources, to people experiencing homelessness in Contra Costa County. Project Homeless Connect events are held annually and rotate to each of the three major regions of the County - specifically, to the cities of Richmond, Concord, and Antioch. Project Homeless Connect 9 (PHC9) was held on October 9, 2013, in Antioch. Over 800 homeless individuals attended the event and accessed a variety of health and social services, including health care, dental exams/cleanings, haircuts, manicures and pedicures, state-issued identification cards, homeless court, and Project Clean Slate. Project Homeless Connect 10 will be held on August 6, 2014, in Richmond.

(2) Addressing the Emergency Shelter and Transitional Housing Needs of Homeless Persons

County Emergency Shelter Funding: Of the funds received by the County for FY 2014/15, \$145,025 (ESG) and \$62,056 (CDBG) was allocated to local emergency shelters to provide shelter and case management services to homeless adults, families and youth and to victims of domestic violence and their children. Upon entry to one of the shelters, each resident or family is assigned a case manager to assist the individual in determining an appropriate service plan that will help them regain housing as soon as possible. The shelters are part of the County's Continuum of Care and enables individuals and families the opportunity to work on stabilizing their lives and moving toward a permanent housing solution.

State ESG Funding: To facilitate coordination between the County's ESG and CoC programs, the County's ESG project manager participated in the CoC's review and rank panel for the 2013 State ESG NOFA competition. The State ESG program awarded a total of \$394,385 as follows: \$168,385 to a program for homeless families in East Contra Costa County, \$116,000 to a rapid-rehousing program for homeless families in East Contra Costa County and \$110,000 for an emergency shelter for chronically homeless individuals in Central Contra Costa County.

HUD CoC Program Funding: As with the State ESG program, the County's ESG project manager participated in the CoC's review and rank panel for the 2013 HUD CoC Program NOFA competition. Under HUD's 2013 CoC Program NOFA, the County CoC obtained four renewal awards for transitional

housing programs totaling \$916,388 for homeless adults, families, and victims of domestic violence and their children.

County CoC Strategic Plan Update: The Contra Costa CoC is in the process of updating its Strategic Plan to End Homelessness. The County's ESG project manager, as well as recipients of County and State ESG funding, are participating in the updating process as members of the CoC Board, and CoC subcommittees charged with updating the Strategic Plan, as well as participants in community meetings around five key modules: coordinated intake and assessment, performance measurement, prevention services and other supports, housing and communication.

(3) Helping Homeless Persons (Especially Chronically Homeless Individuals and Families, Families with Children, Veterans and Their Families, and Unaccompanied Youth) Make the Transition To Permanent Housing And Independent Living

Transitional Housing Development: In October, 2011, under the working name "Synergy House" and using both CDBG and State funds, the County began to rehabilitate an existing vacant residential building in Martinez and convert it to a sober living transitional housing program for homeless single men completing substance abuse treatment programs. The new facility is directly adjacent to a recently constructed treatment facility, Discovery House. Now designated "Uilkema House", the program added 12 new beds to the homeless continuum of care and allows Contra Costa homeless, single men completing treatment programs such as Discovery House to transition back into the community with the skills and resources necessary to maintain their sobriety. Uilkema House opened for occupancy in August, 2013, and all beds are filled.

Veterans: In cooperation with the local VA, Contra Costa's CoC has worked to increase its capacity to house and serve homeless veterans, including the distribution of 160 HUD-VASH vouchers and rental assistance for 113 permanent housing units provided to homeless veterans and their families under the Supportive Services for Veteran Families (SSVF) program.

Shortening Period of Time Homeless: The County has aggressively pursued increasing the capacity of its Rapid Rehousing program, despite the end of the HPRP program. In FY 2014/15, \$50,496, which included funds reallocated from FY 2012/13, was allocated to provide rapid rehousing services to individuals and families experiencing homelessness. Rapid rehousing provides housing relocation/stabilization services and financial assistance in paying deposits, rent and utility bills to help homeless individuals and families quickly regain and maintain housing and self-sufficiency. In order to reach those most in need, outreach included health-care and mental health facilities, foster care and other youth programs, veteran's services and social services agencies, and churches and other religious organizations.

Access to Affordable Housing: The County has a long history of working with non-profit housing developers and encouraging the inclusion of units affordable to households with extremely-low incomes and those with special needs.

Berrellesa Palms in the City of Martinez is now fully leased and provides 48 one-bedroom apartments to frail seniors 62 years of age and over. Qualifying residents are homeless or at risk of homelessness and have annual incomes between \$14,960 and \$22,440 (20 and 30 percent of Area Median Income), with rents between \$305 and \$480 per month.

In addition, Third Ave apartments in Walnut Creek began leasing in Spring 2015. It is a 48 unit family development with units reserved for households with special needs.

Any others to add?

These developments highlight the County's mission to provide housing for individuals and families with very limited incomes and for those with special needs. They will serve the most vulnerable families and seniors and will provide homes for people with very few options.

The County continues to work with the Contra Costa Public Housing Authority (PHA) and local affordable housing developers to maximize the inventory of affordable housing units to which formerly homeless clients can eventually transition. The Executive Director of the PHA serves on the Contra Costa CoC advisory board in the Housing Provider seat, and has consistently provided needed political support and commitment to gain approval of new affordable housing projects in the County.

Reducing Recidivism: The Contra Costa County's Health Services Department has integrated the County's Mental Health, Alcohol and Other Drug Services and Homeless Programs into a single Behavioral Health Division that is able to address the mental health and substance abuse issues that are common barriers to long-term housing success for homeless individuals and families.

(4) Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: a) likely to become homeless after being discharged from publicly funded institutions and systems of care and; b) receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

Foster Care: When foster youth age out of the foster care system, the County links them to the Independent Living Skills Program (ILSP). ILSP includes workshops that prepare youth for emancipation (money management, education, computer skills, home management, and social skills), employment assistance, non-McKinney-Vento housing and retention services, and an individualized transition plan out of foster care. In addition, foster youth can elect to remain in foster care or return to care after emancipation (but before turning 21) to receive a two-year housing subsidy, which can be used in a market rate unit, student housing, or congregate living. This is a State program that all foster youth and former foster youth are able to access.

Health Care: Hospital discharge protocol, adopted by the CoC and by all hospitals in the County, states: 1) Hospitals will not discharge people who are not ambulatory or not capable of caring for themselves; 2) prior to discharge, the hospital will seek a pre-discharge assessment from a Healthcare for the Homeless (HCH) nurse; 3) the HCH nurse will assess the viability of a respite placement and make a recommendation accordingly; 4) prior to discharge, the hospital will ensure that a patient has an appointment for follow-up medical care; 5) the hospital will discharge the patient with enough medications and/or supplies for at least 7 days; and 6) the hospital will ensure that the patient leaves with all of their belongings and is appropriately clothed.

Mental Health: Clients treated by the County Behavioral Health Division are regularly reviewed for readiness and prepared for discharge into environments that provide stepped-down levels of care. The

Department holds a weekly Bed Committee where all institutionalized consumer's discharge plans are prepared. Discharge planning responsibilities fall to the Clinic managers for those consumers currently receiving services and to the Mental Health Transition Team (consisting of managers, a psychiatrist, RNs, clinicians and peer providers) for those patients not otherwise connected to the County system.

State hospitals discharge to Institutes for Mental Disease (IMD)/Skilled Nursing Facilities (SNF) and not to HUD CoC funded programs. Dischargees from IMDs/SNFs are placed in Crisis Residential/Transitional Residential Services (CR/TRS), and those discharged from Acute Inpatient Facilities (AIFs) are released to appropriate settings, such as the Respite Center at the County's Adult Emergency Shelter. Those exiting CR/TRS are discharged to independent, shared or supported (e.g. Board & Care) housing. State Mental Health Services Act (MHSA) funds also pay for 79 housing units for homeless discharges with severe mental illness.

AB 109: In 2012, Contra Costa County Interjurisdictional Council on Homelessness (CCICH) helped develop and implement two discharge plans for former inmates - the County Reentry Strategic Plan and the Public Safety "Realignment Plan." The Reentry Strategic Plan focuses on: 1) housing-focused discharge planning prior to release; 2) formalized pre-release planning that identifies service needs & connects prisoners with community-based service providers; and 3) enrollment in public benefits at least 90 days prior to release. The Realignment Plan, under which low-level offenders in State prisons are transferred to County custody, provides: 1) pre-release "reach-in" assessments, case management and referrals to housing resources, and 2) individualized treatment plans for mental health/substance abuse issues, linked with housing services. CCICH also coordinates with the Parole and Community Team (PACT), comprised of service providers, police & the California Department of Corrections Regional Parole Board, to link newly released ex-offenders with resources like housing, education, employment, job training, substance abuse treatment & childcare.

Section VII. General Narratives

A. Five-year Assessment

The County exceeded many of its five year goals. See Table G and Table I for the five-year assessment.

B. Location of Projects (Investments) and Public Participation (Beneficiaries)

See Appendix E for a status of projects including location and project beneficiaries.

C. Fair Housing

In FY 2009/10 Urban County staff together with staff from the other Contra Costa CDBG entitlement jurisdictions (Antioch, Concord, Pittsburg, Richmond, and Walnut Creek) worked together to prepare the Contra Costa Consortium Analysis of Impediments to Fair Housing Choice (AI). The Board of Supervisors adopted the AI on behalf of the Urban County on May 26, 2010. The AI is available on the County website at <http://www.cccounty.us/4823/Community-Development-Block-Grant>. Over the past year, the County continued working with its Subrecipients to help overcome or eliminate impediments to fair housing choice identified in the AI. The specific AI recommendations addressed this year are as follows:

Action 2.1 - Through the Consolidated Plan and one-year Action Plan, the Consortium should continue to allocate resources to encourage and facilitate the development of affordable housing throughout the entire

Consortium. Also, adequate resources should continue to be allocated to provide fair housing education and outreach efforts.

In FY 2014/15, resources were allocated to develop new units of affordable housing in different regions of the County. In addition, resources were provided for single-family rehabilitation programs, first time homebuyer programs, and fair housing counseling, legal services, and outreach (See Appendix E).

Action 4.1 - The County and cities continue to collaborate to expand affordable housing in communities where such opportunities are limited.

(Please see Housing Table in Appendix E)

Action 7.1 - Fair housing service providers should continue to expand outreach to the community regarding fair housing rights.

Bay Area Legal Aid and its partner agencies distributed fair housing literature at seven events throughout the County. They also distributed fliers to over 60 nonprofit and governmental agencies throughout the County, explaining the fair housing services that they provide and how to get in contact with them. Outreach was focused on low income communities, many with significant numbers of Spanish speaking and other non-English speaking residents.

Action 10.1 - The Consortium and fair housing service providers should consult with lenders to explore ways to improve access to financing for all.

The Mortgage Credit Certificate program reserves 40 percent of its allocation for households with incomes at or below 80 percent of area median income. Lenders have been cooperative with this program, and 26 Mortgage Credit Certificates were provided to low-income households in FY 2014/15.

D. Affordable Housing

See Section IV HOME and CDBG Housing Narratives.

E. Contra Costa Interagency Council on Homelessness

County actions to address the needs of homeless populations through the allocation of FY 2014/15 CDBG and ESG sources are discussed in Sections III and VI. Accomplishments in the provision of housing reserved for and/or affordable to the homeless and those at risk of becoming homeless is discussed in Section IV. The following discusses additional activities undertaken by the County and Contra Costa Interagency Council on Homelessness or CCICH (the County's Continuum of Care Board) to address the needs of homeless populations during FY 2014/15.

When was the new plan adopted? It replaces the Ten Year Plan

During FY 2014/15, the County continued to implement strategies and projects consistent with the Contra Costa Consolidated Plan and the *Ending Homelessness in Ten Years: A County-Wide Plan for the Communities of Contra Costa County*. In recognition of the priority assigned by the latter plan to the preservation of existing housing and services for the homeless, approximately \$10.5 million in federal McKinney Act resources were allocated to maintenance of the existing inventory of emergency and transitional housing and support services for homeless populations. Specific projects funded with McKinney Act resources are listed in Table J.

Additional resources allocated to the maintenance of existing homeless programs include \$350,370 in County General Fund resources for continued operation of the West County Emergency Shelter and the Central County Emergency Shelter, and for supportive services for persons in permanent supportive housing, and \$95,000 in FEMA resources used to provide emergency shelter, food, emergency rental assistance and other assistance to homeless populations.

The primary goals of this and all emergency housing programs are to get homeless persons off of the street, provide comprehensive services to assist persons in finding permanent housing and provide services that assist each person to achieve their highest level of self-sufficiency. Services include: case management, medical care, enrollment in benefits and health coverage, referral for alcohol/other drugs detox and residential treatment services, meals, and housing search assistance.

Table J — McKinney Act Funded Projects

Project	Request	Award	Difference
Tier 1			
Contra Costa CoC Planning Application	\$115,472	\$132,682	\$0
Reach Plus Family Rapid Rehousing	\$333,571	\$368,443	\$33,816
Pittsburg Family Center	\$82,336	\$82,336	\$0
GRIP Reallocation Permanent Supportive Housing	\$74,892	\$81,124	\$0
Contra Costa Rapid Re-Housing	\$173,567	\$173,567	\$0
Project Independence	\$666,691	\$666,691	\$0
REACH Plus	\$355,838	\$355,838	\$0
Contra Costa HMIS	\$175,596	\$175,596	\$0
Contra Costa County Transitional Housing Program	\$401,204	\$401,204	\$0
Contra Costa Project-Based Rental Assistance	\$127,770	\$144,558	\$16,284
HACC Rental Assistance Program	TBD	\$136,818	TBD
Garden Park Apartments	\$223,799	\$254,764	\$30,965
Transitional Housing Partnership	\$277,699	\$313,239	\$35,540
Lakeside Apts	\$141,155	\$141,155	\$0
Permanent Connections	\$176,681	\$200,100	\$23,419
Moving Out of Violent Environments (MOVE) - RMC	\$77,010	\$77,010	\$0
ACCESS Plus	\$103,990	\$114,494	\$10,504
GRIP Permanent Supportive Housing	\$97,188	\$108,251	\$11,063
ACCESS	\$455,894	\$505,876	\$49,982
ACCESS Plus	TBD	\$114,494	TBD
Project Coming Home - Addressing Addictions to Alcohol	\$511,063	\$581,873	\$70,810
Project CHOICE	\$119,309	\$134,223	\$14,914
Villa Vasconcellos	\$69,351	\$80,831	\$10,380
Giant Road Apartments	\$96,300	\$96,300	\$0
Idaho Apartments	\$194,836	\$194,836	\$0
S+C Lakeside	\$55,572	\$64,116	\$8,304
West Richmond Apartments	\$44,851	\$47,208	\$2,357
S+C Consolidated	\$3,013,779	\$3,477,027	\$449,568
S+C PCH	\$420,369	\$485,001	\$62,808
S+C CHI 1	\$215,558	\$248,702	\$32,196
S+C CHI 2	TBD	\$64,697	TBD
Destination Home	\$266,4880	\$296,528	\$30,040
Permanent Step Project	\$129,632	\$145,348	\$15,716
Tier 2			
Contra Costa Tenant-Based Rental Assistance	\$368,726	\$417,854	\$47,748

	Totals	\$9,566,187	\$10,522,601	\$956,414
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*Note: All projects were awarded during the 2014 competition with program operating year beginning 2014.

Other Actions to Assist the Homeless

In support of the County's Ten Year Plan to End Homelessness, Project Homeless Connect 9 was held on October 9, 2013, in Antioch. Over 800 homeless individuals attended the event and accessed a variety of health and social services in one convenient location. Services included health care, dental exams/cleanings, haircuts, manicures and pedicures, state-issued identification cards, and homeless court. PHC8 also hosted Clean Slate court proceedings and services. Clean Slate provides remedies to persons with criminal records that pose barriers to housing, employment, etc.

F. Other Actions

F.1 Actions to address obstacles to meeting underserved needs

The County's efforts to increase and maintain the supply of affordable housing and to meet the objectives identified in the Consolidated Plan described in the general narrative sections of this report are all directed to meeting underserved needs. In addition, the criteria for target population and alleviation of affordable housing needs employed in the allocation of HOME and CDBG funds for housing establish a priority for projects which reserve a portion of the units for extremely-low income and/or special needs populations.

F.2 Actions to address Limited-English Proficient (LEP) populations

According to the 2010 Census and American Community Survey, 33 percent of Contra Costa County's population speaks a language other than English. The two main non-English languages spoken in Contra Costa County are Spanish (17.5 percent) and Asian and Pacific Island languages (9.3 percent). Approximately 70 percent of Contra Costa County's Hispanic population speaks Spanish, and 45 percent of Hispanics who speak Spanish, speak English "less than very well". In addition, 59 percent of Contra Costa County's Asian and Pacific Islander populations speak a language other than English, and according to a sampling, 23 percent of the Asian and Pacific Islander population speaks English "less than very well". Therefore, Contra Costa County does have a significant limited-English proficient (LEP) population, both Asian and Hispanic.

In order to address and to better serve Contra Costa LEP residents, the County has developed a Language Assistance Plan (LAP) in accordance with the U.S. Department of Housing and Urban Development's (HUD) Final Guidance (Federal Register/Vol. 72, No. 13, January 22, 2007) and Executive Order 13166. The goals of the LAP are: 1) to provide meaningful access for the County's LEP residents through the provision of free language assistance for the CDBG, HOME, ESG, and HOPWA programs; 2) to provide an appropriate means to ensure the involvement of LEP residents that are most likely to be affected by the programs and to ensure the continuity of their involvement; 3) to ensure that the County's CDBG, HOME, ESG, and HOPWA staff will assist the County's LEP population in obtaining the necessary services and/or assistance requested or needed.

The County has been proactive on many fronts in implementing the LAP. The County's mailing list of interested parties, which is used to announce funding availability and general participation in the CDBG,

HOME, ESG and HOPWA programs, contains over 600 agencies including many agencies that target services to specific populations (minorities, disabled, and the limited-English speaking populations). The County staff has access to timely translation services, including having a Spanish-speaking CDBG person currently on staff, when limited-English speaking citizens call to inquire about the various programs available. The County has contracted with an outside consultant to provide State and court certified interpreters that assist in verbal communication and to also provide translation of vital documents. In addition, the County's website is accessible in various languages by utilizing the "Google translate" tool. County newspaper notices for public meetings and document review include a phone number for persons with special needs to call. The County will make reasonable accommodations for persons with special needs to attend meetings, address the Board of Supervisors, and make comments on documents. Persons with speech or hearing disabilities may call the CDBG program offices through a 711 relay operator.

The County continually monitors subrecipients to ensure funded agencies are doing whatever possible to provide limited-English speaking residents full and complete access to services. Many agencies report that they have translated their brochures into different languages, have multi-lingual staff, and target outreach activities to LEP populations. For example, Bay Area Legal Aid has an information page on its website for non-English speakers. <http://www.baylegal.org/No-English/> 211 Contra Costa (part of the national, toll-free, three-digit phone number to call for information about local health and social services) has Spanish language resource guides on its home page. <http://65.166.193.134/IFTWSQL4/cccc/public.aspx>

The County will continue to request subrecipients provide information on how they are reaching out to all persons including limited-English citizens.

F.3 Actions to foster/maintain affordable housing

See affordable housing discussions in Section IV.

F.4 Actions to eliminate barriers to affordable housing

Market factors such as the high cost of land suitable for residential development and high construction costs continue to be significant constraints on the development of affordable housing in Contra Costa. In addition, the elimination of redevelopment agencies have made it much more difficult to obtain funding for affordable housing development. The County attempts to counter these factors with strategies and subsidy programs to develop affordable rental housing and homeownership opportunities (See Section IV).

Actions undertaken by the County to decrease development costs and eliminate barriers to affordable housing include the following:

- County staff supported the Heritage Point, North Richmond application for the first round of State Affordable Housing and Sustainable Communities funds. Unfortunately this project was not awarded funds. However, the El Cerrito Mixed-Use and Riveira, Walnut Creek projects were awarded funds.
- The County adopted an Inclusionary Housing Ordinance which requires developers to provide 15 percent of the units as affordable to moderate, low, or very-low income households. However, 2009 court decisions have made the rental housing component of the Ordinance unenforceable.

- The County has a density bonus ordinance to permit increased densities for housing developments that include units affordable to low-income households.

F.5 Actions to overcome gaps in the institutional structure

DCD continues to provide technical assistance to non-profits to build capacity and assist in the development of programs and projects designed to meet the County's Consolidated Plan objectives through individual meetings and workshops held during the program year. Further, the Department works with non-profits to achieve designation as a Community Housing Development Organization (CHDO) and/or Community Based Development Organization (CBDO) for purposes of participating in the Consortium HOME and County CDBG affordable housing programs.

F.6 Actions to improve public housing and resident initiatives

For the fiscal year that ended March 31, 2015 the Section 8 Housing Choice Voucher (HCV) program received \$76.3 million in funding. The Housing Authority's (HACCC) aim is to administer the HCV program to permit the widest range of housing opportunities for low-income Contra Costa County residents. To help meet this goal, HACCC has sub-programs that target special populations and neighborhood revitalization efforts. A description of these programs is provided below:

HCV Program - HACCC's HCV program utilized available HUD funding to house a monthly average of 6184 families during the fiscal year that ended March 31, 2015. If additional funding becomes available, the Housing Authority can provide up to 6,831 vouchers.

The Family Self Sufficiency Program (FSS) - FSS combines housing assistance and social services to enable families to pursue employment, job training, or other education that will lead them toward self-sufficiency. The ultimate goal is to free families from public assistance after five years. A Family Self Sufficiency Action Plan has also been adopted by the agency and forwarded to HUD. HACCC currently has 106 families participating in the FSS program, of which, 53 families, or 50%, have escrow balances that can be used toward down payment on a house, continuing education, or other goal oriented uses.

Shelter Plus Care (SPC) - This program provides rental assistance and a full range of services to homeless individuals who are mentally ill, have chronic alcohol or drug abuse problems, or have AIDS or related disorders. A broad base of community service providers and the County Health Department supports the program. HACCC's Shelter Plus Care program currently provides housing assistance to 330 individuals/families. There are 43 households within the Shelter Plus Care program that are also participating in the Project Coming Home program. Project Coming Home is a unique grant that provides specific housing and services to indigent/homeless singles.

Moderate Rehabilitation Program (MR8) - This program provides project-based subsidized rental assistance to eligible tenants for a time period negotiated by the property owners in a contract with HUD and administered by HACCC. There are currently 27 units leased with 28 units under contract.

Project Based Vouchers (PBV) - There are 380 occupied PBV units in 10 buildings located throughout the County. HACCC entered into PBV contracts for two of the buildings this calendar year after construction was completed. One of these projects was located in Martinez and the other in Lafayette. Another PBV

project located in Orinda is slated to complete construction later this year. It will serve senior & disabled clients.

Capital Fund Program - Public Housing Modernization - During the fiscal year that ended March 31, 2015, HACCC expended approximately \$1.7 million for modernization improvements to its public housing developments. The most significant projects included the following:

- Ongoing work in a multi-year interior modernization of the Alhambra Terrace family development in Martinez.
- The first phase of a multi-year interior modernization of the Bridgemont family development in Antioch.
- Interior modernizations of units at El Pueblo in Pittsburg.
- ADA improvements at Alhambra Terrace in Martinez.
- Rehabilitation of badly damaged turnover units at properties throughout Contra Costa County.

Anti Drug/Youth Programs

HACCC operates two youth programs at Las Deltas in North Richmond; Project Pride Program and the Young Adult Empowerment Center. The Project Pride Program provides youth oriented activities, which includes arts and crafts, homework club, movie time, spelling bees, and cooking classes. Project Pride is also instrumental with outside field trips to museums, baseball games and Discovery Kingdom. Project Pride usually enrolls approximately 50 children in their summer programs, most of whom continue in the after-school program.

The Young Adult Empowerment Center offers free job and life skills classes for young adults ages 16 and up. These classes include resume writing, computer skills and career planning. The Young Adult Empowerment Center recently began working with the Omega Boys and Girls Club of San Francisco to provide workshops for young fathers.

The HACCC also partners with the YMCA at the Bayo Vista Development in Rodeo and Doris Manly Learning center at the El Pueblo Development in Pittsburg. Both centers provide youth oriented activities, which include homework programs, computer services and outside field trips to library, museums, and baseball games. During the summer both agencies enroll approximately 40 youth in their programs.

HACCC continues to fund and support additional community-oriented policing programs at its properties in North Richmond, Rodeo, and Pittsburg. During the fiscal year that ended March 31, 2015, HACCC expended \$632,815 on these programs.

F.7 Actions to alleviate lead-based paint hazards

The County has incorporated the requirements of the lead-based paint regulations (24 CFR Part 35) into its affected programs, including the homeowner and rental rehabilitation programs. These programs developed implementation plans that include procedures to test for lead-based paint, determine a scope of work to address lead-based paint hazards, ensure qualified contractors are performing the required work, and obtain a clearance examination at project completion.

The County's Neighborhood Preservation Program homeowner rehabilitation program provides grants to homeowners who have received rehabilitation loans and need to abate lead hazards.

F.8 Actions to ensure compliance with program and planning requirements

F.8.a Housing Projects and Programs

The Contra Costa County Department of Conservation and Development is responsible for administration of the following federally funded programs to provide assistance for the development of affordable housing and homeless shelters; CDBG, HOME, NSP, ESG, and HOPWA. All projects funded through these programs are monitored by the Department to ensure that the projects achieve their approved objectives in a manner consistent with federal regulations, the Consolidated Plan, and other local planning requirements. The Department's monitoring process consists of the following:

- Prior to funding consideration, all project applications are reviewed to ensure consistency with federal regulations, the Consolidated Plan, the Analysis of Impediments to Fair Housing Choice and County Housing Element.
- All project sponsors receiving an allocation of CDBG, NSP, or ESG funds are required to enter into Project Agreements which specify project objectives, scope of work, eligible activities, performance targets, project budget, implementation time frame, federal regulatory requirements⁵, and monitoring and reporting requirements. In addition, all housing development funds are provided to projects in the form of a loan or grant, with applicable federal regulations, and affordability and use restrictions incorporated into the loan documents and effective throughout the term of the loan.
- Following approval of FY 2014/15 funding allocations for CDBG and HOME, meetings were held with all project sponsors to discuss County Affordable Housing Program and federal regulatory requirements. An overview of the contracting and project implementation process was followed by presentations on CDBG/HOME Project Agreements, regulatory requirements, project loan documents, federal environmental review procedures, documentation and procedures required for payment, and monitoring and reporting requirements.
- During project implementation, project sponsors are required to submit periodic progress reports detailing project progress, significant development problems, project funding and expenditures, outreach to women and minority-owned businesses, and affirmative marketing activity. In addition, projects are monitored as applicable for compliance with federal accounting and procurement standards, labor and construction standards, relocation, affirmative marketing, equal opportunity, fair housing, and other federal requirements.

⁵Includes compliance with federal regulations concerning: equal opportunity and fair housing; affirmative marketing; displacement, relocation and acquisition; Davis-Bacon labor standards; Section 3 and vicinity hiring; lead-based paint; conflict of interest; federal debarment and suspension; environmental requirements; historic preservation; and flood insurance.

- Following project completion, project sponsors are required to submit Project Completion Reports identifying: project accomplishments; population served, including data on household characteristics (e.g., income, ethnicity); rent and/or housing affordability; and total sources and uses of funds.
- Affordable housing development projects (e.g., acquisition, rehabilitation, new construction) must also submit annual compliance reports designed to ensure continued compliance with federal regulations, affordability and use restrictions, and other requirements as specified in the project loan documents. In addition, all HOME-assisted projects are subject to periodic onsite inspections to ensure continued compliance with local housing code.

F.8.b Non-Housing Community Development Projects and Programs

Monitoring procedures for non-housing projects and programs are similar to those described for housing projects and programs:

- Prior to funding consideration, all applications are reviewed for consistency with federal regulations, the Consolidated Plan, and Board of Supervisor policy.
- Following funding approval, project sponsors are required to enter into Project Agreements which specify: project objectives; scope of work; applicable time lines and performance targets; project budget; federal, state, and local regulatory requirements; and monitoring and reporting requirements.
- During project implementation, project sponsors are required to submit quarterly progress reports detailing: project progress toward objectives; problems encountered and their resolution; and quantitative participation data by ethnicity, income, and household status. Projects are also subject to onsite and/or desk performance and financial audit reviews on a selective basis. Priority is given to high-risk programs for onsite performance and/or audit review.
- Quarterly reports and requests for payments are reviewed for both compliance with the Project Agreement and proper documentation for eligible activities. Project sponsors are advised of any procedural errors and or ineligible activities.
- Upon project completion, project sponsors are required to submit Year-end or Project Completion Reports identifying program/project accomplishments, quantitative participation data or population served, and total sources and uses of funds.

F.9 Actions to reduce the number of people living in poverty

The movement of people above the poverty line involves a variety of policies and programs that extend beyond providing opportunities for employment at a living wage. Access to education, transportation, childcare, and housing are key components that can assist persons to secure and retain economically self-sustaining employment. The County employs a variety of strategies to help alleviate poverty in the Urban

County, including efforts to stimulate economic growth and job opportunities, and to provide Urban County residents with the skills and abilities required to take advantage of those opportunities.

In FY 2014/15, the CDBG program provided funds for two job training and placement programs:

- Opportunity Junction's Opportunities for Technology Information Careers Program (14-33-ED) provided training and placement for persons to establish careers in computer technology.
- The Stride Center's Tech Job Training/Placement Program (14-34-ED) provided job training and job placement services to establish careers in the information technology industry.

Cal WORKS, California's response to the Workforce Development Act of 1998, has altered the structure and function of the public social service delivery system. The new system emphasizes outcomes, the value of work and the duty of government to support its citizens in their self-sufficiency efforts.

The County's implementation of Cal WORKS has been constructed with the purpose of going beyond "welfare reform" to building models that integrate services, leverage funding and share expertise across agencies. Contra Costa County, in partnership with the Workforce Investment Boards from the City of Richmond, Alameda, and Oakland has formed a collaborative known as "Eastbay Works". Presently there are 14 East Bay One Stop and Career Centers, more commonly known as One Stops; six of which specifically serve the needs of Contra Costa residents. One Stops are located in Richmond, Hilltop Mall (also in the City of Richmond), Concord, Antioch, Brentwood and San Pablo. In addition, Bay Point Works Community Career Center provides employment services to residents of Bay Point.

The One Stops offer services to the "universal" population that includes targeted services for those who are presently receiving Temporary Assistance for Needy Families (TANF) funds. In addition to Job Training Partnership Act funds, the Workforce Investment Board receive Welfare to Work formula grants and have secured competitive grants to provide enhanced services and expanded training options for this population. Services are available for eligible individuals and include in-depth assessment, team case management, training, job placement assistance, and development of skills leading to higher wage earnings.

Opportunities for microenterprise and small business development are facilitated through the West Contra Costa Business Development Center's (WCC-BDC) Strengthening Neighborhood Economies Program (14-35-ED). During FY 2014/15, the WCC-BDC continued its capital connection initiative called "Contra Costa Capital Access Network" (Contra Costa CAN) to help microenterprises/small businesses obtain a business loan. The overall purpose of this initiative falls in line with the purpose of the Strengthening Neighborhood Economies Program to stimulate local economic growth by providing technical assistance that will connect them with the opportunity of obtaining a business loan from at least one of the various business loan providers in Contra Costa County. The WCC-BDC helped secure \$78,000 for 7 businesses to start or expand their business.

Childcare training programs, such as the Road to Success Program (14-32-ED), assist low-income persons in establishing themselves as in-home childcare providers to achieve economic self-sufficiency through self-employment.

A number of affordable housing programs funded by the County provide housing opportunities for extremely-low and very-low income households. For a more complete discussion of housing opportunities available, see Section IV.

Appendix E provides a list of all economic development projects funded in FY 2014/15.

G. Leveraging

See Table C.

H. Summary of Citizen Comments

Pursuant to the County's Citizen Participation Plan, on September 4, 2015 a notice announcing the availability of the draft CAPER was published in the Contra Costa Times East, Central and West editions. In addition, the draft CAPER was available online at <http://www.cccounty.us/4823/Community-Development-Block-Grant>. The draft CAPER was considered by the Board of Supervisor at their regularly scheduled meeting on September 22, 2015 when they unanimously approved the submittal of the County's FY 2014/15 CAPER to HUD. There were no comments from the public.

I. Self-Evaluation: Progress in Meeting Consolidated Plan Goals

In May 2010 the Contra Costa Consortium adopted a new Five-Year (FY 2010 – 2015) Consolidated Plan and a new Analysis of Impediments to Fair Housing Choice. Both of these documents are available on the County's website at <http://www.cccounty.us/4823/Community-Development-Block-Grant>.

As demonstrated by the preceding discussion, the County has made significant progress in meeting the goals and objectives contained in the Five-Year Consolidated Plan. During FY 2014/15, CDBG-funded public service projects provided a wide range of social services and housing to nearly 24,500 Urban County residents and households, including the homeless, mentally and physically disabled, seniors, victims of domestic violence, and other special needs populations. Economic development programs offered training and placement services for 25 lower income persons and assisted with the creation and expansion of 189 microenterprises/small businesses. Infrastructure/Public Facilities projects created a variety of infrastructure and accessibility improvements. The County funded 156 units of affordable housing in the past year and completed 53 units that were previously funded. The County was awarded \$8.4 million in mortgage revenue bond proceeds for its Mortgage Credit Certificate program in 2014. Forty MCC's were issued in the past year, including 26 to low-income households.

The County has continued to focus on outcome-based performance measurements as a means to ensure that needed services are delivered and that the results can be easily quantified. Table G shows the County exceeded its annual goals in every plan objective except: Youth (CD-3), Fair Housing (CD-5), and Economic Development (CD-6). The County hopes to make up these relatively minor shortfalls in these two categories during FY 2014/15.

Table I, shows the County status in meeting its five-year housing goals. (Projects are summarized in Appendix E.) The County completed 75 percent of its rental housing goal (622 units out of 825, and has an additional ____ units which are funded, but not yet in construction. It exceeded its homeownership goal (339 units completed with a goal of 290 units). The County reached 85 percent of its goal of total affordable units. Both the Berrellesa Palms and Belle Terre (Lafayette Senior) projects include units that are reserved for elderly with a chronic health condition and who are homeless or at risk of homelessness. They

contributed to the total non-homeless special needs units. The County reached 84 percent of its non-homeless special needs goals (325 out of 385).

While the County's accomplishments are significant, problems persist in timely implementation of some programs and projects. (See the discussion of slow moving projects in Section VI.G above.) Affordable housing projects often experience significant delays between the initial allocation of County funds and completion. These delays relate to the complexity of housing finance and the ability of project sponsors to obtain the remaining resources required to fully-fund a project. In order to compete effectively for major funding sources such as nine-percent LIHTCs, tax-exempt bond funds, and State housing programs, project sponsors must have firm funding commitments from local jurisdictions. The elimination of redevelopment and the reduction of HOME grants significantly hampered the ability to finance affordable housing construction. The high demand for affordable housing resources of all types combined with the limited supply of funds has resulted in an extremely competitive financing environment in Contra Costa County and the Bay Area, potentially causing lengthy delays in the completion of affordable housing projects. Delays in project implementation in the current construction environment also result in increased project costs, requiring supplemental funding from the County and other sources of financing.

As discussed in preceding years, there is ongoing concern about the long-term capacity of the non-profit community providing services in Contra Costa County, particularly during these severe budgetary times, and their ability to comply with often complex federal regulations and requirements in implementing federally funded programs. Contributing factors include the relatively low pay scale associated with non-profits leading to high staff turnover and a severe lack of resources for ongoing training and professional development. In an effort to address this issue, the County continued to provide technical assistance to non-profit organizations receiving County CDBG and HOME funds, including workshops on contracting, budget and payment requests, federal regulations and other County requirements.

Appendix A — CDBG Financial Summary Report

Attachments A-1, A-2, and A-3

Attachment A-1

Office of Community Planning and Development
U.S. Department of Housing and Urban Development
Integrated Disbursement and Information System
PR26 - CDBG Financial Summary Report
Program Year 2014
CONTRA COSTA COUNTY , CA

PART I: SUMMARY OF CDBG RESOURCES

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	2,704,284.00
02 ENTITLEMENT GRANT	2,976,594.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	1,045,349.00
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	0.00
06 RETURNS	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	6,726,227.00

PART II: SUMMARY OF CDBG EXPENDITURES

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	2,366,195.00
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	2,366,195.00
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	804,323.00
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	0.00
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	3,170,518.00
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	3,555,709.00

PART III: LOW/MOD BENEFIT THIS REPORTING PERIOD

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	350,166.17
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	2,016,028.83
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	2,366,195.00
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	100.00%

LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	0.00
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	0.00
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	0.00%

PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	542,716.00
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	542,716.00
32 ENTITLEMENT GRANT	2,976,594.00
33 PRIOR YEAR PROGRAM INCOME	1,002,942.17
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	3,979,536.17
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	13.64%

PART V: PLANNING AND ADMINISTRATION (PA) CAP

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	804,323.00
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 + LINE 40)	804,323.00
42 ENTITLEMENT GRANT	2,976,594.00
43 CURRENT YEAR PROGRAM INCOME	1,045,349.00
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	4,021,943.00
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	20.00%

Attachment A - 2					
CDBG Financial Summary					
Contra Costa County					
Department of Conservation and Development					
Community Development Block Grant (CDBG)					
Program Year 2014/2015					
BALANCE ON FINANCIAL SUMMARY				\$	3,555,709
ENTITLEMENT BALANCE (HUD REPORT DATED 8/28)				\$	4,003,314
14-15 EXPENSES PAID FROM 15-16 PROGRAM INCOME				\$	(457,606)
RL BALANCE TRANSFERRED TO 15-16				\$	10,000
				\$	3,555,709
UNRECONCILED DIFFERENCE				\$	0

Attachment A - 3
CDBG Financial Summary

Contra Costa County
Department of Conservation and Development
Community Development Block Grant
Program Year 2014/2015
Program/Revolving Loan Income Realized (PI/RL)

<u>Grantee Funds:</u>	<u>Income</u>
EMERSON ARMS	78,886.00
GRAYSON CREEK	23,806.00
CHIMEZIE	24,557.00
COGGINS SQUARE	1,614.00
BRENTWOOD SR.	76,777.00
PINOLE GROVE	86,399.00
1827 MASON ST.	19,150.00
CASA ADOBE	10,748.00
GIANT DEVELOPMENT	3,536.00
<u>BRENTWOOD SR. COM.</u>	<u>68,299.00</u>
Total	393,772.00
 SMALL BUSINESS	 10,856.00
<u>NPP RL</u>	<u>640,721.00</u>
Total	651,577.00
 GRAND TOTAL	 1,045,349.00

Appendix B — ESG Financial Summary

Contra Costa County						
Department of Conservation and Development						
Emergency Solutions Grant Program						
Program Year 2014/15						
			Committed			
			Funds	Balance at		Balance at
Activity		HUD	FY 2014/15	FY 2013/14	Expenditures	FY 2014/15
<u>Name</u>	<u>Subrecipient</u>	<u>Activity</u>	<u>Action Plan</u>	<u>Year End</u>	<u>FY 2014/15</u>	<u>Year End</u>
ESG 13 Shelter	CC Health Services/GRIP/Stand/Trinity	1558	\$0.00	\$0.44		\$0.44
ESG 12 Rapid Rehousing	Shelter, Inc.	1497	\$0.00	\$0.12		\$0.12
ESG 14 Shelter	CC Health Services/GRIP/Stand/Trinity	1620	\$145,025.00		\$141,529.64	\$3,495.36
		1621	\$26,947.00		\$26,947.00	\$0.00
		1622	\$46,920.00		\$46,188.53	\$731.47
	Total Projects		\$218,892.00	\$0.56	\$214,665.17	\$4,227.39
Admin FY 2013/14 Allocation		1561	\$0.00	\$80.14	\$80.14	\$0.00
Admin FY 2014/15 Allocation		1619	\$17,747.00	\$0.00	\$13,012.23	\$4,734.77
	Total Admin		\$17,747.00	\$80.14	\$13,092.37	\$4,734.77
Grand Total (admin/projects)			\$236,639.00	\$80.70	\$227,757.54	\$8,962.16

Appendix B.1 — ESG IDIS Report

(On next page)

CR-60 - ESG 91.520(g) (ESG Recipients only)

**ESG Supplement to the CAPER in *e-snaps*
For Paperwork Reduction Act**

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	CONTRA COSTA COUNTY
Organizational DUNS Number	139441955
EIN/TIN Number	946000509
Identify the Field Office	SAN FRANCISCO
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Richmond/Contra Costa County CoC

ESG Contact Name

Prefix
First Name
Middle Name
Last Name
Suffix
Title

ESG Contact Address

Street Address 1
Street Address 2
City
State
ZIP Code -
Phone Number
Extension
Fax Number
Email Address

ESG Secondary Contact

Prefix
First Name
Last Name
Suffix
Title
Phone Number
Extension
Email Address

2. Reporting Period—All Recipients Complete

Program Year Start Date	07/01/2014
Program Year End Date	06/30/2015

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: GREATER RICHMOND INTERFAITH PROGRAMS

City: RICHMOND

State: CA

Zip Code: ,

DUNS Number:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 10000

Subrecipient or Contractor Name: Contra Costa County Health Services

City: Martinez

State: CA

Zip Code: ,

DUNS Number: 071687883

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 10000

Subrecipient or Contractor Name: Contra Costa Health Services Homeless Program

City: Martinez

State: CA

Zip Code: 94553, 4675

DUNS Number: 071687883

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 84025

Subrecipient or Contractor Name: SHELTER, Inc.

City: Martinez

State: CA

Zip Code: 94553, 4219

DUNS Number: 625691985

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 73867

Subrecipient or Contractor Name: STAND! For Families Free of Violence
City: Concord
State: CA
Zip Code: 94520, 7979
DUNS Number: 603066127
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 31000

Subrecipient or Contractor Name: St. Paul's Episcopal Church - Trinity Center
City: Walnut Creek
State: CA
Zip Code: 94596, 4037
DUNS Number:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 10000

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	13
Children	8
Don't Know/Refused/Other	0
Missing Information	0
Total	21

Table 1 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	32
Children	25
Don't Know/Refused/Other	0
Missing Information	0
Total	57

Table 2 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	445
Children	88
Don't Know/Refused/Other	0
Missing Information	0
Total	533

Table 3 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 4 – Household Information for Street Outreach**4e. Totals for all Persons Served with ESG**

Number of Persons in Households	Total
Adults	490
Children	121
Don't Know/Refused/Other	0
Missing Information	0
Total	611

Table 5 – Household Information for Persons Served with ESG**5. Gender—Complete for All Activities**

	Total
Male	310
Female	301
Transgender	0
Don't Know/Refused/Other	0
Missing Information	0
Total	611

Table 6 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	122
18-24	61
25 and over	428
Don't Know/Refused/Other	0
Missing Information	0
Total	611

Table 7 – Age Information**7. Special Populations Served—Complete for All Activities****Number of Persons in Households**

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	25	1	1	23
Victims of Domestic Violence	180	1	8	171
Elderly	45	0	4	41
HIV/AIDS	5	0	0	5
Chronically Homeless	126	0	8	118
Persons with Disabilities:				
Severely Mentally Ill	145	0	4	141
Chronic Substance Abuse	197	10	3	184
Other Disability	248	1	11	236
Total (Unduplicated if possible)	971	13	39	919

Table 8 – Special Population Served**7. Special Populations Served**

Total special populations in Section 7 is not unduplicated as many clients report more than one subpopulation or disability.

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

8. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	0
Total Number of bed-nights provided	0
Capacity Utilization	0.00%

Table 9 – Shelter Capacity

9. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

CR-75 – Expenditures**11. Expenditures****11a. ESG Expenditures for Homelessness Prevention**

	Dollar Amount of Expenditures in Program Year		
	2012	2013	2014
Expenditures for Rental Assistance			
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance			
Expenditures for Housing Relocation & Stabilization Services - Services			
Expenditures for Homeless Prevention under Emergency Shelter Grants Program			
Subtotal Homelessness Prevention			

Table 10 – ESG Expenditures for Homelessness Prevention**11b. ESG Expenditures for Rapid Re-Housing**

	Dollar Amount of Expenditures in Program Year		
	2012	2013	2014
Expenditures for Rental Assistance			
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance			
Expenditures for Housing Relocation & Stabilization Services - Services			
Expenditures for Homeless Assistance under Emergency Shelter Grants Program			
Subtotal Rapid Re-Housing			

Table 11 – ESG Expenditures for Rapid Re-Housing**11c. ESG Expenditures for Emergency Shelter**

	Dollar Amount of Expenditures in Program Year		
	2012	2013	2014
Essential Services			
Operations			
Renovation			
Major Rehab			
Conversion			
Subtotal			

Table 12 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2012	2013	2014
Street Outreach			
HMIS			
Administration			

Table 13 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2012	2013	2014

Table 14 - Total ESG Funds Expended

11f. Match Source

	2012	2013	2014
Other Non-ESG HUD Funds			
Other Federal Funds			
State Government			
Local Government			
Private Funds			
Other			
Fees			
Program Income			
Total Match Amount			

Table 15 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2012	2013	2014

Table 16 - Total Amount of Funds Expended on ESG Activities

Appendix C — Additional Contra Costa Consortium and Urban County HOME Program Information

This appendix contains the following information and attachments:

Attachment C-1 Annual Performance Report – HOME Program (HUD-40107, Part I-V)
(Program Income, MBE/WBE Participation, and Relocation: See Section III.E Displacement Narrative.
No individuals or business were displaced last year.)
Attachment C-2 HOME Match Report (HUD-40107-A)

HOME Match Report (HUD-40107-A)

Based on guidance provided in HUD Information Bulletin CPD-98-29, the Urban County and Consortium reports cover the County's program year (July through June) rather than the federal fiscal year (October through September).

Annual Performance Report – HOME

Annual Performance Report HOME Program

**U.S. Department of Housing
and Urban Development**
Office of Community Planning
and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/M) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31. Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410	This report is for period (mm/dd/yyyy) Starting 7/1/2014	Ending 6/30/2015	Date Submitted (mm/dd/yyyy) 9/25/2015
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Part I Participant Identification

1. Participant Number M-14-DC-06-0231	2. Participant Name Contra Costa County Consortium		
3. Name of Person completing this report Kara Douglas		4. Phone Number (Include Area Code) 925-674-7880	
5. Address 30 Muir Road	6. City Martinez	7. State CA	8. Zip Code 94553

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period	2. Amount received during Reporting Period	3. Total amount expended during Reporting Period	4. Amount expended for Tenant-Based Rental Assistance	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5
\$0	\$190,275	\$190,275	\$0	\$0

Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
A. Contracts					
1. Number	4				4
2. Dollar Amount	\$31,923,475				\$31,923,475
B. Sub-Contracts					
1. Number	139	1	1		118
2. Dollar Amount	\$26,104,029	\$127,047	\$275,990		\$5,860,551
					\$19,840,441
	a. Total	b. Women Business Enterprises (WBE)	c. Male		
C. Contracts					
1. Number	4		4		
2. Dollar Amount	\$31,923,475		\$31,923,475		
D. Sub-Contracts					
1. Number	139	23	116		
2. Dollar Amounts	\$26,104,029	\$5,515,795	\$20,588,234		

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number	0					
2. Dollar Amount						

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost				
1. Parcels Acquired	0					
2. Businesses Displaced						
3. Nonprofit Organizations Displaced						
4. Households Temporarily Relocated, not Displaced						
	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
Households Displaced		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
5. Households Displaced - Number						
6. Households Displaced - Cost						

HOME Match Report

OMB Approval No. 2506-0171
(exp. 12/31/2012)

Contra Costa County FY 2014/15 CAPER

Appendix D — Affirmative Marketing Procedures

The objective of affirmative marketing within the context of the HOME, CDBG, NSP, HOPWA, and ESG Programs is to promote equal access to housing by all groups within the relevant market area. In order to accomplish this objective in accordance with federal regulations the County has adopted the following policies and measures:

1. Methods for informing the public, owners, and potential tenants about the HOME, CDBG, NSP, HOPWA, and ESG Programs, federal fair housing laws and the County's affirmative marketing policy. Information concerning the availability of funding, housing opportunities, and fair housing and affirmative marketing requirements will be distributed to: the general public; all jurisdictions and housing agencies located in the County; nonprofit and for-profit owners and developers of affordable housing; and minority and public interest groups representing the County's disadvantaged populations. Methods of distribution include:
 - a. Posting of notices of funds available on the County website at <http://www.co.contra-costa.ca.us/4823/Community-Development-Block-Grant>.
 - b. Posting of informational material for developers describing the HOME, CDBG, NSP, HOPWA, and ESG Programs at <http://www.co.contra-costa.ca.us/4881/Developing-Affordable-Housing>.
 - c. Posting of informational material for the general public describing the HOME, CDBG, NSP, HOPWA, and ESG Programs at <http://www.co.contra-costa.ca.us/4807/Affordable-Housing>.
 - d. Targeted marketing to representatives of minority and other disadvantaged groups in Contra Costa County.

The County will maintain records concerning the above activities, including copies of press releases, affirmative marketing materials distributed, and workshops and meetings held with the above groups and organizations.

2. Requirements and practices owners of assisted housing must adhere to in order to carry out the County's affirmative marketing procedures and requirements. The County requires owners of federally assisted housing to comply with federal fair housing law and employ the following affirmative marketing activities:
 - a. Advertise the availability of assisted units on an equal opportunity basis in local newspapers and community newsletters, such as those published by minority groups, neighborhood churches, public service organizations, etc.; and on bulletin boards in community gathering spots (e.g. community center, church, supermarket, laundromat, fair housing/housing counseling agency, and employment office).
 - b. Contact appropriate neighborhood and community organizations and representatives of minority and other disadvantaged groups to solicit tenants and provide information about the availability of the assisted units on an equal opportunity basis.

- c. Display the Equal Housing Opportunity logo at the project location and in all advertisements pertaining to assisted units.
3. Special outreach procedures to be used by owners of assisted units to solicit applications from hard-to-reach populations who may not otherwise apply for residence in these units.
 - a. In conducting the advertising and outreach activities described in 2.a and b above, owners of assisted units will be required to solicit the cooperation of appropriate organizations in the relevant market area in identifying hard-to-reach populations and informing prospective tenants from these groups of the availability of the units on an equal opportunity basis. Organizations which may be particularly effective in this effort include community churches, social service organizations, housing and homeless service providers, and minority and senior citizen groups. As appropriate, owners may request organizations to assist in distributing marketing information concerning the availability of rental units through newsletters, group meetings, and organization and community bulletin boards.
 - b. Advertisements, workshops, meetings and notices concerning the assisted-units emphasize that the units are available on an equal opportunity basis.
4. Record keeping requirements. The County requires recipients of federal funds to keep records concerning affirmative marketing activities. These records must include copies of advertisements placed in newspapers/newsletters and on local bulletin boards, as well as lists of community groups and organizations contacted to solicit tenants. Recipients will also be required to keep information on relevant tenant characteristics, including ethnic composition, income, household size, and age and sex of head of household.
5. Assessment of affirmative marketing activities. The County requires the information compiled in 4 above to be reported annually as a component of the project monitoring process. Affirmative marketing activities will be evaluated to ensure that an adequate level of activity is maintained. Tenant characteristics will be compared with population characteristics in the market area as a further indicator of the effectiveness of the affirmative marketing program and the absence of discrimination. Where discrepancies exist, the County works with the project owner to determine whether additional affirmative marketing measures are required.

Requirements concerning affirmative marketing and fair housing compliance are incorporated in contract and loan agreements for all assisted projects. The County documents complaints received concerning a project recipient's failure to abide by all fair housing and equal opportunity laws. All such complaints will be carefully evaluated to determine their validity. In the event that a violation is identified, the County will inform the recipient and take appropriate action to ensure that the situation is corrected.

Appendix E – Completed and Ongoing Projects by Funding Category

1. Public service
2. Economic development
3. IPF
4. Housing projects
5. ESG

**CONTRA COSTA COUNTY
FY 2014/15 CAPER
Public Service Projects**

Project ID	Sponsor	Project Name/ Location	Project Objective/Description	Project Status	CDBG Funds Budgeted	FY 2014/15 Expenses	Total Served	Race/Ethnicity (bottom number represents those who identify Hispanic as ethnicity in addition to selecting a racial category)											Income			
								White Hisp.	Af.Am Hisp.	Asian Hisp.	Am.Ind/ Alskn Native Hisp.	Native Haw'n/ Pacifc Is. Hisp.	Am. Ind./W hite Hisp.	Asian/ White Hisp.	Af.Am/ White Hisp.	Am.Ind/ Af.Am/ Hisp.	Other Hisp.	30%	50%	80%	% of total	
Objective CD - 1 General Public Services: Ensure that opportunities and services are provided to improve the quality of life and independence for lower-income persons, and ensure access to programs that promote prevention and early intervention related to a variety of social concerns such as substance abuse, hunger, and other issues.																						
14-01-PS	Bay Area Legal Aid 1025 MacDonald Ave. Richmond, CA 94801 (510) 903-2612	Landlord/Tenant Counseling and Eviction Defense Services Urban County	The purpose of this program is to provide landlord/tenant counseling services and/or legal services to Contra Costa County tenants and landlords on their rights and responsibilities under federal, state and local housing laws. Primary Performance Measurement: Provide comprehensive landlord/tenant counseling and legal services to 395 lower income residents.	Complete.	\$55,000	\$55,000.00	465	147	207	23	65 42	0	0	0	0	0	23 4	357	97	11	100%	
14-02-PS	CCC Service Integration Program 3105 Willow Pass Road Bay Point, CA 94565 (925) 252-2309	Service Integration Bay Point Works Community Career Center - Bay Point Census Tracts: 3141.04, 3141.03, 3142	The purpose of this program is to help individuals gain employment or move up the career ladder by providing employment and job training resources. As part of the new SparkPoint Center in Bay Point, the Career Center also is instrumental in connecting individuals to the comprehensive set of financial services provided by our multi-partner organizations to help low income families reach financial self sufficiency. Primary Performance Measurement: 140 clients will be provided one-on-one employment assistance and	Complete.	\$22,000	\$21,999.90	144	This program serves an area that meets the criteria for an "area benefit" activity.														
14-03-PS	Community Housing Development Corporation of North Richmond 1535-A Third Street Richmond, CA 94801 (510) 412-9290	West Contra Costa County Independent Development Account - CC MATCH Program West County	The purpose of this program is to assist low-income individuals in building assets through financial education and matching funds from community resources. Upon completion of financial education training, qualified participants open an Individual Development Account (IDA). Account holders save and receive a match of 2:1 to 3:1 for investment in their first home, education or job training, or a business. Primary Performance Measurement: Open and activate an IDA for 140 families and assist	Complete.	\$5,000	\$5,000.00	8	1	4 1	0	0	0	0	0	0	0	3	1	3	4	100%	
14-04-PS	Community Housing Development Corporation of North Richmond 1535-A Third Street Richmond, CA 94801 (510) 412-9291	Home Equity Preservation Alliance - Urban County	Open and activate an IDA for 140 families and assist Provide one-on-one assistance to lower income homeowners who are facing or in foreclosure and conduct community education events. Primary Performance Measurement: Provide one-on-one counseling to 140 Urban County Homeowners facing foreclosure and conduct at least one community education events.	Complete. However, the Subrecipient fell short of its goal by 39 clients.	\$30,000	\$29,995.12	101	31 1	29	13	3 3	0	0	0	2	0	23 18	12	34	52	97%	
14-05-PS	Community Violence Solutions 2101 Van Ness Street San Pablo, CA 94806 (510) 307-4121	Children Sexual Assault Intervention Program - Urban County	The purpose of the program is to provide in-depth forensic interview, counseling, advocacy, and case management services to child victims of sexual assault and their families. Primary Performance Measurement: Provide services to a minimum of 70 children who are victims of sexual assault.	Complete.	\$15,000	\$14,999.97	217	75 2	32	13	0	6	0	3	3 2	0	85 77	217	0	0	100%	
14-06-PS	Contra Costa Food Bank 4010 Nelson Avenue PO Box 271966 Concord, CA 94520 (925) 676-7542	Collaborative Food Distribution Program - Urban County	The purpose of this program is to alleviate hunger by providing food for low-income and homeless persons throughout the Urban County. Primary Performance Measurement: 7,900 unduplicated low income individuals will receive food through the Food Banks' program distributed at various sites throughout the Urban County.	Complete.	\$31,500	\$31,500.00	11,663	3994 307	2252 4	2525	451	88	42 2	65	19	14	2213 1887	10,503	0	0	90%	

CONTRA COSTA COUNTY
FY 2014/15 CAPER
Public Service Projects

Project ID	Sponsor	Project Name/ Location	Project Objective/Description	Project Status	CDBG Funds Budgeted	FY 2014/15 Expenses	Total Served	Race/Ethnicity (bottom number represents those who identify Hispanic as ethnicity in addition to selecting a racial category)										Income			
								White Hisp.	Af.Am Hisp.	Asian Hisp.	Am.Ind/ Alskn Native Hisp.	Native Haw'n/ Pacif Is. Hisp.	Am. Ind./W hite Hisp.	Asian/ White Hisp.	Af.Am/ White Hisp.	Am.Ind/ Af.Am Hisp.	Other Hisp.				
14-07-PS	Monument Crisis Center 2350 Monument Blvd., Suite D Concord, CA 94520 (925) 825-7751	Nutritional and Community Resources for Low Income Seniors and Adults with Disabilities - Central County	The purpose of this program is to provide wrap-around safety net services through a variety of services including: on-site food distribution, direct referrals and workshops for financial assistance, and other basic information and referrals and support to lower income families. Primary Performance Measurement: Provide assistance to 335 lower income persons.	Complete.	\$10,000	\$10,000.00	528	206 27	43	44	15 3	5 2	19 9	2	20	3	171 132	482	44	2	100%
14-08-PS	Community Housing Development Corporation of North Richmond 1535-A Third Street Richmond, CA 94801 (510) 412-9291	Multicultural / Senior Family Center - North Richmond Census Tract: 3650.02	The purpose of this program is to operate and maintain a community center for residents of N. Richmond. The center provides, in collaboration with other community agencies, nutrition programs, senior services, educational, social and multi-cultural programs. Primary Performance Measurement: Provide services to a minimum of 200 Urban County residents (unduplicated).	Complete.	\$30,000	\$27,228.61	204	This program serves an area that meets the criteria for an "area benefit" activity.													
14-09-PS	New Horizons Career Development Center, Inc. 199 Parker Ave. Rodeo, CA 94572 (510) 799-2916	Education, Life Skills and Job Readiness Program - West County	The purpose of this program is to promote the economic self-sufficiency of lower income West County residents by improving employability and job- readiness by accessing community career center services. Primary Performance Measurement: Provide job readiness education, job training, life skills, and placement services to 195 primarily West County residents.	Complete.	\$25,000	\$24,999.93	231	85 47	96	18	8	0	2	1	7	2	12	175	37	19	100%
14-10-PS	Village Community Resource Center 633 Village Dr. Brentwood, CA 94513 (925) 325-6507	Village Community Resource Center Program Support - East County	The purpose of this program is to provide food and clothing to lower income residents of the neighborhood, and operate the afterschool Academy program. Primary Performance Measurement: Provide its food and clothing distribution program to 90 households and provide afterschool tutoring to 100 students.	Complete.	\$13,000	\$13,000.00	105	This program serves an area that meets the criteria for an "area benefit" activity.													
14-11-PS	YWCA of Contra Costa County 1320 Arnold Drive, Suite 170 Martinez, CA 94553 (925) 372-4213	YWCA Family Empowerment Program - 225 Pacifica Avenue Bay Point, CA 94565 Census Tracts: 3141.04, 3141.03, 3142	The purpose of this program is to increase family self- sufficiency through the provision of mental, physical and social/emotional skills training services to families who have children in the YWCA's day care program. Primary Performance Measurement: Based on an initial assessment of participant children's health habits and a final assessment at the end of the school year, assist 125 youth to achieve status of "fully mastered" in physical health habits and tasks.	Complete.	\$10,000	\$9,999.99	125	This program serves an area that meets the criteria for an "area benefit" activity.													

CONTRA COSTA COUNTY
FY 2014/15 CAPER
Public Service Projects

Project ID	Sponsor	Project Name/ Location	Project Objective/Description	Project Status	CDBG Funds Budgeted	FY 2014/15 Expenses	Total Served	Race/Ethnicity (bottom number represents those who identify Hispanic as ethnicity in addition to selecting a racial category)										Income			
								White Hisp.	Af.Am Hisp.	Asian Hisp.	Am.Ind/ Alskn Native Hisp.	Native Haw'n/ Pacifi Is. Hisp.	Am. Ind./W hite Hisp.	Asian/ White Hisp.	Af.Am/ White Hisp.	Am.Ind/ Af.Am/ Hisp.	Other Hisp.				
Objective CD - 2 Seniors: Enhance the quality of life of senior citizens and frail elderly and enable them to maintain independence.																					
14-12-PS	Contra Costa Senior Legal Services 4006 MacDonald Avenue Richmond, CA 94805 (510) 412-3150	Legal Services for Needy Urban County Seniors - Urban County	The purpose of this program is to prevent the loss of housing, elder abuse, and financial abuse of seniors by providing free legal counsel and direct representation. Primary Performance Measurement: Provide free legal advice, counsel and representation to a minimum of 200 low-income Urban County seniors (unduplicated) to prevent the loss of housing, elder abuse, and financial abuse.	Complete.	\$10,000	\$10,000.00	346	258 22	56	20	2	5	1	0	0	0	4	0	0	346	100%
14-13-PS	Pleasant Hill Recreation & Park District 147 Gregory Lane Pleasant Hill, CA 94523 (925) 798-8787	Senior Service Network 233 Gregory Lane Pleasant Hill	The purpose of this program is to prevent displacement or premature institutionalization of seniors by providing on-site crisis intervention and care management services to Central County seniors, primarily those residing in Pleasant Hill. Primary Performance Measurement: Provide care services to a minimum of 150 low-income seniors throughout the year to prevent displacement or premature institutionalization. Services include but are not limited to: needs assessment, one-on-one counseling, development of a personal action plan, and monitoring of the personal action plan.	Complete.	\$10,000	\$10,000.00	152	148 7	0	4	0	0	0	0	0	0	0	0	0	152	100%
14-14-PS	Senior Outreach Services of Contra Costa 1300 Civic Drive Walnut Creek, CA 94596 (925) 937-8311	Senior Center Care Management Program Urban County	The purpose of this program is to prevent homelessness and premature institutionalization or hospitalization by providing bilingual care management services to seniors. Primary Performance Measurement: Provide professional, bilingual care management services to 90 Urban County seniors at senior centers in Concord, Antioch, San Pablo and Rodeo, including needs assessment, care plan development and information and referral.	Complete.	\$10,000	\$9,999.99	183	126 24	12	14	1	6	0	0	0	0	24	0	183	0	100%
14-15-PS	Senior Outreach Services of Contra Costa 1300 Civic Drive Walnut Creek, CA 94596 (925) 937-8311	Senior Nutrition - Congregate Café Bay, Point, Crockett and Rodeo	The purpose of the Senior Nutrition Program (Congregate Café) is to provide hot, nutritious lunches to Urban County seniors in order to lessen social isolation and to improve general health through increased socialization. Primary Performance Measurement: Provide hot, nutritious meals to 200 Urban County Seniors in order to meet basic nutritional needs, promote socialization and encourage and maintain a healthy lifestyle.	Complete.	\$10,000	\$10,000.00	298	125 6	36	18	4	20	5	0	0	0	90 69	0	298	0	100%
14-16-PS	West County Adult Day Care 1015 Nevin Avenue, Suite 108 Richmond, CA 94801 (510) 235-6276	West County Adult Day Care/Alzheimer's Respite Center	The purpose of the program is to provide day care services to alzheimer's/dementia patients and support services to their caregivers to allow seniors to remain in their homes longer and prevent premature placement in a care facility. Primary Performance Measurement: Provide adult day care and respite services to a minimum of 23 Urban County seniors with Alzheimer's or dementia and their caregivers.	Complete.	\$15,000	\$15,000.00	31	18 2	11	2	0	0	0	0	0	0	0	0	31	0	100%

CONTRA COSTA COUNTY
FY 2014/15 CAPER
Public Service Projects

Project ID	Sponsor	Project Name/ Location	Project Objective/Description	Project Status	CDBG Funds Budgeted	FY 2014/15 Expenses	Total Served	Race/Ethnicity (bottom number represents those who identify Hispanic as ethnicity in addition to selecting a racial category)										Income																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																							
								White Hisp.	Af.Am Hisp.	Asian Hisp.	Am.Ind/ Alskn Native Hisp.	Native Haw'n/ Pacific Is. Hisp.	Am. Ind./W hite Hisp.	Asian/ White Hisp.	Af.Am/ White Hisp.	Am.Ind/ Af.Am Hisp.	Other Hisp.																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																								

CONTRA COSTA COUNTY
FY 2014/15 CAPER
Public Service Projects

Project ID	Sponsor	Project Name/ Location	Project Objective/Description	Project Status	CDBG Funds Budgeted	FY 2014/15 Expenses	Total Served	Race/Ethnicity (bottom number represents those who identify Hispanic as ethnicity in addition to selecting a racial category)										Income			
								White Hisp.	Af.Am Hisp.	Asian Hisp.	Am.Ind/ Alskn Native Hisp.	Native Haw'n/ Pacifi Is. Hisp.	Am. Ind./W hite Hisp.	Asian/ White Hisp.	Af.Am/ White Hisp.	Am.Ind/ Af.Am Hisp.	Other Hisp.				
14-22-PS	Urban Tilth 855 Meadow View Drive Richmond, CA 94806 (510) 243-0122	Verde School Service Learning Project North Richmond Census Tract: 3650.02	The purpose of this program is to increase academic achievement, increase student participation, and increase students' character using a hands-on approach to learning that integrates traditional curriculum requirements with real-world situations. Primary Performance Measurement: Provide a service-learning program that incorporates traditional curriculum into hands-on projects that are created, implemented, and completed by all Verde Elementary School students (approximately 390) and their parents (approximately 85) in order to sustain and further improve students' academic achievement.	Complete.	\$15,000	\$14,612.65	475	0	84	14	0	0	0	0	8	0	$\frac{377}{377}$	475	0	0	100%
Objective CD - 4 Non-Homeless Special Needs: Ensure that opportunities and services are provided to improve the quality of life and independence for persons with special needs, such as disabled persons, battered spouses, abused children, persons with HIV/AIDS, illiterate adults and migrant farmworkers.																					
14-23-PS	Court Appointed Special Advocates 2020 N. Broadway Suti 204 Walnut Creek, CA 94596 925 256-7284	Children at Risk - Urban County	The purpose of this program is to assist abused and neglected Urban County children who are dependents of the Court system in maneuvering through the system, accessing necessary services and securing long-term permanent homes by providing advocacy and mentoring. Primary Performance Measurement: Provide advocacy and representation services to 37 Urban County abused and neglected children who are wards of the County's Juvenile Dependency Court as a way to improve access to health and social services and a safe and permanent living situation.	Complete.	\$18,000	\$18,000.00	48	10	26	0	0	1	1	0	0	0	$\frac{10}{6}$	48	0	0	100%
14-24-PS	Lions Blind Center of Diablo Valley 175 Alvarado Avenue Pittsburg, CA 94565 (925) 432-3013	Independent Living Skills for Visually Impaired - Urban County	The purpose of this program is to avoid institutionalization and maintain independence in a safe environment for people with visual impairments. by providing in-home independent living skills training. Primary Performance Measurement: Provide in-home independent living skills instruction and training to 40 visually impaired adults so they will maintain their independence and avoid institutionalization.	Complete.	\$10,000	\$10,000.00	46	43	2	0	0	0	0	0	0	0	$\frac{1}{1}$	0	46	0	100%
14-25-PS	Ombudsman Services of Contra Costa 1601 Sutter Street, Suite A Concord, CA 94520-2621 (925)685-2070	Ombudsman Services of Contra Costa - Urban County	The purpose of this program is to decrease incidents of elder abuse and quality of care issues for frail and dependent seniors residing in nursing home and residential care facilities located in the Urban County through advocacy. Primary Performance Measurement: 775 dependent adults and elderly residing in long term care facilities will have access to safe and secure environments through the advocacy of trained and certified Ombudsmen who investigate abuse and ensure compliance of facilities with Title 22 regulations for the purpose of creating a suitable living environment.	Complete.	\$10,000	\$9,442.11	841	528	170	89	0	0	0	0	0	0	$\frac{54}{54}$	0	841	0	100%

CONTRA COSTA COUNTY
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Public Service Projects

Project ID	Sponsor	Project Name/ Location	Project Objective/Description	Project Status	CDBG Funds Budgeted	FY 2014/15 Expenses	Total Served	Race/Ethnicity (bottom number represents those who identify Hispanic as ethnicity in addition to selecting a racial category)										Income				
								White Hisp.	Af.Am Hisp.	Asian Hisp.	Am.Ind/ Alskn Native Hisp.	Native Haw'n/ Pacifc Is. Hisp.	Am. Ind./W hite Hisp.	Asian/ White Hisp.	Af.Am/ White Hisp.	Am.Ind/ Af.Am Hisp.	Other Hisp.					
14-26-PS	Rainbow Community Center 3024 Willow Pass Road Concord, CA 94519 (925) 692-0090	Kind Hearts Community Support Program	The purpose of this program is to provide outreach and socialization activities, nutritional support and home-based services to Urban County residents with AIDS and Lesbian, Gay, Bisexual and Transgender seniors. Primary Performance Measurement: Provide congregate meals, food pantry services, wellness calls and home visits to 60 LGBT seniors and persons with HIV/AIDS to promote resilience, reduce isolation and rebuild client's social networks,	Complete.	\$10,000	\$10,000.00	65	50 3	10	3	0	0	2	0	0	0	0	0	30%	50%	80%	% of total
Objective CD - 5 Fair Housing: Continue to promote fair housing activities and affirmatively further fair housing.																						
14-27-PS	Bay Area Legal Aid 1025 MacDonald Ave. Richmond, CA 94801 (510) 903-2612	Fair Housing Services Urban County	The purpose of this program is to provide fair housing counseling and legal assistance, and education and outreach services to landlords and tenants living in the Urban County. Primary Performance Measurement: Provide comprehensive fair housing counseling and legal services to 40 lower income residents, and provide education and outreach on fair housing laws to 100 persons (landlords and tenants).	Complete. Note, this project is not considered a public service and the expenditure is counted towards the County's administration cap.	\$24,000	\$ 24,000.00	44	7	33	1	0	0	0	0	0	0	3	5	23	16	100%	
Objective H - 1 Homeless: Assist the homeless and those at risk of becoming homeless by providing emergency, transitional, and permanent affordable housing with appropriate supportive services.																						
14-28-PS	Anka Behavioral Health, Inc. 1875 Willow Pass Rd. #300 Concord, CA 94520 (925) 825-4700	HOPE Plus - Urban County	The purpose of this program is to provide integrated health, mental health and substance abuse services for homeless people suffering from co-occurring mental health and substance abuse disorders. Primary Performance Measurement: Provide 480 homeless clients suffering from mental health and substance abuse disorders with access to integrated health, mental health and substance abuse services and to living environments which support their recovery.	Complete.	\$10,000	\$10,000.00	497	293 1	124	3	62 34	4 1	2	2	3	1	3	497	0	0	100%	
14-29-PS	Contra Costa Crisis Center PO Box 3364 Walnut Creek, CA 94598 (925) 939-1916	211 Contra Costa Urban County	The purpose of this program is to provide a single point of contact for homeless people who need crisis counseling, information and referrals for shelter and other needed services. Primary Performance Measurement: Provide a homeless hotline and 211 information to 2000 residents to access local health and social services 24 hours per day, 365 days per year, to meet emergency needs and provide resource information and motel vouchers to promote self-sufficiency.	Complete.	\$18,000	\$17,999.79	5,095	1518 361	1142	96	20	8	0	0	128	0	2183	5095	0	0	100%	
14-30-PS	Contra Costa County Health Services 597 Center Ave. Suite 335 Martinez (925) 313-6737	Adult Interim Housing Program 2047-A Arnold Industrial Drive, Concord Urban County	The purpose of this program is to prevent homelessness by offering a safe shelter environment with critical support services. Objectives of the program are to give emergency shelter to homeless adults and provide services to help them transition to more permanent situations. Primary Performance Measurement: Provide shelter and supportive services to 175 Urban County homeless men and women to help them regain housing.	Complete.	\$62,056	\$62,056.00	181	103 2	36 1	9	19 15	3	8 7	0	0	2 1	1 1	181	0	0	100%	

