
MEMORANDUM OF UNDERSTANDING

BETWEEN CONTRA COSTA COUNTY AND DEPUTY SHERIFFS' ASSOCIATION MANAGEMENT UNIT

This Memorandum of Understanding (MOU) is entered into pursuant to the authority contained in Board of Supervisors Resolution 81/1165 and has been jointly prepared by the parties.

The Employee Relations Officer (County Administrator) is the representative of Contra Costa County in employer-employee relations matters as provided in Board of Supervisors Resolution 81/1165, Section 34-8.012.

The parties have met and conferred in good faith regarding wages, hours and other terms and conditions of employment for the employees in units in which the Association is the recognized representative, have freely exchanged information, opinions and proposals and have endeavored to reach agreement on all matters relating to the employment conditions and employer-employee relations covering such employees.

This MOU shall be presented to the Contra Costa County Board of Supervisors as the joint recommendations of the undersigned for salary and benefits for the period commencing July 1, 2008 and ending June 30, 2013. The status quo, based on the previous MOU, will apply for the period of July 1, 2008, through the adoption of this MOU by the Board of Supervisors.

DEFINITIONS

Appointing Authority: Department Head unless otherwise provided by statute or ordinance.

Association: Deputy Sheriffs' Association.

Class: A group of positions sufficiently similar with respect to the duties and responsibilities that similar selection procedures and qualifications may apply and that the same descriptive title may be used to designate each position allocated to the group.

Class Title: The designation given to a class, to each position allocated to the class, and to the employees allocated to the class.

County: Contra Costa County.

Demotion: The change of a permanent employee to another position in a class allocated to a salary range for which the top step is lower than the top step of the class which the employee formerly occupied except as provided for under "Transfer" or as otherwise provided for in this MOU, in the Personnel Management Regulations, or in specific resolutions governing deep classifications.

Director of Human Resources: The person designated by the County Administrator to serve as the Assistant County Administrator-Director of Human Resources.

Eligible: Any person whose name is on an employment or reemployment or layoff list for a given classification.

Employee: A person who is an incumbent of a position or who is on leave of absence in accordance with provisions of this MOU and whose position is held pending his/her return.

Employment List: A list of persons who have been found qualified for employment in a specific class.

Layoff List: A list of persons who have occupied positions allocated to a class in the Merit System and who have been involuntarily separated by layoff or displacement or have voluntarily demoted in lieu of layoff.

Permanent-Intermittent Position: Any position which requires the services of an incumbent for an indefinite period but on an intermittent basis, as needed, paid on an hourly basis.

Permanent Part-Time Position: Any position which will require the services of an incumbent for an indefinite period but on a regularly scheduled less than full time basis.

Permanent Position: Any position which has required, or which will require the services of an incumbent without interruption, for an indefinite period.

Project Employee: An employee who is engaged in a time limited program or service by reason of limited or restricted funding. Such positions are typically funded from outside sources but may be funded from County revenues.

Promotion: The change of a permanent employee to another position in a class allocated to a salary range for which the top step is higher than the top step of the class which the employee formerly occupied, except as provided for under "Transfer" or as otherwise provided for in this MOU, in the Personnel Management Regulations, or in specific resolutions governing deep classes.

Position: The assigned duties and responsibilities calling for the regular full time, part-time or intermittent employment of a person.

Reallocation: The act of reassigning an individual position from one class to another class at the same range of the salary schedule or to a class which is allocated to another range that is within five (5) percent of the top step, except as otherwise provided for in the Personnel Management Regulations, deep class resolutions or other ordinances.

Reclassification: The act of changing the allocation of a position by raising it to a higher class or reducing it to a lower class on the basis of significant changes in the kind, difficulty or responsibility of duties performed in such position.

Reemployment List: A list of persons, who have occupied positions allocated to any class in the merit system and, who have voluntarily separated and are qualified for consideration for reappointment under the Personnel Management Regulations governing reemployment.

Resignation: The voluntary termination of permanent service with the County from a position in the merit system.

Temporary Employment: Any employment in the merit system which will require the services of an incumbent for a limited period of time, paid on an hourly basis, not in an allocated position or in permanent status.

Transfer: The change of an employee who has permanent status in a position to another position in the same class in a different department, or to another position in a class which is allocated to a range on the salary plan that is within five percent (5%) at top step as the class previously occupied by the employee.

SECTION 1 - RECOGNITION

1.1 Association Recognition. The Association is the formally recognized employee organization for the Deputy Sheriffs' Management Unit and such organization has been certified as such pursuant to Chapter 34-12 of Board of Supervisor's Resolution 81/1165 by Board Order dated September 21, 1993. Represented classes in this unit are:

Captain (6XDA)
Sheriff's Chief of Forensic Services (6DDB)

SECTION 2 - ASSOCIATION SECURITY

Lieutenant (6XHA)
Administrative Lieutenant (6XHB)
Deputy Sheriff Forensic Manager (6DGA)

1.2 Association Business. All elected members of the Board of the governing body of the DSA and any general member having agendized business before the Board requiring the member's personal appearance may be allowed to attend said Board meeting during duty hours without any loss of pay or benefit, provided that at least twenty-four (24) hour advance written request is made.

The supervisor of the member shall be empowered to grant release time, if the granting of same would not require added costs (i.e., overtime or replacement by a temporary employee). Operational impact will also be considered.

SECTION 2 - ASSOCIATION SECURITY

2.1 Dues Deduction. Pursuant to Chapter 34-26 of Resolution 81/1165 only a majority representative may have dues deduction and as such the Association has the exclusive privilege of dues deduction for all members in its unit.

2.2 Maintenance of Membership. All employees in units represented by the Association who are currently paying dues to the Association and all employees in such units who hereafter become members of the Association shall as a condition of continued employment pay dues to the Association for the duration of this MOU and each year thereafter so long as the Association continues to represent the position to which the employee is assigned, unless the employee has exercised the option to cease paying dues in accordance with Section 2.3.

2.3 Withdrawal of Membership. By notifying the Auditor-Controller's Department in writing, between August 1 and August 31 of the agreed upon contract terms, any employee may withdraw from Association membership and discontinue paying dues as of the payroll period commencing September 1 of the current contract year, discontinuance of dues payments to then be reflected in the October 10th paycheck of the current contract year. Immediately upon the close of the above mentioned thirty (30) day period the Auditor-Controller shall submit to the Association a list of the employees who have rescinded their authorization for dues deduction.

2.4 Communicating With Employees. The Association shall be allowed to use designated portions of bulletin boards or display areas in public portions of County buildings or in public portions of offices in which there are employees represented by the Association, provided the communications displayed have to do with official organization business such as times and places of meetings and further provided that the Association appropriately posts and removes the information. The department head reserves the right to remove objectionable materials after notification to and discussion with the Association.

Representatives of the Association, not on County time, shall be permitted to place a supply of employee literature at specific locations in County buildings if arranged through the Labor Relations Manager; said representatives may distribute employee organization literature in work areas (except work areas not open to the public) if the nature of the literature and the proposed method of

SECTION 2 - ASSOCIATION SECURITY

distribution are compatible with the work environment and work in progress. Such placement and/or distribution shall not be performed by on duty employees.

The Association shall be allowed access to work locations in which it represents employees for the following purposes:

- a. to post literature on bulletin boards;
- b. to arrange for use of a meeting room;
- c. to leave and/or distribute a supply of literature as indicated above;
- d. to represent an employee on an appeal, and/or to contact an Association officer on a matter within the scope of representation.

In the application of this provision, it is agreed and understood that in each such instance advance arrangements including disclosure of which of the above purposes is the reason for the visit, will be made with the departmental representative in charge of the work area, and the visit will not interfere with County services.

2.5 Use of County Buildings. The Association shall be allowed the use of areas normally used for meeting purposes for meetings of County employees during non-work hours when:

- a. Such space is available and its use by the Association is scheduled twenty-four (24) hours in advance;
- b. there is no additional cost to the County;
- c. it does not interfere with normal County operations;
- d. employees in attendance are not on duty and are not scheduled for duty;
- e. the meetings are on matters within the scope of representation.

The administrative official responsible for the space shall establish and maintain scheduling of such uses. The Association shall maintain proper order at the meeting, and see that the space is left in a clean and orderly condition.

The use of County equipment (other than items normally used in the conduct of business meetings, such as desks, chairs, ashtrays, and blackboards) is prohibited, even though it may be present in the meeting area.

2.6 Advance Notice. The Association shall, except in cases of emergency, have the right to reasonable notice of any ordinance, rule, resolution or regulation directly relating to matters within the scope of representation proposed to be adopted by the Board, or boards and commissions designated by the Board, and to meet with the body considering the matter.

SECTION 3 - NO DISCRIMINATION

The listing of an item on a public agenda, or the mailing of a copy of a proposal at least seventy-two (72) hours before the item will be heard, or the delivery of a copy of the proposal at least twenty-four (24) hours before the item will be heard, shall constitute notice.

In cases of emergency when the Board, or boards and commissions designated by the Board determines it must act immediately without such notice or meeting, it shall give notice and opportunity to meet as soon as practical after its action.

2.7 Assignment of Classes to Bargaining Units. The County shall assign new classes in accordance with the following procedure:

- a. Initial Determination. When a new class title is established, the Labor Relations Manager shall review the composition of existing representation units to determine the appropriateness of including some or all of the employees in the new class in one or more existing representation units, and within a reasonable period of time shall notify all recognized employee organizations of his determination.
- b. Final Determination. This determination is final unless within ten (10) days after notification a recognized employee organization requests in writing to meet and confer thereon.
- c. Meet and Confer and Other Steps. The Labor Relations Manager shall meet and confer with such requesting organizations (and with other recognized employee organizations where appropriate) to seek agreement on this matter within sixty (60) days after the ten-day period in Subsection (b), unless otherwise mutually agreed. Thereafter, the procedures in cases of agreement and disagreement, arbitration referral and expenses, and criteria for determination shall conform to those in Subsections (d) through (l) of Section 34-12.008 of Board of Supervisors' Resolution 81/1165.

SECTION 3 - NO DISCRIMINATION

There shall be no discrimination because of race, creed, color, national origin, sex, sexual orientation or Association activities against any employee or applicant for employment by the County or by anyone employed by the County; and to the extent prohibited by applicable State and Federal law there shall be no discrimination because of age. There shall be no discrimination against any disabled person solely because of such disability unless that disability prevents the person from meeting the minimum standards established for a position or from carrying out the duties of the position safely.

SECTION 4 - OFFICIAL REPRESENTATIVES

4.1 Attendance at Meetings. Employees designated as official representatives of the Association shall be allowed to attend meetings held by County agencies during regular working hours on County time as follows:

SECTION 5 – SALARIES

- a. If their attendance is required by the County at a specific meeting;
- b. if their attendance is sought by a hearing body for presentation of testimony or other reasons;
- c. if their attendance is required for meeting(s) scheduled at reasonable times agreeable to all parties required to address appeals filed pursuant under Section 24 - Management Complaint Procedure of this MOU;
- d. if they are designated as spokesperson or representative of the Association and as such make representations or presentations at meetings or hearings on wages, salaries and working conditions; provided in each case advance arrangements for time away from the employee's work station or assignment are made with the appropriate department head or his designee, and the County agency calling the meeting is responsible for determining that the attendance of the particular employee(s) is required.

4.2 Association Representatives. Official representatives of the Deputy Sheriffs' Management Unit shall be allowed time off on County time for meetings during regular working hours when formally meeting and conferring in good faith or consulting with the Labor Relations Manager or other management representatives on matters within the scope of representation, provided that the number of such representatives shall not exceed two (2) without prior approval of the Labor Relations Manager, and that advance arrangements for the time away from the work station or assignment are made with the appropriate department head or his designee.

4.3 Union Release Time Bank – Limited Pilot Program. The parties agree to continue negotiations to develop this limited pilot program for utilization by both the Management Unit and Rank and File Units of the DSA.

SECTION 5 – SALARIES

5.1 General Wages.

Effective on July 1, 2012, the base rate of pay for all classifications represented by the Deputy Sheriffs' Association, Management Unit, will be reduced by two and eighty-one hundredths percent (2.81%).

Sixth Salary Step: Beginning January 1, 2008, (Sworn) classifications with five (5) steps in the salary range shall be eligible for an additional salary step in the amount of two and one-half percent (2.5%) upon meeting both of the following two conditions: 1) Have a total of sixty (60) months of Contra Costa County service in a sworn classification in the Office of the Sheriff and 2) Have eighteen (18) months at the top step of a salary range in a classification listed in Attachment A – Deputy Sheriff's Management Unit (V#) Class Listing.

5.2 Entrance Salary. New employees shall generally be appointed at the minimum step of the salary range established for the particular class of position to which the appointment is made.

However, the appointing authority may fill a particular position at a step above the minimum of the range.

5.3 Anniversary Dates. Except as may otherwise be provided for in deep class resolutions, anniversary dates will be set as follows:

- a. New Employees. The anniversary date of a new employee is the first day of the calendar month after the calendar month when the employee successfully completes six (6) months service provided however, if an employee began work on the first regularly scheduled workday of the month the anniversary date is the first day of the calendar month when the employee successfully completes six (6) months service.
- b. Promotions. The anniversary date of a promoted employee is determined as for a new employee in Section 5.3.a above.
- c. Demotions. The anniversary of a demoted employee is the first day of the calendar month after the calendar month when the demotion was effective.
- d. Transfers, Reallocations and Reclassifications. The anniversary date of an employee who is transferred to another position or one whose position has been reallocated or reclassified to a class allocated to the same salary range or to a salary range which is within five percent (5%) of the top step of the previous classification, remains unchanged.
- e. Reemployments. The anniversary of an employee appointed from a reemployment list to the first step of the applicable salary range and not required to serve a probation period is determined in the same way as the anniversary date is determined for a new employee who is appointed the same date, classification and step and who then successfully completes the required probationary period.
- f. Notwithstanding other provisions of this Section 5, the anniversary of an employee who is appointed to a classified position from outside the County's merit system at a rate above the minimum salary for the employee's new class, or who is transferred from another governmental entity to this County's merit system, is one (1) year from the first day of the calendar month after the calendar month when the employee was appointed or transferred; provided however, when the appointment or transfer is effective on the employee's first regularly scheduled work day of that month, his/her anniversary is one (1) year after the first calendar day of that month.

5.4 Increments Within Range. The performance of each employee, except those of employees already at the maximum salary step of the appropriate salary range, shall be reviewed on the anniversary date as set forth in Section 5.3 to determine whether the salary of the employee shall be advanced to the next higher step in the salary range. Advancement shall be granted on the affirmative recommendation of the appointing authority, based on satisfactory performance by the employee. The appointing authority may recommend denial of the increment or denial subject to one additional review at some specified date before the next anniversary which must be set at the time the original report is returned.

Except as herein provided, increments within range shall not be granted more frequently than once a year, nor shall more than one (1) step within-range increment be granted at one time, except as otherwise provided in deep-class resolutions. In case an appointing authority recommends denial of the within range increment on some particular anniversary date, but recommends a special salary review at some date before the next anniversary the special salary review shall not affect the regular salary review on the next anniversary date. Nothing herein shall be construed to make the granting of increments mandatory on the County. If the department verifies in writing that an administrative or clerical error was made in failing to submit the documents needed to advance an employee to the next salary step on the first of the month when eligible, said advancement shall be made retroactive to the first of the month when eligible.

5.5 Part-Time Compensation. A part-time employee shall be paid a monthly salary in the same ratio to the full-time monthly rate to which the employee would be entitled as a full-time employee under the provisions of this Section 5 as the number of hours per week in the employee's part-time work schedule bears to the number of hours in the full-time work schedule of the department.

5.6 Compensation for Portion of Month. Any employee who works less than any full calendar month, except when on earned vacation or authorized sick leave, shall receive as compensation for services an amount which is in the same ratio to the established monthly rate as the number of days worked is to the actual working days in such employee's normal work schedule for the particular month; but if the employment is intermittent, compensation shall be on an hourly basis.

5.7 Position Reclassification. An employee who is an incumbent of a position which is reclassified to a class which is allocated to the same range of the basic salary schedule as is the class of the position before it was reclassified, shall be paid at the same step of the range as the employee received under the previous classification.

An incumbent of a position which is reclassified to a class which is allocated to a lower range of the basic salary schedule shall continue to receive the same salary as before the reclassification, but if such salary is greater than the maximum of the range of the class to which the position has been reclassified, the salary of the incumbent shall be reduced to the maximum salary for the new classification. The salary of an incumbent of a position which is reclassified to a class which is allocated to a range of the basic salary schedule greater than the range of the class of the position before it was reclassified shall be governed by the provisions of Section 5.9 - Salary on Promotion.

5.8 Salary Reallocation & Salary on Reallocation.

A. In a general salary increase or decrease, an employee in a class which is allocated to a salary range above or below that to which it was previously allocated, when the number of steps remain the same, shall be compensated at the same step in the new salary range the employee was receiving in the range to which the class was previously allocated. If the reallocation is from one salary range with more steps to a range with fewer steps or vice versa, the employee shall be compensated at the step on the new range which is in the same percentage ratio to the top step of the new range as was the

salary received before reallocation to the top step of the old range, but in no case shall any employee be compensated at less than the first step of the range to which the class is allocated.

- B. In the event that a classification is reallocated from a salary range with more steps to a salary range with fewer steps on the salary schedule, apart from the general salary increase or decrease described in 5.8.A above, each incumbent of a position in the reallocated class shall be placed upon the step of the new range which equals the rate of pay received before the reallocation. In the event that the steps in the new range do not contain the same rates as the old range, each incumbent shall be placed at the step of the new range which is next above the salary rate received in the old range, or if the new range does not contain a higher step, at the step which is next lower than the salary received in the old range.
- C. In the event an employee is in a position which is reallocated to a different class which is allocated to a salary range the same as, above or below the salary range of the employee's previous class, the incumbent shall be placed at the step in the new class which equals the rate of pay received before reallocation. In the event that the steps in the range for the new class do not contain the same rates as the range for the old class, the incumbent shall be placed at the step of the new range which is next above the salary rate received in the old range; or if the new range does not contain a higher step, the incumbent shall be placed at the step which is next lower than the salary received in the old range.
- D. In the event of reallocation to a deep class, the provisions of the deep class resolution and incumbent salary allocations, if any, shall supersede Section 5.8.

5.9 Salary on Promotion. Any employee who is appointed to a position of a class allocated to a higher salary range than the class previously occupied, except as provided under Section 5.12 shall receive the salary in the new salary range which is next higher than the rate received before promotion. In the event this increase is less than five percent (5%), the employee's salary shall be adjusted to the step in the new range which is at least five percent (5%) greater than the next higher step; provided however that the next step shall not exceed the maximum salary for the higher class.