CONTRA COSTA COUNTY
FY 2014/15 CAPER
Public Service Projects

Project ID	Sponsor	Project Name/ Location	Project Objective/Description	Project Status	CDBG Funds Budgeted	FY 2014/15 Expenses	Total Served	Race/Ethnicity (bottom number represents those who identify Hispanic as ethnicity in addition to selecting a racial category)										Income			
								White	Af.Am	Asian	Am.Ind/ Alskn Native	Native Haw'n/ Pacific Is.	Am. Ind./W hite	Asian/ White	Af.Am/ White	Am.Ind/ Af.Am	Other				
								Hisp.	Hisp.	Hisp.	Hisp.	Hisp.	Hisp.	Hisp.	Hisp.	Hisp.	Hisp.	30%	50%	80%	% of total
14-31-PS	Loaves & Fishes of Contra Costa 510 Garcia Avenue Unit H Pittsburg, CA 94565 (925) 837-8758	Martinez Dining Room Program - Martinez	The purpose of this program is to alleviate hunger by providing nutritious meals to low-income & homeless people seeking emergency food assistance. A hot mid-day meal will be served Monday through Friday at 1802 Alhambra Avenue in Martinez. Primary Performance Measurement: Provide emergency food assistance to 500 Urban County residents, resulting in improved nutrition.	Complete.	\$15,000	\$15,000.00	831	This program serves an area that meets the criteria for an "area benefit" activity.													
14-32-PS	Shelter Inc. of Contra Costa County 1070 Concord Avenue Suite 200 Concord, CA 94520 (925) 335-0698	Homeless Prevention Program/Rapid Rehousing Program Urban County	The purpose of this program is to prevent homelessness by helping clients maintain their housing and to rehouse those that are experiencing homelessness. Primary Performance Measurement: Provide 275 Urban County residents with homelessness prevention or rapid rehousing services to help them maintain their housing or to quickly regain housing following a period of homelessness.	Complete.	\$26,675	\$26,675	449	182 103	207 4	13	17 8	4 1	5 4	12 1	4	5 2	0	279	160	10	100%
TOTALS					\$571,731	\$517,290.63	24,495	7965 915	4673 10	2934 1	668 105	66 4	88 22	85 1	207 2	28 3	5375 2712	18,392	1,927	682	86%

CONTRA COSTA COUNTY
FY 2014/15 CAPER
Economic Development Projects

Project ID	Sponsor	Project Name/ Location	Project Objective/Description	Project Status	CDBG Funds Budgeted	FY 2014/15 Expenses	Total Served	Race/Ethnicity (bottom number represents those who identify Hispanic as ethnicity in addition to selecting a racial category)										Income			
								White Hisp.	Af.Am Hisp.	Asian Hisp.	Am.Ind/ Alskn Native Hisp.	Native Haw'n/ Pacific Is. Hisp.	Am.Ind/ White Hisp.	Asian/ White Hisp.	Af.Am/ White Hisp.	Am.Ind/ Af.Am Hisp.	Other Hisp.	30%	50%	80%	% of total
Objective CD-6 Economic Development: Reduce the number of persons below the poverty level, expand economic opportunities for very low- and low-income residents and increase the viability of neighborhood commercial areas.																					
14-32-ED	Contra Costa Child Care Council 1035 Detroit Avenue Suite200 Concord, CA 94518 (925) 676-5442	Road to Success: Family Day Care Development Project - Urban County	The purpose of this project is to increase opportunities for very-low and low-income persons to start and succeed in operating a micro-enterprise as a family day-care provider. Primary Performance Measurement: Assist 100 urban county clients open a family daycare business.	Complete. The Child Care Council assisted 99 Urban County residents open or retain family daycare business, of which 99 were low income persons.	\$70,000	\$70,000.00	99	62 21	20	6	1	0	0	1	4	0	5 1	26	36	37	100%
14-33-ED	Opportunity Junction 3102 Delta Fair Blvd. Antioch, CA 94509 (925) 776-1133	Opportunities for Technology Information Careers - East County	The purpose of this project is to increase employment opportunities for very low- and low-income persons that will lead to economic self-sufficiency through careers in the field of information technology. Primary Performance Measurement: Place ten (10) low income Urban County residents into full-time employment with an average hourly wage of \$12.94 (plus benefits).	Complete. A total of 15 low income persons graduated from training and were placed in jobs with an average hourly wage of \$12.94 (plus benefits)	\$100,000	\$100,000.00	15	9 3	1	1	0	1 1	0	0	0	0	3 3	12	3	0	100%
14-34-ED	The Stride Center 2300 El Portal Drive, Suites F & G San Pablo, CA 94806 (510) 234-1300	Tech Job Training/Job Placement Program/ West County	The purpose of this program is to increase employment opportunities for low-income persons that will lead to economic self-sufficiency through careers in the information technology field. Primary Performance Measurement: Place 10 low income Urban County residents (with a focus on west county) into full-time employment with an average annual salary of \$27,000 (plus benefits) and opportunities for advancement.	Complete. A total of 10 low-income Urban County residents were placed in jobs earning an average annual salary of \$27,000.	\$45,000	\$44,999.93	10	4 3	3	3	0	0	0	0	0	0	0	4	2	4	100%

CONTRA COSTA COUNTY
FY 2014/15 CAPER
Economic Development Projects

Project ID	Sponsor	Project Name/ Location	Project Objective/Description	Project Status	CDBG Funds Budgeted	FY 2014/15 Expenses	Total Served	Race/Ethnicity (bottom number represents those who identify Hispanic as ethnicity in addition to selecting a racial category)										Income			
								White Hisp.	Af.Am Hisp.	Asian Hisp.	Am.Ind/ Alskn Native Hisp.	Native Haw'n/ Pacific Is. Hisp.	Am.Ind/ White Hisp.	Asian/ White Hisp.	Af.Am/ White Hisp.	Am.Ind/ Af.Am Hisp.	Other Hisp.				
																		30%	50%	80%	% of total
Objective CD-6 Economic Development: Reduce the number of persons below the poverty level, expand economic opportunities for very low- and low-income residents and increase the viability of neighborhood commercial areas.																					
14-35-ED	West Contra Costa Business Development Center, Inc. 334 11th Street Richmond, CA 94801 (510) 236-3690	Strengthening Neighborhood Economies - Commercial Corridor Revitalization- West County	The purpose of this project is to improve the success of small businesses/micro-enterprises located in West County through business assistance and community building activities. Primary Performance Measurement: Provide assistance to 60 existing or prospective businesses in the targeted commercial corridors of San Pablo, Rodeo, North Richmond and Crockett to help business owners achieve key targets, including increased sales and profitability, expanded customer base and product offering, and/or improved storefronts.	Complete. The BDC provided assistance to 60 aspiring, start-up, or established small businesses in the West County region. With the BDC's help, 21 start-up businesses were assisted, 39 existing business were assisted, and 39 new jobs were created. The BDC also helped secure \$78,000 for 7 businesses to start or expand their business.	\$90,000	\$84,200.84	60	8	17	8	0	2	0	2	2	0	21 15	17	11	12	67%
14-36-ED	Workforce Development Board 300 Ellinwood Way Pleasant Hill, CA 94523 (925) 602-6806	Small Business Management Assistance Program/	The purpose of this project is to increase the number of micro-enterprises, owned and operated by very low- and low-income persons, and to sustain existing micro-enterprises. Primary Performance Measurement: Assist 38 CDBG eligible new or existing business owners develop and/or operate a business.	Complete. A total of 30 existing or aspiring businesses were assisted. A total of 2 start-up businesses and 27 existing businesses were assisted.	\$ 61,571	\$56,283.50	30	21 4	1	3	0	0	0	0	0	0	5 1	6	5	19	100%
				TOTAL	\$366,571	\$355,484.27	214	104 31	42	21	1	3 1	0	3	6	0	34 20	65	57	72	91%

[illegible]

[illegible]

[illegible]

Project ID	Sponsor	Project Name/ Location	Project Objective/Description	Project Status	Total CDBG Funds Budgeted	FY 2014/15 Expenses	Total Served	Race/Ethnicity										Income				
								White Hisp.	Af.Am. Hisp.	Asian Hisp.	Am.Ind/ Alskn Native Hisp.	Native Haw'n/ Pacfic Is. Hisp.	Am.Ind/ White Hisp.	Asian/ White Hisp.	Af.Am/ White Hisp.	Am.Ind/ Af.Am Hisp.	Other Hisp.					% of total
14-27-PS	Bay Area Legal Aid 1025 MacDonald Ave. Richmond, CA 94801 (510) 903-2612	Fair Housing Services	Investigate all cases of alleged housing discrimination. Note, this project is categorized as an administration expense.	Complete.	\$24,000	\$24,000.00	44	7	33	1	0	0	0	0	0	0	3	5	23	0	16	100%
TOTALS					\$776,346.77	\$776,346.77																

CONTRA COSTA COUNTY
FY 2014/15
Housing Projects

				Funds Allocated				Funds Expended FY 2014/15	Total Expended to Date	Affordability and/or Income Restrictions			
Project ID	Sponsor	Project Name/ Location	Project Status	Total Cost	CDBG/ NSP	HOME	HOPWA	CDBG, NSP, HOME, and HOPWA	CDBG, NSP, HOME, and HOPWA	30%	50%	80%	Unit Total
Priority H-1 — Expand housing opportunities for lower-income households through an increase in the supply of decent, safe, affordable rental housing.													
08-55-HSG 12-44-HSG 09-50-HM	Resources for Community Development (CHDO) 2730 Telegraph Ave. Berkeley, CA 94703	Berrellesa Palms (Martinez Senior Apt) Martinez	Complete	\$22,318,877	\$1,525,000	\$2,950,000	\$300,000	\$22,199	\$4,775,000	48		1	49
09-51-HSG 12-43-HSG	Eden Housing, Inc. 22645 Grand Street Hayward, CA 94541	Orinda Senior Housing Orinda	Complete	\$21,503,418	\$2,050,000	\$2,040,000		\$0	\$4,080,000	7	58	1	66
10-60-HSG	Community Housing Development Corp of North Richmond 1535 A Street Richmond, CA 94801	Heritage Point North Richmond	Delayed: Preparing to apply for State Affordable Housing and Sustainable Communities funds	\$179,000		\$48,000		\$4,070	\$48,000				42
10-61-HSG 12-45-HSG	Satellite AHA, 1521 University Avenue Berkeley, CA 94703	Third Avenue Walnut Creek	Construction complete. Lease up underway	\$24,918,848		\$2,450,000	\$250,000	\$0	\$2,690,000	7	40		47
13-48-HSG	Resources for Community Development (CHDO) 2730 Telegraph Ave. Berkeley, CA 94703	Ohlone Gardens El Cerrito	Underway: Construction completion expected fall 2015.	\$25,910,470		\$1,300,000	\$750,000	\$0	\$2,180,000	14	42	1	57
11-51- HSG 13-47-HSG 14-46-HSG	Satellite AHA, 1521 University Avenue Berkeley, CA 94703	Tabora Gardens Antioch	Delayed: Applying for MHP Supportive Housing	\$22,593,862	\$550,000	\$700,000	\$650,000	\$0	\$0	26	58	1	85
13-49-HSG	Corporation for Better Housing 15303 Ventura Blvd, Suite 1100 Sherman Oaks, CA 91403	Oak Ridge Oakley	Complete. Fully occupied	\$7,707,790		\$1,300,000		1,300,000	1,300,000	4	14	17	35
14-47	Resources for Community Development 2220 Oxford Street Berkeley, CA 94596	Riviera Walnut Creek	Delayed: Applying for additional HOME funds and MHP Supportive Housing.			\$50,000	\$500,000	\$0	\$0				58
14-48	Eden Housing, Inc. 22645 Grand St. Hayward, CA 94541	El Cerrito Mixed Use El Cerrito	Delayed: Applying for additional HOME funds and 9% tax credits.		\$625,000	\$150,000		\$0	\$0				
Priority H-2 — Increase homeownership opportunities for lower-income households.													
10-64-HSG 11-53-HSG	Habitat for Humanity East Bay/Silicon Valley 2619 Broadway Oakland, CA 94612	Pleasant Creek Walnut Creek	Complete. All homes sold and occupied	\$4,561,180		\$320,000		\$0	\$320,000				
											8		10

CONTRA COSTA COUNTY
FY 2014/15
Housing Projects

				Funds Allocated				Funds Expended FY 2014/15	Total Expended to Date	Affordability and/or Income Restrictions			
Project ID	Sponsor	Project Name/ Location	Project Status	Total Cost	CDBG/ NSP	HOME	HOPWA	CDBG, NSP, HOME, and HOPWA	CDBG, NSP, HOME, and HOPWA	30%	50%	80%	Unit Total
13-50-HSG NSP PI	Habitat for Humanity East Bay/Silicon Valley 2619 Broadway Oakland, CA 94613	Pacifica Landing Bay Point	Delayed: Applied for General Plan amendment and land use approvals.	\$6,013,821	\$1,000,000			\$0	\$0		11	12	23
13-51-HSG	Habitat for Humanity East Bay/Silicon Valley 2619 Broadway Oakland, CA 94612	Scattered Sites - Acq/Rehab Urban County	Complete. One home purchased/rehab'd and sold	\$1,750,000	\$400,000			\$0	\$56,250		1		1
14-49-HSG	Habitat for Humanity East Bay/Silicon Valley 2619 Broadway Oakland, CA 94612	Muir Ridge Pacheco	Underway: Framing under way for Phase I homes			\$1,500,000		\$229,246	\$229,246			12	
Priority H-3 — Maintain and preserve the affordable housing stock.													
13-53-HSG	Contra Costa Department of Conservation and Development 30 Muir Road Martinez, CA 94553	Neighborhood Preservation Program/ Urban County	Complete	\$1,000,000	\$1,000,000			\$655,229	\$655,249	7	2	5	14
13-52-HSG	Resources for Community Development (CHDO) 2730 Telegraph Ave. Berkeley, CA 94703	Bella Monte Bay Point	Complete	\$250,000	\$227,000			\$227,000	\$227,000	5	22	25	52
HOPWA	Resources for Community Development (CHDO) 2730 Telegraph Ave. Berkeley, CA 94703	Riley Court Concord	Delayed: Phase 1 construction complete. Phase 2 delayed will HUD completes subsidy layering review for Section 8	\$530,000			\$530,000	\$0	\$191,902	17	30	1	48
NSP 3	Affordable Housing Associates 1250 Addison Street Berkeley, CA 94702	Robin Lane Apartments Concord	Complete. Fully occupied	\$2,372,211	\$1,662,211			\$0	\$1,662,211		15		15
Priority H-4 — Improve the public housing stock.													
The CDBG/HOME programs continue to work with Housing Authority staff to provide and maintain affordable housing in Contra Costa.													
Priority H-5 — Adopt the Continuum of Care Plan as the overall approach to addressing homelessness in the Consortium.													
The CDBG and HOME programs work with the County's Homeless Program to implement the Continuum of Care Plan. See Section VII.E for more information.													
Priority H-6 — Assist the homeless and those at risk of becoming homeless by providing emergency, transitional, and permanent affordable housing with appropriate supportive services.													
Priority H-7 — Increase the supply of appropriate and supportive housing for special needs populations.													
13-54-HSG	Contra Costa Interfaith Housing 3164 Putnam Blvd, Suite C Walnut Creek, CA 94597	Garden Park Pleasant Hill	Complete	\$134,781	\$110,546			\$110,546	\$110,546	26		1	28

CONTRA COSTA COUNTY
FY 2014/15
Housing Projects

				Funds Allocated				Funds Expended FY 2014/15	Total Expended to Date	Affordability and/or Income Restrictions			
Project ID	Sponsor	Project Name/ Location	Project Status	Total Cost	CDBG/ NSP	HOME	HOPWA	CDBG, NSP, HOME, and HOPWA	CDBG, NSP, HOME, and HOPWA	30%	50%	80%	Unit Total
NSP1 PI	Community Housing Development Corp of North Richmond 1535 A Street Richmond, CA 94801	Fred Jackson Way	Complete	\$245,250	\$245,250			\$214,178	\$214,178		4		4
14-52-HSG	Community Energy Services, Inc. 1013 Pardee Street Berkeley, CA 94710	Home Repair West County	Complete	\$130,000	\$125,000			\$100,868	\$100,868	20	18	1	39
14-51-HSG	Community Energy Services, Inc. 1013 Pardee Street Berkeley, CA 94710	Healthy Homes West County	Underway: Continuing contract into 2016.	\$93,225	\$84,750			\$31,495	\$31,495	9	1		10
Priority H-8 — Alleviate problems of housing discrimination See IPF/CDBG Admin table for project information													
Project Delivery													
	Department of Conservation and Development 30 Muir Road Martinez, CA 94553	Activity delivery costs, which includes legal, Davis-Bacon, environmental review, etc			\$30,000	\$65,000	\$20,000	\$75,336	\$75,336				
Program Administration													
	Department of Conservation and Development 30 Muir Road Martinez, CA 94554	HOME, NSP, HOPWA Program Administration	Provide oversight and administer the HOME, NSP, and HOPWA programs.					\$417,345	\$417,345				
TOTALS				\$148,429,880	\$15,051,904	\$14,173,000	\$3,000,000	\$3,413,013	\$24,670,139	199	378	99	769

**CONTRA COSTA COUNTY
FY 2014/15 CAPER
Emergency Solutions Grants**

										Race/Ethnicity									
Project ID	Sponsor	Project Name/Location	Project Objective/Description	Category of Assistance	Project Status	ESG Funds Budgeted	FY 2014/15 Expenses	100% Match Provided By	Total Served	White Hisp.	Af Am Hisp.	Asian Hisp.	Am.Ind/ Alskn Native Hisp.	Native Haw/n/ Pacifc Is. Hisp.	Am. Ind./ White Hisp.	Asian/ White Hisp.	Af.Am/ White Hisp.	Am.Ind/ Af.Am Hisp.	Other Hisp.
Objective CD-4: Reduce incidence of homelessness and assist in alleviating the needs of the homeless.																			
14-56-ESG	Contra Costa Health Services 1350 Arnold Drive Martinez, CA 94553	Emergency Shelters for Single Adults, Urban County	Subrecipient operates two emergency homeless shelters for single adults. The shelters are the main point of entry for the homeless into the continuum of homeless and housing services. These 24-hour facilities provide meals, laundry facilities, mail, telephones, and a wide array of on-site support services. Project will serve 850 homeless individuals.	Operating Support: No Staff Costs	Complete. The Subrecipient fell short of their projected goal. The current economy has resulted in longer stays for clients, reducing the availability of beds for new clients.	\$84,025.00	\$84,025.00	County General Fund	181	103 2	36 1	9	19 10	4	8 6	0	0	1 1	1 1
14-57-ESG	Contra Costa Health Services 1350 Arnold Drive Martinez, CA 94553	Calli House, Urban County	Subrecipient operates the Calli House Youth Shelter. The shelters are open 24-hours a day and provide meals, laundry facilities, mail, health screenings, telephones and a wide array of on-site support services. Health Care Services will be provided for 100 unduplicated youth. Additionally, a total of 100 unduplicated youth will be provided shelter services.	Operating Support: No Staff Costs	Complete. The Subrecipient fell short of their projected goal. The current economy has resulted in longer stays for youth as it has become more difficult to find jobs, reducing the availability of beds for new clients.	\$10,000.00	\$10,000.00	County General Fund	24	7	9	0	3 2	2	1	0	0	2 2	0
14-58-ESG	Greater Richmond Interfaith Program 165 22nd Street Richmond, CA 94801	Family Emergency Shelter Facility	Subrecipient's Family Housing Program, West County Resource Center and Souper Center Soup Kitchen provide food, shelter, mentoring and activities for 70 families (90 Adults and 125 Children) year-round.	Staff Costs	Complete. Although the Family Shelter has been full, the Subrecipient fell short of their projected goal for Urban County clients. Average stay by UC clients was 120 days and 74% moved to permanent housing.	\$10,000.00	\$6,504.64	Foundations and Private Funds	101	11 3	50 1	5	16 12	10 1	0	0	3	1	5
14-59-ESG	STAND! Against Domestic Violence P.O. Box 6406 Concord, CA 94524	Rollie Mullen Center, Urban County	Subrecipient provides emergency shelter for 15 women and their children who are homeless because they are in peril due to violent relationships Up to six weeks of shelter and services are provided per household, including food, clothing, case management, employment assessment, and housing referrals.	Essential Services	Complete.	\$31,000.00	\$31,000.00	Contra Costa Health Services Department	52	15	5	2	2	0	0	0	0	0	28 6
14-60-ESG	Trinity Center 18924 Trinity Avenue Wlanut Creek, CA 94596	Trinity Center	Subrecipient operates a drop-in center and provides breakfast and lunch, laundry, showers, clothing, food and support services to homeless clients. Services will be provided to 81 Urban County residents.	Staff Costs	Complete.	\$10,000.00	\$10,000.00	Private Funds	175	142 5	13	2	6 3	3	1	2	0	0	6
14-61-ESG	Shelter, Inc. of Contra Costa County 1815 Arnold Drive Martinez, CA 94553	Homelessness Prevention and Rapid Rehousing Program 1815 Arnold Drive Martinez, CA 94553	Provide homelessness prevention and rapid rehousing services to 275 Urban County individuals and families to prevent homelessness and to help them quickly regain housing following an episode of homelessness.	Staff Costs and Direct Client Financial Assistance	Complete. Due to the high cost of housing in Contra Costa County the Subrecipient was not able to assist the number of residents projected. However, all funds from FY 2013/14 and reallocated funds from FY 2012/13 were expended.	\$73,867.00	\$73,867.00	Foundations and Private Funds	78	27 3	33 1	5	0	0	3 3	5	5 5	0	0
14-62-ESG	Contra Costa Department of Conservation and Development 30 Muir Road Martinez, CA 94553	Program Administration	Provide oversight and direction to the ESG program.	Operating Support and Staff Costs	Unexpended funds will be allocated to FY 2013/14 administration costs.	\$17,747.00	\$13,012.23	N/A											
Totals						\$236,639.00	\$228,408.87		611	305 13	146 3	23	46 27	19 1	13 9	7	8 5	4 3	40 7

Appendix F — CAPER Notice

CONTRA COSTA COUNTY CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT

Contra Costa County has prepared its FY 2014/15 Consolidated Annual Performance and Evaluation Report (CAPER) for the Community Development Block Grant (CDBG), HOME Investment Partnerships Act (HOME), Emergency Solutions Grants (ESG), Housing Opportunities for Persons with AIDS (HOPWA) Programs, and Neighborhood Stabilization Program (NSP). The CAPER is an annual report on the use of CDBG, HOME, ESG, HOPWA, and NSP funds during the reporting period of July 1, 2014 through June 30, 2015.

Interested citizens may review the CAPER at the Department of Conservation and Development, 30 Muir Road, Martinez, CA, 94553, between 8:00 AM and 5:00 PM. Comments on the report may be made in writing to Kara Douglas, Federal Programs Manager, at the above address. Written comments should be received by 5:00 p.m. on September 21, 2015. Public comments on the CAPER can also be made at the Board of Supervisors meeting on Tuesday, September 22, 2015, at approximately 9:00 a.m.

If you have special needs due to disability, please call (925) 335-1900. TDD: Call 711 and ask the Relay Service Operator for (925) 335-1915.

CONDADO DE CONTRA COSTA INFORME ANUAL CONSOLIDADO DE DESEMPEÑO Y EVALUACIÓN

El Condado de Contra Costa ha preparado el Informe Anual Consolidado de Desempeño y Evaluación del año fiscal 2014/15 ("CAPER", por sus siglas en inglés) para el Programa de Concesión del Desarrollo Comunitario ("CDBG", por sus siglas en inglés), Programa de Inversión en el Desarrollo de Viviendas ("HOME", por sus siglas en inglés), Programa de Refugio de Emergencia ("ESG", por sus siglas en inglés), y la Programa de Oportunidades de Alojamiento Personas con SIDA ("HOPWA", por sus siglas en inglés), y Programa de Estabilización de Vecindarios ("NSP", por sus siglas en inglés). El CAPER es un informe anual sobre el uso de fondos de los programas CDBG, HOME, ESG, HOPWA, y NSP durante el período del 1 de julio de 2014 hasta el 30 de junio 2015.

Los ciudadanos interesados podrán examinar el CAPER en el Departamento de Conservación y Desarrollo, 30 Muir Road, Martinez, CA 94553, entre las horas de 8:00 AM y 5:00 PM. Comentarios sobre el CAPER puede dirigirse por escrito a Kara Douglas, Administradora de Programas Federales, en la dirección mencionada anteriormente. Los comentarios escritos deben ser recibidos antes de las 5:00 de la tarde del 21 de septiembre 2015. Los comentarios del público sobre el CAPER también se pueden hacer personalmente en la Reunión de los Supervisores del Condado el martes, 22 de septiembre 2015 aproximadamente a las 9:00 de la mañana, 651 Pine Street, Martinez, CA 94553, en el Salón de los Supervisores.

Si usted tiene necesidades especiales por discapacidad, por favor llame (925) 335-1900. Se puede obtener dispositivos de telecomunicación para los sordos llamando al 711 y solicitando el número (925) 335-1915 al Operador del Servicio.



**Contra
Costa
County**

To: Board of Supervisors
From: John Kopchik, Director, Conservation & Development Department
Date: September 22, 2015

Subject: FY 2014/15 Year-End Report on the Keller Canyon Mitigation Fund

RECOMMENDATION(S):

ACCEPT the fiscal year 2014/15 Keller Canyon Mitigation Fund (KCMF) Year-End Report.

FISCAL IMPACT:

No General Fund impact. The fund balance in the KCMF account at the end of FY 2014/15 was \$588,586. That amount will be carried forward to FY 2015/16.

BACKGROUND:

Pursuant to Section II.E. of the Keller Canyon Mitigation Fund (KCMF) Policy, a report on the use of KCMF funds in the previous year shall be presented to the Board of Supervisors by September 30 of each year. At a minimum, the report shall describe the revenue received during the year, the amount allocated and spent by each grantee, and whether or not the grantee achieved the required outcome(s) during the year.

During FY 2014/15, the KCMF received a total of \$1,375,602, which is \$275,602 more than was anticipated. Additionally, the KCMF account had a fund balance at the end of the previous year (FY 2013/14) of \$378,892 that was available to allocate in FY 2014/15. A total of \$1,165,908 was expended on 77 programs/projects/initiatives during the year. Revenue and expenses are summarized in the table on page 2.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Kristen Lackey (925)
674-7888

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

>

FY 2014/15 Revenue & Expenses

FY 2013/14 Year End Fund Balance	\$ 378,892
FY 2014/15 Actual Revenue	<u>1,375,602</u>
Total Available	\$ 1,754,494
FY 2014/15 Actual Expenses	<u>(1,165,908)</u>
FY 2014/15 Year End Fund Balance	\$ 588,586

The attached spreadsheet shows the programs/projects/initiatives that were funded in FY 2014/15, the amount allocated, the amount spent, and whether or not the project sponsor met the objective(s).

CONSEQUENCE OF NEGATIVE ACTION:

Staff is required to report on the FY 2014/15 KCMF program by September 30, 2015. If the report is not accepted, this programmatic requirement will not be met.

CHILDREN'S IMPACT STATEMENT:

The funded projects support at least one of the five community outcomes established in the Children's Report Card.

ATTACHMENTS

FY 2014-15 Year End Summary

FY 2014/15 KCMF Project / Program / Initiative Summary

Agency	Program	Amount Allocated	Amount Expended	Outcome Met?
NON-PROFIT ORGANIZATIONS (ORG 1582)				
African American Health Empowerment Collaborative	Health Empowerment Expo	\$ 6,000	\$ 5,806	Y
All Star Cheer Reaction	All Star Cheer Reaction	\$ 8,000	\$ 8,000	Y
Ambrose Rec & Park District	Bay Point Holiday Celebraton	\$ 4,500	\$ 4,471	Y
Ambrose Rec & Park District	Fun Starts at 60	\$ 4,900	\$ 4,845	Y
Ambrose Rec & Park District	Ambrose Teen Center	\$ 5,000	\$ 4,969	Y
Ambrose Rec & Park District	Bay Point Youth Sports Program	\$ 3,300	\$ 3,299	Y
Antioch Music Foundation	Percussion Discussion	\$ 1,000	\$ 1,000	Y
Antioch Unified School District	Wolverine Afterschool Library Program	\$ 3,000	\$ 2,773	Y
Arts & Cultural Foundation of Antioch	Art4Schools	\$ 2,500	\$ 2,500	Y
Bay Point Community Foundation	Science Week	\$ 2,000	\$ 1,364	Y
Bay Point Garden Club	BP Sping Derby Memorial, Parade and Festival	\$ 3,000	\$ 3,000	Y
Brighter Beginnings	Teen Family Support Program	\$ 2,500	\$ 2,500	Y
Center for Human Dev.	Four Corners	\$ 6,500	\$ 6,331	Y
Center for Human Dev	Unity in Community	\$ 5,500	\$ 5,159	Y
Child Abuse Prevention Council of CC	Nurturing Parenting Connection	\$ 4,000	\$ 4,000	Y
City of Pittsburg Recreation	Every Day is a Special Day	\$ 3,500	\$ 1,449	Y
City of Pittsburg Recreation	Community is Key	\$ 5,000	\$ 4,971	Y
City of Pittsburg Recreation	Fun, Food & Fellowship	\$ 3,500	\$ 2,921	Y
City of Pittsburg Police Department	Rapid Deployment Shelter	\$ 10,000	\$ 10,000	Y
Community Violence Solutions	Violence Prevention Project	\$ 5,000	\$ 5,000	Y
Contra Costa Interfaith Transitional Housing	Services to at-risk or homeless children and families	\$ 10,000	\$ 10,000	Y
CC Youth Council	Read to Live	\$ 10,000	\$ 10,000	Y
Craft Community Care Center	World Art and Music Festival of Bay Point and Pittsburg	\$ 10,000	\$ 10,000	Y
Craft Community Care Center	1-2-3 Tutoring Program	\$ 10,000	\$ 10,000	Y
East County Midnight Basketball League	Summer 2015 Season	\$ 10,000	\$ 10,000	Y
El Campanil Theatre Preservation Foundation	School Field Trips Subsidy Support	\$ 5,000	\$ 4,930	Y
First Baptist Church Head Start	Alumni Program	\$ 10,000	\$ 10,000	Y
Give Always to Others	Pittsburg and Antioch	\$ 300	\$ -	N

FY 2014/15 KCMF Project / Program / Initiative Summary

Agency	Program	Amount Allocated	Amount Expended	Outcome Met?
Grace Bible Fellowship of Antioch	Summer Camp	\$ 5,000	\$ 5,000	Y
Greater Faith Food Pantry	Food Pantry	\$ 4,000	\$ 4,000	Y
Habitat for Humanity	Beautification of Bel Air School	\$ 5,000	\$ 2,159	Y
Jewish Family & Children's Ser.	Multicultural Senior Health Program	\$ 5,000	\$ 5,000	Y
Loaves and Fishes of Contra Costa	Feeding the Hungry	\$ 10,000	\$ 10,000	Y
Los Medanos College Foundation	Rent at California Theatre	\$ 5,000	\$ 5,000	Y
Los Medanos College Foundation	Community Facility Fee Support	\$ 10,000	\$ 10,000	Y
Meals on Wheels and Senior Outreach Services	Nutrition and Social Support for Seniors (Bay Point)	\$ 5,000	\$ 4,996	Y
Meals on Wheels and Senior Outreach Services	Pittsburg Senior Center	\$ 10,000	\$ 10,000	Y
Meals on Wheels of Contra Costa, Inc	Meals for homebound elders	\$ 5,000	\$ 5,000	Y
National Society of Black Engineers	Saturday STEM Program	\$ 5,000	\$ 5,000	Y
Open Opportunities, Inc.	Future Build	\$ 10,000	\$ 10,000	Y
Opportunity Junction	Career Development Services @ SparkPoint	\$ 5,000	\$ 5,000	Y
Opportunity Junction	Job Training	\$ 5,000	\$ 5,000	Y
PCSI	Housing Counseling Services	\$ 10,000	\$ 10,000	Y
PCSI	California Theatre Organ Restoration	\$ 5,000	\$ 5,000	Y
People Who Care Children Assoc.	After School Green Jobs Youth Training Program	\$ 10,000	\$ 10,000	Y
Pittsburg Arts and Community Foundation	Learning Advantage Project	\$ 8,000	\$ -	N
Pittsburg Arts and Community Foundation	Pittsburg Library Signage	\$ 3,000	\$ 2,960	Y
Pittsburg Community Emergency Services, Inc	PICES (Feeding the Hungry)	\$ 5,000	\$ 5,000	Y
Pittsburg Unified School District	MLK Science through Project Based Learning	\$ 5,000	\$ 5,000	Y
Pittsburg Unified School District	Academic Achievers Assoc.	\$ 3,000	\$ -	N
MDUSD	Riverview Middle School Library Renovation	\$ 8,000	\$ 4,709	Y
Presidio Village Senior Housing	Presidio Village Activity Fund	\$ 500	\$ 500	Y
Rehab Services of N. CA	Scholarships	\$ 5,000	\$ 4,116	Y
Rotary Club of Pitts. Foundation	Encourage Love of Learning and CA High School Exit Exam	\$ 8,000	\$ 8,000	Y
Soroptimist International of Pittsburg	Kids on Target	\$ 3,500	\$ 3,500	Y
Soroptimist International of Pittsburg	Reading Project	\$ 2,000	\$ 2,000	Y
St. Vincent de Paul of Contra Costa County	Family Resource Center	\$ 10,000	\$ 10,000	Y

FY 2014/15 KCMF Project / Program / Initiative Summary

Agency	Program	Amount Allocated	Amount Expended	Outcome Met?
Stoneman Village	Dinner Meal Program	\$ 10,000	\$ 10,000	Y
The Network of Care	Feed Families of Hospitalized Children	\$ 1,656	\$ 1,656	Y
Youth Intervention Network	YIN Program	\$ 10,000	\$ 10,000	Y
	SubTotal	\$ 350,656	\$ 327,883	
COUNTY DEPARTMENTS (ORG 1581)				
CCC Department of Conservation and Development	District V Code Enforcement	\$ 90,000	\$ 90,000	Y
CCC General Services Dept.	East County Beautification Program	\$ 35,000	\$ 35,000	Y
CCC County Counsel Office	Code Development & Compliance Attorney	\$ 95,000	\$ 95,000	Y
CCC Health Services Dept.	Cali House Youth Shelter	\$ 10,000	\$ 10,000	Y
CCC EHSD - Service Integration Program	Bay Point Works Community Career Center	\$ 7,500	\$ 7,500	Y
CCC Library	Adventure Read (Bay Point)	\$ 5,000	\$ 4,930	Y
CCC Library	Adventure Read (Pittsburg)	\$ 5,000	\$ 5,000	Y
CCC Sheriff Office	Resident Deputy	\$ 175,000	\$ 173,492	Y
CCC Sheriff Office	Bay Point SRO	\$ 175,000	\$ 175,000	Y
CCC Sheriff Office	BP Annual Xmas Dinner and Toy Give Away	\$ 8,000	\$ 8,000	Y
CCC Sheriff Office	BP Bike Safety Rodeo	\$ 3,500	\$ 3,500	Y
CCC Workforce Development Board	Northern Waterfront Business Dev. Initiative	\$ 35,000	\$ 35,000	Y
CCC Department of Conservation and Development	KCMF Administration	\$ 50,000	\$ 50,000	Y
District V Staff	KCMF Target Area Staff Services	\$ 100,000	\$ 53,873	Y
CCC Health Services Dept.	Healthy & Livable Pittsburg	\$ 4,000	\$ 4,000	Y
CCC Public Works Dept.	Bay Point Crossing Guard Program	\$ 66,000	\$ 50,568	Y
	SubTotal	\$ 864,000	\$ 800,863	
DISTRICT V INITIATIVES (ORG 1580)				
Bay Point MAC	Municipal Advisory Committee Operations	\$ 2,000	\$ 378	Y

FY 2014/15 KCMF Project / Program / Initiative Summary

Agency	Program	Amount Allocated	Amount Expended	Outcome Met?
Peace in the Streets/Youth Summit/NonProfit Development Workshop/Small Business Workshop/Relay for Life	Seminars/Summits	\$ 50,000	\$ 33,977	Y
District V Interns	Internships providing assistance with KCMF funded activities	\$ 15,000	\$ 2,000	Y
KCMF Review Committee/Keller Reception	Networking event for KCMF funded agencies	\$ 3,000	\$ 807	Y
	SubTotal	\$ 70,000	\$ 37,162	
TOTAL		\$ 1,284,656	\$ 1,165,908	



Contra
Costa
County

To: Board of Supervisors
From: Julia R. Bueren, Public Works Director/Chief Engineer
Date: September 22, 2015

Subject: Disposal of Surplus Property

RECOMMENDATION(S):

DECLARE as surplus and AUTHORIZE the Purchasing Agent, or designee, to dispose of fully depreciated vehicles and equipment no longer needed for public use, as recommended by the Public Works Director, Countywide.

FISCAL IMPACT:

No fiscal impact.

BACKGROUND:

Section 1108-2.212 of the County Ordinance Code authorizes the Purchasing Agent to dispose of any personal property belonging to Contra Costa County and found by the Board of Supervisors not to be required for public use. The property for disposal is either obsolete, worn out, beyond economical repair, or damaged beyond repair.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Susan Mathos.
925-313-2127

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

CONSEQUENCE OF NEGATIVE ACTION:

Public Works would not be able to dispose of surplus vehicles and equipment

ATTACHMENTS

Surplus Vehicles and Equipment

ATTACHMENT TO BOARD ORDER 9-22-2015

Department	Description/Unit/Make/Model	Serial No.	Condition
			A. Obsolete B. Worn Out C. Beyond economical repair D. Damaged beyond repair
FIRE PROTECTION DISTRICT	2000 FORD TAURUS #201	1FAFP5324YA287242	B. WORN OUT
FIRE PROTECTION DISTRICT	1997 FORD CROWN VICTORIA #281 (89096 Miles)	2FALP71W8VX211725	B. WORN OUT
FIRE PROTECTION DISTRICT	1996 FORD CROWN VICTORIA #271	2FALP71W3TX118334	B. WORN OUT
FIRE PROTECTION DISTRICT	2007 FORD TAURUS #265 (100017 Miles)	1FAFP53U37A187715	B. WORN OUT
FIRE PROTECTION DISTRICT	2007 FORD CROWN VICTORIA #263	2FAFP71W77X115469	D. DAMAGED BEYOND REPAIR
FIRE PROTECTION DISTRICT	1995 FORD F350 #258	2FDKF37G8SCA58831	B. WORN OUT
FIRE PROTECTION DISTRICT	2004 FORD E250 VAN #253 (120198 Miles)	1FTNE24L94HA85578	B. WORN OUT
FIRE PROTECTION DISTRICT	2003 FORD F150 4X4 #243	1FTRW08643KC90234	B. WORN OUT
FIRE PROTECTION DISTRICT	2003 FORD TAURUS #220	1FAFP53U936205304	B. WORN OUT
FIRE PROTECTION DISTRICT	2002 FORD TAURUS #216 (78609 Miles)	1FAFP53U42G199281	B. WORN OUT
FIRE PROTECTION DISTRICT	2000 FORD EXPLORER 4X4 #283 (88915 Miles)	1FMZU72X3YUB22379	D. DAMAGED BEYOND REPAIR
FIRE PROTECTION DISTRICT	2002 FORD F150 4X4 #286	1FTRW08672KC65956	D. DAMAGED BEYOND REPAIR
FIRE PROTECTION DISTRICT	2001 FORD F150 4X4 #204	1FTRW08W51KA91934	D. DAMAGED BEYOND REPAIR
FIRE PROTECTION DISTRICT	1995 FORD F350 #259	2FDKF37GXSCA60905	B. WORN OUT
PUBLIC WORKS	1996 FORD E-150 C. VAN #4441 (100498 Miles)	1FTEE14H6THA85574	B. WORN OUT
PUBLIC WORKS	1999 FORD TAURUS SEDAN #0402 (90297 Miles)	1FAFP52U5XG312406	B. WORN OUT
SHERIFF	2009 FORD CROWN VIC. #2820 (101297 Miles)	2FAHP71V49X106053	B. WORN OUT
DISTRICT ATTORNEY	2009 TOYOTA CAMRY HYB. #1205 (97021 Miles)	4T1BB46K19U099383	B. WORN OUT
SHERIFF	2009 FORD CROWN VIC. #2945 (75786 Miles)	2FAHP71V59X138171	C. BEYOND ECONOMICAL REPAIR
PUBLIC WORKS	2000 FORD E-150 P. VAN #4575 (95790 Miles)	1FMRE11L1YHB75583	B. WORN OUT
PUBLIC WORKS	2000 FORD E-150 P. VAN #4574 (85587 Miles)	1FMRE11L4YHB75612	B. WORN OUT
EHS/ COMM SERVICES	2002 TOYOTA PRIUS HYB. # 0220 (102336 Miles)	JT2BK12U720046784	B. WORN OUT



Contra
Costa
County

To: Board of Supervisors
From: Julia R. Bueren, Public Works Director/Chief Engineer
Date: September 22, 2015

Subject: 100 37th Street - Joint Occupancy Agreement Second Amendment

RECOMMENDATION(S):

APPROVE and AUTHORIZE the Public Works Director, or designee, to execute a Second Amendment to the Joint Occupancy Agreement with the Judicial Council of California, at the George D. Carroll Courthouse, located at 100 37th Street, Richmond. (Project No.: T00057)

FISCAL IMPACT:

There will be savings realized in annual occupancy costs and deficiency corrections due to the reduced footprint of the County in the Court building. (100% General Fund.)

BACKGROUND:

On March 31, 2009, in compliance with the Trial Court Facilities Act of 2002, the Board of Supervisors approved a Transfer Agreement and Joint Occupancy Agreement with the Judicial Council of California. The agreements transferred majority equity rights to the State of California for 100 37th Street Richmond, the George D. Carroll Courthouse.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Karen Laws,
925-313-2228

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

Title was transferred to the State of California on April 23, 2010. On May 5, 2015, a First amendment with the Judicial Council of California was approved that re-allocated parking spaces between the County and the Superior Court. On June 1, 2015, the County surrendered space in the mezzanine area and the Veterans Services Officer office in order for the Superior Court to vacate its files from 100 38th Street to 100 37th Street. This Second Amendment is an acknowledgement of what has transpired. The end result is a reduction of 2.21% in space occupied by the County in the George D. Carroll Courthouse and, therefore, lowers costs.

CONSEQUENCE OF NEGATIVE ACTION:

The County would have to pay for relocation of court files from 100 38th Street and the abandoned space would have to be re-occupied by the County.

ATTACHMENTS

Joint Occupancy Agreement

Facility: #07-F1

Building Name: George Carroll Courthouse

Building Address: 100 37th Street, Richmond CA

**SECOND AMENDMENT TO
JOINT OCCUPANCY AGREEMENT
BETWEEN
THE JUDICIAL COUNCIL OF CALIFORNIA
AND
THE COUNTY OF CONTRA COSTA**

SECOND AMENDMENT TO JOINT OCCUPANCY AGREEMENT

This Second Amendment to Joint Occupancy Agreement (the “**Second Amendment**”) dated _____, is made by and between the Judicial Council of California (the “**Judicial Council**”), and the County of Contra Costa (the “**County**”). County and Judicial Council may hereinafter be collectively referred to as the “**Parties**” or individually as a “**Party**.”

RECITALS

A. Responsibility for the existing Court facility located in the Building transferred from County to Judicial Council pursuant to the “Transfer Agreement for the Between the Judicial Council of California, Administrative Office of the Courts and the County of Contra Costa for the Transfer of Responsibility for Court Facility” dated March 31, 2009 (the “**Transfer Agreement**”), and management of the Parties’ joint use of the Building is controlled by that certain “Joint Occupancy Agreement between the Judicial Council of California, Administrative Office of the Courts and the County of Contra Costa” dated March 31, 2009 as amended by the “First Amendment to Joint Occupancy Agreement” dated April 17, 2015 (together, the “**JOA**”).

B. Pursuant to section 5.1.2 of the JOA, the County has offered to the Judicial Council and Court, and the Judicial Council and Court have agreed to accept, the right to permanently occupy and use a total of approximately 1,171 square feet of space in the Building that is currently designated as County Exclusive-Use Area consisting of approximately 929 square feet of space on the 1st floor, and approximately 242 square feet in the rooftop penthouse as shown on the drawings attached hereto as **Exhibit “A”** (the “**Additional Areas**”) provided that the Judicial Council accept responsibility for all costs associated with the on-going Operation of the Additional Areas, and with an Equity interest in that space.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, Judicial Council and County agree as follows:

AGREEMENT

1. **Incorporation of Recitals; Defined Terms.** The foregoing provisions of the Recitals are true and correct and are incorporated into this Amendment by this reference. Any defined terms not defined herein will have the definition meaning given those terms in the JOA.

2. **Additional Areas.** Commencing on the first day of the first month following full execution of this Second Amendment (the “**Effective Date**”), the County hereby grants to the Judicial Council on behalf of the Court, the exclusive

right to permanently occupy and use the Additional Areas subject to the terms and conditions of this Second Amendment and the JOA. The Parties acknowledge and agree that notwithstanding section 5.1.3 of the JOA this grant the right to occupy and use the Additional Areas shall be deemed to increase the Judicial Council's Equity in the Real Property, and the Additional Areas will be treated as Court Exclusive-Use Area for all purposes under the JOA.

3. Shares. Notwithstanding section 5.1.3 of the JOA, commencing as of the Effective Date, the Judicial Council Share will be increased from 74.99% to 77.20%, and the County Share will be decreased from 25.01% to 22.80%.

4. County Facilities Payment. The Parties acknowledge and agree that this Amendment shall have no effect whatsoever on the County's obligations regarding the County Facilities Payment under the Act and Transfer Agreement.

[REMAINDER OF PAGE INTENTIONALLY LEFT BLANK]

5. No Further Modifications. Except as specifically modified herein, the JOA remains unmodified and in full force and effect. If any conflict arises between the terms of the JOA and this Amendment, the terms of this Amendment shall govern.

IN WITNESS WHEREOF, the Parties hereto have executed this Amendment on the dates specified below their respective signature.

COUNTY OF CONTRA COSTA, a
political subdivision of the State of
California

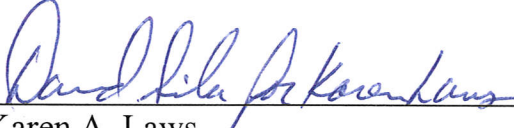
JUDICIAL COUNCIL OF CALIFORNIA

By: _____
Julia R. Bueren
Public Works Director

By: _____
Stephen Saddler
Manager, Business Services

RECOMMENDED FOR APPROVAL:

APPROVED AS TO FORM:
Judicial Council of California,
Legal Services

By: 
Karen A. Laws
Principal Real Property Agent

By: _____
Charles R. Martel
Attorney

APPROVED AS TO FORM:
SHARON L. ANDERSON, COUNTY
COUNSEL

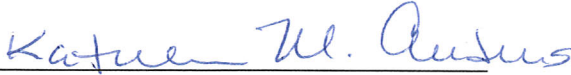
By: 
Kathleen M. Andrus,
Deputy County Counsel

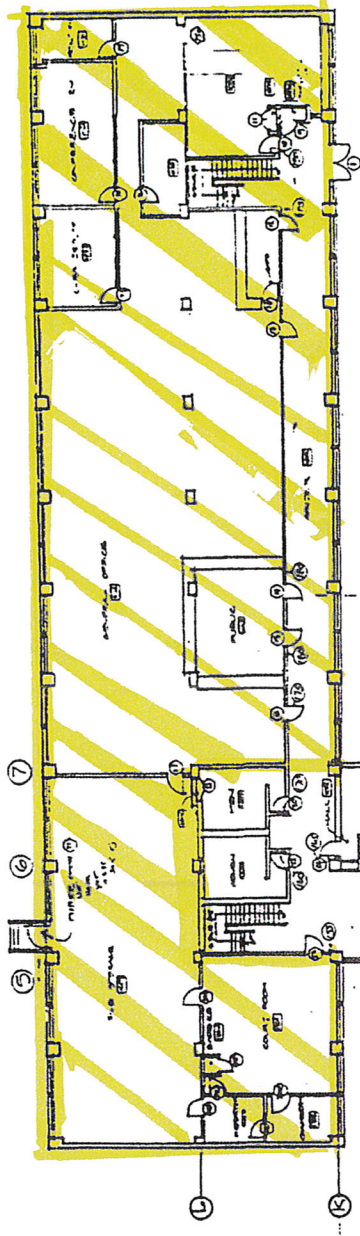
EXHIBIT “A”
COURTHOUSE FLOOR PLANS

See Attached

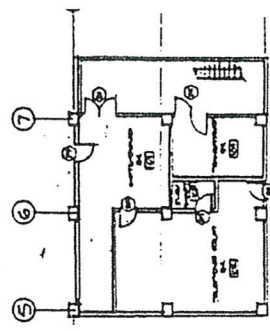
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[RICHMOND ADMIN BLDG 100 37th ST.] SITE PLAN

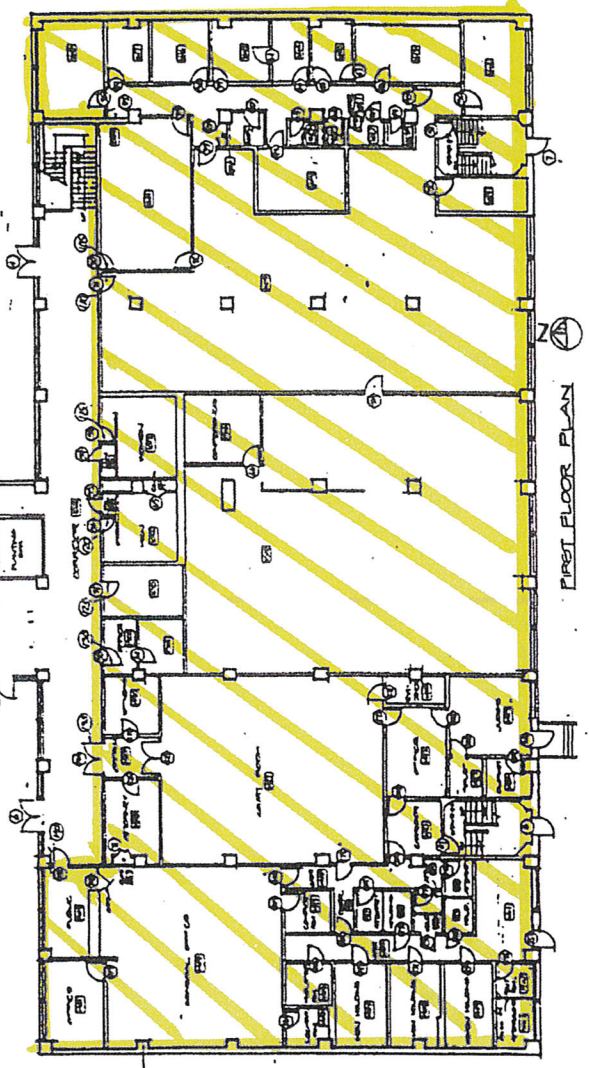
Exhibit "A"



= COUNTY
= COURT
= COMMON



BASEMENT FLOOR PLAN



FIRST FLOOR PLAN

Architectural floor plan of the second floor of a building. The plan shows a complex layout of rooms, corridors, and a central courtyard. The central area is marked with diagonal hatching, indicating it is a common area. The left section contains several small rooms and a staircase. The right section contains a large open space and a staircase. A legend in the center identifies the hatching: orange diagonal lines for 'COUNTY', yellow diagonal lines for 'COURT', and white for 'COMMON'. The plan is labeled 'SECOND FLOOR PLAN' at the bottom right.

SECOND FLOOR PLAN



**Contra
Costa
County**

To: Board of Supervisors
From: Kathy Gallagher, Employment & Human Services Director
Date: September 22, 2015

Subject: Issuance of Request for Proposal, Child Welfare Mandatory Drug Testing Services

RECOMMENDATION(S):

APPROVE and AUTHORIZE the Employment and Human Services Director, or designee, to issue Request for Proposal (RFP) #1145 for Child Welfare Mandatory Court Ordered Drug Testing services in an amount not to exceed \$360,000 for the period July 1, 2016 through June 30, 2017.

FISCAL IMPACT:

\$360,000: 30% County, 70% State Child Welfare Allocation

BACKGROUND:

Random drug testing and sweat patch testing are court ordered services as part of client service plans. Drug testing laboratory results are submitted for inclusion in court hearings and for Child Welfare Family Maintenance and Reunification cases. Services may include, but not limited to ensuring the availability of specimen collection sites, screening test specimens, provide a toll-free telephone number with daily client testing notification, and provision of monthly statistics for the client referred drug testing.

CONSEQUENCE OF NEGATIVE ACTION:

Employment and Human Services would be unable to adhere to court ordered drug testing.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Elaine Burres, (925)
313-1717

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

CHILDREN'S IMPACT STATEMENT:

Not applicable.



Contra
Costa
County

To: Board of Supervisors
From: Russell Watts, Treasurer-Tax Collector
Date: September 22, 2015

Subject: Treasurer's Quarterly Investment Report as of June 30, 2015

RECOMMENDATION(S):

ACCEPT the Treasurer's Quarterly Investment Report (the "Report") as of June 30, 2015, as recommended by the County Treasurer-Tax Collector.

FISCAL IMPACT:

None.

BACKGROUND:

Government Code Section 53646 requires the County Treasurer to prepare quarterly reports to the Board of Supervisors describing County investments including type, par value, cost, and market value. Attached please find the report covering the period April 1, 2015 through June 30, 2015.

As of June 30, 2015, the par value, cost, and market value of Contra Costa County Investment Pool were \$2,897,607,281.21, \$2,899,040,519.53, and \$2,900,071,234.56, respectively. The weighted yield to maturity was 0.46% and the weighted average days to maturity were 215 days.

As of June 30, 2015, The Treasurer's investment portfolio was in compliance with Government Code 53600 et. seq. and with the Treasurer's current investment policy.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Brice Bins, (925)
957-2848

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

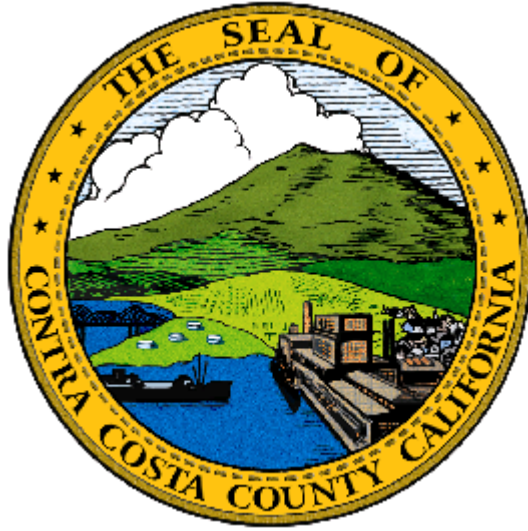
cc: Robert Campbell, County Auditor-Controller

BACKGROUND: (CONT'D)

Historical activities combined with future cash flow projections indicate that the County has sufficient liquidity to meet its obligations for the next six months.

ATTACHMENTS

Quarterly Report 6/30/2015



CONTRA COSTA COUNTY
TREASURER'S QUARTERLY INVESTMENT REPORT
AS OF JUNE 30, 2015

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EXECUTIVE SUMMARY

- The Treasurer's investment portfolio is in compliance with Government Code 53600 et. seq..
- The Treasurer's investment portfolio is in compliance with the Treasurer's current investment policy.
- The Treasurer's investment portfolio has no securities lending, reverse repurchase agreements or derivatives.
- As of 6/30/15, the fair value of the Treasurer's investment portfolio was 100.04% of the cost. More than 78 percent of the portfolio or over \$2.26 billion will mature in less than a year. Historical activities combined with future cash flow projections indicate that the County is able to meet its cash flow needs for the next six months.
- Treasurer's Investment Portfolio Characteristics

Par	\$2,897,607,281.21
Cost	\$2,899,040,519.53
Market Value	\$2,900,071,234.56
Weighted Yield to Maturity	0.46%
Weighted Average Days to Maturity	215 days
Weighted Duration	0.59 year

CONTRA COSTA COUNTY INVESTMENT POOL

As of June 30, 2015

<u>TYPE</u>	<u>PAR VALUE</u>	<u>COST</u>	<u>FAIR VALUE</u>	<u>PERCENT OF TOTAL COST</u>
A. Investments Managed by Treasurer's Office				
1. U.S. Treasuries (STRIPS, Bills, Notes)	\$23,915,000.00	\$23,953,135.49	\$23,971,205.15	0.83%
2. U.S. Agencies				
Federal Home Loan Banks	250,197,000.00	250,840,651.47	250,977,640.87	8.65%
Federal National Mortgage Association	110,156,000.00	111,173,222.20	110,697,069.04	3.83%
Federal Farm Credit Banks	118,941,000.00	118,948,992.72	119,139,728.19	4.10%
Federal Home Loan Mortgage Corporation	125,592,000.00	125,739,825.06	125,885,475.41	4.34%
Municipal Bonds	7,225,000.00	7,456,851.62 ¹	7,456,851.62 ¹	0.26%
Subtotal	612,111,000.00	614,159,543.07	614,156,765.13	21.18%
3. Money Market Instruments				
Commercial Paper	864,581,000.00	863,467,792.02	864,185,962.37	29.78%
Negotiable Certificates of Deposit	555,471,000.00	555,467,993.32	555,689,236.70	19.16%
Medium Term Certificates of Deposit	2,025,000.00	2,025,000.00	2,025,000.00	0.07%
Money Market Accounts	565,318.32	565,318.32	565,318.32	0.02%
Time Deposit	3,335.77	3,335.77	3,335.77	0.00%
Subtotal	1,422,645,654.09	1,421,529,439.43	1,422,468,853.16	49.03%
4. Asset Backed Securities/Mortgage Backed Securities	3,320,000.00	3,327,695.48	3,327,219.48	0.11%
5. Corporate Notes	135,272,000.00	135,421,872.22	135,432,662.26	4.67%
TOTAL (Section A.)	2,197,263,654.09	2,198,391,685.69	2,199,356,705.18	75.83%
B. Investments Managed by Outside Contractors				
1. Local Agency Investment Fund	211,941,083.45	211,941,083.45	212,020,768.85 ²	7.31%
2. Other				
a. EBRCS Bond	2,375,175.55	2,375,175.55	2,375,175.55	0.08%
b. Miscellaneous (BNY)	14,154.06	14,154.06	14,154.06	0.00%
c. Wells Capital Management	44,067,461.99	44,372,668.71	44,358,678.85	1.53%
d. CalTRUST (Short-Term Fund)	148,585,809.69	148,585,809.69	148,585,809.69	5.13%
Subtotal	195,042,601.29	195,347,808.01	195,333,818.15	6.74%
TOTAL (Section B.)	406,983,684.74	407,288,891.46	407,354,587.00	14.05%
C. Cash	293,359,942.38	293,359,942.38	293,359,942.38	10.12%
³GRAND TOTAL (FOR A , B, & C)	\$2,897,607,281.21	\$2,899,040,519.53	\$2,900,071,234.56	100.00%

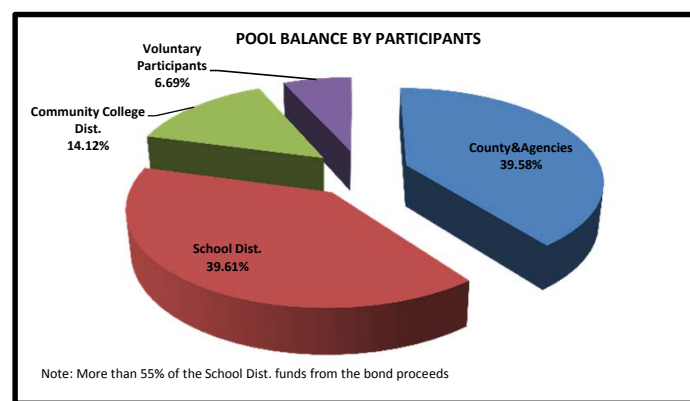
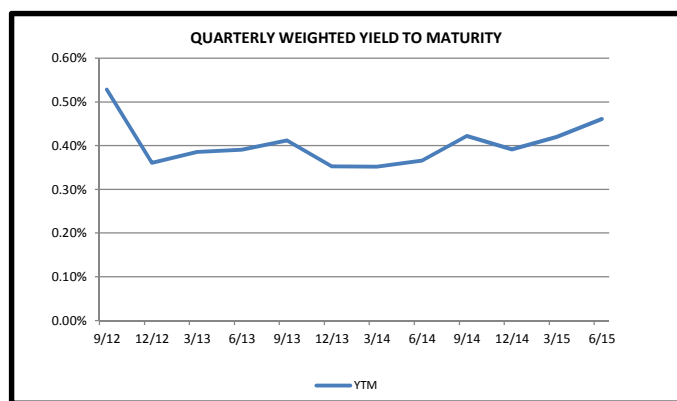
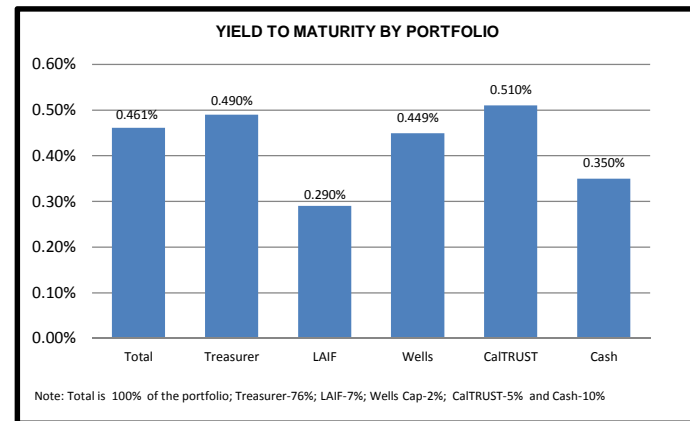
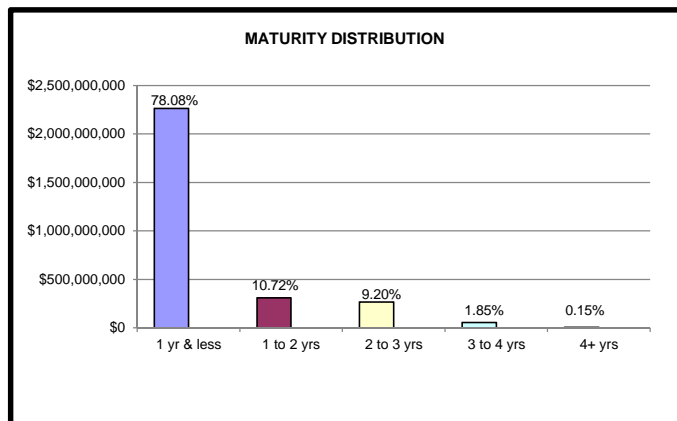
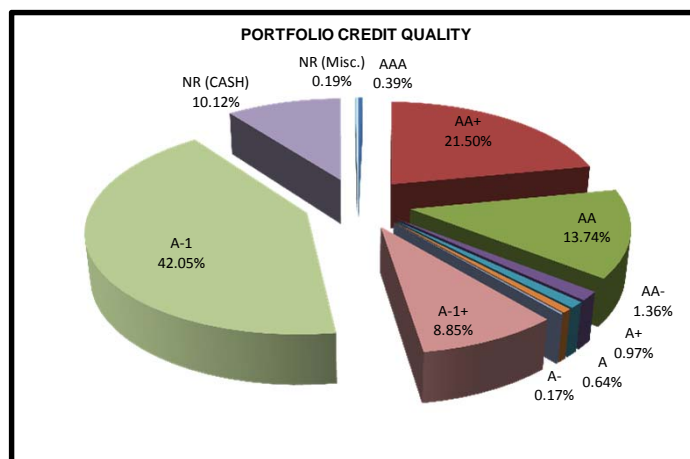
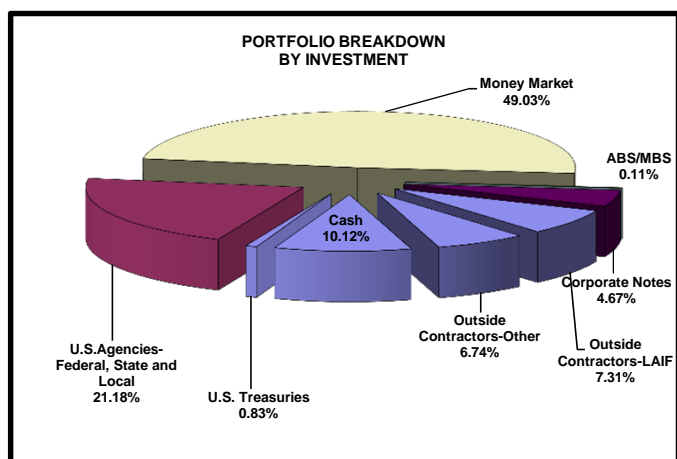
Notes:

1. Fair Value equals Cost less purchase interest

2. Estimated Fair Value

3. Does not include the Futuris Public Entity Trust of the Contra Costa Community College District Retirement Board of Authority

**CONTRA COSTA COUNTY
INVESTMENT POOL
AT A GLANCE**
AS OF JUNE 30, 2015

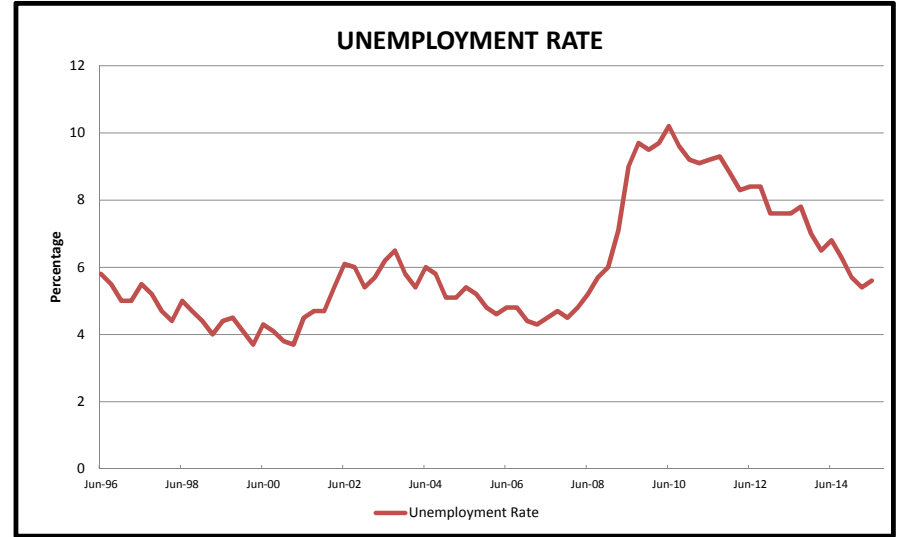
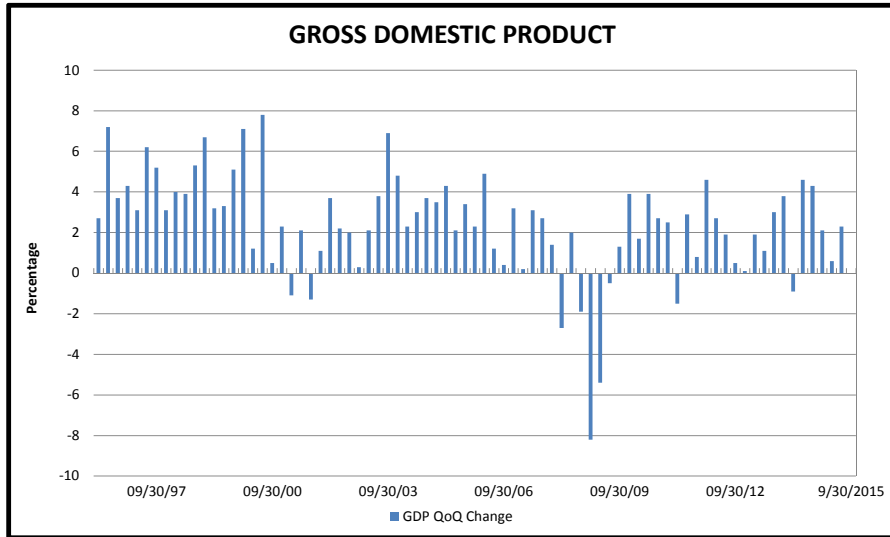
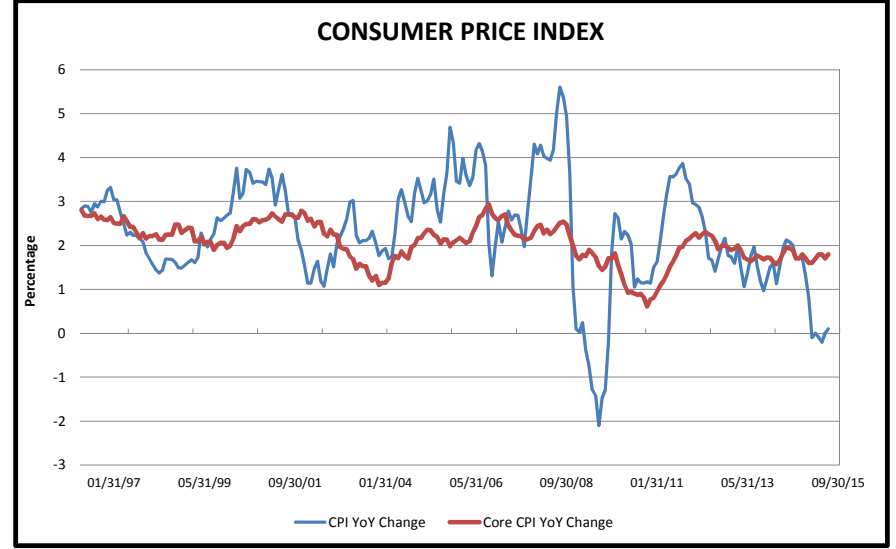
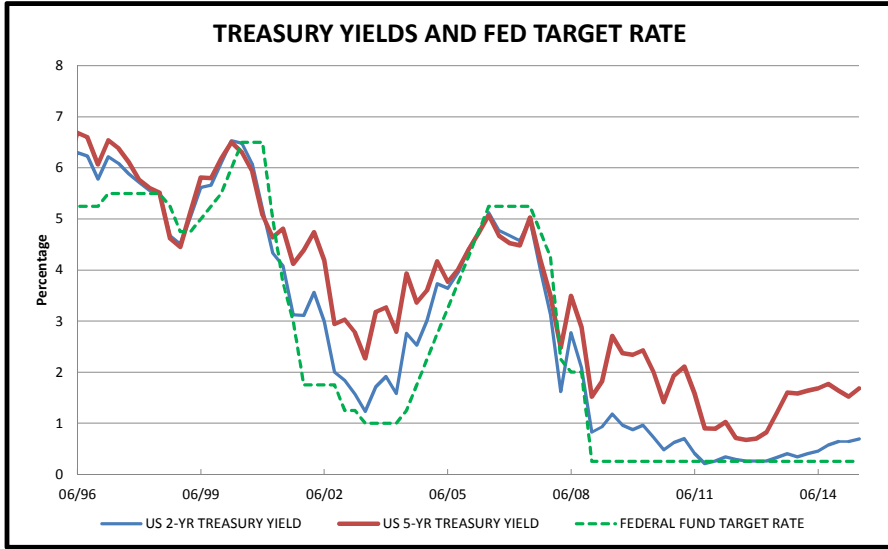


NOTES TO INVESTMENT PORTFOLIO SUMMARY AND AT A GLANCE AS OF JUNE 30, 2015

1. All report information is unaudited but due diligence was utilized in its preparation.
2. There may be slight differences between the portfolio summary page and the attached exhibits and statements for investments managed by outside contractors or trustees. The variance is due to the timing difference in recording transactions associated with outside contracted parties during interim periods and later transmitted to the appropriate county agency and/or the Treasurer's Office. In general, the Treasurer's records reflect booked costs at the beginning of a period.
3. All securities and amounts included in the portfolio are denominated in United States Dollars.
4. The Contra Costa County investment portfolio maintains Standard & Poor's highest credit quality rating of AA+ and lowest volatility of S1+. The portfolio consists of a large portion of short-term investments with credit rating of A-1/P-1 or better. The majority of the long-term investments in the portfolio are rated AA or better.
5. In accordance with Contra Costa County's Investment Policy, the Treasurer's Office has constructed a portfolio that safeguards the principal, meets the liquidity needs and achieves a return. As a result, more than 78% of the portfolio will mature in less than a year with a weighted average maturity of 215 days.

MAJOR MARKET AND ECONOMIC DATA

AS OF JUNE 30, 2015



Note:
All data provided by Bloomberg

SECTION III

APPENDIX

A. INVESTMENT PORTFOLIO DETAIL - MANAGED BY TREASURER'S OFFICE



Inventory by Market Value

Page 5

As Of Date: 06/30/2015

Date Basis: Settlement

Run: 07/21/2015 04:45:26 PM

Reporting Currency: Local

Contra Costa County

Inv. No.	Description	CUSIP	Purchase Maturity	Coupon YTM TR	Current Par /Share Current Book	Market Value Market Price	Curr Accr Int Price Source	Unrealized Gain Unrealized Loss
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Inv Type: 12 TREASURY NOTES

Subtotal	.992079	23,915,000.00	23,971,205.15	46,441.42	62,326.22
	.932274	23,953,135.49	100.235020		-43,607.48

Inv Type: 22 FEDERAL HOME LOAN BANKS

Subtotal	.976669	211,405,000.00	212,187,426.90	295,623.95	428,392.27
	.830464	212,060,255.40	100.370108		-300,172.09

Inv Type: 23 FEDERAL NATIONAL MORTGAGE ASSO

Subtotal	1.193742	109,156,000.00	109,697,119.04	313,463.00	261,012.96
	.952864	110,173,439.98	100.495730		-732,066.02

Inv Type: 26 AGENCY ABS FXD-M 30/360

Subtotal	1.590533	825,000.00	833,245.31	1,093.50	0.00
	1.051646	833,245.31	100.999432		0.00

Inv Type: 27 FEDERAL FARM CREDIT BANKS

Subtotal	.749234	118,941,000.00	119,139,728.19	211,290.72	232,285.65
	.754191	118,948,992.72	100.167081		-36,827.96

Inv Type: 28 FHLMC DISCOUNT NOTES

Subtotal	.220212	23,429,000.00	23,421,099.69	22,659.35	16,071.01
	.220652	23,382,369.33	99.966280		0.00

Inv Type: 29 FHLMC NOTES

Subtotal	.930338	102,163,000.00	102,464,375.72	275,178.54	178,747.27
	.833550	102,357,455.73	100.294995		-65,471.73



Inventory by Market Value

Page 6

As Of Date: 06/30/2015

Date Basis: Settlement

Run: 07/21/2015 04:45:26 PM

Reporting Currency: Local

Contra Costa County

Inv. No.	Description	CUSIP	Purchase Maturity	Coupon YTM TR	Current Par /Share Current Book	Market Value Market Price	Curr Accr Int Price Source	Unrealized Gain Unrealized Loss
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Inv Type: 31 MUNICIPAL BONDS

Subtotal	2.133314	7,225,000.00	7,456,851.62	56,475.38	0.00
	1.186443	7,456,851.62	103.209019		0.00

Inv Type: 41 FNMA DISCOUNT NOTES

Subtotal	.070000	1,000,000.00	999,950.00	136.11	31.67
	.070016	999,782.22	99.995000		0.00

Inv Type: 43 FHLB DISCOUNT NOTES

Subtotal	.083012	38,792,000.00	38,790,213.97	6,354.63	3,463.27
	.083054	38,780,396.07	99.995396		0.00

Inv Type: 50 AUTO ABS FXD-M 30/360

Subtotal	.859378	1,895,000.00	1,894,794.48	723.81	0.00
	.864791	1,894,794.48	99.989155		0.00

Inv Type: 53 CREDIT ABS FXD-SA 30/360

Subtotal	1.020000	600,000.00	599,179.69	2,193.00	0.00
	1.083588	599,655.69	99.863282		0.00

Inv Type: 70 COMM PAPER INTEREST BEARING

Subtotal	.202218	5,721,000.00	5,720,256.50	4,497.62	0.00
	.202218	5,721,000.00	99.987004		-743.50

Inv Type: 71 COMMERCIAL PAPER DISCOUNT

Subtotal	.284757	858,860,000.00	858,465,705.87	577,150.18	144,076.49
	.285166	857,746,792.02	99.954091		-2,312.82



Inventory by Market Value

Page 7

As Of Date: 06/30/2015

Date Basis: Settlement

Run: 07/21/2015 04:45:26 PM

Reporting Currency: Local

Contra Costa County

Inv. No.	Description	CUSIP	Purchase Maturity	Coupon YTM TR	Current Par /Share Current Book	Market Value Market Price	Curr Accr Int Price Source	Unrealized Gain Unrealized Loss
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Inv Type: 72 NEGOTIABLE CERT OF DEPOSIT

Subtotal	.306483	551,021,000.00	551,252,552.74	378,106.77	229,859.62
	.305575	551,022,693.12	100.042022		0.00

Inv Type: 73 CORP NOTE FLTG RT ACT- 360

Subtotal	.471236	10,500,000.00	10,507,575.00	11,534.09	55,125.00
	1.076025	10,452,450.00	100.072143		0.00

Inv Type: 74 CERT OF DEPOSIT MEDIUM TERM

Subtotal	.900000	2,025,000.00	2,025,000.00	13,921.88	0.00
	.900000	2,025,000.00	100.000000		0.00

Inv Type: 75 CORPORATE NOTES

Subtotal	1.341267	124,772,000.00	124,925,087.26	451,543.33	292,804.64
	1.169984	124,969,422.22	100.122694		-323,017.70

Inv Type: 79 YCD/NCD 30/360

Subtotal	1.375000	2,000,000.00	1,996,780.00	8,402.78	0.00
	1.430018	1,996,780.00	99.839000		0.00

Inv Type: 80 YCD / NCD QTR FLTR

Subtotal	.444600	2,450,000.00	2,439,903.96	635.41	0.00
	.492884	2,448,520.20	99.587917		-8,616.24

Inv Type: 99 MONEY MARKET ACCOUNTS

Subtotal	.000000	565,318.32	565,318.32	0.00	0.00
	.000000	565,318.32	100.000000		0.00



Inventory by Market Value

Page 8

As Of Date: 06/30/2015

Date Basis: Settlement

Run: 07/21/2015 04:45:26 PM

Reporting Currency: Local

Contra Costa County

Inv. No.	Description	CUSIP	Purchase Maturity	Coupon YTM TR	Current Par /Share Current Book	Market Value Market Price	Curr Accr Int Price Source	Unrealized Gain Unrealized Loss
----------	-------------	-------	-------------------	---------------	------------------------------------	------------------------------	-------------------------------	------------------------------------

Inv Type: 1000 TD WITH CALC CODE OF CSC-00

			Subtotal	.600000	3,335.77	3,335.77	22.41	0.00
				.600000	3,335.77	100.000000		0.00
Grand Total			Count 355	.530907	2,197,263,654.09	2,199,356,705.18	2,677,447.88	1,904,196.07
				.489628	2,198,391,685.69	100.095257		-1,512,835.54

Note: Grand Total does not include Retirement investments, if any.



Inventory by Market Value

Page 9

As Of Date: 06/30/2015

Date Basis: Settlement

Run: 07/21/2015 04:45:26 PM

Reporting Currency: Local

Contra Costa County

Assets (000's)	Current Par	Current Book	Market	MKT/Book	Un Gain/Loss	Yield
U.S. TREASURIES	23,915.00	23,953.14	23,971.21	100.08 %	18.72	0.93 %
U.S. AGENCIES	604,886.00	606,702.69	606,699.91	100.00 %	-14.53	0.77 %
MUNICIPALS	7,225.00	7,456.85	7,456.85	100.00 %	0.00	1.19 %
COMMERCIAL PAPER	864,581.00	863,467.79	864,185.96	100.08 %	141.02	0.28 %
NCD/YCD	557,496.00	557,492.99	557,714.24	100.04 %	221.24	0.31 %
CORPORATE NOTES	135,272.00	135,421.87	135,432.66	100.01 %	24.91	1.16 %
MBS/ABS	3,320.00	3,327.70	3,327.22	99.99 %	0.00	0.95 %
TIME DEPOSIT	3.34	3.34	3.34	100.00 %	0.00	0.60 %
Money Market Accounts	565.32	565.32	565.32	100.00 %	0.00	0.00 %
Totals(000's)	2,197,263.65	2,198,391.69	2,199,356.71	100.04 %	391.36	0.49 %

Asset Allocation



Inventory by Market Value

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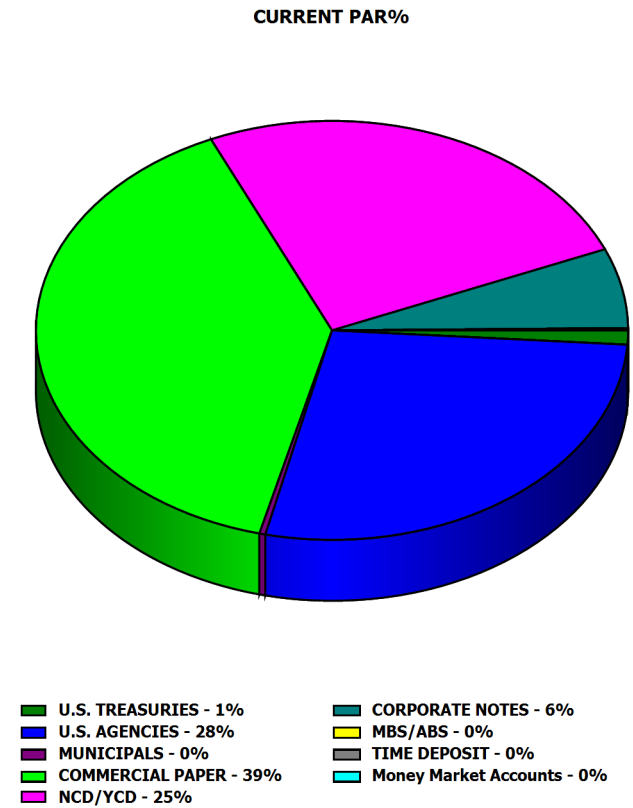
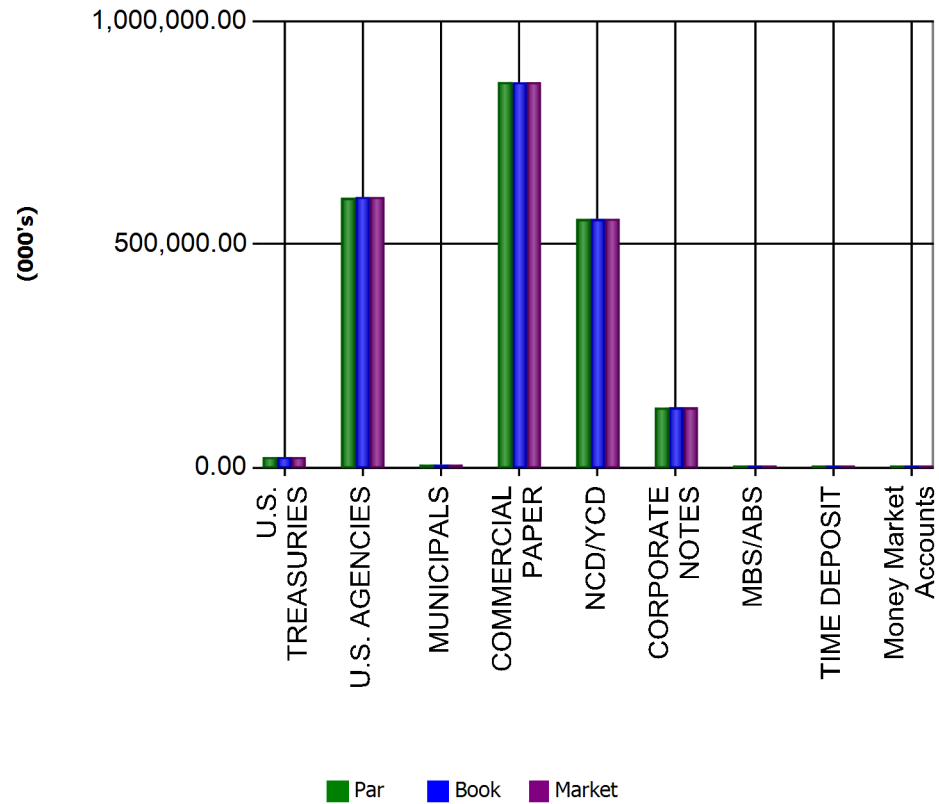
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Inv. No.	Description	CUSIP	Purchase Maturity	Coupon YTM TR	Current Par /Share Current Book	Market Value Market Price	Curr Accr Int Price Source	Unrealized Gain Unrealized Loss
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Inv Type: 12 TREASURY NOTES

81994	CCCCD GOV US TREASU	912828PK0	05/09/2014	2.250000	205,000.00	212,142.20	390.68	1,202.51
			11/30/2017	1.165963	210,939.69	103.484000	SUNGARD	0.00
82002	CCCSIG GOV US TREAS	912828QX1	06/05/2014	1.500000	225,000.00	227,742.75	1,407.80	0.00
			07/31/2016	.442255	227,778.77	101.219000	SUNGARD	-36.02
82003	CCCSIG GOV US TREAS	912828SY7	06/05/2014	.625000	365,000.00	364,941.60	193.22	1,366.88
			05/31/2017	.818703	363,574.72	99.984000	SUNGARD	0.00
82004	CCCSIG GOV US TREAS	912828RM4	06/05/2014	1.000000	1,820,000.00	1,833,795.60	3,066.30	0.00
			10/31/2016	.545942	1,839,692.97	100.758000	SUNGARD	-5,897.37
82056	CCCCD GOV US TREAS	912828VQ0	07/31/2014	1.375000	385,000.00	388,850.00	2,208.17	4,917.77
			07/31/2018	1.446611	383,932.23	101.000000	SUNGARD	0.00
82112	CCCSIG GOV US TREAS	912828TM2	09/04/2014	.625000	2,555,000.00	2,550,605.40	5,337.37	22,666.72
			08/31/2017	1.013383	2,527,938.68	99.828000	SUNGARD	0.00
82136	CCCCD GOV US TREAS	912828C65	10/06/2014	1.625000	70,000.00	70,836.50	285.92	742.30
			03/31/2019	1.589638	70,094.20	101.195000	SUNGARD	0.00
82181	CCCCD GOV US TREASU	912828KQ2	11/03/2014	3.125000	95,000.00	101,375.45	379.16	0.00
			05/15/2019	1.503637	101,724.22	106.711000	SUNGARD	-348.77
82182	CCCSIG GOV US TREAS	912828TB6	11/03/2014	.750000	2,860,000.00	2,865,577.00	58.29	7,996.57
			06/30/2017	.788660	2,857,580.43	100.195000	SUNGARD	0.00
82238	CCCSIG GOV US TREAS	912828UA6	12/03/2014	.625000	1,280,000.00	1,274,496.00	677.60	3,096.18
			11/30/2017	.874222	1,271,399.82	99.570000	SUNGARD	0.00
82247	CCCSIG GOV US TREAS	912828SC5	12/10/2014	.875000	275,000.00	276,526.25	1,003.70	748.42
			01/31/2017	.734933	275,777.83	100.555000	SUNGARD	0.00
82269	CCCCSD GOV US TREAS	912828TB6	12/10/2014	.750000	130,000.00	130,253.50	2.65	837.48
			06/30/2017	.928142	129,416.02	100.195000	SUNGARD	0.00
82285	CCCCD GOV US TREAS	912828WL0	01/07/2015	1.500000	100,000.00	100,555.00	127.05	187.81
			05/31/2019	1.413445	100,367.19	100.555000	SUNGARD	0.00
82377	CCCCD GOV US TREASU	912828VQ0	02/04/2015	1.375000	85,000.00	85,850.00	487.52	0.00
			07/31/2018	.916641	86,208.22	101.000000	SUNGARD	-345.31
82391	CCCCD GOV US TREASU	912828RH5	02/20/2015	1.375000	130,000.00	131,076.40	449.32	548.27
			09/30/2018	1.259340	130,528.13	100.828000	SUNGARD	0.00
82402	CCCCD GOV US TREASU	912828SH4	02/27/2015	1.375000	125,000.00	125,440.00	574.47	254.45
			02/28/2019	1.336788	125,185.55	100.352000	SUNGARD	0.00
82403	CCCSIG GOV US TREAS	912828UU2	02/27/2015	.750000	3,500,000.00	3,482,220.00	6,598.36	16,809.84
			03/31/2018	1.076208	3,465,410.16	99.492000	SUNGARD	0.00
82460	CCCSIG GOV US TREAS	912828F39	03/27/2015	1.750000	360,000.00	364,330.80	1,583.61	0.00



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			09/30/2019	1.403864	365,428.13	101.203000	SUNGARD	-1,097.33
82461	CCCSIG GOV US TREAS	912828SM3	03/27/2015	1.000000	3,595,000.00	3,622,537.70	9,036.61	951.02
			03/31/2017	.622165	3,621,586.68	100.766000	SUNGARD	0.00
82512	RM GOV US TREASURY	912828ST8	04/15/2015	1.250000	5,000,000.00	4,988,650.00	10,529.89	0.00
			04/30/2019	1.138894	5,021,875.00	99.773000	SUNGARD	-33,225.00
82544	CCCSIG GOV US TREAS	912828UU2	04/30/2015	.750000	515,000.00	512,383.80	970.90	0.00
			03/31/2018	.874966	513,549.15	99.492000	SUNGARD	-848.75
82577	CCCCD GOV US TREAS	912828ND8	05/29/2015	3.500000	240,000.00	261,019.20	1,072.83	0.00
			05/15/2020	1.503393	263,147.70	108.758000	SUNGARD	-1,808.93
			Subtotal	.992079	23,915,000.00	23,971,205.15	46,441.42	62,326.22
				.932274	23,953,135.49	100.235020		-43,607.48

Inv Type: 22 FEDERAL HOME LOAN BANKS

80648	RM GOV FHLB NOTES	313373SZ6	06/11/2012	2.125000	1,895,000.00	1,925,755.85	2,349.01	0.00
			06/10/2016	.760030	1,996,647.80	101.623000	SUNGARD	-70,891.95
80662	RM GOV FHLB NOTES	313373SZ6	06/20/2012	2.125000	2,840,000.00	2,886,093.20	3,520.42	0.00
			06/10/2016	.745089	2,993,104.40	101.623000	SUNGARD	-107,011.20
80907	WT GOV FHLB NOTES	313380EC7	10/10/2012	.750000	170,000.00	169,602.20	400.22	0.00
			09/08/2017	.790076	169,671.90	99.766000	SUNGARD	-69.70
81500	GOV FHLB CALLABLE N	313383EX5	06/18/2013	.810000	5,000,000.00	5,003,800.00	2,025.00	41,300.00
			06/13/2017	1.002401	4,962,500.00	100.076000	SUNGARD	0.00
82000	RM GOV FHLB NOTES	313379VE6	06/02/2014	1.010000	2,875,000.00	2,893,630.00	967.92	4,830.00
			06/19/2017	.850065	2,888,800.00	100.648000	SUNGARD	0.00
82012	RM GOV FEDERAL HOME	3130A2C61	06/13/2014	.375000	2,500,000.00	2,500,200.00	546.87	5,150.17
			06/10/2016	.475000	2,495,049.83	100.008000	SUNGARD	0.00
82038	RM GOV FHLB NOTES	313379DT3	07/15/2014	1.250000	6,205,000.00	6,229,137.45	4,955.38	57,023.95
			06/08/2018	1.390090	6,172,113.50	100.389000	SUNGARD	0.00
82039	CLT GOV FHLB NOTES	3130A2LC8	07/17/2014	.125000	250,000.00	250,000.00	148.44	112.50
			07/10/2015	.170949	249,887.50	100.000000	SUNGARD	0.00
82053	RM GOV FHLB NOTES	313379DT3	07/28/2014	1.250000	3,000,000.00	3,011,670.00	2,395.83	29,670.00
			06/08/2018	1.410094	2,982,000.00	100.389000	SUNGARD	0.00
82072	CCCSIG GOV FHLB	3130A2T97	08/07/2014	.500000	50,000.00	50,012.00	64.59	91.60
			09/28/2016	.605937	49,920.40	100.024000	SUNGARD	0.00
82093	DCD GOV FHL B NOTES	3130A2WK8	08/29/2014	.125000	1,400,000.00	1,400,000.00	597.92	837.20
			08/28/2015	.185049	1,399,162.80	100.000000	SUNGARD	0.00
82122	GOV FHLB NOTES	3130A33J1	09/19/2014	1.200000	5,000,000.00	5,040,950.00	17,000.00	40,950.00



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82166	CCCSIG GOV FHLB NOT	3130A2T97	09/19/2017	1.200000	5,000,000.00	100.819000	SUNGARD	0.00
			10/29/2014	.500000	1,440,000.00	1,440,345.60	1,860.00	0.00
			09/28/2016	.482109	1,440,489.60	100.024000	SUNGARD	-144.00
82187	GOV FHLB NOTES	3130A3CE2	11/05/2014	.625000	10,000,000.00	10,013,400.00	13,368.06	3,200.00
			10/14/2016	.572077	10,010,200.00	100.134000	SUNGARD	0.00
82189	RM GOV FHLB NOTES	3130A3EW0	11/07/2014	.500000	2,500,000.00	2,500,500.00	520.82	6,775.00
			12/16/2016	.620043	2,493,725.00	100.020000	SUNGARD	0.00
82196	RM GOV FHLB NOTES	3130A3E75	11/18/2014	.160000	370,000.00	369,933.40	49.33	144.30
			12/01/2015	.215107	369,789.10	99.982000	SUNGARD	0.00
82255	GOV FHLB NOTES	3130A3PC2	12/12/2014	.650000	5,000,000.00	5,005,600.00	1,715.28	12,550.00
			12/12/2016	.720127	4,993,050.00	100.112000	SUNGARD	0.00
82256	RM GOV FHLB NOTES	3130A3Q64	12/12/2014	.700000	3,500,000.00	3,505,145.00	1,293.06	3,080.00
			12/12/2016	.670252	3,502,065.00	100.147000	SUNGARD	0.00
82271	GOV FHLB NOTES	3130A3Q64	12/23/2014	.700000	5,000,000.00	5,007,350.00	1,847.22	14,200.00
			12/12/2016	.770206	4,993,150.00	100.147000	SUNGARD	0.00
82275	GOV FHLB NOTES	3130A3TA2	12/30/2014	1.000000	10,000,000.00	10,040,100.00	37,777.78	40,100.00
			08/15/2017	1.000000	10,000,000.00	100.401000	SUNGARD	0.00
82280	RM GOV FHLB NOTES	3130A3HF4	12/29/2014	1.125000	4,500,000.00	4,518,900.00	3,234.39	26,100.00
			12/08/2017	1.180284	4,492,800.00	100.420000	SUNGARD	0.00
82281	GOV FHLB NOTES	3130A3UR3	01/06/2015	.750000	10,000,000.00	10,022,900.00	36,458.33	22,900.00
			01/06/2017	.750000	10,000,000.00	100.229000	SUNGARD	0.00
82315	CCCCD GOV FHLB NOTE	313371PV2	01/21/2015	1.625000	6,165,000.00	6,259,262.85	6,122.18	0.00
			12/09/2016	.571735	6,286,450.50	101.529000	SUNGARD	-27,187.65
82316	CCCCD GOV FHLB NOTE	3130A2T97	01/21/2015	.500000	765,000.00	765,183.60	988.13	0.00
			09/28/2016	.470201	765,382.50	100.024000	SUNGARD	-198.90
82321	CCCCD GOV FHLB NOTE	3130A2T97	01/21/2015	.500000	11,470,000.00	11,472,752.80	14,815.42	0.00
			09/28/2016	.470153	11,475,735.00	100.024000	SUNGARD	-2,982.20
82322	CCCCD GOV FHLB NOTE	313371PV2	01/21/2015	1.625000	19,275,000.00	19,569,714.75	19,141.16	0.00
			12/09/2016	.571735	19,654,717.50	101.529000	SUNGARD	-85,002.75
82324	CCCSIG GOV FHLB NOT	3130A3J70	01/22/2015	.625000	2,090,000.00	2,091,274.90	1,378.81	0.00
			11/23/2016	.556000	2,092,628.34	100.061000	SUNGARD	-1,353.44
82390	CCCSIG GOV FHLB NOT	3130A2T97	02/19/2015	.500000	1,970,000.00	1,970,472.80	2,544.58	4,097.60
			09/28/2016	.615106	1,966,375.20	100.024000	SUNGARD	0.00
82400	RM GOV FHLB NOTES	3133782M2	02/25/2015	1.500000	5,000,000.00	5,027,200.00	23,541.67	10,600.00
			03/08/2019	1.415035	5,016,600.00	100.544000	SUNGARD	0.00
82405	CCCSIG GOV FHLB NOT	3130A2T97	03/03/2015	.500000	3,875,000.00	3,875,930.00	5,005.21	2,751.25
			09/28/2016	.530086	3,873,178.75	100.024000	SUNGARD	0.00



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82408	GOV FHLB NOTES	3130A4GJ5	03/04/2015	1.125000	10,000,000.00	10,022,900.00	20,625.00	32,200.00
			04/25/2018	1.155323	9,990,700.00	100.229000	SUNGARD	0.00
82419	GOV FHLB NOTES	3130A4K27	03/09/2015	.720000	10,000,000.00	10,008,600.00	23,000.00	24,900.00
			03/06/2017	.802655	9,984,300.00	100.086000	SUNGARD	0.00
82437	RM GOV FHLB NOTES	3133782N0	03/16/2015	.875000	3,000,000.00	3,010,170.00	8,093.75	2,160.00
			03/10/2017	.739127	3,008,447.50	100.339000	SUNGARD	0.00
82438	RM GOV FHLB NOTES	313382K85	03/16/2015	.450000	1,995,000.00	1,997,513.70	2,568.57	119.70
			03/18/2016	.330364	1,997,394.00	100.126000	SUNGARD	0.00
82450	CCCSIG GOV FHLB	3130A4QV7	03/24/2015	.840000	1,600,000.00	1,602,976.00	3,621.33	2,976.00
			03/24/2017	.840000	1,600,000.00	100.186000	SUNGARD	0.00
82451	CCCCD GOV FHLB	3130A4QV7	03/24/2015	.840000	165,000.00	165,306.90	373.45	306.90
			03/24/2017	.840000	165,000.00	100.186000	SUNGARD	0.00
82503	PW GOV FHLB NOTES	3130A4ZY1	04/21/2015	.240000	1,610,000.00	1,609,211.10	762.51	16.10
			04/20/2016	.290248	1,609,206.18	99.951000	SUNGARD	0.00
82550	GOV FHLB NOTES	3130A57K9	05/04/2015	1.000000	10,000,000.00	9,984,200.00	15,833.33	0.00
			05/04/2018	1.050244	9,985,200.00	99.842000	SUNGARD	-1,000.00
82552	GOV FHLB NOTES	3130A5DD8	05/07/2015	.720000	10,000,000.00	10,009,900.00	7,000.00	9,900.00
			05/26/2017	.720000	10,000,000.00	100.099000	SUNGARD	0.00
82561	CCCSIG GOV FHLB	3130A5EP0	05/15/2015	.625000	1,430,000.00	1,427,082.80	769.62	0.00
			05/30/2017	.666017	1,428,813.10	99.796000	SUNGARD	-1,730.30
82579	GOV FHLB NOTES	3130A5HF9	06/01/2015	.730000	10,000,000.00	9,997,400.00	202.74	0.00
			06/30/2017	.730000	10,000,000.00	99.974000	SUNGARD	-2,600.00
82582	RM GOV FHLB NOTES	313379EE5	06/08/2015	1.625000	2,500,000.00	2,512,450.00	1,918.40	6,450.00
			06/14/2019	1.563094	2,506,000.00	100.498000	SUNGARD	0.00
82583	GOV FHLB NOTES	3130A5NC9	06/11/2015	1.300000	5,000,000.00	5,015,100.00	3,611.11	15,100.00
			10/30/2018	1.300000	5,000,000.00	100.302000	SUNGARD	0.00
82590	GOV FHLB NOTES	3130A5JD2	06/29/2015	1.100000	10,000,000.00	10,007,800.00	611.11	7,800.00
			06/29/2018	1.100000	10,000,000.00	100.078000	SUNGARD	0.00
Subtotal				.976669	211,405,000.00	212,187,426.90	295,623.95	428,392.27
				.830464	212,060,255.40	100.370108		-300,172.09

Inv Type: 23 FEDERAL NATIONAL MORTGAGE ASSO

79869	RM GOV FNMA NOTES	3136FPBB2	09/09/2011	2.250000	898,000.00	900,900.54	7,071.75	0.00
			08/25/2015	.850175	946,860.18	100.323000	SUNGARD	-45,959.64
79870	RM GOV FNMA NOTES	3136FPBB2	09/09/2011	2.250000	2,692,000.00	2,700,695.16	21,199.50	0.00
			08/25/2015	.850175	2,838,471.72	100.323000	SUNGARD	-137,776.56



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79947	RM GOV FNMA NOTES	31398A3T7	10/13/2011	2.000000	3,605,000.00	3,620,104.95	20,027.78	0.00
			09/21/2015	1.140004	3,724,073.15	100.419000	SUNGARD	-103,968.20
80020	WT GOV FNMA NOTES	3135G0CM3	11/09/2011	1.250000	173,000.00	174,667.72	558.65	930.74
			09/28/2016	1.160000	173,736.98	100.964000	SUNGARD	0.00
80118	RM GOV FNMA NOTES	31398A4M1	12/09/2011	1.625000	6,000,000.00	6,028,380.00	17,604.17	0.00
			10/26/2015	.893123	6,167,100.00	100.473000	SUNGARD	-138,720.00
80281	RM GOV FNMA NOTES	3135G0AL7	01/23/2012	2.250000	4,035,000.00	4,090,804.05	26,731.88	0.00
			03/15/2016	1.000170	4,239,211.35	101.383000	SUNGARD	-148,407.30
80833	RM GOV FNMA NOTES	3135G0CM3	09/14/2012	1.250000	4,703,000.00	4,748,336.92	15,186.77	0.00
			09/28/2016	.615791	4,821,797.78	100.964000	SUNGARD	-73,460.86
81230	WT GOV FNMA NOTES	3135G0TG8	02/21/2013	.875000	165,000.00	164,594.10	573.49	352.08
			02/08/2018	.970000	164,242.02	99.754000	SUNGARD	0.00
81361	RM GOV FEDERAL NATL	3135G0JA2	04/15/2013	1.125000	3,900,000.00	3,929,601.00	7,800.01	0.00
			04/27/2017	.600233	3,981,432.00	100.759000	SUNGARD	-51,831.00
81449	GOV FNMA CALLABLE M	3136G1M63	05/22/2013	.750000	10,000,000.00	10,003,500.00	8,125.00	3,500.00
			05/22/2018	.750000	10,000,000.00	100.035000	SUNGARD	0.00
81478	AUHSO GOV FNMA NOTE	3135G0WJ8	06/07/2013	.875000	200,000.00	198,802.00	194.44	1,826.00
			05/21/2018	1.190082	196,976.00	99.401000	SUNGARD	0.00
81484	GOV FNMA CALLABLE M	3136G1MU0	06/12/2013	.850000	5,000,000.00	5,006,150.00	2,243.06	8,200.00
			06/12/2018	.860449	4,997,950.00	100.123000	SUNGARD	0.00
81503	GOV FNMA CALLABLE N	3136G1NU9	06/19/2013	1.000000	5,000,000.00	5,003,800.00	1,666.67	6,300.00
			06/19/2017	1.012787	4,997,500.00	100.076000	SUNGARD	0.00
81538	WT GOV FNMA NOTES	3135G0WJ8	07/17/2013	.875000	164,000.00	163,017.64	159.44	3,488.28
			05/21/2018	1.459815	159,529.36	99.401000	SUNGARD	0.00
81628	CCCSIG GOV FNMA NOT	3135G0CM3	10/03/2013	1.250000	235,000.00	237,265.40	758.85	0.00
			09/28/2016	.748553	238,393.71	100.964000	SUNGARD	-1,128.31
81629	CCCSIG GOV FNMA NOT	3135G0CM3	10/03/2013	1.250000	975,000.00	984,399.00	3,148.44	0.00
			09/28/2016	.740949	989,630.95	100.964000	SUNGARD	-5,231.95
81889	AUHSO GOV FNMA NOTE	3135G0ZA4	04/01/2014	1.875000	377,000.00	384,106.45	2,591.88	5,707.78
			02/19/2019	1.795148	378,398.67	101.885000	SUNGARD	0.00
81890	AUHSO GOV FNMA NOTE	3135G0ZA4	04/01/2014	1.875000	6,959,000.00	7,090,177.15	47,843.14	105,359.26
			02/19/2019	1.795148	6,984,817.89	101.885000	SUNGARD	0.00
81941	GOV FNMA NOTE	3135G0MZ3	04/17/2014	.875000	10,000,000.00	10,023,700.00	29,895.83	82,900.00
			08/28/2017	1.054521	9,940,800.00	100.237000	SUNGARD	0.00
81999	MDUSD GOV FNMA NOTE	3135G0ZB2	05/21/2014	.750000	49,000.00	49,058.80	72.48	45.08
			04/20/2017	.740235	49,013.72	100.120000	SUNGARD	0.00
82005	CCCCD GOV FNMA	3135G0YT4	06/05/2014	1.625000	115,000.00	116,391.50	176.49	718.75



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			11/27/2018	1.489435	115,672.75	101.210000	SUNGARD	0.00
82086	CCCSIG GOV FNMA NOT	3135G0ZL0	08/25/2014	1.000000	380,000.00	381,447.80	992.22	2,517.22
			09/27/2017	1.117972	378,930.58	100.381000	SUNGARD	0.00
82087	CCCCD GOV FNMA NOTE	3135G0ZL0	08/25/2014	1.000000	130,000.00	130,495.30	339.44	959.40
			09/27/2017	1.117972	129,535.90	100.381000	SUNGARD	0.00
82114	CCCSIG GOV FNMA NOT	3135G0JA2	09/08/2014	1.125000	555,000.00	559,212.45	1,109.99	904.65
			04/27/2017	.895628	558,307.80	100.759000	SUNGARD	0.00
82115	CCCCD GOV FNMA NOTE	3135G0JA2	09/08/2014	1.125000	200,000.00	201,518.00	400.00	573.82
			04/27/2017	.895628	200,944.18	100.759000	SUNGARD	0.00
82119	WT GOV FNMA NOTES	3135G0ZG1	09/12/2014	1.750000	166,000.00	167,329.66	879.57	2,431.90
			09/12/2019	1.889799	164,897.76	100.801000	SUNGARD	0.00
82156	CCCCD GOV FNMA	3135G0YT4	10/23/2014	1.625000	125,000.00	126,512.50	191.85	325.00
			11/27/2018	1.385424	126,187.50	101.210000	SUNGARD	0.00
82236	CCCCD GOV FNMA BENC	3135G0ZY2	12/03/2014	1.750000	100,000.00	100,525.00	170.14	0.00
			11/26/2019	1.612998	100,653.00	100.525000	SUNGARD	-128.00
82257	RM GOV FNMA NOTES	3135G0YT4	12/12/2014	1.625000	6,010,000.00	6,082,721.00	9,223.68	16,227.00
			11/27/2018	1.380107	6,066,494.00	101.210000	SUNGARD	0.00
82314	CCCCD GOV FNMA	3135G0XP3	01/21/2015	.375000	5,635,000.00	5,633,591.25	10,330.84	450.80
			07/05/2016	.397750	5,634,079.62	99.975000	SUNGARD	0.00
82320	CCCCD GOV FNMA	3135G0XP3	01/21/2015	.375000	12,440,000.00	12,436,890.00	22,806.66	995.20
			07/05/2016	.397750	12,437,968.13	99.975000	SUNGARD	0.00
82381	RM GOV FNMA NOTES	3136FTS67	02/09/2015	1.700000	6,000,000.00	6,064,740.00	35,133.33	0.00
			02/27/2019	1.329281	6,087,180.00	101.079000	SUNGARD	-22,440.00
82436	WT GOV FNMA NOTES	3135G0A78	03/16/2015	1.625000	170,000.00	169,763.70	1,227.78	0.00
			01/21/2020	1.627650	170,399.95	99.861000	SUNGARD	-214.20
82543	CCCSIG GOV FNMA NOT	3135G0ZL0	04/30/2015	1.000000	2,000,000.00	2,007,620.00	5,222.22	0.00
			09/27/2017	.781143	2,012,253.33	100.381000	SUNGARD	-2,800.00
82570	GOV FNMA NOTES CALL	3136G2K71	05/27/2015	1.250000	10,000,000.00	10,016,300.00	11,805.56	16,300.00
			05/25/2018	1.250000	10,000,000.00	100.163000	SUNGARD	0.00
			Subtotal	1.193742	109,156,000.00	109,697,119.04	313,463.00	261,012.96
				.952864	110,173,439.98	100.495730		-732,066.02

Inv Type: 26 AGENCY ABS FXD-M 30/360

82344	CCCSIG GOV FNMA ACE	3136AMKW8	01/30/2015	1.626000	440,000.00	444,396.70	596.20	0.00
			02/25/2018	.920628	444,396.70	100.999250	BOOK	0.00
82545	CCCSIG GOV FNMA-ACE	3136ANJY4	04/30/2015	1.550000	385,000.00	388,848.61	497.30	0.00



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			04/01/2018	1.201381	388,848.61	100.999639	BOOK	0.00
			Subtotal	1.590533	825,000.00	833,245.31	1,093.50	0.00
				1.051646	833,245.31	100.999432		0.00

Inv Type: 27 FEDERAL FARM CREDIT BANKS

80522	RM GOV FFCB NOTES	3133EAJU3	04/13/2012	1.050000	4,040,000.00	4,062,704.80	10,958.50	0.00
			03/28/2016	.810254	4,077,652.80	100.562000	SUNGARD	-14,948.00
80543	RM GOV FFCB NOTES	3133EAJU3	04/20/2012	1.050000	3,957,000.00	3,979,238.34	10,733.37	0.00
			03/28/2016	.790000	3,996,818.30	100.562000	SUNGARD	-17,579.96
80718	RM GOV FFCB NOTES	3133EAXG8	07/23/2012	.650000	2,494,000.00	2,501,107.90	7,610.16	2,244.60
			07/12/2016	.600206	2,498,863.30	100.285000	SUNGARD	0.00
81102	GOV FFCB CALLABLE N	3133EC5B6	12/28/2012	.640000	10,000,000.00	9,995,700.00	5,688.89	0.00
			11/29/2016	.640000	10,000,000.00	99.957000	SUNGARD	-4,300.00
81479	AUHSO GOV FFCB NOTE	3133ECPT5	06/07/2013	.650000	200,000.00	199,684.00	158.89	770.00
			05/17/2017	.790074	198,914.00	99.842000	SUNGARD	0.00
81579	RM GOV FFCB NOTES	3133ECWV2	08/20/2013	.875000	2,505,000.00	2,518,877.70	1,461.24	21,743.40
			12/07/2016	.972048	2,497,134.30	100.554000	SUNGARD	0.00
81732	GOV FEDERAL FARM CR	3133EDBM3	12/10/2013	.290000	5,545,000.00	5,545,055.45	938.03	5,600.45
			12/10/2015	.340213	5,539,455.00	100.001000	SUNGARD	0.00
81841	GOV FFCB CALLABLE	3133EDF31	02/11/2014	.570000	10,000,000.00	10,000,400.00	22,166.67	2,900.00
			08/11/2016	.580087	9,997,500.00	100.004000	SUNGARD	0.00
81958	GOV FEDERAL FARM CR	3133EDKJ0	05/01/2014	.700000	5,000,000.00	5,018,500.00	5,833.33	18,500.00
			11/01/2016	.700000	5,000,000.00	100.370000	SUNGARD	0.00
82137	GOV FFCB NOTES	3133EDXA5	10/10/2014	1.150000	10,000,000.00	10,059,500.00	25,875.00	61,500.00
			10/10/2017	1.156802	9,998,000.00	100.595000	SUNGARD	0.00
82185	RM GOV FFCB NOTES	3133EDYB2	11/04/2014	1.500000	2,000,000.00	2,006,660.00	13,750.00	16,360.00
			01/16/2019	1.620035	1,990,300.00	100.333000	SUNGARD	0.00
82195	GOV FFCB NOTES	3133EEBU3	11/18/2014	.600000	10,000,000.00	10,007,100.00	7,833.33	7,100.00
			11/14/2016	.600000	10,000,000.00	100.071000	SUNGARD	0.00
82218	GOV FFCB NOTES	3133EEDQ0	12/01/2014	.580000	5,000,000.00	5,003,600.00	2,416.67	4,600.00
			12/01/2016	.590074	4,999,000.00	100.072000	SUNGARD	0.00
82259	GOV FFCB NOTES	3133EEFA3	12/15/2014	.720000	10,000,000.00	10,015,600.00	3,200.00	15,600.00
			12/15/2016	.720000	10,000,000.00	100.156000	SUNGARD	0.00
82318	CCCCD GOV FFCB AGEN	3133EEKM1	01/21/2015	.300000	3,040,000.00	3,041,520.00	2,533.33	1,811.84
			03/21/2016	.308272	3,039,708.16	100.050000	SUNGARD	0.00
82323	CCCCD GOV FFCB AGEN	3133EEKM1	01/21/2015	.300000	10,160,000.00	10,165,080.00	8,466.67	6,055.36



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			03/21/2016	.308272	10,159,024.64	100.050000	SUNGARD	0.00
82380	GOV FFCB NOTES	3133EEKB5	02/09/2015	1.000000	10,000,000.00	10,012,400.00	44,166.66	40,500.00
			01/22/2018	1.096935	9,976,622.22	100.124000	SUNGARD	0.00
82382	RM GOV FFCB NOTES	3133EELZ1	02/09/2015	1.000000	5,000,000.00	4,991,900.00	12,777.76	11,900.00
			03/29/2018	1.130145	4,980,000.00	99.838000	SUNGARD	0.00
82472	GOV FFCB NOTES	3133EEWH9	04/02/2015	1.000000	10,000,000.00	10,015,100.00	24,722.22	15,100.00
			04/02/2018	1.000000	10,000,000.00	100.151000	SUNGARD	0.00
		Subtotal		.749234	118,941,000.00	119,139,728.19	211,290.72	232,285.65
				.754191	118,948,992.72	100.167081		-36,827.96

Inv Type: 28 FHLMC DISCOUNT NOTES

82345	CCCCD GOV FHLMC DIS	313396QM8	01/23/2015	.220000	11,640,000.00	11,636,242.87	11,310.20	8,051.00
			12/14/2015	.220438	11,616,881.67	99.967722	SUNGARD	0.00
82363	CCCCD GOV FHLMC DIS	313396QM8	01/23/2015	.220000	11,540,000.00	11,536,275.14	11,213.03	7,981.83
			12/14/2015	.220438	11,517,080.28	99.967722	SUNGARD	0.00
82483	RM GOV FHLMC DISC N	313396VA8	04/10/2015	.240000	249,000.00	248,581.68	136.12	38.18
			04/01/2016	.240573	248,407.38	99.832000	SUNGARD	0.00
		Subtotal		.220212	23,429,000.00	23,421,099.69	22,659.35	16,071.01
				.220652	23,382,369.33	99.966280		0.00

Inv Type: 29 FHLMC NOTES

78867	WT GOV FHLMC NOTES	3137EACM9	09/14/2010	1.750000	170,000.00	170,520.20	917.29	0.00
			09/10/2015	1.670070	170,647.70	100.306000	SUNGARD	-127.50
79343	WT GOV FHLMC NOTES	3134A4ZT4	02/25/2011	4.750000	155,000.00	158,861.05	3,313.13	0.00
			01/19/2016	2.330564	172,267.00	102.491000	SUNGARD	-13,405.95
80411	WT GOV FHLMC NOT	3137EADC0	03/12/2012	1.000000	170,000.00	171,071.00	533.61	2,058.70
			03/08/2017	1.120062	169,012.30	100.630000	SUNGARD	0.00
80900	CCCCD GOV FHLMC	3137EADL0	10/05/2012	1.000000	45,000.00	45,106.20	115.00	0.00
			09/29/2017	.764157	45,263.53	100.236000	SUNGARD	-157.33
81293	GOV FHLMC NOTES	3134G36F2	03/22/2013	.420000	10,000,000.00	10,005,700.00	12,016.67	5,700.00
			09/18/2015	.420000	10,000,000.00	100.057000	SUNGARD	0.00
81634	CCCCD GOV FHLMC NOT	3137EADS5	10/03/2013	.875000	265,000.00	266,484.00	495.95	678.40
			10/14/2016	.773322	265,805.60	100.560000	SUNGARD	0.00
81847	RM GOV FHLMC NOTE3S	3137EADC0	02/25/2014	1.000000	3,870,000.00	3,894,381.00	12,147.50	0.00
			03/08/2017	.780254	3,895,464.60	100.630000	SUNGARD	-1,083.60



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81869	RM GOV FEDERAL HOME	3137EADP1	03/14/2014	.875000	7,061,000.00	7,036,427.72	19,564.86	77,953.44
			03/07/2018	1.250049	6,958,474.28	99.652000	SUNGARD	0.00
81870	WT GOV FEDERAL HOME	3137EADP1	03/12/2014	.875000	157,000.00	156,453.64	435.02	1,915.40
			03/07/2018	1.279731	154,538.24	99.652000	SUNGARD	0.00
82009	RM GOV FHLMC	3137EADH9	06/13/2014	1.000000	2,484,000.00	2,497,637.16	138.00	9,548.99
			06/29/2017	.945000	2,488,088.17	100.549000	SUNGARD	0.00
82026	GOV FHLMC NOTES	3137EADL0	07/03/2014	1.000000	10,000,000.00	10,023,600.00	25,555.56	45,900.00
			09/29/2017	1.070130	9,977,700.00	100.236000	SUNGARD	0.00
82054	GOV FHLMC CALLABLE	3134G5CE3	07/28/2014	1.000000	10,000,000.00	10,005,400.00	42,500.00	5,400.00
			07/28/2017	1.000000	10,000,000.00	100.054000	SUNGARD	0.00
82076	CCCCD GOV FHLMC	3137EADJ5	08/14/2014	1.000000	75,000.00	75,349.50	318.75	353.70
			07/28/2017	1.001893	74,995.80	100.466000	SUNGARD	0.00
82077	CCCCD GOV FHLMC	3137EADJ5	08/14/2014	1.000000	40,000.00	40,186.40	170.00	188.63
			07/28/2017	1.002272	39,997.77	100.466000	SUNGARD	0.00
82078	GOV FHLMC NOTES	3137EADL0	08/11/2014	1.000000	5,000,000.00	5,011,800.00	12,777.78	16,900.00
			09/29/2017	1.033085	4,994,900.00	100.236000	SUNGARD	0.00
82080	CCCSIG GOV FHLMC	3137EADJ5	08/14/2014	1.000000	810,000.00	813,774.60	3,442.50	3,819.96
			07/28/2017	1.001893	809,954.64	100.466000	SUNGARD	0.00
82081	CCCSIG GOV FHLMC	3137EADJ5	08/14/2014	1.000000	465,000.00	467,166.90	1,976.25	2,192.86
			07/28/2017	1.002272	464,974.04	100.466000	SUNGARD	0.00
82305	CCCSIG GOV FHLMC RE	3137EADU0	01/16/2015	.500000	1,375,000.00	1,373,391.25	3,151.04	593.19
			01/27/2017	.586812	1,372,798.06	99.883000	SUNGARD	0.00
82312	AUHSO GOV FHLMC NOT	3134G3ZW3	01/21/2015	1.200000	2,486,000.00	2,483,762.60	11,849.93	0.00
			08/08/2018	1.200000	2,486,000.00	99.910000	SUNGARD	-2,237.40
82313	AUHSO GOV FHLMC NOT	3134G3ZW3	01/21/2015	1.200000	110,000.00	109,901.00	524.33	0.00
			08/08/2018	1.200000	110,000.00	99.910000	SUNGARD	-99.00
82317	CCCCD GOV FREDDIE M	3137EADC0	01/21/2015	1.000000	19,555,000.00	19,678,196.50	61,380.97	0.00
			03/08/2017	.643501	19,702,249.15	100.630000	SUNGARD	-24,052.65
82319	CCCCD GOV FHLMC	3137EADC0	01/21/2015	1.000000	9,485,000.00	9,544,755.50	29,772.36	0.00
			03/08/2017	.643501	9,556,422.05	100.630000	SUNGARD	-11,666.55
82343	CCCSIG GOV FHLMC	3137EADC0	01/29/2015	1.000000	985,000.00	991,205.50	3,091.81	0.00
			03/08/2017	.672177	991,747.25	100.630000	SUNGARD	-541.75
82446	CCCCD GOV FHLMC	3137EADJ5	03/20/2015	1.000000	95,000.00	95,442.70	403.75	119.70
			07/28/2017	.853802	95,460.22	100.466000	SUNGARD	0.00
82447	CCCSIG GOV FHLMC	3137EADJ5	03/20/2015	1.000000	4,305,000.00	4,325,061.30	18,296.25	5,424.30
			07/28/2017	.853802	4,325,855.33	100.466000	SUNGARD	0.00
82549	GOV FHLMC NOTES	3134G6YC1	05/01/2015	.670000	10,000,000.00	9,990,100.00	5,769.40	0.00



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82571	RM GOV FHLMC NOTES	3137EADG1	05/30/2017	.710296	9,991,700.00	99.901000	SUNGARD	-1,600.00
			05/27/2015	1.750000	3,000,000.00	3,032,640.00	4,520.83	0.00
			05/30/2019	1.379985	3,043,140.00	101.088000	SUNGARD	-10,500.00
			Subtotal	.930338	102,163,000.00	102,464,375.72	275,178.54	178,747.27
				.833550	102,357,455.73	100.294995		-65,471.73

Inv Type: 31 MUNICIPAL BONDS

80198	AUHSD MUNI COMPTON	204712FD0	01/03/2012	.000000	10,000.00	7,453.00	0.00	0.00
			08/01/2016	6.525847	7,453.00	74.530000	BOOK	0.00
80760	CCCCD MUNI PALO ALT	697379UA1	08/14/2012	1.402000	440,000.00	440,000.00	2,570.33	0.00
			08/01/2017	1.402000	440,000.00	100.000000	BOOK	0.00
81277	CCCSIG MUNI UNIV OF	91412GPX7	03/14/2013	.659000	1,240,000.00	1,240,000.00	1,044.15	0.00
			05/15/2016	.659000	1,240,000.00	100.000000	BOOK	0.00
81278	CCCCD MUNI UNIV OF	91412GPY5	03/14/2013	.966000	175,000.00	175,000.00	216.01	0.00
			05/15/2017	.966000	175,000.00	100.000000	BOOK	0.00
81314	CCCCD MUNI CA ST TX	13063BN73	03/27/2013	1.050000	120,000.00	120,405.60	721.00	0.00
			02/01/2016	.929226	120,405.60	100.338000	BOOK	0.00
81319	CCCSIG MUNI CA ST T	13063BN73	03/27/2013	1.050000	550,000.00	551,859.00	3,304.58	0.00
			02/01/2016	.929226	551,859.00	100.338000	BOOK	0.00
81508	AUHSD MUNI CHICAGO	167486JB6	06/27/2013	5.000000	170,000.00	189,542.34	4,250.00	0.00
			01/01/2017	1.618751	189,542.34	111.495494	BOOK	0.00
81509	AUHSD MUNI CHICAGO	167486JC4	06/27/2013	5.000000	390,000.00	421,318.77	9,750.00	0.00
			01/01/2017	2.592295	421,318.77	108.030454	BOOK	0.00
81510	AUHSD MUNI WASHINGT	93974B3K6	06/27/2013	5.000000	600,000.00	696,925.06	15,000.00	0.00
			01/01/2018	1.301222	696,925.06	116.154177	BOOK	0.00
81512	AUHSD MUNI SOUTH BE	836496NW5	06/27/2013	5.000000	505,000.00	547,506.38	11,643.06	0.00
			01/15/2016	1.616968	547,506.38	108.417105	BOOK	0.00
81514	AUHSD MUNI WICOMICO	967545R89	06/27/2013	3.500000	390,000.00	427,550.12	1,137.50	0.00
			12/01/2018	1.638640	427,550.12	109.628236	BOOK	0.00
81632	CCCSIG MUNI UNIV OF	91412GSX4	10/02/2013	.907000	415,000.00	415,000.00	480.96	0.00
			05/15/2016	.907000	415,000.00	100.000000	BOOK	0.00
81633	CCCCD MUNI UNIV OF	91412GSZ9	10/02/2013	2.054000	195,000.00	195,000.00	511.79	0.00
			05/15/2018	2.054000	195,000.00	100.000000	BOOK	0.00
81693	CCCCD MUNI CA ST TA	13063CFD7	11/05/2013	1.250000	125,000.00	125,586.25	260.42	0.00
			11/01/2016	1.090101	125,586.25	100.469000	BOOK	0.00
81694	CCCSIG MUNI CA ST T	13063CFD7	11/05/2013	1.250000	790,000.00	793,705.10	1,645.83	0.00



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			11/01/2016	1.090101	793,705.10	100.469000	BOOK	0.00
82302	CCCSIG MUNI ORANGE	68428LDJ0	01/13/2015	.780000	1,000,000.00	1,000,000.00	3,640.00	0.00
			05/02/2016	.780000	1,000,000.00	100.000000	BOOK	0.00
82387	CCCSIG MUNI MS ST T	605581FX0	02/18/2015	1.090000	110,000.00	110,000.00	299.75	0.00
			10/01/2017	1.090000	110,000.00	100.000000	BOOK	0.00
			Subtotal	2.133314	7,225,000.00	7,456,851.62	56,475.38	0.00
				1.186443	7,456,851.62	103.209019		0.00

Inv Type: 41 FNMA DISCOUNT NOTES

82515	CCCSD GOV FNMA DISC	313588KH7	04/22/2015	.070000	1,000,000.00	999,950.00	136.11	31.67
			08/12/2015	.070016	999,782.22	99.995000	SUNGARD	0.00
			Subtotal	.070000	1,000,000.00	999,950.00	136.11	31.67
				.070016	999,782.22	99.995000		0.00

Inv Type: 43 FHLB DISCOUNT NOTES

82131	RM GOV FHLB DISC	313384LP2	09/30/2014	.150000	295,000.00	294,982.30	336.79	70.80
			09/11/2015	.150216	294,574.71	99.994000	SUNGARD	0.00
82383	RM GOV FHLB DISCOUN	313384SW0	02/10/2015	.290000	997,000.00	996,444.17	1,132.43	1,235.17
			02/09/2016	.290853	994,076.57	99.944250	SUNGARD	0.00
82516	CCCSD GOV FHLB DISC	313384JL4	04/22/2015	.075000	2,500,000.00	2,499,970.83	364.58	80.21
			07/22/2015	.075014	2,499,526.04	99.998833	SUNGARD	0.00
82529	GOV FHLB DISC NOTES	313384JN0	04/29/2015	.075000	25,000,000.00	24,999,680.56	3,281.25	878.48
			07/24/2015	.075013	24,995,520.83	99.998722	SUNGARD	0.00
82551	GOV FHLB DISC NOTES	313384NH8	04/30/2015	.120000	5,000,000.00	4,999,208.33	1,033.33	1,108.33
			10/23/2015	.120070	4,997,066.67	99.984167	SUNGARD	0.00
82578	MTC GOV FHLB DISC N	313384JR1	05/29/2015	.045000	5,000,000.00	4,999,927.78	206.25	90.28
			07/27/2015	.045003	4,999,631.25	99.998556	SUNGARD	0.00
			Subtotal	.083012	38,792,000.00	38,790,213.97	6,354.63	3,463.27
				.083054	38,780,396.07	99.995396		0.00

Inv Type: 50 AUTO ABS FXD-M 30/360

82341	CCCSIG CORP HONDA A	43814KAB7	01/28/2015	.700000	420,000.00	419,978.08	130.67	0.00
			06/15/2017	.704310	419,978.08	99.994781	BOOK	0.00
82409	CCCSIG AB TOYOTA AU	89236WAB4	03/04/2015	.710000	630,000.00	629,994.02	198.81	0.00



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82502	CCCSIG ABS NAROT 20	65477UAC4	07/17/2017	.710792	629,994.02	99.999051	BOOK	0.00
			04/14/2015	1.050000	845,000.00	844,822.38	394.33	0.00
			10/15/2019	1.059409	844,822.38	99.978980	BOOK	0.00
			Subtotal	.859378	1,895,000.00	1,894,794.48	723.81	0.00
				.864791	1,894,794.48	99.989155		0.00

Inv Type: 53 CREDIT ABS FXD-SA 30/360

82448	CCCSIG AB CITIBANK	17305EFN0	03/20/2015	1.020000	600,000.00	599,179.69	2,193.00	0.00
			02/22/2019	1.083588	599,655.69	99.863282	BOOK	0.00
			Subtotal	1.020000	600,000.00	599,179.69	2,193.00	0.00
				1.083588	599,655.69	99.863282		0.00

Inv Type: 70 COMM PAPER INTEREST BEARING

82273	CCCSD CP TOYOTA MOT	89236B4C5	12/26/2014	.290000	2,250,000.00	2,249,755.63	3,389.38	0.00
			07/24/2015	.290000	2,250,000.00	99.989139	SUNGARD	-244.37
82413	PW CP TOYOTA MOTOR	89236B4N1	03/05/2015	.250000	1,200,000.00	1,199,586.67	983.33	0.00
			09/01/2015	.250000	1,200,000.00	99.965556	SUNGARD	-413.33
82584	PW CP TOYOTA MOTOR	89236B5P5	06/09/2015	.090000	771,000.00	770,970.87	42.41	0.00
			07/09/2015	.090000	771,000.00	99.996222	SUNGARD	-29.13
82585	PW CP TOYOTA MOTOR	89236B5P5	06/09/2015	.090000	1,500,000.00	1,499,943.33	82.50	0.00
			07/09/2015	.090000	1,500,000.00	99.996222	SUNGARD	-56.67
			Subtotal	.202218	5,721,000.00	5,720,256.50	4,497.62	0.00
				.202218	5,721,000.00	99.987004		-743.50

Inv Type: 71 COMMERCIAL PAPER DISCOUNT

82355	CCCCD CP JP MORGAN	46640QWM9	01/23/2015	.350000	3,950,000.00	3,948,200.56	6,106.04	1,349.59
			09/21/2015	.350822	3,940,744.93	99.954444	SUNGARD	0.00
82356	CCCCD CP TOYOTA MOT	89233HWM2	01/23/2015	.310000	3,950,000.00	3,948,200.56	5,408.21	989.70
			09/21/2015	.310645	3,941,802.65	99.954444	SUNGARD	0.00
82357	CCCCD CP GECC	36959JWM1	01/23/2015	.300000	3,950,000.00	3,948,200.56	5,233.75	899.73
			09/21/2015	.300604	3,942,067.08	99.954444	SUNGARD	0.00
82360	CCCCD CP GECC	36959JWM1	01/23/2015	.300000	1,995,000.00	1,994,091.17	2,643.37	454.42
			09/21/2015	.300603	1,990,993.38	99.954444	SUNGARD	0.00
82361	CCCCD CP TOYOTA MOT	89233HWM2	01/23/2015	.310000	1,995,000.00	1,994,091.17	2,731.49	499.86



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			09/21/2015	.310645	1,990,859.82	99.954444	SUNGARD	0.00
82362	CCCCD CP JP MORGAN	46640QWM9	01/23/2015	.350000	1,995,000.00	1,994,091.17	3,083.94	681.63
			09/21/2015	.350822	1,990,325.60	99.954444	SUNGARD	0.00
82392	CP UNION BANK OF CA	62478YUD8	02/20/2015	.220000	20,000,000.00	19,998,866.67	16,011.11	333.34
			07/13/2015	.220192	19,982,522.22	99.994333	SUNGARD	0.00
82399	PW CP GE CAPITAL CO	36959JVQ3	02/24/2015	.260000	500,000.00	499,872.50	458.61	67.50
			08/24/2015	.260340	499,346.39	99.974500	SUNGARD	0.00
82407	CP BNP PARIBAS	0556N1UU5	03/03/2015	.270000	30,000,000.00	29,996,175.00	27,000.00	2,250.00
			07/28/2015	.270298	29,966,925.00	99.987250	SUNGARD	0.00
82439	PW CP TOYOTA MOTOR	89233HWA8	03/16/2015	.260000	500,000.00	499,802.78	386.39	59.17
			09/10/2015	.260336	499,357.22	99.960556	SUNGARD	0.00
82440	CP ABBEY NATIONAL N	0027A1UV9	03/17/2015	.310000	25,000,000.00	24,996,694.44	22,819.44	2,722.22
			07/29/2015	.310358	24,971,152.78	99.986778	SUNGARD	0.00
82441	CP ABBEY NATIONAL N	0027A1UW7	03/17/2015	.310000	25,000,000.00	24,996,576.39	22,819.44	2,819.45
			07/30/2015	.310361	24,970,937.50	99.986306	SUNGARD	0.00
82442	CP SOCIETE GENERALE	83369CUX0	03/17/2015	.290000	25,000,000.00	24,996,458.33	21,347.22	2,500.00
			07/31/2015	.290318	24,972,611.11	99.985833	SUNGARD	0.00
82443	CP ABBEY NATIONAL N	0027A1UW7	03/18/2015	.320000	25,000,000.00	24,996,576.39	23,333.34	3,020.83
			07/30/2015	.320382	24,970,222.22	99.986306	SUNGARD	0.00
82444	CP SANDARD CHARTER	85324UUV3	03/18/2015	.250000	25,000,000.00	24,996,694.44	18,229.17	1,555.55
			07/29/2015	.250231	24,976,909.72	99.986778	SUNGARD	0.00
82445	CP SOCIETE GENERALE	83369CUX0	03/19/2015	.260000	25,000,000.00	24,996,458.33	18,777.77	1,875.00
			07/31/2015	.260252	24,975,805.56	99.985833	SUNGARD	0.00
82462	CP STANDARD CHARTER	85324UUV3	03/27/2015	.250000	25,000,000.00	24,996,694.44	16,666.67	1,555.55
			07/29/2015	.250215	24,978,472.22	99.986778	SUNGARD	0.00
82463	CP STANDARD CHARTER	85324UWU1	03/27/2015	.250000	25,000,000.00	24,996,576.39	16,666.67	1,611.11
			07/30/2015	.250217	24,978,298.61	99.986306	SUNGARD	0.00
82464	CP STANDARD CHARTER	85324UUX9	03/27/2015	.250000	25,000,000.00	24,996,458.33	16,666.67	1,666.66
			07/31/2015	.250219	24,978,125.00	99.985833	SUNGARD	0.00
82468	CP UBS FINANCE	90262DUX1	04/02/2015	.290000	25,000,000.00	24,996,458.33	18,125.00	2,500.00
			07/31/2015	.290281	24,975,833.33	99.985833	SUNGARD	0.00
82469	CP TOYOTA MOTOR CRE	89233HUX0	04/02/2015	.150000	25,000,000.00	24,996,458.33	9,375.00	0.00
			07/31/2015	.150075	24,987,500.00	99.985833	SUNGARD	-416.67
82470	CP SOCIETE GENERALE	83369CUX0	04/02/2015	.220000	25,000,000.00	24,996,458.33	13,750.00	1,041.66
			07/31/2015	.220161	24,981,666.67	99.985833	SUNGARD	0.00
82473	CP CREDIT AGRICOLE	22533UUT8	04/07/2015	.210000	25,000,000.00	24,996,930.56	12,395.83	722.23
			07/27/2015	.210136	24,983,812.50	99.987722	SUNGARD	0.00



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82474	CP TOYOTA MOTOR CRE	89233HUU6	04/07/2015	.140000	25,000,000.00	24,996,812.50	8,263.89	0.00
			07/28/2015	.140061	24,989,111.11	99.987250	SUNGARD	-562.50
82475	CP CREDIT SUISSE NY	2254EBVS7	04/08/2015	.260000	25,000,000.00	24,993,388.89	15,166.67	3,500.00
			08/26/2015	.260263	24,974,722.22	99.973556	SUNGARD	0.00
82476	CP CREDIT AGRICOLE	22533UVR1	04/08/2015	.270000	25,000,000.00	24,993,506.94	15,750.00	3,819.44
			08/25/2015	.270282	24,973,937.50	99.974028	SUNGARD	0.00
82480	CP UBS FINANCE DE	90262DWU5	04/10/2015	.355000	25,000,000.00	24,987,638.89	20,215.28	9,579.86
			09/28/2015	.355600	24,957,843.75	99.950556	SUNGARD	0.00
82481	CP NORDEA BANK	65558GWR0	04/10/2015	.240000	25,000,000.00	24,988,055.56	13,666.67	2,388.89
			09/25/2015	.240269	24,972,000.00	99.952222	SUNGARD	0.00
82482	CP RABOBANK NEDERLA	21687BX97	04/10/2015	.290000	25,000,000.00	24,983,333.33	16,513.89	3,472.22
			10/09/2015	.290426	24,963,347.22	99.933333	SUNGARD	0.00
82484	PW CP UNION BANK OF	62478YU97	04/10/2015	.180000	400,000.00	399,984.89	164.00	0.89
			07/09/2015	.180081	399,820.00	99.996222	SUNGARD	0.00
82488	CP UBS FINANCE DE	90262DXP5	04/13/2015	.380000	25,000,000.00	24,981,000.00	20,847.22	11,083.34
			10/23/2015	.380776	24,949,069.44	99.924000	SUNGARD	0.00
82501	CP BNP PARIBAS	0556N1XD0	04/14/2015	.330000	20,000,000.00	19,986,133.33	14,300.00	5,200.00
			10/13/2015	.330552	19,966,633.33	99.930667	SUNGARD	0.00
82504	CP BANK OF NOVA SCO	06417KYA1	04/16/2015	.270000	25,000,000.00	24,974,333.33	14,250.00	0.00
			11/10/2015	.270422	24,961,000.00	99.897333	SUNGARD	-916.67
82505	CP CREDIT AGRICOLE	22533UYR8	04/16/2015	.440000	25,000,000.00	24,971,416.67	23,222.22	16,333.34
			11/25/2015	.441203	24,931,861.11	99.885667	SUNGARD	0.00
82506	CP GENERAL ELECTRIC	36959JXT5	04/15/2015	.260000	25,000,000.00	24,980,333.33	13,902.78	1,638.88
			10/27/2015	.260367	24,964,791.67	99.921333	SUNGARD	0.00
82507	CP BNP PARIBAS NY	09659CXU7	04/15/2015	.390000	30,000,000.00	29,976,200.00	25,025.00	14,875.00
			10/28/2015	.390830	29,936,300.00	99.920667	SUNGARD	0.00
82508	CP CREDIT AGRICOLE	22533UWV1	04/15/2015	.280000	30,000,000.00	29,982,000.00	17,966.67	3,000.00
			09/29/2015	.280364	29,961,033.33	99.940000	SUNGARD	0.00
82514	CP CREDIT AGRICOLE	22533UYQ0	04/20/2015	.440000	30,000,000.00	29,965,933.33	26,400.00	19,466.66
			11/24/2015	.441175	29,920,066.67	99.886444	SUNGARD	0.00
82517	CCCSD CP GENERAL EL	36959JUQ4	04/22/2015	.130000	2,500,000.00	2,499,728.47	631.94	0.00
			07/24/2015	.130043	2,499,160.42	99.989139	SUNGARD	-63.89
82518	CCCSD CP TOYOTA MOT	89233HUQ5	04/23/2015	.120000	2,500,000.00	2,499,728.47	575.00	0.00
			07/24/2015	.120037	2,499,233.33	99.989139	SUNGARD	-79.86
82525	CCCSD CP TOYOTA MOT	89233HUQ5	04/27/2015	.110000	2,500,000.00	2,499,728.47	496.53	0.00
			07/24/2015	.110029	2,499,327.78	99.989139	SUNGARD	-95.84
82528	CP NORDEA BANK AB	65558GUW1	04/29/2015	.180000	25,000,000.00	24,996,576.39	7,875.00	201.39



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			07/30/2015	.180083	24,988,500.00	99.986306	SUNGARD	0.00
82553	CP TOYOTA MOTOR CRE	89233HZB3	05/08/2015	.290000	20,000,000.00	19,974,644.44	8,700.00	905.55
			12/11/2015	.290508	19,965,038.89	99.873222	SUNGARD	0.00
82555	CP RABOBANK NEDERLA	21687BZA2	05/11/2015	.310000	25,000,000.00	24,968,500.00	10,979.17	3,375.00
			12/10/2015	.310570	24,954,145.83	99.874000	SUNGARD	0.00
82556	PW CP TOYOTA MOTOR	89233HY99	05/12/2015	.250000	1,625,000.00	1,623,344.31	564.23	0.00
			11/09/2015	.250314	1,622,957.47	99.898111	SUNGARD	-177.39
82557	PW CP TOYOTA MOTOR	89233GB54	05/12/2015	.360000	500,000.00	498,965.83	250.00	60.83
			02/05/2016	.360971	498,655.00	99.793167	SUNGARD	0.00
82588	CP BNP PARIBAS	09659CZG6	06/26/2015	.400000	25,000,000.00	24,967,333.33	1,388.89	14,000.00
			12/16/2015	.400770	24,951,944.44	99.869333	SUNGARD	0.00
		Subtotal		.284757	858,860,000.00	858,465,705.87	577,150.18	144,076.49
				.285166	857,746,792.02	99.954091		-2,312.82

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82031	RM YCD BNP PARIBAS	05572NVB9	07/10/2014	.500000	1,020,000.00	1,020,071.33	5,043.33	71.33
			07/06/2015	.500000	1,020,000.00	100.006993	SUNGARD	0.00
82270	PW NCD UNION BANK O	62478TCZ0	12/22/2014	.400000	500,000.00	500,606.43	1,061.11	606.43
			12/22/2015	.400000	500,000.00	100.121285	SUNGARD	0.00
82272	CCCSD NCD UNION BAN	62478TDB2	12/26/2014	.280000	2,250,000.00	2,250,299.81	3,272.50	299.81
			07/24/2015	.280000	2,250,000.00	100.013325	SUNGARD	0.00
82287	PW YCD UBS AG STAMF	90267RE62	01/09/2015	.290000	4,300,000.00	4,300,200.56	5,992.53	200.56
			07/08/2015	.290000	4,300,000.00	100.004664	SUNGARD	0.00
82288	PW YCD UBS AG STAMF	90267RE62	01/09/2015	.290000	1,100,000.00	1,100,051.31	1,532.97	51.31
			07/08/2015	.290000	1,100,000.00	100.004664	SUNGARD	0.00
82289	PW YCD UBS AG STAMF	90267RE62	01/09/2015	.290000	2,000,000.00	2,000,093.28	2,787.22	93.28
			07/08/2015	.290000	2,000,000.00	100.004664	SUNGARD	0.00
82325	YCD BNP PARIBAS	05572NXA9	01/30/2015	.270000	25,000,000.00	25,001,318.79	28,500.00	1,318.79
			07/10/2015	.270000	25,000,000.00	100.005275	SUNGARD	0.00
82328	PW NCD UNION BANK O	62478TEC9	02/02/2015	.400000	500,000.00	500,329.51	827.78	329.51
			02/02/2016	.400000	500,000.00	100.065903	SUNGARD	0.00
82372	YCD ABBEY NATL TREA	00279H4N3	02/03/2015	.340000	25,000,000.00	25,004,331.25	34,944.44	4,331.25
			07/24/2015	.340000	25,000,000.00	100.017325	SUNGARD	0.00
82379	YCD CREDIT AGRICOLE	22533MYB1	02/05/2015	.270000	30,000,000.00	30,000,158.26	32,850.00	158.26
			07/01/2015	.270000	30,000,000.00	100.000528	SUNGARD	0.00
82406	YCD CREDIT SUISSE	22549VW66	03/03/2015	.290000	25,000,000.00	25,003,935.83	24,166.67	3,935.83