In the event of the promotion of a laid off employee from the layoff list to the class from which the employee was laid off, the employee shall be appointed at the step which the employee had formerly attained in the higher class unless such step results in an increase of less than five percent (5%), in which case the salary shall be adjusted to the step in the new range which is five percent (5%) greater than the next higher step, if the new range permits such adjustment.

5.10 Salary on Involuntary Demotion. Any employee who is demoted, except as provided under Section 5.12, shall have his/her salary reduced to the monthly salary step in the range for the class of position to which he has been demoted next lower than the salary received before demotion. In the event this decrease is less than five percent (5%), the employee's salary shall be adjusted to the step in the new range which is five percent (5%) less than the next lower step; provided, however, that the next step shall not be less than the minimum salary for the lower class.

Whenever the demotion is the result of layoff, cancellation of positions or displacement by another employee with greater seniority rights, the salary of the demoted employee shall be that step on the salary range which he/she would have achieved had he/she been continuously in the position to which he/she has been demoted, all within-range increments having been granted.

5.11 Salary on Voluntary Demotion. Whenever any employee voluntarily demotes to a position in a class having a salary schedule lower than that of the class from which he or she demotes, his or her salary shall remain the same if the steps in his or her new (demoted) salary range permit, and if not, the new salary shall be set at the step next below former salary.

5.12 Transfer. An employee who is transferred from one position to another as described under "Transfer" shall be placed at the step in the salary range of the new class which equals the rate of pay received before the transfer. In the event that the steps in the range for the new class do not contain the same rates as the range for the old class, the employee shall be placed at the step of the new range which is next above the salary rate received in the old range; or if the new range does not contain a higher step, the employee shall be placed at the step which is next lower than the salary received in the old range. If the transfer is to a deep class, the provisions of the deep class resolution on salary of transfers, if any, shall apply in lieu of the above provisions.

5.13 Pay for Work in Higher Classification. When an employee in a permanent position in the merit system is required to work in a classification for which the compensation is greater than that to which the employee is regularly assigned, the employee shall receive compensation for such work at the rate of pay established for the higher classification pursuant to Section 5.9 - Salary on Promotion of this MOU, commencing on the eleventh (11th) work day of the assignment, under the following conditions:

- a. The employee is assigned to a program, service, or activity established by the Board of Supervisors which is reflected in an authorized position which has been classified and assigned to the Salary Schedule.
- b. The nature of the departmental assignment is such that the employee in the lower classification becomes fully responsible for the duties of the position of the higher classification.
- c. Employee selected for the assignment will normally be expected to meet the minimum qualifications for the higher classification.
- d. Pay for work in a higher classification shall not be utilized as a substitute for regular promotional procedures provided in this MOU.
- e. The appropriate authorization form has been submitted by the Department Head and approved by the County Administrator.
- f. Higher pay assignments shall not exceed six (6) months except through reauthorization.

- g. If approval is granted for pay for work in a higher classification and the assignment is terminated and later reapproved for the same employee within thirty (30) days no additional waiting period will be required.
- h. Any incentives and special differentials accruing to the employee in his/her permanent position shall continue unless the employee is no longer performing the duties which warrant the differentials.
- i. During the period of work for higher pay in a higher classification, an employee will retain his/her permanent classification, and anniversary and salary review dates will be determined by time in that classification.
- j. Allowable overtime pay, shift differentials and/or work location differentials will be paid on the basis of the rate of pay for the higher class.

5.14 **Payment.** On the tenth (10th) day of each month, the Auditor will draw a warrant upon the Treasurer in favor of each employee for the amount of salary due the employee for the preceding month; provided, however, that each employee (except those paid on an hourly rate) may choose to receive an advance on the employee's monthly salary, in which case the Auditor shall, on the twenty-fifth (25th) day of each month, draw his/her warrant upon the Treasurer in favor of such employee.

The advance shall be in an amount equal to one-third (1/3) or less (at the option of the employee) of the employee's basic salary of the previous month except that it shall not exceed the amount of the previous month's basic salary less all requested or required deductions.

The election to receive an advance shall be made on or before April 30 or October 31 of each year or during the first month of employment by filing on forms prepared by the Auditor-Controller a notice of election to receive salary advance.

Each election shall become effective on the first day of the month following the deadline for filing the notice and shall remain effective until revoked.

In the case of an election made pursuant to this Section 5.14 all required or requested deductions from salary shall be taken from the second installment, which is payable on the tenth (10th) day of the following month.

Direct Deposit Provisions. No later than July 1, 2002, all employees shall voluntarily authorize and make arrangements for the direct deposit of their paychecks via electronic fund transfer into the financial institution of their choice using forms approved by the Auditor-Controller and subject to Labor Code section 213. Employees will have their payroll advice statements mailed to their address on file with the County.

As a condition of continued employment, all employees hired into classifications represented by the DSA on or after July 1, 2002, shall voluntarily authorize and make arrangements for the direct deposit of their paychecks via electronic fund transfer into the financial institution of their choice using forms approved by the Auditor-Controller, subject to Labor Code section 213.

Pursuant to Labor Code section 213, an individual employee having provided consent for direct deposit as outlined above, may choose to opt out of direct deposit at a later date. Individual employees that opt-out of direct deposit will have their pay warrant mailed to their address on file with the County under regular County payroll procedures.

Direct Mailing of Pay Warrant and Pay Warrant Advice. The County shall distribute pay warrants and/or pay warrant advices via United States mail. Pay warrant and pay warrant advices shall be mailed directly to each employee's address on file with the County subject to the following:

- a. If an employee has not received his/her pay warrant or pay warrant advice five (5) calendar days following the mailing of said warrant or advice, and upon request of the employee, the County shall issue a replacement pay warrant or pay warrant advice within twenty-four (24) hours of receiving the employee's request for a replacement.
- b. Payroll errors shall be corrected as follows:
 1. Errors of two hundred dollars (\$200) gross or more will be corrected within five (5) working days.
 2. Errors amounting to less than two hundred dollars (\$200) gross, shall be adjusted the next pay period.
 3. The hardship requirement will no longer apply to payroll corrections.
 4. Request for payroll corrections shall be forwarded by the Department, not by the employee, to the Auditor's Payroll Division.
 5. Payroll adjustments would be by a paper check as opposed to an electronic transfer.
 6. Items 1 through 5 above notwithstanding, the provisions of Section 25 – Pay Warrant Errors of the current DSA MOU remain in force and effect.
- c. There shall be no fee to employees for the processing of pay warrants and/or pay warrant advices, or for the correction of payroll errors.

SECTION 6 – EFFECTIVE July 1, 2008 – June 30, 2012

SECTION 6 - DAYS AND HOURS OF WORK

6.1 Normal Work Week. The normal work week of County employees is forty (40) hours between 12:01 a.m. Monday to 12:00 midnight Sunday, usually five (5) eight-hour days; however, where operational requirements of a department require deviations from the usual pattern of five eight-hour days per work week, an employee's work hours may be scheduled to meet these requirements, but his/her working time shall not exceed an average of forty (40) hours per seven (7) day period throughout an operational cycle, and the department head shall prepare written schedules

in advance to support all deviations, the schedules to encompass the complete operational cycle contemplated.

6.2 Shift Options. Nothing herein shall preclude the Sheriff from assigning members of this unit to the same shift pattern as a majority of employees they supervise.

6.3 Flexible Work Schedule Policy. The parties agree to reopen the work schedule provisions of the Memorandum of Understanding for the purpose of ensuring consistent practices among the departments, and compliance with appropriate regulatory requirements. It is not the intent of the parties that such negotiations take away from or add to the current work schedules provisions, except to ensure that such provisions are consistently applied in the memoranda of understanding. Any changes, except those necessitated by legal requirements, shall be subject to agreement by both parties.

SECTION 6 – EFFECTIVE July 1, 2012

SECTION 6 - DAYS AND HOURS OF WORK

6.1 Definitions

A. Regular Work Schedule: A regular work schedule is eight (8) hours per day, Monday through Friday, inclusive, for a total of forty (40) hours per week.

B. Alternate Work Schedule: An alternate work schedule is any work schedule where an employee is regularly scheduled to work five (5) days per week, but the employee's regularly scheduled two (2) days off are NOT Saturday and Sunday.

C. Flexible Work Schedule: A flexible work schedule is any schedule that is not a regular, alternate, 4/10, 9/80, or Coroner's Bureau "Living in Positions" work schedule and where the employee is not scheduled to work more than 40 hours in the work week or 171 hours in the work period as defined in Subsection H. and I., below.

D. 4/10 Work Schedule: A 4/10 work schedule is four (4) ten hour days in a seven (7) day period, for a total of forty (40) hours per week.

E. 9/80 Work Schedule: A 9/80 work schedule is where an employee works a recurring schedule of thirty-six (36) hours in one calendar week and forty-four (44) hours in the next calendar week, but only forty (40) hours in the designated workweek. In the thirty-six (36) hour calendar week, the employee works four (4) nine (9) hour days and has the same day of the week off that is worked for eight (8) hours in the forty-four (44) hour calendar week. In the forty-four (44) hour calendar week, the employee works four (4) nine (9) hour days and one (1) eight (8) hour day.

F. Coroner's Division "Living-In Positions" Work Schedule: The work schedule for employees in the Coroner's Division filling "living-in positions" consists of three (3) twenty-four (24) hour shifts during a nine (9) calendar day period. The general order of the work shifts is as follows: 24-hour on

SECTION 7 - SENIORITY, WORKFORCE REDUCTION, LAYOFF & REASSIGNMENT

duty; 24-hour off duty; 24-hour on duty; 24-hour off duty; 24-hour on duty; followed by four (4) consecutive days off.

G. Workweek for Employees on Regular, Flexible, Alternate, and 4/10 Work Schedules: For employees on regular, alternate, flexible, and 4/10 work schedules, the workweek begins at 12:01 a.m. on Monday and ends at 12 midnight on Sunday.

H. Workweek for Employees on a 9/80 Work Schedule: The 9/80 workweek begins on the same day of the week as the employee's eight (8) hour work day and regularly scheduled 9/80 day off. The start time of the workweek is four (4) hours and one (1) minute after the start time of the eight (8) hour workday. The end time of the workweek is four (4) hours after the eight (8) hour workday start time. The result is a workweek that is a fixed and regularly recurring period of seven (7) consecutive twenty-four (24) hour periods (168 hours).

I. Work Period for Sworn Employees: For sworn employees in classifications listed in Attachment A of the DSA Management Unit (V#), the work period is between seven and 28 consecutive days long.

SECTION 7 - SENIORITY, WORKFORCE REDUCTION, LAYOFF & REASSIGNMENT

7.1 Workforce Reduction. In the event that funding reductions or shortfalls in funding occur in a department or are expected, which may result in layoffs, the department will notify the Association and take the following actions:

- a. Identify the classification(s) in which position reductions may be required due to funding reductions or shortfalls.
- b. Advise employees in those classifications that position reductions may occur in their classifications.
- c. Accept voluntary leaves of absence from employees in those classifications which do not appear to be potentially impacted by possible position reductions when such leaves can be accommodated by the department.
- d. Approve requests for reduction in hours, lateral transfers, and voluntary demotions to vacant, funded positions in classes not scheduled for layoffs within the department, as well as to other departments not experiencing funding reductions or shortfalls when it is a viable operational alternative for the department(s).
- e. Review various alternatives which will help mitigate the impact of the layoff by working through the Tactical Employment Team program (TET) to:
 1. Maintain an employee skills inventory bank to be used as a basis for referrals to other employment opportunities.
 2. Determine if there are other positions to which employees may be transferred.

SECTION 7 - SENIORITY, WORKFORCE REDUCTION, LAYOFF & REASSIGNMENT

3. Refer interested persons to vacancies which occur in other job classes for which they qualify and can use their layoff eligibility.
 4. Establish workshops to aid laid off employees in areas such as resume preparation, alternate career counseling, job search strategy, and interviewing skills.
- f. When it appears to the Department Head and/or Labor Relations Manager that the Board of Supervisors may take action which will result in the layoff of employees in a representation unit, the Labor Relations Manager shall notify the Association of the possibility of such layoffs and shall meet and confer with the Association regarding the implementation of the action.

7.2 Separation Through Layoff

- A. Grounds for Layoff. Any employee(s) having permanent status in position(s) in the merit service may be laid off when the position is no longer necessary, or for reasons of economy, lack of work, lack of funds or for such other reason(s) as the Board of Supervisors deems sufficient for abolishing the position(s).
- B. Order of Layoff. The order of layoff in a department shall be based on inverse seniority in the class of positions, the employee in that department with least seniority being laid off first and so on.
- C. Layoff By Displacement.
1. In the Same Class. A laid off permanent full time employee may displace an employee in the department having less seniority in the same class who occupies a permanent-intermittent or permanent part-time position, the least senior employee being displaced first.
 2. In the Same Level or Lower Class. A laid off or displaced employee who had achieved permanent status in a class at the same or lower salary level as determined by the salary schedule in effect at the time of layoff may displace within the department and in the class of an employee having less seniority; the least senior employee being displaced first, and so on with senior displaced employees displacing junior employees.
- D. Particular Rules on Displacing.
1. Permanent-intermittent and permanent part-time employees may displace only employees holding permanent positions of the same type respectively.
 2. A permanent full time employee may displace any intermittent or part-time employee with less seniority 1) in the same class or, 2) in a class of the same or

SECTION 7 - SENIORITY, WORKFORCE REDUCTION, LAYOFF & REASSIGNMENT

lower salary level if no full time employee in a class at the same or lower salary level has less seniority than the displacing employees.

3. Former permanent full time employees who have voluntarily become permanent part-time employees for the purpose of reducing the impact of a proposed layoff with the written approval of the Director of Human Resources or designee retain their permanent full time employee seniority rights for layoff purposes only and may in a later layoff displace a full time employee with less seniority as provided in these rules.

- E. Seniority. An employee's seniority within a class for layoff and displacement purposes shall be determined by adding the employee's length of service in the particular class in question to the employee's length of service in other classes at the same or higher salary levels as determined by the salary schedule in effect at the time of layoff. Employees reallocated or transferred without examination from one class to another class having a salary within five percent (5%) of the former class shall carry the seniority accrued in the former class into the new class.

Employees reallocated to a new deep class upon its initiation or otherwise reallocated to a deep class because the duties of the position occupied are appropriately described in the deep class shall carry into the deep class the seniority accrued or carried forward in the former class and seniority accrued in other classes which have been included in the deep class.

Service for layoff and displacement purposes includes only the employee's last continuous permanent County employment. Periods of separation may not be bridged to extend such service unless the separation is a result of layoff in which case bridging will be authorized if the employee is reemployed in a permanent position within the period of layoff eligibility.

Approved leaves of absence as provided for in these rules and regulations shall not constitute a period of separation. In the event of ties in seniority rights in the particular class in question, such ties shall be broken by length of last continuous permanent County employment. If there remain ties in seniority rights, such ties shall be broken by counting total time in the department in permanent employment. Any remaining ties shall be broken by random selection among the employees involved.

- F. Eligibility for Layoff List. Whenever any person who has permanent status is laid off, has been displaced, has been demoted by displacement or has voluntarily demoted in lieu of layoff or displacement, or has transferred in lieu of layoff or displacement, the person's name shall be placed on the layoff list for the class of positions from which that person has been removed.

- G. Order of Names on Layoff. First, layoff lists shall contain the names of persons laid off, displaced, or demoted as a result of a layoff or displacement, or who have voluntarily demoted in lieu of layoff or displacement or who have transferred in lieu of layoff or

SECTION 7 - SENIORITY, WORKFORCE REDUCTION, LAYOFF & REASSIGNMENT

displacement. Names shall be listed in order of layoff seniority in the class from which laid off, displaced, demoted or transferred on the date of layoff, the most senior person listed first. In case of ties in seniority, the seniority rules shall apply except that where there is a class seniority tie between persons laid off from different departments, the tie(s) shall be broken by length of last continuous permanent County employment with remaining ties broken by random selection among the employees involved.

- H. Duration of Layoff and Reemployment Rights. The name of any person granted reemployment privileges shall continue on the appropriate list for a period of two (2) years. Persons placed on layoff lists shall continue on the appropriate list for a period of four (4) years.
- I. Certification of Persons From Layoff Lists. Layoff lists contain the name(s) of person(s) laid off, displaced or demoted by displacement or voluntarily demoted in lieu of layoff or who transferred in lieu of layoff or displacement. When a request for personnel is received from the appointing authority of a department from which an eligible(s) was laid off, the appointing authority shall receive and appoint the eligible highest on the layoff list from the department. When a request for personnel is received from a department from which an eligible(s) was not laid off, the appointing authority shall receive and appoint the eligible highest on the layoff list who shall be subject to a probationary period. A person employed from a layoff list shall be appointed at the same step of the salary range the employee held on the day of layoff.
- J. Removal of Names from Reemployment & Layoff Lists. The Director of Human Resources may remove the name of any eligible from a reemployment or layoff list for any reason listed below:
1. For any cause stipulated in Section 404.1 of the Personnel Management Regulations.
 2. On evidence that the eligible cannot be located by postal authorities.
 3. On receipt of a statement from the appointing authority or eligible that the eligible declines certification or indicates no further desire for appointment in the class.
 4. If three (3) offers of permanent appointment to the class for which the eligible list was established have been declined by the eligible.
 5. If the eligible fails to respond to the Director of Human Resources or the appointing authority within ten (10) days to written notice of certification mailed to the person's last known address.
 6. If the person on the reemployment or layoff list is appointed to another position in the same or lower classification, the name of the person shall be removed.

SECTION 7 - SENIORITY, WORKFORCE REDUCTION, LAYOFF & REASSIGNMENT

7. However, if the first permanent appointment of a person on a layoff list is to a lower class which has a top step salary lower than the top step of the class from which the person was laid off, the name of the person shall not be removed from the layoff list. Any subsequent appointment of such person from the layoff list shall result in removal of that person's name.

K. Removal of Names from Reemployment and Layoff Certifications. The Director of Human Resources may remove the name of any eligible from a reemployment or layoff certification if the eligible fails to respond within five (5) days to a written notice of certification mailed to the person's last known address.

7.3 Notice. The County agrees to give employees scheduled for layoff at least ten (10) work days notice prior to their last day of employment.

7.4 Special Employment Lists. The County will establish a TET Employment Pool which will include the names of all laid off County employees. Special employment lists for job classes may be established from the pool. Persons placed on a special employment list must meet the minimum qualifications for the class. An appointment from such a list will not affect the individual's status on a layoff list(s).