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			07/27/2015	.290000	25,000,000.00	100.015743	SUNGARD	0.00
82449	NCD BANK OF AMERICA	06052TMH0	03/24/2015	.240000	25,000,000.00	25,003,332.02	16,500.00	3,332.02
			07/30/2015	.240000	25,000,000.00	100.013328	SUNGARD	0.00
82458	YCD SOCIETE GENERAL	83369TRU3	03/25/2015	.260000	25,000,000.00	25,003,748.58	17,694.44	3,748.58
			07/30/2015	.260000	25,000,000.00	100.014994	SUNGARD	0.00
82465	YCD NORDEA BANK FIN	65558LCS9	03/30/2015	.195000	20,000,000.00	20,002,682.15	10,075.00	2,682.15
			08/11/2015	.195000	20,000,000.00	100.013411	SUNGARD	0.00
82466	YCD SOCIETE GENERAL	83369TSA6	03/30/2015	.250000	25,000,000.00	25,004,838.38	16,145.83	4,838.38
			08/10/2015	.250000	25,000,000.00	100.019354	SUNGARD	0.00
82467	YCD SOCIETE GENERAL	83369TRZ2	03/30/2015	.250000	25,000,000.00	25,003,540.37	16,145.83	1,847.25
			07/30/2015	.230000	25,001,693.12	100.014161	SUNGARD	0.00
82477	YCD ABBEY NATIONAL	00279H5E2	04/08/2015	.310000	30,000,000.00	30,011,112.47	21,700.00	11,112.47
			08/27/2015	.310000	30,000,000.00	100.037042	SUNGARD	0.00
82478	YCD CREDIT AGRICOLE	22533MD99	04/09/2015	.310000	25,000,000.00	25,011,495.34	17,868.06	11,495.34
			09/10/2015	.310000	25,000,000.00	100.045981	SUNGARD	0.00
82479	YCD ABBEY NATIONAL	00279H5F9	04/09/2015	.340000	20,000,000.00	20,010,540.22	15,677.78	10,540.22
			09/11/2015	.340000	20,000,000.00	100.052701	SUNGARD	0.00
82487	CCCSIG YCD CANADIAN	13606JYY9	04/10/2015	1.010000	2,150,000.00	2,150,000.00	4,946.19	0.00
			04/06/2017	1.010000	2,150,000.00	100.000000	BOOK	0.00
82489	PW NCD BANK OF AMER	06052TNA4	04/14/2015	.210000	800,000.00	800,037.54	364.00	37.54
			07/13/2015	.210000	800,000.00	100.004693	SUNGARD	0.00
82490	PW NCD BANK OF AMER	06052TNA4	04/14/2015	.210000	8,525,000.00	8,525,400.08	3,878.88	400.08
			07/13/2015	.210000	8,525,000.00	100.004693	SUNGARD	0.00
82491	PW NCD BANK OF AMER	06052TNA4	04/14/2015	.210000	500,000.00	500,023.47	227.50	23.47
			07/13/2015	.210000	500,000.00	100.004693	SUNGARD	0.00
82492	PW NCD BANK OF AMER	06052TNA4	04/14/2015	.210000	325,000.00	325,015.25	147.88	15.25
			07/13/2015	.210000	325,000.00	100.004693	SUNGARD	0.00
82493	PW NCD BANK OF AMER	06052TNA4	04/14/2015	.210000	1,500,000.00	1,500,070.40	682.50	70.40
			07/13/2015	.210000	1,500,000.00	100.004693	SUNGARD	0.00
82494	PW NCD BANK OF AMER	06052TNA4	04/14/2015	.210000	1,200,000.00	1,200,056.32	546.00	56.32
			07/13/2015	.210000	1,200,000.00	100.004693	SUNGARD	0.00
82495	PW NCD BANK OF AMER	06052TNA4	04/14/2015	.210000	750,000.00	750,035.20	341.25	35.20
			07/13/2015	.210000	750,000.00	100.004693	SUNGARD	0.00
82496	PW NCD BANK OF AMER	06052TNA4	04/14/2015	.210000	3,600,000.00	3,600,168.95	1,638.00	168.95
			07/13/2015	.210000	3,600,000.00	100.004693	SUNGARD	0.00
82497	PW NCD BANK OF AMER	06052TNA4	04/14/2015	.210000	900,000.00	900,042.24	409.50	42.24
			07/13/2015	.210000	900,000.00	100.004693	SUNGARD	0.00



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82498	PW NCD BANK OF AMER	06052TNA4	04/14/2015	.210000	300,000.00	300,014.08	136.50	14.08
			07/13/2015	.210000	300,000.00	100.004693	SUNGARD	0.00
82499	PW NCD BANK OF AMER	06052TNA4	04/14/2015	.210000	1,100,000.00	1,100,051.62	500.50	51.62
			07/13/2015	.210000	1,100,000.00	100.004693	SUNGARD	0.00
82500	NCD BANK OF AMERICA	06052TNA4	04/14/2015	.210000	5,500,000.00	5,500,258.12	2,502.50	258.12
			07/13/2015	.210000	5,500,000.00	100.004693	SUNGARD	0.00
82509	YCD UBS AG STAMFORD	90267RK81	04/16/2015	.390000	10,000,000.00	10,009,056.93	8,233.33	9,056.93
			11/13/2015	.390000	10,000,000.00	100.090569	SUNGARD	0.00
82510	YCD UBS AG STAMFORD	90267RK73	04/16/2015	.370000	15,000,000.00	15,011,171.78	11,716.67	11,171.78
			10/30/2015	.370000	15,000,000.00	100.074479	SUNGARD	0.00
82511	NCD BANK OF AMERICA	06052TND8	04/20/2015	.310000	25,000,000.00	25,016,313.98	15,500.00	16,313.98
			11/24/2015	.310000	25,000,000.00	100.065256	SUNGARD	0.00
82526	CCCSIG YCD RABOBANK	21684BXH2	04/27/2015	1.070000	2,000,000.00	2,000,000.00	3,863.89	0.00
			04/21/2017	1.070000	2,000,000.00	100.000000	BOOK	0.00
82527	YCD NORDEA BANK FIN	65558LDU3	04/28/2015	.185000	25,000,000.00	25,002,186.81	8,222.22	2,186.81
			07/30/2015	.185000	25,000,000.00	100.008747	SUNGARD	0.00
82530	YCD BNP PARIBAS	05572NYB6	04/29/2015	.240000	300,000.00	300,038.66	126.00	38.66
			07/29/2015	.240000	300,000.00	100.012885	SUNGARD	0.00
82531	YCD BNP PARIBAS	05572NYB6	04/29/2015	.240000	8,200,000.00	8,201,056.60	3,444.00	1,056.60
			07/29/2015	.240000	8,200,000.00	100.012885	SUNGARD	0.00
82532	YCD BNP PARIBAS	05572NYB6	04/29/2015	.240000	1,550,000.00	1,550,199.72	651.00	199.72
			07/29/2015	.240000	1,550,000.00	100.012885	SUNGARD	0.00
82533	YCD BNP PARIBAS	05572NYB6	04/29/2015	.240000	1,200,000.00	1,200,154.62	504.00	154.62
			07/29/2015	.240000	1,200,000.00	100.012885	SUNGARD	0.00
82534	YCD BNP PARIBAS	05572NYB6	04/29/2015	.240000	750,000.00	750,096.64	315.00	96.64
			07/29/2015	.240000	750,000.00	100.012885	SUNGARD	0.00
82535	YCD BNP PARIBAS	05572NYB6	04/29/2015	.240000	3,400,000.00	3,400,438.10	1,428.00	438.10
			07/29/2015	.240000	3,400,000.00	100.012885	SUNGARD	0.00
82536	YCD BNP PARIBAS	05572NYB6	04/29/2015	.240000	700,000.00	700,090.20	294.00	90.20
			07/29/2015	.240000	700,000.00	100.012885	SUNGARD	0.00
82537	YCD BNP PARIBAS	05572NYB6	04/29/2015	.240000	450,000.00	450,057.98	189.00	57.98
			07/29/2015	.240000	450,000.00	100.012885	SUNGARD	0.00
82538	YCD BNP PARIBAS	05572NYB6	04/29/2015	.240000	500,000.00	500,064.43	210.00	64.43
			07/29/2015	.240000	500,000.00	100.012885	SUNGARD	0.00
82539	YCD BNP PARIBAS	05572NYB6	04/29/2015	.240000	1,000,000.00	1,000,128.85	420.00	128.85
			07/29/2015	.240000	1,000,000.00	100.012885	SUNGARD	0.00
82540	YCD BNP PARIBAS	05572NYB6	04/29/2015	.240000	750,000.00	750,096.64	315.00	96.64



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			07/29/2015	.240000	750,000.00	100.012885	SUNGARD	0.00
82541	YCD BNP PARIBAS	05572NYB6	04/29/2015	.240000	800,000.00	800,103.08	336.00	103.08
			07/29/2015	.240000	800,000.00	100.012885	SUNGARD	0.00
82562	PW YCD BNP PARIBAS	05572NYH3	05/20/2015	.730000	2,000,000.00	2,005,389.29	1,703.33	5,389.29
			05/20/2016	.730000	2,000,000.00	100.269465	SUNGARD	0.00
82563	RM YCD BNP PARIBAS	05572NYL4	05/26/2015	.730000	1,007,000.00	1,009,763.89	735.11	2,763.89
			05/26/2016	.730000	1,007,000.00	100.274468	SUNGARD	0.00
82572	YCD ABBEY NATL TREA	00279H6D3	05/28/2015	.430000	25,000,000.00	25,032,636.92	10,152.78	32,636.92
			12/15/2015	.430000	25,000,000.00	100.130548	SUNGARD	0.00
82573	YCD UBS AG STAMFORD	90267RP52	05/28/2015	.420000	25,000,000.00	25,031,658.43	9,916.67	31,658.43
			12/16/2015	.420000	25,000,000.00	100.126634	SUNGARD	0.00
82576	CCCSIG YCD NORDEA B	65558LFA5	05/29/2015	1.150000	1,950,000.00	1,950,000.00	2,055.63	0.00
			05/26/2017	1.150000	1,950,000.00	100.000000	BOOK	0.00
82580	YCD BNP PARIBAS	05572NYT7	06/01/2015	.390000	25,000,000.00	25,027,974.92	8,125.00	27,974.92
			12/15/2015	.390000	25,000,000.00	100.111900	SUNGARD	0.00
82589	YCD BANK OF NOVA SC	06417H2Y1	06/29/2015	.370000	25,000,000.00	25,025,648.53	513.89	25,648.53
			12/15/2015	.370000	25,000,000.00	100.102594	SUNGARD	0.00
82591	HR NCD BANK OF AMER	06052TPE4	06/30/2015	.100000	3,000,000.00	3,000,103.32	8.33	103.32
			08/31/2015	.100000	3,000,000.00	100.003444	SUNGARD	0.00
82592	HR NCD BANK OF AMER	06052TPE4	06/30/2015	.100000	2,644,000.00	2,644,091.06	7.34	91.06
			08/31/2015	.100000	2,644,000.00	100.003444	SUNGARD	0.00
82593	HR NCD BANK OF AMER	06052TPE4	06/30/2015	.100000	5,000,000.00	5,000,172.20	13.89	172.20
			08/31/2015	.100000	5,000,000.00	100.003444	SUNGARD	0.00
			Subtotal	.306483	551,021,000.00	551,252,552.74	378,106.77	229,859.62
				.305575	551,022,693.12	100.042022		0.00

Inv Type: 73 CORP NOTE FLTG RT ACT- 360

81269	CORP GENERAL ELEC C	36962GU51	03/08/2013	.473750	10,000,000.00	10,007,200.00	11,054.39	54,750.00
			01/08/2016	1.108923	9,952,450.00	100.072000	SUNGARD	0.00
81794	CCCSIG CORP BERKSHI	084664CA7	01/10/2014	.421200	500,000.00	500,375.00	479.70	375.00
			01/10/2017	.421200	500,000.00	100.075000	SUNGARD	0.00
			Subtotal	.471236	10,500,000.00	10,507,575.00	11,534.09	55,125.00
				1.076025	10,452,450.00	100.072143		0.00



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Inv Type: 74 CERT OF DEPOSIT MEDIUM TERM								
82130	CCCSIG YCD TORONTO	89112UXV8	09/29/2014	.900000	2,025,000.00	2,025,000.00	13,921.88	0.00
			09/29/2016	.900000	2,025,000.00	100.000000	BOOK	0.00
			Subtotal	.900000	2,025,000.00	2,025,000.00	13,921.88	0.00
				.900000	2,025,000.00	100.000000		0.00

Inv Type: 75 CORPORATE NOTES

80448	CORP WALMART STORES	931142CX9	03/23/2012	1.500000	5,000,000.00	5,015,850.00	13,750.00	0.00
			10/25/2015	.937036	5,099,100.00	100.317000	SUNGARD	-83,250.00
80653	CCCCD CORP WALT DIS	25468PCS3	06/14/2012	1.125000	135,000.00	135,668.25	573.75	919.35
			02/15/2017	1.165961	134,748.90	100.495000	SUNGARD	0.00
80654	CCCCD CORP WALT DIS	25468PCS3	06/14/2012	1.125000	240,000.00	241,188.00	1,020.00	2,047.20
			02/15/2017	1.203989	239,140.80	100.495000	SUNGARD	0.00
81054	CCCCD CORP CHEVRON	166764AA8	12/05/2012	1.104000	105,000.00	104,550.60	83.72	0.00
			12/05/2017	1.104000	105,000.00	99.572000	SUNGARD	-449.40
81198	CORP GENERAL ELECTR	36962G6R0	02/04/2013	1.000000	5,000,000.00	5,014,100.00	24,027.78	14,100.00
			01/08/2016	1.000000	5,000,000.00	100.282000	SUNGARD	0.00
81217	CCCCD CORP BERKSHIR	084670BH0	02/11/2013	1.550000	245,000.00	246,176.00	1,497.90	1,516.55
			02/09/2018	1.579059	244,659.45	100.480000	SUNGARD	0.00
81244	CCCCD CORP PEPSICO	713448CE6	02/28/2013	.700000	100,000.00	100,061.00	243.07	96.00
			02/26/2016	.711836	99,965.00	100.061000	SUNGARD	0.00
81413	CCCCD CORP APPLE IN	037833AJ9	05/03/2013	1.000000	175,000.00	173,230.75	281.94	0.00
			05/03/2018	1.076001	174,354.25	98.989000	SUNGARD	-1,123.50
81472	CCCSIG CORP PFIZER	717081DD2	06/03/2013	.900000	1,800,000.00	1,801,908.00	7,470.00	4,788.00
			01/15/2017	.945019	1,797,120.00	100.106000	SUNGARD	0.00
81501	CORP GENERAL ELECTR	36962G6R0	06/18/2013	1.000000	5,000,000.00	5,014,100.00	24,027.78	17,750.00
			01/08/2016	1.028965	4,996,350.00	100.282000	SUNGARD	0.00
81542	CCCSIG CORP WELLS F	94974BFL9	07/29/2013	1.250000	1,170,000.00	1,174,960.80	6,540.63	6,084.00
			07/20/2016	1.283025	1,168,876.80	100.424000	SUNGARD	0.00
81577	CCCSIG CORP BERKSHI	084664BX8	08/15/2013	.950000	985,000.00	987,068.50	3,535.06	2,590.55
			08/15/2016	.967967	984,477.95	100.210000	SUNGARD	0.00
81650	CCCSIG CORP BERKSHI	084664BX8	10/15/2013	.950000	355,000.00	355,745.50	1,274.06	1,420.00
			08/15/2016	1.018105	354,325.50	100.210000	SUNGARD	0.00
81703	CORP TOYOTA MTR CRD	89233P6S0	11/15/2013	1.250000	5,000,000.00	5,012,750.00	14,930.56	68,250.00
			10/05/2017	1.545080	4,944,500.00	100.255000	SUNGARD	0.00



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81789	CORP MICROSOFT CORP	594918AV6	01/10/2014	1.625000	6,406,000.00	6,461,219.72	7,228.99	92,758.88
			12/06/2018	1.750063	6,368,460.84	100.862000	SUNGARD	0.00
81813	CORP INTEL STRUCTUR	458140AL4	01/27/2014	1.350000	5,211,000.00	5,209,124.04	3,126.61	29,494.26
			12/15/2017	1.510075	5,179,629.78	99.964000	SUNGARD	0.00
81899	CORP WELLS FARGO &	94974BFG0	04/07/2014	1.500000	5,000,000.00	4,990,600.00	34,375.00	30,700.00
			01/16/2018	1.720116	4,959,900.00	99.812000	SUNGARD	0.00
81948	CCCSIG CORP WAL-MAR	931142DN0	04/22/2014	1.000000	370,000.00	371,613.20	719.44	1,668.70
			04/21/2017	1.005095	369,944.50	100.436000	SUNGARD	0.00
81956	CCCSIG CORP TOYOTA	89233P6D3	04/28/2014	1.750000	975,000.00	988,484.25	1,848.44	0.00
			05/22/2017	1.200940	991,068.00	101.383000	SUNGARD	-2,583.75
81991	CCCSIG CORP APPLE I	037833AM2	05/06/2014	1.050000	1,500,000.00	1,504,485.00	2,406.25	5,280.00
			05/05/2017	1.067075	1,499,205.00	100.299000	SUNGARD	0.00
82116	CCCSIG CORP WELLS F	94974BGB0	09/09/2014	1.400000	810,000.00	812,073.60	3,559.50	2,875.50
			09/08/2017	1.433868	809,198.10	100.256000	SUNGARD	0.00
82197	CCCSIG CORP CHEVRON	166764AL4	11/18/2014	1.345000	350,000.00	351,424.50	601.51	1,424.50
			11/15/2017	1.345000	350,000.00	100.407000	SUNGARD	0.00
82203	CCCSIG CORP JOHNSON	478160BL7	11/21/2014	1.125000	1,130,000.00	1,130,000.00	1,412.50	892.70
			11/21/2017	1.151867	1,129,107.30	100.000000	SUNGARD	0.00
82258	CORP CHEVRON CORP	166764AA8	12/15/2014	1.104000	10,000,000.00	9,957,200.00	7,973.33	0.00
			12/05/2017	1.250044	9,957,510.00	99.572000	SUNGARD	-310.00
82290	CCCSIG CORP TOYOTA	89236TCA1	01/12/2015	1.450000	220,000.00	220,211.20	1,497.53	512.60
			01/12/2018	1.496870	219,698.60	100.096000	SUNGARD	0.00
82346	CCCCD CORP MERCK &	58933YAD7	01/23/2015	.700000	1,590,000.00	1,589,952.30	1,329.42	0.00
			05/18/2016	.435141	1,595,533.20	99.997000	SUNGARD	-5,580.90
82347	CCCCD CORP GECC	36962G6R0	01/23/2015	1.000000	1,590,000.00	1,594,483.80	7,640.83	0.00
			01/08/2016	.475357	1,598,628.40	100.282000	SUNGARD	-3,482.10
82348	CCCCD CORP JOHN DEE	24422ERF8	01/23/2015	1.850000	1,560,000.00	1,581,949.20	8,497.67	0.00
			09/15/2016	.616979	1,591,418.40	101.407000	SUNGARD	-9,469.20
82349	CCCCD CORP IBM CORP	459200GX3	01/23/2015	1.950000	1,565,000.00	1,587,489.05	13,478.56	0.00
			07/22/2016	.490189	1,599,123.52	101.437000	SUNGARD	-11,549.70
82350	CCCCD CORP EI DU PO	263534CD9	01/23/2015	1.950000	1,580,000.00	1,591,976.40	14,206.84	0.00
			01/15/2016	.489343	1,603,168.07	100.758000	SUNGARD	-10,507.00
82351	CCCCD CORP AMERICAN	0258M0DC0	01/23/2015	2.800000	1,525,000.00	1,556,903.00	12,098.33	0.00
			09/19/2016	.840060	1,574,028.75	102.092000	SUNGARD	-17,125.75
82352	CCCCD CORP WELLS FA	94974BFL9	01/23/2015	1.250000	1,590,000.00	1,596,741.60	8,888.55	0.00
			07/20/2016	.787164	1,601,057.13	100.424000	SUNGARD	-4,149.90
82353	CCCCD CORP US BANK	90331HMC4	01/23/2015	1.100000	1,585,000.00	1,588,613.80	7,313.01	0.00



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Contra Costa County

Inv. No.	Description	CUSIP	Purchase Maturity	Coupon YTM TR	Current Par /Share Current Book	Market Value Market Price	Curr Accr Int Price Source	Unrealized Gain Unrealized Loss
			01/30/2017	.828281	1,593,606.55	100.228000	SUNGARD	-4,992.75
82364	CCCCD CORP GE CAPIT	36962G6R0	01/23/2015	1.000000	1,990,000.00	1,995,611.80	9,563.06	0.00
			01/08/2016	.475357	2,000,799.07	100.282000	SUNGARD	-4,358.10
82365	CCCCD CORP EI DU PO	263534CD9	01/23/2015	1.950000	1,975,000.00	1,989,970.50	17,758.54	0.00
			01/15/2016	.489343	2,003,960.08	100.758000	SUNGARD	-13,133.75
82366	CCCCD CORP WELLS FA	94974BFL9	01/23/2015	1.250000	1,985,000.00	1,993,416.40	11,096.70	0.00
			07/20/2016	.787164	1,998,804.02	100.424000	SUNGARD	-5,180.85
82367	CCCCD CORP IBM CORP	459200GX3	01/23/2015	1.950000	1,960,000.00	1,988,165.20	16,880.50	0.00
			07/22/2016	.490189	2,002,736.17	101.437000	SUNGARD	-14,464.80
82368	CCCCD CORP JOHN DEE	24422ERF8	01/23/2015	1.850000	1,950,000.00	1,977,436.50	10,622.08	0.00
			09/15/2016	.616979	1,989,273.00	101.407000	SUNGARD	-11,836.50
82369	CCCCD CORP MERCK &	58933YAD7	01/23/2015	.700000	1,990,000.00	1,989,940.30	1,663.86	0.00
			05/18/2016	.435141	1,996,925.20	99.997000	SUNGARD	-6,984.90
82370	CCCCD CORP AMERICAN	0258M0DC0	01/23/2015	2.800000	1,905,000.00	1,944,852.60	15,112.99	0.00
			09/19/2016	.840060	1,966,245.75	102.092000	SUNGARD	-21,393.15
82371	CCCCD CORP US BANK	90331HMC4	01/23/2015	1.100000	1,980,000.00	1,984,514.40	9,135.50	0.00
			01/30/2017	.828281	1,990,751.40	100.228000	SUNGARD	-6,237.00
82375	CCCSIG CORP IBM COR	459200HZ7	02/06/2015	1.125000	1,880,000.00	1,868,062.00	8,518.75	0.00
			02/06/2018	1.228864	1,874,266.00	99.365000	SUNGARD	-6,204.00
82376	CCCCD CORP IBM CORP	459200HZ7	02/06/2015	1.125000	160,000.00	158,984.00	725.00	0.00
			02/06/2018	1.228864	159,512.00	99.365000	SUNGARD	-528.00
82386	CORP IBM CORP	459200HZ7	02/11/2015	1.125000	5,000,000.00	4,968,250.00	22,656.25	0.00
			02/06/2018	1.274939	4,978,881.25	99.365000	SUNGARD	-9,850.00
82404	CCCSIG CORP CHEVRON	166764AV2	03/03/2015	1.365000	1,065,000.00	1,064,552.70	4,764.99	0.00
			03/02/2018	1.365000	1,065,000.00	99.958000	SUNGARD	-447.30
82416	CCCSIG CORP EXXON M	30231GAL6	03/06/2015	1.305000	1,565,000.00	1,563,732.35	6,524.09	0.00
			03/06/2018	1.305000	1,565,000.00	99.919000	SUNGARD	-1,267.65
82417	CCCCD CORP EXXON MO	30231GAL6	03/06/2015	1.305000	125,000.00	124,898.75	521.09	0.00
			03/06/2018	1.305000	125,000.00	99.919000	SUNGARD	-101.25
82418	CORP CHEVRON CORP	166764AV2	03/09/2015	1.365000	10,000,000.00	9,995,800.00	44,741.67	0.00
			03/02/2018	1.372881	9,999,975.00	99.958000	SUNGARD	-1,900.00
82420	CCCCD CORP AMERICAN	02665WAT8	03/13/2015	1.500000	130,000.00	130,013.00	585.00	187.20
			03/13/2018	1.545883	129,825.80	100.010000	SUNGARD	0.00
82513	CCCCD CORP GENERAL	36962G4D3	04/15/2015	6.000000	100,000.00	114,309.00	2,400.00	0.00
			08/07/2019	1.743001	118,736.33	114.309000	SUNGARD	-3,294.00
82554	CORP APPLE INC	037833AJ9	05/12/2015	1.000000	10,000,000.00	9,898,900.00	16,111.11	0.00
			05/03/2018	1.257267	9,927,600.00	98.989000	SUNGARD	-26,200.00



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Contra Costa County

Inv. No.	Description	CUSIP	Purchase Maturity	Coupon YTM TR	Current Par /Share Current Book	Market Value Market Price	Curr Accr Int Price Source	Unrealized Gain Unrealized Loss
82560	CCCSIG CORP APPLE I	037833BB5	05/13/2015	.900000	565,000.00	565,158.20	678.00	548.05
			05/12/2017	.934955	564,610.15	100.028000	SUNGARD	0.00
82574	CCCSIG CORP BK OF N	06406HDB2	05/29/2015	1.600000	1,600,000.00	1,599,056.00	2,275.56	0.00
			05/22/2018	1.603145	1,599,856.00	99.941000	SUNGARD	-800.00
82575	CCCCD CORP BK OF NE	06406HDB2	05/29/2015	1.600000	125,000.00	124,926.25	177.78	0.00
			05/22/2018	1.603145	124,988.75	99.941000	SUNGARD	-62.50
82581	CORP JP MORGAN CHAS	46625HJL5	06/02/2015	1.625000	5,000,000.00	4,961,050.00	10,381.95	0.00
			05/15/2018	1.658000	4,999,086.81	99.221000	SUNGARD	-34,200.00
82586	CCCSIG CORP CISCO S	17275RAU6	06/17/2015	1.650000	1,725,000.00	1,731,123.75	1,106.88	6,417.00
			06/15/2018	1.655855	1,724,706.75	100.355000	SUNGARD	0.00
82587	CCCCD CORP CISCO SY	17275RAU6	06/17/2015	1.650000	130,000.00	130,461.50	83.42	483.60
			06/15/2018	1.655855	129,977.90	100.355000	SUNGARD	0.00
Subtotal				1.341267	124,772,000.00	124,925,087.26	451,543.33	292,804.64
				1.169984	124,969,422.22	100.122694		-323,017.70

Inv Type: 79 YCD/NCD 30/360

82118	CCCSIG NCD US BANK	90333VPF1	09/11/2014	1.375000	2,000,000.00	1,996,780.00	8,402.78	0.00
			09/11/2017	1.430018	1,996,780.00	99.839000	BOOK	0.00
Subtotal				1.375000	2,000,000.00	1,996,780.00	8,402.78	0.00
				1.430018	1,996,780.00	99.839000		0.00

Inv Type: 80 YCD / NCD QTR FLTR

82011	CCCSIG YCD BK NOVA	06417HMU7	06/13/2014	.444600	2,450,000.00	2,439,903.96	635.41	0.00
			06/10/2016	.492884	2,448,520.20	99.587917	SUNGARD	-8,616.24
Subtotal				.444600	2,450,000.00	2,439,903.96	635.41	0.00
				.492884	2,448,520.20	99.587917		-8,616.24

Inv Type: 99 MONEY MARKET ACCOUNTS

81524	AUHS D MM DREYFUS TA	26202K205	06/27/2013	.000000	537,226.12	537,226.12	0.00	0.00
			07/01/2015	.000000	537,226.12	100.000000	BOOK	0.00
81525	AUHS D MM DREYFUS TA	26202K205	06/27/2013	.000000	28,092.20	28,092.20	0.00	0.00
			07/01/2015	.000000	28,092.20	100.000000	BOOK	0.00
Subtotal				.000000	565,318.32	565,318.32	0.00	0.00
				.000000	565,318.32	100.000000		0.00



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Contra Costa County

Inv. No.	Description	CUSIP	Purchase Maturity	Coupon YTM TR	Current Par /Share Current Book	Market Value Market Price	Curr Accr Int Price Source	Unrealized Gain Unrealized Loss
Inv Type: 1000 TD WITH CALC CODE OF CSC-00								
82001	CD CCCCD BERTA KAMM	121101042	05/24/2014	.600000	3,335.77	3,335.77	22.41	0.00
			05/24/2017	.600000	3,335.77	100.000000	BOOK	0.00
			Subtotal	.600000	3,335.77	3,335.77	22.41	0.00
				.600000	3,335.77	100.000000		0.00
Grand Total			Count 355	.530907	2,197,263,654.09	2,199,356,705.18	2,677,447.88	1,904,196.07
				.489628	2,198,391,685.69	100.095257		-1,512,835.54

Note: Grand Total does not include Retirement investments, if any.



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Assets (000's)	Current Par	Current Book	Market	MKT/Book	Un Gain/Loss	Yield
U.S. TREASURIES	23,915.00	23,953.14	23,971.21	100.08 %	18.72	0.93 %
U.S. AGENCIES	604,886.00	606,702.69	606,699.91	100.00 %	-14.53	0.77 %
MUNICIPALS	7,225.00	7,456.85	7,456.85	100.00 %	0.00	1.19 %
COMMERCIAL PAPER	864,581.00	863,467.79	864,185.96	100.08 %	141.02	0.28 %
NCD/YCD	557,496.00	557,492.99	557,714.24	100.04 %	221.24	0.31 %
CORPORATE NOTES	135,272.00	135,421.87	135,432.66	100.01 %	24.91	1.16 %
MBS/ABS	3,320.00	3,327.70	3,327.22	99.99 %	0.00	0.95 %
TIME DEPOSIT	3.34	3.34	3.34	100.00 %	0.00	0.60 %
Money Market Accounts	565.32	565.32	565.32	100.00 %	0.00	0.00 %
Totals(000's)	2,197,263.65	2,198,391.69	2,199,356.71	100.04 %	391.36	0.49 %

Asset Allocation



Inventory by Market Value

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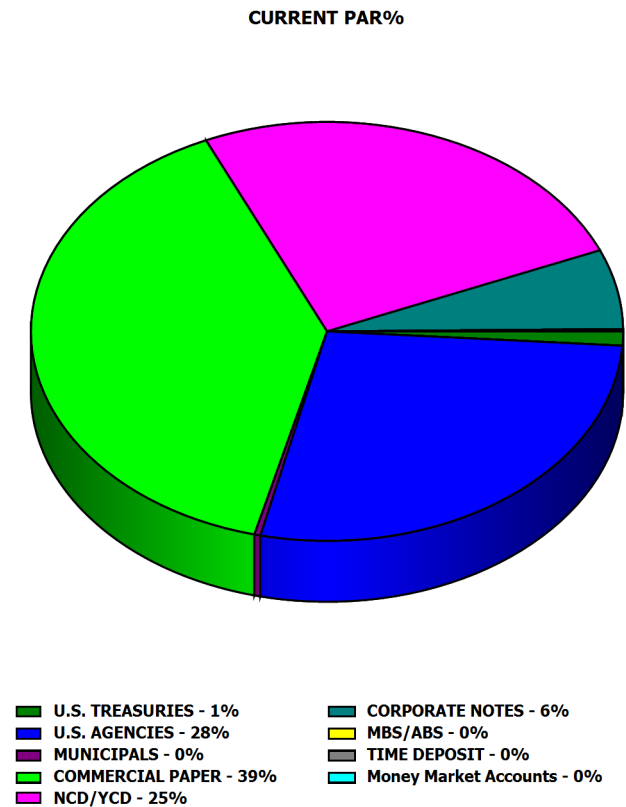
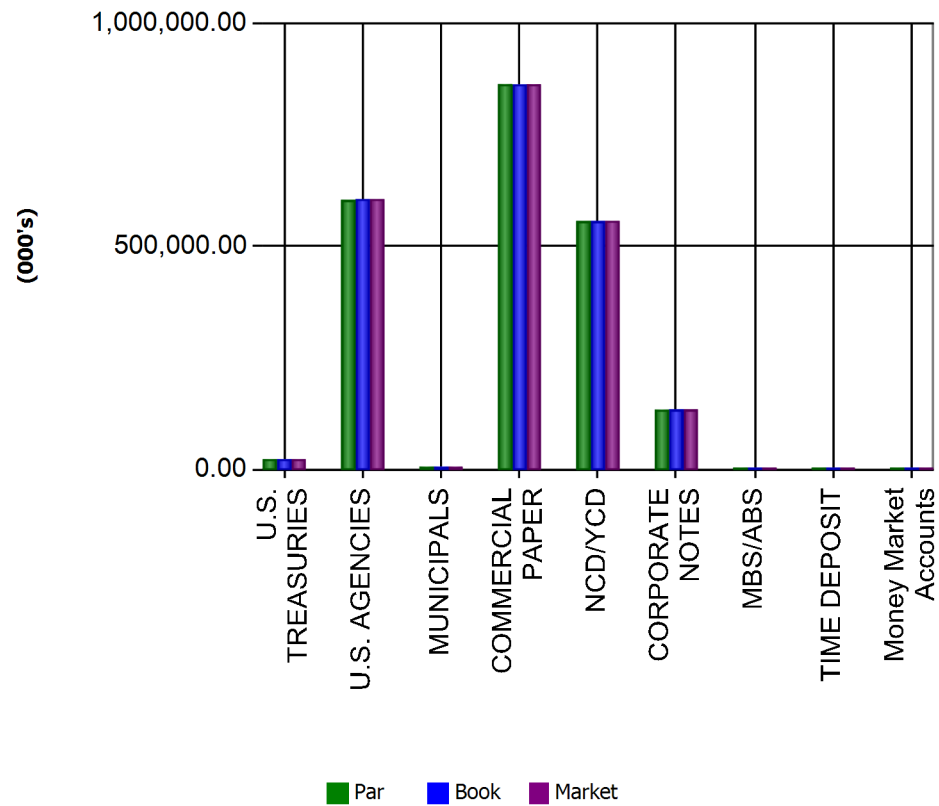
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Contra Costa County





AvantGard • www.sungard.com/avantgard • (818) 223-2300 main • (818) 223-2301 fax

January 22, 2015

Belinda Zhu
Assistant County Treasurer
Contra Costa County
625 Court Street, Room 100
Martinez, CA 94533

Dear Belinda,

Per your request, I am confirming for you the source of the SunGard market pricing data.

Monthly you will receive three pricing files from us: RAPID, PRICES and MARKET. The data within these files is obtained from Interactive Data Corp. The content of the PRICES file consist of prices for overnight repos, TDs, CDs, CPs, B/As and government discount issues other than Treasury Bills. The content of the RAPID file consists of prices for U.S. government issues, and Treasuries. The MARKET file consists of Corporate Bonds, Notes and Equity prices.

If you need further assistance, please do not hesitate to contact me.

Sincerely,

A handwritten signature in blue ink, appearing to read "Karen M. Tanaka".

Karen M. Tanaka
Senior Account Relationship Manager

SECTION III

APPENDIX

B. INVESTMENT PORTFOLIO DETAIL – MANAGED BY OUTSIDE CONTRACTED PARTIES

B.1. STATE OF CALIFORNIA LOCAL AGENCY INVESTMENT FUND (LAIF)

**CONTRA COSTA COUNTY
AS OF JUNE 30, 2015**

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CALIFORNIA STATE LOCAL AGENCY INVESTMENT ACCOUNTS	STATE CONTROLLER ACCOUNT NUMBER	ACCOUNT BALANCE	ESTIMATED FAIR VALUE
ACALANES UNION HIGH SCHOOL	75-07-010	946,938.05	947,294.08
ANTIOCH UNIFIED SCHOOL DISTRICT	75-07-005	819,269.00	819,577.03
BRENTWOOD UNION SCHOOL DISTRICT	75-07-013	8,123,833.60	8,126,887.99
BYRON UNION SCHOOL DISTRICT	75-07-017	165,221.49	165,283.61
CANYON ELEMENTARY SCHOOL DISTRICT	75-07-018	192,083.50	192,155.72
CENTRAL CONTRA COSTA SANITARY DISTRICT	70-07-001	43,000,000.00	43,016,167.10
CONTRA COSTA COMMUNITY COLLEGE	75-07-001	614,645.51	614,876.60
CONTRA COSTA COUNTY	99-07-000	50,000,000.00	50,018,798.95
CONTRA COSTA COUNTY OFFICE OF EDUCATION	75-07-007	1,279,712.24	1,280,193.38
CONTRA COSTA COUNTY SCHOOL INSURANCE GROUP	35-07-001	2,227,781.65	2,228,619.25
CROCKETT COMMUNITY SERVICES DISTRICT	16-07-004	2,617,832.17	2,618,816.42
DELTA DIABLO SANITATION DISTRICT	70-07-003	72,460.52	72,487.76
EAST CONTRA COSTA REG FEE & FINANCING AUTH	40-07-006	1,007,522.88	1,007,901.69
JOHN SWETT UNIFIED SCHOOL DISTRICT	75-07-020	2,391.75	2,392.65
KENSINGTON FIRE PROTECTION DISTRICT	17-07-011	4,472,664.53	4,474,346.16
KENSINGTON POLICE PROTECTION & COMMUNITY SERVICES DISTRICT	16-07-003	725,357.41	725,630.13
KNIGHTSEN SCHOOL DISTRICT	75-07-019	0.00	0.00
LAFAYETTE SCHOOL DISTRICT	75-07-012	5,725,108.98	5,727,261.50
MARTINEZ UNIFIED SCHOOL DISTRICT	75-07-011	3,811,742.01	3,813,175.14
MORAGA ORINDA FIRE DISTRICT	17-07-003	4,703,993.08	4,705,761.68
MT DIABLO UNIFIED SCHOOL DISTRICT	75-07-008	14,614,404.51	14,619,899.22
MT VIEW SANITARY DISTRICT	70-07-008	10,399,614.59	10,403,524.63
OAKLEY UNION SCHOOL DISTRICT	75-07-009	246,779.19	246,871.97
ORINDA UNION SCHOOL DISTRICT	75-07-015	7,393,962.34	7,396,742.31
PITTSBURG UNIFIED SCHOOL DISTRICT	75-07-002	33,818,487.37	33,831,202.41
RECLAMATION DISTRICT 800	60-07-003	4,630,868.42	4,632,609.53
REDEVELOPMENT AGENCY	65-07-015	6,972.10	6,974.72
RODEO -HERCULES FIRE PROTECTION DISTRICT	17-07-001	105,653.24	105,692.96
SAN RAMON VALLEY UNIFIED SCHOOL DISTRICT	75-07-004	239,118.22	239,208.12
WALNUT CREEK SCHOOL DISTRICT	75-07-003	0.00	0.00
WEST CONTRA COSTA UNIFIED SCHOOL DISTRICT	75-07-014	9,976,665.10	9,980,416.12
	TOTAL	<u>211,941,083.45</u>	<u>212,020,768.85</u>

SECTION III

APPENDIX

B. INVESTMENT PORTFOLIO DETAIL – MANAGED BY OUTSIDE CONTRACTED PARTIES

B.2. ASSET MANAGEMENT FUNDS

- a. WELLS CAPITAL MANAGEMENT**
- b. CalTRUST**



Wells Capital Management GAAP

WC-Contra Costa County (19529)

Quarter End (Q2 Y2015)

04/01/2015 - 06/30/2015

Dated: 07/09/2015

Locked Down

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Risk Summary

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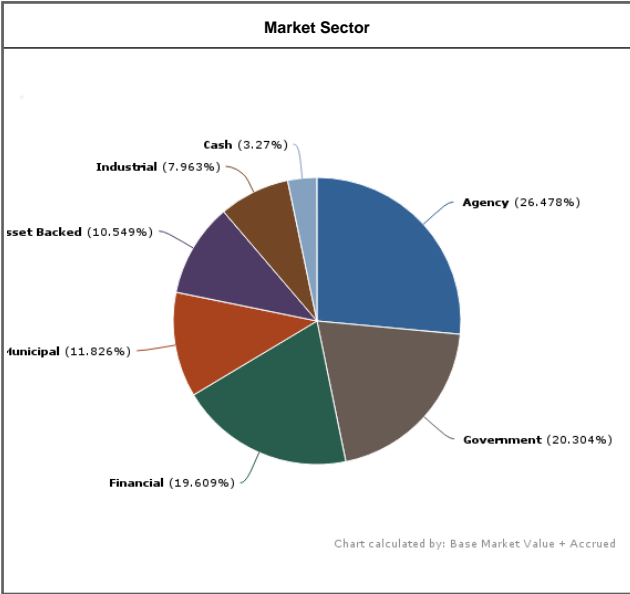
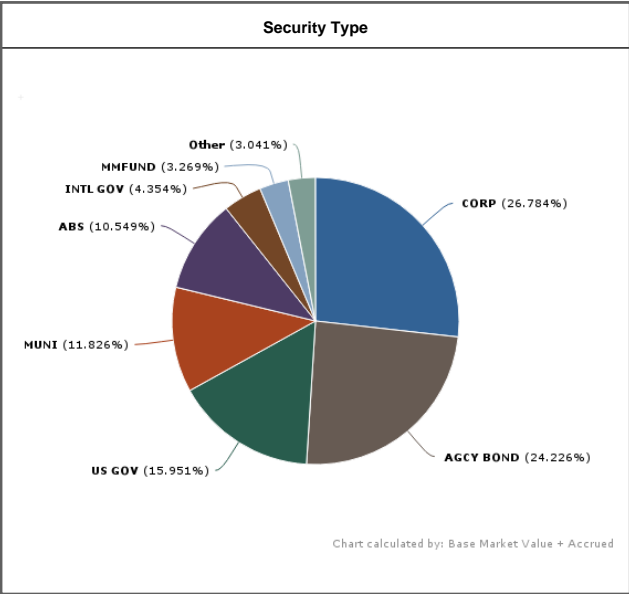
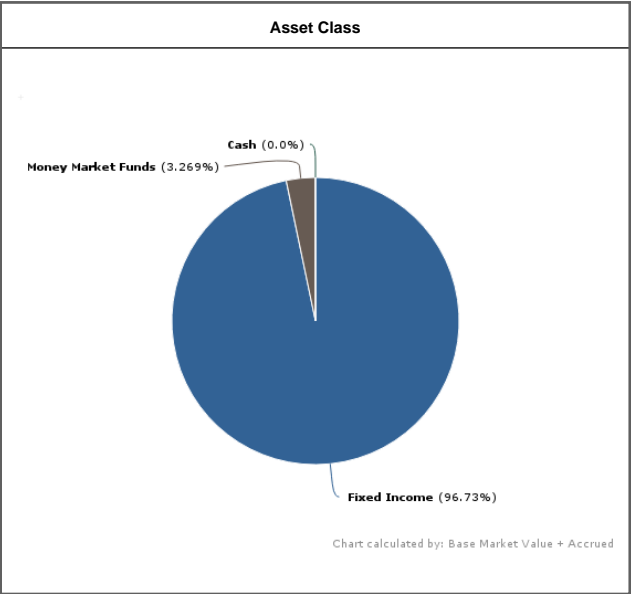
WC-Contra Costa County (19529)

Dated: 07/09/2015

Balance Sheet	
Book Value + Accrued	44,350,875.33
Net Unrealized Gain/Loss	7,803.52
Market Value + Accrued	44,358,678.85

Cash and Fixed Income Summary	
Risk Metric	Value
Cash	67.86
MMFund	1,450,260.25
Fixed Income	42,908,350.74
Duration	0.63
Convexity	0.00
WAL	0.83
Years to Final Maturity	1.004
Years to Effective Maturity	0.775
Yield	0.449
Book Yield	0.508
Avg Credit Rating	AA+/Aa1/AA+

Issuer Concentration	
Issuer Concentration	% of Base Market Value + Accrued
Government of the United States	15.951%
Federal Home Loan Banks Office of Finance	10.189%
Federal Farm Credit Banks Funding Corporation	9.479%
Federal National Mortgage Association, Inc.	4.553%
International Bank for Reconstruction & Development	4.354%
Wells Fargo Funds Trust	3.269%
Federal Home Loan Mortgage Corp	2.258%
Other	49.948%
---	100.00%



Risk Summary

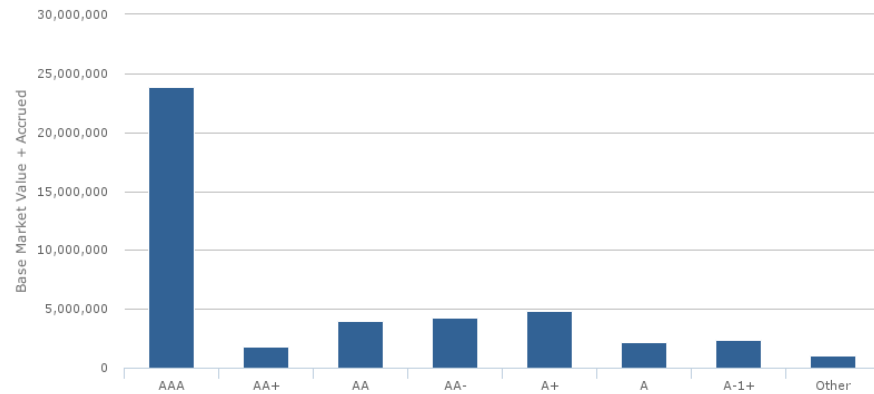
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WC-Contra Costa County (19529)

Dated: 07/09/2015

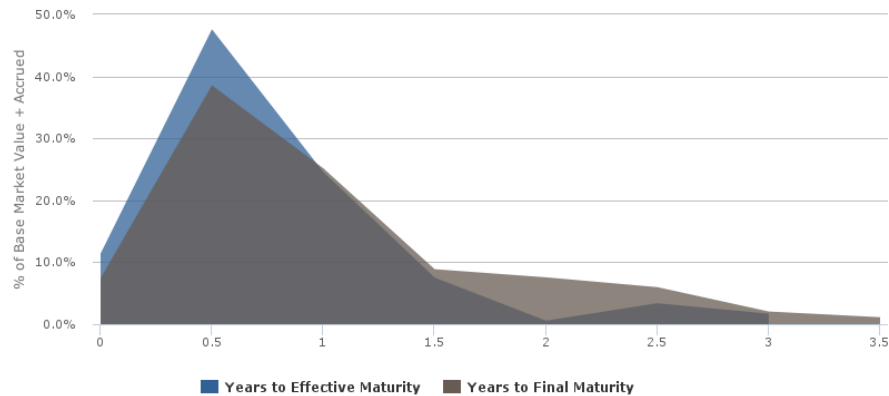
Credit Rating



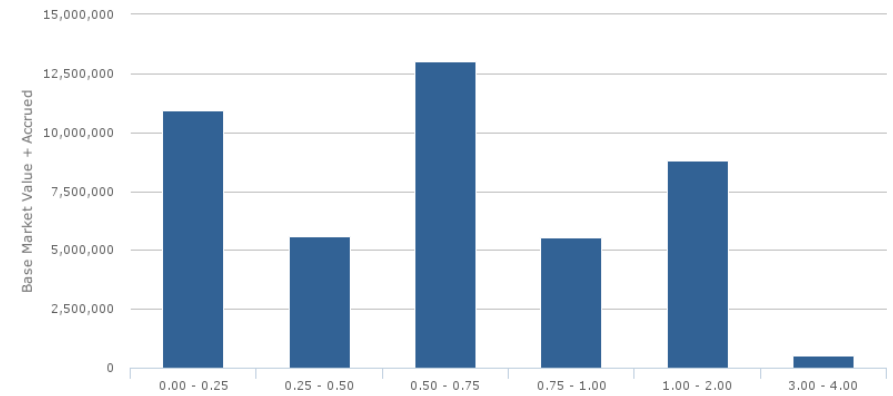
Credit Duration Heat Map

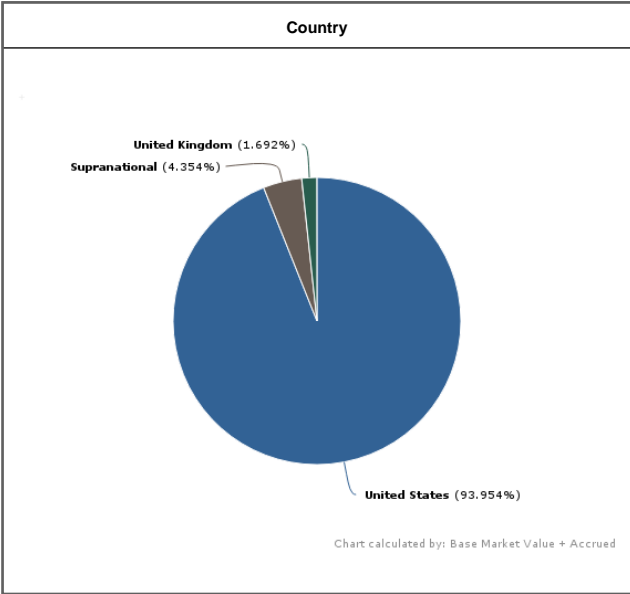
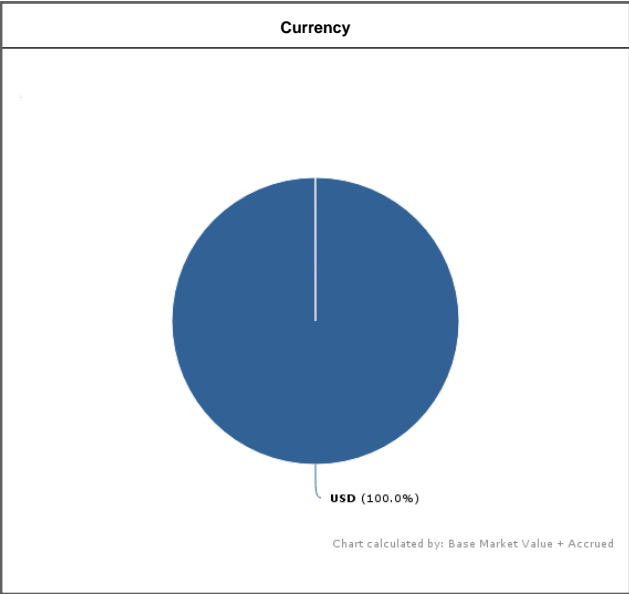
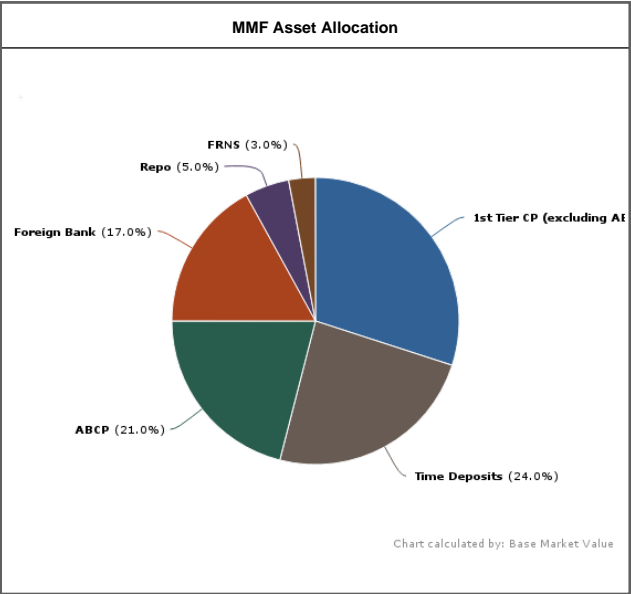
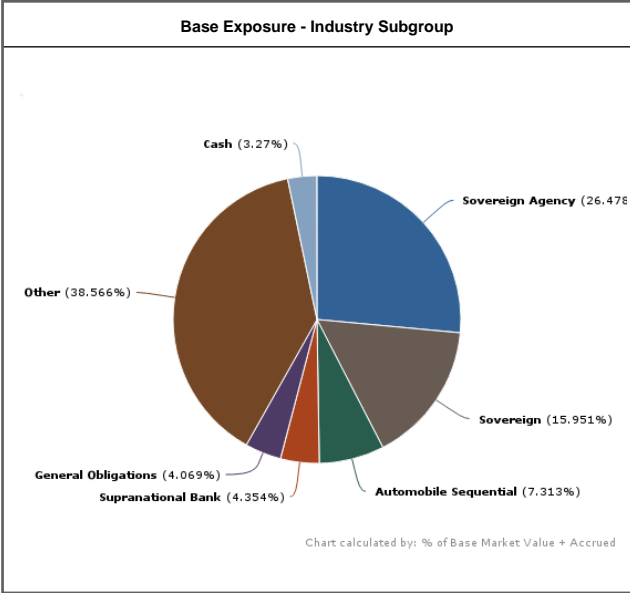
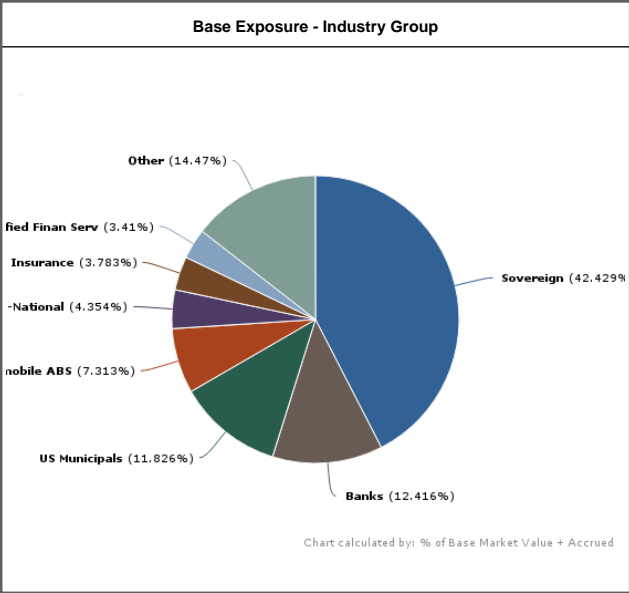
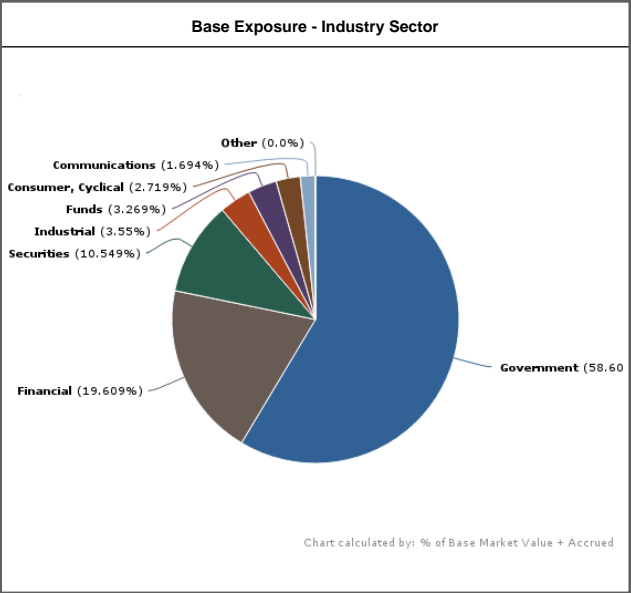
Rating	0 - 1	1 - 2	2 - 3	3 - 4	4 - 5	5 - 7	7 - 10	10 - 15	15 - 30
AAA	48.909%	9.098%	0.00%	1.128%	0.00%	0.00%	0.00%	0.00%	0.00%
AA	18.031%	4.679%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
A	12.069%	3.832%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
BBB	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
BB	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
B	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
CCC	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
CC	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
C	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
NA	0.00%	2.254%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

Time To Maturity



Duration





Performance Summary Gross of Fees

WC-Contra Costa County (19529)

Base Currency: USD As of 06/30/2015

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Dated: 07/09/2015

Performance Summary



Period	Period Begin	Period End	Total Return	Index Total Return	Excess Total Return
Month to Date	06/01/2015	06/30/2015	0.023%	0.015%	0.008%
Quarter to Date	04/01/2015	06/30/2015	0.125%	0.064%	0.061%
Year to Date	01/01/2015	06/30/2015	0.298%	0.112%	0.186%
Prior Month	05/01/2015	05/31/2015	0.037%	0.008%	0.03%
Prior Quarter	01/01/2015	03/31/2015	0.173%	0.047%	0.126%
Prior Year	01/01/2014	12/31/2014	0.319%	0.12%	0.199%
Trailing Month	06/01/2015	06/30/2015	0.023%	0.015%	0.008%
Trailing Quarter	04/01/2015	06/30/2015	0.125%	0.064%	0.061%
Trailing Year	07/01/2014	06/30/2015	0.413%	0.167%	0.246%

Account	Index	Index Start Date	Index End Date
WC-Contra Costa County	ML 6 Month T-Bill	01/31/1980	11/30/2004
WC-Contra Costa County	BofA Merrill Lynch 6 Month Treasury Bill Index (G002)	12/01/2004	---

Gross of Fees (includes trading). Returns are actual and have not been annualized. Note that data will not exist prior to the performance inception date of: 04/01/2001. No Tax Adjustment.

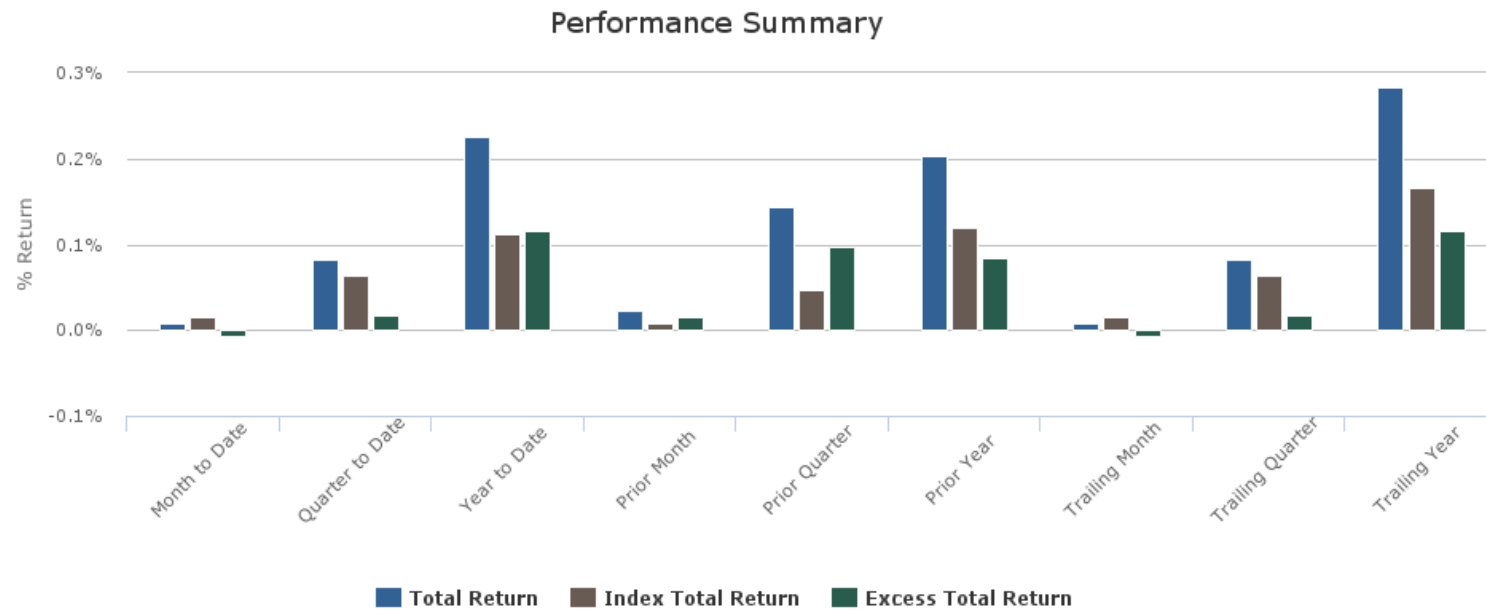
Performance Summary Net of Fees

WC-Contra Costa County (19529)

Base Currency: USD As of 06/30/2015

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Dated: 07/09/2015



Period	Period Begin	Period End	Total Return	Index Total Return	Excess Total Return
Month to Date	06/01/2015	06/30/2015	0.008%	0.015%	-0.007%
Quarter to Date	04/01/2015	06/30/2015	0.082%	0.064%	0.018%
Year to Date	01/01/2015	06/30/2015	0.226%	0.112%	0.115%
Prior Month	05/01/2015	05/31/2015	0.023%	0.008%	0.015%
Prior Quarter	01/01/2015	03/31/2015	0.144%	0.047%	0.097%
Prior Year	01/01/2014	12/31/2014	0.203%	0.12%	0.083%
Trailing Month	06/01/2015	06/30/2015	0.008%	0.015%	-0.007%
Trailing Quarter	04/01/2015	06/30/2015	0.082%	0.064%	0.018%
Trailing Year	07/01/2014	06/30/2015	0.283%	0.167%	0.116%

Account	Index	Index Start Date	Index End Date
WC-Contra Costa County	ML 6 Month T-Bill	01/31/1980	11/30/2004
WC-Contra Costa County	BofA Merrill Lynch 6 Month Treasury Bill Index (G0O2)	12/01/2004	---

Net of Fees (includes management and trading). Returns are actual and have not been annualized. Note that data will not exist prior to the performance inception date of: 04/01/2001. No Tax Adjustment.

GAAP Financials

04/01/2015 - 06/30/2015

WC-Contra Costa County (19529)

Dated: 07/09/2015

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Balance Sheet			
WC-Contra Costa County			
As of:	03/31/2015	06/30/2015	
Book Value	44,201,511.45	44,229,855.51	
Accrued Balance	113,120.39	121,019.83	
Book Value + Accrued	44,314,631.84	44,350,875.33	
Net Unrealized Gain/Loss	7,675.87	7,803.52	
Market Value + Accrued	44,322,307.71	44,358,678.85	

Income Statement			
WC-Contra Costa County			
	Begin Date	04/01/2015	
	End Date	06/30/2015	
Net Amortization/Accretion Income		-62,724.35	
Interest Income	117,875.55		
Dividend Income	0.00		
Misc Income	0.00		
Income Subtotal		117,875.55	
Net Realized Gain/Loss	82.96		
Impairment Loss	0.00		
Net Gain/Loss		82.96	
Expense	-18,990.67		
Net Income		36,243.49	
Transfers In/Out		0.00	
Change in Unrealized Gain/Loss		127.65	

Statement of Cash Flows			
WC-Contra Costa County			
	Begin Date	04/01/2015	
	End Date	06/30/2015	
Net Income		36,243.49	
Amortization/Accretion on MS	62,724.35		
Change in Accrued on MS	2,359.76		
Net Gain/Loss on MS	-82.96		
Change in Unrealized G/L on CE	0.00		
Subtotal		65,001.15	
Purchases of MS	-7,488,141.51		
Purchased Accrued of MS	-11,934.20		
Sales of MS	4,495,730.59		
Sold Accrued of MS	1,675.00		
Maturities of MS	4,000,000.00		
Net Purchases/Sales		997,329.88	
Transfers of Cash & CE		0.00	
Total Change in Cash & CE		1,098,574.52	
Beginning Cash & CE		351,753.59	
Ending Cash & CE		1,450,328.11	

Income Detail

WC-Contra Costa County (19529)

Base Currency: USD 04/01/2015 - 06/30/2015

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Dated: 07/09/2015

Identifier	Base Current Units	Description	Coupon	Final Maturity	Effective Maturity	Transfers In/Out	Net Realized Gain/Loss	Net Amortization/ Accretion Income	Interest/Dividend Income	Base Expense	Base Net Income	Settle Date
010775AK0	100,000.00	ALAMEDA CA CMNTY IMPT	0.553	09/01/2015	09/01/2015	0.00	0.00	0.00	138.25	0.00	138.25	12/23/2014
0258M0DA4	750,000.00	AMER EXPRESS CREDIT CO	2.75	09/15/2015	09/15/2015	0.00	0.00	-4,196.91	5,156.25	0.00	959.34	06/30/2014
03255LGX1	750,000.00	ANAHEIM FING AUTH-B	1.00	05/01/2016	05/01/2016	0.00	0.00	0.00	1,229.17	0.00	1,229.17	11/14/2014
03255LGX1	0.00	ANAHEIM FING AUTH-B	1.00	05/01/2016	05/01/2016	0.00	0.00	0.00	645.84	0.00	645.84	11/14/2014
06050TLU4	500,000.00	BANK OF AMERICA NA	0.745	02/14/2017	02/14/2017	0.00	0.00	0.00	930.91	0.00	930.91	02/14/2014
06050TMB5	250,000.00	BANK OF AMERICA NA	0.729	06/05/2017	06/05/2017	0.00	0.00	0.00	131.66	0.00	131.66	06/05/2015
06406HBX6	750,000.00	BANK OF NEW YORK MELLON	2.30	07/28/2016	07/28/2016	0.00	0.00	-3,079.87	4,312.50	0.00	1,232.63	01/21/2015
05531FAG8	750,000.00	BB&T CORPORATION	3.20	03/15/2016	02/16/2016	0.00	0.00	-4,441.52	5,666.67	0.00	1,225.15	04/06/2015
084664CD1	750,000.00	BERKSHIRE HATHAWAY FIN	0.575	01/12/2018	01/12/2018	0.00	0.00	0.00	1,086.16	0.00	1,086.16	01/15/2015
097023BC8	750,000.00	BOEING CO	3.75	11/20/2016	11/20/2016	0.00	0.00	-5,363.38	7,031.25	0.00	1,667.87	01/26/2015
CCYUSD	0.88	Cash	0.00	06/30/2015	06/30/2015	0.00	0.00	0.00	0.00	-18,990.67	-18,990.67	---
14912L4X6	775,000.00	CATERPILLAR FINANCIAL SE	2.05	08/01/2016	08/01/2016	0.00	0.00	-2,697.91	3,971.88	0.00	1,273.96	11/04/2014
161571FY5	0.00	CHAIT 2013-A4 A4	0.286	05/15/2017	05/15/2015	0.00	0.00	0.00	256.00	0.00	256.00	02/23/2013
17275RAY8	750,000.00	CISCO SYSTEMS INC	0.596	06/15/2018	06/15/2018	0.00	0.00	0.00	173.85	0.00	173.85	06/17/2015
3136G1NQ8	1,000,000.00	FANNIE MAE	1.00	06/19/2017	09/19/2015	0.00	0.00	0.00	555.55	0.00	555.55	06/11/2015
3136FPET0	1,000,000.00	FANNIE MAE	2.00	03/10/2016	03/10/2016	0.00	0.00	-4,149.60	5,000.00	0.00	850.40	03/26/2015
313384SS9	1,000,000.00	FED HOME LN DISCOUNT NT	0.00	02/05/2016	02/05/2016	0.00	0.00	631.95	0.00	0.00	631.95	02/06/2015
3133EDGC0	1,000,000.00	FEDERAL FARM CREDIT BANK	0.25	11/04/2015	11/04/2015	0.00	0.00	326.98	625.00	0.00	951.98	03/24/2014
3133EDQW5	1,000,000.00	FEDERAL FARM CREDIT BANK	0.29	01/21/2016	01/21/2016	0.00	0.00	223.27	725.00	0.00	948.27	08/01/2014
3133EDXG2	1,000,000.00	FEDERAL FARM CREDIT BANK	0.67	10/14/2016	10/14/2015	0.00	0.00	-256.34	1,675.00	0.00	1,418.66	10/24/2014
3133EDGM8	1,000,000.00	FEDERAL FARM CREDIT BANK	0.35	03/10/2016	03/10/2016	0.00	0.00	225.31	875.00	0.00	1,100.31	03/14/2014
3133EDGM8	200,000.00	FEDERAL FARM CREDIT BANK	0.35	03/10/2016	03/10/2016	0.00	0.00	84.27	175.00	0.00	259.27	03/24/2014
3130A4KE1	500,000.00	FEDERAL HOME LOAN BANK	0.33	04/01/2016	04/01/2016	0.00	0.00	18.89	407.92	0.00	426.80	04/01/2015
3130A4KE1	0.00	FEDERAL HOME LOAN BANK	0.33	04/01/2016	04/01/2016	0.00	0.00	0.21	4.58	0.00	4.79	04/01/2015
3130A3J70	1,000,000.00	FEDERAL HOME LOAN BANK	0.625	11/23/2016	11/23/2016	0.00	0.00	-168.86	746.53	0.00	577.67	05/18/2015
3130A3XU3	1,000,000.00	FEDERAL HOME LOAN BANK	0.25	01/22/2016	01/22/2016	0.00	0.00	32.86	625.00	0.00	657.86	01/27/2015
313373SZ6	1,000,000.00	FEDERAL HOME LOAN BANK	2.125	06/10/2016	06/10/2016	0.00	0.00	-883.11	1,180.56	0.00	297.45	07/16/2014
313373SZ6	0.00	FEDERAL HOME LOAN BANK	2.125	06/10/2016	06/10/2016	0.00	0.00	-3,135.03	4,131.94	0.00	996.91	07/16/2014
31679KAB6	418,868.98	FITAT 2014-2 A2A	0.45	04/17/2017	10/29/2015	0.00	-0.00	17.97	574.43	0.00	592.39	06/11/2014
3134G53J2	0.00	FREDDIE MAC	0.55	05/20/2016	05/20/2016	0.00	0.00	0.00	748.61	0.00	748.61	08/07/2014
3134G4XC7	0.00	FREDDIE MAC	0.67	09/19/2016	09/19/2016	0.00	82.97	14.31	1,451.67	0.00	1,548.95	03/17/2015
3137EADD8	0.00	FREDDIE MAC	0.50	04/17/2015	04/17/2015	0.00	0.00	-100.09	222.22	0.00	122.14	01/10/2014
3134G54C6	0.00	FREDDIE MAC	0.50	05/20/2016	05/20/2016	0.00	0.00	-167.81	680.56	0.00	512.75	05/20/2014
3134G4TH1	1,000,000.00	FREDDIE MAC	0.30	07/08/2015	07/08/2015	0.00	0.00	-18.50	750.00	0.00	731.50	01/13/2014
36164EAB1	400,000.00	GEET 2015-1 A2	0.89	11/24/2017	05/19/2016	0.00	0.00	6.21	890.01	0.00	896.22	03/04/2015
36962G6Q2	750,000.00	GENERAL ELEC CAP CORP	0.874	01/08/2016	01/08/2016	0.00	0.00	-417.49	1,653.18	0.00	1,235.69	09/23/2013
43814GAB6	102,755.55	HAROT 2014-2 A2	0.39	09/19/2016	09/02/2015	0.00	-0.00	3.43	129.95	0.00	133.38	05/21/2014
43814KAB7	335,000.00	HAROT 2015-1 A2	0.70	06/15/2017	02/17/2016	0.00	0.00	2.82	586.26	0.00	589.07	01/28/2015
4197915E4	750,000.00	HAWAII-TXBL-REF-ES	0.731	08/01/2016	08/01/2016	0.00	0.00	0.00	1,370.63	0.00	1,370.63	11/25/2014
41284AAB4	157,808.82	HDMOT 2014-1 A2A	0.49	04/15/2018	11/23/2015	0.00	0.00	2.80	225.47	0.00	228.27	04/16/2014
41284BAB2	500,000.00	HDMOT 2015-1 A2A	0.80	01/15/2019	01/15/2017	0.00	0.00	0.55	999.99	0.00	1,000.54	01/28/2015
459200HD6	0.00	IBM CORP	0.75	05/11/2015	05/11/2015	0.00	0.00	-202.59	625.00	0.00	422.41	06/14/2013
459056HY6	771,000.00	INTL BK RECON & DEVELOP	0.00	02/15/2016	02/15/2016	0.00	0.00	584.79	0.00	0.00	584.79	04/30/2015
459056HY6	163,000.00	INTL BK RECON & DEVELOP	0.00	02/15/2016	02/15/2016	0.00	0.00	94.48	0.00	0.00	94.48	05/20/2015
45905U7F5	1,000,000.00	INTL BK RECON & DEVELOP	0.45	07/19/2016	07/19/2016	0.00	0.00	0.00	62.50	0.00	62.50	06/26/2015
47787VAB7	284,960.66	JDOT 2014-A A2	0.45	09/15/2016	09/06/2015	0.00	0.01	4.37	409.27	0.00	413.65	04/09/2014
46625HHW3	750,000.00	JPMORGAN CHASE & CO	2.60	01/15/2016	01/15/2016	0.00	0.00	-3,231.89	4,875.00	0.00	1,643.11	01/21/2015
54438CQZ8	750,000.00	LOS ANGELES CCD-H-TXL	0.297	08/01/2015	08/01/2015	0.00	0.00	0.00	556.88	0.00	556.88	01/08/2015
5446462Q4	400,000.00	LOS ANGELES SD-L-TXBL	0.486	01/01/2016	01/01/2016	0.00	0.00	0.00	486.00	0.00	486.00	08/19/2014
58768EAC3	0.00	MBALT 2014-A A2A	0.48	06/15/2016	08/05/2015	0.00	0.00	2.94	387.12	0.00	390.07	04/09/2014

Income Detail

WC-Contra Costa County (19529)

Base Currency: USD 04/01/2015 - 06/30/2015

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Dated: 07/09/2015

Identifier	Base Current Units	Description	Coupon	Final Maturity	Effective Maturity	Transfers In/Out	Net Realized Gain/Loss	Net Amortization/ Accretion Income	Interest/Dividend Income	Base Expense	Base Net Income	Settle Date
58768EAC3	254,047.06	MBALT 2014-A A2A	0.48	06/15/2016	08/05/2015	0.00	0.00	0.19	50.81	0.00	51.00	04/09/2014
605581FW2	300,000.00	MISSISSIPPI ST-B-TXBL	0.64	10/01/2016	10/01/2016	0.00	0.00	0.00	480.00	0.00	480.00	02/18/2015
65477WAB2	750,000.00	NAROT 2014-B A2	0.60	06/15/2017	12/20/2015	0.00	0.00	6.63	1,125.00	0.00	1,131.63	12/10/2014
677520FR4	750,000.00	OH ST-TXB-DEV ASST-A	5.39	10/01/2015	10/01/2015	0.00	0.00	-9,374.83	10,106.25	0.00	731.42	07/11/2014
68428LDH4	300,000.00	ORANGE CNTY -TXBL-A	0.68	02/01/2016	02/01/2016	0.00	0.00	0.00	510.00	0.00	510.00	02/27/2015
68428LDH4	490,000.00	ORANGE CNTY -TXBL-A	0.68	02/01/2016	02/01/2016	0.00	0.00	0.00	833.00	0.00	833.00	01/13/2015
69371RK96	205,000.00	PACCAR FINANCIAL CORP	0.70	11/16/2015	11/16/2015	0.00	0.00	-123.42	358.75	0.00	235.33	12/23/2014
69371RK96	500,000.00	PACCAR FINANCIAL CORP	0.70	11/16/2015	11/16/2015	0.00	0.00	-359.21	865.28	0.00	506.07	04/02/2015
CCYUSD	0.00	Payable	0.00	06/30/2015	06/30/2015	0.00	0.00	0.00	0.00	0.00	0.00	---
70659PAE1	750,000.00	PENAR 2013-1A A1	0.575	11/18/2017	11/17/2015	0.00	0.00	0.00	1,083.27	0.00	1,083.27	11/21/2013
69349LAL2	0.00	PNC BANK NA	0.572	04/29/2016	04/29/2016	0.00	0.00	-71.81	334.02	0.00	262.22	11/25/2014
69349LAH1	750,000.00	PNC BANK NA	0.80	01/28/2016	12/28/2015	0.00	0.00	-457.31	1,016.67	0.00	559.35	04/30/2015
74153WCA5	300,000.00	PRICOA GLOBAL FUNDING 1	0.546	08/19/2015	08/19/2015	0.00	0.00	-131.68	406.51	0.00	274.83	02/27/2014
74153WCA5	375,000.00	PRICOA GLOBAL FUNDING 1	0.546	08/19/2015	08/19/2015	0.00	0.00	0.00	508.14	0.00	508.14	08/23/2013
74256LAC3	250,000.00	PRINCIPAL LFE GLB FND II	1.00	12/11/2015	12/11/2015	0.00	0.00	-350.93	625.00	0.00	274.07	06/30/2014
74254PYF3	0.00	PRINCIPAL LIFE INC FDG	5.55	04/27/2015	04/27/2015	0.00	0.00	-1,786.31	2,004.17	0.00	217.85	11/22/2013
CCYUSD	66.98	Receivable	0.00	06/30/2015	06/30/2015	0.00	0.00	0.00	0.00	0.00	0.00	---
762494QV7	250,000.00	RIALTO USD-TXBL	1.258	02/01/2017	02/01/2017	0.00	0.00	0.00	786.25	0.00	786.25	03/26/2015
76912TJC8	125,000.00	RIVERSIDE PUB FIN-REF	1.00	09/01/2016	09/01/2016	0.00	0.00	92.08	312.50	0.00	404.58	03/26/2015
857477AH6	285,000.00	STATE STREET CORP	2.875	03/07/2016	03/07/2016	0.00	0.00	-1,596.86	2,048.44	0.00	451.58	03/23/2015
857477AH6	300,000.00	STATE STREET CORP	2.875	03/07/2016	03/07/2016	0.00	0.00	-1,736.13	2,156.25	0.00	420.12	03/03/2015
89236VAB6	0.00	TAOT 2013-B A2	0.48	02/15/2016	04/15/2015	0.00	-0.00	0.03	3.67	0.00	3.70	09/18/2013
89236WAB4	600,000.00	TAOT 2015-A A2	0.71	07/17/2017	01/29/2016	0.00	0.00	0.91	1,065.00	0.00	1,065.91	03/04/2015
89116FYH7	350,000.00	Toronto Dominion Holdings (U.S.A.), Inc.	0.00	11/17/2015	11/17/2015	0.00	0.00	227.50	0.00	0.00	227.50	04/14/2015
89233P4B9	0.00	TOYOTA MOTOR CREDIT CORP	3.20	06/17/2015	06/17/2015	0.00	0.00	-4,508.55	5,066.67	0.00	558.11	07/10/2014
91412GUT0	0.00	UNIV OF CALIFORNIA-AN	0.634	05/15/2016	05/15/2016	0.00	0.00	0.00	198.13	0.00	198.13	04/10/2014
91412GUT0	250,000.00	UNIV OF CALIFORNIA-AN	0.634	05/15/2016	05/15/2016	0.00	0.00	0.00	198.13	0.00	198.13	04/10/2014
90331HMR1	450,000.00	US BANK NA CINCINNATI	0.577	01/26/2018	01/26/2018	0.00	0.00	-62.88	360.63	0.00	297.75	05/12/2015
90331HMR1	300,000.00	US BANK NA CINCINNATI	0.577	01/26/2018	01/26/2018	0.00	0.00	0.00	438.01	0.00	438.01	01/27/2015
912828B82	1,000,000.00	US TREASURY N/B	0.25	02/29/2016	02/29/2016	0.00	0.00	469.96	618.21	0.00	1,088.16	03/20/2014
912828QX1	1,000,000.00	US TREASURY N/B	1.50	07/31/2016	07/31/2016	0.00	0.00	-2,644.96	3,770.72	0.00	1,125.76	11/06/2014
912828VL1	1,000,000.00	US TREASURY N/B	0.625	07/15/2016	07/15/2016	0.00	0.00	-220.08	517.96	0.00	297.87	06/01/2015
912828RF9	1,000,000.00	US TREASURY N/B	1.00	08/31/2016	08/31/2016	0.00	0.00	-1,405.96	2,472.83	0.00	1,066.87	10/31/2014
912828WQ9	1,000,000.00	US TREASURY N/B	0.50	06/30/2016	06/30/2016	0.00	0.00	-102.26	1,256.68	0.00	1,154.42	07/01/2014
912828PS3	1,000,000.00	US TREASURY N/B	2.00	01/31/2016	01/31/2016	0.00	0.00	-4,176.27	5,027.62	0.00	851.35	07/31/2014
912828PS3	1,000,000.00	US TREASURY N/B	2.00	01/31/2016	01/31/2016	0.00	0.00	-4,138.16	5,027.62	0.00	889.47	12/31/2014
90290KAB1	100,693.68	USAOT 2014-1 A2	0.38	10/17/2016	07/27/2015	0.00	-0.01	2.54	179.49	0.00	182.02	02/28/2014
92867GAC7	23,999.13	VALET 2012-1 A3	0.85	08/22/2016	07/21/2015	0.00	-0.00	-44.08	137.74	0.00	93.66	10/08/2013
928668AD4	500,000.00	VOLKSWAGEN GROUP AMERICA	0.502	05/23/2016	05/23/2016	0.00	0.00	0.00	264.38	0.00	264.38	05/23/2014
928668AD4	0.00	VOLKSWAGEN GROUP AMERICA	0.502	05/23/2016	05/23/2016	0.00	0.00	0.00	354.44	0.00	354.44	05/23/2014
949917397	1,450,260.25	WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	06/30/2015	0.00	0.00	0.00	165.14	0.00	165.14	---
---	44,067,461.99	---	---	07/01/2016	04/08/2016	0.00	82.96	-62,724.35	117,875.55	-18,990.67	36,243.49	---

* Weighted By: Ending Base Market Value + Accrued. * Holdings Displayed By: Lot.