7.5 Reassignment of Laid Off Employees. Employees who displaced within the same classification from full time to part-time or intermittent status in a layoff, or who voluntarily reduced their work hours to reduce the impact of layoff, or who accepted a position of another status than that from which they were laid off upon referral from the layoff list, may request reassignment back to their pre-layoff status (full time or part-time or increased hours). The request must be in writing in accord with each department's reassignment bid or selection process. Employees will be advised of the reassignment procedure to be followed to obtain reassignment back to their former status at the time of the workforce reduction. The most senior laid off employee in this status who requests such a reassignment will be selected for the vacancy; except when a more senior laid off individual remains on the layoff list and has not been appointed back to the class from which laid off, a referral from the layoff list will be made to fill the vacancy.

SECTION 7 - SENIORITY, WORKFORCE REDUCTION, LAYOFF & REASSIGNMENT

SECTION 8 – EFFECTIVE July 1, 2008 – June 30, 2012

SECTION 8 - HOLIDAYS

8.1 Holidays Observed. The County will observe the following holidays:

January 1st, known as New Year's Day
Third Monday in January, known as Dr. M. L. King, Jr. Day
Third Monday in February, known as President's Day
The last Monday in May, known as Memorial Day
July 4th, known as Independence Day
First Monday in September, known as Labor Day
November 11th, known as Veterans Day
Fourth Thursday in November, known as Thanksgiving Day
Friday after Thanksgiving Day
December 25th, known as Christmas Day

Such other days as the Board of Supervisors may by resolution designate as holidays.

Any holiday listed above which falls on a Saturday shall be celebrated on the preceding Friday and any holiday listed above which falls on a Sunday shall be celebrated on the following Monday.

If amendments to Government Code 6700 and or 6701 become effective to delete any of the above as holidays or to add new holidays, such amendments shall be effective for employees in this bargaining unit.

8.2 Floating Holidays. All employees shall accrue two (2) hours of personal holiday credit per month. Such personal holiday time may be taken in increments of one-half (1/2) hours. No employee may accrue more than forty (40) hours of personal holiday credit. On separation from County service, the employee shall be paid for any unused personal holiday credits at the employee's then current pay rate.

8.3 Application of Holiday Credit. Employees on the regular forty (40) hour 8:00 a.m. to 5:00 p.m. Monday through Friday work schedule shall be entitled to a holiday whenever a holiday is observed pursuant to the schedule cited above.

8.4 Permanent Part-Time Employees. Permanent part-time employees shall receive holiday credit in the same ratio to the holiday credit given full time employees as the number of hours per week in the part-time employee's schedule bears to the number of hours in the regular full-time schedule, regardless of whether the holiday falls on the part-time employee's regular work day. Permanent part-time and permanent-intermittent employees who work on a holiday shall receive overtime pay or compensatory time credit for all hours worked, up to a maximum of eight (8) hours.

SECTION 8 – EFFECTIVE July 1, 2012

SECTION 8 - HOLIDAYS

8.1 Holidays Observed. The County will observe the following holidays:

- A.** January 1st, known as New Year's Day
 Third Monday in January, known as Dr. M. L. King, Jr. Day
 Third Monday in February, known as President's Day
 The last Monday in May, known as Memorial Day
 July 4th, known as Independence Day
 First Monday in September, known as Labor Day
 November 11th, known as Veterans Day
 Fourth Thursday in November, known as Thanksgiving Day
 Friday after Thanksgiving Day
 December 25th, known as Christmas Day

Such other days as the Board of Supervisors may by resolution designate as holidays.

Any holiday observed by the County that falls on a Saturday is observed on the preceding Friday and any holiday that falls on a Sunday is observed on the following Monday.

For employees who work in twenty-four (24) hour facilities and are assigned to rotating shifts, any holiday that falls on a Saturday will be observed on a Saturday, and any holiday that falls on a Sunday will be observed on a Sunday.

B. Each full-time employee will accrue two (2) hours of personal holiday credit per month. Such personal holiday time may be taken in increments of one-tenth hour (6 minutes), and preference of personal holidays will be given to employees according to their seniority in their department as reasonably as possible. No employee may accrue more than forty (40) hours of personal holiday credit. On separation from County service, an employee will be paid for any unused personal holiday credits at the employee's then current pay rate.

8.2 Holiday is NOT Worked and Holiday Falls on Scheduled Work Day

A. Holidays Observed – Full-time Employees: Full-time employees are entitled to observe a holiday (eight (8) hours off), without a reduction in pay, whenever a holiday is observed by the County.

B. Holiday Observed in Excess of Eight (8) hours: When a holiday falls on a full-time employee's scheduled workday, the employee is entitled to only eight (8) hours off without a reduction in pay. If the workday is a nine (9) hour day, the employee must use one (1) hour of non-sick leave accruals. If the workday is a ten (10) hour day, the employee must use two (2) hours of non-sick leave accruals. If the workday is a twelve (12) hour day, the employee must use four (4) hours of non-sick leave accruals. If the employee does not have any non-sick leave accrual balances, leave without pay (AWOP) will be authorized.

8.3 Holiday is NOT Worked and Holiday Falls on Scheduled Day Off

A. Full-Time Employee: When a holiday is observed by the County on the scheduled day off of a full-time employee, the employee is entitled to take eight (8) hours off, without a reduction in pay, in recognition of the holiday.

1. **Employee Works on his/her Next Scheduled Work Day Following the Holiday:** When a full time employee works on his/her next scheduled work day following the holiday, the employee is entitled to receive his/her regular salary.
2. **Employee does NOT work on his/her Next Scheduled Work Day Following the Holiday:** When a full time employee does NOT work on his/her next scheduled work day following the holiday, the employee is entitled to the day off, without a reduction in pay, in recognition of his/her regularly scheduled day off.
3. The County retains the right to decide whether an employee will work or not work on the next scheduled work day following a holiday.

SECTION 9 - VACATION LEAVE

9.1 Vacation Allowance. Employees in permanent positions are entitled to vacation with pay. Accrual is based upon straight time hours of working time per calendar month of service and begins on the date of appointment to a permanent position. Increased accruals begin on the first of the month following the month in which the employee qualifies. Accrual for portions of a month shall be in minimum amounts of one (1) hour calculated on the same basis as for partial month compensation pursuant to Section 5.5 of this MOU. Vacation credits may be taken in 1/10 hour (6 minute) increments but may not be taken during the first six (6) months of employment (not necessarily synonymous with probationary status) except where sick leave has been exhausted; and none shall be allowed in excess of actual accrual at the time vacation is taken.

9.2 Vacation Accrual Rates. All employees shall accrue vacation credit as follows:

<u>Length of Service</u>	<u>Monthly Accrual Hours</u>	<u>Maximum Cumulative Hours</u>
Under 11 years	10	240
11 years	10-2/3	256
12 years	11-1/3	272
13 years	12	288
14 years	12-2/3	304
15 through 19 years	13-1/3	320
20 through 24 years	16-2/3	400
25 through 29 years	20	480
30 years and up	23-1/3	560

9.3 Accrual During Leave Without Pay. No employee who has been granted a leave without pay shall accrue any vacation credit during the time of such leave, nor shall an employee who is absent without pay accrue vacation credit during the absence. Exception: Employees on unpaid military leave shall accrue vacation credits.

9.4 Vacation Allowance for Separated Employees. On separation from County service, an employee shall be paid for any unused vacation credits at the employee's then current pay rate.

9.5 Pro-rated Accruals. Employees in permanent part-time and permanent-intermittent positions shall accrue vacation benefits on a prorated basis as provided in Resolution 81/1165, Section 32-2.006.

9.6 Vacation Leave on Reemployment From a Layoff List. Employees with six months or more service in a permanent position prior to their layoff, who are employed from a layoff list, shall be considered as having completed six months tenure in a permanent position for the purpose of vacation leave. The appointing authority or designee will advise the Auditor-Controller's Payroll Unit in each case where such vacation is authorized so that appropriate payroll system override actions can be taken.

SECTION 10 - SICK LEAVE

10.1 Purpose. The purpose of paid sick leave is to insure employees against loss of pay for temporary absences from work due to illness or injury. Sick leave may be used only as authorized; it is not paid time off which employees may use for personal activities.

10.2 Accrual. Sick leave credits accrue at the rate of eight (8) working hours credit for each completed month of service. Employees who work a portion of a month are entitled to a pro rata share of the monthly sick leave credit computed on the same basis as is partial month compensation.

Credits to and charges against sick leave are made in minimum amounts of one-tenth (1/10) hour. Unused sick leave credits accumulate from year to year. When an employee is separated, other than through retirement, accumulated sick leave credits shall be canceled, unless the separation results from layoff in which case the accumulated credits shall be restored if the employee is reemployed in a permanent position within the period of his layoff eligibility.

Upon retirement, an employee's accumulated sick leave shall be converted to retirement time on the basis of one (1) day of retirement service credit for each day of accumulated sick leave credit.

Accumulated paid sick leave credits may be used, subject to appointing authority approval, by an employee in pay status, but only in the following instances:

- a. An employee may use paid sick leave credits when the employee is off work because of a temporary illness or injury.
- b. Sick leave may be used by permanently disabled employees until all accruals of the employee have been exhausted or until the employee is retired by the Retirement Board subject to the conditions listed below. For the purposes of this Section 10

permanent disability shall mean the employee suffers from a disabling physical injury or illness and is thereby prevented from engaging in any County occupation for which he or she is qualified by reason of education, training or experience. Sick leave credits may be used under this provision only when the following requirements are met:

1. An application for retirement due to disability has been filed with the Retirement Board; and
2. Satisfactory medical evidence of such disability is received by the appointing authority within thirty (30) days of the start of use of sick leave for permanent disability. The appointing authority may review medical evidence and order further examination as he deems necessary, and may terminate use of sick leave when such further examination demonstrates that the employee is not disabled, or when the appointing authority determines that the medical evidence submitted by the employee is insufficient, or where the above conditions have not been met.

c. Communicable Disease. An employee may use paid sick leave credits while under a physician's orders to remain secluded due to exposure to a communicable disease.

d. Sick Leave Utilization for Pregnancy Disability. Every female employee shall be entitled to at least four (4) months leave of absence on account of pregnancy disability and to use available sick leave or vacation pay entitlements during such leave.

1. Application for such leave must be made by the employee to the appointing authority accompanied by a written statement of disability from the employee's attending physician. The statement must address itself to the employee's general physical condition having considered the nature of the work performed by the employee, and it must indicate the date of the commencement of the disability as well as the date the physician anticipates the disability to terminate. The appointing authority retains the right to medical review of all requests for such leave.
2. If a female employee does not apply for sick leave and the appointing authority believes that the employee is not able to properly perform her work or that her general health is impaired due to disability caused or contributed to by pregnancy, miscarriage, abortion, childbirth or recovery therefrom, the employee shall be required to undergo a physical examination by a physician selected by the County, and the cost of such examination shall be borne by the County. Should the medical report so recommend, a mandatory leave shall be imposed upon the employee for the duration of the disability.
3. If all accrued sick leave has been utilized by the employee the employee shall be considered on leave without pay. Sick leave may not be utilized after the employee has been released from the hospital unless the employee has provided the County with a written statement from her attending physician stating that her disability continues and the projected date of the employee's recovery from such disability.

- e. Medical & Dental Appointments. An employee may use paid sick leave credits for medical and dental appointments as follows:
1. For working time used in keeping medical and dental appointments for the employee's own care; and
 2. For working time (not over forty (40) hours in each fiscal year) used by an employee for pre-scheduled medical and dental appointments for an immediate family member living in the employee's home and for children and parents who may reside outside of the employee's home. Such use of sick leave credits shall be accounted for by the department on a fiscal year basis. Any balance of the forty (40) hours remaining at the end of the fiscal year shall not be carried over to the next year; departments shall notify the employee if the maximum allowance is reached. Authorization to use sick leave for this purpose is contingent on availability of accumulated sick leave credits; it is not an additional allotment of sick leave which employees may charge.
- f. Emergency Care of Family. An employee may use paid sick leave for working time used in cases of illness, or injury to, an immediate family member living in the employee's home, or for children and parents who may reside outside of the employee's home.
- g. Death of Family Member. An employee may use said sick leave credits for absence from work because of a death in the employee's immediate family, but this shall not exceed three (3) working days plus up to two (2) days of work time for necessary travel.
- h. Definition of Immediate Family. For the purposes of this Section 10 the immediate family shall be restricted to the spouse, son, stepson, daughter, stepdaughter, father, stepfather, mother, stepmother, brother, sister, grandparent, grandchild, aunt, uncle, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law or domestic partner of an employee.
- 10.3** Administration of Sick Leave. Accumulated paid sick leave credits may not be used in the following situations:
- a. Self-inflicted Injury. For time off from work for an employee's illness or injury caused by his or her willful misconduct.
 - b. Vacation. For an employee's illness or injury while the employee is on vacation except when extenuating circumstances exist and the appointing authority approves.
 - c. Not in Pay Status. When the employee would otherwise be eligible to use paid sick leave credits but is not in a pay status.

The proper administration of sick leave is a responsibility of the employee and the department head. Employees are responsible for notifying their respective division of an absence as early as possible prior to the commencement of their work shift and in accordance with divisional operational

requirements. Notification shall include the reason and possible duration of the absence. Employees are responsible for keeping their department informed of their continuing condition and probable date of return to work. Employees are responsible for obtaining advance approval from their appointing authority or designee for the schedule time of prearranged personal or family medical and dental appointments.

The use of sick leave may be denied if these procedures are not followed. Abuse of sick leave on the part of the employee is cause for disciplinary action. To ascertain the propriety of claims against sick leave, the department head may make such investigations as he deems necessary including medical verification of illness.

10.4 Disability.

- A. An employee physically or mentally incapacitated for the performance of duty is subject to dismissal, suspension or demotion, subject to the County Employees Retirement Law of 1937. An appointing authority after giving notice may place an employee on leave if the appointing authority has filed an application for disability retirement for the employee, or whom the appointing authority believes to be temporarily or permanently physically or mentally incapacitated for the performance of the employees duties.
- B. An appointing authority who has reasonable cause to believe that there are physical or mental health conditions present in an employee which endanger the health or safety of the employee, other employees, or the public, or which impair the employee's performance of duty, may order the employee to undergo at County expense and on the employee's paid time, a physical, medical and/or psychiatric examination by a licensed physician or psychologist and receive a report of the findings on such examination. If the examining physician or psychologist recommends that treatment for physical or mental health problems, including leave, are in the best interests of the employee or the County in relation to the employee overcoming any disability and/or performing his or her duties the appointing authority may direct the employee to take such leave and/or undergo such treatment.
- C. Leave due to temporary or permanent disability shall be without prejudice to the employee's right to use sick leave, vacation, or any other benefit to which the employee is entitled other than regular salary. The Director of Human Resources may order lost pay restored for good cause and subject to the employee's duty to mitigate damages.
- D. Before an employee returns to work from any absence for illness or injury, other leave of absence or disability leave, exceeding two (2) weeks in duration, the appointing authority may order the employee to undergo at County expense a physical, medical, and/or psychiatric examination by a licensed physician or psychologist, and may consider a report of the findings on such examination. If the report shows that such employee is physically or mentally incapacitated for the performance of duty, the appointing authority may take such action as he deems necessary in accordance with appropriate provisions of this MOU.
- E. Before an employee is placed on an unpaid leave of absence or suspended because of physical or mental incapacity under (A) or (B) above, the employee shall be given

notice of the proposed leave of absence or suspension by letter or memorandum, delivered personally or by certified mail, containing the following:

1. a statement of the leave of absence or suspension proposed;
 2. the proposed dates or duration of the leave or suspension which may be indeterminate until a certain physical or mental health condition has been attained by the employee;
 3. a statement of the basis upon which the action is being taken;
 4. a statement that the employee may review the materials upon which the action is taken;
 5. a statement that the employee has until a specified date (not less than seven (7) work days from personal delivery or mailing of the notice) to respond to the appointing authority orally or in writing.
- F. Pending response to the notice the appointing authority for cause specified in writing may place the employee on a temporary leave of absence, with pay.
- G. The employee to whom the notice has been delivered or mailed shall have seven (7) work days to respond to the appointing authority either orally or in writing before the proposed action may be taken.
- H. After having complied with the notice requirements above, the appointing authority may order the leave of absence or suspension in writing stating specifically the basis upon which the action is being taken, delivering the order to the employee either personally or by certified mail, effective either upon personal delivery or deposit in the U.S. Postal Service.
- I. An employee who is placed on leave or suspended under this section may, within ten (10) calendar days after personal delivery or mailing to the employee of the order, appeal the order in writing through the Director of Human Resources to the Merit Board. Alternatively, the employee may file a written election with the Director of Human Resources waiving the employee's right to appeal to the Merit Board in favor of appeal to a Disability Review Arbitrator.
- J. In the event of an appeal either to the Merit Board or the Disability Review Arbitrator, the employee has the burden of proof to show that either:
1. the physical or mental health condition cited by the appointing authority does not exist, or
 2. the physical or mental health condition does exist, but it is not sufficient to prevent, preclude, or impair the employee's performance of duty, or is not

sufficient to endanger the health or safety of the employee, other employees, or the public.

- K. If the appeal is to the Merit Board, the order and appeal shall be transmitted by the Director of Human Resources to the Merit Board for hearing under the Merit Board's Procedures, Section 1114-1128 inclusive. Medical reports submitted in evidence in such hearings shall remain confidential information and shall not be a part of the public record.
- L. If the appeal is to a Disability Review Arbitrator, the employee (and his representative) will meet with the County's representative to mutually select the Disability Review Arbitrator, who may be a de facto arbitrator, or a physician, or a rehabilitation specialist, or some other recognized specialist mutually selected by the parties. The arbitrator shall hear and review the evidence. The decision of the Disability Review Arbitrator shall be binding on both the County and the employee. The scope of the Arbitrator's review shall be restricted as follows:
 - 1. Scope of the Arbitrator's Review.
 - a. The arbitrator may affirm, modify or revoke the leave of absence or suspension.
 - b. The arbitrator may make his decision based only on evidence submitted by the County and the employee.
 - c. The arbitrator may order back pay or paid sick leave credits for any period of leave of absence or suspension if the leave or suspension is found not to be sustainable, subject to the employee's duty to mitigate damages.
 - d. The arbitrator's fees and expenses shall be paid one-half by the County and one-half by the employee or employee's Association.

10.5 Workers' Compensation.

- A. State Labor Code 4850 Pay. Law enforcement officers as defined in State Labor Code 4850 who are members of the Contra Costa County Retirement System continue to receive full salary benefits in lieu of temporary disability during any absence from work which qualifies for Workers' Compensation benefits.