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WC-Contra Costa County (19529)

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CE

Identifier	Description	Base Current Units	Market Sector	Rating	Coupon	Final Maturity, Effective Maturity	Book Yield, Yield	Base Original Cost, Base Book Value	Base Net Total Unrealized Gain/ Loss	Market Price	Base Accrued Balance	Base Market Value	Base Market Value + Accrued
949917397	WFA HERITAGE MONEY MARK-I	1,450,260.25	Cash	AAA	0.04	06/30/2015 06/30/2015	0.00 0.00	1,450,260.25 1,450,260.25	0.00	1.00	0.00	1,450,260.25	1,450,260.25
CCYUSD	Receivable	66.98	Cash	AAA	0.00	06/30/2015 06/30/2015	0.00 0.00	66.98 66.98	0.00	1.00	0.00	66.98	66.98
CCYUSD	Cash	0.88	Cash	AAA	0.00	06/30/2015 06/30/2015	0.00 0.00	0.88 0.88	0.00	1.00	0.00	0.88	0.88
---	---	1,450,328.11	Cash	AAA	---	06/30/2015 06/30/2015	0.00 0.00	1,450,328.11 1,450,328.11	0.00	1.00	0.00	1,450,328.11	1,450,328.11

ST

Identifier	Description	Base Current Units	Market Sector	Rating	Coupon	Final Maturity, Effective Maturity	Book Yield, Yield	Base Original Cost, Base Book Value	Base Net Total Unrealized Gain/ Loss	Market Price	Base Accrued Balance	Base Market Value	Base Market Value + Accrued
912828B82	US TREASURY N/B	1,000,000.00	Government	AAA	0.25	02/29/2016 02/29/2016	0.44 0.156	996,328.13 998,745.06	1,801.94	100.054	835.60	1,000,547.00	1,001,382.60
3130A4KE1	FEDERAL HOME LOAN BANK	500,000.00	Agency	AAA	0.33	04/01/2016 04/01/2016	0.345 0.311	499,923.19 499,942.29	109.71	100.01	513.33	500,052.00	500,565.33
69371RK96	PACCAR FINANCIAL CORP	205,000.00	Industrial	A+	0.70	11/16/2015 11/16/2015	0.457 0.418	205,444.85 205,187.16	-8.81	100.087	179.38	205,178.35	205,357.73
69371RK96	PACCAR FINANCIAL CORP	500,000.00	Industrial	A+	0.70	11/16/2015 11/16/2015	0.407 0.418	500,910.00 500,550.79	-115.79	100.087	437.50	500,435.00	500,872.50
3136FPET0	FANNIE MAE	1,000,000.00	Agency	AAA	2.00	03/10/2016 03/10/2016	0.326 0.297	1,015,960.00 1,011,536.80	241.20	101.178	6,166.67	1,011,778.00	1,017,944.67
313384SS9	FED HOME LN DISCOUNT NT	1,000,000.00	Agency	A-1+	0.00	02/05/2016 02/05/2016	0.254 0.158	997,472.22 998,479.17	607.83	99.908	0.00	999,087.00	999,087.00
36962G6Q2	GENERAL ELEC CAP CORP	750,000.00	Financial	AA+	0.874	01/08/2016 01/08/2016	0.667 0.299	753,840.00 750,876.27	1,359.48	100.298	1,529.06	752,235.75	753,764.81
74256LAC3	PRINCIPAL LFE GLB FND II	250,000.00	Financial	A+	1.00	12/11/2015 12/11/2015	0.434 0.559	252,040.00 250,628.58	-196.58	100.172	138.89	250,432.00	250,570.89
459056HY6	INTL BK RECON & DEVELOP	163,000.00	Government	AAA	0.00	02/15/2016 02/15/2016	0.51 0.479	162,390.38 162,484.86	22.55	99.698	0.00	162,507.41	162,507.41
459056HY6	INTL BK RECON & DEVELOP	771,000.00	Government	AAA	0.00	02/15/2016 02/15/2016	0.451 0.479	768,255.24 768,840.03	-170.00	99.698	0.00	768,670.03	768,670.03
677520FR4	OH ST-TXB-DEV ASST-A	750,000.00	Municipal	AA	5.39	10/01/2015 10/01/2015	0.351 0.70	796,050.00 759,477.85	-725.35	101.167	10,106.25	758,752.50	768,858.75
3133EDQW5	FEDERAL FARM CREDIT BANK	1,000,000.00	Agency	AAA	0.29	01/21/2016 01/21/2016	0.38 0.24	998,680.00 999,499.48	731.52	100.023	1,288.89	1,000,231.00	1,001,519.89
69349LAH1	PNC BANK NA	750,000.00	Financial	A+	0.80	01/28/2016 12/28/2015	0.439 0.498	751,785.00 751,327.68	-271.68	100.14	2,550.00	751,056.00	753,606.00
857477AH6	STATE STREET CORP	285,000.00	Financial	AA-	2.875	03/07/2016 03/07/2016	0.61 0.494	291,141.75 289,386.96	174.18	101.60	2,594.69	289,561.14	292,155.83
857477AH6	STATE STREET CORP	300,000.00	Financial	AA-	2.875	03/07/2016 03/07/2016	0.538 0.494	307,059.00 304,769.59	31.61	101.60	2,731.25	304,801.20	307,532.45
3133EDGC0	FEDERAL FARM CREDIT BANK	1,000,000.00	Agency	AAA	0.25	11/04/2015 11/04/2015	0.382 0.152	997,880.00 999,547.25	734.75	100.028	395.83	1,000,282.00	1,000,677.83
05531FAG8	BB&T CORPORATION	750,000.00	Financial	A+	3.20	03/15/2016 02/16/2016	0.662 0.80	766,320.00 761,878.48	-668.98	101.495	7,066.67	761,209.50	768,276.17
010775AK0	ALAMEDA CA CMNTY IMPT	100,000.00	Municipal	A+	0.553	09/01/2015 09/01/2015	0.553 0.536	100,000.00 100,000.00	3.00	100.003	184.33	100,003.00	100,187.33
3130A3XU3	FEDERAL HOME LOAN BANK	1,000,000.00	Agency	A-1+	0.25	01/22/2016 01/22/2016	0.263 0.214	999,870.00 999,925.97	231.03	100.015	1,097.22	1,000,157.00	1,001,254.22
54438CQZ8	LOS ANGELES CCD-H-TXL	750,000.00	Municipal	AA+	0.297	08/01/2015 08/01/2015	0.297 0.195	750,000.00 750,000.00	67.50	100.009	1,070.44	750,067.50	751,137.94

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58768EAC3	MBALT 2014-A A2A	254,047.06	Asset Backed	AAA	0.48	06/15/2016 08/05/2015	0.484 0.451	254,040.35 254,046.09	4.78	100.001	54.20	254,050.87	254,105.07
68428LDH4	ORANGE CNTY -TXBL-A	300,000.00	Municipal	AA	0.68	02/01/2016 02/01/2016	0.68 0.463	300,000.00 300,000.00	441.00	100.147	952.00	300,441.00	301,393.00
68428LDH4	ORANGE CNTY -TXBL-A	490,000.00	Municipal	AA	0.68	02/01/2016 02/01/2016	0.68 0.463	490,000.00 490,000.00	720.30	100.147	1,554.93	490,720.30	492,275.23
3134G4TH1	FREDDIE MAC	1,000,000.00	Agency	AAA	0.30	07/08/2015 07/08/2015	0.293 0.06	1,000,110.00 1,000,001.42	45.58	100.004	1,441.67	1,000,047.00	1,001,488.67
03255LGX1	ANAHEIM FING AUTH-B	750,000.00	Municipal	AA-	1.00	05/01/2016 05/01/2016	1.00 1.07	750,000.00 750,000.00	-247.50	99.967	1,250.00	749,752.50	751,002.50
5446462Q4	LOS ANGELES SD-L-TXBL	400,000.00	Municipal	AA	0.486	01/01/2016 01/01/2016	0.486 0.502	400,000.00 400,000.00	28.00	100.007	972.00	400,028.00	401,000.00
313373SZ6	FEDERAL HOME LOAN BANK	1,000,000.00	Agency	AAA	2.125	06/10/2016 06/10/2016	0.50 0.395	1,030,688.00 1,015,233.61	1,000.39	101.623	1,239.58	1,016,234.00	1,017,473.58
91412GUT0	UNIV OF CALIFORNIA-AN	250,000.00	Municipal	AA	0.634	05/15/2016 05/15/2016	0.634 0.593	250,000.00 250,000.00	152.50	100.061	202.53	250,152.50	250,355.03
74153WCA5	PRICOA GLOBAL FUNDING 1	300,000.00	Financial	AA-	0.546	08/19/2015 08/19/2015	0.381 0.443	300,819.00 300,070.90	-30.10	100.013	195.83	300,040.80	300,236.63
74153WCA5	PRICOA GLOBAL FUNDING 1	375,000.00	Financial	AA-	0.546	08/19/2015 08/19/2015	0.558 0.443	375,000.00 375,000.00	51.00	100.013	244.79	375,051.00	375,295.79
0258M0DA4	AMER EXPRESS CREDIT CO	750,000.00	Financial	A+	2.75	09/15/2015 09/15/2015	0.491 0.79	770,385.00 753,505.11	-602.61	100.387	6,072.92	752,902.50	758,975.42
928668AD4	VOLKSWAGEN GROUP AMERICA	500,000.00	Industrial	A	0.502	05/23/2016 05/23/2016	0.51 0.591	500,000.00 500,000.00	-398.00	99.92	251.00	499,602.00	499,853.00
89116FYH7	Toronto Dominion Holdings (U.S.A.), Inc.	350,000.00	Financial	A-1+	0.00	11/17/2015 11/17/2015	0.30 0.258	349,367.08 349,594.58	67.86	99.904	0.00	349,662.44	349,662.44
912828PS3	US TREASURY N/B	1,000,000.00	Government	AAA	2.00	01/31/2016 01/31/2016	0.315 0.166	1,025,195.31 1,009,821.12	803.88	101.063	8,342.54	1,010,625.00	1,018,967.54
912828PS3	US TREASURY N/B	1,000,000.00	Government	AAA	2.00	01/31/2016 01/31/2016	0.335 0.166	1,018,007.81 1,009,731.49	893.51	101.063	8,342.54	1,010,625.00	1,018,967.54
3133EDGM8	FEDERAL FARM CREDIT BANK	1,000,000.00	Agency	AAA	0.35	03/10/2016 03/10/2016	0.441 0.397	998,200.00 999,373.59	265.41	99.963	1,079.17	999,639.00	1,000,718.17
3133EDGM8	FEDERAL FARM CREDIT BANK	200,000.00	Agency	AAA	0.35	03/10/2016 03/10/2016	0.52 0.397	199,336.00 199,765.70	162.10	99.963	215.83	199,927.80	200,143.63
46625HHW3	JPMORGAN CHASE & CO	750,000.00	Financial	A+	2.60	01/15/2016 01/15/2016	0.86 0.622	762,750.00 757,032.03	-1,081.53	100.793	8,991.67	755,950.50	764,942.17
---	---	23,493,047.06	---	AA+	---	01/15/2016 01/10/2016	0.456 0.388	23,685,248.31 23,576,259.96	6,235.65	---	80,289.18	23,582,495.61	23,662,784.79

LT

Identifier	Description	Base Current Units	Market Sector	Rating	Coupon	Final Maturity, Effective Maturity	Book Yield, Yield	Base Original Cost, Base Book Value	Base Net Total Unrealized Gain/ Loss	Market Price	Base Accrued Balance	Base Market Value	Base Market Value + Accrued
3136G1NQ8	FANNIE MAE	1,000,000.00	Agency	AAA	1.00	06/19/2017 09/19/2015	1.00 0.392	1,000,000.00 1,000,000.00	1,293.00	100.129	333.33	1,001,293.00	1,001,626.33
605581FW2	MISSISSIPPI ST-B-TXBL	300,000.00	Municipal	AA+	0.64	10/01/2016 10/01/2016	0.64 0.698	300,000.00 300,000.00	-252.00	99.916	480.00	299,748.00	300,228.00
45905U7F5	INTL BK RECON & DEVELOP	1,000,000.00	Government	NA	0.45	07/19/2016 07/19/2016	0.45 0.45	1,000,000.00 1,000,000.00	0.00	100.00	62.50	1,000,000.00	1,000,062.50
90331HMR1	US BANK NA CINCINNATI	450,000.00	Financial	AA-	0.577	01/26/2018 01/26/2018	0.483 0.628	451,206.00 451,143.12	-1,724.97	99.87	476.02	449,418.15	449,894.17
90331HMR1	US BANK NA CINCINNATI	300,000.00	Financial	AA-	0.577	01/26/2018 01/26/2018	0.587 0.628	300,000.00 300,000.00	-387.90	99.87	317.35	299,612.10	299,929.45
3133EDXG2	FEDERAL FARM CREDIT BANK	1,000,000.00	Agency	AAA	0.67	10/14/2016 10/14/2015	0.567 0.594	1,001,000.00 1,000,295.77	-168.77	100.012	1,433.06	1,000,127.00	1,001,560.06

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89236WAB4	TAOT 2015-A A2	600,000.00	Asset Backed	AAA	0.71	07/17/2017 01/29/2016	0.712 0.739	599,994.30 599,995.51	-287.11	99.951	189.33	599,708.40	599,897.73
17275RAY8	CISCO SYSTEMS INC	750,000.00	Industrial	AA-	0.596	06/15/2018 06/15/2018	0.605 0.538	750,000.00 750,000.00	1,311.75	100.174	173.85	751,311.75	751,485.60
06050TLU4	BANK OF AMERICA NA	500,000.00	Financial	A+	0.745	02/14/2017 02/14/2017	0.759 0.729	500,000.00 500,000.00	135.50	100.027	496.67	500,135.50	500,632.17
084664CD1	BERKSHIRE HATHAWAY FIN	750,000.00	Financial	AA	0.575	01/12/2018 01/12/2018	0.585 0.527	750,000.00 750,000.00	931.50	100.124	947.84	750,931.50	751,879.34
06406HBX6	BANK OF NEW YORK MELLON	750,000.00	Financial	AA-	2.30	07/28/2016 07/28/2016	0.644 0.613	768,750.00 763,300.99	65.51	101.782	7,331.25	763,366.50	770,697.75
92867GAC7	VALET 2012-1 A3	23,999.13	Asset Backed	AAA	0.85	08/22/2016 07/21/2015	0.588 0.349	24,071.31 24,004.43	0.84	100.025	6.23	24,005.27	24,011.50
43814KAB7	HAROT 2015-1 A2	335,000.00	Asset Backed	AAA	0.70	06/15/2017 02/17/2016	0.707 0.704	334,982.51 334,987.28	-77.73	99.973	104.22	334,909.55	335,013.77
90290KAB1	USAOT 2014-1 A2	100,693.68	Asset Backed	AAA	0.38	10/17/2016 07/27/2015	0.385 0.473	100,689.73 100,693.34	-7.22	99.993	17.01	100,686.13	100,703.13
762494QV7	RIALTO USD-TXBL	250,000.00	Municipal	AA	1.258	02/01/2017 02/01/2017	1.258 1.314	250,000.00 250,000.00	15.00	100.006	829.93	250,015.00	250,844.93
70659PAE1	PENAR 2013-1A A1	750,000.00	Asset Backed	AAA	0.575	11/18/2017 11/17/2015	0.574 0.464	750,000.00 750,000.00	320.25	100.042	155.73	750,320.25	750,475.98
41284BAB2	HDMOT 2015-1 A2A	500,000.00	Asset Backed	AAA	0.80	01/15/2019 01/15/2017	0.802 0.74	499,995.95 499,996.82	411.68	100.081	177.78	500,408.50	500,586.28
31679KAB6	FITAT 2014-2 A2A	418,868.98	Asset Backed	AAA	0.45	04/17/2017 10/29/2015	0.464 0.405	418,822.95 418,851.82	53.19	100.009	83.77	418,905.01	418,988.78
06050TMB5	BANK OF AMERICA NA	250,000.00	Financial	A+	0.729	06/05/2017 06/05/2017	0.74 0.723	250,000.00 250,000.00	94.00	100.037	131.66	250,094.00	250,225.66
912828QX1	US TREASURY N/B	1,000,000.00	Government	AAA	1.50	07/31/2016 07/31/2016	0.434 0.341	1,018,398.44 1,011,509.92	678.08	101.219	6,256.91	1,012,188.00	1,018,444.91
4197915E4	HAWAII-TXBL-REF-ES	750,000.00	Municipal	AA	0.731	08/01/2016 08/01/2016	0.731 0.572	750,000.00 750,000.00	382.50	100.051	3,289.50	750,382.50	753,672.00
3130A3J70	FEDERAL HOME LOAN BANK	1,000,000.00	Agency	AAA	0.625	11/23/2016 11/23/2016	0.484 0.572	1,002,130.00 1,001,961.14	-1,355.14	100.06	659.72	1,000,606.00	1,001,265.72
43814GAB6	HAROT 2014-2 A2	102,755.55	Asset Backed	AAA	0.39	09/19/2016 09/02/2015	0.40 0.601	102,747.52 102,752.89	-37.62	99.961	14.47	102,715.27	102,729.74
097023BC8	BOEING CO	750,000.00	Industrial	A	3.75	11/20/2016 11/20/2016	0.849 0.84	789,135.00 779,940.63	-176.13	103.968	3,203.13	779,764.50	782,967.63
41284AAB4	HDMOT 2014-1 A2A	157,808.82	Asset Backed	AAA	0.49	04/15/2018 11/23/2015	0.497 0.441	157,798.14 157,804.09	9.30	100.002	34.37	157,813.40	157,847.76
65477WAB2	NAROT 2014-B A2	750,000.00	Asset Backed	AAA	0.60	06/15/2017 12/20/2015	0.607 0.643	749,959.73 749,974.51	-293.26	99.957	200.00	749,681.25	749,881.25
912828VL1	US TREASURY N/B	1,000,000.00	Government	AAA	0.625	07/15/2016 07/15/2016	0.356 0.324	1,003,007.81 1,002,787.73	-53.73	100.273	2,883.29	1,002,734.00	1,005,617.29
14912L4X6	CATERPILLAR FINANCIAL SE	775,000.00	Industrial	A	2.05	08/01/2016 08/01/2016	0.643 0.754	793,855.75 786,770.02	-1,515.22	101.323	6,619.79	785,254.80	791,874.59
76912TJC8	RIVERSIDE PUB FIN-REF	125,000.00	Municipal	A	1.00	09/01/2016 09/01/2016	1.301 1.269	124,468.75 124,566.90	88.10	99.724	329.86	124,655.00	124,984.86
912828RF9	US TREASURY N/B	1,000,000.00	Government	AAA	1.00	08/31/2016 08/31/2016	0.432 0.351	1,010,351.56 1,006,597.19	590.81	100.719	3,342.39	1,007,188.00	1,010,530.39
36164EAB1	GEET 2015-1 A2	400,000.00	Asset Backed	AAA	0.89	11/24/2017 05/19/2016	0.902 0.862	399,957.00 399,965.12	294.48	100.064	79.11	400,259.60	400,338.71
912828WQ9	US TREASURY N/B	1,000,000.00	Government	AAA	0.50	06/30/2016 06/30/2016	0.459 0.297	1,000,820.31 1,000,410.16	1,230.85	100.164	13.58	1,001,641.00	1,001,654.59
47787VAB7	JDOT 2014-A A2	284,960.66	Asset Backed	AAA	0.45	09/15/2016 09/06/2015	0.455 0.445	284,949.52 284,958.05	-1.67	99.999	56.99	284,956.39	285,013.38
---	---	19,124,086.82	---	AA+	---	02/20/2017 08/17/2016	0.611 0.557	19,237,092.29 19,203,267.44	1,567.87	---	40,730.64	19,204,835.30	19,245,565.95

Balance Sheet Classification

Base Currency: USD As of 06/30/2015

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WC-Contra Costa County (19529)

Dated: 07/09/2015

Summary

Identifier	Description	Base Current Units	Market Sector	Rating	Coupon	Final Maturity, Effective Maturity	Book Yield, Yield	Base Original Cost, Base Book Value	Base Net Total Unrealized Gain/Loss	Market Price	Base Accrued Balance	Base Market Value	Base Market Value + Accrued
---	---	44,067,461.99	---	AA+	---	07/01/2016 04/08/2016	0.508 0.449	44,372,668.71 44,229,855.51	7,803.52	---	121,019.83	44,237,659.02	44,358,678.85

* Grouped By: BS Class 2. * Groups Sorted By: BS Class 2. * Weighted By: Base Market Value + Accrued.

Trading Activity

WC-Contra Costa County (19529)

Base Currency: USD 04/01/2015 - 06/30/2015

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Dated: 07/09/2015

* Does not Lock Down.

Principal Paydown

Trade Date, Settle Date	Transaction Type	Identifier, Description	Coupon Rate	Final Maturity	Broker/Dealer	Base Original Units, Base Current Units	Price	Purchased Cost, Base Principal	Base Accrued Interest	Base Net Total Realized Gain	Base Commission	Base Amount
05/15/2015 05/15/2015	Principal Paydown	161571FY5 CHAIT 2013-A4 A4	0.286	05/15/2017	Direct	0.00 -750,000.00	---	0.00 -750,000.00	0.00	0.00	0.00	750,000.00
06/15/2015 06/15/2015	Principal Paydown	31679KAB6 FITAT 2014-2 A2A	0.45	04/17/2017	Direct	0.00 -59,983.73	---	0.00 -59,983.73	0.00	-0.00	0.00	59,983.73
05/15/2015 05/15/2015	Principal Paydown	31679KAB6 FITAT 2014-2 A2A	0.45	04/17/2017	Direct	0.00 -64,440.40	---	0.00 -64,440.40	0.00	0.00	0.00	64,440.40
04/15/2015 04/15/2015	Principal Paydown	31679KAB6 FITAT 2014-2 A2A	0.45	04/17/2017	Direct	0.00 -70,136.51	---	0.00 -70,136.51	0.00	-0.00	0.00	70,136.51
04/15/2015 04/15/2015	Principal Paydown	41284AAB4 HDMOT 2014-1 A2A	0.49	04/15/2018	Direct	0.00 -20,251.26	---	0.00 -20,251.26	0.00	0.00	0.00	20,251.26
06/15/2015 06/15/2015	Principal Paydown	41284AAB4 HDMOT 2014-1 A2A	0.49	04/15/2018	Direct	0.00 -17,339.25	---	0.00 -17,339.25	0.00	-0.00	0.00	17,339.25
05/15/2015 05/15/2015	Principal Paydown	41284AAB4 HDMOT 2014-1 A2A	0.49	04/15/2018	Direct	0.00 -18,089.34	---	0.00 -18,089.34	0.00	0.00	0.00	18,089.34
04/18/2015 04/18/2015	Principal Paydown	43814GAB6 HAROT 2014-2 A2	0.39	09/19/2016	Direct	0.00 -20,500.06	---	0.00 -20,500.06	0.00	-0.00	0.00	20,500.06
06/18/2015 06/18/2015	Principal Paydown	43814GAB6 HAROT 2014-2 A2	0.39	09/19/2016	Direct	0.00 -19,045.59	---	0.00 -19,045.59	0.00	-0.00	0.00	19,045.59
05/18/2015 05/18/2015	Principal Paydown	43814GAB6 HAROT 2014-2 A2	0.39	09/19/2016	Direct	0.00 -19,819.10	---	0.00 -19,819.10	0.00	-0.00	0.00	19,819.10
04/15/2015 04/15/2015	Principal Paydown	47787VAB7 JDOT 2014-A A2	0.45	09/15/2016	Direct	0.00 -59,993.66	---	0.00 -59,993.66	0.00	0.00	0.00	59,993.66
05/15/2015 05/15/2015	Principal Paydown	47787VAB7 JDOT 2014-A A2	0.45	09/15/2016	Direct	0.00 -69,868.04	---	0.00 -69,868.05	0.00	0.01	0.00	69,868.05
06/15/2015 06/15/2015	Principal Paydown	47787VAB7 JDOT 2014-A A2	0.45	09/15/2016	Direct	0.00 -42,979.56	---	0.00 -42,979.56	0.00	-0.00	0.00	42,979.56
06/15/2015 06/15/2015	Principal Paydown	58768EAC3 MBALT 2014-A A2A	0.48	06/15/2016	Direct	0.00 -72,646.19	---	0.00 -72,646.19	0.00	0.00	0.00	72,646.19
05/15/2015 05/15/2015	Principal Paydown	58768EAC3 MBALT 2014-A A2A	0.48	06/15/2016	Direct	0.00 -78,112.39	---	0.00 -78,112.39	0.00	-0.00	0.00	78,112.39
04/15/2015 04/15/2015	Principal Paydown	58768EAC3 MBALT 2014-A A2A	0.48	06/15/2016	Direct	0.00 -83,441.18	---	0.00 -83,441.18	0.00	0.00	0.00	83,441.18
04/15/2015 04/15/2015	Principal Paydown	89236VAB6 TAOT 2013-B A2	0.48	02/15/2016	Direct	0.00 -19,671.51	---	0.00 -19,671.51	0.00	-0.00	0.00	19,671.51
04/15/2015 04/15/2015	Principal Paydown	90290KAB1 USAOT 2014-1 A2	0.38	10/17/2016	Direct	0.00 -66,825.12	---	0.00 -66,825.12	0.00	-0.00	0.00	66,825.12
05/15/2015 05/15/2015	Principal Paydown	90290KAB1 USAOT 2014-1 A2	0.38	10/17/2016	Direct	0.00 -61,421.36	---	0.00 -61,421.36	0.00	-0.00	0.00	61,421.36
06/15/2015 06/15/2015	Principal Paydown	90290KAB1 USAOT 2014-1 A2	0.38	10/17/2016	Direct	0.00 -58,161.55	---	0.00 -58,161.55	0.00	-0.01	0.00	58,161.55
06/20/2015 06/20/2015	Principal Paydown	92867GAC7 VALET 2012-1 A3	0.85	08/22/2016	Direct	0.00 -26,840.54	---	0.00 -26,840.54	0.00	-0.00	0.00	26,840.54
05/20/2015 05/20/2015	Principal Paydown	92867GAC7 VALET 2012-1 A3	0.85	08/22/2016	Direct	0.00 -22,540.32	---	0.00 -22,540.32	0.00	-0.00	0.00	22,540.32
04/20/2015 04/20/2015	Principal Paydown	92867GAC7 VALET 2012-1 A3	0.85	08/22/2016	Direct	0.00 -23,623.92	---	0.00 -23,623.92	0.00	0.00	0.00	23,623.92
---	Principal Paydown	---	---	---	Direct	0.00 -1,745,730.60	---	0.00 -1,745,730.59	0.00	-0.01	0.00	1,745,730.59

Buy

Trade Date, Settle Date	Transaction Type	Identifier, Description	Coupon Rate	Final Maturity	Broker/Dealer	Base Original Units, Base Current Units	Price	Purchased Cost, Base Principal	Base Accrued Interest	Base Net Total Realized Gain	Base Commission	Base Amount
05/29/2015 06/05/2015	Buy	06050TMB5 BANK OF AMERICA NA	0.729	06/05/2017	Bank of America	250,000.00 250,000.00	100.00	0.00 250,000.00	0.00	0.00	0.00	-250,000.00

Trading Activity

WC-Contra Costa County (19529)

Base Currency: USD 04/01/2015 - 06/30/2015

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Dated: 07/09/2015

Trade Date, Settle Date	Transaction Type	Identifier, Description	Coupon Rate	Final Maturity	Broker/Dealer	Base Original Units, Base Current Units	Price	Purchased Cost, Base Principal	Base Accrued Interest	Base Net Total Realized Gain	Base Commission	Base Amount
06/10/2015 06/17/2015	Buy	17275RAY8 CISCO SYSTEMS INC	0.596	06/15/2018	Citigroup Global Markets (AU)	750,000.00 750,000.00	100.00	0.00 750,000.00	0.00	0.00	0.00	-750,000.00
05/15/2015 05/18/2015	Buy	3130A3J70 FEDERAL HOME LOAN BANK	0.625	11/23/2016	APX Asset	1,000,000.00 1,000,000.00	100.213	0.00 1,002,130.00	3,142.36	0.00	0.00	-1,005,272.36
06/10/2015 06/11/2015	Buy	3136G1NQ8 FANNIE MAE	1.00	06/19/2017	APX Asset	1,000,000.00 1,000,000.00	100.00	0.00 1,000,000.00	4,777.78	0.00	0.00	-1,004,777.78
04/27/2015 04/30/2015	Buy	459056HY6 INTL BK RECON & DEVELOP	0.00	02/15/2016	CastleOak Securities LP	771,000.00 771,000.00	99.644	0.00 768,255.24	0.00	0.00	0.00	-768,255.24
05/19/2015 05/20/2015	Buy	459056HY6 INTL BK RECON & DEVELOP	0.00	02/15/2016	CastleOak Securities LP	163,000.00 163,000.00	99.626	0.00 162,390.38	0.00	0.00	0.00	-162,390.38
06/19/2015 06/26/2015	Buy	45905U7F5 INTL BK RECON & DEVELOP	0.45	07/19/2016	APX Asset	1,000,000.00 1,000,000.00	100.00	0.00 1,000,000.00	0.00	0.00	0.00	-1,000,000.00
04/30/2015 04/30/2015	Buy	69349LAH1 PNC BANK NA	0.80	01/28/2016	TORONTO DOMINION BK	750,000.00 750,000.00	100.238	0.00 751,785.00	1,533.33	0.00	0.00	-753,318.33
04/14/2015 04/14/2015	Buy	89116FYH7 Toronto Dominion Holdings (U.S.A.), Inc.	0.00	11/17/2015	Bank of America	350,000.00 350,000.00	99.819	0.00 349,367.08	0.00	0.00	0.00	-349,367.08
05/11/2015 05/12/2015	Buy	90331HMR1 US BANK NA CINCINNATI	0.577	01/26/2018	Dain Rauscher	450,000.00 450,000.00	100.268	0.00 451,206.00	115.40	0.00	0.00	-451,321.40
05/29/2015 06/01/2015	Buy	912828VL1 US TREASURY N/B	0.625	07/15/2016	Goldman Sachs & Co. (AU)	1,000,000.00 1,000,000.00	100.301	0.00 1,003,007.81	2,365.33	0.00	0.00	-1,005,373.14
06/24/2015 06/24/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	Direct	296.67 296.67	1.00	296.67 296.67	0.00	0.00	0.00	-296.67
06/30/2015 06/30/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	Direct	2,500.00 2,500.00	1.00	2,500.00 2,500.00	0.00	0.00	0.00	-2,500.00
04/08/2015 04/08/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	1,595.81 1,595.81	1.00	1,595.81 1,595.81	0.00	0.00	0.00	-1,595.81
04/15/2015 04/15/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	20,164.55 20,164.55	1.00	20,164.55 20,164.55	0.00	0.00	0.00	-20,164.55
04/15/2015 04/15/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	83,808.04 83,808.04	1.00	83,808.04 83,808.04	0.00	0.00	0.00	-83,808.04
04/15/2015 04/15/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	218,690.11 218,690.11	1.00	218,690.11 218,690.11	0.00	0.00	0.00	-218,690.11
04/14/2015 04/14/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	3,350.00 3,350.00	1.00	3,350.00 3,350.00	0.00	0.00	0.00	-3,350.00
04/13/2015 04/13/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	1,014.38 1,014.38	1.00	1,014.38 1,014.38	0.00	0.00	0.00	-1,014.38
04/17/2015 04/17/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	1,002,500.00 1,002,500.00	1.00	1,002,500.00 1,002,500.00	0.00	0.00	0.00	-1,002,500.00
04/23/2015 04/23/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	484.56 484.56	1.00	484.56 484.56	0.00	0.00	0.00	-484.56
04/20/2015 04/20/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	14,299.03 14,299.03	1.00	14,299.03 14,299.03	0.00	0.00	0.00	-14,299.03
04/20/2015 04/20/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	23,692.63 23,692.63	1.00	23,692.63 23,692.63	0.00	0.00	0.00	-23,692.63
04/20/2015 04/20/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	389.81 389.81	1.00	389.81 389.81	0.00	0.00	0.00	-389.81
04/27/2015 04/27/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	417.07 417.07	1.00	417.07 417.07	0.00	0.00	0.00	-417.07
04/27/2015 04/27/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	513,875.00 513,875.00	1.00	513,875.00 513,875.00	0.00	0.00	0.00	-513,875.00
05/01/2015 05/01/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	3,510.34 3,510.34	1.00	3,510.34 3,510.34	0.00	0.00	0.00	-3,510.34
04/29/2015 04/29/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	751,073.63 751,073.63	1.00	751,073.63 751,073.63	0.00	0.00	0.00	-751,073.63
05/04/2015 05/04/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	1,250.00 1,250.00	1.00	1,250.00 1,250.00	0.00	0.00	0.00	-1,250.00
05/11/2015 05/11/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	752,812.50 752,812.50	1.00	752,812.50 752,812.50	0.00	0.00	0.00	-752,812.50

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Trade Date, Settle Date	Transaction Type	Identifier, Description	Coupon Rate	Final Maturity	Broker/Dealer	Base Original Units, Base Current Units	Price	Purchased Cost, Base Principal	Base Accrued Interest	Base Net Total Realized Gain	Base Commission	Base Amount
05/15/2015 05/15/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	966,371.97 966,371.97	1.00	966,371.97 966,371.97	0.00	0.00	0.00	-966,371.97
05/15/2015 05/15/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	78,274.31 78,274.31	1.00	78,274.31 78,274.31	0.00	0.00	0.00	-78,274.31
05/14/2015 05/14/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	869.68 869.68	1.00	869.68 869.68	0.00	0.00	0.00	-869.68
05/21/2015 05/21/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	22,592.30 22,592.30	1.00	22,592.30 22,592.30	0.00	0.00	0.00	-22,592.30
05/20/2015 05/20/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	1,850,480.63 1,850,480.63	1.00	1,850,480.63 1,850,480.63	0.00	0.00	0.00	-1,850,480.63
05/19/2015 05/19/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	878.93 878.93	1.00	878.93 878.93	0.00	0.00	0.00	-878.93
05/18/2015 05/18/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	332.82 332.82	1.00	332.82 332.82	0.00	0.00	0.00	-332.82
05/26/2015 05/26/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	615.00 615.00	1.00	615.00 615.00	0.00	0.00	0.00	-615.00
05/26/2015 05/26/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	3,229.17 3,229.17	1.00	3,229.17 3,229.17	0.00	0.00	0.00	-3,229.17
05/26/2015 05/26/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	296.67 296.67	1.00	296.67 296.67	0.00	0.00	0.00	-296.67
06/10/2015 06/10/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	Direct	10,625.00 10,625.00	1.00	10,625.00 10,625.00	0.00	0.00	0.00	-10,625.00
06/18/2015 06/18/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	Direct	19,455.47 19,455.47	1.00	19,455.47 19,455.47	0.00	0.00	0.00	-19,455.47
06/17/2015 06/17/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	Direct	12,000.00 12,000.00	1.00	12,000.00 12,000.00	0.00	0.00	0.00	-12,000.00
06/15/2015 06/15/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	Direct	252,728.67 252,728.67	1.00	252,728.67 252,728.67	0.00	0.00	0.00	-252,728.67
06/15/2015 06/15/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	Direct	195.42 195.42	1.00	195.42 195.42	0.00	0.00	0.00	-195.42
06/22/2015 06/22/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	Direct	26,876.55 26,876.55	1.00	26,876.55 26,876.55	0.00	0.00	0.00	-26,876.55
06/19/2015 06/19/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	Direct	1,005,000.00 1,005,000.00	1.00	1,005,000.00 1,005,000.00	0.00	0.00	0.00	-1,005,000.00
06/19/2015 06/19/2015	Buy	949917397 WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	Direct	1,675.00 1,675.00	1.00	1,675.00 1,675.00	0.00	0.00	0.00	-1,675.00
---	Buy	---	---	---	---	15,132,221.72	---	7,648,221.72	11,934.20	0.00	0.00	-15,148,297.43
---		---				15,132,221.72		15,136,363.23				

Sell

Trade Date, Settle Date	Transaction Type	Identifier, Description	Coupon Rate	Final Maturity	Broker/Dealer	Base Original Units, Base Current Units	Price	Purchased Cost, Base Principal	Base Accrued Interest	Base Net Total Realized Gain	Base Commission	Base Amount
06/19/2015 06/19/2015	Sell	3134G4XC7 FREDDIE MAC	0.67	09/19/2016	Not Provided	-1,000,000.00 -1,000,000.00	100.00	0.00 -1,000,000.00	-1,675.00	82.97	0.00	1,001,675.00
05/20/2015 05/20/2015	Sell	3134G53J2 FREDDIE MAC	0.55	05/20/2016	Not Provided	-1,000,000.00 -1,000,000.00	100.00	0.00 -1,000,000.00	0.00	0.00	0.00	1,000,000.00
04/29/2015 04/29/2015	Sell	69349LAL2 PNC BANK NA	0.572	04/29/2016	Not Provided	-750,000.00 -750,000.00	100.00	0.00 -750,000.00	0.00	0.00	0.00	750,000.00
06/26/2015 06/26/2015	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	Direct	-1,000,000.00 -1,000,000.00	1.00	-1,000,000.00 -1,000,000.00	0.00	0.00	0.00	1,000,000.00
04/02/2015 04/02/2015	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	-502,232.22 -502,232.22	1.00	-502,232.22 -502,232.22	0.00	0.00	0.00	502,232.22
04/01/2015 04/01/2015	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	-479,516.35 -479,516.35	1.00	-479,516.35 -479,516.35	0.00	0.00	0.00	479,516.35
04/06/2015 04/06/2015	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	-767,720.00 -767,720.00	1.00	-767,720.00 -767,720.00	0.00	0.00	0.00	767,720.00
04/14/2015 04/14/2015	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	-349,367.08 -349,367.08	1.00	-349,367.08 -349,367.08	0.00	0.00	0.00	349,367.08

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04/30/2015 04/30/2015	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	-768,255.24 -768,255.24	1.00	-768,255.24 -768,255.24	0.00	0.00	0.00	768,255.24
04/30/2015 04/30/2015	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	-753,318.33 -753,318.33	1.00	-753,318.33 -753,318.33	0.00	0.00	0.00	753,318.33
05/12/2015 05/12/2015	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	-451,321.40 -451,321.40	1.00	-451,321.40 -451,321.40	0.00	0.00	0.00	451,321.40
05/20/2015 05/20/2015	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	-1.20 -1.20	1.00	-1.20 -1.20	0.00	0.00	0.00	1.20
05/18/2015 05/18/2015	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	Direct	-982,763.79 -982,763.79	1.00	-982,763.79 -982,763.79	0.00	0.00	0.00	982,763.79
06/01/2015 06/01/2015	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	Direct	-1,005,306.15 -1,005,306.15	1.00	-1,005,306.15 -1,005,306.15	0.00	0.00	0.00	1,005,306.15
06/05/2015 06/05/2015	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	Direct	-250,000.00 -250,000.00	1.00	-250,000.00 -250,000.00	0.00	0.00	0.00	250,000.00
06/11/2015 06/11/2015	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	Direct	-1,003,527.78 -1,003,527.78	1.00	-1,003,527.78 -1,003,527.78	0.00	0.00	0.00	1,003,527.78
06/22/2015 06/22/2015	Sell	949917397 WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	Direct	-6,295.46 -6,295.46	1.00	-6,295.46 -6,295.46	0.00	0.00	0.00	6,295.46
---	Sell	---	---	---	---	-11,069,625.00	---	-8,319,625.00	-1,675.00	82.97	0.00	11,071,300.00
---		---				-11,069,625.00		-11,069,625.00				

Maturity

Trade Date, Settle Date	Transaction Type	Identifier, Description	Coupon Rate	Final Maturity	Broker/Dealer	Base Original Units, Base Current Units	Price	Purchased Cost, Base Principal	Base Accrued Interest	Base Net Total Realized Gain	Base Commission	Base Amount
04/17/2015 04/17/2015	Maturity	3137EADD8 FREDDIE MAC	0.50	04/17/2015	Maturity	-1,000,000.00 -1,000,000.00	100.00	0.00 -1,000,000.00	0.00	0.00	0.00	1,000,000.00
05/11/2015 05/11/2015	Maturity	459200HD6 IBM CORP	0.75	05/11/2015	Maturity	-750,000.00 -750,000.00	100.00	0.00 -750,000.00	0.00	0.00	0.00	750,000.00
04/27/2015 04/27/2015	Maturity	74254PYF3 PRINCIPAL LIFE INC FDG	5.55	04/27/2015	Maturity	-500,000.00 -500,000.00	100.00	0.00 -500,000.00	0.00	0.00	0.00	500,000.00
06/17/2015 06/17/2015	Maturity	89233P4B9 TOYOTA MOTOR CREDIT CORP	3.20	06/17/2015	Maturity	-750,000.00 -750,000.00	100.00	0.00 -750,000.00	0.00	0.00	0.00	750,000.00
---	Maturity	---	---	---	Maturity	-3,000,000.00	100.00	0.00	0.00	0.00	0.00	3,000,000.00
---		---				-3,000,000.00		-3,000,000.00				

Call Redemption

Trade Date, Settle Date	Transaction Type	Identifier, Description	Coupon Rate	Final Maturity	Broker/Dealer	Base Original Units, Base Current Units	Price	Purchased Cost, Base Principal	Base Accrued Interest	Base Net Total Realized Gain	Base Commission	Base Amount
05/20/2015 05/20/2015	Call Redemption	3134G54C6 FREDDIE MAC	0.50	05/20/2016	Redemption	-1,000,000.00 -1,000,000.00	100.00	0.00 -1,000,000.00	0.00	0.00	0.00	1,000,000.00
05/20/2015 05/20/2015	Call Redemption	3134G54C6 FREDDIE MAC	0.50	05/20/2016	Redemption	-1,000,000.00	100.00	0.00	0.00	0.00	0.00	1,000,000.00
						-1,000,000.00		-1,000,000.00				

Summary

Trade Date, Settle Date	Transaction Type	Identifier, Description	Coupon Rate	Final Maturity	Broker/Dealer	Base Original Units, Base Current Units	Price	Purchased Cost, Base Principal	Base Accrued Interest	Base Net Total Realized Gain	Base Commission	Base Amount
---	---	---	---	---	---	62,596.72	---	-671,403.28	10,259.20	82.96	0.00	1,668,733.16
---		---				-1,683,133.88		-1,678,992.36				

* Grouped By: Transaction Type. * Groups Sorted By: Transaction Type. * Showing transactions with Trade Date within selected date range. * MMF transactions are expanded.

* The Transaction Detail/Trading Activity reports provide our most up-to-date transactional details. As such, these reports are subject to change even after the other reports on the website have been locked down. While these reports can be useful tools in understanding recent activity, due to their dynamic nature we do not recommend using them for booking journal entries or reconciliation.

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* Does not Lock Down.

Receivable

Entry Date	Settle Date	Transaction Type	Base Current Units	Identifier	Description	Coupon Rate	Final Maturity	Price	Base Amount
06/30/2015	06/30/2015	Money Market Funds	0.00	949917397	WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	---	66.98
06/30/2015	06/30/2015	Money Market Funds	0.00	949917397	WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	---	66.98

Settled

Entry Date	Settle Date	Transaction Type	Base Current Units	Identifier	Description	Coupon Rate	Final Maturity	Price	Base Amount
05/01/2015	05/01/2015	Coupon	0.00	03255LGX1	ANAHEIM FING AUTH-B	1.00	05/01/2016	---	3,479.17
05/14/2015	05/14/2015	Coupon	0.00	06050TLU4	BANK OF AMERICA NA	0.745	02/14/2017	---	868.48
05/29/2015	06/05/2015	Buy	250,000.00	06050TMB5	BANK OF AMERICA NA	0.729	06/05/2017	100.00	-250,000.00
04/13/2015	04/13/2015	Coupon	0.00	084664CD1	BERKSHIRE HATHAWAY FIN	0.575	01/12/2018	---	1,014.38
05/20/2015	05/20/2015	Coupon	0.00	097023BC8	BOEING CO	3.75	11/20/2016	---	14,062.50
05/15/2015	05/15/2015	Principal Paydown	-750,000.00	161571FY5	CHAIT 2013-A4 A4	0.286	05/15/2017	---	750,000.00
05/15/2015	05/15/2015	Coupon	0.00	161571FY5	CHAIT 2013-A4 A4	0.286	05/15/2017	---	175.94
04/15/2015	04/15/2015	Coupon	0.00	161571FY5	CHAIT 2013-A4 A4	0.281	05/15/2017	---	171.56
06/10/2015	06/17/2015	Buy	750,000.00	17275RAY8	CISCO SYSTEMS INC	0.596	06/15/2018	100.00	-750,000.00
05/23/2015	05/23/2015	Coupon	0.00	3130A3J70	FEDERAL HOME LOAN BANK	0.625	11/23/2016	---	3,229.17
05/15/2015	05/18/2015	Buy	1,000,000.00	3130A3J70	FEDERAL HOME LOAN BANK	0.625	11/23/2016	100.213	-1,005,272.36
06/10/2015	06/10/2015	Coupon	0.00	313373SZ6	FEDERAL HOME LOAN BANK	2.125	06/10/2016	---	10,625.00
05/04/2015	05/04/2015	Coupon	0.00	3133EDGC0	FEDERAL FARM CREDIT BANK	0.25	11/04/2015	---	1,250.00
04/14/2015	04/14/2015	Coupon	0.00	3133EDXG2	FEDERAL FARM CREDIT BANK	0.67	10/14/2016	---	3,350.00
06/19/2015	06/19/2015	Sell	-1,000,000.00	3134G4XC7	FREDDIE MAC	0.67	09/19/2016	100.00	1,001,675.00
05/20/2015	05/20/2015	Coupon	0.00	3134G53J2	FREDDIE MAC	0.55	05/20/2016	---	2,750.00
05/20/2015	05/20/2015	Sell	-1,000,000.00	3134G53J2	FREDDIE MAC	0.55	05/20/2016	100.00	1,000,000.00
05/20/2015	05/20/2015	Coupon	0.00	3134G54C6	FREDDIE MAC	0.50	05/20/2016	---	2,500.00
05/20/2015	05/20/2015	Call Redemption	-1,000,000.00	3134G54C6	FREDDIE MAC	0.50	05/20/2016	100.00	1,000,000.00
06/19/2015	06/19/2015	Coupon	0.00	3136G1NQ8	FANNIE MAE	1.00	06/19/2017	---	5,000.00
06/10/2015	06/11/2015	Buy	1,000,000.00	3136G1NQ8	FANNIE MAE	1.00	06/19/2017	100.00	-1,004,777.78
04/17/2015	04/17/2015	Maturity	-1,000,000.00	3137EADD8	FREDDIE MAC	0.50	04/17/2015	100.00	1,000,000.00
04/17/2015	04/17/2015	Coupon	0.00	3137EADD8	FREDDIE MAC	0.50	04/17/2015	---	2,500.00
06/15/2015	06/15/2015	Coupon	0.00	31679KAB6	FITAT 2014-2 A2A	0.45	04/17/2017	---	179.57
06/15/2015	06/15/2015	Principal Paydown	-59,983.73	31679KAB6	FITAT 2014-2 A2A	0.45	04/17/2017	---	59,983.73
05/15/2015	05/15/2015	Coupon	0.00	31679KAB6	FITAT 2014-2 A2A	0.45	04/17/2017	---	203.73
05/15/2015	05/15/2015	Principal Paydown	-64,440.40	31679KAB6	FITAT 2014-2 A2A	0.45	04/17/2017	---	64,440.40
04/15/2015	04/15/2015	Coupon	0.00	31679KAB6	FITAT 2014-2 A2A	0.45	04/17/2017	---	230.04
04/15/2015	04/15/2015	Principal Paydown	-70,136.51	31679KAB6	FITAT 2014-2 A2A	0.45	04/17/2017	---	70,136.51
05/23/2015	05/23/2015	Coupon	0.00	36164EAB1	GEET 2015-1 A2	0.89	11/24/2017	---	296.67
04/23/2015	04/23/2015	Coupon	0.00	36164EAB1	GEET 2015-1 A2	0.89	11/24/2017	---	484.56
06/23/2015	06/23/2015	Coupon	0.00	36164EAB1	GEET 2015-1 A2	0.89	11/24/2017	---	296.67
04/08/2015	04/08/2015	Coupon	0.00	36962G6Q2	GENERAL ELEC CAP CORP	0.874	01/08/2016	---	1,595.81
04/15/2015	04/15/2015	Coupon	0.00	41284AAB4	HDMOT 2014-1 A2A	0.49	04/15/2018	---	87.17
04/15/2015	04/15/2015	Principal Paydown	-20,251.26	41284AAB4	HDMOT 2014-1 A2A	0.49	04/15/2018	---	20,251.26
06/15/2015	06/15/2015	Coupon	0.00	41284AAB4	HDMOT 2014-1 A2A	0.49	04/15/2018	---	71.52
06/15/2015	06/15/2015	Principal Paydown	-17,339.25	41284AAB4	HDMOT 2014-1 A2A	0.49	04/15/2018	---	17,339.25
05/15/2015	05/15/2015	Coupon	0.00	41284AAB4	HDMOT 2014-1 A2A	0.49	04/15/2018	---	78.91
05/15/2015	05/15/2015	Principal Paydown	-18,089.34	41284AAB4	HDMOT 2014-1 A2A	0.49	04/15/2018	---	18,089.34
06/15/2015	06/15/2015	Coupon	0.00	41284BAB2	HDMOT 2015-1 A2A	0.80	01/15/2019	---	333.33

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05/15/2015	05/15/2015	Coupon	0.00	41284BAB2	HDMOT 2015-1 A2A	0.80	01/15/2019	---	333.33
04/15/2015	04/15/2015	Coupon	0.00	41284BAB2	HDMOT 2015-1 A2A	0.80	01/15/2019	---	333.33
04/18/2015	04/18/2015	Principal Paydown	-20,500.06	43814GAB6	HAROT 2014-2 A2	0.39	09/19/2016	---	20,500.06
04/18/2015	04/18/2015	Coupon	0.00	43814GAB6	HAROT 2014-2 A2	0.39	09/19/2016	---	52.69
06/18/2015	06/18/2015	Coupon	0.00	43814GAB6	HAROT 2014-2 A2	0.39	09/19/2016	---	39.59
06/18/2015	06/18/2015	Principal Paydown	-19,045.59	43814GAB6	HAROT 2014-2 A2	0.39	09/19/2016	---	19,045.59
05/18/2015	05/18/2015	Principal Paydown	-19,819.10	43814GAB6	HAROT 2014-2 A2	0.39	09/19/2016	---	19,819.10
05/18/2015	05/18/2015	Coupon	0.00	43814GAB6	HAROT 2014-2 A2	0.39	09/19/2016	---	46.03
06/15/2015	06/15/2015	Coupon	0.00	43814KAB7	HAROT 2015-1 A2	0.70	06/15/2017	---	195.42
05/15/2015	05/15/2015	Coupon	0.00	43814KAB7	HAROT 2015-1 A2	0.70	06/15/2017	---	195.42
04/15/2015	04/15/2015	Coupon	0.00	43814KAB7	HAROT 2015-1 A2	0.70	06/15/2017	---	195.42
04/27/2015	04/30/2015	Buy	771,000.00	459056HY6	INTL BK RECON & DEVELOP	0.00	02/15/2016	99.644	-768,255.24
05/19/2015	05/20/2015	Buy	163,000.00	459056HY6	INTL BK RECON & DEVELOP	0.00	02/15/2016	99.626	-162,390.38
06/19/2015	06/26/2015	Buy	1,000,000.00	45905U7F5	INTL BK RECON & DEVELOP	0.45	07/19/2016	100.00	-1,000,000.00
05/11/2015	05/11/2015	Coupon	0.00	459200HD6	IBM CORP	0.75	05/11/2015	---	2,812.50
05/11/2015	05/11/2015	Maturity	-750,000.00	459200HD6	IBM CORP	0.75	05/11/2015	100.00	750,000.00
04/15/2015	04/15/2015	Coupon	0.00	47787VAB7	JDOT 2014-A A2	0.45	09/15/2016	---	171.68
04/15/2015	04/15/2015	Principal Paydown	-59,993.66	47787VAB7	JDOT 2014-A A2	0.45	09/15/2016	---	59,993.66
05/15/2015	05/15/2015	Principal Paydown	-69,868.04	47787VAB7	JDOT 2014-A A2	0.45	09/15/2016	---	69,868.05
05/15/2015	05/15/2015	Coupon	0.00	47787VAB7	JDOT 2014-A A2	0.45	09/15/2016	---	149.18
06/15/2015	06/15/2015	Coupon	0.00	47787VAB7	JDOT 2014-A A2	0.45	09/15/2016	---	122.98
06/15/2015	06/15/2015	Principal Paydown	-42,979.56	47787VAB7	JDOT 2014-A A2	0.45	09/15/2016	---	42,979.56
06/15/2015	06/15/2015	Principal Paydown	-72,646.19	58768EAC3	MBALT 2014-A A2A	0.48	06/15/2016	---	72,646.19
06/15/2015	06/15/2015	Coupon	0.00	58768EAC3	MBALT 2014-A A2A	0.48	06/15/2016	---	130.68
05/15/2015	05/15/2015	Principal Paydown	-78,112.39	58768EAC3	MBALT 2014-A A2A	0.48	06/15/2016	---	78,112.39
05/15/2015	05/15/2015	Coupon	0.00	58768EAC3	MBALT 2014-A A2A	0.48	06/15/2016	---	161.92
04/15/2015	04/15/2015	Principal Paydown	-83,441.18	58768EAC3	MBALT 2014-A A2A	0.48	06/15/2016	---	83,441.18
04/15/2015	04/15/2015	Coupon	0.00	58768EAC3	MBALT 2014-A A2A	0.48	06/15/2016	---	195.30
04/01/2015	04/01/2015	Coupon	0.00	605581FW2	MISSISSIPPI ST-B-TXBL	0.64	10/01/2016	---	229.33
04/15/2015	04/15/2015	Coupon	0.00	65477WAB2	NAROT 2014-B A2	0.60	06/15/2017	---	375.00
05/15/2015	05/15/2015	Coupon	0.00	65477WAB2	NAROT 2014-B A2	0.60	06/15/2017	---	375.00
06/15/2015	06/15/2015	Coupon	0.00	65477WAB2	NAROT 2014-B A2	0.60	06/15/2017	---	375.00
04/01/2015	04/01/2015	Coupon	0.00	677520FR4	OH ST-TXB-DEV ASST-A	5.39	10/01/2015	---	20,212.50
04/30/2015	04/30/2015	Buy	750,000.00	69349LAH1	PNC BANK NA	0.80	01/28/2016	100.238	-753,318.33
04/29/2015	04/29/2015	Coupon	0.00	69349LAL2	PNC BANK NA	0.572	04/29/2016	---	1,073.63
04/29/2015	04/29/2015	Sell	-750,000.00	69349LAL2	PNC BANK NA	0.572	04/29/2016	100.00	750,000.00
05/16/2015	05/16/2015	Coupon	0.00	69371RK96	PACCAR FINANCIAL CORP	0.70	11/16/2015	---	2,467.50
05/18/2015	05/18/2015	Coupon	0.00	70659PAE1	PENAR 2013-1A A1	0.573	11/18/2017	---	332.82
04/20/2015	04/20/2015	Coupon	0.00	70659PAE1	PENAR 2013-1A A1	0.571	11/18/2017	---	389.81
06/18/2015	06/18/2015	Coupon	0.00	70659PAE1	PENAR 2013-1A A1	0.575	11/18/2017	---	370.29
05/19/2015	05/19/2015	Coupon	0.00	74153WCA5	PRICOA GLOBAL FUNDING 1	0.546	08/19/2015	---	878.93
04/27/2015	04/27/2015	Coupon	0.00	74254PYF3	PRINCIPAL LIFE INC FDG	5.55	04/27/2015	---	13,875.00
04/27/2015	04/27/2015	Maturity	-500,000.00	74254PYF3	PRINCIPAL LIFE INC FDG	5.55	04/27/2015	100.00	500,000.00
06/11/2015	06/11/2015	Coupon	0.00	74256LAC3	PRINCIPAL LIFE GLB FND II	1.00	12/11/2015	---	1,250.00
04/14/2015	04/14/2015	Buy	350,000.00	89116FYH7	Toronto Dominion Holdings (U.S.A.), Inc.	0.00	11/17/2015	99.819	-349,367.08
06/17/2015	06/17/2015	Coupon	0.00	89233P4B9	TOYOTA MOTOR CREDIT CORP	3.20	06/17/2015	---	12,000.00
06/17/2015	06/17/2015	Maturity	-750,000.00	89233P4B9	TOYOTA MOTOR CREDIT CORP	3.20	06/17/2015	100.00	750,000.00
04/15/2015	04/15/2015	Principal Paydown	-19,671.51	89236VAB6	TAOT 2013-B A2	0.48	02/15/2016	---	19,671.51
04/15/2015	04/15/2015	Coupon	0.00	89236VAB6	TAOT 2013-B A2	0.48	02/15/2016	---	7.87
05/15/2015	05/15/2015	Coupon	0.00	89236WAB4	TAOT 2015-A A2	0.71	07/17/2017	---	355.00

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Entry Date	Settle Date	Transaction Type	Base Current Units	Identifier	Description	Coupon Rate	Final Maturity	Price	Base Amount
04/15/2015	04/15/2015	Coupon	0.00	89236WAB4	TAOT 2015-A A2	0.71	07/17/2017	---	485.17
06/15/2015	06/15/2015	Coupon	0.00	89236WAB4	TAOT 2015-A A2	0.71	07/17/2017	---	355.00
04/15/2015	04/15/2015	Coupon	0.00	90290KAB1	USAOT 2014-1 A2	0.38	10/17/2016	---	90.92
04/15/2015	04/15/2015	Principal Paydown	-66,825.12	90290KAB1	USAOT 2014-1 A2	0.38	10/17/2016	---	66,825.12
05/15/2015	05/15/2015	Principal Paydown	-61,421.36	90290KAB1	USAOT 2014-1 A2	0.38	10/17/2016	---	61,421.36
05/15/2015	05/15/2015	Coupon	0.00	90290KAB1	USAOT 2014-1 A2	0.38	10/17/2016	---	69.75
06/15/2015	06/15/2015	Principal Paydown	-58,161.56	90290KAB1	USAOT 2014-1 A2	0.38	10/17/2016	---	58,161.55
06/15/2015	06/15/2015	Coupon	0.00	90290KAB1	USAOT 2014-1 A2	0.38	10/17/2016	---	50.30
05/11/2015	05/12/2015	Buy	450,000.00	90331HMR1	US BANK NA CINCINNATI	0.577	01/26/2018	100.268	-451,321.40
04/26/2015	04/26/2015	Coupon	0.00	90331HMR1	US BANK NA CINCINNATI	0.577	01/26/2018	---	417.25
05/29/2015	06/01/2015	Buy	1,000,000.00	912828VL1	US TREASURY N/B	0.625	07/15/2016	100.301	-1,005,373.14
06/30/2015	06/30/2015	Coupon	0.00	912828WQ9	US TREASURY N/B	0.50	06/30/2016	---	2,500.00
05/15/2015	05/15/2015	Coupon	0.00	91412GUT0	UNIV OF CALIFORNIA-AN	0.634	05/15/2016	---	792.50
05/26/2015	05/26/2015	Coupon	0.00	928668AD4	VOLKSWAGEN GROUP AMERICA	0.502	05/23/2016	---	615.25
06/20/2015	06/20/2015	Principal Paydown	-26,840.54	92867GAC7	VALET 2012-1 A3	0.85	08/22/2016	---	26,840.54
06/20/2015	06/20/2015	Coupon	0.00	92867GAC7	VALET 2012-1 A3	0.85	08/22/2016	---	36.01
05/20/2015	05/20/2015	Coupon	0.00	92867GAC7	VALET 2012-1 A3	0.85	08/22/2016	---	51.98
05/20/2015	05/20/2015	Principal Paydown	-22,540.32	92867GAC7	VALET 2012-1 A3	0.85	08/22/2016	---	22,540.32
04/20/2015	04/20/2015	Coupon	0.00	92867GAC7	VALET 2012-1 A3	0.85	08/22/2016	---	68.71
04/20/2015	04/20/2015	Principal Paydown	-23,623.92	92867GAC7	VALET 2012-1 A3	0.85	08/22/2016	---	23,623.92
06/26/2015	06/26/2015	Sell	-1,000,000.00	949917397	WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	1.00	1,000,000.00
06/24/2015	06/24/2015	Buy	296.67	949917397	WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	1.00	-296.67
06/30/2015	06/30/2015	Buy	2,500.00	949917397	WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	1.00	-2,500.00
04/02/2015	04/02/2015	Sell	-502,232.22	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	502,232.22
04/01/2015	04/01/2015	Sell	-479,516.35	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	479,516.35
04/06/2015	04/06/2015	Sell	-767,720.00	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	767,720.00
04/08/2015	04/08/2015	Buy	1,595.81	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-1,595.81
04/15/2015	04/15/2015	Buy	20,164.55	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-20,164.55
04/15/2015	04/15/2015	Buy	218,690.11	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-218,690.11
04/15/2015	04/15/2015	Buy	83,808.04	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-83,808.04
04/14/2015	04/14/2015	Sell	-349,367.08	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	349,367.08
04/14/2015	04/14/2015	Buy	3,350.00	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-3,350.00
04/13/2015	04/13/2015	Buy	1,014.38	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-1,014.38
04/17/2015	04/17/2015	Buy	1,002,500.00	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-1,002,500.00
04/23/2015	04/23/2015	Buy	484.56	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-484.56
04/20/2015	04/20/2015	Buy	389.81	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-389.81
04/20/2015	04/20/2015	Buy	23,692.63	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-23,692.63
04/20/2015	04/20/2015	Buy	14,299.03	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-14,299.03
04/27/2015	04/27/2015	Buy	417.07	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-417.07
04/27/2015	04/27/2015	Buy	513,875.00	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-513,875.00
05/01/2015	05/01/2015	Buy	3,510.34	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-3,510.34
04/30/2015	04/30/2015	Sell	-768,255.24	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	768,255.24
04/30/2015	04/30/2015	Money Market Funds	0.00	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	---	31.17
04/30/2015	04/30/2015	Sell	-753,318.33	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	753,318.33
04/29/2015	04/29/2015	Buy	751,073.63	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-751,073.63
05/04/2015	05/04/2015	Buy	1,250.00	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-1,250.00
05/12/2015	05/12/2015	Sell	-451,321.40	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	451,321.40
05/11/2015	05/11/2015	Buy	752,812.50	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-752,812.50
05/15/2015	05/15/2015	Buy	78,274.31	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-78,274.31
05/15/2015	05/15/2015	Buy	966,371.97	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-966,371.97

Transaction Detail

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Entry Date	Settle Date	Transaction Type	Base Current Units	Identifier	Description	Coupon Rate	Final Maturity	Price	Base Amount
05/14/2015	05/14/2015	Buy	869.68	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-869.68
05/21/2015	05/21/2015	Buy	22,592.30	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-22,592.30
05/20/2015	05/20/2015	Buy	1,850,480.63	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-1,850,480.63
05/20/2015	05/20/2015	Sell	-1.20	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	1.20
05/19/2015	05/19/2015	Buy	878.93	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-878.93
05/18/2015	05/18/2015	Buy	332.82	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-332.82
05/18/2015	05/18/2015	Sell	-982,763.79	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	982,763.79
05/26/2015	05/26/2015	Buy	296.67	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-296.67
05/26/2015	05/26/2015	Buy	615.00	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-615.00
05/26/2015	05/26/2015	Buy	3,229.17	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	1.00	-3,229.17
06/01/2015	06/01/2015	Sell	-1,005,306.15	949917397	WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	1.00	1,005,306.15
05/31/2015	05/31/2015	Money Market Funds	0.00	949917397	WFA HERITAGE MONEY MARK-I	0.03	06/30/2015	---	66.99
06/05/2015	06/05/2015	Sell	-250,000.00	949917397	WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	1.00	250,000.00
06/10/2015	06/10/2015	Buy	10,625.00	949917397	WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	1.00	-10,625.00
06/11/2015	06/11/2015	Sell	-1,003,527.78	949917397	WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	1.00	1,003,527.78
06/18/2015	06/18/2015	Buy	19,455.47	949917397	WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	1.00	-19,455.47
06/17/2015	06/17/2015	Buy	12,000.00	949917397	WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	1.00	-12,000.00
06/15/2015	06/15/2015	Buy	252,728.67	949917397	WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	1.00	-252,728.67
06/15/2015	06/15/2015	Buy	195.42	949917397	WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	1.00	-195.42
06/22/2015	06/22/2015	Sell	-6,295.46	949917397	WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	1.00	6,295.46
06/22/2015	06/22/2015	Buy	26,876.55	949917397	WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	1.00	-26,876.55
06/19/2015	06/19/2015	Buy	1,005,000.00	949917397	WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	1.00	-1,005,000.00
06/19/2015	06/19/2015	Buy	1,675.00	949917397	WFA HERITAGE MONEY MARK-I	0.04	06/30/2015	1.00	-1,675.00
04/20/2015	04/20/2015	Management Fee	0.00	CCYUSD	US Dollar	0.00	06/30/2015	---	-6,253.72
05/20/2015	05/20/2015	Management Fee	0.00	CCYUSD	US Dollar	0.00	06/30/2015	---	-6,441.49
06/22/2015	06/22/2015	Management Fee	0.00	CCYUSD	US Dollar	0.00	06/30/2015	---	-6,295.46
---	---	---	-1,683,133.88	---	---	---	---	---	1,769,910.82

Summary

Entry Date	Settle Date	Transaction Type	Base Current Units	Identifier	Description	Coupon Rate	Final Maturity	Price	Base Amount
---	---	---	-1,683,133.88	---	---	---	---	---	1,769,977.80

* Grouped By: Status. * Groups Sorted By: Status. * Showing transactions with Entry Date within selected date range. * MMF transactions are expanded.