Currently, the maximum 4850 pay is one (1) year for any injury or illness. To be eligible for this benefit the employee must be under the care of a physician. All 4850 pay shall be approved by the County Administrator's Office, Risk Management Division.
- B. Sick Leave and Vacation. Sick leave and vacation shall accrue in accordance with the provision of State Labor Code 4850.
- C. 4850 Pay Beyond One Year. If an injured employee remains eligible for Workers' Compensation temporary disability benefits beyond one (1) year, full salary will continue

SECTION 11 - LEAVE OF ABSENCE

by integrating sick leave and/or vacation accruals with Workers' Compensation benefits (use of vacation accruals must be approved by the department and the employee). If salary integration is no longer available because accruals are exhausted, Workers' Compensation benefits will be paid directly to the employee as prescribed by Workers' Compensation laws.

- D. Rehabilitation Integration. An injured employee who is eligible for Workers' Compensation rehabilitation temporary disability benefits and who has exhausted 4850 pay eligibility will continue to receive full salary by integrating sick leave and/or vacation accruals with Workers' Compensation rehabilitation temporary disability benefits. When these accruals are exhausted, the rehabilitation temporary disability benefits will be paid directly to the employee as prescribed by Workers' Compensation laws.
- E. Health Insurance. The County contribution to the employee's group insurance plan(s) continues during the 4850 pay period and during integration of sick leave or vacation with Workers' Compensation benefits.
- F. Integration Formula. An employee's sick leave and/or vacation charges shall be calculated as follows: $C = 8 [1 - (W \div S)]$
- C = Sick leave or vacation charge/day (in hrs.)
W = Statutory Workers' Compensation per mo.
S = Monthly salary

10.6 Accrual During Leave Without Pay. No employee who has been granted a leave without pay or an unpaid military leave shall accrue any sick leave credits during the time of such leave nor shall an employee who is absent without pay accrue sick leave credits during the absence.

SECTION 11 - LEAVE OF ABSENCE

11.1 Leave Without Pay. Any employee who has permanent status in the classified service may be granted a leave of absence without pay upon written request, approved by the appointing authority; provided, however, that leaves for pregnancy, pregnancy disability, serious health conditions, and family care shall be granted in accordance with applicable state and federal law.

11.2 General Administration - Leaves of Absence. Requests for leave without pay shall be made upon forms prescribed by the Director of Human Resources and shall state specifically the reason for the request, the date when it is desired to begin the leave and the probable date of return.

- A. Leave without pay may be granted for up to one (1) year for any of the following reasons:
1. Illness, disability, or serious health condition;
 2. pregnancy or pregnancy disability;

3. family care;
4. to take a course of study such as will increase the employee's usefulness on return to the position;
5. for other reasons or circumstances acceptable to the appointing authority.

B. An employee should request a leave of absence at least thirty (30) days before the leave is to begin if the need for the leave is foreseeable. If the need is not foreseeable, the employee must provide written notice to the employer within five (5) days of learning of the event by which the need for a leave of absence arises.

C. An appointing authority may extend such leave for additional periods. The procedure in granting extensions shall be the same as that in granting the original leave, provided that the request for extension must be made not later than thirty (30) calendar days before the expiration of the original leave.

11.3 Family Care or Medical Leave.

A. Definitions. For medical and family care leaves of absence under Section 15, the following definitions apply:

1. Child: A biological, adopted, or foster child, stepchild, legal ward, conservatee, or a child who is under eighteen (18) years of age for whom an employee stands in loco parentis or for whom the employee is the guardian or conservator, or an adult dependent child of the employee.
2. Parent: A biological, foster, or adoptive parent, a stepparent, legal guardian, conservator, or other person standing in loco parentis to a child.
3. Spouse: A partner in marriage as defined in Family Code Section 297.
4. Domestic Partner: As defined in Family Code Section 297.
5. Serious Health Condition: An illness, injury, impairment, or physical or mental condition which involves either inpatient care in a hospital, hospice or residential health care facility or continuing treatment or continuing supervision by a health care provider (e.g. physician or surgeon) and which, for family care leave only, warrants the participation of a family member to provide care during a period of treatment or supervision, as defined by state and federal law.
6. Certification for Family Care Leave: A written communication to the employer from a health care provider of a person for whose care the leave is being taken which need not identify the serious health condition involved, but shall contain:
 - a. the date, if known, on which the serious health condition commenced;

- b. the probable duration of the condition;
 - c. an estimate of the amount of time which the employee needs to render care or supervision;
 - d. a statement that the serious health condition warrants the participation of a family member to provide care during period of treatment or supervision;
 - e. if for intermittent leave or a reduced work schedule leave, the certification should indicate that the intermittent leave or reduced work schedule leave is necessary for the care of the individual or will assist in their recovery, and its expected duration.
- 7. Certification for Medical Leave: A written communication from a health care provider of an employee with a serious health condition or illness to the employer, which need not identify the serious health condition involved, but shall contain:
 - a. the date, if known, on which the serious health condition commenced;
 - b. the probable duration of the condition;
 - c. a statement that the employee is unable to perform the functions of the employee's job;
 - d. if for intermittent leave or a reduced work schedule leave, the certification should indicate the medical necessity for the intermittent leave or reduced work schedule leave and its expected duration.
- 8. Comparable Positions: A position with the same or similar duties and pay which can be performed at the same or similar geographic location as the position held prior to the leave. Ordinarily, the job assignment will be the same duties in the same program area located in the same city, although specific clients, caseload, co-workers, supervisor(s), or other staffing may have changed during an employee's leave.

- B. Section 11.2 notwithstanding, any employee who has permanent status, been employed by the County for at least twelve (12) months and who have worked at least 1250 hours in the previous 12 month period shall upon request to the appointing authority, for a leave of absence due to the employee's serious health condition or for family care (FMLA) shall be granted to an employee who requests it for up to twelve (12) weeks during a rolling twelve (12) month period (measured backward from the date an employee uses any FMLA leave) in accordance with the following provisions:

Example: An employee takes time away from work due to the birth of their child in May. The leave period lasts twelve (12) weeks. In November, they are scheduled for surgery.

SECTION 11 - LEAVE OF ABSENCE

Their leave request in November cannot be counted towards FMLA because they have already utilized their twelve (12) week entitlement during their leave in May.

1. medical leave of absence for the employee's own serious health condition which makes the employee unable to perform the functions of the employee's position; or
2. family care leave of absence without pay for reason of the birth of a child of the employee, the placement of a child with an employee in connection with the adoption or foster care of the child by the employee, or the serious illness or health condition of a child, parent, spouse, or domestic partner of the employee.

The employee may be asked to provide certification of the need for family care leave or medical leave. Additional period(s) of family care or medical leave may be granted by the appointing authority.

Notwithstanding the above, the Sheriff may in his/her discretion, on a case by case basis, waive the 1250 hour requirement.

- C. Intermittent Use of Leave. The twelve (12) week entitlement may be in broken periods, intermittently on a regular or irregular basis, or may include reduced work schedules depending on the specific circumstances and situations surrounding the request for leave. The twelve (12) weeks may include use of appropriate available paid leave accruals when accruals are used to maintain pay status, but use of such accruals is not required beyond that specified in Section 11.6.B below. When paid leave accruals are used for a medical or family care leave, such time shall be counted as a part of the twelve (12) week entitlement.
- D. Use for Spouse. In the situation where husband and wife are both employed by the County, the family care or medical leave entitlement based on the birth, adoption or foster care of a child is twelve (12) weeks each during each calendar year period.

11.4 Pregnancy Disability Leave. Insofar as pregnancy disability leave is used under Section 10.2.D - Sick Leave Utilization for Pregnancy Disability, that time will not be considered a part of the twelve (12) week family care leave period.

11.5 Group Health Plan Coverage.

- A. During Leave of Absence. Employees who were members of one of the group health plans prior to commencement of their leave of absence can maintain their health plan coverage with the County contribution by maintaining their employment in pay status as described in Section 11.6. In order to maintain such coverage, employees are required to pay timely the full employee contribution to maintain their group health plan coverage, either through payroll deduction or by paying the County directly.
- B. During Medical or Family Care Leave. During the twelve (12) weeks of an approved medical or family care leave under Section 11.3 above, the County will continue its

contribution for such health plan coverage even if accruals are not available for use to maintain pay status as required under Section 11.6. In order to maintain such coverage, employees are required to pay timely the full employee contribution to maintain their group health plan coverage, either through payroll deduction or by paying the County directly.

11.6 Leave Without Pay - Use of Accruals.

- A. All Leaves of Absence. During the first twelve (12) month period of any leave of absence without pay, an employee may elect to maintain pay status each month by using at least 0.1 hour of available sick leave (if so entitled under Section 10 - Sick Leave), vacation, floating holiday, compensatory time off or other accruals or entitlements; in other words, during the first twelve (12) months, a leave of absence without pay may be broken into segments and accruals used on a monthly basis at the employee's discretion. After the first twelve (12) months, the leave period may not be broken into segments and accruals may not be used, except when required by SDI/Sick Leave Integration.
- B. Family Care or Medical Leave (FMLA). During the twelve (12) weeks of an approved medical or family care leave, if a portion of that leave will be on a leave of absence without pay, the employee will be required to use at least 0.1 hour of sick leave (if so entitled under Section 10 - Sick Leave), vacation, floating holiday, compensatory time off or other accruals or entitlements if such are available, although use of additional accruals is permitted under subsection A above.
- C. Sick leave accruals may not be used during any leave of absence, except as allowed under Section 10 - Sick Leave.

11.7 Military Leave. Any employee who is required to serve as a member of the State Militia or the United States Army, Navy, Air Force, Marine Corps, Coast Guard or any division thereof shall be granted a military leave for the period of such service, plus ninety (90) days. An employee who volunteers for such service shall be granted a leave of absence if necessary in accordance with applicable state or federal laws. Upon the termination of such service or upon honorable discharge, the employee shall be entitled to return to his/her position in the classified service provided such still exists and the employee is otherwise qualified, without any loss of standing of any kind whatsoever.

An employee who has been granted a military leave shall not, by reason of such absence, suffer any loss of vacation, holiday, or sick leave privileges which may be accrued at the time of such leave, nor shall the employee be prejudiced thereby with reference to salary adjustments or continuation of employment. For purposes of determining eligibility for salary adjustments or seniority in case of layoff or promotional examination, time on military leave shall be considered as time in County service.

Any employee who has been granted a military leave, may upon return, be required to furnish such evidence of performance of military service or of honorable discharge as the Director of Human Resources may deem necessary.

SECTION 12 - JURY DUTY AND WITNESS DUTY

11.8 Return From Leave of Absence.

- A. Early Return. Whenever an employee who has been granted a leave without pay desires to return before the expiration of such leave, the employee shall submit a request to the appointing authority in writing at least fifteen (15) days in advance of the proposed early return provided, however, that less notification may be approved at the discretion of the appointing authority or his/her designee. Early return is subject to prior approval by the appointing authority. The Human Resources Department shall be notified promptly of such return.
- B. Leave of Absence Reinstatement. Any permanent employee who requests reinstatement to the classification held by the employee in the same department at the time the employee was granted a leave of absence, shall be reinstated to a position in that classification and department and then only on the basis of seniority.
- C. Leave of Absence Replacement. In case of severance from service or displacement by reason of the reinstatement of a permanent employee returning from a leave of absence, the provisions of Section 7 - Seniority, Workforce Reduction, Layoff, & Reassignment shall apply.
- D. Reinstatement From Family Care or Medical Leave of Absence. In the case of a family care or medical leave, an employee on a 5/40 schedule shall be reinstated to the same or comparable position if the return to work is after no more than ninety (90) work days of leave from the initial date of a continuous leave, including use of accruals, or within the equivalent on an alternate work schedule. A full time employee taking an intermittent or reduced work schedule leave shall be reinstated to the same or comparable position if the return to work on a full schedule is after no more than 720 hours, including use of accruals, of intermittent or reduced work schedule leave. At the time the original leave is approved, the appointing authority shall notify the employee in writing of the final date to return to work, or the maximum number of hours of leave, in order to guarantee reinstatement to the same or comparable position. An employee on a schedule other than 5/40 shall have the time frame for reinstatement to the same or comparable position adjusted on a pro rata basis.

11.9 Appeal of Denial. The decision of the appointing authority on granting or denying leave or early return from leave shall be subject to appeal to the Director of Human Resources and not subject to appeal through the grievance procedure set forth in this MOU.

11.10 Salary Review While on Leave of Absence. The salary of an employee who is on leave of absence from a County position on any anniversary date and who has not been absent from the position on leave without pay more than six (6) months during the preceding year shall receive salary increments that may accrue to them during the period of military leave.

11.11 Unauthorized Absence. An unauthorized absence from the work site or failure to report for duty after a leave request has been disapproved, revoked, or canceled by the appointing authority, or at the expiration of a leave shall be without pay. Such absence may also be grounds for disciplinary action.

SECTION 12 - JURY DUTY AND WITNESS DUTY

SECTION 12 - JURY DUTY AND WITNESS DUTY

12.1 **Jury Duty.** For purposes of this Section, jury duty shall be defined as any time an employee is obligated to report to the court.

When called for jury duty, County employees, like other citizens, are expected to discharge their jury duty responsibilities.

Employees shall advise their department as soon as possible if scheduled to appear for jury duty.

If summoned for jury duty in a Municipal, Superior, or Federal Court, or a Coroners jury, employees may remain in their regular County pay status, or they may take paid leave (vacation, floating holiday, etc.) or leave without pay and retain all fees and expenses paid to them.

When an employee is summoned for jury duty selection or is selected as a juror in a Municipal, Superior or Federal Court, employees may remain in a regular pay status if they waive all fees (other than mileage), regardless of shift assignment and the following shall apply:

- a. If an employee elects to remain in a regular pay status and waive or surrender all fees (other than mileage), the employee shall obtain from the Clerk or Jury Commissioner a certificate indicating the days attended and noting that fees other than mileage are waived or surrendered. The employee shall furnish the certificate to his department where it will be retained as a department record. No Absence/Overtime Record is required.
- b. An employee who elects to retain all fees must take leave (vacation, floating holiday, etc.) or leave without pay. No court certificate is required but an Absence/Overtime Record must be submitted to the department payroll clerk.

Employees are not permitted to engage in any employment regardless of shift assignment or occupation before or after daily jury service that would affect their ability to properly serve as jurors.

An employee on short notice standby to report to court, whose job duties make short notice response impossible or impractical, shall be given alternate work assignments for those days to enable them to respond to the court on short notice.

When an employee is required to serve on jury duty, the County will adjust that employee's work schedule to coincide with a Monday to Friday schedule for the remainder of their service, unless the employee requests otherwise. Participants in 9/80 or 4/10 work schedules will not receive overtime or compensatory time credit for jury duty on their scheduled days off.

Permanent-intermittent employees are entitled to paid jury duty leave only for those days on which they were previously scheduled to work.

12.2 **Witness Duty.** Employees called upon as a witness or an expert witness in a case arising in the course of their work or the work of another department may remain in their regular pay

SECTION 13 - HEALTH, LIFE & DENTAL CARE

status and turn over to the County all fees and expenses paid to them other than mileage allowance or they may take vacation leave or leave without pay and retain all fees and expenses.

Employees called to serve as witnesses in private cases or personal matters (e.g., accident suits and family relations) shall take vacation leave or leave without pay and retain all witness fees paid to them.

Retention or waiver of fees shall be governed by the same provisions as apply to jury duty as set forth in Section 16.1 above.

Employees shall advise their department as soon as possible if scheduled to appear for witness duty. Permanent intermittent employees are entitled to paid witness duty only for those days on which they were previously scheduled to work.

SECTION 13 - HEALTH, LIFE & DENTAL CARE

13.1 Health Plan. The County will provide group health benefits through the California Public Employees' Retirement System (CalPERS) for all permanent full-time employees, and permanent part-time employees regularly scheduled to work at least twenty (20) hours per week in classes represented by DSA. The CalPERS health care program, as regulated by the Public Employees' Medical and Hospital Care Act (PEMHCA), regulations issued pursuant to PEMHCA, and the administration of PEMHCA by CalPERS, controls on all health plan issues for employees who receive health care coverage from CalPERS, including, but not limited to, eligibility, benefit plans, benefit levels, minimum premium subsidies, and costs.

13.2 Contra Costa Health Plan (CCHP). Because CCHP has met the minimum standards required under PEMHCA and is approved as an alternative CalPERS plan option, DSA members and COBRA counterparts may elect to enroll in CCHP under the CalPERS plan rules and regulations.

13.3 CalPERS Health Plan Monthly Premium Subsidy. The County's subsidy to the CalPERS monthly health plan premiums is as provided below. The employee must pay any CalPERS health plan premium costs that are greater than the County's subsidy identified in Section 13.3.A.

A. County Premium Subsidy On and After January 1, 2012. Beginning on January 1, 2012, , the amount of the County premium subsidy that is paid for employees and eligible family members will be as follows:

1. Health Plans

Employee/Retiree/Survivor Only	\$528.18
Employee/Retiree/Survivor & One Dependent	\$1,056.36
Employee/Retiree/Survivor & Two or more Dependents	\$1,373.27

B. County Premium Subsidy On and After January 1, 2013. Beginning on January 1, 2013, the County will pay the monthly premium subsidy amounts for employees and eligible family members that are stated in section A (1) above. In addition, if there is an increase in the

SECTION 13 - HEALTH, LIFE & DENTAL CARE

Kaiser Bay Area premium for calendar year 2013, the County will pay seventy-five percent (75%) of that increase, and the employees will pay twenty-five percent (25%) of that increase.

- C. In the event, in whole or in part, that the above County premium subsidy amounts are greater than one hundred percent (100%) of the applicable premium of any plan, for any plan year, the County's contribution will not exceed one hundred percent (100%) of the applicable plan premium.

13.4 Dental Plan: The County may, during the term of this MOU, change dental care providers, so long as the level of benefits provided is not reduced.

13.5 Dental Plan Contribution.

The County's contribution to the monthly dental plan premiums shall be as provided below. These contributions are provided only for permanent full-time and permanent part-time employees regularly scheduled to work at least twenty (20) hours per week. Permanent-intermittent, provisional and permanent part-time employees working less than twenty (20) hour per week may enroll in a dental plan but are not entitled to the County's contribution. Any increases in dental plan costs greater than the County 's contributions identified below during the duration of this shall be borne by the employee:

- a. Delta and PMI Delta Care: County will contribute seventy-seven percent (77%) toward the monthly dental premium.
- b. Dental Only: Employees who elect dental coverage as stated above without health coverage will pay one cent (\$.01) per month for such coverage.

13.6 Orthodontia Coverage. The County will continue to offer Orthodontia coverage to all permanent employees in classes represented by the DSA who participate in a County dental plan. The cost for orthodontia coverage is borne 100% by each enrolled employee. Premium payments are made by payroll deduction or direct pay, as applicable.

13.7 Rate Information. The County Benefits Service Unit will make dental plan rate information and, to the extent possible, CalPERS health plan rate information available to employees and departments upon request. In addition, the County Benefits Service Unit will publish and distribute to employees and departments information about rate changes as they occur during the year.