* The Transaction Detail/Trading Activity reports provide our most up-to-date transactional details. As such, these reports are subject to change even after the other reports on the website have been locked down. While these reports can be useful tools in understanding recent activity, due to their dynamic nature we do not recommend using them for booking journal entries or reconciliation.

MMF Transaction Detail

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* Does not Lock Down.

Receivable

Entry Date	Settle Date	Transaction Type	Base Current Units	Identifier	Ticker	Description	Base Amount
06/30/2015	06/30/2015	Money Market Funds	0.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	66.98
06/30/2015	06/30/2015	Money Market Funds	0.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	66.98

Settled

Entry Date	Settle Date	Transaction Type	Base Current Units	Identifier	Ticker	Description	Base Amount
06/26/2015	06/26/2015	Sell	-1,000,000.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	1,000,000.00
06/24/2015	06/24/2015	Buy	296.67	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-296.67
06/30/2015	06/30/2015	Buy	2,500.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-2,500.00
04/02/2015	04/02/2015	Sell	-502,232.22	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	502,232.22
04/01/2015	04/01/2015	Sell	-479,516.35	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	479,516.35
04/06/2015	04/06/2015	Sell	-767,720.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	767,720.00
04/08/2015	04/08/2015	Buy	1,595.81	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-1,595.81
04/15/2015	04/15/2015	Buy	20,164.55	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-20,164.55
04/15/2015	04/15/2015	Buy	218,690.11	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-218,690.11
04/15/2015	04/15/2015	Buy	83,808.04	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-83,808.04
04/14/2015	04/14/2015	Sell	-349,367.08	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	349,367.08
04/14/2015	04/14/2015	Buy	3,350.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-3,350.00
04/13/2015	04/13/2015	Buy	1,014.38	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-1,014.38
04/17/2015	04/17/2015	Buy	1,002,500.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-1,002,500.00
04/23/2015	04/23/2015	Buy	484.56	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-484.56
04/20/2015	04/20/2015	Buy	389.81	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-389.81
04/20/2015	04/20/2015	Buy	23,692.63	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-23,692.63
04/20/2015	04/20/2015	Buy	14,299.03	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-14,299.03
04/27/2015	04/27/2015	Buy	417.07	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-417.07
04/27/2015	04/27/2015	Buy	513,875.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-513,875.00
05/01/2015	05/01/2015	Buy	3,510.34	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-3,510.34
04/30/2015	04/30/2015	Sell	-768,255.24	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	768,255.24
04/30/2015	04/30/2015	Money Market Funds	0.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	31.17
04/30/2015	04/30/2015	Sell	-753,318.33	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	753,318.33
04/29/2015	04/29/2015	Buy	751,073.63	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-751,073.63
05/04/2015	05/04/2015	Buy	1,250.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-1,250.00
05/12/2015	05/12/2015	Sell	-451,321.40	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	451,321.40
05/11/2015	05/11/2015	Buy	752,812.50	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-752,812.50
05/15/2015	05/15/2015	Buy	78,274.31	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-78,274.31
05/15/2015	05/15/2015	Buy	966,371.97	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-966,371.97
05/14/2015	05/14/2015	Buy	869.68	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-869.68
05/21/2015	05/21/2015	Buy	22,592.30	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-22,592.30
05/20/2015	05/20/2015	Buy	1,850,480.63	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-1,850,480.63
05/20/2015	05/20/2015	Sell	-1.20	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	1.20
05/19/2015	05/19/2015	Buy	878.93	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-878.93
05/18/2015	05/18/2015	Buy	332.82	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-332.82
05/18/2015	05/18/2015	Sell	-982,763.79	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	982,763.79
05/26/2015	05/26/2015	Buy	296.67	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-296.67
05/26/2015	05/26/2015	Buy	615.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-615.00
05/26/2015	05/26/2015	Buy	3,229.17	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-3,229.17
06/01/2015	06/01/2015	Sell	-1,005,306.15	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	1,005,306.15
05/31/2015	05/31/2015	Money Market Funds	0.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	66.99

MMF Transaction Detail

WC-Contra Costa County (19529)

Base Currency: USD 04/01/2015 - 06/30/2015

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Dated: 07/09/2015

Entry Date	Settle Date	Transaction Type	Base Current Units	Identifier	Ticker	Description	Base Amount
06/05/2015	06/05/2015	Sell	-250,000.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	250,000.00
06/10/2015	06/10/2015	Buy	10,625.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-10,625.00
06/11/2015	06/11/2015	Sell	-1,003,527.78	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	1,003,527.78
06/18/2015	06/18/2015	Buy	19,455.47	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-19,455.47
06/17/2015	06/17/2015	Buy	12,000.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-12,000.00
06/15/2015	06/15/2015	Buy	252,728.67	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-252,728.67
06/15/2015	06/15/2015	Buy	195.42	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-195.42
06/22/2015	06/22/2015	Sell	-6,295.46	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	6,295.46
06/22/2015	06/22/2015	Buy	26,876.55	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-26,876.55
06/19/2015	06/19/2015	Buy	1,005,000.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-1,005,000.00
06/19/2015	06/19/2015	Buy	1,675.00	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	-1,675.00
---	---	---	-671,403.28	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	671,501.44

Summary

Entry Date	Settle Date	Transaction Type	Base Current Units	Identifier	Ticker	Description	Base Amount
---	---	---	-671,403.28	949917397	SHIXX	WFA HERITAGE MONEY MARK-I	671,568.42

* Grouped By: Status.

* Groups Sorted By: Status.

* Filtered By: Security Type = "MMFUND".

* Showing transactions with Entry Date within selected date range.

* MMF transactions are expanded.

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Roll Forward

WC-Contra Costa County (19529)

Base Currency: USD 04/01/2015 - 06/30/2015

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Dated: 07/09/2015

Identifier, Description	Beginning Market Value + Accrued, Base Purchases	Base Sales, Base Maturities and Redemptions	Base Paydowns	Base Net Transferred Value	Base Amortization/ Accretion	Change In Accrued Balance	Net Realized Gain/Loss	Base Change In Net Unrealized Gain/Loss	Base Change In Cash, Payables/Receivables	Ending Market Value + Accrued
90290KAB1 USAOT 2014-1 A2	287,101.69 0.00	0.00 0.00	-186,408.03	0.00	2.54	-31.48	-0.01	38.43	0.00	100,703.13
43814GAB6 HAROT 2014-2 A2	162,074.23 0.00	0.00 0.00	-59,364.75	0.00	3.43	-8.36	-0.00	25.19	0.00	102,729.74
89236VAB6 TAOT 2013-B A2	19,675.59 0.00	0.00 0.00	-19,671.51	0.00	0.03	-4.20	-0.00	0.09	0.00	0.00
92867GAC7 VALET 2012-1 A3	97,101.37 0.00	0.00 0.00	-73,004.78	0.00	-44.08	-18.96	-0.00	-22.05	0.00	24,011.50
31679KAB6 FITAT 2014-2 A2A	613,297.12 0.00	0.00 0.00	-194,560.64	0.00	17.97	-38.91	-0.00	273.24	0.00	418,988.78
949917397 WFA HERITAGE MONEY MARK-I	2,121,663.53 7,648,221.72	-8,319,625.00 0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	1,450,260.25
912828B82 US TREASURY N/B	1,000,139.39 0.00	0.00 0.00	0.00	0.00	469.96	618.21	0.00	155.04	0.00	1,001,382.60
3136G1NQ8 FANNIE MAE	0.00 1,000,000.00	0.00 0.00	0.00	0.00	0.00	333.33	0.00	1,293.00	0.00	1,001,626.33
3130A4KE1 FEDERAL HOME LOAN BANK	499,996.83 0.00	0.00 0.00	0.00	0.00	19.10	412.50	0.00	136.90	0.00	500,565.33
36962G6Q2 GENERAL ELEC CAP CORP	754,755.19 0.00	0.00 0.00	0.00	0.00	-417.49	57.37	0.00	-630.26	0.00	753,764.81
74256LAC3 PRINCIPAL LFE GLB FND II	251,613.14 0.00	0.00 0.00	0.00	0.00	-350.93	-625.00	0.00	-66.32	0.00	250,570.89
605581FW2 MISSISSIPPI ST-B-TXBL	299,539.33 0.00	0.00 0.00	0.00	0.00	0.00	250.67	0.00	438.00	0.00	300,228.00
3134G53J2 FREDDIE MAC	1,002,566.39 0.00	-1,000,000.00 0.00	0.00	0.00	0.00	-2,001.39	0.00	-565.00	0.00	0.00
677520FR4 OH ST-TXB-DEV ASST-A	788,587.50 0.00	0.00 0.00	0.00	0.00	-9,374.83	-10,106.25	0.00	-247.67	0.00	768,858.75
90331HMR1 US BANK NA CINCINNATI	0.00 451,206.00	0.00 0.00	0.00	0.00	-62.88	476.02	0.00	-1,724.97	0.00	449,894.17
90331HMR1 US BANK NA CINCINNATI	300,877.09 0.00	0.00 0.00	0.00	0.00	0.00	20.76	0.00	-968.40	0.00	299,929.45
857477AH6 STATE STREET CORP	291,678.59 0.00	0.00 0.00	0.00	0.00	-1,596.86	2,048.44	0.00	25.65	0.00	292,155.83
857477AH6 STATE STREET CORP	307,030.10 0.00	0.00 0.00	0.00	0.00	-1,736.13	2,156.25	0.00	82.23	0.00	307,532.45
06050TLU4 BANK OF AMERICA NA	500,140.24 0.00	0.00 0.00	0.00	0.00	0.00	62.43	0.00	429.50	0.00	500,632.17
3133EDGC0 FEDERAL FARM CREDIT BANK	1,000,912.83 0.00	0.00 0.00	0.00	0.00	326.98	-625.00	0.00	63.02	0.00	1,000,677.83
084664CD1 BERKSHIRE HATHAWAY FIN	752,106.81 0.00	0.00 0.00	0.00	0.00	0.00	71.78	0.00	-299.25	0.00	751,879.34
05531FAG8 BB&T CORPORATION	767,190.50 0.00	0.00 0.00	0.00	0.00	-4,441.52	5,666.67	0.00	-139.48	0.00	768,276.17
43814KAB7 HAROT 2015-1 A2	335,095.18 0.00	0.00 0.00	0.00	0.00	2.82	0.00	0.00	-84.22	0.00	335,013.77
54438CQZ8 LOS ANGELES CCD-H-TXL	750,588.56 0.00	0.00 0.00	0.00	0.00	0.00	556.88	0.00	-7.50	0.00	751,137.94
70659PAE1 PENAR 2013-1A A1	750,574.13 0.00	0.00 0.00	0.00	0.00	0.00	-9.65	0.00	-88.50	0.00	750,475.98
41284BAB2 HDMOT 2015-1 A2A	500,239.78 0.00	0.00 0.00	0.00	0.00	0.55	0.00	0.00	345.95	0.00	500,586.28
03255LGX1 ANAHEIM FING AUTH-B	751,834.17 0.00	0.00 0.00	0.00	0.00	0.00	-1,604.17	0.00	772.50	0.00	751,002.50
912828QX1 US TREASURY N/B	1,017,095.19 0.00	0.00 0.00	0.00	0.00	-2,644.96	3,770.72	0.00	223.96	0.00	1,018,444.91
4197915E4 HAWAII-TXBL-REF-ES	751,926.38 0.00	0.00 0.00	0.00	0.00	0.00	1,370.63	0.00	375.00	0.00	753,672.00

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459200HD6 IBM CORP	752,487.50 0.00	0.00 -750,000.00	0.00	0.00	-202.59	-2,187.50	0.00	-97.41	0.00	0.00
3130A3J70 FEDERAL HOME LOAN BANK	0.00 1,002,130.00	0.00 0.00	0.00	0.00	-168.86	659.72	0.00	-1,355.14	0.00	1,001,265.72
097023BC8 BOEING CO	796,770.63 0.00	0.00 0.00	0.00	0.00	-5,363.38	-7,031.25	0.00	-1,408.37	0.00	782,967.63
74153WCA5 PRICOA GLOBAL FUNDING 1	300,318.26 0.00	0.00 0.00	0.00	0.00	-131.68	15.87	0.00	34.18	0.00	300,236.63
74153WCA5 PRICOA GLOBAL FUNDING 1	375,397.82 0.00	0.00 0.00	0.00	0.00	0.00	19.84	0.00	-121.88	0.00	375,295.79
69349LAL2 PNC BANK NA	750,663.11 0.00	-750,000.00 0.00	0.00	0.00	-71.81	-739.61	0.00	148.31	0.00	0.00
65477WAB2 NAROT 2014-B A2	750,022.25 0.00	0.00 0.00	0.00	0.00	6.63	0.00	0.00	-147.63	0.00	749,881.25
928668AD4 VOLKSWAGEN GROUP AMERICA	499,648.94 0.00	0.00 0.00	0.00	0.00	0.00	3.56	0.00	200.50	0.00	499,853.00
912828VL1 US TREASURY N/B	0.00 1,003,007.81	0.00 0.00	0.00	0.00	-220.08	2,883.29	0.00	-53.73	0.00	1,005,617.29
89116FYH7 Toronto Dominion Holdings (U.S.A.), Inc.	0.00 349,367.08	0.00 0.00	0.00	0.00	227.50	0.00	0.00	67.86	0.00	349,662.44
CCYUSD Receivable	65.84 0.00	0.00 0.00	0.00	0.00	0.00	0.00	0.00	0.00	1.14	66.98
CCYUSD Cash	0.46 0.00	0.00 0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.42	0.88
CCYUSD Payable	-1,769,976.24 0.00	0.00 0.00	0.00	0.00	0.00	0.00	0.00	0.00	1,769,976.24	0.00
76912TJC8 RIVERSIDE PUB FIN-REF	124,446.11 0.00	0.00 0.00	0.00	0.00	92.08	312.50	0.00	134.17	0.00	124,984.86
36164EAB1 GEET 2015-1 A2	400,429.80 0.00	0.00 0.00	0.00	0.00	6.21	-187.89	0.00	90.59	0.00	400,338.71
912828RF9 US TREASURY N/B	1,009,150.57 0.00	0.00 0.00	0.00	0.00	-1,405.96	2,472.83	0.00	312.96	0.00	1,010,530.39
912828WQ9 US TREASURY N/B	1,002,897.91 0.00	0.00 0.00	0.00	0.00	-102.26	-1,243.32	0.00	102.26	0.00	1,001,654.59
74254PYF3 PRINCIPAL LIFE INC FDG	513,583.33 0.00	0.00 -500,000.00	0.00	0.00	-1,786.31	-11,870.83	0.00	73.81	0.00	0.00
46625HHW3 JPMORGAN CHASE & CO	764,472.67 0.00	0.00 0.00	0.00	0.00	-3,231.89	4,875.00	0.00	-1,173.61	0.00	764,942.17
3137EADD8 FREDDIE MAC	1,002,465.78 0.00	0.00 -1,000,000.00	0.00	0.00	-100.09	-2,277.78	0.00	-87.91	0.00	0.00
69371RK96 PACCAR FINANCIAL CORP	205,805.86 0.00	0.00 0.00	0.00	0.00	-123.42	-358.75	0.00	34.04	0.00	205,357.73
69371RK96 PACCAR FINANCIAL CORP	501,975.22 0.00	0.00 0.00	0.00	0.00	-359.21	-884.72	0.00	141.21	0.00	500,872.50
3136FPET0 FANNIE MAE	1,016,383.67 0.00	0.00 0.00	0.00	0.00	-4,149.60	5,000.00	0.00	710.60	0.00	1,017,944.67
313384SS9 FED HOME LN DISCOUNT NT	997,933.00 0.00	0.00 0.00	0.00	0.00	631.95	0.00	0.00	522.05	0.00	999,087.00
459056HY6 INTL BK RECON & DEVELOP	0.00 768,255.24	0.00 0.00	0.00	0.00	584.79	0.00	0.00	-170.00	0.00	768,670.03
459056HY6 INTL BK RECON & DEVELOP	0.00 162,390.38	0.00 0.00	0.00	0.00	94.48	0.00	0.00	22.55	0.00	162,507.41
45905U7F5 INTL BK RECON & DEVELOP	0.00 1,000,000.00	0.00 0.00	0.00	0.00	0.00	62.50	0.00	0.00	0.00	1,000,062.50
3133EDQW5 FEDERAL FARM CREDIT BANK	1,000,476.89 0.00	0.00 0.00	0.00	0.00	223.27	725.00	0.00	94.73	0.00	1,001,519.89
69349LAH1 PNC BANK NA	0.00 751,785.00	0.00 0.00	0.00	0.00	-457.31	2,550.00	0.00	-271.68	0.00	753,606.00

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3133EDXG2 FEDERAL FARM CREDIT BANK	1,003,435.06 0.00	0.00 0.00	0.00	0.00	-256.34	-1,675.00	0.00	56.34	0.00	1,001,560.06
89236WAB4 TAOT 2015-A A2	600,577.50 0.00	0.00 0.00	0.00	0.00	0.91	-130.17	0.00	-550.51	0.00	599,897.73
17275RAY8 CISCO SYSTEMS INC	0.00 750,000.00	0.00 0.00	0.00	0.00	0.00	173.85	0.00	1,311.75	0.00	751,485.60
06406HBX6 BANK OF NEW YORK MELLON	767,952.00 0.00	0.00 0.00	0.00	0.00	-3,079.87	4,312.50	0.00	1,513.12	0.00	770,697.75
010775AK0 ALAMEDA CA CMNTY IMPT	100,020.08 0.00	0.00 0.00	0.00	0.00	0.00	138.25	0.00	29.00	0.00	100,187.33
3130A3XU3 FEDERAL HOME LOAN BANK	1,000,365.22 0.00	0.00 0.00	0.00	0.00	32.86	625.00	0.00	231.14	0.00	1,001,254.22
3134G54C6 FREDDIE MAC	1,001,832.44 0.00	0.00 -1,000,000.00	0.00	0.00	-167.81	-1,819.44	0.00	154.81	0.00	0.00
762494QV7 RIALTO USD-TXBL	250,338.68 0.00	0.00 0.00	0.00	0.00	0.00	786.25	0.00	-280.00	0.00	250,844.93
68428LDH4 ORANGE CNTY -TXBL-A	300,220.00 0.00	0.00 0.00	0.00	0.00	0.00	510.00	0.00	663.00	0.00	301,393.00
68428LDH4 ORANGE CNTY -TXBL-A	490,359.33 0.00	0.00 0.00	0.00	0.00	0.00	833.00	0.00	1,082.90	0.00	492,275.23
161571FY5 CHAIT 2013-A4 A4	750,091.50 0.00	0.00 0.00	-750,000.00	0.00	0.00	-91.50	0.00	0.00	0.00	0.00
3134G4TH1 FREDDIE MAC	1,000,952.67 0.00	0.00 0.00	0.00	0.00	-18.50	750.00	0.00	-195.50	0.00	1,001,488.67
06050TMB5 BANK OF AMERICA NA	0.00 250,000.00	0.00 0.00	0.00	0.00	0.00	131.66	0.00	94.00	0.00	250,225.66
5446462Q4 LOS ANGELES SD-L-TXBL	400,270.00 0.00	0.00 0.00	0.00	0.00	0.00	486.00	0.00	244.00	0.00	401,000.00
313373SZ6 FEDERAL HOME LOAN BANK	1,026,804.08 0.00	0.00 0.00	0.00	0.00	-4,018.14	-5,312.50	0.00	0.14	0.00	1,017,473.58
91412GUT0 UNIV OF CALIFORNIA-AN	250,553.78 0.00	0.00 0.00	0.00	0.00	0.00	-396.25	0.00	197.50	0.00	250,355.03
0258M0DA4 AMER EXPRESS CREDIT CO	758,196.17 0.00	0.00 0.00	0.00	0.00	-4,196.91	5,156.25	0.00	-180.09	0.00	758,975.42
89233P4B9 TOYOTA MOTOR CREDIT CORP	761,496.33 0.00	0.00 -750,000.00	0.00	0.00	-4,508.55	-6,933.33	0.00	-54.45	0.00	0.00
912828PS3 US TREASURY N/B	1,017,923.92 0.00	0.00 0.00	0.00	0.00	-4,176.27	5,027.62	0.00	192.27	0.00	1,018,967.54
912828PS3 US TREASURY N/B	1,017,923.92 0.00	0.00 0.00	0.00	0.00	-4,138.16	5,027.62	0.00	154.16	0.00	1,018,967.54
3133EDGM8 FEDERAL FARM CREDIT BANK	1,000,226.17 0.00	0.00 0.00	0.00	0.00	225.31	875.00	0.00	-608.31	0.00	1,000,718.17
3133EDGM8 FEDERAL FARM CREDIT BANK	200,045.23 0.00	0.00 0.00	0.00	0.00	84.27	175.00	0.00	-160.87	0.00	200,143.63
14912L4X6 CATERPILLAR FINANCIAL SE	791,560.72 0.00	0.00 0.00	0.00	0.00	-2,697.91	3,971.88	0.00	-960.09	0.00	791,874.59
58768EAC3 MBALT 2014-A A2A	488,307.04 0.00	0.00 0.00	-234,199.76	0.00	3.14	-49.96	0.00	44.62	0.00	254,105.07
41284AAB4 HDMOT 2014-1 A2A	213,309.93 0.00	0.00 0.00	-55,679.85	0.00	2.80	-12.13	0.00	227.01	0.00	157,847.76
47787VAB7 JDOT 2014-A A2	457,742.41 0.00	0.00 0.00	-172,841.27	0.00	4.37	-34.57	0.01	142.43	0.00	285,013.38
3134G4XC7 FREDDIE MAC	1,000,983.33 0.00	-1,000,000.00 0.00	0.00	0.00	14.31	-223.33	82.97	-857.28	0.00	0.00
---	44,322,307.71	-11,069,625.00	-1,745,730.59	0.00	-62,724.35	7,899.44	82.96	127.65	1,769,977.80	44,358,678.85
---	15,136,363.23	-4,000,000.00								

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Shock Analysis

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Identifier	Description	Security Type	Base Market Value	Book Yield	Yield	Duration	-200 Basis Point Shock	-100 Basis Point Shock	-50 Basis Point Shock	50 Basis Point Shock	100 Basis Point Shock	200 Basis Point Shock
010775AK0	ALAMEDA CA CMNTY IMPT	MUNI	100,003.00	0.553	0.536	0.183	100,101.09	100,101.09	100,094.50	99,911.50	99,819.99	99,636.99
0258M0DA4	AMER EXPRESS CREDIT CO	CORP	752,902.50	0.491	0.79	0.21	754,151.57	754,151.57	753,693.05	752,111.95	751,321.40	749,740.31
03255LGX1	ANAHEIM FING AUTH-B	MUNI	749,752.50	1.00	1.07	0.842	756,507.32	756,065.42	752,908.95	746,596.04	743,439.58	737,126.67
05531FAG8	BB&T CORPORATION	CORP	761,209.50	0.662	0.80	0.70	765,472.27	765,472.27	763,873.73	758,545.27	755,881.03	750,552.57
06050TLU4	BANK OF AMERICA NA	CORP	500,135.50	0.759	0.729	0.122	500,580.31	500,580.31	500,440.58	499,830.42	499,525.33	498,915.17
06050TMB5	BANK OF AMERICA NA	CORP	250,094.00	0.74	0.723	0.191	250,439.36	250,439.36	250,332.84	249,855.16	249,616.32	249,138.64
06406HBX6	BANK OF NEW YORK MELLON	CORP	763,366.50	0.644	0.613	1.061	768,331.38	768,331.38	767,416.16	759,316.84	755,267.18	747,167.86
084664CD1	BERKSHIRE HATHAWAY FIN	CORP	750,931.50	0.585	0.527	0.034	751,066.05	751,066.05	751,059.16	750,803.84	750,676.18	750,420.87
097023BC8	BOEING CO	CORP	779,764.50	0.849	0.84	1.359	788,665.98	788,665.98	785,063.00	774,466.00	769,167.50	758,570.50
14912L4X6	CATERPILLAR FINANCIAL SE	CORP	785,254.80	0.643	0.754	1.07	791,590.08	791,590.08	789,455.91	781,053.69	776,852.57	768,450.35
17275RAY8	CISCO SYSTEMS INC	CORP	751,311.75	0.605	0.538	0.213	752,172.71	752,172.71	752,111.89	750,511.60	749,711.46	748,111.16
3130A3J70	FEDERAL HOME LOAN BANK	AGCY BOND	1,000,606.00	0.484	0.572	1.391	1,008,567.34	1,008,567.34	1,007,565.21	993,646.79	986,687.57	972,769.14
3130A3XU3	FEDERAL HOME LOAN BANK	AGCY BOND	1,000,157.00	0.263	0.214	0.563	1,001,362.01	1,001,362.01	1,001,362.01	997,341.56	994,525.12	988,895.23
3130A4KE1	FEDERAL HOME LOAN BANK	AGCY BOND	500,052.00	0.345	0.311	0.753	501,223.04	501,223.04	501,223.04	498,169.30	496,286.61	492,521.22
313373SZ6	FEDERAL HOME LOAN BANK	AGCY BOND	1,016,234.00	0.50	0.395	0.94	1,020,007.28	1,020,007.28	1,020,007.28	1,011,457.70	1,006,681.40	997,128.80
313384SS9	FED HOME LN DISCOUNT NT	AGCY DISC	999,087.00	0.254	0.158	0.60	1,000,034.13	1,000,034.13	1,000,034.13	996,089.74	993,092.48	987,097.96
3133EDGC0	FEDERAL FARM CREDIT BANK	AGCY BOND	1,000,282.00	0.382	0.152	0.347	1,000,809.59	1,000,809.59	1,000,809.59	998,546.51	996,811.02	993,340.04
3133EDGM8	FEDERAL FARM CREDIT BANK	AGCY BOND	1,199,566.80	0.454	0.397	0.382	1,201,385.99	1,201,385.99	1,201,385.99	1,197,275.63	1,194,984.45	1,190,402.11
3133EDQW5	FEDERAL FARM CREDIT BANK	AGCY BOND	1,000,231.00	0.38	0.24	0.56	1,001,575.31	1,001,575.31	1,001,575.31	997,430.35	994,629.71	989,028.41
3133EDXG2	FEDERAL FARM CREDIT BANK	AGCY BOND	1,000,127.00	0.567	0.594	0.815	1,004,968.71	1,004,968.71	1,004,202.52	996,051.48	991,975.96	983,824.93
3134G4TH1	FREDDIE MAC	AGCY BOND	1,000,047.00	0.293	0.06	0.025	1,000,062.00	1,000,062.00	1,000,062.00	999,921.99	999,796.99	999,546.98
3136FPET0	FANNIE MAE	AGCY BOND	1,011,778.00	0.326	0.297	0.691	1,013,854.44	1,013,854.44	1,013,854.44	1,008,282.31	1,004,786.61	997,795.23
3136G1NQ8	FANNIE MAE	AGCY BOND	1,001,293.00	1.00	0.392	0.975	1,005,119.94	1,005,119.94	1,005,119.94	996,411.70	991,530.39	981,767.78
31679KAB6	FITAT 2014-2 A2A	ABS	418,905.01	0.464	0.405	0.331	419,466.57	419,466.57	419,466.57	418,211.72	417,518.43	416,131.86
36164EAB1	GEET 2015-1 A2	ABS	400,259.60	0.902	0.862	0.00	400,259.60	400,259.60	400,259.60	400,259.60	400,259.60	400,259.60
36962G6Q2	GENERAL ELEC CAP CORP	CORP	752,235.75	0.667	0.299	0.024	752,289.73	752,289.73	752,289.73	752,145.48	752,055.21	751,874.68
41284AAB4	HDMOT 2014-1 A2A	ABS	157,813.40	0.497	0.441	0.40	158,091.78	158,091.78	158,091.78	157,497.77	157,182.14	156,550.89
41284BAB2	HDMOT 2015-1 A2A	ABS	500,408.50	0.802	0.74	3.663	513,972.67	513,972.67	509,573.48	491,243.51	482,078.54	463,748.57
4197915E4	HAWAII-TXBL-REF-ES	MUNI	750,382.50	0.731	0.572	1.093	755,073.86	755,073.86	754,483.34	746,281.66	742,180.82	733,979.14
43814GAB6	HAROT 2014-2 A2	ABS	102,715.27	0.40	0.601	0.175	102,823.30	102,823.30	102,805.14	102,625.39	102,535.51	102,355.76
43814KAB7	HAROT 2015-1 A2	ABS	334,909.55	0.707	0.704	0.633	336,402.01	336,402.01	335,969.54	333,849.56	332,789.57	330,669.59
459056HY6	INTL BK RECON & DEVELOP	INTL GOV	931,177.45	0.462	0.479	0.626	933,969.62	933,969.62	933,969.62	928,262.87	925,348.28	919,519.11
45905U7F5	INTL BK RECON & DEVELOP	INTL GOV	1,000,000.00	0.45	0.45	1.052	1,004,734.00	1,004,734.00	1,004,734.00	994,740.00	989,480.00	989,549.99
46625HHW3	JPMORGAN CHASE & CO	CORP	755,950.50	0.86	0.622	0.536	758,470.78	758,470.78	757,976.45	753,924.55	751,898.61	747,846.71
47787VAB7	JDOT 2014-A A2	ABS	284,956.39	0.455	0.445	0.188	285,194.78	285,194.78	285,194.78	284,688.53	284,420.67	283,884.95
54438CQZ8	LOS ANGELES CCD-H-TXL	MUNI	750,067.50	0.297	0.195	0.089	750,197.67	750,197.67	750,197.67	749,733.72	749,399.94	748,732.38
5446462Q4	LOS ANGELES SD-L-TXBL	MUNI	400,028.00	0.486	0.502	0.515	401,062.19	401,062.19	401,058.07	398,997.93	397,967.86	395,907.71
58768EAC3	MBALT 2014-A A2A	ABS	254,050.87	0.484	0.451	0.101	254,166.59	254,166.59	254,166.59	253,922.58	253,794.28	253,537.69
605581FW2	MISSISSIPPI ST-B-TXBL	MUNI	299,748.00	0.64	0.698	1.246	302,354.93	302,354.93	301,615.43	297,880.57	296,013.14	292,278.28
65477WAB2	NAROT 2014-B A2	ABS	749,681.25	0.607	0.643	0.473	751,961.32	751,961.32	751,454.25	747,908.25	746,135.26	742,589.27
677520FR4	OH ST-TXB-DEV ASST-A	MUNI	758,752.50	0.351	0.70	0.265	760,159.99	760,159.99	759,757.84	757,747.15	756,741.81	754,731.11
68428LDH4	ORANGE CNTY -TXBL-A	MUNI	791,161.30	0.68	0.463	0.599	793,355.48	793,355.48	793,355.48	788,791.77	786,422.24	781,683.19
69349LAH1	PNC BANK NA	CORP	751,056.00	0.439	0.498	0.536	753,060.78	753,060.78	753,060.78	749,043.17	747,030.34	743,004.68
69371RK96	PACCAR FINANCIAL CORP	CORP	705,613.35	0.421	0.418	0.038	706,734.15	706,734.15	706,734.15	704,272.68	702,932.02	700,250.69
70659PAE1	PENAR 2013-1A A1	ABS	750,320.25	0.574	0.464	0.53	750,504.77	750,504.77	750,504.77	750,121.42	749,922.58	749,524.91
74153WCA5	PRICOA GLOBAL FUNDING 1	CORP	675,091.80	0.479	0.443	0.136	675,498.53	675,498.53	675,498.53	674,632.74	674,173.68	673,255.55
74256LAC3	PRINCIPAL LFE GLB FND II	CORP	250,432.00	0.434	0.559	0.449	251,060.56	251,060.56	250,994.22	249,869.78	249,307.56	248,183.12
762494QV7	RIALTO USD-TXBL	MUNI	250,015.00	1.258	1.314	1.578	255,199.04	253,960.24	251,987.62	248,042.38	246,069.76	242,124.53
76912TJC8	RIVERSIDE PUB FIN-REF	MUNI	124,655.00	1.301	1.269	1.171	126,507.37	126,114.71	125,384.85	123,925.14	123,195.29	121,735.58
857477AH6	STATE STREET CORP	CORP	594,362.34	0.573	0.494	0.68	596,358.92	596,358.92	596,358.92	592,341.51	590,320.67	586,279.01

Shock Analysis

WC-Contra Costa County (19529)

Base Currency: USD As of 06/30/2015

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Dated: 07/09/2015

Identifier	Description	Security Type	Base Market Value	Book Yield	Yield	Duration	-200 Basis Point Shock	-100 Basis Point Shock	-50 Basis Point Shock	50 Basis Point Shock	100 Basis Point Shock	200 Basis Point Shock
89116FYH7	Toronto Dominion Holdings (U.S.A.), Inc.	CP	349,662.44	0.30	0.258	0.38	350,005.26	350,005.26	350,005.26	348,998.09	348,333.73	347,005.01
89236WAB4	TAOT 2015-A A2	ABS	599,708.40	0.712	0.739	0.58	602,278.87	602,278.87	601,447.55	597,969.25	596,230.09	592,751.78
90290KAB1	USAOT 2014-1 A2	ABS	100,686.13	0.385	0.473	0.075	100,721.84	100,721.84	100,721.84	100,648.37	100,610.61	100,535.10
90331HMR1	US BANK NA CINCINNATI	CORP	749,030.25	0.525	0.628	0.069	749,354.82	749,354.82	749,288.67	748,771.83	748,513.42	747,996.59
912828B82	US TREASURY N/B	US GOV	1,000,547.00	0.44	0.156	0.67	1,001,592.77	1,001,592.77	1,001,592.77	997,195.17	993,843.33	987,139.67
912828PS3	US TREASURY N/B	US GOV	2,021,250.00	0.325	0.166	0.583	2,023,206.13	2,023,206.13	2,023,206.13	2,015,358.06	2,009,466.11	1,997,682.22
912828QX1	US TREASURY N/B	US GOV	1,012,188.00	0.434	0.341	1.076	1,015,901.88	1,015,901.88	1,015,901.88	1,006,742.43	1,001,296.86	990,405.71
912828RF9	US TREASURY N/B	US GOV	1,007,188.00	0.432	0.351	1.162	1,011,295.94	1,011,295.94	1,011,295.94	1,001,336.24	995,484.48	983,780.95
912828VL1	US TREASURY N/B	US GOV	1,002,734.00	0.356	0.324	1.038	1,006,106.31	1,006,106.31	1,006,106.31	997,529.81	992,325.62	981,917.24
912828WQ9	US TREASURY N/B	US GOV	1,001,641.00	0.459	0.297	0.998	1,004,609.92	1,004,609.92	1,004,609.92	996,642.81	991,644.62	981,648.25
91412GUT0	UNIV OF CALIFORNIA-AN	MUNI	250,152.50	0.634	0.593	0.883	251,462.34	251,462.34	251,256.92	249,048.08	247,943.65	245,734.81
928668AD4	VOLKSWAGEN GROUP AMERICA	CORP	499,602.00	0.51	0.591	0.146	500,033.09	500,033.09	499,966.71	499,237.29	498,872.58	498,143.16
92867GAC7	VALET 2012-1 A3	ABS	24,005.27	0.588	0.349	0.06	24,010.30	24,010.30	24,010.30	23,998.07	23,990.87	23,976.47
949917397	WFA HERITAGE MONEY MARK-I	MMFUND	1,450,260.25	0.00	0.00	0.00	1,450,260.25	1,450,260.25	1,450,260.25	1,450,260.25	1,450,260.25	1,450,260.25
CCYUSD	Receivable	CASH	66.98	0.00	0.00	0.00	66.98	66.98	66.98	66.98	66.98	66.98
CCYUSD	Cash	CASH	0.88	0.00	0.00	0.00	0.88	0.88	0.88	0.88	0.88	0.88
---	---	---	44,237,659.02	0.508	0.449	0.63	44,377,886.51	44,375,813.14	44,350,332.79	44,098,392.40	43,959,125.78	43,680,592.54

* Weighted By: Base Market Value + Accrued.

*The shock analysis includes a yield floor of 0.

Disclaimer

As of 06/30/2015

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Dated: 07/09/2015

The information contained in this report represents estimated trade date investment calculations provided via Clearwater Analytics for Wells Capital Management clients. Certain calculations may not be available for all time periods. Please refer to your custody statement for official portfolio holdings and transactions. Note that certain accounting methods may cause differences between this investment report and your custody statement.



CONTRA COSTA COUNTY
STATEMENT FOR PERIOD
June 01, 2015 - June 30, 2015

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CalTrust Short Term Fund

201-000004-10

Date	Transaction	Shares	Price Per Share	Amount	Average Cost NAV	Average Cost Amount	Realized Gain/Loss*
05/31/2015	BALANCE FORWARD	14,823,326.009	10.03	148,677,959.87	10.02517785	148,606,479.60	
06/01/2015	INCOME DISTRIBUTION - MAY	5,597.114	10.03	56,139.05	10.02517967	56,139.05	0.00
06/30/2015	UNREALIZED GAIN (LOSS)	0.000		-148,289.23		0.00	
06/30/2015	ENDING BALANCE	<u>14,828,923.123</u>	10.02	<u>148,585,809.69</u>		<u>148,662,618.65</u>	
	INCOME DISTRIBUTION PAID - MAY			0.00			
	INCOME ACCRUAL - JUNE			61,220.53			
	CUMULATIVE UNREALIZED GAIN (LOSS)			-76,808.96			

* Please note that this information should not be construed as tax advice and it is recommended that you consult with a tax professional regarding your account.

For Inquiries About Your Account, Contact:

Nottingham Investment Administration
116 South Franklin Street
Rocky Mount, NC 27804
Attention: CalTRUST Shareholder Services
Phone: 800.773.3863
Fax: 252-972-1908
Email: caltrustsupport@ncfunds.com

CONTRA COSTA COUNTY
CONTRA COSTA COUNTY
ATTN: BELINDA ZHU
625 COURT STREET
ROOM 102
MARTINEZ CA 94553-1281



	CalTrust Short Term	LAIF		CalTrust Short Term Total Return	CalTrust Short Term Yield Return	LAIF Yield Return
Market Value	\$988,843,423.59	N/A	One Month	0.00%	0.04%	0.02%
NAV per Share	\$10.02	N/A	Three Month	0.09%	0.11%	0.07%
Yield	0.51%	0.30%	Six Month	0.27%	0.22%	0.14%
Period Total Return	0.00%	N/A	One Year*	0.35%	0.42%	0.27%
Period Yield Return	0.04%	0.02%	Two Year*	0.47%	0.40%	0.26%
Effective Duration	0.72 yrs.	N/A	Three Year*	0.41%	0.38%	0.28%
Average Maturity	1.34 yrs.	0.62 yrs.	Five Year*	0.48%	0.44%	0.33%
			Ten Year*	1.88%	1.85%	1.80%
			Since Inception*	1.92%	1.89%	1.83%
			*Annualized			

Portfolio Sector Breakdown		Portfolio Quality Breakdown	

Disclosure to Performance Information

- This performance information is based on an inception date of February 13, 2005, when the CalTrust Short-Term portfolio commenced investment operations according to its investment objective, and does not include any investment returns from temporary investments held before the commencement of those operations.
- First-month index returns, February 13-28, 2005, are intra-period and were calculated by calculating the average daily return during the month and multiplying the average daily return by number of days in the shortened period.
- Performance was calculated net of investment advisory and program administration fees.
- The Local Agency Investment Fund (LAIF) is a diversified portfolio managed by the State of California for local governments and special districts.
- Performance for the CalTRUST Short Term Account is on a trade date basis. LAIF's monthly performance was calculated by taking the average monthly effective yield and dividing it by 365 then multiplying the result by the number of days in the month.
- Yield represents the 7-day net distribution on investments for the period.
- Rating source - Standard & Poor's.
- Past performance is no guarantee of future results.

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CalTrust Short Term Fund

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Additional Ratings

Effective Date: 6/30/2015

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Ticker	CUSIP	Description	S&P Rating 6/30/2015*	Mat. Date	Years	Shares	Price	Value
AL090115	010775AK0	ALAMEDA CA CMNTY IMP T 9/1/2015 0.553%	A+	9/1/2015	0.2	1,355,000.00	100.0030	\$1,355,040.65
AX091515	0258M0DA4	AMER EXPRESS CREDIT CO 9/15/2015 2.75%	A-	9/15/2015	0.2	1,300,000.00	100.3870	\$1,305,031.00
AM111315	025815AA9	AMERICAN EXPR CENTURION 11/13/15	A-	11/13/2015	0.4	2,800,000.00	100.0682	\$2,801,909.60
AX072916	0258M0DG1	AMERICAN EXPRESS CRE DIT 7/29/2016 1.3%	A-	7/29/2016	1.1	959,000.00	100.3180	\$962,049.62
AH121117	02665WAR2	AMERICAN HONDA FINAN CE 12/11/2017 0.545	A+	12/11/2017	2.5	8,000,000.00	100.0626	\$8,005,008.00
Cr031518	02582JGD6	AMXCA 2012-2 A 3/15/2018 0.68%	AAA	3/15/2018	2.8	10,000,000.00	100.0178	\$10,001,780.00
AN050116	03255LGX1	ANAHEIM FING AUTH-B 5/1/2016 1%	AA-	5/1/2016	0.9	2,850,000.00	99.9670	\$2,849,059.50
BA080115	060505BS2	BANK OF AMERICA CORP 8/1/2015 4.75%	A-	8/1/2015	0.2	5,250,000.00	100.2747	\$5,264,421.75
BA100915	06051GER6	BANK OF AMERICA CORP 1.5% 10/09/15	A-	10/9/2015	0.3	988,000.00	100.2295	\$990,267.46
BA021417	06050TLU4	BANK OF AMERICA NA 2/14/2017 0.7061%	A	2/14/2017	1.7	500,000.00	100.0271	\$500,135.50
BA060517	06050TMB5	BANK OF AMERICA NA 06/05/2017	A	6/5/2017	2.0	2,250,000.00	100.0376	\$2,250,846.00
BA111416	06050TLS9	BANK OF AMERICA NA 11/14/2016 0.7089%	A	11/14/2016	1.4	2,200,000.00	100.0493	\$2,201,084.60
BN021417	06050TLT7	BANK OF AMERICA NA 2/14/2017 1.25%	A	2/14/2017	1.7	5,000,000.00	99.9605	\$4,998,025.00
BO111416	06050TLR1	BANK OF AMERICA NA 11/14/2016 1.125%	A	11/14/2016	1.4	2,000,000.00	100.0269	\$2,000,538.00
BN011516	06406HBS7	BANK OF NEW YORK MELLON 2.5% 1/15/16	A+	1/15/2016	0.6	2,000,000.00	101.0661	\$2,021,322.00
BN072816	06406HBX6	BANK OF NEW YORK MELLON 2.3% 7/28/16	A+	7/28/2016	1.1	3,947,000.00	101.7822	\$4,017,343.43
BA052218	06406HDC0	BANK OF NY MELLON 5/22/18 VARIABLE	A+	5/22/2018	2.9	5,000,000.00	99.9694	\$4,998,470.00
BB031516	05531FAG8	BB&T CORPORATION 3/15/2016 3.2%	A-	3/15/2016	0.8	2,350,000.00	101.4946	\$2,385,123.10
BR011218	084664CD1	BERKSHIRE HATHAWAY F IN 1/12/2018 0.5533	AA	1/12/2018	2.6	2,750,000.00	100.1242	\$2,753,415.50
Au042517	09658UAB5	BMWOT 2014-A A2 4/25/2017 0.53%	AAA	4/25/2017	1.8	8,778,445.30	100.0274	\$8,780,850.59
BA112016	097023BC8	BOEING CO 11/20/2016 3.75%	A	11/20/2016	1.4	2,750,000.00	103.9686	\$2,859,136.50
BB091316	10513KAB0	BRANCH BANKING & TRU ST 9/13/2016 0.5899	A-	9/13/2016	1.2	2,000,000.00	99.7201	\$1,994,402.00
BB120116	07330NAF2	BRANCH BANKING & TRU ST 12/1/2016 0.6743	A	12/1/2016	1.5	5,000,000.00	100.1045	\$5,005,225.00
BT120116	07330NAG0	BRANCH BANKING & TRU ST 12/1/2016 1.05%	A	12/1/2016	1.5	8,125,000.00	100.1313	\$8,135,668.13
UN070141	91412GFA8	CA UNI-FLTG-TXB-Y-2 7/1/2041 0.656%	AA	7/1/2017	2.1	3,250,000.00	100.0400	\$3,251,300.00
CA120116	13066KW21	CALIFORNIA ST DEPT .991% 12/1/16	AAA	12/1/2016	1.5	1,250,000.00	100.0580	\$1,250,725.00
CO020518	14042E4K3	CAPITAL ONE NA 2/5/2018 0.9351%	A	2/5/2018	2.7	2,000,000.00	100.0226	\$2,000,452.00
CA040116	14912L4S7	CATERPILLAR FINANCIA L SE 4/1/2016 2.65%	A	4/1/2016	0.8	1,550,000.00	101.6383	\$1,575,393.65
Cr070717	17305EBU8	CCCIT 2003-A7 A7 7/7/2017 4.15%	AAA	7/7/2017	2.1	5,000,000.00	100.0613	\$5,003,065.00
Cr101017	17305EEW1	CCCIT 2012-A1 A1 10/10/2017 0.55%	AAA	10/10/2017	2.3	8,000,000.00	99.9992	\$7,999,936.00
Cr090718	17305EFE0	CCCIT 2013-A6 A6 9/7/2018 1.32%	AAA	9/7/2018	3.2	4,250,000.00	100.5035	\$4,271,398.75
CH041618	161571BC7	CHAIT 2006-A2 A2 4/16/2018 5.16%	AAA	4/16/2018	2.8	2,426,000.00	102.8604	\$2,495,393.30
Cr081517	161571FL3	CHAIT 2012-A5 A5 8/15/2017 0.59%	AAA	8/15/2017	2.2	3,000,000.00	100.0003	\$3,000,009.00
Cr011519	161571GJ7	CHAIT 2014-A1 A1 1/15/2019 1.15%	AAA	1/15/2019	3.6	13,000,000.00	100.2072	\$13,026,936.00
CS120415	808513AH8	CHARLES SCHWAB CORP 0.85% 12/04/15	A	12/4/2015	0.5	4,500,000.00	100.1513	\$4,506,808.50
CO030218	166764AW0	CHEVRON CORP 3/2/2018 0.432967%	AA	3/2/2018	2.8	4,000,000.00	99.6528	\$3,986,112.00
CI061518	17275RAY8	CISCO SYSTEMS INC 06/15/2018	AA-	6/15/2018	3.0	5,500,000.00	100.1749	\$5,509,619.50
C031017	172967HL8	CITIGROUP INC 3/10/2017 0.7744%	A-	3/10/2017	1.8	1,000,000.00	99.7247	\$997,247.00
C040116	172967GP0	CITIGROUP INC 4/1/2016 1.3%	A-	4/1/2016	0.8	100,000.00	100.2360	\$100,236.00
C042718	172967JQ5	CITIGROUP INC 4/27/2018 0.967%	A-	4/27/2018	2.8	5,000,000.00	99.6950	\$4,984,750.00
I072516	172967GV7	CITIGROUP INC 7/25/2016 1.2161%	A-	7/25/2016	1.1	3,000,000.00	100.2832	\$3,008,496.00
CM061516	20030NAG6	COMCAST CORP 6/15/2016 4.95%	A-	6/15/2016	1.0	1,402,000.00	103.9384	\$1,457,216.37
CR042718	22546QAW7	CREDIT SUISSE NEW YO RK 4/27/2018 0.9574	A	4/27/2018	2.8	5,500,000.00	99.9997	\$5,499,983.50
CS120715	22549TNZ7	CREDIT SUISSE NEW YO RK 12/7/2015 0.6513	A	12/7/2015	0.5	10,000,000.00	100.0182	\$10,001,820.00
DU050116	26362VFQ6	DUBLIN USD-TXB-BANS 5/1/2016 4.761%	SP-1+	5/1/2016	0.9	4,000,000.00	102.9900	\$4,119,600.00
FM022717	3135G0XL2	FANNIE MAE 02/27/2017 0.65%	AA+	2/27/2017	1.7	3,660,000.00	99.8086	\$3,652,994.76
FM061917	3136G1NQ8	FANNIE MAE 1% 06/19/2017	AA+	6/19/2017	2.0	10,000,000.00	100.1293	\$10,012,930.00
FM031016	3136FPET0	FANNIE MAE 3/10/2016 2%	AA+	3/10/2016	0.8	8,975,000.00	101.1778	\$9,080,707.55
FM070215	3135G0LN1	FANNIE MAE 0.50% 7/2/2015	AA+	7/2/2015	0.1	10,000,000.00	100.0011	\$10,000,110.00
FF100616	3133EDWQ1	FEDERAL FARM CREDIT BANK 10/6/2016 0.67	AA+	10/6/2016	1.3	10,000,000.00	100.1321	\$10,013,210.00
FF101416	3133EDXG2	FEDERAL FARM CREDIT BANK 10/14/2016 0.6	AA+	10/14/2016	1.3	5,000,000.00	100.0127	\$5,000,635.00
FF112316	3133EEUS7	FEDERAL FARM CREDIT BANK 11/23/2016 0.6	AA+	11/23/2016	1.4	31,000,000.00	100.1469	\$31,045,539.00
FH012216	3130A3XU3	FEDERAL HOME LOAN BA NK 1/22/2016 0.25%	A-1+	1/22/2016	0.6	8,700,000.00	100.0157	\$8,701,365.90
FH031816	313382K85	FEDERAL HOME LOAN BA NK 3/18/2016 0.45%	AA+	3/18/2016	0.8	10,650,000.00	100.1256	\$10,663,376.40
FH061016	313373SZ6	FEDERAL HOME LOAN BA NK 6/10/2016 2.125%	AA+	6/10/2016	1.0	5,000,000.00	101.6234	\$5,081,170.00
FH091115	313370JB5	FEDERAL HOME LOAN BA NK 9/11/2015 1.75%	AA+	9/11/2015	0.2	10,000,000.00	100.3161	\$10,031,610.00
FH092816	3130A1CD8	FEDERAL HOME LOAN BA NK 9/28/2016 1.125%	AA+	9/28/2016	1.2	2,500,000.00	100.2608	\$2,506,520.00
FL112316	3130A3J70	FEDERAL HOME LOAN BA NK 11/23/2016 0.625	AA+	11/23/2016	1.4	30,000,000.00	100.0606	\$30,018,180.00
FB111816	31677QAZ2	FIFTH THIRD BANK 11/18/2016 0.7481%	A-	11/18/2016	1.4	8,360,000.00	100.1423	\$8,371,896.28
FI022616	31677QAX7	FIFTH THIRD BANK 2/26/2016 0.9%	A-	2/26/2016	0.7	6,810,000.00	100.0964	\$6,816,564.84
Au081516	31679JAB9	FITAT 2014-1 A2 8/15/2016 0.46%	AAA	8/15/2016	1.2	1,204,180.38	99.9968	\$1,204,141.84
FH031516	3134G4VH8	FREDDIE MAC 3/15/2016 0.4%	AA+	3/15/2016	0.8	10,000,000.00	100.0692	\$10,006,920.00
FH041417	3134G6PN7	FREDDIE MAC 4/14/2017 0.8%	AA+	4/14/2017	1.8	10,000,000.00	100.0298	\$10,002,980.00
FH091815	3134G36F2	FREDDIE MAC 9/18/2015 0.42%	AA+	9/18/2015	0.2	2,150,000.00	100.0570	\$2,151,225.50
FM052517	3134G6W41	FREDDIE MAC 5/25/17 0.80%	AA+	5/25/2017	1.9	10,000,000.00	100.0129	\$10,001,290.00
FM052617	3134G6E82	FREDDIE MAC 0.85% 05/26/2017	AA+	5/26/2017	1.9	11,545,000.00	99.8647	\$11,529,379.62
FM061617	3134G6W66	FREDDIE MAC 0.85% 06/16/2017	AA+	6/16/2017	2.0	5,000,000.00	100.0312	\$5,001,560.00
FM082516	3137EACW7	FREDDIE MAC 2.0 08/25/2016	AA+	8/25/2016	1.2	15,000,000.00	101.7723	\$15,265,845.00
FM092515	3134G3L24	FREDDIE MAC 0.5% 09/25/15	AA+	9/25/2015	0.2	12,910,000.00	100.0822	\$12,920,612.02
FM092616	3134G4XW3	FREDDIE MAC 9/26/2016 0.6%	AA+	9/26/2016	1.2	2,500,000.00	99.9636	\$2,499,090.00

FR052617	3134G6R70	FREDDIE MAC 0.75% 05/26/2017	AA+	5/26/2017	1.9	5,000,000.00	100.0119	\$5,000,595.00
GE042018	36159LCF1	GE DEALER FLOORPLAN MASTER NT 04/20/18	Aaa	4/20/2018	2.8	4,690,000.00	99.9306	\$4,686,745.14
OT112417	36164EAB1	GEET 2015-1 A2 11/24/2017 0.89%	Aaa	11/24/2017	2.4	6,500,000.00	100.0649	\$6,504,218.50
GE010917	36962G5N0	GENERAL ELEC CAP CORP 2.9% 1/9/2017	AA+	1/9/2017	1.6	3,000,000.00	102.9519	\$3,088,557.00
GE050916	36962G5C4	GENERAL ELEC CAP CORP 2.95% 5/9/16	AA+	5/9/2016	0.9	8,842,000.00	101.9513	\$9,014,533.95
GE051116	36962G2V5	GENERAL ELEC CAP CORP 05/11/2016	AA+	5/11/2016	0.9	4,635,000.00	100.1862	\$4,643,630.37
SO041516	373334JV4	GEORGIA POWER COMPAN Y 4/15/2016 3%	A	4/15/2016	0.8	4,395,000.00	101.7639	\$4,472,523.41
GS020716	38143USC6	GOLDMAN SACHS GROUP INC 3.625% 2/7/16	A-	2/7/2016	0.7	5,000,000.00	101.6199	\$5,080,995.00
GS080115	38141EA74	GOLDMAN SACHS GROUP INC 8/1/2015 3.7%	A-	8/1/2015	0.2	5,050,000.00	100.2118	\$5,060,695.90
HD011519	41284CAB0	HARLEY-DAVIDSON 0.8% 01/15/19	AAA	1/15/2019	3.6	5,500,000.00	100.0062	\$5,500,341.00
AH082216	43813JAB1	HAROT 2014-1 A2 8/22/2016 0.41%	Aaa	9/21/2016	1.2	1,918,274.29	99.9791	\$1,917,873.37
Au122117	43813JAC9	HAROT 2014-1 A3 12/21/2017 0.67%	AAA	11/21/2017	2.4	4,250,000.00	99.8411	\$4,243,246.75
Au091916	43814GAB6	HAROT 2014-2 A2 9/19/2016 0.39%	AAA	9/19/2016	1.2	2,055,110.91	99.9608	\$2,054,305.31
Au071717	43814KAB7	HAROT 2015-1 A2 7/17/2017 0.7%	AAA	6/15/2017	2.0	5,435,000.00	99.9730	\$5,433,532.55
HI080116	4197915E4	HAWAII-TXBL-REF-ES 8/1/2016 0.731%		8/1/2016	1.2	14,250,000.00	100.0510	\$14,257,267.50
Au071618	41283YAC1	HDMOT 2013-1 A3 7/16/2018 0.65%	Aaa	7/16/2018	3.1	1,105,365.48	99.9886	\$1,105,350.01
Au011519	41284BAB2	HDMOT 2015-1 A2A 1/15/2019 0.95%	AAA	1/15/2019	3.6	12,900,000.00	100.0817	\$12,910,539.30
HA082117	43813NAB2	HONDA AUTO RECEIV- ABLES 08/21/2017	AAA	8/21/2017	2.2	2,000,000.00	99.9944	\$1,999,888.00
HS011916	40429CFN7	HSBC FINANCE CORP SR NT 01/19/2016 5.5%	A	1/19/2016	0.6	6,185,000.00	102.4259	\$6,335,041.92
HB111317	40428HPM8	HSBC USA INC 11/13/2017 0.57185%	A	11/13/2017	2.4	7,955,000.00	99.6901	\$7,930,347.46
IB072216	459200GX3	IBM CORP 7/22/2016 1.95%	AA-	7/22/2016	1.1	12,450,000.00	101.4367	\$12,628,869.15
ID010117	45656TAQ1	INDUSTRY PUB FACS-A 1/1/2017 1.764%	AA	1/1/2017	1.6	7,700,000.00	99.9470	\$7,695,919.00
IN070116	45884AZN6	INTERMOUNTAIN PWR-B 7/1/2016 0.785%	A+	7/1/2016	1.1	6,000,000.00	99.7990	\$5,987,940.00
OI021518	47787UAB9	JDOT 2015-A A2A 2/15/2018 0.87%	AAA	2/15/2018	2.7	7,155,000.00	100.1680	\$7,167,020.40
DC011618	24422ESU4	JOHN DEERE CAPITAL C ORP 1/16/2018 0.543	A	1/16/2018	2.6	12,165,000.00	99.8480	\$12,146,509.20
JP061316	48121CJM9	JP MORGAN CHASE BANK NA 6/13/2016 0.6101	A	6/13/2016	1.0	6,650,000.00	99.7645	\$6,634,339.25
JP011516	46625HHW3	JPMORGAN CHASE & CO 2.6% 1/15/16	A	1/15/2016	0.6	2,000,000.00	100.7934	\$2,015,868.00
JP021517	46623EJZ3	JPMORGAN CHASE & CO 2/15/2017 0.750933%	A	2/15/2017	1.7	1,500,000.00	100.1391	\$1,502,086.50
JP030116	46625HHX1	JPMORGAN CHASE & CO 3/1/2016 3.45%	A	3/1/2016	0.8	4,000,000.00	101.6940	\$4,067,760.00
JP070516	46625HJA9	JPMORGAN CHASE & CO 7/5/2016 3.15%	A	7/5/2016	1.1	1,500,000.00	102.0250	\$1,530,375.00
JP101515	46623EJR1	JPMORGAN CHASE & CO 10/15/2015 1.1%	A	10/15/2015	0.3	2,000,000.00	100.0906	\$2,001,812.00
KE112516	49327M2E3	KEY BANK NA 11/25/2016 0.7516%	A-	11/25/2016	1.4	8,000,000.00	100.0352	\$8,002,816.00
LD080116	54465AFK3	LA CO REDEV-B-TXBL 8/1/2016 1.074%	AA-	8/1/2016	1.2	5,735,000.00	99.9090	\$5,729,781.15
LO080115	54438CQZ8	LOS ANGELES CCD-H-TX L 8/1/2015 0.297%	AA+	8/1/2015	0.2	11,250,000.00	100.0090	\$11,251,012.50
LD120115	54465AEX6	LOS ANGELES REDEV-D 12/1/2015 0.48%	A+	12/1/2015	0.5	3,515,000.00	99.8890	\$3,511,098.35
LA070116	5446462D3	LOS ANGELES SD-L-TXB L 7/1/2016 0.68%	AA-	7/1/2016	1.1	1,000,000.00	100.0000	\$1,000,000.00
LO010116	5446462Q4	LOS ANGELES SD-L-TXB L 1/1/2016 0.486%	AA-	1/1/2016	0.6	1,980,000.00	100.0070	\$1,980,138.60
PP111515	546676AS6	LOUISVILLE GAS & ELE C 11/15/2015 1.625%	A	11/15/2015	0.4	6,000,000.00	100.3220	\$6,019,320.00
MA090116	562785LC7	MANHATTAN BEACH CA .856% 9/1/16	AA	9/1/2016	1.2	1,405,000.00	100.0550	\$1,405,772.75
MA072517	55279HAH3	MANUF & TRADERS TRUS T CO 7/25/2017 0.53	A	7/25/2017	2.1	5,000,000.00	99.3847	\$4,969,235.00
Au071516	58768DAC5	MBALT 2013-B A3 7/15/2016 0.62%	AAA	7/15/2016	1.1	3,974,400.18	99.9745	\$3,973,386.70
Au061516	58768EAC3	MBALT 2014-A A2A 6/15/2016 0.48%	AAA	6/15/2016	1.0	1,297,333.66	100.0015	\$1,297,353.12
AB021517	58768LAB9	MBALT 2015-A A2A 2/15/2017 0.78%	AAA	2/15/2017	1.7	4,000,000.00	100.0566	\$4,002,264.00
Au021517	587729AB0	MBART 2014-1 A2 2/15/2017 0.43%	AAA	2/15/2017	1.7	1,285,870.87	99.9700	\$1,285,485.11
MI100116	605581FW2	MISSISSIPPI ST-B-TXB L 10/1/2016 0.64%	AA	10/1/2016	1.3	2,780,000.00	99.9160	\$2,777,664.80
MS100115	605581EN3	MISSISSIPPI ST-D-REF 10/1/2015 0.31%	AA	10/1/2015	0.3	2,510,000.00	99.9790	\$2,509,472.90
MS100116	605581EP8	MISSISSIPPI ST-D-REF 10/1/2016 0.64%	AA	10/1/2016	1.3	2,520,000.00	99.9160	\$2,517,883.20
MO010518	61761JVN6	MORGAN STANLEY 1/5/2018 1.007098%	A-	1/5/2018	2.6	7,938,000.00	100.0898	\$7,945,128.32
MS042916	61747YDD4	MORGAN STANLEY 3.8% 04/29/16	A-	4/29/2016	0.8	2,000,000.00	102.2015	\$2,044,030.00
UN060616	90520EAC5	MUFG UNION BANK NA 6/6/2016 3%	A+	6/6/2016	1.0	680,000.00	101.7591	\$691,961.88
Au041717	65490BAB1	NALT 2014-B A2A 4/17/2017 0.73%	AAA	4/17/2017	1.8	8,072,401.48	100.0186	\$8,073,902.94
Au061517	65477WAB2	NAROT 2014-B A2 6/15/2017 0.6%	Aaa	6/15/2017	2.0	3,875,000.00	99.9575	\$3,873,353.13
Au091517	65477UAB6	NAROT 2015-A A2 9/15/2017 0.67%	AAA	9/15/2017	2.2	5,000,000.00	99.9188	\$4,995,940.00
CM040116	63946BAC4	NBCUNIVERSAL MEDIA L LC 4/1/2016 2.875%	A-	4/1/2016	0.8	2,000,000.00	101.6160	\$2,032,320.00
NE010116	63968AXN0	NE PUB PWR DIST-B 1/1/2016 0.48%	A	1/1/2016	0.6	12,000,000.00	100.0110	\$12,001,320.00
NY021517	64990EJ32	NEW YORK ST DORM AUT 2/15/17 .93%	AAA	2/15/2017	1.7	3,685,000.00	99.9680	\$3,683,820.80
NE031516	650035K56	NEW YORK ST URBAN DE V 3/15/2016 0.63%	AAA	3/15/2016	0.8	10,000,000.00	99.9780	\$9,997,800.00
NY031516	64990ERF6	NY DORM AUTH-D-TXBL 3/15/2016 0.66%	AAA	3/15/2016	0.8	2,500,000.00	100.1740	\$2,504,350.00
OA020116	672211BC2	OAKLAND COLISEUM-A 2/1/2016 0.8%	A-1+	2/1/2016	0.7	2,000,000.00	99.9420	\$1,998,840.00
HI001020	67752QFR4	OH ST-TXB-DEV ASST-A 10/1/2020** 5.39%	AA	10/1/2015	0.3	3,850,000.00	101.1670	\$3,894,929.50
OR020116	68428LDH4	ORANGE CNTY -TXBL-A 2/1/2016 0.68%	AA-	2/1/2016	0.7	10,930,000.00	100.1470	\$10,946,067.10
PB060118	69353REN4	PNC BANK NA 06/01/2018	A	6/1/2018	3.0	3,000,000.00	99.7982	\$2,993,946.00
PN012717	69353RCG1	PNC BANK NA 1/27/2017 1.125%	A	1/27/2017	1.6	7,735,000.00	100.0078	\$7,735,603.33
PO061516	738798BC0	POWAY REDEV AGY-B 6/15/2016 0.95%	AA-	6/15/2016	1.0	2,000,000.00	99.9510	\$1,999,020.00
PO121515	738798BB2	POWAY REDEV AGY-B 12/15/2015 0.5%	AA-	12/15/2015	0.5	2,465,000.00	99.9600	\$2,464,014.00
PO121516	738798BD8	POWAY REDEV AGY-B 12/15/2016 1.1%	AA-	12/15/2016	1.5	3,545,000.00	99.9260	\$3,542,376.70
PR100115	743755AK6	PRVDNC HLTH & SVC OB L GR 10/1/2015 0.80	AA	10/1/2015	0.3	5,600,000.00	100.0468	\$5,602,620.80
RI020117	762494QV7	RIALTO USD-TXBL 2/1/2017 1.258%	AA	2/1/2017	1.7	800,000.00	100.0060	\$800,048.00
RI090116	76912TJC8	RIVERSIDE PUB FIN-RE F 9/1/2016 1%	A	9/1/2016	1.2	2,090,000.00	99.7240	\$2,084,231.60
SF080115	79770GBN5	SAN FRANCISCO CITY & 8/1/2015 0.569%	A+	8/1/2015	0.2	5,005,000.00	100.0110	\$5,005,550.55
SA100116	79876CAV0	SAN MARCOS CA REDEV 1.09% 10/1/16	AA-	10/1/2016	1.3	5,125,000.00	100.0960	\$5,129,920.00
Au081417	78448MAB3	SMAT 2015-1US A2A 8/14/2017 0.99%	AAA	8/14/2017	2.2	4,000,000.00	100.2116	\$4,008,464.00
SO091515	842587CG0	SOUTHERN CO 9/15/2015 2.375%	A-	9/15/2015	0.2	1,000,000.00	100.3535	\$1,003,535.00
SS120815	85744NAB7	STATE STREET BANK & TRST 12/08/15	A+	12/8/2015	0.5	4,280,000.00	99.9431	\$4,277,564.68
Au011717	89236RAC3	TAOT 2013-A A3 1/17/2017 0.55%	AAA	1/17/2017	1.6	1,565,660.73	100.0079	\$1,565,784.42
AT121517	89231MAC9	TAOT 2014-A A3 12/15/2017 0.67%	AAA	12/15/2017	2.5	1,250,000.00	99.7980	\$1,247,475.00
TO121516	89231RAB0	TAOT 2014-B A2 12/15/2016 0.4%	AAA	12/15/2016	1.5	559,690.07	99.9796	\$559,575.90
AT071717	89236WAB4	TAOT 2015-A A2 7/17/2017 0.71%	AAA	7/17/2017	2.1	6,700,000.00	99.9514	\$6,696,743.80
TD111715	89116FYH7	TORONTO DOMINION 0% 11/17/2015	A-1+	11/17/2015	0.4	20,000,000.00	99.9036	\$19,980,720.00
TO011218	89236TCB9	TOYOTA MOTOR CREDIT CORP 1/12/2018 0.57	AA-	1/12/2018	2.6	13,000,000.00	100.0242	\$13,003,146.00
TO091516	89233P5E2	TOYOTA MOTOR CREDIT CORP 9/15/2016 2%	AA-	9/15/2016	1.2	895,000.00	101.4741	\$908,193.20
TM121015	89233HZA5	TOYOTA MTR CR CORP 0% 12/10/2015	A-1+	12/10/2015	0.5	8,000,000.00	99.8682	\$7,989,456.00
UB092616	90521APH5	UNION BANK NA 9/26/2016 1.5%	A+	9/26/2016	1.2	4,575,000.00	100.3164	\$4,589,475.30
UN092616	90521APG7	UNION BANK NA 9/26/2016 0.9996%	A+	9/26/2016	1.2	2,515,000.00	100.4209	\$2,525,585.64

UN051516	91412GUT0	UNIV OF CALIFORNIA-A N 5/15/2016 0.634%	AA	5/15/2016	0.9	1,830,000.00	100.0610	\$1,831,116.30
UC070141	91412GEZ4	UNIVERSITY CALIF REVS 07/01/2041***	AA	7/1/2017	2.1	7,980,000.00	100.0390	\$7,983,112.20
UB013017	90331HMC4	US BANK NA CINCINNAT I 1/30/2017 1.1%	AA-	1/30/2017	1.6	1,500,000.00	100.2282	\$1,503,423.00
US012618	90331HMR1	US BANK NA CINCINNAT I 1/26/2018 0.55572	AA-	1/26/2018	2.6	4,500,000.00	99.8707	\$4,494,181.50
US013017	90331HMD2	US BANK NA CINCINNAT I 1/30/2017 0.4661%	AA-	1/30/2017	1.6	5,000,000.00	99.9812	\$4,999,060.00
N053116	912828WM8	US TREASURY N/B 5/31/2016 0.375%	AA+	5/31/2016	0.9	20,000,000.00	100.0703	\$20,014,060.00
N123115	912828PM6	US TREASURY N/B 12/31/2015 2.125%	AA+	12/31/2015	0.5	12,800,000.00	100.9766	\$12,925,004.80
T013116	912828B41	US TREASURY N/B 1/31/2016 0.375%	AA+	1/31/2016	0.6	1,255,000.00	100.1328	\$1,256,666.64
T022916	912828B82	US TREASURY N/B 2/29/2016 0.25%	AA+	2/29/2016	0.7	5,000,000.00	100.0547	\$5,002,735.00
T043016	912828C81	US TREASURY N/B 4/30/2016 0.375%	AA+	4/30/2016	0.8	20,000,000.00	100.0703	\$20,014,060.00
T071516	912828VL1	US TREASURY N/B 7/15/2016 0.625%	AA+	7/15/2016	1.1	4,750,000.00	100.2734	\$4,762,986.50
T073116	912828QX1	US TREASURY N/B 7/31/2016 1.5%	AA+	7/31/2016	1.1	10,000,000.00	101.2188	\$10,121,880.00
T083115	912828VU1	US TREASURY N/B 8/31/2015 0.375%	AA+	8/31/2015	0.2	2,000,000.00	100.0469	\$2,000,938.00
T083116	912828RF9	US TREASURY N/B 8/31/2016 1%	AA+	8/31/2016	1.2	10,000,000.00	100.7188	\$10,071,880.00
T101516	912828WA4	US TREASURY N/B 10/15/2016 0.625%	AA+	10/15/2016	1.3	3,000,000.00	100.2656	\$3,007,968.00
T103115	912828PE4	US TREASURY N/B 10/31/2015 1.25%	AA+	10/31/2015	0.3	5,015,000.00	100.3906	\$5,034,588.59
T113015	912828A26	US TREASURY N/B 11/30/2015 0.25%	AA+	11/30/2015	0.4	3,000,000.00	100.0703	\$3,002,109.00
T123115	912828A67	US TREASURY N/B 12/31/2015 0.25%	AA+	12/31/2015	0.5	10,000,000.00	100.0547	\$10,005,470.00
TN51516	912828VC1	US TREASURY N/B 5/15/2016 0.25%	AA+	5/15/2016	0.9	7,500,000.00	99.9688	\$7,497,660.00
TR033116	912828C40	US TREASURY N/B 0.375% 03/31/16	AA+	3/31/2016	0.8	15,000,000.00	100.1016	\$15,015,240.00
Au121517	90290KAC9	USAOT 2014-1 A3 12/15/2017 0.58%	AAA	12/15/2017	2.5	2,420,000.00	99.9980	\$2,419,951.60
Au082216	92867GAC7	VALET 2012-1 A3 8/22/2016 0.85%	AAA	8/22/2016	1.2	95,996.49	100.0256	\$96,021.07
VA072016	92867PAB9	VALET 2013-2 A2 7/20/2016 0.67%	AAA	7/20/2016	1.1	267,749.53	100.0001	\$267,749.79
Au042018	92867PAC7	VALET 2013-2 A3 4/20/2018 0.7%	AAA	4/20/2018	2.8	6,000,000.00	99.9056	\$5,994,336.00
VZ060917	92343VCD4	VERIZON COMMUNICATIO NS 6/9/2017 0.6306%	A	6/9/2017	2.0	1,000,000.00	99.7600	\$997,600.00
VZ091516	92343VBL7	VERIZON COMMUNICATIO NS 9/15/2016 1.7823	A	9/15/2016	1.2	1,925,000.00	101.2306	\$1,948,689.05
Au042017	92867QAD3	VWALT 2014-A A3 4/20/2017 0.8%	AAA	4/20/2017	1.8	6,000,000.00	100.0082	\$6,000,492.00
Au062017	92867VAB6	VWALT 2015-A A2A 6/20/2017 0.87%	AAA	6/20/2017	2.0	2,000,000.00	100.0272	\$2,000,544.00
VPWF3801	VP7000236	WELLS FARGO ADVANT- AGE HERITAGE	AAA	7/1/2015	0.1	8,580,454.41	100.0000	\$8,580,454.41

Grand Total \$1,014,160,705.33

* Security ratings are updated monthly at month-end.

**Mandatory put on 10/1/15

***Mandatory put on 7/1/17

SECTION III

APPENDIX

B. INVESTMENT PORTFOLIO DETAIL – MANAGED BY OUTSIDE CONTRACTED PARTIES

B. 3. EAST BAY REGIONAL COMMUNICATIONS SYSTEM AUTHORITY (EBRCS)

EBRCS TRANSACTIONS*

For the Quarter Ending

June 30, 2015**FY 2014-2015**

FUND	BALANCE @	JV/Date	JV/Date	JV/Date	JV/Date	JV/Date	JV/Date	BALANCE @
NUMBER	03/31/15							06/30/15
100300	2,375,175.55							2,375,175.55
TOTALS	2,375,175.55	0.00	0.00	0.00	0.00	0.00	0.00	2,375,175.55

* East Bay Regional Communications System Authority

SECTION III

APPENDIX

B. INVESTMENT PORTFOLIO DETAIL – MANAGED BY OUTSIDE CONTRACTED PARTIES

B. 4. MISCELLANEOUS



BNY MELLON

The Bank of New York Mellon Trust Company, N.A.

Statement Period 06/01/2015 Through 06/30/2015

Account 036111

CCC91-1 PLEASNT HLL BART98 RESER FD

Statement of Assets Held

Shares / Par Value	Asset Description	Market Price Average Cost	Market Value Cost	Accrued Income Est Annual Income	Market Yield
FIXED INCOME					
14,154.060	COUNTY OF CONTRA COSTA CFD NO. 1992-1 (PLEASANT HILL BART STATION AREA) SPECIAL TAX CUSIP: S86617840	0.00000 1.00000	14,154.06 14,154.06	0.00 0.00	0.00%
Total FIXED INCOME			14,154.06 14,154.06	0.00 0.00	0.00%
OTHER					
414,587.030	CALIFORNIA ARBITRAGE MGMT PROGRAM CAMP PRINCIPAL SALLY WEST, TEL (415) 263-2412 CUSIP: S86217350	1.00000 1.00000	414,587.03 414,587.03	0.00 0.00	0.00%
Total OTHER			414,587.03 414,587.03	0.00 0.00	0.00%
CASH AND SHORT TERM					
163,312.980	WELLS FARGO TRSRY PLUS MMKT A 453 CUSIP: X9USDEWFA	1.00000 1.00000	163,312.98 163,312.98	0.00 0.00	0.00%
Total CASH AND SHORT TERM			163,312.98 163,312.98	0.00 0.00	0.00%
ACCOUNT TOTALS			592,054.07 592,054.07	0.00 0.00	0.00%

Total Market Value Plus Total Accrued Income 592,054.07

Statement of Transactions

Transaction Date	Transaction Description	Income	Principal	Cost	Realized Gains/Losses
06/01/15	OPENING BALANCE	6.00	6.00 -	592,023.57	
06/02/15	Purchase WELLS FARGO TRSRY PLUS MMKT A 453 TRADE DATE 06/02/15 SET/DATE 06/02/15 CUSIP X9USDEWFA 1.30 SHARES	0.00	1.30 -	1.30	0.00
06/02/15	Dividend WELLS FARGO TRSRY PLUS MMKT A 453 TRADE DATE 06/02/15 SET/DATE 06/02/15 CUSIP X9USDEWFA 1.30 SHARES	1.30	0.00	0.00	0.00
06/02/15	DAILY ENDING BALANCE	7.30	7.30 -	592,024.87	0.00
06/12/15	Receive CALIFORNIA ARBITRAGE MGMT PROGRAM CAMP PRINCIPAL SALLY WEST, TEL (415) 263-2412 TRADE DATE 06/12/15 SET/DATE 06/12/15 CUSIP S86217350 29.20 SHARES	0.00	0.00	29.20	0.00
06/12/15	DAILY ENDING BALANCE	7.30	7.30 -	592,054.07	0.00
06/30/15	CLOSING BALANCE	7.30	7.30 -	592,054.07	0.00

Cumulative realized capital gain and loss position from 12/31/2014 for securities held in principal of account:

Short Term: 0.00 * Long Term: 0.00 *

* The above gain and loss position does not include transactions where tax cost information is incomplete or unavailable.

EXHIBITS

CONTRA COSTA COUNTY Portfolio Summary Report AS OF JUNE 30, 2015

Exhibit I

Portfolio Characteristics

Par	\$2,897,607,281.21
Cost	\$2,899,040,519.53
Market Value	\$2,900,071,234.56
Weighted Yield to Maturity	0.46%
Weighted Average Days to Maturity	215
Weighted Duration	0.59 yr

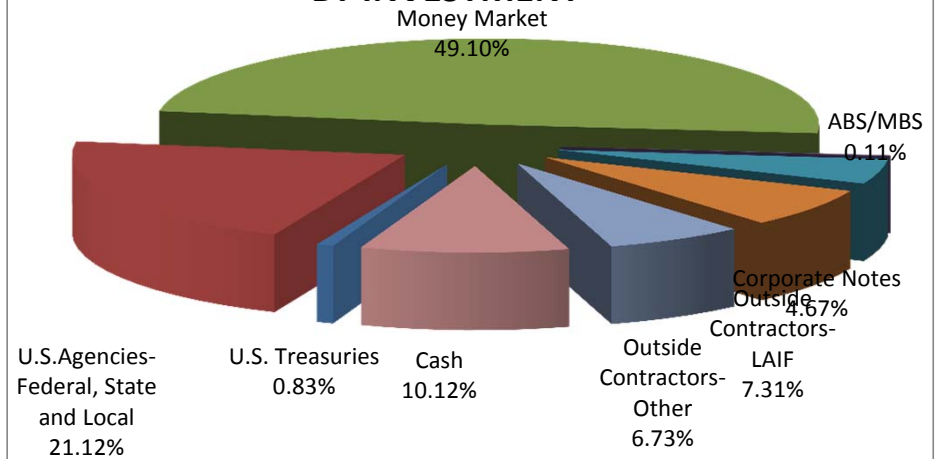
Portfolio Breakdown by Investment

Investments	Par Value	Percent of Total
U.S. Treasuries	\$23,915,000.00	0.83%
U.S. Agencies-Federal, State and Local	612,111,000.00	21.12%
Money Market	1,422,645,654.09	49.10%
ABS/MBS	3,320,000.00	0.11%
Corporate Notes	135,272,000.00	4.67%
Outside Contractors-LAIF	211,941,083.45	7.31%
Outside Contractors-Other	195,042,601.29	6.73%
Cash	293,359,942.38	10.12%
TOTAL*	\$2,897,607,281.21	100.00%

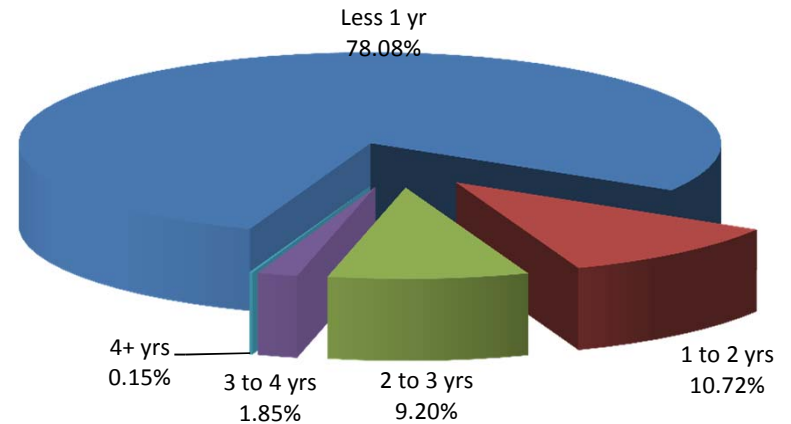
Maturity Distribution

Time	Par Value	Percent of Total
Less 1 yr	\$2,262,384,683.07	78.08%
1 to 2 yrs	310,577,613.77	10.72%
2 to 3 yrs	266,720,808.82	9.20%
3 to 4 yrs	53,568,000.00	1.85%
4+ yrs	4,356,175.55	0.15%
TOTAL*	\$2,897,607,281.21	100.00%

PORTFOLIO BREAKDOWN BY INVESTMENT



MATURITY DISTRIBUTION



* Does not include the Futuris Public Entity Trust of the Contra Costa Community College District Retirement Board of Authority

** May or may not total to 100% due to rounding

**CONTRA COSTA COUNTY INVESTMENT POOL
PERFORMANCE SUMMARY
AS OF JUNE 30, 2015**

	<u>PAR</u> (<u>\$</u>)	³ <u>PERCENT OF</u> <u>PORTFOLIO</u> (<u>%</u>)	<u>YTM</u> (<u>%</u>)	<u>WEIGHTED</u> <u>AVERAGE DAYS TO</u> <u>MATURITY AT</u> <u>END-OF-QUARTER</u> (<u>day</u>)	<u>DURATION</u> (<u>year</u>)	
A. Investments Managed by Treasurer's Office	\$2,197,263,654.09	75.89%	0.4896%	275	0.74	¹
B. Investments Managed by Outside Contractors						
1. Local Agency Investment Fund	\$211,941,083.45	7.32%	0.2900%	1	N/A	
2. Other						
a. Wells Capital Management	\$44,067,461.99	1.52%	0.4490%	366	0.63	²
b. CalTRUST (Short-Term Fund)	\$148,585,809.69	5.13%	0.5100%	1	N/A	
C. Cash	\$293,359,942.38	10.13%	0.3500%	⁴ 1	N/A	

³Yield to Maturity on Portfolio at End-of-Quarter = 0.46%

³Weighted Average Days to Maturity on Portfolio at End-of-Quarter = 215

³ Weighted Duration at End-of-Quarter = 0.59

1. Data is provided by Sungard.

2. Data is provided by Wells Cap Management.

3. Excludes: Section B.2.a (EBRCS Bond), B.2.b (Misc.) of the Investment Pool Summary and Futuris Public Entity Trust

4. Earnings Credit Rate on Investable Balance

LAIF and CalTRUST Short-Term are subject to a one day call of principal provision.

CONTRA COSTA COUNTY INVESTMENT POOL

TYPE	As of June 30, 2015	As of March 31, 2015	CHANGE IN VALUE	
	PAR VALUE	PAR VALUE	FROM PREV. QTR.	% CHANGE
A. Investments Managed by Treasurer's Office				
1. U.S. Treasuries (STRIPS, Bills, Notes)	\$23,915,000.00	\$24,650,000.00	(\$735,000.00)	-2.98%
2. U.S. Agencies				
Federal Agriculture Mortgage Corporation	0.00	0.00	0.00	0.00%
Federal Home Loan Banks	250,197,000.00	180,819,857.14	69,377,142.86	38.37%
Federal National Mortgage Association	110,156,000.00	109,945,000.00	211,000.00	0.19%
Federal Farm Credit Banks	118,941,000.00	109,290,000.00	9,651,000.00	8.83%
Federal Home Loan Mortgage Corporation	125,592,000.00	122,343,000.00	3,249,000.00	2.66%
Municipal Bonds	7,225,000.00	7,225,000.00	0.00	0.00%
Subtotal	612,111,000.00	529,622,857.14	82,488,142.86	15.57%
3. Money Market Instruments				
Bankers Acceptances	0.00	0.00	0.00	0.00%
Repurchase Agreement	0.00	0.00	0.00	0.00%
Commercial Paper	864,581,000.00	801,140,000.00	63,441,000.00	7.92%
Negotiable Certificates of Deposit	555,471,000.00	700,984,000.00	(145,513,000.00)	-20.76%
Medium Term Certificates of Deposit	2,025,000.00	2,025,000.00	0.00	0.00%
Money Market Accounts	565,318.32	565,318.32	0.00	0.00%
Time Deposit	3,335.77	3,335.77	0.00	0.00%
Subtotal	1,422,645,654.09	1,504,717,654.09	(82,072,000.00)	-5.45%
4. Asset Backed Securities/Mortgage Backed Securities	3,320,000.00	2,090,000.00	2,093,548.49	100.00%
5. Corporate Notes	135,272,000.00	130,122,000.00	5,150,000.00	3.96%
TOTAL	2,197,263,654.09	2,191,202,511.23	6,061,142.86	0.28%
B. Investments Managed by Outside Contractors				
1. Local Agency Investment Fund	211,941,083.45	187,725,423.40	24,215,660.05	12.90%
2. Other				
a. EBRCS Bond	2,375,175.55	2,375,175.55	0.00	0.00%
b. Miscellaneous (BNY)	14,154.06	110,538.73	(96,384.67)	-87.20%
c. Wells Capital Management	44,067,461.99	43,980,618.07	86,843.92	0.20%
d. CalTRUST (Short-Term Fund)	148,585,809.69	88,597,636.89	59,988,172.80	67.71%
Subtotal	195,042,601.29	135,063,969.24	59,978,632.05	44.41%
TOTAL	406,983,684.74	322,789,392.64	84,194,292.10	26.08%
C. Cash	293,359,942.38	142,422,153.81	150,937,788.57	105.98%
* GRAND TOTAL (FOR A , B, & C)	\$2,897,607,281.21	\$2,656,414,057.68	\$241,193,223.53	9.08%

* Does not include the Futuris Public Entity Trust of the Contra Costa Community College District Retirement Board of Authority

CONTRA COSTA INVESTMENT POOL

Exhibit IV

INVESTMENTS MANAGED BY TREASURER'S OFFICE

QUARTERLY COUPON RATES, YIELD TO MATURITY

<u>Fiscal Year</u>		<u>Quarter Ending</u>			
		<u>September</u>	<u>December</u>	<u>March</u>	<u>June</u>
2014/15	Coupon Rate	0.5437%	0.4624%	0.4912%	0.5309%
	Yield to Maturity	0.4605%	0.4185%	0.4379%	0.4894%
2013/14	Coupon Rate	0.6331%	0.4843%	0.4686%	0.4802%
	Yield to Maturity	0.4645%	0.3709%	0.3680%	0.3877%
2012/13	Coupon Rate	0.8304%	0.5568%	0.5829%	0.5838%
	Yield to Maturity	0.6012%	0.3947%	0.4243%	0.4229%
2011/12	Coupon Rate	0.8769%	0.8385%	0.8122%	0.7426%
	Yield to Maturity	0.6842%	0.6658%	0.6739%	0.6130%
2010/11	Coupon Rate	0.9802%	0.7132%	0.7326%	0.6982%
	Yield to Maturity	0.7494%	0.5866%	0.6133%	0.5612%
2009/10	Coupon Rate	1.2464%	0.8931%	0.8610%	0.8212%
	Yield to Maturity	1.1095%	0.7840%	0.7373%	0.6993%
2008/09	Coupon Rate	4.4019%	1.7623%	1.2918%	1.2418%
	Yield to Maturity	4.2819%	1.6574%	1.1808%	1.1231%
2007/08	Coupon Rate	5.1675%	4.6491%	3.3805%	2.9712%
	Yield to Maturity	5.2022%	4.6583%	3.3454%	2.9048%
2006/07	Coupon Rate	5.1683%	5.1819%	5.2384%	5.2407%
	Yield to Maturity	5.2286%	5.2229%	5.2766%	5.2705%
2005/06	Coupon Rate	3.6925%	4.1660%	4.6328%	5.0427%
	Yield to Maturity	3.6818%	4.1594%	4.6358%	5.0821%

Source: All data is calculated by Sungard.

CONTRA COSTA INVESTMENT POOL

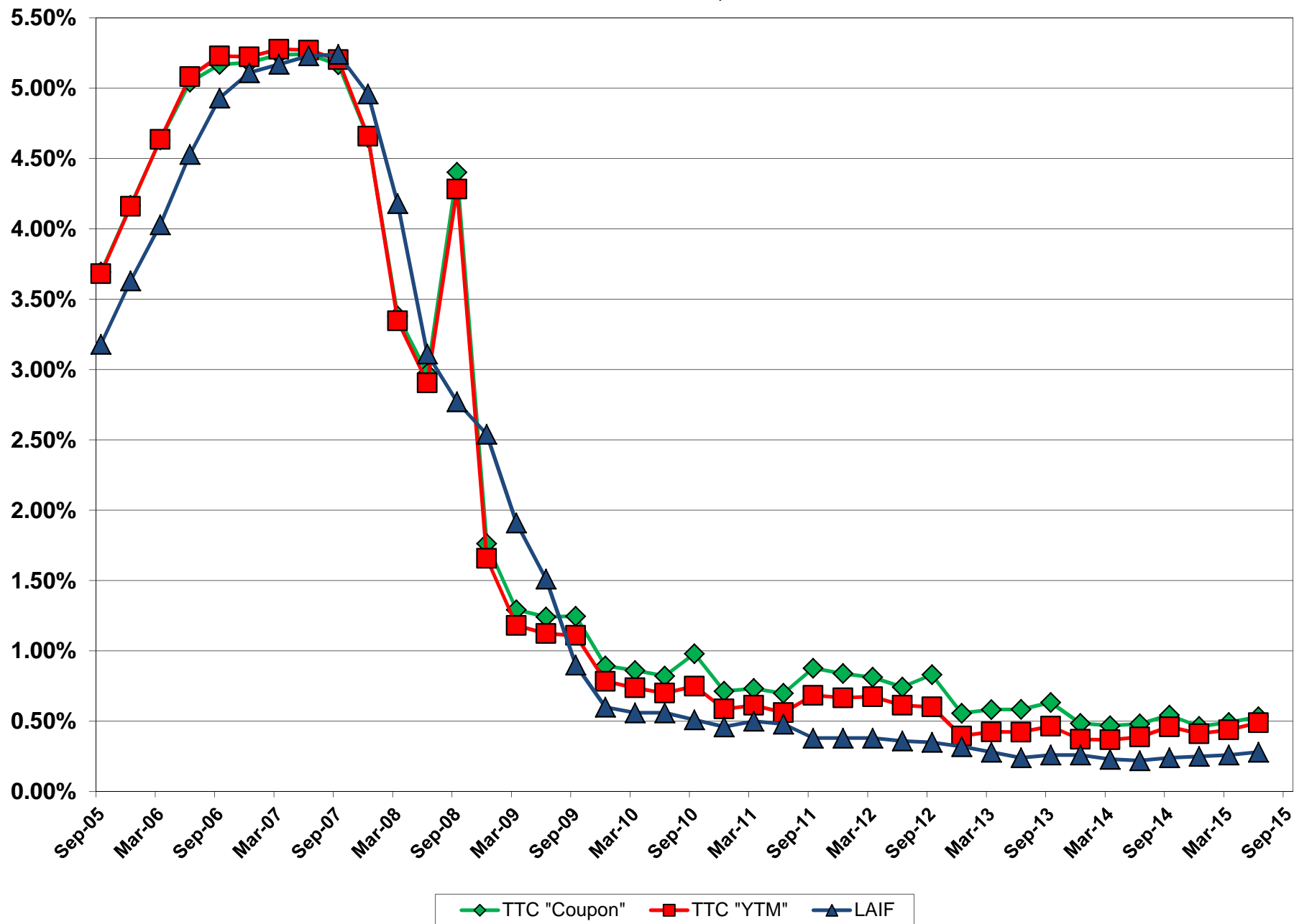
Exhibit IV (a)

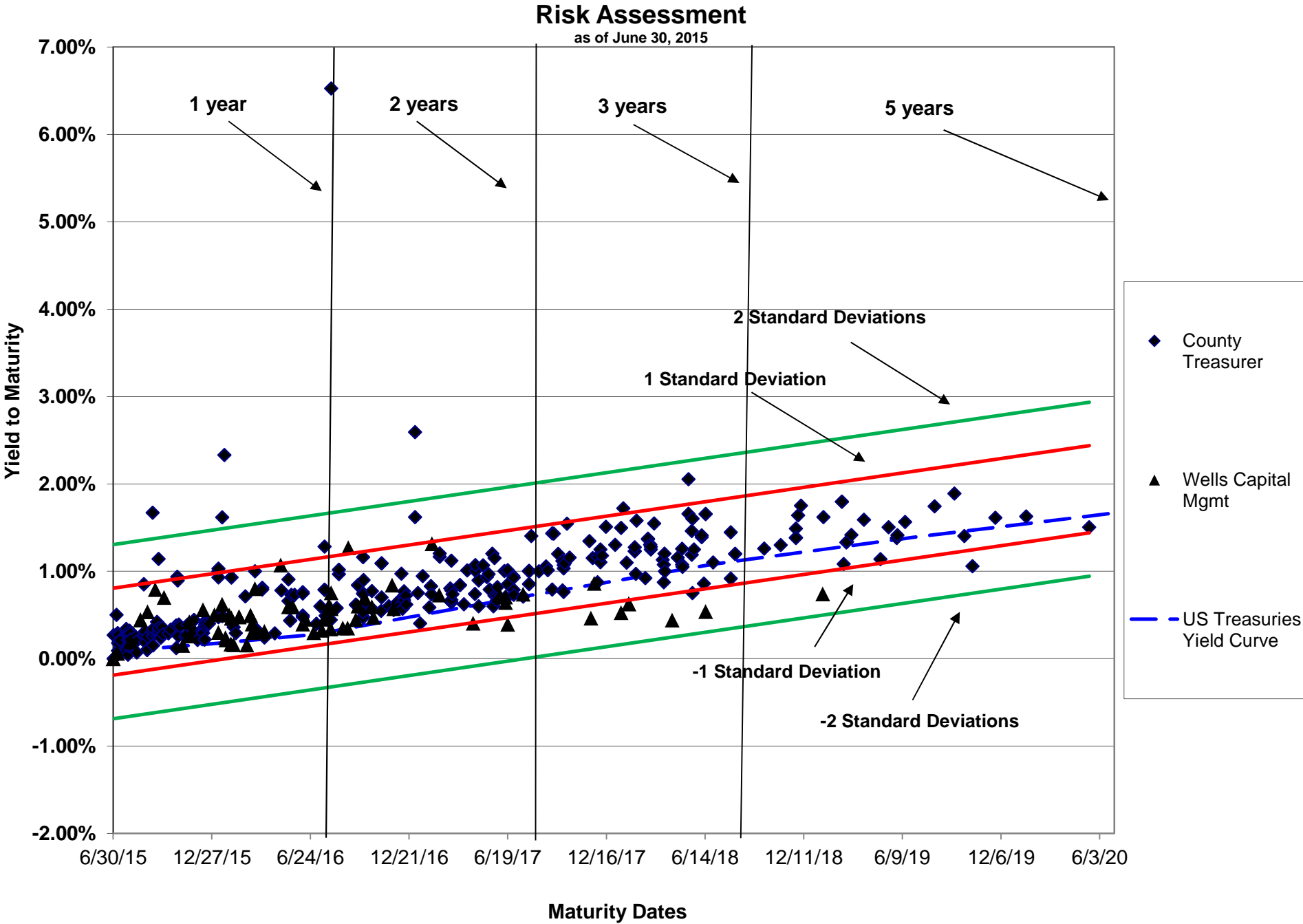
INVESTMENTS MANAGED BY OUTSIDE CONTRACTOR**LAIF QUARTERLY APPORTIONMENT RATES**

		Quarter Ending			
		September	December	March	June
Fiscal Year					
2014/15	Apportionment Rate	0.24%	0.25%	0.26%	0.28%
2013/14	Apportionment Rate	0.26%	0.26%	0.23%	0.22%
2012/13	Apportionment Rate	0.35%	0.32%	0.28%	0.24%
2011/12	Apportionment Rate	0.38%	0.38%	0.38%	0.36%
2010/11	Apportionment Rate	0.51%	0.46%	0.50%	0.48%
2009/10	Apportionment Rate	0.90%	0.60%	0.56%	0.56%
2008/09	Apportionment Rate	2.77%	2.54%	1.91%	1.51%
2007/08	Apportionment Rate	5.24%	4.96%	4.18%	3.11%
2006/07	Apportionment Rate	4.93%	5.11%	5.17%	5.23%
2005/06	Apportionment Rate	3.18%	3.63%	4.03%	4.53%

Contra Costa County Investment Pool

as of June 30, 2015





Risk Assessment

Exhibit IV (d)

(Securities Greater Than Two Standard Deviations)

As of June 30, 2015

<u>CUSIP</u>	<u>DESCRIPTION</u>	<u>PURCHASE DATE</u>	<u>PAR</u>	<u>COST</u>	<u>MARKET</u>	<u>COUPON (%)</u>	<u>MATURITY DATE</u>	<u>YTM (%)</u>
204712FD0	AUHSD MUNI COMPTONCA CNTY REDEV	1/3/12 ¹	10,000.00	7,453.00	7,453.00	0.00	8/1/16	6.53
167486JC4	AUHSD MUNI CHICAGO UNREF CALL	6/27/13 ²	390,000.00	421,318.77	421,318.77	5.00	1/1/17	2.59
3134A4ZT4	WT GOV FHLMC NOTES	2/25/11	155,000.00	172,267.00	158,861.05	4.75	1/19/16	2.33
3137EACM9	WT GOV FHLMC NOTES	9/14/10	170,000.00	170,647.70	170,520.20	1.75	9/10/15	1.67
836496NW5	AUHSD MUNI SOUTH BEND IN REV BOND	6/27/13 ²	505,000.00	547,506.38	547,506.38	5.00	1/15/16	1.62

1. Date when the security was transferred from AUHSD to Contra Costa County Investment Pool Managed by Treasurer's Office.

2. Date when the security was transferred from AUHSD's Fund 5055 to Fund 5057 per District's request.

CONTRA COSTA COUNTY INVESTMENT POOL

AVERAGE INFORMATION
April 1, 2015 through June 30, 2015

	<u>AVERAGE DAILY BALANCE (PAR)</u>	<u>PERCENT OF PORTFOLIO</u>	<u>AVERAGE RETURN</u>	<u>AVERAGE DAYS TO MATURITY AS A PERCENT OF PORTFOLIO</u>	<u>AVERAGE DAYS TO MATURITY FOR THE QUARTER</u>
A. Investments Managed by Treasurer's Office	\$2,450,119,705.66	80.56%	0.4483%	211.06	262
B. Investments Managed by Outside Contractors					
1. Local Agency Investment Fund	\$199,879,304.43	6.57%	0.2907%	0.07	1
2. Other					
a. Wells Capital Management	\$44,196,321.31	1.45%	0.4333%	5.44	374
b. CalTRUST Short Term Fund	\$138,677,257.86	4.56%	0.4667%	0.05	1
c. Cash	\$208,455,257.36	6.85%	0.2145%	0.07	1
Total	<u>\$3,041,327,846.62</u>	100.00%			
* Weighted Average Return of Portfolio =			<u>0.42%</u>	<u>217</u>	

* Excludes: Section B.2.a (EBRCS Bond), B.2.b (Misc.) of the Investment Pool Summary and Futuris Public Entity Trust

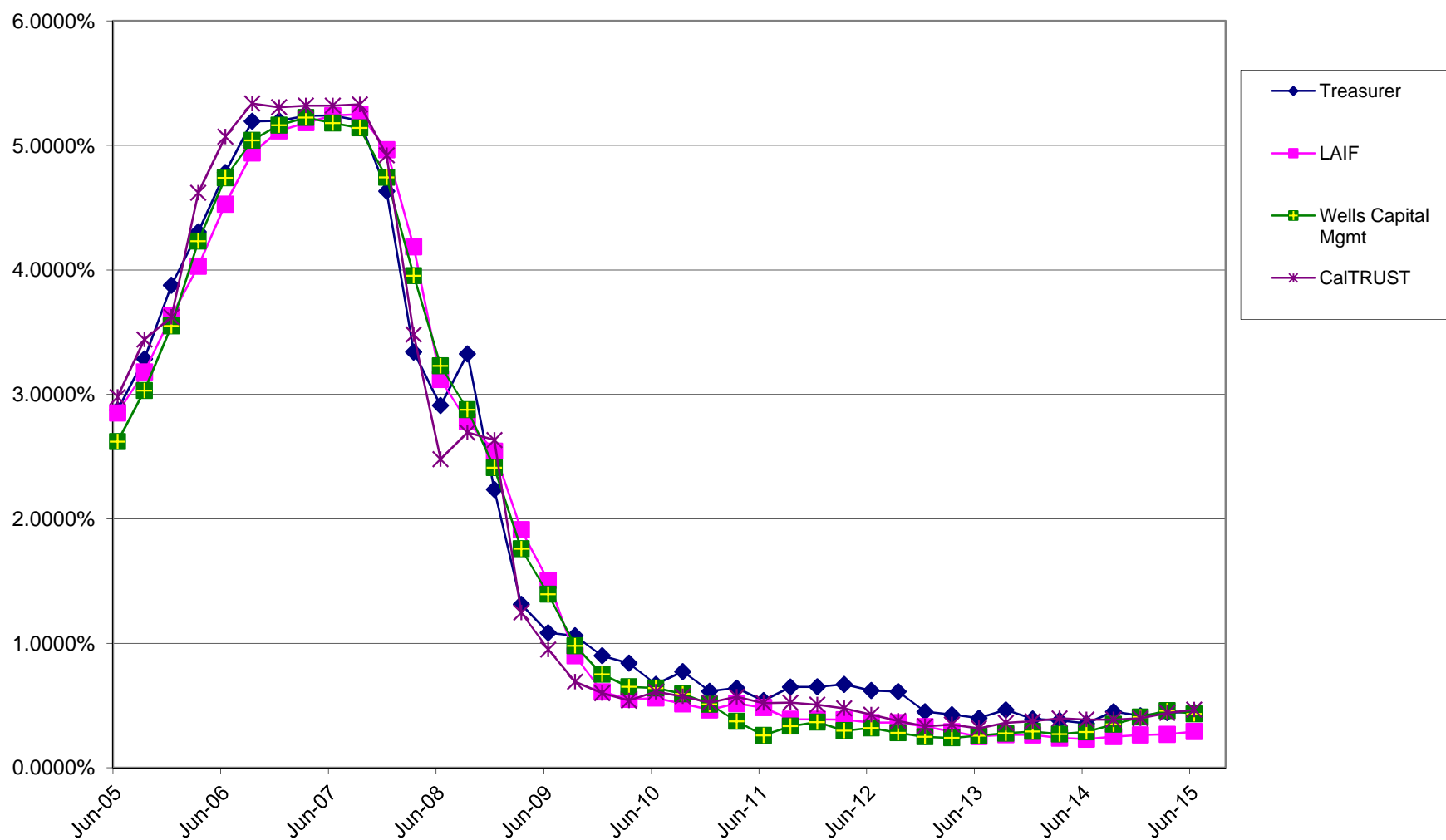
LAIF and CalTRUST Short Term Fund are subject to a one day call of principal provision

**CONTRA COSTA COUNTY INVESTMENT POOL
SUMMARY OF POOL RATES AND BENCHMARKS
AS OF JUNE 30, 2015**

<u>Pool Rates:</u>	YTM as of <u>6/30/15</u>	Quarterly <u>Ave.</u>	Average Days to <u>Maturity</u>	
Total County Portfolio	0.46%	0.42%	255	
Investments Managed by Treasurer's Office	0.49%	0.45%	262	
Wells Capital Management	0.45%	0.43%	374	
CalTRUST Short Term Fund	0.51%	0.47%	500	*
	Quarterly Apportionment <u>Rate</u>	PMIA Ave. Effective <u>Yield</u>		
Local Agency Investment Fund (LAIF)	0.28%	0.29%	239	*
	<u>6/30/15</u>	4/1/15 - 6/30/15 <u>High</u>	<u>Ave.</u>	<u>Low</u>
<u>Benchmarks:</u>				
Federal Fund Rate	0.0400%	0.2700%	0.1142%	0.0400%
Six Month Treasury Bill	0.0075%	0.0975%	0.0291%	-0.0150%
Six Month LIBOR	0.4449%	0.4521%	0.4210%	0.3984%
Vanguard Prime Money Mkt Fund	0.0100%			

* Average days to maturity (with a one day call of principal provision)

Contra Costa County Investment Pool
Average Quarterly Returns
as of June 30, 2015



**CONTRA COSTA COUNTY
TREASURER'S INVESTMENT PORTFOLIO
STRUCTURED SECURITIES
June 30, 2015**

Exhibit VI

<u>Description</u>	<u>CUSIP</u>	<u>Purchase Date</u>	<u>Coupon Rate</u>	<u>Par</u>	<u>Market</u>	<u>Cost</u>	<u>Provisions</u>	<u>Fund #</u> *
GECC Float Rate Note 1/8/16	36962GU51	3/8/13	0.4326%	\$10,000,000.00	\$10,007,200.00	\$9,952,450.00	Float quarterly: LIBOR +20 bps	8177
Bank of Nova Scotia Float Rate 6/10/16	06417HMU7	6/13/14	0.4176%	\$2,450,000.00	\$2,439,903.96	\$2,448,520.20	Float quarterly: LIBOR +18 bps	6911
FFCB Callable Note 8/11/16	3133EDF31	2/11/14	0.5700%	\$10,000,000.00	\$10,000,400.00	\$9,997,500.00	Any time on or after 8/11/14	8177
FFCB Callable Note 11/29/16	3133EC5B6	12/28/12	0.6400%	\$10,000,000.00	\$9,995,700.00	\$10,000,000.00	Anytime on or after 11/29/13	8177
Chicago Unrefunded MUNI 1/1/17	167486JC4	6/27/2013 ²	5.0000%	\$390,000.00	\$421,318.77	\$421,318.77	One time: 1/1/16	5057
Chicago Prerefunded MUNI 1/1/17	167486JB6	6/27/2013 ²	5.0000%	\$170,000.00	\$189,542.34	\$189,542.34	One time: 1/1/16	5057
Berkshire Hath Float Rate 1/10/17	084664CA7	1/10/14	0.3791%	\$500,000.00	\$500,375.00	\$500,000.00	Float quarterly: LIBOR +15 bps	6911
FHLB Callable Note 6/13/17	313383EX5	6/18/13	0.8100%	\$5,000,000.00	\$5,003,800.00	\$4,962,500.00	Quarterly; First: 12/13/13; Last: 3/13/17	8177
FNMA Callable Note 6/19/17	3136G1NU9	6/18/13	1.0000%	\$5,000,000.00	\$5,003,800.00	\$4,997,500.00	Quarterly; First: 12/19/13; Last: 3/19/17	8177
FHLMC Callable Note 7/28/17	3134G5CE3	7/28/14	1.0000%	\$10,000,000.00	\$10,005,400.00	\$10,000,000.00	One time: 7/28/15	8177
Intel Structured Callable 12/15/17	458140AL4	1/27/14	1.3500%	\$5,211,000.00	\$5,209,124.04	\$5,179,629.78	Make-whole call +12.5 bps until 12/15/17	8177
FNMA Callable Multi-Step Up 5/22/18	3136G1M63	5/22/13	0.7500%	\$10,000,000.00	\$10,003,500.00	\$10,000,000.00	Quarterly; First: 11/22/13; Last: 2/22/18; 0.75% to 5/22/16; 1% to 5/22/17; 2% to 11/22/17; 3% thereafter	8177
FNMA Callable 5/25/18	3136G2K71	5/27/15	1.2500%	\$10,000,000.00	\$10,016,300.00	\$10,000,000.00	One time: 5/25/16	8177
FNMA Callable Multi-Step Up 6/12/18	3136G1MU0	6/12/13	0.8500%	\$5,000,000.00	\$5,006,150.00	\$4,997,950.00	Quarterly; First: 12/12/13; Last: 3/12/18; 0.8% to 6/12/14; 0.85% to 6/12/15; 1% to 6/12/16; 1.25% to 6/12/17; 1.5% to 12/12/17; 3% to 6/12/18	8177
Total				<u>\$83,721,000.00</u>				

* Fund #

5007 Acalanes Unified High School District
5057 Acalanes Unified High School District
6911 Contra Costa County Schools Insurance Group
7903 Contra Costa Community College District Retiree Health Benefits
8177 County (Pool)

1. Date when the security was transferred from AUHSD to Contra Costa County Investment Pool managed by Treasurer's Office
2. Date when the security was transferred from AUHSD's Fund 5055 to Fund 5057 per District's request

**CONTRA COSTA COUNTY
CALTRUST SHORT TERM FUND
STRUCTURED SECURITIES
June 30, 2015**

Exhibit VI (a)

DESCRIPTION	CUSIP	MATURITY DATE	PAR (\$)	MARKET (\$)	COST (\$)	PROVISIONS
AMERICAN HONDA FINANCE 12/11/2017 0.545	02665WAR2	12/11/2014	8,000,000	8,005,008.00	8,000,000.00	Float quarterly: LIBOR +31 bps
AMXCA 2012-2 A 3/15/2018 0.68%	02582JGD6	3/15/2018	10,000,000	10,001,780.00	10,012,500.00	5% clean up call
ANAHEIM FING AUTH-B 5/1/2016 1%	03255LGX1	5/1/2016	2,850,000	2,849,059.50	2,850,000.00	Make-whole call
BANK OF AMERICA NA 11/14/2016 0.7089%	06050TSL9	11/14/2016	2,200,000.00	2,201,084.60	2,200,000.00	Float quarterly: LIBOR +47 bps
BANK OF AMERICA NA 2/14/2017 0.7061%	06050TLU4	2/14/2017	500,000.00	500,135.50	500,000.00	Float quarterly: LIBOR +47 bps
BANK OF AMERICA NA 06/05/2017	06050TMB5	6/5/2017	2,250,000.00	2,250,846.00	2,250,000.00	Float quarterly: LIBOR +45 bps
BANK OF NY MELLON 5/22/18 VARIABLE	06406HDC0	5/22/2018	5,000,000.00	4,998,470.00	4,998,500.00	Float quarterly: LIBOR +38 bps
BB&T CORPORATION 3/15/2016 3.2%	05531FAG8	3/15/2016	2,350,000.00	2,385,123.10	2,401,026.00	Call anytime after 2/16/16
BERKSHIRE HATHAWAY FIN 1/12/2018 0.5533	084664CD1	1/12/2018	2,750,000.00	2,753,415.50	2,750,000.00	Float quarterly: LIBOR +30 bps
BMWOT 2014-A A2 4/25/2017 0.53%	09658UAB5	4/25/2017	8,778,445.30	8,780,850.59	8,778,148.59	5% clean up call
BRANCH BANKING & TRUST 12/1/2016 0.6743	07330NAF2	12/1/2016	5,000,000.00	5,005,225.00	5,001,780.00	Float quarterly: LIBOR +43 bps; Call after 11/1/16
BRANCH BANKING & TRUST 12/1/2016 1.05%	07330NAG0	12/1/2016	8,125,000.00	8,135,668.13	8,135,667.00	Call anytime after 11/1/16
BRANCH BANKING & TRUST 9/13/2016 0.5899	10513KAB0	9/13/2016	2,000,000.00	1,994,402.00	1,994,850.00	Float quarterly: LIBOR +32 bps
CA UNI-FLT-G-TXB-Y-2 7/1/2041 0.656%	91412GFA8	7/1/2017	3,250,000.00	3,251,300.00	3,250,000.00	Float monthly: US0001M +50 bps
CALIFORNIA ST DEPT. 991% 12/1/16	13066KW21	12/1/2016	1,250,000.00	1,250,725.00	1,251,250.00	Make-whole call +20 bps
CAPITAL ONE NA2/5/2018 0.9351%	14042E4K3	2/5/2018	2,000,000.00	2,000,452.00	2,000,000.00	Float quarterly: LIBOR +68 bps; Call after 1/5/18
CCCIT 2003-A7 A7 7/7/2017 4.15%	17305EBU8	7/7/2017	5,000,000.00	5,003,065.00	5,336,718.75	5% clean up call
CCCIT 2012-A1 A1 10/10/2017 0.55%	17305EEW1	10/10/2017	8,000,000.00	7,999,936.00	8,006,875.04	5% clean up call
CCCIT 2013-A6 A6 9/7/2018 1.32%	17305EFE0	9/7/2018	4,250,000.00	4,271,398.75	4,282,871.07	5% clean up call
CHAIT 2012-A5 A58/15/2017 0.59%	161571FL3	8/15/2017	3,000,000.00	3,000,009.00	3,005,976.56	10% clean up call
CHAIT 2006-A2 A2 4/16/2018 5.16%	161571BC7	4/16/2018	2,426,000.00	2,495,393.30	2,514,700.63	10% clean up call
CHAIT 2014-A1 A1 1/15/2019 1.15%	161571GJ7	1/15/2019	13,000,000.00	13,026,936.00	13,057,890.69	10% clean up call
CHARLES SCHWABCORP 0.85% 12/04/15	808513AH8	12/4/2015	4,500,000.00	4,506,808.50	4,516,965.00	Make-whole call +10 bps until 12/4/15
CHEVRON CORP3/2/2018 0.432967%	166764AW0	3/2/2018	4,000,000.00	3,986,112.00	4,000,000.00	Float quarterly: LIBOR +17 bps
CISCO SYSTEMS INC 06/15/2018	17275RAY8	6/15/2018	5,500,000.00	5,509,619.50	5,500,000.00	Float quarterly: LIBOR +31 bps
CITIGROUP INC7/25/2016 1.2161%	172967GV7	7/25/2016	3,000,000.00	3,008,496.00	3,018,570.00	Float quarterly: LIBOR +96 bps
CITIGROUP INC 3/10/2017 0.7744%	172967HL8	3/10/2017	1,000,000.00	997,247.00	999,690.00	Float quarterly: LIBOR +54 bps
CITIGROUP INC 4/27/2018 0.967%	172967JQ5	4/27/2018	5,000,000.00	4,984,750.00	5,000,000.00	Float quarterly: LIBOR +69 bps
COMCAST CORP 6/15/2016 4.95%	20030NAG6	6/15/2016	1,402,000.00	1,457,216.37	1,501,163.46	Make-whole call +20 bps until 5/15/15
CREDIT SUISSE NEW YORK 12/7/2015 0.6513	22549TNZ7	12/7/2015	10,000,000.00	10,001,820.00	10,000,000.00	Float quarterly: LIBOR +41 bps
CREDIT SUISSE NEW YORK 4/27/2018 0.9574	22546QAW7	4/27/2018	5,500,000.00	5,499,983.50	5,500,000.00	Float quarterly: LIBOR +68 bps
FANNIE MAE 02/27/2017 0.65%	3135G0XL2	2/27/2017	3,660,000.00	3,652,994.76	3,654,876.00	Quarterly; First 11/27/13; Last 11/27/16
FANNIE MAE 1% 06/19/2017	3136G1NQ8	6/19/2017	10,000,000.00	10,012,930.00	10,000,000.00	Quarterly; First 12/19/13; Last 3/19/17
FREDDIE MAC 4/14/2017 0.8%	3134G6PN7	4/14/2017	10,000,000.00	10,002,980.00	10,012,500.00	One time: 4/14/16
FREDDIE MAC 5/25/17 0.80%	3134G6W41	5/25/2017	10,000,000.00	10,001,290.00	10,000,000.00	One time: 11/25/15
FREDDIE MAC 0.85% 05/26/2017	3134G6E82	5/26/2017	11,545,000.00	11,529,379.62	11,543,845.50	Quarterly; First 8/26/15; Last 2/26/17
FREDDIE MAC0.75% 05/26/2017	3134G6R70	5/26/2017	5,000,000.00	5,000,595.00	4,995,500.00	One time: 5/26/16
FREDDIE MAC 0.85% 06/16/2017	3134G6W66	6/16/2017	5,000,000.00	5,001,560.00	5,000,000.00	One time: 6/16/16
FEDERAL FARM CREDITBANK 10/6/2016 0.67	3133EDWQ1	10/6/2016	10,000,000.00	10,013,210.00	9,997,500.00	Call anytime after 10/6/15
FEDERAL FARM CREDITBANK 10/14/2016 0.6	3133EDXG2	10/14/2016	5,000,000	5,000,635.00	5,005,000.00	Call anytime after 10/14/15
FEDERAL HOME LOAN BANK 9/28/2016 1.125%	3130A1CD8	9/28/2016	2,500,000.00	2,506,520.00	2,522,075.00	Call anytime after 9/28/15
FIFTH THIRD BANK 2/26/2016 0.9%	31677QAX7	2/26/2016	6,810,000.00	6,816,564.84	6,821,310.80	Call anytime after 1/26/16
FIFTH THIRD BANK 11/18/2016 0.7481%	31677QAZ2	11/18/2016	8,360,000.00	8,371,896.28	8,371,221.50	Float quarterly: LIBOR +51 bps; Call after 10/18/16
FITAT 2014-1 A2 8/15/2016 0.46%	31679JAB9	8/15/2016	1,204,180.38	1,204,141.84	1,204,148.11	10% clean up call
GE DEALER FLOORPLANMASTER NT 04/20/18	36159LCF1	4/20/2018	4,690,000.00	4,686,745.14	4,690,000.00	Float monthly: LIBOR +40 bps; 10% clean up call
GEET 2015-1 A211/24/2017 0.89%	36164EAB1	11/24/2017	6,500,000.00	6,504,218.50	6,499,301.25	10% clean up call
GENERAL ELEC CAPCORP 05/11/2016	36962GV5	5/11/2016	4,635,000.00	4,643,630.37	4,583,207.70	Float quarterly: LIBOR +20 bps
GEORGIA POWER COMPANY 4/15/2016 3%	373334JV4	4/15/2016	4,395,000.00	4,472,523.41	4,500,919.50	Make-whole call +15 bps until 4/15/16
HARLEY-DAVIDSON 0.8% 01/15/19	41284CAB0	1/15/2019	5,500,000.00	5,500,341.00	5,499,959.85	10% clean up call
HAROT 2014-1 A2 8/22/2016 0.41%	43813JAB1	8/22/2016	1,918,274.29	1,917,873.37	1,918,129.26	10% clean up call
HAROT 2014-2 A29/19/2016 0.39%	43814GAB6	9/19/2016	2,055,110.91	2,054,305.31	2,054,950.41	10% clean up call
HAROT 2015-1 A27/17/2017 0.7%	43814KAB7	6/15/2017	5,435,000.00	5,433,532.55	5,437,619.68	10% clean up call
HAROT 2014-1 A3 12/21/2017 0.67%	43813JAC9	12/21/2017	4,250,000.00	4,243,246.75	4,247,675.80	10% clean up call
HAWAII-TXBL-REF-ES 8/1/2016 0.731%	4197915E4	8/1/2016	14,250,000.00	14,257,267.50	14,251,690.00	Make-whole call +10 bps
HDMOT 2013-1 A3 7/16/2018 0.65%	41283YAC1	7/16/2018	1,105,365.48	1,105,350.01	1,103,897.41	10% clean up call
HDMOT 2015-1 A2A1/15/2019 0.95%	41284BAB2	1/15/2019	12,900,000.00	12,910,539.30	12,899,895.51	10% clean up call
HONDA AUTO RECEIV-ABLES 08/21/2017	43813NAB2	8/21/2017	2,000,000.00	1,999,888.00	1,999,880.20	10% clean up call
HSBC USA INC 11/13/2017 0.57185%	40428HPM8	11/13/2017	7,955,000.00	7,930,347.46	7,953,996.43	Float quarterly: LIBOR +34 bps

**CONTRA COSTA COUNTY
CALTRUST SHORT TERM FUND
STRUCTURED SECURITIES
June 30, 2015**

Exhibit VI (a)

DESCRIPTION	CUSIP	MATURITY DATE	PAR (\$)	MARKET (\$)	COST (\$)	PROVISIONS
IBM CORP 7/22/2016 1.95%	459200GX3	7/22/2016	12,450,000.00	12,628,869.15	12,714,064.50	Make-whole call +15 bps until 7/22/16
INDUSTRY PUB FACS-A 1/1/2017 1.764%	45656TAQ1	1/1/2017	7,700,000.00	7,695,919.00	7,700,000.00	Make-whole call +25 bps
JDOT 2015-A A2A2/15/2018 0.87%	47787UAB9	2/15/2018	7,155,000.00	7,167,020.40	7,154,539.22	10% clean up call
JOHN DEERE CAPITAL CORP 1/16/2018 0.543	24422ESU4	1/16/2018	12,165,000.00	12,146,509.20	12,181,594.50	Float quarterly: LIBOR +29 bps
JP MORGAN CHASE BANKNA 6/13/2016 0.6101	48121CJM9	6/13/2016	6,650,000.00	6,634,339.25	6,576,340.50	Float quarterly: LIBOR +33 bps
JPMORGAN CHASE & CO 2/15/2017 0.750933%	46623EJZ3	2/15/2017	1,500,000.00	1,502,086.50	1,503,180.00	Float quarterly: LIBOR +52 bps
KEY BANK NA 11/25/2016 0.7516%	49327M2E3	11/25/2016	8,000,000.00	8,002,816.00	8,023,008.00	Float quarterly: LIBOR +49 bps; Call anytime on or after 10/25/16
LOUISVILLE GAS & ELEC 11/15/2015 1.625%	546676AS6	11/15/2015	6,000,000.00	6,019,320.00	6,046,140.00	Make-whole call +10 bps until 11/15/15
MBALT 2014-A A2A 6/15/2016 0.48%	58768EAC3	6/15/2016	1,297,333.66	1,297,353.12	1,297,283.29	10% clean up call
MANUF & TRADERS TRUST CO 7/25/2017 0.53	55279HAH3	7/25/2017	5,000,000.00	4,969,235.00	5,000,000.00	Float quarterly: LIBOR +30 bps
MBALT 2013-B A3 7/15/2016 0.62%	58768DAC5	7/15/2016	3,974,400.18	3,973,386.70	3,974,855.79	10% clean up call
MBALT 2015-A A2A2/15/2017 0.78%	58768LAB9	2/15/2017	4,000,000.00	4,002,264.00	3,999,774.00	10% clean up call
MBART 2014-1 A2 2/15/2017 0.43%	587729AB0	2/15/2017	1,285,870.87	1,285,485.11	1,285,795.39	10% clean up call
MISSISSIPPI ST-B-TXBL 10/1/2016 0.64%	605581FW2	10/1/2016	2,780,000.00	2,777,664.80	2,780,000.00	Make-whole call +20 bps
MISSISSIPPI ST-D-REF10/1/2015 0.31%	605581EN3	10/1/2015	2,510,000.00	2,509,472.90	2,510,000.00	Make-whole call +25 bps
MISSISSIPPI ST-D-REF10/1/2016 0.64%	605581EP8	10/1/2016	2,520,000.00	2,517,883.20	2,520,000.00	Make-whole call +25 bps
MORGAN STANLEY 1/5/2018 1.007098%	61761JVN6	1/5/2018	7,938,000.00	7,945,128.32	7,946,613.24	Float quarterly: LIBOR +74 bps
NALT 2014-B A2A 4/17/2017 0.73%	65490BAB1	4/17/2017	8,072,401.48	8,073,902.94	8,071,769.41	10% clean up call
NAROT 2014-B A2 6/15/2017 0.6%	65477WAB2	6/15/2017	3,875,000.00	3,873,353.13	3,874,791.91	5% clean up call
NAROT 2015-A A2 9/15/2017 0.67%	65477UAB6	9/15/2017	5,000,000.00	4,995,940.00	4,999,748.00	5% clean up call
NEW YORK ST DORM AUT 2/15/17 .93%	64990EJ32	2/15/2017	3,685,000.00	3,683,820.80	3,685,221.10	Make-whole call +20 bps
NEW YORK ST URBAN DEV 3/15/2016 0.63%	650035K56	3/15/2016	10,000,000.00	9,997,800.00	10,000,000.00	Make-whole call +25 bps
NY DORM AUTH-D-TXBL 3/15/2016 0.66%	64990ERF6	3/15/2016	2,500,000.00	2,504,350.00	2,505,325.00	Make-whole call +15 bps
OH ST-TXB-DEV ASST-A 10/1/2020 5.39%	677520FR4	10/1/2015	3,850,000.00	3,894,929.50	4,086,390.00	Call anytime after 10/1/15
PNC BANK NA 06/01/2018	69353REN4	6/1/2018	3,000,000.00	2,993,946.00	3,000,000.00	Float quarterly: LIBOR +42 bps
PNC BANK NA 1/27/2017 1.125%	69353RCG1	1/27/2017	7,735,000.00	7,735,603.33	7,756,047.90	Call anytime on and after 10/1/15
PRVDNC HLTH & SVC OBL GR 10/1/2015 0.80	743755AK6	10/1/2015	5,600,000.00	5,602,620.80	5,600,000.00	Float monthly: LIBOR +35 bps
STATE STREET BANK & TRST 12/08/15	85744NAB7	12/8/2015	4,280,000.00	4,277,564.68	4,272,056.00	Float quarterly: LIBOR +20 bps
TAOT 2014-B A2 12/15/2016 0.4%	89231RAB0	12/15/2016	559,690.07	559,575.90	559,641.55	5% clean up call
TAOT 2013-A A3 1/17/2017 0.55%	89236RAC3	1/17/2017	1,565,660.73	1,565,784.42	1,566,639.28	5% clean up call
TAOT 2014-A A31 2/15/2017 0.67%	89231MAC9	12/15/2017	1,250,000.00	1,247,475.00	1,247,412.11	5% clean up call
TAOT 2015-A A27/17/2017 0.71%	89236WAB4	7/17/2017	6,700,000.00	6,696,743.80	6,699,936.35	5% clean up call
TOYOTA MOTOR CREDITCORP 9/15/2016 2%	89233P5E2	9/15/2016	895,000.00	908,193.20	911,512.75	Make-whole call +20 bps until 9/15/16
UNIV OF CALIFORNIA-AN 5/15/2016 0.634%	91412GUT0	5/15/2016	1,830,000.00	1,831,116.30	1,830,000.00	Make-whole call +5 bps
UNION BANK NA 9/26/2016 0.9996%	90521APG7	9/26/2016	2,515,000.00	2,525,585.64	2,517,311.05	Float quarterly: LIBOR +75 bps
TOYOTA MOTOR CREDITCORP 1/12/2018 0.57	89236TCB9	1/12/2018	13,000,000.00	13,003,146.00	13,000,000.00	Float quarterly: LIBOR +32 bps
UNIVERSITY CALIF REVS 07/01/2041 ¹	91412GEZ4	7/1/2041	7,980,000.00	7,983,112.20	7,980,000.00	Float monthly: LIBOR +58 bps
UNION BANK NA9/26/2016 1.5%	90521APH5	9/26/2016	4,575,000.00	4,589,475.30	4,603,999.75	Call anytime on and after 8/26/16
US BANK NA CINCINNATI 1/26/2018 0.55572	90331HMR1	1/26/2018	4,500,000.00	4,494,181.50	4,503,960.00	Float quarterly: LIBOR +30 bps
US BANK NA CINCINNATI 1/30/2017 0.4661%	90331HMD2	1/30/2017	4,500,000.00	4,494,181.50	4,503,960.00	Float quarterly: LIBOR +23 bps
US BANK NA CINCINNATI 1/30/2017 1.1%	90331HMC4	1/30/2017	1,500,000.00	1,503,423.00	1,508,505.00	Call anytime on and after 12/30/16
USAOT 2014-1 A31 2/15/2017 0.58%	90290KAC9	12/15/2017	2,420,000.00	2,419,951.60	2,419,054.70	10% clean up call
VALET 2012-1 A3 8/22/2016 0.85%	92867GAC7	8/22/2016	388,015.65	96,021.07	389,182.69	10% clean up call
VALET 2013-2 A2 7/20/2016 0.67%	92867PAB9	7/20/2016	267,749.53	267,749.79	267,739.51	10% clean up call
VALET 2013-2 A3 4/20/2018 0.7%	92867PAC7	4/20/2018	6,000,000.00	5,994,336.00	5,981,484.36	10% clean up call
VERIZON COMMUNICATIONS 9/15/2016 1.7823	92343VBL7	9/15/2016	1,925,000.00	1,948,689.05	1,925,000.00	Float quarterly: LIBOR +153 bps
VERIZON COMMUNICATIONS 6/9/2017 0.6306%	92343VCD4	6/9/2017	1,000,000.00	997,600.00	1,000,000.00	Float quarterly: LIBOR +40 bps
VWALT 2014-A A3 4/20/2017 0.8%	92867QAD3	4/20/2017	6,000,000.00	6,000,492.00	6,004,687.50	10% clean up call
VWALT 2015-A A2A 6/20/2017 0.87%	92867VAB6	6/20/2017	2,000,000.00	2,000,544.00	1,999,990.20	10% clean up call
TOTAL			530,443,498.52	530,722,802.92	531,868,797.25	

Notes:

1. The security has a mandatory put date of 7/1/2017.

Contra Costa County invests approximately \$148.6 million in the CalTRUST Short Term Fund which had assets of \$1,014.2 million as of 6/30/15. The above data represents the information at the Short Term Fund level, not at the Contra Costa County account level.

**CONTRA COSTA COUNTY
WELLS CAP MANAGEMENT
STRUCTURED SECURITIES
June 30, 2015**

<u>DESCRIPTION</u>	<u>CUSIP</u>	<u>MATURITY DATE</u>	<u>PAR</u>	<u>MARKET</u>	<u>COST</u>	<u>PROVISIONS</u>
ANAHEIM FING AUTH-B	03255LGX1	05/01/2016	\$750,000.00	\$751,002.50	\$750,000.00	Make-whole call
BANK OF AMERICA NA	06050TLU4	02/14/2017	\$500,000.00	\$500,632.17	\$500,000.00	Float quarterly: LIBOR +47 bps
BANK OF AMERICA NA	06050TMB5	06/05/2017	\$250,000.00	\$250,225.66	\$250,000.00	Float quarterly: LIBOR +45 bps
BB&T CORPORATION	05531FAG8	02/16/2016	\$750,000.00	\$768,276.17	\$766,320.00	Call anytime on or after 2/16/16
BERKSHIRE HATHAWAY FIN	084664CD1	01/12/2018	\$750,000.00	\$751,879.34	\$750,000.00	Float quarterly: LIBOR +30 bps
CISCO SYSTEMS INC	17275RAY8	06/15/2018	\$750,000.00	\$751,485.60	\$750,000.00	Float quarterly: LIBOR +31 bps
FANNIE MAE	3136G1NQ8	06/19/2017	\$1,000,000.00	\$751,485.60	\$750,000.00	Quarterly: First 12/19/13; Last: 3/19/17
FEDERAL FARM CREDIT BANK	3133EDGM8	03/10/2016	\$200,000.00	\$200,143.63	\$199,336.00	Call anytime on or after 6/10/14
FEDERAL FARM CREDIT BANK	3133EDGM8	03/10/2016	\$1,000,000.00	\$1,000,718.17	\$998,200.00	Call anytime on or after 6/10/14
FEDERAL FARM CREDIT BANK	3133EDXG2	10/14/2016	\$1,000,000.00	\$1,001,560.06	\$1,001,000.00	Call anytime on or after 10/14/15
FITAT 2014-2 A2A	31679KAB6	04/17/2017	\$418,868.99	\$418,988.78	\$418,822.95	10% clean up call
GEET 2015-1 A2	36164EAB1	11/24/2017	\$400,000.00	\$400,338.71	\$399,957.00	10% clean up call
HAROT 2014-2 A2	43814GAB6	09/19/2016	\$102,755.55	\$102,729.74	\$102,747.52	10% clean up call
HAROT 2015-1 A2	43814KAB7	06/15/2017	\$335,000.00	\$335,013.77	\$334,982.51	10% clean up call
HAWAII-TXBL-REF-ES	4197915E4	08/01/2016	\$750,000.00	\$753,672.00	\$750,000.00	Make-whole call +10 bps
HDMOT 2015-1 A2A	41284BAB2	01/15/2019	\$500,000.00	\$500,586.28	\$499,995.95	10% clean up call
HTMOT 2014 - A A2	41284AAB4	04/15/2018	\$157,808.82	\$157,847.76	\$157,798.14	10% clean up call
JDOT 2014-A A2	47787VAB7	09/15/2016	\$284,960.66	\$285,013.38	\$284,949.52	10% clean up call
MBALT 2014-A A2A	58768EAC3	09/15/2015	\$254,047.06	\$254,105.07	\$254,040.35	10% clean up call
MISSISSIPPI ST-B-TXBL	605581FW2	10/01/2016	\$300,000.00	\$300,228.00	\$300,000.00	Make-whole call +20 bps
PNC BANK NA	69349LAH1	01/28/2016	\$750,000.00	\$753,606.00	\$751,785.00	Call anytime on or after 12/28/15
PENAR 2013-1A A1	70659PAE1	11/18/2017	\$750,000.00	\$750,475.98	\$750,000.00	Float monthly: LIBOR +39 bps
NAROT 2014-B A2	65477WAB2	06/15/2017	\$750,000.00	\$749,881.25	\$749,959.73	5% clean up call
PRICOA GLOBAL FUNDING 1	74153WCA5	08/19/2015	\$300,000.00	\$300,236.63	\$300,819.00	Float quarterly: LIBOR +27 bps
PRICOA GLOBAL FUNDING 1	74153WCA5	08/19/2015	\$375,000.00	\$375,295.79	\$375,000.00	Float quarterly: LIBOR +27 bps
STATE OF OHIO REVENUE BOND	677520FR4	10/01/2015	\$750,000.00	\$768,858.75	\$750,000.00	Call anytime on or after 10/1/15
TAOT 2015-A A2	89236WAB4	07/17/2017	\$600,000.00	\$599,897.73	\$599,994.30	5% clean up call
UNIV OF CALIFORNIA REVENUES	91412GUT0	05/15/2016	\$250,000.00	\$250,355.03	\$250,000.00	Make-whole call +5 bps
US BANK NA CINCINNATI	90331HMR1	01/26/2018	\$300,000.00	\$299,929.45	\$300,000.00	Float quarterly: LIBOR +30 bps
US BANK NA CINCINNATI	90331HMR1	01/26/2018	\$450,000.00	\$449,894.18	\$451,206.00	10% clean up call
USAOT 2014-1 A2	90290KAB1	10/17/2016	\$100,693.68	\$100,703.13	\$100,689.73	10% clean up call
VALET 2012-1 A3	92867GAC7	08/22/2016	\$23,999.13	\$24,011.50	\$24,071.31	10% clean up call
VOLKSWAGEN GROUP AMERICA	928668AD4	05/23/2016	\$500,000.00	\$499,853.00	\$500,000.00	Float quarterly: LIBOR +22 bps
Total			<u>\$16,353,133.89</u>	<u>\$16,158,930.81</u>	<u>\$16,121,675.01</u>	



Exhibit VII
State of California

Pooled Money Investment Account

Portfolio as of 06-30-15

PAR VALUES MATURING BY DATE AND TYPE

Maturities in Millions of Dollars

ITEM	1 day to 30 days	31 days to 60 days	61 days to 90 days	91 days to 120 days	121 days to 150 days	151 days to 180 days	181 days to 210 days	211 days to 270 days	271 days to 1 year	1 year to 2 years	2 years to 3 years	3 years to 4 years	4 years to 5 year/out
TREASURY	\$ 1,100	\$ 400	\$ 900	\$ 2,550	\$ 950	\$ 1,750	\$ 850	\$ 4,550	\$ 7,300	\$ 12,200	\$ 500		
REPO													
TDs	\$ 1,931	\$ 1,069	\$ 1,294	\$ 360	\$ 483	\$ 302							
AGENCY	\$ 1,176	\$ 100	\$ 100	\$ 200	\$ 50	\$ 550	\$ 1,150	\$ 2,380	\$ 3,075	\$ 882	\$ 781		
CP													
CDs + BNs	\$ 2,500	\$ 950	\$ 1,325	\$ 800	\$ -	\$ 350							
CORP BND	\$ 5,650	\$ 1,700	\$ 2,200	\$ 1,800	\$ 500	\$ 900	\$ 1,100	\$ 400	\$ 1,000	\$ 100			
TOTAL													
\$ 70,207	\$ 12,356	\$ 4,219	\$ 5,819	\$ 5,710	\$ 1,983	\$ 3,852	\$ 3,100	\$ 7,330	\$ 11,375	\$ 13,182	\$ 1,281	\$ -	\$ -
PERCENT	17.6%	6.0%	8.3%	8.1%	2.8%	5.5%	4.4%	10.4%	16.2%	18.8%	1.8%	0.0%	0.0%

Notes:

1. SBA Floating Rate Securities are represented at coupon change date.
2. Mortgages are represented at current book value.
3. Figures are rounded to the nearest million.
4. Does not include AB55 and General Fund loans.



**State of California
Pooled Money Investment Account
Market Valuation
6/30/2015**

Description	Carrying Cost Plus			
	Accrued Interest	Purch.	Amortized Cost	Fair Value
United States Treasury:				
Bills	\$ 12,478,798,026.25	\$ 12,486,334,849.86	\$ 12,490,802,500.00	NA
Notes	\$ 20,558,752,232.85	\$ 20,555,536,741.39	\$ 20,580,129,500.00	\$ 21,455,182.50
Federal Agency:				
SBA	\$ 585,671,321.30	\$ 585,666,243.58	\$ 581,898,249.19	\$ 515,761.93
MBS-REMICs	\$ 88,086,151.74	\$ 88,086,151.74	\$ 94,368,771.34	\$ 419,094.47
Debentures	\$ 1,356,989,977.45	\$ 1,356,980,116.34	\$ 1,357,742,600.00	\$ 3,825,064.96
Debentures FR	\$ -	\$ -	\$ -	\$ -
Discount Notes	\$ 6,937,478,493.01	\$ 6,939,792,520.75	\$ 6,941,176,500.00	NA
GNMA	\$ -	\$ -	\$ -	\$ -
Supranational Debenture	\$ 450,178,265.15	\$ 450,178,265.15	\$ 450,788,000.00	\$ 759,031.50
CDs and YCDs FR	\$ -	\$ -	\$ -	\$ -
Bank Notes	\$ 700,000,000.00	\$ 700,000,000.00	\$ 699,897,195.93	\$ 433,666.67
CDs and YCDs	\$ 14,650,014,748.62	\$ 14,650,014,748.62	\$ 14,642,625,872.59	\$ 4,375,027.76
Commercial Paper	\$ 5,921,030,472.27	\$ 5,922,910,944.52	\$ 5,922,245,201.40	NA
Corporate:				
Bonds FR	\$ -	\$ -	\$ -	\$ -
Bonds	\$ -	\$ -	\$ -	\$ -
Repurchase Agreements	\$ -	\$ -	\$ -	\$ -
Reverse Repurchase	\$ -	\$ -	\$ -	\$ -
Time Deposits	\$ 5,437,540,000.00	\$ 5,437,540,000.00	\$ 5,437,540,000.00	NA
AB 55 & GF Loans	\$ 441,948,027.07	\$ 441,948,027.07	\$ 441,948,027.07	NA
TOTAL	\$ 69,606,487,715.71	\$ 69,614,988,609.02	\$ 69,641,162,417.52	\$ 31,782,829.79

Fair Value Including Accrued Interest \$ 69,672,945,247.31

Repurchase Agreements, Time Deposits, AB 55 & General Fund loans, and Reverse Repurchase agreements are carried at portfolio book value (carrying cost).

The value of each participating dollar equals the fair value divided by the amortized cost (**1.000375979**).
As an example: if an agency has an account balance of \$20,000,000.00, then the agency would report its participation in the LAIF valued at \$20,007,519.59 or \$20,000,000.00 x **1.000375979**.

All investments are in U.S. Dollar according to the State of California.



DISCLOSURE STATEMENT

Portfolio Holdings: Structured Notes and Asset-Backed Securities

The Treasury Investment Division has received a number of inquiries concerning our various portfolio holdings. Questions involving structured notes, derivative products*, and asset-backed securities are the most notable.

In an effort to clarify the information provided in our monthly statements, we would like to share with you our investment positions in structured notes and asset-backed securities.

Following are the State of California Treasurer's holdings in each category as of June 30, 2015:

* ***The Pooled Money Investment Account Portfolio has not invested in, nor will it invest in, Derivative Products as defined in FASB 133.***

Exhibit VII (b)
State of California

1. Structured Notes

Structured notes are debt securities (other than asset-backed securities) whose cash flow characteristics (coupon rate, redemption amount, or stated maturity) depend upon one or more indices and/or that have embedded forwards or options. They are issued by corporations and by government-sponsored enterprises such as the Federal National Mortgage Association and the Federal Home Loan Bank System or an international agency such as the World Bank.

Securities Accountability

Structured Notes

a. Callable Agency	\$	0.000 million
b. LIBOR Agency Floater	\$	0.000 million
c. 3 month LIBOR Corporate Floater	\$	0.000 million
d. 3 month LIBOR Bank Floater	\$	0.000 million
e. 2 year CMT Corporate Floater	\$	0.000 million
f. 3 month T-Bill Agency Floater	\$	0.000 million
g. 3 month T-Bill Corporate Floater	\$	0.000 million

U.S. \$0.000 million As of: 06/30/15

2. Asset-Backed Securities

Asset-backed securities entitle the purchaser to receive a share of the cash flows from a pool of assets such as principal and interest repayments from a pool of mortgages (such as CMOs), small business loans, or credit card receivables (such as ABCP).