13.8 Life Insurance Benefit Under Health and Dental Plans. For permanent employees who are enrolled in a County sponsored health or dental plan as either the primary insured or a dependent, term life insurance in the amount of ten thousand dollars (\$10,000) will be provided by the County.

13.9 Life Insurance Contribution. The County will pay the entire premium on behalf of permanent full-time and permanent part-time employees regularly scheduled to work at least twenty (20) hours per week who elect health and/or dental coverage. Permanent-intermittent, provisional and permanent part-time employees working less than twenty (20) hours per week may participate in

SECTION 13 - HEALTH, LIFE & DENTAL CARE

the Life Insurance Plan at their full personal expense, which shall not exceed the County's cost, provided they elect health and/or dental coverage.

13.10 Premium Payments. Employee participation in any health, dental, or life insurance plan is contingent upon the employee authorizing payroll deduction by the County of the employee's share of the premium cost. The County's contribution to health plan and dental plan monthly premiums are payable as follows:

A. CalPERS Plan (Includes Alternate CCHP Plan). The County's contribution to the health plan premium is payable one (1) month in advance. If an employee's compensation in any month is not sufficient to pay the employee share of the premium, the employee must make up the difference by remitting the amount delinquent to the Auditor-Controller. The responsibility for this payment rests solely with the employee.

B. Dental and Life Insurance Plans. The County's contribution to the dental and life insurance premium (as described in Sections 13.5 and 13.9) is payable monthly. If an employee's compensation in any month is not sufficient to pay the employee share of the premium, the employee must make up the difference by remitting the amount delinquent to the Auditor-Controller. The responsibility for this payment rests solely with the employee.

13.11 Extended Coverage. An employee on approved leave without pay shall be allowed to continue his/her health/dental/life insurance coverage provided that the employee shall pay their share of the monthly premium by the tenth day of each month, during said leave.

An employee who terminates County employment is covered through the last day of the month in which he/she is paid for County dental plans and through the last day of the month following the month of termination for CalPERS plans. Employees who terminate County employment may continue Group Health/Dental plan coverage to the extent provided under the COBRA regulations.

13.12 Retirement Coverage. Upon retirement, employees may, subject to plan requirements, remain in the same County group medical plan if immediately before their retirement they are currently enrolled in one of the County sponsored CalPERS Health Plans or if on authorized leave of absence without pay, they have retained— continuous coverage during the leave period.

1. Government Code section 22892 applies to all employees who were hired on or before January 1, 2007 and to all employees who are hired on or after October 1, 2011.
2. For employees hired between January 2, 2007 and September 30, 2011 inclusive, Government Code section 22892 does not apply and the following provisions apply instead:
 - a. As soon as practical, the County will modify its agreement with the CalPERS Health Benefit Program to incorporate the provisions of Government Code Section 22893 for employees who are hired on or after January 2, 2007, but before October 1, 2011.

SECTION 13 - HEALTH, LIFE & DENTAL CARE

- b. Government Code Section 22893. Notwithstanding Section 22892, the percentage of employer contribution payable for post retirement health benefits for any employee of a contracting agency subject to this section shall, except as provided in Government Code Section 22893, subdivision (b), be based on the member's completed years of credited county service at retirement as shown in the following table:

Credited Years of Service	Percentage of Employer Contribution
10	50
11	55
12	60
13	65
14	70
15	75
16	80
17	85
18	90
19	95
20 or more	100

The employee's contribution shall be adjusted each year in accordance with Government Code Section 22893.

The County will provide medical premium payments for employees who retire from the County in accordance with Government Code Section 22893.

13.13 Dual Coverage.

A. CalPERS Health Plan. Employees must adhere to the rules as established by CalPERS.

B. On and after January 1, 2012, each employee and retiree may be covered by only a single County health and/or a single County dental plan, including CalPERS plans. For example, a County employee may be covered under a single County health plan as either the primary insured or the dependent of another County employee or retiree, but not as both the primary insured and the dependent of another County employee or retiree.

C. On and after January 1, 2012, each dependent may be covered by the health and/or dental plan of only one spouse or one domestic partner. For example, when both husband and wife are County employees, all of their eligible children may be covered as dependents of either the husband or the wife, but not both.

D. For purposes of this Section 13.13 - Dual Coverage, "County" includes the County of Contra Costa and all Board of Supervisors governed special districts, such as the Contra Costa County Fire Protection District.

SECTION 13 - HEALTH, LIFE & DENTAL CARE

13.14 Employee Assistance Program. Any County contract which provides an employee assistance program applicable to DSA represented employees, including the present contract with Occupational Health Services, shall include the following language:

"Records, including any information whether recorded or not, pertaining to the identity, diagnosis or treatment of any employee or the employee's family dependent(s) which are maintained in connection with the performance of this contract shall be confidential, even as to the employer, and disclosed only under the following circumstances:

- A. When disclosure is authorized with the written and signed consent of the employee or the family dependent(s). Such consent must state:
 - 1. the name of the person or organization to whom disclosure is to be made;
 - 2. the specific type of information to be disclosed;
 - 3. the purpose or need for such disclosure.
- B. When an employee's records are subpoenaed and are not otherwise protected by professional privileged relationships, contractor will notify the employee whose records are subpoenaed immediately by phone, if possible, and in any event in writing as soon as possible. Written communication shall inform the employee of his/her access to DSA for aid if he/she so desires. Contractor will cooperate with employee and/or his legal representative in asserting confidentiality. Subpoenaed records will only be turned over after a court order. The employer bears no responsibility under this paragraph.
- C. Contractor agrees to operate a system of records on individuals in accordance with all State and Federal laws pertaining to the confidentiality of alcohol, drug, and mental health records and the Federal Privacy Act of 1974.
- D. The Association is a third-party beneficiary.

13.15 Health Care Spending Account. The County will continue to offer regular full-time and part-time (20/40 or greater) County employees the option to participate in a Health Care Spending Account (HCSA) Program designed to qualify for tax savings under Section 125 of the Internal Revenue Code, but such savings are not guaranteed. The HCSA Program allows employees to set aside a pre-determined amount of money from their paycheck for health care expenses not reimbursed by any other health benefits plan with before-tax dollars. HCSA dollars can be expended on any eligible medical expenses allowed by Internal Revenue Code Section 125. Any unused balance is forfeited and cannot be recovered by the employee.

13.16 Dependent Care Assistance Program. The County will continue to offer the option of enrolling in a Dependent Care Assistance Program (DCAP) designed to qualify for tax savings under Section 129 of the Internal Revenue Code, but tax savings are not guaranteed. The program allows employees to set aside up to five thousand dollars (\$5,000) of annual salary (before taxes) per calendar year to pay for eligible dependent care (child and elder care) expenses. Any unused balance is forfeited and cannot be recovered by the employee.

13.17 Premium Conversion Plan. The County will continue to offer the option of enrolling in the Premium Conversion Plan (PCP) designed to qualify for tax savings under Section 125 of the Internal Revenue Code, but tax savings are not guaranteed. The program allows employees to use pre-tax dollars to pay health and dental premiums.

13.18 Prevailing Section. To the extent that any provision of this Section (Section 13 – Health, Life and Dental Care) is inconsistent with any provision of any other County enactment or policy, including but not limited to Administrative Bulletins, the Salary Regulations, the Personnel Management Regulations, or any other resolution or order of the Board of Supervisors, the provision(s) of this Section (Section 13 – Health, Life and Dental Care) will prevail.

SECTION 14 - PROBATIONARY PERIOD

14.1 Revised Probationary Period. When the probationary period for a class is changed, only new appointees to positions in the classification shall be subject to the revised probationary period.

14.2 Criteria. The probationary period shall commence from the date of appointment. It shall not include time served in provisional or temporary appointments or any period of continuous absence or temporary modified duty assignment exceeding fifteen (15) calendar days, except as otherwise provided in the Personnel Management Regulations or by law.

For those employees appointed to permanent-intermittent positions with a six (6) months probation period, probation will be considered completed upon serving one thousand (1,000) hours after appointment except that in no instance will this period be less than six (6) calendar months from the beginning of probation. If a permanent-intermittent probationary employee is reassigned to full-time, credit toward probation completion in the full-time position shall be prorated on the basis of one hundred seventy-three (173) hours per month.

14.3 Rejection During Probation. An employee who is rejected during the probation period and restored to the eligible list shall begin a new probationary period if subsequently certified and appointed.

- A. Appeal from rejection. Notwithstanding any other provisions of this section, an employee (Probationer) shall have the right to appeal from any rejection during the probationary period based on political or religious affiliations or opinions, association activities, or race, color, national origin, sex, age, disability or sexual orientation.
- B. The appeal must be written, must be signed by the employee and set forth the grounds and facts by which it is claimed that grounds for appeal exist under subsection (A) and must be filed through the Director of Human Resources to the Merit Board by 5:00 p.m. on the seventh (7th) calendar day after the date of delivery to the employee of notice of rejection.

- C. The Merit Board shall consider the appeal, and if it finds probable cause to believe that the rejection may have been based on grounds prohibited in subsection (A), it may refer the matter to a Hearing Officer for hearing, recommended findings of fact, conclusions of law and decision, pursuant to the relevant provisions of the Merit Board rules in which proceedings the rejected probationer has the burden of proof.
- D. If the Merit Board finds no probable cause for a hearing, it shall deny the appeal. If, after hearing, the Merit Board upholds the appeal, it shall direct that the appellant be reinstated in the position and the appellant shall begin a new probationary period unless the Merit Board specifically reinstates the former period.

14.4 Regular Appointment. The regular appointment of a probationary employee shall begin on the day following the end of the probationary period, subject to the condition that the Director of Human Resources receive from the appointing authority a statement in writing that the services of the employee during the probationary period were satisfactory and that the employee is recommended for permanent appointment. A probationary employee may be rejected at any time during the probation period without regard to the Skelly provisions of this MOU, without notice and without right of appeal or hearing. If the appointing authority has not returned the probation report, or the appointing authority fails to submit in a timely manner the proper written documents certifying that a probationary employee has served in a satisfactory manner and later acknowledges it was his or her intention to do so, the regular appointment shall begin on the day following the end of the probationary period.

Notwithstanding any other provisions of the MOU, an employee rejected during the probationary period from a position in the Merit System to which the employee had been promoted or transferred from an eligible list, shall be restored to a position in the department from which the employee was promoted or transferred.

An employee dismissed for other than disciplinary reasons within six (6) months after being promoted or transferred from a position in the Merit System to a position not included in the Merit System shall be restored to a position in the classification in the department from which the employee was promoted or transferred.

A probationary employee who has been rejected or has resigned during probation shall not be restored to the eligible list from which the employee was certified unless the employee receives the affirmative recommendation from the appointing authority and is certified by the Director of Human Resources whose decision is final. The Director of Human Resources shall not certify the name of a person restored to the eligible list to the same appointing authority by whom the person was rejected from the same eligible list, unless such certification is requested in writing by the appointing authority.

14.5 Layoff During Probation. An employee who is laid off during probation, if reemployed in the same class by the same department, shall be required to complete only the balance of the required probation.

If reemployed in another department or in another classification, the employee shall serve a full probationary period. An employee appointed to a permanent position from a layoff or reemployment list is subject to a probation period if the position is in a department other than the department from which the employee separated, displaced, or voluntarily demoted in lieu of layoff. An appointment

SECTION 15 - GENERAL TERMS & CONDITIONS OF EMPLOYMENT/MANAGEMENT BENEFITS

from a layoff or reemployment list is not subject to a probationary period if the position is in the department from which the employee separated, displaced or voluntarily demoted in lieu of layoff.

SECTION 15 - GENERAL TERMS & CONDITIONS OF EMPLOYMENT/MANAGEMENT BENEFITS

15.1 Incentives

- A. Management 2.5% Longevity Pay Plan. Employees who have completed ten (10) years of service for the County shall receive a 2.5% longevity differential. For purposes of determining ten (10) years of service for this differential, the records utilized for service award purposes will control.
- B. Deferred Compensation Incentive. The County's supplemental contribution to employees who participate in the County's Deferred Compensation Plan will be forty dollars (\$40) per month. To be eligible for this incentive supplement, employees must first contribute a Base Contribution Amount to the deferred compensation plan as follows:

<u>Current Monthly Salary</u>	<u>Qualifying Base Contribution Amt.</u>	<u>Monthly Base Contribution Amt. for Maintaining Program Eligibility</u>
2,500 & below	250	50
2501 - 3334	500	50
3335 - 4167	750	50
4168 - 5000	1000	50
5001 - 5834	1500	100
5835 - 6667	2000	100
6668 & above	2500	100

Employees with a break in deferred compensation contributions because of either an approved medical leave or approved financial hardship withdrawal, shall not be required to reestablish eligibility. Further, employees who lose eligibility due to budgetary constraints but maintain contributions at the required level and later return to an eligible position, shall not be required to reestablish eligibility.

15.2 Differentials.

- A. Longevity Differential for Law Enforcement Management. Upon completion of fifteen (15) years sworn service, employees shall be eligible for a five percent (5%) base salary differential. Upon Completion of twenty (20) years of sworn County service, employees will be eligible for an additional two percent (2%) base salary differential. This provision shall be effective July 1, 2012.
- B. Bilingual Pay Differential. A salary differential of one hundred dollars (\$100.00) per month shall be paid incumbents of positions requiring bilingual proficiency as

SECTION 15 - GENERAL TERMS & CONDITIONS OF EMPLOYMENT/MANAGEMENT BENEFITS

designated by the Appointing Authority and the Director of Human Resources. Said differential shall be paid to eligible employees in paid status for any portion of a given month. Designation of positions for which bilingual proficiency is required is the sole prerogative of the County.

If during the term of this MOU, the County increases the Bilingual Pay for other bargaining units, the County will extend that increase to the DSA bargaining unit members. The increase will be implemented on prospective basis only and will not be subject to retroactivity.

- C. Effective January 1, 2007, any Lieutenant who is directed to work in an on-call status in support of the Officer of the Day Program shall receive fifty four dollars and fifty cents (\$54.50) per day, not to exceed three hundred twenty seven dollars (\$327.00) per week, for the period assigned to work in an on-call status.
- D. Sheriffs' Administrative Differential. Effective February 1, 1991, the Sheriff-Coroner was authorized to enter into agreements with contract cities who have a Lieutenant assigned as the Chief of Police, to pay a monthly administrative differential, reimbursed by the individual city, in an amount equal to one-half the percentage differential between top step Lieutenant and top step Captain. Lieutenants who are eligible to receive this administrative differential shall do so in lieu of any other watch-stander differentials for which they may be eligible.

15.3 Leaves & Pay for Time Not Worked

- a. Annual Management Administrative Leave. On January 1 of each year, all permanent full time sworn management employees shall be credited with seventy-four (74) hours of paid management administrative leave. This time is non-accruable and all balances will be zeroed out effective December 31st of each year. Permanent part-time employees shall have such leave prorated based on their position hours.
- B. Furlough Days Without Pay. Subject to the prior written approval of the appointing authority, employees may elect to take furlough days or hours without pay (pre-authorized absence without pay), up to a maximum of fifteen (15) calendar days for any one period. Longer pre-authorized absences without pay are considered leaves of absence without pay. Employees who take furlough time shall have their compensation for the portion of the month worked computed in accord with Section 5.6 - Compensation for Portion of Month of this MOU. Full time and part-time employees who take furlough time shall have their vacation, sick leave, floating holiday, and any other payroll computed accruals computed as though they had worked the furlough time. When computing vacation, sick leave, floating holiday, and other accrual credits for employees taking furlough time, this provision shall supersede Section 8.1, 9.1, 9.3, and 10.2 of this MOU regarding the computation of vacation, sick leave, floating holiday, and other accrual credits as regards furlough time only. For payroll purposes, furlough time (absence without pay with prior authorization of the appointing authority)

SECTION 15 - GENERAL TERMS & CONDITIONS OF EMPLOYMENT/MANAGEMENT BENEFITS

shall be reported separately from other absences without pay to the Auditor-Controller. The existing VTO program shall be continued for the life of the contract.

15.4 Benefits & Allowances

- A. Personal Protective Equipment. The County shall reimburse employees for safety shoes and prescription safety eyeglasses in those classifications which the County has determined eligible for such reimbursement. The County will reimburse eligible employees for safety shoes in an amount not to exceed sixty dollars (\$60) in any calendar year. The County will reimburse eligible employees for prescription safety eyeglasses which are approved by the County and are obtained from such establishment as required by the County.
- B. 414H Participation. The County will continue provisions of Section 414(h)(2) of the Internal Revenue Code which allows the County Auditor-Controller to reduce the gross monthly pay of employees by an amount equal to the employee's total contribution to the County Retirement System before federal and state income taxes are withheld and forward that amount to the Retirement system. This program of deferred retirement contribution will be universal and non-voluntary as is required by statute.
- C. Management Life Insurance. Management employees shall be provided with a \$40,000 term life insurance policy. Premiums for this insurance shall be paid by the County with conditions of eligibility reviewed annually.
- D. Training. Employees shall be eligible for career development training reimbursement in the maximum amount of six hundred fifty (\$650) per fiscal year. The reimbursement of training expenses shall be consistent with Administrative Bulletins on Travel & Training. Registration and tuition for career development education may be reimbursed for up to fifty percent (50%) of the employee's net cost. Books necessary for courses taken for career development education may be reimbursed for up to one hundred percent (100%) of the employee's net cost.
- E. Management Development Policy. All employees shall be authorized to attend professional training programs, seminars and workshops a minimum of thirty (30) hours annually, during normal work hours, for the purpose of developing knowledge, skills and abilities in the areas of supervision, management and County policies and procedures.

Departments are particularly encouraged to provide generous professional development opportunities (above the thirty (30) hour minimum) for people newly promoted into positions of direct supervision.

Priority for professional training programs shall be afforded those offered through the Contra Costa Training Institute. Other related and appropriate training/education resources approved by the department may also be considered.

SECTION 15 - GENERAL TERMS & CONDITIONS OF EMPLOYMENT/MANAGEMENT BENEFITS

To encourage personal and professional growth which is beneficial to both the County and the employee, the County provides reimbursement for certain expenses incurred by employees for job-related training (required training and career development training/education). Provision for eligibility and reimbursement are identified in Administrative Bulletin 12.7, November 1, 1989.

- F. Long-Term Disability Insurance. The County shall continue in force all provisions of the Long-Term Disability Insurance program with a replacement limit of eighty-five percent (85%) of total monthly base earnings reduced by any deductible benefits. The premium for this Long-Term Disability Insurance program shall be fully paid by the County.

G. **Vacation Buy Back.**

1. **For Employees Hired Before November 1, 2011:**

Employees may choose reimbursement for up to one-third (1/3) of their annual vacation accrual subject to the following conditions:

1. the choice can be made only once in each calendar year;
2. payment shall be based on an hourly rate determined by dividing the employee's monthly salary by 173.33; and
3. If the employee is receiving 2.5% Management Incentive, payment shall be based on an hourly rate determined by dividing the employee's monthly salary, plus the 2.5% Management Incentive, by 173.33.
4. the maximum number of hours that may be reimbursed in any year is one-third (1/3) of the annual accrual.

In those instances where a lump-sum payment has been made to management employees in lieu of a retroactive general salary adjustment for a portion of the calendar year which is subsequent to exercise by a management employee of the vacation buy-back provision herein, that employee's vacation buy back shall be adjusted to reflect the percentage difference in base pay rates upon which the lump-sum payment was computed provided that the period covered by the lump-sum payment was inclusive of the effective date of the vacation buy back.

2. **For Employees Promoted or Hired On and After November 1, 2011:**

Employees promoted or hired by the County into any classification represented by the Deputy Sheriffs' Association, Management Unit, on and after November 1, 2011, are not eligible for the Vacation Buy-Back benefit. However, any employee who was eligible for a Vacation Buy-Back benefit before promoting into a classification represented by the Deputy Sheriffs' Association, Management Unit, will retain that benefit after promoting into a classification represented by the Deputy Sheriffs' Association, Management Unit.

SECTION 15 - GENERAL TERMS & CONDITIONS OF EMPLOYMENT/MANAGEMENT BENEFITS

- H. Professional Development. All employees shall be eligible for reimbursement of up to four hundred dollars (\$400) for each two (2) year period beginning January 1, 2002 for memberships in professional organizations, subscriptions to professional publications, attendance fees at job-related professional development activities and job-related computer hardware and software from a standardized County approved list or with Department Head approval, provided such employee complies with the provisions of the Computer Use and Security Policy adopted by the Board of Supervisors and manuals. Authorization for individual professional development reimbursement requests shall be made by the Department Head. Reimbursement will occur through the regular demand process with demands being accompanied by proof of payment (copy of invoice or canceled check).

For the duration of this agreement, any increase in the professional development pay for the County's unrepresented managers will result in an equivalent dollar amount to the Professional Development pay for this unit.

- I. Sick Leave Incentive Plan. Employees shall be eligible for a payoff of unused sick leave accruals at separation. This program is an incentive for employees to safeguard sick leave accruals as protection against wage loss due to time lost for injury or illness. Payoff shall be approved by the Director of Human Resources and can be awarded only under the following conditions:

1. The employee must have resigned in good standing.
2. Payout is not available if the employee retires.
3. The balance at resignation must be at least seventy percent (70%) of accruals earned in the preceding continuous period of employment.
4. Payout shall be under the following schedule:

<u>Years of Permanent Continuous Service</u>	<u>% of Unused Sick Leave Paid</u>
3 - 5 years	30%
5 - 7 years	40%
7+ years	50%

15.5 Terms & Conditions of Employment.

- A. Overtime Exclusion. In recognition of their management status, employees shall continue to be overtime exempt. The Office of the County Administrator shall have authority to exclude certain management classes from Overtime Exemption. Provisional appointees to management classes and employees receiving higher pay for a higher management classification who were not previously in a management class shall be

SECTION 15 - GENERAL TERMS & CONDITIONS OF EMPLOYMENT/MANAGEMENT BENEFITS

automatically placed on the Overtime Exempt Exclusion List since they are not eligible for management benefits.

- B. Part-Time Employees. Part-time employees who work at least fifty percent (50%) of full time on a continuing basis, shall be provided the management benefits listed in this MOU on a full or pro rata basis.
- C. Permanent-Intermittent Employees. Permanent-Intermittent employees are eligible for vacation and sick leave benefits as defined in this MOU on a pro rata basis.
- D. Length of Service Credits. The length of service credits of each permanent employee shall date from the beginning of the last period of continuous County employment including temporary, provisional and permanent status and absences on approved leave of absence except that when an employee separates from a permanent position in good standing and is subsequently reemployed in a permanent County positions prior to the completion of two (2) years from date of separation, the period of separation will be bridged. Under these circumstances, the service credits shall include all credits accumulated at time of separation but shall not include the period of separation. The service credits of an employee shall be determined from employee status records of the Human Resources Department.

SECTION 15.6 EFFECTIVE July 1, 2008 – June 30, 2012

15.6 Officer of the Day Program.

- A. During the term of the 1998-2001 MOU, the parties agree that the provisions regarding the Officer of the Day Program will be subject to review and revision at regular Labor Management Committee meetings.
- B. The Sheriff may assign Lieutenants on a full-time basis to the Officer of the Day Program, subject to the following:
 - 1. The Assistant Division Commander of the Emergency Services Division shall typically be responsible for providing routine relief for absences of one week or more.
 - a. During periods that one or more of the assigned Lieutenants is not available for duty and the Assistant Emergency Services Division Commander is not available to provide relief, a Sergeant may be temporarily assigned to the Program in the capacity of an acting Lieutenant.
 - 2. The Officer of the Day Program shift schedule and the order of shift rotations shall be established/modified at the Labor Management Committee pursuant to paragraph A. above.

SECTION 15 - GENERAL TERMS & CONDITIONS OF EMPLOYMENT/MANAGEMENT BENEFITS

- a. Once each year, during the annual vacation sign-up, Lieutenants who are assigned to the Officer of the Day Program shall sign-up for their upcoming shift assignments. The annual shift sign-up will become effective on the first Monday in January of the following year.
 - b. Following the annual sign-up, assigned Lieutenants shall rotate shifts on the first Monday of each calendar quarter.
 - c. Lieutenants who are assigned to the Officer of the Day Program mid-year shall assume the shift assignment and rotation schedule of the Lieutenant who is being replaced.
- 3. When making assignments to the Officer of the Day Program, the Sheriff shall consider those Lieutenants who have volunteered for the assignment. Consideration also should be given to avoid the involuntary assignment of a Lieutenant who previously has been assigned to the program.
- 4. Although the Sheriff shall retain the right to determine the length of assignment, Officer of the Day assignments normally will be subject to the same rotation policy(ies) as other Lieutenant assignments.
- C. Effective January 1, 2006, and through the term of the MOU and any extension thereof, Lieutenants who are assigned to the Officer of the Day Program, including the Assistant Emergency Services Division Commander, shall receive Holiday Overtime Pay or Holiday Compensatory Time accruals at the employee's choice. This provision shall be implemented as soon as practicable.
- D. If the Officer of the Day Program is discontinued, the Sheriff's Office may reinstate Section 15.6 – Watchstanding Conditions, of the October 1, 1995 through September 30, 1998 MOU. If the Sheriff's Office or the DSA proposes the development of an alternative program, the Labor/Management Committee will be convened.

SECTION 15.6-15.11 EFFECTIVE July 1, 2012

15.6 Officer of the Day Program.

- a. During the term of the 1998-2001 MOU, the parties agree that the provisions regarding the Officer of the Day Program will be subject to review and revision at regular Labor Management Committee meetings.
- b. The Sheriff may assign Lieutenants on a full-time basis to the Officer of the Day Program, subject to the following:

SECTION 15 - GENERAL TERMS & CONDITIONS OF EMPLOYMENT/MANAGEMENT BENEFITS

1. The Assistant Division Commander of the Emergency Services Division shall typically be responsible for providing routine relief for absences of one week or more.
 - a. During periods that one or more of the assigned Lieutenants is not available for duty and the Assistant Emergency Services Division Commander is not available to provide relief, a Sergeant may be temporarily assigned to the Program in the capacity of an acting Lieutenant.
 2. The Officer of the Day Program shift schedule and the order of shift rotations shall be established/modified at the Labor Management Committee pursuant to paragraph A. above.
 - a. Once each year, during the annual vacation sign-up, Lieutenants who are assigned to the Officer of the Day Program shall sign-up for their upcoming shift assignments. The annual shift sign-up will become effective on the first Monday in January of the following year.
 - b. Following the annual sign-up, assigned Lieutenants shall rotate shifts on the first Monday of each calendar quarter.
 - c. Lieutenants who are assigned to the Officer of the Day Program mid-year shall assume the shift assignment and rotation schedule of the Lieutenant who is being replaced.
 3. When making assignments to the Officer of the Day Program, the Sheriff shall consider those Lieutenants who have volunteered for the assignment. Consideration also should be given to avoid the involuntary assignment of a Lieutenant who previously has been assigned to the program.
 4. Although the Sheriff shall retain the right to determine the length of assignment, Officer of the Day assignments normally will be subject to the same rotation policy(ies) as other Lieutenant assignments.
- c. Lieutenants who are assigned to the Officer of the Day Program, including the Assistant Emergency Services Division Commander, are eligible for holiday pay under the provisions of Section 15.8.

15.7 Holiday is Not Worked by Full-Time Lieutenants who are assigned to the Officer of the Day Program: When a Full Time Lieutenant assigned to the Officer of the Day Program does not work on a holiday, the Lieutenant will be paid in accordance with Section 8.2 or Section 8.3, as applicable.

15.8 Holiday is WORKED by Lieutenants who are Assigned to the Officer of the Day Program and Holiday Falls on Scheduled Work Day:

- A. Full-Time Employee:** When a full-time Lieutenant assigned to the Officer of the Day program works on a holiday that falls on the employee's scheduled work day, the employee is entitled to receive his/her regular salary. The employee is also entitled to receive holiday pay at the rate of one and one half (1.5) times his/her base rate of pay (not including differentials).

15.9 Holiday is WORKED by Lieutenant Assigned to the Officer of the Day Program and Holiday Falls on Scheduled Day Off:

- A. Full-Time Employee:** When a Lieutenant assigned to the Officer of the Day program works on a holiday that falls on the employee's scheduled day off, the employee is entitled to receive his/her regular salary.
- 1. Employee Works on his/her Next Scheduled Work Day Following the Holiday:** When a full time employee works on his/her next scheduled work day following the holiday, the employee is entitled to receive his/her regular salary. The employee is also entitled to receive overtime pay at the rate of one and one half (1.5) times his/her base rate of pay (not including differentials) at the same rate for all hours worked on that day.
 - 2. Employee does NOT work on his/her Next Scheduled Work Day Following the Holiday:** When a full time employee does NOT work on his/her next scheduled work day following the holiday, the employee is entitled to the day off, without a reduction in pay, in recognition of his/her regularly scheduled day off.
 - 3.** The County retains the right to decide whether an employee will work or not work on the next scheduled work day following a holiday.

15.10 Automated Time Keeping:

This agreement may be re-opened at the request of either party for the purpose of meeting and conferring regarding the establishment of an automated time keeping system.

15.11 Provisions for Part-Time Employees and Permanent Intermittent Employees – Reopener:

The parties will reopen the provisions of Section 15 of this Memorandum of Understanding for the limited purpose of meeting and conferring to ensure consistent practices across departments regarding part time employees and permanent intermittent employees.

SECTION 16 - PROMOTION

16.1 Competitive Exam. Promotion shall be by competitive examination unless otherwise provided in this MOU.

16.2 Promotion Policy. The Director of Human Resources, upon request of an appointing authority, shall determine whether an examination is to be called on a promotional basis.

16.3 Open Exam. If an examination for one of the classes represented by the Association is proposed to be announced on an Open only basis the Director of Human Resources shall give five (5) days prior notice of such proposed announcement and shall meet at the request of the Association to discuss the reasons for such open announcement.

16.4 Promotion Via Reclassification Without Exam. Notwithstanding other provisions of this Section, an employee may be promoted from one classification to a higher classification and his position reclassified at the request of the appointing authority and under the following conditions:

- a. An evaluation of the position(s) in question must show that the duties and responsibilities have significantly increased and constitute a higher level of work.
- b. The incumbent of the position must have performed at the higher level for one (1) year.
- c. The incumbent must meet the minimum education and experience requirements for the higher class.
- d. The action must have approval of the Director of Human Resources.
- e. The Association approves such action.

The appropriate rules regarding probationary status and salary on promotion are applicable.

16.5 Requirements for Promotional Standing. In order to qualify for an examination called on a promotional basis, an employee must have probationary or permanent status in the merit system and must possess the minimum qualifications for the class. Applicants will be admitted to promotional examinations only if the requirements are met on or before the final filing date. If an employee who is qualified on a promotional employment list is separated from the merit system, except by layoff, the employee's name shall be removed from the promotional list.

16.6 Seniority Credits. Employees who have qualified to take promotional examinations and who have earned a total score, not including seniority credits, of seventy percent (70%) or more, shall receive, in addition to all other credits, five one-hundredths of one percent (.05%) for each completed month of service as a permanent County employee continuously preceding the final date for filing application for said examination. For purposes of seniority credits, leaves of absence shall be considered as service. Seniority credits shall be included in the final percentage score from which the rank on the promotional list is determined. No employee, however, shall receive more than a total of five percent (5%) credit for seniority in any promotional examination.

16.7 Physical Examination. County employees who are required as part of the promotional examination process to take a physical examination shall do so on County time at County expense.

SECTION 17 - RESIGNATIONS

An employee's voluntary termination of service is a resignation. Written resignations shall be forwarded to the Human Resources Department by the appointing authority immediately on receipt,

and shall indicate the effective date of termination. Oral resignation shall be immediately confirmed by the appointing authority in writing to the employee and to the Human Resources Department and shall indicate the effective date of termination.

17.1 Resignation in Good Standing. A resignation giving the appointing authority written notice at least two (2) weeks in advance of the last date of service (unless the appointing authority requires a longer period of notice, or consents to the employee's terminating on shorter notice) is a resignation in good standing.

17.2 Constructive Resignation. A constructive resignation occurs and is effective when:

- a. An employee has been absent from duty for five (5) consecutive working days without leave, and;
- b. Five (5) more consecutive work days have elapsed without response by the employee after the mailing of a notice of resignation by the appointing authority to the employee at the employee's last known address.

17.3 Effective Resignation. A resignation is effective when delivered or spoken to the appointing authority, operative either on that date or another date specified.

17.4 Revocation. A resignation that is effective is revocable only by written concurrence of the employee and the appointing authority.

17.5 Coerced Resignations.

- A. Time Limit. A resignation which the employee believes has been coerced by the appointing authority may be revoked within seven (7) calendar days after its expression, by serving written notice on the Director of Human Resources and a copy on the appointing authority.
- B. Reinstatement. If the appointing authority acknowledges that the employee could have believed that the resignation was coerced, it shall be revoked and the employee returned to duty effective on the day following the appointing authority's acknowledgment without loss of seniority or pay.
- C. Contest. Unless, within seven (7) days of the receipt of the notice, the appointing authority acknowledges that the resignation could have been believed to be coerced, this question shall be handled as an appeal to the Merit Board.
- D. Disposition. If a final decision is rendered that determines that the resignation was coerced, the resignation shall be deemed revoked and the employee returned to duty effective on the day following the decision but without loss of seniority or pay, subject to the employee's duty to mitigate damages.

SECTION 18 - DISMISSAL, SUSPENSION & DEMOTION

SECTION 18 - DISMISSAL, SUSPENSION & DEMOTION

18.1 Cause for Disciplinary Action. The appointing authority may dismiss, suspend, demote, or reduce within class, any employee for cause. The following are sufficient causes for such action; the list is indicative rather than inclusive of restrictions and dismissal, suspension, reduction or demotion may be based on reasons other than those specifically mentioned:

- a. absence without leave,
- b. conviction of any criminal act involving moral turpitude,
- c. conduct tending to bring the merit system and/or Office of the Sheriff-Coroner into disrepute,
- d. disorderly or immoral conduct,
- e. incompetence or inefficiency,
- f. insubordination,
- g. being at work under the influence of liquor or drugs, carrying onto the premises liquor or drugs or consuming or using liquor or drugs during work hours and/or on County premises,
- h. neglect of duty, (i.e. non-performance of assigned responsibilities),
- i. negligent or willful damage to public property or waste of public supplies or equipment,
- j. violation of any lawful or reasonable regulation or order given by a supervisor or department head,
- k. willful violation of any of the provisions of the merit system ordinance or Personnel Management Regulations,
- l. material and intentional misrepresentation or concealment of any fact in connection with obtaining employment,
- m. misappropriation of County funds or property,
- n. unreasonable failure or refusal to undergo any physical, medical, and/or psychiatric exam and/or treatment authorized by this MOU,
- o. dishonesty or theft,
- p. excessive or unexcused absenteeism and/or tardiness,
- q. sexual harassment, including but not limited to unwelcome sexual advances, requests for sexual favors, and other verbal, or physical conduct of a sexual nature, when such

SECTION 18 - DISMISSAL, SUSPENSION & DEMOTION

conduct has the purpose or effect of affecting employment decisions concerning an individual, or unreasonably interfering with an individual's work performance, or creating an intimidating and hostile working environment.

18.2 Skelly Requirements - Notice of Proposed Action (Skelly Notice). Before taking a disciplinary action to dismiss, suspend, for more than five (5) work days (four (4) work days for employees on "4-10" work week), demote or reduce within class an employee, the appointing authority shall cause to be served personally or by certified mail, on the employee, a Notice of Proposed Action, which shall contain the following:

- a. A statement of the action proposed to be taken.
- b. A copy of the charges, including the acts or omissions and grounds upon which the action is based.
- c. If it is claimed that the employee has violated a rule or regulation of the County, department or district, a copy of said rule shall be included with the notice.
- d. A statement that the employee may review and request copies of materials upon which the proposed action is based.
- e. A statement that the employee has seven (7) calendar days to respond to the appointing authority either orally or in writing.

18.3 Employee Response. The employee upon whom a Notice of Proposed Action has been served shall have seven (7) calendar days to respond to the appointing authority either orally or in writing before the proposed action may be taken. Upon request of the employee and for good cause, the appointing authority may extend in writing the period to respond. If the employee's response is not filed within seven (7) days or during any extension, the right to respond is lost.

18.4 Leave Pending Employee Response. Pending response to a Notice of Proposed Action within the first seven (7) days or extension thereof, the appointing authority for cause specified in writing may place the employee on temporary leave of absence, with pay.

18.5 Length of Suspension. Suspensions without pay shall not exceed thirty (30) days unless ordered by an adjustment board or the Merit Board.

18.6 Procedure on Dismissal, Suspension or Disciplinary Demotion.

- A. In any disciplinary action to dismiss, suspend, or demote an employee having permanent status in a position in the merit system after having complied with the Skelly requirements where applicable, the appointing authority shall make an order in writing stating specifically the causes for the action.
- B. Service of Order. Said order of dismissal, suspension, or demotion shall be filed with the Director of Human Resources, showing by whom and the date a copy was served upon the employee to be dismissed, suspended or demoted, either personally or by

certified mail to the employee's last known mailing address. The order shall be effective either upon personal service or deposit in the U.S. Postal Service.