Asset-Backed Securities

a. Small Business Administration Pools	\$	585.666 million
b. Agency MBS-REMIC'S	\$	<u>88.086 million</u>
(Medium term sub-total)	\$	673.752 million
c. Commercial Paper (Short term sub-total)	\$	774.196 million

U.S. \$1,447.948 million As of: 06/30/15

Exhibit VII (b)
State of California

Total Portfolio As of: 06/30/15

\$69,606,487,715.71

Structured notes and Medium-term Asset-backed securities as a percent of portfolio: 0.97%

Short-term Asset-Backed Commercial Paper (ABCP) as a percent of portfolio: 1.11% *

Total Medium-term and Short-term Structured notes and Asset-backed securities
as a percent of portfolio: 2.08%

****ABCP purchased by the Pooled Money Investment Account (PMIA) does not include Structured Investment Vehicles (SIVs) nor do any of the approved ABCP programs include SIVs as underlying assets.***

Portfolio Stress Test¹

as of June 30, 2015

Par Value	2,197,263,654.09	Book Price	100.051
Book Value	2,198,391,685.69	Average Days to Maturity	275
Market Value	2,199,356,705.18	Coupon	0.5309%
Market Price	100.095	YTM	0.4896%

Yield Change	Market Value	Market Price	Gain/Loss ²
(bps)	(\$)	(\$)	(bps)
-50	2,207,598,401.45	100.470	37.46
-25	2,203,465,305.88	100.282	18.68
-10	2,200,997,219.32	100.170	7.49
0	2,199,356,705.18	100.095	0.00
10	2,197,720,077.27	100.021	-7.39
25	2,195,272,393.38	99.909	-18.58
50	2,191,212,166.55	99.725	-36.96

Note: Stress Testing is a form of testing that is used to determine the stability of a given system or entity. It reveals how well a portfolio is positioned in the event the forecasts prove true. The stress test conducted on the portfolio managed by the Treasurer's Office identifies the sensitivity of our portfolio to the change in interest rate. The test result shows if the yield were to go down by 50 bps, the market value of the portfolio would increase by 37.44 bps. If the yield were to go up by 50 bps, the market value of the portfolio would decrease by 36.99 bps.

1. The stress test is conducted on the portfolio managed by the Treasurer's Office. Portfolios managed by outside contractors are excluded. All data is provided by Sungard.

2. Gain/Loss is calculated based on the market value/price.

**CONTRA COSTA COUNTY
TREASURER'S INVESTMENT PORTFOLIO
MUNICIPAL SECURITIES
June 30, 2015**

Security Description	CUSIP	Coupon Rate	YTM	Purchase Date	Maturity Date	Par Value	Market Value²	Fund⁴
Compton CA Community Redev Agy	204712FD0	0.0000%	6.5258%	1/3/2012 ¹	8/1/16	10,000.00	7,453.00	5033
Palo Alto USD Refunding Bond	697379UA1	1.4020%	1.4020%	8/14/12	8/1/17	440,000.00	440,000.00	7903
University of Cal Tax Revenue Bond	91412GPX7	0.6590%	0.6590%	3/14/13	5/15/16	1,240,000.00	1,240,000.00	6911
University of Cal Tax Revenue Bond	91412GPY5	0.9660%	0.9660%	3/14/13	5/15/17	175,000.00	175,000.00	7903
Cal State Taxable GO Bond	13063BN73	1.0500%	0.9292%	3/27/13	2/1/16	120,000.00	120,405.60	7903
Cal State Taxable GO Bond	13063BN73	1.0500%	0.9292%	3/27/13	2/1/16	550,000.00	551,859.00	6911
Chicago Prerefunded Proj & Refunding Bond	167486JB6	5.0000%	1.6188%	6/27/13 ³	1/1/17	170,000.00	189,542.34	5057
Chicago Unrefunded Bal-Proj & Refunding Bd	167486JC4	5.0000%	2.5923%	6/27/13 ³	1/1/17	390,000.00	421,318.77	5057
Washington State GO Bond	93974B3K6	5.0000%	1.3012%	6/27/13 ³	1/1/18	600,000.00	696,925.06	5057
South Bend IN Refunding Revenue Bond	836496NW5	5.0000%	1.6170%	6/27/13 ³	1/15/16	505,000.00	547,506.38	5057
Wicomico County MD GO Bond	967545R89	3.5000%	1.6386%	6/27/13 ³	12/1/18	390,000.00	427,550.12	5057
Univ of Cal Taxable Rev Bond	91412GSX4	0.9070%	0.9070%	10/2/13	5/15/16	415,000.00	415,000.00	6911
Univ of Cal Taxable Rev Bond	91412GSZ9	2.0540%	2.0540%	10/2/13	5/15/18	195,000.00	195,000.00	7903
Cal State Taxable GO Bond	13063CFD7	1.2500%	1.0901%	11/5/13	11/1/16	125,000.00	125,586.25	7903
Cal State Taxable GO Bond	13063CFD7	1.2500%	1.0901%	11/5/13	11/1/16	790,000.00	793,705.10	6911
County of Orange CA Rev Bond	68428LDJ0	0.7800%	0.7800%	1/13/15	5/2/16	1,000,000.00	1,000,000.00	6911
State of Mississippi GO Bond	605581FX0	1.0900%	1.0900%	2/18/15	10/1/17	110,000.00	110,000.00	6911
Total						<u>\$7,225,000.00</u>	<u>\$7,456,851.62</u>	

¹ Date when the security was transferred from AUHSD to Contra Costa County Investment Pool managed by Treasurer's Office

² Market Value equals Cost less purchase interest

³ Date when the security was transferred from Fund 5055 to Fund 5057 per AUHSD's request

⁴ Fund #

5033 - Acalanes Unified High School District

5055 - Acalanes Unified High School District

6911 - Contra Costa County Schools Insurance Group

7903 - Contra Costa Community College District Retiree Health Benefits

8177 - County (Pool)



Contra
Costa
County

To: Board of Supervisors
From: David Twa, County Administrator
Date: September 22, 2015

Subject: Public Defender Forensic Evidence Training project

RECOMMENDATION(S):

APPROVE and AUTHORIZE the Public Defender, or designee, to be the fiscal agent and act as co-sponsor of the DNA Forensic Evidence professional training event for state and federal public defense attorneys on October 29-30, 2015 at the University of California, Berkeley.

FISCAL IMPACT:

No fiscal impact.

BACKGROUND:

In cooperation with the Northern California District of the Federal Defenders Office and the University of California Berkeley School of Law, the Public Defender is co-sponsoring an intensive two-day training program for public agency defense attorneys designed to provide familiarity with DNA forensic analysis and report interpretation. This in-service training event will be available to the Deputy Public Defender's attorneys having current cases involving DNA evidence and defense attorneys from other public agencies throughout California. Continuing Legal Education (CLE) credit required for State Licensing will be awarded to the program participants, at the completion of the training.

This

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: V. Tran,
925-335-1037

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND: (CONT'D)

training event is scheduled for October 29th and 30th, and attendance will be limited to eighty (80) participants. The training will cover issues relevant to criminal litigation, including: admissibility challenges, cross-examination of government experts and jury instructions, following a review of forensic fundamentals for DNA testing evidence (including: laboratory procedures, testing protocols, statistical significance and probabilistic genotyping).

This type of cooperative training event is an infrequent activity for the Office of the Public Defender, and is not normally included in its annual Budget.

Pursuant to Administrative Bulletin 104.1, the County Administrator's Office has reviewed and approved the Public Defender's request to be a fiscal agent and to act as co-sponsor of the DNA Forensic Evidence and recommends approval of this action by the the Board of Supervisors.

CONSEQUENCE OF NEGATIVE ACTION:

The Public Defender would not be able to co-host this event.

CHILDREN'S IMPACT STATEMENT:

N/A



Contra
Costa
County

To: Board of Supervisors

From: INTERNAL OPERATIONS COMMITTEE

Date: September 22, 2015

Subject: CHANGES TO SEAT TERMS AND BYLAWS OF THE INTEGRATED PEST MANAGEMENT ADVISORY COMMITTEE

RECOMMENDATION(S):

1. APPROVE plan to transition the terms of office of the Public Member seats on the Integrated Pest Management (IPM) Advisory Committee from two years to four years and to stagger term expirations:

- The At Large 1 and At Large 2 seat appointments convert to four-year terms upon the expiration of their current terms (December 31, 2015).
- The next At Large 3 and At Large Alternate seat appointments be set for three years, from January 1, 2016 through December 31, 2018, and then convert to four-year terms effective January 1, 2019.
- The next Environmental Organization seat appointment be set for three-years, from January 1, 2016 through December 31, 2018, and then convert to a four-year term effective January 1, 2019.
- The Fish and Wildlife Committee seat and the PEHAB seat convert to four-year terms upon the expiration of their current terms (December 31, 2015).

2. APPROVE attached modifications to the IPM Advisory Committee Bylaws as needed to accord with the seat term transition and to update old references.

FISCAL IMPACT:

No fiscal impact.

☒ APPROVE

☐ OTHER

☐ RECOMMENDATION OF CNTY ADMINISTRATOR

☒ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Tanya Drlik (925)
335-3214

, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

BACKGROUND:

The Internal Operations Committee periodically conducts interviews of candidates for seats on the IPM Advisory Committee. On December 1, 2014, the Internal Operations Committee directed the IPM Coordinator to develop a plan to convert the current IPM Advisory Committee Public Member two-year term appointments to four-year term appointments with staggered term expiration dates.

In order to achieve this goal, IPM staff proposed the plan depicted below to achieve the conversion of seat terms and also to stagger the terms.

Seat Name	Current Term Expiration Date	Transition Term	Subsequent Four-Year Terms
At Large 1	12/31/15	1/1/16-12/31/19	1/1/20-12/31/23
At Large 2	12/31/15	1/1/16-12/31/19	1/1/20-12/31/23
At Large 3	12/31/15	1/1/16-12/31/18	1/1/19-12/31/22
At Large Alternate	12/31/15	1/1/16-12/31/18	1/1/19-12/31/22
Environmental Organization	12/31/16	1/1/16*-12/31/18	1/1/19-12/31/22
PEHAB (Public and Environmental Health Advisory Board)	12/31/15	1/1/16-12/31/19	1/1/20-12/31/23
Fish & Wildlife Committee	12/31/15	1/1/16-12/31/19	1/1/20-12/31/23

**The Environmental Organization seat was recently vacated due to resignation of the incumbent, permitting the next seat term to be adjusted to commence on 1/1/16 without impacting a seated member.*

Since the IPM Committee does not currently differentiate the At-Large seats by numbers, the IOC recommends that numbers be assigned when individuals are appointed to those seats later this year.

Changes to the seat terms and expirations will necessitate the following changes to the IPM Advisory Committee bylaws:

III. Terms/Elections

A. Committee:

1. The terms for the Department Representatives do not expire. All other members shall serve for a term of ~~two (2)~~ four (4) calendar years. Any vacancies during the term of the member shall be filled for the remainder of that ~~two (2)~~ four (4) calendar year term. Members may serve more than one (1) ~~two-year~~ four-year term if reappointed.

The proposed modified bylaws are attached hereto for reference and also reflect other minor changes to update outdated references.

CONSEQUENCE OF NEGATIVE ACTION:

Should the Board elect to not approve the recommendations, that status quo would be maintained.

ATTACHMENTS

BlueLine_Proposed Modified IPM Advisory Committee Bylaws

CONTRA COSTA COUNTY
INTEGRATED PEST MANAGEMENT ADVISORY COMMITTEE
BYLAWS

I. Name and Definition

- A. The name of this advisory body to the Contra Costa Board of Supervisors shall be the “Contra Costa County Integrated Pest Management Advisory Committee,” hereafter referred to as the “Committee.”
- B. “Integrated Pest Management” (hereinafter, “IPM”) is defined as “an ecosystem-based strategy that focuses on long-term prevention of pests or their damage through a combination of techniques such as biological control, habitat manipulation, modification of cultural practices, and use of resistant varieties. Pesticides are used only after monitoring indicates that they are needed according to established guidelines, and treatments are made with the goal of removing only the target organisms. Pest control materials are selected and applied in a manner that minimizes risks to human health, to beneficial and non-target organisms, and to the environment.”

II. Purpose (Mission Statement)

The general purposes of the Committee shall be as follows:

- A. Protect and enhance public health, County resources, and the environment;
- B. Minimize risks and maximize benefits to the general public, staff and the environment as a result of pest control activities conducted by County staff and contractors;
- C. Promote a coordinated County-wide effort to implement IPM in the County in a manner that is consistent with the Board-adopted IPM Policy;
- D. Serve as a resource to help Department Heads and the Board of Supervisors review and improve existing pest management programs and the processes for making pest management decisions;
- E. Make policy recommendations upon assessment of current pest issues and evaluation of possible IPM solutions; and
- F. Provide a forum for communication and information exchange among members in an effort to identify, encourage, and stimulate the use of best or promising pest management practices.

III. Membership

- A. The membership of the Committee shall be composed of the following:
 - 1. Four (4) ex-officio, non-voting members as follows:
 - a. Agricultural Commissioner, or designee
 - b. ~~General Services Deputy Director~~Public Works Facilities Maintenance Manager, or designee
 - c. Public Works Deputy Director, or designee
 - d. A current Structural Pest Management contractor with ~~General Services Department~~the Public Works Facilities Maintenance Division
 - 2. Eight (8) voting members as follows:
 - a. Two (2) ex-officio members:
 - i. Health Services Department representative
 - ii. County/Unincorporated County Storm Water Program representative
 - b. Six (6) public members:
 - i. Public and Environmental Health Advisory Board representative
 - ii. County Fish and Wildlife Committee representative
 - iii. Three (3) Type 2, “At Large Appointments,”

- iv. One (1) Type 3, “At Large Appointment,” for an environmental organization with either 501(c)(3) or 501(c)(4) status
3. One (1) Type 3, “At Large Appointment” for a Public Member – Alternate.

B. Membership Requirements

1. Members must reside or work in Contra Costa County and should reflect the ethnic, racial, and geographical diversity of the County.
2. Contractors who provide pest management services to the County may not serve on the Committee. The exception is A.1.d., above, the Current Structural Pest Management Contractor with ~~General Services Department~~ the Public Works Facilities Maintenance Division.
3. If a member’s work status or residence changes, he/she must notify the Committee in writing, within thirty (30) days of their change in status. The Chair will review the change of status and determine if the member is still eligible for membership according to these by-laws. If they are found to be ineligible, the member will be asked to resign his/her position.
4. Current employees of Contra Costa County are not eligible to serve on the Committee as “At Large Appointments” under A.2.iii, A.2.iv, and A.2.v above

C. Responsibilities of Membership

Each member must:

1. Have an interest in and commitment to the Purpose (Mission Statement) of the Committee;
2. Demonstrate knowledge of, interest in, and commitment to improvement of IPM practices in Contra Costa County;
3. Attend Committee meetings;
4. Notify the IPM Coordinator, in advance, of any unavoidable absence from a meeting; and
5. Must comply with the Contra Costa County Policy for Board Appointees concerning Conflict of Interest and Open Meetings, Resolution No. 2002/376.

D. Resignation

Any member who desires to resign his or her position with the Committee must do so in writing and file it with the Chair and Secretary of the Committee.

IV. Staff to the Committee

The County IPM Coordinator shall serve as staff to the Committee. Staff shall issue and distribute agendas in accordance with the Brown Act and Better Government Ordinance. Staff shall finalize minutes and distribute to members ~~within a week after each meeting~~ in the agenda packet.

V. Organization of the Committee

A. *Officers*: The officers of the Committee shall be the Chair, Vice-Chair, and Secretary.

B. *Duties of Officers*:

1. It shall be the duty of the Chair to preside at all meetings.
2. In the absence of the Chair, the Vice-Chair shall assume the duties of the Chair. Should both senior officers be unavailable, the Secretary shall preside.
3. The Secretary, or a designee of the Chair, shall take notes at all meetings

C. *Subcommittees*:

1. The purpose of a Subcommittee is to research and explore specific issues in-depth that come before the Committee.
2. The goal of a Subcommittee is to provide a working forum for interaction and information exchange among experts and staff focusing on issues needing in-depth consideration.

3. The Committee Chair shall designate Subcommittee members from the Committee with advice from the Committee.
4. For those issues that are technical in nature, the Subcommittee Chair, with a majority vote from the Subcommittee members, may invite experts from other agencies or institutions, such as East Bay Regional Park District, Contra Costa Mosquito & Vector Control District, Contra Costa Water District, the University of California Cooperative Extension, Pesticide Applicators Professional Association, Association of Applied IPM Ecologists, and/or Pest Control Operators of California and other appropriate representatives with technical expertise in a specific field to attend Subcommittee meetings to provide input and/or report to the Subcommittee.
5. Only the Subcommittee members will have voting rights to make final decisions regarding recommendations to send to the full Committee.

VI. Terms/Elections

A. *Committee:*

1. The terms for the Department Representatives do not expire. All other members shall serve for a term of two (2) calendar years. Any vacancies during the term of the member shall be filled for the remainder of that two (2) calendar year term. Members may serve more than one (1) two-year term if reappointed.
2. The Committee shall elect its officers every two (2) years, at the last meeting of each even numbered calendar year. Officers shall be elected by a simple majority of those present.
3. New officers shall assume their duties at the first meeting of the calendar year following their election.
4. Officers shall serve for a term of two (2) years. Any vacancies during the term of the officer shall be filled for the remainder of that two (2) calendar year term. Officers may serve more than one (1) two-year term if re-elected.
5. Should an officer resign, the vacancy will be filled by election at the next regular meeting.
6. Members with two (2) absences in a calendar year may be recommended by the Committee for removal from membership to the Board of Supervisors.
7. Committee vacancies will be filled per the Maddy Local Appointive List Act of 1975.

B. *Subcommittees:*

1. The Committee Chair selects Subcommittee members.
2. Subcommittee members recommend a Subcommittee chair to the Committee Chair, if needed.

VII. Duties of the Committee and Subcommittees

A. The general duties of the Committee shall include:

1. Working with County Departments to create, promote, implement, and periodically evaluate IPM programs, strategies, and policies specific to their operational needs and consistent with the County IPM Policy;
2. Recommending policies;
3. Prioritizing work of the IPM Coordinator;
4. Coordinating pest management among all areas of the County;
5. Forming Subcommittees to assist in the work of the Committee as deemed necessary by the Committee;
6. Promoting ongoing and expanded cross training among departments on IPM issues;
7. Promoting availability, public awareness, and public input into written county pest management programs, protocols, and records;
8. Helping create public awareness of IPM and promote public education on IPM techniques; and
9. Providing an ongoing forum for consensus and resolution of IPM issues.

- B. The general duties of the Subcommittees shall include:
 - 1. Researching and discussing matters requiring in depth consideration; and
 - 2. Making specific recommendations to the Committee as appropriate.

VIII. Meetings/Voting

- A. The Committee shall meet every other month on the first Wednesday of the month from 10:00 a.m. to 12:00 p.m.
- B. All meetings of the Committee shall be open to the public and all interested persons shall be permitted to attend meetings. Time shall be set aside for limited public comment on items not on the posted agenda.
- C. A notice of the regular meeting, with an attached agenda, shall be posted in a public notice area not less than ninety-six (96) hours prior to the meeting, pursuant to the Brown Act and the Better Government Ordinance.
- D. “Quorum” is defined as fifty percent plus one.
- E. A quorum of the total membership (at least 7 members) must be present in order to hold a meeting. In the absence of a quorum, no formal action shall be taken except to adjourn the meeting to a subsequent date.
- F. Voting at Meetings
 - 1. A quorum of voting members (at least 5 members) must be present before any vote on matters before the Committee can take place. Passage of a matter requires approval by a simple majority of the voting members present, except on matters involving policy recommendations to the Board of Supervisors.
 - 2. Passage of matters involving policy recommendations to the Board of Supervisors requires approval by a simple majority of the total number of voting members (at least 5 members).
 - 3. The Public Member – Alternate may vote only if a member listed in III. Membership A.2.b, above, is absent. Otherwise, the Public Member – Alternate may not vote on matters before the Committee.

IX. Bylaws/Amendments

These bylaws will govern the membership, organization, and meetings of the Committee. These bylaws may be amended by majority vote at any regular Committee meeting, a quorum being present, with prior notice to the membership.

X. Annual Objectives

The Committee shall review and adopt annual objectives at the first meeting of the calendar year.

XI. Reports to the Board of Supervisors

The Committee shall submit a status report on the activities of the Committee as directed, but no less frequently than annually, to the Transportation, Water & Infrastructure Committee of the Board of Supervisors. In addition, the Committee shall submit an annual report to the County Board of Supervisors in accordance with Section IV of Resolution No. 2002/377 (6/18/02).

XII. Committee Records

Records of the Committee shall be housed at the office of the IPM Coordinator.



**Contra
Costa
County**

To: Successor to the Contra Costa County Redevelopment Agency
From: John Kopchik, Director, Conservation & Development Department
Date: September 22, 2015

Subject: ROPS 15-16 B (January 1, 2016 - June 30, 2016)

RECOMMENDATION(S):

1. ADOPT Resolution No. 2015/356 approving an administrative budget for the Successor Agency for the period January 1, 2016 through June 30, 2016 ("Administrative Budget") and the Recognized Obligation Payment Schedule for the period of January 1, 2016 through June 30, 2016 ("ROPS 15-16B"), both of which are attached as Exhibit A and Exhibit B, respectively.
2. FIND that the Administrative Budget and ROPS 15-16B are exempt from the California Environmental Quality Act ("CEQA") pursuant to Section 15061(b)(3) of the CEQA Guidelines; and
3. DIRECT the Director of Conservation and Development to file a Notice of Exemption with the County Clerk and pay the filing fee.

FISCAL IMPACT:

None to the General Fund. Since dissolution of the Contra Costa County Redevelopment Agency (the "Dissolved RDA"), tax increment is now deposited in the Redevelopment Property Tax Trust Fund ("RPTTF"), which is administered by the County Auditor-Controller. Distributions are made semi-annually from the RPTTF by the County Auditor-Controller to the Successor Agency to fund the Successor Agency's administrative budget and Recognized Obligation Payment Schedule.

☒ APPROVE

☐ OTHER

☒ RECOMMENDATION OF CNTY ADMINISTRATOR

☐ RECOMMENDATION OF BOARD
COMMITTEE

Action of Board On: **09/22/2015** ☐ APPROVED AS RECOMMENDED ☐ OTHER

Clerks Notes:

VOTE OF SUPERVISORS

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

Contact: Maureen Toms,
674-7878

David J. Twa, County Administrator and Clerk of the Board of Supervisors

By: , Deputy

cc:

FISCAL IMPACT: (CONT'D)

These funds are distinct and separate from other funds used by the Department of Conservation and Development. According to state law, any obligation of the Successor Agency that cannot be funded by the RPTTF would not be an obligation of the County.

BACKGROUND:

Administrative Budget

According to Health & Safety Code Section 34177 of Assembly Bill x126 (the "Dissolution Act"), the Successor Agency staff prepares a draft administrative budget and submits it to the Oversight Board for approval. Prior to the Oversight Board's approval of the administrative budget, the Board of Supervisors, acting in the capacity as the governing board of the Successor Agency for the Contra Costa County Redevelopment Agency, should review and approve the proposed administrative budget.

The state statute specifies a minimum administrative cost allowance to the Successor Agency for its administrative costs, using a percentage of property tax revenue allocated by the County Auditor-Controller to the Successor Agency to meet enforceable obligations. The County Auditor-Controller calculates the allowance using three percent of the distribution to be received by the Successor Agency from the Redevelopment Property Tax Trust Funds (RPTTF) or \$250,000 for the fiscal year, whichever amount is greater. The County Auditor-Controller general practice has been to provide all successor agencies in the County with the statutory minimum administrative cost allowance in the amount of \$250,000 along with the July 1st RPTTF distribution.

The County Auditor Controller will distribute a supplemental administrative cost allowance along with the January 2nd RPTTF distribution in cases where three percent of a successor agency's RPTTF distribution for the fiscal year is greater than \$250,000. The September 2015 estimate for the Fiscal Year 2015-16 administrative budget is \$314,033, three percent of the Successor Agency's RPTTF distribution, therefore the balance of \$64,033 for administrative costs is expected to be distributed January 2, 2016. Some Successor Agency staff costs are project-related and charged to non-administrative enforceable obligations (e.g. management of construction projects) shown on the Recognized Obligation Payment Schedules (ROPSs). These non-administrative and project management costs are now estimated to contribute \$35,000 in revenue for the administrative budget in ROPS 15-16B period. The administrative budget is attached as Exhibit A.

Recognized Obligation Payment Schedule 15-16B

Beginning in Fiscal Year 2013-14, the Department of Finance ("DOF") implemented a new naming convention for ROPS prepared for each six-month spending period. The ROPS for the January 1, 2016 to June 30, 2016 time period is the ninth ROPS prepared by the Successor Agency and is named "ROPS 15-16B" according to the DOF naming convention. This naming convention helps the DOF determine which six-month period of the fiscal year is covered by the ROPS. ROPS 15-16A covered the first half of Fiscal Year 15-16 and ROPS 15-16B covers the second half.

Resolution No. 2015/356 adopts ROPS 15-16B, which is included as Exhibit B to this report. After adoption by the Successor Agency, ROPS 15-16B will be submitted to the Oversight Board for approval. The Oversight Board is scheduled to meet on September 23, 2015. As required under Health and Safety Code Section 34179.6, ROPS 15-16B will be submitted to the State Controller's Office, DOF and the County Auditor-Controller, and will be posted on the Successor Agency's website. The DOF must receive ROPS 15-16B no later than October 5, 2015.

Assembly Bill 1484, the Dissolution Act "clean-up" legislation, became law on June 27, 2012. It provides a 45-day review period for the DOF once the Oversight Board has approved the ROPS. Within five days of the DOF decision on a ROPS, a Successor Agency may request a meet and confer with the DOF to discuss any disputed items.

ROPS 15-16B authorizes all payments to be made by the Successor Agency for enforceable obligations for the

six-month time period between January 1, 2016 and June 30, 2016. The payments noted on the ROPS are estimates. In most cases, assumptions made for 15-16B were based on actual expenditures in the prior ROPS and expected expenditures 15-16B.

The title page of ROPS 15-16B shows enforceable obligations require a \$5,185,562 distribution from the Successor Agency's RPTTF. This amount assumes the RPTTF has already set aside pass-through payments to taxing entities and administrative costs for the County Auditor-Controller. In cases where the Auditor-Controller determines that RPTTF revenue is not sufficient to meet ROPS obligations, the Auditor-Controller will make distributions from the RPTTF according to the priorities established by the Dissolution Act. These priorities are as follows: 1) tax allocation bond debt service payments, 2) pass thru payments, 3) other ROPS obligations, and 4) administrative allowance. In a case where there is residual RPTTF after payment of these priorities, this residual revenue would be distributed to the taxing entities based on their tax rates for properties located within the project area.

On July 18, 2013, the Department of Finance issued the Successor Agency a "Finding of Completion" pursuant to Health and Safety Code Section 34179.7. As a result of the issuance of the Finding of Completion, the Successor Agency is authorized to: (1) place loan agreements between the dissolved RDA and the County on the ROPS; (2) utilize proceeds derived from non-housing bonds issued prior to January 1, 2011, in a manner consistent with the original bond covenants; and (3) dispose of properties owned by the Former RDA pursuant to a long-range property management plan approved by the Successor Agency's Oversight Board and the DOF.

There is currently one outstanding loan from the County that needs repayment, the Montalvin Manor loan (#59). According to Section 34191.4.(b)(2)(A), the maximum repayment amount authorized each fiscal year for repayments must be equal to one-half of the increase between the amount distributed to the taxing entity in a particular fiscal year and the amount distributed to taxing entities pursuant to that paragraph in the 2012-13 base year. In the base year of 2012-13, the County Auditor-Controller made a total residual distribution of \$359,755.05 to taxing entities for FY 12-13, which is considered the "base year". The County Auditor-Controller made a total residual distribution of \$359,395.52 for FY 13-14. The residual distribution for FY 14-15 was \$2,527,914, an increase of \$2,168,159. The available funds for loan repayment is 50 percent of the increase of distribution which is \$1,084,080.50. There is adequate funding available to repay the loan in the ROPS 15-16B period and retire the obligation.

ROPS 15-16B also relisted the Fiscal Agreement with the East Bay Regional Park District (EBRPD) (see Line 65). The DOF again denied this item in ROPS 13-14B and 15-16A, but indicated it would be eligible for reimbursement after the improvements proposed for the EBRPD's Lone Tree Point property are completed. The DOF denied the item again in ROPS 15-16A. The enforceable obligation is listed on ROPS 15-16B with \$14,500 of RPTTF funds requested.

Environmental Review

The actions set forth in Resolution No. 2015/356 as summarized above, are exempt under Section 15061(b)(3) of the Guidelines for the California Environmental Quality Act (the "CEQA") in that it can be seen with a certainty that the actions will not have a significant adverse impact on the environment. The actions are required to continue a governmental funding mechanism for financial obligations of the former Redevelopment Agency and to perform the statutorily mandated unwinding of the assets, liabilities, and functions of the Dissolved RDA pursuant to the Dissolution Act. A Notice of Exemption will be filed with the County Clerk in accordance with the CEQA guidelines.

CONSEQUENCE OF NEGATIVE ACTION:

Failure to adopt the resolution would require the Board to consider other options for providing and funding staff support for the Successor Agency. Without approving the Recognized Obligation Payment Schedule for the period January through June 2016, the County Auditor-Controller would not be able to allocate funds to the Successor Agency for staffing services and payment of recognized obligations during this six-month period, and the Successor Agency would risk defaulting on enforceable obligations.

ATTACHMENTS

Resolution No. 2015/356

Attachment A- Admin Budget

ROPS 15-16B

THE BOARD OF SUPERVISORS OF CONTRA COSTA COUNTY, CALIFORNIA
and for Special Districts, Agencies and Authorities Governed by the Board

Adopted this Resolution on 09/22/2015 by the following vote:

AYE: ☐

NO: ☐

ABSENT: ☐

ABSTAIN: ☐

RECUSE: ☐



Resolution No. 2015/356

A RESOLUTION OF THE BOARD OF SUPERVISORS OF THE COUNTY OF CONTRA COSTA ACTING AS THE GOVERNING BOARD OF THE SUCCESSOR AGENCY FOR THE CONTRA COSTA COUNTY REDEVELOPMENT, A SEPARATE LEGAL ENTITY, APPROVING THE RECOGNIZED OBLIGATION PAYMENT SCHEDULE FOR THE PERIOD JANUARY THROUGH JUNE 2016 ("ROPS 15-16B") AND THE ADMINISTRATIVE BUDGET FOR THE SECOND HALF OF 2015-16 FISCAL YEAR, AND DIRECTING THE COUNTY ADMINISTRATOR TO TAKE ALL ACTIONS NECESSARY TO EFFECTUATE REQUIREMENTS ASSOCIATED WITH THIS APPROVAL

WHEREAS, pursuant to ABx1 26 as amended by AB 1484 (the "Dissolution Act"), the separate legal entity known as the Successor Agency of the Contra Costa County Redevelopment Agency (the "Successor Agency") must prepare "Recognized Obligation Payment Schedules" ("ROPS") that enumerates the enforceable obligations and expenses of the Successor Agency for each successive six-month fiscal period until the wind down and disposition of assets of the dissolved Contra Costa County Redevelopment Agency (the "Dissolved RDA") has been completed; and

WHEREAS, the Successor Agency staff has prepared a ROPS for the six-month fiscal period commencing on January 1 2016 and continuing through June 30, 2016 ("ROPS 15-16B"); and

WHEREAS, the Successor Agency received a Finding of Completion on July 18, 2013 from the Department of Finance pursuant to Health and Safety Code Section 34179.7 and as result of the Issuance of the Finding of Completion, the Successor Agency is authorized to, among others, place loan agreements between the dissolved RDA and the County on the ROPS 15-16B; and

WHEREAS, the Successor Agency will request that the Oversight Board, as part of the approval of ROPS 15-16B, to make the requisite findings and adopt a repayment schedule for the repayment of the following outstanding obligations: The Housing Asset Fund Obligation under Health and Safety Code Section 34191.4(b)(2)(C) in the approximate amount of \$5,004 and the Montalvin Manor Loan in the principal amount of \$250,277, payable pursuant to Health and Safety Code Section 34191.4(b)(2)(A). 2; and

WHEREAS, the Successor Agency staff has prepared an administrative budget for the fiscal period commencing on January 1, 2016 and continuing through June 30, 2016 ("FY 15-16B Administrative Budget"); and

WHEREAS, the Successor Agency is entitled to an administrative cost allowance (the "Administrative Cost Allowance") pursuant to Health and Safety Code Sections 34171(b) and 34183(a)(3) in the approximate amount of \$314,033 for the 2015-16 fiscal year of which \$250,000 was disbursed in July 2015 and \$64,033 will be disbursed during the ROPS 15-16B period; and

WHEREAS, under the Dissolution Act, ROPS 15-16B and the FY 15-16B Administrative Budget must be approved by the Successor Agency's oversight board (the "Oversight Board") to enable the Successor Agency to continue to make payments on enforceable obligations and to pay for administrative costs of the Successor Agency; and

WHEREAS, the Board of Supervisors, acting as the Governing Board of the Successor Agency, has considered and desires to approve the following documents, copies of which are on file with the Clerk of the Board of Supervisors (acting on behalf of the Successor Agency):

1. The ROPS 15-16B; and
2. The FY 15-16B Administrative Budget; and

WHEREAS, the ROPS 15-16B and the FY 15-16B Administrative Budget will be submitted by the Successor Agency to the Oversight Board for the Oversight Board's approval in accordance with Health and Safety Code Sections 34177 and 34180(g); and WHEREAS, the ROPS 15-16B and the FY 15-16B Administrative Budget will also be submitted by the Successor Agency

to the Contra Costa County Administrative Officer, the Contra Costa County Auditor-Controller, and the State Department of Finance in accordance with Health and Safety Code Section 34179.6; and WHEREAS, approval of the ROPS 15-16B and the FY 15-16B Administrative Budget is exempt from the requirements of the California Environmental Quality Act and the applicable state and local implementing guidelines ("CEQA") pursuant to State CEQA Guidelines Section 15061(b)(3); and WHEREAS, the accompanying staff report provides supporting information upon which the actions set forth in this Resolution are based.

NOW, THEREFORE, BE IT RESOLVED that the Board of Supervisors, acting as the Governing Board of the Successor Agency and in accordance with the Dissolution Act, hereby finds, resolves, and determines that the foregoing recitals are true and correct, and, together with information provided by the Successor Agency staff and the public, form the basis for the approvals, findings, resolutions, and determinations set forth below; and

BE IT FURTHER RESOLVED that the Board of Supervisors finds and determines that its approval of the ROPS 15-16B and the FY 15-16B Administrative Budget is exempt from the requirements of CEQA, and the Successor Agency Executive Director, or the Executive Director's designee, is authorized to file the appropriate notice of exemption with respect to the approval of the ROPS 15-16B and the FY 15-16B Administrative Budget in accordance with CEQA; and

BE IT FURTHER RESOLVED that the Board of Supervisors hereby approves the ROPS 15-16B and the FY 15-16B Administrative Budget, in the respective forms on file with the Clerk of the Board of Supervisors (acting on behalf of the Successor Agency); and

BE IT FURTHER RESOLVED that the Successor Agency is authorized and directed to enter into any agreements and amendments to agreements consistent with the Dissolution Act and necessary to memorialize and implement the agreements and obligations in ROPS 15-16B and the FY 15-16B Administrative Budget as herein approved by the Successor Agency; and

BE IT FURTHER RESOLVED that the Board of Supervisors hereby authorizes and directs the Successor Agency staff, acting on behalf of the Successor Agency, to file, post, mail or otherwise deliver via electronic mail, internet posting, and/or hardcopy, all notices and transmittals necessary or convenient in connection with the approval of the ROPS 15-16B and the FY 15-16B Administrative Budget, and to take any other actions necessary to ensure the validity of the ROPS 15-16B and the validity of any enforceable obligation listed thereon and the validity of the FY 15-16B Administrative Budget and corresponding Administrative Cost Allowance. In addition, the Board of Supervisors authorizes and directs the Successor Agency staff to make such non-substantive revisions to ROPS 15-16B as may be necessary to submit ROPS 15-16B in any modified form required by the DOF, and ROPS 15-16B as so modified shall thereupon constitute ROPS 15-16B as approved by the Board of Supervisors pursuant to this Resolution; and

BE IT FURTHER RESOLVED that nothing in this Resolution shall abrogate, waive, impair or in any other manner affect the right or ability of the County, as a political subdivision of the State of California, or the Successor Agency, as a separate legal entity, to initiate and prosecute any litigation with respect to any agreement or other arrangement of the Dissolved RDA, including, without limitation, any litigation contesting the purported invalidity of such agreement or arrangement pursuant to the Dissolution Act; and

BE IT FURTHER RESOLVED that this Resolution shall take effect at the time and in the manner prescribed in Health and Safety Code Section 34179(h).

I hereby certify that this is a true and correct copy of an action taken and entered on the minutes of the Board of Supervisors on the date shown.

ATTESTED: September 22, 2015

David J. Twa, County Administrator and Clerk of the Board of Supervisors

Contact: Maureen Toms, 674-7878

By: , Deputy

cc:

EXHIBIT A- Administrative Budget

9/9/2015

	<u>line</u>	15-16A	15-16B	Total
Admin/Non Admin Staff Expenses				
Space Rent		\$16,200	\$16,200	\$32,400
Salary/Overhead		56,826	56,807	\$113,633
Various Admin Expenses		\$119,000	\$119,000	\$238,000
TOTAL ADMIN EXPENSES		\$192,026	\$192,007	\$384,033
Revenue				
Administrative Cost Allowance	94	\$250,000	\$64,033	\$314,033
EO (Non Admin + Project Mgmt)		\$35,000	\$35,000	\$70,000
TOTAL ADMIN REVENUES		\$285,000	\$99,033	\$384,033
SURPLUS/(DEFICIT)		\$92,974	-\$92,974	\$0
Project Management Enforceable Obligations				
Transit Village Project Management	89	\$15,000	\$15,000	\$30,000
IH Remediation Project Management	105	\$20,000	\$20,000	\$40,000
		\$35,000	\$35,000	\$70,000

Recognized Obligation Payment Schedule (ROPS 15-16B) - Summary

Filed for the January 1, 2016 through June 30, 2016 Period

Name of Successor Agency:	Contra Costa County
Name of County:	Contra Costa

Current Period Requested Funding for Outstanding Debt or Obligation		Six-Month Total
Enforceable Obligations Funded with Non-Redevelopment Property Tax Trust Fund (RPTTF) Funding		
A Sources (B+C+D):		\$ 2,920,765
B Bond Proceeds Funding (ROPS Detail)		1,432,813
C Reserve Balance Funding (ROPS Detail)		1,487,952
D Other Funding (ROPS Detail)		-
E Enforceable Obligations Funded with RPTTF Funding (F+G):		\$ 5,185,562
F Non-Administrative Costs (ROPS Detail)		5,121,529
G Administrative Costs (ROPS Detail)		64,033
H Total Current Period Enforceable Obligations (A+E):		\$ 8,106,327

Successor Agency Self-Reported Prior Period Adjustment to Current Period RPTTF Requested Funding		
I Enforceable Obligations funded with RPTTF (E):		5,185,562
J Less Prior Period Adjustment (Report of Prior Period Adjustments Column S)		(49,387)
K Adjusted Current Period RPTTF Requested Funding (I-J)		\$ 5,136,175

County Auditor Controller Reported Prior Period Adjustment to Current Period RPTTF Requested Funding		
L Enforceable Obligations funded with RPTTF (E):		5,185,562
M Less Prior Period Adjustment (Report of Prior Period Adjustments Column AA)		-
N Adjusted Current Period RPTTF Requested Funding (L-M)		5,185,562

Certification of Oversight Board Chairman:
Pursuant to Section 34177 (m) of the Health and Safety code, I hereby certify that the above is a true and accurate Recognized Obligation Payment Schedule for the above named agency.

Name	Title
/s/	
Signature	Date

Contra Costa County Recognized Obligation Payment Schedule (ROPS 15-16B) - ROPS Detail
January 1, 2016 through June 30, 2016
(Report Amounts in Whole Dollars)

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P
Item #	Project Name / Debt Obligation	Obligation Type	Contract/Agreement Execution Date	Contract/Agreement Termination Date	Payee	Description/Project Scope	Project Area	Total Outstanding Debt or Obligation	Retired	Funding Source					Six-Month Total
										Non-Redevelopment Property Tax Trust Fund (Non-RPTTF)			RPTTF		
										Bond Proceeds	Reserve Balance	Other Funds	Non-Admin	Admin	
								\$ 211,390,464		\$ 1,432,813	\$ 1,487,952	\$ -	\$ 5,121,529	\$ 64,033	\$ 8,106,327
4	(4) 1:9 Youth Homes Facility	OPA/DDA/Constructi	6/25/2008	12/29/2028	Contra Costa County	Relocation costs	BP	42,300	N		42,300				\$ 42,300
10	(10) 2:3 Placemaking Transit Village	OPA/DDA/Constructi	12/19/2005	7/10/2026	AvalonBay	Placemaking improvements (e.g. parks, etc)	C	530,000	N	528,143					\$ 528,143
14	(14) 2:8 Re-authorized Contract for Capital Imprv	Improvement/Infrastr	4/18/2012	7/10/2026	Contra Costa County	CCC Infrastructure improvements	C	904,670	N	904,670					\$ 904,670
21	(21) 2:18 Re-authorized Contract for Improvements	Improvement/Infrastr	4/18/2012	7/10/2031	Contra Costa County	RO obsolete infrastructure elements	R	-	Y						\$ -
28	(28) 3:29 Property holding costs	Property Maintenance	7/10/1984	6/30/2016	CCC Public Works	Property maintenance	ALL	5,357	N		5,357				\$ 5,357
46	(46) 5:24 Placemaking Transit Village	OPA/DDA/Constructi	12/19/2005	7/10/2026	AvalonBay	Placemaking improvements (ie parks, etc)	C	384,213			384,213				\$ 384,213
54	(54) 7:1 1999 Tax Allocation Bonds	Bonds Issued On or Before 12/31/10	4/20/1999	8/1/2018	US Bank NA	Bonds issue to fund non-housing projects. Put note bal as of 2/1/14	C/BP/NR/R	11,300,640	N				422,237		\$ 422,237
55	(55) 7:2 1999 Tax Allocation Bonds	Bonds Issued On or Before 12/31/10	4/20/1999	8/1/2018	US Bank NA	Bonds issue to fund housing projects. Put note bal as of 2/1/14	C/BP/NR/R	126,823	N				4,197		\$ 4,197
56	(56) 7:3 2003A Tax Allocation Bonds	Bonds Issued On or Before 12/31/10	8/22/2003	8/1/2033	US Bank NA	Bonds issue to fund non-housing projects.	C	10,037,526	N				250,760		\$ 250,760
57	(57) 7:4 2007A/AT/B Tax Allocation Bonds	Bonds Issued On or Before 12/31/10	5/30/2007	8/1/2037	US Bank NA	Bonds issue to fund non-housing projects.	ALL	112,658,497	N				2,608,537		\$ 2,608,537
58	(58) 7:5 2007A/AT/B Tax Allocation Bonds	Bonds Issued On or Before 12/31/10	5/30/2007	8/1/2037	US Bank NA	Bonds issue to fund housing projects.	ALL	23,766,680	N				477,790		\$ 477,790
59	(59) 7:6 Montalvin Manor Project Start Up Loan	City/County Loans On or Before	6/30/2003	7/8/2034	Contra Costa County	Loan for project administration	M	250,277	N				250,277		\$ 250,277
60	(60) 7:7 Bond-License agreement	Professional Services	3/31/2006	3/31/2038	DAC	Document repository for bond issues	ALL	56,700	N		250		2,250		\$ 2,500
61	(61) 7:8 Bond-Treasurer fees	Fees	7/10/1984	8/1/2037	CCC Treasurer	Cash management for bond issues	ALL	12,600	N		588				\$ 588
63	(63) 7:11 Hookston Station Remediation	Litigation	11/5/1997	8/1/2037	Bank Of Amer, Trustee	Remediation of hazardous material	C	1,286,000	N		10,000				\$ 10,000
65	(65) 7:13 Fiscal Agreement	Improvement/Infrastr	5/8/1990	7/10/2031	EBRPD	Project improvement	R	500,000	N				14,500		\$ 14,500
68	(68) 7:16 Trustee fees	Fees	4/20/1999	8/1/2018	US Bank	Annual administration fees 99TAB	C/BP/NR/R	31,837	N		-		2,420		\$ 2,420
69	(69) 7:17 Trustee fees	Fees	8/22/2003	8/1/2033	US Bank	Annual administration fees 03ATAB	C/BP/NR/R	68,028	N		3,025				\$ 3,025
71	(69) 7:19 Trustee fees	Fees	5/30/2007	8/1/2037	US Bank	Annual administration fees 07TAB	ALL	122,205	N		5,890				\$ 5,890
74	(74) 7:22 SERAF	SERAF/ERAF	5/10/2010	7/10/2031	Housing Fund	SERAF fy 2010-11 payment	BP/R	-	Y				-		\$ -
76	(76) 7:24 Financial Assistance	OPA/DDA/Constructi	5/23/1989	5/1/2017	Park Regency	Agency assistance	C	1,650,000	N		275,000		275,000		\$ 550,000
77	(77) 7:25 Financial Assistance	OPA/DDA/Constructi	11/1/1998	11/1/2053	Bridge Housing	Agency assistance	C	1,600,000	N				50,000		\$ 50,000
78	(78) 7:26 Financial Assistance	OPA/DDA/Constructi	12/19/2005	5/1/2064	AvalonBay	Agency assistance.	C	40,136,645	N		663,906		663,906		\$ 1,327,812
82	(82) 8:19 I H Trail/Hookston Sttn Remediatn	Litigation	8/15/2012	12/31/2017	Goldfarb Lipman	Remediation of I H corridor parcels	C	45,067	N		45,067				\$ 45,067
83	(83) 8:20 I H Trail/Hookston Sttn Remediatn	Litigation	8/15/2012	5/1/2064	Contra Costa County	Remediation of I H corridor parcels	C	24,120	N		24,120				\$ 24,120
85	(85) 8:22 Technical Assistance	Professional Services	7/10/1984	7/10/2031	Public Works Department	Technical Assist for non-housing projects	ALL		Y						\$ -
88	(88) 8:25 Transit Village	OPA/DDA/Constructi	8/15/2012	12/31/2017	Goldfarb & Lipman	Transit Village implementation	C	20,009	N		5,536				\$ 5,536
89	(89) 8:26 Transit Village	OPA/DDA/Constructi	8/15/2012	5/1/2019	Contra Costa County	Payroll for employees Project management costs.	C	40,000	N		15,000				\$ 15,000
91	(91) 8:28 Hookston Station Remediation	Litigation	1/23/2012	6/15/2017	Ensafe	Administrator of haz-mat remediation fund.	C	25,258	N				2,600		\$ 2,600
94	(94) 6:0 Administrative Allowance	Admin Costs	7/1/2013	5/1/2064	Contra Costa County	Administrative Allowance ROPS 2014-15A	All	5,250,000	N					64,033	\$ 64,033
104	(104) 10:02 Iron Horse (IH) Corridor Remediation and property management	Remediation	7/1/2013	5/1/2064	Contra Costa County	Direct costs for IH Corridor properties, including maintenance, and remediation.		147,601	N				22,000		\$ 22,000
105	(105) 10:03 IH Corridor Remediation and property management	Remediation	7/1/2013	5/1/2064	Contra Costa County	Management of IH Corridor properties, coordinating maintenance, remediation, and preparation of		20,000	N				20,000		\$ 20,000
108	(108) 10:06 Litigation Costs for Defaulted Loans	Litigation	6/30/2011	7/10/2031	CCC Counsel	Litigation costs to collect on default SA outstanding notes receivables (from Vallero, Keefe).	ALL	4,800	N		4,800				\$ 4,800
109	(109) 10:07 Bond Arbitrage Rebate Reporting Compliance	Fees	7/1/2011	6/30/2017	BLX Group LLC	Arbitrage Rebate Compliance Services	ALL	69,256	N		2,900				\$ 2,900
110	(110) 10:08 Disclosure Statements Reporting Compliance	Fees	4/20/1999	3/1/2038	Jones Hall/Fraser & Associates	Disclosure Statements Compliance Services	ALL	138,300	N				5,000		\$ 5,000
123	(123) Financial Advisor	Professional Services	3/3/2015	6/30/2016	Montague DeRose & Associates	Independent Registered Municipal Advisor	ALL	85,000	Y						\$ -
124	(124) LMIHAF Deposit for loan Repayment (Line 59)	LMIHF Loans	6/30/2003	7/8/2034	CCC Housing Successor	20% deposit to the LMIHF related to loan repayment	ALL	50,055	N				50,055		\$ 50,055

Contra Costa County Recognized Obligation Payment Schedule (ROPS 15-16B) - Report of Cash Balances
(Report Amounts in Whole Dollars)

Pursuant to Health and Safety Code section 34177 (I), Redevelopment Property Tax Trust Fund (RPTTF) may be listed as a source of payment on the ROPS, but only to the extent no other funding source is available or when payment from property tax revenues is required by an enforceable obligation. For tips on how to complete the Report of Cash Balances Form, see [\[INSERT URL LINK TO CASH BALANCE TIPS SHEET \]](#)

A	B	C	D	E	F	G	H	I
	Cash Balance Information by ROPS Period	Fund Sources						Comments
		Bond Proceeds		Reserve Balance		Other	RPTTF	
		Bonds Issued on or before 12/31/10	Bonds Issued on or after 01/01/11	Prior ROPS period balances and DDR RPTTF balances retained	Prior ROPS RPTTF distributed as reserve for future period(s)	Rent, Grants, Interest, Etc.	Non-Admin and Admin	
ROPS 14-15B Actuals (01/01/15 - 06/30/15)								
1	Beginning Available Cash Balance (Actual 01/01/15)	3,961,944		1,114,185	971,122	5	1,105,441	\$1,105,441-Col. H-1: This is calculated as unspent ROPS 13-14A (\$784,148) + unspent ROPS 14-15A (\$321,293);
2	Revenue/Income (Actual 06/30/15) RPTTF amounts should tie to the ROPS 14-15B distribution from the County Auditor-Controller during January 2015	1,067,854				5	4,871,820	\$1,067,854: Col.C- L2: Stranded Amt as DS Prepayment as of 6/30/15 and will be paid by US Bank to bond holders on 8/1/15 +Interest income.
3	Expenditures for ROPS 14-15B Enforceable Obligations (Actual 06/30/15) RPTTF amounts, H3 plus H4 should equal total reported actual expenditures in the Report of PPA, Columns L and Q	52,157		63,618	971,122		4,772,433	PPA Col L + Q = \$4,779,322+ \$43,111 less Retention of \$50,000) = \$4,772,433 (RPTTF Adm + Non Adm)
4	Retention of Available Cash Balance (Actual 06/30/15) RPTTF amount retained should only include the amounts distributed as reserve for future period(s)	3,544,671					50,000	\$50,000 = Retention ROPS 7:25 Included as Actual Expenditure in attached PPA 14-15B as per DOF Instruction.
5	ROPS 14-15B RPTTF Prior Period Adjustment RPTTF amount should tie to the self-reported ROPS 14-15B PPA in the Report of PPA, Column S	No entry required					49,387	\$49,387 Unspent balance, PPA Col. S
6	Ending Actual Available Cash Balance C to G = (1 + 2 - 3 - 4), H = (1 + 2 - 3 - 4 - 5)	\$ 1,432,970	\$ -	\$ 1,050,567	\$ -	\$ 10	\$ 1,105,441	TOTAL COL E6 + F6 + G6 + H6 = \$2,156,018 End balance per Finance GenLed
ROPS 15-16A Estimate (07/01/15 - 12/31/15)								
7	Beginning Available Cash Balance (Actual 07/01/15) (C, D, E, G = 4 + 6, F = H4 + F4 + F6, and H = 5 + 6)	\$ 4,977,641	\$ -	\$ 1,050,567	\$ 50,000	\$ 10	\$ 1,154,828	TOTAL COL E7 + F7 + G7 + H6 = \$2,255,405
8	Revenue/Income (Estimate 12/31/15) RPTTF amounts should tie to the ROPS 15-16A distribution from the County Auditor-Controller during June 2015						5,274,937	\$5,274,937: COL H8 = APPROVED RPTTF FOR ROPS 15-16A = \$5,596,230 less \$321,293 Unspent for ROPS 14-15A.
9	Expenditures for ROPS 15-16A Enforceable Obligations (Estimate 12/31/15)	1,432,813		569,318	50,000		5,596,230	BOND-COL C9: ROPS# 2:8 (904,670) + 2:3 (528,143) = \$1,432,903. COL H9=\$5,596,230 APPROVED RPTTF FOR ROPS 15-16A.
10	Retention of Available Cash Balance (Estimate 12/31/15) RPTTF amount retained should only include the amounts distributed as reserve for future period(s)							
11	Ending Estimated Available Cash Balance (7 + 8 - 9 -10)	\$ 3,544,828	\$ -	\$ 481,249	\$ -	\$ 10	\$ 833,535	\$833,535 = End Estimated Available Cash Balance = \$784,148+49,387

Contra Costa County Recognized Obligation
Reported for the ROPS 14-15B (January 1, 2015 through June 30, 2016)

ROPS 14-15B Successor Agency (SA) Self-reported Prior Period Adjustments (PPA): Pursuant to HSC Section 34186 (a), SAs are required to report the differences between their actual available period. The amount of Redevelopment Property Tax Trust Fund (RPTTF) approved for the ROPS 15-16B (January through June 2016) period will be offset by the SA's self-reported ROPS 14-15B prior period adjustments. SAs are subject to audit by the county auditor-controller (CAC) and the State Controller.

A	B	C	D	E	F	G	H	I	J	K	L	M	N
Item #	Project Name / Debt Obligation	Non-RPTTF Expenditures						RPTTF E					
		Bond Proceeds		Reserve Balance		Other Funds		Non-Admin					
		Authorized	Actual	Authorized	Actual	Authorized	Actual	Authorized	Available RPTTF (ROPS 14-15B distributed + all other available as of 01/1/15)	Net Lesser of Authorized / Available	Actual	Difference (If K is less than L, the difference is zero)	Authorized
		\$ 2,377,042	\$ 52,157	\$ 1,577,662	\$ 1,034,739	\$ -	\$ -	\$ 4,828,709	\$ 4,828,709	\$ 4,828,709	\$ 4,779,322	\$ 49,387	\$ 43,111
2	1:5 Homebuyer	-	-	-	-	-	-	-	-	\$ -	-	\$ -	-
4	1:9 Youth Homes	-	-	55,037	12,766	-	-	-	-	\$ -	-	\$ -	-
7	1:14 Contracts - Relocation/Maintenance	-	-	-	-	-	-	-	-	\$ -	-	\$ -	-
10	2:3 Placemaking Transit Village	565,733	37,590	-	-	-	-	-	-	\$ -	-	\$ -	-
14	2:8 Re-authorized Contract for Capital Imprv	1,075,899	8,946	-	-	-	-	-	-	\$ -	-	\$ -	-
17	2:12 Re-authorized Contract for Improvements	5,510	-	-	-	-	-	-	-	\$ -	-	\$ -	-
21	2:18 Re-authorized Contract for Improvements	729,575	5,296	-	-	-	-	-	-	\$ -	-	\$ -	-
23	2:23 Bond Project Management	-	-	-	-	-	-	-	-	\$ -	-	\$ -	-
24	2:24 Bond Project Management	-	-	-	-	-	-	-	-	\$ -	-	\$ -	-
28	3:29 Property holding costs	325	325	5,357	-	-	-	-	-	\$ -	-	\$ -	-
46	5:24 Placemaking Transit Village	-	-	384,213	-	-	-	-	-	\$ -	-	\$ -	-

Contra Costa County Recognized Obligation
Reported for the ROPS 14-15B (January 1, 2015 through June 30, 2016)

ROPS 14-15B Successor Agency (SA) Self-reported Prior Period Adjustments (PPA): Pursuant to HSC Section 34186 (a), SAs are required to report the differences between their actual available period. The amount of Redevelopment Property Tax Trust Fund (RPTTF) approved for the ROPS 15-16B (January through June 2016) period will be offset by the SA's self-reported ROPS 14-15B prior period adjustments. The amount of ROPS 14-15B prior period adjustments self-reported by SAs are subject to audit by the county auditor-controller (CAC) and the State Controller.

A	B	C	D	E	F	G	H	I	J	K	L	M	N
		Non-RPTTF Expenditures						RPTTF E					
54	7:1 1999 Tax Allocation Bonds	-		-		-		449,538	449,538	\$ 449,538	449,538	\$ -	
55	7:2 1999 Tax Allocation Bonds	-		-		-		14,837	14,837	\$ 14,837	14,837	\$ -	
56	7:3 2003A Tax Allocation Bonds	-		-		-		250,009	250,009	\$ 250,009	249,886	\$ 123	
57	7:4 2007A/AT/B Tax Allocation Bonds	-		-		-		2,617,402	2,617,402	\$ 2,617,402	2,571,506	\$ 45,896	
58	7:5 2007A/AT/B Tax Allocation Bonds	-		-		-		476,233	476,233	\$ 476,233	473,115	\$ 3,118	
59	7:6 Montalvin Manor Project Start Up Loan	-		-		-		-		\$ -		\$ -	
60	7:7 Bond-License agreement	-		500	500	-		2,000	2,000	\$ 2,000	1,750	\$ 250	
61	7:8 Bond-Treasurer fees	-		594	6	-		-		\$ -		\$ -	
63	7:11 Hookston Station Remediation	-		10,000	-	-		-		\$ -		\$ -	
65	7:13 Fiscal Agreement	-		-		-		-		\$ -		\$ -	
68	7:16 Trustee fees	-		45	-	-		-		\$ -		\$ -	
69	7:17 Trustee fees	-		2,795	-	-		-		\$ -		\$ -	
71	7:19 Trustee fees	-		5,890	-	-		-		\$ -		\$ -	
74	7:22 SERAF	-		-		-		-		\$ -		\$ -	
76	7:24 Financial Assistance	-		275,000	275,000	-		275,000	275,000	\$ 275,000	275,000	\$ -	
77	7:25 Financial Assistance	-		-		-		50,000	50,000	\$ 50,000	50,000	\$ -	
78	7:26 Financial Assistance	-		696,122	696,122	-		631,690	631,690	\$ 631,690	631,690	\$ -	
82	8:19 I H Trail/Hookston Sttn Remediatn	-		46,972	1,200	-		-		\$ -		\$ -	
83	8:20 I H Trail/Hookston Sttn Remediatn	-		24,829	4	-		-		\$ -		\$ -	

Contra Costa County Recognized Obliga
Reported for the ROPS 14-15B (January 1, 2015 thro

ROPS 14-15B Successor Agency (SA) Self-reported Prior Period Adjustments (PPA): Pursuant to HSC Section 34186 (a), SAs are required to report the differences between their actual available period. The amount of Redevelopment Property Tax Trust Fund (RPTTF) approved for the ROPS 15-16B (January through June 2016) period will be offset by the SA's self-reported ROPS 14-15B prior self-reported by SAs are subject to audit by the county auditor-controller (CAC) and the State Controller.

A	B	C	D	E	F	G	H	I	J	K	L	M	N
		Non-RPTTF Expenditures						RPTTF E					
85	8:22 Technical Assistance	-		30,000	30,000	-		-		\$ -		\$ -	
87	8:24 Iron Horse Trail properties	-		-		-		5,000	5,000	\$ 5,000	5,000	\$ -	
88	8:25 Transit Village	-		20,000	14,465	-		-		\$ -		\$ -	
89	8:26 Transit Village	-		-		-		15,000	15,000	\$ 15,000	15,000	\$ -	
91	8:28 Hookston Station Remediation	-		8,400	756	-		-		\$ -		\$ -	
92	8:29 Tri City Remediation	-		-		-		-		\$ -		\$ -	
94	6:0 Administrative Allowance	-		-		-		-		\$ -		\$ -	
104	10:02 Iron Horse (IH) Corridor Remediation and property management	-		-		-		22,000	22,000	\$ 22,000	22,000	\$ -	
105	10:03 IH Corridor Remediation and property management	-		-		-		20,000	20,000	\$ 20,000	20,000	\$ -	
108	10:06 Litigation Costs for Defaulted Loans	-		5,000	220	-		-		\$ -		\$ -	
109	10:07 Bond Arbitrage Rebate Reporting Compliance	-		2,900	-	-		-		\$ -		\$ -	
110	10:08 Disclosure Statements Reporting Compliance	-		4,008	3,700	-		-		\$ -		\$ -	
122	10:20 Unfunded (approved) Enforceable Obligations from ROPS 13-14B	-		-		-		-		\$ -		\$ -	

tion Payment Schedule (ROPS 15-16B) - Report of Prior Period Adjustments
 (through June 30, 2015) Period Pursuant to Health and Safety Code (HSC) section 34186 (a)
 (Report Amounts in Whole Dollars)

funding and their actual expenditures for the ROPS 14-15B (January through June 2015) r period adjustment. HSC Section 34186 (a) also specifies that the prior period adjustments

[illegible]

[illegible]

[illegible]

Contra Costa County Recognized Obligation Payment Schedule (ROPS 15-16B) - Notes
January 1, 2016 through June 30, 2016

Item #	Notes/Comments
4	1:9 Youth Homes Facility. All anticipated funding for this item was previously shown on a previously approved Recognized Obligation Payment Schedule (ROPS). No additional funding is requested in connection with this ROPS 15-16B. However, not all of the funds approved on previous approved ROPS were actually spent during the period ending June 30, 2015 due to delays in project approval, contracting or implementation. By this note, it is indicated that some of the funds designated for this item on a previously approved ROPS may actually be carried forward and spent during some or all the months of this ROPS 15-16B period. For total outstanding debt or obligation, amount shown is as per most recent information as of July , 2015. Use of LMIHF balances retained to cover future obligations in accordance with Finance 's LMIHF DDR determination.
10	2:3 Placemaking Transit Village: All anticipated funding for this item was previously shown on a previously approved ROPS. No additional funding is requested in connection with this ROPS 15-16B. However, not all of the funds approved on previous approved ROPS were actually spent during the period ending June 30, 2015, due to delays in project approval, contracting or implementation. By this note, it is indicated that some of the funds designated for this item on a previously approved ROPS may actually be carried forward and spent during some or all the months of this ROPS 15-16B period. For total outstanding debt or obligation, amount shown is as per most recent information as of July 1, 2015.
14	2:8 CCC Infrastructure Improvements: All anticipated funding for this item was previously shown on a previously approved ROPS. No additional funding is requested in connection with this ROPS 15-16B. However, not all of the funds approved on previous approved ROPS were actually spent during the period ending June 30, 2015, due to delays in project approval, contracting or implementation. By this note, it is indicated that some of the funds designated for this item on a previously approved ROPS may actually be carried forward and spent during some or all the months of this ROPS 15-16B period. For total outstanding debt or obligation, amount shown is as per most recent information as of July 1, 2015.
21	2:18 Rodeo Obsolete Infrastructure Elements: RETIRED .
28	3:29 Property Holding Costs. All anticipated funding for this item was previously shown on a previously approved Recognized Obligation Payment Schedule (ROPS). No additional funding is requested in connection with this ROPS 15-16B. However, not all of the funds approved on previous approved ROPS were actually spent during the period ending June 30, 2015, due to delays in project approval, contracting or implementation. By this note, it is indicated that some of the funds designated for this item on a previously approved ROPS may actually be carried forward and spent during some or all the months of this ROPS 15-16B period. For total outstanding debt or obligation, amount shown is as per most recent information as of July 1, 2015. Use of other Funds and Accounts (OFA) balances retained to cover future obligations in accordance with Finance's OFA DDR Determination.
46	5:24 Placemaking Transit Village (AvalonBay). All anticipated funding for this item was previously shown on a previously approved Recognized Obligation Payment Schedule (ROPS). No additional funding is requested in connection with this ROPS 15-16B. However, not all of the funds approved on previous approved ROPS were actually spent during the period ending June 30, 2015, due to delays in project approval, contracting or implementation. By this note, it is indicated that some of the funds designated for this item on a previously approved ROPS may actually be carried forward and spent during some or all the months of this ROPS 15-16B period. For total outstanding debt or obligation, amount shown is as per most recent information as of January 1, 2015. Carryover of a preceding ROPS period RPTTF for use in this ROPS period.
54	7:1 1999 Tax Allocation Bonds: For total outstanding debt or obligation, amount shown is as per Debt Service Schedule for ROPS15-16B. Calculation was 50% Principal plus Interest due to bondholders August 1, 2016.
55	7:2 1999 Tax Allocation Bonds: For total outstanding debt or obligation, amount shown is as per Debt Service Schedule for ROPS15-16B. Calculation is 50% Principal plus Interest due to bondholders on August 1, 2016.
56	7:3 2003A Tax Allocation Bonds: For total outstanding debt or obligation, amount shown is as per Debt Service Schedule for ROPS15-16B. Calculation is 50% Principal plus Interest due to bondholders on August 1, 2016.
57	7:4 2007A/AT/B Tax Allocation Bonds: For total outstanding debt or obligation, amount shown is as per Debt Service Schedule for ROPS15-16B. Calculation is 50% Principal plus Interest due to bondholders on August 1, 2016.

Contra Costa County Recognized Obligation Payment Schedule (ROPS 15-16B) - Notes
January 1, 2016 through June 30, 2016

Item #	Notes/Comments
58	7:5 2007A/AT/B Tax Allocation Bonds: For total outstanding debt or obligation, amount shown is as per Debt Service Schedule for ROPS15-16B. Calculation is 50% Principal plus Interest due to bondholders on August 1, 2016.
59	7:6 Montalvin Manor Project Start Up Loan.
60	7:7 Bond-License agreement: All anticipated funding for this item was previously shown on a previously approved Recognized Obligation Payment Schedule (ROPS). No additional funding is requested in connection with this ROPS 15-16B. However, not all of the funds approved on previous approved ROPS were actually spent during the period ending June 30, 2015, due to delays in project approval, contracting or implementation. By this note, it is indicated that some of the funds designated for this item on a previously approved ROPS may actually be carried forward and spent during some or all the months of this ROPS 15-16B period. For total outstanding debt or obligation, amount shown is as per most recent information as of July 1, 2015.

Contra Costa County Recognized Obligation Payment Schedule (ROPS 15-16B) - Notes
January 1, 2016 through June 30, 2016

Item #	Notes/Comments
61	7:8 Bond-Treasurer fees. All anticipated funding for this item was previously shown on a previously approved Recognized Obligation Payment Schedule (ROPS). No additional funding is requested in connection with this ROPS 15-16B. However, not all of the funds approved on previous approved ROPS were actually spent during the period ending June 30, 2015, due to delays in project approval, contracting or implementation. By this note, it is indicated that some of the funds designated for this item on a previously approved ROPS may actually be carried forward and spent during some or all the months of this ROPS 15-16B period. For total outstanding debt or obligation, amount shown is as per most recent information as of July 1, 2015. Carryover of a preceding ROPS period RPTTF for use in this ROPS period.
63	7:11 Hookston Station Remediation
65	7:13 Fiscal Agreement
68	7:16 Trustee fees:
69	7:17 Trustee fees:
71	7:19 Trustee fees:
74	7:22 SERAF: All anticipated funding for this item was previously shown on a previously approved ROPS. No additional funding is requested in connection with this ROPS 15-16B. However, not all of the funds approved on previous approved ROPS were actually spent during the period ending June 30, 2015, due to delays in project approval, contracting or implementation. By this note, it is indicated that some of the funds designated for this item on a previously approved ROPS may actually be carried forward and spent during some or all the months of this ROPS 15-16B period. For total outstanding debt or obligation, amount shown is as per most recent information as of July 1, 2015.
76	7:24 Financial Assistance. Reserve Balance is carryover of a preceding ROPS period RPTTF for use in this ROPS period. Additional funding under RPTTF requested to pay for additional relocation/remediation costs.
77	7:25 Financial Assistance for BRIDGE Housing: \$50,000 in RPTTF funds are requested. The full \$100,000 annual payment is made during the first half of the fiscal year.
78	7:26 Financial Assistance for Avalon Bay. For ROPS 15-16B \$663,906 in RPTTF funds and \$663,906 in reserve funds are requested. The annual payment is paid in the second half of the fiscal year
82	8:19 Iron Horse Trail/Hookston Station Remediation: All anticipated funding for this item was previously shown on a previously approved ROPS. No additional funding is requested in connection with this ROPS 15-16B. However, not all of the funds approved on previous approved ROPS were actually spent during the period ending June 30, 2015, due to delays in project approval, contracting or implementation. By this note, it is indicated that some of the funds designated for this item on a previously approved ROPS may actually be carried forward and spent during some or all the months of this ROPS 15-16B period. For total outstanding debt or obligation, amount shown is as per most recent information as of July 1, 2015. Carryover of a preceding ROPS period RPTTF for use in this ROPS period.
83	8:20 Iron Horse Trail/Hookston Station Remediation: All anticipated funding for this item was previously shown on a previously approved ROPS. Additional funding is requested in connection with this ROPS 15-16B. However, not all of the funds approved on previous approved ROPS were actually spent during the period ending June 30, 2015, due to delays in project approval, contracting or implementation. By this note, it is indicated that some of the funds designated for this item on a previously approved ROPS may actually be carried forward and spent during some or all the months of this ROPS 15-16B period. For total outstanding debt or obligation, amount shown is as per most recent information as of July 1, 2015. Reserve Balance is carryover of a preceding ROPS period RPTTF for use in this ROPS period.
85	8:22 Technical Assistance: Retired.
88	8:25 Transit Village. All anticipated funding for this item was previously shown on a previously approved Recognized Obligation Payment Schedule (ROPS). No additional funding is requested in connection with this ROPS 15-16B. However, not all of the funds approved on previous approved ROPS were actually spent during the period ending June 30, 2015, due to delays in project approval, contracting or implementation. By this note, it is indicated that some of the funds designated for this item on a previously approved ROPS may actually be carried forward and spent during some or all the months of this ROPS 15-16B period. For total outstanding debt or obligation, amount shown is as per most recent information as of July 1, 2015.
89	8:26 Transit Village. Total outstanding debt or obligation the amount shown is as per information as of July 1, 2015.

Contra Costa County Recognized Obligation Payment Schedule (ROPS 15-16B) - Notes
January 1, 2016 through June 30, 2016

Item #	Notes/Comments
91	8:28 Hookston Station Remediation: Additional funding is requested under RPTTF.
94	6:0 Administrative Allowance
104	10:02 Iron Horse Corridor Remediation and Property Management: Funding requested under RPPTF amounting to \$22,000.
105	10:03 IH Corridor Remediation and Property Management: Staff costs for management of property
108	10:06 Litigation Costs for Defaulted Loans: Litigation costs to collect on default Successor Agency outstanding notes receivable (from Valero and Keefe). Collected funds will be used to pay enforceable obligations or distributed to taxing entities. All anticipated funding for this item was previously shown on a previously approved Recognized Obligation Payment Schedule (ROPS). No additional funding is requested in connection with this ROPS 15-16B. However, not all of the funds approved on previous approved ROPS were actually spent during the period ending June 30, 2015, due to delays in project approval, contracting or implementation. By this note, it is indicated that some of the funds designated for this item on a previously approved ROPS may actually be carried forward and spent during some or all the months of this ROPS 15-16B period. For total outstanding debt or obligation, amount shown is as per most recent information as of July 1, 2015.
109	10:07 Bond Arbitrage Rebate Reporting Compliance: Funds required for mandatory obligation to file Bond Arbitrage Rebate Report related to outstanding 1999 Tax Allocation Bond. All anticipated funding for this item was previously shown on a previously approved Recognized Obligation Payment Schedule (ROPS). No additional funding is requested in connection with this ROPS 15-16B. However, not all of the funds approved on previous approved ROPS were actually spent during the period ending June 30, 2015, due to delays in project approval, contracting or implementation. By this note, it is indicated that some of the funds designated for this item on a previously approved ROPS may actually be carried forward and spent during some or all the months of this ROPS 15-16B period. For total outstanding debt or obligation, amount shown is as per most recent information as of July 1, 2015.
110	10:08 Disclosure Statements Reporting Compliance: Funds required for mandatory obligation to file annual Disclosure Statements related to outstanding 1999 Tax Allocation Bond, 2003 Tax Allocation Bond and 2007 Tax Allocation Bond. All anticipated funding for this item was previously shown on a previously approved Recognized Obligation Payment Schedule (ROPS). No additional funding is requested in connection with this ROPS 15-16B. However, not all of the funds approved on previous approved ROPS were actually spent during the period ending June 30, 2015, due to delays in project approval, contracting or implementation. By this note, it is indicated that some of the funds designated for this item on a previously approved ROPS may actually be carried forward and spent during some or all the months of this ROPS 15-16B period. For total outstanding debt or obligation, amount shown is as per most recent information as of July 1, 2015.
123	Financial Advisor: Professional Services of Independent registered Municipal Advisor: Retired. Will be paid out of RPTTF ADM.
124	LMIHAF Deposit for 20% of Montalvin Loan Repayment (Line 59) ; Amount is equal to 20% of Line Item # 59