- C. Employee Appeals from Order. The employee may appeal an order of dismissal, suspension or demotion to the Merit Board.

SECTION 19 - MANAGEMENT COMPLAINT PROCEDURE

19.1 Definition. Any dispute by an employee over the application of any section of this MOU which cannot be resolved administratively, or is not handled under the jurisdiction of the County Merit Board may be addressed under provisions of Resolution 83/987 (Management Complaint Procedure) as follows:

Step 1 - Immediate Supervisor. Any management employee (complainant) who believes that he/she has been adversely affected by the application or interpretation of a rule, regulation, or procedure or otherwise adversely affected in a manner not within the scope of available appeal avenues, may within thirty (30) calendar days discuss the problem with his/her immediate supervisor. If the problem is not resolved at this step, the employee may use Step 2.

Step 2 - Department Head. If a complaint is not resolved in Step 1, the complainant may, within seven (7) calendar days after the immediate supervisor's response, request an appointment with his/her Department Head or designee. If the problem is not resolved at this step, the complainant may use Step 3 or Step 4.

Step 3 - Mediation. If a complaint is not resolved at Step 2, the complainant may, within seven (7) calendar days after the Department Head's response, file a written request with the Director of Human Resources for mediation. This request shall contain a description of the problem and the specific issue. The Director of Human Resources, or his designee shall meet with the complainant and Department Head, or designee, within ten (10) work days and select a mutually agreed-upon mediator. The mediation shall be confidential and shall not become part of the complainant's personnel record. If a resolution agreeable to the complainant and the Department Head is reached, it may, if mutually agreed, be reduced to writing. If the problem is not resolved at this step, the complainant may use Step 4. Mediation is not required and the complainant may skip this step and proceed to Step 4 with a request like that for Step 3.

Step 4 - Adjustment Panel. If the problem is not resolved at Step 3 or if Step 3 is skipped (see above) complainant may, within seven (7) calendar days after the mediator's or Department Head's response, submit his/her complaint in writing to the Director of Human Resources or designee, who shall promptly convene an adjustment panel of three management employees. As used herein "management employees" includes management employees of both the County and Fire Protection Districts. The complainant and the Department Head shall each select one (1) panel member, which two (2) shall select the third (3rd) member. The panel shall hear evidence and arguments regarding the complaint and shall render a statement of findings and recommendation to the complainant and the Department Head, with copies to the County Administrator and Director of Human Resources.

Step 4 of the management complaint procedure may be waived by the written mutual agreement of the parties.

SECTION 19 - MANAGEMENT COMPLAINT PROCEDURE

Step 5 - Once-Annual Right to Arbitration. Within ten (10) calendar days of exhaustion of this procedure through Step 4, the Deputy Sheriffs' Association may request a meeting and/or discussion with the Sheriff about the complaint. The Deputy Sheriffs' Association may, only once per MOU year, take such complaint to binding arbitration as described in Step 5, Section 24.1 - Grievance Procedure of the October 1, 1991-September 30, 1995 MOU between the parties.

19.2 Compensation Complaints. All complaints involving or concerning the payment of compensation shall be initially filed in writing with the Director of Human Resources. Only complaints which allege that employees are not being compensated in accordance with the provisions of this MOU shall be considered as grievances. Any other matters of compensation are to be resolved in the meeting and conferring process if not detailed in the MOU which results from such meeting and conferring process shall be deemed withdrawn until the meeting and conferring process is next opened for such discussion. No adjustment shall be retroactive for more than six (6) months from the date upon which the complaint was filed.

19.3 Strike/Work Stoppage. During the term of this MOU, the Association, its members and representatives, agree that it and they will not engage in, authorize, sanction, or support any strike, slowdown, stoppage of work, sickout, or refuse to perform customary duties.

In the case of a legally declared lawful strike against a private or public sector employer which has been sanctioned and approved by the labor body or council having jurisdiction, an employee who is in danger of physical harm shall not be required to cross the picket line, provided the employee advises his or her supervisor as soon as possible, and provided further that an employee may be required to cross a picket line where the performance of his or her duties is of an emergency nature and/or failure to perform such duties might cause or aggravate a danger to public health or safety.

19.4 Merit Board.

- A. All grievances of employees in representation units represented by the Association shall be processed under Section 19 unless the employee elects to apply to the Merit Board on matters within its jurisdiction.
- B. No action under Steps 3 and 4 of Subsection 19.1 above shall be taken if action on the management complaint has been taken by the Merit Board, or if the management complaint is pending before the Merit Board.

19.5 Filing by Association. The Association may file a management complaint at Step 3 on behalf of affected employees when action by the County Administrator or the Board of Supervisors violates a provision of this MOU.

19.6 Letters of Reprimand. Letters of reprimand are subject to the management complaint procedure. Letters of Reprimand shall be removed from an employee's file which are five (5) years old from the date issued unless subsequent disciplinary action has been taken and sustained against the employee for the same type of offense within said five (5) year period in which case the Letter of Reprimand is not subject to removal.

SECTION 19 - MANAGEMENT COMPLAINT PROCEDURE

However, where the subsequent disciplinary action consists of Letter(s) of Reprimand for the same type of offense, those letters (including the original letter) will be removed from the employee's file after five (5) years pass from the date the most recent letter is issued unless a different type of discipline (e.g., suspension, et al) for the same type of offense is taken and sustained during said five (5) year period.

19.7 Corrective Counseling System. The Corrective Counseling System is a method of training and counseling employees in an effort to improve behavior and performance without the negative effects of lasting disciplinary measures. It will hereafter consist of three (3) phases, or levels, with procedures and policies for administration developed within the Department. Placement into the Corrective Counseling System is not subject to the management complaint procedure.

There shall be no mention of the "phase" program in any employee's evaluation, although the circumstances allegedly supporting the starting, the ending, or the continuing of a phase, may be mentioned. This does not affect any other rights or responsibilities of the parties with regards to the performance evaluations per se.

An employee placed into a Phase of the Corrective Counseling System may appeal the placement through a formal department hearing process. Following are the guidelines and procedures to be utilized in the process:

- a. Hearing Officer. The phase placement appeal will be heard by a Commander not in the employee's direct chain of command, hereafter referred to as the Hearing Officer. The Hearing Officer has the authority to set aside the phase placement completely or decrease the phase to any lower level.
- b. Notification of Intent to Appeal. Upon receipt of the Phase memo, the affected employee has seven (7) calendar days to deliver written documentation of an intent to appeal the phase placement. The "intent to appeal" memo is to be addressed to the Hearing Officer, with a copy to the employee's Division Commander. The appeal process defers the starting date of the phase period.
- c. Hearing Date. Upon receipt of the "intent to appeal" memo, the Hearing Officer will schedule a hearing date and notify the affected employee at least seven (7) calendar days prior to the hearing date. The hearing date shall be within twenty-one (21) calendar days of the Hearing Officer's receipt of the "intent to appeal" unless one of the primary parties involved is unavailable, in which case the hearing will be scheduled as soon as practical upon the return to work of the parties.
- d. The Hearing. For Phase I and II actions, the employee may submit a written request for hearing to the Commander not in the employee's chain of command. The Commander will review the request and determine if there is a basis for a formal hearing. An alternate informal process exists which would allow the employee and his/her representative to meet and discuss the phase placement in accordance with the established open door policy of the Department.

SECTION 20 - DEPARTMENT INVESTIGATIONS

For Phase III appeals, the Commander will determine the parties to be present at the hearing, except that the affected employee will be present, and a maximum of two (2) representatives of the employee's choice.

In general, witnesses will not be called or allowed; however, the affected employee may submit written statements from the employee (or others) which will support the appeal. The entire appeal hearing will be tape recorded. The tape will be kept (and be available) in the Administration Division, and will be erased when the phase is no longer in effect. Upon his request and at his expense, the employee may tape the hearing.

The Commander will weigh all testimony and attempt to determine the facts surrounding the phase placement.

- e. Results. The Hearing Officer will report his decision in a brief memo to the employee within five (5) business days of the hearing.

If the decision upholds the original recommendation or decreases the phase level, the phase period begins on the date of the Hearing Officer's decision.

If the Hearing Officer determines that a phase was not appropriate, all reference to the phase incident and hearing will be immediately purged from the personnel file, and the Hearing Officer's written decision will be sent to the affected employee.

SECTION 20 - DEPARTMENT INVESTIGATIONS

It has always been and shall continue to be, the Sheriff's position that all internal investigations shall be conducted in a professional and timely manner. The scope and intent of all legal and policy mandates shall be adhered to during all phases of the investigation. This includes that the confidentiality of all information gained during the inquiry shall be consistent with present legal restraints relative to discovery and disclosure.

SECTION 21 - LABOR/MANAGEMENT COMMITTEE

Matters of concern to employees in this unit may be raised by the Department or the DSA in the existing Labor-Management Committee.

SECTION 22 –EFFECTIVE July 1, 2008 – December 31, 2011

SECTION 22 - RETIREMENT CONTRIBUTION

- A. Pursuant to Government Code Section 31581.1, the County will continue to pay fifty percent (50%) of the retirement contributions normally required of employees. Such payments shall continue for the duration of this MOU, and shall terminate thereafter. Employees shall be responsible for payment of the employee's contribution for the

SECTION 22 - RETIREMENT CONTRIBUTION

retirement cost of living program as determined by the Board of Retirement of the Contra Costa County Employees' Retirement Association without the County paying any part of the employee's share. The County will pay the remaining one-half (1/2) of the retirement cost-of-living program contribution.

- B. Employees represented by DSA who are Tier II members of the Contra Costa County Employees' Retirement Association (CCCERA) are entitled to elect to become Tier III members as provided in Subsection 1 below. DSA members who elect Tier III membership will be required to fund any increased costs as provided in Subsection 2, below.
1. Employees represented by DSA enrolled in Tier II who have attained five (5) years of retirement credited service, shall have a ninety (90) days from the date of said five (5) year anniversary to make a one time irrevocable election of the Tier III Retirement Plan.
 2. The County's employer contributions and subvention of employee contributions for members of the DSA electing Tier III, which exceed those which would be required for Tier III membership as of October 1, 1998, shall be paid by the employees in the bargaining unit, subject to the following:
 - a. The amount of the employee's retirement contribution shall be established by the County Employees' Retirement Association and shall be based on the employee's age at entry into the retirement system.
 - b. If the County's retirement costs increase after October 1, 1998 as a result of the operation of Tier III, or if the CCCERA's actuaries determine in future years that the County's retirement costs have increased and that the increase is attributable to the impact of Tier III on the County's retirement costs after October 1, 1998, such increase shall be funded by reducing the general wage increase(s) agreed upon in future years, to the extent that future wage increases are granted; and the general wage increase(s) of all employees represented by DSA shall be reduced accordingly, provided that such increased costs shall be charged to the bargaining unit only to the extent that the increased costs exceed \$40,000 per year.
 - c. In the event the County and the Labor Coalition reach an agreement which is effective during the term of this MOU that provides that the County will not seek reimbursement for increases in the County's retirement costs attributable to the creation of Tier III and/or the impact of Tier III on the County's retirement costs as specified in the previous paragraph, the County will apply the same proportional cost rationale and formula to this section.
 - d. Effective October 1, 2002, Tier 2 of the retirement plan shall be eliminated and all employees in Tier 2 of the retirement plan shall be placed in Tier 3.

SECTION 22 - RETIREMENT CONTRIBUTION

Employees in Tier 2 with ten (10) or more years of County/District service, will be eligible to participate in the County's buy back program. Employees may replace Tier 2 benefits with Tier 3 benefits as follows:

1. Employee buys back two (2) years, County will buy back one (1) year for a total of three (3) years of buyback.
 2. Employee buys back four (4) years, County will buy back two (2) years for a total of six (6) years of buyback.
 3. Employee buys back six (6) years, County will buy back three (3) years for a total of nine (9) years of buyback.
- e. The Buy Back Program set forth in Section 22, which makes certain employees eligible to replace Tier Two service time with Tier Three service time on specified terms, terminates on November 1, 2011.

22.1 Safety Retirement Tiers- Current Employees

A. The parties agree to reopen this agreement to negotiate 1) the establishment of new safety retirement tiers for sworn employees who are members of the DSA bargaining unit and are employed by the County as of December 31, 2006; and 2) such employees' payment of a percentage of their retirement base to pay part of the employer's contribution for the cost of each retirement tier. This reopener is contingent upon the occurrence of all of the following:

1. Enactment of SB 24;
2. The parties' execution of a Memorandum of Understanding that includes among other things, the provisions expressed herein.
3. The County's receipt of a Private Letter Ruling from the IRS that protects the County and DSA members hired prior to January 1, 2007 from additional tax liability if said DSA members have the opportunity to elect new retirement tiers through the implementation of SB 524.

Absent mutual agreement on the establishment of new safety retirement tiers and/or the employees' payment of a percentage of their retirement base to pay part of the employer's contribution for the cost of each tier, no new tiers shall be established, and the employees' payment towards the employer's contribution will be unchanged.

B. The following tiers would be established:

1. In Safety Tier A, the retirement formula is "3 Percent at 50." The cost of living adjustment (COLA) to the retirement allowance shall not exceed three (3) percent per

SECTION 22 - RETIREMENT CONTRIBUTION

year. The employee's final compensation shall be based on a twelve (12) month salary average.

2. In Safety Tier B, the retirement formula is "3 Percent at 55." The cost of living adjustment (COLA) to the retirement allowance shall not exceed two (2) percent per year. The employee's final compensation shall be calculated based on a twelve (12) month salary average.

3. In Safety Tier C, the retirement formula is "3 Percent at 50." The cost of living adjustment (COLA) to the retirement allowance shall not exceed two (2) percent per year. The employee's final compensation shall be calculated based on a thirty-six (36) month salary average.

C. Method of Election.

1. Upon the occurrence of all of the following:

a. all of the contingencies listed in item A are met and the parties have reached the agreement referenced in item A;

b. actuarial studies by the County and by the Contra Costa County Employees Retirement Association ("CCCERA",) have been completed;

c. the Board of Supervisors has adopted an ordinance or resolution making Government Code Section 31484.9 operative in this County;

d. the Board of Supervisors has adopted such other ordinances or resolutions as may be necessary to implement the agreement referenced in item A;

e. as required by section 31484.9, the County has prepared written information about the change in benefits for employees who elect to enter a new tier;and

f. CCCERA has taken any other actions that may be necessary to implement the agreement referenced in item A;

the County shall work with CCCERA to provide a time period of no less than three (3) calendar months during which sworn members of the DSA bargaining unit who are County employees as of December 31, 2006, may make a written irrevocable election to 1) waive and release all rights to retirement benefits under Safety Tier A for the period of service following the election and 2) enter either Safety Tier B or Safety Tier C for the period of service following the election.

2. An employee who elects to enter Safety Tier B or Safety Tier C shall have his or her retirement benefits calculated on the basis of Safety Tier A for the period of service prior to the election.

SECTION 22 - RETIREMENT CONTRIBUTION

D. The parties agree that the provisions of Government Code section 31484.9 shall apply to sworn members of the Deputy Sheriff's Association.

22.2 Payment of Employer Contribution. Beginning October 1, 2006 and through the term of this Memorandum of Understanding and any extension thereof, a sworn member of the DSA bargaining unit who was employed by the County as of December 31, 2006 shall pay three percent (3%) of his or her retirement base to pay part of the employer's contribution for the cost of Safety Tier A. This is a reduction in the amount sworn DSA members have been required to pay toward the employer's contribution for the cost of Safety Tier A under the 2002 Memorandum of Understanding (This started as a 2.25% deduction in the initial year, and then became a 9 % deduction). "Retirement base" means base salary and other payments, such as salary differential and flat rate pay allowances, used to compute retirement benefits.

22.3 Thirty Years of Continuous Service as a Safety Member. Effective January 1, 2007 through the term of this Memorandum of Understanding and any extensions thereof, a DSA member with credit for more than 30 years of continuous service as a safety member shall not make payments from his or her retirement base to pay part of the employer's contribution for the cost of Safety Tier A.

22.4 Safety Retirement Tiers- Employees Hired or Rehired after December 31, 2006

- A. For sworn employees hired by the County after December 31, 2006, the retirement formula shall be "3 Percent at 50". The cost of living adjustment (COLA) to the retirement allowance shall not exceed two (2) percent per year. The employee's final compensation shall be based on his or her highest thirty six (36) month salary average. Effective January 1, 2007 through the term of the Memorandum of Understanding and any extension thereof, the employee will pay two and one-tenths percent (2.1%) of his or her retirement base to pay part of the employer's contribution for the cost of this retirement benefit. Safety Tiers A and B are closed to all employees initially hired after December 31, 2006.
- B. Employees who left County service prior to December 31, 2006 and are rehired after that date shall not be eligible to elect a retirement tier. Such rehired employees shall be automatically placed in that retirement tier for which they are eligible under the County Employees Retirement Law.

SECTION 22 –EFFECTIVE January 1, 2012

SECTION 22 - RETIREMENT CONTRIBUTION

A. Payment of Employee Contributions.

Effective on January 1, 2012, employees are responsible for the payment of one hundred percent (100%) of the employees' basic retirement benefit contributions determined annually by the Board of Retirement of the Contra Costa County Employees' Retirement Association,

SECTION 22 - RETIREMENT CONTRIBUTION

without the County paying any part of the employee's contributions. Employees are also responsible for the payment of the employees' contributions to the retirement cost of living program as determined annually by the Board of Retirement, without the County paying any part of the employees' contributions.

B. Buy Back Program.

1. Effective October 1, 2002, Tier 2 of the retirement plan shall be eliminated and all employees in Tier 2 of the retirement plan shall be placed in Tier 3.

Employees in Tier 2 with ten (10) or more years of County/District service, will be eligible to participate in the County's buy back program. Employees may replace Tier 2 benefits with Tier 3 benefits as follows:

- a. Employee buys back two (2) years, County will buy back one (1) year for a total of three (3) years of buyback.
 - b. Employee buys back four (4) years, County will buy back two (2) years for a total of six (6) years of buyback.
 - c. Employee buys back six (6) years, County will buy back three (3) years for a total of nine (9) years of buyback.
2. The Buy Back Program set forth in Section 22, which makes certain employees eligible to replace Tier Two service time with Tier Three service time on specified terms, terminates on November 1, 2011.

22.1 Safety Retirement Tier Elections - Employees Hired or Rehired Before January 1, 2013.

- A. If either the Internal Revenue Service issues guidance acceptable to both parties, or the County receives a Private Letter Ruling from the IRS, that protects the County and DSA members hired prior to January 1, 2013, from additional tax liability, DSA members will have the opportunity to elect new retirement tiers pursuant to Government Code section 31484.9.
- B. The following tiers are established:
 1. In Safety Tier A, the retirement formula is "3 Percent at 50." The cost of living adjustment (COLA) to the retirement allowance shall not exceed three (3) percent per year. The employee's final compensation shall be based on a twelve (12) month salary average.
 2. In Safety Tier C, the retirement formula is "3 Percent at 50." The cost of living adjustment (COLA) to the retirement allowance shall not exceed two (2) percent per year. The employee's final compensation shall be calculated based on a thirty-six (36) month salary average.

3. In Safety Tier D, the retirement formula is “3 percent at 55.” The cost of living adjustment to the retirement allowance (COLA) shall not exceed two percent (2%) per year, and the cost of living adjustment will be banked. The employee’s final compensation will be based on his/her average annual compensation earnable during a consecutive thirty-six month period. On the employee’s retirement date, the employee’s retirement allowance will not exceed ninety percent (90%) of his/her final compensation.

C. Method of Election.

1. Upon the occurrence of all of the following:
 - a. the contingency listed in subsection A is met;
 - b. actuarial studies by the County and by the Contra Costa County Employees Retirement Association (“CCCERA”,) have been completed;
 - c. the Board of Supervisors has adopted such ordinances or resolutions as may be necessary to implement the election;
 - d. as required by Government Code section 31484.9, the County has prepared written information about the change in benefits for employees who elect to enter a new tier; and
 - e. CCCERA has taken any other actions that may be necessary to implement the election;

the County shall work with CCCERA to provide a time period of no less than three (3) calendar months during which sworn members of the DSA bargaining unit who are in Safety Tier A as of December 31, 2012, may make a written irrevocable election to 1) waive and release all rights to retirement benefits under Safety Tier A for the period of service following the election and 2) enter Safety Tier D for the period of service following the election.

In addition, the County shall work with CCCERA to provide a time period of no less than three (3) calendar months during which sworn members of the DSA bargaining unit who are in Safety Tier C as of December 31, 2012, may make a written irrevocable election to 1) waive and release all rights to retirement benefits under Safety Tier C for the period of service following the election and 2) to enter Safety Tier D for the period of service following the election.

Employees electing to enter Safety Tier D will enter Safety Tier D on the first day of the first calendar month after the close of the election period.

2. An employee in Safety Tier A who elects to enter Safety Tier D shall have his/her retirement benefits calculated on the basis of Safety Tier A for the period of

SECTION 22 - RETIREMENT CONTRIBUTION

service prior to the election. An employee in Safety Tier C who elects to enter Safety Tier D shall have his/her retirement benefits calculated on the basis of Safety Tier C for the period of service prior to the election.

- D. The parties agree that the provisions of Government Code section 31484.9 shall apply to sworn members of the Deputy Sheriff's Association.

22.2 Payment of Employer Contribution – Tier A. Through the term of this Memorandum of Understanding and any extension thereof, a sworn member of the DSA bargaining unit who was employed by the County as of December 31, 2006, shall pay three percent (3%) of his/her retirement base to pay part of the employer's contribution for the cost of Safety Tier A. "Retirement base" means base salary and other payments, such as salary differential and flat rate pay allowances, used to compute retirement benefits.

Effective June 29, 2013, the payment of the 3% that the employee pays of his/her retirement base to pay part of the employer's contribution for the cost of Safety Tier A will cease.

22.3 Tier A - Thirty Years of Continuous Service as a Safety Member. Through the term of this Memorandum of Understanding and any extensions thereof, a DSA member with credit for more than 30 years of continuous service as a safety member shall not make payments from his or her retirement base to pay part of the employer's contribution for the cost of Safety Tier A.

22.4 Safety Retirement Tier C - Employees Hired or Rehired after December 31, 2006, but Before January 1, 2013.

- A. For sworn employees hired by the County after December 31, 2006, but before January 1, 2013, the retirement formula shall be "3 Percent at 50". The cost of living adjustment (COLA) to the retirement allowance shall not exceed two (2) percent per year. The employee's final compensation shall be based on his or her highest thirty six (36) month salary average. Through the term of this Memorandum of Understanding and any extension thereof, the employee will pay two and one-tenths percent (2.1%) of his or her retirement base to pay part of the employer's contribution for the cost of Safety Tier C. Safety Tier A is closed to all employees initially hired after December 31, 2006.

Effective June 29, 2013, the payment of the 2.1% that the employee pays of his/her retirement base to pay part of the employer's contribution will cease.

- B. Employees who left County service prior to December 31, 2006 and are rehired after that date shall not be eligible to elect a retirement tier. Such rehired employees shall be automatically placed in that retirement tier for which they are eligible under the County Employees Retirement Law.

- C. Safety Tier C is closed to all employees initially hired after December 31, 2012.

22.5 Safety Retirement Tier D – Employees Hired or Rehired after December 31, 2012.

- A. Retirement Benefit. For sworn employees hired by the County after December 31, 2012, and designated by CCCERA as safety members, the retirement formula will be three percent (3%) at fifty-five (55) years of age. The cost of living adjustment to the retirement allowance will not exceed two percent (2%) per year, and the cost of living adjustment will be banked. The employee's final compensation will be based on his/her average annual compensation earnable during a consecutive thirty-six month period. On the employee's retirement date, the employee's retirement allowance will not exceed ninety percent (90%) of his/her final compensation. This retirement benefit will be known as Safety "Tier D."
- B. The disability provisions for Tier D will be the same as the Tier A disability provisions.
- C. Sworn Employees who left county service prior to December 31, 2012, and are rehired after that date shall be automatically placed in Tier D unless otherwise required by law.
- D. The County will seek enabling legislation amending the County Employees Law of 1937 to implement Tier D. The union must support the legislation, in addition to the County.

SECTION 23 - SAFETY

The County shall expend every effort to see to it that the work performed under the terms and conditions of this MOU is performed with a maximum degree of safety consistent with the requirement to conduct efficient operations.

SECTION 24 - MILEAGE

Mileage allowance for the use of personal vehicles on County business shall be paid according to the rates allowed by the Internal Revenue Service and shall be adjusted to reflect changes in this rate on the date it becomes effective or the first of the month following announcement of the changed rate by the Internal Revenue Service, whichever is later.

SECTION 25 - PAY WARRANT ERRORS

If an employee receives a pay warrant which has an error in the amount of compensation to be received and if this error occurred as a result of a mistake by the Auditor-Controller's Department, it is the policy of the Auditor-Controller's department that the error will be corrected and a new warrant issued within forty-eight (48) hours, exclusive of Saturdays, Sundays and holidays from the time the department is made aware of and verifies that the pay warrant is in error.

Pay errors discovered by the County found in employee pay shall be corrected as soon as possible as to current pay rate but that no recovery of either overpayments or underpayments to an employee shall be made retroactively except for the six (6) month period immediately preceding discovery of the pay error. This provision shall apply regardless of whether the error was made by the employee, the appointing authority or designee, the Director of Human Resources or designee, or the Auditor-Controller or designee. Recovery of fraudulently accrued over or underpayments are excluded from this section for both parties.

SECTION 26 - PROVISIONAL APPOINTMENT

When the County notifies an employee of an overpayment and proposed repayment schedule and the employee wishes to meet with the County, a meeting will be held at which time a repayment schedule shall be determined.

If requested by the employee, an Association representative may be present at a meeting with management to discuss a repayment schedule in the case of overpayments to the employee.

SECTION 26 - PROVISIONAL APPOINTMENT

Whenever an appointing authority makes a request for personnel to fill a position in a class for which no reemployment or employment list is available, or in a class for which no eligible or insufficient eligibles to complete the certification will accept appointment to the position, the Director of Human Resources may authorize the appointing authority to appoint any person who possesses the minimum qualifications for the class as set forth in the class specifications, provided that the names of eligibles available and the names of persons who have indicated their intention to take the next examination for the class shall be referred to the appointing authority at the time authorization is issued.

In no case shall a permanent position be filled by a provisional appointment for a period exceeding six (6) calendar months except under the following conditions:

- a. If an examination has been announced for the class and recruitment of applicants is in process, the Director of Human Resources may authorize a continuation of provisional appointments until an eligible list is established.
- b. In case of a provisional appointment to a permanent position vacated by a leave of absence, such provisional appointment may be continued for the duration of said leave.

A provisional appointment shall be terminated within thirty (30) days after the date of certification of eligibles from an appropriate eligible list.

All decisions of the Director of Human Resources relative to provisional appointments are final.

Before filling a position by a provisional appointment, the appointing authority shall post notice and shall consider current qualified employees for the appointment. Only if there are insufficient internal applicants to constitute a full certification may the appointing authority consider applicants from outside County service.

SECTION 27 - PERSONNEL FILES

An employee shall have the right to inspect and review any official record(s) relating to his or her performance as an employee or to a grievance concerning the employee which is kept or maintained by the County in the employee's personnel file in the Human Resources Department or in the employee's personnel file in their department. The contents of such records shall be made available

SECTION 28 - SERVICE AWARDS

to the employee for inspection and review at reasonable intervals during the regular business hours of the County.

The County shall provide an opportunity for the employee to respond in writing to any information which is in the employee's personnel file about which he or she disagrees. Such response shall become a permanent part of the employee's personnel record. The employee shall be responsible for providing the written responses to be included as part of the employee's official personnel file. This section does not apply to the records of an employee relating to the investigation of a possible criminal offense, medical records and information or letters of reference.

All documents pertaining to disciplinary actions shall be placed in an official personnel file maintained by the Human Resources Department or in an official personnel file maintained by their department. Copies of written reprimands or memoranda pertaining to an employee's unsatisfactory performance which are to be placed in the employee's personnel file shall be given to an employee who shall have the right to respond in writing to said documents. Copies of letters of commendation which are to be placed in the employee's personnel file will be given to the employee. Employees have the right to review their official personnel files which are maintained in the Human Resources Department or by their department. In a case involving a grievance or disciplinary action, the employee's designated representative may also review his or her personnel file with specific written authorization from the employee.

The Association will be given a list of all types of personnel files maintained by the Sheriff.

SECTION 28 - SERVICE AWARDS

The County shall continue its present policy with respect to service awards including time off; provided, however, that the type of award given shall be at the sole discretion of the County.

The following procedures shall apply with respect to service awards:

- a. Presentation Before the Board of Supervisors. An employee with twenty (20) or more years of service may go before the Board of Supervisors to receive his/her Service Award. When requested by a department, the Human Resources Department will make arrangements for the presentation ceremony before the Board of Supervisors and notify the department as to the time and date of the Board meeting.
- b. Service Award Day Off. Employees with fifteen (15) or more years of service are entitled to take a day off with pay at each five (5) year anniversary.

SECTION 29 - REIMBURSEMENT FOR MEAL EXPENSES & CHARGE FOR DETENTION **DIVISION MEALS**

29.1 Reimbursement for Meal Expenses. Employees shall be reimbursed for meal expenses under the following circumstances and in the amount specified:

SECTION 30 - COMPENSATION FOR LOSS OR DAMAGE TO PERSONAL PROPERTY

- a. When the employee is required to be out of his/her regular or normal work area during a meal hour because of a particular work assignment and with prior approval of the department head or his designee.
- b. When the employee is required to stay over to attend consecutive or continuing afternoon and night sessions of a board or commission.
- c. When the employee is required to incur expenses as host for official guests of the County, work as members of examining boards, official visitors, and speakers or honored guests at banquets or other official functions.

Meal costs will be reimbursed only when eaten away from home or away from the facility in the case of employees at 24-hour institutions.

Procedures and definitions relative to reimbursement for meal expenses shall be in accordance with the Administrative Bulletin on Expense Reimbursement.

29.2 **Charge for Detention Division Meals.** Personnel represented by the DSA and permanently assigned to the Detention Division will have fifteen dollars (\$15) per month deducted from their pay checks in exchange for meals provided by the Department. The employee may choose not to eat facility food. In that case no fees will be deducted.

SECTION 30 - COMPENSATION FOR LOSS OR DAMAGE TO PERSONAL PROPERTY

30.1 **Conditions.** The loss or damage to personal property of employees is subject to reimbursement under the following conditions:

- a. The loss or damage must result from an event which is not normally encountered or anticipated on the job and which is not subject to the control of the employee.
- b. Ordinary wear and tear of personal property used on the job is not compensated.
- c. Employee tools or equipment provided without the express approval of the department head and automobiles are excluded from reimbursement.
- d. The loss or damage must have occurred in the line of duty.
- e. The loss or damage was not a result of negligence or lack of proper care by the employee.
- f. The personal property was necessarily worn or carried by the employee in order to adequately fulfill the duties and requirements of the job.
- g. The loss or damage to an employee's dentures or other prosthetic devices did not occur simultaneously with a job connected injury covered by Workers' Compensation.

SECTION 31 - UNFAIR LABOR PRACTICE

- h. The amount of reimbursement shall be limited to the actual cost to repair damages. Reimbursement for items damaged beyond repair shall be limited to the actual value of the item at the time of loss or damage but not more than the original cost.
- i. The burden of proof of loss rests with the employee.
- j. Claims for reimbursement must be processed in accordance with the Administrative Bulletin on Compensation for Loss or Damage to Personal Property.

30.2 Policies & Practices. The employer will continue its present policies and practices with regard to loss or damage to personal property. This MOU provision does not constitute a waiver by the DSA or an affected employee to litigate in court the legality of portions of the policies dealing with limiting reimbursement because of alleged employee negligence or wrongdoing.

SECTION 31 - UNFAIR LABOR PRACTICE

Either the County or the Association may file an unfair labor practice as defined in Chapter 34-22 of the Board of Supervisors Resolution 81/1165 against the other. Allegations of an unfair labor practice, if not resolved in discussions between the parties, shall be heard by a mutually agreed upon impartial third party.

SECTION 32 - LENGTH OF SERVICE DEFINITION (for service awards and vacation accruals)

The length of service credits of each employee of the County shall date from the beginning of the last period of continuous County employment (including temporary, provisional, and permanent status, and absences on approved leave of absence). When an employee separates from a permanent position in good standing and within two (2) years is reemployed in a permanent County position, or is reemployed in a permanent County position from a layoff list within the period of layoff eligibility, service credits shall include all credits accumulated at time of separation, but shall not include the period of separation. The Director of Human Resources shall determine these matters based on the employee status records in the Human Resources Department.

SECTION 33 - UNIFORM ALLOWANCE/S.W.A.T. UNIFORM

33.1 Uniform Allowance. Effective January 1, 2007, employees eligible for the uniform allowance will receive a total of eight hundred seventy two dollars (\$872) per year. Uniform allowance is paid for the purchase of uniforms and the cleaning and maintenance of uniforms and equipment.

33.2 Uniform Allowance Method of Payment. Employees who are eligible for the uniform allowance will receive such allowance included in their monthly pay warrants.

33.3 S.W.A.T. Uniform. The department shall provide all employees accepted into S.W.A.T. with the necessary uniform and equipment.

SECTION 34 - PEACE OFFICER TRAINING INCENTIVE PROGRAM

SECTION 35 - CRITICAL INCIDENT

34.1 Management/Executive P.O.S.T. Certificate. Provisions of Section 17 - Peace Officer Training of the County Salary Regulations are in effect for incumbents in the classes of Captain and Sheriff's Chief of Forensic Services as follows:

- a. A permanent career incentive allowance of two and one-half percent (2.5%) monthly base pay shall be awarded for the possession of a Management and/or Executive P.O.S.T. Certificate and possession of an approved Baccalaureate Degree.
- b. A permanent career incentive allowance of five percent (5%) monthly base pay shall be awarded for the possession of a Management and/or Executive P.O.S.T. Certificate and possession of an approved Master's Degree.

34.2 Advanced P.O.S.T. Certificate. Provisions of Section 17 Peace Officer Training of the County Salary Regulations are in effect for incumbents in the classes of Lieutenant (6XHA), Administrative Lieutenant (6XHB) and Deputy Sheriff Forensic Manager (6DGA) as follows:

- a. A permanent career incentive allowance of two and one-half percent (2.5%) monthly base pay shall be awarded for the possession of an Advanced P.O.S.T. Certificate and possession of an approved Baccalaureate Degree. Effective April 1, 2002, Lieutenants will be eligible to receive an additional two and one-half percent (2.5%) of monthly base pay for the possession of an Advanced P.O.S.T. Certificate.
- b. A permanent career incentive allowance of five percent (5%) monthly base pay shall be awarded for the possession of an Advanced P.O.S.T. Certificate and possession of an approved Master's Degree.

SECTION 35 - CRITICAL INCIDENT

In the event the act or omission of a sworn officer causes the death or serious injury of another person, the officer's Division Commander shall place the employee on Administrative Leave (with pay) for the forty-eight (48) hours immediately following the incident.

SECTION 36 - ADOPTION

The provisions of this MOU shall be made applicable on the dates indicated and upon approval by the Board of Supervisors. Resolutions and Ordinances, where necessary, shall be prepared and adopted in order to implement these provisions. It is understood that where it is determined that an Ordinance is required to implement any of the foregoing provisions, said provisions shall become effective upon the first day of the month following thirty (30) days after such Ordinance is adopted.

SECTION 37 - SCOPE OF AGREEMENT & SEVERABILITY OF PROVISION

37.1 Scope of Agreement. Except as otherwise specifically provided herein, this MOU fully and completely incorporates the understanding of the parties hereto and constitutes the sole and entire agreement between the parties in any and all matters subject to meet and confer. Neither

SECTION 37 - SCOPE OF AGREEMENT & SEVERABILITY OF PROVISION

party shall, during the term of this MOU, demand any change herein, provided that nothing herein shall prohibit the parties from changing the terms of this MOU by mutual agreement.

The Union understands and agrees that the County is not obligated to meet and confer regarding wages, hours or conditions of employment during the term of this extended agreement, except as otherwise required by law.

37.2 Severability of Provisions. Should any section, clause or provision of this MOU be declared illegal, unlawful or unenforceable, by final judgment of a court of competent jurisdiction, such invalidation of such section, clause or provision shall not invalidate the remaining portions hereof, and such remaining portions shall remain in full force and effect for the duration of this MOU.

37.3 Personnel Management Regulations. Where a specific provision contained in a section of this MOU conflicts with a specific provision contained in a section of the Personnel Management Regulations, the provision of this MOU shall prevail. It is recognized, however, that certain provisions of the Personnel Management Regulations may be supplementary to the provisions of this MOU or deal with matters not within the scope of representation and as such remain in full force and effect.

37.4 Duration of Agreement. This Agreement shall continue in full force and effect from October 1, 2005 to and including June 30, 2013.

Date: _____

Contra Costa County:
(Signature / Printed Name)

Deputy Sheriffs' Association (Mgmt Unit):
(Signature / Printed Name)

/

/

/

/

/

/

/

/

/

/

/

/

/

/

/

/

/

/